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eyetools: an R package for simplified analysis of eye data

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10 Abstract

- Abstract goes here
- 12 Keywords: eye-tracking; fixations; saccades; areas-of-interest

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Introduction

Eye tracking is now an established and widely used technique in the behavioural sciences.

Perhaps the scientific discipline with the most invested interest in eye-data is Psychology, where

eye-tracking systems are now commonplace in almost all university departments. Beyond

academic institutions, eye-tracking continues to be a useful tool in understanding consumer

behaviour, user-interface design, and in various forms of entertainment.

By recording the movement of an individual's gaze during research studies, researchers
can quantify where and how long individual's look at particular regions of space (usually with a
focus on stimuli presented on a 2D screen, but also within 3D space). Eye tracking provides a rich
stream of continuous data and therefore can offer powerful insights into real-time cognitive
processing. Such data allow researchers to inspect the interplay of cognitive processes such as
attention, memory, and decision making, with high temporal precision (Duchowski & Duchowski,
2017).

While there are abundant uses and benefits of collecting eye-movement data in psychology 14 experiments, the continual stream of recording can lead to an overwhelming amount of raw data: 15 modern eye-trackers can record data at 1000 Hz and above, which results in 3.6 million rows of 16 data per hour. The provision of suitable computational software for data reduction and processing 17 is an important part of eye-tracking research. The companies behind eye-tracking devices offer 18 licensed software that will perform many of the necessary steps for eye-data analysis. However, there are several disadvantages to using such proprietary software in a research context. Firstly, the software will typically have an ongoing (annual) license cost for continual use. Secondly, the algorithms driving the operations within such software are not readily available for inspection. Both of these important constraints mean that the use of proprietary analysis software will lead to a failure to meet the basic open-science principle of analysis reproduction, for example as set out by the UK Reproducibility Network: "We expect researchers to... make their research methods, 25 software, outputs and data open, and available at the earliest possible point...The reproducibility

of both research methods and research results ...is critical to research in certain contexts,
particularly in the experimental sciences with a quantitative focus..."

In the current article we introduce a new toolkit for eye-data processing and analysis called "eyetools", which takes the form of an R package. R packages (like R itself) are free to use without licence and are therefore available for any user across the world. The package provides a (growing) number of functions that provide an efficient and effective means to conduct basic eye-data analysis. eyetools is built with academic researchers in the psychological sciences in mind, though there is no reason why the package would not be effective more generally. The functions within the package reflect steps in a comprehensive analysis workflow, taking the user from initial handling of raw eye data, to summarising data for each period of a procedure, to the visualisation of the data in plots. We hope that the functions are simple enough to mean that the package is easy to use for researchers who are unfamiliar with working with eye data. It should also appeal to researchers accustomed to working with eye data in other environments who wish to transfer to working in R.

eyetools is, of course, not the only package in R that allows users to work with eye data. A
recent assessment of available packages on CRAN identified six other packages that offer relevant
functions for the analysis of eye data. eyeTrackr, eyelinker, and eyelinkReader, all offer
functionality for data only from experiments that have used 'EyeLink' trackers (S-R Research). In
contrast, eyetools provides functions that are hardware-agnostic, relying on a format of data that
can be achieved from any data source. The eyeRead package is designed for the analysis of eye
data from reading exercises. The emov package offers a limited set of functions and is primarily
designed for fixation detection, using the same dispersion algorithm used in eyetools. Finally,
eyetrackingR is perhaps the most comprehensive alternative package available on CRAN.
eyetrackingR offers a large suite of functionality and, like eyetools, can be applied across the
entire pipeline. It has functions for cleaning data and various plotting functions, including
analysis over time. It does not feature algorithms regarding the detection of events such as
saccades or fixations. This limits the ability to conduct more bespoke and dataset specific

processing or analysis steps. In comparison, eyetools enables easier data processing up to data analyses, making core tasks in the eye data processing easier and standardised.

		Hardware-		Data	Identifies		Inferential
56	Package	agnostic	Data Impor	processing	events	Plotting	Analysis
57	eyetools	✓	* *	✓	✓	~	
58	eyeTrackr		✓	✓			
59	eyelinker		✓				
60	eyelinkReader		✓			✓	
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62	emov	~		✓	✓		
63	eyetracking	R 🗸		~		~	~

• for Tobii data only, ** for text reading experiments only

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In this tutorial we demonstrate the pipeline of analysis functions within eyetools. The package has been designed to be simple to use by someone with basic knowledge of data handling and analysis in R. It should appeal to researchers who are working with raw eye data for the first time, as well as those accustomed to working with eye data in other environments who wish to transfer to working in R.

