



**School of  
Engineering**

InIT Institute of Applied  
Information Technology

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Solving all the world's problems

... at once!

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# Summary

(in Deutsch)

# Abstract

(in Englisch)

# Preface

Stellt den persönlichen Bezug zur Arbeit dar und spricht Dank aus.





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# 1 Introduction

## 1.1 Learning Functional Programming

When Javascript and Python started to take off around 2010[1], they also brought rise to a lot of concepts borrowed from functional programming. Since then, many new multi-paradigm languages have appeared and gotten more popular, as for example Go, Rust, Kotlin and Dart. Most of the languages mentioned support an imperative, object-oriented, as well as a functional programming style. Rust, being the ‘most popular programming language’[2] for 4 years in a row (2016–2019), has been significantly influenced by functional programming languages[3] and borrows a lot of functional concepts in idiomatic Rust code.

Learning a functional programming language increases fluency with these concepts and teaches a different way to think and approach problems when programming. For those reasons, and many more, a lot of programmers advocate learning a functional programming language.

Though the exact definition of what a *purely* functional language consists of remains a controversy[4], the most popular, pure functional programming language seems to be Haskell[5].

## 1.2 Haskell

Haskell, the *lingua franca* amongst functional programmers, is a lazely-evaluated, purely functional programming language. While Haskell’s strengths stem from all it’s features like type classes, type polymorphism, purity and more, these features are also what makes Haskell famously hard to learn[6][7][8][9].

Beginner Haskell programmers face a very distinctive challenge in contrast to learning a new, non-functional programming language: Not only do they need to learn a new language with an unusual syntax (compared to imperative or object-oriented languages), they also need to change their way of thinking and reasoning about problems.

For example, the renowned quicksort-implementation from the Haskell Introduction Page[10]:

```
quicksort :: Ord a => [a] -> [a]
quicksort []      = []
quicksort (p:xs) = (quicksort lesser) ++ [p] ++ (quicksort greater)
  where
    lesser = filter (< p) xs
    greater = filter (>= p) xs
```

While this is only a very short and clean piece of code, these 6 lines already pose many challenges to non-experienced Haskellers;

- The function’s signature with no ‘fn’ or ‘func’ statement as they often appear in imperative languages
- The pattern matching, which would be a ‘switch’ statement or a chain of ‘if / else’ conditions
- The deconstruction of the list within the pattern matching
- The functional nature of the program, passing ‘(< p)’ (a function returning a function) to another function
- The function call to ‘filter’ without paranthesised arguments and no clear indicator at which arguments it takes and which types are returned

Though some of these points are also available to programmers in imperative or object-oriented languages, the cumulative difference is not to underestimate and adds to Haskell’s steep learning curve.

## 1.3 Goals

The goal is to solve the issue of the first steps in functional programming. Learning a new paradigm and syntax at the same time can be daunting and discouraging for novices. By using a modern, multi-paradigm language with a clear and familiar syntax, the functional programming beginner should be able to focus on the paradigm first, and then change to a language like Haskell to fully get into functional programming.

To ease the learning curve of functional programming, this thesis will consist of two parts:

- Make a multi-paradigm language support functional programming as much as needed. The criteria for this language are:
  - Easy, familiar syntax
  - Be statically typed, as this makes it easier to reason about a program
  - Have support for functional programming features like first class functions, currying and partial application
- Create a linter that checks code on its functional purity. For this, some rules will have to be curated to define what pure functional code is.

It is not the goal to create a production-ready functional language, so runtime and performance requirements can be ignored.

## 1.4 Why Go

The language of choice for this task is Go, a statically typed, garbage-collected programming language designed at Google in 2009[11]. With its strong syntactic similarity to C, it should be familiar to most programmers. Go is an extremely verbose language with almost no syntactic sugar. This makes it a perfect fit to grasp the concepts and trace the inner workings of functional programming.

There are, however, a few downsides of using Go:

- No polymorphism. Go 2 will likely have support for polymorphism, but at the time of writing, there is no implementation available.
- Missing implementations for common functions like ‘map’, ‘filter’, ‘reduce’ and more.
- No list implementation. Go has ‘slices’, which are ‘views’ on arrays, but no list datatype.

