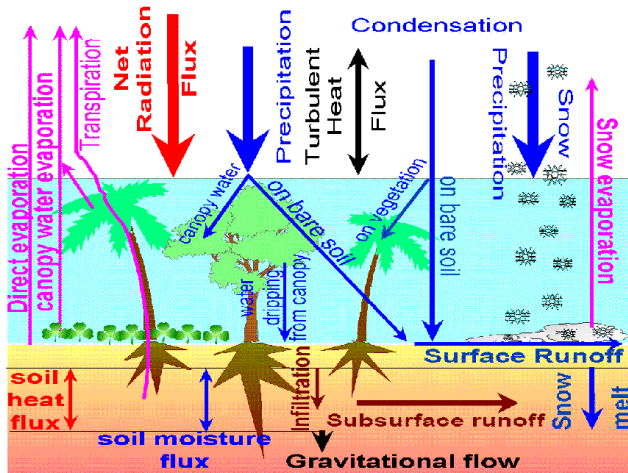


The planetary boundary layer (PBL)

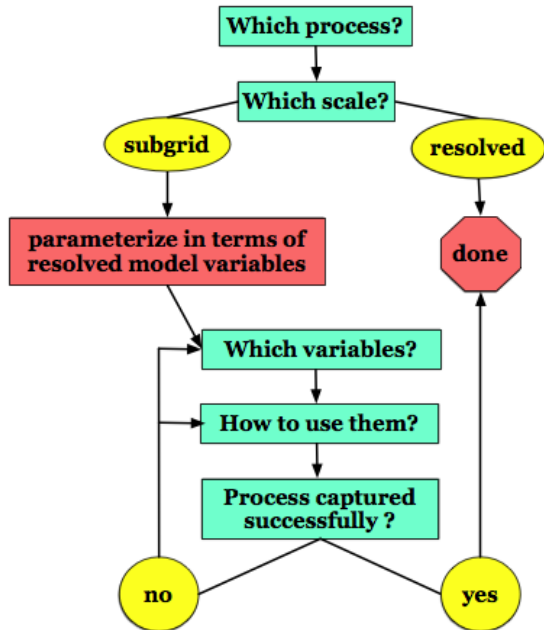


<http://www.met.tamu.edu/class/metr452/models/2001/soilmodel.gif>

Parameterization flow chart

Take 5 minutes to discuss
with your neighbor:

- ▶ What are the effects/processes in the PBL that need to be captured in a global model?
- ▶ Which variables are available for that?
- ▶ How could these variables be used?



Governing equation for the horizontal wind

$$\frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} + v \frac{\partial u}{\partial y} + w \frac{\partial u}{\partial z} - f v = -\frac{1}{\rho} \frac{\partial p}{\partial x} + \nu \nabla^2 u \quad (1)$$

ν = kinematic viscosity.

- ▶ The spatial scales that are important in the PBL are much smaller than elsewhere in the atmosphere.
- ▶ Thus separate the prognostic variables into mean and subgrid-scale terms (e.g., $u = U + u'$).
- ▶ Apply the Boussinesq approximation (retain density fluctuations in the buoyancy terms only) and Reynolds averaging to obtain:

$$\begin{aligned} \frac{\partial U}{\partial t} + U \frac{\partial U}{\partial x} + V \frac{\partial U}{\partial y} + W \frac{\partial U}{\partial z} = fV - \frac{1}{\rho_0} \frac{\partial P}{\partial x} + \nu \nabla^2 U \\ - \frac{\partial}{\partial x} \overline{u' u'} - \frac{\partial}{\partial y} \overline{u' v'} - \frac{\partial}{\partial z} \overline{u' w'} \end{aligned} \quad (2)$$

ρ_0 = density of the mean state.

