

CSE 1201

Merge Sort

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MergeSort

- MergeSort is a *divide and conquer* method of sorting

MergeSort Algorithm

- To sort an array of n elements, we perform the following steps in sequence:
- If $n < 2$ then the array is already sorted.
- Otherwise, $n > 1$, and we perform the following three steps in sequence:
 1. **Sort** the left half of the the array using MergeSort.
 2. **Sort** the right half of the the array using MergeSort.
 3. **Merge** the sorted left and right halves.

How to Merge

Here are two lists to be merged:

First: (12, 16, 17, 20, 21, 27)

Second: (9, 10, 11, 12, 19)

Compare 12 and 9

First: (12, 16, 17, 20, 21, 27)

Second: (10, 11, 12, 19)

New: (9)

Compare 12 and 10

First: (12, 16, 17, 20, 21, 27)

Second: (11, 12, 19)

New: (9, 10)

Merge Example

Compare 12 and 11

First: (12, 16, 17, 20, 21, 27)

Second: (12, 19)

New: (9, 10, 11)

Compare 12 and 12

First: (16, 17, 20, 21, 27)

Second: (12, 19)

New: (9, 10, 11, 12)

Merge Example

Compare 16 and 12

First: (16, 17, 20, 21, 27)

Second: (19)

New: (9, 10, 11, 12, 12)

Compare 16 and 19

First: (17, 20, 21, 27)

Second: (19)

New: (9, 10, 11, 12, 12, 16)

Merge Example

Compare 17 and 19

First: (20, 21, 27)

Second: (19)

New: (9, 10, 11, 12, 12, 16, 17)

Compare 20 and 19

First: (20, 21, 27)

Second: ()

New: (9, 10, 11, 12, 12, 16, 17, 19)

Merge Example

Checkout 20 and empty list

First: ()

Second: ()

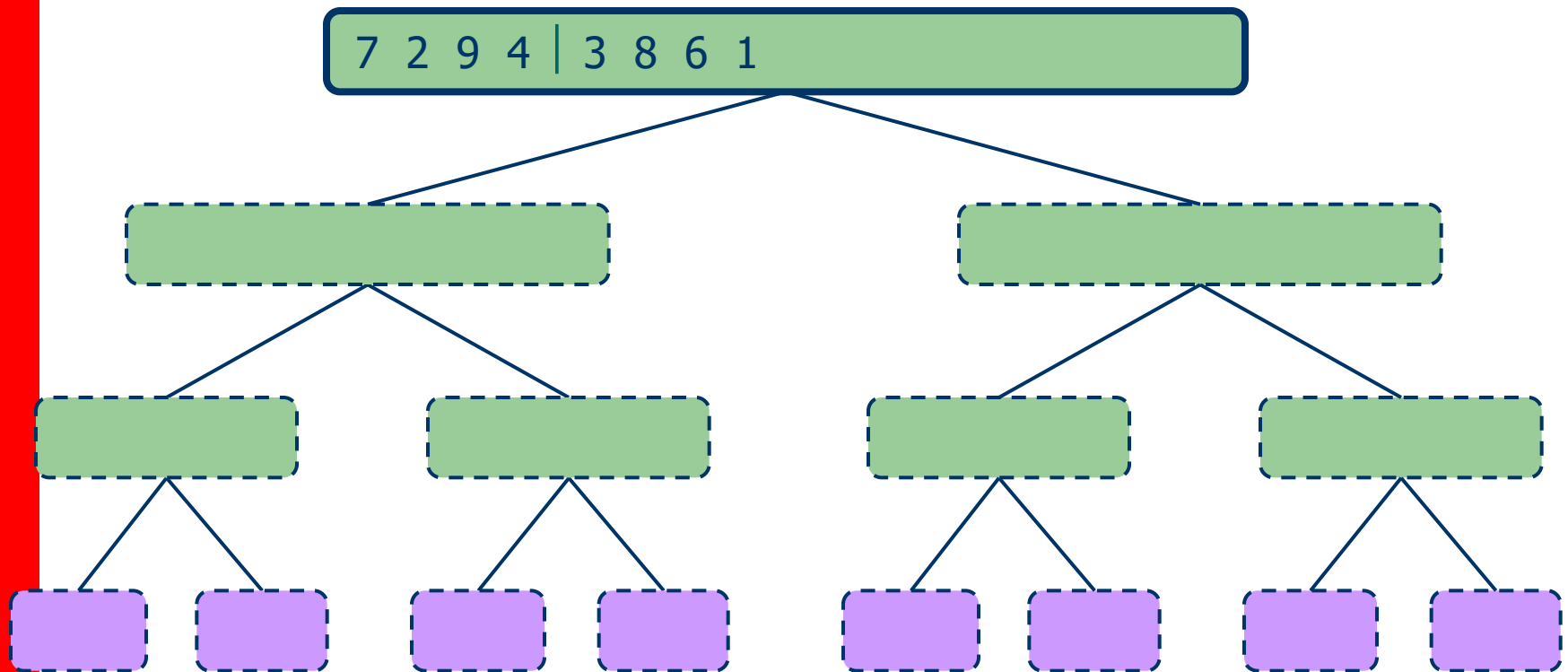
New: (9, 10, 11, 12, 12, 16, 17, 19, 20, 21, 27)

MergeSort

Original	24	13	26	1	12	27	38	15							
Divide in 2	24	13	26	1		12	27	38	15						
Divide in 4	24	13		26	1		12	27		38	15				
Divide in 8	24		13		26		1		12		27		38		15
Merge 2	13	24			1	26			12	27			15	38	
Merge 4	1	13	24	26					12	15	27	38			
Merge 8	1	12	13	15	24	26	27	38							

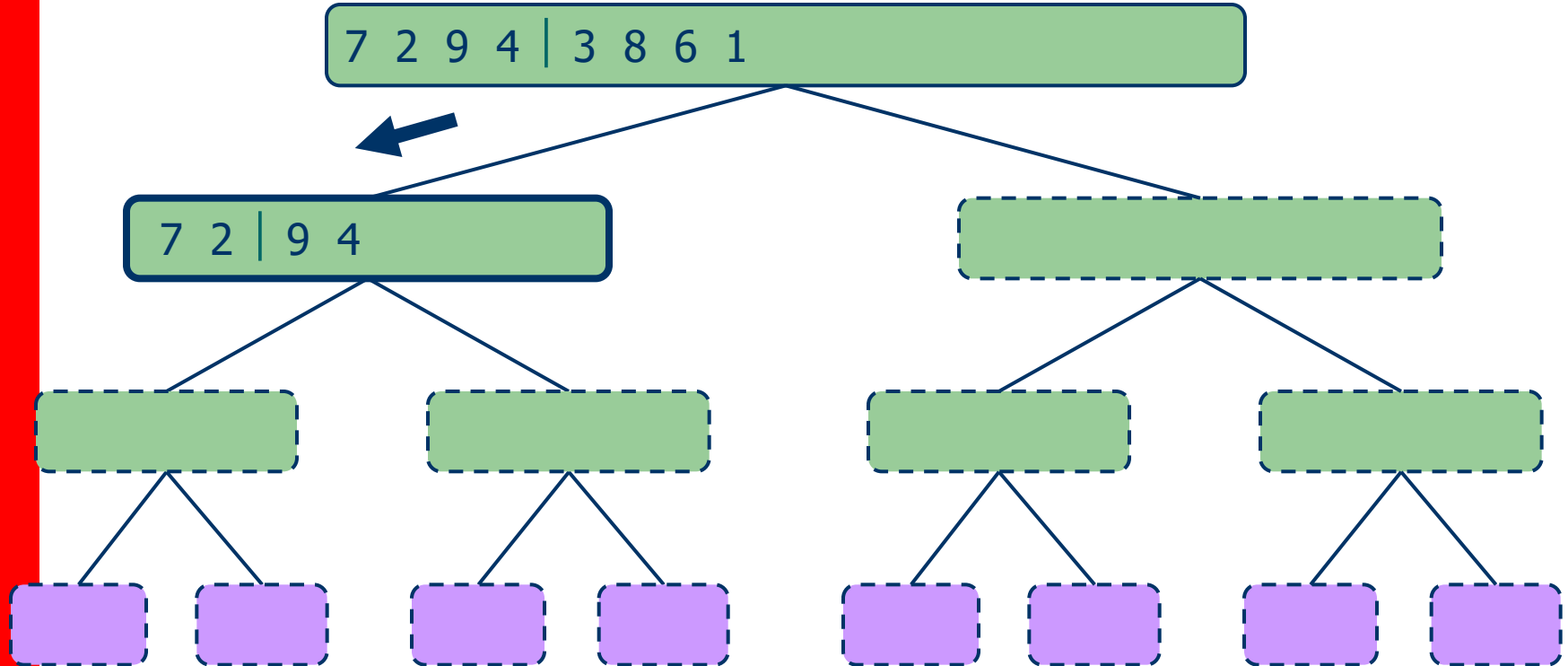
Execution Example

- Partition



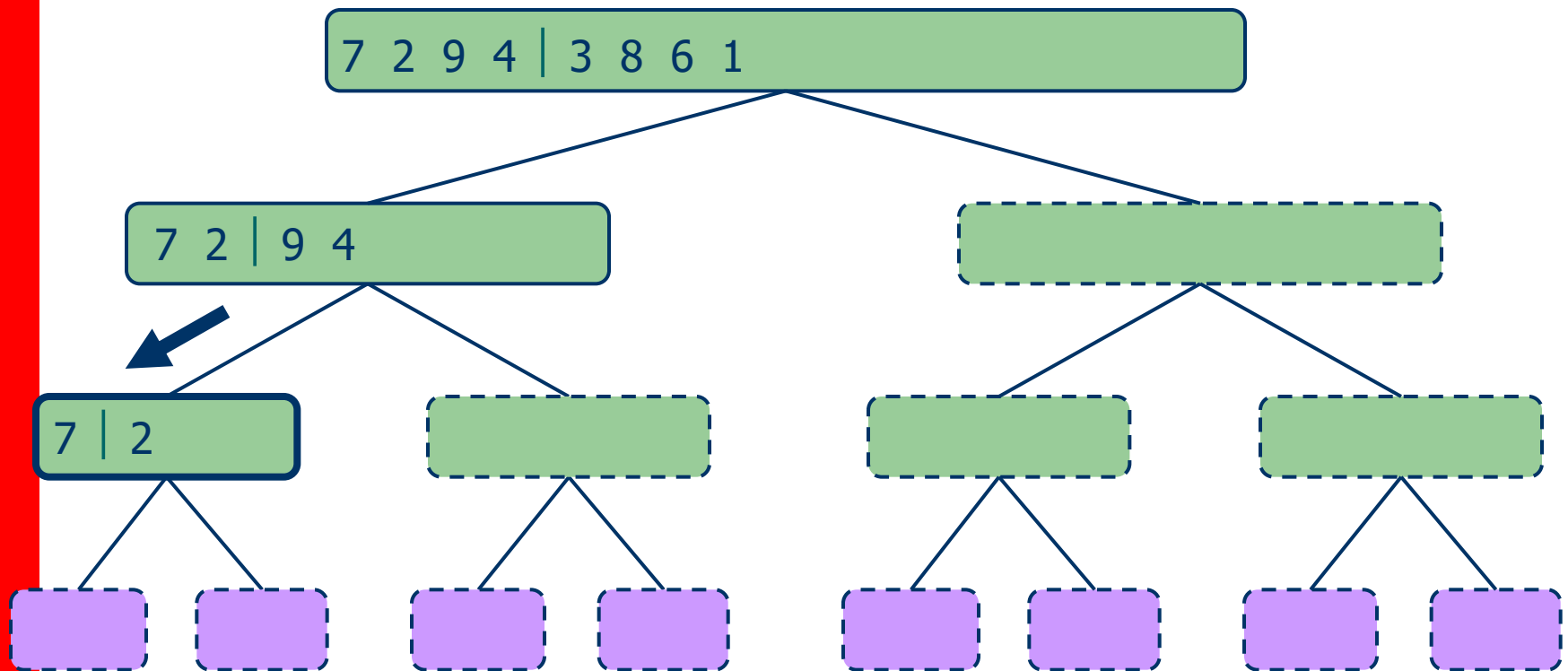
Execution Example (cont.)

- Recursive call, partition



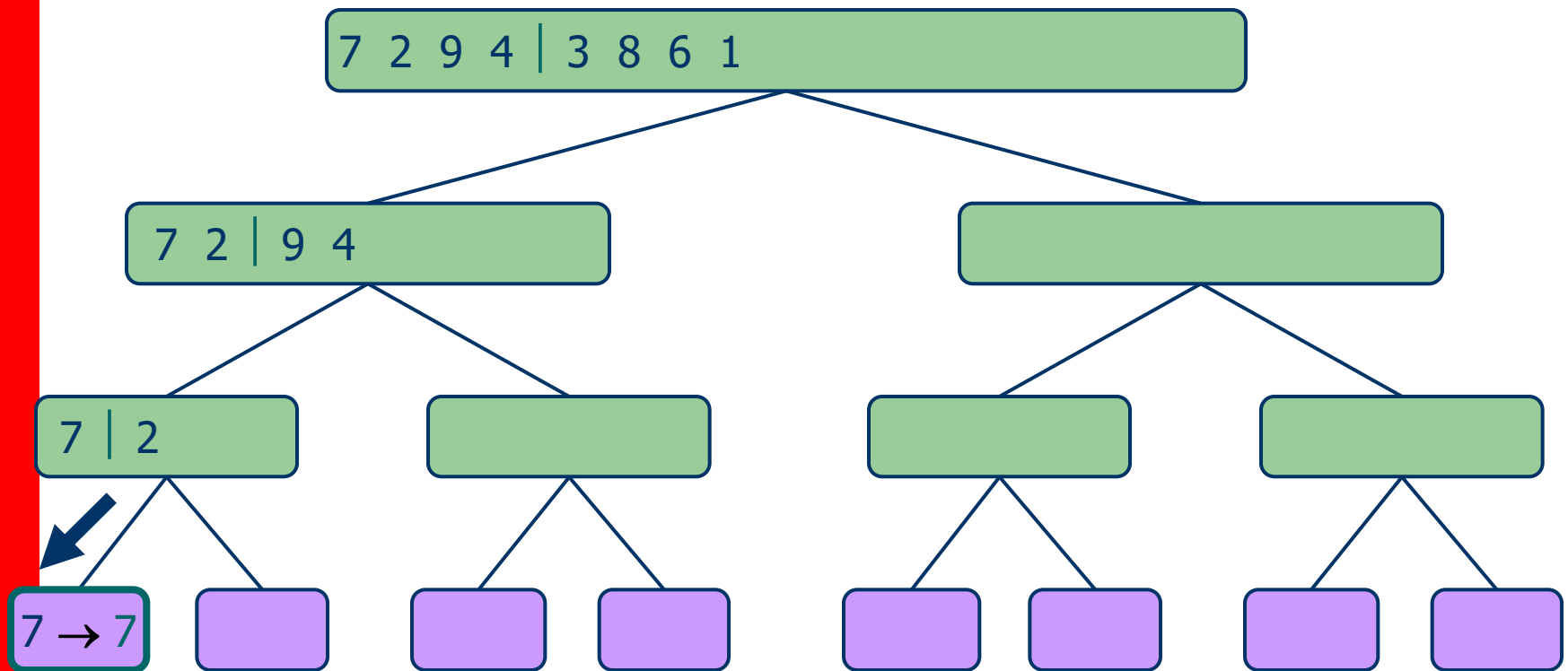
Execution Example (cont.)

