# Problem: Compute Runs/Repetitions Without Global Data Structures

#### Bill Smyth

Algorithms Research Group, Department of Computing & Software McMaster University, Hamilton, Canada

School of Engineering & Information Technology, Murdoch University, Perth, Australia

Algorithm Design Group, Department of Informatics King's College London

email: smyth@mcmaster.ca

IWOCA 2014, 15-17 October 2014

# Runs/Repetitions

The string

$$\mathbf{x} = c \quad g \quad c \quad c \quad g \quad c \quad g \quad c \quad g$$
 (1)

has runs (maximal periodicities)  $c^2$  (twice), cgcgc,  $(cgc)^2$ , and  $(cgccg)^2$ . All of these are repetitions except cgcgc, which defines two more repetitions  $(cg)^2$  and  $(gc)^2$ .

In general, every repetition is a substring of some run; thus computing all the runs implicitly computes all the repetitions.

# **Computing Runs**

Runs can be computed in linear time [KK00], but only using global data structures (suffix tree, suffix array, etc.) that depend on ordering suffixes of the string.

## Why??? Runs are

- sparse [PS08]: 0.25n expected for DNA, 0.01n expected for English text;
- local: generally confined to small substrings;
- unordered: unaffected by the ordering of the alphabet.

Why can't we compute runs more easily??? It would make an orders of magnitude difference in the time and space requirments.

We need to understand more about the combinatorics of squares, especially "double squares".

# Double Square I

#### Definition

If  $\mathbf{x} = \mathbf{v}^2$  has a proper prefix  $\mathbf{u}^2$ , u < v < 2u, we say that  $\mathbf{x}$  is a double square and write it  $\mathbf{x} = DS(\mathbf{u}, \mathbf{v})$ .

# Lemma (Old NPL [FSS05, FPST06])

Let  $\mathbf{x} = DS(\mathbf{u}, \mathbf{v})$ , where  $\mathbf{u}$  has no square prefix and  $\mathbf{v}$  is not a repetition. Then for all integers k and w such that  $0 \le k < v - u < w < v$  and  $w \ne u$ ,  $\mathbf{x}[k+1..k+2w]$  is not a square.

# Lemma (New NPL [BFS14])

Consider a double square  $DS(\mathbf{u}, \mathbf{v}) = (\mathbf{u}_1, \mathbf{u}_2, e_1, e_2)$ . If  $\mathbf{w}^2$  is a proper substring of  $\mathbf{v}^2$ , then either

- (a) w < u, or
- (b)  $u \le w < v$  and the smallest generator of  $\mathbf{w}$  is a conjugate (rotation) of  $\mathbf{u}_1$ .

## DS II

Table: Structure of  $\mathbf{x}$  for subcases  $S \in 1..14$ :  $\sigma$  is the largest alphabet size consistent with u, v, k, w [FFSS12];  $\mathbf{d}$ ,  $\mathbf{d_1}$  and  $\mathbf{d_3}$  are prefixes of  $\mathbf{x}$  with  $d = \gcd(u, v, w)$ ,  $d_1 = \gcd(u-w, v-u)$ ,  $d_2 = \gcd(u, v-w)$ ,  $d_3 = v \mod d_2$ .

Subcases S	Conditions	Breakdown of <b>x</b>
1, 2, 5, 6, 8–10	$(\forall \mathbf{x}, \sigma = d)$	$\mathbf{x} = \mathbf{d}^{x/d}$
3, 4, 7	(∀x) specified cases	$\mathbf{x} = \mathbf{d_1}^{u/d_1} \mathbf{d_1}^{v/d_1} \mathbf{d_1}^{(v-u)/d_1}$ $\mathbf{x} = \mathbf{d}^{x/d}$
11–14	$\sigma = d$ or $d_2 \le 2u - v$ otherwise	$\mathbf{x} = \mathbf{d}^{ extsf{x}/d} \ \mathbf{x} = \left( (\mathbf{d_3}^{d_2/d_3})^{ extsf{v}/d_2}  ight)^2$

## DS II

# Lemma (S07,KS12,FFSS12,BS14)

Suppose that in  $\mathbf{x} = DS(\mathbf{u}, \mathbf{v})$ , 3u/2 < v < 2u,  $\mathbf{w}^2$  occurs at  $\mathbf{x}[k+1]$ , where  $0 \le k < v-u < w < v$ ,  $w \ne u$ . Then for each of the 14 subcases, the corresponding structure of  $\mathbf{x}$  is given in the above table.

#### Note:

- ▶ The constraints on **u** and **v** are gone!
- ▶ In every case the assumption that w<sup>2</sup> exists forces a breakdown into runs of small period, whose generator (d, d<sub>1</sub> or d<sub>3</sub>) is a prefix of x; in all but a few instances (subsubcases of 3,4,7), x is a single repetition of small period.
- ▶ This is Structure! What do we do with it?

