



# Lecture 11

## Integral Domains (整域)

**11.1** Euclidean Domains (ユークリッド整域)

**11.2** Factorization (分解) in Integral Domains

# 11.1 Euclidean Domains

(ユークリッド整域)

# 11.1 Euclidean Domains (ユークリッド整域)

We have seen that both rings  $\mathbb{Z}$  and  $F[x]$ , where  $F$  is a field, have a **division algorithm**. In this lecture, we discuss the properties for **integral domains (整域)**.

Recall in Lecture 1, we defined Complement of Set as

## Definition 1.4

Given two sets  $A$  and  $B$ , the **relative complement** of  $B$  in  $A$ , denoted by *the set difference*  $A \setminus B$ , is the set

$$A \setminus B = A \cap B' = \{x \mid x \in A, \text{ but } x \notin B\}.$$

## Definition 11.1

A **Euclidean domain** is an **integral domain**  $(E, +, \cdot)$  together with a function  $v : E \setminus \{0\} \rightarrow \mathbb{Z}^\#$

(Here  $\mathbb{Z}^\#$  denotes the set of nonnegative (非負の) integers) such that

(i) for all  $a, b \in E$  with  $b \neq 0$ , there exist  $q, r \in E$  such that  $a = qb + r$ , where either  $r = 0$  or  $v(r) < v(b)$

and

(ii) for all  $a, b \in E \setminus \{0\}$ ,  $v(a) \leq v(ab)$ .

The function  $v$  is called a **Euclidean valuation (ユークリッド賦値)**.

# 11.1 Euclidean Domains (ユークリッド整域)

## Example 11.1

Let  $(E, +, \cdot)$  be a **Euclidean domain** with **Gaussian valuation**  $v$ .

(a) Show that  $v(a) = v(-a)$  for all  $a \in E \setminus \{0\}$ .

(b) Show that for all  $a \in E \setminus \{0\}$ ,  $v(a) \geq v(1)$ , where equality holds if and only if  $a$  is a **unit** in  $E$ .

(c) Let  $n$  be an integer such that  $v(1) + n \geq 0$ . Show that the function

$$v_n: E \setminus \{0\} \rightarrow \mathbb{Z}^\#$$

defined by  $v_n(a) = v(a) + n$  for all  $a \in E \setminus \{0\}$  is a **Euclidean valuation**.

## Solution

(a) For all  $a \in E \setminus \{0\}$ ,  $v(a) = v((-1)(-a)) \geq v(-a) = v((-1)a) \geq v(a)$  according to definition 11.1.

Hence,  $v(a) = v(-a)$  for all  $a \in E \setminus \{0\}$ .

(b) Let  $a \in E \setminus \{0\}$ . Now  $v(a) = v(1a) \geq v(1)$ . Suppose  $a$  is a **unit**. Then there exists an element  $c \in E$  such that  $ac = 1$ . Thus,  $v(1) = v(ac) \geq v(a)$ . This implies that  $v(a) = v(1)$ . Conversely, suppose that  $v(a) = v(1)$ . Since  $a \neq 0$ , there exist  $q, r \in E$  such that  $1 = qa + r$ , where  $r = 0$  or  $v(r) < v(1)$ . Now  $v(r) < v(1)$  is impossible. Hence,  $r = 0$ , showing that  $1 = qa$ . Thus,  $a$  is a unit.

(c) Let  $a \in E \setminus \{0\}$ . Then  $v_n(a) = v(a) + n \geq v(1) + n \geq 0$ . Hence,  $v_n(a) \in \mathbb{Z}^\#$ . Suppose  $a, b \in E$  with  $b \neq 0$ . There exist  $q, r \in E$  such that  $a = qb + r$ , where either  $r = 0$  or  $v(r) < v(b)$ . Now  $v(r) < v(b)$  implies that  $v(r) + n < v(b) + n$ . Thus,  $v_n(r) < v_n(b)$ . Also, for  $a, b \in E \setminus \{0\}$ ,  $v_n(ab) = v(ab) + n \geq v(a) + n = v_n(a)$ . Therefore,  $v_n$  is a **Euclidean valuation** on  $E$ .

## 11.1 Euclidean Domains (ユークリッド整域)

### Example 11.2

The ring  $\mathbb{Z}$  of integers can be considered a **Euclidean domain** with  $v(a) = |a|, a \neq 0$ .

## 11.1 Euclidean Domains (ユークリッド整域)

### Theorem 11.1

If  $F$  is a field, then the polynomial ring  $F[x]$  is a Euclidean domain.

