Specifying Grammars for OpenCCG: A Rough Guide

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1 OpenCCG

OpenCCG is an open source natural language processing library written in Java, which provides parsing and realization services based on Mark Steedman's Combinatory Categorial Grammar (CCG) formalism [Ste00]. The library makes use of the multi-modal extensions to CCG devised by Jason Baldridge in his dissertation [Bal02] and in a joint EACL-03 paper with Geert-Jan Kruijff [BK03]. For a concise introduction to CCG with these extensions, see [SB03].

OpenCCG grew out of the Grok system developed by Gann Bierner and Jason Baldridge, and has been refined and extended by Michael White, with further contributions from Cem Bozşahin, Güneş Erkan, Geert-Jan Kruijff, David Reitter and Alexandros Triantafyllidis. Recent development efforts, managed by Michael White, have focused on making the realizer [WB03, Whi04a, Whi04b, Whi05] practical to use in dialogue systems, and improving (somewhat) the grammar development process.

You can download and install OpenCCG from its website, located at http://openccg.sourceforge.net. Once you've unpacked the archive, have a look at the README file for installation instructions.

2 About this (rough) guide

This guide is intended to provide a brief introduction to writing grammars for OpenCCG. The system is implemented in Java, but you do not need to know Java. OpenCCG provides its own formats for describing grammars, including the combinatory rules, the lexicon (i.e. the lexicalized grammar), feature structures, LF, morphology etc. Two formats are available; one is based on XML and one is a higher-level format that looks similar to C or Java. The syntax of the XML-based format is very simple, but at the same time it can be verbose and hard-to-read. The other format, the so-called "CCG format" (.ccg), was specifically designed to be written by hand, and has a richer and more concise syntax. It is a "front-end" format in that it is converted internally to XML before it is actually used by OpenCCG, using the ccg2xml tool. As a result, the two formats share many conceptual similarities. NB: Note that the XML format is more stable than the .ccg format, and in particular, the way in which unification constraints are specified in the .ccg format is apt to change.

This manual was originally created before the CCG format existed. As a result, it is primarily geared towards writing grammars directly in XML.

Over time, however, it will be updated to cover the use of the CCG format as well. For the time being, see src/ccg2xml/README for documentation of the .ccg format.

3 Using the XML-based format

In order to write OpenCCGgrammars directly in the XML-based format, you should be familiar with XML. Actually, all you need to know is that tags can be hierarchically and linearly organized, and that they must be "closed" (by </tag> or />) with proper nesting, e.g.

All the OpenCCG-defined elements and attributes are listed in the XML schema validation files. For reference documentation, you can have a look at these files, which are located in the <code>\$OPENCCG_HOME/grammars/</code> directory of your installation. For example, <code>categories.xsd</code> describes the tags that go into OpenCCG categories.

For more advanced use of OpenCCG, it helps to know XSLT.

3.1 XML-based grammar architecture in OpenCCG

A run-time grammar for OpenCCG typically consists of five primary files, with the following canonical names:

grammar.xml Specifies the name of the grammar, and lists the names of the
 other files. This file may also specify XSLT transformations to use in
 converting LFs to/from XML, and/or properties of a custom tokenizer
 (see grammar.xsd for details).

lexicon.xml Specifies lexical families. A lexical family specifies one or more related categories, with their associated feature structures and logical forms. Lexical families are loosely based on the notion of tree families in XTAG.

morph.xml Specifies the words of the grammar. Each word is related to a lexical family through the part-of-speech tag of the word. If a family is a closed class, we specify explicitly with a family what words are its members.

rules.xml Specifies which combinatory rules are available to the grammar. For the purpose of this document, we assume that application, typeraising, and composition (harmonic as well as crossed) are available. Unary type changing rules are also placed into rules.xml.

types.xml (optional) Specifies the syntactic and semantic type/sort hierarchies. Unlike HPSG, only atomic types are supported in OpenCCG. Multiple-inheritance is allowed [Erk03].

Standard practice is to store these files in a directory under the grammars directory of the OpenCCG distribution. Besides the above files, it is also a good idea to have a testbed.xml file. A testbed is a list of test expressions, where we specify for each expression the number of parses (≥ 0) the grammar should yield, and optionally the intended LF.

4 Words and categories

4.1 Lexical families

Traditionally, the lexicon for a categorial grammar specifies for each word its own category. In OpenCCG, categories are instead organized into lexical families, which are related to whole sets of words. (As mentioned earlier, the idea of families we employ here is loosely based on the notion of tree families in XTAG.) This makes it possible to avoid giving the same specification over and over again in a lexicon.

The simplest way in which words can be related to families is through their parts of speech: for a word we have to specify its part of speech, and for a family we have to specify the part of speech a word has to have for the family to be applicable. To control the applicability of a family, we can also declare it to be *closed*. A closed family is not applicable to *every* word that has the appropriate part of speech, but only to those words (stems) that are listed with the family as its members. Note that a closed family does not exactly correspond to the notion of a closed class word, as open class words (especially verbs) are often listed as members of closed families, in order to assign them appropriate subcategorization frames.

To illustrate, let's look at some examples from the tiny sample grammar. A family is defined within the following element:

These two families are for nouns and pronominal NPs, as their name attributes indicate; they have parts of speech N and Pro, respectively, given by the pos attribute. The pronominal NP family has closed="true", indicating that it's a closed family. The members are pro1 ...pro3n, where pro1 is an abstract stem for the first person pronouns I, we, me, us, and so on.

