

Operating system

Part I: Introduction

By **KONG** LingBo (孔令波)

Introduction

- About me [孔令波 , 76 代]
- Examination & Resources
- Why should we learn this course?
 - Learning from classics is always the best way!
 - The simple view about OS: The repetition structure controlled by user' s choices



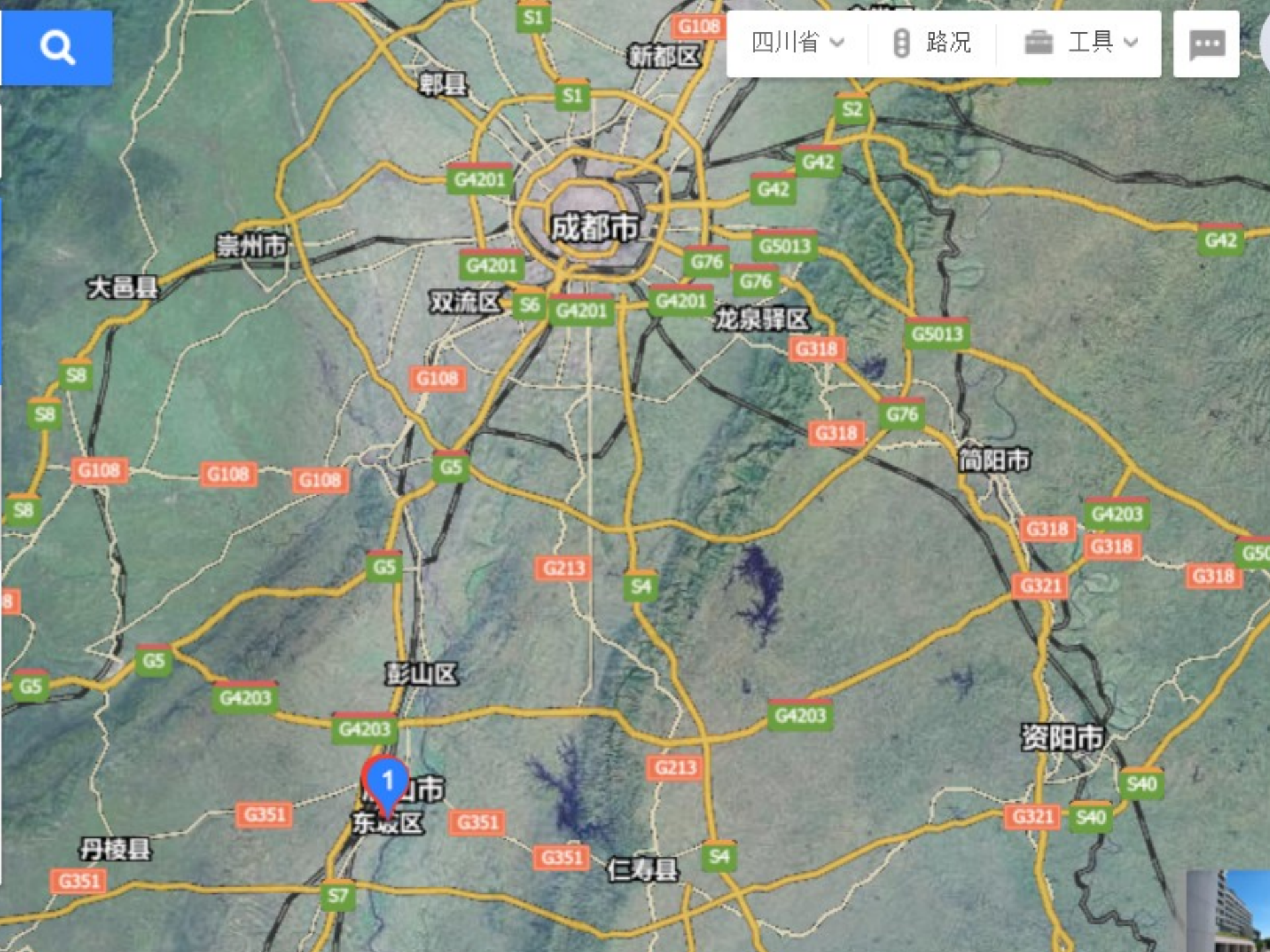
2017.1.23. Sansu Temple,
Meishan county
四川, 眉山, 三苏祠



四川省

路况

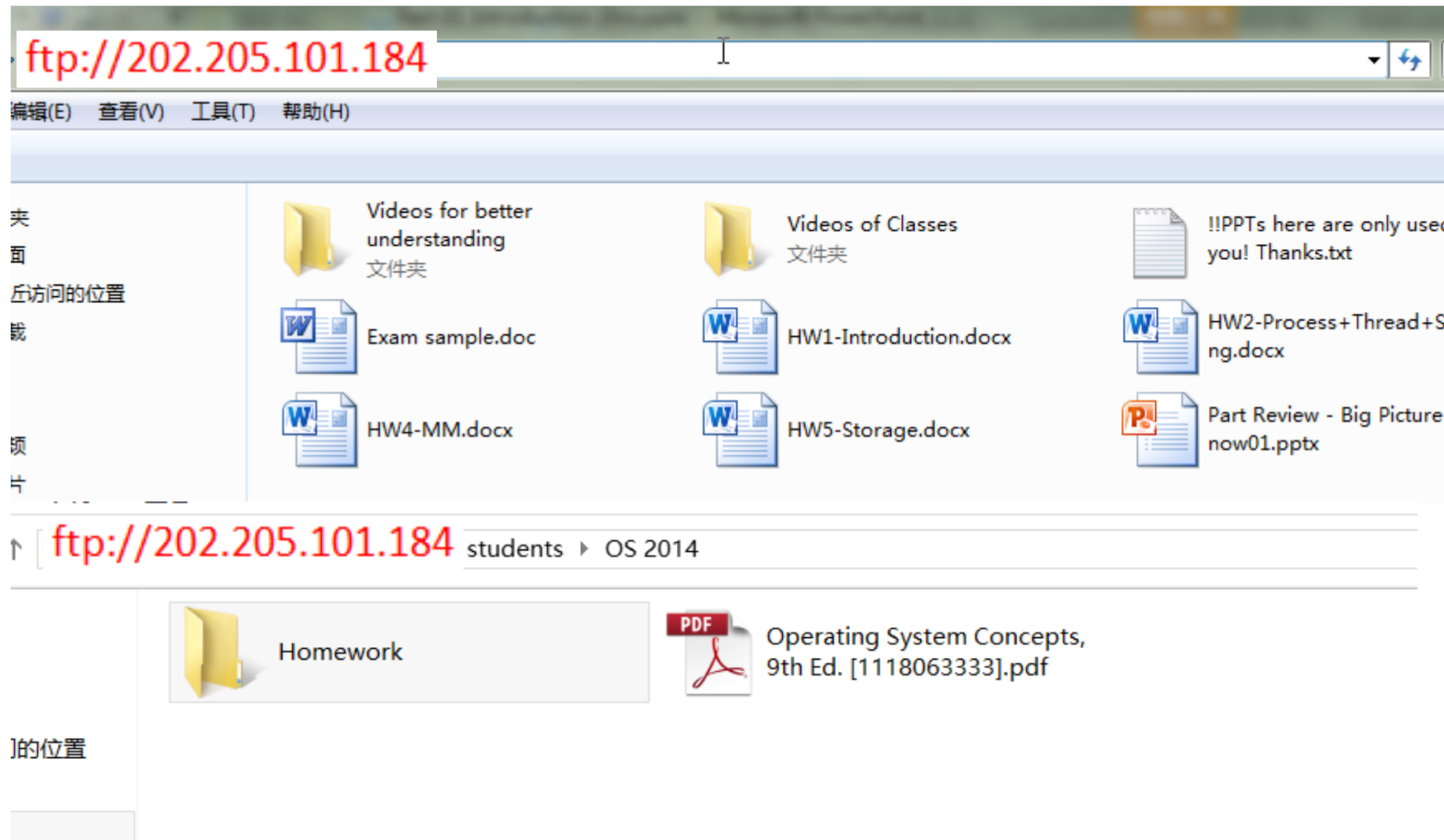
工具



- You can find me here:
Yifu Building, West Wing **811**
School of Software Engineering,
Beijing JiaoTong University (BJTU), Hai Dian District
Beijing 100044, China
- Or email me:
 • mlinking@126.com
- Our FTP:
 - <ftp://202.205.101.184/>
Usr & Psd: students
- Tel:
 - 15010255486

I hope you remember our FTP server!



- <ftp://202.205.101.184>
– students



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Reform!

- This course contains two separate parts (credits too)
 - Lecture part  My responsibility
 - 32 hours for **8 weeks** from 1st (28 Feb) week to 8th week (18 April)
 - Two classes per week (both are at YF307)
 - Tue 16:20 ~ 18:10
 - Fri: 10:10 ~ 12:00
 - Training part  My responsibility
 - 64 hours for **16 weeks** from 1st week to 16th week (14 Jun)
 - One afternoon per week (at YF408)
 - Wed 14:10 ~ 18:10
 - 3 checkpoints from OS (6 in total)
 - Process synchronization
 - Main Memory
 - IO + File
 - 2 training projects

Examination for Lecture part

- **100 pts**
 - **Final exam (50)**
 - 2 hrs, close
 - Blank filling question, T/F, Multi-choice, Explanation, Short answer, Computation, Programming etc.
 - **5 assignments (40)**
 - 5 Homeworks
 - **Bonus (10)**
 - **5 random** checks for your attendance

How to earn the bonus?