**Proof** (See page 185 of Ref. Textbook, *Introduction of Abstract Algebra*)

## 11.1 Euclidean Domains (ユークリッド整域)

### Example 11.3

Any field can be considered as a **Euclidean domain** with  $v(a) = 1$  for all  $a \neq 0$ .

$$(a = (ab^{-1})b + 0.)$$

## 11.1 Euclidean Domains (ユークリッド整域)

### Definition 11.2

The subset  $\mathbb{Z}[i] = \{a + bi \mid a, b \in \mathbb{Z}\}$  of the complex numbers is called the set of Gaussian integers (ガウス整数).



Carl Gauss (German mathematician, 1777-1885) was the first to study  $\mathbb{Z}[i]$  and hence in his honor  $\mathbb{Z}[i]$  is called the ring of Gaussian integers.

### Theorem 11.2

The set  $\mathbb{Z}[i]$  of Gaussian integers is a subring of  $\mathbb{C}$ . The units of  $\mathbb{Z}[i]$  are  $\pm 1$  and  $\pm i$ .

**Proof** (See page 186 of Ref. Textbook, *Introduction of Abstract Algebra*)



## 11.1 Euclidean Domains (ユークリッド整域)

### Theorem 11.3

The ring  $\mathbb{Z}[i]$  of Gaussian integers becomes a Euclidean domain when we let the function,

$f: \mathbb{Z}[i] \setminus \{0\} \rightarrow \mathbb{Z}^{\#}$

defined by  $f(a + bi) = (a + bi)(a - bi) = a^2 + b^2$  for all  $a, b \in \mathbb{Z}$ , serve as a Euclidean valuation function  $v$ .

**Proof** (See page 186 of Ref. Textbook, Malik, *Introduction to Abstract Algebra*)

## 11.1 Euclidean Domains (ユークリッド整域)

We now consider the ideals of a Euclidean domain.

Recall that an **ideal**  $I$  of a ring  $R$  is called a **principal ideal** if  $I = \langle a \rangle = \{ar : r \in R\}$  for some  $a \in I$ .

### Definition 11.3

Let  $R$  be a commutative ring with identity. If every ideal of  $R$  is a principal ideal, then  $R$  is called a **principal ideal ring**. An integral domain which is also a principal ideal ring is called a **principal ideal domain (PID)** (単項イデアル整域).

### Theorem 11.4

Every Euclidean domain is a principal ideal domain (PID).

Proof (See page 186 of Ref. Textbook, Malik, *Introduction of Abstract Algebra*)

## 11.1 Euclidean Domains (ユークリッド整域)

### Theorem 11.5

Let  $R$  be a commutative ring with identity. The following conditions are equivalent.

- (i)  $R$  is a field.
- (ii)  $R[x]$  is a Euclidean domain.
- (iii)  $R[x]$  is a PID.

**Proof** (See page 187 of Ref. Textbook, Malik, Introduction of Abstract Algebra)

### Corollary 11.1

$\mathbb{Z}[x]$  is not a PID.

### Proof

Now  $\mathbb{Z}$  is a commutative ring with identity. Since  $\mathbb{Z}$  is not a field,  $\mathbb{Z}[x]$  is not a PID by Theorem 11.5.

# **11.2 Factorization (分解) in Integral Domains**

## 11.2 Factorization (分解) in Integral Domains

### Definition 11.4

Let  $R$  be a commutative ring and  $a, b \in R$  be such that  $a \neq 0$ . If there exists  $c \in R$  such that  $b = ac$ , then  $a$  is said to **divide**  $b$  or  $a$  is said to be a **divisor** of  $b$  and we write  $a \mid b$ .

### Definition 11.5

Let  $R$  be a commutative ring with identity. **A nonzero element  $a \in R$  is said to be an associate (同伴) of a nonzero element  $b \in R$  if  $a = ub$  for some unit  $u \in R$ .**

## 11.2 Factorization (分解) in Integral Domains

### Example 11.4

- (i) In  $\mathbb{Z}$ , 1 and  $-1$  are the only **units**. For every  $a \in \mathbb{Z}$  and  $a \neq 0$ , we know  $a$  and  $-a$  are **associates**.
- (ii) In  $\mathbb{Z}[i]$ ,  $1, -1, i, -i$  are the **only units**. Thus,  $1 + i, -1 - i, -1 + i, 1 - i$  are all **associates** of  $1 + i$ .

### Example 11.5

In the polynomial ring  $F[x]$  over a field  $F$ , the units form the set  $F \setminus \{0\}$ . A **nonconstant polynomial**  $f(x)$  has  $uf(x)$  for an **associate**, where  $u$  is a **unit** in  $F$ .