This tutorial is separated into five distinct sections. In the first section, we briefly describe
the basic methodology of collecting eye data in general, and in regard to the specific dataset we
use to illustrate all the functionality of the eyetools package. The second section covers the
process for getting data from an eye tracker into an eyetools-friendly format. The third section
introduces the foundational functions of the eyetools package, from repairing and smoothing eye
data, to calculating fixations and saccades, and detecting time spent in Areas of Interest (AOIs).
The fourth section takes the processed data, and applies basic analysis techniques commonplace in
eye data research. In the fifth and final section, we reflect on the benefits of the eyetools package,

including contributions to open science practices, reproducibility, and providing clarity to eye data analysis.

80 Data Collection

First describe basic paradigms for collecting eye data. Also purpose etc. @tom
Then describe the specifics of the dataset we are using - I presume this is the HCL dataset
in full? Should make mention of the fact that the workflow can be done either with the full
dataset, or the two participant dataset provided in package.

Converting Raw Data

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Owing to the vast range of eye tracking hardware available, eyetools does not offer much in the way of converting raw data into the eyetools format of participant ID, trial number, timestamp, x, and y coordinates. In this section, we cover the stages of transforming the data from a Tobii eye tracker.

Tobii-generated data is stored in an hdf5 format, which is a gold-standard method for managing large hierarchical datasets, such as eye gaze data, however due to its hierarchical, and somewhat complex structure, hdf5 is not easily worked with in R. eyetools contains two functions that convert the data into dataframes for easier data processing. hdf5_to_df() takes the Tobii-generated data and extracts any eye gaze information into a dataframe (or list of dataframes). When using a Tobii eyetracker in custom experimental code (such as PsychoPy), it is often beneficial to store timestamps of trial beginning and end, as well as markers for specific phases of the task. These are stored within the hdf5 file and can be accessed using the hdf5_get_event() function.

When you have data that is in a binocular format, that is you have a set of coordinates for each eye, it needs to be converted into single x and y coordinates for both eyes combined. Using eyetools, this can be done in one of two ways, either taking an average of the coordinates from the two eyes, or by choosing the eye with the fewest missing samples is used to represent the data. An averaging of the two coordinates sets is the typical method of combining binocular data, and can

be done using the combine_eyes() function in eyetools. This returns a flattened list of participant data that has x and y variables in place of the left_* and right_* variables.

Working with eyetools

In the previous section, we finished with the data in a format that holds participant ID, trial number, a timestamp, along with x and y coordinates. This is the format expected by eyetools when working with multi-participant data, however if you some reason you are working with a single participant then the participant ID column is superfluous and can be dropped. This basic data format of ID, trial, time, x, and y ensures that eyetools is applicable to a variety of eye data sources and does not depend on specific eye trackers being used.

Counterbalanced designs

Many psychology experiments will position stimuli on the screen in a counterbalanced fashion. For example, in the example data we are using, there are two stimuli, with one of these appearing on the left and one on the right. In our design, one of the cue stimuli is a "target" and one is a "distractor", and the experiment counterbalances whether these are positioned on the left or right across trials.

Eyetools has a built in function which allows us to transform the x (or y) values of the stimuli to take into account a counterbalancing variable: conditional_transform(). This function currently allows for a single-dimensional flip across either the horizontal or vertical midline. It can be used on raw data or fixation data. It requires the spatial coordinates (x, y) and a specification of the counterbalancing variable. The result is a normalised set of data, in which the x (and/or y) position is consistent across counterbalanced conditions (e.g., in our example, we can transform the data so that the target cue is always on the left). This transformation is especially useful for future visualisations and calculation of time on areas of interest. Note that conditional_transform() is another function that does not discriminate between multi-participant and single-participant data and so no participant_ID parameter is required. To transform the data, we require knowledge of where predictive cues were presented. Using this, conditional transform() can align data across the x or y midline, depending on how stimuli

were presented. In the experimental design used in our study, cues were presented either on the left or the right, so by applying conditional_transform(), all the predictive cues in the dataset are recorded on the same side.

