# Middleware Architectures 1

#### **Lecture 3: Communication Protocols**

#### doc. Ing. Tomáš Vitvar, Ph.D.

tomas@vitvar.com • @TomasVitvar • https://vitvar.com



Czech Technical University in Prague
Faculty of Information Technologies • Software and Web Engineering • https://vitvar.com/lectures







## **Overview**

- Introduction to Application Protocols
- Introduction to HTTP
- SOAP and WSDL

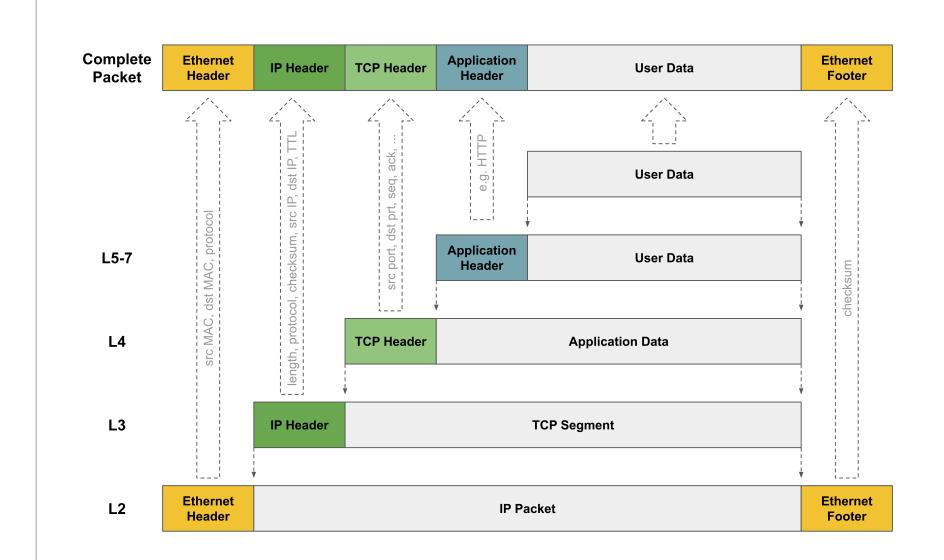
## **Application Protocols**

Remember this

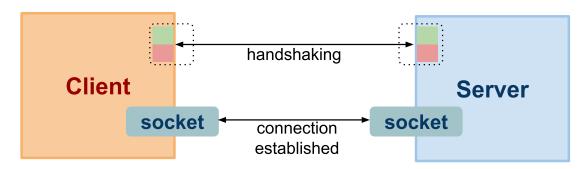
OSI Model	All	People	Seem	То	Need	Data	Processing
	Application	Presentation	Session	Transport	Network	Data Link	Physical
TCP/IP (services)	Application HTTP, XML-RPC, SOAP, RMI			Transport TCP	Network IP	Data Link	Physical

- App protocols mostly on top of the TCP Layer
  - use TCP socket for communication
- Major protocols
  - HTTP most of the app protocols layered on HTTP
    - $\rightarrow$  widely spread
  - RMI Remote Method Invocation
    - → Java-specific; vendor-interoperability problem
    - → may use HTTP underneath (among other things)
  - XML-RPC and SOAP Remote Procedure Call and SOAP
    - $\rightarrow$  HTTP-based
  - WebSocket new protocol part of HTML5

## **Anatomy of a Packet**



#### Socket

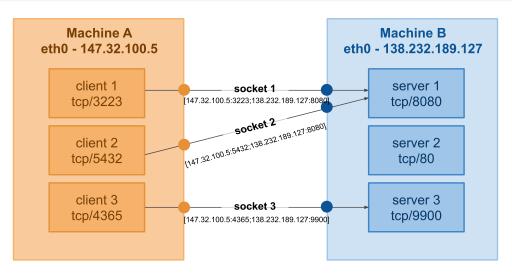


- Handshaking (connection establishment)
  - The server listens at [dst\_ip,dsp\_port]
  - Three-way handshake:
    - $\rightarrow$  the client sends a connection request with TCP flags (SYN, x=rand)
    - $\rightarrow$  the server respons with its own TCP flags (SYN ACK, x+1 y=rand)
    - $\rightarrow$  the client acknowledges the response, can send data along (ACK, y+1 x+1)
  - Result is a socket (virtual communication channel) with unique identification:
    socket=[src\_ip,src\_port;dst\_ip,dst\_port]
- Data transfer (resource usage)
  - Client/server writes/reads data to/from the socket
  - TCP features: reliable delivery, correct order of packets, flow control
- Connection close