- **Attend all the classes** (5 random checks)
- Help me to ameliorate this course
 - Good advices
 - To find some special pictures (Norman E. Gibbs)
 - To download the similar courses (in English) with better slides & materials (say why)
 - etc.

Examination for Training part

- **100 pts**

- **Bonus (10)**

- 5 chances

- **Basic checkpoints (40)**

1. File + Index for large data management
2. Simulate multiple users
3. Try a Syntax parsing example
4. Debug HyperSQL

- **1 final Web-based application project (30)**

- **Java/JSP+HyperSQL** or C/C++ - R.D.I.P

- **Advanced checkpoints (40)**

- Understanding [transaction mechanism]/[SQL execution] in HyperSQL

Operating system Part I Introduction

more details
later in
practice
part

HyperSQL

- <http://www.hsqldb.org/web/hsqlPerformance.html>
 - HyperSQL has multiple deployment and persistence options which influence its performance.
 - The table type, **MEMORY**, **CACHED** or **TEXT**, indicates how the table row data is stored and accessed by the database engine.
 - HyperSQL supports **MVCC** (Multi-Version Concurrency Control) and **two phased locking** transaction models.
 - HSQldb is the only SQL open source database that supports a dedicated **LOB** (Large Object) store.
 - ...

NO CHEATING!



**Even FAILING is better than
CHEATING !**

YOUR RESPONSIBILITIES!

- **ATTEND ALL THE CLASSES!!!**



YOUR RESPONSIBILITIES!

- **CLOSE YOUR LAPTOP** IF YOU COULD NOT ANSWER MY **IN-CLASS** QUESTIONS

<http://stay-away-from-the-internet-s-impossible-funny-cartoon-fun-12-no-p.org/?q=keep%20away%20from%20computer%20cartoon|||>



The Joy of Tech™

by Nitrozac & Snaggy



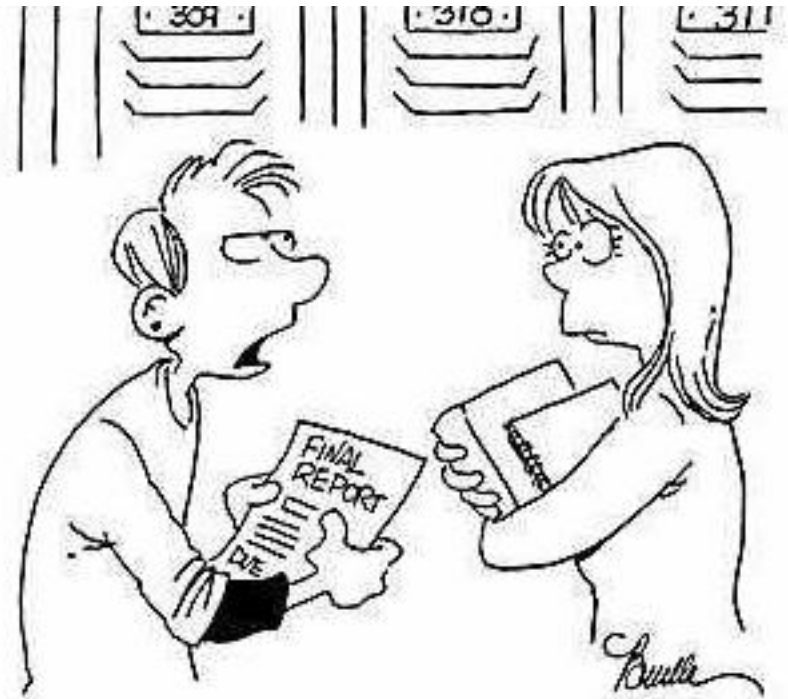
Signs of the social networking times.

©2007 Geek Culture

joyoftech.com

YOUR RESPONSIBILITIES!

- Hand in your **assignments** on time
- **Uphold** academic **honesty**



"I don't know what plagiarizing is, so I'm gonna take the easy way out and just copy something off the internet."

Help Available

- **Me:**
 - **Come to my office** (when necessary)
 - Always available by email, if I don't reply in 24 hours, send again and complain 🏖️
- **Your classmates**
 - Two heads are always better than one [三人行必有我师]
- **Web site & FTP server:**
 - **Most** goes on the web, you should visit it often
 - <ftp://202.205.101.168>

To see or not to see me

- We are not psychics



Please let us know if...

- Class is too hard
- You don't have the background
- Class can be improved in certain ways
-

When in doubt, email me ...

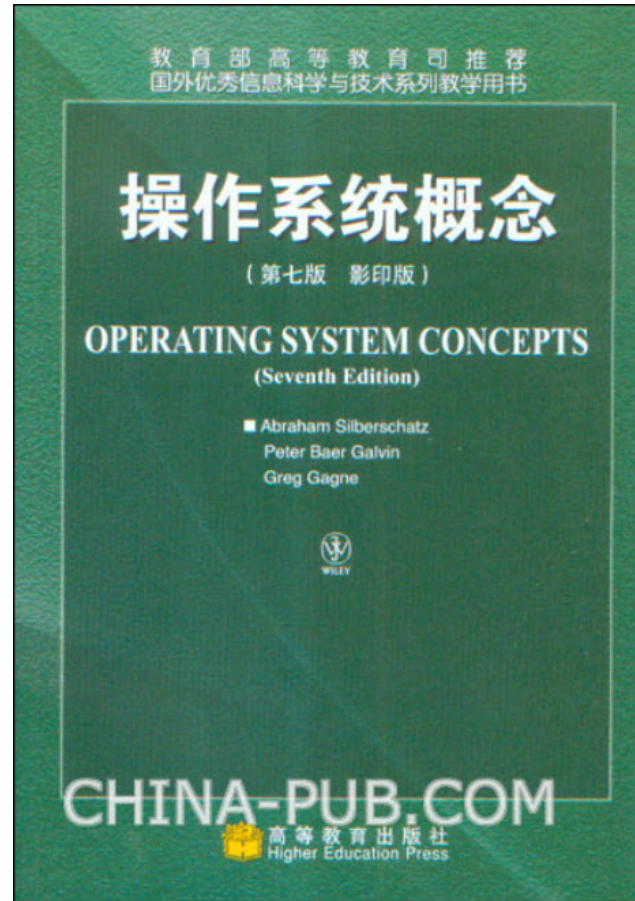
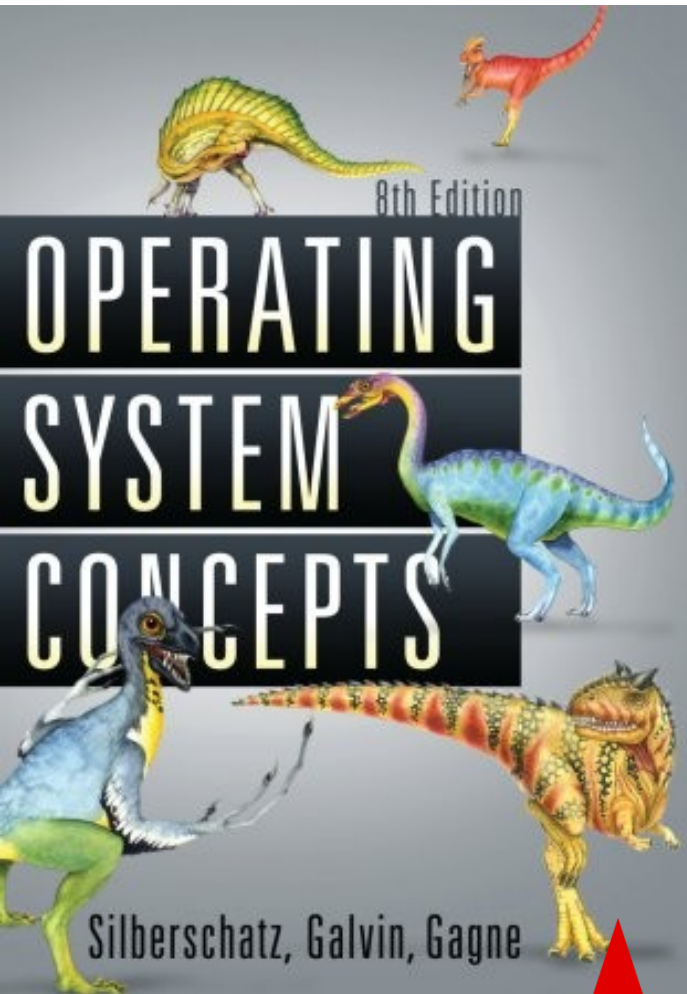
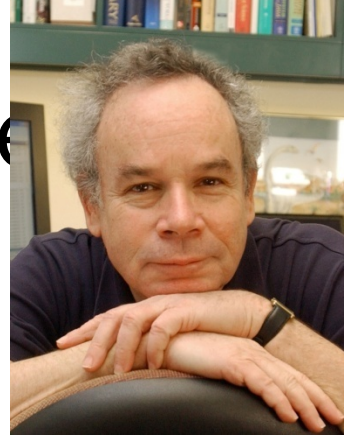
If you behavior well, you could enjoy the study and life here 🏖️!



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“OS Concepts” by Silberschatz, et



A. Silberschatz, P.
B. Galvin, and G.
Gagne,
**“Operating
System
Concepts
(with Java)”**,
8th Edition,
John Wiley & Sons,
2008.

