CSE 1201 Merge Sort

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MergeSort

 MergeSort is a divide and conquer method of sorting

MergeSort Algorithm

- To sort an array of n elements, we perform the following steps in sequence:
- If n < 2 then the array is already sorted.
- Otherwise, n > 1, and we perform the following three steps in sequence:
 - 1. Sort the <u>left half</u> of the the array using MergeSort.
 - 2. Sort the <u>right half</u> of the the array using MergeSort.
 - 3. Merge the sorted left and right halves.

How to Merge

```
Here are two lists to be merged:
   First: (12, 16, 17, 20, 21, 27)
   Second: (9, 10, 11, 12, 19)
Compare 12 and 9
   First: (12, 16, 17, 20, 21, 27)
   Second: (10, 11, 12, 19)
   New:
Compare 12 and 10
   First: (12, 16, 17, 20, 21, 27)
   Second: (11, 12, 19)
   New: (9, 10)
```

```
Compare 12 and 11
  First: (12, 16, 17, 20, 21, 27)
  Second: (12, 19)
  New: (9, 10, 11)
Compare 12 and 12
  First: (16, 17, 20, 21, 27)
  Second: (12, 19)
  New: (9, 10, 11, 12)
```

```
Compare 16 and 12
  First: (16, 17, 20, 21, 27)
  Second: (19)
  New: (9, 10, 11, 12, 12)
Compare 16 and 19
  First: (17, 20, 21, 27)
  Second: (19)
  New: (9, 10, 11, 12, 12, 16)
```

```
Compare 17 and 19
   First: (20, 21, 27)
   Second: (19)
           (9, 10, 11, 12, 12, 16, 17)
   New:
Compare 20 and 19
   First: (20, 21, 27)
   Second: ()
   New: (9, 10, 11, 12, 12, 16, 17, 19)
```

```
Checkout 20 and empty list
```

```
First: ()
```

Second: ()

New: (9, 10, 11, 12, 12, 16, 17, 19, 20, 21, 27)

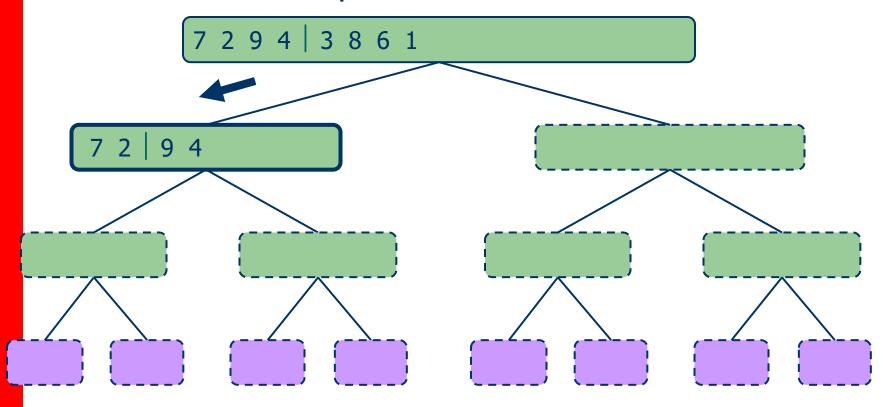
MergeSort

Original	24	13	26	1	12	27	38	15							
Divide in 2	24	13	26	1		12	27	38	15						
Divide in 4	24	13		26	1		12	27		38	15				
Divide in 8	24		13		26		1		12		27		38		15
Merge 2	13	24			1	26			12	27			15	38	
Merge 4	1	13	24	26					12	15	27	38			
Merge 8	1	12	13	15	24	26	27	38							

