#### 1 Formal definition of a Turing machine

A Turing machine is a 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, q_{accept}, q_{reject})$ :

- $\bullet$  Q is the set of states
- $\Sigma$  is the input alphabet not containing special blank symbol  $\sqcup$
- $\Gamma$  is the tape alphabet satisfying  $\Sigma \subset \Gamma$  and  $\sqcup \in \Gamma$
- $\delta: Q \times \Gamma \to Q \times \Gamma \times \{L, R\}$  is the transition function
- $q_0 \in Q$  is the start state
- $q_{accept} \in Q$  is the accept state
- $q_{reject} \in Q$  is the reject state,  $q_{reject} \neq q_{accept}$

The input alphabet  $\Sigma$  never contains  $\sqcup$ , so  $\Sigma \neq \Gamma$  is always true.

A Turing machine can never contain a single state, as any machine must have distinct states  $q_{accept}$  and  $q_{reject}$ .

### 2 Turing machine computation

**Tape content** is unbounded but always finite, and the first (leftmost) blank symbol marks the end of tape content.

A configuration  $C_1$  yields the configuration  $C_2$  if the Turing machine can legally go from  $C_1$  to  $C_2$  in a single step. A **configuration** consists of:

- The current state
- The tape content
- The head location

The head can be in the same location in two successive steps if the machine attempts to move its head off the left-hand end – we assume, by definition, that it just stays in the same cell rather than throwing an error.

The start configuration on an input  $w \in \Sigma^*$  consists of start state  $q_0$ , w as the tape content, and the head location is the first (leftmost) position of the tape.

A configuration is **accepting** if its state is  $q_{accept}$ . A configuration is **rejecting** if its state is  $q_{reject}$ .

Accepting and rejecting configurations are halting configurations.

A Turing machine M accepts an input w if there is a sequence of configurations  $C_1, C_2, ..., C_k$  such that:

1.  $C_1$  is the start configuration of M on input w

- 2.  $C_i$  yields  $C_{i+1}$  for  $1 \le i \le k-1$
- 3.  $C_k$  is an accepting configuration

The **language** of M, denoted L(M), is the set of strings accepted by M.

#### 3 Turing-recognisable languages

A language L is **Turing-recognisable** if there is a Turing machine M that recognises it, i.e. L is the language of M.

Turing-recognisable means the same thing as **semi-decidable** and **recursively enumerable**.

If M recognises L, it may or may not halt on words not in L.

#### 3.1 Closure under operations

**Example.** The collection of Turing-recognisable languages is closed under union.

**Proof.** Let  $L_1$  and  $L_2$  be Turing-recognisable languages and  $M_1$  and  $M_2$  be Turing machines that recognise them. Construct a Turing machine M' that recognises the union of  $L_1$  and  $L_2$ . On input w:

• Run  $M_1$  and  $M_2$  alternatively on w, step-by-step. If either accept, accept. If both halt and reject, reject.

If either  $M_1$  and  $M_2$  accept w, M' accepts w and the accepting Turing machine (either  $M_1$  or  $M_2$ ) arrives to its accepting state after a finite number of steps.

If both  $M_1$  and  $M_2$  reject and either does so by looping, M' will loop.

The solution for Turing-decidable languages would not work here as Turing machines can loop. If  $M_1$  is looping, the construction used for Turing-decidable languages will loop even if  $M_2$  accepts w, and thus, w is the union of  $L_1$  and  $L_2$ .

## 4 Turing-decidable languages

A language L is **Turing-decidable** if there is a Turing machine M that accepts every  $w \in L$  and rejects every  $w \notin L$ .

Turing-decidable means the same thing as **recursive**.

If M decides L, it always halts.

#### 4.1 Closure under operations

**Example.** The collection of decidable languages is closed under union.

**Proof.** For any two decidable languages  $L_1$  and  $L_2$ , let  $M_1$  and  $M_2$  be the Turing machines that decide them. Construct a Turing machine M' that decides the union of  $L_1$  and  $L_2$ . On input w:

- 1. Run  $M_1$  on w. If it accepts, accept.
- 2. Run  $M_2$  on w. If it accepts, accept. Otherwise, reject.