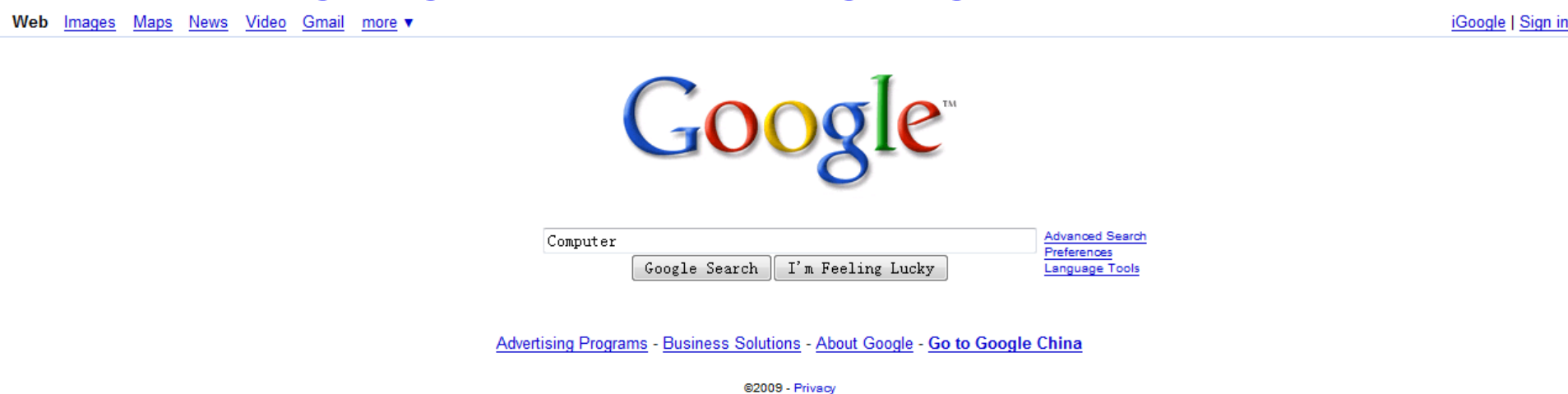
<http://cs-www.cs.yale.edu/courses/avi/os-book/index.html>

Operating system Part I Introduction

Web resources

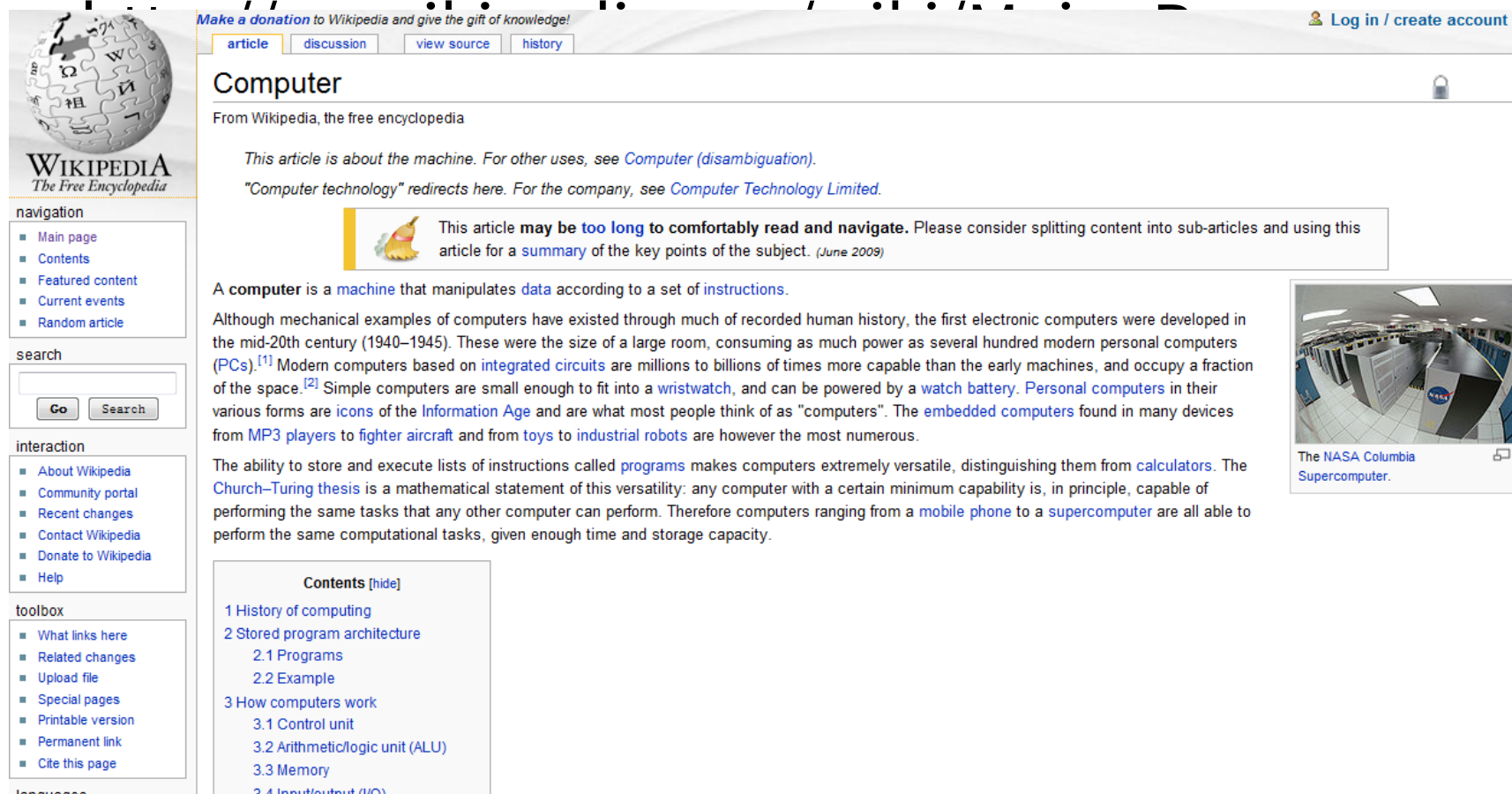
- Internet is always the source of rich information: Google

– www.google.com, www.google.com.hk



Web resources

- Internet is always the source of rich information



The screenshot shows the Wikipedia article for "Computer". At the top, there's a navigation bar with links like "article", "discussion", "view source", and "history". Below this, the title "Computer" is displayed, followed by the text "From Wikipedia, the free encyclopedia". A notice states: "This article is about the machine. For other uses, see [Computer \(disambiguation\)](#)." Another notice says: "'Computer technology' redirects here. For the company, see [Computer Technology Limited](#)." A yellow box with a warning icon says: "This article **may be too long to comfortably read and navigate**. Please consider splitting content into sub-articles and using this article for a [summary](#) of the key points of the subject. (June 2009)". The main text begins with "A **computer** is a [machine](#) that manipulates [data](#) according to a set of [instructions](#)." It then discusses the history of computers, from mechanical examples in the mid-20th century to modern electronic computers based on integrated circuits. It mentions that modern computers are millions to billions of times more capable than early machines and occupy a fraction of the space. It also notes that simple computers are small enough to fit into a wristwatch and can be powered by a watch battery. Personal computers are described as icons of the Information Age, and embedded computers are found in many devices from MP3 players to fighter aircraft and from toys to industrial robots. The text concludes by stating that the ability to store and execute lists of instructions called programs makes computers extremely versatile, distinguishing them from calculators. The Church-Turing thesis is mentioned as a mathematical statement of this versatility, stating that any computer with a certain minimum capability is, in principle, capable of performing the same tasks that any other computer can perform. Therefore, computers ranging from a mobile phone to a supercomputer are all able to perform the same computational tasks, given enough time and storage capacity. On the right side, there is an image of the NASA Columbia Supercomputer with the caption "The NASA Columbia Supercomputer." The left sidebar contains navigation links such as "Main page", "Contents", "Featured content", "Current events", "Random article", "About Wikipedia", "Community portal", "Recent changes", "Contact Wikipedia", "Donate to Wikipedia", "Help", "What links here", "Related changes", "Upload file", "Special pages", "Printable version", "Permanent link", and "Cite this page".



