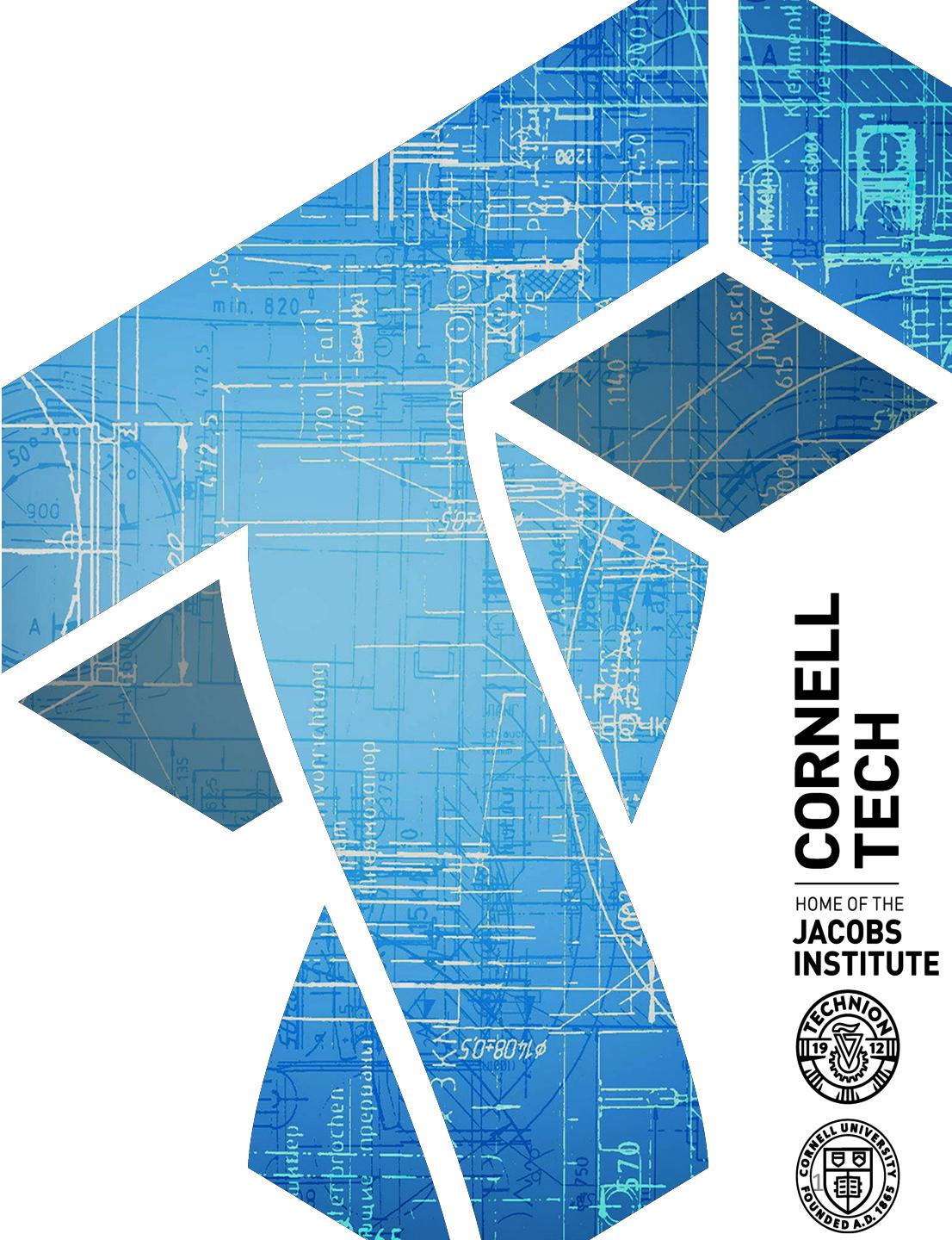


# CS 5830

# Cryptography

Instructor: Tom Ristenpart

TAs: Yan Ji, Sanketh Menda



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**JACOBS  
INSTITUTE**



# Administrivia

- Slack channel invitation (email one of the TAs if you have trouble)
  - Will use for announcements
  - Feel free to use general channels for questions, DM is fine too. We will try to be responsive and let you know if we can't
- Office hours will be announced, in mean time just message us
- Tentative homework schedule on course schedule now
  - Will try to stick to announced schedule
  - Let us know if there are large conflicts

# The many types of attackers & threat models

- Threat model describes adversarial capabilities and goals
- **Example capabilities:**
  - Passive attackers observe communications / data
  - Active attackers may additionally interact with systems
    - Chosen-plaintext attacks can see input-output examples for adversarial plaintexts
    - Chosen-ciphertext attacks allow submitting mangled ciphertexts to decryption box
- **Example goals:**
  - Confidentiality violation (recover keys, recover plaintexts)
  - Integrity violation (trick recipient into accepting modified plaintext)
- Modern cryptography tries to formalize threat models

# Shannon's security notion (1949)

Def. A symmetric encryption scheme  $\text{Enc}$  is **perfectly secure** if for all messages  $M, M'$  and ciphertexts  $C$

$$\Pr[\text{Enc}(K, M) = C] = \Pr[\text{Enc}(K, M') = C]$$

where probabilities are over choice of  $K$

In words:

each message is equally likely to map to a given ciphertext

In other words:

seeing a ciphertext leaks nothing about what message was encrypted

Does a substitution cipher meet this definition? No!

# One-time pad (OTP)

$$\begin{array}{l} M = \begin{smallmatrix} 0 & 0 & 1 \\ 1 & 0 & 1 \end{smallmatrix} \\ K = \begin{smallmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \end{smallmatrix} \\ C = \begin{smallmatrix} 1 & 0 & 0 \\ 1 & 0 & 1 \end{smallmatrix} \end{array}$$

$$\begin{array}{|c|c|c|} \hline & 1 & 0 & 1 \\ \hline 0 & | & 0 & 0 \\ \hline 1 & 0 & 1 & 1 \\ \hline \end{array}$$