In each family, we define one or more entries, using an *entry* element. Each entry defines a category with accompanying feature structure and logical form. Each entry is given a name; we usually give the main entry name="Primary". An example of a family with multiple (ok, two) entries appears below. The first entry is named DTV, for ditransitive verb, as it specifies a category with two NP complements; the second entry is named NP-PPfor, as it specifies a category with an NP complement followed by a PP complement headed by *for*. In both cases, the extra complement plays the role of Beneficiary in the semantics, motivating the grouping of these two entries into a single family.

```
<family name="DitransitiveBeneficiaryVerbs" pos="V" closed="true">
  <entry name="DTV">
    :
  </entry>
```

```
<entry name="NP-PPfor">
    :
    </entry>
    <member stem="buy"/>
    <member stem="rent"/>
</family>
```

4.2 Categories

Within an entry we define a category. A category can either be atomic or complex (i.e. a function). The example below illustrates how we specify an atomic category using the *atomcat* element, giving its label as a value of the attribute *type*.

```
<family name="Noun" pos="N">
    <entry name="Primary">
        <atomcat type="n">
        :
        </atomcat>
        </entry>
</family>
```

We can assign a feature structure to an atomic category using the fs element. The fs element has an id attribute so that we can explicitly reference the feature structure, when needed.

```
<atomcat type="n">
  <fs id="2"> .. </fs>
  :
</atomcat>
```

We can add individual features using *feat* elements. In their simplest form, a feature has an *attr* specifying the attribute and a *val* giving the value of the attribute.

```
<fs id="2">
  <feat attr="num" val="sg"/>
  </fs>
```

Now, since we don't want all nouns to be singular, we can instead declare the value of the num feature to be a variable, as follows:

| Rules | OpenCCG | MMCCG |
|-------------------------------|-----------------------------------------------------------|-------------------------|
| application only | * | * |
| associative | ^ | \Diamond |
| permutative | x | × |
| permutative right | x> | $\times \triangleright$ |
| permutative left | <x< td=""><td>$\triangleleft \times$</td></x<> | $\triangleleft \times$ |
| associative permutative right | > | \triangleright |
| associative permutative left | < | ◁ |
| all rules | | • |

Table 1: Slash modes

```
<atomcat type="n">
  <fs id="2">
        <feat attr="num"> <featvar name="NUM"/> </feat>
        :
        </fs>
        :
        </atomcat>
```

Here the *featura* element introduces a variable with name="NUM" as the value of the feature. Note that this feature specification serves as an implicit declaration that nouns have a num feature. As such, it interacts with the *inheritsFrom* mechanism for default unification, as will be explained below. With basic categories such as this one, it is a good idea to specify all relevant features.

An entry can also specify a *complex* category, i.e. a function. For that, we use the *complexcat* element. This element is essentially a list, enumerating the result category and its arguments in the order as given by a Steedmanstyle category. Argument categories may be atomic or complex (i.e., creating a higher-order function); the result category must be atomic (see [Bal02] for discussion).

For each argument we give the slash using a slash element that has attributes mode and dir to specify what kind of slash we are dealing with. The available slash modes are given in Table 1. (Note that in XML, the angle brackets < and > must be escaped as < and >, respectively.) See [Bal02][p. 100] and [BK03] for discussion of the slash modes in multimodal CCG. Slashes may also have variables over modes, and may be inert, as discussed in [Bal02][Ch. 8].

 $s_{\langle 1 \rangle} \backslash np_{\langle 2 \rangle \mathit{nom}} / np_{\langle 3 \rangle \mathit{acc}}$

Figure 1: Transitive verb category

Figure 1 shows how the category for a transitive verb can be defined; at the bottom of the figure is a more human-friendly notation for the category. The result category is s. There are two argument categories, an np with accusative case to the right, and an np with nominative case to the left. In the human notation, the feature structure id's are shown subscripted in angle brackets, followed by the features themselves. Note that when the intended feature is evident from the feature value, the feature name is left off; also, when the slash mode is consistent with the slash direction (e.g. \triangleright and /), the mode is not shown, as in [Bal02].

4.3 Words

Since pronouns retain case marking in English, the case requirements on the arguments of a transitive verb have the effect of determining which pronouns can appear in which positions. For example, the first person pronoun I is allowed in subject position, while me is allowed in object position, but not vice-versa.

This naturally leads us to how we specify properties of words in the morph.xml file. For each word, we have to give its wordform and part of

Figure 2: Case macros

speech, as follows:

```
<entry pos="Prep" word="for"/>
```

If the word's stem differs from its form, the stem must be listed too:

```
<entry pos="N" word="policemen" stem="policeman" .. />
```

To add further information, such as case, we use *macros*, as illustrated in Figure 2. In the figure, the entries for the first person pronouns are given, along with their syntactic macros, specified by the *macros* attribute. The case macros, named @nom and @acc, appear next in the figure, defined by the *macro* elements. These macros set the case feature on the category associated with the word (via its part of speech), by accessing the feature structure with id 2 and setting the value of the case feature to nom and acc, respectively. (The number macros @sg and @pl are analogous.) The effects of the macros are shown at the bottom of the figure, where the word forms for the first person pronouns are paired with their associated categories, which differ in their number and case values.