### 1.4.1 Go Slices

Go’s Slices can be viewed as an abstraction over arrays, to mitigate some of the weaknesses of arrays compared to lists.

*Arrays have their place, but they’re a bit inflexible, so you don’t see them too often in Go code. Slices, though, are everywhere. They build on arrays to provide great power and convenience.[12]*

Slices can be visualised as a ‘struct’ over an array:

```
// NOTE: this type does not really exist, it  
// is just to visualise how they are implemented.  
type Slice struct {  
    // the underlying "backing store" array  
    array *[]T  
    // the length of the slice / view on the  
    //array  
    len    int  
    // the capacity of the array from the  
    // starting index of the slice  
    cap    int  
}
```

With the ‘append’ function, elements can be added to a slice. Should the underlying array not have enough capacity left to store the new elements, a new array will be created and the data from the old array will be copied into the new one. This happens transparently to the user.

## Using Slices

‘head’, ‘tail’ and ‘last’ operations can be done with index expressions:

```
// []<T> initialises a slice, while [n]<T> initialises an  
// array, which is of fixed length n. One can also use `...`  
// instead of a natural number, to let the compiler count  
// the number of elements.  
s := []string{"first", "second", "third"}  
head := s[0]  
tail := s[1:]  
last := s[len(s)-1]
```

Adding elements or joining slices is achieved with ‘append’:

```
s := []string{"first", "second"}  
s = append(s, "third", "fourth")  
t := []string{"fifth", "seventh"}
```

```
s = append(s, t...)
// to prepend an element, one has to create a
// slice out of that element
s = append([]string{"zeroth"}, s...)
```

Append is a variadic function, meaning it takes  $n$  elements. If the slice is of type  $[]T$ , the appended elements have to be of type  $T$ .

To join two lists, the second list is expanded into variadic arguments.

More complex operations like removing elements, inserting elements in the middle or finding elements in a slice require helper functions, which have also been documented in Go's Slice Tricks[13].

## What is missing from Slices

This quick glance at slices should clarify that, though the runtime characteristics of lists and slices can differ, from a usage standpoint, what is possible with lists is also possible with slices.

However, what is missing from Go's slices are a lot of the classical list 'helper' functions. In a typical program written in a functional language, lists take a central role. This results in a number of helper functions[14] that currently do not exist in Go and would need to be implemented by the programmer. With no support for polymorphism, the programmer would need to implement a function for every slice-type that is used. The type `[]int` (read: a slice of integers) differs from `[]string` which means that a possible 'map' function would have to be written once to support slices of integers, once to support slices of strings, and a combination of these two:

```
func mapIntToInt(f func(int) int, []int) []int
func mapIntToString(f func(int) string, []int) []string
func mapStringToInt(f func(string) int, []string) []int
func mapStringToString(f func(string) string, []string) []string
```

With 7 base types (eliding the different 'int' types like 'int8', 'uint16', 'int16', etc.), this would mean  $7^2 = 49$  map functions just to cover these base types. Counting the different numeric types into that equation (totally 19 distinct types[15]), would grow that number to  $19^2 = 361$  functions.

Though this code could be generated, it leaves out custom user-defined types, which would still need to be generated separately.

To mitigate this point, the most common list-operations (in Go slice-operations) will be added to the compiler, so that the programmer can use these functions on every slice-type.

## 1.5 Existing Work

With Go's support of some functional aspects, patterns and best practices have emerged that relate to functional programming. For example, in the *net/http* package of the standard library, the function

```
func HandleFunc(pattern string, handler func(ResponseWriter, *Request))
```

is used to register functions for http server handling:

```
func myHandler(w http.ResponseWriter, r *http.Request) {  
    // Handle the given HTTP request  
}  
  
func main() {  
    // register myHandler in the default ServeMux  
    http.HandleFunc("/", myHandler)  
    http.ListenAndServe(":8080", nil)  
}
```

[16]

Using functions as function parameters or return types is a commonly used feature in Go, not just within the standard library.

A software design pattern that has gained popularity is ‘functional options’. The pattern has been outlined in Dave Cheney’s blog post ‘Functional options for friendly APIs’ and is a great example on how to use the support for multiple paradigms.

