Assignment_3_2

June 13, 2020

1 Assignment 3, Question 2

Group 52 * Student 1 : Tommaso Bonomo (1511831) * Student 2 : Mattia Molon (1511866)

Reading material * [1] Oriol Vinyals, Alexander Toshev, Samy Bengio, Dumitru Erhan, "Show and Tell: A Neural Image Caption Generator", CVPR, 2015. https://arxiv.org/abs/1411.4555

Task: Implement and test the image caption generator proposed in [1], see further instructions below. Please insert your code between two consecutive occurrences of # ...

NOTE When submitting your notebook, please make sure that the training history of your model is visible in the output. This means that you should **NOT** clean your output cells of the notebook. Make sure that your notebook runs without errors in linear order.

```
[1]: %matplotlib inline
     import numpy as np
     import matplotlib.pyplot as plt
     import os
     import shutil
     import pickle
     import time
     import nltk
     import keras.backend as K
     from tensorflow.keras import Model
     from tensorflow.keras.models import load_model
     from tensorflow.keras.layers import Input, Embedding, Dense, LSTM, Dropout,

→ concatenate

     from tensorflow.keras import losses, optimizers
     from tensorflow.keras.applications import MobileNetV2
     from tensorflow.keras.callbacks import EarlyStopping
     from google.colab import drive
     # training parameters
     embedding_dim = 512
     lstm_dim = 500
     lstm_dropout = 0.5
```

```
batch_size = 100
```

Using TensorFlow backend.

2 Mount Google Drive

We will save the data and our model there, in the folder deeplearning 2020_ass3_task1. This requires about 600 MB.

```
[2]: if not os.path.isdir('drive'):
    drive.mount('drive')
else:
    print('drive already mounted')

base_path = os.path.join('drive', 'My Drive', 'Università', 'Deep Learning',
    →'Assignment 3', 'deeplearning2020_ass3_task1')
if not os.path.isdir(base_path):
    os.makedirs(base_path)
```

Go to this URL in a browser: https://accounts.google.com/o/oauth2/auth?client_id =947318989803-6bn6qk8qdgf4n4g3pfee6491hc0brc4i.apps.googleusercontent.com&redire ct_uri=urn%3aietf%3awg%3aoauth%3a2.0%3aoob&response_type=code&scope=email%20https%3a%2f%2fwww.googleapis.com%2fauth%2fdocs.test%20https%3a%2f%2fwww.googleapis.com%2fauth%2fdrive.photos.readonly%20https%3a%2f%2fwww.googleapis.com%2fauth%2fpeopleapi.readonly

```
Enter your authorization code:
.....
Mounted at drive
```

3 Download Data - Flickr8k

Please don't distribute the dataset

This is a preprocessed version of the Flickr8k dataset, with punctuation and special tokens removed. Furthermore, any word which occurs less than 5 times in the whole corpus has been removed. The images have been rescaled to 128x128 RGB.

images: numpy array (8091,128,128,3), uint8, holding 8091 RGB images.

captions: collection of 5 human-annotated captions for each image. Stored as a python list of length 8091. * captions[i] is a list of length 5, for i=0..8090, holding the 5 annotations for the i'th image. * captions[i][j] is a caption, represented as a list of strings, for i=0..8090, j=0..4. * For example: captions[42][3] = ['a', 'young', 'boy', 'wearing', 'a', 'red', 'coat', 'is', 'playing', 'in', 'a', 'long', 'tunnel']. * Thus, there are in total 8091 * 5 = 40455 captions.

Please don't distribute the dataset

```
[3]: if not os.path.isdir('drive'):
       raise AssertionError('Google drive seems to be unmounted -- please run cell∟
      ⇒above.')
     flickr_file = os.path.join(base_path, 'Flickr8k_processed.pkl')
     if not os.path.isfile(flickr_file):
       start_time = time.time()
       if not os.path.isfile(flickr_file):
         ! wget https://surfdrive.surf.nl/files/index.php/s/kOIDM5tQPzv6IID/download_
     →-O Flickr8k_processed.pkl
         shutil.move('Flickr8k_processed.pkl', flickr_file)
      print("Elapsed time: {} seconds.".format(time.time()-start time))
     else:
       print('Found file {}'.format(flickr_file))
     images, captions = pickle.load(open(flickr file, 'rb'))
     print('Data loaded.')
     print('images: {} {}'.format(images.shape, type(images), images.dtype))
     print('captions: {} {}'.format(len(captions), type(captions)))
```

Found file drive/My Drive/Università/Deep Learning/Assignment 3/deeplearning2020_ass3_task1/Flickr8k_processed.pkl Data loaded.
images: (8091, 128, 128, 3) <class 'numpy.ndarray'> uint8 captions: 8091 <class 'list'>