As another example, Figure 3 shows how the person macros are used (together with the number macros) in setting up person and number agreement constraints with various forms of the verb buy. Note that the tense

```
<entry pos="V" word="buy" macros="@pres @non-3rd @sg"/>
<entry pos="V" word="buys" stem="buy" macros="@pres @3rd @sg"/>
<entry pos="V" word="buy" macros="@pres @pl"/>
<entry pos="V" word="buy" macros="@pres @pl"/>
<entry pos="V" word="buy" macros="@pres @pl"/>
<entry pos="V" word="buy" macros="@past"/>
:

<macro name="@1st"> <fs id="2" attr="pers" val="1st"/> </macro>
<macro name="@2nd"> <fs id="2" attr="pers" val="2nd"/> </macro>
<macro name="@3rd"> <fs id="2" attr="pers" val="3rd"/> </macro>
<macro name="@non-3rd"> <fs id="2" attr="pers" val="3rd"/> </macro>
</macro>

buy \vdash s_{\langle 1 \rangle} \setminus np_{\langle 2 \rangle non-3rd,sg,nom} / np_{\langle 3 \rangle acc}
buy \vdash s_{\langle 1 \rangle} \setminus np_{\langle 2 \rangle pl,nom} / np_{\langle 3 \rangle acc}
buy \vdash s_{\langle 1 \rangle} \setminus np_{\langle 2 \rangle nom} / np_{\langle 3 \rangle acc}
bought \vdash s_{\langle 1 \rangle} \setminus np_{\langle 2 \rangle nom} / np_{\langle 3 \rangle acc}
bought \vdash s_{\langle 1 \rangle} \setminus np_{\langle 2 \rangle nom} / np_{\langle 3 \rangle acc}
```

Figure 3: Person macros

macros @pres and @past do not contribute syntactic features; instead they contribute semantic features to the logical form (cf. Section 5). Additionally, note that the macro @non-3rd supplies a syntactic person value that is compatible with both 1st and 2nd, as specified in types.xml (cf. Section 6).

It is important to note that macro instantiation does not involve unification: macros set feature values regardless of any value that might already be present for the feature in the feature structure. Conceivably, it would be convenient on occasion (though computationally more expensive) to use unification, rather than overwriting, during macro instantiation, but there is no support for doing so at present.

4.4 Unification

Speaking of unification, let's examine the role it plays in enforcing subject-verb agreement. The category for the definite article is given in Figure 4. The definite article is compatible with both singular and plural nouns, but it must retain this number information for subject-verb agreement to work. Propagating number information is accomplished here by setting the feature structure id to be same on both the np result category and on the argument category n (i.e., we have id="2" in both cases). Figure 5 provides an illustration, showing how the teacher ends up as a singular np, while the teachers

Figure 4: The definite article

$$\frac{\textit{the}}{\frac{\mathsf{np}_{\langle 2 \rangle \mathit{3rd} / \diamond} \mathsf{n}_{\langle 2 \rangle}}{\mathsf{np}_{\langle 2 \rangle \mathit{3rd}, \mathit{pl}}}} > \frac{\mathsf{*buys}}{\mathsf{s} \backslash \mathsf{np}_{\mathit{3rd}, \mathit{sg}, \mathit{nom}} / \mathsf{np}_{\mathit{acc}}}} \\ \frac{\mathsf{pp}_{\langle 2 \rangle \mathit{3rd}, \mathit{pl}}}{\mathsf{s}_{\langle 1 \rangle} / \mathsf{np}_{\langle 2 \rangle \mathit{3rd}, \mathit{pl}}} > \mathsf{T}} \\ \frac{\mathsf{s}_{\langle 1 \rangle} / \mathsf{s}_{\langle 1 \rangle} \backslash \mathsf{np}_{\langle 2 \rangle \mathit{3rd}, \mathit{pl}}}{\mathsf{s}_{\langle 1 \rangle} / \mathsf{np}_{\mathit{acc}}} > \mathsf{B}}$$

Figure 5: Unification and subject-verb agreement

Figure 6: Default unification with case marking prepositions

ends up as a plural one.¹ Type raising the np and forward composing it with buys requires it to unify with the backwards np argument of the verb, and in particular, requires the number feature to be singular. Since this is only the case with the teacher, the last step in the derivation of the teachers *buys will be blocked by a unification failure.

Coindexing two feature structures ensures that all their features will take on the same values. There are times, however, when we want two feature structures to just mostly take on the same values, except for one or two particular features. To support such cases, OpenCCG includes a limited form of default unification, specified by the *inheritsFrom* attribute of a feature structure element.

The inheritsFrom mechanism is implemented by compiling out the default unification of two feature structures into individual feature equations at the time of lexical lookup. This works as follows. First, any features appearing on the target category, but not the result category, are copied over. Then, for every feature that has been observed in the grammar for the result category—except for any features that already appear there—a feature equation is added, i.e. the feature is set to the same variable on both the target and result categories.

As an example, Figure 6 shows the category for "case marking" prepositions, i.e. those prepositions which are assumed to play a purely syntactic role. The pp result category has a lex feature which is instantiated by the

¹Note that feature structure id's are only shown when relevant to unification. Also, in OpenCCG derivations, the id's are actually mapped to "fresh" ones after lexical lookup, to avoid any accidental coindexations across different lexical items.