Part of a CIA OTP used by Soviet diplomat spying for CIA

для расшифровки	95	1100
24765	93659	55146
25341	88038	31282
65096	02819	74377
19226	31329	55134
01334	80225	37061
90865	91712	80927
98890	61224	59636
95428	50476	06584
43041	83175	29737
77230	19601	57378
32548	48508	71999
57311	83798	06280
10464	00582	08702
93610	38382	57828
53217	20255	20839
31617	14857	97505
52190	32626	07392
39585	92345	44974
44347	73224	49702
06460	37447	02998
85784	28585	57163
12105	61287	69331
94389	88086	36174
79967	13807	72453
65413	91747	01977
09685	11575	35283
35772	51501	01308
69421	13874	28982
64308	31000	08437
39151	32450	44942
57000	78066	10301
41192	47297	79960
91761	48988	10844
03174	79631	96669
94449	59824	50666
92675	67604	01497
84157	68553	92387
57646	87563	92853
65986	82656	13413
43525	29532	22339
21453	34795	75553
78788	88951	94795
37546	76036	48699
24962	21660	78980
34262	59764	68318
77821	46528	50330
27553	32177	73058
31989	53361	18687
21708	51305	66499
20471	53361	18687
26588	24850	81322
07293	53021	
71976		
36834		
49525		
11968		
29465		
47144		
63857		
15846		
22365		
01365		
46616		
07784		
50120		
76361		
02927		
32159		
42161		
82072		
84634		
32188		
21874		
76885		
31320		
59622		
49308		
18102		
81825		
26689		
78288		
33063		
10617		
83200		
69324		
73058		
88951		
76036		
52154		
68318		
50330		

Pick a random bit string

Assume M is L-bit string

Assume C is L-bit string

Kg():

$K \leftarrow \{0,1\}^L$

Enc(K,M):

Return  $M \oplus K$

Dec(K,C):

Return  $C \oplus K$

# Shannon's security notion

$$0^n = \underbrace{000\dots 0}_{n \text{ bits}} \quad (1949)$$
$$1^n = \underbrace{111\dots 1}_1$$

$$\text{Enc}(k, 0^n), \text{Enc}(k, 1^n)$$
$$||$$
$$C_1$$
$$C_2$$

Def. A symmetric encryption scheme  $\text{Enc}$  is **perfectly secure** if for all messages  $M, M'$  and ciphertexts  $C$

$$\Pr[\text{Enc}(K, M) = C] = \Pr[\text{Enc}(K, M') = C]$$

where probabilities are over choice of  $K$

Thm. OTP is **perfectly secure**

For any  $C$  and  $M$  of length  $L$  bits

$$K = M \oplus C$$
$$\Pr[K \oplus M = C] = 1 / 2^L$$

$$\Pr[K \oplus M = C] = \Pr[K \oplus M' = C]$$

$$C \oplus C_2 =$$
$$(K \oplus 0^n) \oplus$$
$$(K \oplus 1^n)$$
$$= 0^n \oplus 1^n$$

# Shannon's security notion (1949)

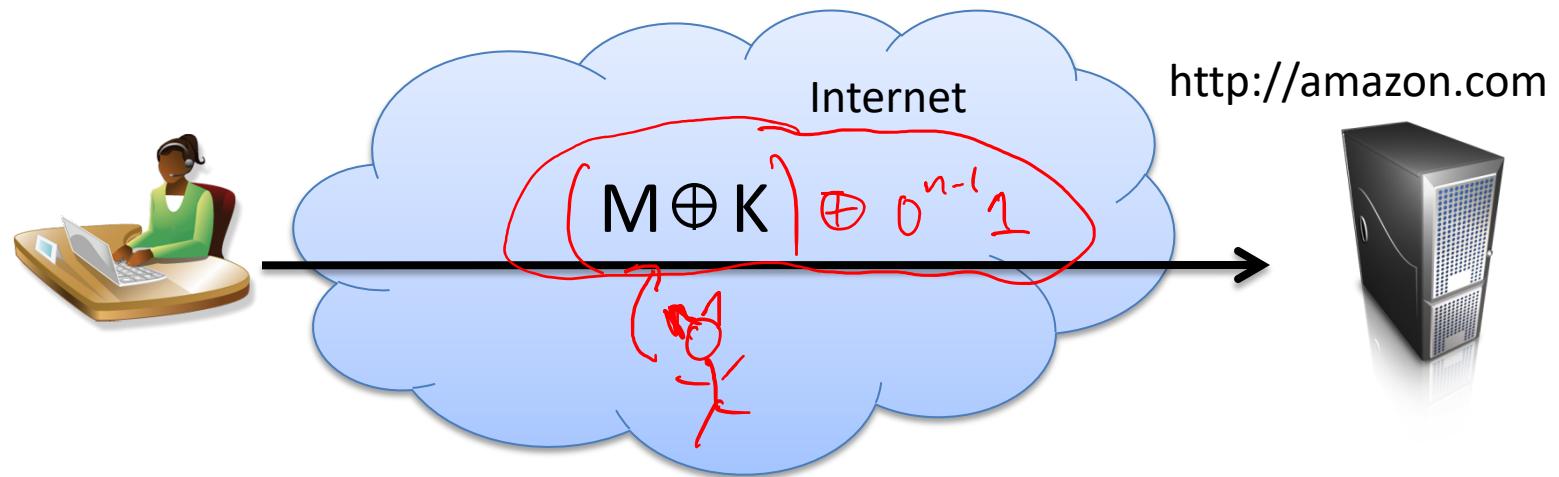
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where probabilities are over choice of  $K$

Thm. OTP is **perfectly secure**

Thm. **Perfectly secure** encryption requires  $|K| \geq |M|$



$$\begin{aligned}
 C' &= m \oplus k \oplus 0^{n-1}1 \\
 &= (m \oplus 0^{n-1}) \oplus k \\
 &\sim C \oplus k
 \end{aligned}$$

Does OTP suffice for securing communications online?