- Recursive call, partition



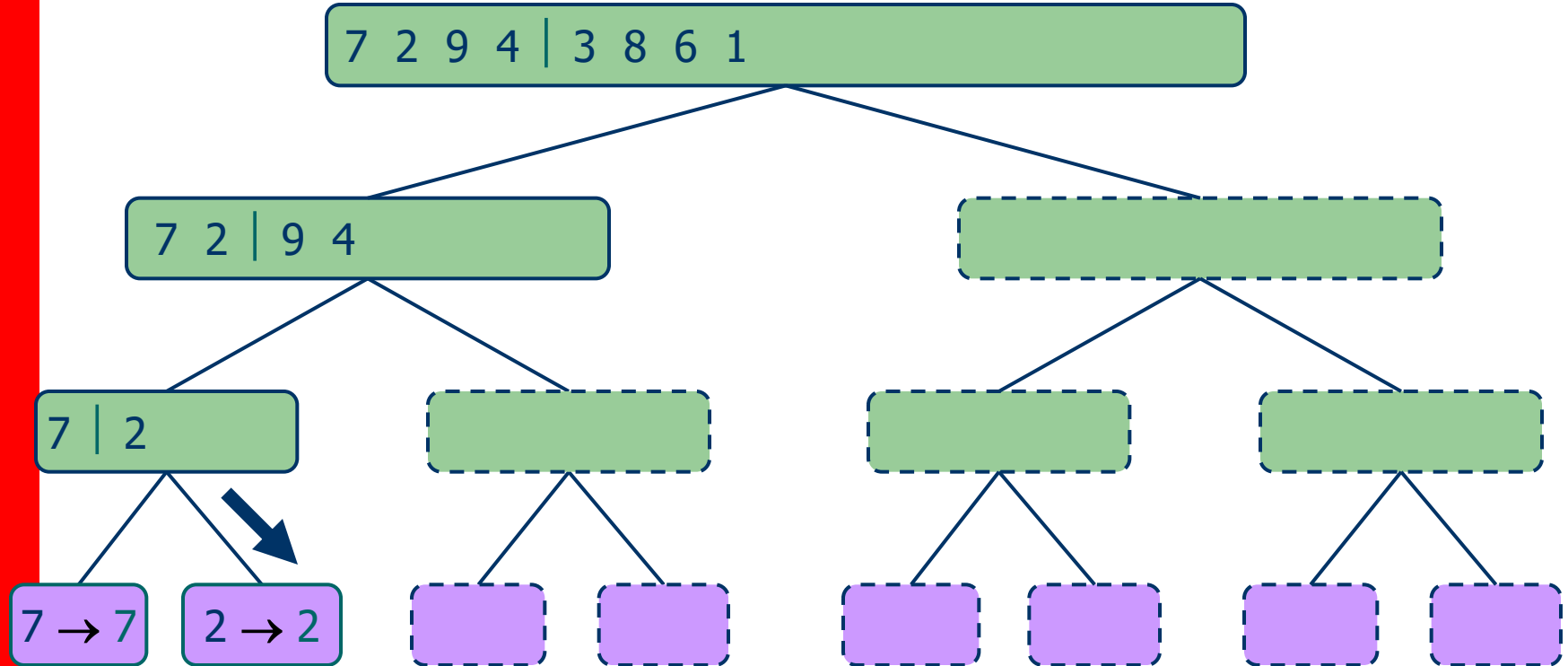
Execution Example (cont.)

- Recursive call, base case



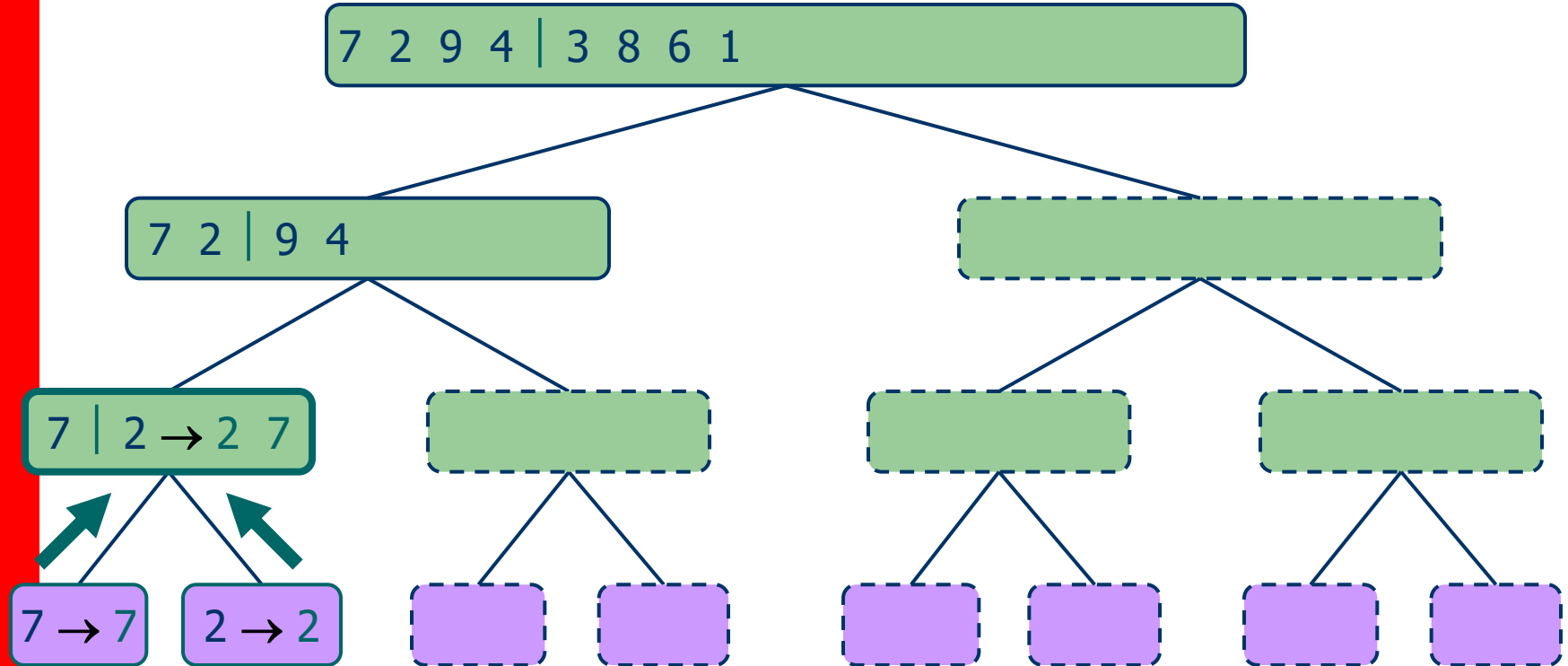
Execution Example (cont.)

- Recursive call, base case



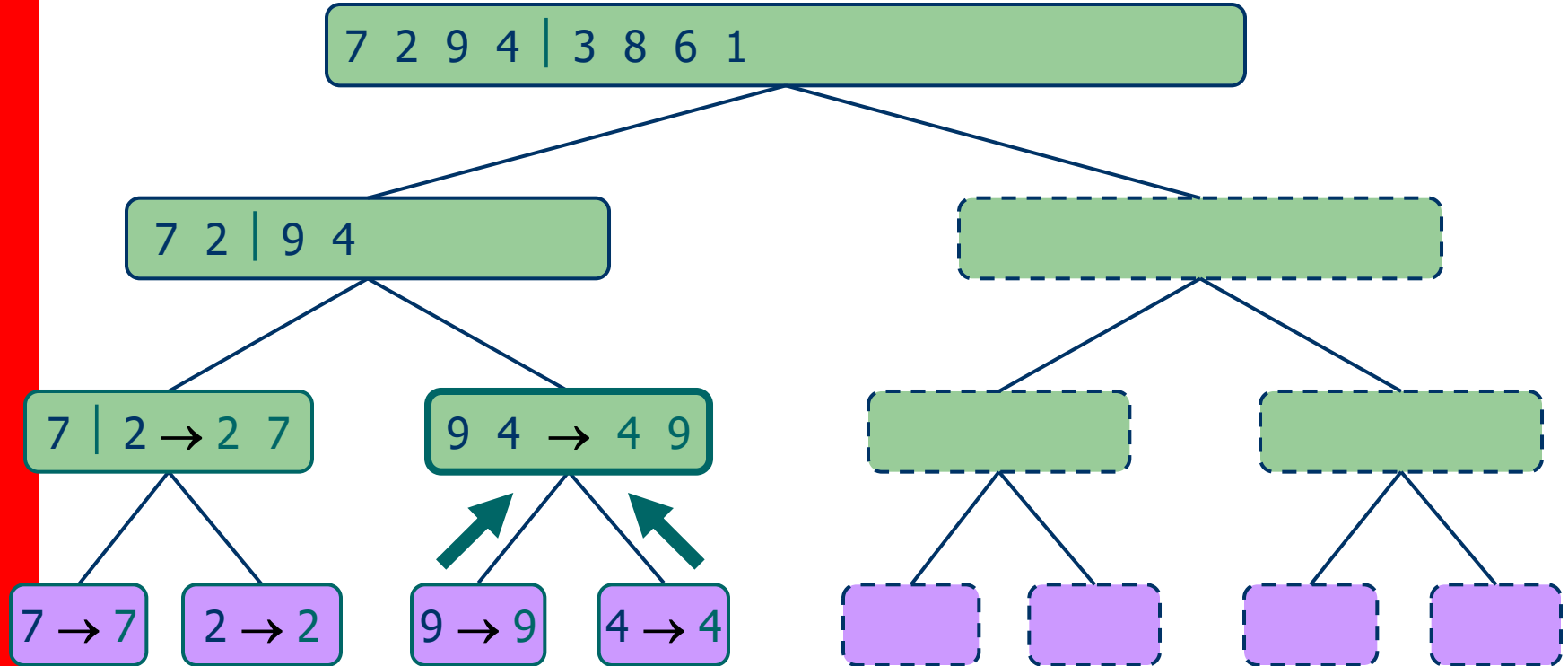
Execution Example (cont.)

- Merge



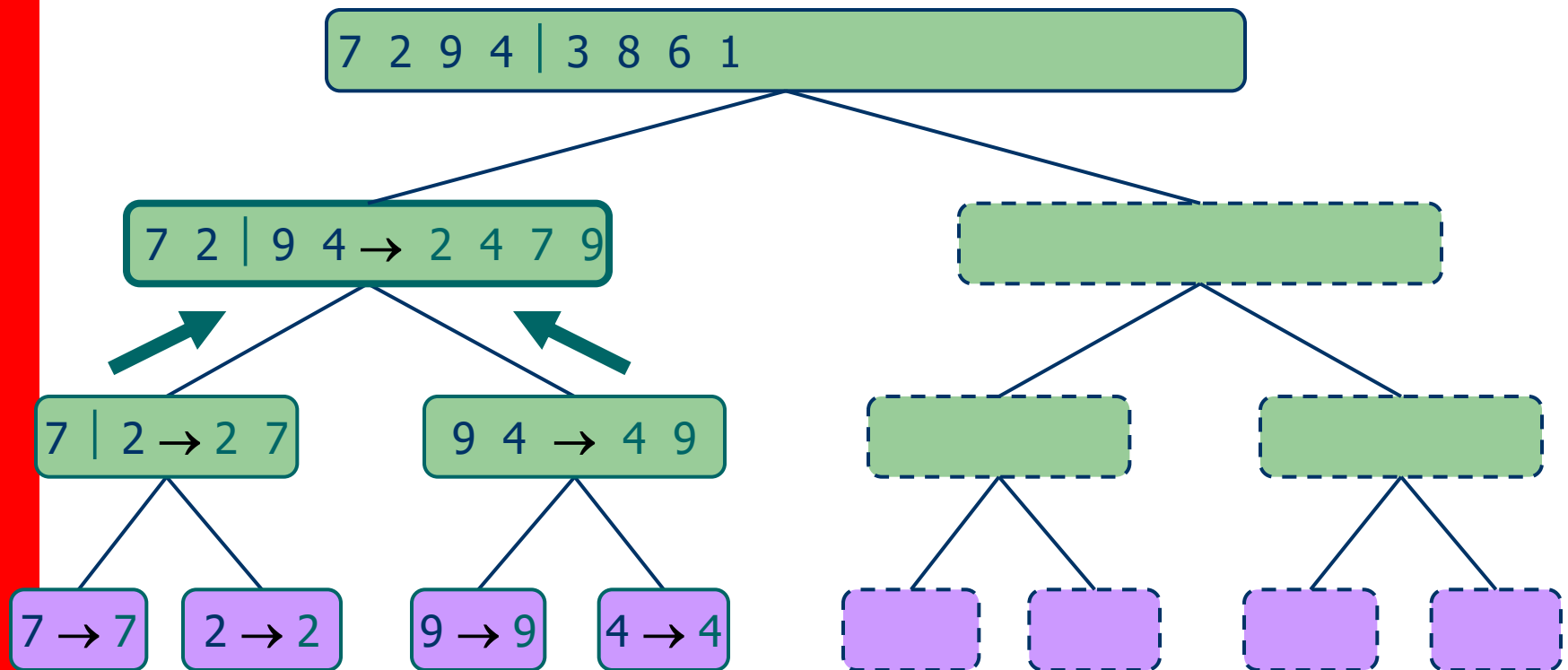
Execution Example (cont.)

