

# Lecture 07

## CSE 1201 : Data Structure

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### Insertion Sort

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# Lecture 02 : Insertion Sort

## The Sorting Problem

**Input:** A sequence of  $n$  numbers  $[a_1, a_2, \dots, a_n]$ .

**Output:** A permutation or reordering  $[a'_1, a'_2, \dots, a'_n]$  of the input sequence such that  $a'_1 \leq a'_2 \leq \dots \leq a'_n$ .

An instance of the Sorting Problem:

**Input:** A sequence of 6 number  $[31, 41, 59, 26, 41, 58]$ .

Expected output for given instance:

**Expected**

**Output:** The permutation of the input  $[26, 31, 41, 41, 58, 59]$ .

# Insertion Sort

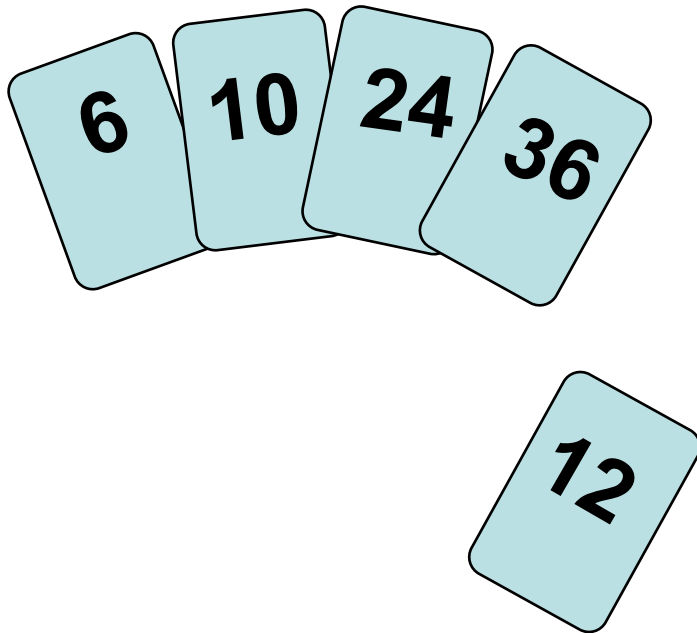
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- Idea: like sorting a hand of playing cards
  - Start with an empty left hand and the cards facing down on the table.
  - Remove one card at a time from the table, and insert it into the correct position in the left hand
    - compare it with each of the cards already in the hand, from right to left
  - The cards held in the left hand are sorted
    - these cards were originally the top cards of the pile on the table

# Insertion Sort

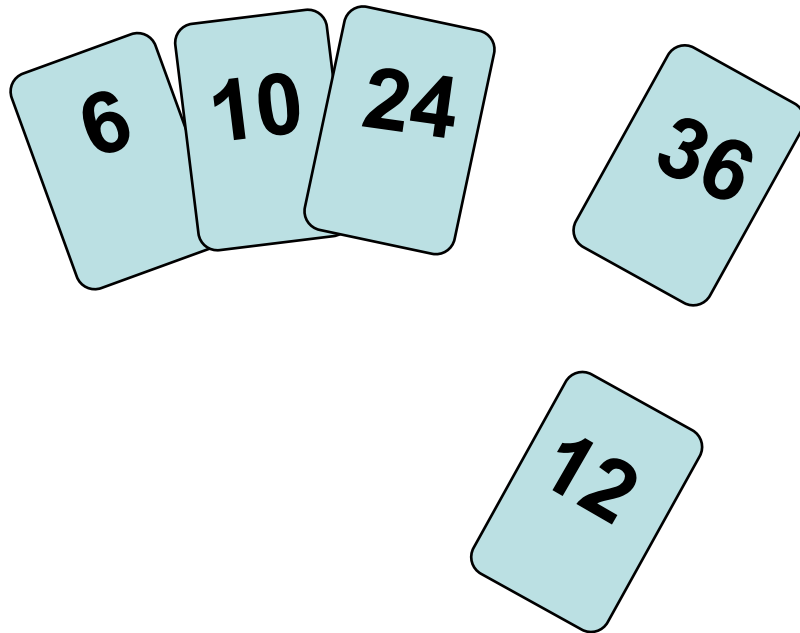
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**To insert 12, we need to make room for it by moving first 36 and then 24.**



