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eyetools: an R package for open-source analysis of eye data

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10 Abstract

- Abstract goes here
- 12 Keywords: eye-tracking; fixations; saccades; areas-of-interest

### eyetools: an R package for open-source analysis of eye data

Eye tracking is now an established and widely used technique in the behavioural sciences.

- Perhaps the scientific discipline with the most invested interest in eye-data is Psychology, where
- eye-tracking systems are now commonplace in centres of academic research. Beyond academic
- institutions, eye-tracking continues to be a useful tool in understanding consumer behaviour,
- user-interface design, and in various forms of entertainment.

By recording the movement of an individual's gaze during research studies, researchers
can quantify where and how long individual's look at particular regions of space (usually with a
focus on stimuli presented on a 2D screen, but also within 3D space). Eye-tracking provides a rich
stream of continuous data and therefore can offer powerful insights into real-time cognitive
processing. Such data allow researchers to inspect the interplay of cognitive processes such as
attention, memory, and decision making, with high temporal precision (Beesley et al., 2019).

While there are abundant uses and benefits of collecting eye-movement data in psychology 12 experiments, the continual stream of recording can lead to an overwhelming amount of raw data: 13 modern eye-trackers can record data at 1000 Hz and above, which results in 3.6 million rows of 14 data per hour. The provision of suitable computational software for data reduction and processing 15 is an important part of eye-tracking research. The companies behind eye-tracking devices offer 16 licensed software that will perform many of the necessary steps for eye-data analysis. However, 17 there are several disadvantages to using such proprietary software in a research context. Firstly, the software will typically have an ongoing license cost for continual use. Secondly, the algorithms driving the operations within such software are not readily available for inspection. Both of these important constraints mean that the use of proprietary analysis software will lead to a failure to meet the basic open-science principle of analysis reproduction, for example as set out by the UK Reproducibility Network: "We expect researchers to... make their research methods, software, outputs and data open, and available at the earliest possible point... The reproducibility of both research methods and research results ...is critical to research in certain contexts, particularly in the experimental sciences with a quantitative focus..."

In the current article we introduce a new toolkit for eye-data processing and analysis called 27 "eyetools", which takes the form of an R package. R packages (like R itself) are free to use 28 without licence and are therefore available for any user across the world. The package provides a 29 (growing) number of functions that provide an efficient and effective means to conduct basic 30 eye-data analysis. eyetools is built with academic researchers in the psychological sciences in 31 mind, though there is no reason why the package would not be effective more generally. The 32 functions within the package reflect steps in a comprehensive analysis workflow, taking the user 33 from initial handling of raw eye data, to summarising data for each period of a procedure, to the visualisation of the data in plots. We hope that the functions are simple enough to mean that the package is easy to use for researchers who are unfamiliar with working with eye data. It should also appeal to researchers accustomed to working with eye data in other environments who wish to transfer to working in R.

eyetools is, of course, not the only package in R that allows users to work with eye data. A 39 recent assessment of available packages on CRAN identified six other packages that offer relevant 40 functions for the analysis of eye data. eyeTrackr, eyelinker, and eyelinkReader, all offer 41 functionality for data only from experiments that have used 'EyeLink' trackers (S-R Research). In contrast, eyetools provides functions that are hardware-agnostic, relying on a format of data that can be achieved from any data source. The eyeRead package is designed for the analysis of eye data from reading exercises. The emov package offers a limited set of functions and is primarily designed for fixation detection, using the same dispersion method employed in eyetools. Finally, **eyetrackingR** is perhaps the most comprehensive alternative package available on CRAN. eyetrackingR offers a large suite of functionality and, like eyetools, can be applied across the entire pipeline. It has functions for cleaning data and various plotting functions, including analysis over time. It does not feature algorithms regarding the detection of events such as saccades or fixations. This limits the ability to conduct more bespoke analysis steps and it means that analysis needs to be conducted on raw data. This is disadvantageous both in terms of computing time and in the open sharing of data (event data are an order of magnitude smaller in

- size than raw data). In comparison, eyetools enables easier data processing up to data analyses,
- making core tasks in the eye data processing easier and standardised.

