

PISA Data Analysis Manual

SPSS® SECOND EDITION



Programme for International Student Assessment



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SPSS, SECOND EDITION

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Foreword

The OECD Programme for International Student Assessment (PISA) surveys, which take place every three years, have been designed to collect information about 15-year-old students in participating countries. PISA examines how well students are prepared to meet the challenges of the future, rather than how well they master particular curricula. The data collected during each PISA cycle are an extremely valuable source of information for researchers, policy makers, educators, parents and students. It is now recognised that the future economic and social wellbeing of countries is closely linked to the knowledge and skills of their populations. The internationally comparable information provided by PISA allows countries to assess how well their 15-year-old students are prepared for life in a larger context and to compare their relative strengths and weaknesses. The initial results for PISA 2000 is found in *Knowledge and Skills for Life – First Results from PISA 2000* (OECD, 2001), for PISA 2003 in *Learning for Tomorrow's World – First Results from PISA 2003* (OECD, 2004a) and for PISA 2006 in *PISA 2006: Science Competencies for Tomorrow's World* (OECD, 2007).

The *PISA Data Analysis Manual* has been developed to provide researchers with various techniques needed to correctly analyse the complex databases. It helps researchers confidently replicate procedures used for the production of the PISA initial reports and thematic reports, and accurately undertake new analyses in areas of special interest. In addition to the inclusion of the necessary techniques, the manual also includes a detailed account of the PISA 2006 database. This information for PISA 2000 is found in the *PISA 2000 Database Manual* (OECD, 2002a) and for PISA 2003 in the *PISA 2003 Data Analysis Manual* (OECD, 2005b)

This *PISA Data Analysis Manual* is a revised edition of the *PISA 2003 Data Analysis Manual* (OECD, 2005b). The chapters in the manual are expanded to cover various analytical issues in PISA in general, while applying examples from all available PISA surveys – PISA 2000, PISA 2003 and PISA 2006.

The following new features are included in this manual:

- Chapter 1 is expanded to include how the PISA data can be used, and what can be examined with the PISA data for various stakeholders such as policy makers, researchers, experts on survey methodology.
- Chapter 2 is new and provides a brief description of important features of the PISA data and a section introducing “shortcut” approaches which will facilitate the cumbersome computation process using plausible values and replicate weights. This chapter also provides a detailed description of when these shortcut approaches can be used as well as advantages and disadvantages of using them.
- Chapters 3 to 13 are largely based on the *PISA 2003 Data Analysis Manual* (OECD, 2005b), but the following updates and modifications are implemented in the macros.
 - The macro for regression analysis has a new function to compute R-square and its standard error.
 - All macros have a new function of flagging “cautious” statistics which are based on too few observations. Users can set the criteria for the minimum number of students and schools as well as the minimum percentage.



- Chapter 14 is expanded to include more examples such as added values analysis, which examines the student residuals of a regression with school factors. The following new macros intended to facilitate computation are also presented:
 - macro for quartile of indices and mean performance by the quartile with standard errors,
 - macro for relative risk,
 - macro for effect size.
- Chapter 15 is expanded to include an introduction to a three-level model. Macros for multilevel (two-level) regression are also developed and these provide the decomposition of variance of continuous variables.
- Chapter 16 is new and provides three examples of possible analysis with the PISA data.

PISA is a collaborative effort by its participating countries, guided by their governments on the basis of shared policy-driven interests. Representatives of each country form the PISA Governing Board, which decides on the assessment and reporting of results in PISA.

There are two versions of this manual – one for SAS® users and one for SPSS® users. The OECD recognises the creative work of Christian Monseur in preparing the text, syntax and macros for both versions of the manual. The coding for the SPSS® user manual was prepared by Eveline Gebhardt and Alexander Daraganov. The main editorial work was carried out by Miyako Ikeda, Sophie Vayssettes and Maciej Jakubowski in the OECD secretariat with the help of Julie Harris. The PISA assessments and the data underlying the manuals were prepared by the PISA Consortium under the direction of Raymond Adams.

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Chair of the PISA Governing Board

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User's Guide

Preparation of data files

All data files (in text format) and the SPSS® control files are available on the PISA website (www.pisa.oecd.org).

SPSS® users

By running the SPSS® control files, the PISA data files are created in the SPSS® format. Before starting analysis in the following chapters, save the PISA 2000 data files in the folder of “c:\pisa2000\data\”, the PISA 2003 data files in “c:\pisa2003\data\”, and the PISA 2006 data files in “c:\pisa2006\data\”.

SPSS® syntax and macros

All syntaxes and macros in this manual can be copied from the PISA website (www.pisa.oecd.org). These macros were developed for SPSS 17.0. The 19 SPSS® macros presented in Chapter 17 need to be saved under “c:\pisa\macro\”, before starting analysis. Each chapter of the manual contains a complete set of syntaxes, which must be done sequentially, for all of them to run correctly, within the chapter.

Rounding of figures

In the tables and formulas, figures were rounded to a convenient number of decimal places, although calculations were always made with the full number of decimal places.

Country abbreviations used in this manual

AUS	Australia	FRA	France	MEX	Mexico
AUT	Austria	GBR	United Kingdom	NLD	Netherlands
BEL	Belgium	GRC	Greece	NOR	Norway
CAN	Canada	HUN	Hungary	NZL	New Zealand
CHE	Switzerland	IRL	Ireland	POL	Poland
CZE	Czech Republic	ISL	Iceland	PRT	Portugal
DEU	Germany	ITA	Italy	SVK	Slovak Republic
DNK	Denmark	JPN	Japan	SWE	Sweden
ESP	Spain	KOR	Korea	TUR	Turkey
FIN	Finland	LUX	Luxembourg	USA	United States



1

The Usefulness of PISA Data for Policy Makers, Researchers and Experts on Methodology

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PISA – AN OVERVIEW

Are students well prepared to meet the challenges of the future? Are they able to analyse, reason and communicate their ideas effectively? Have they found the kinds of interests they can pursue throughout their lives as productive members of the economy and society? The OECD Programme for International Student Assessment (PISA) seeks to provide some answers to these questions through its surveys of key competencies of 15-year-old students. PISA surveys are administered every three years in OECD member countries and a group of partner countries, which together make up close to 90% of the world economy.¹

PISA assesses the extent to which students near the end of compulsory education have acquired some of the knowledge and skills that are essential for full participation in society. It focuses on student competencies in the key subject areas of reading, mathematics and science. PISA seeks to assess not merely whether students can reproduce what they have learned, but also to examine how well they can extrapolate from what they have learned and apply their knowledge in novel settings, both in school and non-school contexts.

The PISA surveys

PISA focuses on young people's ability to use their knowledge and skills to meet real-life challenges. This orientation reflects a change in the goals and objectives of curricula themselves, which are increasingly concerned with what students can do with what they learn and not merely with whether they have mastered specific curricular content.

Key features driving the development of PISA have been its:

- policy orientation, which connects data on student-learning outcomes with data on students' characteristics and on key factors shaping their learning in and out of school in order to draw attention to differences in performance patterns, and to identify the characteristics of schools and education systems that have high performance standards;
- innovative "literacy" concept, which is concerned with the capacity of students to apply knowledge and skills in key subject areas and to analyse, reason and communicate effectively as they pose, solve and interpret problems in a variety of situations;
- relevance to lifelong learning, which does not limit PISA to assessing students' curricular and cross-curricular competencies, but also asks them to report on their own motivation to learn, their beliefs about themselves, and their learning strategies;
- regularity, which enables countries to monitor their progress in meeting key learning objectives;
- breadth of geographical coverage and collaborative nature, which in PISA 2006 encompasses the 30 OECD member countries and 27 partner countries and economies.

The relevance of the knowledge and skills measured by PISA is confirmed by recent studies tracking young people in the years after they have been assessed by PISA. Studies in Australia, Canada and Denmark display a strong relationship between the performance in reading on the PISA 2000 assessment at age 15 and the chance of a student completing secondary school and of carrying on with post-secondary studies at age 19. For example, Canadian students who had achieved reading proficiency Level 5 at age 15 were 16 times more likely to be enrolled in post-secondary studies when they were 19-years-old than those who had not reached the reading proficiency Level 1 (Knighton and Bussiere, 2006).²

PISA is the most comprehensive and rigorous international programme to assess student performance and to collect data on the student, family and institutional factors that can help to explain differences in performance. Decisions about the scope and nature of the assessments and the background information to be collected



are made by leading experts in participating countries, and are steered jointly by governments on the basis of shared, policy-driven interests. Substantial efforts and resources are devoted to achieving cultural and linguistic breadth and balance in the assessment materials. Stringent quality assurance mechanisms are applied in translation, sampling and data collection. Consequently, the results of PISA have a high degree of validity and reliability, and can significantly improve understanding of the outcomes of education in the world's most economically developed countries, as well as in a growing number of countries at earlier stages of economic development.

Although PISA was originally created by the governments of OECD countries, it has now become a major assessment tool in regions around the world. The first PISA survey was conducted in 2000 in 28 OECD countries and 4 partner countries, using written tasks answered in schools under independently supervised test conditions following consistently applied standards. Another 11 partner countries participated in the same survey in late 2001 or early 2002. The second survey was conducted in 2003 in 30 OECD countries and 11 partner countries/economies and in 2006 the third survey included 30 OECD countries and 27 partner countries/economies. In 2009, PISA will be carried out in 30 OECD countries and 37 partner countries/economies. Table 1.1 provides the list of participating countries/economies where PISA 2000, PISA 2003 and PISA 2006 have been conducted and PISA 2009 is planned.

Table 1.1
Participating countries/economies in PISA 2000, PISA 2003, PISA 2006 and PISA 2009

	OECD countries	Partner countries
PISA 2000	Australia; Austria; Belgium; Canada; Czech Republic; Denmark; Finland; France; Germany; Greece; Hungary; Iceland; Ireland; Italy; Japan; Korea; Luxembourg; Mexico; Netherlands; ^a New Zealand; Norway; Poland; Portugal; Spain; Sweden; Switzerland; United Kingdom; United States	Albania; Argentina; Brazil; Bulgaria; Chile; Hong Kong-China; Indonesia; Israel; Latvia; Liechtenstein; Macedonia; Peru; Romania; Russian Federation; Thailand
PISA 2003	Australia; Austria; Belgium; Canada; Czech Republic; Denmark; Finland; France; Germany; Greece; Hungary; Iceland; Ireland; Italy; Japan; Korea; Luxembourg; Mexico; Netherlands; New Zealand; Norway; Poland; Portugal; Slovak Republic; Spain; Sweden; Switzerland; Turkey; United Kingdom; ^b United States	Brazil; Hong Kong-China; Indonesia; Liechtenstein; Latvia; Macao-China; Russian Federation; Thailand; Tunisia; Uruguay; Serbia ^c
PISA 2006	Australia; Austria; Belgium; Canada; Czech Republic; Denmark; Finland; France; Germany; Greece; Hungary; Iceland; Ireland; Italy; Japan; Korea; Luxembourg; Mexico; Netherlands; New Zealand; Norway; Poland; Portugal; Slovak Republic; Spain; Sweden; Switzerland; Turkey; United Kingdom; United States ^d	Argentina; Azerbaijan; Brazil; Bulgaria; Chile; Colombia; Croatia; Estonia; Hong Kong-China; Indonesia; Israel; Jordan; Kyrgyzstan; Latvia; Lithuania; Macao-China; Republic of Montenegro; Qatar; Romania; Russian Federation; Republic of Serbia; Slovenia; Chinese Taipei; Thailand; Tunisia; Uruguay
PISA 2009	Australia; Austria; Belgium; Canada; Czech Republic; Denmark; Finland; France; Germany; Greece; Hungary; Iceland; Ireland; Italy; Japan; Korea; Luxembourg; Mexico; Netherlands; New Zealand; Norway; Poland; Portugal; Slovak Republic; Spain; Sweden; Switzerland; Turkey; United Kingdom; United States	Albania; Argentina; Azerbaijan; Brazil; Bulgaria; Chile; Colombia; Croatia; Dominican Republic; Dubai (UAE); Estonia; Hong Kong-China; Indonesia; Israel; Jordan; Kazakhstan; Kyrgyzstan; Latvia; Liechtenstein; Lithuania; Macao-China; Republic of Moldova; Republic of Montenegro; Panama; Peru; Qatar; Romania; Russian Federation; Republic of Serbia; Shanghai-China; Singapore; Slovenia; Chinese Taipei; Thailand; Trinidad and Tobago; Tunisia; Uruguay

a. Response rate is too low to ensure comparability. See Annex 3 in OECD (2003), *Literacy Skills for the World of Tomorrow – Further Results from PISA 2000*, OECD, Paris.

b. Response rate is too low to ensure comparability. See Annex 3 in OECD (2004), *Learning for Tomorrow's World – First Results from PISA 2003*, OECD, Paris.

c. For the country Serbia and Montenegro, data for Montenegro are not available in PISA 2003. The latter accounts for 7.9% of the national population. The name "Serbia" is used as a shorthand for the Serbian part of Serbia and Montenegro.

d. Reading results are not available in PISA 2006. See Chapter 6 in OECD (2007), *PISA 2006 – Science Competencies for Tomorrow's World*, OECD, Paris.

Together with the PISA 2000 and PISA 2003 surveys, PISA 2006 completes the first cycle of assessment in the three major subject areas – reading, mathematics and science. PISA is now conducting a second cycle of surveys, beginning in 2009 with reading as the major subject and continuing in 2012 (mathematics) and 2015 (science).



Table 1.2
Assessment domains covered by PISA 2000, PISA 2003 and PISA 2006

	Major domain	Minor domains
PISA 2000	Reading literacy	Mathematical literacy Scientific literacy
PISA 2003	Mathematical literacy	Reading literacy Scientific literacy Problem solving
PISA 2006	Scientific literacy	Mathematical literacy Reading literacy

PISA defines the assessment major domains as follows:

- **Reading literacy:** An individual's capacity to understand, use and reflect on written texts, in order to achieve one's goals, to develop one's knowledge, and potential and to participate in society.
- **Mathematical literacy:** An individual's capacity to identify and understand the role that mathematics plays in the world, to make well-founded judgements and to use and engage with mathematics in ways that meet the needs of that individual's life as a constructive, concerned and reflective citizen.
- **Scientific literacy:** An individual's scientific knowledge and use of that knowledge to identify questions, to acquire new knowledge, to explain scientific phenomena, and to draw evidence-based conclusions about science-related issues, understanding of the characteristic features of science as a form of human knowledge and enquiry, awareness of how science and technology shape our material, intellectual, and cultural environments, and willingness to engage in science-related issues, and with the ideas of science, as a reflective citizen.

Across the world, policy makers are using PISA findings to: gauge the knowledge and skills of students in their own country in comparison with those of other participating countries; establish benchmarks for educational improvement, for example, in terms of the mean scores achieved by other countries or their capacity to provide high levels of equity in educational outcomes and opportunities; and understand relative strengths and weaknesses of their education systems. The interest in PISA is illustrated by the many reports produced in participating countries,³ the numerous references to PISA results in public debates and the intense media attention shown to PISA throughout the world.

HOW CAN PISA CONTRIBUTE TO EDUCATIONAL POLICY, PRACTICE AND RESEARCH?

PISA does not necessarily answer all questions asked by policy makers, educators or educational researchers. In particular, the following aspects need to be considered, both in terms of restrictions and of potentialities related to the study design:

- PISA is measuring "knowledge and skills" for life and does not have a strong "curricular" focus. This limits the extent to which the study will be able to explore relationships between differences in achievement and differences in the intended or implemented curricula. On the other hand, special consideration is given to the out-of-school factors with a potential of enhancing cognitive and affective learning outcomes.
- PISA students are randomly sampled within schools, not from intact classrooms or courses and therefore come from different learning environments with different teachers and, possibly, different levels of instruction. Consequently, classroom-level variables, including teacher-level variables, can only be collected either at the individual student level or at the school level. PISA does not therefore automatically provide specific recommendations on how teachers should teach.



- PISA uses an age-based definition of the target population. This is particularly appropriate for a yield-oriented study, and provides a basis for in-depth exploration of important policy issues, such as the effects of a number of structural characteristics of educational systems (e.g. the use of "comprehensive" versus "tracked" study programmes, or the use of grade repetition). On the other hand, the inclusion in the study of an increasing number of non-OECD countries (where the enrolment rate for the 15-year-old age group is maybe less than 100%) requires that a proportion of 15-year-olds still in school be taken into account in the analysis of between-countries differences.

Further, educational issues or challenges highly depend on economical and societal contexts; therefore, what is relevant for one country might be totally irrelevant for another country. As contextual questionnaires have a limited length, testing constraints require making choices in the data that will be collected.

Finally, PISA data do not prove causal relationships. Implementing a pedagogical practice or structure in a country based on the observed outcomes in other countries where these practices or structures exist does not guarantee the success of a given reform. Educational systems largely differ and even if some characteristics seem to be associated with higher performance, PISA does not look at the details of policies and practices within schools at a micro level, and therefore cannot describe how these educational features interact.

However, as PISA is designed to provide schools, local communities and countries with an opportunity to identify their own strengths and weaknesses, a large set of pointers can be identified and communicated to policy makers. Through the additional collection of information on students and their educational environments, PISA allows the identification of social, cultural, economic and educational factors that are associated with student performance. Using the data from questionnaires, analyses linking contextual information with student outcomes allows PISA to address differences:

- between countries in the relationships between student level factors (such as gender and socio-economic background) and outcomes;
- across countries in the relationships between school level factors and outcomes;
- in the proportion of variation in outcomes between (rather than within) schools, and differences in this value across countries;
- between countries in the extent to which schools moderate or increase the effects of individual-level student factors and student outcomes;
- in educational systems and national contexts that are related to differences in student outcomes across countries;
- in any or all of these relationships over time.