Governing equation for the horizontal wind II

- ▶ Correlation terms like $\overline{u'w'}$: eddy fluxes or Reynolds stresses
- ▶ Neglect viscous effects for large Reynolds number ($UL/\nu \gg 1$, $L =$ length scale). Assume x, y scales \gg z -scales:

$$\frac{\partial U}{\partial t} + U \frac{\partial U}{\partial x} + V \frac{\partial U}{\partial y} + W \frac{\partial U}{\partial z} - fV = -\frac{1}{\rho_0} \frac{\partial P}{\partial x} - \frac{\partial}{\partial z} \overline{u'w'} \quad (3)$$

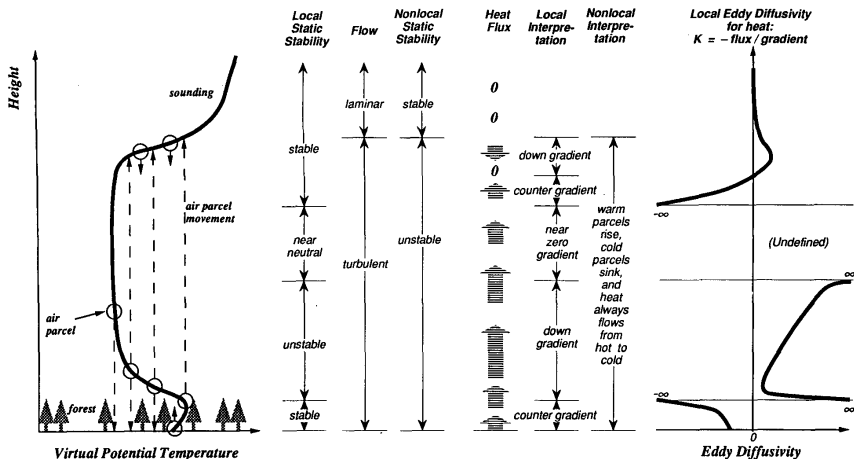
- ▶ There are different ways to obtain $\overline{u'w'}$:
- ▶ First-order closure or K -theory:

$$\boxed{\overline{u'w'} = -K_M \frac{\partial U}{\partial z}} \quad (4)$$

K_M = eddy diffusion coefficient for momentum.

- ▶ Assuming $K_M = \text{constant}$ is the easiest, but is insufficient to simulate many observed states of the PBL.

Local vs. non-local interpretations of PBL processes



Stull, BAMS, 1991

K_M as a function of mixing length and stability

- ▶ The Richardson number Ri is a dynamic stability measure for turbulence
- ▶ $Ri = \frac{g\partial\theta/\partial z}{(\partial U/\partial z)^2}$ = dimensionless ratio of buoyant suppression of turbulence to shear generation of turbulence
- ▶ Instead of a constant K_M , obtain K_M as a function of shear and Ri .

$$K_M = l^2 F_M \left| \frac{dU}{dz} \right| \quad (5)$$

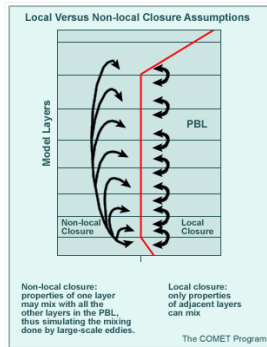
F_M = function of Ri , l = turbulence length scale:

$$\frac{1}{l} = \frac{1}{\kappa z} + \frac{1}{\lambda} \quad (6)$$

κ = von Karman constant (=0.4), z = height.

- ▶ λ = asymptotic value such that l is limited by the PBL height.

Limitations to mixing length approach



The mixing length approach

- ▶ Is valid only in boundary layers not having large eddies
- ▶ Only allows for downgradient transport (unless $K_M < 0$ is allowed)
- ▶ Neglects vertical transport of perturbation velocities

1.5-order local closure schemes

- ▶ Obtaining K_M from a prognostic equation for E is referred to as 1.5-order closure scheme:

$$K_M = 0.52 l_M \sqrt{E} \quad (7)$$

where

$$E = \frac{1}{2} (\overline{u'^2} + \overline{v'^2} + \overline{w'^2}) \quad (8)$$

and l_M is an empirical mixing length.