To give a quick example of how functional options look like and are used:

```
package webserver

type Server struct {
    Timeout time.Duration
    Port int
    ListenAddress string
}

type Option func(*Server)

func Timeout(d time.Duration) Option {
    return func(s *Server) {
        s.Timeout = d
    }
}

func Port(p int) Option {
    return func(s *Server) {
        s.Port = p
    }
}

func New(opts ...Option) *Server {
    // initialise the server with the default values
    s := &Server{
        Timeout: 500*time.Millisecond,
        Port: 0, // uses a random port on the host
        ListenAddress: "http://localhost",
    }
    // apply all options
    for _, opt := range opts {
        opt(s)
    }
    return s
}

// usage examples from outside the package:
s := webserver.New() // uses all the default options
s := webserver.New(webserver.Timeout(time.Second), webserver.Port(8080))
```



Dave Cheney’s summary on functional options is thus:

*In summary*

- *Functional options let you write APIs that can grow over time.*
- *They enable the default use case to be the simplest.*
- *They provide meaningful configuration parameters.*
- *Finally they give you access to the entire power of the language to initialize complex values.*

[17]

While this is a great example of what can be done with support for functional concepts, a purely functional approach to Go has so far been discouraged by the core Go team, which is understandable for a multi-paradigm programming language. However, multiple developers have already researched and tested Go’s ability to do functional programming.

## Functional Go?

In his talk ‘Functional Go’[18], Francesc Campoy Flores analysed some commonly used functional language features in Haskell and how they can be ported with Go. Ignoring speed and stackoverflows due to non-existent tail call optimisation[19], the main issue was with the type system and the missing polymorphism.

## go-functional

In July 2017, Aaron Schlesinger, a Go programmer for Microsoft Azure, gave a talk on functional programming with Go. He released a repository[20] that contains ‘core utilities for functional Programming in Go’. The project is currently unmaintained, but showcases functional programming concepts like currying, functors and monoids in Go. In the ‘README’ file of the repository, he also states that:

*Note that the types herein are hard-coded for specific types, but you could use code generation to produce these FP constructs for any type you please!*

## **1.6 Work to be done**

To conclude, the work that has to be done for this thesis is:

- Define which ‘standard’ list functions are most commonly used in functional programming
- Implement some of them into the compiler
  - at least one of these functions needs to have complete type-checking
  - demonstrate some usage examples for these functions
- Research ‘rules’ for pure functional programming
  - for example, this could be exact definitions of immutability and purity
- Add these rules to a checker. This could be an already available tool like ‘go vet’, or a newly developed, purpose-built utility

## 2 Methodology

- (Beschreibt die Grundüberlegungen der realisierten Lösung (Konstruktion/Entwurf) und die Realisierung als Simulation, als Prototyp oder als Software-Komponente)
- (Definiert Messgrößen, beschreibt Mess- oder Versuchsaufbau, beschreibt und dokumentiert Durchführung der Messungen/Versuche)
  - (Experimente)
  - (Lösungsweg)
  - (Modell)
  - (Tests und Validierung)
  - (Theoretische Herleitung der Lösung)

### 2.1 Slice Helper Functions

#### 2.1.1 Choosing the functions

The first task is to implement some helper functions for slices, as they are present for lists in Haskell. To decide on which functions will be implemented, popular Haskell repositories on Github have been analysed. The popularity of repositories was decided to be based on their number of stars. Out of all Haskell projects on Github, the most popular are[21]:

- Shellcheck (koalaman/shellcheck[22]): A static analysis tool for shell scripts
- Pandoc (jgm/pandoc[23]): A universal markup converter
- Postgrest (PostgREST/postgrest[24]): REST API for any Postgres database
- Semantic (github/semantic[25]): Parsing, analyzing, and comparing source code across many languages
- Purescript (purescript/purescript[26]): A strongly-typed language that compiles to JavaScript

- Compiler (elm/compiler[27]): Compiler for Elm, a functional language for reliable webapps
- Haxl (facebook/haxl[28]): A Haskell library that simplifies access to remote data, such as databases or web-based services

In these repositories, the number of occurrences of popular list functions have been counted. Some caveats with the analysis:

- The analysis did not differentiate between different kinds of functions. For example, ‘fold’ includes occurrences of ‘foldl’, ‘foldr’, ‘foldl’ and ‘foldMap’.
- The analysis has been done with a simple ‘grep’. This means it is likely to contain mismatches, for example in code comments.
- The analysis should only be an *indicator* of what functions are used most.

