

# Diagnosing the sensitivity of grounding line flux to changes in sub-ice shelf melting

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**ABSTRACT.** Motivated by previous work using ice flow models to quantify ice shelf buttressing and its impacts on the flux of ice across the grounding line (e.g., Fürst and others, 2016; Reese and others, 2018), we seek an improved physical understanding for how ice dynamics link small ice thickness perturbations, via changes in sub-ice shelf melting, to changes in ice shelf buttressing and ice flux across the grounding line. More specifically, we seek to define one or more “metrics” that are 1) readily calculated from standard ice sheet model outputs and 2) informative for diagnosing the sensitivity of grounding line flux to changes in ice thickness at specific locations on an ice shelf. By studying the ice dynamics for both idealized (MISMIP+) and realistic (Larsen C) ice shelves, we find that the first principle stress is the best overall metric for linking local changes in ice shelf thickness and dynamics with changes in the integrated grounding line flux. Unfortunately, this metric only shows a robust relationship with the integrated grounding line flux for regions near the center of an ice shelf; for points too near the grounding line or the calving front, no clear relationship exists between any of the readily calculable metrics explored here and changes in grounding line flux. This motivates our exploration of an adjoint-based method for defining grounding line flux sensitivity to local changes in ice shelf geometry. Using the same idealized

and realistic test cases, we demonstrate that this method is equivalent to the sensitivity analysis of (Reese and others, 2018) but requires only a single model adjoint solve. We conclude that the adjoint-based method can provide a means of analyzing grounding line flux sensitivity to changes in sub-ice shelf melting at model run time.

## INTRODUCTION

Marine ice sheets like West Antarctica (and to a lesser extent, portions of East Antarctica) are grounded below sea level and their bedrock would remain so even after full isostatic rebound (Barletta and others, 2018). This and the fact that ice sheets generally thicken inland lead to a geometric configuration that is unstable; a small increase in flux at the grounding line thins the ice there, leading to floatation, a retreat of the grounding line into deeper water, further increases in flux (due to thicker ice), and further thinning and grounding line retreat. This theoretical “marine ice sheet instability” mechanism (Mercer, 1978; Schoof, 2007) is supported by idealized (Schoof, 2012; Asay-Davis and others, 2016) and realistic ice sheet modeling (Royston and Gudmundsson, 2016) experiments and some studies (Joughin and others, 2014; Rignot and others, 2014) argue that such an instability is currently under way along outlet glaciers of Antarctica’s Amundsen Sea Embayment (ASE). The relevant perturbation for grounding line retreat in the ASE is thought to be intrusions of relatively warm, intermediate depth ocean waters onto the continental shelves, which have reduced the thickness and extent of marginal ice shelves via increased submarine melting (e.g., ?). These reductions are critical because fringing ice shelves restrict the flux of ice across their grounding lines farther upstream – the so-called “buttressing” affect of ice shelves (Gudmundsson and others, 2012; Gudmundsson, 2013; De Rydt and others, 2015) – which makes them a critical control on ice flux from Antarctica to the ocean.

On ice shelves, gradients in hydrostatic pressure are balanced by the primarily extensional flow of ice towards the calving front (Mutter, 1983; Morland, 1987; Schoof, 2007) and, in theory, a one dimensional ( $x$ - $z$ ) ice shelf provides no buttressing (Schoof, 2007; Gudmundsson, 2013)<sup>1</sup>. For realistic, three-dimensional ice shelves however, buttressing results from three main sources: 1) compressive ice flow 2) lateral shear, and 3) “hoop” stress (Pegler and Worster, 2012). Both compressive and lateral shear stresses can supply backward resistance to extensional ice shelf flow, and the “hoop” stress is a transverse stress arising from azimuthal

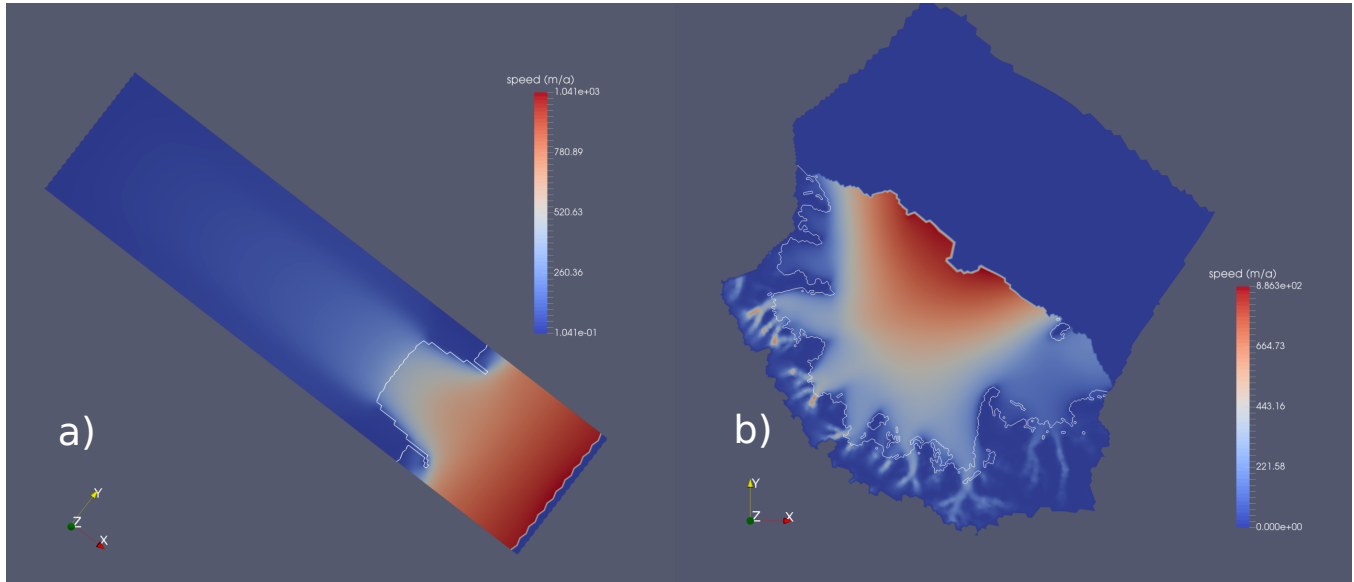
<sup>1</sup>The ice shelf is also assumed to be monotonically decreasing in thickness from the grounding line to the calving front.

54 extension in regions of diverging flow (Wearing, 2016). Due to the complex geometries, kinematics, and  
55 dynamics of real ice shelves, an understanding of the specific processes and locations that control ice shelf  
56 buttressing is far from straightforward.

57 Several recent studies apply whole-Antarctic ice sheet models optimized to present-day observations  
58 towards improving our understanding for how Antarctic ice shelves limit flux across the grounding line  
59 (and by extension how they impact ice dynamics farther inland). Fürst and others (2016) calculated the  
60 buttressing across Antarctica ice shelves along two major directions (aligned with the ice flow and the  
61 second principle stress) and evaluated their impacts on upstream ice dynamics to identify regions of the  
62 ice shelves that are dynamically “passive”; in these regions increased submarine melting, or even complete  
63 removal of ice in these areas should not significantly alter local or regional ice dynamics or the flux of  
64 ice upstream. Reese and others (2018) used perturbation experiments to link small, localized decreases in  
65 ice shelf thickness to changes in integrated grounding line flux (GLF), thereby providing a map of GLF  
66 sensitivity to local increases in submarine melt rates. **Add some discussion here about the recent**  
67 **Goldberg et al. paper as well?**

68 Motivated by these studies, we build on and extend the methods and analysis of Fürst and others (2016)  
69 and Reese and others (2018) in order to make progress towards answering the following questions: (1) How  
70 do local and regional changes in ice shelf geometry affect distal changes in GLF? (2) Can local or regional  
71 ice shelf dynamics explain GLF sensitivity to local or regional changes in ice shelf thickness? (3) Can we  
72 derive and define new tools and analyses for understanding how observed or modeled spatial patterns in  
73 submarine melting influence GLF and, by extension, project how changes in submarine melt pattern and  
74 magnitude will impact GLF in the future?

75 Below, we first provide a brief description of the ice sheet model used in our study. We follow with a  
76 description of the model experiments and a discussion of the experimental results and their interpretation.  
77 We then demonstrate and discuss the pros and cons of a number of possible metrics for quantifying GLF  
78 sensitivity to changes in submarine melt. Based on limitations in all metrics explored here, we conclude  
79 by proposing and demonstrating an adjoint-based calculation that provides a sensitivity map analogous to  
80 that from the Reese and others (2018) perturbation experiments but at the cost of a single model adjoint  
81 solve. **(TZ: we should revisit this paragraph at some point after we finish most of revisions in**  
82 **the manuscript. SP: Agreed. It could be that some of the correlations you show w/ just the**  
83 **first principle stress are also worthy of highlighting as being reasonably good too.)**



**Fig. 1.** Plan view of steady-state surface speed for MISIP+ (a) and Larsen C ice shelf (b). The white curves show the grounding lines.