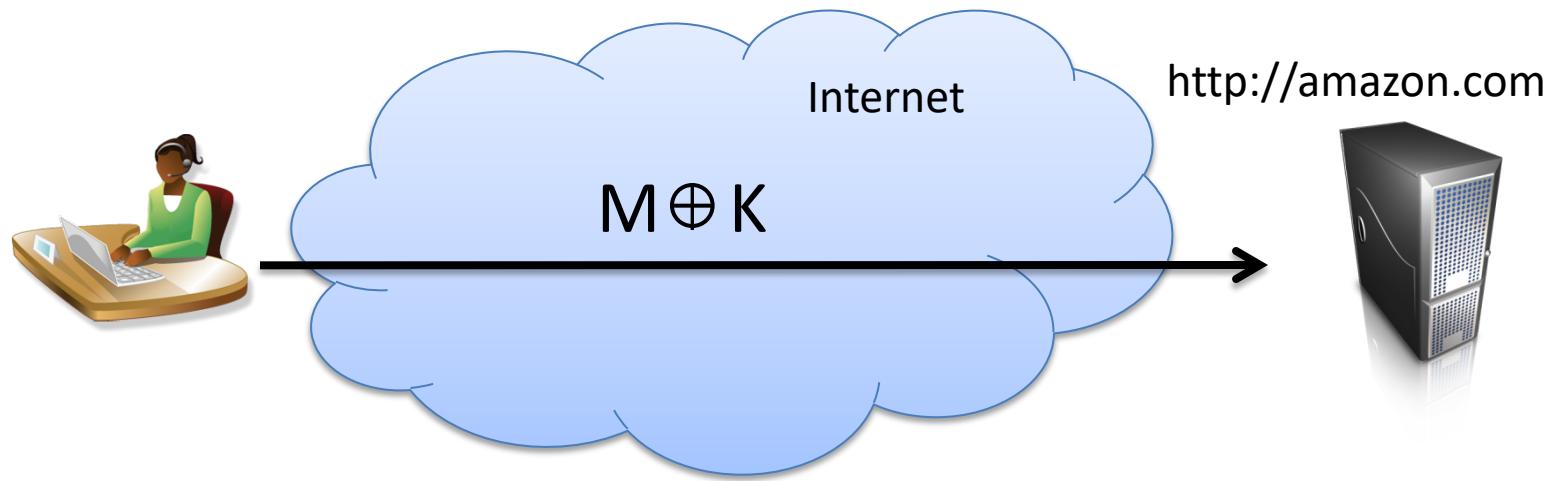
- Integrity easily violated
- Reuse of K for messages  $M, M'$  leaks  $M \oplus M'$
- { Encrypting same message twice under K leaks the message equality }
- K must be as large as message
- { Message length revealed }

# Formal security notions are hard to get right

Simplifying  
abstraction to  
allow rigorous  
analysis



Amount of  
deployment  
details needed to  
capture attacks



Does OTP suffice for securing communications online?

Integrity easily violated

Reuse of  $K$  for messages  $M, M'$  leaks  $M \oplus M'$

Encrypting same message twice under  $K$  leaks the message equality

K must be as large as message

Message length revealed

# Beyond Shannon

Thm. Perfectly secure encryption requires  $|K| \geq |M|$

We will *relax* the definition of confidentiality:

1. Allow tiny adversarial success probability (often written as  $\epsilon$ , e.g.,  $\epsilon = 2^{-80}$ )
2. Focus on resource-efficient adversaries (e.g., only those given run time at most  $t = 2^{80}$  )

Intuition: we are ok if adversaries require prohibitive time to win, or only win with vanishingly small probability

# Towards computational indistinguishability

Def. A symmetric encryption scheme is **perfectly secure** if for all messages  $M, M'$  and ciphertexts  $C$

$$\Pr[\text{Enc}(K, M) = C] = \Pr[\text{Enc}(K, M') = C]$$

where probabilities are over choice of  $K$

Let's give a game-based formulation of this using an adversary

Let  $\text{SE} = (Kg, \text{Enc}, \text{Dec})$  be a symmetric encryption scheme

Let  $\mathcal{A}$  be a randomized algorithm, called the adversary

*indistinguishability*

$\text{IND}(\text{SE}, \mathcal{A})$ :

$(M_0, M_1) \leftarrow \mathcal{A}$

$K \leftarrow \text{Kg}$  ;  $b \leftarrow \{0, 1\}$

$b' \leftarrow \mathcal{A}(\text{Enc}(K, M_b))$

Return  $(b = b')$

$\text{IND}(\text{SE}, \mathcal{A})$  output is 1 if  $(b = b')$ .

We say then that the adversary succeeded

Def. A scheme  $\text{SE}$  is **perfectly secure** if for every  $\mathcal{A}$  it is the case that

$$\Pr[\text{IND}(\text{SE}, \mathcal{A}) = 1] = 1/2$$

$Kg \in \{ K \leftarrow \$ \}_{0, 1}^{L-1}$   
string  
cont.

$\text{Enc}(K, m) = \text{prf}(K \| m)$

$L \leftarrow$   
ret  $c$   
 $c$  reveals  
a bit  
bit of  $m$

$\text{ALC}(\cdot)$ :  
If low set of  
 $c = 0$   
then ret 0

ret 1

# Computational indistinguishability

Def. A symmetric encryption scheme is  $(t, \epsilon)$ -indistinguishable if for any adversary  $\mathcal{A}$  running in time at most  $t$  it holds that

$$\Pr[\text{IND}(\text{SE}, \mathcal{A}) = 1] < 1/2 + \epsilon$$

$\epsilon \approx 2^{-80}$

1) Tiny adversarial success

2) Computationally limited adversary

IND(SE,  $\mathcal{A}$ ):

```
(M0, M1) <- $  $\mathcal{A}$ 
K <- $ Kg ; b <- $ {0,1}
b' <- $  $\mathcal{A}$ (Enc(K, Mb))
Return (b = b')
```

Discussion questions:

- 1) Does  $(t, \epsilon)$ -indistinguishability model known, chosen message attack? What about chosen ciphertext?
- 2) Is OTP  $(t, \epsilon)$ -indistinguishable?
- 3) Is a substitution cipher  $(t, \epsilon)$ -indistinguishable?