Repairing missing data and smoothing data

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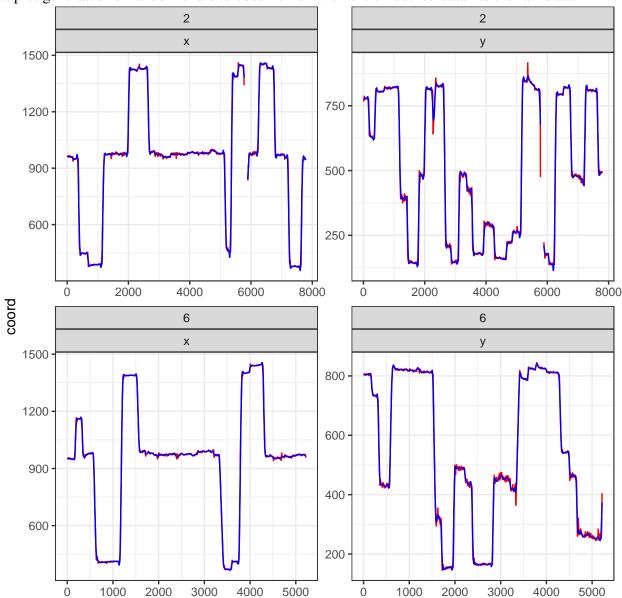
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Despite researcher's best efforts and hopes, participants are likely to blink during data 136 collection, resulting in observations where no data is present for where the eyes would be looking. 137 To mitigate this issue, the interpolate() function estimates the path taken by the eyes based 138 upon the eye coordinates before and after the missing data. There are two methods for estimating 139 the path, linear interpolation ("approx", the default setting) and cubic spline ("spline"). The 140 default method of linear interpolation replaces missing values with a line of constant slope and 141 evenly spaced coordinates reaching the existing data. The cubic spline method applies piecewise 142 cubic functions to enable a curve to be calculated as opposed to a line between points. 143

When using interpolate(), a report can be requested so that a researcher can measure how much missing data has been replaced. This parameter changes the output format of the function, and returns a list of both the data and the report. The report can be easily accessed using the following code:

As shown, not all missing data has been replaced, this is because when gaps are larger than a given size they are kept as missing data due to it being unreasonable to try to estimate the path taken by the eye. The amount of missing data that will be estimated can be changed through the maxgap parameter.

Once missing data has been fixed, a common step is to smooth the eye data to remove particularly jerky eye movements. To do this, smoother() reduces the noise in the data by applying a moving averaging function. The degree of smoothing can be specified, as well as



time

having a plot generated for random trials to observe how well the smoothed data fits the raw data.

Fixations

Once the data has been repaired and smoothed, a core step in eye data analysis is to identify fixations (Salvucci & Goldberg, 2000), defined as when the gaze stops in a specific location for a given amount of time. When the eyes are moving between these fixations, they are considered to be saccades. Subsequently, data can be split into these two groups, fixations and saccades. In the eyetools package, there are two fixation algorithms offered; the first algorithm, fixation_dispersion() employs a dispersion-based approach that uses spatial and temporal

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data to determine fixations. By using a maximum dispersion range, the algorithm looks for 167 sufficient periods of time that the eye gaze remains within this range and once this range is exceed, 168 this is termed as a fixation. The second algorithm, fixation VTI() takes advantage of the idea 169 that data is either a fixation or a saccade and employs a velocity-threshold approach. It identifies 170 data where the eye is moving at a minimum velocity and excludes this, before applying a 171 dispersion check to ensure that the eye does not drift during the fixation period. If the range is 172 broken, a new fixation is determined. Saccades must be of a given length to be removed, 173 otherwise they are considered as micro-saccades [@CITATION_NEEDED_HERE?]. 174

Additionally, in certain analyses it may be useful to extract the saccades themselves. This can be achieved using the saccade VTI() function.

Once fixations have been calculated, they can be used in conjunction with Areas of Interest (AOIs) to determine the sequence in which the eye enters and exits these areas, as well as the time spent in these regions. When referring to AOIs, these often refer to the cues presented and the outcome object. In our example, the two cues at the top of the screen are the cues, and the outcome is at the bottom. We can define these areas in a separate dataframe object by giving the centrepoint of the AOI in x, y coordinates along with the width and height (if the AOIs are rectangular) or just the radius (if circular).

In combination with the fixation data, the AOI information can be used to determine the sequence of AOI entries using the AOI_seq() function. This function checks whether a fixation is detected within an AOI, and if not, it is dropped from the output, and then provides a list of the sequence of AOI entries, along with start and end timestamps, and the duration.

Time spent in AOIs can also be calculated from fixations or raw data using the
AOI_time() function available. This calculates the time spent in each AOI in each trial, based on
the data type given, in our case fixation data.