- Recursive call, ..., base case, merge



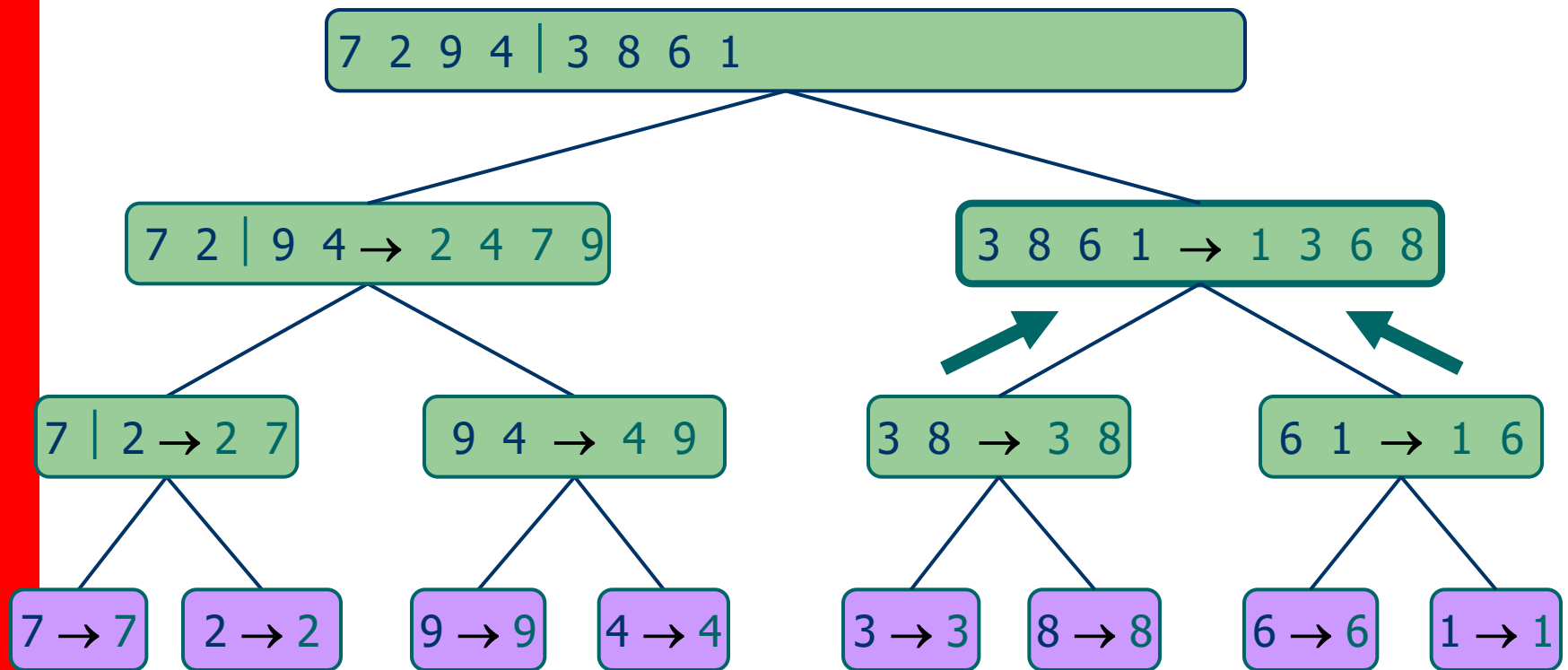
Execution Example (cont.)

- Merge



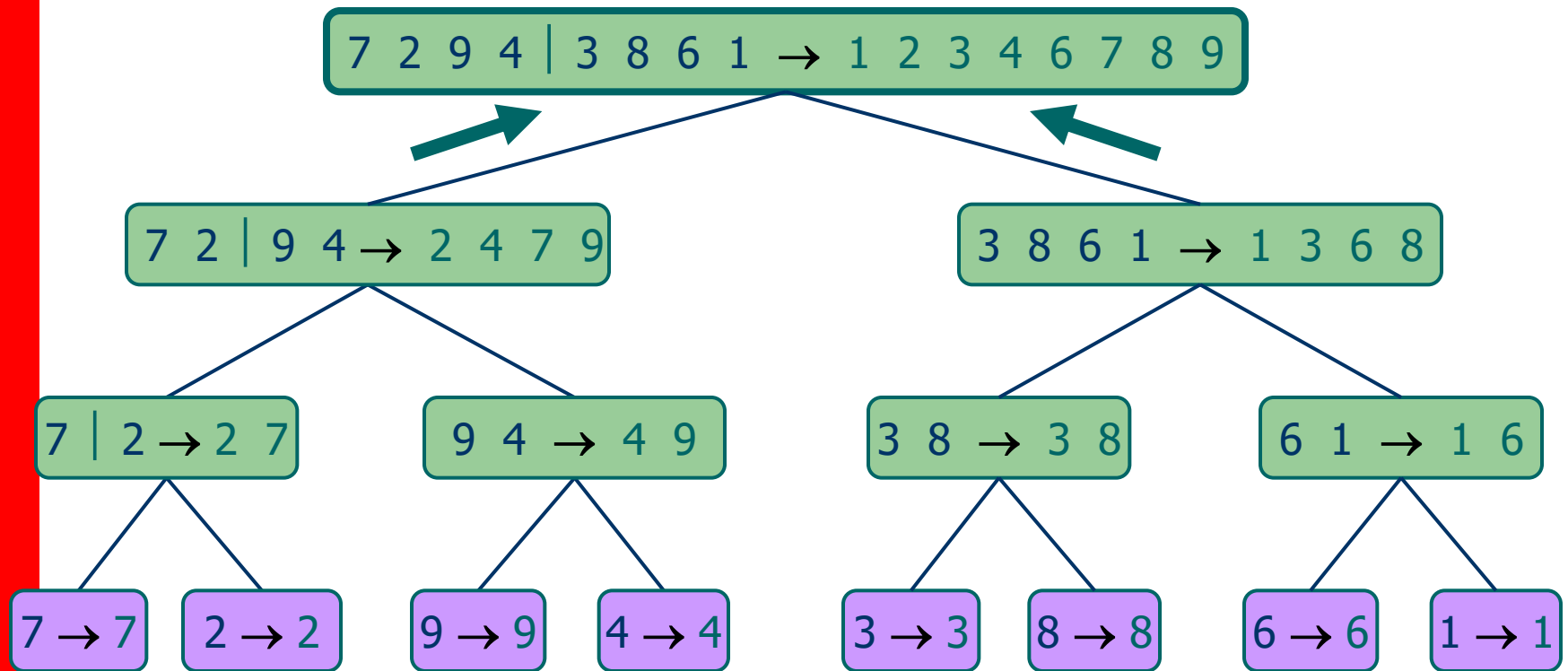
Execution Example (cont.)

- Recursive call, ..., merge, merge

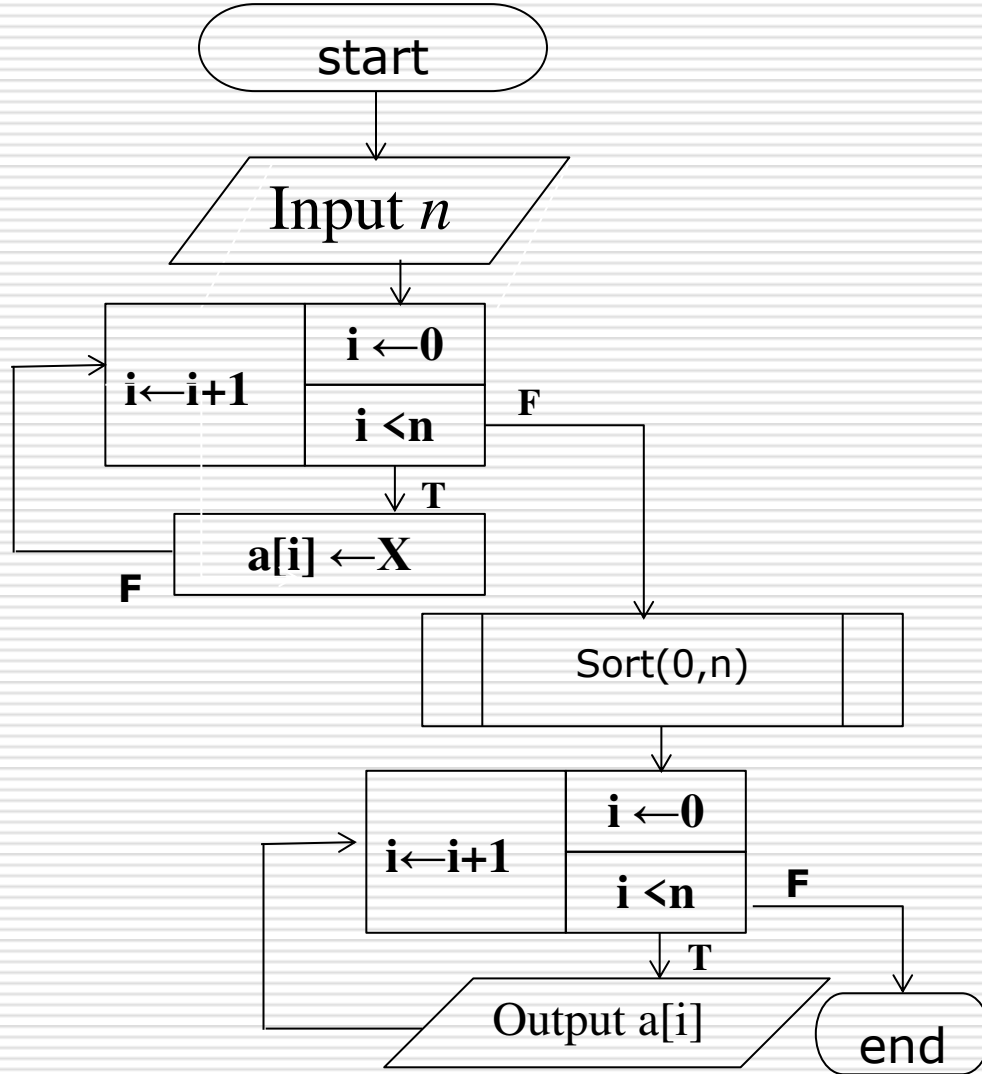


Execution Example (cont.)

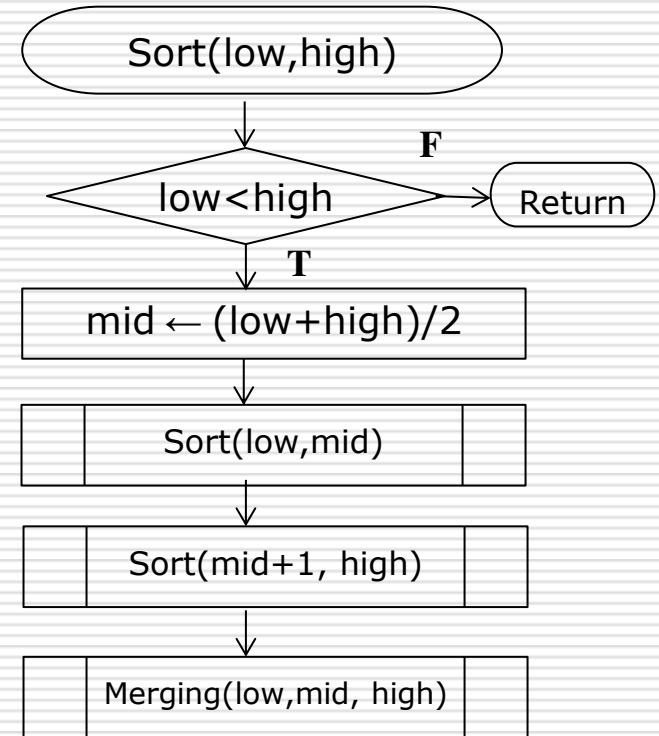
- Merge



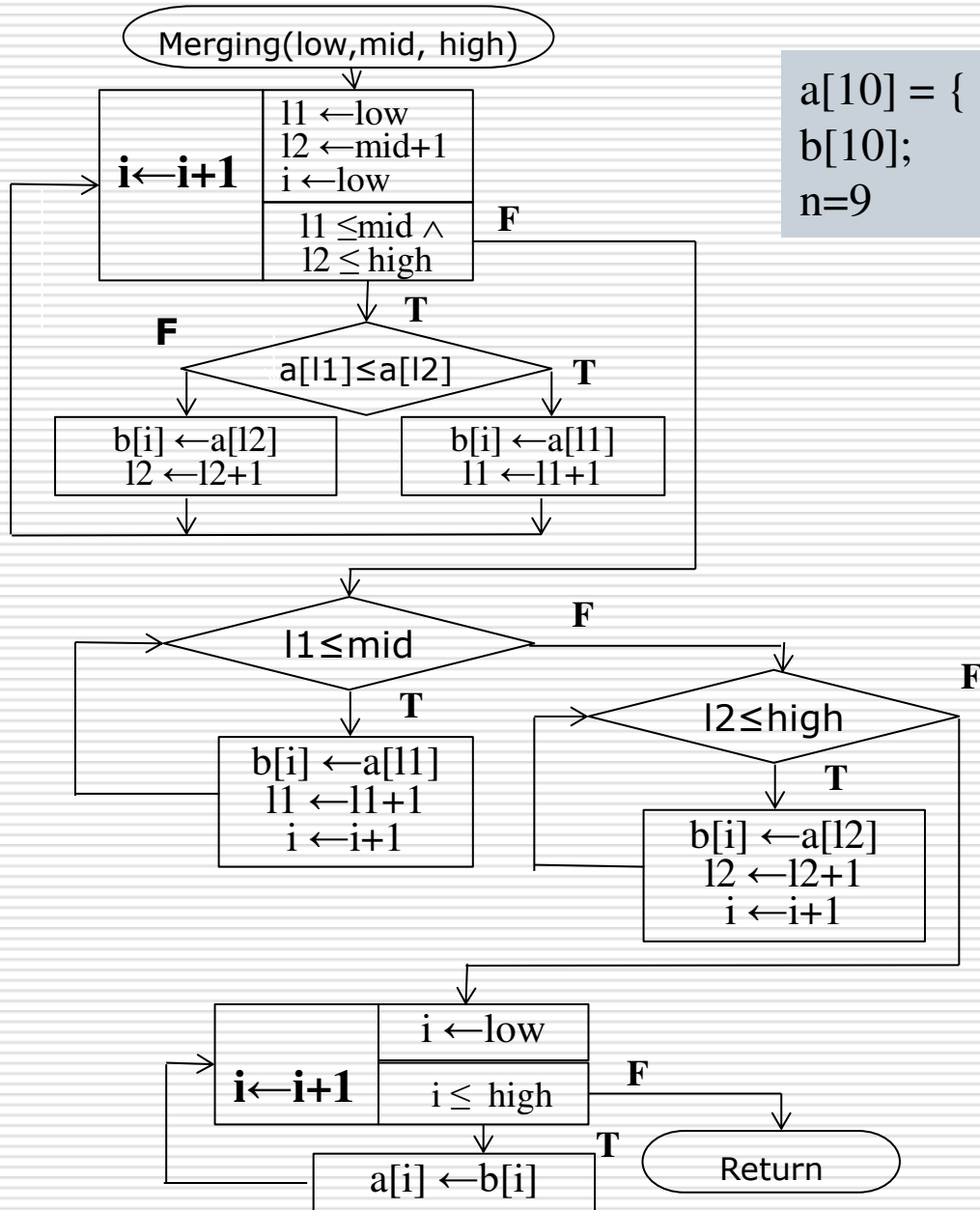
Merge Sort: Algorithm



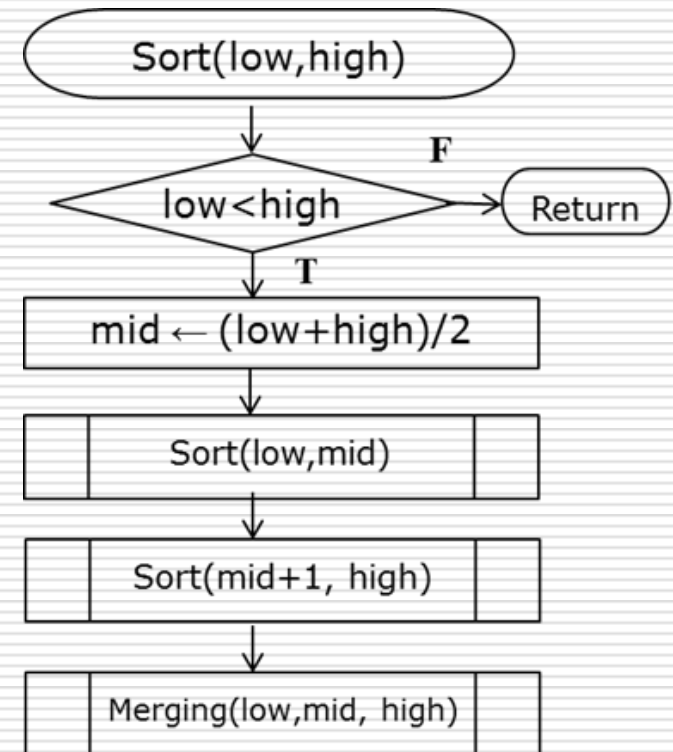
Flowchart



Merge Sort: Algorithm



$a[10] = \{ 10, 14, 19, 26, 27, 31, 33, 35, 42, 44 \};$
 $b[10];$
 $n=9$



Merge Sort: Program

```
#include <stdio.h>
#define n 9
int a[10] = { 10, 14, 19, 26, 27, 31, 33, 35, 42, 44};
int b[10];

void merging(int low, int mid, int high) {
    int l1, l2, i;
    for(l1 = low, l2 = mid + 1, i = low; l1 <= mid &&
l2 <= high; i++) {
        if(a[l1] <= a[l2])
            b[i] = a[l1++];
        else
            b[i] = a[l2++];
    }
    while(l1 <= mid)
        b[i++] = a[l1++];

    while(l2 <= high)
        b[i++] = a[l2++];
    for(i = low; i <= high; i++)
        a[i] = b[i];
}
```