- ▶ The prognostic equation for E is given as:

$$\frac{dE}{dt} = - \underbrace{\overline{u'w'} \frac{\partial U}{\partial z}}_I - \underbrace{\overline{v'w'} \frac{\partial V}{\partial z}}_I + \underbrace{\frac{g}{\theta} \overline{w'\theta'}}_{II} - \frac{\partial}{\partial z} \left(\underbrace{\overline{E'w'}}_{III} + \underbrace{\frac{\overline{p'w'}}{\rho}}_{IV} \right) - \underbrace{\epsilon}_V$$

with 6 unknowns: $\overline{u'w'}$, $\overline{v'w'}$, $\overline{w'\theta'}$, $\overline{E'w'}$, $\frac{\overline{p'w'}}{\rho}$, ϵ

1.5-order local closure schemes

- ▶ Closure for the fluxes ($\overline{u'w'}$, $\overline{v'w'}$, $\overline{w'\theta'}$) can be obtained as in eq. (4) with K_M depending additionally on the mean E
- ▶ Closure for the transport/diffusion terms:

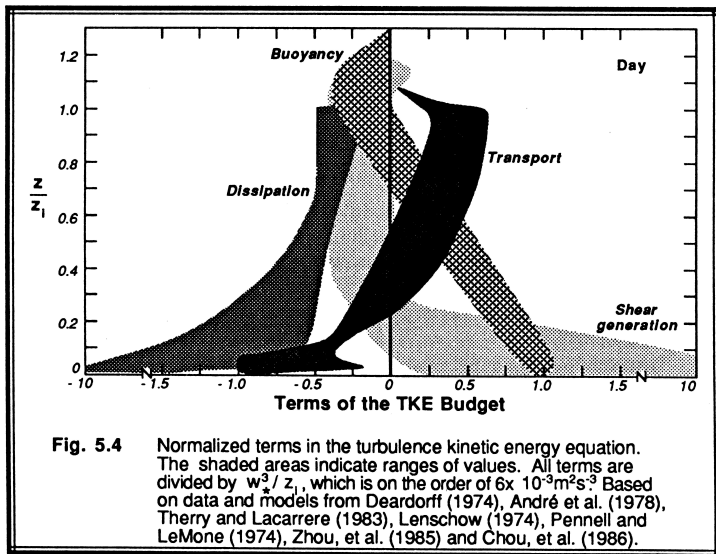
$$\overline{E'w'} + \frac{\overline{p'w'}}{\rho} \propto \frac{l_1}{\sqrt{E}} \frac{\partial E}{\partial z} \quad (9)$$

- ▶ Closure for the dissipation term:

$$\epsilon = \frac{E^{3/2}}{l_2} \quad (10)$$

where l_x are empirical length-scale parameters chosen to best match observations

Turbulent kinetic energy budget (Fig. 5.4 Stull, 1988)



TKE in stratocumulus clouds at night

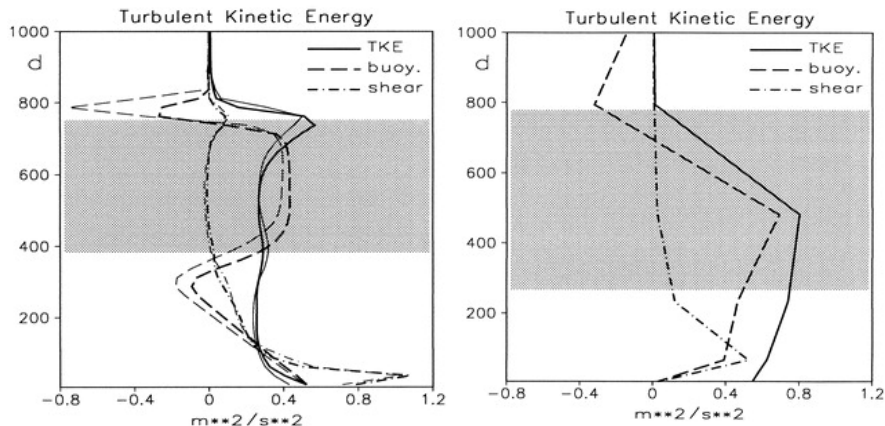


Figure : left: cloud resolving models; right: single column model (SCM)

