# Middleware Architectures 1 Lecture 4: HATEOAS, Caching and Concurrency

#### doc. Ing. Tomáš Vitvar, Ph.D.

tomas@vitvar.com • @TomasVitvar • http://vitvar.com



Czech Technical University in Prague
Faculty of Information Technologies • Software and Web Engineering • http://vitvar.com/courses/mdw





## **Overview**

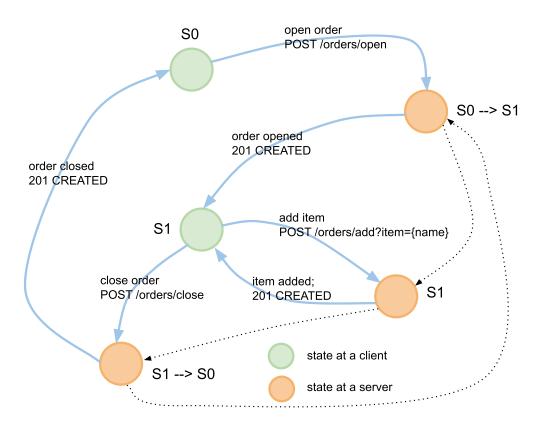
- HATEOAS
- Caching, Revalidation, Concurrency Control
- Richardson Maturiy Model

#### **HATEOAS**

- HATEOAS = Hypertext as the Engine for Application State
  - The REST core principle
  - Hypertext
    - → Hypertext is a representation of a resource state with links
    - $\rightarrow$  A link is an URI of a resource
    - → Applying an access (PUT, POST, DELETE) to a resource via its link = state transition
- Statelessness
  - A service does not use a session memory to remember a state
  - HATEOAS enables stateless implementation of services

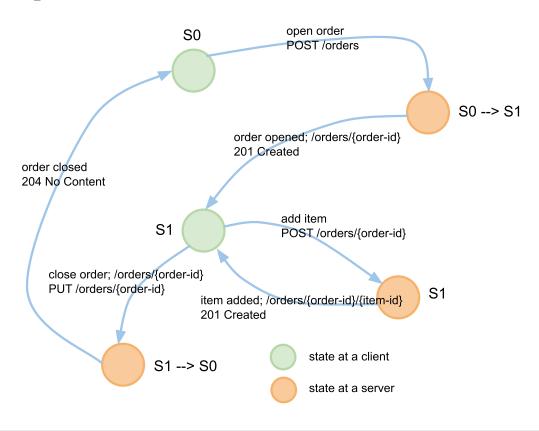
#### Stateful server

- Sessions to store the application state
  - The app uses a server memory to remember the state
  - When the server restarts, the app state is lost



#### **Stateless server**

- HTTP and hypermedia to transfer the app state
  - Does not use a server memory to remember the app state
  - State transferred between a client and a service via HTTP metadata and resources' representations



## **Persistent Storage and Session Memory**

## Persistent Storage

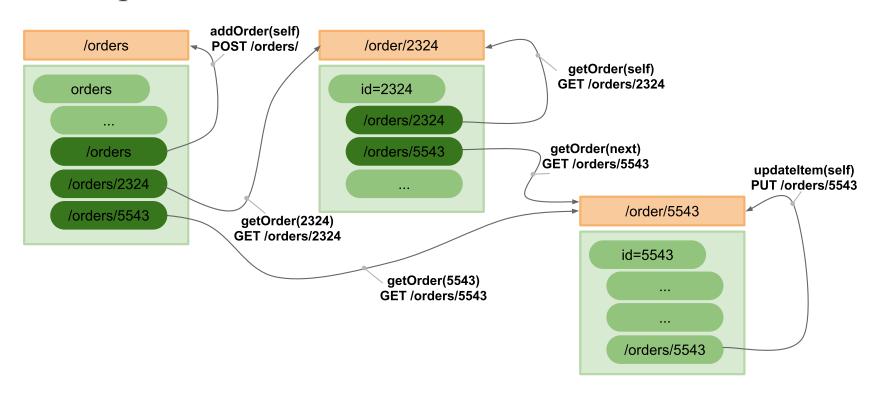
- Contains the app data
- Data is serialized into resource representation formats
- All sessions may access the data via resource IDs