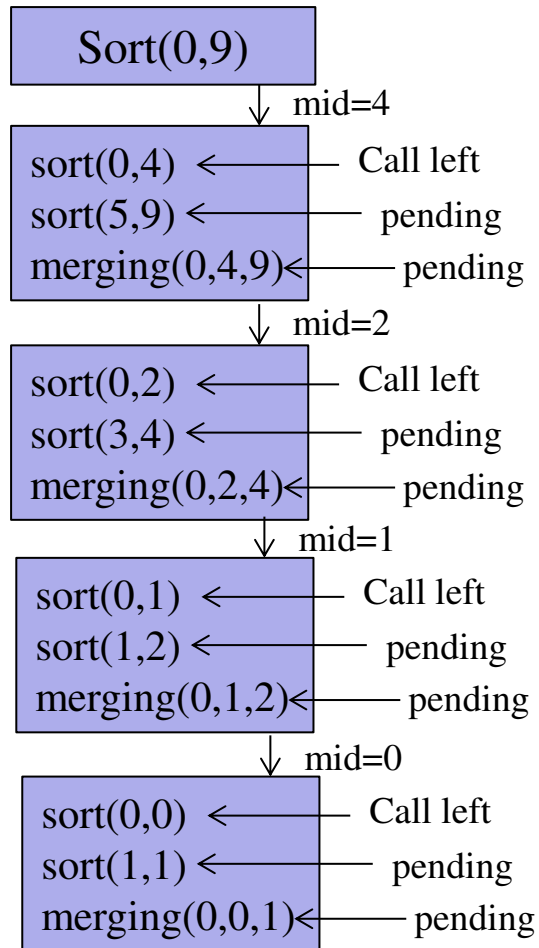
```
void sort(int low, int high) {
    int mid;
    if(low < high) {
        mid = (low + high) / 2;
        sort(low, mid);
        sort(mid+1, high);
        merging(low, mid, high);
    } else {
        return;
    }
}

int main() {
    int i;
    printf("List before sorting\n");
    for(i = 0; i <= n; i++)
        printf("%d ", a[i]);
    sort(0, max);
    printf("\nList after sorting\n");

    for(i = 0; i <= n; i++)
        printf("%d ", a[i]);
}
```

Merge Sort: Program Execution

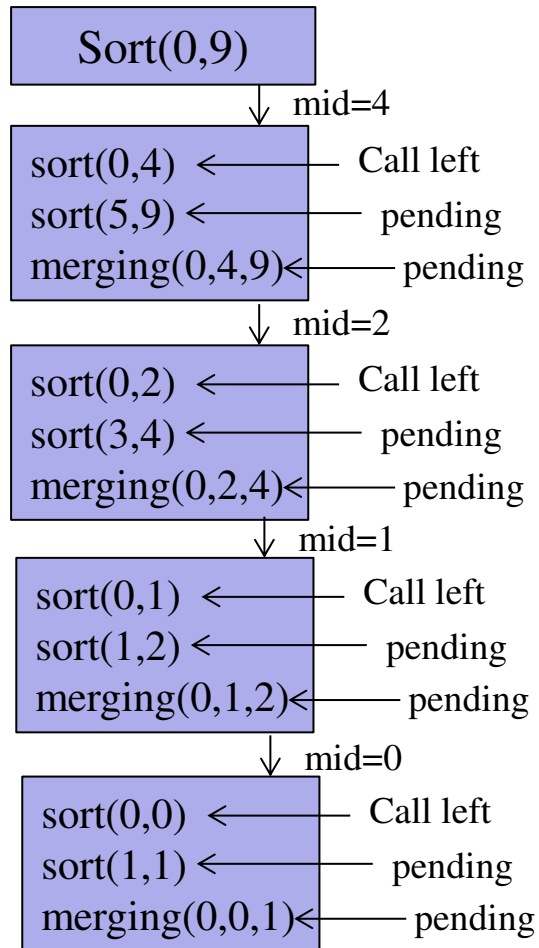
```
int a[10] = { 44, 32, 18, 26, 27, 36, 30, 15, 12, 24};  
int b[10];
```



```
void sort(int low, int high) {  
    int mid;  
    if(low < high) {  
        mid = (low + high) / 2;  
        sort(low, mid);  
        sort(mid+1, high);  
        merging(low, mid, high);  
    } else {  
        return;  
    }  
}
```

Merge Sort: Program Execution

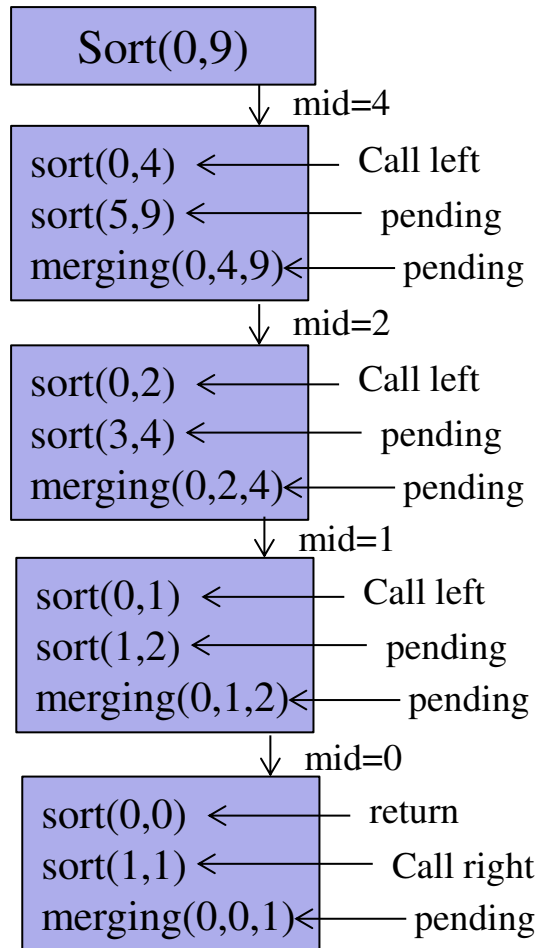
```
int a[10] = { 44, 32, 18, 26, 27, 36, 30, 15, 12, 24};  
int b[10];
```



```
void sort(int low, int high) {  
    int mid;  
    if(low < high) {  
        mid = (low + high) / 2;  
        sort(low, mid);  
        sort(mid+1, high);  
        merging(low, mid, high);  
    } else {  
        return;  
    }  
}
```


Merge Sort: Program Execution

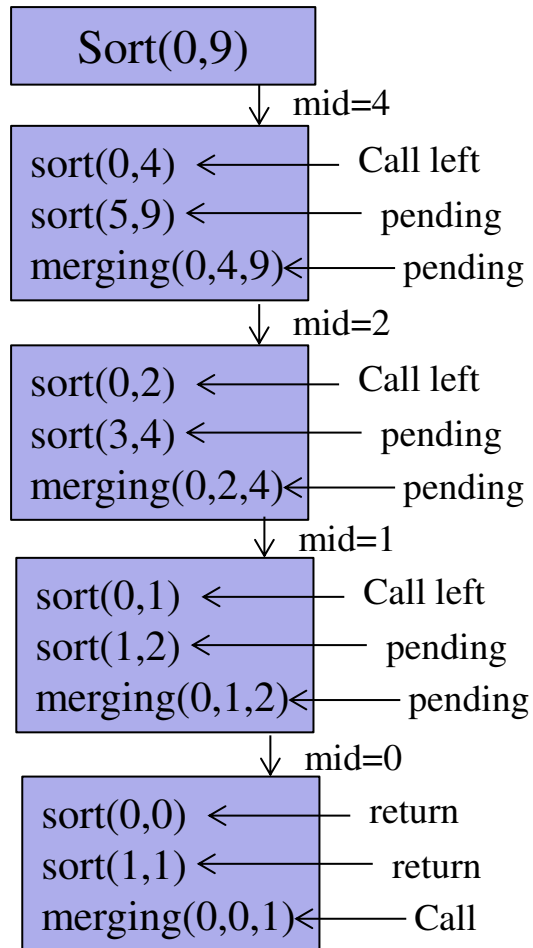
```
int a[10] = { 44, 32, 18, 26, 27, 36, 30, 15, 12, 24};  
int b[10];
```



```
void sort(int low, int high) {  
    int mid;  
    if(low < high) {  
        mid = (low + high) / 2;  
        sort(low, mid);  
        sort(mid+1, high);  
        merging(low, mid, high);  
    } else {  
        return;  
    }  
}
```

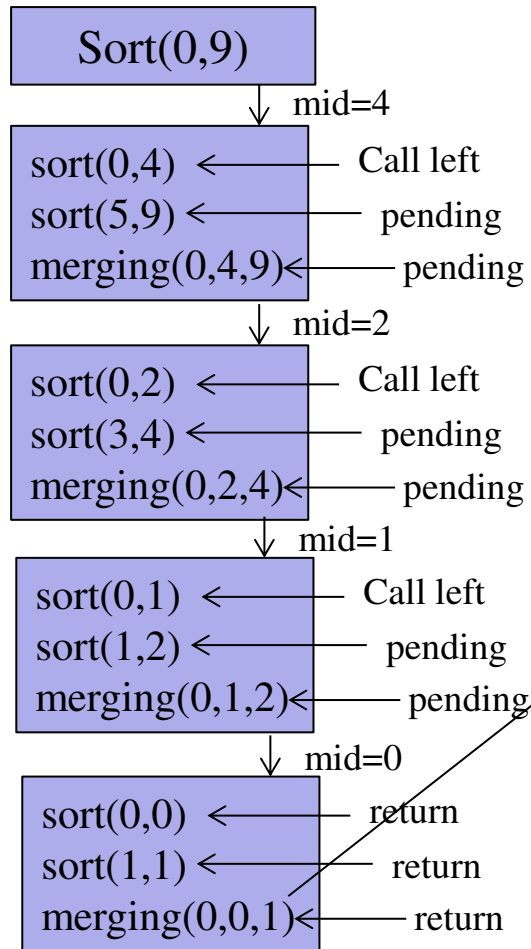
Merge Sort: Program Execution

```
int a[10] = { 44, 32, 18, 26, 27, 36, 30, 15, 12, 24};  
int b[10];
```



```
void sort(int low, int high) {  
    int mid;  
    if(low < high) {  
        mid = (low + high) / 2;  
        sort(low, mid);  
        sort(mid+1, high);  
        merging(low, mid, high);  
    } else {  
        return;  
    }  
}
```

Merge Sort: Program Execution

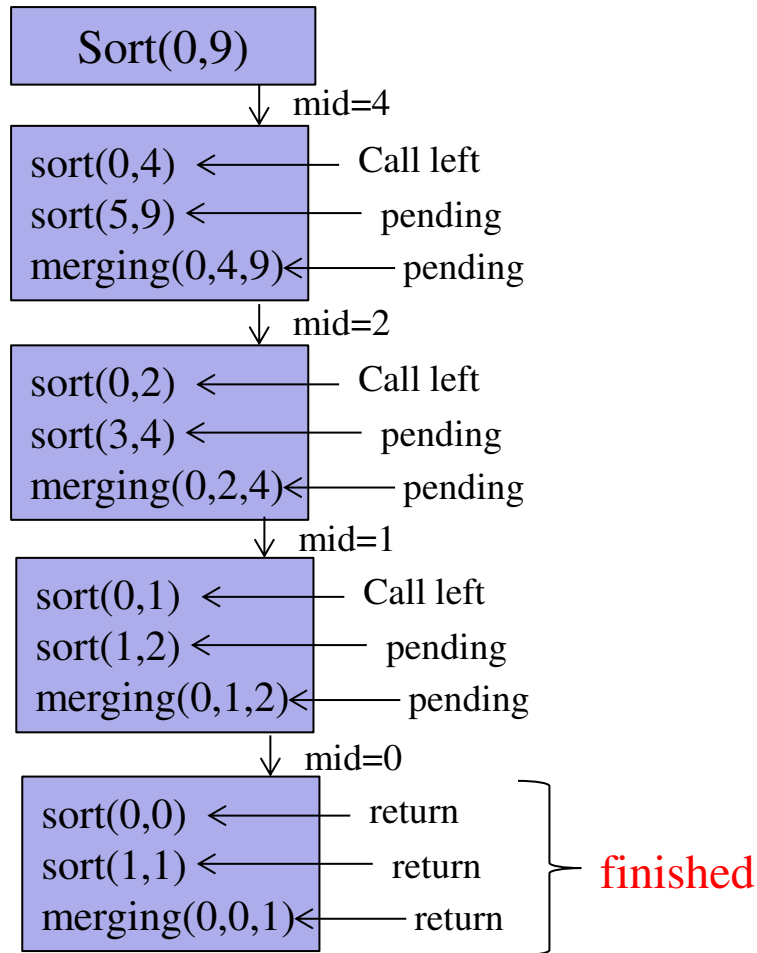


```
int a[10] = { 44, 32, 18, 26, 27, 36, 30, 15, 12, 24 };  
int b[10];
```

```
int a[10] = { 32, 44, 18, 26, 27, 36, 30, 15, 12, 24 };  
int b[10] = { 32, 44, };
```

```
void sort(int low, int high) {  
    int mid;  
    if(low < high) {  
        mid = (low + high) / 2;  
        sort(low, mid);  
        sort(mid+1, high);  
        merging(low, mid, high);  
    } else {  
        return;  
    }  
}
```