# DS III: The Magical L-Root

It took only a page [BIINTT14] for six Japanese mathematicians to show that  $\rho(n) \leq n-1$ , a problem that dozens of smart people had been working on for 15 years.

#### Definition

Consider the two orderings of  $\Sigma = \{c, g\}$ :

- ▶ F (Forward): c < g</p>
- ▶ B (Backward): g < c</p>

and the associated lexicographic (dictionary) orderings F and B of strings  $\mathbf{x}$  on  $\Sigma$ . Then a primitive string  $\mathbf{x}$  on  $\Sigma$  is a Lyndon word  $L_F$  (respectively,  $L_B$ ) if it is the (unique) least in F-order (respectively, B-order) over all rotations  $R_j(\mathbf{x})$ ,  $1 \leq j \leq n-1$ .

For example,  $\mathbf{x} = ccg$  is  $L_F$ ,  $\mathbf{y} = gcc$  is  $L_B$ ,  $\mathbf{z} = cgc$  is not a Lyndon word.

## DS III: F-Root & B-Root

#### Definition

The F-root (respectively, B-root) of a run in x is the position in x of the Lyndon word  $L_F$  (respectively,  $L_B$ ) that is conjugate to the (primitive) generator of the run and leftmost in the run, except not the run's first position.

$$\mathbf{x} = c \quad g \quad c \quad c \quad g \quad c \quad g \quad c \quad g$$

$$B \quad F$$
(2)

The F-root of run cgcgc in  $\mathbf{x} = (cgccg)^2$  is position 6, the B-root is position 5.

### DS III: L-Root

#### Definition

Suppose that a sentinel letter S > g > c is appended to x. Then the L-root of a run in x is the F-root if the run is followed by c, the B-root otherwise.

$$\mathbf{x} = \begin{matrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 \\ \mathbf{x} = c & g & c & c & g & c & c & g & \$ \\ & & & & & & & \uparrow \\ & & & & & L \end{matrix}$$
 (3)

#### Lemma

The L-roots of the runs in x are distinct!

## Corollary

$$\rho(n) \leq n-1$$
.

## DS III: L-Root Example

The runs in  $\mathbf{x} = (cgccg)^2$  are

- cc (twice, period 1)
- ► cgcgc (period 2)
- $ightharpoonup (cgc)^2$  (period 3)
- $ightharpoonup (cgccg)^2 (period 5)$

$$\mathbf{x} = c \quad g \quad c \quad c \quad g \quad c \quad g \quad s \quad 10 \quad 11$$

$$\mathbf{x} = c \quad g \quad c \quad c \quad g \quad c \quad g \quad c \quad g \quad s \quad (4)$$
periods = 3 1 5 2 1

Hey presto!

## Now What?

These very recent results give us a great deal of structural information about the behavious of squares (repeptitions) in strings: now we need to work out how to use it ...





Haoyue Bai, Frantisek Franek & W. F. Smyth, **The New Periodicity Lemma Revisited**, *Discrete Applied Math.*, submitted for publication (2014).

Hideo Bannai, Tomohiro I, Shunsuke Inenaga, Yuto Nakashima, Masayuki Takeda & Kazuya Tsuruta, **The "runs" theorem**, http://arxiv.org/abs/1406.0263 (2014).

Widmer Bland & W. F. Smyth, **Three Overlapping Squares: The General Case Characterixed & Applications**, *Theoret. Comput. Sci.*, submitted for publication (2014).

Gang Chen, Simon J. Puglisi & W. F. Smyth, Fast & practical algorithms for computing all the runs in a string, *Proc. 18th Annual Symp. Combinatorial Pattern Matching*, B. Ma & K. Zhang (eds.), Springer Lecture Notes in Computer Science, LNCS 4580, Springer-Verlag (2007) 307–315.

Maxime Crochemore, An optimal algorithm for computing all the repetitions in a word, Inform. Process. Lett. 12–5 (1981) 244–248.

Maxime Crochemore & Lucian Ilie, Maximal repetitions in strings, *J. Comput. Sys. Sci.* (2008) 796–807.









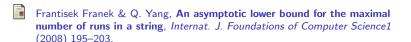
Kangmin Fan, R. J. Simpson & W. F. Smyth, **A new periodicity lemma** (preliminary version), *Proc. 16th Annual Symp. Combinatorial Pattern Matching*, Springer Lecture Notes in Computer Science, LNCS 3537, Springer-Verlag (2005) 257–265.

Martin Farach, Optimal suffix tree construction with large alphabets, Proc. 38th IEEE Symp. Found. Computer Science, IEEE Computer Society (1997) 137–143.

Aviezri S. Fraenkel & Jamie Simpson, How many squares can a string contain?, J. Combinatorial Theory, Series A82–1 (1998) 112–120.

Frantisek Franek, Robert C. G. Fuller, Jamie Simpson & W. F. Smyth, More results on overlapping squares, J. Discrete Algorithms 17 (2012) 2–8.