4 Extract Image Representation

- Use the 'Conv_1' layer from *MobileNetV2* to generate neural codes for each image in the array *images*.
- Please generate a (8091,20480) numpy array in single precision (dtype=np.float32) holding the neural codes, where each row holds the code for the corresponding row in *images*.
- Call the resulting array image codes.
- **Hint:** Process the images in batches (of e.g. 200), as the GPU won't be able to process all 8091 images in parallel.
- **Hint:** MobileNetV2 requires images in floating point as inputs, with pixels rescaled to range [-1,1]. In order to save some RAM (and reduce troubles with Colab running out of resources), convert only the batches into single precision, and keep the *images* in their original format (uint8).

```
# rescale images
 preprocessed_images = (images / 255) * 2 - 1
  # retrieve model and compute codes
  input_layer = convnet.inputs
  output_layer = convnet.get_layer('Conv_1').output
 model = Model(inputs=input_layer, outputs=output_layer)
 print('Computing neural codes...')
  image_codes = model.predict(preprocessed_images, batch_size=100, verbose=1)
  # reshape codes
  shape = (len(image_codes), -1)
  image_codes = image_codes.reshape(shape)
 return image_codes
image_codes = get_image_codes(images)
print(image_codes.shape, image_codes.dtype)
Downloading data from https://storage.googleapis.com/tensorflow/keras-applicatio
ns/mobilenet_v2/mobilenet_v2_weights_tf_dim_ordering_tf_kernels_1.0_128_no_top.h
5
Model: "mobilenetv2_1.00_128"
______
Layer (type)
                       Output Shape
                                       Param #
                                                Connected to
______
                 [(None, 128, 128, 3) 0
input_1 (InputLayer)
Conv1_pad (ZeroPadding2D) (None, 129, 129, 3) 0
                                                input_1[0][0]
Conv1 (Conv2D)
                        (None, 64, 64, 32) 864 Conv1_pad[0][0]
______
bn_Conv1 (BatchNormalization) (None, 64, 64, 32) 128 Conv1[0][0]
Conv1_relu (ReLU)
                       (None, 64, 64, 32) 0
                                                bn Conv1[0][0]
```

| expanded_conv_depthwise (Depthw Conv1_relu[0][0] | (None, | 64, | 64, | 32) | 288 |
|---|--------|-----|-----|-----|------|
| expanded_conv_depthwise_BN (Bat expanded_conv_depthwise[0][0] | (None, | 64, | 64, | 32) | 128 |
| expanded_conv_depthwise_relu (Rexpanded_conv_depthwise_BN[0][0] | | 64, | 64, | 32) | 0 |
| expanded_conv_project (Conv2D) expanded_conv_depthwise_relu[0] | [0 | | | 16) | 512 |
| expanded_conv_project_BN (Batch expanded_conv_project[0][0] | | | 64, | | 64 |
| block_1_expand (Conv2D) expanded_conv_project_BN[0][0] | (None, | | 64, | 96) | 1536 |
| block_1_expand_BN (BatchNormaliblock_1_expand[0][0] | | | | | 384 |
| block_1_expand_relu (ReLU) block_1_expand_BN[0][0] | (None, | 64, | 64, | 96) | 0 |
| block_1_pad (ZeroPadding2D) block_1_expand_relu[0][0] | (None, | | | | 0 |
| block_1_depthwise (DepthwiseCon block_1_pad[0][0] | (None, | 32, | 32, | 96) | 864 |
| block_1_depthwise_BN (BatchNorm block_1_depthwise[0][0] | (None, | 32, | 32, | 96) | 384 |
| block_1_depthwise_relu (ReLU) block_1_depthwise_BN[0][0] | (None, | | | | |
| | | | | | |

| block_1_project (Conv2D) block_1_depthwise_relu[0][0] | (None, | 32, | 32, | 24) | 2304 |
|---|--------|-----|-----|------|------|
| block_1_project_BN (BatchNormal block_1_project[0][0] | | | | | 96 |
| block_2_expand (Conv2D) block_1_project_BN[0][0] | (None, | | | | |
| block_2_expand_BN (BatchNormaliblock_2_expand[0][0] | | | | | |
| block_2_expand_BN[0][0] | (None, | | | | |
| block_2_depthwise (DepthwiseCon block_2_expand_relu[0][0] | | | | | |
| block_2_depthwise_BN (BatchNorm block_2_depthwise[0][0] | | | | | |
| block_2_depthwise_relu (ReLU) block_2_depthwise_BN[0][0] | (None, | 32, | 32, | 144) | 0 |
| block_2_project (Conv2D) block_2_depthwise_relu[0][0] | (None, | · | Í | · | 3456 |
| block_2_project_BN (BatchNormal block_2_project[0][0] | (None, | 32, | 32, | 24) | 96 |
| block_2_add (Add) block_1_project_BN[0][0] block_2_project_BN[0][0] | (None, | | | | |
| block_3_expand (Conv2D) block_2_add[0][0] | (None, | 32, | 32, | 144) | 3456 |

```
block_3_expand_BN (BatchNormali (None, 32, 32, 144) 576
block_3_expand[0][0]
block_3_expand_relu (ReLU)
                 (None, 32, 32, 144) 0
block_3_expand_BN[0][0]
_____
block_3_pad (ZeroPadding2D) (None, 33, 33, 144) 0
block_3_expand_relu[0][0]
block_3_depthwise (DepthwiseCon (None, 16, 16, 144) 1296
block_3_pad[0][0]
block_3_depthwise_BN (BatchNorm (None, 16, 16, 144) 576
block_3_depthwise[0][0]
______
block_3_depthwise_relu (ReLU) (None, 16, 16, 144) 0
block_3_depthwise_BN[0][0]
_____
                (None, 16, 16, 32) 4608
block_3_project (Conv2D)
block_3_depthwise_relu[0][0]
-----
block_3_project_BN (BatchNormal (None, 16, 16, 32) 128
block_3_project[0][0]
______
                   (None, 16, 16, 192) 6144
block_4_expand (Conv2D)
block 3 project BN[0][0]
______
block_4_expand_BN (BatchNormali (None, 16, 16, 192) 768
block_4_expand[0][0]
______
block_4_expand_relu (ReLU) (None, 16, 16, 192) 0
block_4_expand_BN[0][0]
block_4_depthwise (DepthwiseCon (None, 16, 16, 192) 1728
block_4_expand_relu[0][0]
```