Figure 7: Tagalog transitive verb with set args

stem of the actual lexical item, as specified by the keyword "[*DEFAULT*]". Its remaining features are "inherited from" the feature structure with id 3, i.e. the one for the argument np, as specified by inheritsFrom="3". When the category for a case-marking preposition (such as for here) is instantiated, a feature equation is established between the pp and np categories for the index feature (whose purpose will be discussed in the next section); the value of the case feature is also copied over. Thus, in the figure, both the pp result category and the np argument category have an index variable X (and accusative case), while only the result PP has a lex feature, with for as its value.²

4.5 Set args

To conclude our discussion of specifying syntactic categories, we should mention the availability of set args and dollar variables in OpenCCG. Set args enable us to define categories that allow arguments to appear in any order,

²Since the case feature is arguably superfluous on the result PP, one could avoid it by just including an explicit feature equation for the <code>index</code> variable. Generally though, it's simpler and less error-prone to use the <code>inheritsFrom</code> mechanism than to manually include all the relevant feature equations.

```
<complexcat>
  <atomcat type="s"> .. </atomcat>
  <slash/>
  <dollar name="1"/>
  <slash dir="\" mode="*"/>
  <complexcat>
    <atomcat type="s"> .. </atomcat>
    <slash/>
    <dollar name="1"/>
  </complexcat>
  <slash dir="/" mode="*"/>
  <complexcat>
    <atomcat type="s"> .. </atomcat>
    <slash/>
    <dollar name="1"/>
  </complexcat>
</complexcat>
                    and \vdash s\$_1 \setminus_{\star} s\$_1 /_{\star} s\$_1
```

Figure 8: Category with dollar variables for sentential coordination

as illustrated in Figure 7 for the Tagalog verb *nanghuhuli* ("catches"). In the figure, both the nominative and genitive arguments must appear to the right of the verb, but their relative order is unconstrained. Note that the nominative argument is given the more powerful associative and permutative slash, while the genitive argument is given the permutative-only slash; see [Bal02][Ch. 7] for discussion, and [BS05] for further examples.

4.6 Dollar variables

Dollar variables range over a stack of arguments, and can be useful in defining categories for conjunctions, type-raised categories for quantifiers, and categories for unary rules (cf. [Bal02][Ch. 8]). Figure 8 shows the category for and that allows a range of clausal categories to be coordinated—e.g., transitive verbs, verb phrases, or subject-verb constituents, in right-node raising—as discussed in [Whi04a].

5 Logical forms

5.1 Hybrid logic dependency semantics

To associate meanings with categories, we need to take care of two things: the structure of the meaning (logical form) itself, and the relation between the category and that meaning. Usually the latter comes down to specifying how the meanings of arguments are to be fit into the logical form.

As logical forms we use *hybrid logical terms* that specify semantic dependency structures; for details, see [Kru01, BK02, WB03, Whi04a]. (If you're wondering where the λ 's have gone, see Section 5.6.)

We give the logical form of a category using the *lf* element:

```
<complexcat>
  :
  <lf> .. </lf>
</complexcat>
```

The *lf* element must always appear at the end of a category specification (whether atomic or complex).

The simplest logical form is of the form $@_X\phi$, with ϕ a proposition. We interpret X as the *discourse referent* of the proposition. For the proposition itself, we can follow linguistic tradition and use a word's stem to represent its meaning, except that we'll use boldface rather than prime notation (i.e., we'll represent the meaning of *word* as **word** rather than word'). We achieve exactly this effect using the keyword "[*DEFAULT*]", as shown below:

The satop element introduces a satisfaction operator @, along with a nominal variable, or nomvar, X. (In the grammar, we use logic variables rather than concrete instantiations for nominals; during parsing or realization, OpenCCG instantiates these variables dynamically.) Nominals can have types (or sorts) associated with them, as will be explained further in Section 6; here, X is allowed to be any subtype of semantic object. The propelement specifies the proposition.

```
[lexicon.xml]
  <family name="Noun" pos="N">
    <entry name="Primary">
      <atomcat type="n">
         <fs id="2">
           <feat attr="num"> <featvar name="NUM"/> </feat>
           <feat attr="index">
             <lf> <nomvar name="X"/> </lf>
           </feat>
         </fs>
         <1f>
           <satop nomvar="X:sem-obj">
              prop name="[*DEFAULT*]"/>
           </satop>
         </lf>
      </atomcat>
    </entry>
  </family>
[morph.xml]
  <entry pos="N" word="flower" class="thing" macros="@sg @sg-X"/>
  <macro name="@sg-X">
    <1f>
      <satop nomvar="X">
         <diamond mode="num">  rop name="sg"/> </diamond>
      </satop>
    </lf>
  </macro>
           flower \vdash \mathsf{n}_{\langle 2 \rangle sg, X: thing} : @_{X: thing}(\mathbf{flower} \land \langle \mathsf{NUM} \rangle sg)
```

Figure 9: Noun with logical form

5.2 The syntax-semantics interface

To establish the interface between syntactic structure, as defined by the category, and the logical form, we co-index categories with nominals in the logical form, by adding an attribute index to the feature structure of each category and giving that attribute the corresponding nominal as value. To illustrate, Figure 9 (top) shows the complete category definition for nouns. Note how the feature structure includes a feature named index, whose value is a logical form just consisting of the nominal variable X—that is, a variable with the same name as the one introduced in the satisfaction operator of the LF further down.³

In the middle of Figure 9, the entry for the noun *flower* in morph.xml is shown. This entry includes thing as the semantic class (i.e. its semantic type), which is unified with the type of the nominal head X during lexical instantiation. The entry also includes the macros @sg and @sg-X, where the former adds the syntactic feature of singular number, while the latter adds the semantic feature of singular number on the nominal X (as shown below the entry). At the bottom of the figure is the complete category that results from lexical lookup and instantiation of *flower*.

