

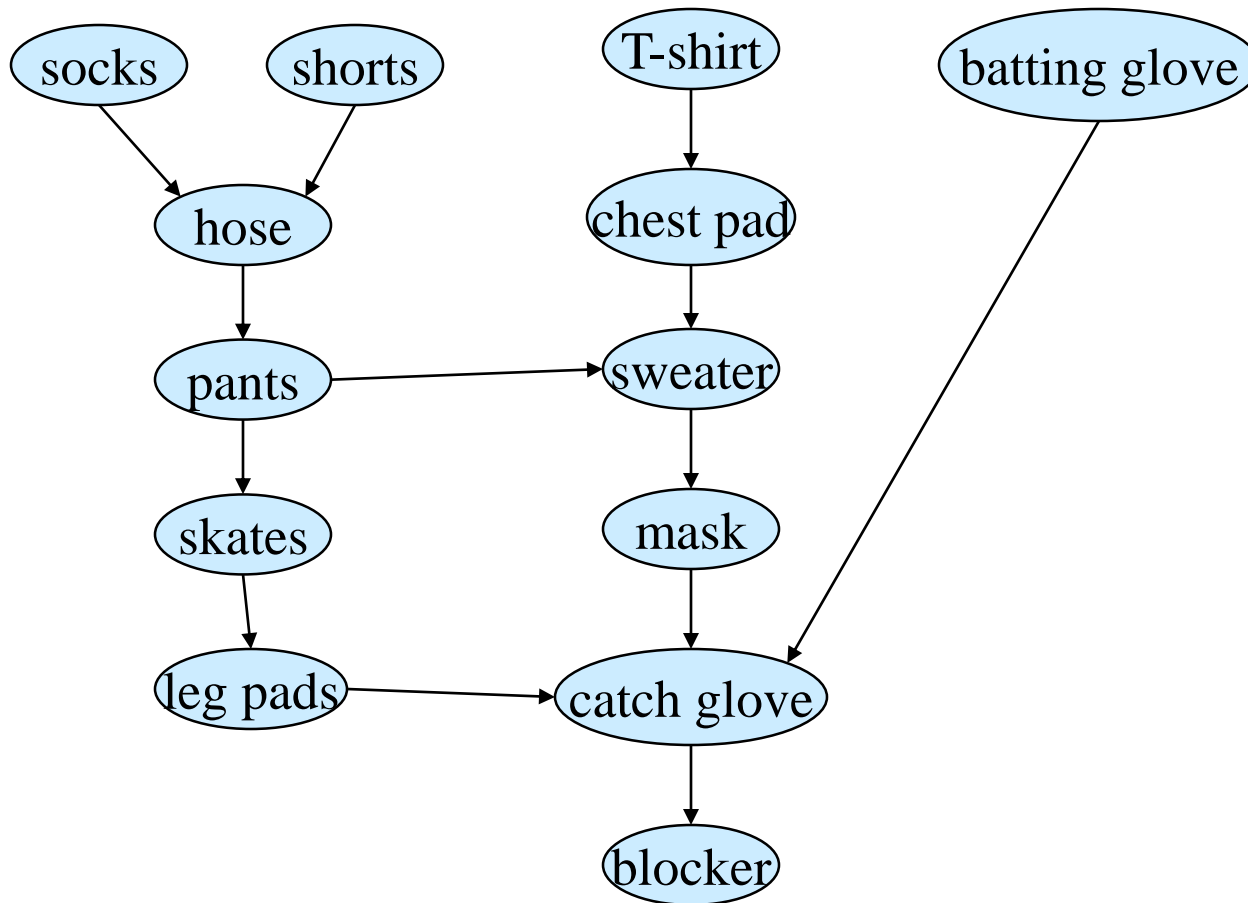
Directed Acyclic Graph

Directed Acyclic Graph

- ◆ DAG – Directed graph with no cycles.
- ◆ Good for modeling processes and structures that have a **partial order**:
 - » $a > b$ and $b > c \Rightarrow a > c$.
 - » But may have a and b such that neither $a > b$ nor $b > a$.
- ◆ Can always make a **total order** (either $a > b$ or $b > a$ for all $a \neq b$) from a partial order.

Example

DAG of dependencies for putting on goalie equipment.



Characterizing a DAG

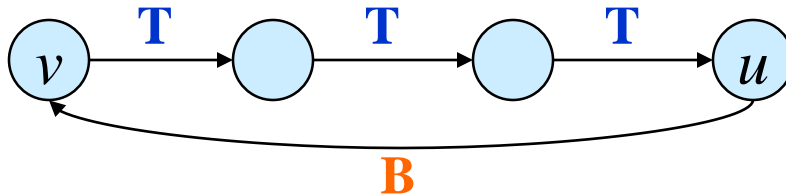
Lemma 22.11

A directed graph G is acyclic iff a DFS of G yields no back edges.

Proof:

♦ \Rightarrow : Show that back edge \Rightarrow cycle.

- » Suppose there is a back edge (u, v) . Then v is ancestor of u in depth-first forest.
- » Therefore, there is a path $v \rightsquigarrow u$, so $v \rightsquigarrow u \rightsquigarrow v$ is a cycle.