Running the analysis on the 7 repositories listed above, searching for a number of pre-selected list functions, indicates that the most used functions are ‘.’ (prepend), ‘map’ and ‘fold’, as shown in table 2.1.

Table 2.1: Occurrences of list functions<sup>1</sup>

‘.’ (prepend)	2912
map	1241
fold	610
filter	262
reverse	154
take	104
drop	81
maximum	53
sum	44
zip	38
product	15
minimum	10
reduce	8

Based on this information, it has been decided to implement the prepend, map and fold functions into the Go compiler. The source code can be found at ‘work/go’ from the root of this git repository[29], the work is done upon Go version 1.14, commit ID ‘20a838ab94178c55bc4dc23ddc332fce8545a493’. All files referenced in this chapter are based from the root of the go repository, unless noted otherwise.

## 2.1.2 Implementing Prepend

### Adding the GoDoc

In Go, documentation is usually generated directly from comments within the source code[30]. This also applies to builtin functions in the compiler, which have a function stub to document their behaviour[31], but no implementation, as that is done in the compiler[32].

The ‘append’ function, for example, is declared as

```
func append(slice []Type, elems ...Type) []Type
```

So the signature of the prepend function is

```
func prepend(elem Type, slice []Type) []Type
```

The file containing the documentation is in ‘src/builtin/builtin.go’. This is already everything that is needed to add the documentation for ‘prepend’.

### Adding prepend to the public packages

The second step is to add ‘prepend’ to the ‘src/go/types’ package.

*Package types declares the data types and implements the algorithms for type-checking of Go packages*

[33]

Though it will not have a direct impact on the compiler, adding the ‘prepend’ function to the *types* package allows external tools like ‘gopls’ (Go’s official language server)[34] to type-check ‘.go’ files and support the programmer at writing code.

## Registering the function as a builtin

To allow type-checking ‘prepend’, the function has to be registered as a builtin. This is done in ‘src/go/types/universe.go’:

```
const (
    // universe scope
    _Append builtinId = iota
    _Prepend
    _Cap
    // ...omitted
)

var predeclaredFuncs = [...]struct {
    name      string
    nargs     int
    variadic  bool
    kind      exprKind
}{
    _Append: {"append", 1, true, expression},
    _Prepend: {"prepend", 2, false, expression},
    _Cap:     {"cap", 1, false, expression},
    // ...omitted
}
```

Prepend takes two arguments and is not a variadic function. The expression kind can either be a ‘conversion’, ‘expression’ or a ‘statement’. ‘prepend’ is an expression, like append, as it returns a value.

The type-checking that is implemented here is not related, in any way, to compiling the source code to machine code, which will do its own type-checking. It is only used for external tools. To add the type-checking to ‘prepend’, a test has to be added too (a separate test ensures that all builtins are type-checked).

```
var builtinCalls = []struct {
    name, src, sig string
}{
    // ...omitted
    {"prepend", `var s []int; _ = prepend(0, s)`,
     ↪ `func(int, []int) []int`},
}
```

```

{"prepend", `type T int; var s []T; var n T; _ = prepend(n, s)`,
  ↳ `func(p.T, []p.T) []p.T`},
{"prepend", `var s []int; _ = (prepend)(0, s)`,
  ↳ `func(int, []int) []int`},
  // ...omitted
}

```

The type-checking itself is straightforward. The implementation starts by checking that the second argument is a slice, and then extracts the type and checks that the first argument is of the same type.

