# Middleware Architectures 1 Lecture 8: High Availability and Performance

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### **Good Performance**

- What influences good performance?
  - Number of users and concurrent connections
  - Number of messages and messages' sizes
  - Number of services
  - Infrastructure capacity, availability, configuration, ...
- How can we achieve good performance?
  - Infrastructure
    - → Scalability, failover, cluster architectures
  - Performance tuning
    - → Application Server, JVM memory, OS-level tuning, Work managers configuration
  - Service configuration
    - → Parallel processing, process optimization

### **Overview**

- Definitions
- Serving Requests
- Load Balancers
- Performance Tuning

### **Definitions**

- Scalability
  - server scalability
    - $\rightarrow$  ability of a system to scale when input load changes
    - → users should not feel a difference when more users access the same application at the same time
    - → horizontal scaling
      - → adding new instances of applications/servers
    - → vertical scaling
      - → adding new resources (CPU, memory) to a server instance
  - network traffic
    - → bandwidth capacity influences performance too
    - → service should limit the network traffic through caching
- Availability
  - probability that a service is operational at a particular time
    - $\rightarrow$  e.g., 99.9987% availability downtime ~44 seconds/year
- SLA Service Level Agreement
  - Guarantee of service availability
  - When availability is below a guaranteed value, a customer can get a discount

# **Definitions (Cont.)**

### High Availability

- When a server instance fails, operation of the application can continue
- Failures should affect application availability and performance as little as possible

### Application Failover

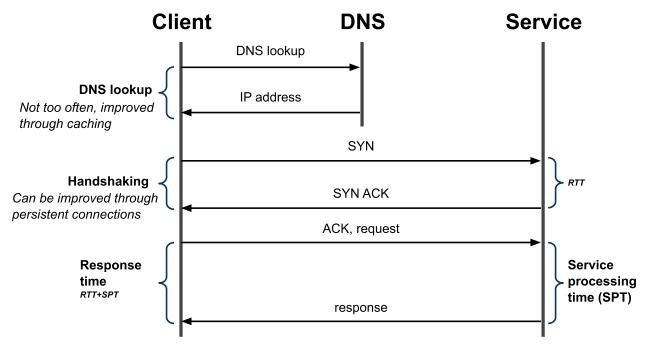
- When an application component performing a job becomes unavailable, a copy of the failed object finishes the job.
- Issues
  - $\rightarrow$  A copy of the failed object must be available
  - $\rightarrow$  A location and operational status of available objects must be available
  - $\rightarrow$  A processing state must be replicated

### Load Balancing

- Distribution of incoming requests across server instances

### **Performance Metrics**

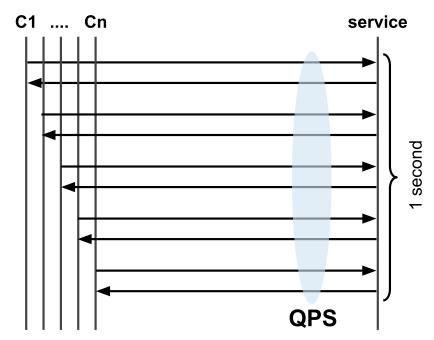
- Response Time
  - A client-side metric



- CPU intensive service or a bad configuration of a service
  - → consider asynchronous processing when CPU intensive
- Writing to a data store

### **Performance Metrics**

- Queries/Requests per Second (QPS)
  - A server-side metric

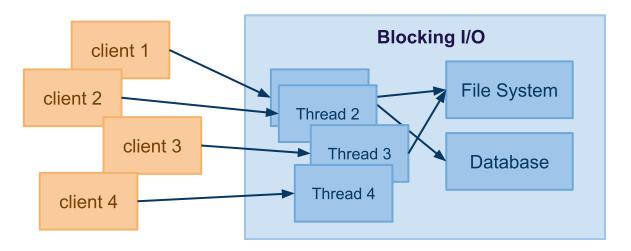


- Caching may improve performance
  - → even if data changes often, with high QPS caching improves a lot

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# Blocking (Synchronous) I/O