If choosing to work with the raw data, there is also the option of using

AOI_time_binned() which allows for the trials to be split into bins of a given length, and the

time spent in AOIs calculated as a result.

eyetool assumptions [I DON'T KNOW WHERE THIS SHOULD GO JUST YET]

As with any data processing or analysis, there are certain assumptions made when developing the eyetools package. Some of these are built into the package directly, either as errors or warnings, such as the assumption that data is ordered by participant ID (if present) and trial, and some are not built in because they would limit the flexibility of the package functionality. One built-in assumption is the handling of missing data. eyetools expects track loss to be represented as NA within the data, and so any system that provides a different convention for recording track loss needs to be changed prior to using eyetools functions.

During development, eyetools was tested using data collected from a Tobii Pro Spectrum eye tracker recording at 300Hz. Screen resolutions were constant at 1080x1920 pixels, and the timestamps were recorded in milliseconds. Whilst most of the functions were designed to work with any hardware provided the data is formatted to eyetools expectations (with the exception of hdf5_to_df() and hdf5_get_event() as these convert Tobii data), as well as not relying on specific frequencies or resolutions (either through the function behaviour, or by supplying parameters for specificity), eyetools has not been tested on a diverse set of datasets.

Some default behaviours are in-built, but are easily overrided such as parameters for resolution in the plotting functions. Similarly saccade_VTI() and fixation_VTI() were tested with 300Hz data. For these functions, as the frequency increases, the relative saccadic velocities will be lower meaning that the thresholds need to be reduced. This is important to note when working with data that is not recorded at 300Hz. To circumvent the potential issue of sample rates being an issue, by default functions that require a sample rate will deduce the frequency from the data rather than needing it to be specified.

Visualisations made easy

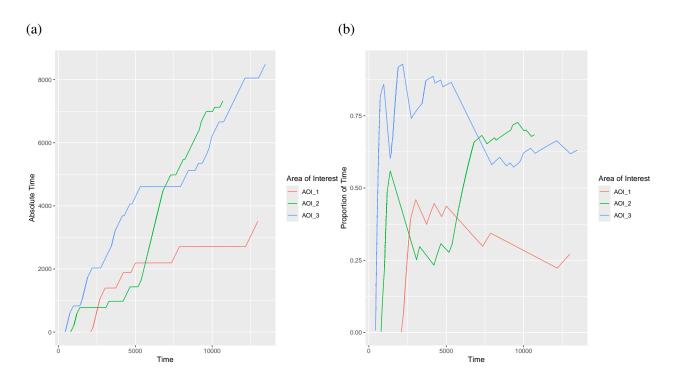
When working with eye data, it can be beneficial for the researcher to familiarise themselves with the dataset. Visualising the data through graphics can help to identify meaningful patterns that are obscured when relying on statistical analyses alone (Kabacoff, 2022). Graphics are also very effective at conveying information in a way that is easily grasped by a diverse

audience. eyetools offers a selection of in-built plotting functions that work with data at most stages of processing. These plots are designed to aid in the researcher's processing and data analysis.

The plot_AOI_growth() function offers the representation of how an individual (on a single trial) spends their time looking at the different AOIs. This can be useful to see how AOIs are interacted with over time, and this can be presented as either a cumulative over time, or as a proportion of the time spent in the trial.

Figure 1

Examples of the absolute and proportional time plots from plot_AOI_growth()



A heatmap of eye gaze positions can be generated using plot_heatmap() which takes raw data as an input. As a function, and unlike many of the processing steps, it does not differentiate between trials or participants and plots any coordinate data it is given. This behaviour is allowed as the heatmap offers an excellent and fast "sanity check" that participants were, on the whole, looking at the expected areas of the experiment screen during the trials. As can be seen in Figure Figure 2, we can be reassured that participants do indeed spend most of their

time looking at the stimuli on screen rather than in the empty space. plot_heatmap() also allows
for the modification of the amount of data displayed, using the alpha_control parameter. By
decreasing alpha_control in Figure Figure 3, we gain more visualised information and we can
still see that the majority of the data is kept within the stimuli and saccades between these areas.

Figure 2

A heatmap overlaid upon a sample stimuli image demonstrating where the participants looked most over all trials

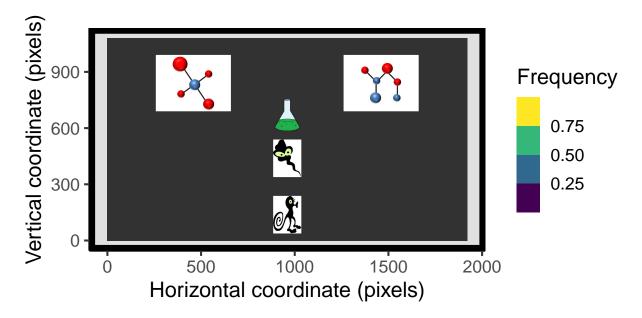
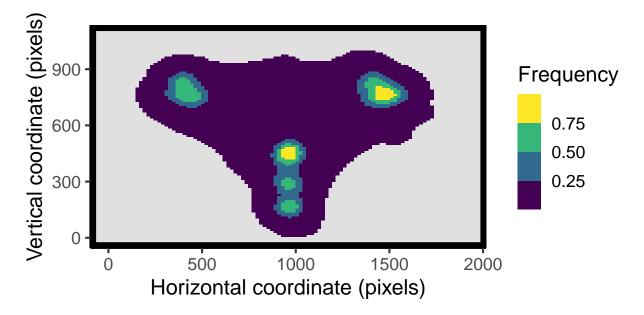