Merge Sort: Program Execution

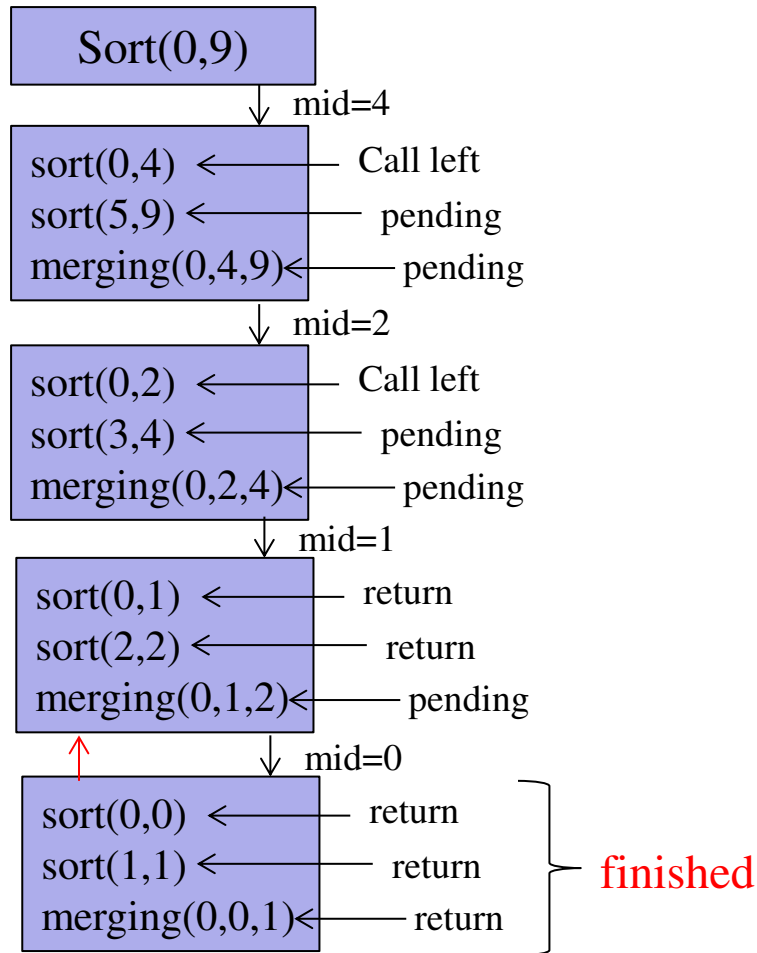


```
int a[10] = { 44, 32, 18, 26, 27, 36, 30, 15, 12, 24};
int b[10];
```

```
int a[10] = { 32, 44, 18, 26, 27, 36, 30, 15, 12, 24};
int b[10];={ 32, 44,};
```

```
void sort(int low, int high) {
    int mid;
    if(low < high) {
        mid = (low + high) / 2;
        sort(low, mid);
        sort(mid+1, high);
        merging(low, mid, high);
    } else {
        return;
    }
}
```

Merge Sort: Program Execution

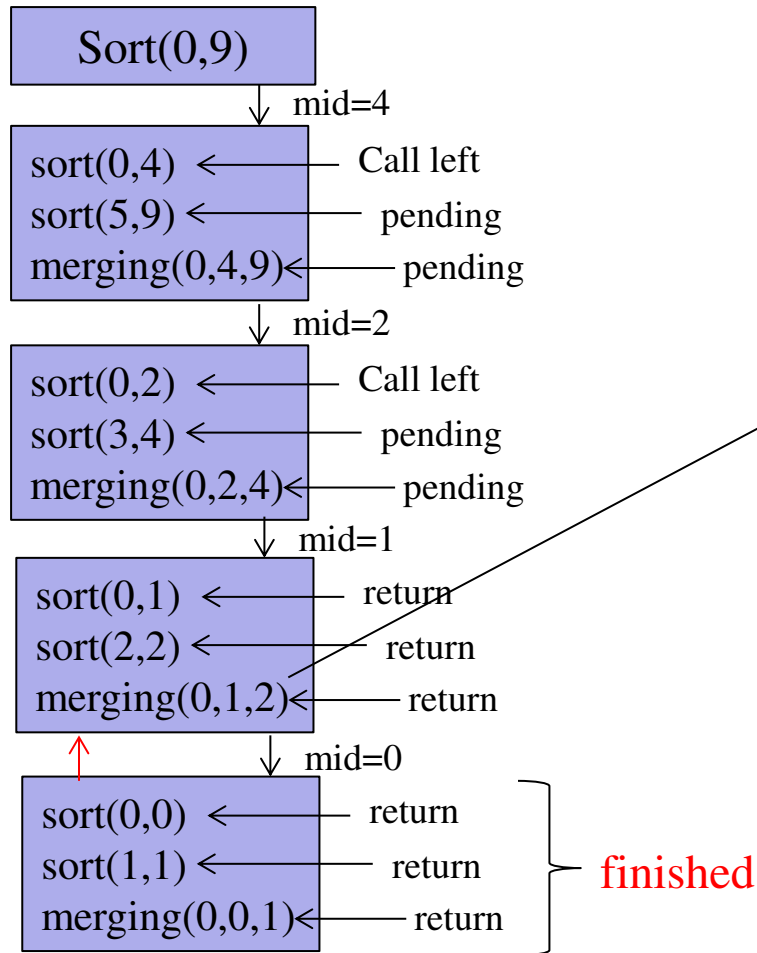


```
int a[10] = { 44, 32, 18, 26, 27, 36, 30, 15, 12, 24};
int b[10];
```

```
int a[10] = { 32, 44, 18, 26, 27, 36, 30, 15, 12, 24};
int b[10];={ 32, 44, };
```

```
void sort(int low, int high) {
    int mid;
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        mid = (low + high) / 2;
        sort(low, mid);
        sort(mid+1, high);
        merging(low, mid, high);
    } else {
        return;
    }
}
```

Merge Sort: Program Execution

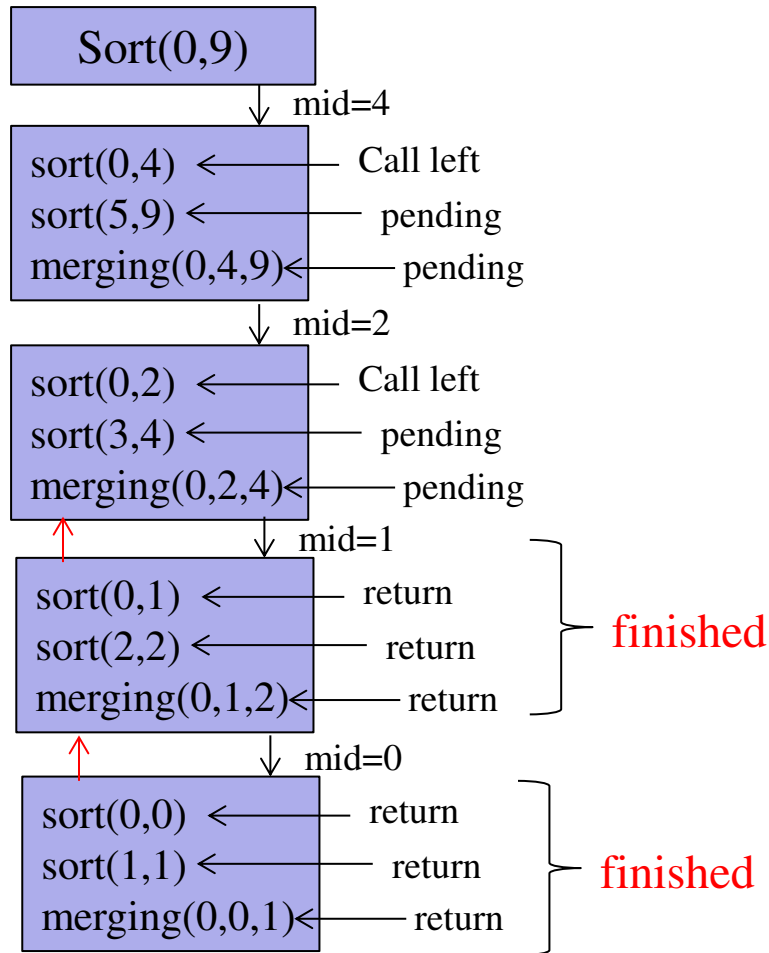


```
int a[10] = { 44, 32, 19, 26, 27, 36, 30, 15, 12, 24};  
int b[10];
```

```
int a[10] = { 19, 32, 44, 26, 27, 36, 30, 15, 12, 24};  
int b[10];={ 19, 32, 44,};
```

```
void sort(int low, int high) {  
    int mid;  
    if(low < high) {  
        mid = (low + high) / 2;  
        sort(low, mid);  
        sort(mid+1, high);  
        merging(low, mid, high);  
    } else {  
        return;  
    }  
}
```

Merge Sort: Program Execution

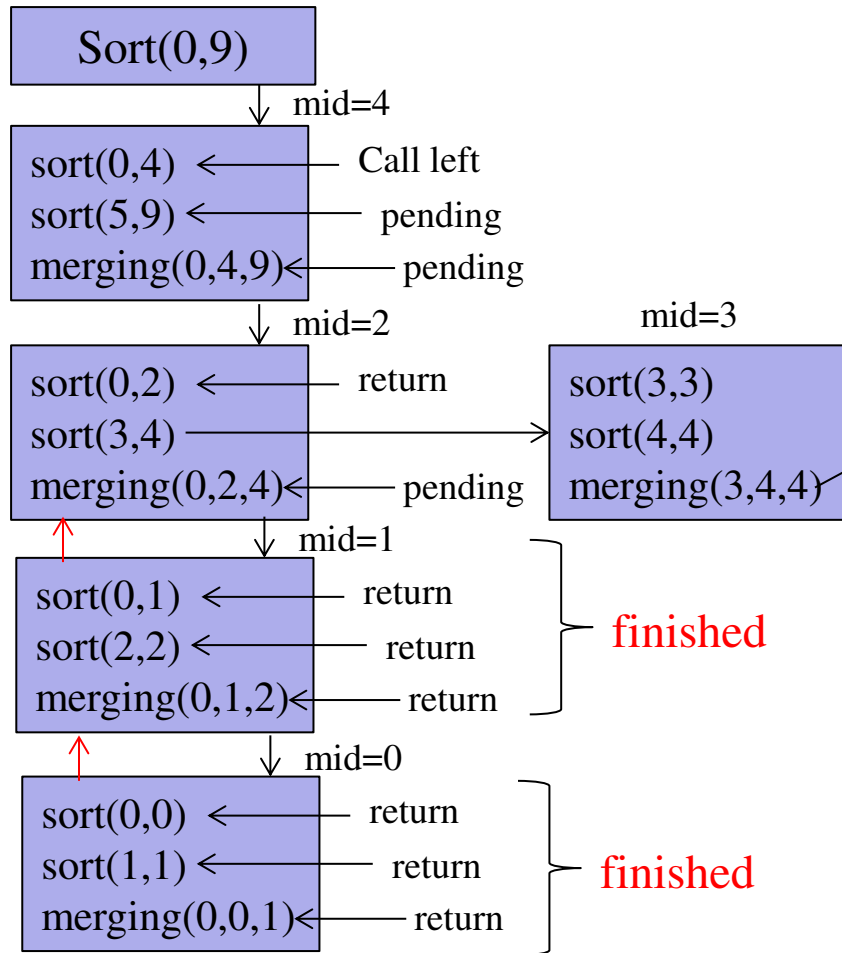


```
int a[10] = { 44, 32, 19, 26, 27, 36, 30, 15, 12, 24};
int b[10];
```

```
int a[10] = { 19, 32, 44, 26, 27, 36, 30, 15, 12, 24};
int b[10];={ 19, 32, 44,};
```

```
void sort(int low, int high) {
    int mid;
    if(low < high) {
        mid = (low + high) / 2;
        sort(low, mid);
        sort(mid+1, high);
        merging(low, mid, high);
    } else {
        return;
    }
}
```

Merge Sort: Program Execution

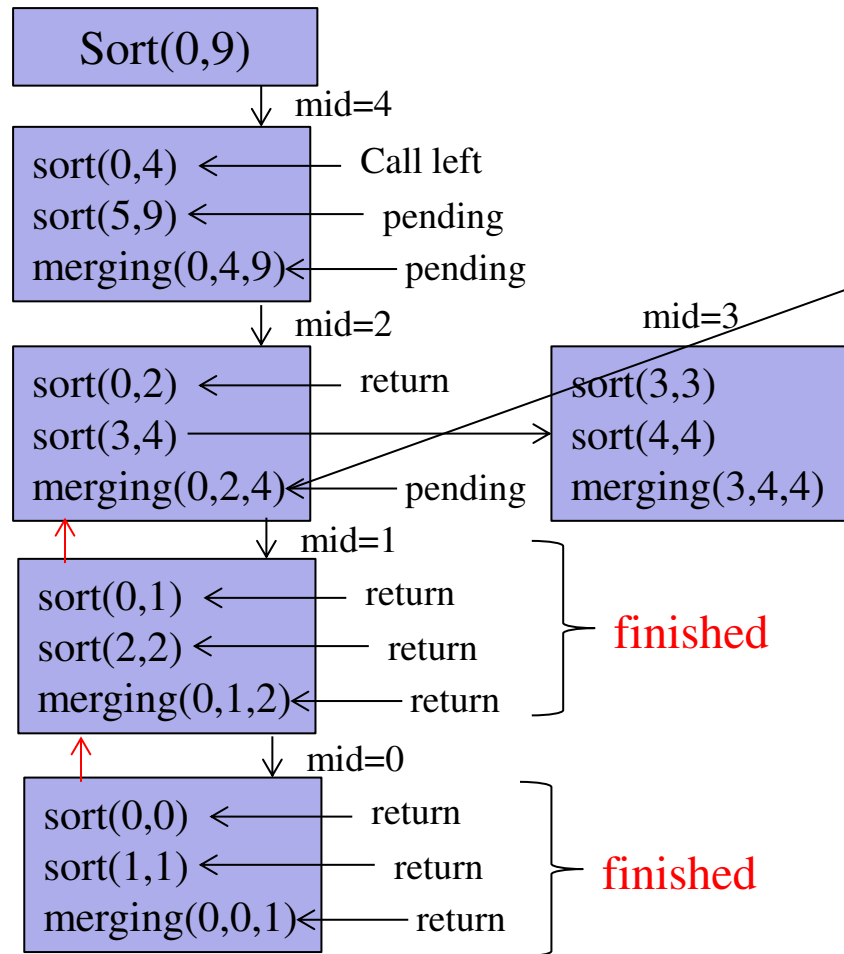


```
int a[10] = { 44, 32, 19, 26, 27, 36, 30, 15, 12, 24};
int b[10];
```

```
int a[10] = { 19, 32, 44, 26, 27, 36, 30, 15, 12, 24 };
int b[10]; // { 19, 32, 44, 26, 27 }
```

```
void sort(int low, int high) {
    int mid;
    if(low < high) {
        mid = (low + high) / 2;
        sort(low, mid);
        sort(mid+1, high);
        merging(low, mid, high);
    } else {
        return;
    }
}
```