| block_4_depthwise_BN (BatchNorm block_4_depthwise[0][0] | (None, | 16, | 16, | 192) | 768 |
|---|--------|-----|-----|------|------|
| block_4_depthwise_relu (ReLU) block_4_depthwise_BN[0][0] | (None, | 16, | 16, | 192) | 0 |
| block_4_project (Conv2D) block_4_depthwise_relu[0][0] | (None, | 16, | 16, | 32) | 6144 |
| block_4_project_BN (BatchNormal block_4_project[0][0] | (None, | 16, | 16, | 32) | 128 |
| block_4_add (Add) block_3_project_BN[0][0] block_4_project_BN[0][0] | (None, | 16, | 16, | 32) | 0 |
| block_5_expand (Conv2D) block_4_add[0][0] | (None, | 16, | 16, | 192) | 6144 |
| block_5_expand_BN (BatchNormaliblock_5_expand[0][0] | (None, | 16, | 16, | 192) | 768 |
| block_5_expand_relu (ReLU) block_5_expand_BN[0][0] | (None, | 16, | 16, | 192) | 0 |
| block_5_depthwise (DepthwiseCon block_5_expand_relu[0][0] | | | | | 1728 |
| block_5_depthwise_BN (BatchNorm block_5_depthwise[0][0] | (None, | 16, | 16, | 192) | |
| block_5_depthwise_relu (ReLU) block_5_depthwise_BN[0][0] | (None, | 16, | 16, | 192) | 0 |
| block_5_project (Conv2D) block_5_depthwise_relu[0][0] | (None, | | | | |

| block_5_project[0][0] | (None, | 16, 16, 32) | 128 |
|--|--------|--------------|-------|
| block_5_add (Add) block_4_add[0][0] block_5_project_BN[0][0] | (None, | 16, 16, 32) | 0 |
| block_6_expand (Conv2D) block_5_add[0][0] | (None, | 16, 16, 192) | 6144 |
| block_6_expand_BN (BatchNormaliblock_6_expand[0][0] | (None, | 16, 16, 192) | 768 |
| block_6_expand_relu (ReLU) block_6_expand_BN[0][0] | (None, | 16, 16, 192) | 0 |
| block_6_pad (ZeroPadding2D) block_6_expand_relu[0][0] | (None, | 17, 17, 192) | 0 |
| block_6_depthwise (DepthwiseCon block_6_pad[0][0] | (None, | 8, 8, 192) | 1728 |
| block_6_depthwise_BN (BatchNorm block_6_depthwise[0][0] | (None, | 8, 8, 192) | 768 |
| block_6_depthwise_relu (ReLU) block_6_depthwise_BN[0][0] | | | |
| block_6_project (Conv2D) block_6_depthwise_relu[0][0] | | 8, 8, 64) | |
| block_6_project[0][0] | | | 256 |
| block_7_expand (Conv2D) | | 8, 8, 384) | 24576 |

| block_6_project_BN[0][0] | | |
|---|-------------------|-------|
| block_7_expand_BN (BatchNormaliblock_7_expand[0][0] | (None, 8, 8, 384) | 1536 |
| block_7_expand_relu (ReLU) block_7_expand_BN[0][0] | (None, 8, 8, 384) | 0 |
| block_7_depthwise (DepthwiseCon block_7_expand_relu[0][0] | | 3456 |
| block_7_depthwise_BN (BatchNorm block_7_depthwise[0][0] | (None, 8, 8, 384) | 1536 |
| block_7_depthwise_relu (ReLU) block_7_depthwise_BN[0][0] | (None, 8, 8, 384) | |
| block_7_project (Conv2D) block_7_depthwise_relu[0][0] | (None, 8, 8, 64) | 24576 |
| block_7_project[0][0] | (None, 8, 8, 64) | 256 |
| block_7_add (Add) block_6_project_BN[0][0] block_7_project_BN[0][0] | (None, 8, 8, 64) | 0 |
| block_8_expand (Conv2D) block_7_add[0][0] | (None, 8, 8, 384) | 24576 |
| block_8_expand[0][0] | | 1536 |
| block_8_expand_relu (ReLU) block_8_expand_BN[0][0] | (None, 8, 8, 384) | 0 |
| | | |

| block_8_depthwise (DepthwiseCon block_8_expand_relu[0][0] | (None, | 8, | 8, | 384) | 3456 |
|--|--------|----|----|------|-------|
| block_8_depthwise_BN (BatchNorm block_8_depthwise[0][0] | (None, | 8, | 8, | 384) | 1536 |
| block_8_depthwise_relu (ReLU) block_8_depthwise_BN[0][0] | (None, | 8, | | 384) | 0 |
| block_8_project (Conv2D) block_8_depthwise_relu[0][0] | (None, | 8, | | 64) | |
| block_8_project_BN (BatchNormal block_8_project[0][0] | (None, | 8, | 8, | 64) | 256 |
| block_8_add (Add) block_7_add[0][0] block_8_project_BN[0][0] | (None, | 8, | 8, | 64) | 0 |
| block_9_expand (Conv2D) block_8_add[0][0] | (None, | 8, | 8, | 384) | 24576 |
| block_9_expand_BN (BatchNormaliblock_9_expand[0][0] | (None, | 8, | 8, | 384) | 1536 |
| block_9_expand_relu (ReLU) block_9_expand_BN[0][0] | (None, | 8, | 8, | 384) | 0 |
| block_9_depthwise (DepthwiseCon block_9_expand_relu[0][0] | | | | | 3456 |
| block_9_depthwise_BN (BatchNorm block_9_depthwise[0][0] | (None, | 8, | 8, | 384) | 1536 |
| block_9_depthwise_relu (ReLU) block_9_depthwise_BN[0][0] | | | | 384) | 0 |