Second-order closure

- ▶ Here the eddy covariance terms are obtained from prognostic equations, e.g.:

$$\begin{aligned} \frac{\partial \overline{u'w'}}{\partial t} = & -\overline{u'w'} \frac{\partial W}{\partial z} - \overline{w'w'} \frac{\partial U}{\partial z} - \frac{\partial \overline{u'w'w'}}{\partial z} + \frac{g}{\theta} \overline{u'\theta'} \\ & + \left(\frac{p'}{p} \right) \left(\frac{\partial w'}{\partial x} + \frac{\partial u'}{\partial z} \right) - 2\epsilon \end{aligned} \quad (11)$$

- ▶ Then closure needs to be found for the triple correlation terms:

$$\overline{u'w'w'} = -\frac{L}{\sqrt{E}} \left[2 \frac{\partial \overline{u'w'}}{\partial z} + \frac{\partial \overline{w'w'}}{\partial x} \right] \quad (12)$$

where L = empirical length scale

- ▶ A second-order closure for momentum only implies: 6 additional equations and 10 unknowns (triple correlation terms), which is too CPU time consuming for GCMs

Higher-order closure

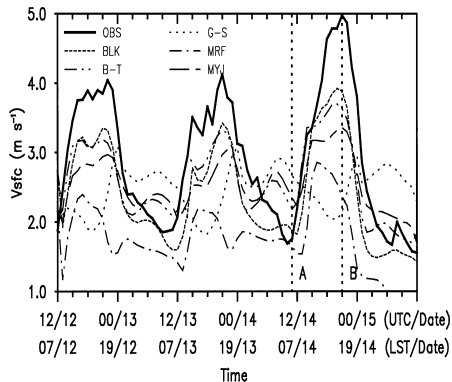
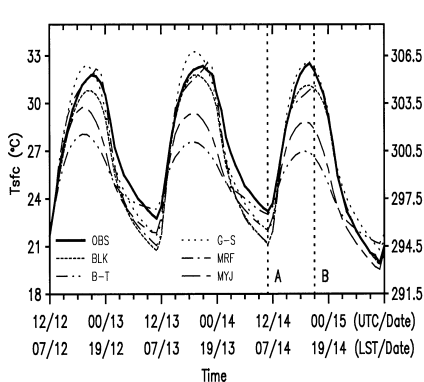
Order of Closure	Correlation Triangle of Unknowns		
Zero	\bar{U} \bar{V} \bar{W}		
First	$\bar{u'^2}$ $\bar{u'v'}$ $\bar{u'w'}$ $\bar{v'^2}$ $\bar{v'w'}$ $\bar{w'^2}$		
Second	$\bar{u'^3}$ $\bar{u'^2v'}$ $\bar{u'^2w'}$ $\bar{u'v'^2}$ $\bar{u'v'w'}$ $\bar{u'w'^2}$ $\bar{v'^3}$ $\bar{v'^2w'}$ $\bar{v'w'^2}$ $\bar{w'^3}$		

Stull, 1988, Table 6.2

Equations and unknowns for the momentum equations:

- ▶ first moments (\bar{U}_i): 3 equations with 6 unknowns
 $(\bar{u'v'}, \bar{u'w'}, \bar{v'w'}, \bar{u'^2}, \bar{v'^2}, \bar{w'^2})$
- ▶ second moments ($\bar{u'v'}$): 6 equations with 10 unknowns
- ▶ third moments ($\bar{u'v'w'}$): 10 equations with 15 unknowns