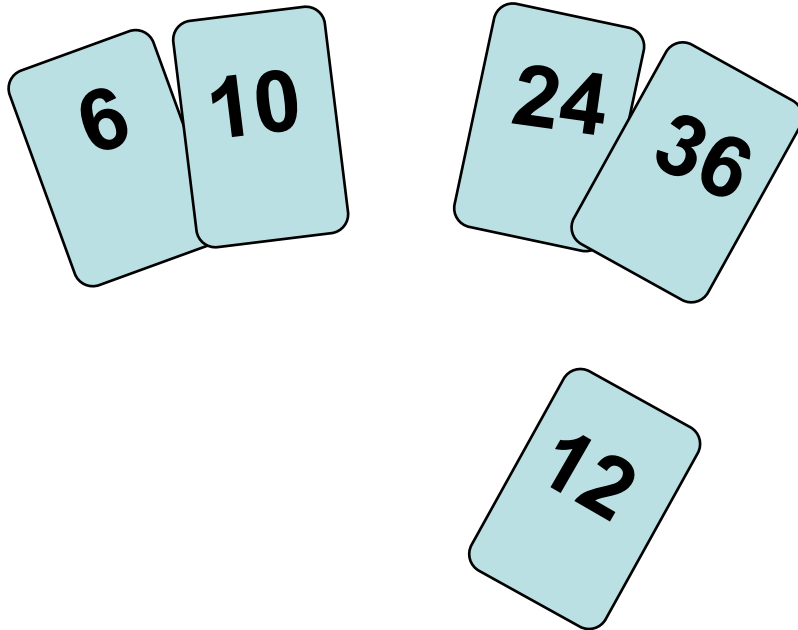
# Insertion Sort

---



# Insertion Sort

---



# Insertion Sort

---

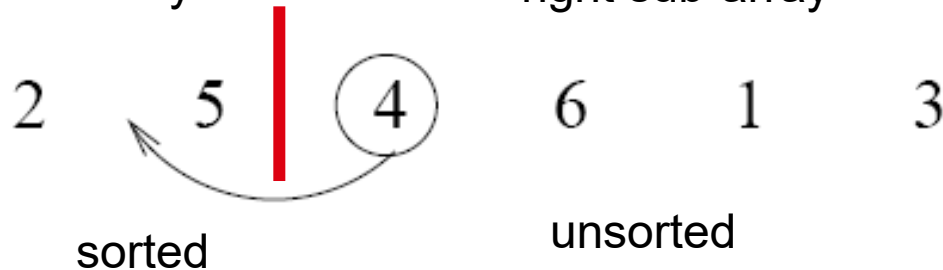
input array

5    2    4    6    1    3

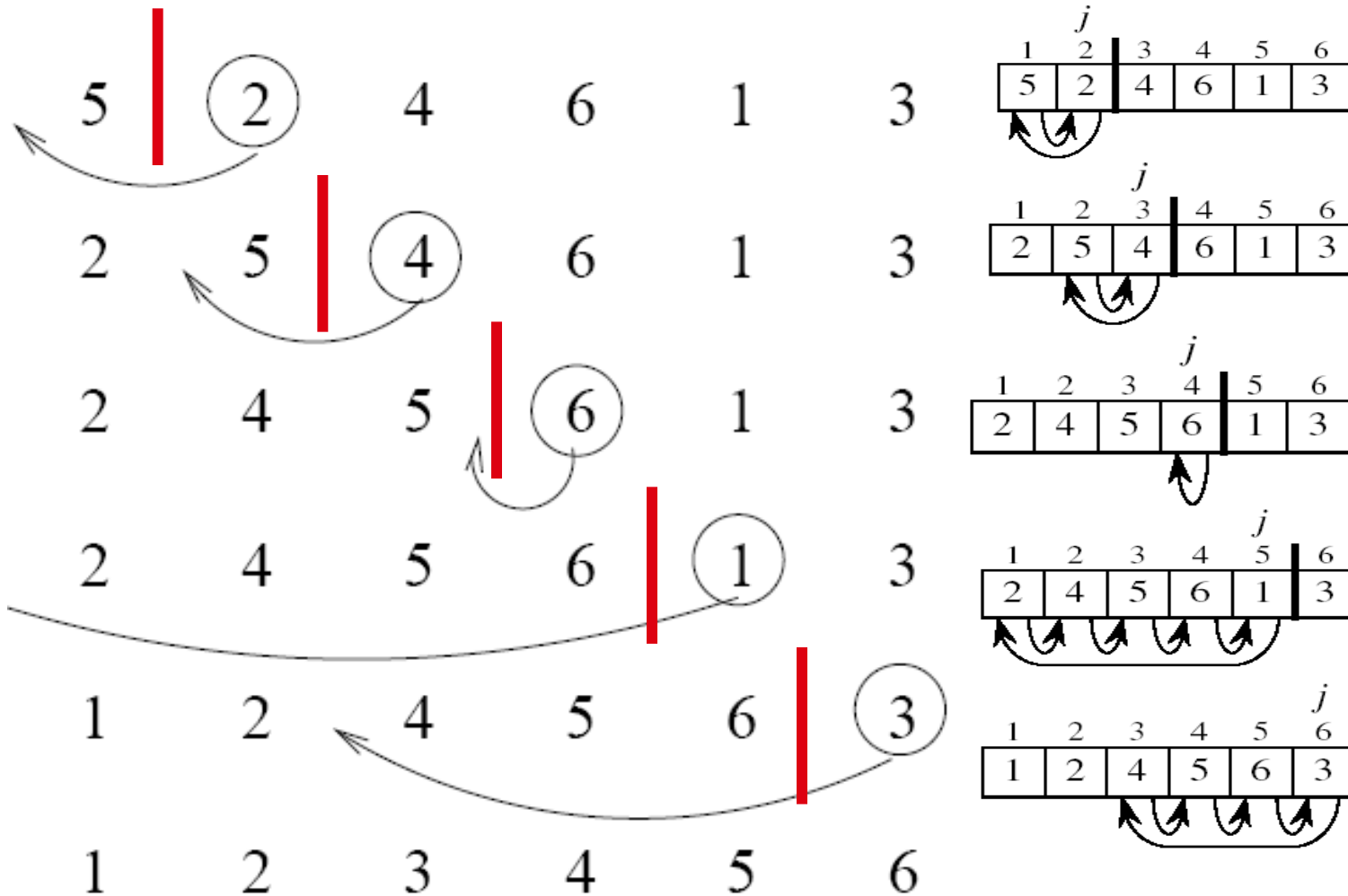
at each iteration, the array is divided in two sub-arrays:

left sub-array

right sub-array



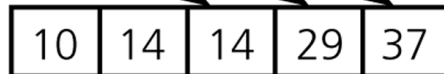
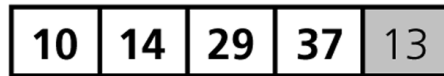
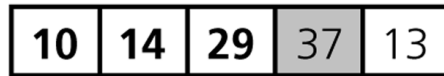
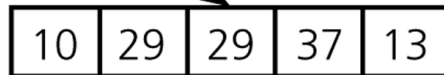
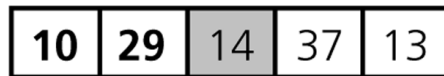
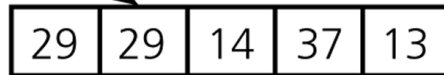
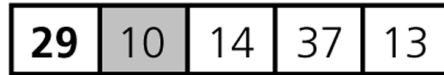
# Insertion Sort