The OECD has largely been analysing the results of the first three cycles of PISA and their implications for policy makers. The most important key findings are summarised in the next section.

Key results from PISA 2000, PISA 2003 and PISA 2006

Differences between countries

At the education system level, PISA has and will continue to inform countries on their average performance and more importantly, how this performance evolves over time. The PISA initial reports (OECD, 2001, 2004, 2007) show that among OECD countries, only 10% to 15% of the variation in student performance lies between countries. These results may suggest that the major issue is located within countries. However, country differences in performance should not be underestimated. The expected growth in student performance for one year of schooling is usually estimated at about 0.30 standard deviation. For instance, the difference between



the averages of lower grade and upper grade in the Third International Mathematic and Science Study is equal to 0.29 standard deviation (Beaton *et al.*, 1996). In this context, more than two years of schooling separate the top performing OECD countries and the bottom performing OECD countries. Obviously, this difference is large enough for investigating factors that may be associated with higher performance at the country level. A few major features can be identified from the first three data collections of PISA:

- **High performing countries in one domain tend to perform well in other domains.** The correlations between domains at the country level are all above 0.90. Top performing countries in one domain are also among top performing countries in the other domains and the reverse. This result may reflect high or low demanding curricula across domains but may also reflect the effect of economical background, such as gross domestic product (GDP) or the influence of educational structures.
- **Differences in pattern of results according to how students are admitted to schools, grouped across schools and grouped within schools.** In schools systems where students are divided into different school groups at relatively early ages, the socio-economic differences in performance by age 15 are relatively large through school composition effects, while the average level of performance is not higher compared to comprehensive education systems. This is likely one of the most important clear-cut finding of PISA: differentiation at an early age damages equity without any discernible benefit for quality. Equity and quality are not incompatible aims. This result is even reinforced by the longitudinal profile of Poland. In 1999, Poland implemented a massive reform of the schooling system, which now provides a more integrated educational system. Between 2000 and 2003, Poland succeeded in raising the average performance of 15-year-olds for the three domains assessed by PISA. A more detailed analysis also showed that this improvement is mainly attributable to an increase at the lower end of the distribution.
- **Higher performance in schools that keep track of student performance at a public level.** Performance standards can only be maintained if they are consistently implemented and assessed. Different countries use various forms of external assessment, external evaluation or inspection, and schools' own quality assurance and self-evaluation efforts. While there is no single model that best supports school improvement, higher performing countries in PISA have been putting increased emphasis on the monitoring of their schooling systems.
- **Higher performance in countries giving more autonomy to schools to formulate the school budget and to decide on budget allocations within the school even after accounting for other school and system level factors as well as demographic and socio-economic factors.** Similarly, students in educational systems that give more autonomy to schools in educational matters such as textbooks and courses offered, tend to perform better, but this effect is not significant after accounting for some other school and system level factors.

Differences between schools within countries

PISA 2000, PISA 2003 and PISA 2006 showed that the percentage of variation in student performance between schools varies greatly from one country to another. On average, at the OECD level, about 30% of this variation lies between schools. However, northern European countries consistently present across cycle percentages below 20% while in some countries like Belgium, Germany and Austria, more than 50% of variation in student performance lies between schools. A few factors that correlate with school performance have been isolated:

- **The school social intake is the strongest single factor associated with school performance.** It is not just the characteristics of an individual's family but also the characteristics of the families of other students in the school that are closely associated with how well students in the school performed in PISA. On average, students who attend schools with a more advantaged "social profile" are likely to show considerable



higher levels of performance than those attending less advantaged schools. This effect, usually denoted as the “school composition effect” in literature, tends to be higher in countries that differentiate students into various groups or tracks at an early age.

- **Higher performance is found in privately funded schools and in schools that compete for students, but there is no statistically significant effect in either case once the combined effect of individual student socio-economic background and the average socio-economic background of all students in the school are taken into account.** The performance of private schools does not tend to be higher once demographic and socio-economic factors have been taken into account.
- **A modest relationship exists between certain aspects of school resources and student outcomes.** However, much of this relationship disappears when the socio-economic status of the students is accounted for, thus suggesting that there is dependence between school resources and student socio-economic characteristics, *i.e.* students from high socio-economic backgrounds are attending schools with better educational resources.
- **Ability grouping for all subjects within schools appears to have a small negative effect.** Schools which tend to avoid grouping students by ability tend to perform better.
- **The atmosphere created by students and teachers has measurable positive effects.** The PISA results underline the particular importance of school climate as a factor affecting school performance. Its effect is more discernible than the level of school resources. However, school climate also correlates with student background characteristics, showing that the schools with better learning climates are generally attended by students from high socio-economic backgrounds.

Differences between students

Among the numerous relationships identified by PISA at the student level, the findings which are most relevant to policies are:

- **Overall, socio-economic difference is the strongest single factor associated with performance in PISA, accounting for about a fifth of all variation in student scores.**
- **The level of students' engagement at school and in a particular domain is related to educational outcomes.** For instance, those who are habitual readers and who enjoy reading are more likely than others to have high levels of reading literacy. Greater engagement in reading can be a consequence, as well as a cause. A student's overall engagement at school is also a key factor in secondary education. PISA also showed that schools where students perform poorly overall have a tendency to be those where students become disengaged.
- **There are strong relationships between students' attitudes, learning strategies and performance.** The evidence from PISA suggests that students who are more self-confident and highly motivated do better at school largely because they are more inclined to invest in learning strategies that work. These findings suggest that strategies to improve teaching and learning techniques need to do more than just offer students a learning toolkit. Students will only use learning tools if they feel motivated and believe in their capacity to learn.

FURTHER ANALYSES OF PISA DATASETS

As shown by these key findings, PISA offers an inexhaustible source of information for analysing educational issues, and testing hypotheses or educational models that can be translated into policy implications. Even if the initial three PISA and subsequent thematic reports made an extensive use of the PISA data, there are many other possible analyses that may have policy implications.



A substantial amount of variables collected at the student, parent and school levels by PISA are still underused. For example, one of the education issues that significantly interests policy makers and educators is the issue of student's homework. What is the relationship between performance and time spent on homework? Is this relationship consistent across educational systems or does it vary from one system to another? Are there any characteristics of the educational system that relate to the strength of the relationship between homework and performance? To what extent does this relationship interact with student family background and school characteristics? The next section will present a grid that organises contextual variables collected by the PISA surveys and that shows the breadth of information that can address relevant policy issues.

Some other variables, largely used in the OECD initial and thematic reports, also deserve further investigation, to gain a deeper understanding of how these variables are related to performance. Indeed, PISA has identified hundreds of relationships between students, schools and, to a lesser extent, country characteristics and performance. It also showed substantial country variability in the strength of these relationships, but such variation has not yet been fully examined. These data should be scrutinised in relation to the structure of educational systems. Our understanding of how educational systems work will indisputably increase once the variability in relationships is at least partly explained. For instance, PISA 2003 measured several student attitudes such as self-perception, motivation and anxiety in mathematics. As shown in the OECD initial report (OECD, 2004), the strength of the relationship varies extensively from one country to another. While intrinsic motivation explains about 10% of the variation in student performance in mathematics in northern European countries, it only explains 0.4% of the variation in the Netherlands. Does this mean that intrinsic motivation does not matter in the Netherlands? Interestingly, standardising student performance and intrinsic motivation within schools and within grades will substantially raise their respective correlation. Obviously, intrinsic motivation matters in the Netherlands, as in all highly tracked systems. This example illustrates how the structure of educational systems may affect survey outcomes. Furthermore, why is the gender difference in intrinsic motivation the largest in German-speaking countries, *i.e.* Austria, Germany, Liechtenstein, Luxembourg and Switzerland? Is it simply a language-by-item interaction or does it represent a broader cultural effect mediated by teachers? There are obviously economical, cultural and/or geographical similarities between educational systems and these similarities should guide to some extent the analyses conducted to better understand the variation of the strength in the relationships between performance and contextual variables. A better understanding of these variations will facilitate the translation of results into policy recommendations.

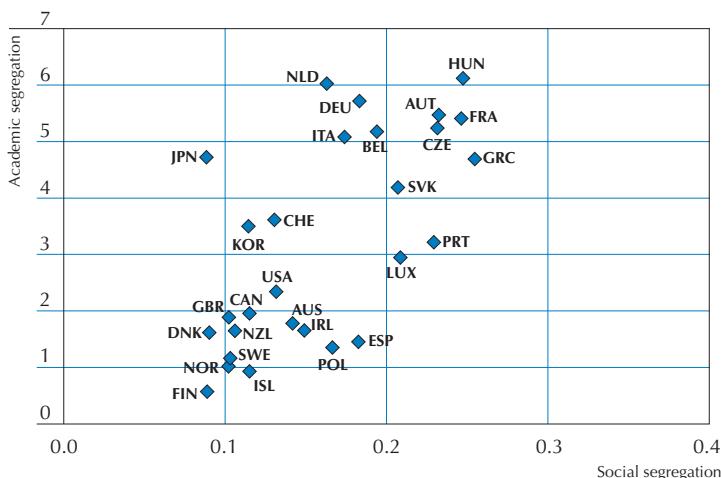
Additionally, the increasing diversity of educational systems participating in PISA provides a unique opportunity to measure the relationship between the characteristics of the educational systems and their respective performances or equity in educational opportunity. Quality and equity should be investigated in conjunction as much as possible because they are intimately intertwined. As previously mentioned, the large-scale reform in Poland consisting of the implementation of a comprehensive system has considerably reduced inequities in student performance, while raising the overall quality in education.

Monseur and Lafontaine (2008) have shown that the relationship between academic segregation and social segregation is intertwined (Figure 1.1). Academic and social segregations reflect the importance of grouping practices into different schools according to social or academic criteria. In this example, the academic segregation index is measured by the intraclass correlation, *i.e.* the percentage of variance that lies between schools for student performance in science in PISA 2006 and social segregation is measured by the intraclass correlation for the international socio-economic index of occupational status (HISEI) in PISA 2006. Unfortunately, it is not possible to know whether social segregation is an antecedent or a consequence of academic segregation. For example, in countries with a substantial percentage of students



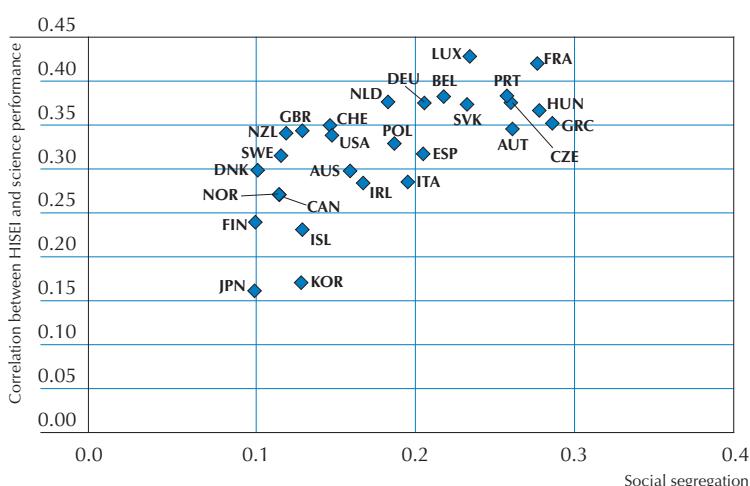
enrolled in private schools with admission fees, one might suspect that academic segregation is partly a consequence of social segregation. In other countries such as Belgium or Germany where students are grouped at an early age mainly by performance, social segregation may be a consequence of academic segregation.

Figure 1.1
Relationship between social and academic segregations



Social and academic segregations, largely mediated through differentiation, have substantial consequences on the equity of the education system. Figure 1.2 shows the relationship between social segregation and equity.

Figure 1.2
Relationship between social segregation and the correlation between science performance and student HISEI





Countries in which students tend to be grouped according to their social background usually present a higher correlation between performance in science and family socio-economic background. The difference in the strength of the correlations is significant as they range from about 0.15 (Japan and Korea) to slightly less than 0.45 (France and Luxembourg). The structure of educational systems is, therefore, obviously associated with the extent to which students' socio-economic background determines their performance.

The relationship between segregation and social inequities is summarised in Table 1.3.

Table 1.3
Correlation between social inequities and segregations at schools for OECD countries

Difference in performance between the 25th percentile of the most disadvantaged students and the 25th percentile of the most advantaged students			
	Reading literacy (PISA 2000)	Mathematical literacy (PISA 2003)	Scientific literacy (PISA 2006)
Academic segregation	0.33	0.66	0.44
Social segregation	0.44	0.47	0.52
Correlation between socio-economic background and performance			
	Reading literacy (PISA 2000)	Mathematical literacy (PISA 2003)	Scientific literacy (PISA 2006)
Academic segregation	0.36	0.44	0.40
Social segregation	0.60	0.57	0.70

Source: Monseur, C. & Crahay, M. (in press). Composition académique et sociale des établissements, efficacité et inégalités scolaires: une comparaison internationale. Analyse secondaire des données PISA 2006. Revue française de pédagogie.

The more a country groups students according to their academic performances or socio-economic background, the bigger the difference of performance between the 25% most disadvantaged students and the 25% most advantaged students, and the higher the correlation between socio-economic background and performance. As already stated, this relationship between the structure of the educational system and its social inequities cannot be interpreted as a causal relationship. However, it would be worth analysing how the indicators of social inequities, and in particular the correlation between socio-economic background and performance, will evolve in Poland.

Table 1.3 also reveals the strength of the PISA study. The relationship between a student, a school or an educational system characteristics and its respective performance can be analysed across domains within a data collection or across data collections for one particular domain. This would allow establishing the relationship between commonness and distinctiveness.

Finally, PISA is an outstanding source of data for methodological experts in the context of trend indicators. How stable are these trend indicators? How sensitive are they to the methodological context of the surveys and to the content of the test? These are only a few examples of methodological issues that can be investigated with the PISA data.

Contextual framework of PISA 2006

This section describes the contextual framework of PISA 2006 in order to present the breadth of information collected by PISA that can address relevant policy issues. Figure 1.3 presents a conceptual framework for organising variables collected by the PISA surveys: at the student level (or parent level), at the school level, and at the educational system level through contextual questionnaires. This figure does not present any causal relationships. Figure 1.3 consists of two dimensions: four different levels and three different types (e.g. antecedents, processes and outcomes):



- **At the system level**, the macro-economic, social, cultural and political contexts set constraints for the educational policies in a particular country. Outcomes at the system level are not only aggregated learning outcomes but also equity-related outcomes.
- **At the level of the educational institution** (this includes out-of-school providers), characteristics of the educational provider and its community context are antecedents for the policies and practices at the institutional level as well as the school climate for learning. Outcomes at this level are aggregates of individual learning outcomes and also differences in learning outcomes between subgroups of students.
- **At the level of the instructional units**, characteristics of teachers and the classrooms/courses are antecedents for the instructional settings and the learning environment; learning outcomes are aggregated individual outcomes.
- **At the student level**, student characteristics (e.g. gender, age, grade) and background (e.g. socio-economic status, parental involvement, language spoken at home, peer effects) are antecedents for the individual learning process (e.g. perseverance, time on task) and learning outcomes both in cognition and attitude.

Figure 1.3
Conceptual grid of variable types

Antecedents	Processes	Outcomes
Level of the educational system		
Macro-economic, social, cultural and political context	Policies and organisation of education	Outcomes at the system level
Level of educational institutions		
Characteristics of educational institutions	Institutional policies and practice	Outcomes at the institutional level
Level of instructional units		
Characteristics of instructional units	Learning environment	Outcomes at the level of instructional units
Level of individual learners		
Student background and characteristics	Learning at the individual level	Individual learning outcomes

Hypotheses about (at least some of) the relationships between the elements in this two-dimensional grid can be derived from existing conceptual frameworks and subsequent research. Existing conceptual models typically assume antecedents to influence processes, which in turn produce learning outcomes, and conditions on higher levels are usually supposed to impact on those at lower levels.

Some models also expect that outcome variables have an effect on the learning process and thus, allow for a non-recursive relationship between learning process and learning outcomes. For example, positive or negative experiences with subject-matter learning can influence process variables such as habits and attitudes towards the learning of a subject, increase or decrease of the amount of time spent on homework, etc. Another example is long-term interest in a subject or domain, which can be the outcome of learning but can also affect the students' commitment to learning.

It also needs to be recognised that vertical or horizontal relationships may not be the only explanations for differences in learning outcomes. Antecedents at the school level, for example, are often influenced by process variables at the system level, such as educational policies. As another example, the possibility that the socio-cultural context (antecedent at the system level) might have an influence on instructional practices (process at the classroom level) can in turn lead to differences in student outcomes.