Performance of 5 nonlocal PBL schemes



Zhang and Zheng, 2004

Energy budget land vs. water

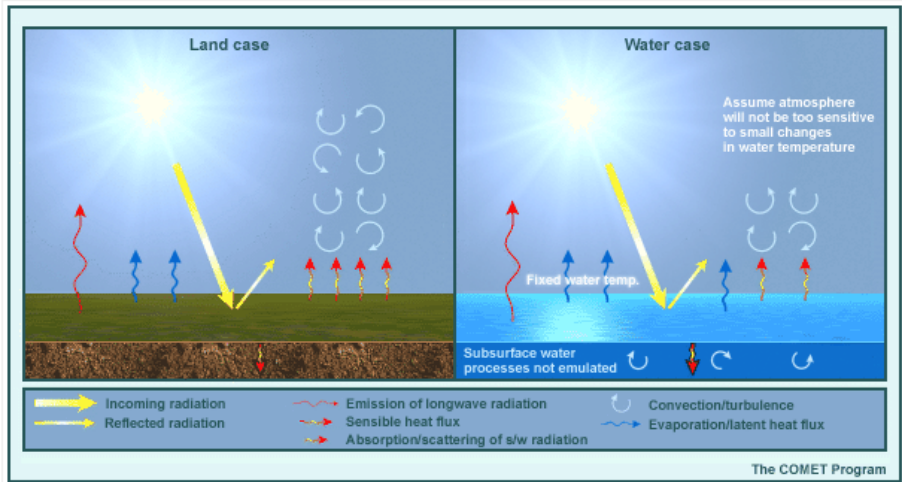
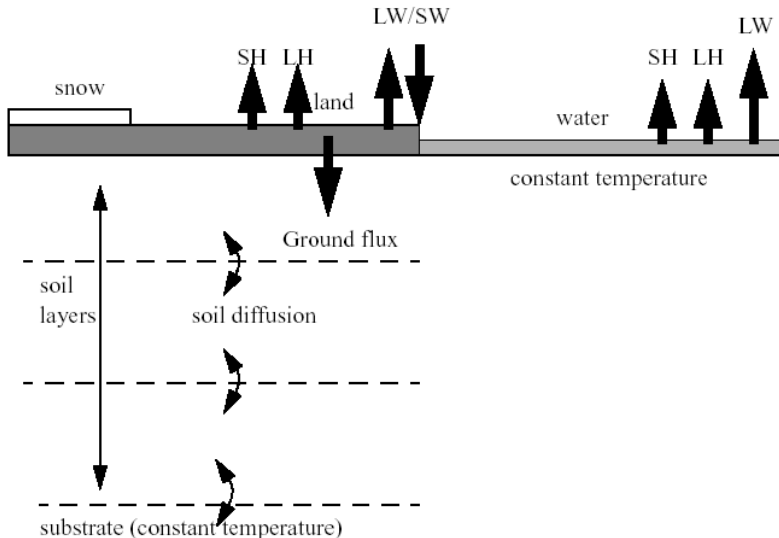


Illustration of Surface Processes



Surface layer

- ▶ The surface layer is defined by the near vertical constancy (variations less than 10%) of the heat, momentum and moisture fluxes.
- ▶ It covers the lowest 10% of the atmospheric boundary layer
- ▶ The turbulent flux of a variable χ at the surface is obtained from the bulk transfer relation:

$$\overline{(w'\chi')}_{\text{S}} = -C_{\chi}|U_L|(\chi_L - \chi_{\text{S}}) \quad (13)$$

C_{χ} = transfer coefficient. The subscripts L and S denote values at the lowest model level (representing the top of the surface layer) and the surface, respectively, $|U_L|$ = horizontal wind vector at level L .

- ▶ Obtain C_{χ} from Monin-Obukhov similarity theory by integrating the flux-profile relationships over the lowest model layer.
- ▶ C_{χ} depends on wind shear and static stability of the atmosphere above the surface, and the surface roughness. C_{χ} increases as the atmosphere becomes more unstable.

Surface temperatures over land

- ▶ GCMs calculate the land surface T_s from a surface energy balance condition:

$$C_L \frac{\partial T_s}{\partial t} = R_{net} + LE + H + G \quad (14)$$

C_L = heat capacity of the layer [$\text{J m}^{-2} \text{K}^{-1}$], H = sensible heat flux, LE = latent heat flux, G = ground heat flux, R_{net} = net radiation:

$$R_{net} = (1 - \alpha_s) R_{SW}^{\downarrow} + \epsilon R_{LW}^{\downarrow} - \epsilon \sigma T_s^4 \quad (15)$$

α_s = surface albedo, R_{SW}^{\downarrow} = downwelling solar radiation, R_{LW}^{\downarrow} = downwelling longwave radiation, ϵ = surface emissivity, σ = Stefan-Boltzmann constant.