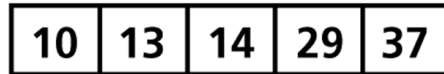


# Lecture 02 : Insertion Sort

Initial array:



Sorted array:



Copy 10

Shift 29

Insert 10; copy 14

Shift 29

Insert 14; copy 37, insert 37 on top of itself

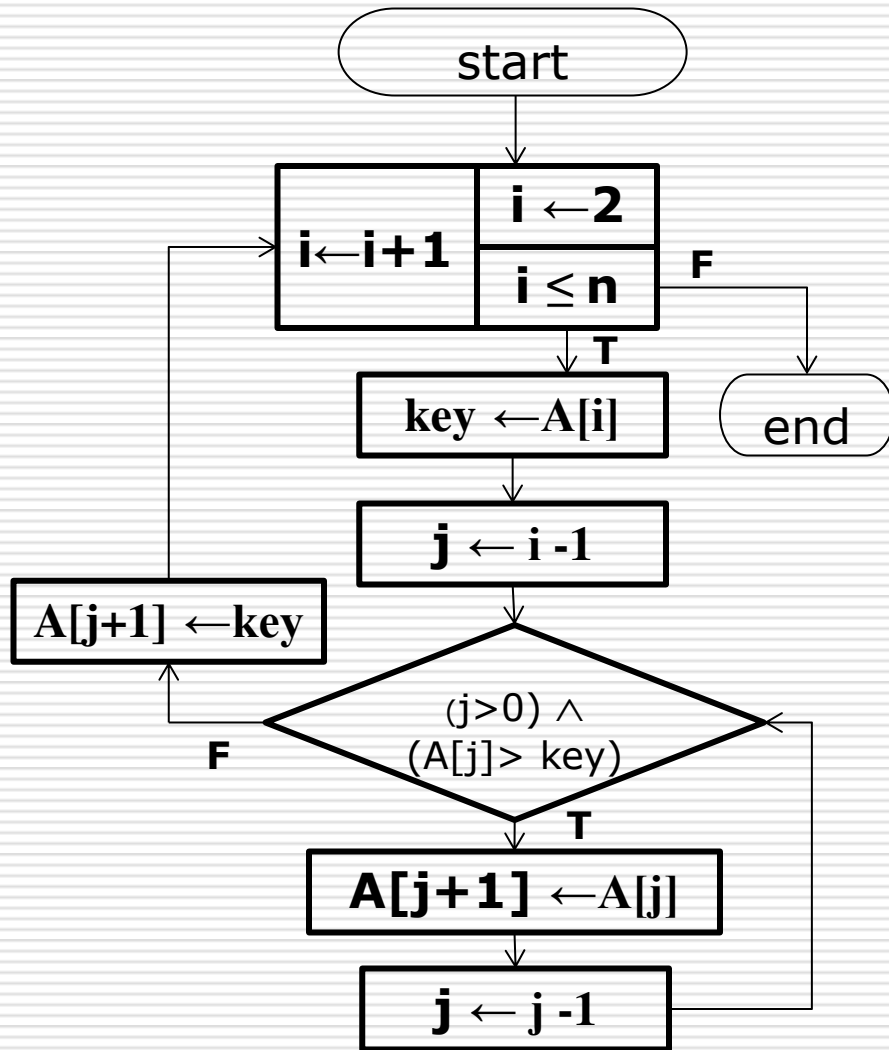
Copy 13

Shift 37, 29, 14

Insert 13

An insertion sort of an array of five integers

# Lecture 02 : Insertion Sort



Flowchart

```
InsertionSort(A, n) {
    for i = 2 to n {
        key = A[i]
        j = i - 1;
        while (j > 0) and
            (A[j] > key) {
            A[j+1] = A[j]
            j = j - 1
        }
        A[j+1] = key
    }
}
```