## MODEL DESCRIPTION

*SP: I built out this section a bit more. We can reduce later on if needed but it seemed a bit too thin. Note that this is mostly copied and lightly edited from the MALI paper, so we'll have to look over carefully and make sure it doesn't end up looking self-plagiarized.* We use the MPAS-Albany Land Ice model (MALI; Hoffman and others (2018)), which solves the three-dimensional, first-order approximation to the Stokes momentum balance for ice flow<sup>2</sup>. Using the notation of Perego and others (2012) and Tezaur and others (2015a) this can be expressed as,

$$\begin{cases} -\nabla \cdot (2\mu_e \dot{\epsilon}_1) + \rho_i g \frac{\partial s}{\partial x} = 0, \\ -\nabla \cdot (2\mu_e \dot{\epsilon}_2) + \rho_i g \frac{\partial s}{\partial y} = 0, \end{cases} \quad (1)$$

where  $x$  and  $y$  are the horizontal coordinate vectors in a Cartesian reference frame,  $s(x, y)$  is the ice surface elevation,  $\rho_i$  represents the ice density,  $g$  the acceleration due to gravity, and  $\dot{\epsilon}_{1,2}$  are the two dimensional strain rate vectors given by

$$\dot{\epsilon}_1 = \begin{pmatrix} 2\dot{\epsilon}_{xx} + \dot{\epsilon}_{yy}, & \dot{\epsilon}_{xy}, & \dot{\epsilon}_{xz} \end{pmatrix}^T, \quad (2)$$

<sup>2</sup>See Schoof and Hewitt (2013) for a full description of the Stokes momentum balance for ice flow and its lower-order approximations.

94 and

$$\dot{\epsilon}_2 = \begin{pmatrix} \dot{\epsilon}_{xy}, & \dot{\epsilon}_{xx} + 2\dot{\epsilon}_{yy}, & \dot{\epsilon}_{yz} \end{pmatrix}^T. \quad (3)$$

95 The “effective” ice viscosity,  $\mu_e$  in Equation 1, is given by

$$\mu_e = \gamma A^{-\frac{1}{n}} \dot{\epsilon}_e^{\frac{1-n}{n}}, \quad (4)$$

96 where  $\gamma$  is an ice stiffness factor,  $A$  is a temperature-dependent rate factor,  $n = 3$  is the power-law exponent,  
97 and the effective strain rate,  $\dot{\epsilon}_e$ , is defined as

$$\dot{\epsilon}_e \equiv (\dot{\epsilon}_{xx}^2 + \dot{\epsilon}_{yy}^2 + \dot{\epsilon}_{xx}\dot{\epsilon}_{yy} + \dot{\epsilon}_{xy}^2 + \dot{\epsilon}_{xz}^2 + \dot{\epsilon}_{yz}^2)^{\frac{1}{2}}. \quad (5)$$

98 Gradients in the horizontal velocity components,  $u$  and  $v$ , contribute to the individual strain rate terms in  
99 Equation 5 and are given by

$$\dot{\epsilon}_{xx} = \frac{\partial u}{\partial x}, \quad \dot{\epsilon}_{yy} = \frac{\partial v}{\partial y}, \quad \dot{\epsilon}_{xy} = \frac{1}{2} \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right), \quad \dot{\epsilon}_{xz} = \frac{1}{2} \frac{\partial u}{\partial z}, \quad \text{and} \quad \dot{\epsilon}_{yz} = \frac{1}{2} \frac{\partial v}{\partial z}. \quad (6)$$

100 A stress free upper surface is enforced through

$$\dot{\epsilon}_1 \cdot \mathbf{n} = \dot{\epsilon}_2 \cdot \mathbf{n} = 0, \quad (7)$$

101 where  $\mathbf{n}$  is the outward pointing normal vector at the ice sheet upper surface,  $z = s(x, y)$ . The lower surface  
102 is allowed to slide according to the continuity of basal tractions,

$$2\mu_e \dot{\epsilon}_1 \cdot \mathbf{n} + \beta u = 0, \quad 2\mu_e \dot{\epsilon}_2 \cdot \mathbf{n} + \beta v = 0, \quad (8)$$

103 where  $\beta$  is a spatially variable, linear-friction coefficient. On lateral boundaries in contact with the ocean,  
104 the portion of the boundary above sea level is stress free while the portion below sea level feels the ocean  
105 hydrostatic pressure according to

$$2\mu_e (\dot{\epsilon}_1 \cdot \mathbf{n}, \dot{\epsilon}_2 \cdot \mathbf{n}, 0)^T - \rho_o g (s - z) \mathbf{n} = \rho_o g \max(z, 0) \mathbf{n}, \quad (9)$$

106 where  $\rho_o$  represents the density of ocean water and  $\mathbf{n}$  the outward pointing normal vector to the lateral  
107 boundary (i.e., parallel to the  $(x, y)$  plane).

108 A more complete description of the full MALI model, including the implementations for mass and energy  
109 conservation, can be found in Hoffman and others (2018). Additional details about the Albany momentum  
110 balance solver can be found in Tezaur and others (2015a,b).

Here, we apply MALI to experiments on both idealized and realistic marine-ice sheet geometries. For our idealized domain and model state, we start from the equilibrium initial conditions for the MISIP+ experiments, as described in Asay-Davis and others (2016) and Cornford and others (MISIP+ papers) (TZ: is it the same paper as Xylar’s? SP: No, I meant the actual MISIP+ results paper, which Steph C. is supposed to be writing.). The model mesh is spatially uniform at 2 km resolution. For our realistic domain, we use Antarctica’s Larsen C ice shelf and its upstream catchment area. The model state is based on the optimization of the ice stiffness ( $\gamma$  in Equation 4) and basal friction ( $\beta$  in Equation 8) coefficients in order to provide a best match between modeled and observed present-day velocities (Rignot and others, 2014) using adjoint-based methods discussed in Perego and others (2014) and Hoffman and others (2018). The domain geometry is based on BEDMAP2 (Fretwell and others, 2013) and ice temperatures, which are held fixed for this study, are based on Liefferinge and Pattyn (2013). Mesh resolution on the ice shelf is between 2 and 6 km and coarsens to 20 km in the ice sheet interior. Following optimization to present-day velocities, the model is relaxed using a 100 year forward run, providing the initial condition from which the Larsen C experiments (discussed below) are conducted. Both the MISIP+ and Larsen C experiments use 10 vertical layers that are finest near the bed and coarsen towards the surface (4% and 23% of the total thickness, respectively). The grounding line position is determined from hydrostatic equilibrium. A sub-element parameterization, analogous to method SEP3 from Seroussi and others (2014), is used to define basal friction coefficient values at the grounding line.

## PERTURBATION EXPERIMENTS

To explore the sensitivity of changes in GLF to small changes in ice shelf thickness, we conduct a number of perturbation experiments analogous to those of Reese and others (2018). Using diagnostic model solutions, we first study the instantaneous response of GLF for the idealized geometry and initial state provided by the MISIP+ experiment (Asay-Davis and others, 2016). We then conduct a similar study for Antarctica’s Larsen C ice shelf but using a realistic configuration and initial state.

Our experiments are conducted in a manner similar to those of Reese and others (2018). We perturb the coupled ice sheet-shelf system by decreasing the ice thickness uniformly by 1 m over square grid “boxes” covering the base of the ice shelves, after which we examine the instantaneous impact on kinematics and dynamics (discussed further below). For MISIP+, the uniform, 2 km mesh implies that grid cell centers naturally align with these boxes. For the Larsen C ice shelf, horizontal mesh resolution is spatially variable and we assign each grid cell to fall within one and only one box based on its location. For MISIP+, we

141 use  $2 \times 2$  km square boxes that coincide with the actual grid cell size. For Larsen C, we only use  $20 \times 20$   
 142 km square boxes (i.e., as in Reese and others (2018)). Lastly, for the MISIMIP+ 2 km experiments we note  
 143 that, in order to save on computing costs, we only perturb the region of the ice shelf for which  $x < 530$  km  
 144 (the area over which the ice shelf is likely laterally buttressed) and  $y > 40$  km (one half of the ice shelf due  
 145 to the symmetry about the centerline). While we only perturb the ice shelf over one half of the centerline,  
 146 we analyze the response to those perturbations over the entire model domain.

147 Similar to Reese and others (2018), we define a GLF “response number”,

$$N_r = \left( \frac{R}{\bar{P}} \right)^k, \quad (10)$$

148 where  $R$  is the ice flux change integrated along the entire grounding line,  $P$  is the mass associated with a  
 149 single grid box perturbation (e.g.,  $2 \text{ km} \times 2 \text{ km} \times 0.001 \text{ km}$  for the MISIMIP+ perturbation experiments) and  
 150  $k$  is a power-law index that allows for the possibility of a nonlinear relationship between ice shelf buttressing  
 151 and the change in GLF (see also Schoof (2007)). Here, we use  $k = 1/n$  with  $n = 3$ .