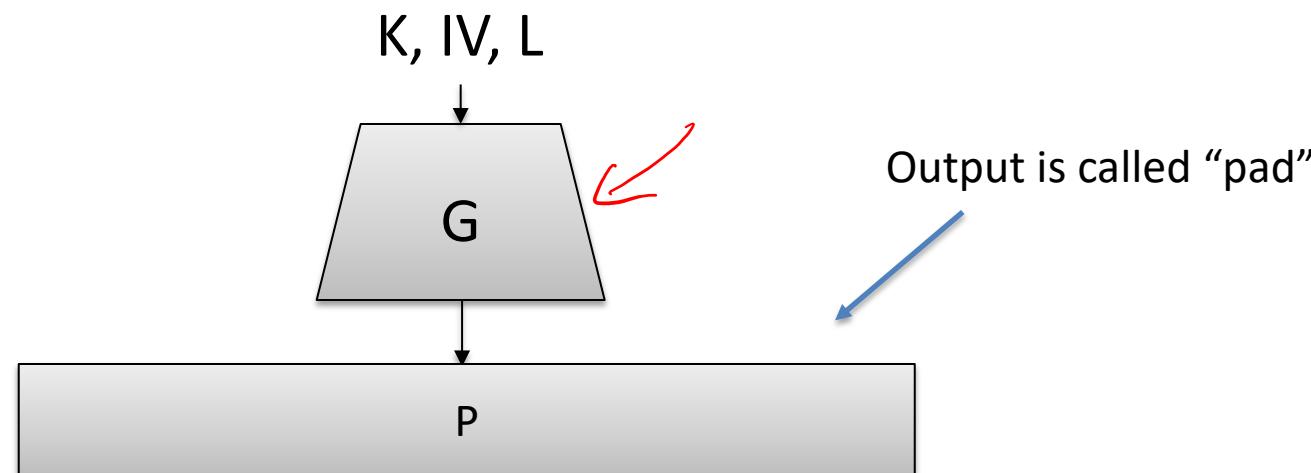
# How do we build computationally-secure SE schemes?

- Game plan: need more tools
  - Stream ciphers
  - Block ciphers

# Stream ciphers

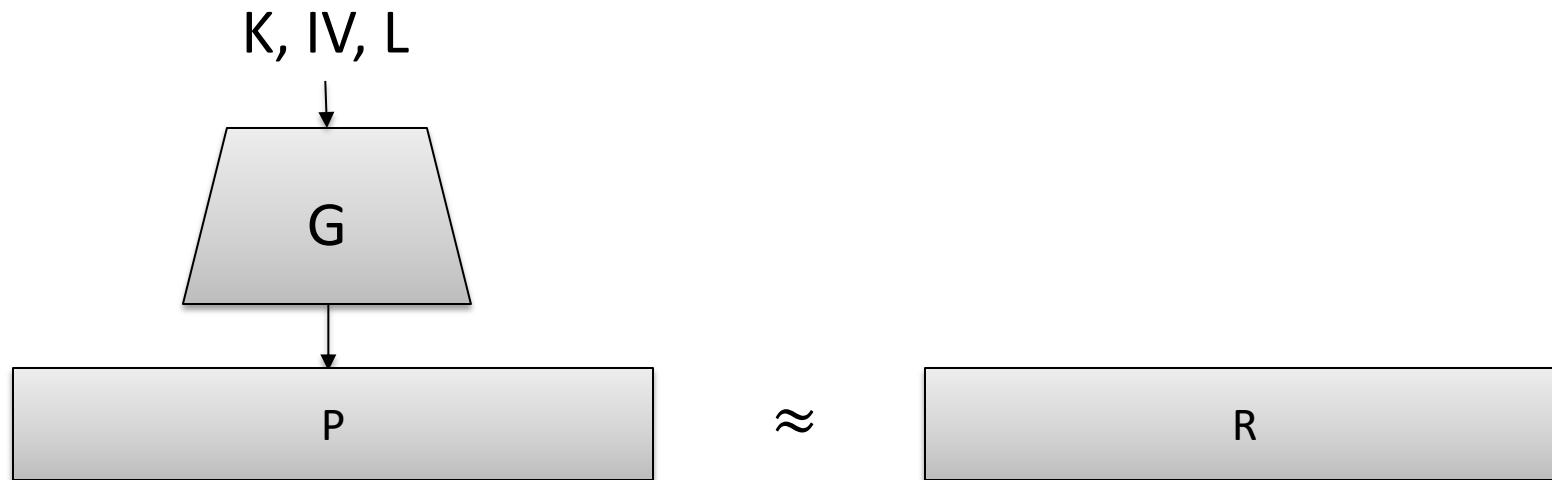
Stream cipher (aka pseudorandom generator) is pair of algorithms:

- $K_g$  outputs a random key  $K$
- $G(K, IV, L)$  takes  $K$ , optionally an additional random value  $IV$  (initialization vector), desired length  $L$ 
  - Outputs bit string  $P$  with  $|P| = L$



# Stream cipher security goal

$(t, \epsilon)$ -pseudorandom: no attacker limited to time  $t$  can distinguish between  $\text{IV}$ ,  $G(K, \text{IV}, L)$  and random bitstring  $R$  of length  $L$  with probability greater than  $\epsilon$



Intuition:  $P$  is as good as a true one-time pad  $R$  (uniformly sampled)

Implies that no large *biases* exist, e.g.  $\Pr[ P[0] = 0x00 ] = \frac{1}{256} + \delta$

*First byte of P* ↗ *0000 0000*

# SE from a stream cipher

Say we have a secure stream cipher. How do we build an SE scheme?

Kg():

$K \leftarrow \{0,1\}^k$

Enc(K,M):

$L \leftarrow |M|$

$\text{IV} \leftarrow \{0,1\}^n$

Return  $(\text{IV}, G(K, \text{IV}, L) \oplus M)$

Dec(K, (IV, C)):

$L \leftarrow |C|$

Return  $G(K, \text{IV}, L) \oplus C$

key space  
for stream cipher

Pick a random key

Assume ciphertext can be  
parsed into IV and  
remaining ciphertext bits

# Reduction-based analysis: high level idea

What we would want to show:

Stream-cipher  $G$  is  $(t, \epsilon)$ -pseudorandom



Stream-cipher based SE scheme is  $(t, \epsilon)$ -indistinguishable



Stream-cipher  $G$  is *not*  $(t, \epsilon)$ -pseudorandom

What we would show:

Stream-cipher based SE scheme is *not*  $(t, \epsilon)$ -indistinguishable



# Reduction-based analysis: high level idea

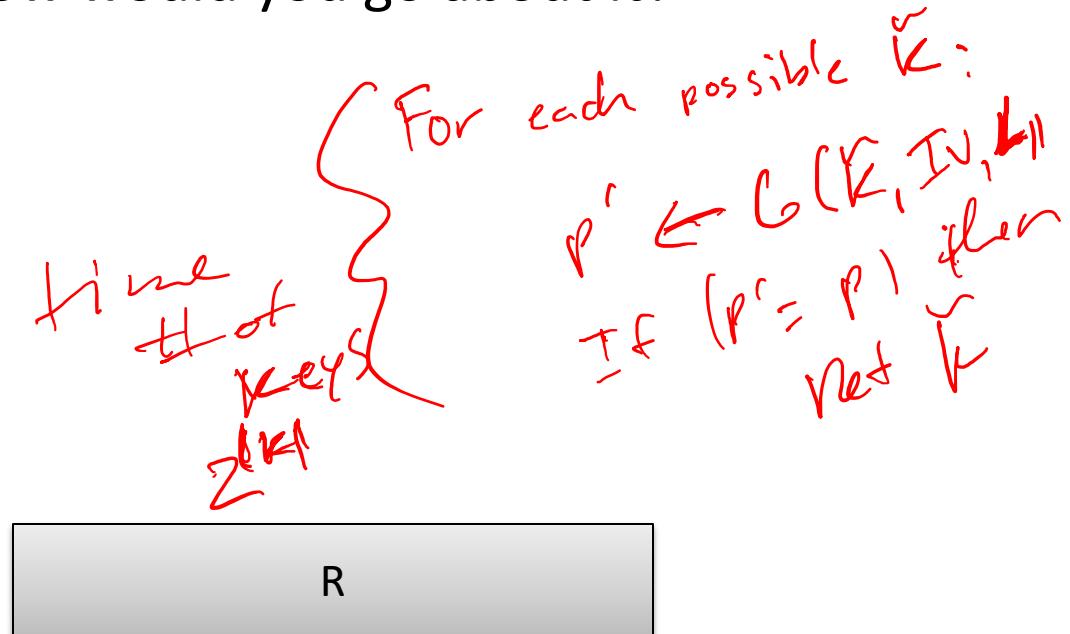
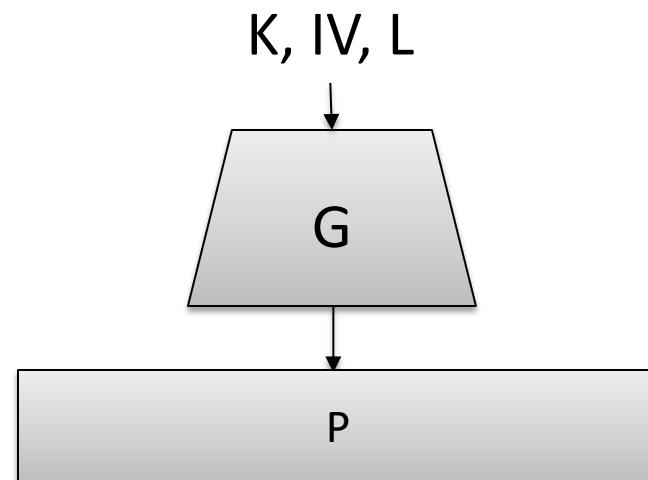
- **Reductionist analyses powerful tool:** rules out attacks that don't also break some (simpler to state) underlying hard computational problem
  - Often referred to as “provable security”
- Not a panacea:
  - Security definitions must capture relevant adversarial capabilities/goals
  - Proofs can sometimes be wrong
  - Couple with other approaches such as direct cryptanalysis

Reduces task of building indistinguishable encryption to building pseudorandom stream cipher

# Stream cipher security

- Someone gives you a stream cipher G
- How would you go about analyzing its security?
  1. **Key recovery attacks:** given IV, P (for some hidden K), recover K. How would you do it? What is run time of attack? *Brute force key recovery*
  2. **Bias-finding attacks:** can we find biases? How would you go about it?

E.g.:  $\Pr[P[0] = 0x00] = \frac{1}{256} + \delta$



# Simplified view of bias-abusing attack

Assume stream cipher G with no IV and outputting single byte

Unknown byte M encrypted under q different keys K<sub>1</sub>,...,K<sub>q</sub>

Adversary given C<sub>1</sub>,...,C<sub>q</sub>

$$C_1 = G(K_1) \oplus M$$

$$C_2 = G(K_2) \oplus M$$

$$C_3 = G(K_3) \oplus M$$

:

$$C_q = G(K_q) \oplus M$$



Suppose  $\Pr[G(K) = 0x00] > \Pr[G(K) = B]$  for any other B

Pad byte is most likely to be 0x00

Say  $\Pr[G(K) = 0x00] = 1/3$

How many ciphertexts do we expect to equal M?  $\sim q/3$

Simple attack:

Let  $N_r$  = number of ciphertexts that equal byte value r

Output r such that  $N_r$  is highest

# Simplified view of bias-abusing attack

Assume stream cipher G with no IV and outputting single byte

Unknown byte M encrypted under q different keys K<sub>1</sub>,...,K<sub>q</sub>

Adversary given C<sub>1</sub>,...,C<sub>q</sub>

$$C_1 = G(K_1) \oplus M$$

Alfardan et al. 2013 point out better approach

$$C_2 = G(K_2) \oplus M$$

Build maximum likelihood estimator (MLE) that takes advantage of all known biases in G's output

$$C_3 = G(K_3) \oplus M$$

:

$$C_q = G(K_q) \oplus M$$

In words:

Choose value M that most likely explains the C<sub>1</sub>,...,C<sub>q</sub> seen given the known biases of G

# RC4 stream cipher

- Rivest Cipher 4 (1987)
  - No IV: can't reuse K
  - L is implicit: call generate L times
  - All addition mod 256
- Originally proprietary secret
- Leaked to CypherPunks mail list (1994)
  - “Alleged RC4” or ARCFOUR
  - Rivest confirmed history later
- Very simple, fast to implement in software
- Used widely, only recently deprecated

---

## Algorithm 1: RC4 key scheduling (KSA)

---

```
input : key  $K$  of  $l$  bytes
output: initial internal state  $st_0$ 
begin
    for  $i = 0$  to 255 do
         $\mathcal{S}[i] \leftarrow i$ 
     $j \leftarrow 0$ 
    for  $i = 0$  to 255 do
         $j \leftarrow j + \mathcal{S}[i] + K[i \bmod l]$ 
        swap( $\mathcal{S}[i], \mathcal{S}[j]$ )
     $i, j \leftarrow 0$ 
     $st_0 \leftarrow (i, j, \mathcal{S})$ 
    return  $st_0$ 
```