*src/go/types/builtins.go*

```

135 case _Prepend:
    // prepend(x T, s S) S, where T is the element type of S
    // spec: prepend is like append, but adds to the beginning instead
    ↳ of the end of the slice.
    // The values x are passed to a parameter of type T where T is the
    ↳ element type
    // of S and the respective parameter passing rules apply."

140 // the second argument is the slice, so we start by getting that
    ↳ type.
    arg(x, 1)
    S := x.typ
    var T Type
145 if s, _ := S.Underlying().(*Slice); s != nil {
        T = s.elem
    } else {
        check.invalidArg(x.pos(), "%s is not a slice", x)
        return
150 }

    // save the already evaluated argument
    arg1 := *x
    // reset to the first argument
155 arg(x, 0)

    // check general case by creating custom signature
    sig := makeSig(S, T, S)

```

```
160     check.arguments(x, call, sig, func(x *operand, i int) {  
        // only evaluate arguments that have not been evaluated before  
        if i == 1 {  
            *x = arg1  
            return  
        }  
165     arg(x, i)  
    }, nargs)  
    // ok to continue even if check.arguments reported errors  
  
    x.mode = value  
170    x.typ = S  
    if check.Types != nil {  
        check.recordBuiltinType(call.Fun, sig)
```

```
func (check *Checker) builtin(x *operand, call *ast.CallExpr, id  
↪ builtinId) (_ bool) {  
    // ...omitted  
    switch id {  
    case _Prepend:  
        // prepend(x T, s S) S, where T is the element type  
        // of S.  
        // spec: prepend is like append, but adds to the  
        // beginning instead of the end of the slice. The  
        // values x are passed to a parameter of type T where  
        // T is the element type of S and the respective  
        // parameter passing rules apply."  
  
        // the second argument is the slice, so we start by  
        // getting that type.  
        arg(x, 1)  
        S := x.typ  
        var T Type  
        if s, _ := S.Underlying().(*Slice); s != nil {  
            T = s.elem  
        } else {  
            check.invalidArg(x.pos(), "%s is not a slice", x)  
            return
```



```

}

// save the already evaluated argument
arg1 := *x
// reset to the first argument
arg(x, 0)

// check general case by creating custom signature
sig := makeSig(S, T, S)
check.arguments(x, call, sig, func(x *operand, i int) {
    // only evaluate arguments that have not been
    // evaluated before
    if i == 1 {
        *x = arg1
        return
    }
    arg(x, i)
}, nargs)

x.mode = value
x.typ = S
if check.Types != nil {
    check.recordBuiltinType(call.Fun, sig)
}
}
// ...omitted
}

```

This concludes the type-checking for external tools and makes ‘gopls’ return errors if the wrong types are used. For example, when trying to prepend an integer to a string slice.

```

package main

import "fmt"

func main() {
    fmt.Println(prepend(3, []string{"hello", "world"}))
}

```

Gopls then reports the type-checking error:

```
$ gopls check main.go
/tmp/playground/main.go:6:22-23: cannot convert 3 (untyped int constant)
↪ to string
```

## Implementing the function in the compiler

The compiler is implemented at *src/cmd/compile/internal/gc*, so all mentioned files are located within this directory.

First, `prepend` needs to be registered as a builtin to parse ‘prepend’ into theAST (technically, the syntax tree is a syntax DAG[35], but this is an implementation detail):

*universe.go*

```
// ...omitted
var builtinFuncs = [...]struct {
    name string
    op    Op
}{
    {"append", OAPPEND},
    {"prepend", OPREPEND},
    // ...omitted
}
```

‘OPREPEND’ is an operation that has to be defined in *syntax.go*:

```
// Node ops.
const (
    OXXX Op = iota
    // ...omitted
    OAPPEND
    ↪ // append(List); after walk, Left may contain elem type descriptor
    OPREPEND // prepend(Left, Right)
    OBYTES2STR // Type(Left) (Type is string, Left is a []byte)
    // ...omitted
)
```

This also shows that an ‘OPREPEND’ node expects its arguments to be in ‘node.Left’ and ‘node.Right’, and not, like ‘OAPPEND’, in ‘node.List’. This is achieved in *typecheck.go*. Before typechecking, a node’s arguments are always defined in ‘node.List’. In the implementation for ‘OPREPEND’, the arguments are typechecked and parsed into ‘node.Left’ and ‘node.Right’ by

```
// typecheck the arguments, expand function arguments etc.
typecheckargs(n)
// twoarg ensures there's exactly two arguments and adds
// them to n.Left and n.Right
if !twoarg(n) ...
```

