

## 1 Formal definition of a Turing machine

A Turing machine is a 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$ :

- $Q$  is the set of states
- $\Sigma$  is the input alphabet *not* containing special blank symbol  $\sqcup$
- $\Gamma$  is the tape alphabet satisfying  $\Sigma \subset \Gamma$  and  $\sqcup \in \Gamma$
- $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$  is the transition function
- $q_0 \in Q$  is the start state
- $q_{accept} \in Q$  is the accept state
- $q_{reject} \in Q$  is the reject state,  $q_{reject} \neq q_{accept}$

The input alphabet  $\Sigma$  never contains  $\sqcup$ , so  $\Sigma \neq \Gamma$  is always true.

A Turing machine can never contain a single state, as any machine must have distinct states  $q_{accept}$  and  $q_{reject}$ .

## 2 Turing machine computation

**Tape content** is unbounded but always finite, and the first (leftmost) blank symbol marks the end of tape content.

A configuration  $C_1$  yields the configuration  $C_2$  if the Turing machine can legally go from  $C_1$  to  $C_2$  in a single step. A **configuration** consists of:

- The current state
- The tape content
- The head location

The head can be in the same location in two successive steps if the machine attempts to move its head off the left-hand end – we assume, by definition, that it just stays in the same cell rather than throwing an error.

The **start configuration** on an input  $w \in \Sigma^*$  consists of start state  $q_0$ ,  $w$  as the tape content, and the head location is the first (leftmost) position of the tape.

A configuration is **accepting** if its state is  $q_{accept}$ . A configuration is **rejecting** if its state is  $q_{reject}$ .

Accepting and rejecting configurations are **halting** configurations.

A Turing machine  $M$  **accepts** an input  $w$  if there is a sequence of configurations  $C_1, C_2, \dots, C_k$  such that:

1.  $C_1$  is the start configuration of  $M$  on input  $w$

2.  $C_i$  yields  $C_{i+1}$  for  $1 \leq i \leq k-1$
3.  $C_k$  is an accepting configuration

The **language** of  $M$ , denoted  $L(M)$ , is the set of strings accepted by  $M$ .

### 3 Turing-recognisable languages

A language  $L$  is **Turing-recognisable** if there is a Turing machine  $M$  that recognises it, i.e.  $L$  is the language of  $M$ .

Turing-recognisable means the same thing as **semi-decidable** and **recursively enumerable**.

If  $M$  recognises  $L$ , it may or may not halt on words not in  $L$ .

#### 3.1 Closure under operations

**Example.** The collection of Turing-recognisable languages is closed under union.

**Proof.** Let  $L_1$  and  $L_2$  be Turing-recognisable languages and  $M_1$  and  $M_2$  be Turing machines that recognise them. Construct a Turing machine  $M'$  that recognises the union of  $L_1$  and  $L_2$ . On input  $w$ :

- Run  $M_1$  and  $M_2$  alternatively on  $w$ , step-by-step. If either accept, accept. If both halt and reject, reject.

If either  $M_1$  and  $M_2$  accept  $w$ ,  $M'$  accepts  $w$  and the accepting Turing machine (either  $M_1$  or  $M_2$ ) arrives to its accepting state after a finite number of steps.

If both  $M_1$  and  $M_2$  reject and either does so by looping,  $M'$  will loop.

The solution for Turing-decidable languages would not work here as Turing machines can loop. If  $M_1$  is looping, the construction used for Turing-decidable languages will loop even if  $M_2$  accepts  $w$ , and thus,  $w$  is the union of  $L_1$  and  $L_2$ .

#### 3.2 Examples

**Example 1.** Show that  $\bar{E} = \{\langle M \rangle \mid M \text{ is a TM and } L(M) \neq \emptyset\}$  (the complement of  $E$ ) is Turing-recognisable.

**Proof.** Run  $M$  in parallel on all (countably infinitely many) possible inputs. This can be done in stages  $0, 1, \dots$ : at stage  $k$ , run  $M$  on each of the first  $k$  inputs for  $k$  steps each.

If a simulation accepts, terminate and accept.

**Example 2.** Show that  $E = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$  is not Turing-recognisable.

As  $\bar{E}$  is Turing-recognisable, if  $E$  itself were also Turing-recognisable, it would also be decidable. However, this is not the case (section 4.2 below), so this is a contradiction.

## 4 Turing-decidable languages

A language  $L$  is **Turing-decidable** if there is a Turing machine  $M$  that accepts every  $w \in L$  and rejects every  $w \notin L$ .

Turing-decidable means the same thing as **recursive**.

If  $M$  decides  $L$ , it always halts.

### 4.1 Closure under operations

**Example.** The collection of decidable languages is closed under union.

**Proof.** For any two decidable languages  $L_1$  and  $L_2$ , let  $M_1$  and  $M_2$  be the Turing machines that decide them. Construct a Turing machine  $M'$  that decides the union of  $L_1$  and  $L_2$ . On input  $w$ :

1. Run  $M_1$  on  $w$ . If it accepts, accept.
2. Run  $M_2$  on  $w$ . If it accepts, accept. Otherwise, reject.

$M'$  accepts  $w$  if either  $M_1$  or  $M_2$  accepts it. If both reject, then  $M'$  rejects.