| block_9_project (Conv2D) block_9_depthwise_relu[0][0] | (None, | 8, | 8, | 64) | 24576 |
|--|--------|----|----|------|-------|
| block_9_project_BN (BatchNormal block_9_project[0][0] | (None, | 8, | 8, | 64) | 256 |
| block_9_add (Add) block_8_add[0][0] block_9_project_BN[0][0] | (None, | 8, | 8, | 64) | 0 |
| block_10_expand (Conv2D) block_9_add[0][0] | (None, | 8, | 8, | 384) | 24576 |
| block_10_expand_BN (BatchNormal block_10_expand[0][0] | (None, | 8, | 8, | 384) | 1536 |
| block_10_expand_relu (ReLU) block_10_expand_BN[0][0] | | | | 384) | |
| block_10_depthwise (DepthwiseCoblock_10_expand_relu[0][0] | | | | | 3456 |
| block_10_depthwise_BN (BatchNorblock_10_depthwise[0][0] | (None, | 8, | 8, | 384) | 1536 |
| block_10_depthwise_relu (ReLU) block_10_depthwise_BN[0][0] | (None, | | | | 0 |
| block_10_project (Conv2D) block_10_depthwise_relu[0][0] | (None, | | | | 36864 |
| block_10_project_BN (BatchNorma block_10_project[0][0] | (None, | 8, | 8, | 96) | 384 |
| block_11_expand (Conv2D) block_10_project_BN[0][0] | (None, | 8, | 8, | 576) | 55296 |

| block_11_expand_BN (BatchNormal block_11_expand[0][0] | (None, 8, 8, 576) | 2304 |
|--|-------------------|------|
| block_11_expand_relu (ReLU) block_11_expand_BN[0][0] | (None, 8, 8, 576) | 0 |
| block_11_depthwise (DepthwiseCoblock_11_expand_relu[0][0] | (None, 8, 8, 576) | 5184 |
| block_11_depthwise_BN (BatchNorblock_11_depthwise[0][0] | (None, 8, 8, 576) | 2304 |
| block_11_depthwise_relu (ReLU) block_11_depthwise_BN[0][0] | (None, 8, 8, 576) | 0 |
| block_11_project (Conv2D) block_11_depthwise_relu[0][0] | (None, 8, 8, 96) | |
| block_11_project_BN (BatchNorma block_11_project[0][0] | (None, 8, 8, 96) | 384 |
| block_11_add (Add) block_10_project_BN[0][0] block_11_project_BN[0][0] | (None, 8, 8, 96) | 0 |
| block_12_expand (Conv2D) block_11_add[0][0] | (None, 8, 8, 576) | |
| block_12_expand_BN (BatchNormal block_12_expand[0][0] | | 2304 |
| block_12_expand_relu (ReLU) block_12_expand_BN[0][0] | (None, 8, 8, 576) | |
| block_12_depthwise (DepthwiseCo | | 5184 |

| block_12_expand_relu[0][0] | | |
|---|-------------------|-------|
| block_12_depthwise_BN (BatchNorblock_12_depthwise[0][0] | (None, 8, 8, 576) | 2304 |
| block_12_depthwise_relu (ReLU) block_12_depthwise_BN[0][0] | (None, 8, 8, 576) | 0 |
| block_12_depthwise_relu[0][0] | (None, 8, 8, 96) | 55296 |
| block_12_project[0][0] | | 384 |
| block_12_add (Add) block_11_add[0][0] block_12_project_BN[0][0] | (None, 8, 8, 96) | 0 |
| block_13_expand (Conv2D) block_12_add[0][0] | (None, 8, 8, 576) | 55296 |
| block_13_expand_BN (BatchNormal block_13_expand[0][0] | (None, 8, 8, 576) | 2304 |
| block_13_expand_relu (ReLU) block_13_expand_BN[0][0] | (None, 8, 8, 576) | 0 |
| block_13_pad (ZeroPadding2D) block_13_expand_relu[0][0] | (None, 9, 9, 576) | 0 |
| block_13_depthwise (DepthwiseCoblock_13_pad[0][0] | (None, 4, 4, 576) | 5184 |
| block_13_depthwise_BN (BatchNorblock_13_depthwise[0][0] | (None, 4, 4, 576) | 2304 |
| | | : |

| block_13_depthwise_relu (ReLU) block_13_depthwise_BN[0][0] | (None, 4, 4, | 576) | 0 |
|--|--------------|------|--------|
| block_13_project (Conv2D) block_13_depthwise_relu[0][0] | (None, 4, 4, | 160) | 92160 |
| block_13_project_BN (BatchNorma block_13_project[0][0] | (None, 4, 4, | 160) | 640 |
| block_14_expand (Conv2D) block_13_project_BN[0][0] | (None, 4, 4, | 960) | 153600 |
| block_14_expand_BN (BatchNormal block_14_expand[0][0] | (None, 4, 4, | 960) | 3840 |
| block_14_expand_relu (ReLU) block_14_expand_BN[0][0] | (None, 4, 4, | 960) | 0 |
| block_14_depthwise (DepthwiseCoblock_14_expand_relu[0][0] | (None, 4, 4, | 960) | 8640 |
| block_14_depthwise_BN (BatchNorblock_14_depthwise[0][0] | (None, 4, 4, | 960) | 3840 |
| block_14_depthwise_relu (ReLU) block_14_depthwise_BN[0][0] | | | 0 |
| block_14_project (Conv2D) block_14_depthwise_relu[0][0] | (None, 4, 4, | | 153600 |
| block_14_project_BN (BatchNorma block_14_project[0][0] | | | 640 |
| block_14_add (Add) block_13_project_BN[0][0] block_14_project_BN[0][0] | (None, 4, 4, | | 0 |