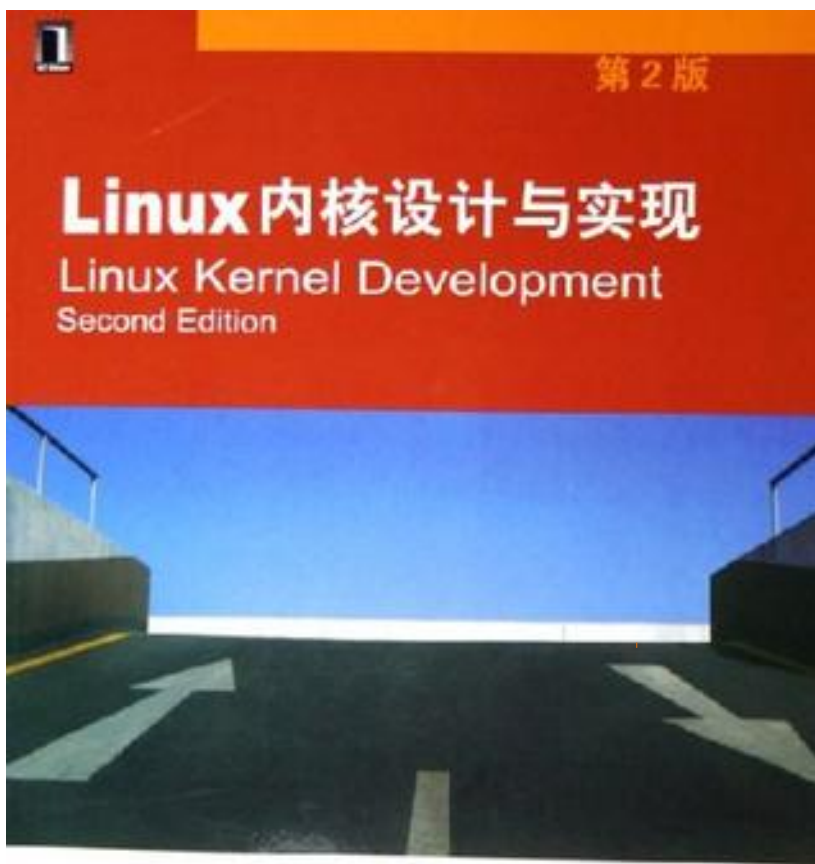
Try to know the
evil details to
construct OS –
have to control
the devices!

- 出版社：电子工业出版社
- 出版年：2009-6
- 页数：469
- 定价：69.00 元
- 装 式：三 装

Read it
as you
want

334423

m/subject/373564



- Linux 内核设计与实现

- 作者：拉芙
- 出版社：机械工业
- 出版年：2006-1
- 页数：289
- 定价：38.00 元
- ISBN: 9787111178651

Read it
as you
want

m/subject/150381

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Do you know the top goals of this S.S.E for you?
你们知道我们对你们的期望吗？

- **BECOME MORE CIVILIZED!**

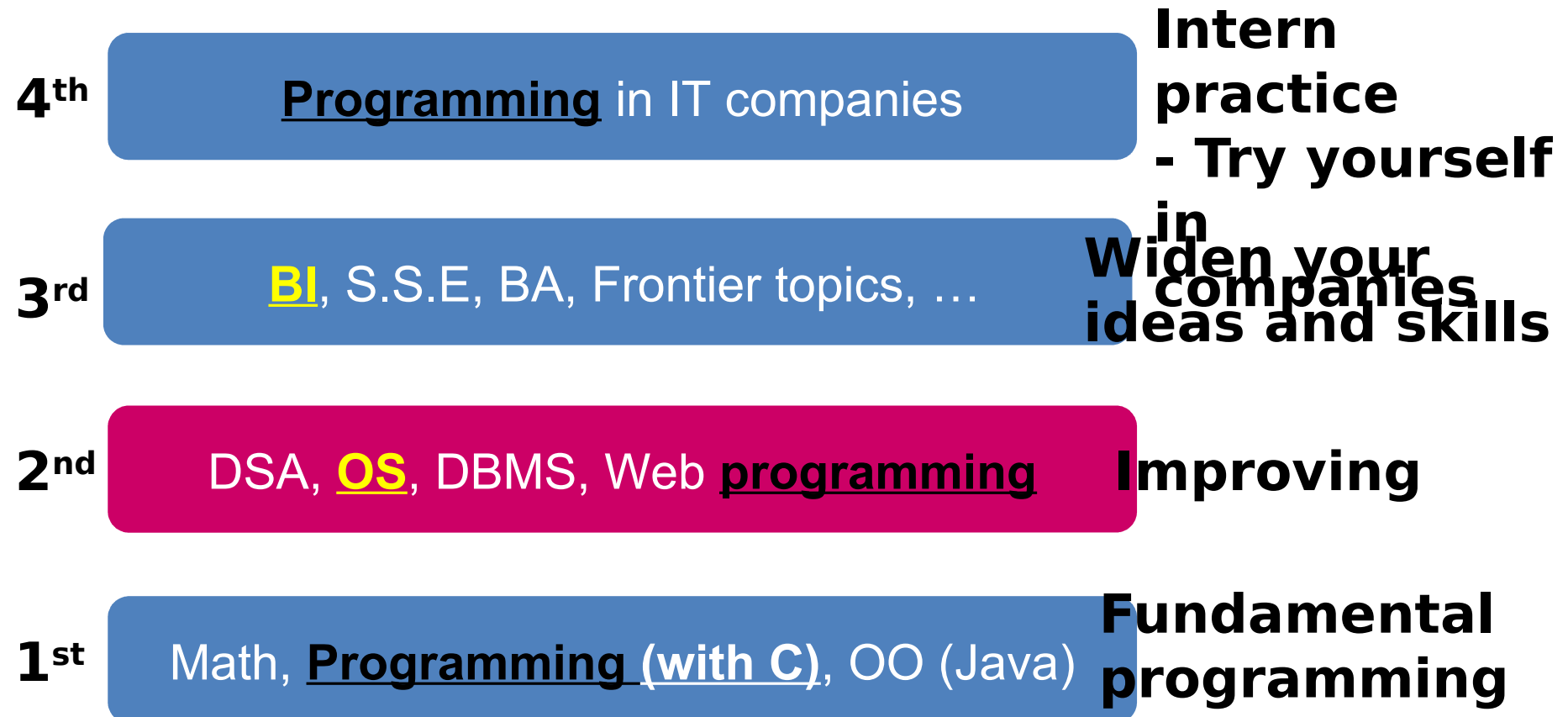
- Polite, enjoying nature, good habits, . . .



Do you know the top goals of this S.S.E?

你们知道我们对你们的期望吗？

- **SHOWING YOU SOME LIFE-MAKING SKILLS (PROGRAMMING IS ONE)!**



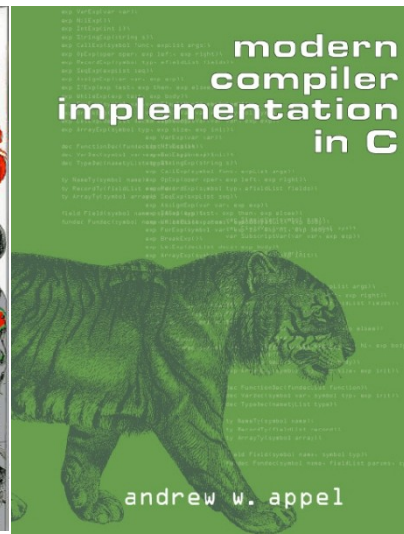
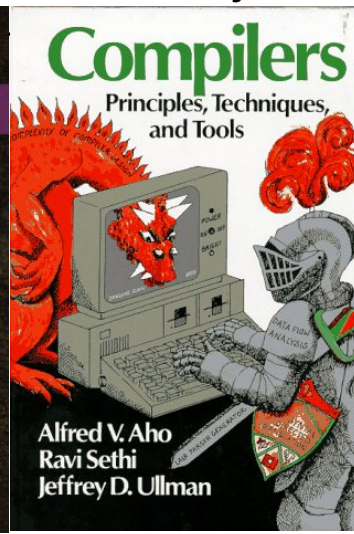
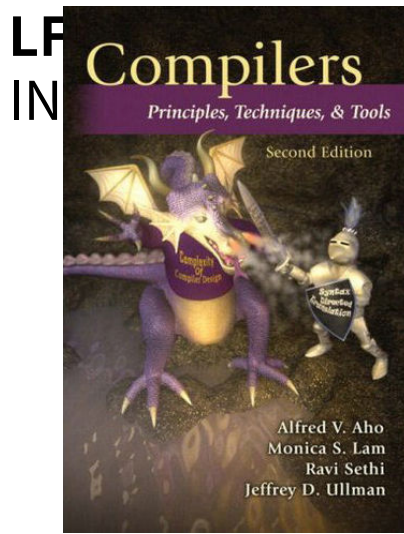
- The understanding of the design and implementation of those classic softwares is helpful for us to improve our programming skills
 - OS is one!

- Many problems are likely to cope with
 - Even you seems to be facing facts

in a little
ambitious to
cover some
concepts of
those three
classics in
practice part

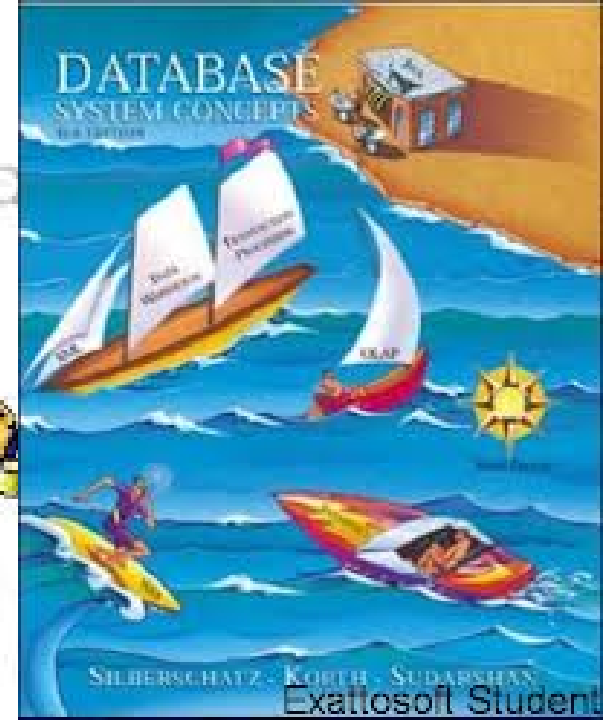
Learning from classics!