152 Despite the existence of many possible factors linking GLF to ice shelf properties (ice flow direction,  
 153 horizontal gradients in ice shelf geometry, stress fields, strain-rate fields, perturbation locations, etc.), here  
 154 we mainly examine model stress fields and the distance between perturbations and the GL. This is because,  
 155 as we show below, these factors correlate closely with the sensitivity of changes in GLF to the imposed ice  
 156 shelf perturbations. To incorporate the local stress field and its buttressing capacity into our analysis, we  
 157 also calculate a “buttressing number”,  $(N_b)$ , analagous to that from Fürst and others (2016) (Eqn 11),

$$N_b = 1 - \frac{\sigma_{nn}}{N_0}, \quad (11)$$

158 where  $N_0$  is the vertically integrated ocean pressure ( $N_0 = 0.5 (1 - \rho_i/\rho_w) gH$ ) and  $\rho_i$  ( $910 \text{ kg m}^3$ ) and  $\rho_w$   
 159 ( $1028 \text{ kg m}^3$ ) are the density of ice and ocean water, respectively.  $\sigma_{nn}$  is the normal stress along a specific  
 160 horizontal direction, which we discuss further below.

## 161 RESULTS AND DISCUSSIONS

### 162 Correlation between the buttressing number and changes in GLF

163 A decrease in ice shelf buttressing tends to lead to an increase in GLF (e.g., Gagliardini and others, 2010)  
 164 and intuitively we expect that the GLF should be relatively more sensitive to melt changes that occur in  
 165 regions of relatively larger buttressing. Here, we aim to better understand and quantify the relationship

between the buttressing “strength” (given by  $N_r$ ) and corresponding changes in GLF (given by  $N_r$ ). Can we quantify the predicted change in GLF for a given buttressing number ( $N_b$ ) and melt perturbation? In Figure 2, we show correlations between  $N_b$  and  $N_r$  for perturbations applied to the MISMP+ domain when  $N_b$  from Equation 11 sets  $\sigma_{nn}=\sigma_{p1}$ , with  $\sigma_{p1}$  being the first principle stress<sup>3</sup>. In Figure 2b, we include all perturbed ice shelf locations in the comparison and find relatively weak  $N_b$ - $N_r$  correlations. In Figure 2c, we remove from the comparison points that are 1) weakly buttressed ( $x > 480$ , where the ice shelf becomes unconfined) and 2) within 5 km of the GL, and a much stronger  $N_b$ - $N_r$  correlation emerges. In Figure 2d, we plot the  $N_b$ - $N_r$  correlation for locations where  $x > 480$  and the magnitude of the thickness gradient is  $< 7 \times 10^{-3}$ . The strong similarities between Figures 2c and 2d suggest that the seemingly *ad hoc* “distance from the grounding line” constraint is important for removing areas of complex geometry (and hence complex dynamics) from the  $N_b$ - $N_r$  comparisons.

### Correlation dependence on buttressing direction

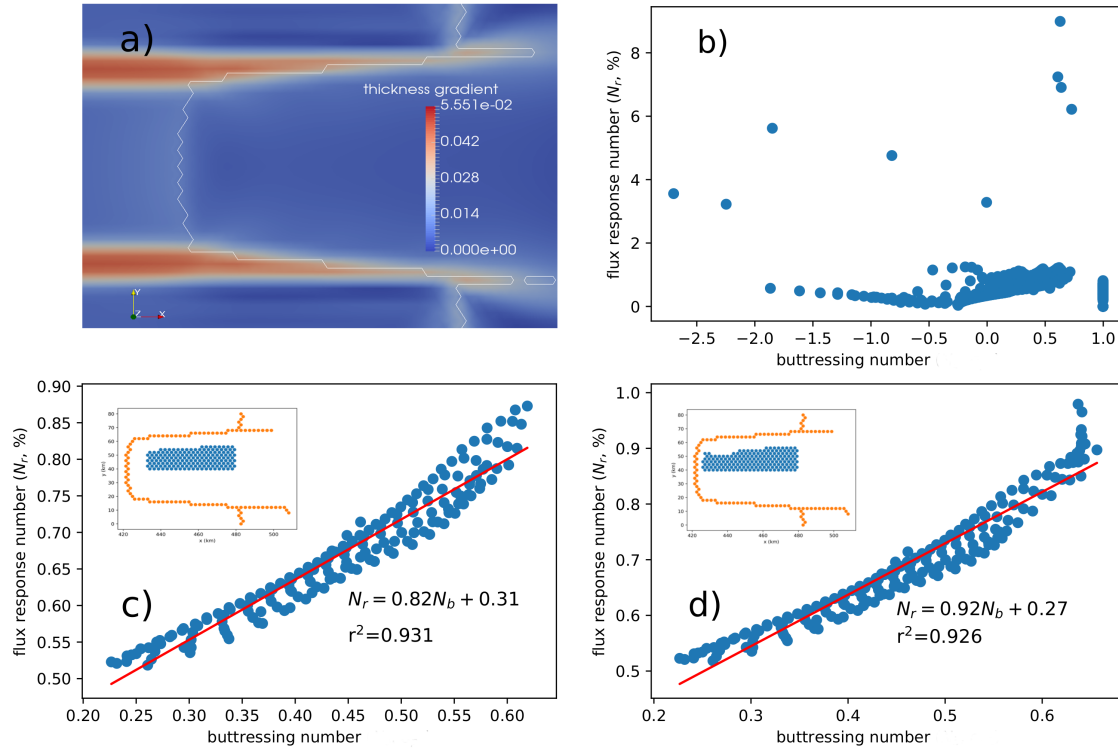
According to Equation 11, the buttressing number  $N_b$  is computed using the normal stress ( $\sigma_{nn}$ ) along a specified direction. Therefore, the buttressing number at any perturbation point can vary depending on the chosen direction. Fürst and others (2016) calculated  $N_b$  along two directions, the ice flow direction and the direction corresponding to the second principle stress ( $\sigma_{p2}$ ), and found that the latter – the direction corresponding to the maximum compressive stress (or the least extensional stress) – has the maximum impact on the “passive” ice shelf regions. In Figure 3, we plot the correlation coefficients ( $r^2$ ) between  $N_r$  and  $N_b$  using values for  $\sigma_{nn}$  that vary continuously by an angle  $\Delta\phi$ , between 0 and 180 degrees, relative to the direction of  $\sigma_{p1}$ . We find the largest correlation coefficient ( $r^2 > 0.9$ ) when  $N_b$  is aligned with the  $\sigma_{p1}$  direction ( $\Delta\phi = 0^\circ$ ) and the smallest correlation coefficient ( $r^2 < 0.4$ ) when  $N_b$  is aligned with the  $\sigma_{p2}$  direction ( $\Delta\phi = 90^\circ$ ).

This can be further testified by looking at the angle differences between  $\sigma_{p1}$  and flow directions ( $\Delta\phi = \phi_{flow} - \phi_{\sigma_{p1}}$ ). From Figure 3b, we see that for around 50% of the perturbation points, their flow directions are around 30–50 degree more than the  $\sigma_{p1}$  directions, which is consistent with the phase differences in Figure 3a. If we add around 40 degree on top of the flow direction (blue curve), the new direction will be likely aligned closely with the  $\sigma_{p2}$  direction, which is consequently pointing to the smallest  $r^2$  number for the  $\sigma_{p1}$  (red) curve. This indicates that the local maximum buttressing relating to  $\sigma_{p2}$  is unnecessarily corresponding to the integrated instantaneous GLF responses. **(SP: I tried to reword this**

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<sup>3</sup>We expand on our reasons for choosing  $\sigma_{p1}$  below.





**Fig. 2.** (a) MISMAP+ steady-state geometry. Color represents the magnitude of the ice thickness gradient and the white line represents the GL. (b)  $N_b$ - $N_r$  correlation for all perturbation points. (c)  $N_b$ - $N_r$  correlation for perturbation points satisfying  $x > 480$  and that are  $>10$  km away from GL; (d)  $N_b$ - $N_r$  correlation for perturbation points satisfying  $x > 480$  and with a thickness gradient magnitude of  $< 7 \times 10^{-3}$ . In (c) and (d), the red (blue) dots in the insets represent the GL (perturbation) grid cells.

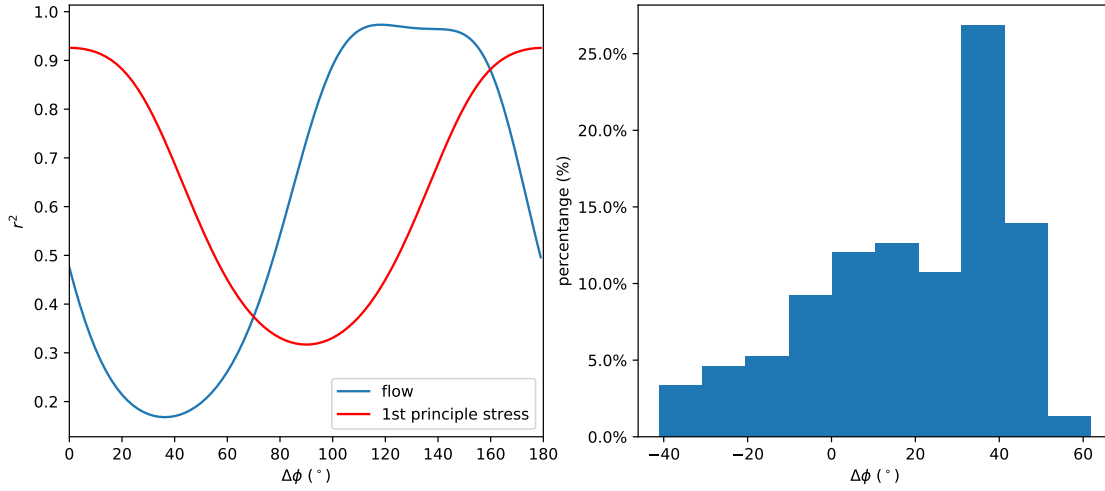
so that it is a bit more clear, but I failed (commented out my new version of it). I'm going to leave it for the time being and come back to it later. But I also wonder if this discussion is necessary or if it is just too confusing.)