#### **New Connection Costs**

- Creating a new TCP connection is expensive
  - It requires to complete a full roundtrip
  - It is limited by a network latency, not bandwidth
- Example
  - Distance from London to New York is approx. 5500 km
  - Communication over a fibre link will take at least 28ms one way
  - Three-way handskake will take a minimum of 56ms
- Connection reuse is critical for any app running over TCP
  - HTTP Keep-alive
  - HTTP pipelining
- TCP Fast Open (TFO)
  - TFO allows to speed up the opening of successfive TCP connections
  - TCP cookie stored on the client that was established on initial connection
  - The client sends the TCP cookie with SYN packet
  - The server verifies the TCP cookie and can send the data without final ACK
  - Can reduce network transaction latency by 15%
  - TFO is supported by Linux in 3.7+ kernels

## **Addressing in Application Protocol**



- IP addressing: IP is an address of a machine interface
  - A machine can have multiple interfaces (eth0, eth1, bond0, ...)
- TCP addressing: TCP port is an address of an app running on a machine and listening on a machine interface
  - Multiple applications with different TCP ports may listen on a machine interface
- Application addressing
  - Additional mechanisms to address entities within an application
  - They are out of scope of IP/TCP, they are app specific
    - → for example, Web apps served by a single Web server

## **Overview**

- Introduction to Application Protocols
- Introduction to HTTP
  - State Management
- SOAP and WSDL

## **Hypertext Transfer Protocol – HTTP**

- Application protocol, basis of Web architecture
  - Part of HTTP, URI, and HTML family
  - Request-response protocol
- One socket for single request-response
  - original specification
  - have changed due to performance issues
    - → many concurrent requests
    - → overhead when establishing same connections
    - → HTTP 1.1 offers persistent connection and pipelining
    - → Domain sharding
- HTTP is stateless
  - Multiple HTTP requests cannot be normally related at the server
    - → "problems" with state management
    - → REST goes back to the original HTTP idea

## **HTTP Request and Response**

#### Request Syntax

```
method uri http-version <crlf>
(header : value <crlf>)*
<crlf>
[ data ]
```

#### • Response Syntax

#### • Semantics of terms

#### **Persistent connections**

- Persistent HTTP connection = HTTP keepalive
  - TCP established connection used for multiple requests/responses
  - Avoids TCP three-way handshake to be performed on every request
  - Reduces latency
  - FIFO queuing order on the client (request queuing)
    - → dispatch first request, get response, dispatch next request
- Example: GET /html, GET /css
  - server processing time 40ms and 20ms respectivelly
- Without HTTP keepalive
  - three-way handshake 84ms before the data is received on the server
  - Response received at 152ms and 132ms respectivelly
  - The total time is 284ms
- HTTP keepalive
  - One TCP connection for both requests
  - In our example this will save one RTT, i.e. 56ms

The total time will be 220mg

## Persistent connections savings

- Each request needs
  - Without keepalive, 2 RTT of latency
  - With keepalive, the first request needs 2 RTT, a following request needs 1 RTT
- Savings for N requests: (N-1) x RTT
- Average value of N is 90 requests for a Web app
  - Measured by HTTP Archive (http://httparchive.org) as of 2013
  - Average Web application is composed of 90 requests fetched from 15 hosts
    - $\rightarrow$  HTML: 10 reugests
    - → *Images: 55 requests*
    - $\rightarrow$  Javascript: 15 requests
    - $\rightarrow$  CSS: 5 requests
    - $\rightarrow$  Other: 5 requests

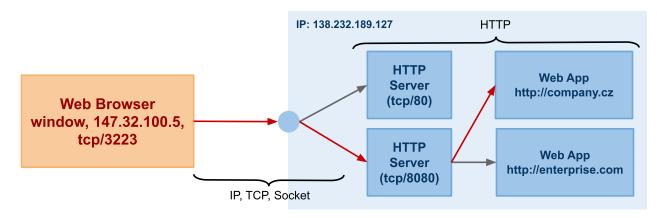
## **HTTP** pipelining

- Important optimization response queuing
  - Allows to relecote FIFO queue from the client to the server
- Requests are pipelined one after another
  - This allows the server to process requests immediately one after another
  - This saves one request and response propagation latency
  - In our example, the total time will be 172ms
- Parallel processing of requests
  - In our example this saves another 20ms of latency
  - Head of line blocking
    - → Slower response (css with processing time 20ms) must be buffered until the first response is generated and sent (no interleaving of responses)
- Issues
  - A single slow response blocks all requests behind it
  - Buffered (large or many) responses may exhaust server resources
  - A failed response may terminate TCP connection
    - $\rightarrow$  A client must request all sub-sequent resources again (dupplicate processing)
  - Some intermediaries may not support pipelining and abort connection
- HTTP pipelining support today is limited