## 11.2 Factorization (分解) in Integral Domains

### Definition 11.6

Let  $R$  be a commutative ring with identity.

(i) An element  $p$  of  $R$  is called **irreducible** if  $p$  is nonzero and a nonunit and

$p = ab$  with  $a, b \in R$  implies that either  $a$  or  $b$  is a unit. An element  $p$  of  $R$  is called **reducible** if  $p$  is not irreducible.

(ii) An element  $p$  of  $R$  is called **prime** if  $p$  is nonzero and a nonunit, and if whenever  $p \mid ab$ ,  $a, b \in R$ , then either  $p$  divides  $a$  or  $p$  divides  $b$ .

(iii) Two elements  $a$  and  $b$  of  $R$  are called **relatively prime** if their only common divisors are units.

From the definition of an irreducible element, it follows that the only divisors of an irreducible element  $p$  are the associates of  $p$  and the unit elements of  $R$ .

The converse of this result does not always hold in a commutative ring with identity.

## 11.2 Factorization (分解) in Integral Domains

### Theorem 11.6

Let  $R$  be an integral domain and  $p \in R$  be such that  $p$  is nonzero and a nonunit. Then  $p$  is irreducible if and only if the only divisors of  $p$  are the associates of  $p$  and the unit elements of  $R$ .

**Proof** (See page 194 of Ref. Textbook, Malik, *Introduction of Abstract Algebra*)

### Example 11.6

In  $\mathbb{Z}$ , 1 and  $-1$  are the only units, and therefore 2 is divisible by  $\pm 1$  and  $\pm 2$ . It follows that 2 is not divisible by any other integer. Therefore, 2 is an irreducible element.

Suppose now  $2 \mid ab$  and 2 does not divide  $a$  for some  $a, b \in \mathbb{Z}$ . Since 2 does not divide  $a$ ,  $a$  is an odd integer and so  $\gcd(2, a) = 1$ .

Therefore, there exist  $c, d \in \mathbb{Z}$  such that  $1 = 2c + ad$ . Thus,  $b = 2bc + abd$ . Since  $2 \mid ab$  and  $2 \mid 2bc$ , it follows that  $2 \mid b$ . Hence, 2 is prime.



## 11.2 Factorization (分解) in Integral Domains

### Example 11.7

Consider the integral domain

$$\mathbb{Z}[i\sqrt{5}] = \{a + bi\sqrt{5} \mid a, b \in \mathbb{Z}\}$$

Let us show that  $3 = 3 + 0i\sqrt{5} \in \mathbb{Z}[i\sqrt{5}]$  is **irreducible, but not prime**. Suppose  $3 = (a + bi\sqrt{5})(c + di\sqrt{5})$  in  $\mathbb{Z}[i\sqrt{5}]$ . Then  $3 = \bar{3} = \overline{(a + bi\sqrt{5})(c + di\sqrt{5})} = (a - bi\sqrt{5})(c - di\sqrt{5})$ . Hence,  $9 = (a^2 + 5b^2)(c^2 + 5d^2)$ . Since  $a, b, c, d$  are integers, the previous equality implies that

$$a^2 + 5b^2 = 3 \text{ and } c^2 + 5d^2 = 3 \quad (11.1)$$

or

$$a^2 + 5b^2 = 1 \text{ and } c^2 + 5d^2 = 9 \quad (11.2)$$

or

$$a^2 + 5b^2 = 9 \text{ and } c^2 + 5d^2 = 1 \quad (11.3)$$

Clearly there do not exist integers  $a, b, c, d$  satisfying Eqs. (11.1). The first equation of Eqs. (11.2) implies that  $b = 0$  and  $a = \pm 1$ . Thus, it follows that  $a + bi\sqrt{5}$  is a unit. Similarly, the second equation of Eqs. (11.3) implies that  $c + di\sqrt{5}$  is a unit. Hence, 3 is irreducible. Now  $3 \mid 6$  and  $6 = (1 + i\sqrt{5})(1 - i\sqrt{5})$ . Suppose  $3 \mid (1 + i\sqrt{5})$ . Then  $1 + i\sqrt{5} = 3(a + bi\sqrt{5})$  for some  $a, b \in \mathbb{Z}$ . This implies that  $3a = 1$ , a contradiction, since the equation  $3a = 1$  has no solution in  $\mathbb{Z}$ .

Hence, 3 does not divide  $(1 + i\sqrt{5})$ . Similarly, 3 does not divide  $(1 - i\sqrt{5})$ . Thus, 3 is not prime.

## 11.2 Factorization (分解) in Integral Domains

Every field is also an integral domain; however, there are many integral domains that are not fields. For example, the integers  $\mathbb{Z}$  form an integral domain but not a field. A question that naturally arises is how we might associate an integral domain with a field.

