

Advisor Transition Hub | Prospect / Advisor Facing Experience

The image shows the Raymond James Advisor Onboarding interface. The main title is 'RAYMOND JAMES Advisor Onboarding'. The interface is divided into several sections:

- 1. Welcome:** A message saying 'Welcome, Victor! Your Transition Form is ready to review. Complete it to kick-off the next step in the process.' with a 'Start Transition Form' button.
- 2. Tasks:** A dashboard showing 25 Tasks overall, with 10 of 50 Tasks completed. A table lists tasks like 'Review & Approve U4' assigned to 'Tommy Marsh'.
- 3. Links & Resources / Training Related Content:** A sidebar with links to 'Reserve/ROI Sales Deck', 'Engagement scores & Sales forecast', and 'Reserve/ROI Sales Deck', 'Engagement scores & Sales forecast'.
- 4. What's Trending:** A feed of social media posts from Raymond James, including 'Get Finances in Order', 'Instagram plan for a fulfilling reti...', and 'RAYMOND JAMES'.
- 5. Task Details:** A detailed view of a task titled 'Obtain U4 from Firm'. It includes a table with columns: Status (Not Started), Priority (High), Task ID (123456789), Due Date (10/01/2025), Assigned To (Tommy Marsh), Category (Person Set Up), Task Owner (HO Team), Transition Type (RJFS- New Branch), and Phase (Pre-Join). The task description, instructions, and file attachments are also shown.

After committing, the prospect's landing page will evolve into the transitions experience.

1 Focus Actions

The prospect will quickly be able to see what's needed from them to continue progressing throughout the transition (e.g., approve the preliminary U4).

2 Status Tracking

The prospect can see where they are in the recruitment process and what's needed from them to complete.

3 Training & Content

Proactively surface marketing materials, product overviews, or other resources to help the prospect make the decision to join Raymond James.

4 Trending Content

A feed will display Raymond James social content, news articles, and potentially testimonials for the prospect to see what's the latest at the firm.

5 Collaborate Task Management

Tasks will be collaborative between the Home Office and advisor. Task templates will dictate how they're completed (i.e., uploading a document, signing a form, etc.)