| block_15_expand (Conv2D) block_14_add[0][0] | (None, 4, 4, 960 | 0) 153600 |
|---|------------------|-----------|
| block_15_expand[0][0] | (None, 4, 4, 960 | 0) 3840 |
| block_15_expand_relu (ReLU) block_15_expand_BN[0][0] | (None, 4, 4, 960 | 0) 0 |
| block_15_expand_relu[0][0] | (None, 4, 4, 960 | 0) 8640 |
| block_15_depthwise_BN (BatchNorblock_15_depthwise[0][0] | | 0) 3840 |
| | (None, 4, 4, 960 | 0) 0 |
| block_15_project (Conv2D) block_15_depthwise_relu[0][0] | (None, 4, 4, 160 | 0) 153600 |
| block_15_project[0][0] | (None, 4, 4, 160 | 0) 640 |
| block_15_add (Add) block_14_add[0][0] block_15_project_BN[0][0] | (None, 4, 4, 160 | |
| block_16_expand (Conv2D) block_15_add[0][0] | (None, 4, 4, 960 | 0) 153600 |
| block_16_expand_BN (BatchNormal block_16_expand[0][0] | (None, 4, 4, 960 | |
| block_16_expand_relu (ReLU) block_16_expand_BN[0][0] | (None, 4, 4, 960 | |

| block_16_depthwise (DepthwiseCoblock_16_expand_relu[0][0] | | | | | 8640 | |
|--|--------|-----|------|----------|--------|-----------------|
| block_16_depthwise_BN (BatchNorblock_16_depthwise[0][0] | (None, | 4, | 4, | 960) | 3840 | |
| block_16_depthwise_EN[0][0] | (None, | | | | 0 | |
| block_16_project (Conv2D) block_16_depthwise_relu[0][0] | (None, | 4, | 4, | 320) | 307200 | |
| block_16_project_BN (BatchNorma block_16_project[0][0] | | | | | 1280 | |
| Conv_1 (Conv2D) block_16_project_BN[0][0] | | | | 1280) | 409600 | |
| Conv_1_bn (BatchNormalization) | | | | | | Conv_1[0][0] |
| out_relu (ReLU) | - | - | - | | | Conv_1_bn[0][0] |
| Total params: 2,257,984 Trainable params: 2,223,872 Non-trainable params: 34,112 | | | | | | |
| Computing neural codes 81/81 [==================================== | =====] | - ; | 3s (| 39ms/ste | р | |

5 Analyze Captions

- \bullet Find the maximal caption length in the captions and store it in a variable $max_caption_length.$
- Construct a collection of all words (i.e. strings) occurring in the captions, and count their occurrences. Include the special word '_' (the *stop word*, signaling the end of the captions)

in this collection.

Construct a dictionary word_to_idx which maps words to integers as follows:
 '_' -> 0
 most frequent word -> 1
 second most frequent word -> 2

- Construct a dictionary idx_to_word which inverts the mapping $word_to_idx$.
- Store the number of unique words, including '_', in a variable num_words.

```
[0]: # ...
     captions = np.array(captions)
     # max lemgth of captions
     \max \text{ caption length} = \max(\max(\text{len, captions.reshape}(-1)))
     # count words
     word_dict = {}
     for caption in captions:
       for line in caption:
         for word in line:
           if word in word_dict.keys():
             word dict[word] += 1
             word dict[word] = 1
     # sort dictionaries by frequency
     word_dict = {k:v for k,v in sorted(word_dict.items(), key=lambda item :u
     →item[1], reverse=True)}
     word_to_idx = {'_': 0}
     idx_to_word = {0: '_'}
     for i,key in enumerate(word_dict.keys()):
       word_to_idx[key] = i+1
       idx_to_word[i+1] = key
     # unique words
     num_words = len(word_to_idx)
     # ...
```

Report the 10 most frequent words. Do you note a bias in the dataset?

The 10 most frequent words are: {'a', 'in', 'the', 'on', 'is', 'and', 'dog', 'with', 'man', 'of'}. These words do not highlight a clear bias in our opinion. A part from dog, man and is, all the words are either conjunctions or nouns. Furthermore, man and is are really common words in English, so it is not surprising that they are among the most frequent. The only word that seems out of place is dog. Even though this word is also common in English, it could suggest that in the dataset there are big quantities of dog pictures, which could alter the prediction accuracy of the model.

How many unique words are there in the corpus, including '_'?

The number of unique words is 2992, including '_'

6 Train/Validation/Test Split

```
[0]: val_images = images[0:1000, ...]
val_codes = image_codes[0:1000, ...]
val_captions = [captions[k] for k in range(1000)]

test_images = images[1000:2000, ...]
test_codes = image_codes[1000:2000, ...]
test_captions = [captions[k] for k in range(1000, 2000)]

train_images = images[2000:, ...]
train_codes = image_codes[2000:, ...]
train_captions = [captions[k] for k in range(2000, images.shape[0])]
```