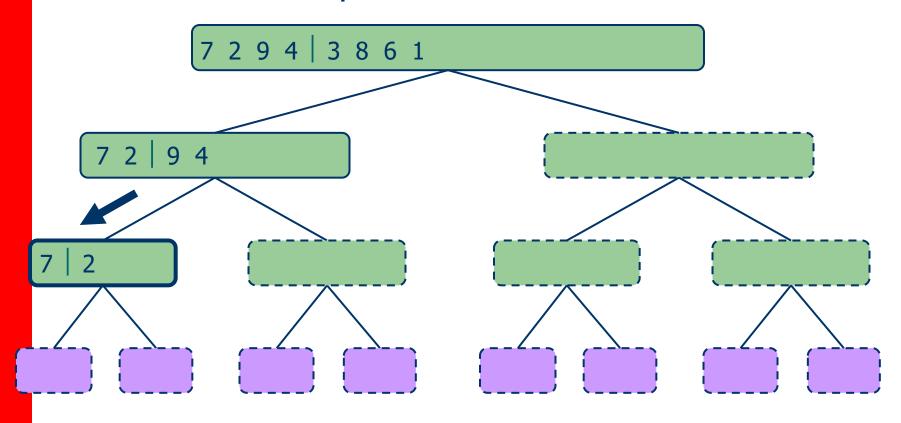
Execution Example

 Partition 7 2 9 4 | 3 8 6 1

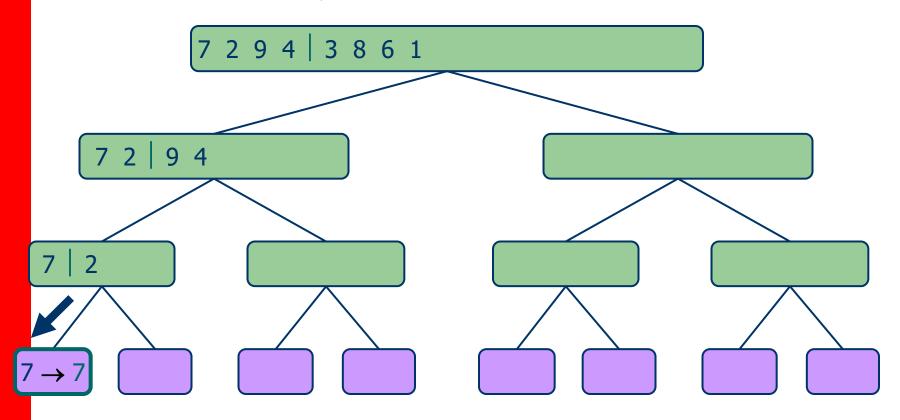
• Recursive call, partition



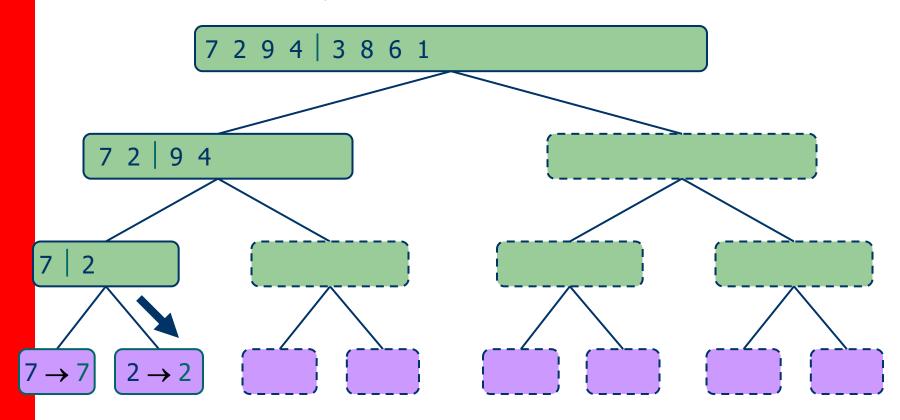
Recursive call, partition

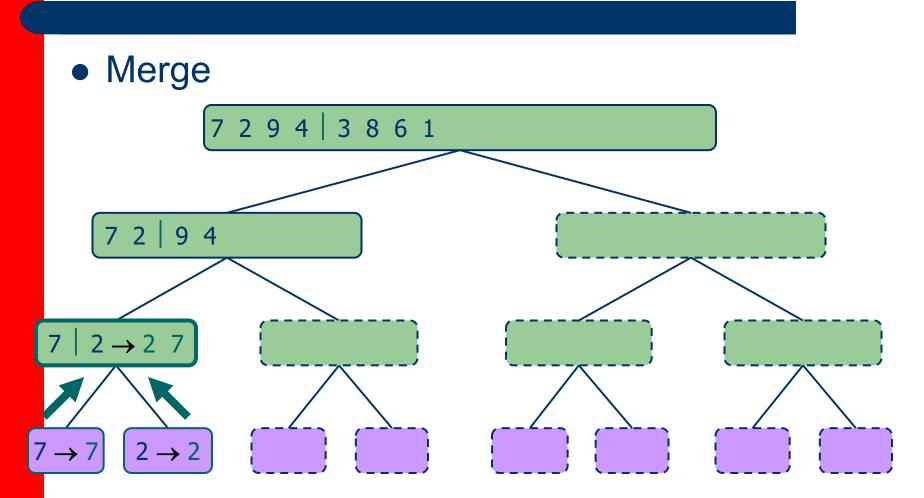


Recursive call, base case

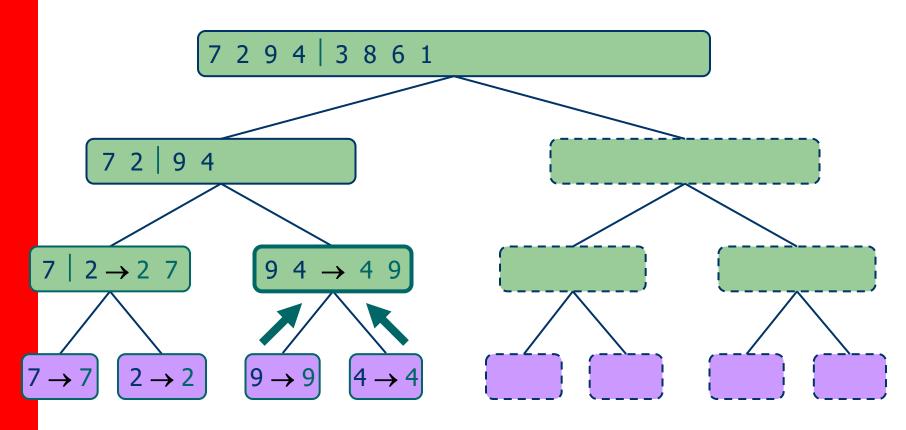


Recursive call, base case



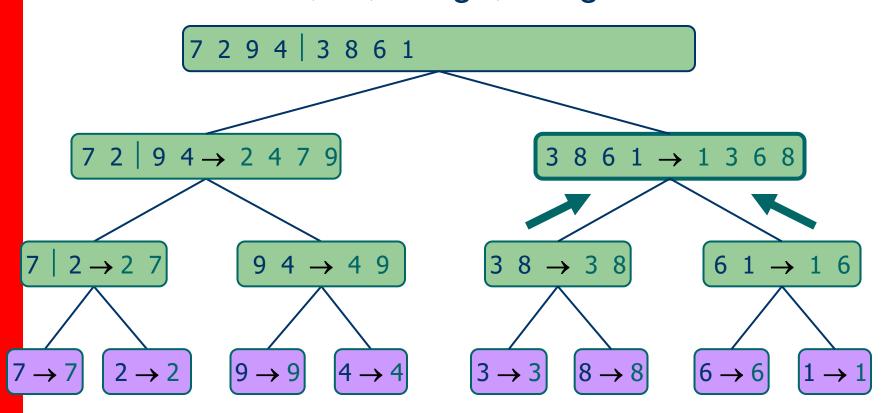


• Recursive call, ..., base case, merge



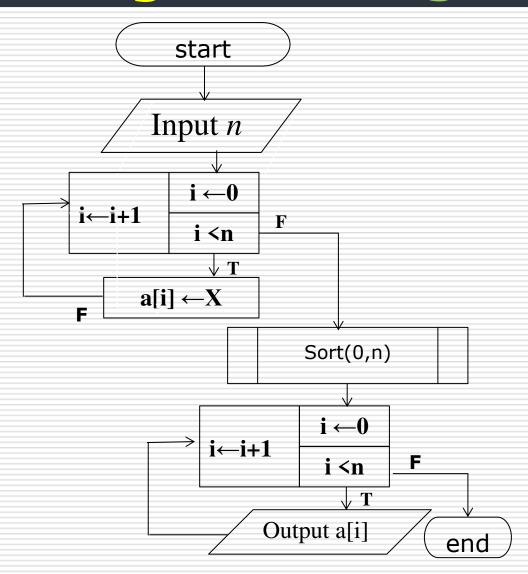
Merge 2 9 4 | 3 8 6 1 $72 \mid 94 \rightarrow 2479$ $7 \mid 2 \rightarrow 2 \mid 7$ $9 \rightarrow 9$

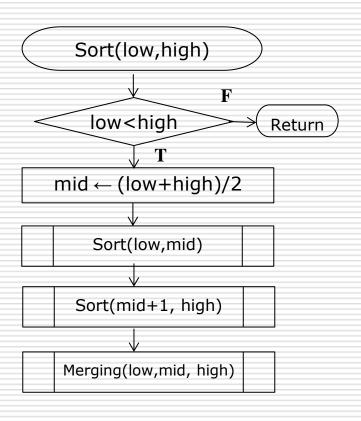
• Recursive call, ..., merge, merge



Merge $7 2 9 4 | 3 8 6 1 \rightarrow 1 2 3 4 6 7 8 9$ $7 \ 2 \ | \ 9 \ 4 \rightarrow 2 \ 4 \ 7 \ 9$ $3 \ 8 \ 6 \ 1 \rightarrow 1 \ 3 \ 6 \ 8$ $9 \ 4 \rightarrow 4 \ 9$ $38 \rightarrow 38$ $2 \rightarrow 2 7$ $61 \rightarrow 16$ $8 \rightarrow 8$ $3 \rightarrow 3$ $2 \rightarrow 2$ $9 \rightarrow 9$ $6 \rightarrow 6$

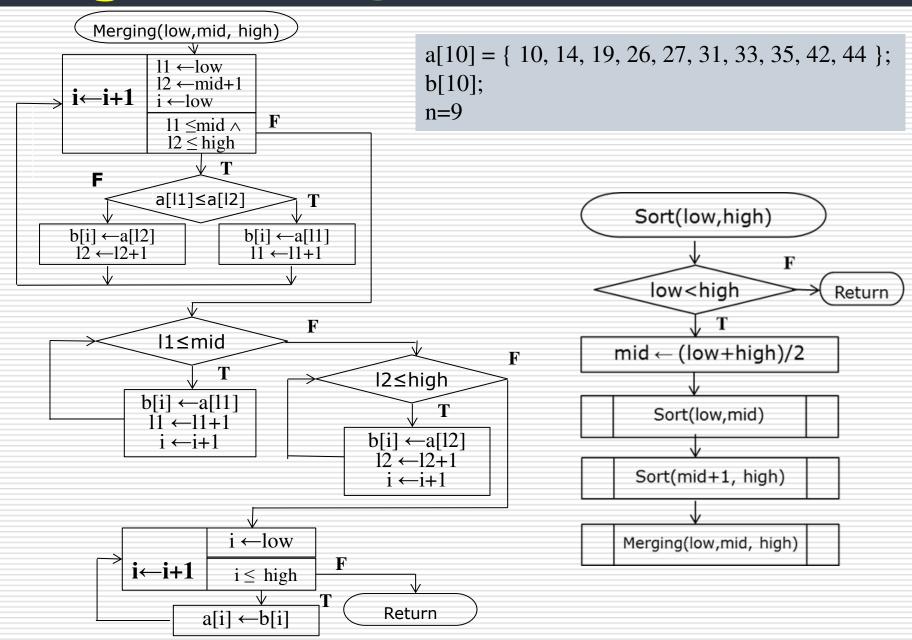
Merge Sort: Algorithm





Flowchart