- OS is one of the three classic softwares/programs for Computer Science
 - Compiler
 - Translator to convert source code into executable code
 - EXE is popular for Windows
 - **a.out** （ assembler and link editor output 汇编器和链接编辑器的输出）、**COFF** （ Common Object File Format 通用对象文件格式）、**E** for UNIX/L



Learning from classics!

- OS is one of the three classic softwares/programs for Computer Science
 - **DBMS** (Database Management System)
 - An important software to ease the management of the mass data for users



You'd be familiar with this

Computer
System

Hardware

CPU

ALU

CU

Memory

I/O

Software

System

software

OS

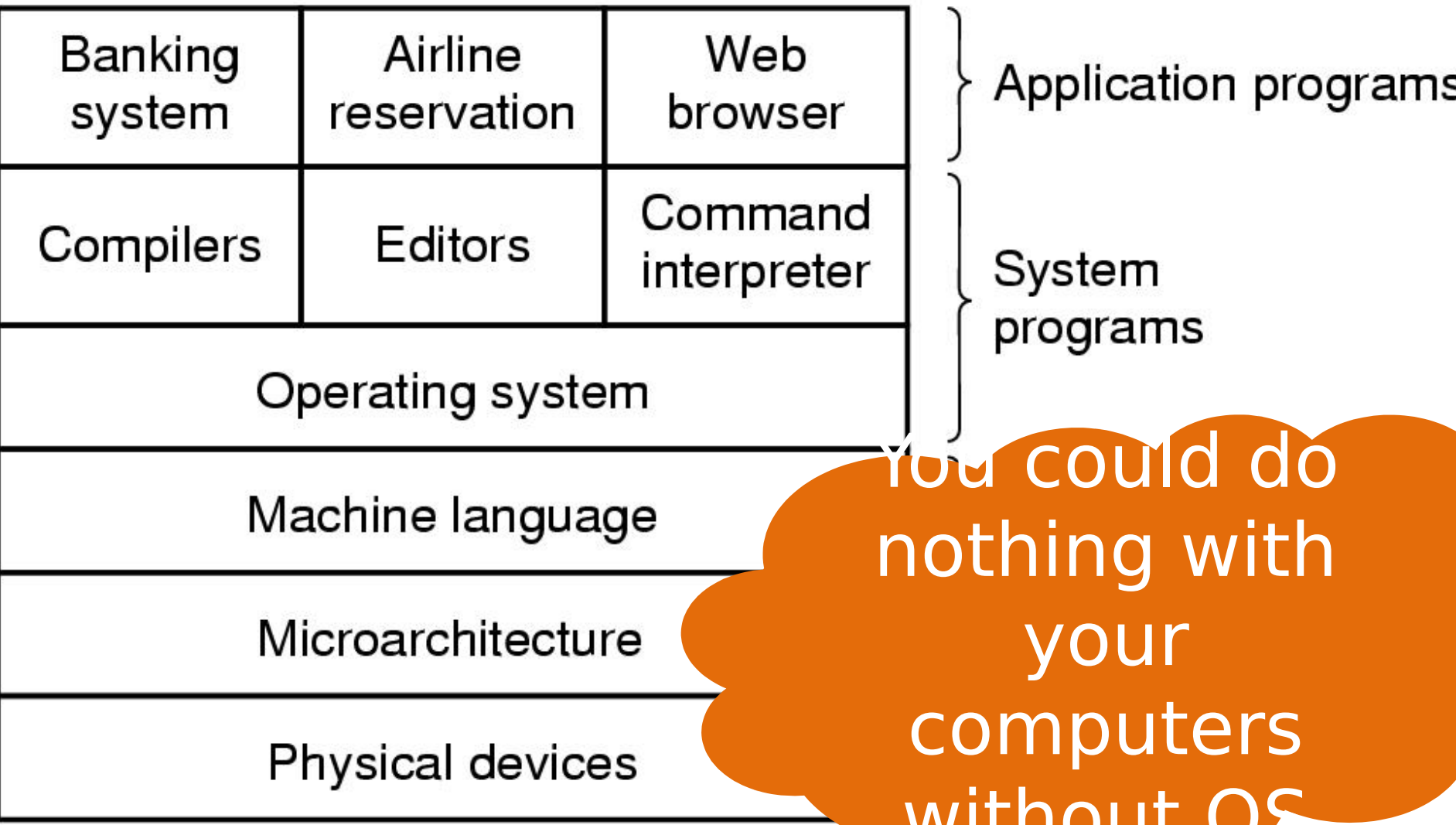
Utilitie

s
Driver

Application
software

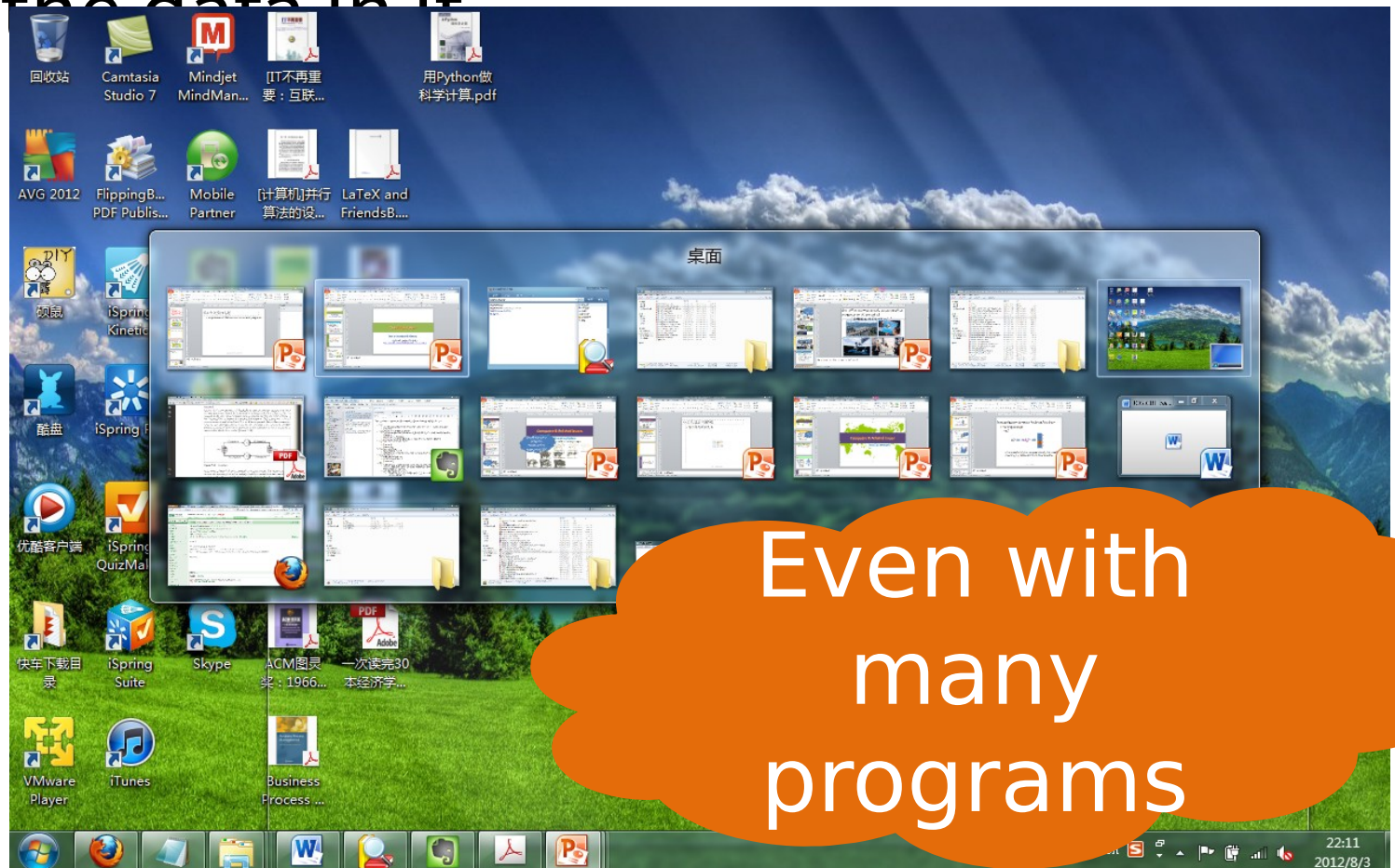
s
Document
Spreadshee
t
Database
Software
Graphics