7 Convert Train and Validation Data into Matrix Format

This encodes the captions to integer matrices using the mapping word_to_idx. It also duplicates the corresponding image codes. The result is two matrices {train, val}_codes and {train, val}_y, which hold image codes and integer encoded captions, whose rows correspond to each other.

```
[7]: def convert data(codes, captions, max_caption_length, word_to_idx):
       if codes.shape[0] != len(captions):
         raise AssertionError("Different number of codes and captions.")
      N = codes.shape[0]
       duplicate codes = None
       labels = None
       for k in range(5):
         cur_labels = np.zeros((N, max_caption_length), dtype=np.uint32)
         for 1 in range(N):
           for count, w in enumerate(captions[1][k]):
             cur labels[1, count] = word to idx[w]
         if duplicate_codes is None:
           duplicate_codes = codes
           labels = cur_labels
         else:
           duplicate_codes = np.concatenate((duplicate_codes, codes), 0)
           labels = np.concatenate((labels, cur_labels), 0)
       return duplicate_codes, labels
```

```
train_codes, train_y = convert_data(train_codes, train_captions, u max_caption_length, word_to_idx)

val_codes, val_y = convert_data(val_codes, val_captions, max_caption_length, u word_to_idx)

print(train_codes.shape)
print(train_y.shape)
print(val_codes.shape)
print(val_y.shape)

(30455, 20480)
(30455, 35)
(5000, 20480)
(5000, 35)
```

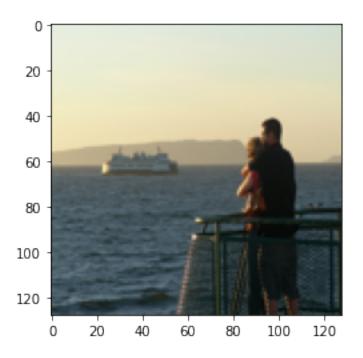
8 Show Random Images from Train and Validation Sets

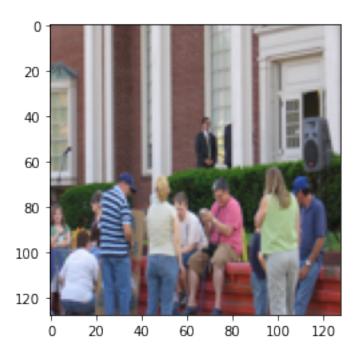
```
[0]: def show_random_image_and_captions(images, labels, idx_to_word):
    n = images.shape[0]
    idx = np.random.randint(0, n)

    plt.imshow(images[idx % images.shape[0], ...])
    plt.show()

    encoded_caption = labels[idx, ...]
    encoded_caption = [k for k in encoded_caption if k >= 0]
    caption = [idx_to_word[i] for i in encoded_caption]
    print(caption)
    print('\n\n')

show_random_image_and_captions(train_images, train_y, idx_to_word)
    show_random_image_and_captions(val_images, val_y, idx_to_word)
```





9 Make Model

The model takes two inputs:

- image input: placeholder for image codes.
- caption_inputs: placeholder for integer-encoded captions.

You need to insert the following structure:

- Image embedding: Dense layer, mapping image codes to embeddings of length embedding_dim.
- Caption embedding: *Embedding* layer, mapping integers to embeddings of length *embedding* dim.
- Concatenate Image embedding and Caption embeddings along the time axis. The image embedding should be at time t=0.
- LSTM with *lstm_dim* units, taking the concatenated embedding as input.
- Apply Dropout with rate 0.5 to the LSTM.
- Output layer: *Dense* layer, mapping the output of the LSTM to a categorical distribution (via *softmax*) of length *num_words*.

Hint: The function K.expand_dims() might be useful here.

```
# concatenate img and words (img must be first input of the sequence)
  concatenation = concatenate([expand_emb_img, emb_words], axis=1,__
 →name='concatenation')
  # LSTM layer
  LSTM_layer = LSTM(lstm_dim, dropout=lstm_dropout, return_sequences=True,_
 →name='LSTM')(concatenation)
  # output softmax to predict words
  output = Dense(num_words, activation='softmax', name='output')(LSTM_layer)
  # ...
  return Model([image_input, caption_input], output)
model = make_model(code_length=train_codes.shape[1],
                   max_caption_length=max_caption_length,
                   embedding_dim=embedding_dim,
                   num_words=num_words,
                   lstm_dim=lstm_dim,
                   lstm_dropout=lstm_dropout)
model.summary()
Model: "model 1"
```

| Layer (type) | Output Shape | Param # | Connected to |
|---|------------------|----------|-----------------|
| img_input (InputLayer) | [(None, 20480)] | 0 | |
| emb_img (Dense) | (None, 512) | 10486272 | img_input[0][0] |
| caption_input (InputLayer) | [(None, 34)] | 0 | |
| tf_op_layer_ExpandDims (TensorF | [(None, 1, 512)] | 0 | emb_img[0][0] |
| emb_words (Embedding) caption_input[0][0] | (None, 34, 512) | 1531904 | |
| concatenation (Concatenate) tf_op_layer_ExpandDims[0][0] | (None, 35, 512) | 0 | |

10 Train Model

- Use Adam with learning rate 0.001 and early stopping with patience 1. Provide the separate validation set for early stopping.
- Use a batch size of 100.
- Use a maximal number of epochs of 100 (early stopping will likely stop training much earlier).
- Use crossentropy as loss function.
- Report which data serves as input and which serves as output, and why.
- Hint: Use the sparse version of crossentropy, in order to avoid memory issues.

```
[0]: early_stopping_callback = EarlyStopping(monitor='val_loss',
                                               min_delta=0,
                                               patience=1,
                                               verbose=1,
                                               mode='auto')
     # ...
     model.compile(optimizers.Adam(),
                   loss = 'sparse_categorical_crossentropy')
     model.fit(x = [train_codes, train_y[:, :-1]], # model output at each step =__
      \rightarrow p(S_t \mid img, S_0, S_1, ..., S_{t-1})
               y = train_y,
               epochs = 100,
               batch_size = 100,
               callbacks = early_stopping_callback,
               validation_data = ([val_codes, val_y[:, :-1]], val_y))
     # ...
     model.save(os.path.join(base_path, 'model.h5'))
```