**Figure 1.4****Two-dimensional matrix with examples of variables collected or available from other sources**

	Antecedents	Processes	Outcomes
The education system as a whole	<p>Cell 1: Macro-economic and demographic context <i>For example:</i></p> <ul style="list-style-type: none">▪ Gross Domestic Product▪ Distribution of wealth (Gini index)▪ Percentage of immigrants	<p>Cell 5: Policies and organisation of education <i>For example:</i></p> <ul style="list-style-type: none">▪ Organisation of education (school autonomy, programme structure)▪ Teacher qualifications and training requirements▪ School entry age, retention	<p>Cell 9: Outcomes at the level of the education system <i>For example:</i></p> <ul style="list-style-type: none">▪ System level aggregates of: reading, mathematical and scientific literacy▪ Habits in relation to content domains▪ Attitudinal outcomes▪ Life skills and learning strategies▪ Equity related outcomes
Educational institutions	<p>Cell 2: Characteristics of educational institutions <i>For example:</i></p> <ul style="list-style-type: none">▪ The involvement of parents▪ Social intake▪ Source of funding, location and size▪ Type of educational provider (e.g. out-of-school, educational media programme)	<p>Cell 6: Institutional policies and practice <i>For example:</i></p> <ul style="list-style-type: none">▪ Instructional support including both material and human resources▪ Policies and practices, including assessment and admittance policies▪ Activities to promote student learning	<p>Cell 10: Learning outcomes at the institutional level <i>For example:</i></p> <ul style="list-style-type: none">▪ Institution level aggregates of: reading, mathematical and scientific literacy▪ Habits in relation to content domains▪ Affective outcomes (e.g. attitudes to mathematics)▪ Life skills and learning strategies▪ Differences in outcomes for students of various backgrounds
Instructional settings	<p>Cell 3: Characteristics of instructional settings <i>For example:</i></p> <ul style="list-style-type: none">▪ Teacher qualifications▪ Classroom size	<p>Cell 7: Learning environment <i>For example:</i></p> <ul style="list-style-type: none">▪ Ability grouping▪ Teaching styles▪ Learning time	<p>Cell 11: Learning outcomes at the level of instructional setting <i>For example:</i></p> <ul style="list-style-type: none">▪ Classroom motivation to learn▪ Average classroom performance
Individual participants in education and learning	<p>Cell 4: Individual background <i>For example:</i></p> <ul style="list-style-type: none">▪ Parental occupational status▪ Parental educational level▪ Educational resources at home▪ Ethnicity and language▪ Age and gender	<p>Cell 8: Individual learning process <i>For example:</i></p> <ul style="list-style-type: none">▪ Engagement and attitudes to science▪ Self-concept and self-efficacy when learning science▪ Motivation to learn science	<p>Cell 12: Individual outcomes <i>For example:</i></p> <ul style="list-style-type: none">▪ Reading, mathematical and scientific literacy▪ Affective outcomes (e.g. attitudes to science)



Hundreds of relationships can be derived from this grid and therefore it would be impossible to represent all of them in a single model. Models would be different depending on the interest of researchers.

Figure 1.4 presents a two-dimensional matrix with examples of variables collected or available from other sources for PISA 2006. As shown earlier, PISA does not limit student outcomes to academic performance. PISA also measures student's self-related cognitions (self-concept, self-efficacy), learning strategies, long-term interest in a subject and educational expectations. Figure 1.4 clearly demonstrates the diversity of information collected by PISA, which can provide empirical support to issues addressed by educators, psychologists, sociologists, economists and so on.

Influence of the methodology on outcomes

The main objectives of the PISA surveys condition the definition of its target population, sampling design, what is to be assessed and, to a lesser extent, the data collection procedures. All these methodological components might affect the survey outcomes and any researchers analysing the PISA data and interpreting the results should always contextualise the results in the survey methodology.

It is not always easy to know beforehand which methodological component will affect the survey outcomes. In PISA, the definition of the target population is certainly one of the methodological components that have such potential influence. Indeed, by selecting an age population, in a number of the participating educational systems (depending on the grade retention policy) target students are enrolled in more than one grade. Moreover, as the target population more or less corresponds to the end of compulsory education, 15-year-olds are, in some countries, distributed in different types of schools. Table 1.4 presents the distribution of students per grade and per International Standard Classification of Education (ISCED) level. With just a few exceptions, 15-year-olds are distributed in both lower secondary and upper secondary education.

Table 1.4
Distribution of students per grade and per ISCED level in OECD countries (PISA 2006)

	Grade 7	Grade 8	Grade 9	Grade 10	Grade 11	Grade 12	Grade 13	ISCED 2	ISCED 3
OECD									
Australia	0.0	0.1	9.2	70.8	19.8	0.1	0.0	80.1	19.9
Austria	0.3	6.4	44.6	48.7	0.0	0.0	0.0	6.7	93.3
Belgium	0.4	4.4	31.1	63.2	1.0	0.0	0.0	6.8	93.2
Canada	0.0	1.7	13.3	83.8	1.2	0.0	0.0	15.0	85.0
Czech Republic	0.6	3.5	44.3	51.5	0.0	0.0	0.0	50.4	49.6
Denmark	0.2	12.0	85.3	1.4	1.1	0.0	0.0	98.9	1.1
Finland	0.2	11.7	88.1	0.0	0.0	0.0	0.0	100.0	0.0
France	0.0	5.2	34.8	57.5	2.4	0.0	0.0	40.0	60.0
Germany	1.6	12.3	56.5	29.3	0.3	0.0	0.0	97.3	2.7
Greece	0.5	2.1	5.3	78.8	13.3	0.0	0.0	8.0	92.0
Hungary	2.2	5.5	65.7	26.6	0.0	0.0	0.0	7.7	92.3
Iceland	0.0	0.0	0.2	99.2	0.6	0.0	0.0	99.4	0.6
Ireland	0.0	2.7	58.5	21.2	17.5	0.0	0.0	61.3	38.7
Italy	0.3	1.5	15.0	80.4	2.8	0.0	0.0	1.7	98.3
Japan	0.0	0.0	0.0	100.0	0.0	0.0	0.0	0.0	100.0
Korea	0.0	0.0	2.0	97.3	0.7	0.0	0.0	2.0	98.0
Luxembourg	0.2	11.8	53.4	34.4	0.1	0.0	0.0	64.2	35.8
Mexico	2.3	8.1	33.5	48.9	5.1	2.0	0.0	44.5	55.5
New Zealand	0.0	0.0	0.0	6.2	89.4	4.4	0.0	6.2	93.8
Norway	0.0	0.0	0.5	99.0	0.5	0.0	0.0	99.5	0.5
Poland	0.6	3.8	95.0	0.6	0.0	0.0	0.0	99.4	0.6
Portugal	6.6	13.1	29.5	50.7	0.2	0.0	0.0	50.2	49.8
Slovak Republic	0.7	2.2	38.5	58.7	0.0	0.0	0.0	38.6	61.4
Spain	0.1	7.0	33.0	59.8	0.0	0.0	0.0	100.0	0.0
Sweden	0.0	1.9	95.9	2.2	0.0	0.0	0.0	97.8	2.2
Switzerland	0.8	16.1	62.6	20.3	0.3	0.0	0.0	82.8	17.2
Turkey	0.8	4.5	38.4	53.7	2.6	0.0	0.0	5.3	94.7
United Kingdom	0.0	0.0	0.0	0.9	98.4	0.7	0.0	0.5	99.5
United States	0.8	1.0	10.7	70.9	16.5	0.1	0.0	12.4	87.6



In some countries, such as France and Greece, lower education is usually provided in different institutions from upper secondary education. As grade retention presents some interactions with gender, immigration or the student socio-economic background, uneven distribution per level of education will be observed. In France, for example, only 35% of the 15-year-olds still in lower secondary education (in *collèges*) are female while they represent 55% of the 15-year-olds in upper secondary education. Regarding the immigration issue, only 38% of native 15-year-olds are in lower education while 63% of immigrant students are at that level of education. This uneven distribution may influence the effect of school variables, such as the disciplinary climate, on the student or school performance. Caution is, therefore, required in interpreting such effects.

The structure of education systems also affects the school variance and any multilevel regression analyses. Indeed, the distinction between upper and lower secondary education is part of the within-school variance in some countries where both lower and upper secondary education are provided in one educational institution. On the contrary, in other countries where lower and upper secondary education are provided in separate educational institutions (e.g. in France), this distinction will contribute to the between-school variance.

Does this mean that PISA provides biased estimates of school variance? Certainly not, but the school variance computed on PISA data could lead to some overstatements such as “the school catchment area in France is useless as it does not reduce inequities between schools”, unless the nature of the PISA data and the structure of the educational system are correctly taken into account in interpretation. In interpreting such between-school variance, it always needs to be kept in mind that PISA’s target population is 15-year-old students. For instance, if the data is based on a population of 16-year-olds, the variance caused by the difference between the two adjacent grades would contribute to the within-school variance, but not to the between-school variance.

The school variance is also affected by the definition of a school within each participating country. As described in Annex A3 in the PISA 2003 initial report (OECD, 2004) and in Annex A2 in the PISA 2006 initial report (OECD, 2007), in some countries, sub-units within schools were sampled instead of schools, which may affect the estimation of the between-school variance components. In some countries such as Austria and Japan, schools with more than one study programme were split into units delivering each programme. In the Netherlands, for schools with both lower and upper secondary programmes, schools were split into units delivering each programme level.

As previously mentioned, the structure of education systems and the definition of the sampling units have an impact on the interpretation of the between-school variance. It is thus highly recommended that analysts carefully review their outcomes and their policy recommendations in the light of the structures of education systems and the definition of schools as sampling units.



Notes

1. The GDP of the countries that took part in PISA 2006 represents 86% of the 2006 world GDP. Some of the entities represented in this report are referred to as partner economies. This is because they are not strictly national entities.
2. For more information, visit www.pisa.gc.ca/yits.shtml (YITS, the Canadian study); <http://www.sfi.dk/sw19649.asp> (the Danish study) and www.acer.edu.au (the Australian study).
3. Visit www.pisa.oecd.org for links to countries' national PISA websites and national PISA reports.



2

Exploratory Analysis Procedures

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INTRODUCTION

PISA surveys use complex methodologies that condition the way data should be analysed. As this is not yet included in standard procedures included in the statistical software packages such as SAS® or SPSS®, this manual describes the methodologies in detail and also presents syntax and macros developed specially for analysing the PISA data.

First of all, PISA does not draw simple random samples of students from exhaustive lists of 15-year-olds. The sampling design applied in PISA, its rationale and its consequences on how data should be analysed are mainly presented in Chapters 3 and 4. Briefly, PISA usually samples students in two stages: schools are first sampled and then students are sampled in the participating schools. Such sampling design increases the standard errors of any population estimates. As most of the statistical packages assume the data were collected on a simple random sample, analysing the PISA data with such software would systematically underestimate the standard errors and therefore lead to reporting non-significant results as significant. This would jeopardise the credibility of the programme.

Secondly, PISA uses imputation methods, denoted plausible values, for reporting student performance. From a theoretical point of view, any analysis that involves student performance estimates should be analysed five times and results should be aggregated to obtain: (i) the final estimate; and (ii) the imputation error that will be combined with the sampling error in order to reflect the test unreliability on the standard error. The detailed description of plausible values and its use are presented in Chapters 6 and 8.

All results published in the OECD initial and thematic reports have been computed accordingly to these methodologies, which means that the reporting of a country mean estimate and its respective standard error requires the computation of 405 means as described in detail in the next sections.

This chapter discusses the importance and usefulness of applying these recommended procedures, depending on the circumstances and on the stage of the data analysis process. Alternatives that shorten the procedures will be also presented, as well as the potential bias associated with such shortcuts.

The chapter is structured according to the three methodological issues that affect the way data should be analysed:

- weights,
- replicates for computing the standard errors,
- plausible values.

WEIGHTS

Weights are associated to each student and to each school because:

- students and schools in a particular country did not necessarily have the same probability of selection;
- differential participation rates according to certain types of school or student characteristics required various non-response adjustments;
- some explicit strata were over-sampled for national reporting purposes.

Weighting data is a straightforward process in SPSS®. Box 2.1 presents the syntax for weighting, while w_fstuwt is the variable name of the student final weights.

All the analyses with the syntax of Box 2.1 will provide unbiased estimates of population parameters.



Box 2.1 WEIGHT statement in SPSS®

```
WEIGHT BY W_FSTUWT.
```

It is, however, worth noting that SPSS® will consider the sum of the weights as the sample size for the computation of standard errors. When analysts are interested in computing rough estimates of standard errors for provisional exploratory analysis, this becomes an issue. One way of obtaining realistic rough estimates of a standard error,¹ without using replicates, is to normalise the weights. The weight included in the database should be multiplied by a ratio of the number of observations to the sum of the weights. In other words, the weights should be multiplied by the total number of students and divided by the weighted total number of students. This linear transformation will ensure that the sum of the weights is equal to the number of observations.

Can analyses be conducted without weighting the data? Figure 2.1 represents the unweighted and weighted mean proficiency estimates in science for OECD countries in PISA 2006. In most countries, the difference is negligible. However, for some countries, the difference is quite substantial. Large differences between weighted and unweighted means usually result from over-sampling some strata in the population for national reporting purposes.

Figure 2.2 compares the unweighted and weighted gender differences in reading in PISA 2000. In most countries, the difference is negligible, but in Austria, for instance, the unweighted and weighted gender differences are equal to 33.5 and 25.4 respectively. Uneven distribution of males and females per type of schools (vocational versus academic) and differential participation rates per type of schools might explain such gaps between unweighted and weighted gender differences.

Figure 2.1
Science mean performance in OECD countries (PISA 2006)

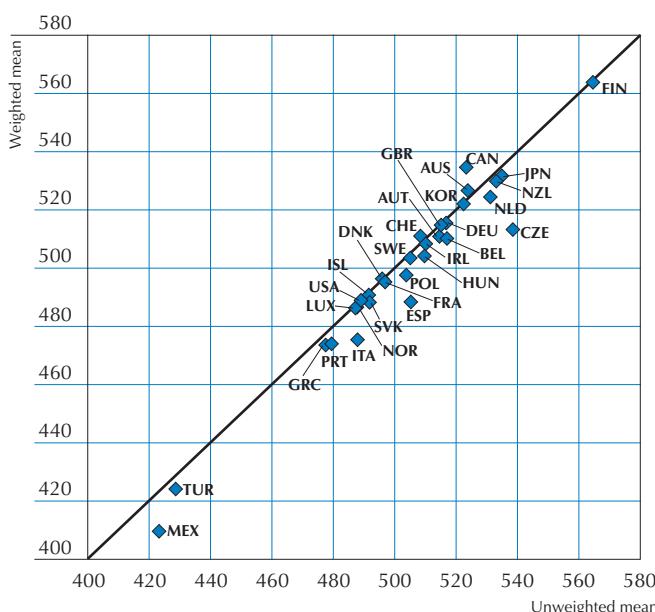




Figure 2.2
Gender differences in reading in OECD countries (PISA 2000)

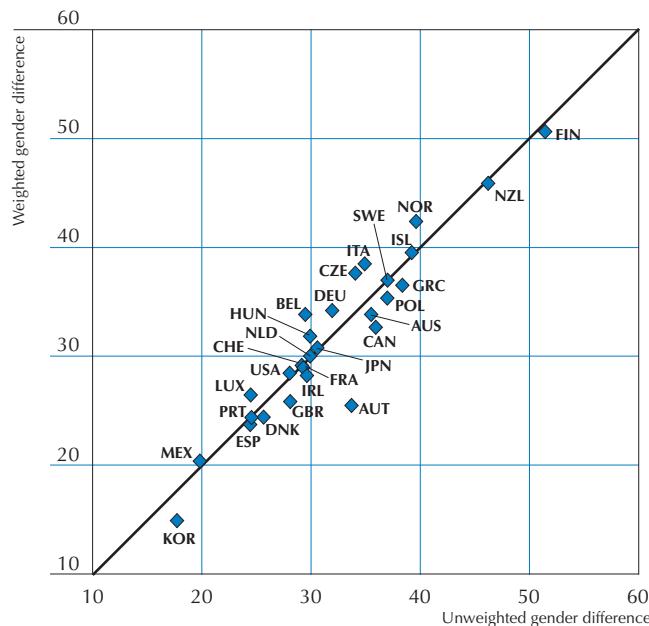
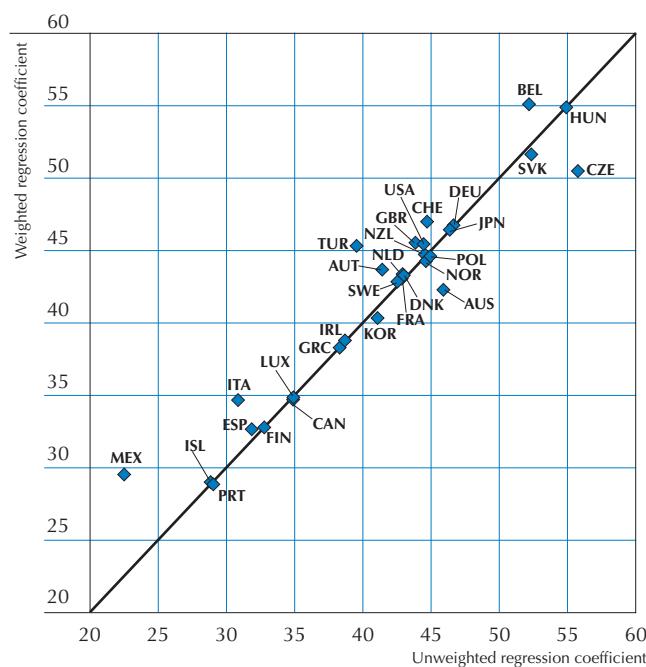


Figure 2.3
Regression coefficient of ESCS on mathematic performance in OECD countries (PISA 2003)





Finally, Figure 2.3 presents the unweighted and weighted regression coefficient of student socio-economic background (ESCS) on mathematic performance in PISA 2003. As shown by the figure, differences between unweighted and weighted coefficient are sometimes not negligible.

These three examples clearly demonstrate the impact of the weights on population parameter estimates. The bias of unweighted estimates could be substantial.

In conclusion, the weighting process does not make the analysis procedures more complex and guarantees that population estimates will be unbiased. Analyses should therefore always be weighted, at any stage of the process, whether it is the provisional exploration of the data or the final analyses before reporting.