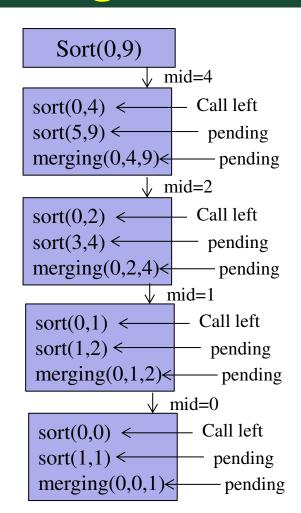
Merge Sort: Algorithm



Merge Sort: Program

```
#include <stdio.h>
#define n 9
int a[10] = \{10, 14, 19, 26, 27, 31, 33, 35, 42, 44\};
int b[10];
void merging(int low, int mid, int high) {
  int 11, 12, i;
  for(11 = low, 12 = mid + 1, i = low; 11 \le mid \&\&
12 \le \text{high}; i++) \{
    if(a[11] \le a[12])
      b[i] = a[11++];
    else
      b[i] = a[12++];
  while(11 \le mid)
    b[i++] = a[11++];
  while(12 \le high)
    b[i++] = a[12++];
  for(i = low; i \le high; i++)
    a[i] = b[i];
```

```
void sort(int low, int high) {
 int mid:
 if(low < high) {
   mid = (low + high) / 2;
    sort(low, mid);
   sort(mid+1, high);
   merging(low, mid, high);
  } else {
   return;
int main() {
 int i;
 printf("List before sorting\n");
 for(i = 0; i \le n; i++)
   printf("%d ", a[i]);
  sort(0, max);
  printf("\nList after sorting\n");
 for(i = 0; i \le n; i++)
   printf("%d", a[i]);
```



```
int a[10] = \{ 44, 32, 18, 26, 27, 36, 30, 15, 12, 24 \};
int b[10];
```

```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```