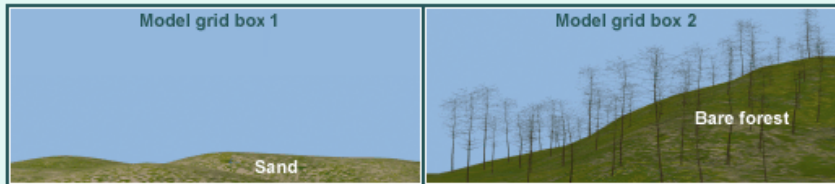
- ▶ Recently, surface models are being employed within GCMs to evaluate the surface and subsurface T .

Surface characteristics

Actual Surface Characteristics



Model Surface Characteristics



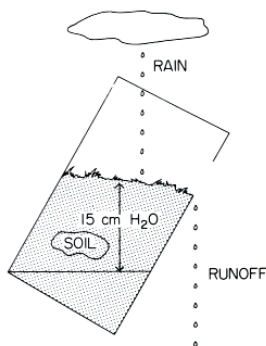
The COMET program

Sea surface temperatures (SST)

- ▶ Over oceans, T_s is either obtained from interpolated monthly mean observed SSTs or calculated from an interactive ocean model.
- ▶ Many atmospheric GCMs (AGCM) use prescribed, observed SSTs for computational efficiency.
- ▶ Daily SSTs are obtained by linearly interpolating between mid-month SSTs allowing seasonal variations.
- ▶ Drawback: no realistic interaction of the atmosphere with oceans. Surface fluxes are irrelevant to SSTs.
- ▶ Constant SST implies that the globally, annually averaged top-of-the-atmosphere (TOA) net radiation may not to be zero.
- ▶ GCMs with prescribed SST models are “tuned” to guarantee TOA radiation balance. Any perturbation to the “tuned” model, e.g. a change in the model physics, may cause an imbalance.
- ▶ Over sea ice regions, T_s should be evaluated from a sea ice model.

Surface hydrology

BUDYKO BUCKET MODEL



Easiest method: Budyko's bucket model:

$$\frac{\partial W_s}{\partial t} = P_R - J_{EV} - R + M$$

where:

W_s : Soil water content

P_R : Precipitation rate

J_{EV} : Evaporation rate

R : Runoff

M : Melt water

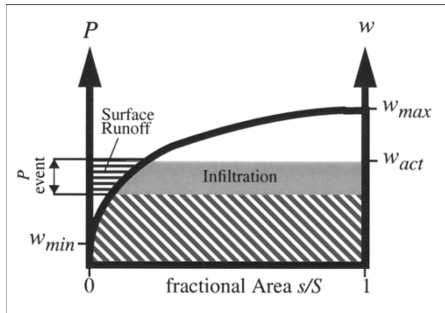
► If $W_s > W_{smax} \Rightarrow$ Runoff

W_{smax} = Depth of ground water reservoir

www.scopenvironment.org/downloadpubs/scope35/

chapter05.html

Improved bucket scheme



w_{min} , w_{max} , w_{act} = minimum, maximum and actual soil water capacity;
 P = precipitation

(Hagemann and Gates, Clim. Dyn., 2003)

- Use a statistical distribution of many subgrid buckets
- Fractional saturation (s/S) of the grid box:

$$\frac{s}{S} = 1 - \left(\frac{w_{max} - w_{act}}{w_{max} - w_{min}} \right)^b$$

b defines shape of the distribution curve of w
 (depends on model resolution)

- Actual soil water content in the grid box:

$$W_s = w_{min} + \int_{w_{min}}^{w_{act}} \left(1 - \frac{s}{S} \right) dw$$

Take-home messages PBL parameterizations

- ▶ Different parameterizations are used for the surface layer and the rest of the boundary layer
- ▶ Non-local closure (1.5 order closure or higher) often better capture the boundary layer depth, structure and wind profiles than local schemes but are computationally more expensive
- ▶ The surface fluxes are obtained from Monin-Obukhov similarity theory
- ▶ Different surface types are considered over land
- ▶ Sea surface temperatures can either be obtained from observations or an ocean model