Characterizing a DAG

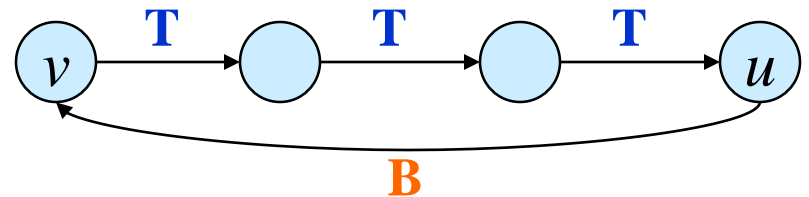
Lemma 22.11

A directed graph G is acyclic iff a DFS of G yields no back edges.

Proof (Contd.):

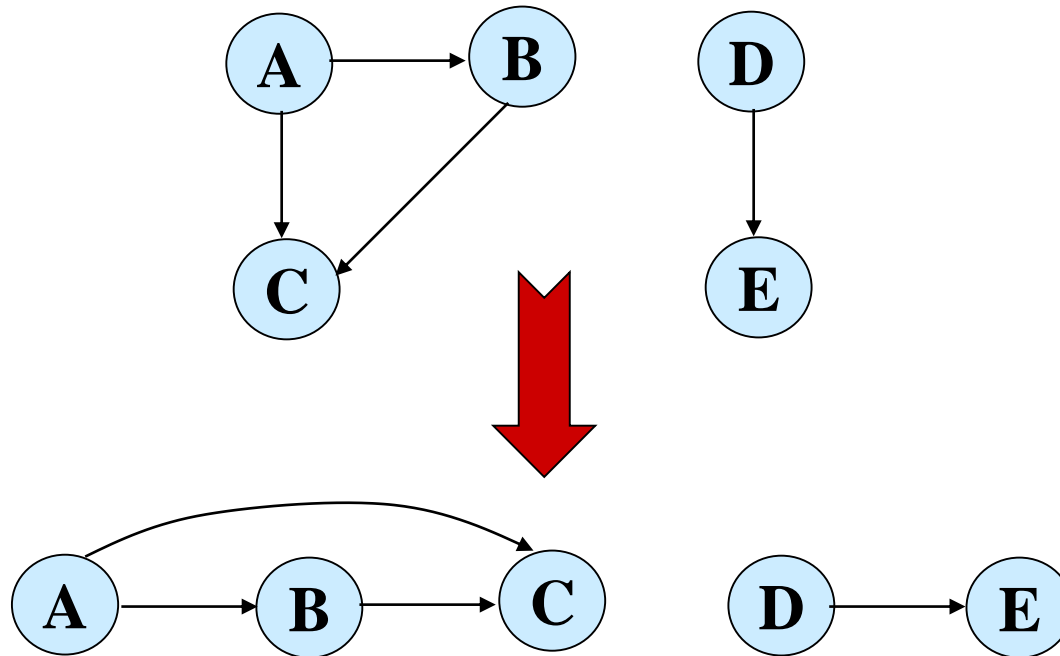
♦ \Leftarrow : Show that cycle \Rightarrow back edge.

- » c : cycle in G , v : first vertex discovered in c , (u, v) : preceding edge in c .
- » At time $d[v]$, vertices of c form a white path $v \rightsquigarrow u$. Why?
- » By white-path theorem, u is a descendent of v in depth-first forest.
- » Therefore, (u, v) is a back edge.



Topological Sort

Want to “sort” a directed acyclic graph (DAG).



Think of original DAG as a **partial order**.

Want a **total order** that extends this partial order.

Topological Sort

- ◆ Performed on a **DAG**.
- ◆ Linear ordering of the vertices of G such that if $(u, v) \in E$, then u appears somewhere before v .

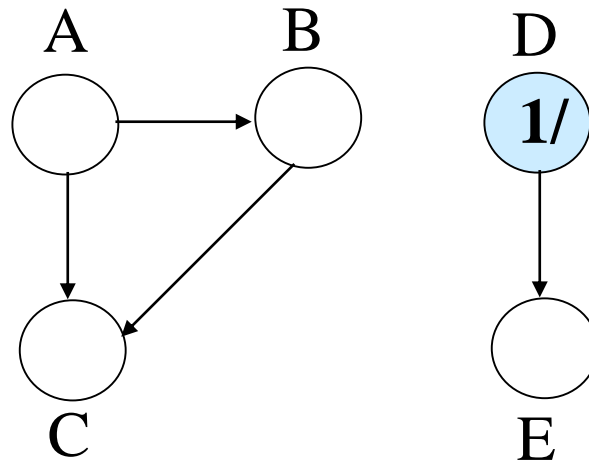
Topological-Sort (G)

1. call DFS(G) to compute finishing times $f[v]$ for all $v \in V$
2. as each vertex is finished, insert it onto the front of a linked list
3. **return** the linked list of vertices

Time: $\Theta(V + E)$.