## **Multiple TCP connections**

- Using only one TCP connection is slow
  - Client must queue HTTP requests and process one after another
- Multiple TCP connections work in parallel
- There are 6 connections per host
  - The client can dispatch up to 6 requests in parallel
  - The server can process up to 6 requests in parallel
  - This is a trade-off between higher request paralellism and the client and server overhead
- The maximum number of connections prevents from DoS attacks
  - The client could exhaust server resources
- Domain sharding
  - The connection limit as per host (origin)
  - There can be multiple origins used in a page
    - → Each origin has 6 maximum connection limit
  - A domain can be sharded
    - → www.example.com → shard1.example.com, shard2.example.com
    - → Each shard can resolve to the same IP or different IP, it does not matter
  - How many shards?

## **Serving HTTP Request**



- Serving HTTP request
  - 1. User enters URL http://shard1.example.com/orders to the browser
  - 2. DNS resolution: browser gets an IP address for shard1.example.com
  - 3. Three-way handshake: browser and Web Server creates a socket
  - 4. Browser sends ACK and HTTP request:
    - 1 | GET /orders HTTP/1.1
    - 2 Host: shard1.example.com
  - 5. Web server passes the request to the web application shard1.example.com which serves GET orders and that writes a response back to the socket.

#### Virtual Host

- Virtual host
  - Configuration of a named virtual host in a Web server
  - Web server uses host request header to distinguish among multiple virtual hosts on a single physical host.
- Apache virtual host configuration
  - Two virtual hosts in a single Web server

```
# all IP addresses will be used for named virtual hosts
     NameVirtualHost *:80
    <VirtualHost *:80>
4
             ServerName www.example.com
             ServerAlias shard1.example.com shard2.example.com
             ServerAdmin admin@example.com
             DocumentRoot /var/www/apache/example.com
     </VirtualHost>
10
     <VirtualHost *:80>
11
12
             ServerName company.cz
             ServerAdmin admin@firm.cz
13
             DocumentRoot /var/www/apache/company.cz
14
     </VirtualHost>
15
```

## **Better Support for HTTP Testing**

#### • Use curl to test HTTP protocol

#### Example

```
curl -v -H "Host: company.cz" 127.0.0.1:8080
 2
     * About to connect() to 127.0.0.1 port 8080
         Trying 127.0.0.1... connected
4
     * Connected to 127.0.0.1 port 8080
     > GET / HTTP/1.1
     > User-Agent: curl/7.20.0 (i386-apple-darwin10.3.2) libcurl/7.20.0 OpenSSL/0.9.8n
     > Accept: */*
     > Host: company.cz
10
     >
     < HTTP/1.1 201 OK
11
     < Connection: keep-alive
12
     < Content-Type: plain/text
13
14
15
     < This is the response...</pre>
```

## **Overview**

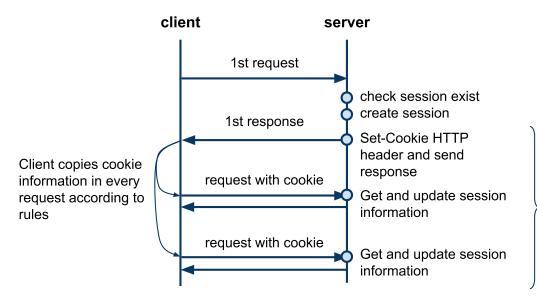
- Introduction to Application Protocols
- Introduction to HTTP
  - State Management
- SOAP and WSDL

## **State Management**

- HTTP is a stateless protocol original design
  - No information to relate multiple interactions at server-side
    - $\rightarrow$  Except Authorization header is copied in every request
    - → IP addresses do not work, one public IP can be shared by multiple clients
- Solutions to check for a valid state at server-side
  - Cookies obvious and the most common workaround
    - → RFC 2109 HTTP State Management Mechanism
    - → Allow clients and servers to talk in a context called **sessions**
  - Hypertext original HTTP design principle
    - → App states represented by resources (hypermedia), links define transitions between states
    - → Adopted by the REST principle **statelessness**

#### **Interaction with Cookies**

- Request-response interaction with cookies
  - Session is a logical channel maintained by the server



Communication in a session; server identifies the session through the information in the cookies.