		Hardware-		Data	Identifies		Inferential
56	Package	agnostic	Data Impor	processing	events	Plotting	Analysis
57	eyetools	<b>✓</b>	<b>~</b> *	<b>✓</b>	<b>✓</b>	<b>✓</b>	
58	eyeTrackr		✓	<b>✓</b>	<b>✓</b>		
59	eyelinker		<b>✓</b>				
60	eyelinkReader		<b>✓</b>		<b>✓</b>	<b>✓</b>	
61	eyeRead	<b>✓</b>		<b>✓</b>	<b>*</b> **		
62	emov	<b>~</b>		<b>✓</b>	<b>✓</b>		
63	eyetrackingR	✓		<b>✓</b>		<b>~</b>	<b>~</b>

\* for Tobii data only, \*\* for text reading experiments only

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In this tutorial we demonstrate the pipeline of analysis functions within *eyetools*. The
package has been designed to be simple to use by someone with basic knowledge of data handling
and analysis in R. This tutorial is separated into five distinct sections. In the first section, we
briefly describe the basic methodology of collecting eye data in general, and in regard to the
specific dataset we use to illustrate all the functionality of the *eyetools* package. The second
section covers the process for getting data from an eye tracker into an *eyetools*-friendly format.

The third section introduces the foundational functions of the *eyetools* package, from repairing
and smoothing eye data, to calculating fixations and saccades, and detecting time spent in Areas
of Interest (AOIs). The fourth section takes the processed data, and applies basic analysis
techniques commonplace in eye data research. In the fifth and final section, we reflect on the
benefits of the *eyetools* package, including contributions to open science practices,
reproducibility, and providing clarity to eye data analysis.

## 77 Installing eyetools

eyetools is available on CRAN and can be installed with the command
install.packages("eyetools"). Instructions for installing development versions can be
found at the package repository: https://github.com/tombeesley/eyetools/. Once installed, the
package can be loaded into R with the command library(eyetools).

# Preparing data for eyetools

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Since there is a wide range of eye tracking hardware available for researchers to use,

eyetools currently offers only a limited number of functions for converting raw data from specific

hardware. The hdf5\_to\_dataframe() function is designed to work with output from PsychoPy

experiments connected to modern Tobii hardware, and will take this default format of raw data

and convert it into the simplified raw data format required for eyetools.

The *eyetools* package has been developed primarily with the analysis of experimental psychology data in mind. To this end, many of the functions expect a "trial" variable in the data, such that the algorithms will operate over multiple trials and produce output that retains this trial information. Similarly, data in psychology experiments tends to come from multiple participants, and to facilitate analysis, a participant ID column can be included (though this isn't necessary). This allows many functions to be run automatically across multiple participants (rather than running the same function on each participant's data). It is also necessary to select the relevant "periods" of data within the recording. It is quite typical in psychology experiments for there to be multiple periods within a trial, e.g., fixation; stimulus presentation; response feedback; inter-trial-interval. *eyetools* does not interpret these changes, and so it is necessary to first select the data for the period or periods that are of interest for analysis. Analysis on each period would be conducted separately using the functions in *eyetools*.

The starting point for the analysis pipeline is the preparation of the raw eye data, which will consist of recorded samples from the eye-tracker, with each row in the data reflecting a single time-stamped recording. If the eye-tracker is set at 1000Hz, then consecutive recordings will be 1 millisecond of time apart; at 300Hz, the recordings are 3.33 milliseconds apart. The only

requirement for the time column is that the values reflect a consistent and increasing set of values.

- There is no need to specify the sampling rate, since *eyetools* functions will calculate this
- automatically. *eyetools* expects raw data to have the following columns:
  - x = horizontal spatial coordinate of the estimated eye position
  - y = vertical spatial coordinate of the estimated eye position
- time = timestamp of the recording

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- trial = an index of the current trial in the data
- pID = an index of the current participant in the data (optional)

Missing values in the x and y columns of the raw data should be expressed as "NA".

For many methods of eye-tracking, binocular data will be produced. In such cases, since the primary aim of our analyses is the estimation of the spatial coordinates of gaze, the function combine\_eyes() should be used to combine the data to form a set of monocular data. This function takes raw data with coordinates for each eye (i.e., left\_x, right\_x, left\_y, right\_y), and converts the data into single x and y coordinates. By default, the function does this by taking an average of the coordinates from the two eyes of each timestamp, but it is also possible to select data from the eye with the lowest proportion of missing samples. This returns a flattened list of participant data that has x and y variables in place of the left\_\* and right\_\* variables.