---

## Algorithm 2: RC4 keystream generator (PRGA)

---

```
input : internal state  $st_r$ 
output: keystream byte  $Z_{r+1}$  updated internal state  $st_{r+1}$ 
begin
    parse  $(i, j, \mathcal{S}) \leftarrow st_r$ 
     $i \leftarrow i + 1$ 
     $j \leftarrow j + \mathcal{S}[i]$ 
    swap( $\mathcal{S}[i], \mathcal{S}[j]$ )
     $Z_{r+1} \leftarrow \mathcal{S}[\mathcal{S}[i] + \mathcal{S}[j]]$ 
     $st_{r+1} \leftarrow (i, j, \mathcal{S})$ 
    return  $(Z_{r+1}, st_{r+1})$ 
```

From:  
[http://www.isg.rhul.ac.uk/  
tls/RC4passwords.pdf](http://www.isg.rhul.ac.uk/tls/RC4passwords.pdf)

# RC4 stream cipher

- Key scheduling algorithm uses  $K$  to build permutation  $S$  on  $\{0, \dots, 255\}$  and initialize internal state  $(i, j, S)$

Interesting discussion of permutation generation:

<https://blog.codinghorror.com/the-danger-of-naivete/>

- Keystream generator outputs byte  $Z_{r+1}$  and updated internal state
  - $S$  remains permutation throughout
  - $i$  is a counter
  - $j$  varies as function of  $i, S$ , previous  $j$

$$\text{Enc}(k, 1), \text{Enc}(k, 2), \text{Enc}(k, 3) \rightarrow \sum c_{i,j} = d$$

---

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return  $st_0$ 
```



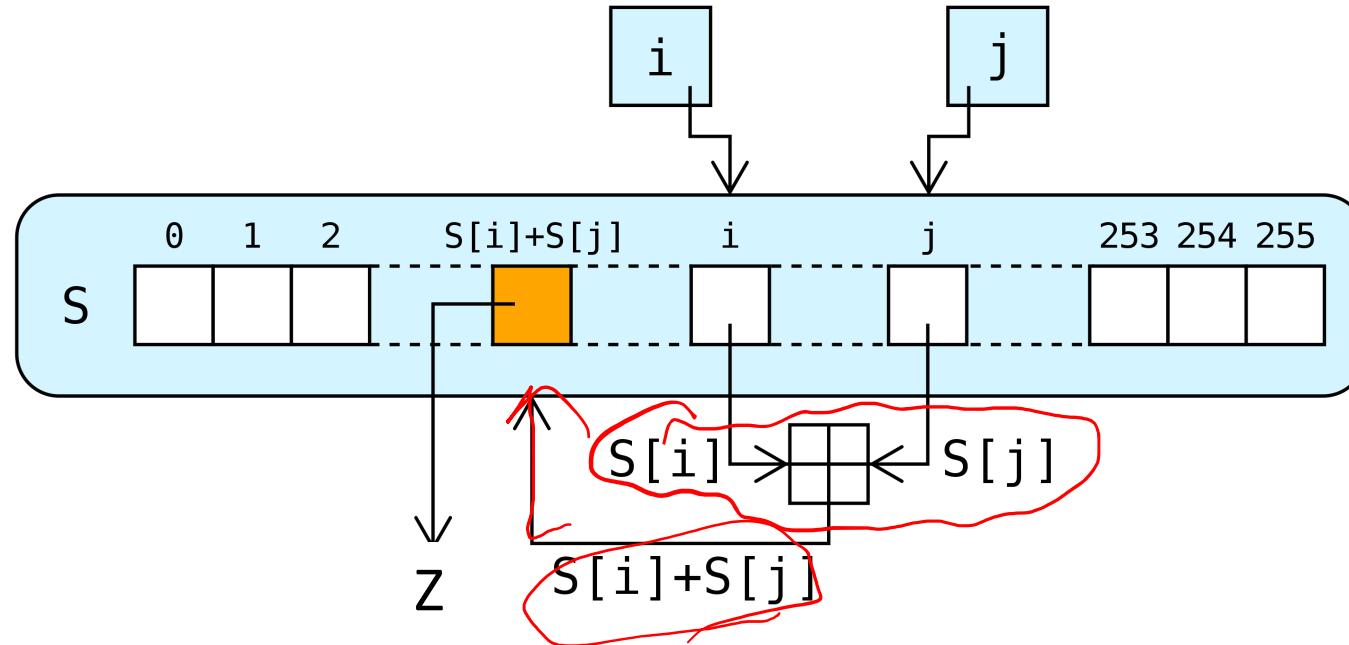
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   $j \leftarrow j + S[i]$ 
  swap( $S[i], S[j]$ )
   $Z_{r+1} \leftarrow S[S[i] + S[j]]$ 
   $st_{r+1} \leftarrow (i, j, S)$ 
return  $(Z_{r+1}, st_{r+1})$ 
```

From:  
<http://www.isg.rhul.ac.uk/tls/RC4passwords.pdf>

# RC4 stream cipher



---

## Algorithm 1: RC4 key scheduling (KSA)

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From:  
<http://www.isg.rhul.ac.uk/tls/RC4passwords.pdf>

# RC4 stream cipher

- How do evaluate RC4 security?

- Manual analysis:

- walk through code and analyze probabilities

[Mantin-Shamir 2001]:  $\Pr[Z_2 = 0x00] \approx 1/128$

- Statistical tests:

- for a random key, do you get expected distribution of output bytes?

- for many random keys, do you get expected distribution for each byte?

[AlFardan et al. 2013] calculated outputs for  $2^{44}$  keys and reported on empirical distributions