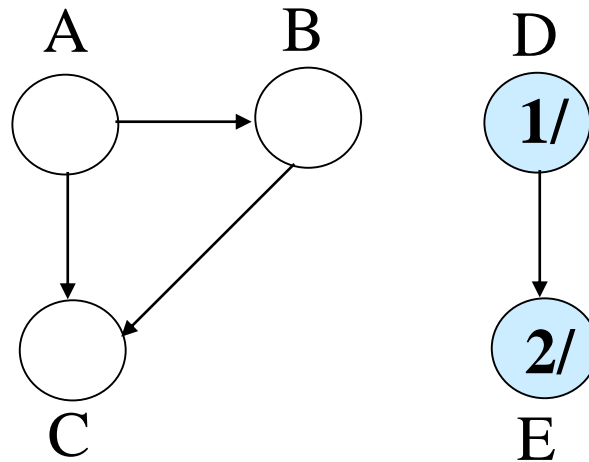
Example: On board.

Example



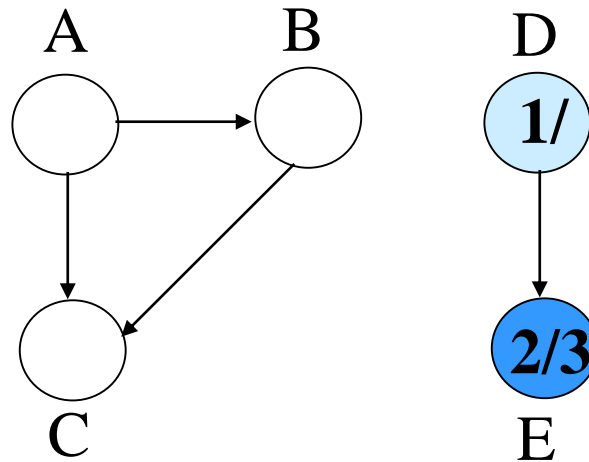
Linked List:

Example



Linked List:

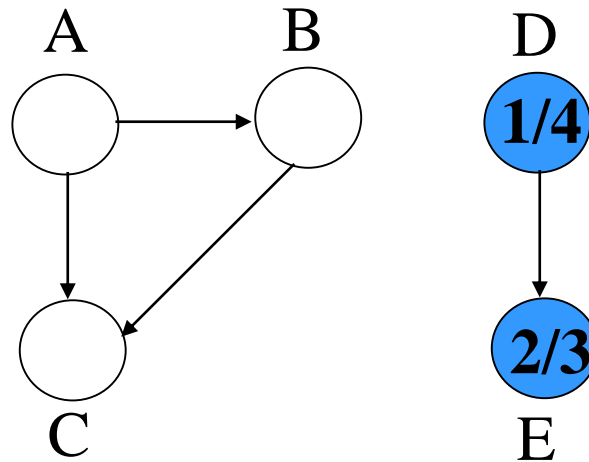
Example



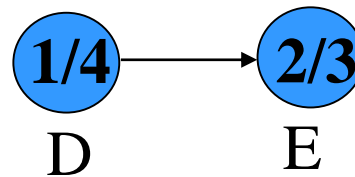
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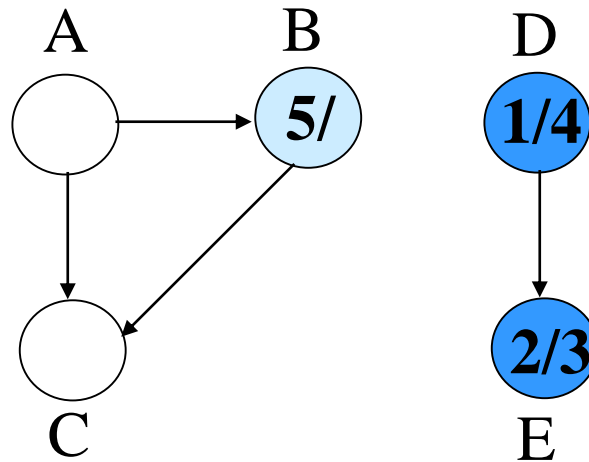
Example



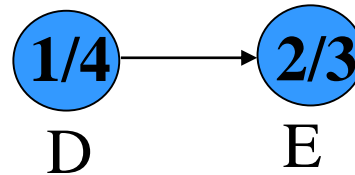
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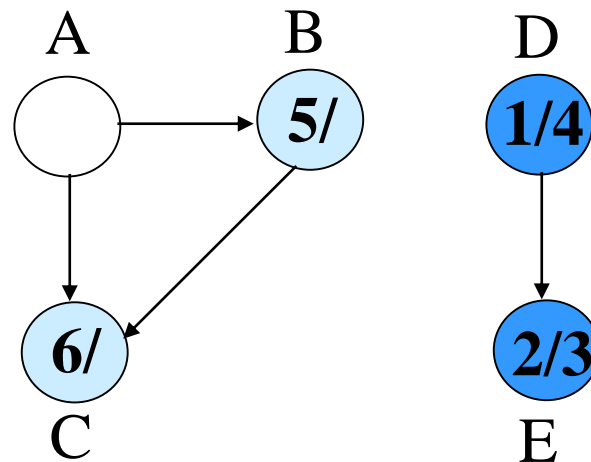
Example