REPLICATES FOR COMPUTING THE STANDARD ERROR

PISA applies two-stage sampling instead of simple random sampling. Chapter 3 describes the sampling design of the PISA surveys in detail and why such a design is implemented. This section, however, briefly describes the differences between these two sampling designs in order to provide rationale for using replicate weights. As previously indicated, statistical packages such as SAS® or SPSS® make the assumption that data are collected on a simple random sample of individuals.

One of the differences between simple random sampling and two-stage sampling is that for the latter, selected students attending the same school cannot be considered as independent observations. This is because students within a school usually have more common characteristics than students from different schools. For instance, they would have access to the same school resources, have the same teachers, be taught a common curriculum, and so on. Differences between students from different schools are also greater if different educational programmes are not available in all schools. For example, it would be expected that differences between students from a vocational school and students from an academic school would be bigger than differences between students from two vocational schools.

Furthermore, it is likely that within a country, within subnational entities, and within cities, people tend to live in areas according to their financial resources. As most children tend to attend schools close to their homes, it is assumed that students attending the same school come from similar socio-economic backgrounds.

A simple random sample of 4 000 students is therefore likely to cover the diversity of the population better than a sample of 100 schools with 40 students observed within each school. It follows that the uncertainty associated with any population parameter estimate (*i.e.* standard error) will be greater for a two-stage sample than for a simple random sample of the same size.

Reporting accurate and unbiased standard error estimates is of prime importance, since these estimates could be used for reporting differences that are statistically significant between countries or within countries. Reporting gender differences, for example, might lead to educational reforms aimed to reduce the gap between males and females. It is therefore essential to assure that these differences are indeed statistically significant.

Earlier student assessment surveys used to increase the simple random sample standard errors by the design effect (usually denoted in the statistical literature as DEFF) were roughly estimated on a few key variables for some population estimators, such as means, correlation and regression coefficients. For instance, in the First International Mathematics Study (FIMS) (Husen, 1967):

“four subsamples of each subpopulation were obtained – this meant that instead of having only one sample representing a population, there were four. The purpose of doing this was twofold: (*i*) the standard errors of sampling could be obtained from the comparison of subsamples and, (*ii*) the answer sheets for each subsample could be shipped separately; thus if one was lost, three still remained.”²



The International Association for the Evaluation of Educational Achievement (IEA) Six Subject Survey extended the FIMS procedure for the estimation of standard errors by integrating the scientific development of John Tukey on the Jackknife replication method. The whole sample for each country was divided into ten subsamples, following the sampling structure, and then ten complementary samples were obtained by leaving out, from the whole sample, each subsample in turn. Population estimates were then computed on each complementary subsample. The variability of these population estimates was used to estimate the standard errors and their respective design effect. The comparison between these design effects and their respective theoretical design effects based on the school variance and the average within school sample size showed quite consistent results, which allowed using the theoretical design effect.

As noted by Peaker (1975), “this evidence was combined with the evidence from the Mathematics Study in 1967, and suggested that appropriate values of DEFF were 2.4 for criterion means, 1.6 for correlations and 1.4 for regression coefficients.”

In the late 1980s, the power of computers allowed the systematic use of replication methods. Standard errors were estimated for the Second International Science Study (SISS) by the Jackknife method for unstratified sample which consists of creating as many complementary samples as the number of schools in the whole sample. Each complementary sample was created by dropping one school at a time. The IEA Reading Literacy Study also used this replication method as well.

This manual presents how these replicates are computed in detail (Chapter 4) and how to estimate a standard error with these replicates (Chapter 7).

This section discusses the consequences of not using the replicates for estimating the standard errors and the appropriateness of using them in all phases of the data analysis process.

The PISA Technical Reports (OECD, 2002c, 2005, 2009) describe the sampling design effects for the performance country mean estimates in the chapter devoted to the sampling outcomes. Mathematically, the design effect corresponds to the ratio between the unbiased estimate of the sampling variance for a particular parameter and the sampling variance for that parameter if the observed sample was considered as a simple random sample.

Table 2.1
Design effect and type I errors

Design effect (coefficient of increase)	Type I error	Design effect (coefficient of increase)	Type I error
1.5	0.11	11.0	0.55
2.0	0.17	11.5	0.56
2.5	0.22	12.0	0.57
3.0	0.26	12.5	0.58
3.5	0.29	13.0	0.59
4.0	0.33	13.5	0.59
4.5	0.36	14.0	0.60
5.0	0.38	14.5	0.61
5.5	0.40	15.0	0.61
6.0	0.42	15.5	0.62
6.5	0.44	16.0	0.62
7.0	0.46	16.5	0.63
7.5	0.47	17.0	0.63
8.0	0.49	17.5	0.64
8.5	0.50	18.0	0.64
9.0	0.51	18.5	0.65
9.5	0.52	19.0	0.65
10.0	0.54	19.5	0.66
10.5	0.55	20.0	0.66



In PISA 2000, the sampling design effect for the country mean estimate on the combined reading literacy scale ranged from 2.32 to 19.92. This means that the actual standard error is from 1.5 to 4.4 times larger than the simple random sample standard error. In PISA 2003 and PISA 2006, countries requesting an adjudication of their data at a subnational level had to over-sample. The sampling design was, therefore, less effective and the design effect was higher. For instance, the design effect for the country performance mean estimate of Mexico was higher than 50 in PISA 2003.

Table 2.1 presents the type I error depending on the design effect. For instance, with a design effect of 4, a data analyst using the standard error returned by statistical packages assuming simple random sample will be working with the type I error of 0.33. As 0.01, 0.05 or 0.1 are normally used for the criteria of the significance level, this is a very important difference. Let us suppose an analysis estimates gender difference in science performance. When the gender difference is significantly different from 0 at the significance level of 0.33, the analysis has a 33% chance of being wrong in saying that there is a significant gender difference.

The design effect varies from one country to another, but it also varies from one variable to another within a particular country. Figure 2.4 compares the design effect on the country mean estimates for the science performance and for the student socio-economic background (ESCS) in PISA 2006. The design effect on the mean estimate for the student socio-economic background is usually smaller than the design effect for science performance, since grouping students into different schools is usually based on their academic performance and, to a lesser extent, based on student socio-economic background.

Figure 2.4

Design effect on the country mean estimates for science performance and for ESCS in OECD countries (PISA 2006)

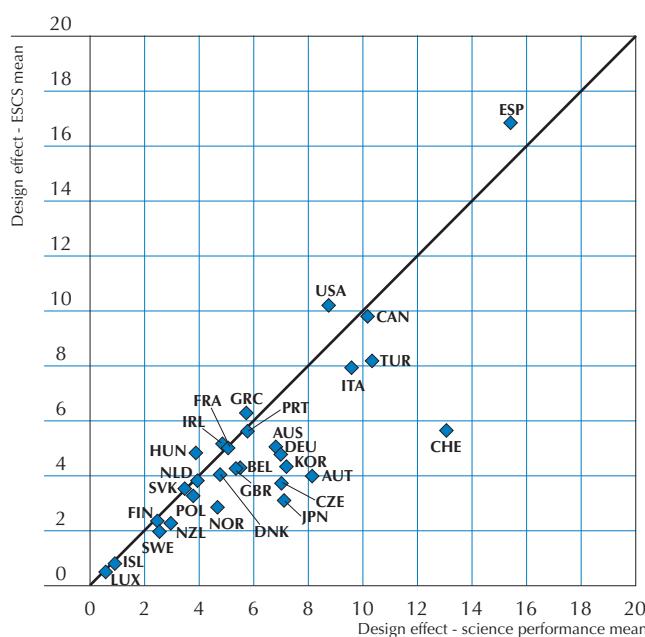
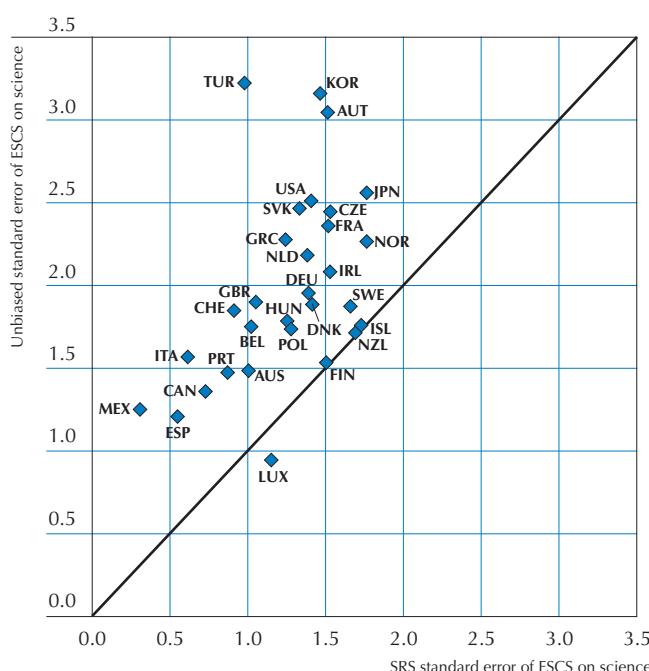




Figure 2.5 compares two different types of standard errors of the regression coefficient of ESCS on science performance: one is computed just as simple random sample (SRS) and the other is computed with replicates (unbiased). In Figure 2.5, the following can be observed:

- For most countries unbiased standard errors are bigger than SRS standard errors (*i.e.* dots are above the diagonal line),³ but unbiased standard errors are not twice as big as SRS standard errors. This means that design effects are not as big as two in most countries. This result, therefore, supports the notion that design effects for regression coefficients (Figure 2.5) are smaller than design effects for mean estimates (Figure 2.4), as already noted by Peaker (1975).
- No specific patterns between SRS and unbiased standard errors are observed in Figure 2.5. This means that the design effect for regression coefficients varies from one country to another.

Figure 2.5
Simple random sample and unbiased standard errors of ESCS on science performance in OECD countries (PISA 2006)



As illustrated by these few examples, the design effect depends on: (*i*) the population parameter that needs to be estimated; (*ii*) the sampling design of the country; (*iii*) the variables involved in the analyses (in particular the importance of the between-school variance relative to the within-school variance). Therefore, it would be inappropriate to suggest a single design effect for a particular parameter to be used for all countries to obtain a rough estimate of the actual standard error, based on the simple random sample standard error – especially given the increasing number of countries implementing a study design for regional adjudications and the large number of countries implementing international or national options.



In sum, the results that will be reported have to be computed according to the recommended procedures, *i.e.* standard errors have to be estimated by using the replicates. During the exploratory phase, analysts might skip the replicate computations to save time. Instead, analysts could use the normalised weights and apply design effects. But, it is advised not to wait until the last stage of the process to compute unbiased estimates of the standard errors. Indeed, it might change a major outcome that would require rewriting some section of the reports. It is also important to note that analysis with the PISA data for only one country might inflate the standard error by using some fixed design effect values. This would require starting by estimating sensitive values of design effects for parameters such as mean, correlation, regression coefficient and so on. With a little practice, the procedures developed for analysing PISA data are not a constraint anymore. Moreover, with standard computers, these procedures do not take more than a couple of minutes.

PLAUSIBLE VALUES

This section briefly presents the rationale for using plausible values. The detailed description of plausible values and its use are presented in Chapters 6 and 8.

Since the Third International Mathematics and Science Survey conducted by the IEA in 1995, student proficiency estimates are returned through *plausible values*.

“The simplest way to describe plausible values is to say that plausible values are a representation of the range of abilities that a student might reasonably have. (...). Instead of directly estimating a student’s ability θ , a probability distribution for a student’s θ , is estimated. That is, instead of obtaining a point estimate for θ , (like a WLE⁴), a range of possible values for a student’s θ , with an associated probability for each of these values is estimated. Plausible values are random draws from this (estimated) distribution for a student’s θ .“ (Wu and Adams, 2002)

As will be described in Chapter 6, plausible values present several methodological advantages in comparison with classical Item Response Theory (IRT) estimates such as the Maximum Likelihood Estimates or Weighted Maximum Likelihood Estimates. Indeed, plausible values return unbiased estimates of:

- population performance parameters, such as mean, standard deviation or decomposition of the variance;
- percentages of students per proficiency level as they are on a continuous scale, unlike classical estimates which are on a non-continuous scale;
- bivariate or multivariate indices of relations between performance and background variables as this information is included in the psychometric model.

Usually, five plausible values are allocated to each student on each performance scale. Statistical analyses should be performed independently on each of these five plausible values and results should be aggregated to obtain the final estimates of the statistics and their respective standard errors. It is worth noting that these standard errors will consist of sampling uncertainty and test unreliability.

The plausible value methodology, combined with the replicates, requires that the parameter, such as a mean, a standard deviation, a percentage or a correlation, has to be computed 405 times (*i.e.* 5 plausible values by one student final weights and 80 replicates) to obtain the final estimate of the parameter and its standard error. Chapter 8 describes an unbiased shortcut that requires only 85 computations.

Working with one plausible value instead of five will provide unbiased estimate of population parameters but will not estimate the imputation error that reflects the influence of test unreliability for the parameter estimation. With a large dataset, this imputation error is relatively small. However, the smaller the sample size, the greater the imputation error.



Table 2.2 to Table 2.5 present the differences for four population parameters (*i.e.* mean, standard deviation, correlation and regression coefficient) between the estimates based on one plausible value and the same estimates based on five plausible values. These analyses were computed on the PISA 2006 science performance data in Belgium. Simple random samples of various sizes were selected. Each table shows:

- the estimated statistic based on one plausible value,
- the estimated standard error based on one plausible value,
- the estimated statistic based on five plausible values,
- the estimated standard error based on five plausible values,
- the sampling error based on five plausible values,
- the imputation error based on five plausible values.

With a sample size of 6 400 students, using one plausible value or five plausible values does not make any substantial difference in the two mean estimates (510.56 versus 510.79) as well as in the two standard error estimates (2.64 versus 2.69). In term of type I error, that would correspond to a shift from 0.050 to 0.052.

Table 2.2
Mean estimates and standard errors

Number of cases	Estimate on 1 PV	S.E. on 1 PV	Estimate on 5 PVs	S.E. on 5 PVs	Sampling error	Imputation error
25	500.05	19.47	493.87	21.16	20.57	4.55
50	510.66	17.70	511.48	16.93	16.76	2.18
100	524.63	12.25	518.00	12.42	11.70	3.81
200	509.78	7.52	509.46	7.79	7.56	1.72
400	507.91	6.34	508.31	6.52	6.46	0.86
800	507.92	4.55	508.69	4.58	4.50	0.79
1 600	506.52	3.54	507.25	3.44	3.39	0.52
3 200	511.03	2.77	511.48	2.76	2.70	0.49
6 400	510.56	2.64	510.79	2.69	2.67	0.23

Notes: PV = plausible value; S.E. = standard error.

Table 2.2 also illustrates how the imputation error increases as the sample size decreases. With a sample of 25 students, the imputation error is as big as the sampling error with a sample of 800 students. However, even if the imputation error is quite large with a sample of 25 students, working with one plausible value instead of five would correspond to a small shift in type I error from 0.05 to 0.072.

Table 2.3
Standard deviation estimates and standard errors

Number of cases	Estimate on 1 PV	S.E. on 1 PV	Estimate on 5 PVs	S.E. on 5 PVs	Sampling error	Imputation error
25	116.86	14.87	114.99	13.62	11.95	5.97
50	106.53	17.05	104.38	15.32	15.00	2.88
100	90.36	8.79	90.73	8.75	8.19	2.81
200	101.66	6.49	101.18	6.75	6.50	1.65
400	97.52	3.63	97.67	4.39	3.83	1.95
800	100.03	2.66	99.97	3.65	2.92	2.00
1 600	96.82	2.51	96.36	2.41	2.35	0.48
3 200	100.66	2.09	100.29	2.19	2.14	0.42
6 400	98.66	1.97	99.09	2.01	1.94	0.48

Notes: PV = plausible value; S.E. = standard error.



Table 2.4
Correlation estimates and standard errors

Number of cases	Estimate on 1 PV	S.E. on 1 PV	Estimate on 5 PVs	S.E. on 5 PVs	Sampling error	Imputation error
25	0.57	0.13	0.65	0.13	0.11	0.07
50	0.58	0.12	0.58	0.13	0.12	0.05
100	0.47	0.09	0.49	0.09	0.09	0.03
200	0.54	0.05	0.54	0.05	0.04	0.02
400	0.40	0.05	0.40	0.05	0.05	0.01
800	0.39	0.04	0.39	0.04	0.04	0.00
1 600	0.45	0.02	0.45	0.03	0.02	0.01
3 200	0.43	0.02	0.43	0.02	0.02	0.00
6 400	0.43	0.01	0.44	0.02	0.01	0.00

Notes: PV = plausible value; S.E. = standard error.

Table 2.5
ESCS regression coefficient estimates and standard errors

Number of cases	Estimate on 1 PV	S.E. on 1 PV	Estimate on 5 PVs	S.E. on 5 PVs	Sampling error	Imputation error
25	57.76	24.99	51.43	28.32	27.34	6.73
50	34.19	11.20	31.64	11.67	10.90	3.80
100	37.44	12.33	41.19	12.43	11.90	3.28
200	36.43	7.60	41.60	8.65	7.92	3.17
400	53.27	5.43	53.89	5.79	5.61	1.31
800	47.83	4.20	47.98	4.62	4.26	1.64
1 600	47.26	3.12	47.86	3.56	3.17	1.48
3 200	47.98	2.45	48.22	2.54	2.53	0.25
6 400	46.91	1.92	47.23	2.08	1.97	0.63

Notes: PV = plausible value; S.E. = standard error.