Note that it is possible to fill in the semantic head's proposition with something other than the stem. To do so, we can specify a *pred* to use in place of the stem, when listing a stem as a member of a family:

```
<family closed="true" pos="V" name="TV">
    <entry name="primary">
     :
    </entry>
    :
    <member stem="nanghuhuli" pred="catch"/>
    :
    </family>
```

With this specification, the proposition **catch** will appear in the logical form for the Tagalog verb *nanghuhuli*:

(1) nanghuhuli
$$\vdash s_E\{/\mathsf{np}_{nom,X},/_{\times}\mathsf{np}_{gen,Y}\}: @_E(\mathbf{catch} \land \langle \mathsf{ACTOR} \rangle X \land \langle \mathsf{PATIENT} \rangle Y)$$

 $^{^3}$ It suffices to put all the semantic type restrictions on the nominals in the LF; i.e., it's not necessary to put them on the index variables as well.

```
<complexcat>
  <atomcat type="s">
     <fs id="1">
       <feat attr="index"><lf><nomvar name="E"/></lf></feat>
     </fs>
  </atomcat>
  <slash dir="\" mode="&lt;"/>
  <atomcat type="np">
     <fs id="2"> ..
       <feat attr="index"><lf><nomvar name="X"/></lf></feat>
     </fs>
  </atomcat>
  <slash dir="/" mode="&gt;"/>
  <atomcat type="np">
     <fs id="3"> ...
       <feat attr="index"><lf><nomvar name="Y"/></lf></feat>
     </fs>
  </atomcat>
  <1f>
     <satop nomvar="E:action">
        prop name="[*DEFAULT*]"/>
       <diamond mode="Actor">
         <nomvar name="X:animate-being"/>
       </diamond>
       <diamond mode="Patient">
         <nomvar name="Y:sem-obj"/>
       </diamond>
     </satop>
  </lf>
</complexcat>
                 s_{\langle 1 \rangle E:action} np_{\langle 2 \rangle nom, X:animate-being} np_{\langle 3 \rangle acc, Y:sem-obj}:
                 @_{E:action}(\mathbf{buy} \land \langle \mathtt{TENSE} \rangle \mathtt{past}) \land
                  @_{E:action}(\langle ACTOR \rangle X: animate-being) \land
                  @_{E:action}(\langle PATIENT \rangle Y : sem-obj)
```

Figure 10: Transitive verb with logical form

```
<family name="Det" pos="Det" closed="true" indexRel="det">
  <entry name="Primary">
     <complexcat>
       <atomcat type="np">
         <fs id="2"> ..
            <feat attr="index"><lf><nomvar name="X"/></lf></feat>
         </fs>
       </atomcat>
       <slash dir="/" mode="^"/>
       <atomcat type="n">
         <fs id="2">
            <feat attr="index"><lf><nomvar name="X"/></lf></feat>
         </fs>
       </atomcat>
       <1f>
          <satop nomvar="X:sem-obj">
            <diamond mode="det">prop name="[*DEFAULT*]"/></diamond>
         </satop>
       </lf>
     </complexcat>
  </entry>
  <member stem="a"/>
  <member stem="the"/>
  <member stem="some"/>
</family>
     the \vdash \mathsf{np}_{\langle 2 \rangle 3rd, X: \text{sem-obj}} \land \mathsf{n}_{\langle 2 \rangle X: \text{sem-obj}} : @_{X: \text{sem-obj}} (\langle \text{DET} \rangle \text{the})
```

Figure 11: Determiner with logical form

5.3 Dependency relations

To introduce dependency relations—like those we just saw for the Tagalog verb nanghuhuli—we use the diamond element. For example, to state that an English transitive verb has a logical form with an $\langle ACTOR \rangle$ and a $\langle PATIENT \rangle$, we can specify the category shown in Figure 10. At the bottom of the figure, this category appears instantiated for the verb bought. Note that for ease of display, the logical form has been partially flattened, with each dependency relation appearing on a separate line. (In OpenCCG, logical forms are automatically flattened prior to parsing or realization.)

5.4 Function words

Finally, Figure 11 illustrates how we can specify the meaning of function words, i.e. words that have no independent meaning. The example gives a specification for determiners, which add a semantic feature $\langle \text{DET} \rangle$ to the meaning of the nominal head they modify. The noun itself provides the meaning through co-indexation with X, the root nominal of the logical form for the determiner. At the bottom of the figure is the category instantiated for the definite article *the*.

To support the realization of function words, the semantic relation or feature introduced by the word must be declared using the <code>indexRel</code> attribute on the <code>family</code> element. For example, in Figure 11 we have <code>indexRel="det"</code>, which indicates that the <code>\left(DET)\right)</code> feature should be used to trigger the lookup of the appropriate determiner. (Normally, semantic relations or features are introduced as part of the meaning of content words.) When function words are semantically null—e.g., with case-marking prepositions in the <code>tiny</code> grammar—the keyword *NoSem* should be given as the value of the <code>indexRel</code> attribute.

5.5 Relation sorting

It is possible to specify the order in which to display relations appearing at the same level in the logical forms. By default, relations are sorted alphabetically, with a few exceptions, e.g. that $\langle \text{RESTR} \rangle$ should appear before $\langle \text{BODY} \rangle$. You can customize the order in which relations appear using the optional relation-sorting element in lexicon.xml. See the lexicon.xsd schema for details.

5.6 From CCG to OpenCCG: Taking care of lambdas

In CCG, logical forms are normally given using terms from the lambda calculus, e.g.