Merge Sort: Program Execution

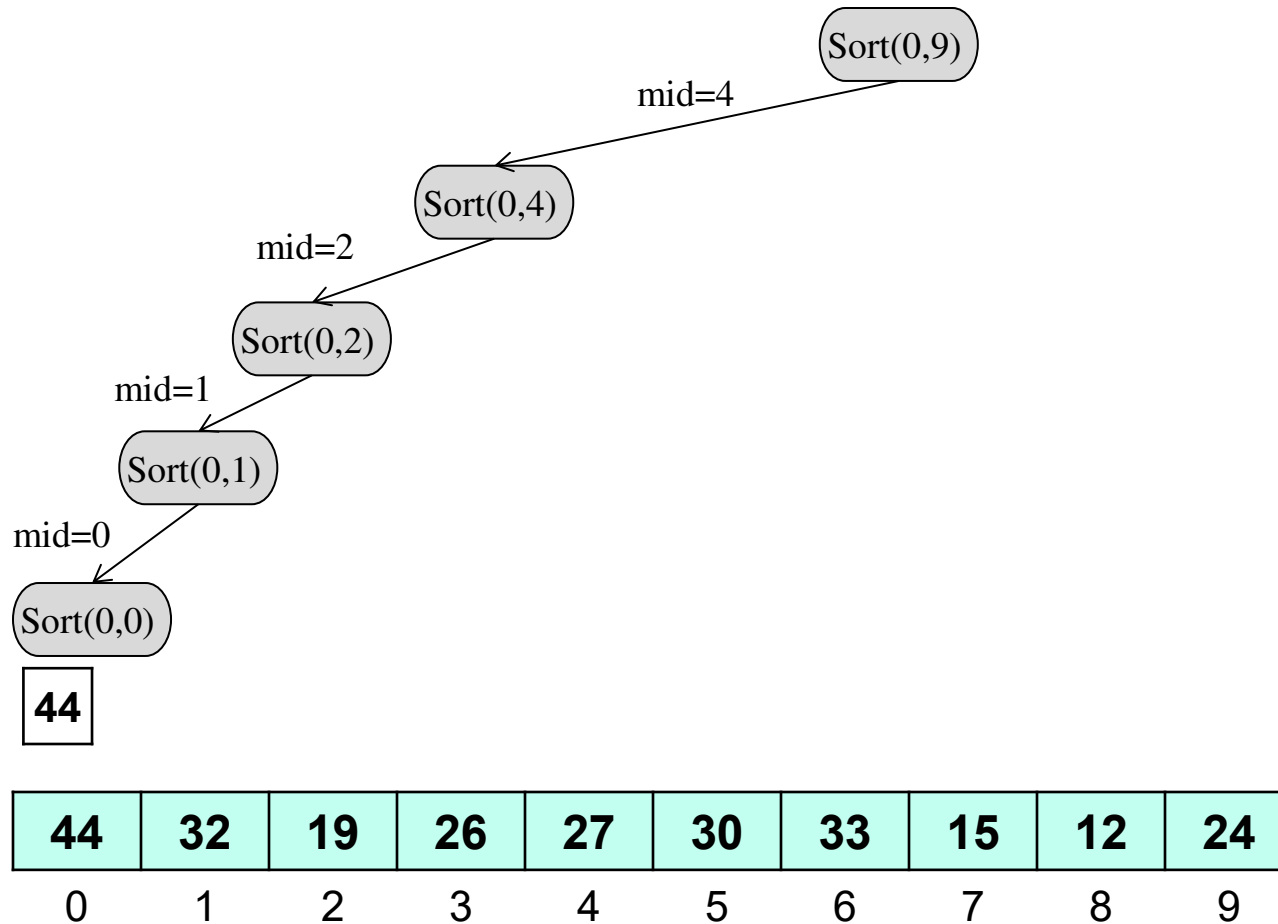


```
int a[10] = { 44, 32, 19, 26, 27, 36, 30, 15, 12, 24};
int b[10];
```

```
int a[10] = { 19, 26, 27, 32, 44, 36, 30, 15, 12, 24};
int b[10];
```

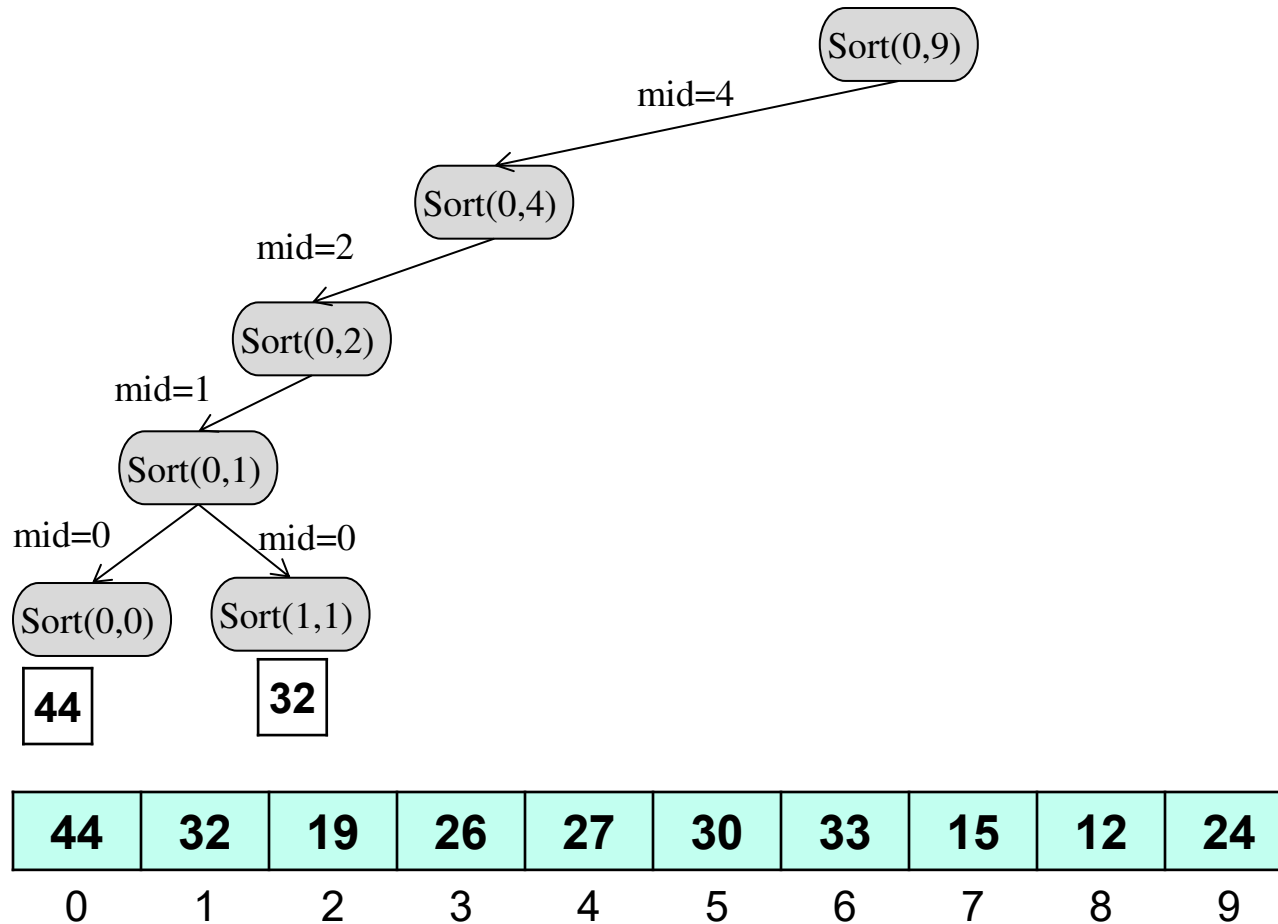
```
void sort(int low, int high) {
    int mid;
    if(low < high) {
        mid = (low + high) / 2;
        sort(low, mid);
        sort(mid+1, high);
        merging(low, mid, high);
    } else {
        return;
    }
}
```

Merge Sort: Program Execution



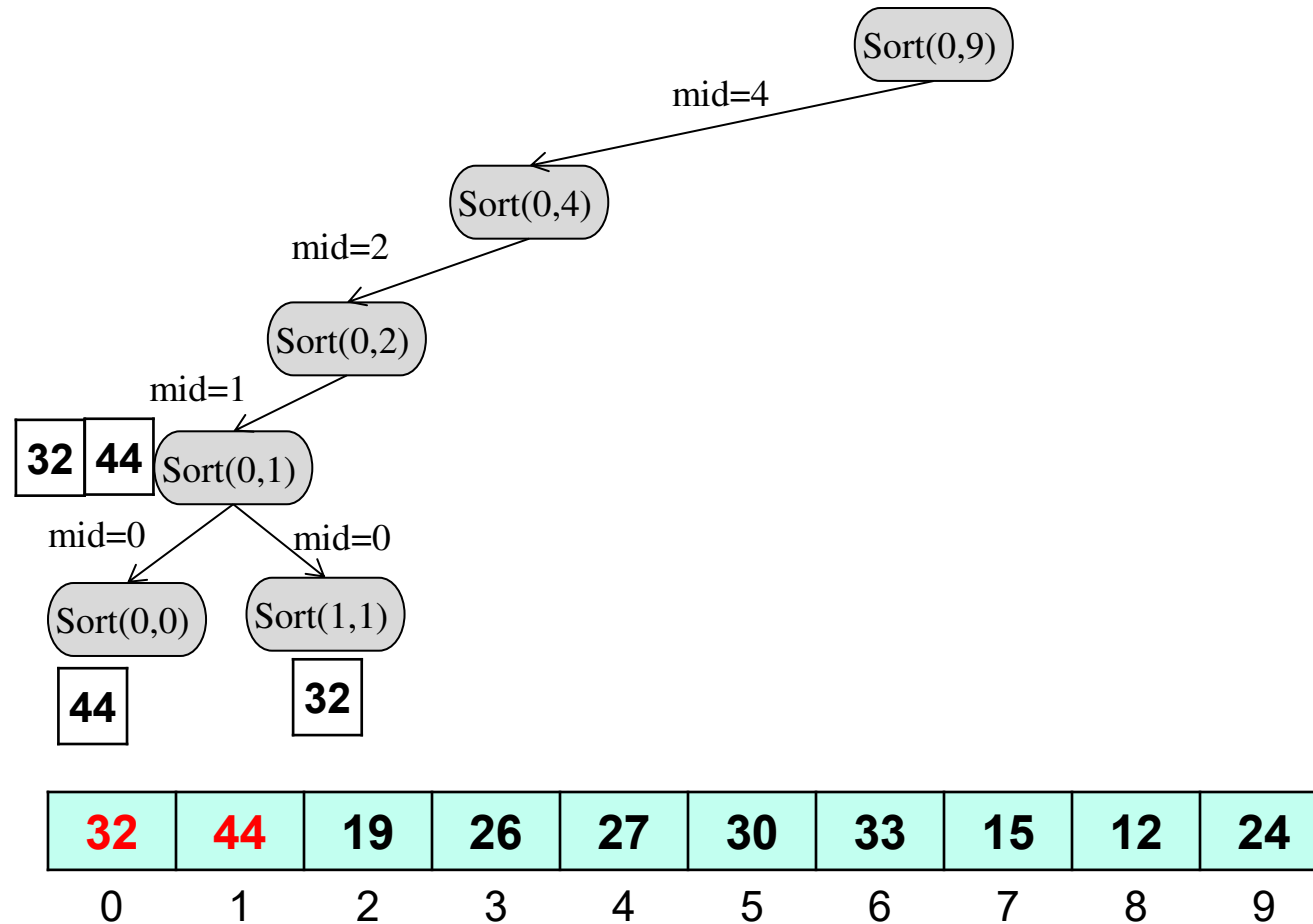
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void sort(int low, int high) {  
    int mid;  
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        mid = (low + high) / 2;  
        sort(low, mid);  
        sort(mid+1, high);  
        merging(low, mid, high);  
    } else {  
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    }  
}
```

Merge Sort: Program Execution



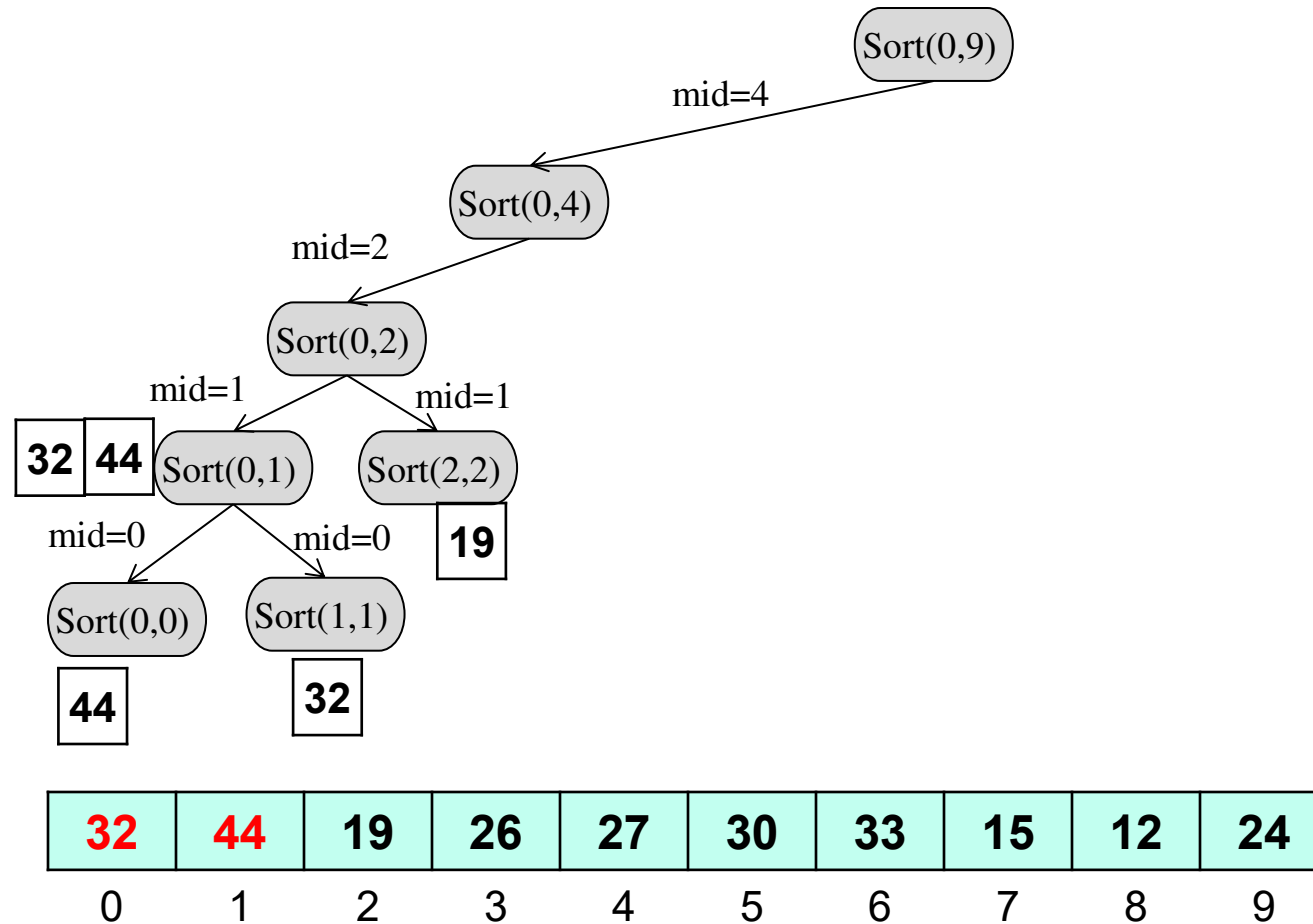
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void sort(int low, int high) {
    int mid;
    if(low < high) {
        mid = (low + high) / 2;
        sort(low, mid);
        sort(mid+1, high);
        merging(low, mid, high);
    } else {
        return;
    }
}
```