## Session Memory

- Server memory that contains a state of the app
- A session may only access its session memory
- Access through cookies
- Note
  - → A session memory may be implemented via a persistent storage (such as in Google AppEngine)

#### Link

- Service operation
  - Applying an access to a link (GET, PUT, POST, DELETE)
  - Link: HTTP method + resource URI + optional link semantics
- Example: getOrder, addOrder, and updateItem



#### **Atom Links**

- Atom Syndication Format
  - XML-based document format; Atom feeds
  - Atom links becoming popular for RESTful applications

- Link structure

```
rel − name of the link
```

~ semantics of an operation behind the link

href – URI to the resource described by the link

type – media type of the resource the link points to

## **Link Semantics**

- Standard rel values
  - Navigation: next, previous, self
  - Does not reflect a HTTP method you can use
- Extension rel values
  - You can use rel to indicate a semantics of an operation
  - Example: add item, delete order, update order, etc.
  - A client associates this semantics with an operation it may apply at a particular state
  - The semantics should be defined by using an URI

## **Link Headers**

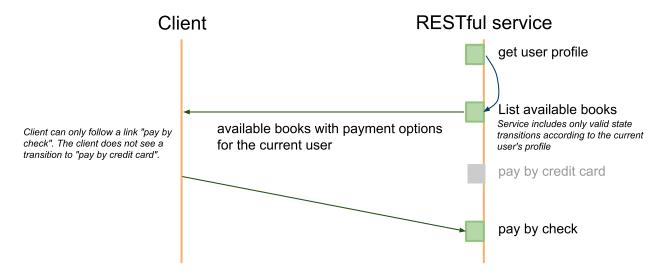
- An alternative to Atom links in resource representations
  - links defined in HTTP Link header, Web Linking IETF spec 🛂
  - They have the same semantics as Atom Links
  - Example:
    - > HEAD /orders HTTP/1.1
    - < Content-Type: application/xml</pre>
    - < Link: <a href="http://company.com/orders/?page=2&size=10">http://company.com/orders/?page=2&size=10>; rel="next"</a>
    - < Link: <http://company.com/orders/?page=10&size=10>; rel="last"

## Advantages

- no need to get the entire document
- no need to parse the document to retrieve links
- use HTTP HEAD only

## **Preconditions and HATEOAS**

- Preconditions in HATEOAS
  - Service in a current state generates only valid transitions that it includes in the representation of the resource.
  - Transition logic is realized at the server-side



## **Advantages**

- Location transparency
  - only "entry-level" links published to the World
  - other links within documents can change without changing client's logic
  - Hypertext represents the current user's view, i.e. rights or other context
- Loose coupling
  - no need for a logic to construct the links
  - Clients know to which states they can move via links
- Statelessness and Cloud
  - Better implementation of scalability

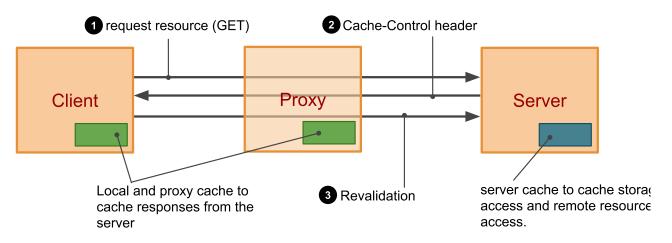
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## **Scalability**

- Need for scalability
  - Huge amount of requests on the Web every day
  - Huge amount of data downloaded
- Some examples
  - Google, Facebook: 5 billion API calls/day
  - Twitter: 3 billions of API calls/day (75% of all the traffic)
    - $\rightarrow$  50 million tweets a day
  - eBay: 8 billion API calls/month
  - Bing: 3 billion API calls/month
  - Amazon WS: over 100 billion objects stored in S3
- Scalability in REST
  - Caching and revalidation
  - Concurrency control

## **Caching**



#### • Your service should cache:

- anytime there is a static resource
- even there is a dynamic resource
  - → with chances it updates often
  - → you can force clients to always revalidate

#### • three steps:

- client GETs the resource representation
- server controls how it should cache through Cache-Control header
- client revalidates the content via conditional GET