Coding

# Lecture 02 : Insertion Sort

## An Example: Insertion Sort

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InsertionSort(A, n) {  
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            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

# Lecture 02 : Insertion Sort

## An Example: Insertion Sort

30	10	40	20
1	2	3	4

$i = \emptyset$	$j = \emptyset$	$key = \emptyset$
$A[j] = \emptyset$	$A[j+1] = \emptyset$	



```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
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            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

# Lecture 02 : Insertion Sort

## An Example: Insertion Sort

30	10	40	20
1	2	3	4
↑	↑		
<b>j</b>	<b>i</b>		

$i = 2$	$j = 1$	$key = 10$
$A[j] = 30$	$A[j+1] = 10$	



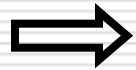
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}
```

# Lecture 02 : Insertion Sort

## An Example: Insertion Sort

30	30	40	20
1	2	3	4
↑	↑		
<b>j</b>	<b>i</b>		

$i = 2$	$j = 1$	$key = 10$
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}
```

# Lecture 02 : Insertion Sort

## An Example: Insertion Sort

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1	2	3	4
↑	↑		
<b>j</b>	<b>i</b>		

$i = 2$	$j = 1$	$key = 10$
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        }  
        A[j+1] = key  
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}
```

# Lecture 02 : Insertion Sort

## An Example: Insertion Sort

	30	30	40	20
0	1	2	3	4
↑		↑		
<b>j</b>		<b>i</b>		

$i = 2$	$j = 0$	$\text{key} = 10$
$A[j] = \emptyset$	$A[j+1] = 30$	



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}
```



# Lecture 02 : Insertion Sort

## An Example: Insertion Sort


30	30	40	20
----	----	----	----

0    1    2    3    4

↑  
**j**

↑  
**i**

$i = 2$	$j = 0$	$\text{key} = 10$
$A[j] = \emptyset$	$A[j+1] = 30$	



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InsertionSort(A, n) {  
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```

# Lecture 02 : Insertion Sort

## An Example: Insertion Sort

10	30	40	20	
0	1	2	3	4
↑		↑		
<b>j</b>		<b>i</b>		

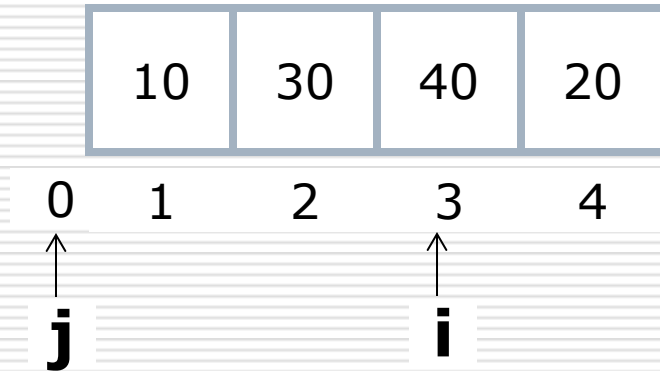
$i = 2$	$j = 0$	$key = 10$
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            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```



# Lecture 02 : Insertion Sort

## An Example: Insertion Sort



$i = 3$	$j = 0$	$\text{key} = 10$
$A[j] = \emptyset$	$A[j+1] = 10$	



```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

# An Example: Insertion Sort

10	30	40	20
----	----	----	----

0    1    2    3    4

↑  
**j**

↑  
**i**

$i = 3$	$j = 0$	$\text{key} = 40$
$A[j] = \emptyset$	$A[j+1] = 10$	



```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
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            j = j - 1  
        }  
        A[j+1] = key  
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}
```

# An Example: Insertion Sort

	10	30	40	20
0	1	2	3	4
↑			↑	
<b>j</b>			<b>i</b>	

$i = 3$	$j = 0$	$key = 40$
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# An Example: Insertion Sort