M' accepts w if either  $M_1$  or  $M_2$  accepts it. If both reject, then M' rejects.

#### 5 Multitape Turing machines

A Multitape Turing machine is like a single tape Turing machine with several tapes, each with its own head. The only difference in the formal definition is the transition function, which is now:

$$\delta: Q \times \Gamma^k \to Q \times \Gamma^k \times \{L, R\}^k$$

where k is the number of tapes.

**Theorem.** Every multitape Turing machine has an equivalent single tape Turing machine.

#### 6 Non-deterministic Turing machines

A non-deterministic Turing machine has a transition function:

$$\delta: Q \times \Gamma \to \mathcal{P}(Q \times \Gamma \times \{L, R\})$$

**Theorem.** Every non-deterministic Turing machine has an equivalent deterministic Turing machine.

**Proof.** Consider the tree of all possible computations of the non-deterministic Turing machine. Start from the root (the start configuration) and do a breadth-first search. Accept only if an accepting configuration is found.

- DFS would not work
- Can use a multitape Turing machine to implement the BFS

## 7 Church-Turing thesis

Intuitive notion of an algorithm is equivalent to the mathematical concept of an algorithm defined by Turing machines (or any other formal model of computation, such as  $\lambda$ -calculus, Post machines, recursive functions)

## 8 Universal Turing machine

Every Turing machine M can be encoded as a word over a finite alphabet. Use  $\langle M \rangle$  to denote the **encoding** of a Turing machine M.

**Theorem.** There is a Turing machine U that takes a two-part input – the encoding of a Turing machine M ( $\langle M \rangle$ ) and a word w, and simulate M on w. U is called a **universal Turing machine**.

### 9 Halting problem

**Halting problem:** Given an encoding of a Turing machine M and a word w, does M terminate on w?

**Proposition.** The Halting problem is Turing-recognisable.

**Proof.** Run a universal Turing machine on the pair  $(\langle M \rangle, w)$ . Accept if the computation eventually terminates.

**Proposition.** The Halting problem is not Turing-decidable.

**Proof.** Assume, for contradiction, there is a turing machine H that decides the Halting problem.

$$H(\langle M \rangle, w) = \begin{cases} \text{accept} & \text{if } M \text{ terminates on } w \\ \text{reject} & \text{if } M \text{ does not terminate on } w \end{cases}$$

Use H as a black box to create an instance of the Halting problem, on which, H fails.

Consider a Turing machine D that takes the description of a single Turing machine M as an input and does the following:

$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } H(\langle M \rangle, \langle M \rangle) \text{ rejects} \\ \text{loop} & \text{if } H(\langle M \rangle, \langle M \rangle) \text{ accepts} \end{cases}$$

What happens when D runs on its own encoding,  $\langle D \rangle$ ?

- 1. D terminates on  $\langle D \rangle$ . By the construction of D,  $H(\langle D \rangle, \langle D \rangle)$  rejects, giving a wrong answer
- 2. D does not terminate on  $\langle D \rangle$ . By the construction of D,  $H(\langle D \rangle, \langle D \rangle)$  accepts, giving a wrong answer.

## 10 Turing-recognisable vs. Turing-decidable

**Theorem.** A language L is Turing-decidable if and only if both L and its complement,  $\bar{L}$ , are Turing-recognisable.

**Proof.** Suppose  $M_1$  recognises L, and  $M_2$  recognises  $\bar{L}$ .

On an input w, run  $M_1$  and  $M_2$  in parallel – i.e. simulate alternating steps of  $M_1$  and  $M_2$  on a multitape Turing machine.

Either  $M_1$  or  $M_2$  must eventually accept – accept if  $M_1$  accepts and reject if  $M_2$  accepts.

# 11 Co-Halting problem

Given an encoding of a Turing machine M, and word w, is it the case that M doesn't terminate on w, i.e. is not Turing-recognisable.

# 12 Step-counter predicate

Step (M, w, k) if and only if the machine M terminates on w in no more than k steps, is Turing-decidable.