Under normal assumptions, the imputation error implies that the average, *i.e.* 493.87 for a sample of 25 students, can vary from 485 to 503. Using one plausible value instead of five for a very small sample may therefore have a considerable impact on the parameter estimates.

Similar conclusions can be drawn from the three tables above that refer respectively to standard deviation, correlation and ESCS regression coefficient.

CONCLUSION

This chapter briefly described the three methodological components of PISA that condition the data analysis process: weights, replicates and plausible values. It also discussed the consequences of not applying the recommended statistical procedures according to the data analysis phase.

In summary, the recommendations are:

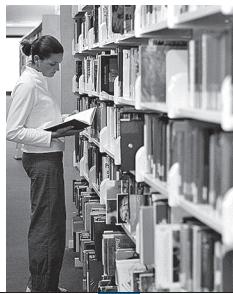
- At any stage of the data analysis process, data should always be weighted. Unweighted data will return biased estimates. The importance of weighting the data is reinforced by the increasing number of countries that request a data adjudication at a subnational level, since such a request requires oversampling in almost all cases. As weighting data does not slow down the data analysis process and can easily be implemented in statistical packages, there is no valid reason for skipping this process.



- Use of replicates for estimating the standard error is certainly the methodological component that slows down the data analysis process the most. During the exploratory phase of the data, it is not of prime importance to estimate the standard error with the replicates. Standard errors returned by statistical software with normalised weight, and inflated by a rough estimate of the design effect, can provide the data analyst with an acceptable indication of the statistical significance of hypotheses. However, any results that will be published or communicated to the scientific community and to policy makers should be computed with replicates.
- Finally, using one plausible value or five plausible values does not really make a substantial difference on large samples. During the exploratory phase of the data, statistical analyses can be based on a single plausible value. It is, however, recommended to base the reported results on five plausible values, even on large samples. This will guarantee consistencies between results published by the OECD and results published in scientific journals or national reports. Further, results based on five plausible values are, from a theoretical point of view, incontestable.

Notes

1. This rough estimate of standard error is based on the assumption of a simple random sample.
2. In the IEA Six Subject Survey, a box containing answer sheets from Belgium fell out of a boat into the sea.
3. PISA in Luxembourg is not a sample survey but a census. SRS does not take into account the school stratification variables, while PISA does. Therefore, in Luxembourg, SRS standard errors are bigger than unbiased standard errors.
4. Weighted Likelihood Estimates.



3

Sample Weights

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INTRODUCTION

National and international surveys usually collect data from a sample. Dealing with a sample rather than the whole population is preferable for several reasons.

First, for a census, all members of the population need to be identified. This identification process presents no major difficulty for human populations in some countries, where national databases with the name and address of all, or nearly all, citizens may be available. However, in other countries, it is not possible for the researcher to identify all members or sampling units of the target population, mainly because it would be too time-consuming or because of the nature of the target population.

Second, even if all members of a population are easily identifiable, researchers may still draw from a sample, because dealing with the whole population:

- might require unreasonable budgets;
- is time-consuming and thus incompatible with publication deadlines;
- does not necessarily help with obtaining additional and/or required information.

Drawing a sample can be done in several ways depending on the population characteristics and the survey research questions. All sample designs aim to avoid bias in the selection procedure and achieve the maximum precision in view of the available resources. Nevertheless, biases in the selection can arise:

- If the sampling is done by a non-random method, which generally means that the selection is consciously or unconsciously influenced by human choices. The importance of randomness in the selection procedure should not be underestimated;
- If the sampling frame (list, index, or other population record) that serves as the basis for selection does not cover the population adequately, completely or accurately.

Biases can also arise if some sections of the population are impossible to find or refuse to co-operate. In educational surveys, schools might refuse to participate and within participating schools, some students might refuse to participate or simply be absent on the day of the assessment. The size of the bias introduced by the school or student non-response is proportional to the correlation between the school, or the student, propensity to participate and the variables measured with cognitive tests or contextual questionnaires. For instance, it may be that low achievers are more likely to be absent on the day of the assessment than high achievers. On the other hand, it would be less likely to observe a correlation between the height of a student and his/her propensity to participate. The non-response would therefore not introduce a bias in the height mean estimate.

To limit the size of the bias due to non-response, international education surveys require a minimal student participation rate. For PISA, this minimum is 80%.

Finally, if the sampling units do not have the same chances to be selected and if the population parameters are estimated without taking into account these varying probabilities, then results might also be biased. To compensate for these varying probabilities, data need to be weighted. Weighting consists of acknowledging that some units in the sample are more important than others and have to contribute more than others for any population estimates. A sampling unit with a very small probability of selection will be considered as more important than a sampling unit with a high probability of selection. Weights are therefore inversely proportional to the probability of selection.

Nevertheless, a sample is only useful to the extent that it can estimate some characteristics of the whole population. This means that statistical estimates computed on the sample, including a mean, a standard



deviation, a correlation, a regression coefficient, and so on, can be generalised to the population. This generalisation is more reliable if the sampling requirements have been met.

Depending on the sampling design, selection probabilities and procedures to compute the weights will vary. These variations are discussed in the following sections.

WEIGHTS FOR SIMPLE RANDOM SAMPLES

Selecting members of a population by simple random sampling is the most straightforward procedure. There are several ways to draw such a sample, *e.g.*:

- The N members¹ of a population are numbered and n of them are selected by random numbers without replacement;
- N numbered discs are placed in a container, mixed well, and n of them are selected at random;
- The N population members are arranged in a random order, and every $\frac{N}{n}$ th member is then selected; or
- The N population members are each assigned a random number. The random numbers are sorted from lowest to highest or highest to lowest. The first n members make up one random sample.

The simple random sample gives an equal probability of selection to each member of the population. If n members are selected from a population of N members according to a simple random procedure, then the probability of each member i to be part of the sample is equal to:

$$p_i = \frac{n}{N}$$

For example, if 40 students are randomly selected from a population of 400 students, the probability of each student i to be part of the sample is equal to:

$$p_i = \frac{n}{N} = \frac{40}{400} = 0.1$$

In other words, each student has one chance out of ten of being selected.

As mentioned previously, weights are usually defined as the inverse of the probability of selection. In the case of a simple random sample, the weight will be equal to:

$$w_i = \frac{1}{p_i} = \frac{N}{n}$$

The weight of each of the 40 students selected from a population of 400 students will therefore be equal to:

$$w_i = \frac{1}{p_i} = \frac{N}{n} = \frac{400}{40} = 10$$

This means that each student in the sample represents himself or herself, as well as nine other students. Since each unit has the same selection probability in a simple random sample, the weight attached to each selected unit will also be identical. Therefore, the sum of the weights of the selected units will be equal to the population size, *i.e.* N .

$$\sum_{i=1}^n w_i = \sum_{i=1}^n \frac{N}{n} = N$$



In the example,

$$\sum_{i=1}^{40} 10 = 400$$

Furthermore, since all sampled units have the same weight, the estimation of any population parameter should not be affected by the weights. For instance, consider the mean of some characteristic, X . The weighted mean is equivalent to the sum of the product of the weight and X divided by the sum of the weights.

$$\hat{\mu}_{(X)} = \frac{\sum_{i=1}^n w_i x_i}{\sum_{i=1}^n w_i}$$

Since w_i is a constant, the weighted mean and the unweighted mean will be equal.

$$\hat{\mu}_{(X)} = \frac{\sum_{i=1}^n w_i x_i}{\sum_{i=1}^n w_i} = \frac{w_i \sum_{i=1}^n x_i}{w_i \sum_{i=1}^n 1} = \frac{\sum_{i=1}^n x_i}{n}$$

However, even with an equi-probabilistic sample, statistical software packages might return different results for weighted and unweighted data. Unlike SPSS®, SAS® proposes four options for dividing the weighted sum of square, *i.e.* (i) the number of valid observations; (ii) the number of valid observations minus 1; (iii) the sum of the weights for the valid observations; and (iv) the sum of the weights for the valid observations minus 1. By default, SAS® divides the weighted sum of square by (n–1) while SPSS® divides it by the sum of the weight minus 1.

Table 3.1
Height and weight of ten persons

Individual	Weight	Height
1	10	160
2	10	162
3	10	164
4	10	166
5	10	168
6	10	170
7	10	172
8	10	174
9	10	176
10	10	178

Table 3.2
Weighted and unweighted standard deviation estimate

	Standard deviation estimate
SAS® unweighted estimate	6.0553
SAS® weighted estimate default option	19.14854
SAS® weighted estimate option = N	18.1659
SAS® weighted estimate option = DF	19.14854
SAS® weighted estimate option = WGT	5.74456
SAS® weighted estimate option = WDF	5.7735
SPSS® unweighted estimate	6.0553
SPSS® weighted estimate	5.7735



Table 3.1 presents the height of ten individuals and Table 3.2, the different standard deviation estimates returned by SPSS® and SAS®.

Table 3.2 clearly indicates how a population estimate can be affected by the weighting process offered in the statistical software, even with an equi-probabilistic sample. Data analysts are strongly recommended to carefully read the software documentation related to the weights.

SAMPLING DESIGNS FOR EDUCATION SURVEYS

Simple random sampling is very rarely used in education surveys because:

- It is too expensive. Indeed, depending on the school population size, it is quite possible that selected students would attend many different schools. This would require the training of a large number of test administrators, the reimbursement of a large amount of travel expenses and so on;
- It is not practical. One would have to contact too many schools; and
- It would be impossible to link, from a statistical point of view, student variables to school, class, or teacher variables. Educational surveys usually try to understand the statistical variability of the student's outcome measure by school or class level variables. With just one or only a few students per school, this statistical relationship would have no stability.

Therefore, surveys in education usually draw up a student sample in two steps. First, a sample of schools is selected from a complete list of schools containing the student population of interest. Then, a simple random sample of students or classes is drawn from within the selected schools. In PISA, usually 35 students from the population of 15-year-olds are randomly selected within the selected schools. If less than 35 15-year-olds attend a selected school, then all of the students will be invited to participate.

This two-stage sampling procedure will have an impact on the calculation of the weights and, similarly, the school selection procedure will affect the characteristics and properties of the student sample.

Suppose that the population of 400 students is distributed in 10 schools, each school containing 40 students. Four schools are selected randomly and within schools, ten students are selected according to a similar procedure. Each school, denoted i , has a selection probability equal to:

$$p_{1_i} = \frac{n_{sc}}{N_{sc}} = \frac{4}{10} = 0.4 \text{ with } N_{sc} \text{ being the number of schools and } n_{sc} \text{ the number of schools sampled.}$$

Within the four selected schools, each student, denoted j , has a selection probability equal to:

$$p_{2_ij} = \frac{n_i}{N_i} = \frac{10}{40} = 0.25$$

with N_i being the number of students in school i and n_i the number of students sampled in school i . This means that within each selected school, each student has a chance of one in four of being sampled.

The final selection probability for student j attending school i is equal to the product of the school selection probability by the student selection probability within the school, i.e.:

$$p_{ij} = p_{1_i} p_{2_ij} = \frac{n_{sc} n_i}{N_{sc} N_i}$$

In the example, the final student probability is equal to:

$$p_{ij} = p_{1_i} p_{2_ij} = \frac{n_{sc} n_i}{N_{sc} N_i} = \frac{4 * 10}{10 * 40} = 0.4 * 0.25 = 0.10$$



The school weight, denoted w_{1_i} , the within-school weight, denoted w_{2_ij} , and the final student weight, denoted w_{ij} , are respectively equal to:

$$w_{1_i} = \frac{1}{p_{1_i}} = \frac{1}{0.4} = 2.5$$

$$w_{2_ij} = \frac{1}{p_{2_ij}} = \frac{1}{0.25} = 4$$

$$w_{ij} = \frac{1}{p_{ij}} = \frac{1}{0.1} = 10$$

Table 3.3 presents the selection probability at the school level, at the within-school level, and the final probability of selection for the selected students, as well as the weight for these different levels where schools 2, 5, 7 and 10 have been selected.

Table 3.3

School, within-school, and final probability of selection and corresponding weights for a two-stage, simple random sample with the first-stage units being schools of equal size

School label	School size N_i	School probability p_{1_i}	School weight w_{1_i}	Within-school probability p_{2_ij}	Within-school weight w_{2_ij}	Final student probability p_{ij}	Final student weight w_{ij}	Sum of final weights $n_i w_{ij}$
1	40							
2	40	0.4	2.5	0.25	4	0.1	10	100
3	40							
4	40							
5	40	0.4	2.5	0.25	4	0.1	10	100
6	40							
7	40	0.4	2.5	0.25	4	0.1	10	100
8	40							
9	40							
10	40	0.4	2.5	0.25	4	0.1	10	100
Total			10.0					400

As shown in Table 3.3, the sum of the school weights corresponds to the number of schools in the population, i.e. 10, and the sum of the final student weights corresponds to the number of students in the population, i.e. 400.

In practice, schools differ in size. Often, school enrolment numbers tend to be larger in urban areas than rural areas. If schools are selected by simple, random sampling, the school selection probability will not change, but within the selected schools, the student selection probability will vary according to the school size. In a small school, the student selection probability will be large, while in a very large school, this probability will be small. Table 3.4 shows an example of the results obtained from schools of different enrolment sizes.

Table 3.4

School, within-school, and final probability of selection and corresponding weights for a two-stage, simple random sample with the first-stage units being schools of unequal size

School label	School size	School probability	School weight	Within-school probability	Within-school weight	Final student probability	Final student weight	Sum of final weights
1	10							
2	15	0.4	2.5	0.66	1.5	0.27	3.75	37.5
3	20							
4	25							
5	30	0.4	2.5	0.33	3.0	0.13	7.50	75.0
6	35							
7	40	0.4	2.5	0.25	4.0	0.10	10.00	100.0
8	45							
9	80							
10	100	0.4	2.5	0.10	10.0	0.04	25.00	250.0
Total	400		10.0					462.5

**Table 3.5**

School, within-school, and final probability of selection and corresponding weights for a simple and random sample of schools of unequal size (smaller schools)

School label	School size	School probability	School weight	Within-school probability	Within-school weight	Final student probability	Final student weight	Sum of final weight
1	10	0.4	2.5	1.00	1.0	0.40	4.00	40.0
2	15	0.4	2.5	0.66	1.5	0.27	3.75	37.5
3	20	0.4	2.5	0.50	2.0	0.20	5.00	50.0
4	25	0.4	2.5	0.40	2.5	0.16	6.25	62.5
Total			10.0					190.0

Table 3.6

School, within-school, and final probability of selection and corresponding weights for a simple and random sample of schools of unequal size (larger schools)

School label	School size	School probability	School weight	Within-school probability	Within-school weight	Final student probability	Final student weight	Sum of final weight
7	40	0.4	2.5	0.250	4.0	0.10	10.00	100.0
8	45	0.4	2.5	0.222	4.5	0.88	11.25	112.5
9	80	0.4	2.5	0.125	8.0	0.05	20.00	200.0
10	100	0.4	2.5	0.100	10.0	0.04	25.00	250.0
Total			10.0					662.5

With a simple, random sample of schools of unequal size, all schools have the same selection probability and the sum of school weights is equal to the number of schools in the population. However, the sum of the final student weights are not necessarily equal to the number of students in the population. Further, the final student weights differ among schools depending on the size of each school. This variability reduces the reliability of all population parameter estimates.

Table 3.5 and Table 3.6 present the different probabilities and weights if the four smallest schools or the four largest schools are selected. As shown in these two tables, the sums of final student weights vary substantially from the expected value of 400. The sum of school weights, however, is always equal to the number of schools in the population.

The focus of international education surveys such as PISA is more on the student sample than on the school sample. Many authors even consider that such studies do not draw a school sample *per se*. They just consider the school sample as an operational stage to draw the student sample. Therefore, a sampling design that consists of a simple random sample of schools is inappropriate as it would underestimate or overestimate the student population size. It would also result in an important variability of final student weights and consequently increase the sampling variance.

In order to avoid these disadvantages, schools are selected with probabilities proportional to their size (PPS). Larger schools will therefore have a higher probability of selection than smaller schools, but students in larger schools have a smaller within-school probability of being selected than students in small schools. With such procedures, the probability of a school to be selected is equal to the ratio of the school size multiplied by the number of schools to be sampled and divided by the total number of students in the population:

$$p_{1-i} = \frac{N_i * n_{sc}}{N}$$



The formulae for computing the within-school probabilities and weights remain unchanged. The final probability and weight are still the product of the school and within-school probabilities or weights. For instance, the school probability for school 9 is equal to:

$$p_{1_9} = \frac{N_9 * n_{sc}}{N} = \frac{80 * 4}{400} = \frac{4}{5} = 0.8$$

The student within-school probability for school 9 is equal to:

$$p_{2_9j} = \frac{n_9}{N_9} = \frac{10}{80} = 0.125$$

The final probability is equal to:

$$p_{9j} = 0.8 * 0.125 = 0.1$$

As shown in Table 3.7, the school and within-school weights differ among schools, but final student weights do not vary. The weights therefore do not increase sampling variability. Further, the sum of final student weights corresponds to the total number of students in the population. However, the sum of school weight differs from the expected value of ten, but this does not present a major problem as such educational surveys are primarily and mainly interested in the student sample.