OS as an **INTERFACE** between Hardware and other softwares



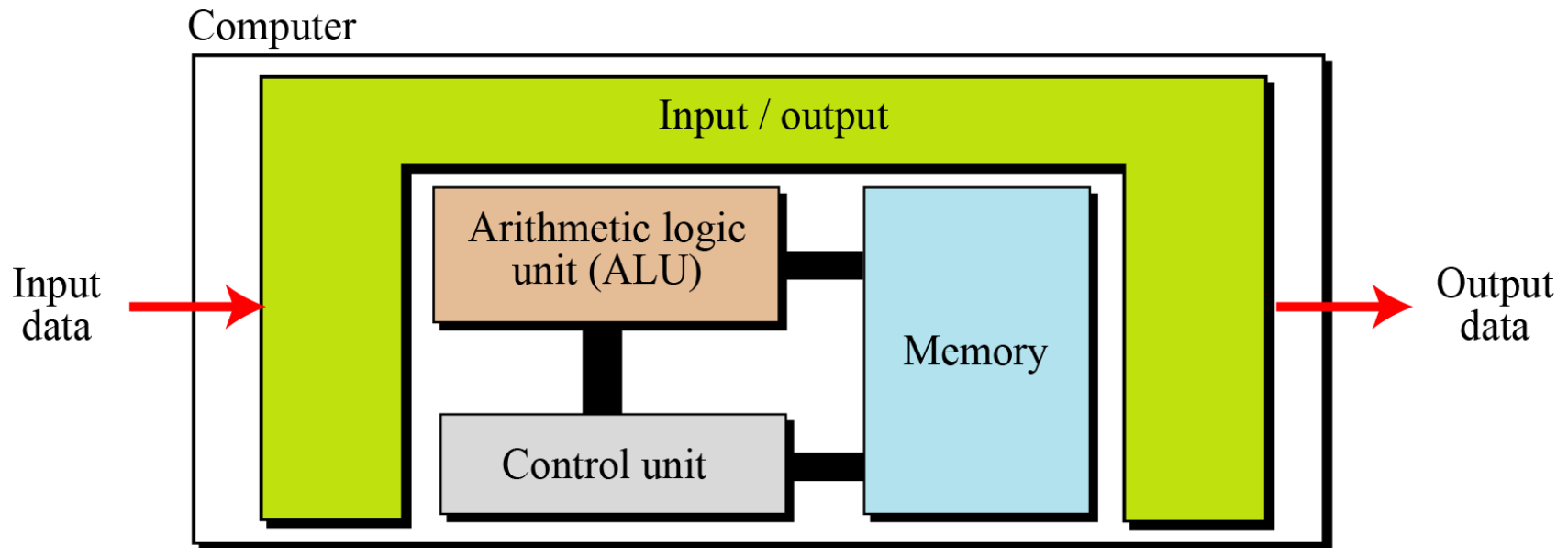
You may have known

- The **POWER** of the modern computers is that you could execute your programs to process the data in it



And you also have known

- **von Neumann architecture (1:1:M)** is the basis of modern computers
 - **1** CPU
 - **1** addressed space
 - **M**any IO devices



And many facts



- A program exists first as **FILE** in **HARD DISK (OR CD, U-DISK ETC.)**
 - How to represent file (no matter your program or data – image, statistical data, audio, text, ...) in the HD?
 - We should know the HD space and ? – sectors, tracks, ...
- Instructions and Data should be stored in **MAIN MEMORY** to run
 - We should know the used and available space of MM
 - How to allocate space for a program?
- **ONE CPU**, One program
 - Put the starting instruction into corresponding registers
 - You’ ve learned this in a course named like “Computer Organization”



Situation of running a program

Programs are stored as Files in storage media

Program

```
X=1;  
Y= 2;  
Z= 3;
```

File

execute instructions serially in CPU



Compiler
[编译器]

Machine code

```
156C 000101010110  
1100  
166D 0001011100110  
1101  
5056 01010000010  
0110  
306E 0011000001  
1110
```

Executable codes also stored as Files

File

Put executable codes into the memory of the computer



1	6C
2	6D
	6E
15	A0
6C	A1
16	A2
6D	A3
50	A4
56	A5
30	A6
6E	A7
C0	A8
00	A9

Instructions are mapped into the address space of

However, you may not know

- How are those programs automaticall
y carried out in a von Neumann computer (1:1:M)?
 - If you want to run a program, you just click an icon or a menu item.
 - How is this carried out?
- The system software for this task is called
OS (Operating System)
 - Also a collection of many programs

Short conclusion

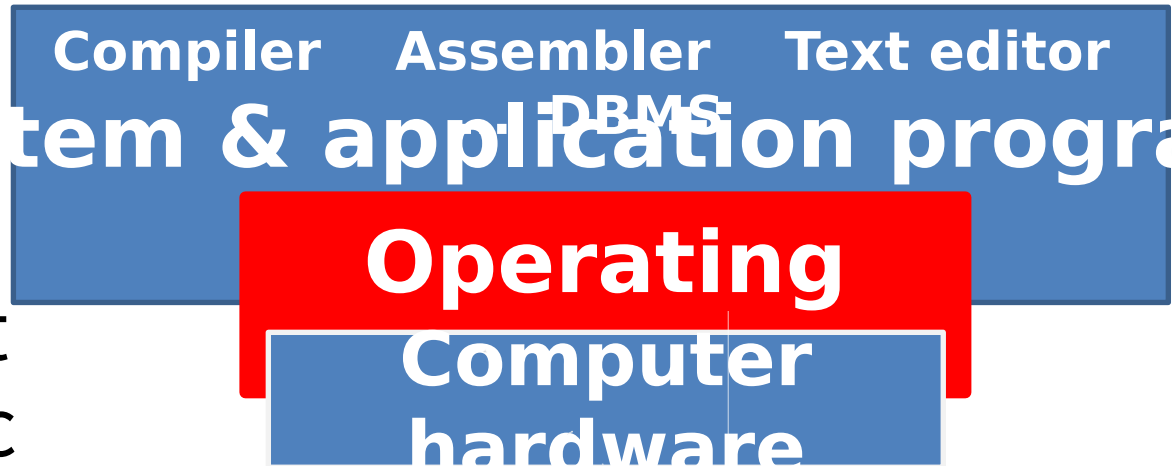
- Programming is the fundamental skill for CS/SE students
- **To learn the construction of the OS, one classic software, definitely is worth**
 - **Many complicated problems you should consider carefully to process**
- Ⓢ This is the way to understand the theories, and improve your skills
 - No matter for math, physics, literature, astronomy, etc.

Introduction

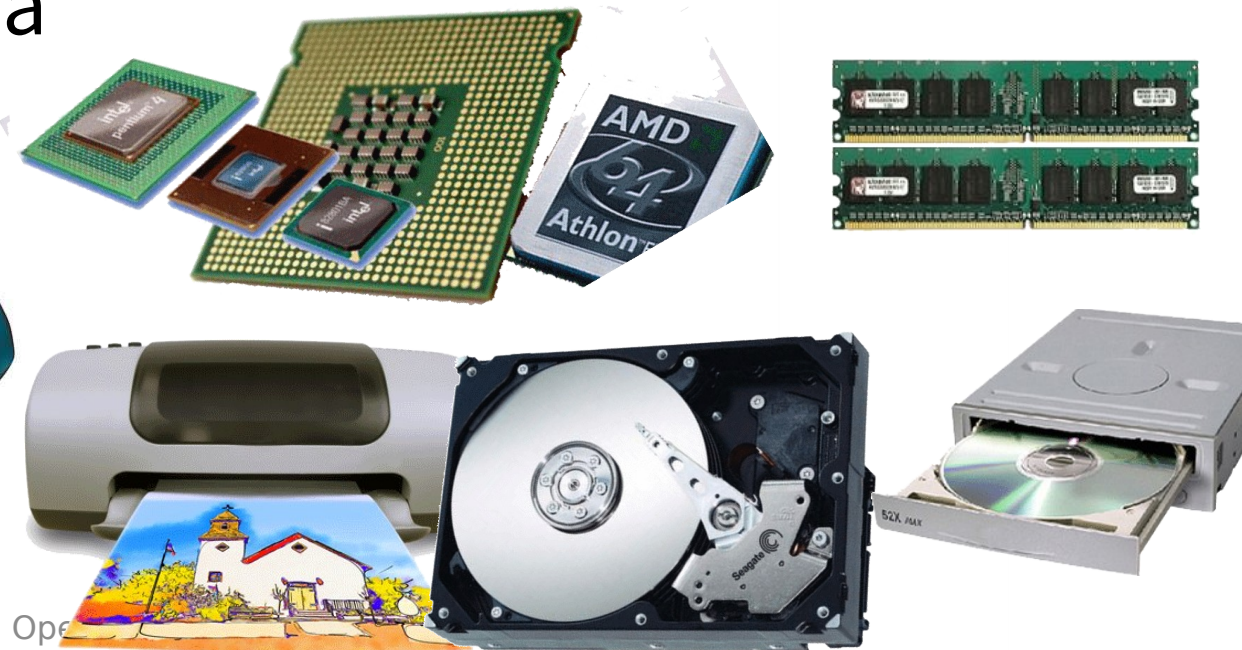
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2 roles of OS

- Efficient and safe **manager** for the resources [Resource Manager] to support the execution of concurrent programs