	10	30	40	20
0	1	2	3	4
		<b>j</b>	<b>i</b>	

$i = 3$	$j = 2$	$key = 40$
$A[j] = 30$	$A[j+1] = 40$	




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InsertionSort(A, n) {  
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10	30	40	20	
0	1	2	3	4
		↑	↑	
		<b>j</b>	<b>i</b>	

$i = 3$	$j = 2$	$key = 40$
$A[j] = 30$	$A[j+1] = 40$	

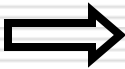


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# An Example: Insertion Sort

	10	30	40	20
0	1	2	3	4
		j	i	

$i = 3$	$j = 2$	$\text{key} = 40$
$A[j] = 30$	$A[j+1] = 40$	



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# An Example: Insertion Sort

	10	30	40	20
0	1	2	3	4
		↑		↑
		<b>j</b>		<b>i</b>

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	10	30	40	20
0	1	2	3	4
		↑		↑
		<b>j</b>		<b>i</b>

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# An Example: Insertion Sort

	10	30	40	20
0	1	2	3	4
			j	i

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# An Example: Insertion Sort

	10	30	40	20
0	1	2	3	4
			j	i

$i = 4$	$j = 3$	$key = 20$
$A[j] = 40$	$A[j+1] = 20$	

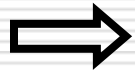


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# An Example: Insertion Sort

	10	30	40	40
0	1	2	3	4
			j	i

$i = 4$	$j = 3$	$key = 20$
$A[j] = 40$	$A[j+1] = 40$	

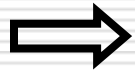


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			j	i

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# An Example: Insertion Sort

	10	30	40	40
0	1	2	3	4
			<b>j</b>	<b>i</b>

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# An Example: Insertion Sort

	10	30	40	40
0	1	2	3	4
		↑ <b>j</b>		↑ <b>i</b>

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# An Example: Insertion Sort

	10	30	40	40
0	1	2	3	4
		↑		↑
		<b>j</b>		<b>i</b>

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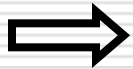


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	10	30	30	40
0	1	2	3	4
		↑ <b>j</b>		↑ <b>i</b>

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# An Example: Insertion Sort

	10	30	30	40
0	1	2	3	4
		↑		↑
		<b>j</b>		<b>i</b>

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# An Example: Insertion Sort

	10	30	30	40
0	1	2	3	4
	↑			↑
	<b>j</b>			<b>i</b>

$i = 4$	$j = 1$	$key = 20$
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# An Example: Insertion Sort

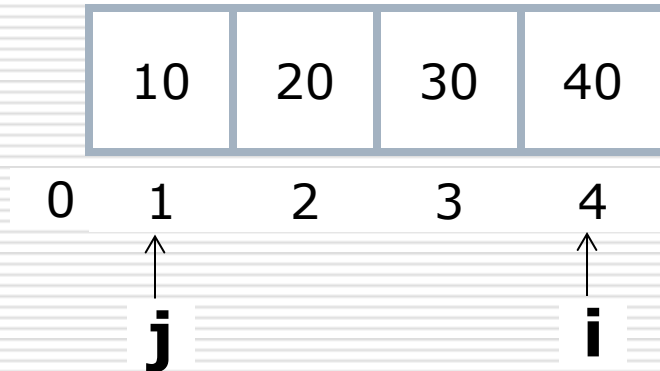
	10	30	30	40
0	1	2	3	4
	↑			↑
	<b>j</b>			<b>i</b>

$i = 4$	$j = 1$	$key = 20$
$A[j] = 10$	$A[j+1] = 30$	

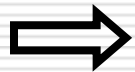


```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

# An Example: Insertion Sort



$i = 4$	$j = 1$	$\text{key} = 20$
$A[j] = 10$	$A[j+1] = 20$	