```
Sort(0,9)
              \sqrt{\text{mid}}=4
sort(0,4) \leftarrow Call left
sort(5,9) \leftarrow pending
merging(0,4,9) \leftarrow pending
 \downarrow mid=2
sort(0,2) \leftarrow Call left
sort(3,4) \leftarrow pending
merging(0,2,4) \leftarrow pending
     √ mid=1
sort(0,1) \leftarrow Call left
sort(1,2) \leftarrow pending
merging(0,1,2) \leftarrow pending
               \downarrow mid=0
sort(0,0) \leftarrow Call left
sort(1,1) \leftarrow \longrightarrow pending
merging(0,0,1) \leftarrow pending
```

int $a[10] = \{ 44, 32, 18, 26, 27, 36, 30, 15, 12, 24 \};$ int b[10];

```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```

```
Sort(0,9)
             \sqrt{\text{mid}}=4
sort(0,4) \leftarrow Call left
sort(5,9) \leftarrow pending
merging(0,4,9) \leftarrow pending
 \downarrow mid=2
sort(0,2) \leftarrow Call left
sort(3,4) \leftarrow pending
merging(0,2,4) \leftarrow pending
    √ mid=1
sort(0,1) \leftarrow Call left
sort(1,2) \leftarrow pending
merging(0,1,2) \leftarrow pending
     \downarrow mid=0
sort(0,0) \leftarrow return
sort(1,1) \leftarrow Call right
merging(0,0,1) \leftarrow pending
```

```
int a[10] = \{ 44, 32, 18, 26, 27, 36, 30, 15, 12, 24 \}; int b[10];
```

```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```

```
Sort(0,9)
             \sqrt{\text{mid}}=4
sort(0,4) \leftarrow Call left
sort(5,9) \leftarrow pending
merging(0,4,9) \leftarrow pending
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sort(0,2) \leftarrow Call left
sort(3,4) \leftarrow pending
merging(0,2,4) \leftarrow pending
    √ mid=1
sort(0,1) \leftarrow Call left
sort(1,2) \leftarrow pending
merging(0,1,2) \leftarrow pending
     \downarrow mid=0
sort(0,0) \leftarrow return
sort(1,1) \leftarrow return
merging(0,0,1) \leftarrow Call
```

```
int a[10] = \{ 44, 32, 18, 26, 27, 36, 30, 15, 12, 24 \}; int b[10];
```

```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```

```
Sort(0,9)
              \sqrt{\text{mid}}=4
sort(0,4) \leftarrow  Call left
sort(5,9) \leftarrow pending
merging(0,4,9) \leftarrow pending
              \downarrow mid=2
sort(0,2) \leftarrow  Call left
sort(3,4) \leftarrow pending
merging(0,2,4) \leftarrow pending
               \sqrt{\text{mid}}=1
sort(1,2) \leftarrow pending
merging(0,1,2) \leftarrow pending
               \downarrow mid=0
                   + return
sort(0,0) \leftarrow
sort(1,1) \leftarrow
                      – return
merging(0,0,1) \leftarrow
                      — return
```

```
int a[10] = \{44, 32, 18, 26, 27, 36, 30, 15, 12, 24\};
int b[10];
int a[10] = \{ 32, 44, 18, 26, 27, 36, 30, 15, 12, 24 \};
int b[10] := \{ 32, 44, \};
                void sort(int low, int high) {
                  int mid;
                  if(low < high) {
                    mid = (low + high) / 2;
                    sort(low, mid);
                    sort(mid+1, high);
                    merging(low, mid, high);
                  } else {
                    return;
```

```
Sort(0,9)
               \sqrt{\text{mid}}=4
sort(0,4) \leftarrow Call left
sort(5,9) \leftarrow pending
merging(0,4,9) \leftarrow pending
              \downarrow mid=2
sort(0,2) \leftarrow  Call left
sort(3,4) \leftarrow pending
merging(0,2,4) \leftarrow pending
               \sqrt{\text{mid}=1}
sort(0,1) \leftarrow  Call left
sort(1,2) \leftarrow pending
merging(0,1,2) \leftarrow pending
                \psi mid=0
sort(0,0) \leftarrow - return
sort(1,1) \leftarrow return
                                       finished
merging(0,0,1) \leftarrow return
```

```
int a[10] = { 44, 32, 18, 26, 27, 36, 30, 15, 12, 24};
int b[10];
int a[10] = { 32, 44, 18, 26, 27, 36, 30, 15, 12, 24};
int b[10];={ 32, 44,};
```

```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```

```
Sort(0,9)
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sort(0,4) \leftarrow Call left
sort(5,9) \leftarrow pending
merging(0,4,9) \leftarrow pending
               \downarrow mid=2
sort(0,2) \leftarrow  Call left
sort(3,4) \leftarrow pending
merging(0,2,4) \leftarrow pending
               \sqrt{\text{mid}=1}
sort(0,1) \leftarrow return
sort(2,2) \leftarrow return
merging(0,1,2) \leftarrow pending
                \downarrow mid=0
sort(0,0) \leftarrow return
sort(1,1) \leftarrow return
                                       finished
merging(0,0,1) \leftarrow return
```