Figure 3

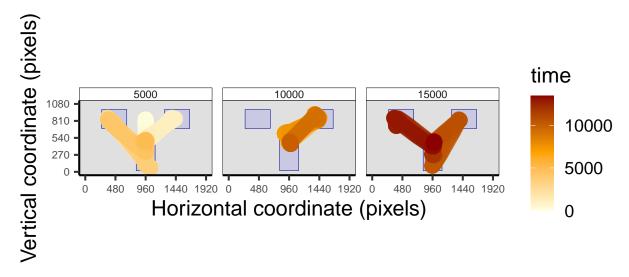
A heatmap overlaid upon a sample stimuli image demonstrating where the participants looked most over all trials



The plot_seq() function allows for the plotting of raw data to visualise the gaze pattern from a single trial and where the gaze fell on the screen across the entire trial. Figure 4 offers an example trial split into time bins of 5000ms. This plot shows the time dimension as a change in colour that overlaps older data. This plot serves as a useful check, similar to plot_heatmap(), as to where the eyes spent their time, but plot_seq() has the benefit of showing the time dimension compared to a simple heatmap.

Figure 4

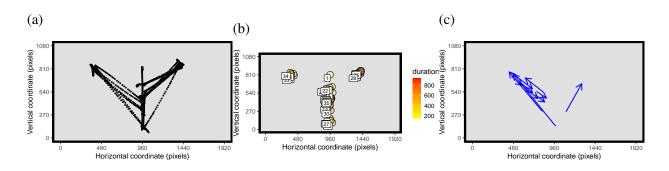
The output from plot_seq() with included AOIs and time binned into 5 second sections



The final plotting function in eyetools is plot_spatial(). This can plot raw data, fixations, and saccades, either separately or in combination. plot_spatial() plots the location of the eye gaze of a trial, and when given raw data is very similar to the output of plot_seq(), when using fixation data, then an additional parameter can be used to label the fixations in their temporal order, enabling a better presentation of how fixations arise. Finally, providing saccade data allows for the length and direction of saccades to be presented.

Figure 5

The three types of plot that can be created using plot_spatial()



Analysing eye data

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252 **Discussion**

In the present tutorial, we began by identifying the current gap in available tools for working with eye data in open-science pipelines. We then provided an overview of the general data collection process required for eye tracking research, before detailing the conversion of raw eye data into a useable eyetools format. We then covered the entire processing pipeline using functions available in the eyetools package that included the repairing and normalising the data, and the detection of events such as fixations, saccades, and AOI entries.

@SOMETHING_ON_THE_ANALYSIS_GOES_HERE.

From a practical perspective, this tutorial offers a step-by-step walkthrough for handling eye data using R for open-science, reproducible purposes. It provides a pipeline that can be relied upon by novices looking to work with eye data, as well as offering new functions and tools for experienced researchers. By enabling the processing and analysis of data in a single R environment it also helps to speed up data analysis.

265 Advantages of Open-Source Tools

eyetools offers an open-source toolset that holds no hidden nor proprietary functionality.

The major benefits of open-source tools are extensive, but the main ones include the ability to

explore and engage with the underlying functions to ensure that

A collaborative community - with open source tools, if an unmet need is identified, then
the community can work to provide a solution.

Good Science Practices with eyetools

Creating savepoints (like having processed raw data, and then post-fixation calculation).

Reduces the need to completely rework workflows if an issue is detected as savepoints can be used to ensure that computationally-intense or time-heavy processes are conducted as infrequently as possible.

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Data Availability

The data required for reproducing this tutorial is available at: @URL. A condensed version of the dataset (starting with the combine_eyes() function) is a dataset in the eyetools package called HCL.

Code Availability

The code used in this tutorial is available in the reproducible manuscript file available
at:(IF STORING IN GITHUB, THEN WE NEED TO CREATE A ZENODO SNAPSHOT FOR
A DOI RATHER THAN JUST A GITHUB LINK)

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