Merge Sort: Program Execution



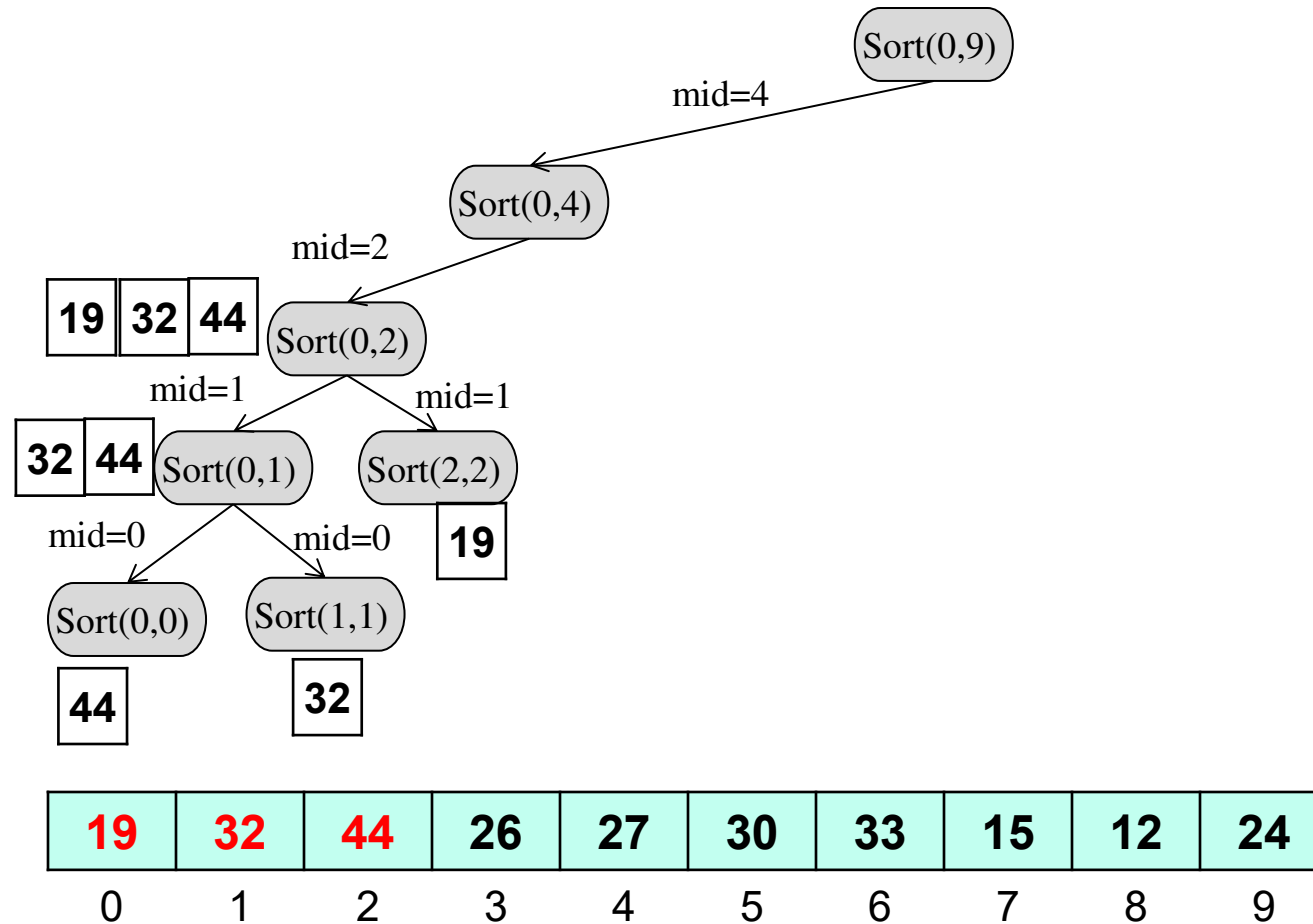
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void sort(int low, int high) {  
    int mid;  
    if(low < high) {  
        mid = (low + high) / 2;  
        sort(low, mid);  
        sort(mid+1, high);  
        merging(low, mid, high);  
    } else {  
        return;  
    }  
}
```

Merge Sort: Program Execution



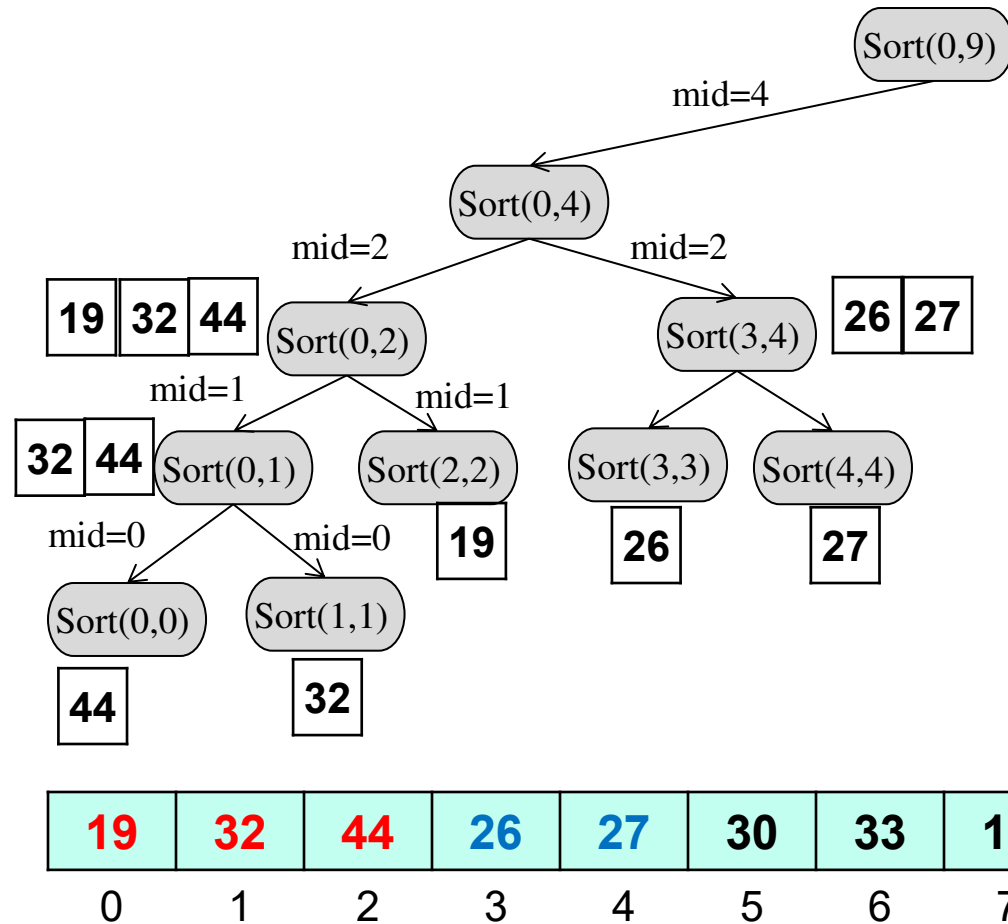
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void sort(int low, int high) {  
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        mid = (low + high) / 2;  
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        merging(low, mid, high);  
    } else {  
        return;  
    }  
}
```

Merge Sort: Program Execution



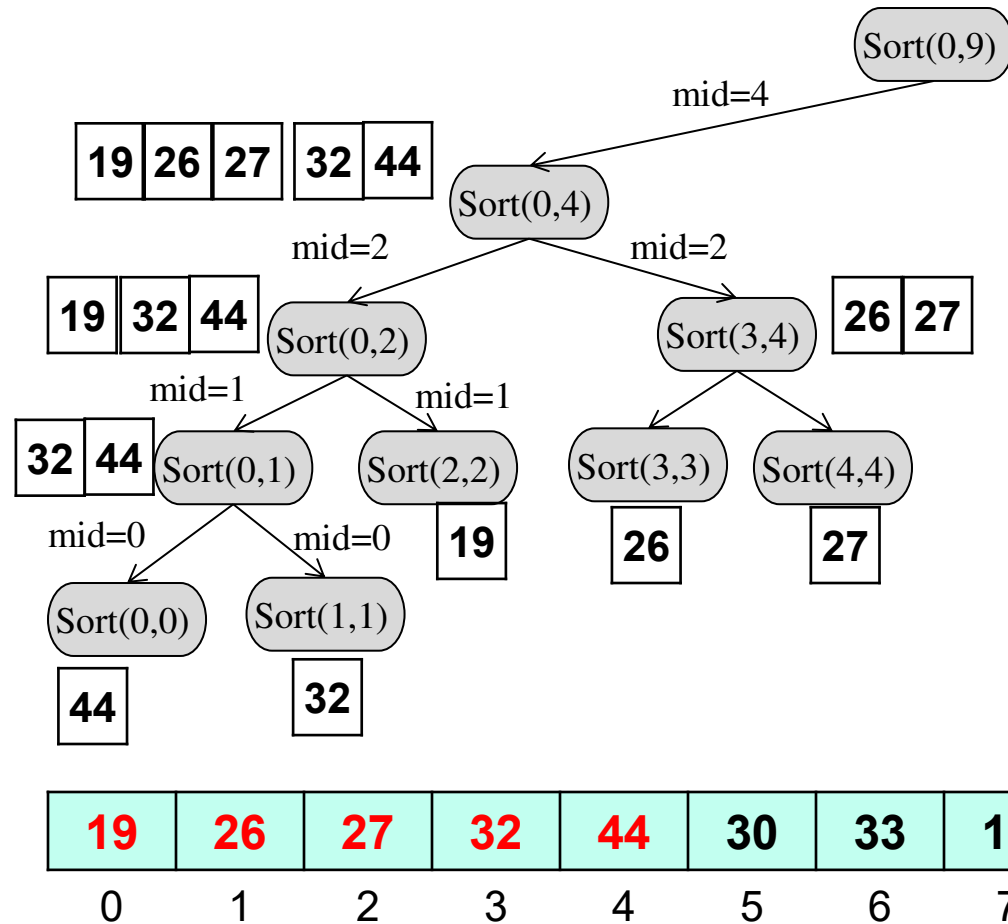
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void sort(int low, int high) {  
    int mid;  
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        sort(low, mid);  
        sort(mid+1, high);  
        merging(low, mid, high);  
    } else {  
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    }  
}
```

Merge Sort: Program Execution



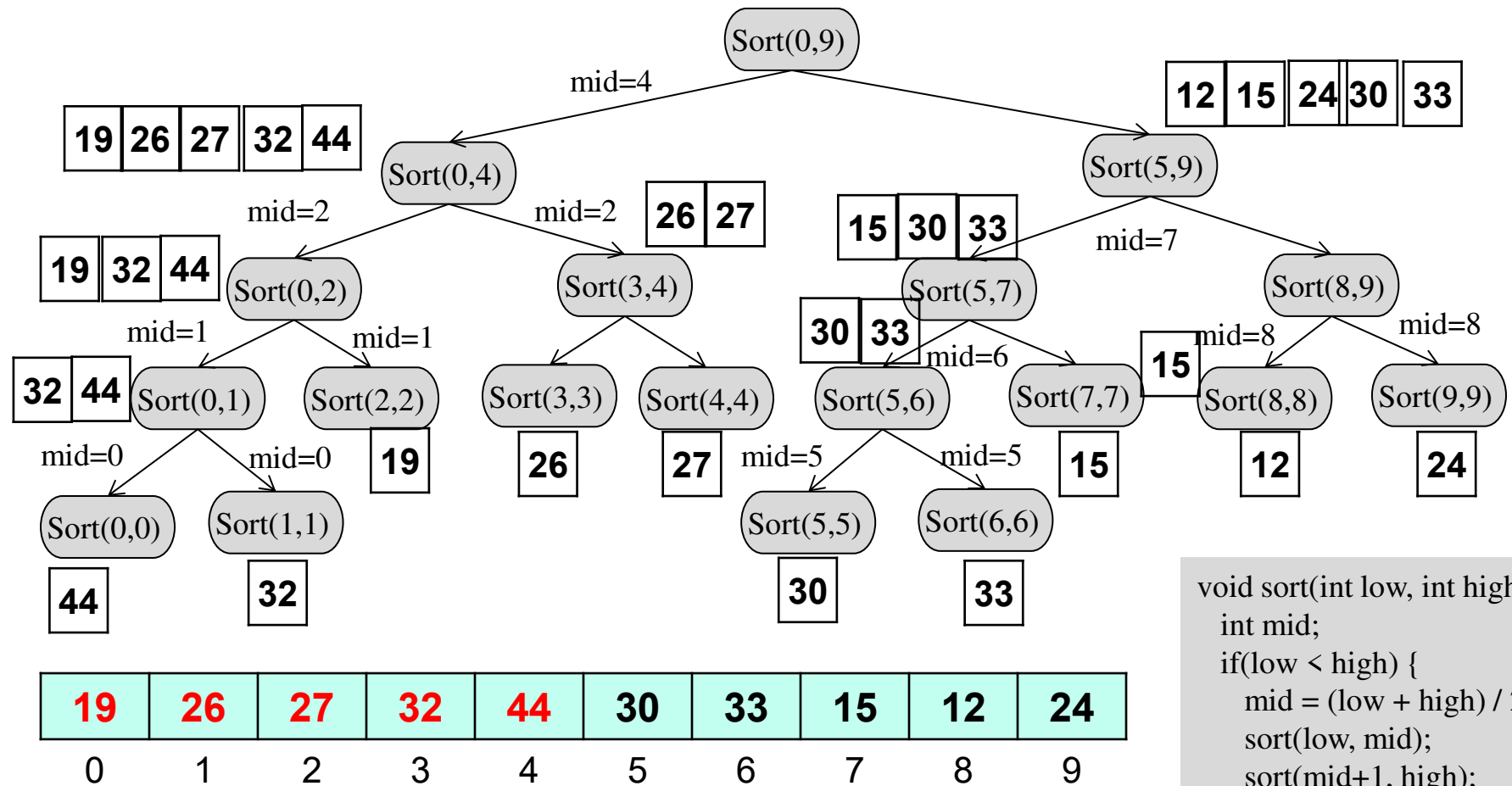
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void sort(int low, int high) {  
    int mid;  
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        mid = (low + high) / 2;  
        sort(low, mid);  
        sort(mid+1, high);  
        merging(low, mid, high);  
    } else {  
        return;  
    }  
}
```