```
int a[10] = { 44, 32, 18, 26, 27, 36, 30, 15, 12, 24};
int b[10];
int a[10] = { 32, 44, 18, 26, 27, 36, 30, 15, 12, 24};
int b[10];={ 32, 44,};
```

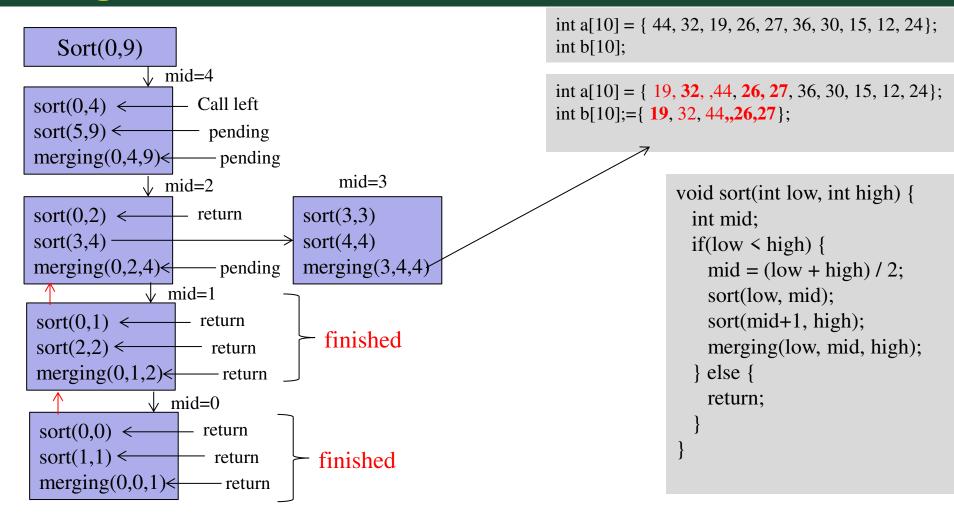
```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```

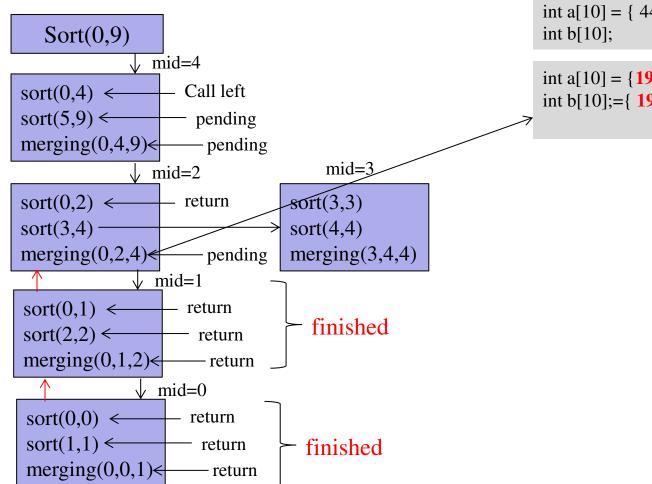
```
int a[10] = \{44, 32, 19, 26, 27, 36, 30, 15, 12, 24\};
   Sort(0,9)
                                                                         int b[10];
               \sqrt{\text{mid}}=4
                                                                         int a[10] = \{ 19, 32, 44, 26, 27, 36, 30, 15, 12, 24 \};
sort(0,4) \leftarrow Call left
                                                                         int b[10] := \{ 19, 32, 44, \};
sort(5,9) \leftarrow \longrightarrow pending
merging(0,4,9) \leftarrow pending
               \downarrow mid=2
                                                                                           void sort(int low, int high) {
sort(0,2) \leftarrow  Call left
                                                                                             int mid;
sort(3,4) \leftarrow pending
                                                                                             if(low < high) {
merging(0,2,4) \leftarrow pending
                                                                                               mid = (low + high) / 2;
               \sqrt{\text{mid}=1}
                                                                                               sort(low, mid);
                                                                                               sort(mid+1, high);
sort(0,1) \leftarrow
                   return
sort(2,2) \leftarrow
                     return
                                                                                               merging(low, mid, high);
merging(0,1,2) \leftarrow
                                                                                             } else {
                      — return
                                                                                               return;
                \psi mid=0
sort(0,0) \leftarrow
                     return
sort(1,1) \leftarrow return
                                        finished
merging(0,0,1) \leftarrow return
```

```
Sort(0,9)
               \sqrt{\text{mid}=4}
sort(0,4) \leftarrow  Call left
sort(5,9) \leftarrow \longrightarrow pending
merging(0,4,9) \leftarrow pending
               \downarrow mid=2
sort(0,2) \leftarrow  Call left
sort(3,4) \leftarrow pending
merging(0,2,4) \leftarrow pending
                \sqrt{\phantom{a}} mid=1
sort(0,1) \leftarrow - return
                                          finished
sort(2,2) \leftarrow return
merging(0,1,2) \leftarrow return
                \psi mid=0
 sort(0,0) \leftarrow return
sort(1,1) \leftarrow return
                                         finished
 merging(0,0,1) \leftarrow return
```