#### **Cache Headers**

- Cache-Control response header
  - controls over local and proxy caches
  - private no proxy should cache, only clients can
  - public any intermediary can cache (proxies and clients)
  - no-cache the response should not be cached. If it is cached, the content should always be revalidated.
  - no-store must not store persistently (this turns off caching)
  - no-transform − no transformation of cached data; e.g. compressions
  - max-age, s-maxage a time in seconds how long the cache is valid; smaxage for proxies
- Last-Modified and ETag response headers
  - Content last modified date and a content entity tag
- If-Modified-Since and If-None-Match request headers
  - Content revalidation (conditional GET)

## **Example Date Revalidation**

• Cache control example:

```
> GET /orders HTTP/1.1
> ...
< HTTP/1.1 200 OK
< Content-Type: application/xml
< Cache-Control: private, max-age=200
< Last-Modified: Sun, 7 Nov 2011, 09:40 CET
< ...data...</pre>
```

- only client can cache, the cache is valid for 200 seconds.
- Revalidation (conditional GET) example:
  - A client revalidates the cache after 200 seconds.

```
> GET /orders HTTP/1.1
> If-Modified-Since: Sun, 7 Nov 2011, 09:40 CET
< HTTP/1.1 304 Not Modified
< Cache-Control: private, max-age=200
< Last-Modified: Sun, 7 Nov 2011, 09:40 CET</pre>
```

## **Entity Tags**

- Signature of the response body
  - A hash such as MD5
  - A sequence number that changes with any modification of the content
- Types of tag
  - Strong ETag: reflects the content bit by bit
  - Weak ETag: reflects the content "semantically"
    - $\rightarrow$  The app defines the meaning of its weak tags
- Example content revalidation with ETag

```
< HTTP/1.1 200 OK
```

- < Cache-Control: private, max-age=200</pre>
- < Last-Modified: Sun, 7 Nov 2011, 09:40 CET
- < ETag: "4354a5f6423b43a54d"
- > GET /orders HTTP/1.1
- > If-None-Match: "4354a5f6423b43a54d"
- < HTTP/1.1 304 Not Modified
- < Cache-Control: private, max-age=200
- < Last-Modified: Sun, 7 Nov 2011, 09:40 CET
- < ETag: "4354a5f6423b43a54d"

## **Design Suggestions**

- Composed resources use weak ETags
  - For example /orders
    - → a composed resource that contains a summary information
    - → changes to an order's items will not change semantics of /orders
  - It is usually not possible to perform updates on these resources
- Non-composed resources use strong ETags
  - For example /orders/{order-id}
  - They can be updated
- Further notes
  - Server should send both Last-Modified and ETag headers
  - If client sends both If-Modified-Since and If-None-Match, ETag validation takes preference

## Weak ETag Example

• App specific, /orders resource example

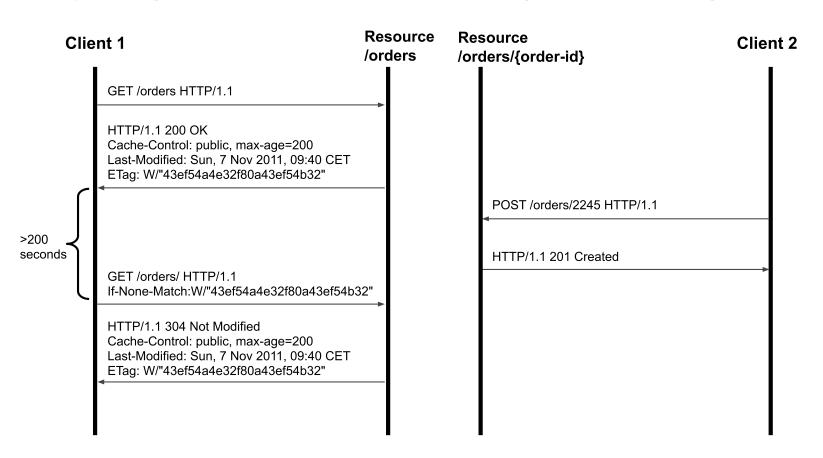
- Weak ETag compute function example
  - Any modification to an order's items is not significant for /orders:

```
var crypto = require("crypto");

function computeWeakETag(orders) {
   var content = "";
   for (var i = 0; i < orders.length; i++)
        content += orders[i].id + orders[i].customer + orders[i].descr;
   return crypto.createHash('md5').update(content).digest("hex");
}</pre>
```