# Repairing missing data and smoothing data

Despite researcher's best efforts and hopes, participants are likely to blink during data collection, resulting in observations where there are NA values for the x and y coordinates. To mitigate this issue, the interpolate() function estimates the gaze path taken, based upon the eye coordinates before and after the missing data. There are two methods for estimating the path, linear interpolation ("approx", the default setting) and cubic spline ("spline"). The default method of linear interpolation replaces missing values with a line of constant slope and evenly spaced

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coordinates reaching the existing data. The cubic spline method applies piecewise cubic functions to enable a curve to be calculated as opposed to a line between points.

When using interpolate(), a report can be requested so that a researcher can measure how much missing data has been replaced. This parameter changes the output format of the function, and returns a list of both the data and the report. The report can be easily accessed using the following code:

As shown, not all missing data has been replaced, since there are certain periods in which the missing data span a period longer than the default setting of the "maxgap" parameter, which is X ms. This default setting is based on a typical duration for a blink [ref].

Once missing data has been fixed, a common step is to smooth the eye data to remove particularly jerky eye movements. The function smoother() reduces the noise in the data by applying a moving averaging function. The degree of smoothing can be specified, and a plot can be generated (using data from a randomly selected trial) to observe how well the smoothed data fits the raw data.

# Working with eyetools

Having explained these rudimentary steps of getting the data ready for processing with the 143 functions in eyetools, we will now describe the core functions available in the latest version of 144 eyetools. For illustration, eyetools has a built in data set that is in the required format. The dataset 145 consists of data from two participants from a human causal learning study (Beesley et al., 2015). 146 The nature of this experiment is largely unimportant for the current purposes, but for clarity, the data were collected from the decision period of the procedure, where two rectangular cue stimuli were presented in the top half of the screen, one on the left side and one on the right side. Two smaller response options were presented in the lower half of the screen, one above the other. 150 These raw data can be accessed by calling HCL and the associated "areas of interest" (described 151 later) can be called by using HCL AOIs.

### 53 Counterbalanced designs

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Many psychology experiments will position stimuli on the screen in a counterbalanced fashion. For example, in the example data we are using, there are two stimuli, with one of these appearing on the left of the screen and the other on the right. In the design of the experiment, one of these stimuli can be considered a "target" and the other a "distractor", and the experiment counterbalances whether these are positioned in a left/right or a right/left arrangement across trials. In order to provide a meaningful analysis of the eye position over all trials, it is necessary to standardise the data such that the resulting analyses reflect meaningful eye gaze on each type of stimulus.

eyetools has a built in function, conditional\_transform(), which allows us to 162 transform the x (or y) values of the stimuli so as to take into account a counterbalancing variable. 163 This function currently allows for a single-dimensional flip across either the horizontal or vertical 164 midline. It can be used on raw data or fixation data; we simply need to append a column to the data to reflect the counterbalancing variable. The result of the function is a set of data in which the x (and/or y) position is consistent across counterbalanced conditions (e.g., in our example, we can transform the data so that the target cue is always on the left). This transformation is 168 especially useful for future visualisations and calculation of time on areas of interest. Note that 169 conditional\_transform() does not discriminate between multi-participant and 170 single-participant data and so no participant\_ID parameter is required. 171

In our example data, the stimuli were presented on either the left or the right side of the screen. Here we have merged the eye data with a set of "trial\_events" data that describe the events on each trial. We can apply conditional\_transform() and specify the relevant column (cue\_order) that controls the counterbalancing, and the relevant value that signals a switch of position (here "2"). The resulting transformation of the data will mean that the data is normalised such that the target stimulus is always positioned on the left side of the screen.