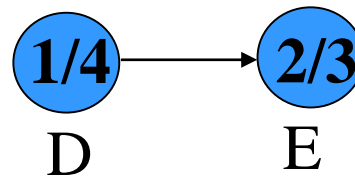
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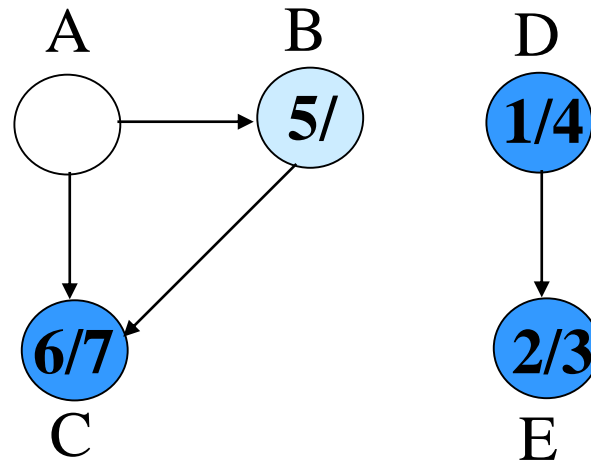
Example



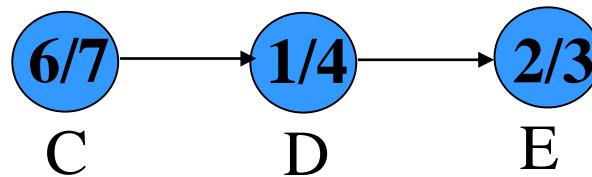
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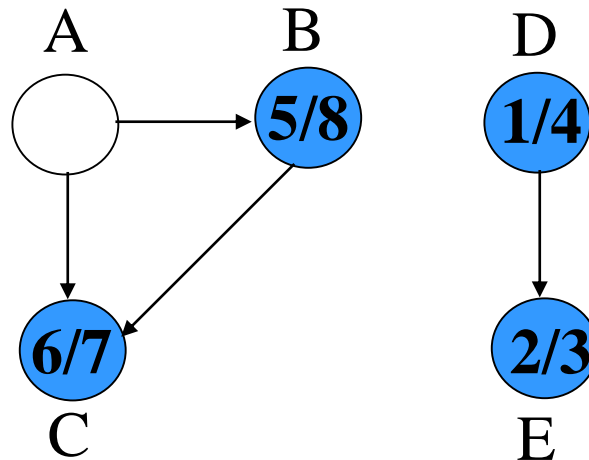
Example



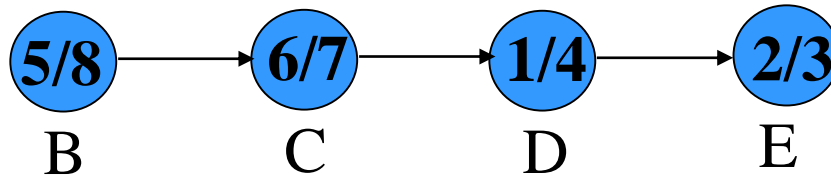
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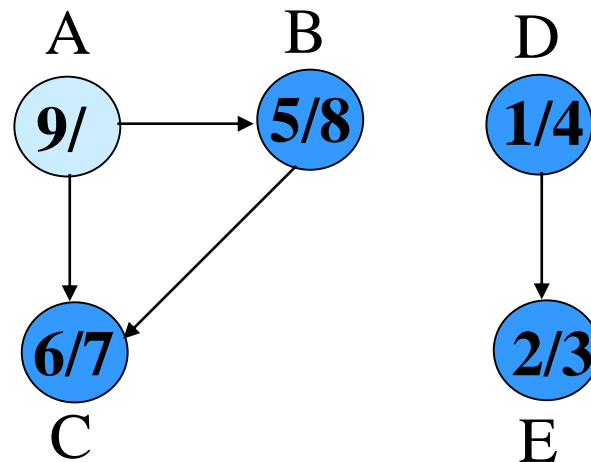
Example



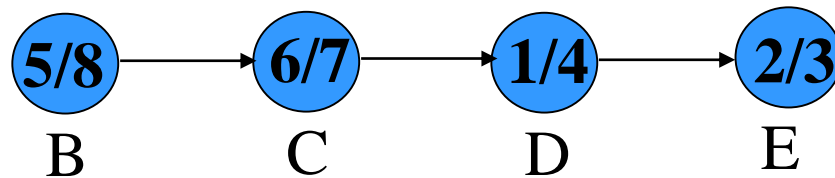
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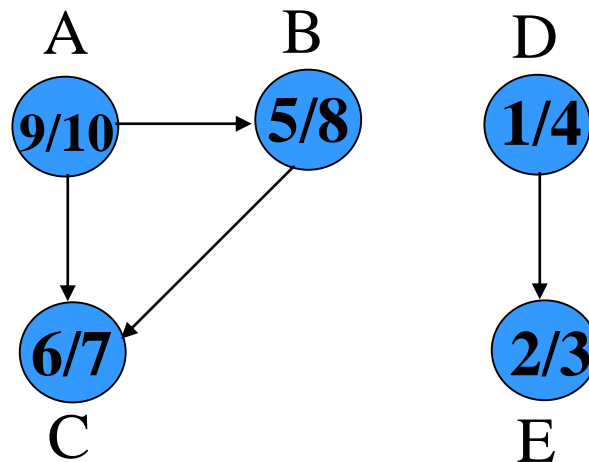
Example



