

Advisor Transition Hub | Prospect / Advisor Facing Experience

The screenshot displays the Raymond James Advisor Onboarding interface. The top navigation bar shows 'RAYMOND JAMES | Advisor Onboarding'. The main content area is divided into several sections:

- 1. Welcome, Victor!**: A message indicating the Advisor Info Request is ready to complete, with a 'Start Advisor Info Request' button.
- 2. Tasks**: A section showing progress for various tasks: 'Advisor Info' (Not Started), 'Home Office Visit' (Not Started), 'Financial Benefits' (Not Started), 'Offer Letter' (Not Started), and 'Commit' (Not Started).
- 3. Checklist**: A list of tasks with checkboxes, including 'Some Text' and 'Advisor Info Request'.
- 4. What's Trending**: A section displaying social media content, including a post from 'Tracy Keller'.
- 5. Links & Resources / Training**: A section with links to resources and training materials.

An inset window titled 'RAYMOND JAMES | Advisor Onboarding' shows the 'Advisor Information Request - ICD' form. The form includes a progress bar with steps: General Information, Business Mkt, Lending, Review and Attestation, and Complete. The 'General Information' section contains the following fields:

- Recruiter***: A dropdown menu with 'Sofia Drakator' selected.
- Are you part of a team?**: Radio buttons for 'Yes' and 'No'.
- # of Financial Advisors**: A dropdown menu.
- Team Name**: A text input field.
- FA First Name***, **FA Last Name***, **FA Personal Email**, and **Input**: Text input fields.
- Broker Check by FINRA**: A button.
- Current Firm***, **How many years have you been with your current firm? ***, **How many years have you been in the industry?**, **City***, **State*** (dropdown), and **Zip Code***: Text input fields.

The form also includes a 'Cancel' button at the bottom left and 'Save' and 'Next' buttons at the bottom right.

The prospect's landing page is where they will go to complete asks from recruiters, track status of recruitment activities, and access RJ collateral.

- 1 Focus Actions**
The prospect will quickly be able to see what's needed from them and access the next step to complete requests (e.g., AIR).
- 2 Status Tracking**
The prospect can see where they are in the recruitment process and what's needed from them to complete.
- 3 Training, Content, "Hot Topics"**
Proactively surface marketing materials, product overviews, or other resources to help the prospect make the decision to join Raymond James.
- 4 Trending Content**
A feed will display Raymond James social content, news articles, and potentially testimonials for the prospect to see what's the latest at the firm.
- 5 Digital Information Gathering and Submission**
Prospects will be able to provide information within a digital form, accessed from their portal.

Notifications will be sent to appropriate parties upon completion, including approval workflows where appropriate.