### **Fixations**

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Once the data has been repaired and smoothed, a core step in eye data analysis is to 179 identify fixations (Salvucci & Goldberg, 2000). Broadly, a fixation is defined as a period in which 180 gaze stops in a specific location for a given amount of time. The period in which the eyes are moving between fixations reflects a "saccade". As such, raw data can be transformed into these 182 meaningful eye data characteristics. These different properties of eye-data have important implications for behavioural research (see X for a review). Beyond their importance for understanding psychological processes, transforming the data into fixations and saccades leads to greater computational efficiency. For example, the built in HCL data in eyetools is 479 kb, which 186 contains 31,041 rows of data (12 trials of data). After processing the data for fixations, the resulting data is 269 rows and can be saved as 3.8 kb (less than 1% the size of the raw data). Not 188 only is this more computationally efficient, but it also means the data are more suitable for storage 189 in online data repositories. 190

There are two fixation algorithms offered in the *eyetools* package, both based on methods presented by [salvucci\_XXXX]. The first, fixation\_dispersion() seeks periods of low variability in the spatial component of the data; the algorithm looks for sufficient periods of time in which the gaze position remains within a tolerated maximum range of dispersion. Once this range is exceeded, this is deemed the end of the possible period of fixation. If the total time of this fixation period is longer than the minimum requiredmin dur

The second algorithm, fixation VTI() takes advantage of the idea that data is either a fixation or a saccade and employs a velocity-threshold approach. It identifies data where the eye is moving at a minimum velocity and excludes this, before applying a dispersion check to ensure that the eye does not drift during the fixation period. If the range is broken, a new fixation is determined. Saccades must be of a given length to be removed, otherwise they are considered as micro-saccades [@CITATION\_NEEDED\_HERE?].

Additionally, in certain analyses it may be useful to extract the saccades themselves. This can be achieved using the saccade\_VTI() function.

Once fixations have been calculated, they can be used in conjunction with Areas of Interest (AOIs) to determine the sequence in which the eye enters and exits these areas, as well as the time spent in these regions. When referring to AOIs, these often refer to the cues presented and the outcome object. In our example, the two cues at the top of the screen are the cues, and the outcome is at the bottom. We can define these areas in a separate dataframe object by giving the centrepoint of the AOI in x, y coordinates along with the width and height (if the AOIs are rectangular) or just the radius (if circular).

In combination with the fixation data, the AOI information can be used to determine the sequence of AOI entries using the AOI\_seq() function. This function checks whether a fixation is detected within an AOI, and if not, it is dropped from the output, and then provides a list of the sequence of AOI entries, along with start and end timestamps, and the duration.

Time spent in AOIs can also be calculated from fixations or raw data using the AOI\_time() function available. This calculates the time spent in each AOI in each trial, based on the data type given, in our case fixation data.

If choosing to work with the raw data, there is also the option of using AOI\_time\_binned() which allows for the trials to be split into bins of a given length, and the time spent in AOIs calculated as a result.

# eyetool assumptions [I DON'T KNOW WHERE THIS SHOULD GO JUST YET]

As with any data processing or analysis, there are certain assumptions made when developing the eyetools package. Some of these are built into the package directly, either as errors or warnings, such as the assumption that data is ordered by participant ID (if present) and trial, and some are not built in because they would limit the flexibility of the package functionality. One built-in assumption is the handling of missing data. eyetools expects track loss to be represented as NA within the data, and so any system that provides a different convention for recording track loss needs to be changed prior to using eyetools functions.

During development, eyetools was tested using data collected from a Tobii Pro Spectrum eye tracker recording at 300Hz. Screen resolutions were constant at 1080x1920 pixels, and the

timestamps were recorded in milliseconds. Whilst most of the functions were designed to work
with any hardware provided the data is formatted to eyetools expectations (with the exception of
hdf5\_to\_df() and hdf5\_get\_event() as these convert Tobii data), as well as not relying on
specific frequencies or resolutions (either through the function behaviour, or by supplying
parameters for specificity), eyetools has not been tested on a diverse set of datasets.

Some default behaviours are in-built, but are easily overrided such as parameters for resolution in the plotting functions. Similarly saccade\_VTI() and fixation\_VTI() were tested with 300Hz data. For these functions, as the frequency increases, the relative saccadic velocities will be lower meaning that the thresholds need to be reduced. This is important to note when working with data that is not recorded at 300Hz. To circumvent the potential issue of sample rates being an issue, by default functions that require a sample rate will deduce the frequency from the data rather than needing it to be specified.