In the following sections, we elaborate our understandings of the dependence on buttressing directions.

### The changes of velocity around the perturbation spot

The strong correlation between changes in GLF and the first principle stress can be understood by examining the spatial patterns of velocity change<sup>4</sup> and stress change associated with thickness perturbations. In Figure 4, we plot histograms of the maximum (red) and minimum (blue) velocity changes

<sup>4</sup>In this case, velocity changes are a proxy for flux changes since ice thickness is changed only at the perturbation level.

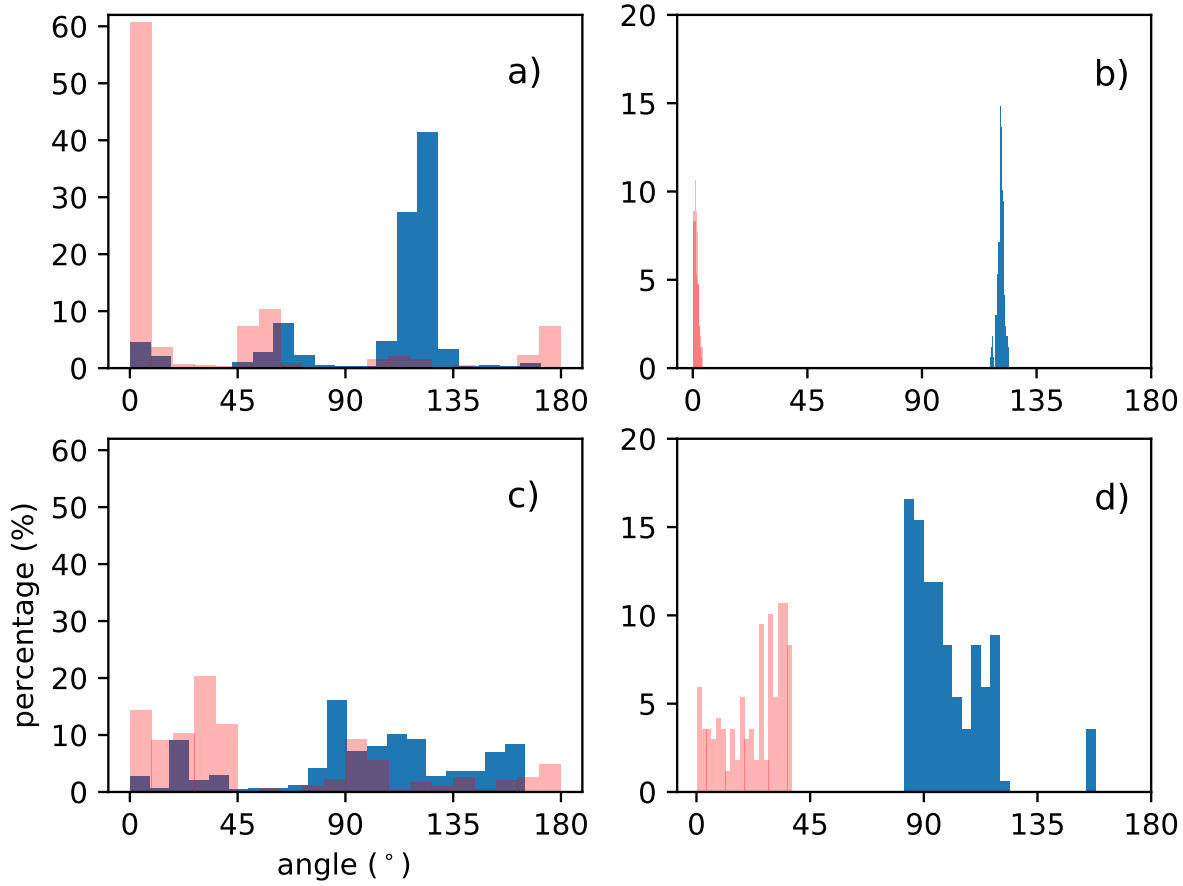


**Fig. 3.** (a)  $N_b$ - $N_r$  correlation coefficients for  $\sigma_{nn}$  values rotated anti-clockwise by  $\Delta\phi$  degrees relative to the  $\sigma_{p1}$  direction (red) and the ice flow direction (blue). (b) Histogram of the angular differences between the flow direction and  $\sigma_{p1}$  directions. The perturbation points analyzed here are those shown in Figure 2d.

as a function of angular distance (??) around each perturbation point for the case where  $\sigma_{nn}$  is calculated along the ice flow direction or along the  $\sigma_{p1}$  direction. Figures 4a and b include all perturbation points while Figures 4c and d contain only the points from Figure 2d (i.e., filtered according to ice thickness gradient). For  $\sigma_{nn}$  calculated along the ice flow direction (Fig 4a,b), the maximum velocity changes cluster around the flow direction, while the minimum velocity changes cluster around 120 degrees to the flow direction. For  $\sigma_{nn}$  calculated along the  $\sigma_{p1}$  direction (Fig 4c,d), the maximum velocity changes cluster between 0 and 45 degrees of the  $\sigma_{p1}$  direction and the minimum velocity changes cluster between 90 and 120 degrees. From this analysis, it is clear that most of the maximum (minimum) velocity changes are aligned with the first (second) principle stress direction, supporting the hypothesis that the first principle stress direction is more important for ... *not sure how to close this yet. Something about how changes propagate more easily along  $p1$  even though  $p2$  "controls" the buttressing? Not sure we entirely understand this yet but we need to.*

### The changes of velocity and stress along GL

Further evidence for the importance of the first principle stress direction is given by the variances that characterize the changes in the state of stress and the state of velocity between the initial condition and

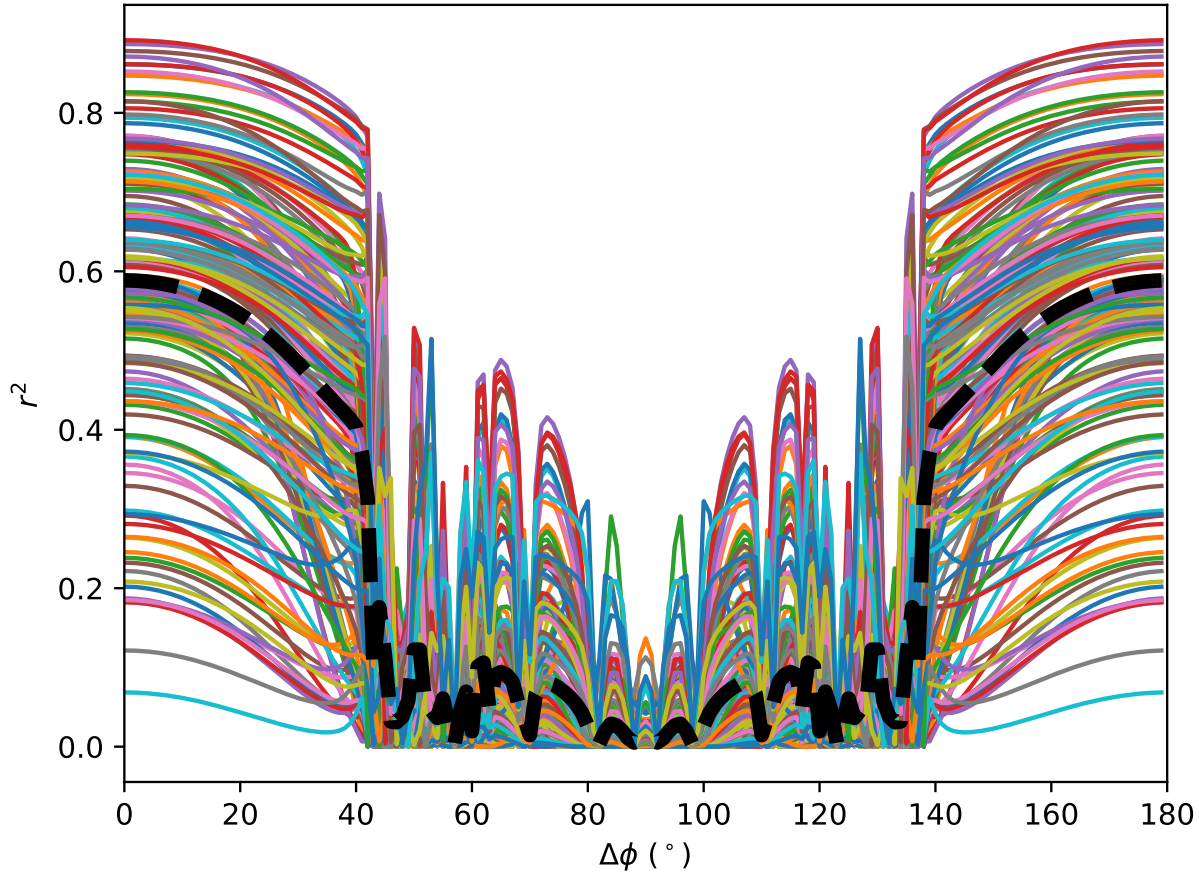


**Fig. 4.** Histograms for the frequency of the maximum (red) and minimum (blue) velocity changes in neighboring grid cells as a function of angular distance around each perturbation point. In a and c, all perturbation points are shown and in plots b and d, only the subset of points from Figure 2d (b and d) are shown. **(TZ: this figure still needs double check!)**

the perturbation experiments. To this end, we define the metric  $\Upsilon$ ,

$$\Upsilon = \text{corr} \left( \frac{\sigma_p - \sigma_c}{\sigma_c}, \frac{u_p - u_c}{u_c} \right), \quad (12)$$