---

**Algorithm 1: RC4 key scheduling (KSA)**

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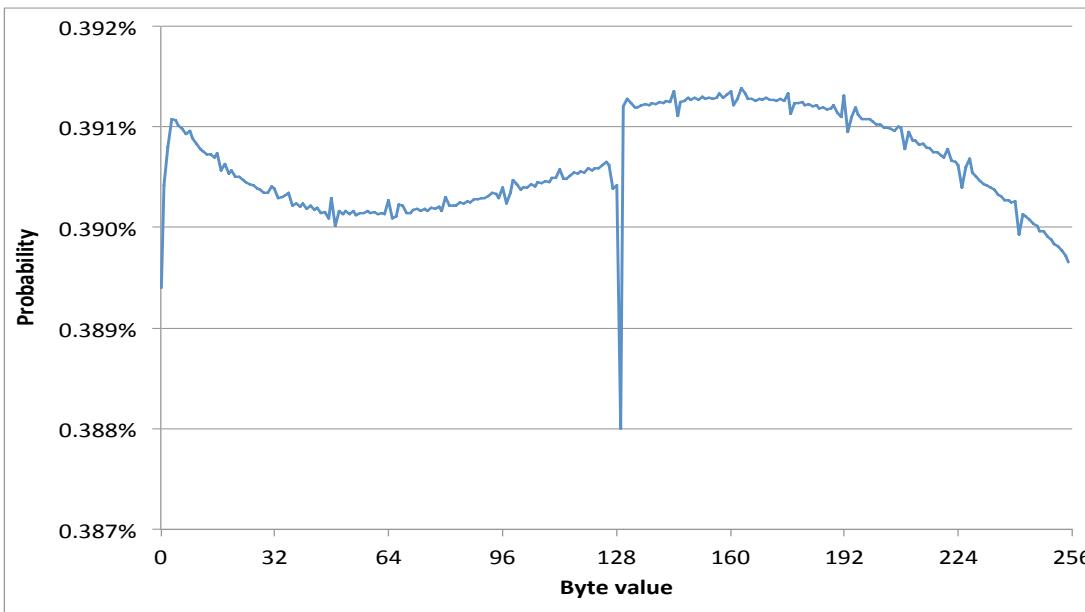
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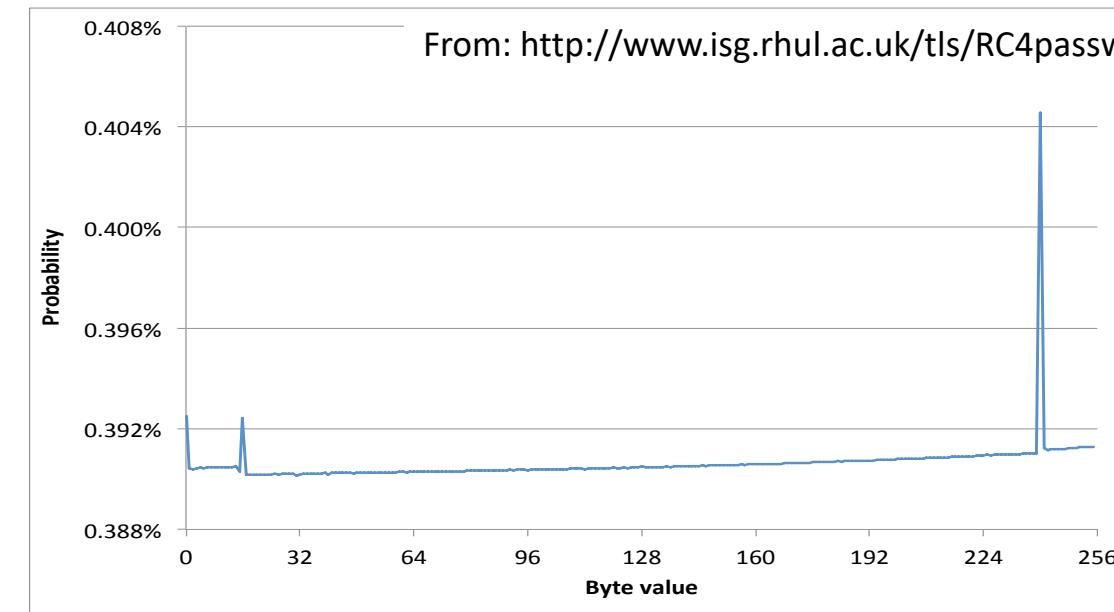
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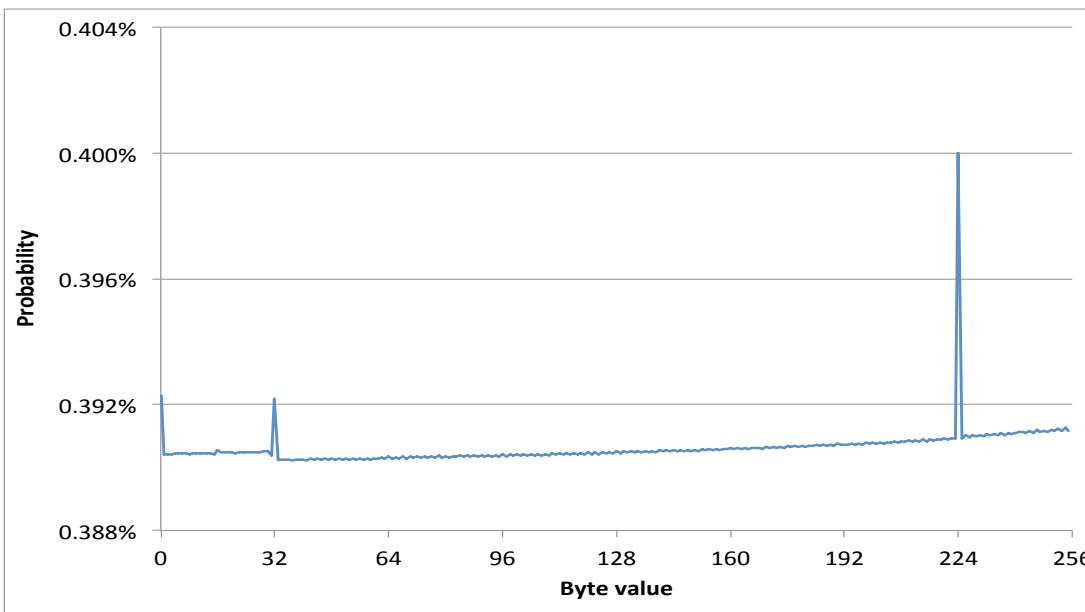
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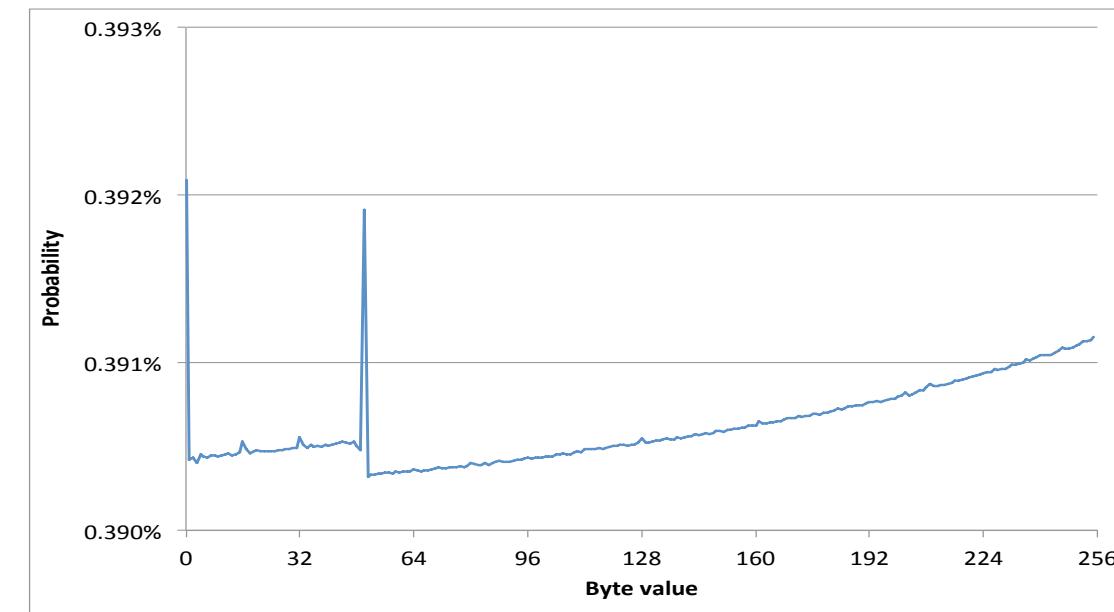
(a) Byte  $Z_1$