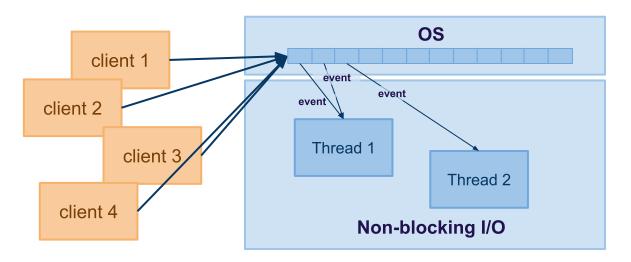
#### Inbound connection

- A server creates a thread for every inbound connection
- For example, 1K connections = 1K threads, big overhead
- A thread is reserved for the entire duration of the request processing

#### Outbound connection

- A thread is blocked when outbound connection is made
- When outbound connection is slow, the scalability is poor

# Non-Blocking (Asynchrnous) I/O



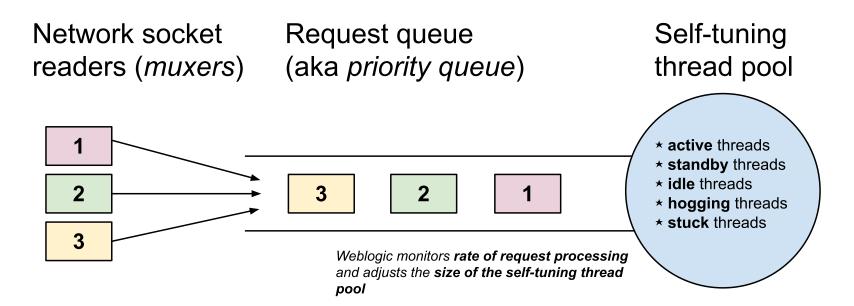
#### Inbound connections

- The connection is maintained by the OS, not the server app
- The Web app registers events, OS triggers events when they occur
- The app may create working threads and controls their number

#### Outound connections

- The app registers a callback that is called when the data is available
- Event loop

# **Components**



- Muxer component that handles communication via network sockets.
- **Request queue** queue of requests to be processed.
- **Self-tunning thread pool** a pool of threads in various states.
- Work manager a configuration of maximum threads and a capacity that can be used to handle requests for a specific application/service.

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# **Load Balancing**

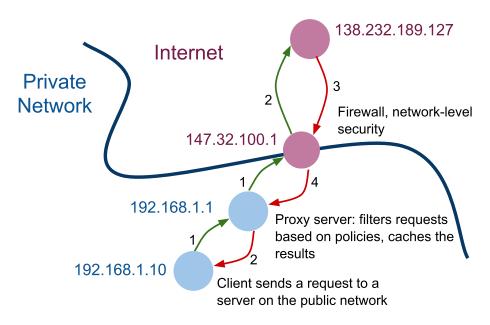
- Distributes a load to multiple app/object instances
  - App instances run on different machines
  - Load sharing: equal or with preferences
  - Health checks
- Types
  - DNS-based load balancer
    - → DNS Round Robin
  - NAT-based load balancer (Layer-4)
  - Reverse-proxy load balancer (Layer-7)
    - $\rightarrow$  application layer
    - → Sticky sessions
      - → JSession, JSession-aware load balancer
  - Client-side load balancer
    - $\rightarrow$  LB run by a client
    - → a client uses a replica-aware stub of the object from the server

### **DNS-based Load Balancer**

#### DNS Round Robin

- A DNS record has multiple assigned IP addresses
- DNS system delivers different IP addresses from the list
- Example DNS A Record: company.com A 147.32.100.71 147.32.100.72 147.32.100.73
- Advantages
  - Very simple, easy to implement
- Disadvantages
  - IP address in cache, could take hours to re-assign
  - No information about servers' loads and health

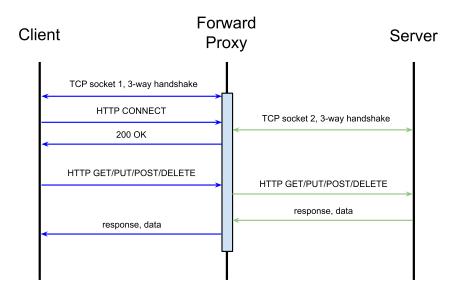
# **Forward Proxy**



#### Forward Proxy

- Centralized access control based on content
- The client knows about the site it wants to access
- Perfoms request on behalf of the client
  - → Caches content to increase performance, limits network traffic
  - → Filters requests or controls access based on destinations or origins
- Widely used in private networks in companies
- Most of the proxy servers today are Web proxy servers