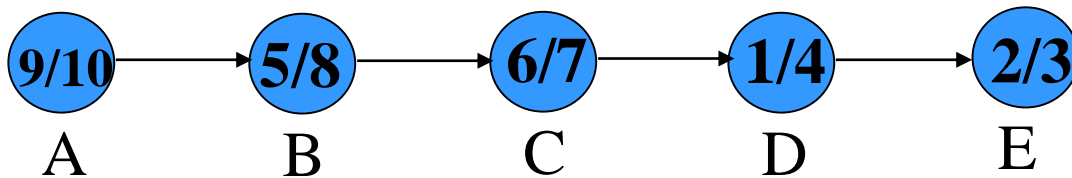
Linked List:



Example



Linked List:

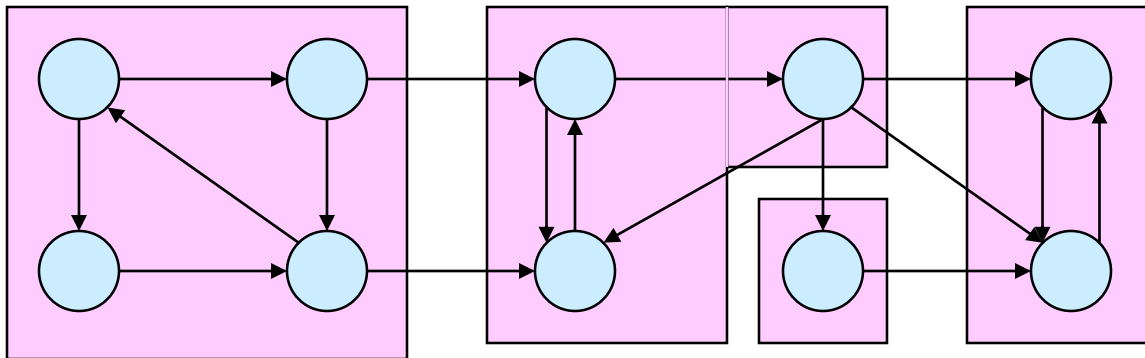


Correctness Proof

- ◆ Just need to show if $(u, v) \in E$, then $f[v] < f[u]$.
- ◆ When we explore (u, v) , what are the colors of u and v ?
 - » u is gray.
 - » Is v gray, too?
 - No, because then v would be ancestor of u .
 - $\Rightarrow (u, v)$ is a back edge.
 - \Rightarrow contradiction of Lemma 22.11 (dag has no back edges).
 - » Is v white?
 - Then becomes descendant of u .
 - By parenthesis theorem, $d[u] < d[v] < \underline{f[v]} < \underline{f[u]}$.
 - » Is v black?
 - Then v is already finished.
 - Since we're exploring (u, v) , we have not yet finished u .
 - Therefore, $f[v] < f[u]$.

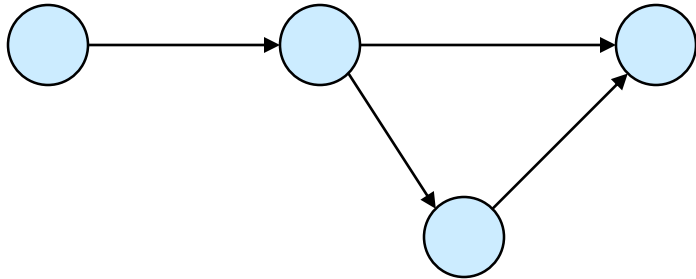
Strongly Connected Components

- ◆ G is strongly connected if every pair (u, v) of vertices in G is reachable from one another.
- ◆ A **strongly connected component** (**SCC**) of G is a maximal set of vertices $C \subseteq V$ such that for all $u, v \in C$, both $u \rightsquigarrow v$ and $v \rightsquigarrow u$ exist.



Component Graph

- ◆ $G^{\text{SCC}} = (V^{\text{SCC}}, E^{\text{SCC}})$.
- ◆ V^{SCC} has one vertex for each SCC in G .
- ◆ E^{SCC} has an edge if there's an edge between the corresponding SCC's in G .
- ◆ G^{SCC} for the example considered:



G^{SCC} is a DAG

Lemma 22.13

Let C and C' be distinct SCC's in G , let $u, v \in C$, $u', v' \in C'$, and suppose there is a path $u \rightsquigarrow u'$ in G . Then there cannot also be a path $v' \rightsquigarrow v$ in G .

Proof:

- ◆ Suppose there is a path $v' \rightsquigarrow v$ in G .
- ◆ Then there are paths $u \rightsquigarrow u' \rightsquigarrow v'$ and $v' \rightsquigarrow v \rightsquigarrow u$ in G .
- ◆ Therefore, u and v' are reachable from each other, so they are not in separate SCC's.

Transpose of a Graph

- ◆ $G^T = \text{transpose of } G$.
 - » $G^T = (V, E^T)$, $E^T = \{(u, v) : (v, u) \in E\}$.
 - » G^T is G with all edges reversed.
- ◆ Can create G^T in $\Theta(V + E)$ time if using adjacency lists.
- ◆ G and G^T have the *same* SCC's. (u and v are reachable from each other in G if and only if reachable from each other in G^T .)