# Visualisations made easy

When working with eye data, it can be beneficial for the researcher to familiarise themselves with the dataset. Visualising the data through graphics can help to identify meaningful patterns that are obscured when relying on statistical analyses alone (Kabacoff, 2022). Graphics are also very effective at conveying information in a way that is easily grasped by a diverse audience, eyetools offers a selection of in-built plotting functions that work with data at most stages of processing. These plots are designed to aid in the researcher's processing and data analysis.

The plot\_AOI\_growth() function offers the representation of how an individual (on a single trial) spends their time looking at the different AOIs. This can be useful to see how AOIs are interacted with over time, and this can be presented as either a cumulative over time, or as a proportion of the time spent in the trial.

# Figure 1

Examples of the absolute and proportional time plots from plot AOI growth()

A heatmap of eye gaze positions can be generated using plot heatmap() which takes 256 raw data as an input. As a function, and unlike many of the processing steps, it does not 257 differentiate between trials or participants and plots any coordinate data it is given. This 258 behaviour is allowed as the heatmap offers an excellent and fast "sanity check" that participants 259 were, on the whole, looking at the expected areas of the experiment screen during the trials. As 260 can be seen in Figure ?@fig-heatmap, we can be reassured that participants do indeed spend 261 most of their time looking at the stimuli on screen rather than in the empty space. 262 plot heatmap() also allows for the modification of the amount of data displayed, using the 263 alpha control parameter. By decreasing alpha control in Figure 264 ?@fig-heatmap-alpha-update, we gain more visualised information and we can still see that the 265 majority of the data is kept within the stimuli and saccades between these areas. 266

The plot\_seq() function allows for the plotting of raw data to visualise the gaze pattern from a single trial and where the gaze fell on the screen across the entire trial. **?@fig-seq** offers an example trial split into time bins of 5000ms. This plot shows the time dimension as a change in colour that overlaps older data. This plot serves as a useful check, similar to plot\_heatmap(), as to where the eyes spent their time, but plot\_seq() has the benefit of showing the time dimension compared to a simple heatmap.

The final plotting function in eyetools is plot\_spatial(). This can plot raw data, fixations, and saccades, either separately or in combination. plot\_spatial() plots the location of the eye gaze of a trial, and when given raw data is very similar to the output of plot\_seq(), when using fixation data, then an additional parameter can be used to label the fixations in their temporal order, enabling a better presentation of how fixations arise. Finally, providing saccade data allows for the length and direction of saccades to be presented.

# Figure 2

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The three types of plot that can be created using plot\_spatial()

### Analysing eye data

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**Discussion** 281

In the present tutorial, we began by identifying the current gap in available tools for working with eye data in open-science pipelines. We then provided an overview of the general data collection process required for eye tracking research, before detailing the conversion of raw eye data into a useable eyetools format. We then covered the entire processing pipeline using functions available in the *eyetools* package that included the repairing and normalising the data, and the detection of events such as fixations, saccades, and AOI entries.

@SOMETHING\_ON\_THE\_ANALYSIS\_GOES\_HERE.

From a practical perspective, this tutorial offers a step-by-step walkthrough for handling eye data using R for open-science, reproducible purposes. It provides a pipeline that can be relied upon by novices looking to work with eye data, as well as offering new functions and tools for experienced researchers. By enabling the processing and analysis of data in a single R environment it also helps to speed up data analysis.

# **Advantages of Open-Source Tools**

eyetools offers an open-source toolset that holds no hidden nor proprietary functionality. The major benefits of open-source tools are extensive, but the main ones include the ability to explore and engage with the underlying functions to ensure that

A collaborative community - with open source tools, if an unmet need is identified, then the community can work to provide a solution.

# **Good Science Practices with eyetools**

Creating savepoints (like having processed raw data, and then post-fixation calculation). Reduces the need to completely rework workflows if an issue is detected as savepoints can be used 302 to ensure that computationally-intense or time-heavy processes are conducted as infrequently as 303 possible.

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# Data Availability

The data required for reproducing this tutorial is available at: @URL. A condensed version of the dataset (starting with the combine\_eyes() function) is a dataset in the *eyetools* package called HCL.

# **Code Availability**

The code used in this tutorial is available in the reproducible manuscript file available
at:(IF STORING IN GITHUB, THEN WE NEED TO CREATE A ZENODO SNAPSHOT FOR
A DOI RATHER THAN JUST A GITHUB LINK)

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