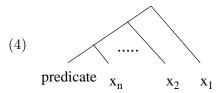
- (2) buy $\vdash (\mathsf{s} \backslash \mathsf{np}_{nom})/\mathsf{np}_{acc} : \lambda x_2 x_1.\mathsf{buy}' x_2 x_1$
- (3) $\mathsf{nanghuhuli} \vdash \mathsf{s}\{\mathsf{/np}_{nom}, \mathsf{/}_{\times} \mathsf{np}_{gen}\} : \lambda\{x_1, x_2\}.\mathsf{catch}' x_2 x_1$

In (2), x_2 corresponds to the outermost argument, $/\mathsf{np}_{acc}$, and x_1 to the innermost one, $\backslash \mathsf{np}_{nom}$. Example (3) uses set-lambda notation with the fol-

⁴In principle, it would be possible for OpenCCG to figure out when a semantic relation or feature should be used for indexing purposes, but the possibility of adding further semantic content via macros makes it non-trivial to do so.

lowing convention (cf. [BS05]): the lambda operator binds a set of variables which are paired with the set of arguments in left-to-right order. Thus, in the second example above, x_1 corresponds to $/np_{nom}$, and x_2 to $/\times np_{qen}$.

The interpretation of the CCG λ -terms above is as in (4), where the argument x_i c-commands x_{i+j} for $j = 1, 2, \dots, n-i$, at the level of predicate-argument structure (c-command is called LF-command in CCG for that reason). This is how (and where) CCG defines binding constraints.



As we have seen earlier in this section, OpenCCG uses hybrid logic dependency semantics (HLDS) terms, rather than λ -terms, in its logical forms. For example, the HLDS terms for (2) and (3) appeared in Figure 10 and in (1). These terms differ from their λ -counterparts in a couple of ways. First, in semantic construction, argument binding is accomplished through unification, rather than via function application. And second, predicates are typically connected to their arguments via semantic roles, such as $\langle AGENT \rangle$ and $\langle PATIENT \rangle$ —though nothing prevents relations such as $\langle ARG1 \rangle$ and $\langle ARG2 \rangle$ from being used instead. Using semantic roles can be more convenient for applications, and makes it possible to capture semantic similarities across argument structure alternations. The downside is that it makes it impossible to enforce binding constraints. In principle, relations encoding semantic roles (e.g. $\langle AGENT \rangle$ and $\langle PATIENT \rangle$) could be combined with ones for argument structure (e.g. $\langle ARG1 \rangle \dots \langle ARGN \rangle$) in the same HLDS logical form, though this has not yet been tried.

6 Types

Types (aka sorts) allow for some abstraction, generalization and specialization in an OpenCCG grammar. Unlike HPSG, OpenCCG only employs atomic types. These types may be used as restrictions on syntactic or semantic feature variables, or given as values of syntactic or semantic features. Multiple-inheritance is allowed (see [Erk03] for further information).

Types are kept in the types file, usually named types.xml. This file is optional, which means that features can be untyped (actually, all features will be considered to be of type top in this case, which is the only predefined type).

Figure 12: Hierarchy of syntactic person values

Figure 13: Hierarchy of semantic types/sorts

In Section 4.3, Figure 3, we saw how the value of the person feature non-3rd could be used to define a category compatible with both 1st and 2nd person singular subjects. This definition relies on the following specification of person values in the tiny grammar's types.xml file, listed in Figure 12. As this example shows, types are defined using a type element and are required to have a name. They may also have a space-separated list of one or more parent types. Indenting may be used to show the primary type-subtype hierarchy: here, 1st and 2nd are subtypes of non-3rd, while non-3rd and 3rd are subtypes of pers-vals. If no parent types are listed—as with pers-vals—the type is implicitly a subtype of top.

The OpenCCG type system does not distinguish between syntactic and semantic types; it is up to the grammar designer to ensure their systematic use. For example, if there is to be a syntactic hierarchy and a semantic hierarchy of types, it is a good idea to define a 'top object' for each (or

⁵Since multiple parents are allowed, nesting of elements is not used to define type-subtype relationships.

at least for one of them), e.g. sem-obj as the root of the semantic type hierarchy, as illustrated in Figure 13. The types in this figure are the ones assumed by the transitive verb category given in Figure 10. With these types, OpenCCG can parse and realize he bought a flower, but not *a flower bought he, since flower has type thing, and thing is not compatible with the type animate-being, as is required for the $\langle ACTOR \rangle$ of a buy action.

7 Rules

The rules file, typically named rules.xml, specifies the combinatory rules for a grammar. The rule specifications for the tiny grammar appear below:

```
<!-- Application -->
<application dir="forward"/>
<application dir="backward"/>
<!-- Harmonic Composition -->
<composition dir="forward" harmonic="true"/>
<composition dir="backward" harmonic="true"/>
<!-- Crossed Composition -->
<composition dir="forward" harmonic="false"/>
<composition dir="forward" harmonic="false"/>
<composition dir="backward" harmonic="false"/>
<!-- Type-raising -->
<typeraising dir="forward" useDollar="false"/>
<typeraising dir="backward" useDollar="true"/>
<typeraising>
```

In addition to the rules shown here, it is also possible to have substitution rules, as well as additional type raising rules. By default, the argument and result categories for a type raising rule are np and s, respectively. To create a type raising rule using different categories, you can use an arg and/or result element to specify the desired atomic category. For example, a backward type raising rule for prepositional phrases is included as the last rule above.

Theoretically speaking, CCG combinatory rules are universal; any lexicalized grammar has access to them if it uses in the lexical categories the modalities licensed by the rules (see [SB03] for further information). The

rules file can also incorporate rules that are language specific. To illustrate, let's consider the case of pro drop in Turkish. Turkish is a pro-drop language, which means that subjects of finite clauses can be dropped because morphology of the finite verb already indicates the subject:

- Ben uyu-du-m/*-n
 (a) I sleep-PAST-1SG/*-2SG
 'I slept.'
- Uyu-du-m
 (b) sleep-PAST-1SG
 '(I) slept.'