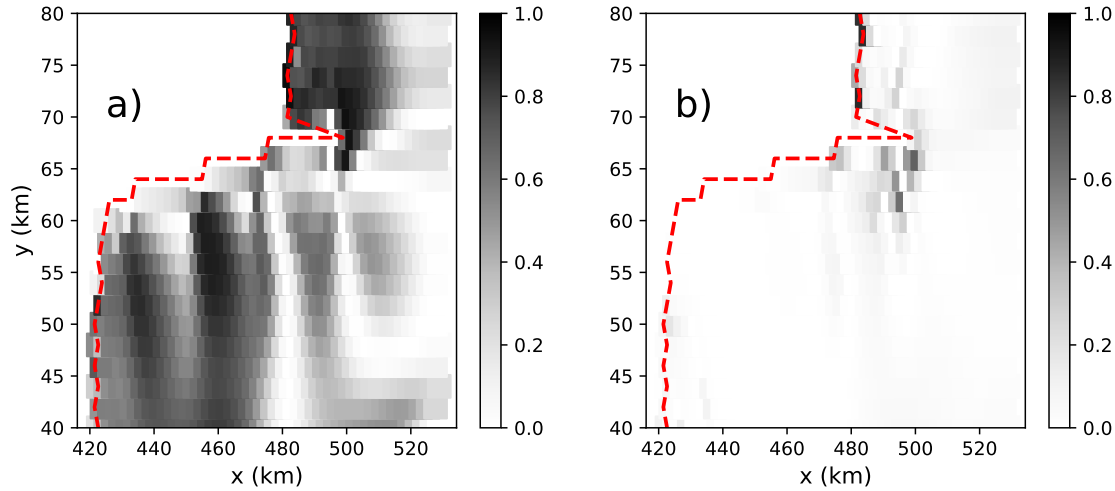
where the subscripts  $p$  and  $c$  denote the perturbation experiments and the “control” (i.e., the initial condition), respectively, and  $\sigma$  and  $u$  denote the stress component and ice velocity, respectively. Here the changes of  $\Upsilon$  we discuss is limited to GL.  $\Upsilon$  are a measure of the consistency between changes in the model stress and velocity states between the control (the initial condition) and perturbation experiments. We calculate  $\Upsilon$  for every perturbation point for each direction from 0–180° (Figure 5). Clearly, when we pick the  $\sigma_{p1}$  direction as the buttressing direction, we can find the best correlation between the changes of ice



**Fig. 5.** The correlation ( $\Upsilon$ ) between the changes of ice surface speed and the changes of normal stress along GL. The direction of normal stress is rotated anti-clockwisely from the direction of  $\sigma_{p1}$ . Each colored curve represents a perturbation experiment, and the thick dashed back curve is their mean value.

225 surface speed and normal stress along GL, whereas the correlation numbers are relatively low when the  
 226 buttressing directions are close to the  $\sigma_{p2}$  direction.

227 More specifically, we can see the spatial pattern of  $\Upsilon$  for every perturbation point for the case where  
 228  $\sigma_{nn}$  in Equation 11 is set equal to  $\sigma_{p1}$  (Fig 6a) and  $\sigma_{p2}$  (Fig 6b). From Figure 6, it is clear that the  
 229 correlation between stress and velocity change along GL is generally much larger in the domain for the  
 230 case of  $\sigma_{nn} = \sigma_{p1}$ , especially for some regions in the center of the domain. *SP: Or something like this.*  
 231 *Need to think about it some more still. I wonder if it could also be interpreted differently – e.g., in 5b,*  
 232 *could the much larger variance be because a small change in p2 results in a much larger change in velocity*  
 233 *(relative to p1)? Would it make more sense for Fig. 5 to be an actual correlation coeff. plot rather than*  
 234 *the somewhat complicated variance comparison? TZ: I now change deviation to correlation. You*



**Fig. 6.** The standard deviations of  $\Psi$  – a metric for how closely a change in normal stress corresponds with a change in velocity – at each perturbation point of the MISIP+ domain, for the case of  $\sigma_{nn} = \sigma_{p1}$  (a) and  $\sigma_{nn} = \sigma_{p2}$  (b).

are right. It's more consistent. I think the problem is to check if the changes of  $\sigma_{p1}$  or  $\sigma_{p2}$  can cause more consistent velocity changes along GL, right? For example, if  $\sigma_{p2}$  can cause a larger but more consistent change in vel. than  $\sigma_{p1}$ , then  $\sigma_{p2}$  is still the better metric than  $\sigma_{p1}$ . In Figure 7 we show the stress and velocity changes at the GL for a perturbation at a specific location on the ice shelf, which may provide a more clear evidence of a strong (weak) correlation between changes in the first principle stress (second principle stress) and changes in the velocity (Figure 7). For this particular perturbation spot, we can also see the changes of buttressing number calculated by  $\sigma_{p1}$  (Figure 8a) and  $\sigma_{p2}$  (Figure 8b) across the domain. In this case the buttressing number calculated from  $\sigma_{p1}$  shows more decrease than that from  $\sigma_{p2}$  for most upstream parts to the perturbation spot, indicating another evidence that the more tensile stress  $\sigma_{p1}$  can change more significantly and therefore contribute more to the changes of ice shelf buttressing under certain basal melt forcing scenarios.

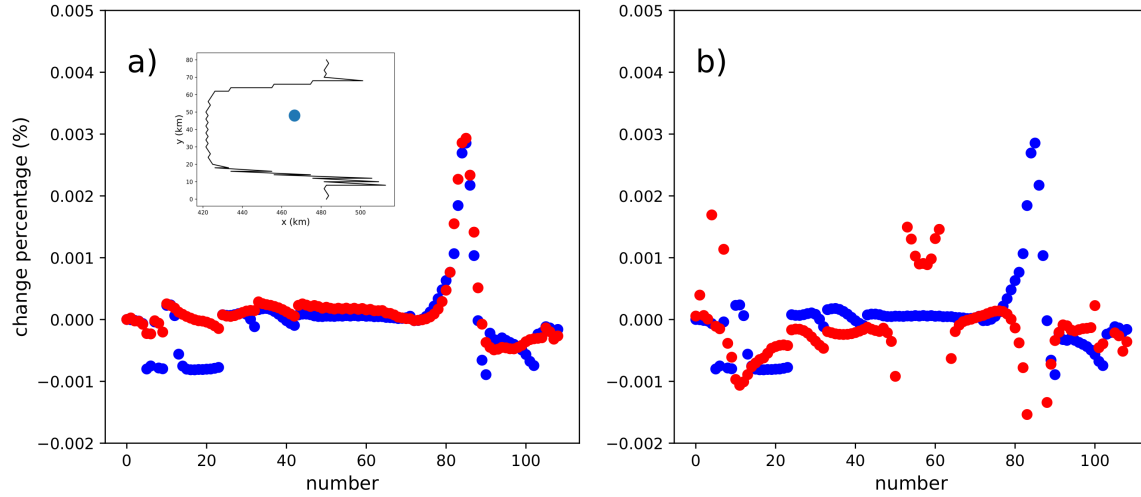
*SP: Leaving this section alone for now. I think it's worth including some additional discussion here if we can make some reasonable speculation as to what's going on TZ: The metric regarding distance and flow/stress angle is a such speculation that the impact of perturbation on GLF is a combined effect of perturbation and GL dynamics (see below). But we probably need to come up with a bit more than this. I wonder if there are other T3 folks who might have some insight into this problem TZ: there might be some similar theory going on here, but I doubt the pure mechanical knowledge apply in this specific problem. Perhaps Xylar can contribute a bit from the perspective of*