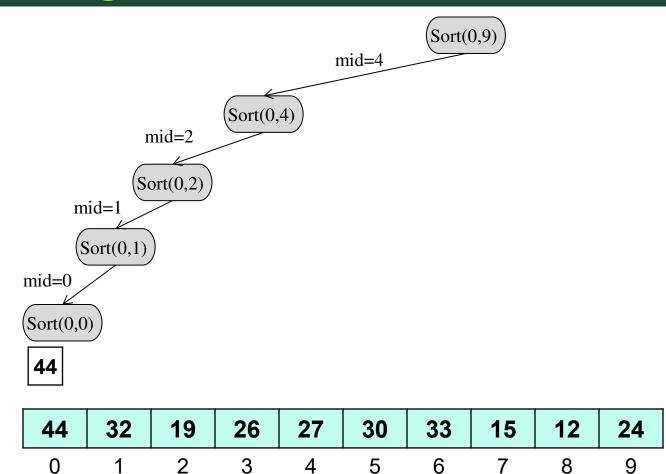
```
int a[10] = { 44, 32, 19, 26, 27, 36, 30, 15, 12, 24};
int b[10];
int a[10] = { 19, 32, ,44, 26, 27, 36, 30, 15, 12, 24};
int b[10];={ 19, 32, 44,};
```

```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```

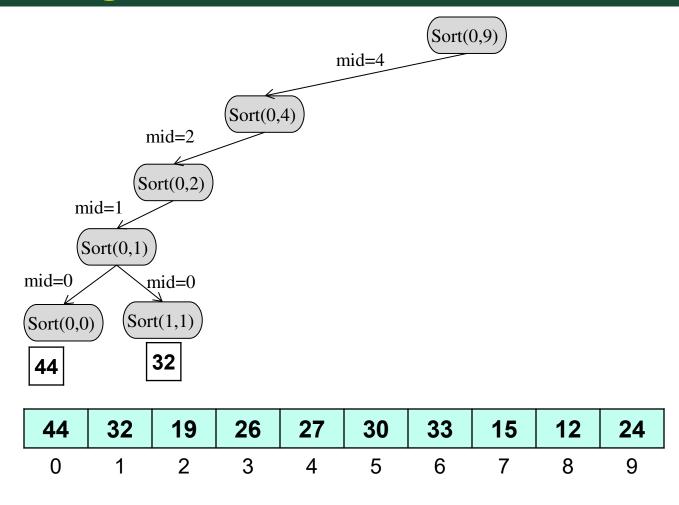




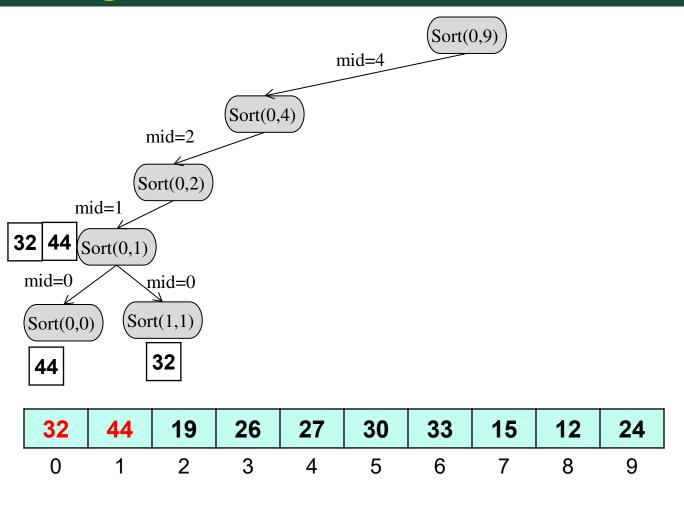
```
int a[10] = \{44, 32, 19, 26, 27, 36, 30, 15, 12, 24\};
int a[10] = \{19, 26, 27, 32, 44, 36, 30, 15, 12, 24\};
int b[10];={ 19, 26, 27, 32, 44};
               void sort(int low, int high) {
                 int mid;
                 if(low < high) {
                   mid = (low + high) / 2;
                    sort(low, mid);
                    sort(mid+1, high);
                    merging(low, mid, high);
                  } else {
                   return;
```



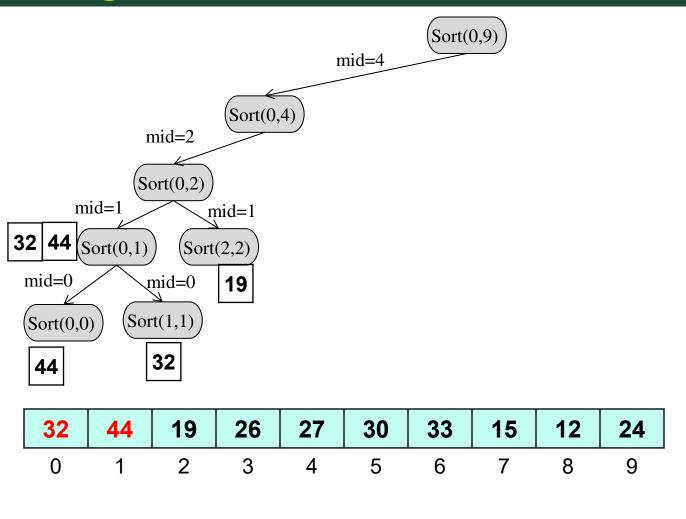
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void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```



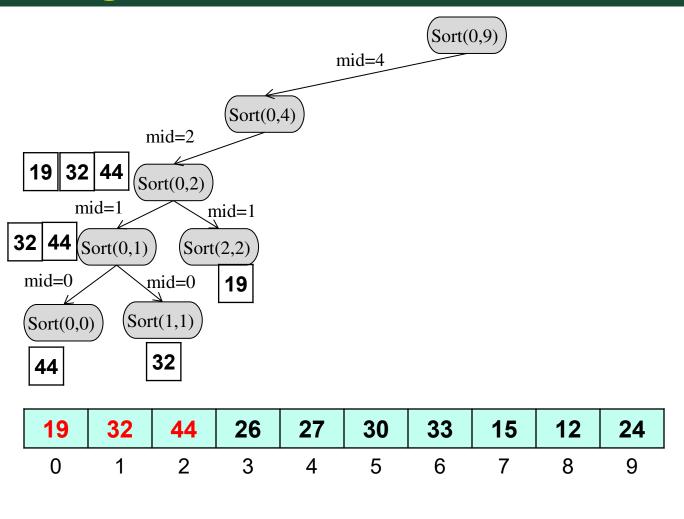
```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```