```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```

# An Example: Insertion Sort

	10	20	30	40
0	1	2	3	4
	↑			↑
	<b>j</b>			<b>i</b>

$i = 4$	$j = 1$	$key = 20$
$A[j] = 10$	$A[j+1] = 20$	

Done!

```
InsertionSort(A, n) {  
    for i = 2 to n {  
        key = A[i]  
        j = i - 1;  
        while (j > 0) and (A[j] > key) {  
            A[j+1] = A[j]  
            j = j - 1  
        }  
        A[j+1] = key  
    }  
}
```



Statement	Cost	Times
InsertionSort(A, n) {		
for i = 2 to n {	$c_1$	$n$
key = A[i]	$c_2$	$(n-1)$
j = i - 1;	$c_3$	$(n-1)$
while (j > 0) and (A[j] > key) {	$c_4$	$T_x$
A[j+1] = A[j]	$c_5$	$T_y$
j = j - 1	$c_6$	$T_y$
}	0	
A[j+1] = key	$c_7$	$(n-1)$
}	0	
}		

$i = 2, 3, 4, \dots, n$

$T_x = 1+1, 2+1, 3+1, \dots, (n-1)+1 = 2+3+4+\dots+n = [n(n+1)/2] - 1$

$T_y = 1, 2, 3, \dots, (n-1) = 1+2+3+\dots+(n-1) = n(n-1)/2$

Total Time =  $c_1 * n + (c_2 + c_3) * (n-1) + c_4 * T_x + (c_5 + c_6) * T_y + c_7 * (n-1)$

# Best-case Analysis

$$\text{Total Time} = c_1 * n + (c_2 + c_3) * (n-1) + c_4 * T_x + (c_5 + c_6) * T_y + c_7 * (n-1)$$

$$\begin{aligned} T(n) &= c_1 n + c_2 (n-1) + c_3 (n-1) + c_4 (n-1) + c_5 * 0 + c_6 * 0 + c_7 (n-1) \\ &= (c_1 + c_2 + c_3 + c_4 + c_7) n + (c_2 + c_3 + c_4 + c_7) \\ &= an + b \end{aligned}$$

We can express this running time as  $an + b$  for *constants*  $a$  and  $b$  that depend on the statement costs  $c_i$ ; it is thus a *linear function* of  $n$ .

- Least amount of (time) resource ever needed by algorithm
- Achieved when incoming list is **already sorted** in increasing order
- Inner loop is never iterated

# Worse-case Analysis

$i = 2, 3, 4, \dots, n$

$T_x = 1+1, 2+1, 3+1, \dots, (n-1)+1 = 2+3+4+\dots+n = [n(n+1)/2] - 1$

$T_y = 1, 2, 3, \dots, (n-1) = 1+2+3+\dots+(n-1) = n(n-1)/2$

Total Time =  $c_1 * n + (c_2 + c_3) * (n-1) + c_4 * T_x + (c_5 + c_6) * T_y + c_7 * (n-1)$

$$T(n) = c_1 n + c_2 (n-1) + c_3 (n-1) + c_4 \left[ \frac{n(n+1)}{2} - 1 \right] + c_5 \left[ \frac{n(n-1)}{2} \right] + c_6 \left[ \frac{n(n-1)}{2} \right] + c_7 (n-1)$$

$$= \left( \frac{c_4}{2} + \frac{c_5}{2} + \frac{c_6}{2} \right) n^2 + (c_1 + c_2 + c_3 + \frac{c_4}{2} - \frac{c_5}{2} - \frac{c_6}{2} + c_7) n - (c_2 + c_3 + c_4 + c_7)$$

$$= an^2 + bn + c$$

We can express this worst-case running time as  $an^2 + bn + c$  for constants  $a$ ,  $b$ , and  $c$  that again depend on the statement costs  $c_i$ ; it is thus a *quadratic function* of  $n$ .

- Greatest amount of (time) resource ever needed by algorithm
- Achieved when incoming list is in **reverse order**
- Inner loop is iterated the maximum number of times