There is a natural way to construct the rationals  $\mathbb{Q}$  from the integers: the rationals can be represented as formal quotients of two integers. The rational numbers are certainly a field. In fact, it can be shown that the rationals are the smallest field that contains the integers.

Given an integral domain  $D$ , our question now becomes how to construct a smallest field  $F$  containing  $D$ . We will do this in the same way as we constructed the rationals from the integers.

## 11.2 Factorization (分解) in Integral Domains

Let's introduce the Fundamental Theorem of Arithmetic (算術の基本定理).

### Theorem 11.7 (Fundamental Theorem of Arithmetic)

Let  $n$  be an integer such that  $n > 1$ . Then

$$n = p_1 p_2 \cdots p_k$$

where  $p_1, p_2, \dots, p_k$  are primes (not necessarily distinct).

Furthermore, this factorization is unique; that is, if

$$n = q_1 q_2 \cdots q_l$$

then  $k = l$  and the  $q_i$ 's are just the  $p_i$ 's rearranged.

**Proof** (See page 13 of Ref. Textbook, Malik, *Introduction of Abstract Algebra*)

## 11.2 Factorization (分解) in Integral Domains

We study those integral domains in which an analogue of the **Fundamental Theorem of Arithmetic** holds.

### Definition 11.7

A nonzero nonunit element  $a$  of an integral domain  $D$  is said to have a **factorization (分解)** if  $a$  can be expressed as

$$a = p_1 p_2 \cdots p_k$$

where  $p_1, p_2, \dots, p_k$  are **irreducible elements** of  $D$ . The expression  $p_1 p_2 \cdots p_k$  is called a **factorization** of  $a$ .

### Definition 11.8

An integral domain  $D$  is called a **factorization domain (FD)** if every nonzero nonunit element has a factorization.

## 11.2 Factorization (分解) in Integral Domains

In an integral domain  $D$  every nonzero element  $a \in D$  is always divisible by the associates of  $a$  and the units of  $D$ . These are called the **trivial factors** of  $a$ . **All other factors (if any) of  $a$  are called nontrivial.** For example,  $\pm 2$  and  $\pm 3$  are **nontrivial factors** of 6 in  $\mathbb{Z}$ .

In the following lemma, we show that a nonzero nonunit element that has no factorization as a product of irreducible elements can be expressed as a product of any number of nontrivial factors.

### Lemma 11.1

Let  $D$  be an integral domain. Let  $a$  be a nonzero nonunit element of  $D$  such that  $a$  **does not have a factorization**. Then for **every positive integer  $n$** , there exist **nontrivial factors**  $a_1, a_2, \dots, a_n \in D$  of  $a$  such that  $a = a_1 a_2 \cdots a_n$ .

## 11.2 Factorization (分解) in Integral Domains

### Theorem 11.8

Let  $D$  be an integral domain with a function  $f: D \setminus \{0\} \rightarrow \mathbb{Z}^{\#}$  such that for all  $a, b \in D \setminus \{0\}$ ,  $f(ab) \geq f(b)$ , where equality holds if and only if  $a$  is a unit. Then  $D$  is a **FD**.

**Proof** (See page 199~200 of Ref. Textbook, Malik, *Introduction of Abstract Algebra*)

## 11.2 Factorization (分解) in Integral Domains

### Example 11.8

Consider the integral domain  $\mathbb{Z}[i]$ . Define

$$f: \mathbb{Z}[i] \setminus \{0\} \rightarrow \mathbb{Z}^{\#}$$

by  $f(a + bi) = a^2 + b^2$  for all  $a + bi \in \mathbb{Z}[i]$ .

It is easy to verify that  $a + bi$  is a **unit** if and only if  $f(a + bi) = 1$ .

Let  $a + bi, c + di$  be two nonzero elements of  $\mathbb{Z}[i]$ .

$$\begin{aligned} \text{Then } f((a + bi)(c + di)) &= f((ac - bd) + (ad + bc)i) \\ &= (ac - bd)^2 + (ad + bc)^2 \\ &= a^2c^2 - 2acbd + b^2d^2 + a^2d^2 + 2adbc + b^2c^2 \\ &= a^2(c^2 + d^2) + b^2(c^2 + d^2) \\ &= (a^2 + b^2)(c^2 + d^2) \\ &\geq (c^2 + d^2) \\ &= f(c + di) \end{aligned}$$

where the equality holds if and only if  $f(a + bi)$  is a **unit**.

Hence,  $\mathbb{Z}[i]$  is a **FD**.