Pro drop can be modelled in different ways. For example, one can write a lexical rule to generate the derived lexical entries of finite verbs in the lexicon, so that every finite verb has two lexical entries, one derived from the other—e.g. (1) below, which asserts $s_{fin} \ p_{acc}$ and s_{fin} entries in the lexicon from $s_{fin} \ p_{nom} \ p_{acc}$ and $s_{fin} \ p_{nom}$, etc.

$$\mathsf{s}_{fin} \setminus \mathsf{np}_{nom} \$_1 \Rightarrow \mathsf{s}_{fin} \$_1$$
 (1)

This strategy has theoretical and practical implications. Theoretically, it assumes that *all* morphology is confined to the lexicon, including inflectional morphology, which is usually regarded as part of syntax (see e.g. [Boz02] for its implications for the transparency of syntax-semantics correspondence). OpenCCG does not assume that there are only words in the lexicon; anything that can bear a category (words, affixes, clitics) can be a lexical item.⁶

On the practical side, it assumes that all inflected forms of the verb are listed in the lexicon. For morphologically rich languages such as Turkish, this amounts to around 2^8 entries per verb because Turkish has 8 inflections in the verb paradigm, all of which are optional.

An alternative is to add a unary rule for pro drop to rules.xml. This rule will apply "on the fly", that is, it can apply to lexical or combinatorially-derived inflected verb forms. The rule, which implements (1), may be specified as shown in Figure 14 (we omit the \$ variable for simplicity). Unary

⁶Currently, OpenCCG does not have any mechanism to enforce the *Lexical Integrity Principle* of [BM95], which basically states that words are islands as far as syntax is concerned, e.g. it is not possible to extract out of a word. [Boz02] proposes that different attachment characteristics of words and bound morphemes can be factored into CCG's lexical entries and combinatory rules (the latter simply projects them onto surface grammar), in effect rendering LIP as a phonological principle, but this is an open problem for now.

```
<typechanging name="pd">
    <arg>
      <complexcat>
        <atomcat type="s">
          <fs id="1">
            <feat attr="v-form" val="finite"/>
          </fs>
        </atomcat>
        <slash dir="\"/>
        <atomcat type="n">
          <fs> <feat attr="case" val="nom"/> </fs>
        </atomcat>
      </complexcat>
    </arg>
    <result>
      <atomcat type="s"> <fs id="1"/> </atomcat>
    </result>
  </typechanging>
</rules>
```

Figure 14: Unary rule for pro drop

rules are defined using a *typechanging* element, since such rules must change the type of the argument category—otherwise, nothing would prevent the rule from applying again and again to its own output.

8 Trying it out

Once you've configured and built OpenCCG per the README file, you're ready to try out the grammar testing tools. You can experiment with the grammars described in SAMPLE_GRAMMARS or make one of your own. In the latter case, it will be easier if you create your grammar in its own subdirectory of the grammars directory.

There are (at present) three command line tools for trying grammars out: tccg, ccg-test and ccg-realize.

8.1 tccg

The tccg tool (for "text CCG") is for interactively testing a grammar. Its (primary) usage is

```
tccg (<grammarfile>)
```

The default grammar file name is grammar.xml. You can try it out by going to the grammars/tiny directory and running tccg, like so:⁷

```
D:\Mike\dev\openccg\grammars\tiny>tccg
Loading grammar from URL: file:/D:/Mike/dev/openccg/grammars
/tiny/grammar.xml
Grammar 'tiny' loaded.

Enter strings to parse.
Type ':r' to realize selected reading of previous parse.
Type ':h' for help on display options and ':q' to quit.
You can use the tab key for command completion,
Ctrl-P (prev) and Ctrl-N (next) to access the command history,
and emacs-style control keys to edit the line.

tccg>
```

Typing in :h shows all the available commands. For example, :derivs turns on the display of derivations when you parse an expression:

⁷Examples like this one may have an occasional extra line break to improve readability.

```
tccg> :derivs
tccg> the teacher buys
3 parses found.

Parse 1: s/np
------
(lex) the :- np/^n
(lex) teacher :- n
(>) the teacher :- np
(>T) the teacher :- s/@i(s\@inp)
(lex) buys :- s\np/np
(>B) the teacher buys :- s/np
```

tccg>

Here we see a (simplified) vertical display of the derivation seen earlier in Figure 5. (If you have LaTeX installed, it's also possible to see derivations like those in Figure 5 using the :vison command, but note that its current behavior is a bit flaky.) Only the first parse is shown; the other two parses, for the ditransitive and $np\ pp_{for}$ categories of the verb, can be seen by turning on all derivations with the :all command. To see the features on the categories, you can use the :feats command, optionally with a subset of features to show.

Logical forms can be shown with the :sem command:

```
tccg> :noderivs
tccg> :sem
tccg> she bought the policeman a flower
1 parse found.
Parse: s :
  @b1:action(buy ^
             <tense>past ^
             <Actor>(p1:animate-being ^ pro3f ^
                      <num>sg) ^
             <Beneficiary>(p2:person ^ policeman ^
                            <det>the ^
                            <num>sg) ^
             <Patient>(f1:thing ^ flower ^
                        <det>a 1
                        <num>sg))
tccg>
```

To see the realizations for this logical form (i.e., the one from the previous parse), use the :r command:

```
tccg> :nosem
tccg> :r
[1.000] she bought the policeman a flower :- s
[0.167] she bought a flower for the policeman :- s
tccg>
```

Realizations are ordered by their n-gram similarity to the previously entered expression. You can have a look in the morph.xml file for more words to form expressions with.