Table 3.7

School, within-school, and final probability of selection and corresponding weights for PPS sample of schools of unequal size

School label	School size	School probability	School weight	Within-school probability	Within-school weight	Final student probability	Final student weight	Sum of final weight
1	10							
2	15							
3	20	0.2	5.00	0.500	2.0	0.1	10	100
4	25							
5	30							
6	35							
7	40	0.4	2.50	0.250	4.0	0.1	10	100
8	45							
9	80	0.8	1.25	0.125	8.0	0.1	10	100
10	100	1.0	1.00	0.100	10.0	0.1	10	100
Total	400		9.75					400

With a PPS sample of schools, and an equal number of students selected in each selected school, the sum of the final student weights is always equal to the total number of students in the population (non-response being ignored at this stage). This will be the case even if the smallest or the largest schools get selected. The sum of the school weights, however, is not equal to the number of schools in the population. If the four smallest schools get selected, the sum of school weights is equal to 25.666. If the four largest schools get selected, the sum of school weights is equal to 6.97.

In order to keep the difference between the number of schools in the population and the sum of the school weights in the sample minimal, schools are selected according to a systematic procedure. The procedure consists of first sorting the schools according to their size. A sampling interval is computed as the ratio between the total number of students in the population and the number of schools in the sample, *i.e.*:

$$Int = \frac{N}{n_{sc}} = \frac{400}{4} = 100$$



A random number from a uniform distribution [0;1] is drawn. Let us say 0.752. This random number is then multiplied by the sampling interval, *i.e.* 0.752 by 100 = 75.2. The school which contains the student number 76 is selected. Then the sampling interval is added to the value 75.2. The school which contains the student having the student number 176 will be selected. This systematic procedure is applied until the number of schools needed in the sample has been reached. In the example, the four selection numbers will be the following: 75.2, 175.2, 275.2 and 375.2. See Table 3.8.

Table 3.8
Selection of schools according to a PPS and systematic procedure

School label	School size	From student number	To student number	Part of the sample
1	10	1	10	No
2	15	11	25	No
3	20	26	45	No
4	25	46	70	No
5	30	71	100	Yes
6	35	101	135	No
7	40	136	175	No
8	45	176	220	Yes
9	80	221	300	Yes
10	100	301	400	Yes

Sorting the school sampling frame by the measure of size and then using a systematic selection procedure prevents obtaining a sample of only small schools or (more likely) a sample with only large schools. This therefore reduces the sampling variance on the sum of the school weights, which is an estimate of the school population size.

WHY DO THE PISA WEIGHTS VARY?

As demonstrated in the previous section, a two-stage sample design with a PPS sample of schools should guarantee that all students have the same probability of selection and therefore the same weight. However, the PISA data still needs to be weighted.

Different factors contribute to the variability of weights:

- **Oversampling or undersampling of some strata of the population.** Usually, the school population is divided into different subgroups, called strata. For instance, a country might decide for convenience to separate the urban schools from the rural schools in the list of schools. In most cases, the number of students selected in the rural stratum and in the urban stratum will be proportional to what these two strata represent in the whole population. This stratification process guarantees for instance that a predefined number of schools within each stratum will be selected. Without the stratification, this number might vary. Nevertheless, for national reporting purposes, a country might decide to sample more students than what would have been sampled based on a proportional allocation in some part of the student population. Suppose that 90% of the student population in a country pursue academic tracks and 10% of the students pursue vocational tracks. If the national centre staff wants to compare the performance of the students by track, then it would be necessary to sample more vocational students than what would be sampled based on a proportional allocation. Further, since PISA 2003, the OECD offers countries the opportunity to adjudicate the data at a subnational level. This process however requires countries to sample at least 50 schools and 1 500 students per subnational entities. This requirement of course leads to some oversampling. Some subnational entities were separately adjudicated for Italy, Spain and the United-Kingdom in PISA 2003 and PISA 2006, and Belgium in PISA 2006.



- **Lack of accuracy or no updated size measure for schools on the school sampling frame.** When schools are selected with a probability proportional to their size, a measure of size needs to be included in the school list. In PISA, this measure of size is the number of 15-year-olds in each school in the population, but national statistics per school and per date of birth are not always available. Therefore, the measure of size can be the number of students in the modal grade for 15-year-olds, or the total number of students in the school divided by the number of grades. Further, even if national statistics per school and per date of birth are available, these data might be one or two years old. Therefore, inconsistencies between the number of 15-year-olds at the time of testing and the measure of size used in the school sample frame generate some variability in the final student weights. Let us suppose that school 9 in Table 3.7 has 100 15-year-old students at the time of testing. When schools were selected from the list of schools, the measure of size was set at 80. The school weight was set at 1.25. The within-school weight will be equal to 100 divided by 10, *i.e.* 10 rather than 8. Therefore, the final student weight will be equal to 12.5 instead of the expected 10.
- **School and within-school weight adjustment for school and student non-response.** Some schools, and within the selected and participating schools, some students might refuse to participate. To compensate for this non-response, a weight adjustment is applied at each level where non-response occurs. For instance, if only 25 students out of the 35 selected students from a participating school are present on the day of the assessment, then the weight of the participating students will be multiplied by a ratio of 35 by 25. The student participation rates vary from one school to another, and therefore the final student weights vary. A similar procedure is also applied to compensate for the school non-response. It should be noted that student non-response adjustment has been modified for counterbalancing different participation rates. More information about these adjustment factors is available in the PISA Technical Reports (Adams and Wu, 2000; OECD, 2005, forthcoming).

CONCLUSION

This chapter briefly described: (*i*) what a weight is and how to compute it; (*ii*) what the PISA sampling design is and why such a design is considered the most appropriate; (*iii*) why the PISA final student weights show some variability.

All statistical analyses or procedures concerning the PISA data should be weighted. Unweighted analyses will provide biased population parameter estimates.

Notes

1. N usually represents the size of the population and n the size of the sample.



4

Replicate Weights

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INTRODUCTION

In most cases, as mentioned in Chapter 3, national and international surveys collect data from a sample instead of conducting a full census. However, for a particular population, there are thousands, if not millions of possible samples, and each of them does not necessarily yield the same estimates of population statistics. Every generalisation made from a sample, *i.e.* every estimate of a population statistic, has an associated uncertainty or risk of error. The sampling variance corresponds to the measure of this uncertainty due to sampling.

This chapter explains the statistical procedures used for computing the sampling variance and its square root, the standard error. More specifically, this chapter discusses how to estimate sampling variances for population estimates derived from a complex sample design using replicate weights. First, the concept of sampling variance is examined through a fictitious example for simple random sampling. Second, the computation of the standard error is investigated for two-stage sampling. Third, replication methods for estimating sampling variances are introduced for simple random samples and for two-stage samples.

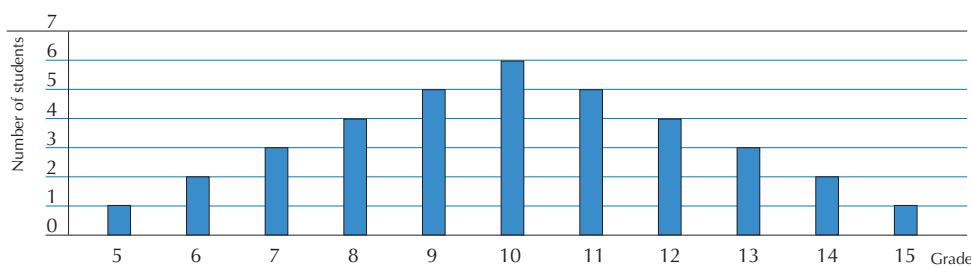
SAMPLING VARIANCE FOR SIMPLE RANDOM SAMPLING

Suppose that a teacher decides to implement a mastery learning approach in his or her classroom. This methodology requires that each lesson be followed by a student assessment. In the example given, the teacher's class has 36 students. The teacher quickly realises that it would be too time-consuming to grade all assessments and therefore decides to select a sample of tests to find out whether the material taught has been assimilated (Bloom, 1979).

However, the random sampling of a few tests can result in the selection of high achievers or low achievers only, which would introduce an important error in the class mean performance estimate. These situations are extreme examples, but drawing a random sample will always generate some uncertainty.

In the same example, before selecting some tests, the teacher grades all of them and analyses the results for the first lesson. Figure 4.1 presents the distribution of the 36 students' results. One student gets a grade 5, two students get a grade 6, and so on.

Figure 4.1
Distribution of the results of 36 students



The distribution of the student grades corresponds to a normal distribution. The population mean and the population variance are respectively equal to:

$$\mu = \frac{1}{N} \sum_{i=1}^N x_i = \frac{(5+6+6+7+\dots+14+14+15)}{36} = \frac{360}{36} = 10$$

$$\sigma^2 = \frac{1}{N} \sum_{i=1}^N (x_i - \mu)^2 = \frac{[(5-10)^2 + (6-10)^2 + \dots + (14-10)^2 + (15-10)^2]}{36} = \frac{240}{36} = 5.8333$$



Table 4.1
Description of the 630 possible samples of 2 students selected from 36 students, according to their mean

Sample mean	Results of the two sampled students	Number of combinations of the two results	Number of samples
5.5	5 and 6	2	2
6.0	6 and 6 5 and 7	1 3	4
6.5	5 and 8 6 and 7	4 6	10
7.0	7 and 7 5 and 9 6 and 8	3 5 8	16
7.5	5 and 10 6 and 9 7 and 8	6 10 12	28
8.0	8 and 8 5 and 11 6 and 10 7 and 9	6 5 12 15	38
8.5	5 and 12 6 and 11 7 and 10 8 and 9	4 10 18 20	52
9.0	9 and 9 5 and 13 6 and 12 7 and 11 8 and 10	10 3 8 15 24	60
9.5	5 and 14 6 and 13 7 and 12 8 and 11 9 and 10	2 6 12 20 30	70
10.0	10 and 10 5 and 15 6 and 14 7 and 13 8 and 12 9 and 11	15 1 4 9 16 25	70
10.5	6 and 15 7 and 14 8 and 13 9 and 12 10 and 11	2 6 12 20 30	70
11.0	7 and 15 8 and 14 9 and 13 10 and 12 11 and 11	3 8 15 24 10	60
11.5	8 and 15 9 and 14 10 and 13 11 and 12	4 10 18 20	52
12.0	9 and 15 10 and 14 11 and 13 12 and 12	5 12 15 6	38
12.5	10 and 15 11 and 14 12 and 13	6 10 12	28
13.0	11 and 15 12 and 14 13 and 13	5 8 2	16
13.5	12 and 15 13 and 14	4 6	10
14.0	13 and 15 14 and 14	3 1	4
14.5	14 and 15	2	2
			630

The standard deviation is therefore equal to:

$$\sigma = \sqrt{\sigma^2} = \sqrt{5.833} = 2.415$$

The teacher then decides to randomly select a sample of two students after the next lesson to save grading time. The number of possible samples of 2 students out of a population of 36 students is equal to:

$$C_{36}^2 = \frac{36!}{(36-2)!2!} = 630$$



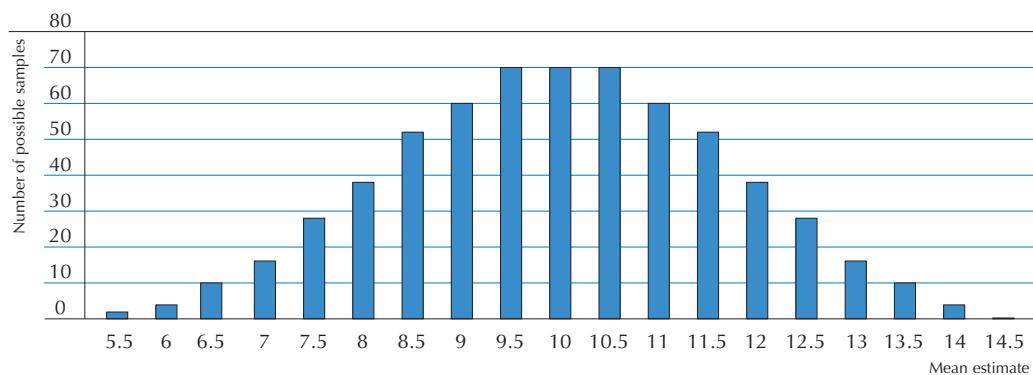
There are 630 possible samples of 2 students out of a population of 36 students. Table 4.1 describes these 630 possible samples. For instance, there are two possible samples which provide a mean estimate of 5.5 for student performance. These two samples are: (i) the student with a grade 5 and the first student with a grade 6; and (ii) the student with a 5 and the second student with a 6. Similarly, there are two ways of selecting a sample that would produce a mean grade of 6: the two sampled students both receive a grade 6 or one student receives a 5 and the second student receives a 7. As only two students obtained a grade 6 (4.1), there is only one possible sample with two grades 6. Since Figure 4.1 shows that there is only one student who received a grade 5 and three students who received a grade 7, there are three possible samples of two students with a grade 5 and a grade 7.

As shown in Table 4.1, there are 2 possible samples with a mean of 5.5, 4 possible samples with a mean of 6, 10 possible samples with a mean of 6.5, 16 possible samples with a mean of 7, and so on.

Figure 4.2 is a chart of the frequency of samples by their mean estimates for all possible samples of 2 students from 36.

Figure 4.2

Sampling variance distribution of the mean



As for all distributions, this distribution of the means of all possible samples can be summarised by central tendency indices and dispersion indices, such as the mean and the variance.

$$\mu_{(\hat{\mu})} = [(2 \times 5.5) + (4 \times 6) + (10 \times 6.5) + (16 \times 7) + (28 \times 7.5) + (38 \times 8) + \dots + (2 \times 14.5)] / 630 = 10$$

The mean of all possible sample means is equal to the student population mean, *i.e.* 10. This result is not a coincidence, but a fundamental property of the mean of a simple random sample, *i.e.* the mean of the means of all possible samples is equal to the population mean. In more formal language, the sample mean is an unbiased estimate of the population mean. Stated differently, the expected value of the sample mean is equal to the population mean.

However, it should be noted that there is an important variation around this expectation. In the example considered, sample means range from 5.5 to 14.5. The variance of this distribution, usually denoted as the sampling variance of the mean, can be computed as:

$$\sigma_{(\hat{\mu})}^2 = [(5.5 - 10)^2 + (5.5 - 10)^2 + (6 - 10)^2 + \dots + (14.5 - 10)^2 + (14.5 - 10)^2] / 630 = 2.833$$

Its square root, denoted as the standard error, is equal to:

$$\sigma_{(\hat{\mu})} = \sqrt{\sigma_{(\hat{\mu})}^2} = \sqrt{2.833} = 1.68$$



However, what information does the standard error of the mean give, or more specifically, what does the value 1.68 tell us? The distribution of the means of all possible samples follows approximately a normal distribution. Therefore, based on the mathematical properties of the normal distribution, it can be said that:

- 68.2% of all possible sample means fall between -1 standard error and $+1$ standard error around the mean; and
- 95.4% of all possible sample means fall between -2 standard errors and $+2$ standard errors.

Let us check the mathematical properties of the normal distribution on the sampling variance distribution of the mean. Remember that the mean of the sampling variance distribution is equal to 10 and its standard deviation, denoted by the term "standard error", is equal to 1.68.

How many samples have a mean between $\mu_{(\hat{\mu})} - \sigma_{(\hat{\mu})}$ and $\mu_{(\hat{\mu})} + \sigma_{(\hat{\mu})}$, i.e. between (10-1.68) and (10+1.68), or between 8.32 and 11.68?

Table 4.2 shows that there are 434 samples out of 630 with a mean comprised between 8.32 and 11.68; these represent 68.8% of all samples. It can also be demonstrated that the percentage of samples with means between $\mu_{(\hat{\mu})} - 2\sigma_{(\hat{\mu})}$ and $\mu_{(\hat{\mu})} + 2\sigma_{(\hat{\mu})}$, i.e. between 6.64 and 13.36 is equal to 94.9%.

Table 4.2
Distribution of all possible samples with a mean between 8.32 and 11.68

Sample mean	Number of samples	Percentage of samples	Cumulative % of sample
8.5	52	0.0825	0.0825
9.0	60	0.0952	0.1777
9.5	70	0.1111	0.2888
10.0	70	0.1111	0.4000
10.5	70	0.1111	0.5111
11.0	60	0.0952	0.6063
11.5	52	0.0825	0.6888
	434		

To estimate the standard error of the mean, the mean of all possible samples is computed. In reality though, only the mean of one sample is known. This, as will be shown, is enough to calculate an estimate of the sampling variance. It is therefore important to identify the factors responsible for the sampling variance from the one sample chosen.

The first determining factor is the size of the sample. If the teacher, in our example, decides to select four students instead of two, then the sampling distribution of the mean will range from 6 (the four lowest results being 5, 6, 6, and 7) to 14 (the four highest results being 13, 14, 14, and 15). Remember that the sampling distribution ranged from 5.5 to 14.5 with samples of two units. Increasing the sample size reduces the variance of the distribution.

There are 58 905 possible samples of 4 students out of a population of 36 students. Table 4.3 presents the distribution of all possible samples of 4 students for a population of 36 students. This distribution has a mean of 10 and a standard deviation, denoted standard error, of 1.155.

This proves that the size of the sample does not affect the expected value of the sample mean, but it does reduce the variance of the distribution of the sample means: the bigger the sample size, the lower the sampling variance of the mean.

**Table 4.3****Distribution of the mean of all possible samples of 4 students out of a population of 36 students**

Sample mean	Number of possible samples
6.00	3
6.25	10
6.50	33
6.75	74
7.00	159
7.25	292
7.50	510
7.75	804
8.00	1 213
8.25	1 700
8.50	2 288
8.75	2 896
9.00	3 531
9.25	4 082
9.50	4 553
9.75	4 830
10.00	4 949
10.25	4 830
10.50	4 553
10.75	4 082
11.00	3 531
11.25	2 896
11.50	2 288
11.75	1 700
12.00	1 213
12.25	804
12.50	510
12.75	292
13.00	159
13.25	74
13.50	33
13.75	10
14.00	3

The second factor that contributes to the sampling variance is the variance of the population itself. For example, if the results are reported out of a total score of 40 instead of 20, (i.e. the student results are all multiplied by two), then the mean of the student results is 20, the variance is 23.333 (i.e. four times 5.8333) and the standard deviation is equal to 4.83 (i.e. two times 2.415). The sampling variance from a sample of two students will be equal to 11.333 (i.e. four times 2.8333) and that the standard error of the mean will be equal to 3.3665 (i.e. two times 1.68).