## 11.2 Factorization (分解) in Integral Domains

### Definition 11.9

An integral domain  $D$  is called a **unique factorization domain (UFD)** (一意分解整域) if the following two conditions hold in  $D$ :

(i) every nonzero nonunit element of  $D$  can be expressed as

$$a = p_1 p_2 \cdots p_k$$

where  $p_1, p_2, \dots, p_k$  are irreducible elements of  $D$

and

(ii) if  $a = p_1 p_2 \cdots p_k = q_1 q_2 \cdots q_l$  are two factorizations of  $a$  as a finite product of irreducible elements of  $D$ ,

then  $k = l$  and there is a permutation  $\sigma$  of  $\{1, 2, \dots, k\}$  such that  $p_i$  and  $q_{\sigma(i)}$  are associates for all  $i = 1, 2, \dots, k$ .

From the above definition, it follows that an integral domain  $D$  is a **UFD** if and only if  $D$  is a *FD* and every nonzero nonunit element of  $D$  is uniquely expressible (apart from unit factors and order of the factors) as a finite product of irreducible elements.



## 11.2 Factorization (分解) in Integral Domains

### Theorem 11.9

In a unique factorization domain (UFD), every irreducible element is prime.

**Proof** (See page 201 of Ref. Textbook, Malik, *Introduction of Abstract Algebra*)

### Theorem 11.10

A factorization domain (FD)  $D$  is a UFD if and only if every irreducible element of  $D$  is a prime element.

**Proof** (See page 201 of Ref. Textbook, Malik, *Introduction of Abstract Algebra*)

### Theorem 11.11

A Euclidean domain is a unique factorization domain (UFD).

**Proof** (See page 202 of Ref. Textbook, Malik, *Introduction of Abstract Algebra*)

## 11.2 Factorization (分解) in Integral Domains

### Example 11.9

Consider the integral domain  $\mathbb{Z}[i\sqrt{5}] = \{a + bi\sqrt{5} \mid a, b \in \mathbb{Z}\}$ . Define

$$f: \mathbb{Z}[i\sqrt{5}] \setminus \{0\} \rightarrow \mathbb{Z}^{\#}$$

by

$$f(a + bi\sqrt{5}) = a^2 + 5b^2$$

We can show that  $a + bi\sqrt{5}$  is a unit if and only if  $f(a + bi\sqrt{5}) = 1$ . Let  $a + bi\sqrt{5}, c + di\sqrt{5}$  be two nonzero elements of  $\mathbb{Z}[i\sqrt{5}]$ .

Then  $f((a + bi\sqrt{5})(c + di\sqrt{5})) = f((ac - 5bd) + i(ad + bc)\sqrt{5}) = (ac - 5bd)^2 + 5(ad + bc)^2 = (a^2 + 5b^2)(c^2 + 5d^2) \geq (c^2 + 5d^2) = f(c + di\sqrt{5})$ , where equality holds if and only if  $f(a + bi\sqrt{5}) = 1$ , i.e., if and only if  $a + bi\sqrt{5}$  is a unit. Hence,  $\mathbb{Z}[i\sqrt{5}]$  is a **FD** by Theorem 11.8. In Example 11.7, we showed that 3 is an irreducible element. Now  $3 \mid (2 + i\sqrt{5})(2 - i\sqrt{5})$ . Suppose  $3 \mid (2 + i\sqrt{5})$ . Then  $2 + i\sqrt{5} = 3(m + ni\sqrt{5})$  for some  $m + ni\sqrt{5} \in \mathbb{Z}[i\sqrt{5}]$ . This implies  $2 = 3m$  and  $1 = 3n$ , which is impossible for integers  $m$  and  $n$ . Therefore, 3 does not divide  $(2 + i\sqrt{5})$ . Similarly, 3 does not divide  $(2 - i\sqrt{5})$ . Thus, 3 is not prime in  $\mathbb{Z}[i\sqrt{5}]$ . Hence,  $\mathbb{Z}[i\sqrt{5}]$  is not a **UFD** by Theorem 11.9.

# Review for Lecture 11

- Euclidean Domain (ユークリッド整域)
- Gaussian Integers (ガウス整数)
- Associate (同伴)
- Fundamental Theorem of Arithmetic (算術の基本定理)
- Unique Factorization Domain (UFD) (一意分解整域)

## Assignment

Please Check <https://github.com/uoaworks/Applied-Algebra>

## References

- [1] Thomas W. Judson etc. Abstract Algebra Theory and Applications, 2018
- [2] D. S. Malik, John N. Mordeson, M.K. Sen, Introduction to Abstract Algebra, 2007
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- [4] Wikipedia
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