- Stateful Server
  - Server remembers the session information in a server memory
  - Server memory is a non-persistent storage, when server restarts the memory content is lost!

#### **Set-Cookie and Cookie Headers**

• Set-Cookie response header

- − domain − a domain for which the cookie is applied
- Max-Age number of seconds the cookie is valid
- − Path − URL path for which the cookie is applied
- Cookie request header. A client sends the cookie in a request if:
  - domain matches the origin server's fully-qualified host name
  - path matches a prefix of the request-URI
  - Max-Age has not expired

```
cookie = "Cookie:" cookie-value (";" cookie-value)*
cookie-value = NAME "=" VALUE [";" path] [";" domain]
path = "$Path" "=" value
domain = "$Domain" "=" value
```

— domain, and path are values from corresponding attributes of the Set-Cookie header

## **Overview**

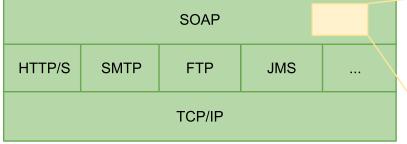
- Introduction to Application Protocols
- Introduction to HTTP
- SOAP and WSDL

#### **SOAP Protocol**

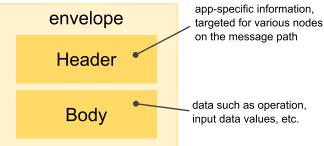
• SOAP defines a messaging framework

#### **SOAP Protocol Stack**

# SOAP



#### **SOAP Message**



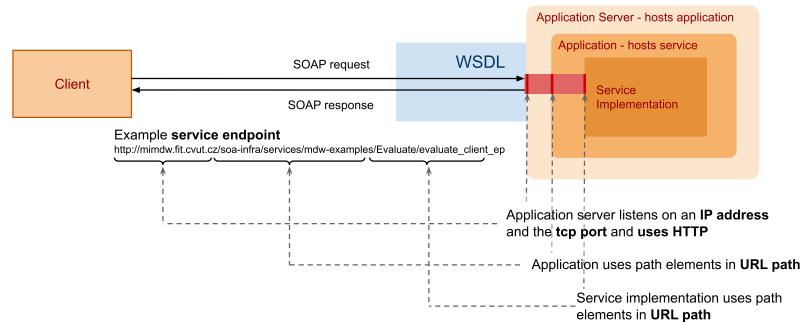
- XML-based protocol
- a layer over transport protocols
  - $\rightarrow$  binding to HTTP, SMTP, JMS, ...
- involves multiple nodes (message path)
  - → sender, receiver, intermediary

## **SOAP Message**

- Envelope
  - A container of a message
- Header
  - Metadata describe a message, organized in header blocks
    - → routing information
    - → security measures implemented in the message
    - → reliability rules related to delivery of the message
    - → context and transaction management
    - → correlation information (request and response message relation)
  - WS extensions (WS-\*) utilize the message header
- Body (payload)
  - Actual contents of the message, XML formatted
  - Contains also faults for exception handling
- Attachment
  - Data that cannot be serialized into XML such as binary data

## **Endpoint**

• SOAP service endpoint definition



- − Endpoint − a network address used for communication
- Communication request-response, SOAP messages over a communication (application) protocol
- Synchronous communication only service defines endpoint
- Asynchronous communication service and client define endpoints

## WSDL Overview and WSDL 1.1 Syntax

- Components of WSDL
  - Information model (types)
    - → Element types, message declarations (XML Schema)
  - Set of operations (portType)
    - $\rightarrow$  A set of operations is "interface" in the WSDL terminology
    - → operation name, input, output, fault
  - Binding (binding)
    - → How messages are transfered over the network using a concrete transport protocol
    - $\rightarrow$  Transport protocols: HTTP, SMTP, FTP, JMS, ...
  - Endpoint (service)
    - → Where the service is physically present on the network
- Types of WSDL documents
  - Abstract WSDL only information model and a set of operations
  - Concrete WSDL everything, a concrete service available in the environment

## **WSDL** Components and Dependencies