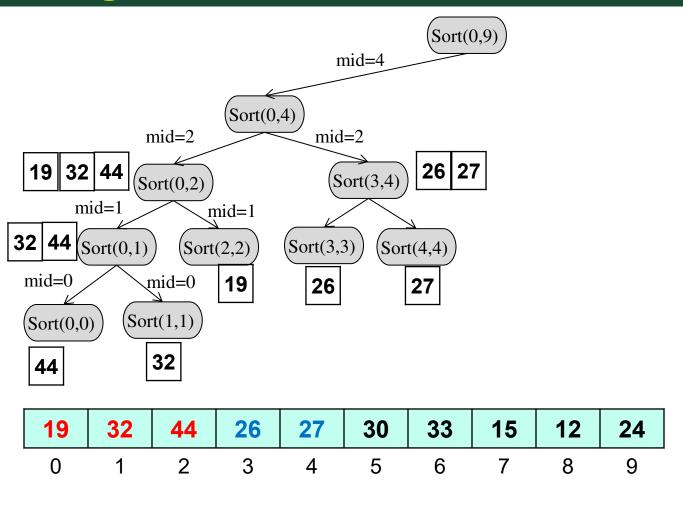
```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```



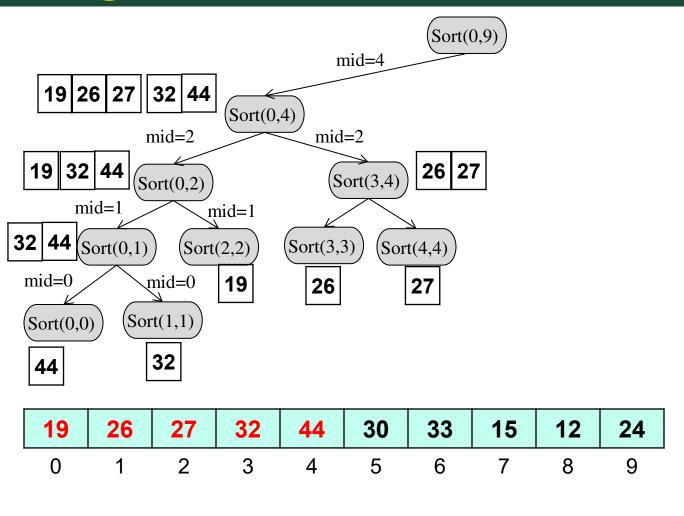
```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```



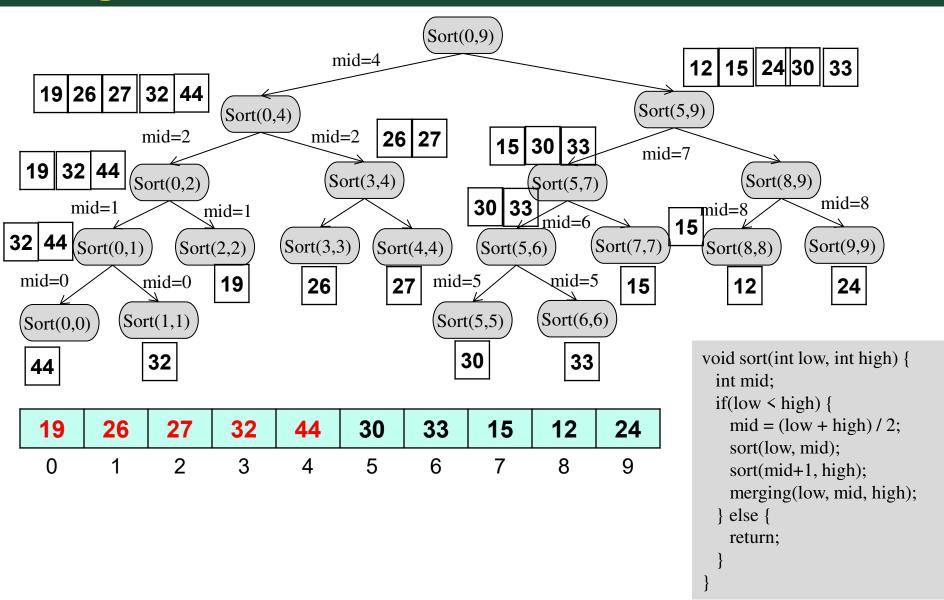
```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```

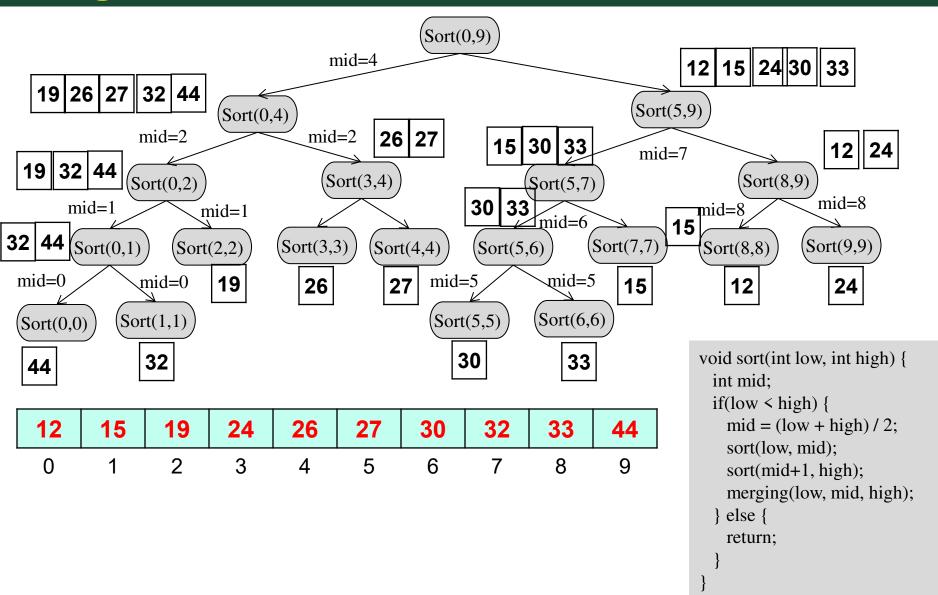


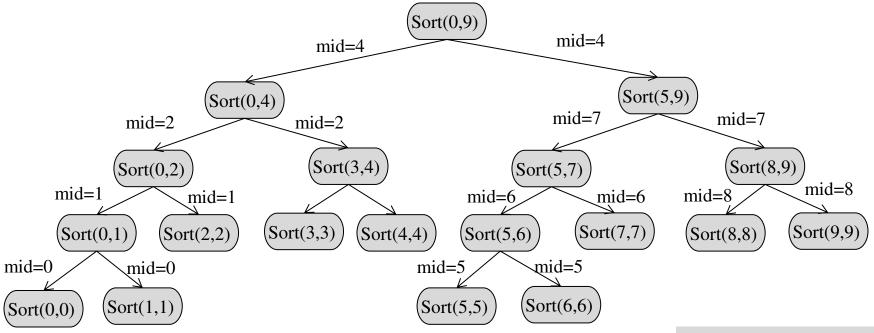
```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```



```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```







44	32	19	26	27	30	33	15	12	24
0	1	2	3	4	5	6	7	8	9