## Weak ETag Revalidation

- Updating /orders resource
  - POST /orders/{order-id} inserts a new item to an order
  - Any changes to orders' items will not change the Weak ETag



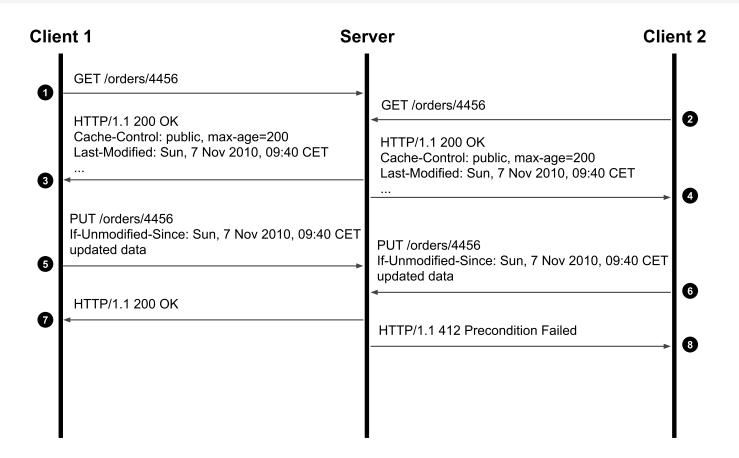
## Concurrency

- Two clients may update the same resource
  - 1) a client GETs a resource GET /orders/5545
  - 2) the client modifies the resource
  - 3) the client updates the resource via PUT /orders/5545 HTTP/1.1

What happens if another client updates the resource between 1) and 3)?

- Concurrency control
  - Conditional PUT
    - → Update the resource only if it has not changed since a specified date or a specified ETag matches the resource content
  - If-Unmodified-Since and If-Match headers
  - Response to conditional PUT:
    - ightarrow 200 OK if the PUT was successful
    - → 412 Precondition Failed *if the resource was updated in the meantime.*

# **Concurrency Control Protocol**

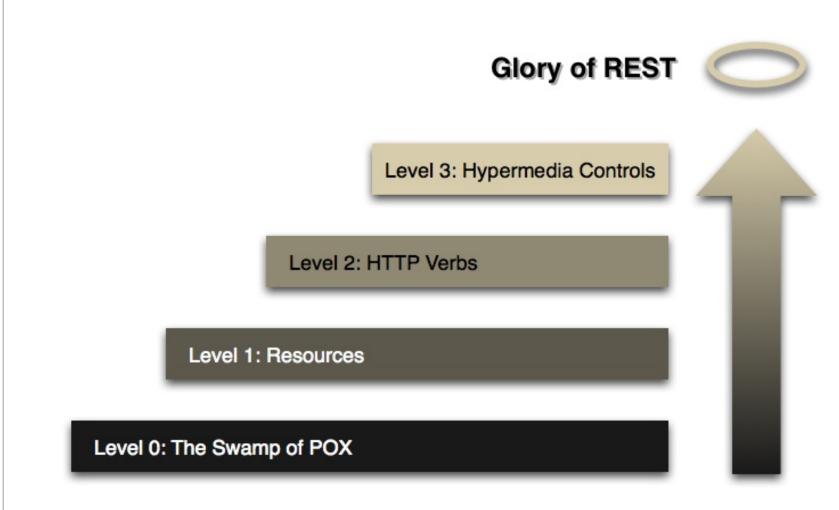


- Conditional PUT and ETags
  - Conditional PUT must always use strong entity tags or date validation

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## **Steps towards REST**



See Richardson Maturiy Model 

details.

## Levels

- LEVEL 0 POX (Plain Old XML)
  - HTTP as a tunneling mechanism
  - URL defines a service endpoint
  - No Web principles
- LEVEL 1 Resources
  - Take advantages of resources and URIs
- LEVEL 2 HTTP Verbs
  - Use HTTP methods and respect their semantics
- LEVEL 3 Hypermedia Controls
  - HATEOAS