Merge Sort: Program Execution



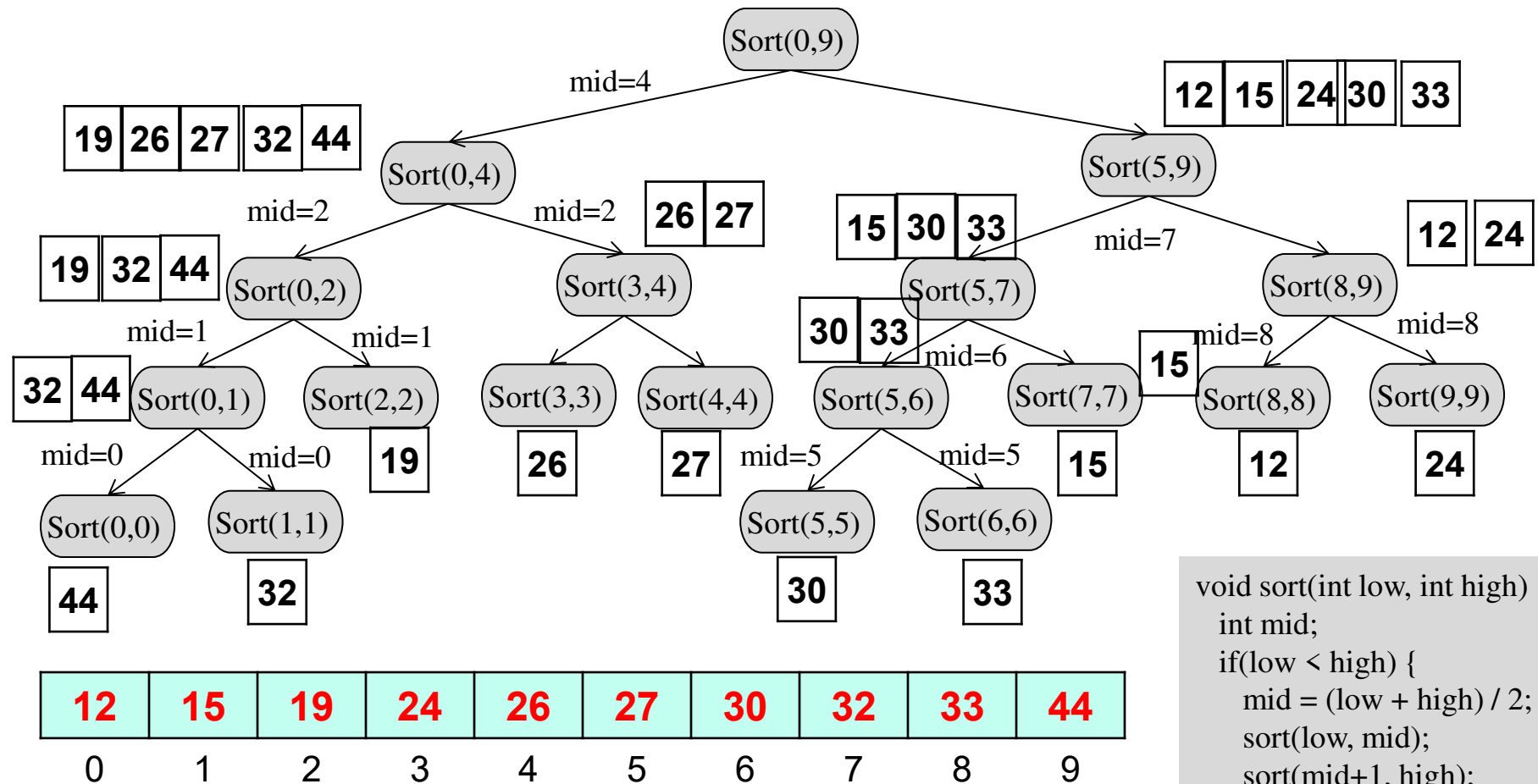
```
void sort(int low, int high) {  
    int mid;  
    if(low < high) {  
        mid = (low + high) / 2;  
        sort(low, mid);  
        sort(mid+1, high);  
        merging(low, mid, high);  
    } else {  
        return;  
    }  
}
```


Merge Sort: Program Execution



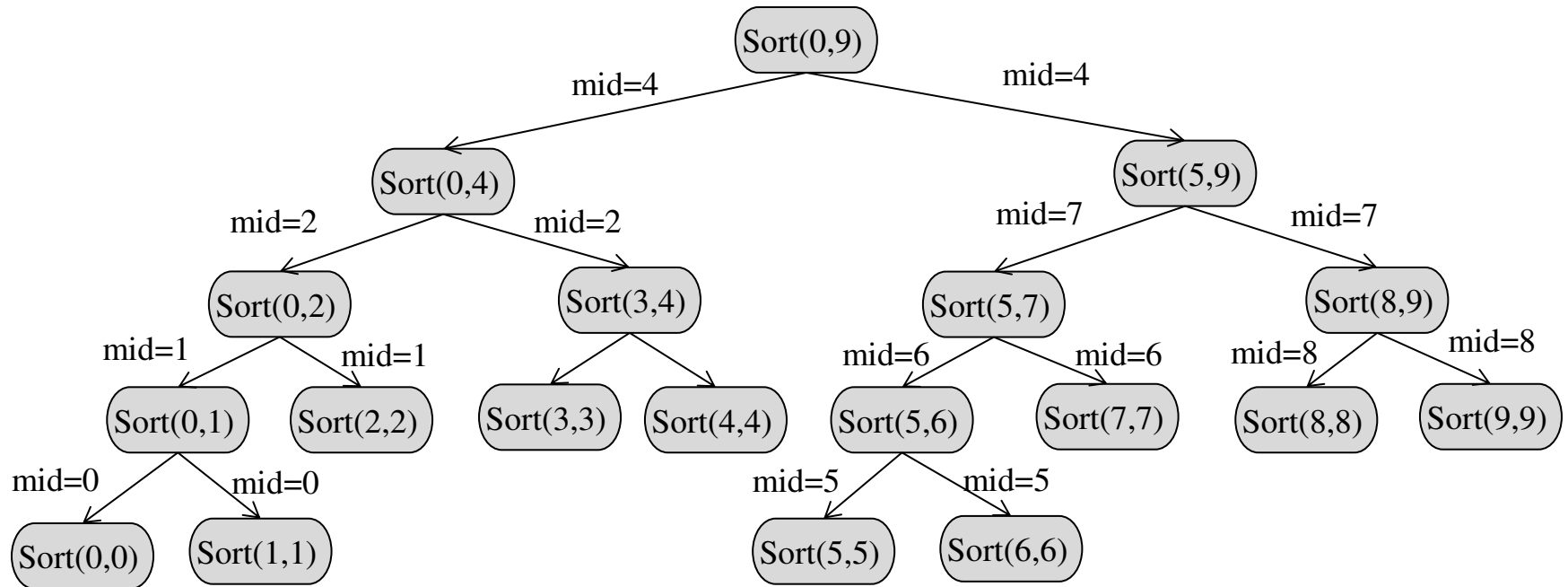
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    int mid;  
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        mid = (low + high) / 2;  
        sort(low, mid);  
        sort(mid+1, high);  
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    } else {  
        return;  
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Merge Sort: Program Execution



```
void sort(int low, int high) {  
    int mid;  
    if(low < high) {  
        mid = (low + high) / 2;  
        sort(low, mid);  
        sort(mid+1, high);  
        merging(low, mid, high);  
    } else {  
        return;  
    }  
}
```

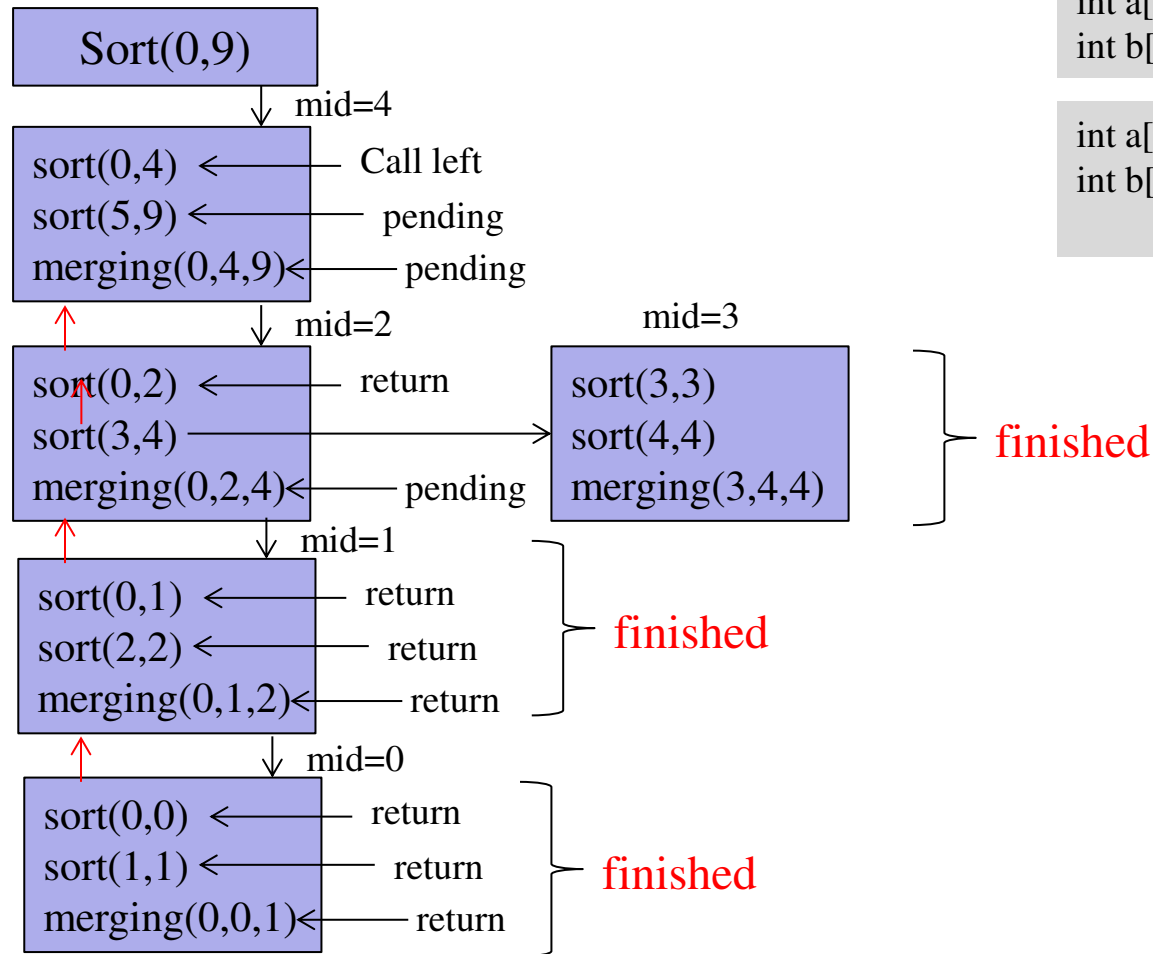
Merge Sort: Program Execution



44	32	19	26	27	30	33	15	12	24
0	1	2	3	4	5	6	7	8	9

```
void sort(int low, int high) {
    int mid;
    if(low < high) {
        mid = (low + high) / 2;
        sort(low, mid);
        sort(mid+1, high);
        merging(low, mid, high);
    } else {
        return;
    }
}
```

Merge Sort: Program Execution



```
int a[10] = { 44, 32, 19, 26, 27, 36, 30, 15, 12, 24};
int b[10];
```

```
int a[10] = { 19, 26, 27., 32, 44, 36, 30, 15, 12, 24};
int b[10];={ 19, 26, 27,., 32, 44};
```

```
void sort(int low, int high) {
    int mid;
    if(low < high) {
        mid = (low + high) / 2;
        sort(low, mid);
        sort(mid+1, high);
        merging(low, mid, high);
    } else {
        return;
    }
}
```

Merge Sort: Program Execution

```
#include <stdio.h>
#define n 9
int a[10] = { 44, 32, 18, 26, 27, 36, 30, 15, 12, 24};
int b[10];

void merging(int low, int mid, int high) {
    int l1, l2, i;
    for(l1 = low, l2 = mid + 1, i = low; l1 <= mid &&
l2 <= high; i++) {
        if(a[l1] <= a[l2])
            b[i] = a[l1++];
        else
            b[i] = a[l2++];
    }
    while(l1 <= mid)
        b[i++] = a[l1++];

    while(l2 <= high)
        b[i++] = a[l2++];
    for(i = low; i <= high; i++)
        a[i] = b[i];
}
```

```
void sort(int low, int high) {
    int mid;
    if(low < high) {
        mid = (low + high) / 2;
        sort(low, mid);
        sort(mid+1, high);
        merging(low, mid, high);
    } else {
        return;
    }
}

int main() {
    int i;
    printf("List before sorting\n");
    for(i = 0; i <= n; i++)
        printf("%d ", a[i]);
    sort(0, max);
    printf("\nList after sorting\n");

    for(i = 0; i <= n; i++)
        printf("%d ", a[i]);
}
```

Complexity of Merge Sort

Let $T(n)$ = time taken to sort n elements

$T(n/2)$ = time taken to sort *left half* elements

$T(n/2)$ = time taken to sort *right half* elements

No. of comparisons needed to merge $n/2$ elements each is n

A[]	$n/2$	$n/2$
-----	-------	-------

$$\begin{aligned} T(n) &= T(n/2) + T(n/2) + n \\ &= 2T(n/2) + n \quad \dots\dots(i) \end{aligned}$$

$$T(n/2) = 2T(n/4) + n/2$$

Substituting $T(n/2)$ in (i)

$$\begin{aligned} \text{So } T(n) &= 2\{2T(n/4) + n/2\} + n \\ &= 2^2 T(n/2^2) + 2n \end{aligned}$$

\vdots
 \vdots

$$T(n) = 2^k T(n/2^k) + kn$$

We know time needed to sort 1 element, $T(1) = 1$

Let at k step, $n/2^k = 1$

$$\rightarrow n = 2^k, \quad k = \log_2 n$$

$$\begin{aligned} T(n) &= 2^k T(n/2^k) + kn \\ &= nT(1) + n * \log_2 n \\ &= n + n * \log_2 n \end{aligned}$$

$$\mathbf{T(n) = O(n * \log_2 n)}$$

Complexity of Sorting/Searching Algorithm

Binary Search: $O(\log_2 n)$

Sequential Search: $O(n)$

Quick Sort: $O(n \log_2 n)$

Merge Sort: $O(n \log_2 n)$

Insertion Sort: $O(n^2)$

Bubble Sort: $O(n^2)$

Selection Sort: $O(n^2)$

Heap Sort: $O(n \log_2 n)$