### 4.2 Examples

**Example 1.** Let  $E = \{\langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset\}$  be the language of all descriptions of Turing machines recognising the empty language.  $E$  is undecidable.

**Proof.** Given an instance of the Halting problem  $M$  and  $w$ , construct a Turing machine  $S$  that takes a single number  $k$  as input, simulates  $M$  on  $w$  for at most  $k$  steps, and accepts only if the simulation has reached a terminal configuration. Now,  $L(S)$  is empty if and only if  $M$  doesn't terminate on  $w$ .

Therefore, an algorithm that decides  $E(S)$  would solve the halting problem, so  $E$  is undecidable.

**Example 2.** Let  $EQ = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}$  be the language of all pairs of descriptions of Turing machines recognising the same language.  $EQ$  is undecidable (by reduction from  $E$ ).

**Proof.** Take  $E_0$  to be a trivial Turing machine that rejects anything. This means that  $EQ(M, E_0)$  would solve  $E(M)$  (example 1), a contradiction.

## 5 Multitape Turing machines

A **Multitape Turing machine** is like a single tape Turing machine with several tapes, each with its own head. The only difference in the formal definition is the transition function, which is now:

$$\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R\}^k$$

where  $k$  is the number of tapes.

**Theorem.** Every multitape Turing machine has an equivalent single tape Turing machine.

## 6 Non-deterministic Turing machines

A **non-deterministic Turing machine** has a transition function:

$$\delta : Q \times \Gamma \rightarrow \mathcal{P}(Q \times \Gamma \times \{L, R\})$$

**Theorem.** Every non-deterministic Turing machine has an equivalent deterministic Turing machine.

**Proof.** Consider the tree of all possible computations of the non-deterministic Turing machine. Start from the root (the start configuration) and do a breadth-first search. Accept only if an accepting configuration is found.

- DFS would not work
- Can use a multitape Turing machine to implement the BFS

## 7 Church-Turing thesis

Intuitive notion of an algorithm is equivalent to the mathematical concept of an algorithm defined by Turing machines (or any other formal model of computation, such as  $\lambda$ -calculus, Post machines, recursive functions)

## 8 Universal Turing machine

Every Turing machine  $M$  can be encoded as a word over a finite alphabet. Use  $\langle M \rangle$  to denote the **encoding** of a Turing machine  $M$ .

**Theorem.** There is a Turing machine  $U$  that takes a two-part input – the encoding of a Turing machine  $M$  ( $\langle M \rangle$ ) and a word  $w$ , and simulate  $M$  on  $w$ .  $U$  is called a **universal Turing machine**.

## 9 Halting problem

**Halting problem:** Given an encoding of a Turing machine  $M$  and a word  $w$ , does  $M$  terminate on  $w$ ?

**Proposition.** The Halting problem is Turing-recognisable.

**Proof.** Run a universal Turing machine on the pair  $(\langle M \rangle, w)$ . Accept if the computation eventually terminates.

**Proposition.** The Halting problem is not Turing-decidable.

**Proof.** Assume, for contradiction, there is a Turing machine  $H$  that decides the Halting problem.

$$H(\langle M \rangle, w) = \begin{cases} \text{accept} & \text{if } M \text{ terminates on } w \\ \text{reject} & \text{if } M \text{ does not terminate on } w \end{cases}$$

Use  $H$  as a black box to create an instance of the Halting problem, on which,  $H$  fails.

Consider a Turing machine  $D$  that takes the description of a single Turing machine  $M$  as an input and does the following:

$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } H(\langle M \rangle, \langle M \rangle) \text{ rejects} \\ \text{loop} & \text{if } H(\langle M \rangle, \langle M \rangle) \text{ accepts} \end{cases}$$

What happens when  $D$  runs on its own encoding,  $\langle D \rangle$ ?

1.  $D$  terminates on  $\langle D \rangle$ . By the construction of  $D$ ,  $H(\langle D \rangle, \langle D \rangle)$  rejects, giving a wrong answer
2.  $D$  does not terminate on  $\langle D \rangle$ . By the construction of  $D$ ,  $H(\langle D \rangle, \langle D \rangle)$  accepts, giving a wrong answer.

## 10 Turing-recognisable vs. Turing-decidable

**Theorem.** A language  $L$  is Turing-decidable if and only if both  $L$  and its complement,  $\bar{L}$ , are Turing-recognisable.

**Proof.** Suppose  $M_1$  recognises  $L$ , and  $M_2$  recognises  $\bar{L}$ .

On an input  $w$ , run  $M_1$  and  $M_2$  in parallel – i.e. simulate alternating steps of  $M_1$  and  $M_2$  on a multitape Turing machine.

Either  $M_1$  or  $M_2$  must eventually accept – accept if  $M_1$  accepts and reject if  $M_2$  accepts.

## 11 Co-Halting problem

Given an encoding of a Turing machine  $M$ , and word  $w$ , is it the case that  $M$  doesn't terminate on  $w$ , i.e. is not Turing-recognisable.

## 12 Step-counter predicate

Step  $(M, w, k)$  if and only if the machine  $M$  terminates on  $w$  in no more than  $k$  steps, is Turing-decidable.