253 **Fourier transform theory** ? One thing that occurs to me is that we could be conflating cause and effect  
 254 here. For example, when we look at Figure 6, it looks like the changes in velocity correlate with changes in  
 255  $\sigma_{p1}$ , whereas they don't correlate with changes in  $\sigma_{p2}$ . But it could simply be that the changes in  $\sigma_{p2}$  (due  
 256 to the thickness perturbation) are causing a velocity change, and the velocity change is better reflected by  
 257 the change in  $\sigma_{p1}$ . This makes sense at the GL, where we know the velocity / flux are largely going to be  
 258 aligned with the  $\sigma_{p1}$  direction (perpendicular to the GL). This doesn't, however, explain why we see a good  
 259 correlation between changes in velocity / flux at the GL (reflected by the flux response number,  $N$ ) and  
 260  $\sigma_{p1}$  but a much poorer correlation between the flux response number and  $\sigma_{p2}$ . **TZ: I am not sure if I**  
 261 **follow you here. I don't know if it's a proper way to say that the changes in  $\sigma_{p2}$  cause the**  
 262 **changes in velocity. If we look closely at Figure 7 we can see that for some cells on GL, even**  
 263 **though the velocity direction is more aligned with  $p1$ , the correlation is still poor, whereas the**  
 264 **correlation with  $p2$  is better for these same cells. The difference here is their respective angle**  
 265 **difference with the stress direction at perturbation spot (a main reason I make up the metric**  
 266 **in the following; I can show you some point when you have time). It's hard to know exactly**  
 267 **the reason behind.** One of the possible reasons for us seeing such direction-dependent correlations might  
 268 be due to the perturbation propagation features on ice shelves. The energy of perturbation propagates  
 269 with the group velocity if we decompose it using Fourier transform. A similar example can be found in  
 270 (Gudmundsson, 2003). Using very simplified geometry Gudmundsson (2003) analyzed the propagation of  
 271 basal perturbation to glacier surface and found that the direction of group velocity is aligned closely with  
 272 the main flow. The existence of preferred propagation direction for the perturbations can possibly lead to  
 273 our findings that favor the first principle stresses (**TZ: I am still not able to explain why it's exactly**  
 274 **the first principle stress direction :().**

## 275 A possible metric connecting perturbation and GLF

276 The propagation of perturbation is likely determined by the flow regime on the ice shelf, i.e., the change  
 277 of GLF is controlled by both the perturbation (geometry, stress field) and its surrounding ice dynamic  
 278 features. To verify this we make the following metric:

$$\Lambda = \sum_i^I \frac{1}{d_i} |\cos(\theta_i)|, \quad (13)$$

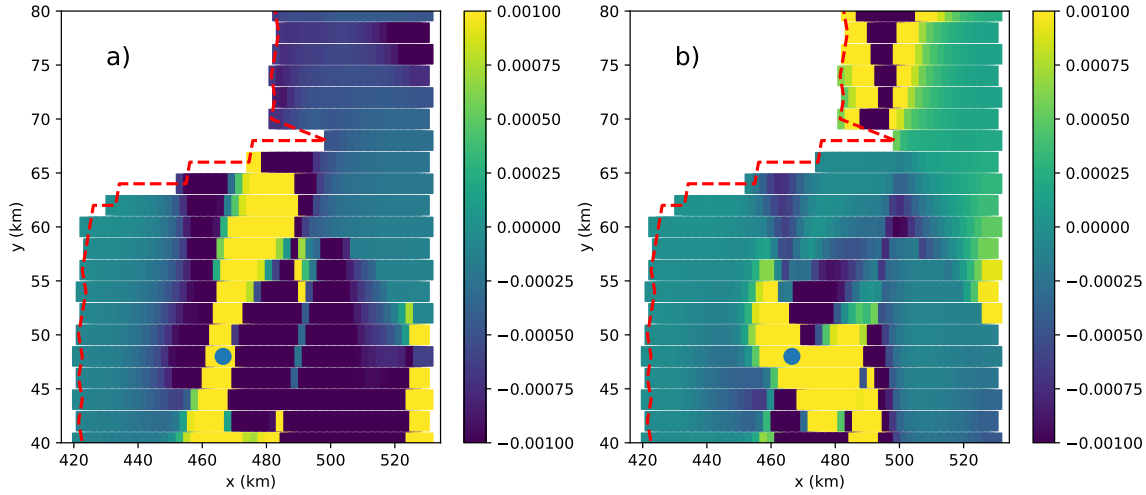


**Fig. 7.** Relationship between GL changes in the velocity (blue) and in the stress (red) for the MISMIP+ test case due to a perturbation at a specific location on the ice shelf (blue dot in inset map). In a), changes in GL velocity are plotted against changes in  $\sigma_{p1}$  and in b) changes in GL velocity are plotted against changes in  $\sigma_{p2}$ . The  $x$ -axis is an index for the grid cell number along the GL.

where  $I$  is the total cell number on GL,  $d_i$  is the distance between the perturbation spot and the  $i$ -th cell on GL,  $\theta_i$  is the angle difference between the direction of the specified normal stress at the perturbation spot and the flow direction for the  $i$ -th cell on GL. This metric gives us two possible important factors that impact GLF: 1) the geometric location of perturbation spot (the closer perturbation spots to GL, the larger impact of perturbation on GLF; see the discussion in the following section “Impacts of near-GL perturbations”), 2) the relationship between ice flow along GL and the buttressing direction we choose at the perturbation spot (the perturbation has more impacts on GLF if the buttressing direction aligned more closely with the ice flow directions on GL). Similar to above, we rotate the buttressing direction by 1–180° on top of the  $\sigma_{p1}$  direction, and calculate  $\Lambda$  for each perturbation spot. From Figure 9 we can see that  $\Lambda$  has large values when the buttressing directions are close to the  $\sigma_{p1}$  direction ( $\Delta\phi = 0^\circ$  or  $\Delta\phi = 180^\circ$ ). This is because, for MISMIP+, the  $\sigma_{p1}$  on GL largely controls the GLF (see the quiver plots of principle stresses in the Appendix). For real ice shelves, the pattern of ice flow along GL might be much more complex than of MISMIP+m, resulting in difficulty of predicting GLF using single direction buttressing number.

## Application to Larsen C ice shelf

To explore whether the correlations between  $N_b$ - $N_r$  for the MISMIP+ test case hold for realistic ice shelves, we apply a similar analysis to our Larsen C domain. In this case, the computational mesh resolution varies,

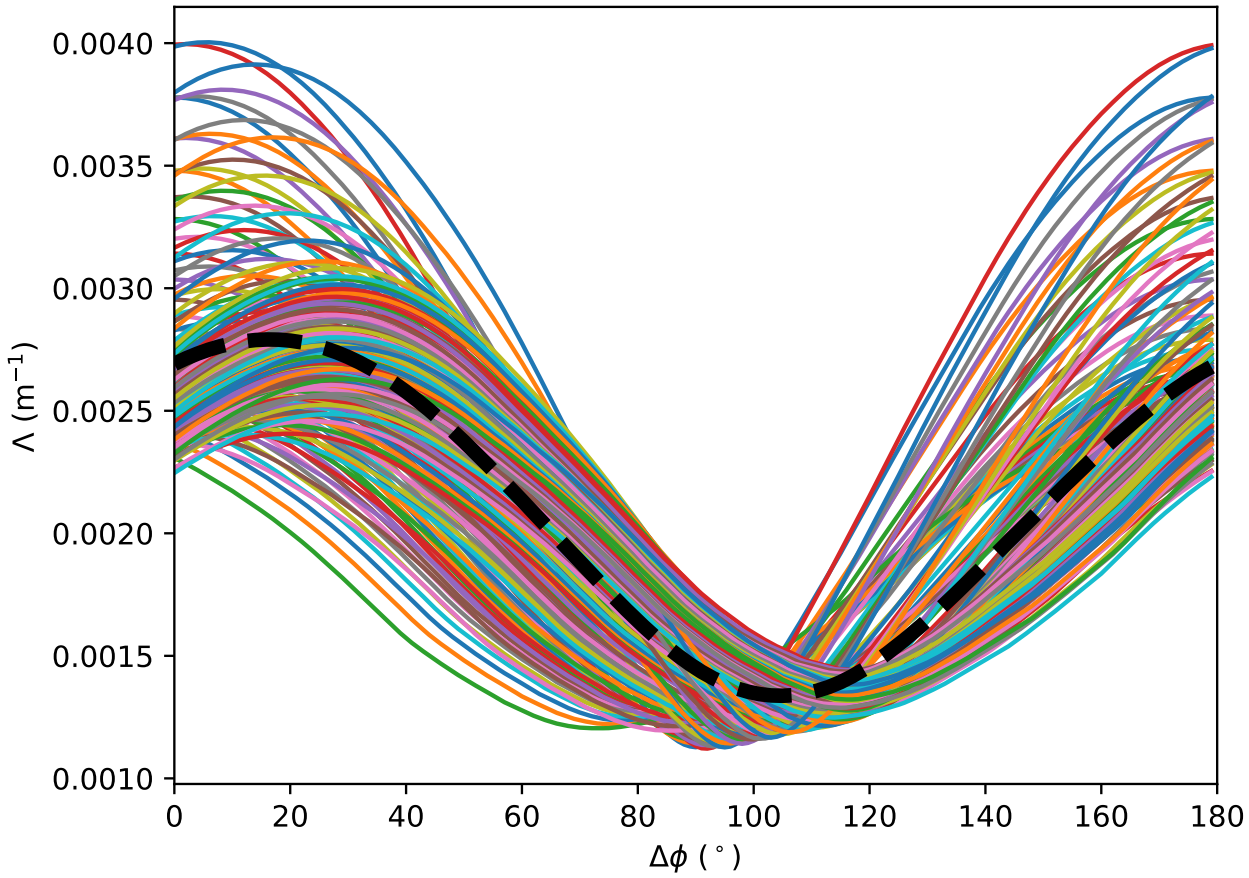


**Fig. 8.** Relationship between GL changes in the velocity (blue) and in the stress (red) for the MISIMIP+ test case due to a perturbation at a specific location on the ice shelf (blue dot in inset map). In a), changes in GL velocity are plotted against changes in  $\sigma_{p1}$  and in b) changes in GL velocity are plotted against changes in  $\sigma_{p2}$ . The  $x$ -axis is an index for the grid cell number along the GL.