Epoch 1/100

```
val_loss: 1.3243
Epoch 2/100
305/305 [============= ] - 18s 61ms/step - loss: 1.2352 -
val loss: 1.1807
Epoch 3/100
305/305 [============== ] - 19s 61ms/step - loss: 1.1256 -
val_loss: 1.1063
Epoch 4/100
305/305 [============ ] - 19s 61ms/step - loss: 1.0555 -
val_loss: 1.0581
Epoch 5/100
val_loss: 1.0268
Epoch 6/100
val_loss: 1.0060
Epoch 7/100
305/305 [============= ] - 19s 61ms/step - loss: 0.9381 -
val loss: 0.9894
Epoch 8/100
val_loss: 0.9809
Epoch 9/100
305/305 [=============== ] - 19s 61ms/step - loss: 0.8909 -
val_loss: 0.9720
Epoch 10/100
val_loss: 0.9655
Epoch 11/100
val_loss: 0.9616
Epoch 12/100
305/305 [============= ] - 18s 59ms/step - loss: 0.8341 -
val loss: 0.9603
Epoch 13/100
val_loss: 0.9572
Epoch 14/100
305/305 [=========== ] - 18s 59ms/step - loss: 0.8032 -
val_loss: 0.9589
Epoch 00014: early stopping
```

How does the input and output need to be organized?

The input needs to be 'flattened' before giving it to the model. Originally the dataset is shaped as: * images_codes.shape = (8091,5, caption_max_len), which means that we have a matrix containing an image code for each row. * captions.shape = (8091,5), which means that we have a matrix containing 5 captions for each image of

the previous matrix.

Flattening the input means that we create two matrices with 8091*5 = 40455 rows. The same row index refers to an image code in the first matrix and one of its related captions in the second one. We therefore duplicate each image 5 times, to take into consideration each related caption.

Eventually, we give to the model batches of rows of these matrices, dividing, for each row, the caption from the image. Before submitting the caption we also remove its last term, due to the fact that the input sequence of the LSTM layer must be max_caption_length long and, in the model, we assign to the first time-step the image code.

The output labels are composed by batches of rows of the caption matrix only. At each training step we compare one predicted caption with the caption assigned in the above-described matrices.

For how many time steps T should the LSTM be unrolled?

It should be unrolled for max_caption_length time steps. Therefore, 35 in our case

For each time step, t = 0, ..., T-1, which embedding should be input to the LSTM and what should be the target?

- t = 0. The input should be an image code embedded into the dimension of the embedding space. The target should be the first word of the ground truth caption.
- For each t in {1, ..., T-1}, the input should be the word in position t 1 of the ground-truth sequence composed of the image code at t = 0 and the ground truth caption with the last term removed. The target should be the word in position t of the ground truth caption.

11 Evaluate Model

• Evaluate and report the final train and validation loss.

| Model: "model_1" | | | | | |
|---|------------------|----------|-----------------|--|--|
| Layer (type) | Output Shape | | | | |
| ====================================== | | | | | |
| emb_img (Dense) | (None, 512) | 10486272 | img_input[0][0] | | |
| caption_input (InputLayer) | [(None, 34)] | 0 | | | |
| tf_op_layer_ExpandDims (TensorF | (None, 1, 512) | 0 | emb_img[0][0] | | |
| emb_words (Embedding) caption_input[0][0] | (None, 34, 512) | 1531904 | | | |
| concatenation (Concatenate) tf_op_layer_ExpandDims[0][0] | (None, 35, 512) | 0 | emb_words[0][0] | | |
| LSTM (LSTM) concatenation[0][0] | (None, 35, 500) | 2026000 | | | |
| output (Dense) | (None, 35, 2992) | 1498992 | LSTM[0][0] | | |
| Total params: 15,543,168 Trainable params: 15,543,168 Non-trainable params: 0 | | | | | |
| Evaluating training set 952/952 [==================================== | | | | | |
| Valid loss = 0.9588773250579834 | | | | | |