After ‘node.Left’ and ‘node.Right’ are populated, ‘node.Right’'s type is checked to be a slice, and ‘node.Left’ is of the same element type as the slice. The full typecheck is added as case within *typecheck.go*:

```
1579 case OPREPEND:
1580     ok |= ctxExpr
        typecheckargs(n)
        if !twoarg(n) {
            n.Type = nil
            return n
1585     }

        t := n.Right.Type
        //t := args.First().Type
        if t == nil {
1590             n.Type = nil
            return n
        }

        n.Type = t
1595     if !t.IsSlice() {
        if Isconst(n.Right, CTNIL) {
            yyerror("second argument to prepend must be typed slice; have
                ↪ untyped nil")
            n.Type = nil
            return n
1600         }
    }
```

```
yyerror("second argument to prepend must be slice; have %L", t)
n.Type = nil
return n
1605 }

if n.Left.Type == nil {
yyerror("first Argument has no type")
n.Type = nil
1610 return n
}
n.Left = assignconv(n.Left, t.Elem(), "prepend")
checkwidth(n.Left.Type)
```

With this, type-checking ‘prepend’ in the AST is implemented. The next step in the Go compiler is escape analysis. This is similar to the ‘OAPPEND’ node, though ‘OPREPEND’ always has only two arguments:

*escape.go*

```
814 case OPREPEND:
815     // imitate the behaviour from OAPPEND
    paramKs[0] = e.heapHole()

    if types.Haspointers(call.Right.Type.Elem()) {
        paramKs[1] = e.teeHole(paramKs[1], e.heapHole().deref(call,
            ↪ "prepended slice"))
820     }
```

The next step within the compiler are AST transformations to lower the AST to a more easily compilable form. The first call is made to ‘order’. ‘order’ reorders expressions and enforces the evaluation order. For ‘prepend’, this is done by adding another ‘case’ expression within `func (o *Order) expr(n, lhs *Node) *Node`:

*order.go*

```
1182 case OPREPEND:
    n.Left = o.expr(n.Left, nil)
    n.Right = o.expr(n.Right, nil)
1185
    if lhs == nil || lhs.Op != ONAME && !samesafeexpr(lhs, n.Left) {
        n = o.copyExpr(n, n.Type, false)
    }
```

## 2.2 Functional Check

## 3 Application



## 4 Experiments and Results

(Zusammenfassung der Resultate)

## 5 Discussion

- Bespricht die erzielten Ergebnisse bezüglich ihrer Erwartbarkeit, Aussagekraft und Relevanz
- Interpretation und Validierung der Resultate
- Rückblick auf Aufgabenstellung, erreicht bzw. nicht erreicht
- Legt dar, wie an die Resultate (konkret vom Industriepartner oder weiteren Forschungsarbeiten; allgemein) angeschlossen werden kann; legt dar, welche Chancen die Resultate bieten



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# Glossary

**AST** Abstract Syntax Tree. 14

**DAG** Directed Acyclic Graph. 14

# Appendices

## 1 Analysis of function occurrences in Haskell code

The results of the analysis have been acquired by running the following command from the root of the git repository[29]:

```
./work/common-list-functions/count-function.sh ": " "map " "fold" "filter "
"reverse " "take " "drop " "maximum" "sum " "zip " "product " "minimum "
"reduce "
```

Anhang/Appendix:

- Projektmanagement:
  - Offizielle Aufgabenstellung, Projektauftrag
  - (Zeitplan)
  - (Besprechungsprotokolle oder Journals)
- Weiteres:
  - CD/USB-Stick mit dem vollständigen Bericht als PDF-File inklusive Film- und Fotomaterial
  - (Schaltpläne und Ablaufschemata)
  - (Spezifikation u. Datenblätter der verwendeten Messgeräte und/oder Komponenten)
  - (Berechnungen, Messwerte, Simulationsergebnisse)
  - (Stoffdaten)
  - (Fehlerrechnungen mit Messunsicherheiten)
  - (Grafische Darstellungen, Fotos)
  - (Datenträger mit weiteren Daten (z. B. Software-Komponenten) inkl. Verzeichnis der auf diesem Datenträger abgelegten Dateien)
  - (Softwarecode)