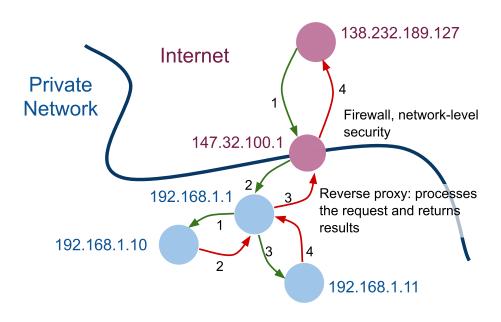
# Forward Prox Sequence Diagram



#### Forward Proxy

- There are 2 TCP sockets for every connection (client-FP, FP-server)
- Client is not directly connected to the server
- FP tunnels requests to the server

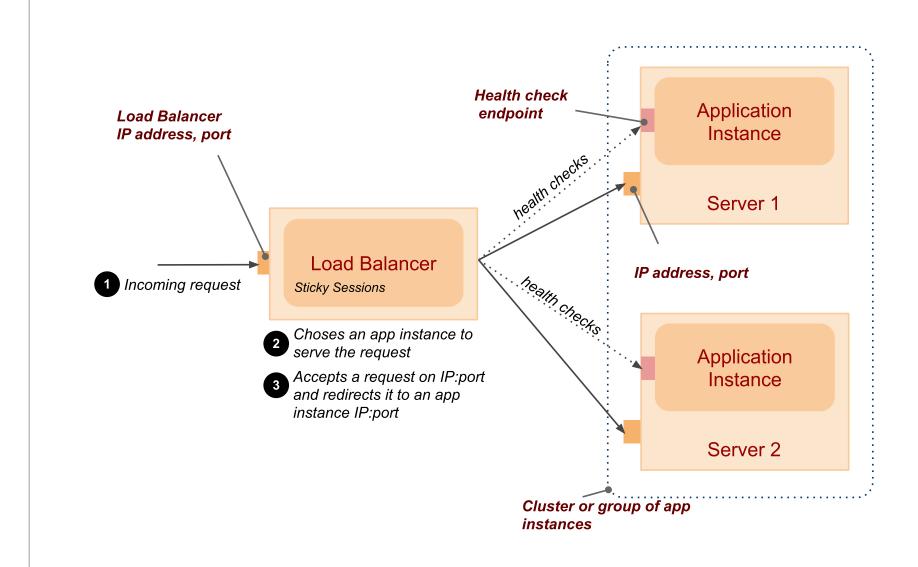
# **Reverse Proxy**



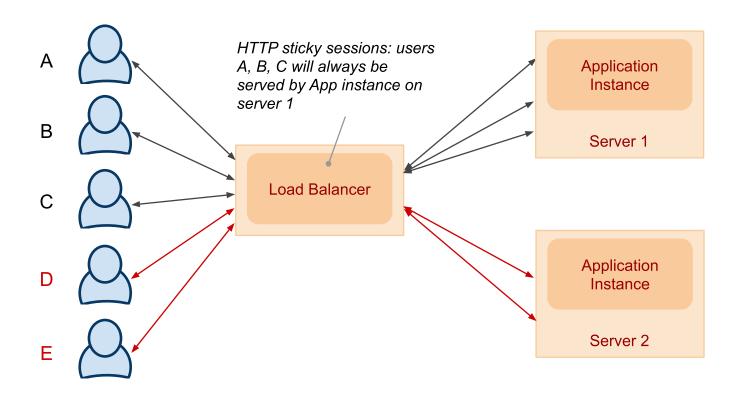
#### • Reverse Proxy

- Aggregates multiple request-response interactions with back-end systems
- Processes the request on behalf of the client
- The client does not know about the back-end systems
- May provide additional capabilities
  - $\rightarrow$  *Data transformations*
  - $\rightarrow$  Security authentication, authorization
  - → Orchestration of communication with back-end systems

# Reverse Proxy Load Balancer



# **HTTP Sticky Sessions Example**



- How to identify a server that hosts the session state
  - Passive cookie persistence LB uses a cookie from the server
  - Active cookie persistence LB adds its own cookie

# **Types of Load Balancers**

- Software
  - Apache mod\_proxy\_balancer, NGINX
    - → HTTP Session persistence sticky sessions
    - → Various configuration options
  - WebLogic proxy plug-in

/soa-infra is a first part of an URL path that rules in this Location will be applied (this is a standard Apache configuration mechanism) WebLogicCluster specifies the list of backend servers for load balancing