Algorithm to determine SCCs

SCC(G)

1. call DFS(G) to compute finishing times $f[u]$ for all u
2. compute G^T
3. call DFS(G^T), but in the main loop, consider vertices in order of decreasing $f[u]$ (as computed in first DFS)
4. output the vertices in each tree of the depth-first forest formed in second DFS as a separate SCC

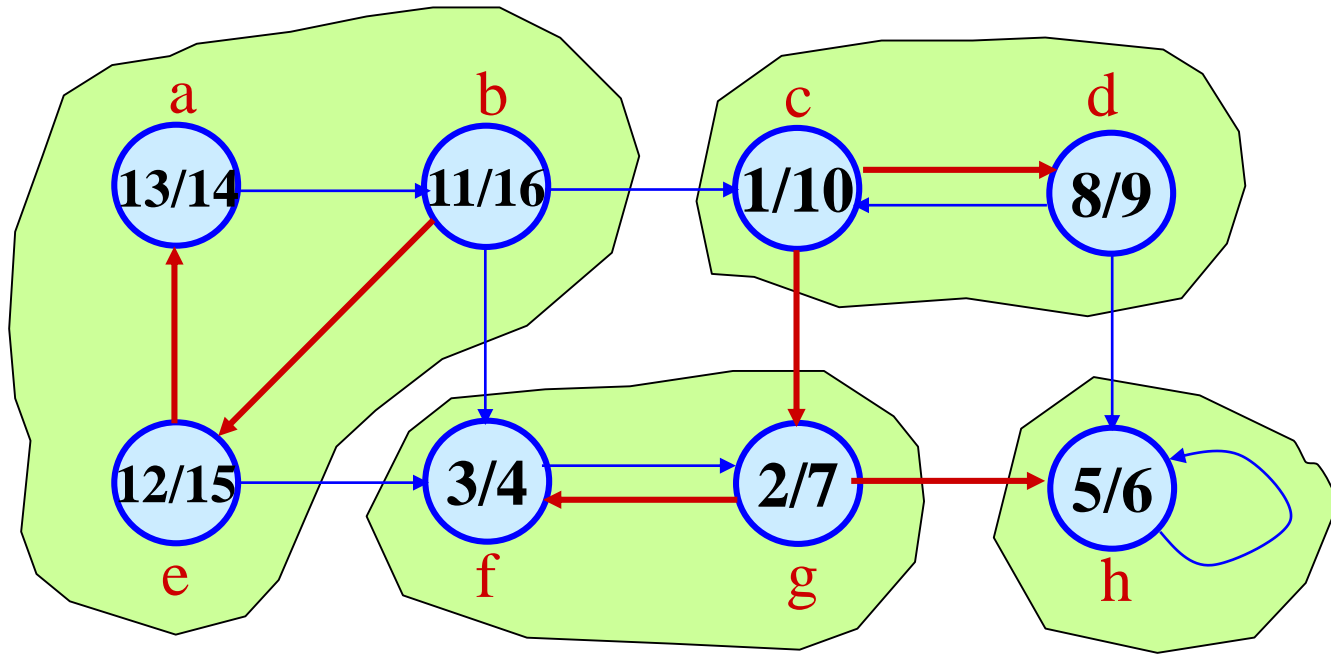
Time: $\Theta(V + E)$.

Example: On board.

Example

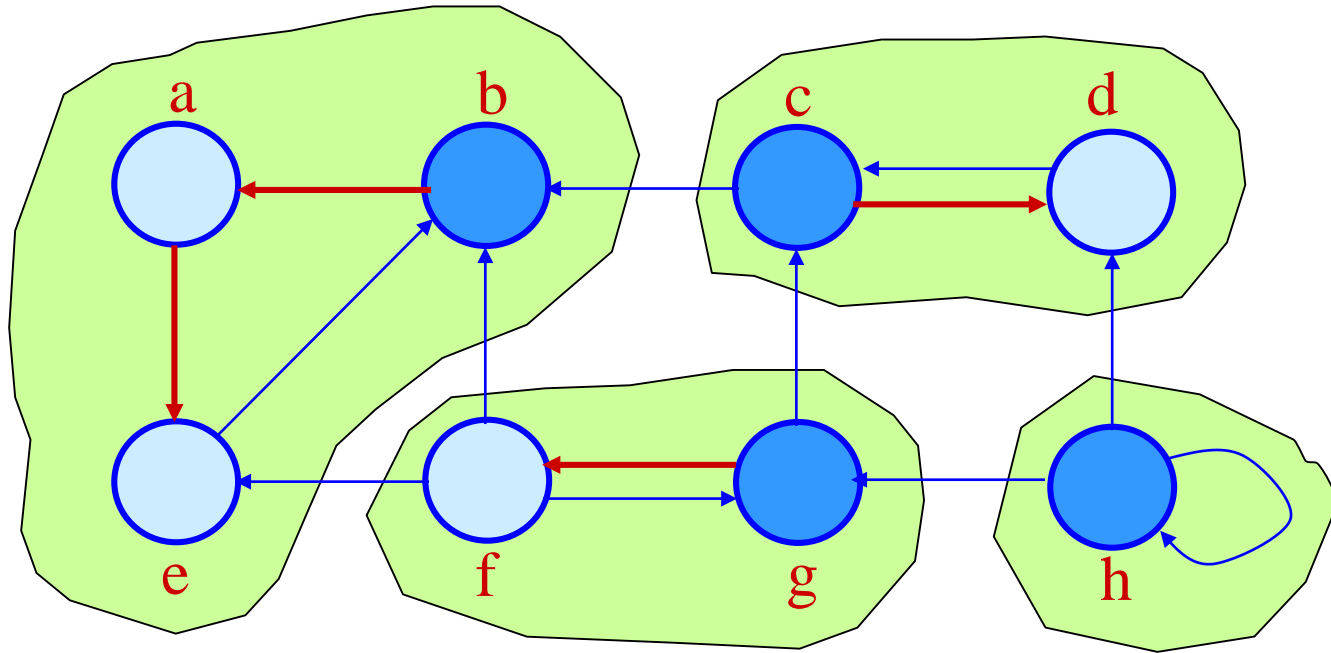
(Courtesy of Prof. Jim Anderson)