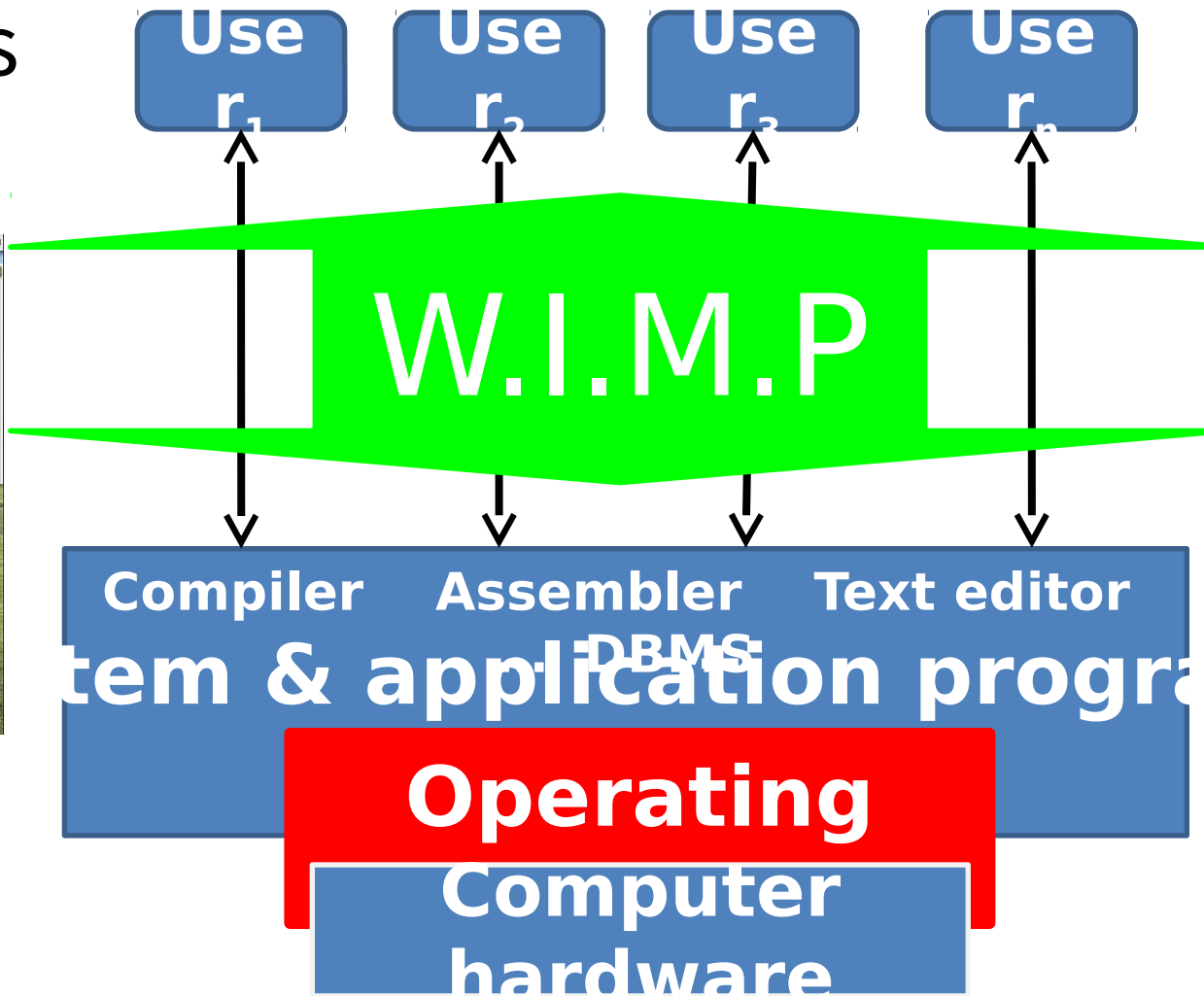
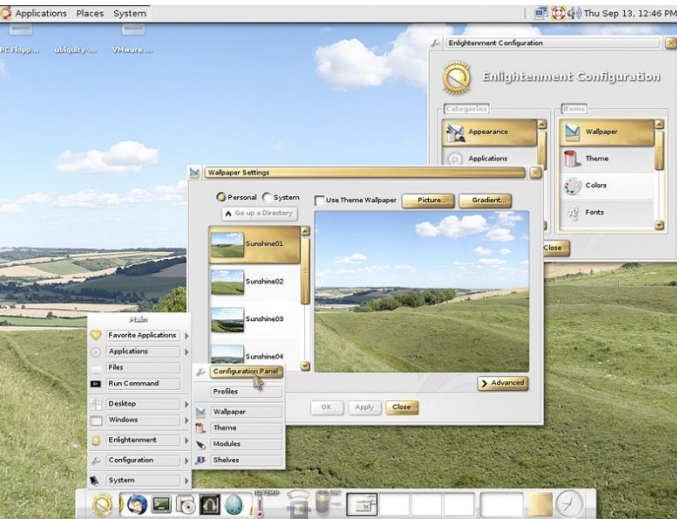


- Storage media
 - I/O device
- Memory



2 roles of OS

- Friendly **interface** for the users
 - Files, GUI



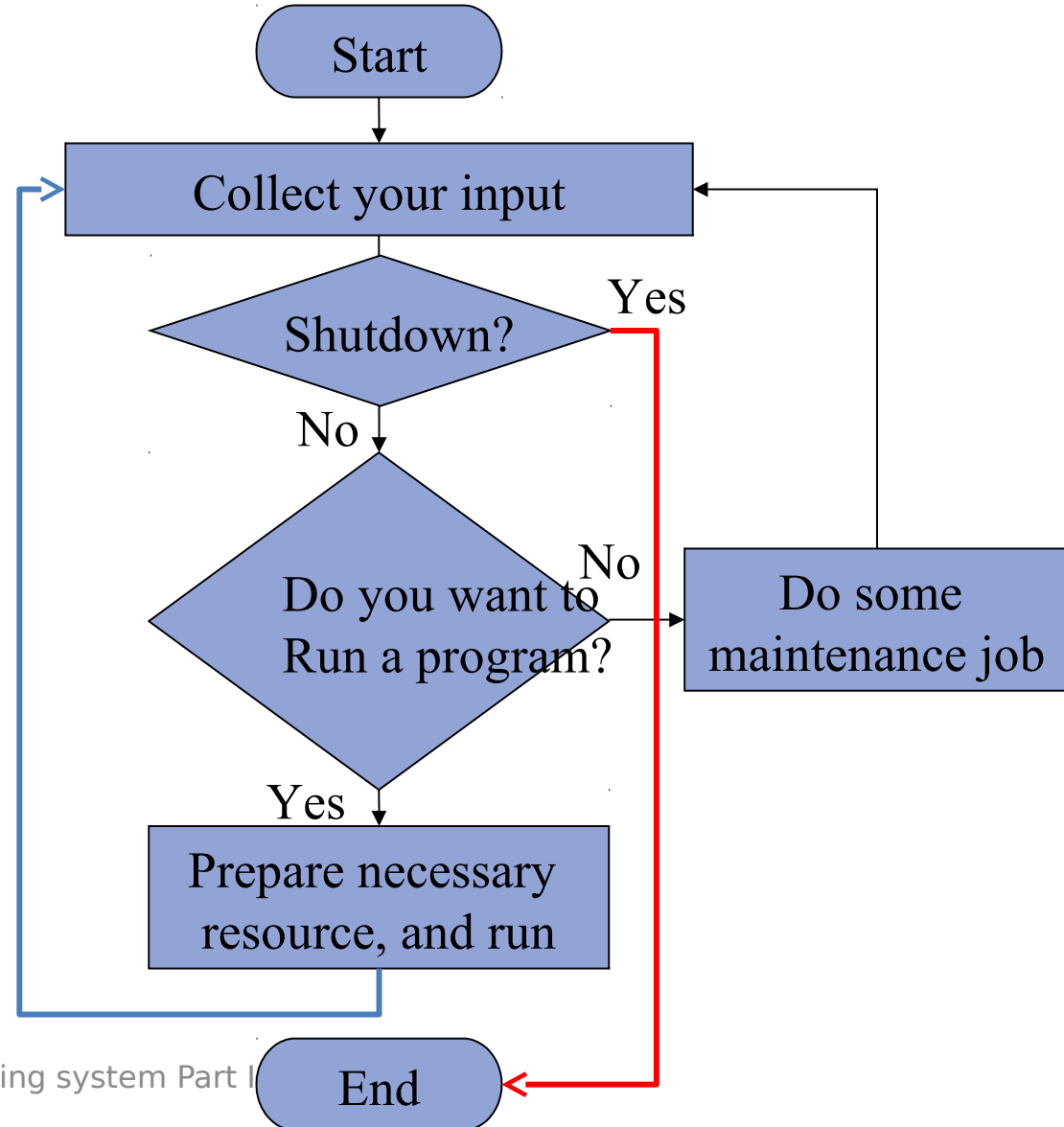
http://en.wikipedia.org/wiki/WIMP_%28computing%29

- So the questions are
 - How to control devices through software?
 - Digital logic, Computer organization, ...
 - How exactly is the OS constructed to support the execution of many concurrent programs?
 - We should analyze the problems the OS should consider first!
 - We'll learn this in later chapters