- Hardware
  - Cisco, Avaya, Barracude

# Round-Robin Algorithm

Uses

```
request — client request with or without a cookie information

server_list — a list of backend servers that can process the request

rbinx — round robin index

sticky_sessions — associative array of pairs <session_id, server>

unhealthy_treshhold — a number of negative consecutive health checks before moving the server to the "unhealthy" state.
```

#### Round Robin Algorithm

- $-\mathit{if}$  session\_id  $\mathit{exist}$   $\mathit{in}$   $\mathit{the}$  request  $\mathit{and}$   $\mathit{in}$  sticky\_sessions
  - → send the request to the server sticky\_sessions[session\_id]
- otherwise
  - → send the request to the rbinx server in the server list
  - → extract session\_id from the response from the server
  - $\rightarrow$  if the session\_id exist, add a pair <session\_id;server\_list[rbinx]> to sticky\_sessions
  - → increase rbinx by one or reset it to 0 if it exceeds the length of server\_list

### **Health Check**

- Health Check
  - For each server in the server\_list
    - → call the server's heatlhcheck endpoint
    - → if a number of failed health checks for the server exceeds the unhealthy\_threshold
      - → remove the server from the server\_list
    - $\rightarrow$  if the server was unhealthy and a there was a successful healthcheck
      - → add the server back to the server\_list

# **Backend Server Selection Options**

- Backend server with a weight and a backup server
  - NGINX example:

```
http {
    upstream backend {
        server backend1.example.com weight=5;
        server backend2.example.com;
        server 192.0.0.1 backup;
    }

    server {
        location / {
            proxy_pass http://backend;
        }
    }
}
```

- Least connections
  - A request is sent to a server with the least number of active connections
- Least time
  - A request is sent to a server with the lowest average response time and the lowest number of active connections
  - Time can be:
    - $\rightarrow$  Time to receive the resonse header
    - → Time to receive full response body

# **Backend Server Selection Options (Cont.)**

- Limiting the Number of Connections
  - Maximum number of connections per backend server
  - Number of connections in the queue

```
upstream backend {
  server backend1.example.com max_conns=3;
  server backend2.example.com;
  queue 100 timeout=70;
}
```

- Hash (ip hash, generic hash)
  - A server to which a request is sent is determined from the client IP address or an arbitrary value (string, request URL, etc.)
- Server Slow-Start
  - This prevents a recently recovered server from being overwhelmed
  - During server slow-start, connections may time out
    - $\rightarrow$  This may cause the server to be marked as failed again.

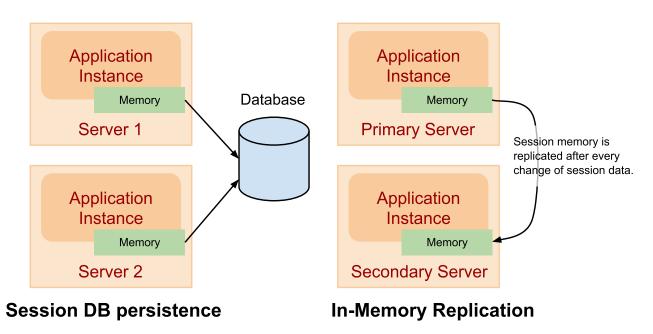
### **Session Persistence**

- Session Persistence
  - Sticky cookie
    - $\rightarrow$  A cookie defined by the load balancer for every client

```
upstream backend {
    server backend1.example.com;
    server backend2.example.com;
    sticky cookie srv_id expires=1h domain=.example.com path=/;
}
```

- Sticky learn
  - $\rightarrow$  LB finds a cookie by inspecting requests and responses
  - $\rightarrow$  LB uses the cookie for subsequent redirection

# Session State Persistence and Replication



- Session DB persistence
  - Session information is maintained in the database
  - Does not require sticky sessions in LB
  - Implements HttpSession interface that writes data to the DB
- In-memory replication
  - A primary server holds a session state, the secondary server holds its replica.
  - Information about primary and secondary servers are part of JSession