from finer near the GL (xx km) to coarser towards the center of the ice shelf and calving front (yy km). We use  $20 \text{ km} \times 20 \text{ km}$  boxes for the application of ice thickness perturbations (as in Reese and others (2018)) where the number of grid cells contained within each perturbation “box” is adjusted in order to sum to the correct area. Additionally, to account for the complex geometry of the Larsen C ice shelf (i.e., the GL shape, the existence of ice rises, etc.), we apply two different sets of perturbation experiments, both with and without perturbations applied to boxes containing the GL.

Analogous to Figures 3a,b for the MISIMIP+ test case, Figures 10a,b shows the correlations between  $N_b$  and  $N_r$  for the Larsen C model domain. As previously, calculating  $N_b$  using  $\sigma_{nn} = \sigma_{p1}$  provides the best overall correlation between  $N_b$  and  $N_r$  (red curve for  $\Delta\phi = 0^\circ$ ) and calculating  $N_b$  using  $\sigma_{nn} = \sigma_{p2}$  provides the worst overall correlation (red curve for  $\Delta\phi = 90^\circ$ ). **SP: As noted above, I’m not sure about introducing the discussion about results relative to the velocity direction, as I think they are maybe just confusing. So leaving this next part alone for now.** The phase difference between the  $\sigma_{p1}$  and flow direction results can also be partially explained by their respective angle differences. In Figure 10b we can find the angles of flow directions are mostly around 90–100 degrees larger than that of the  $\sigma_{p1}$  directions. This is a bit biased than the around 70 degree difference in Figure 10a. However, considering

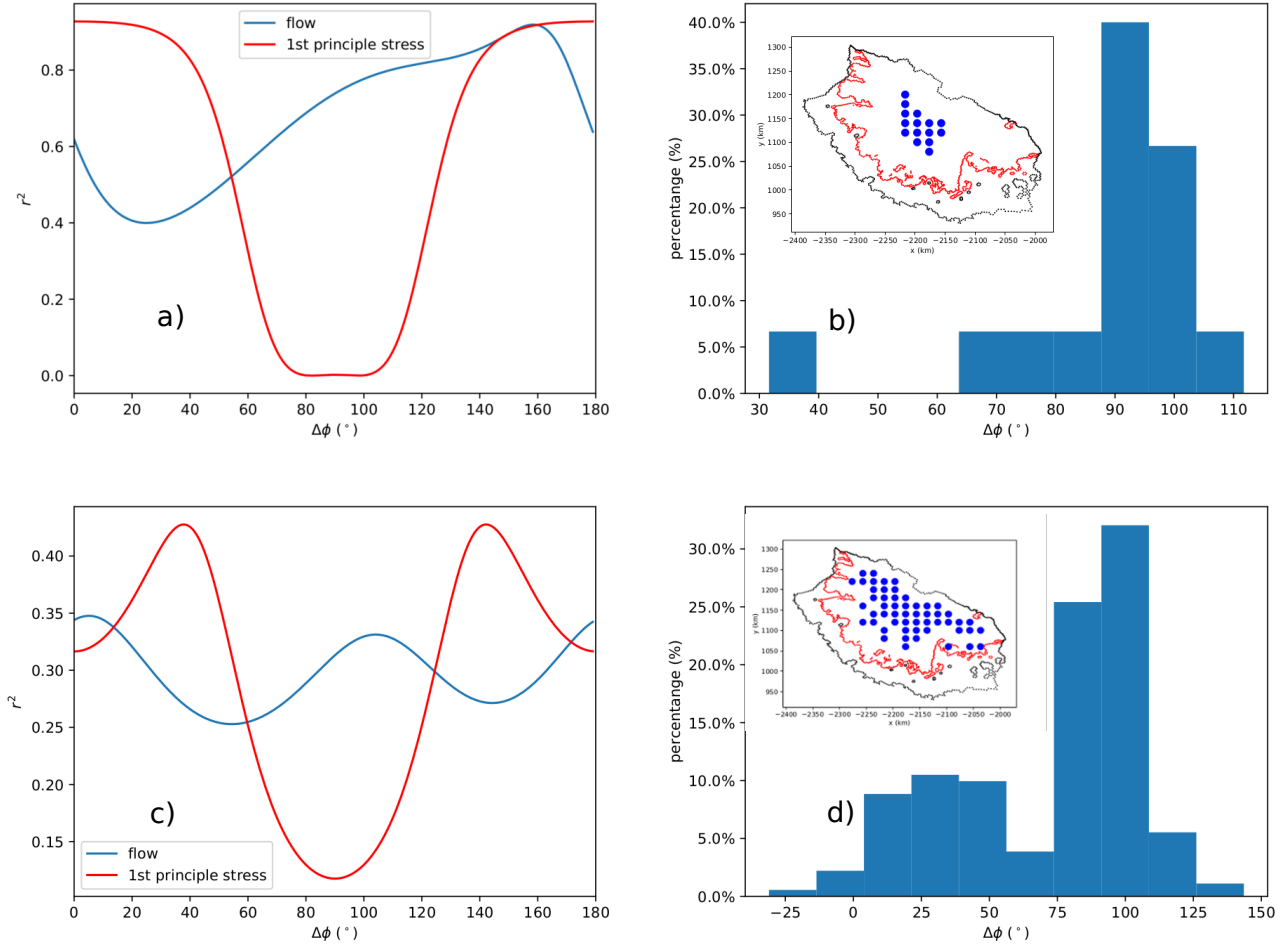




**Fig. 9.** The standard deviations of  $\Psi$  – a metric for how closely a change in normal stress corresponds with a change in velocity – at each perturbation point of the MISMP+ domain, for the case of  $\sigma_{nn} = \sigma_{p1}$  (a) and  $\sigma_{nn} = \sigma_{p2}$  (b).

the stress values (and thus  $N_b$ ) for each perturbation box are averaged over multiple cells, we argue this difference is probably an acceptable error during our calculation.

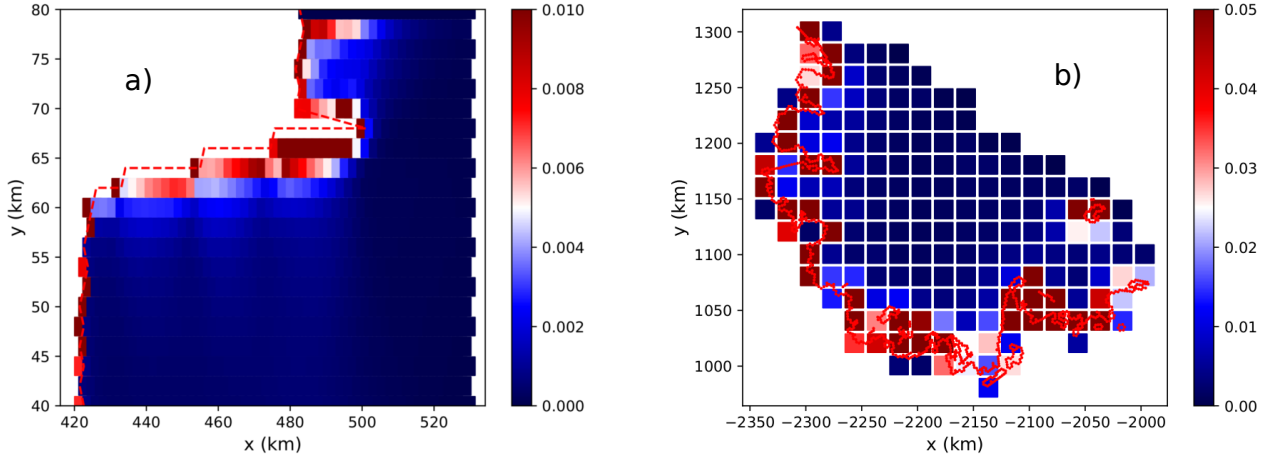
In Figures 10c, d we include points near to the GL in the analysis, which 1) reduces the  $r^2$  values and 2) changes the relationship between the correlation coefficients and the direction aligned with  $\sigma_{p1}$ . Specifically, while the direction aligned with  $\sigma_{p2}$  still gives the worst correlation between  $N_b$  and  $N_r$ , the direction giving the best correlation is rotated by approximately  $40^\circ$  relative the direction associated with  $\sigma_{p1}$ . *SP: I'm not really sure what else we can say about this, other than that it's an indication that using  $\sigma_{p1}$  and  $\sigma_{p2}$  in the calculation of  $N_b$  is probably just more problematic for complex geometries.*



**Fig. 10.** (a, c) The  $N_b$ - $N_r$  regression coefficients for each direction rotated anti-clock-wisely from the  $\sigma_{p1}$  (red) and flow direction (blue); (b, d) The histogram of the angle differences between flow and  $\sigma_{p1}$  directions. The insets in b) and d) show the perturbation boxes (blue circles).