Note that the settings of the various options available in tccg; use :reset to undo all these settings and return to the default ones.

8.2 ccg-test

The ccg-test tool is for regression testing, and also provides options for timing the realizer. Its (primary) usage is

By default, ccg-test will use the grammar in the current directory and the default regression file, testbed.xml.

Note that you can set realizer options, such as its time limit, in tccg, e.g. by issuing the command :tl 1000 (for time limit 1000 ms.), and this value will persist and be used by ccg-test.

8.3 ccg-realize

The ccg-realize tool provides a sample interface to the realizer (see the underlying opennlp/ccg/Realize.java file), and can be an aid in debugging realization. It loads a grammar, runs the realizer on an input XML file, and logs its processing to an output text file (or to system out). Its usage is

```
ccg-realize (-g <grammarfile>) <inputfile> (<outputfile>)
```

You can create input files for ccg-realize using the :2xml option in tccg.

9 Building grammars

OpenCCG comes with various utilities to help you build the files used by the runtime system—and to validate their contents—rather than writing them entirely by hand. The utilities take advantage of the ccg-build front end to the Apache Ant (http://ant.apache.org) build tool. In principle, ccg-build allows you to organize your files in any way you like to produce the runtime grammar files.

9.1 Validating the grammar files

You can use ccg-build to validate the grammar files against their XML schemas. To do so, you need to have a build.xml file in your grammar directory, which contains build tasks for the Apache Ant tool to carry out. The tiny grammar directory contains a build file which just validates the runtime files, as shown below:

If there are any errors, validation with ccg-build gives relatively informative error messages. Loading a grammar into tccg will perform some further checks, but note that loading a grammar with errors usually means tccg croaks—outputting only (less informative) stack traces—so it's good practice to validate any changes you make to your grammars prior to running tccg.

9.2 Using a dict.xml file

Rather than creating a morph.xml file directly, you can employ a dict.xml file, which groups word forms by their stems and parts of speech, and lists the closed families for a given stem. A dict.xml files usually works together with

a file called lexicon-base.xml, which does not contain member entries for families. From the dict.xml file—which also contains macro definitions—the morph.xml file can be generated automatically, with proper hooks to a derived lexicon.xml file, using ccg-build. (See the dict.xsd schema in the grammars directory for a complete description.)

In short, the simplest way to use a dict.xml file with ccg-build is to prepare a family of categories in the file named lexicon-base.xml without member entries, and to group stems and their word forms in a file called dict.xml, along with macro definitions.

A sample entry from the cem-english dict.xml file appears below:

```
<entry stem="eat" pos="V">
  <member-of family="IV"/>
  <member-of family="TV"/>
  <word form="eat" macros="@nonfin"/>
   <word form="eats" macros="@pres @+3rd-agr @sg-agr"/>
   <word form="ate" macros="@past"/>
   <word form="eaten" macros="@past-part"/>
  </entry>
```

Note that the stem *eat* is declared intransitive and transitive without duplication in the morph or lexicon files. If you run ccg-build as follows,

there will be two lexical assignments for every word form of *eat*, one for its intransitive use, and one for transitive.

9.3 Reducing redundancy with XSLT

XSLT is a language for transforming XML documents. Two XSLT transformations, add-family-members.xsl and extract-morph.xsl, are used by ccg-build to handle dict.xml files. You can also use XSLT transformations to reduce redundancy in the lexico-grammar specifications.

For example, the worldcup grammar illustrates a couple of ways of using XSLT to improve the specification of lexical families. With this grammar, the lexicon-base.xml file is generated from an XSLT transformation, called lexicon-base.xsl. This file begins with the definition of variables for the various atomic categories used in later complex category and family definitions. The variable names follow the format

```
<cat>(.<id>)?(.from-<id>)?(.<index>?)(.<feat-descriptions>)*
```

i.e., the category label, followed optionally by the id, the inheritsFrom id, the index variable, and any further feature descriptions. This convention allows one to see what atomic categories are already in use, and to determine the contents of an atomic category at a glance. For example, np.3.Y.acc is the name of the category with label np, id 3, index Y, and the case value acc; np.2.X.default is similar, but has default variables for all features other than the index.

Once variables have been declared, they can be referenced further on using xsl:copy-of statements. For example, the variable np.2.X.default is referenced seven times in lexicon-base.xsl. In this way, if a change to np.2.X.default is desired, it can be made in one place in the file, rather than seven.

Another XSLT mechanism employed in lexicon-base.xsl is a named templated called extend which serves to append one element as the last child of another. This mechanism is used to associate logical forms with syntactic categories, as well as to create new categories from existing ones. For example, in

```
select="$fslash-n.2.X"/>
</xsl:call-template>
```

the category for a subject type-raised wh-determiner, like which, is created by extending the category of a subject type-raised wh-noun phrase (e.g. who) with an extra nominal argument, fslash-n.2.X (declared earlier as a forward slash plus a category with label n, id 2 and index X).⁸ In this way, any changes made to the category for wh-determiners will carry over to the category for wh-noun phrases.

As XSLT is a powerful and extensible XML transformation language, there are many further possibilities for using it in grammar development—limited only by your imagination (and hacking ability).

References

</xsl:variable>

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⁸The xalan:nodeset function makes it possible to use a variable as a parameter to a named template; its use won't be necessary in future versions of XSLT.

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