The standard error of the mean is therefore proportional to the population variance. Based on these examples, it can be established that the sampling variance of the mean is equal to:

$$\sigma_{(\hat{\mu})}^2 = \frac{\sigma^2}{n} \left(\frac{N-n}{N-1} \right)$$

and the standard error of the sample mean is equal to:

$$\sigma_{(\hat{\mu})} = \sqrt{\sigma_{(\hat{\mu})}^2} = \frac{\sigma}{\sqrt{n}} \sqrt{\frac{N-n}{N-1}}$$

where:

σ^2 = variance of the population,

σ = standard deviation of the population,

n = sample size,

N = population size.



Let's check this formula with the example of two students selected:

$$\sigma_{(\hat{\mu})}^2 = \frac{\sigma^2}{n} \left(\frac{N-n}{N-1} \right) = \frac{5.833}{2} \left(\frac{36-2}{36-1} \right) = 2.8333$$

As the size of the population increases, the ratio $\left(\frac{N-n}{N-1} \right)$ tends toward 1. In such cases, a close approximation of the sampling variance of the mean is given by:

$$\sigma_{(\hat{\mu})}^2 = \frac{\sigma^2}{n}$$

However, in practice, the population variance is unknown and is estimated from a sample. The sampling variance estimate of the mean, just as a mean estimate, can vary depending on the sample. Therefore, being based on a sample, only an estimate of the sampling variance of the mean (or any other estimate) can be computed.

In the remainder of this manual, the concepts of sampling variance and estimations of the sampling variance will have the same symbol to simplify the text and the mathematical notations. That is, symbols depicting the estimates of sampling variance will not have a hat (^) to differentiate them from true values, but the fact that they are estimates is to be understood.

SAMPLING VARIANCE FOR TWO-STAGE SAMPLING

Education surveys and, more particularly, international surveys rarely sample students by simply selecting a random sample of students. Schools get selected first and, within each selected school, classes or students are randomly sampled.

One of the differences between simple random sampling and two-stage sampling is that for the latter, selected students attending the same school cannot be considered as independent observations. This is because students within a school will usually have more common characteristics than students from different educational institutions. For instance, they are offered the same school resources, may have the same teachers, and therefore are taught a common curriculum, and so on. Differences between students from different schools are also greater if different educational programmes are not available in all schools. For instance, one would expect to observe more differences between students from a vocational school and students from an academic school, than those that would be observed between students from two vocational schools.

Further, within a country, within subnational entities, and within cities, people tend to live in areas according to their financial resources. As children usually attend schools close to their homes, it is likely that students attending the same school come from similar socio-economic backgrounds.

A simple random sample of 4 000 students is thus likely to cover the diversity of the population better than a sample of 100 schools with 40 students observed within each school. It follows that the uncertainty associated with any population parameter estimate (*i.e.* standard error) will be greater for a two-stage sample than for a simple random sample of the same size.

The increase of the uncertainty due to the two-stage sample is directly proportional to the differences between the first-stage units, known as primary sampling units (PSUs), *i.e.* schools for education surveys. The consequences of this uncertainty are provided below for two extreme and fictitious situations:

- All students in the population are randomly assigned to schools. Therefore, there should not be any differences between schools. Randomly selecting 100 schools and then within the selected schools randomly drawing 40 students would be similar, from a statistical point of view, to directly randomly selecting 4 000 students as there are no differences between schools. The uncertainty associated with any population parameter estimate would be equal to the uncertainty obtained from a simple random sample of 4 000 students.



- All schools are different but within schools, all students are perfectly identical. Since within a particular school, all students are identical: observing only 1 student, or 40, would provide the same amount of information. Therefore, if 100 schools are selected and 40 students are observed per selected school, the effective sample size of this sample would be equal to 100. Therefore, the uncertainty associated with any population parameter estimate would be equal to the uncertainty obtained from a simple random sample of 100 students.

Of course, there is no education system in the world that can be identified with either of these extreme situations. Nevertheless, in some education systems, differences between schools appear to be very small, at least regarding the survey's measure, for example, of academic performance, while in some other educational systems, differences between schools can be quite substantial.

The academic performance of each student can be represented by a test score, or by the difference between his/her score and the country average score. In education research, it is common to split the difference between the student's score and the country average score into three parts: *(i)* the difference between the student's performance and the corresponding class mean; *(ii)* the difference between this class mean and the corresponding school mean; *(iii)* the difference between this school mean and the country mean. The first difference relates to the within-class variance (or the residual variance in terms of variance analysis). It indicates how much student scores can vary within a particular class. The second difference – the difference between the class mean and the school mean – is related to the between-classes-within-school variance. This difference reflects the range of differences between classes within schools. This between-classes-within-school variance might be substantial in educational institutions that offer both academic and vocational education. The third difference – the difference between the school average and the country average – is called the between-school variance. This difference indicates how much student performance varies among schools.

To obtain an estimate of these three components of the variance, it would be necessary to sample several schools, at least two classes per school and several students per class. PISA randomly selects 15-year-olds directly from student lists within the participating schools. Therefore, generally speaking, it is impossible to distinguish the between- and within-classes variances. PISA can only provide estimates of the between- and the within-school variances.

Table 4.4 provides the between-school and within-school variances on the mathematics scale for PISA 2003. In northern European countries, the between-school variance is very small compared to the within-school variance. In these countries, the student variance mainly lies at the within-school level. In terms of student achievement then, schools in such countries do not vary greatly. However, in Austria, Belgium, Germany and Hungary, for instance, more than 50% of differences in the student performance are accounted for at the school level. This means that the student performance differs substantially among schools. Therefore, the uncertainty associated with any population parameters will be larger for these countries when compared to the uncertainty for northern European countries, given a comparable sample size of schools and students.

As Kish (1987) noted:

"Standard methods for statistical analysis have been developed on assumptions of simple random sampling. Assuming independence for individual elements (or observations) greatly facilitates the mathematics used for distribution theories of formulas for complex statistics. [...] However, independent selection of elements is seldom realised in practice, because much research is actually and necessarily accomplished with complex sample designs. It is economical to select clusters that are natural grouping of elements, and these tend to be somewhat homogeneous for most characteristics. The assumptions may fail mildly or badly; hence standard statistical analysis tends to result in mild or bad underestimates in length of reported probability intervals. Overestimates are possible, but rare and mild."



Table 4.4
Between-school and within-school variances on the mathematics scale in PISA 2003

	Between-school variance	Within-school variance
AUS	1 919.11	7 169.09
AUT	5 296.65	4 299.71
BEL	7 328.47	5 738.33
CAN	1 261.58	6 250.12
CHE	3 092.60	6 198.65
CZE	4 972.45	4 557.50
DEU	6 206.92	4 498.70
DNK	1 109.45	7 357.14
ESP	1 476.85	6 081.74
FIN	336.24	6 664.98
FRA	3 822.62	4 536.22
GBR	1 881.09	6 338.25
GRC	3 387.52	5 991.75
HUN	5 688.56	4 034.66
IRL	1 246.70	6 110.71
ISL	337.56	7 849.99
ITA	4 922.84	4 426.67
JPN	5 387.17	4 668.82
KOR	3 531.75	5 011.56
LUX	2 596.36	5 806.97
MEX	2 476.01	3 916.46
NLD	5 528.99	3 326.09
NOR	599.49	7 986.58
NZL	1 740.61	7 969.97
POL	1 033.90	7 151.46
PRT	2 647.70	5 151.93
SVK	3 734.56	4 873.69
SWE	986.03	8 199.46
TUR	6 188.40	4 891.13
USA	2 395.38	6 731.45

Note: The results are based on the first plausible value for the mathematics scale, denoted PV1MATH in the PISA 2003 database (www.pisa.oecd.org).

Kish established a state-of-the-art knowledge of the sampling variance according to the type of estimator and the sampling design. The sampling variance distributions are well known for univariate and multivariate estimators for simple random samples. The use of stratification variables with a simple random sample still allows for the mathematical computation of the sampling variances, but with a substantial increase of complexity. As shown in Table 4.5, the computation of sampling variances for two-stage samples is available for some designs, but it becomes quite difficult to compute for multivariate indices.

Table 4.5
Current status of sampling errors

Selection methods	Means and total of entire samples	Subclass means and differences	Complex analytical statistics e.g. coefficients in regression
Simple random selection of elements	Known	Known	Known
Stratified selection of elements	Known	Available	Conjectured
Complex cluster sampling	Known for some sampling design	Available	Difficult

Note: Row 1 refers to standard statistical theory (Kish and Frankel, 1974).



Authors of sampling manuals usually distinguish two types of two-stage sampling (Cochran, 1977; Kish, 1995):

- two-stage sampling with first-stage units of equal sizes,
- two-stage sampling with first-stage units of unequal sizes.

Beyond this distinction, different characteristics of the population and of the sampling design need to be taken into account in the computation of the sampling variance, because they affect the sampling variance. Some of the factors to be considered are:

- Is the population finite or infinite?
- Was size a determining criterion in the selection of the first-stage units?
- Was a systematic procedure used for selecting first-stage or second-stage units?
- Does the sampling design include stratification variables?

The simplest two-stage sample design occurs with infinite populations of stage-one and stage-two units. As both stage units are infinite populations, PSUs are considered to be of equal sizes. If a simple random sample of PSUs is selected and if, within each selected PSU, a simple random sample of stage-two units is selected, then the sampling variance of the mean will be equal to:

$$\sigma_{(\hat{\mu})}^2 = \frac{\sigma_{\text{between_PSU}}^2}{n_{\text{PSU}}} + \frac{\sigma_{\text{within_PSU}}^2}{n_{\text{PSU}} n_{\text{within}}}$$

Let's apply this formula to an education survey and consider the population of schools as infinite and the population of students within each school as infinite. The computation of the sampling variance of the mean is therefore equal to:

$$\sigma_{(\hat{\mu})}^2 = \frac{\sigma_{\text{between_school}}^2}{n_{\text{school}}} + \frac{\sigma_{\text{within_school}}^2}{n_{\text{students}}}$$

Under these assumptions, the sampling variance of the mean and its square root, *i.e.* the standard error, in Denmark are computed as below. Table 4.6 presents the between-school and within-school variance as well as the numbers of participating schools and students in Denmark and Germany.

$$\sigma_{(\hat{\mu})}^2 = \frac{1109.45}{206} + \frac{7357.14}{4218} = 5.39 + 1.74 = 7.13$$

$$\sigma_{(\hat{\mu})} = \sqrt{7.13} = 2.67$$

The sampling variance of the mean and its square root, *i.e.* the standard error, in Germany are equal to:

$$\sigma_{(\hat{\mu})}^2 = \frac{6206.92}{216} + \frac{4498.70}{4660} = 28.74 + 0.97 = 29.71$$

$$\sigma_{(\hat{\mu})} = \sqrt{29.71} = 5.45$$

If both samples were considered as simple random samples, then the standard error of the mean for Denmark and Germany would be respectively equal to 1.42 and 1.51.

Table 4.6

Between-school and within-school variances, number of participating schools and students in Denmark and Germany in PISA 2003

	Denmark	Germany
Between-school variance	1 109.45	6 206.92
Within-school variance	7 357.14	4 498.70
Number of participating schools	206	216
Number of participating students	4 218	4 660



Based on these results, the following observations can be made:

- The standard error of the mean is larger for a two-stage sampling than for a simple random sampling. For example, in the case of Germany, the standard errors for simple random sampling and for two-stage sampling are 1.51 and 5.45, respectively. Considering a two-stage sample as a simple random sample will therefore substantially underestimate standard errors and consequently, confidence intervals will be too narrow. The confidence interval on the mathematic scale average, *i.e.* 503, would be equal to: $[503 - (1.96 * 1.51); 503 + (1.96 * 1.51)] = [500.05; 505.96]$ in the case of a simple random sample, but equal to $[503 - (1.96 * 5.45); 503 + (1.96 * 5.45)] = [492.32; 513.68]$ in the case of a two-stage sample. This indicates that any estimated mean value between 492.32 and 500.05 and between 505.96 and 513.68 may or may not be considered as statistically different from the German average, depending on the standard error used.
- The sampling variance of the mean for two-stage samples is mainly dependent on the between-school variance and the number of participating schools. Indeed, the between-school variance accounts for 76% of the total sampling variance in Denmark, *i.e.* $\frac{5.39}{7.13} = 0.76$. In Germany, the between-school variance accounts for 97% of the total sampling variance, *i.e.* $\frac{28.74}{29.71} = 0.97$. Therefore, one should expect larger sampling variance in countries with larger between-school variance, such as Austria and Germany.

However, the PISA population cannot be considered as an infinite population of schools with an infinite population of students. Further:

- Schools have unequal sizes.
- The PISA sample is a sample without replacement, *i.e.* a school cannot be selected twice.
- Schools are selected proportionally to their sizes and according to a systematic procedure.
- Stratification variables are included in the sample design.

These characteristics of the sampling design will influence the sampling variance, so that the formula used above is also inappropriate. Indeed, *Learning for Tomorrow's World – First Results from PISA 2003* (OECD, 2004a) indicates that the standard errors for the mean performance in mathematics for Denmark and Germany are 2.7 and 3.3, respectively.

This shows that the PISA sample design is quite efficient in reducing the sampling variance. However, the design becomes so complex that there is no easy formula for computing the sampling variance or even mean.

Since the IEA 1990 Reading Literacy Study, replication or resampling methods have been used to compute estimates of the sampling variance for international education surveys. Even though these methods have been known since the late 1950s, they have not been used often as they require numerous computations. With the availability of powerful personal computers in the 1990s and the increased use of international databases by non-mathematicians, international co-ordinating centres were encouraged to use resampling methods for estimating sampling variances from complex sample designs.

According to Rust and Rao (1996):

"The common principle that these methods have is to use computational intensity to overcome difficulties and inconveniences in utilizing an analytic solution to the problem at hand. Briefly, the replication approach consists of estimating the variance of a population parameter of interest by using a large number of somewhat different subsamples (or somewhat different sampling weights) to calculate the parameter of interest. The variability among the resulting estimates is used to estimate the true sampling error of the initial or full-sample estimate."

In the following sections, these methods will first be described for simple random samples and for two-stage samples. The PISA replication method will be presented subsequently.



REPLICATION METHODS FOR SIMPLE RANDOM SAMPLES

There are two main types of replication methods for simple random samples. These are known as the Jackknife and the Bootstrap. One of the most important differences between the Jackknife and the Bootstrap is related to the procedure used to produce the repeated subsamples or replicate samples. From a sample of n units, the Jackknife generates in a systematic way n replicate samples of $n-1$ units. The Bootstrap randomly generates a large number of repetitions of n units selected with replacement, with each unit having more than one chance of selection.

Since PISA does not use a Bootstrap replication method adapted to multi-stage sample designs, this section will only present the Jackknife method.

Suppose that a sample of ten students has been selected by simple random sampling. The Jackknife method will then generate ten subsamples, or replicate samples, each of nine students, as in Table 4.7.

Table 4.7
The Jackknife replicates and sample means

Student	1	2	3	4	5	6	7	8	9	10	Mean
Value	10	11	12	13	14	15	16	17	18	19	14.50
Replication 1	0	1	1	1	1	1	1	1	1	1	15.00
Replication 2	1	0	1	1	1	1	1	1	1	1	14.88
Replication 3	1	1	0	1	1	1	1	1	1	1	14.77
Replication 4	1	1	1	0	1	1	1	1	1	1	14.66
Replication 5	1	1	1	1	0	1	1	1	1	1	14.55
Replication 6	1	1	1	1	1	0	1	1	1	1	14.44
Replication 7	1	1	1	1	1	1	0	1	1	1	14.33
Replication 8	1	1	1	1	1	1	1	0	1	1	14.22
Replication 9	1	1	1	1	1	1	1	1	0	1	14.11
Replication 10	1	1	1	1	1	1	1	1	1	0	14.00

As shown in Table 4.7, the Jackknife generates ten replicate samples of nine students. The sample mean based on all ten students is equal to 14.5. For the first replicate sample, student 1 is not included in the calculation of the mean, and the mean of the nine students included in replicate sample 1 is 15.00. For the second replicate sample, the second student is not included and the mean of the other nine students is equal to 14.88, and so on.

The Jackknife estimate of sampling variance of the mean is equal to:

$$\sigma_{\text{jack}}^2 = \frac{n-1}{n} \sum_{i=1}^n (\hat{\theta}_{(i)} - \hat{\theta})^2$$

With $\hat{\theta}_{(i)}$ representing the statistic estimate for replicate sample i , and $\hat{\theta}$ representing the statistic estimate based on the whole sample.