12 Make Decoder

- Make a greedy decoder model, which iteratively predicts the most likely word at each time step. The decoder is akin to the trained model above, but with a crucial difference: at time step t (t > 0), the LSTM takes the embedding of the word predicted at time step t-1 as input. At time t=0, the LSTM takes the image embedding as input.
- The decoder should return the predicted captions, encoded as integer matrix of shape (batch_size, max_caption_length).
- Equip the decoder with the weights of the trained model.
- **Hint:** You will need to pass on the internal state of the LSTM from time step to time step. To this end, use the argument $return_state = True$ when creating the LSTM, and the $initial_state$ argument when calling the LSTM.
- **Hint:** Use the argument *weights* to pass the parameters of the trained model. This should contain the weights for image embedding, word embedding, LSTM, and output layer. Use the methods *get weights()* and *set weights()* to this end.
- **Hint:** The functions *K.expand_dims()*, *K.argmax()*, and *K.stack()* might be useful here.

```
[0]: def make_decoder(code_length, max_caption_length, embedding_dim, num_words,_
      →lstm_dim, lstm_dropout, weights):
       # input
       image_input = Input(shape=(code_length,))
       # ...
       # parameters
       predicted_caption = []
       # layers definition
       img_to_emb = Dense(embedding_dim, name='emb_img')
      LSTM_iterator = LSTM(lstm_dim, dropout=lstm_dropout, return_state=True,_
      →name='LSTM')
       embedder = Embedding(num_words, embedding_dim, name='emb_words')
       softmaxer = Dense(num words, activation='softmax', name='softmaxer')
       # embedding image
       emb_img = img_to_emb(image_input)
       expanded_emb = K.expand_dims(emb_img, axis = 1)
       # first iteration LSTM
       LSTM_output, state_h, state_c = LSTM_iterator(expanded_emb)
      previous_state = [state_h, state_c]
       softmax_output = softmaxer(LSTM_output)
      predicted_word = K.argmax(softmax_output, axis=1)
       predicted_caption.append(predicted_word)
       # LSTM cicle
       for i in range(max_caption_length - 1):
```

```
# embed word
    emb_word = embedder(predicted_word)
    expanded_emb = K.expand_dims(emb_word, axis = 1)
    # LSTM iteration
    LSTM_output, state_h, state_c = LSTM_iterator(expanded_emb,_
 →initial_state=previous_state)
    previous_state = [state_h, state_c]
    softmax_output = softmaxer(LSTM_output)
    predicted_word = K.argmax(softmax_output, axis=1)
    predicted_caption.append(predicted_word)
  # stuck all outputs and complete network
  output = K.stack(predicted_caption, axis = 1)
  # finally, define model
  model = Model(inputs = image_input, outputs = output)
  # set layer weights
 model.get_layer('emb_img').set_weights(weights['emb_img'])
 model.get layer('emb words').set weights(weights['emb words'])
 model.get layer('LSTM').set weights(weights['LSTM'])
  model.get_layer('softmaxer').set_weights(weights['output'])
  # ...
 return model
# Get the weights from trained model, and put them in a list 'weights'.
# ...
layers = ['emb_img', 'emb_words', 'LSTM', 'output']
weights = {name : model.get_layer(name).get_weights() for name in layers}
# ...
decoder = make_decoder(code_length=train_codes.shape[1],
                       max_caption_length=max_caption_length,
                       embedding_dim=embedding_dim,
                       num words=num words,
                       lstm_dim=lstm_dim,
                       lstm_dropout=lstm_dropout,
                       weights=weights)
```

13 Predict Test Captions

- Use the decoder to predict the test captions.
- Decode them to text using the mapping idx to word.
- Show 10 random test images and their predicted captions. Categorize them like in Figure 5

in the paper.

• Report the 1-gram, 2-gram, 3-gram, and 4-gram BLEU scores of the test predictions. **Hint:** You can use the *nltk* package for this.

```
[20]: # ...
      import matplotlib.pyplot as plt
      from mpl_toolkits.axes_grid1 import ImageGrid
      from typing import List
      def show_row_of_imgs(
          imgs idxs: np.ndarray,
          pred_captions: np.ndarray,
          edges: List
      ):
        # canvas initialization
        fig = plt.figure(figsize=(20., 80.))
        grid = ImageGrid(fig, 111, nrows_ncols=(1, 5), axes_pad=0.4)
        # fitting images into grid
        for enum_i, (ax, i) in enumerate(zip(grid, imgs_idxs)):
            ax.imshow(test_images[i])
            ax.set_xticks([]), ax.set_yticks([])
            # elaborating caption
            caption = np.delete(pred_captions[i], np.where(pred_captions[i] == '_'))
            first_half, second_half = caption[:len(caption)//2], caption[len(caption)/
       \hookrightarrow /2:]
            first_half, second_half = ' '.join(first_half), ' '.join(second_half)
            final_caption = first_half + '\n' + second_half
            final_caption = final_caption.split('_')[0]
            ax.set_xlabel('\n' + final_caption)
            # set frame
            ax.patch.set_edgecolor(edges[enum_i])
            ax.patch.set linewidth('20')
        plt.show()
      # generate predictions
      print('Predicting...')
      predictions = decoder.predict(test codes, verbose=1, batch size=100)
      # transform indexes in words
      captionize = lambda x: idx_to_word[x]
      pred_captions = np.vectorize(captionize)(predictions)
      # take 10 random images
```

```
np.random.seed(456)
random_idxs = np.random.randint(0, 1000, size = (10))

# visualize results
print('')
edges_first_half = ['lightgreen', 'lightgreen', 'orange', 'orange', 'yellow']
edges_second_half = ['red', 'lightgreen', 'yellow', 'orange', 'red']
show_row_of_imgs(random_idxs[:5], pred_captions, edges_first_half)
show_row_of_imgs(random_idxs[5:], pred_captions, edges_second_half)
# ...
```

Predicting...

10/10 [=======] - Os 39ms/step











a black dog is running through the water

·r

a white dog is unning through a field

a dog is running through a field

a man and a dog are playing in the wa

a group of peop are playing in a f







a brown and white dog is running through a field of grass



a group of people are walking in a race



a man and a woman are smiling and smiling at a party



a man in a red shirt and a woman in a black shirt and tie walks down a street

Colors to images are given according to the following schema: * green = the image is described without errors * orange = the image is described with minor errors * yellow = the caption is somehow related to the image * red = the caption is unrelated to the image

```
[22]: # ...
import warnings
warnings.filterwarnings('ignore')

# n-grams BLUE score
gram1, gram2, gram3, gram4 = 0.0, 0.0, 0.0, 0.0
weights1, weights2, weights3 = tuple([1.0]), (0.5, 0.5), (0.33, 0.33, 0.33)
pred_captions = [np.delete(caption, np.where(caption == '_')) for caption in____
pred_captions]
```

1-gram BLEU score on test set: 0.4792822185970636 2-gram BLEU score on test set: 0.3061506039593639 3-gram BLEU score on test set: 0.1952958067392502 4-gram BLEU score on test set: 0.12142915943523283