### 318 Impacts of near-GL perturbations

319 For the near-GL perturbations, it is hard to find similar linear regression relationship as discussed above.  
 320 Alternatively, they are largely controlled by the distance between GL and perturbation points and also by  
 321 the geometric features around them. As the perturbation decays over distance (Lick, 1970), the neighboring  
 322 GL cells of those near-GL perturbations will relatively easily detect the perturbation energy. This can be  
 323 verified by looking at the standard deviations of GL velocity change due to each perturbation (Fig 11). For  
 324 perturbations close to GL, their corresponding GL flux changes are in general confined to local regions, while  
 325 in the remote GL sections the velocity changes are often negligible, resulting in large standard deviations.



**Fig. 11.** Standard deviation of velocity change along GL for each perturbation point for the MISIP+ (a) and Larsen C (b) experiment. The red dashed lines (points) are the GLs for MISIP+ (a) and Larsen C (b) .

326 This can possibly cause spatial heterogeneity of GL retreating if the sub-shelf melting is very close to GL  
 327 and is heavily local confined.

328 The propagation of perturbation can also be impacted by the spatial GL geometry, e.g., they can be  
 329 blocked by the local GL. For example, the perturbation at around  $x = 480$  km and  $y = 65$  km in Figure  
 330 11a can not directly impact the ice flow on the other side of the grounded peninsula (e.g.,  $x=485$  km,  
 331  $y=70$ km) in the same way as for it's neighboring cells. This is one of the major factors that complicate  
 332 our diagnostic analysis for real ice shelves (for example, Larsen C) containing complex GL shapes and  
 333 geometries. *SP: Is there any way we could show this? E.g., for the Larsen C test case, could we plot the*  
 334 *local GLF changes for a perturbation that is partly hidden behind an island / penn? We might actually be*  
 335 *able to see the “shadowing” in the case. That is, we might be able to see that the portion of the GL that*  
 336 *is blocked from a perturbation has a GLF that doesn't change much whereas nearby points along the GL*  
 337 *that aren't block do change. I think this would just require plotting some maps from individual perturbation*  
 338 *experiments for locations around some of these types of features.*

### 339 Adjoint sensitivity

340 Another altogether different approach to diagnosing the sensitivity of the flux across the grounding line to  
 341 changes in ice shelf geometry is provided by the adjoint approach. This approach provides results analogous  
 342 to those presented and discussed above but at a greatly reduced cost (and with improved accuracy?): rather

than using the forward model to examine the sensitivity of GLF change to perturbations at each of  $n$  model grid cells, a single adjoint solve is used to deduce those same sensitivities *simultaneously* at all  $n$  grid cells.

*SP: Will need Mauro to fill in some of the technical details here.*

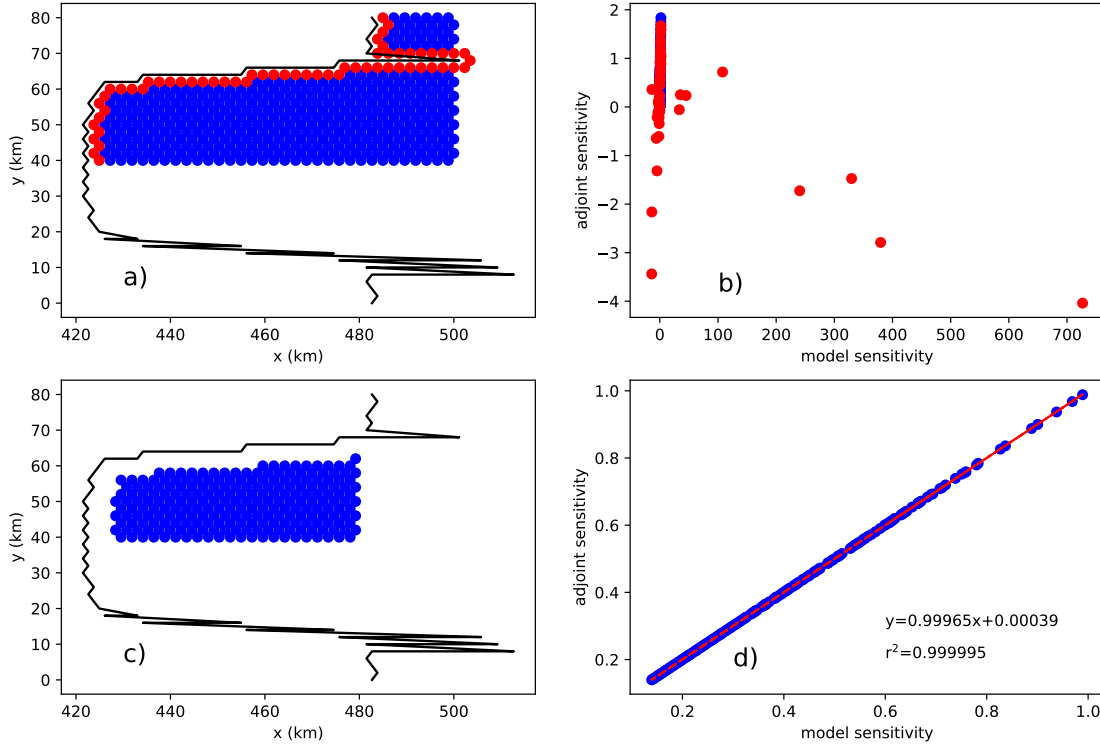
In Figure 12, we provide a demonstration for the case of the MISMP+ domain by comparing sensitivities deduced from  $X$  individual forward model evaluations (i.e., the perturbation experiments discussed above, and analagous to those in Reese and others (2018)) with those deduced from a single model adjoint solve. Here, the “sensitivity” is defined as BLAH. *SP: need more information on techincal deatils here.*

The comparison in Figure 12 demonstrates that, for points in the domain we expect to be comparable, the two approaches provide a near exact match. While we cannot provide definitive proof, we suggest that the disagreement in sensitivity near the GL is likely a result of errors in the forward modeling approach, which prohibit us from controlling errors associated with the choice of a finite perturbation size (other reasons?).

## CONCLUSIONS

From this study we find that the sensitivity of grounding line (GL) flux to melt perturbations beneath ice shelves appears to be linearly related to the buttressing number for certain stress field of the ice flow regime when the perturbations are located near the center of ice shelves. We can divide an ice shelf into three different geometric regions: 1) near GL where the shear margins dominate; 2) near the calving fronts where ice can be considered as “passive” and 3) the central regions of ice shelf. Though it is ambiguous to indentify the boundaries of those three sub-regions, we find that both the shear margins and passive ice regions show very weak linear connections to GL flux changes. The shear margins are strongly impacted by the upstream grounded stream flows and the passive ice shelf basically has negligible contribution to GL dynamics.

The buttressing of ice shelf resists ice flows from upstream. The maximum buttressing number (calculated from the second principle stress  $\sigma_{p2}$ ) is a commonly used metric to quantify the buttressing effects of ice shelf, doesn’t show clear correlations to the changes of GL flux. Among many possible factors we find that the distance away from perturbation locations may be a critical control for perturbation propagation across ice shelves, which is important for understanding the relationships between the stress field of the ice shelf and the GL flux changes. The GL ice speed changes may be more correlated to the changes of the first principle stress ( $\sigma_{p1}$ ) and normal stress along flow ( $\sigma_f$ ) than other stress components, for example,



**Fig. 12.** Grounding line flux sensitivity for the MISMP+ domain calculated from individual perturbation experiments versus derived from a model adjoint (perturbation locations are shown by circles in a and c). Perturbation-experiment (x-axis) and adjoint-derived (y-axis) sensitivities (see text for definition) are plotted against one another in b and d. In a and b, the red circles indicate near-GL ( $<2$  km) perturbation points, which are omitted in the comparison in b and d. The GL in a and c is shown by the black curve. In b and d, the red line is a linear regression between the perturbation-experiment and adjoint-derived sensitivities.

the second principle stress ( $\sigma_{p2}$ ) and the shear stress ( $\sigma_s$ ), indicating that the stress component ( $\sigma_{p2}$ ) that contribute significantly to buttressing is not necessarily related to the propagation of buttressing.

The linear  $N_b$ - $N_r$  relationships presented in this study are based on small (1 m) thickness perturbations. However, it's still unclear if they can stand for large melts at the bottom of ice shelves (**Perhaps we also need to do large perturbation experiments?**). Despite the progress we have made in this study, we suggest to apply a fully-developed perturbation propagation model for further understanding the physics of GL flux changes under ocean forcings.

## ACKNOWLEDGEMENT

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## 438 APPENDIX