Frantisek Franek, R. J. Simpson & W. F. Smyth, **The maximum number of runs** in a string, *Proc. 14th Australasian Workshop on Combinatorial Algs.*, Mirka Miller & Kunsoo Park (eds.) (2003) 26–35.





Mathieu Giraud, **Asymptotic behavior of the numbers of runs and microruns**, *Inform. & Computation* 207–11 (2009) 1221–1228.

Lucian Ilie, A note on the number of squares in a word, Theoret. Comput. Sci. 380–3 (2007) 373–376.

Juha Kärkkäinen, Giovanni Manzini & Simon J. Puglisi, **Permuted longest-common-prefix array**, *Proc. 20th Annual Symp. Combinatorial Pattern Matching*, Gregory Kucherov & Esko Ukkonen (eds.), Springer Lecture Notes in
Computer Science, LNCS 5577, Springer Verlag (2009) 181–192.

Toru Kasai, Gunho Lee, Hiroki Akimura, Setsuo Arikawa & Kunsoo Park, Linear-time longest-common-prefix computation in suffix arrays and its applications, *Proc. 12th Annual Symp. Combinatorial Pattern Matching*, Amihood Amir & Gad M. Landau (eds.), Springer Lecture Notes in Computer Science, LNCS 2089, Springer-Verlag (2001) 181–192.

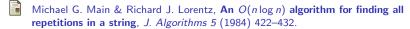
Roman Kolpakov & Gregory Kucherov, Finding maximal repetitions in a word in linear time, Proc. 40th Annual IEEE Symp. Found. Computer Science (1999) 596–604.











- Udi Manber & Gene W. Myers, Suffix array: a new method for on-line string searches, Proc. First Annual ACM-SIAM Symp. Discrete Algs. (1990) 319-327.
- Udi Manber & Gene W. Myers, Suffix array: a new method for on-line string searches, SIAM J. Computing 22–5 (1993) 935–948.
- G. Manzini, Two space saving tricks for linear time LCP computation, Proc. 9th Scandinavian Workshop on Algorithm Theory, T. Hagerup & J. Katajainen (eds.), Springer Lecture Notes in Computer Science, LNCS 3111, Springer-Verlag (2004) 372–383.
  - Wataru Matsubara, Kazuhiko Kusano, Akira Ishino, Hideo Bannai & Ayumi Shinohara, New lower bounds for the maximum number of runs in a string, PSC (2008) 140–145.



Yuta Mori, libdivsufsort: http://code.google.com/p/libdivsufsort/

Ge Nong, Sen Zhang & Wai Hong Chan, Linear time suffix array construction using D-critical substrings, Proc. 20th Annual Symp. Combinatorial Pattern Matching, Gregory Kucherov & Esko Ukkonen (eds.), Springer Lecture Notes in Computer Science, LNCS 5577, Springer-Verlag (2009) 54–67.

Simon J. Puglisi & R. J. Simpson, The expected number of runs in a word, Australasian J. Combinatorics 42 (2008) 45–54.

Simon J. Puglisi, R. J. Simpson & W. F. Smyth, How many runs can a string contain?, Theoret. Comput. Sci. 401 (2008) 165–171.

Simon J. Puglisi, W. F. Smyth & Andrew Turpin, Some restrictions on periodicity in strings, Proc. 16th Australasian Workshop on Combinatorial Algs. (2005) 263–268.

Simon J. Puglisi, W. F. Smyth & Andrew Turpin, A taxonomy of suffix array construction algorithms, ACM Computing Surveys 39–2 (2007) Article 4, 1–31.

Simon J. Puglisi & Andrew Turpin, **Space-time tradeoffs for longest-common-prefix array computation**, *Proc. 19th Internat. Symp. Algs. & Computation*, S.-H. Hong, H. Nagamochi & T. Fukunaga (eds.) (2008) 124–135.

Wojciech Rytter, The number of runs in a string: improved analysis of the linear upper bound, Proc. 23rd Symp. Theoretical Aspects of Computer Science,





R. J. Simpson, Intersecting periodic words, *Theoret. Comput. Sci. 374* (2007) 58–65.



Jamie Simpson, Modified Padovan words and the maximum number of runs in a word, Australasian J. Combinatorics 46 (2010) 129–145.



W. F. Smyth, Repetitive perhaps, but certainly not boring, *Theoret. Comput. Sci. 249–2* (2000) 343–355.



Bill Smyth, Computing Patterns in Strings, Pearson Addison-Wesley (2003) 423 pp.



W. F. Smyth, Computing periodicities in strings — a new approach, *Proc. 16th Australasian Workshop on Combinatorial Algs.* (2005) 481–488.



Esko Ukkonen, On-line construction of suffix trees, Algorithmica 14 (1995) 249–260.



Peter Weiner, Linear pattern matching algorithms, Proc. 14th Annual IEEE Symp. Switching & Automata Theory (1973) 1–11.



Jacob Ziv & Abraham Lempel, A universal algorithm for sequential data compression, *IEEE Trans. Information Theory 23* (1977) 337–343.