```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```

```
Sort(0,9)
                \sqrt{\text{mid}=4}
sort(0,4) \leftarrow \bigcirc Call left
sort(5,9) \leftarrow \longrightarrow pending
merging(0,4,9) \leftarrow pending
                                            mid=3
               \downarrow mid=2
sont(0,2) \leftarrow return
                                       sort(3,3)
sort(3,4) -
                                       sort(4,4)
                                                                      finished
merging(0,2,4) \leftarrow pending
                                       merging(3,4,4)
                \sqrt{\text{mid}}=1
sort(0,1) \leftarrow return
                                          finished
sort(2,2) \leftarrow
                    return
merging(0,1,2) \leftarrow return
                \psi mid=0
 sort(0,0) \leftarrow
                      return
sort(1,1) \leftarrow ---- return
                                         finished
 merging(0,0,1) \leftarrow return
```

```
int a[10] = { 44, 32, 19, 26, 27, 36, 30, 15, 12, 24};
int b[10];
int a[10] = { 19, 26, 27,, 32, 44, 36, 30, 15, 12, 24};
int b[10];={ 19, 26, 27,, 32, 44};
```

```
void sort(int low, int high) {
  int mid;
  if(low < high) {
    mid = (low + high) / 2;
    sort(low, mid);
    sort(mid+1, high);
    merging(low, mid, high);
  } else {
    return;
  }
}</pre>
```

```
#include <stdio.h>
#define n 9
int a[10] = \{44, 32, 18, 26, 27, 36, 30, 15, 12, 24\};
int b[10];
void merging(int low, int mid, int high) {
 int 11, 12, i;
 for(11 = low, 12 = mid + 1, i = low; 11 \leq mid &&
12 \le \text{high}; i++) \{
    if(a[11] \le a[12])
      b[i] = a[11++];
    else
      b[i] = a[12++];
  while(11 \le mid)
    b[i++] = a[11++];
  while(12 \le high)
    b[i++] = a[12++];
 for(i = low; i \le high; i++)
    a[i] = b[i];
```

```
void sort(int low, int high) {
 int mid:
 if(low < high) {
   mid = (low + high) / 2;
   sort(low, mid);
    sort(mid+1, high);
   merging(low, mid, high);
  } else {
   return;
int main() {
 int i;
  printf("List before sorting\n");
 for(i = 0; i \le n; i++)
   printf("%d ", a[i]);
  sort(0, max);
  printf("\nList after sorting\n");
 for(i = 0; i \le n; i++)
   printf("%d ", a[i]);
```

Complexity of Merge Sort

Let T(n)= time taken to sort n elements T(n/2)= time taken to sort $left\ half$ elements T(n/2)= time taken to sort $right\ half$ elements No. of comparisons needed to merge n/2 elements each is n

```
T(n)=T(n/2)+T(n/2)+n
=2T(n/2)+n .....(i)
T(n/2)=2T(n/4)+n/2
Substituting T(n/2) in (i)
So T(n)=2\{2T(n/4)+n/2\}+n
=2^2 T(n/2^2) + 2n
:
:
:
T(n)=2^k T(n/2^k) + kn
```

We know time needed to sort 1 element, T(1)=1Let at k step, $n/2^k=1$ $\Rightarrow n=2^k$, $k=\log_2 n$ $T(n)=2^k T(n/2^k) + kn$ $=nT(1)+n*\log_2 n$ $=n+n*\log_2 n$ $T(n)=O(n*\log_2 n)$

Complexity of Sorting/Searching Algorithm

```
Binary Search: O(log<sub>2</sub>n)
Sequential Search: O(n)
Quick Sort: O(nlog<sub>2</sub>n)
Merge Sort: O(nlog<sub>2</sub>n)
Insertion Sort: O(n<sup>2</sup>)
Bubble Sort: O(n<sup>2</sup>)
Selection Sort: O(n<sup>2</sup>)
Heap Sort: O(nlog<sub>2</sub>n)
```