G

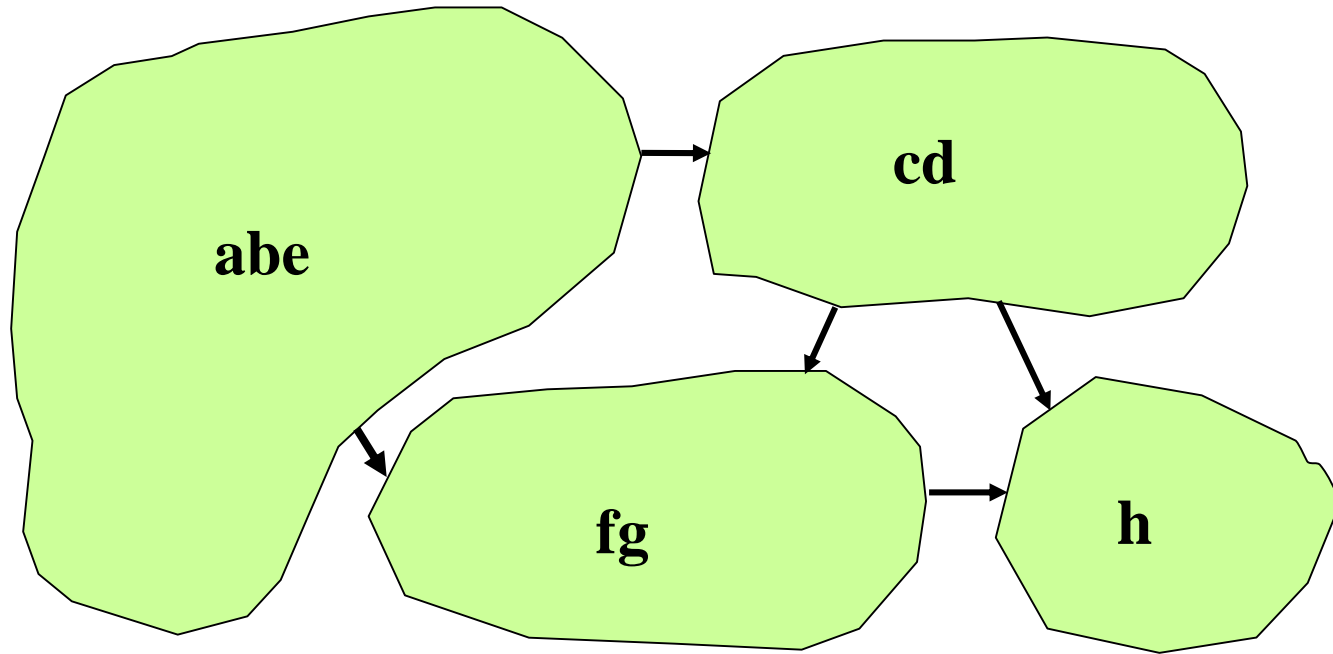


Example

G^T



Example



How does it work?

♦ Idea:

- » By considering vertices in second DFS in decreasing order of finishing times from first DFS, we are visiting vertices of the component graph in topologically sorted order.
- » Because we are running DFS on G^T , we will not be visiting any v from a u , where v and u are in different components.

♦ Notation:

- » $d[u]$ and $f[u]$ always refer to *first* DFS.
- » Extend notation for d and f to sets of vertices $U \subseteq V$:
- » $d(U) = \min_{u \in U} \{d[u]\}$ (earliest discovery time)
- » $f(U) = \max_{u \in U} \{f[u]\}$ (latest finishing time)