(b) Byte  $Z_{16}$



(c) Byte  $Z_{32}$



(d) Byte  $Z_{50}$

# Fallout from 2013 RC4 attacks

- RC4 was the most widely used encryption method for TLS at the time (circa 2013)
- Attack required about  $q = 2^{26}$  (67 million) to start recovering plaintexts
  - Not quite practical, but within realm of feasibility
  - Enough to be considered *significant problem*, and potentially within reach of intelligence agencies
- Needed to move on from RC4, but all other TLS encryption methods had even more severe security problems (stay tuned)
- Have replaced now with encryption based on *block ciphers*

# Block ciphers

Family of permutations, one permutation for each key

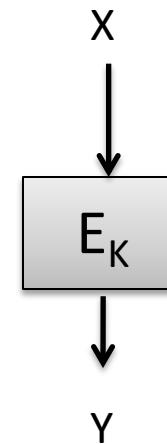
$$E : \{0,1\}^k \times \{0,1\}^n \rightarrow \{0,1\}^n$$

Use notation  $E(K,X) = Y$

Define inverse  $D(K,Y) = X$  such that  $D(K,E(K,X)) = X$

$E,D$  must be efficiently computable

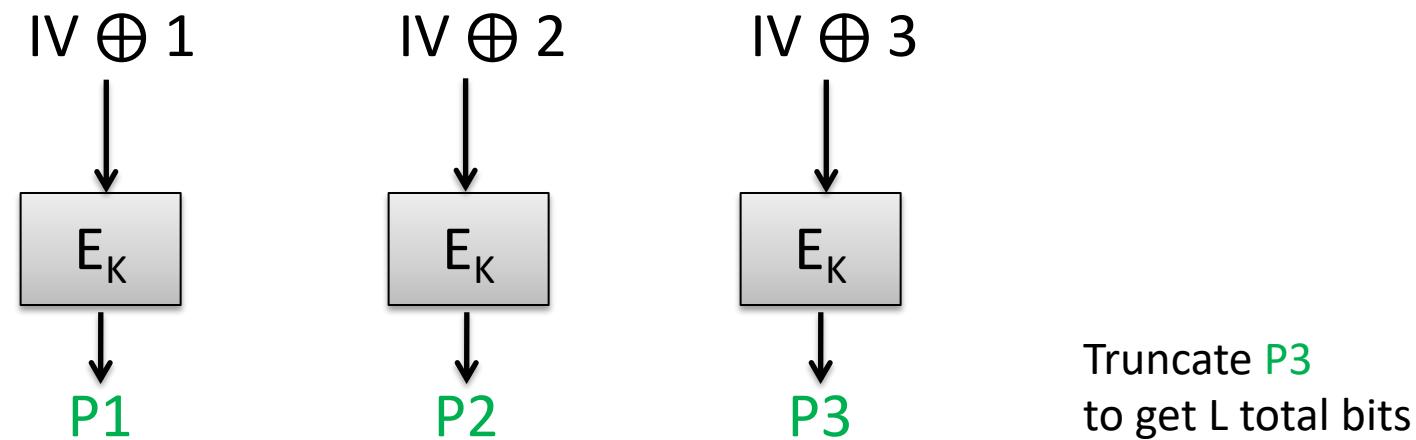
Pick  $K$  uniformly at random from  $\{0,1\}^k$



# CTR mode stream cipher

Counter mode stream cipher:

- $K_g$  outputs random  $k$ -bit key for block cipher
- $G(K, IV, L) = E_K(IV \oplus 1) \parallel E_K(IV \oplus 2) \parallel \dots \parallel \text{trunc}(E_K(IV \oplus m))$   
where  $m = \text{ceil}(L / n)$



# CTR-mode SE scheme

Kg():

$K \leftarrow \{0,1\}^k$

Pick a random key

Enc(K,M):

$L \leftarrow |M| ; m \leq \text{ceil}(L/n)$

$\text{IV} \leftarrow \{0,1\}^n$

$P \leftarrow E_K(\text{IV} \oplus 1) \parallel \dots \parallel \text{trunc}(E_K(\text{IV} \oplus m))$

Return  $(\text{IV}, P \oplus M)$

What security properties do we need from the block cipher?

Dec(K,(IV,C)):

$L \leftarrow |C| ; m \leq \text{ceil}(L/n)$

$P \leftarrow E_K(\text{IV} \oplus 1) \parallel \dots \parallel \text{trunc}(E_K(\text{IV} \oplus m))$

Return  $(\text{IV}, P \oplus C)$

Assume ciphertext can be parsed into IV and remaining ciphertext bits

# Summary

- Target security against computational attackers
- Stream ciphers are computational equivalent of OTP
  - Keys must be large enough to avoid brute force
  - Even moderate biases in output stream must be avoided
- Stream ciphers give efficient symmetric encryption secure against passive adversaries
- None achieve security against active adversaries (stay tuned)

# Next up

- Block cipher security goals
  - Pseudorandom functions and permutations
- Building & analyzing block ciphers
  - Feistel networks and DES
  - AES cipher