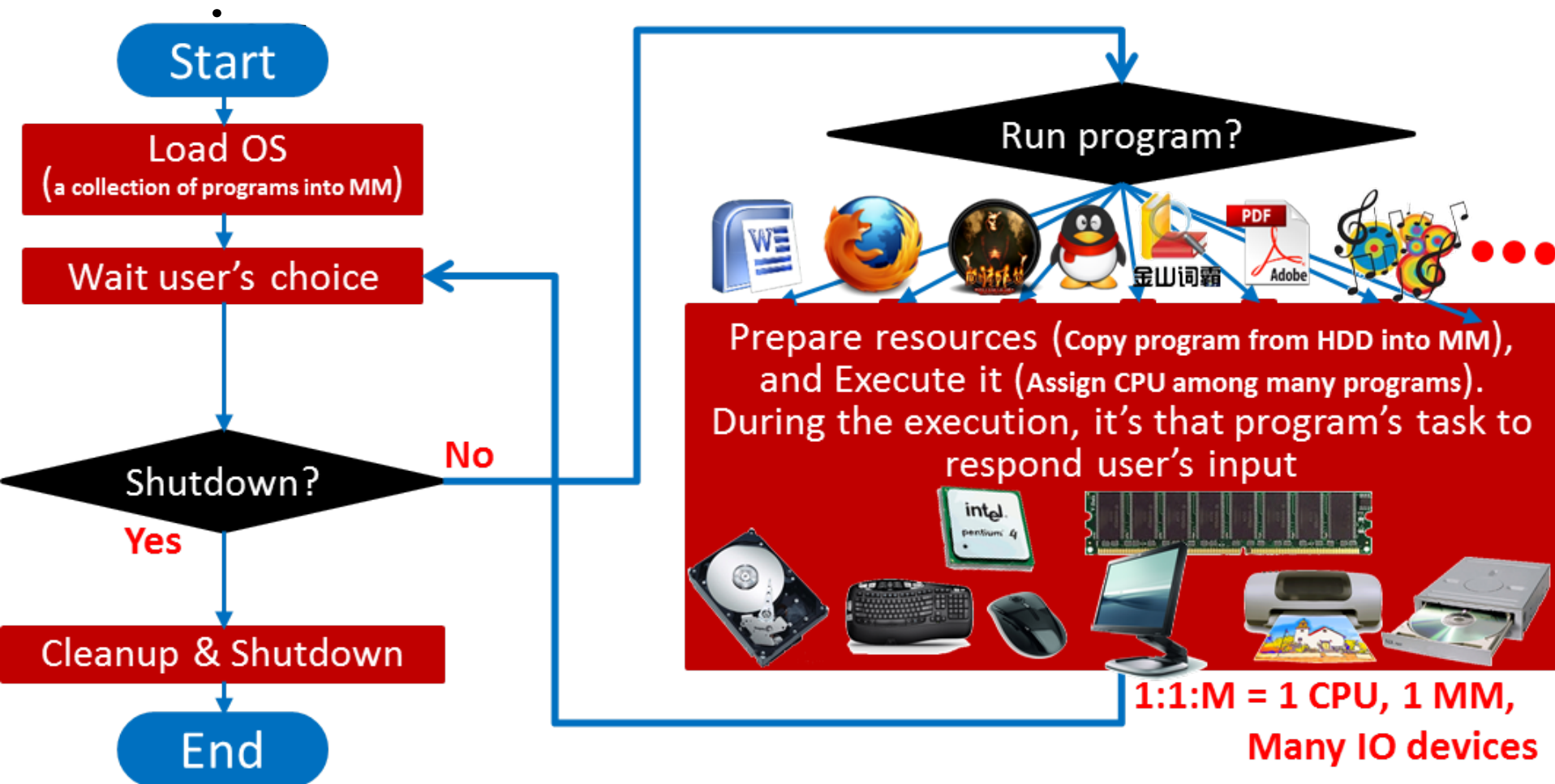
A simple view for the OS

- The first understanding of OS is that
 - We could see OS as an **REPETITION** program structure
- It seems

Flow chart:

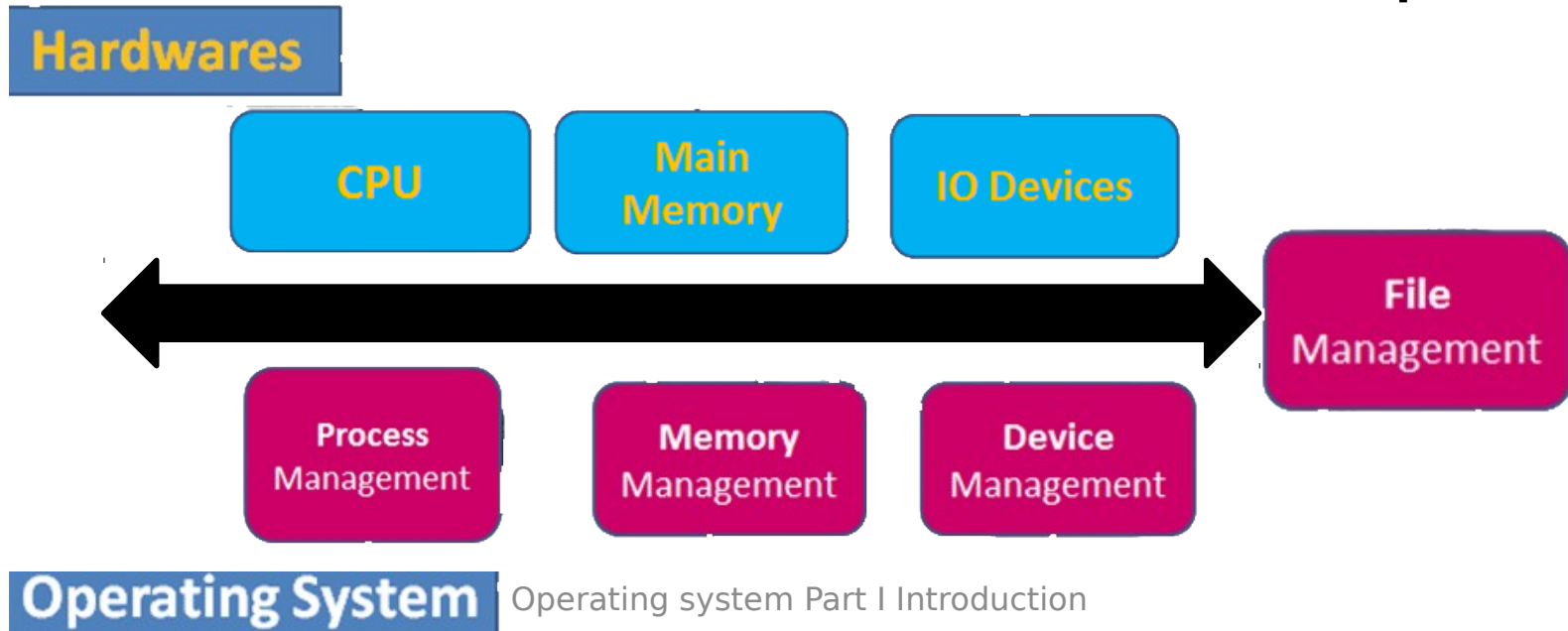


- A more detailed diagram looks like as follo



How do you think about the internal relationship of those programs in OS?

- We have known the kernel resources of modern computers could be categorized into 4 kinds of resources / concepts
 - **CPU, Main Memory, Hard Disk, File**
- Modern OS has 4 fundamental component



And according to the understanding of the execution of a program

- The OS should know first where your program is stored.
- Then OS should copy the instructions and the data into MM
- After the copy finishes, OS assigns CPU to your program to run

mapping

2: File

HDD

Mapping

1: File

MM

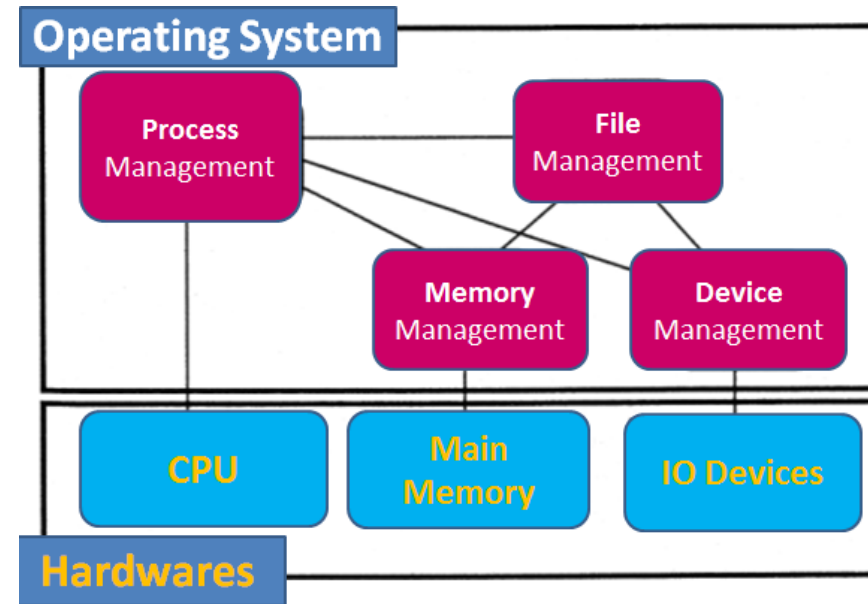
Memory

Execution

Scheduling
CPU


Management

- And we can infer the **FILE** concept is the hinge to combine them as a whole
 - Programs (including those of OS' s) exist as FILEs, which are stored in secondary storage media first, HDD, CD, etc.
 - The identification used to indicate the execution of a program is based on FILE concept
 - Copying programs into MM to run is also symbolized with FILE



OS is far complicated than those simple view

- The core and hint to understand OS' s complexity is
 - How to support the concurrent execution of many programs with limited resources (von Neumann architecture)
 - The troubles leading to OS' s this!
 - I hope you could remember this to connect all the pieces together

An orange cloud-shaped graphic with a soft, irregular border, containing white text.

We'll talk
about this
more in next
class

You can benefit from this course

- Many advanced softwares are benefitting from the D&I of OS
 - There are many softwares/servers which are the basis of our IT age, such as **DBMS**, **web server**, **FTP server**, **email server**, **SAN** (Storage Area Network), **Big Data** (Hadoop + Spark), and all of them need support of OS