# **In-Memory Replication**

#### Session format

- It's a cookie
- JSESSIONID=SESSION\_ID!PRIM\_SERVER\_ID!SEC\_SERVER\_ID!CREATION\_TIME

  SESSION\_ID session id, generated by the server to identify memory

  associated with the session on the server

  PRIM\_SERVER\_ID ID of the managed server holding the session data

  SEC\_SERVER\_ID ID of the managed server holding the session replica

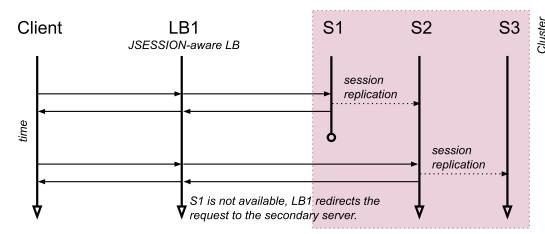
  CREATION\_TIME time the session data was created/updated

#### How LB uses this information

- LB has information whether the server is running or not (via healthchecks)
- if the primary server is running, it redirects the request there
- if the primary server is not running, it redirects the request to the secondary server directly
- if primary and secondary servers are not running, it redirect the request to any other server it has in the list this may cause side effects!

# **In-Memory Replication Scenarios**

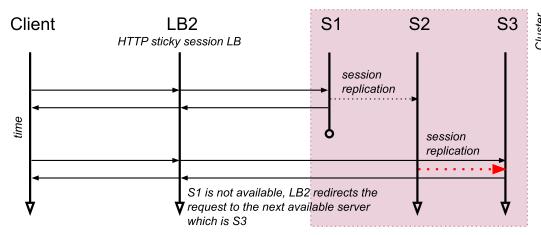
#### Scenario A: JSession-aware load balancer



S1 is primary, S2 is secondary; S1 replicates the session to S2

S1 fails, S2 becomes primary, S3 becomes secondary; LB1 directly redirects the request to S2 as it knows the secondary server from the first request.

#### Scenario B: HTTP sticky session load balancer



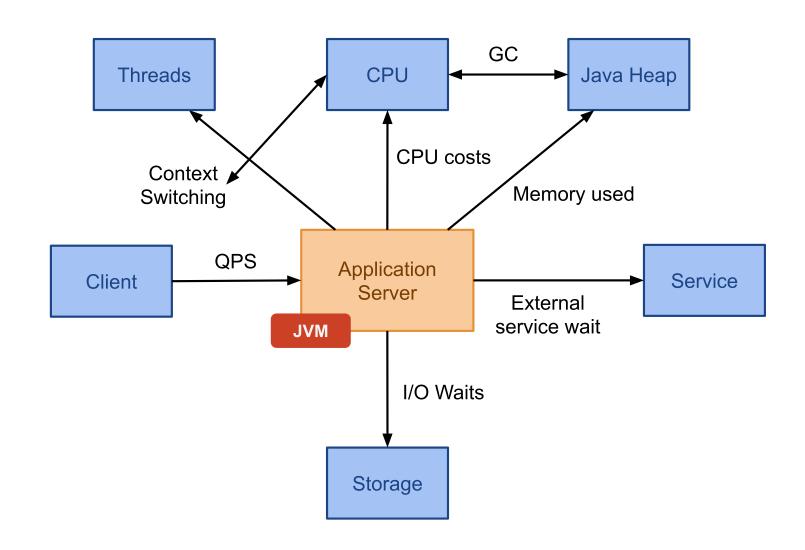
S1 is primary, S2 is secondary; S1 replicates the session to S2

S1 fails, S3 discovers that S2 has a session and gets the session data from it. S3 becomes primary and S2 becomes secondary.

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# **Performance Limiting Factors**



# **Monitoring**

- Important to understand performance
  - DevOps monitoring trends
- What you need
  - $Collect \rightarrow Filter \rightarrow Store \rightarrow View \rightarrow Tune$
  - Metrics, dashboards, alerting, log management, reporting, tracing capabilities
  - It is necessary to organize metrics well in order to understand what is going on
  - Start from a high-level process, detail to technical components
- Source
  - Application server
    - → usually management beans with JMX interfaces
    - $\rightarrow$  log files (access logs, server logs, etc.)
  - OS
    - $\rightarrow$  many utilities available out of the box
    - → open sockets, memory, context switches, I/O performance, CPU usage
  - Database
    - $\rightarrow$  applications may write metrics to the DB
    - $\rightarrow$  SQL scripts to collect metrics

# **Monitoring Tools**

- Commercial Monitoring Solutions
  - Application server vendor usually offers a monitoring solution
  - AppDynamics, Oracle Enterprise Manager, Splunk
  - Google stackdriver, Amazon AWS CloudWatch
- Open source examples
  - Elasticsearch + LogStash + Kibana
  - -InfluxDB + Telegraph + DataGraph