SCCs and DFS finishing times

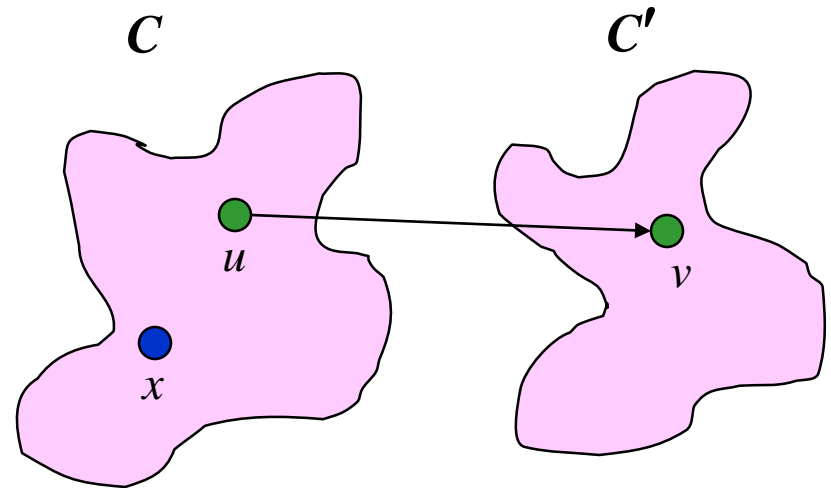
Lemma 22.14

Let C and C' be distinct SCC's in $G = (V, E)$. Suppose there is an edge $(u, v) \in E$ such that $u \in C$ and $v \in C'$. Then $f(C) > f(C')$.

Proof:

♦ Case 1: $d(C) < d(C')$

- » Let x be the first vertex discovered in C .
- » At time $d[x]$, all vertices in C and C' are white. Thus, there exist paths of white vertices from x to all vertices in C and C' .
- » By the white-path theorem, all vertices in C and C' are descendants of x in depth-first tree.
- » By the parenthesis theorem, $f[x] = f(C) > f(C')$.



SCCs and DFS finishing times

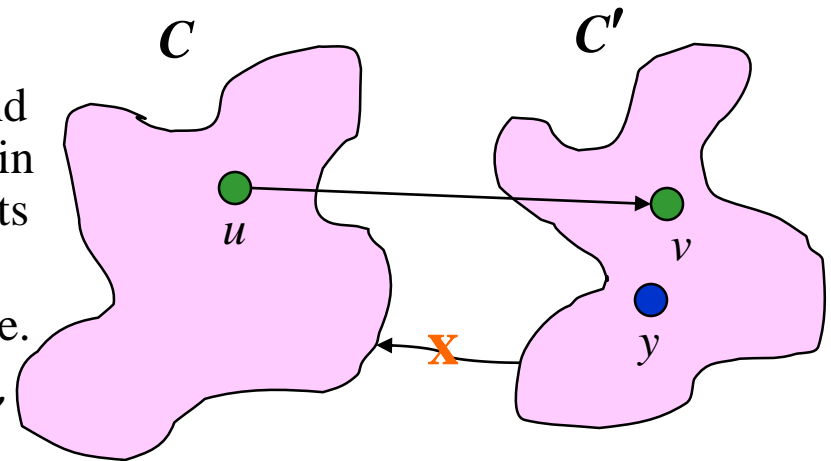
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Let C and C' be distinct SCC's in $G = (V, E)$. Suppose there is an edge $(u, v) \in E$ such that $u \in C$ and $v \in C'$. Then $f(C) > f(C')$.

Proof:

♦ Case 2: $d(C) > d(C')$

- » Let y be the first vertex discovered in C' .
- » At time $d[y]$, all vertices in C' are white and there is a white path from y to each vertex in $C' \Rightarrow$ all vertices in C' become descendants of y . Again, $f[y] = f(C')$.
- » At time $d[y]$, all vertices in C are also white.
- » By earlier lemma, since there is an edge (u, v) , we cannot have a path from C to C' .
- » So no vertex in C is reachable from y .
- » Therefore, at time $f[y]$, all vertices in C are still white.
- » Therefore, for all $w \in C$, $f[w] > f[y]$, which implies that $f(C) > f(C')$.



SCCs and DFS finishing times

Corollary 22.15

Let C and C' be distinct SCC's in $G = (V, E)$. Suppose there is an edge $(u, v) \in E^T$, where $u \in C$ and $v \in C'$. Then $f(C) < f(C')$.

Proof:

- ♦ $(u, v) \in E^T \Rightarrow (v, u) \in E$.
- ♦ Since SCC's of G and G^T are the same, $f(C') > f(C)$, by Lemma 22.14.

Correctness of SCC

- ◆ When we do the second DFS, on G^T , start with SCC C such that $f(C)$ is maximum.
 - » The second DFS starts from some $x \in C$, and it visits all vertices in C .
 - » Corollary 22.15 says that since $f(C) > f(C')$ for all $C \neq C'$, there are no edges from C to C' in G^T .
 - » Therefore, DFS will visit *only* vertices in C .
 - » Which means that the depth-first tree rooted at x contains *exactly* the vertices of C .

Correctness of SCC

- ◆ The next root chosen in the second DFS is in SCC C' such that $f(C')$ is maximum over all SCC's other than C .
 - » DFS visits all vertices in C , but the only edges out of C' go to C , *which we've already visited*.
 - » Therefore, the only tree edges will be to vertices in C' .
- ◆ We can continue the process.
- ◆ Each time we choose a root for the second DFS, it can reach only
 - » vertices in its SCC—get tree edges to these,
 - » vertices in SCC's *already visited* in second DFS—get *no* tree edges to these.