Based on the data from Table 4.7, the Jackknife sampling variance of the mean is equal to:

$$\sigma_{(\hat{\mu})}^2 = \frac{9}{10} [(15.00 - 14.50)^2 + (14.88 - 14.50)^2 + \dots + (14.11 - 14.50)^2 + (14.00 - 14.50)^2]$$

$$\sigma_{(\hat{\mu})}^2 = \frac{9}{10} (1.018519) = 0.9167$$

The usual population variance estimator is equal to:

$$\sigma^2 = \frac{1}{n-1} \sum_{i=1}^n (x_i - \hat{\mu})^2 = \frac{1}{9} [(10 - 14.5)^2 + (11 - 14.5)^2 + \dots + (18 - 14.5)^2 + (19 - 14.5)^2] = 9.17$$



Therefore, the sampling variance of the mean, estimated by the mathematical formula, is equal to:

$$\sigma_{(\hat{\mu})}^2 = \frac{\sigma^2}{n} = \frac{9.17}{10} = 0.917$$

As shown in this example, the Jackknife method and the mathematical formula provide identical estimation of the sampling variance. Rust (1996) mathematically demonstrates this equality.

$$\begin{aligned}\hat{\mu}_{(i)} - \hat{\mu} &= \frac{\left[\left(\sum_{i=1}^n x_i \right) - x_i \right]}{n-1} - \frac{\left[\sum_{i=1}^n x_i \right]}{n} = -\frac{x_i}{n-1} + \left[\sum_{i=1}^n x_i \right] \left[\frac{1}{n-1} - \frac{1}{n} \right] \\ &= -\frac{1}{(n-1)} \left[x_i - \left(\sum_{i=1}^n x_i \right) \left(1 - \frac{(n-1)}{n} \right) \right] = -\frac{1}{(n-1)} [x_i - \hat{\mu}(n-(n-1))] = -\frac{1}{(n-1)} (x_i - \hat{\mu})\end{aligned}$$

Therefore,

$$\begin{aligned}(\hat{\mu}_{(i)} - \hat{\mu})^2 &= \frac{1}{(n-1)^2} (x_i - \hat{\mu})^2 \\ \Rightarrow \sum_{i=1}^n (\hat{\mu}_{(i)} - \hat{\mu})^2 &= \frac{1}{(n-1)^2} \sum_{i=1}^n (x_i - \hat{\mu})^2 = \frac{1}{(n-1)} \frac{\sum_{i=1}^n (x_i - \hat{\mu})^2}{(n-1)} = \frac{1}{(n-1)} \hat{\sigma}^2 \\ \Rightarrow \sigma_{jack}^2 &= \frac{n-1}{n} \sum_{i=1}^n (\hat{\mu}_{(i)} - \hat{\mu})^2 = \frac{(n-1)}{n} \frac{1}{(n-1)} \hat{\sigma}^2 = \frac{\hat{\sigma}^2}{n}\end{aligned}$$

The Jackknife method can also be applied to compute the sampling variance for other statistics, such as regression coefficients. As an example, in Table 4.8, the procedure consists of the computation of 11 regression coefficients: 1 based on the whole sample and 10 others based on one replicate sample. The comparison between the whole sample regression coefficient and each of the ten replicate regression coefficients will provide an estimate of the sampling variance of that statistic.

Table 4.8
Values on variables X and Y for a sample of ten students

Student	1	2	3	4	5	6	7	8	9	10
Value Y	10	11	12	13	14	15	16	17	18	19
Value X	10	13	14	19	11	12	16	17	18	15

The regression coefficient for the whole sample is equal to 0.53. The regression coefficients for ten replicate samples are shown in Table 4.9.

Table 4.9
Regression coefficients for each replicate sample

	Regression coefficient
Replicate 1	0.35
Replicate 2	0.55
Replicate 3	0.56
Replicate 4	0.64
Replicate 5	0.51
Replicate 6	0.55
Replicate 7	0.51
Replicate 8	0.48
Replicate 9	0.43
Replicate 10	0.68



The Jackknife formula, i.e. $\sigma_{jack}^2 = \frac{n-1}{n} \sum_{i=1}^n (\hat{\theta}_{(i)} - \hat{\theta})^2$, can be applied to compute the sampling variance of the regression coefficient.

$$\sigma_{jack}^2 = \frac{n-1}{n} \sum_{i=1}^n (\hat{\theta}_{(i)} - \hat{\theta})^2 = \frac{9}{10} [(0.35 - 0.53)^2 + (0.55 - 0.53)^2 + \dots + (0.68 - 0.53)^2] = 0.07$$

This result is identical to the result that the usual sampling variance formula for a regression coefficient would render.

REPLICATION METHODS FOR TWO-STAGE SAMPLES

There are three types of replication methods for two-stage samples:

1. the Jackknife, with two variants: one for unstratified samples and another one for stratified samples;
2. the Balanced Repeated Replication (BRR) and its variant, Fay's modification;
3. the Bootstrap.

PISA uses BRR with Fay's modification.¹

The Jackknife for unstratified two-stage sample designs

If a simple random sample of PSUs is drawn without the use of any stratification variables, then it can be shown that the sampling variance of the mean obtained using the Jackknife method is mathematically equal to the formula provided earlier in this chapter, i.e.:

$$\sigma_{(\hat{\mu})}^2 = \frac{\sigma_{between_PSU}^2}{n_{PSU}} + \frac{\sigma_{within_PSU}^2}{n_{PSU} n_{within}}$$

Consider a sample of ten schools and within selected schools, a simple random sample of students. The Jackknife method for an unstratified two-stage sample consists of generating ten replicates of nine schools. Each school is removed only once, in a systematic way.

For the first replicate, denoted R1, school 1 has been removed. As shown in Table 4.10, the weights of the other schools in the first replicate are adjusted by a factor of 1.11, i.e. $\frac{10}{9}$ or, as a general rule, by a factor of $\frac{G}{G-1}$, with G being the number of PSUs and the number of replicates in the sample. This adjustment factor is then applied when school replicate weights and within school replicate weights are combined to give the student replicate weights. For the second replicate, school 2 is removed and the weights in the remaining schools are adjusted by the same factor, and so on.

Table 4.10
The Jackknife replicates for unstratified two-stage sample designs

Replicate	R1	R2	R3	R4	R5	R6	R7	R8	R9	R10
School 1	0.00	1.11	1.11	1.11	1.11	1.11	1.11	1.11	1.11	1.11
School 2	1.11	0.00	1.11	1.11	1.11	1.11	1.11	1.11	1.11	1.11
School 3	1.11	1.11	0.00	1.11	1.11	1.11	1.11	1.11	1.11	1.11
School 4	1.11	1.11	1.11	0.00	1.11	1.11	1.11	1.11	1.11	1.11
School 5	1.11	1.11	1.11	1.11	0.00	1.11	1.11	1.11	1.11	1.11
School 6	1.11	1.11	1.11	1.11	1.11	0.00	1.11	1.11	1.11	1.11
School 7	1.11	1.11	1.11	1.11	1.11	1.11	0.00	1.11	1.11	1.11
School 8	1.11	1.11	1.11	1.11	1.11	1.11	1.11	0.00	1.11	1.11
School 9	1.11	1.11	1.11	1.11	1.11	1.11	1.11	1.11	0.00	1.11
School 10	1.11	1.11	1.11	1.11	1.11	1.11	1.11	1.11	1.11	0.00



The statistic of interest is computed for the whole sample, and then again for each replicate. The replicate estimates are then compared to the whole sample estimate to obtain the sampling variance, as follows:

$$\sigma_{(\hat{\theta})}^2 = \frac{(G-1)}{G} \sum_{i=1}^G (\hat{\theta}_{(i)} - \hat{\theta})^2$$

This formula is identical to the one used for a simple random sample, except that instead of using n replicates, n being the number of units in the sample, this formula uses G replicates, with G being the number of PSUs.

The Jackknife for stratified two-stage sample designs

As mentioned at the beginning of Chapter 3, two major principles underlie all sample designs. The first is the need to avoid bias in the selection procedure, the second to achieve maximum precision in view of the available financial resources.

To reduce the uncertainty, or to minimise the sampling variance without modifying the sample size, international and national education surveys usually implement the following procedures in the sampling design:

- PSUs are selected proportionally to their size and according to a systematic procedure. This procedure leads to an efficient student sampling procedure. Equal-sized samples of students can be selected from each school. At the same time, the overall selection probabilities (combining the school and student sampling components) do not vary much.
- PISA national centres are encouraged to identify stratification variables that are statistically associated with student performance. Characteristics, such as rural versus urban, academic versus vocational, private versus public, could be associated with student performance. The sampling variance reduction will be proportional to the explanatory power of these stratification variables on student performance.

The Jackknife for stratified two-stage samples allows the reduction of the sampling variance by taking both of these aspects into consideration. Failing to do so, would lead to a systematic overestimation of sampling variances.

Table 4.11
The Jackknife replicates for stratified two-stage sample designs

Pseudo-stratum	School	R1	R2	R3	R4	R5	R6	R7	R8	R9	R10
1	1	2	1	1	1	1	1	1	1	1	1
1	2	0	1	1	1	1	1	1	1	1	1
2	3	1	0	1	1	1	1	1	1	1	1
2	4	1	2	1	1	1	1	1	1	1	1
3	5	1	1	2	1	1	1	1	1	1	1
3	6	1	1	0	1	1	1	1	1	1	1
4	7	1	1	1	0	1	1	1	1	1	1
4	8	1	1	1	2	1	1	1	1	1	1
5	9	1	1	1	1	2	1	1	1	1	1
5	10	1	1	1	1	0	1	1	1	1	1
6	11	1	1	1	1	1	2	1	1	1	1
6	12	1	1	1	1	1	0	1	1	1	1
7	13	1	1	1	1	1	1	0	1	1	1
7	14	1	1	1	1	1	1	2	1	1	1
8	15	1	1	1	1	1	1	1	0	1	1
8	16	1	1	1	1	1	1	1	2	1	1
9	17	1	1	1	1	1	1	1	1	0	1
9	18	1	1	1	1	1	1	1	1	2	1
10	19	1	1	1	1	1	1	1	1	1	2
10	20	1	1	1	1	1	1	1	1	1	0



Suppose that the list of schools in the population is divided into two parts called strata: rural schools and urban schools. Further, within these two strata, schools are sorted by size. Within each stratum, ten schools are selected systematically and proportionally to their size.

The Jackknife method for stratified two-stage sample designs consists of systematically pairing sampled schools within each stratum in the order in which they were selected. Therefore, schools will be paired with other similar schools.

Table 4.11 shows how replicates are generated for this method. Schools 1 to 10 are in the stratum of “rural”, and schools 11 to 20 are in the stratum of “urban”. Within each stratum, there are therefore five school pairs, or pseudo-strata (also called variance strata).

The Jackknife for stratified two-stage samples will generate as many replicates as there are pairs or pseudo strata. In this example, ten replicates will therefore be generated. For each replicate sample, one school is randomly removed within a particular pseudo-stratum and the weight of the remaining school in the pseudo-stratum is doubled. For replicate 1, denoted R1, school 2 is removed and the weight of school 1 is doubled in the pseudo-stratum 1. For replicate 2, school 3 is removed and the weight of school 4 is doubled in the pseudo-stratum 2, and so on.

As previously mentioned, the statistic of interest is computed based on the whole sample and then again based on each replicate sample. The replicate estimates are then compared to the whole sample estimate to obtain the sampling variance, as follows:

$$\sigma_{(\hat{\theta})}^2 = \sum_{i=1}^G (\hat{\theta}_{(i)} - \hat{\theta})^2$$

This replication method is now generally used in IEA studies.

The Balanced Repeated Replication method

While the Jackknife method consists of removing only one school for each replicate sample, the Balanced Repeated Replication (BRR) method proceeds by selecting at random one school within each pseudo-stratum to have its weight set to 0, and by doubling the weights of the remaining schools as shown in Table 4.12.

Table 4.12
Replicates with the Balanced Repeated Replication method

Pseudo-stratum	School	R1	R2	R3	R4	R5	R6	R7	R8	R9	R 10	R 11	R 12
1	1	2	0	0	2	0	0	0	2	2	2	0	2
1	2	0	2	2	0	2	2	2	0	0	0	2	0
2	3	2	2	0	0	2	0	0	0	2	2	2	0
2	4	0	0	2	2	0	2	2	2	0	0	0	2
3	5	2	0	2	0	0	2	0	0	0	2	2	2
3	6	0	2	0	2	2	0	2	2	2	0	0	0
4	7	2	2	0	2	0	0	2	0	0	0	2	2
4	8	0	0	2	0	2	2	0	2	2	2	0	0
5	9	2	2	2	0	2	0	0	2	0	0	0	2
5	10	0	0	0	2	0	2	2	0	2	2	2	0
6	11	2	2	2	2	0	2	0	0	2	0	0	0
6	12	0	0	0	0	2	0	2	2	0	2	2	2
7	13	2	0	2	2	2	0	2	0	0	2	0	0
7	14	0	2	0	0	0	2	0	2	2	0	2	2
8	15	2	0	0	2	2	2	0	2	0	0	2	0
8	16	0	2	2	0	0	0	2	0	2	2	0	2
9	17	2	0	0	0	2	2	2	0	2	0	0	2
9	18	0	2	2	2	0	0	0	2	0	2	2	0
10	19	2	2	0	0	0	2	2	2	0	2	0	0
10	20	0	0	2	2	2	0	0	0	2	0	2	2



As this method results in a large set of possible replicates, a balanced set of replicate samples is generated according to Hadamard matrices in order to avoid lengthy computations. The number of replicates is the smallest multiple of four, greater than or equal to the number of pseudo-strata. In this example, as there are 10 pseudo-strata, 12 replicates will be generated.

The statistic of interest is again computed based on the whole sample, and then again for each replicate. The replicate estimates are then compared with the whole sample estimate to estimate the sampling variance, as follows:

$$\sigma_{(\hat{\theta})}^2 = \frac{1}{G} \sum_{i=1}^G (\hat{\theta}_{(i)} - \hat{\theta})^2$$

With this replication method, each replicate sample only uses half of the available observations. This large reduction in sample might therefore become problematic for the estimation of a statistic on a rare subpopulation. Indeed, the number of remaining observations might be so small, even equal to 0, that the estimation of the population parameter for a particular replicate sample is impossible. To overcome this disadvantage, Fay developed a variant to the BRR method. Instead of multiplying the school weights by a factor of 0 or 2, Fay suggested multiplying the weights by a deflating factor k between 0 and 1, with the second inflating factor being equal to 2 minus k . For instance, if the deflating weight factor, denoted k , is equal to 0.6, then the inflating weight factor will be equal to $2-k$, i.e. $1-0.6=1.4$ (Judkins, 1990).

PISA uses the Fay method with a factor of 0.5. Table 4.13 describes how the replicate samples and weights are generated for this method.

Table 4.13
The Fay replicates

Pseudo-stratum	School	R1	R2	R3	R4	R5	R6	R7	R8	R9	R 10	R 11	R 12
1	1	1.5	0.5	0.5	1.5	0.5	0.5	0.5	1.5	1.5	1.5	0.5	1.5
	2	0.5	1.5	1.5	0.5	1.5	1.5	1.5	0.5	0.5	0.5	1.5	0.5
2	3	1.5	1.5	0.5	0.5	1.5	0.5	0.5	0.5	1.5	1.5	1.5	0.5
	4	0.5	0.5	1.5	1.5	0.5	1.5	1.5	1.5	0.5	0.5	0.5	1.5
3	5	1.5	0.5	1.5	0.5	0.5	1.5	0.5	0.5	0.5	1.5	1.5	1.5
	6	0.5	1.5	0.5	1.5	1.5	0.5	1.5	1.5	1.5	0.5	0.5	0.5
4	7	1.5	1.5	0.5	1.5	0.5	0.5	1.5	0.5	0.5	0.5	1.5	1.5
	8	0.5	0.5	1.5	0.5	1.5	1.5	0.5	1.5	1.5	1.5	0.5	0.5
5	9	1.5	1.5	1.5	0.5	1.5	0.5	0.5	1.5	0.5	0.5	0.5	1.5
	10	0.5	0.5	0.5	1.5	0.5	1.5	1.5	0.5	1.5	1.5	1.5	0.5
6	11	1.5	1.5	1.5	1.5	0.5	1.5	0.5	0.5	1.5	0.5	0.5	0.5
	12	0.5	0.5	0.5	0.5	1.5	0.5	1.5	1.5	0.5	1.5	1.5	1.5
7	13	1.5	0.5	1.5	1.5	1.5	0.5	1.5	0.5	0.5	1.5	0.5	0.5
	14	0.5	1.5	0.5	0.5	0.5	1.5	0.5	1.5	1.5	0.5	1.5	1.5
8	15	1.5	0.5	0.5	1.5	1.5	1.5	0.5	1.5	0.5	0.5	1.5	0.5
	16	0.5	1.5	1.5	0.5	0.5	0.5	1.5	0.5	1.5	1.5	0.5	1.5
9	17	1.5	0.5	0.5	0.5	1.5	1.5	1.5	0.5	1.5	0.5	0.5	1.5
	18	0.5	1.5	1.5	1.5	0.5	0.5	0.5	1.5	0.5	1.5	1.5	0.5
10	19	1.5	1.5	0.5	0.5	0.5	1.5	1.5	1.5	0.5	1.5	0.5	0.5
	20	0.5	0.5	1.5	1.5	1.5	0.5	0.5	0.5	1.5	0.5	1.5	1.5

As with all replication methods, the statistic of interest is computed based on the whole sample, and then again on each replicate. The replicate estimates are then compared to the whole sample estimate to get the sampling variance, as follows:

$$\sigma_{(\hat{\theta})}^2 = \frac{1}{G(1-k)^2} \sum_{i=1}^G (\hat{\theta}_{(i)} - \hat{\theta})^2$$



In PISA, it was decided to generate 80 replicate samples and therefore 80 replicate weights. Therefore, the formula becomes:

$$\sigma_{(\hat{\theta})}^2 = \frac{1}{G(1-k)^2} \sum_{i=1}^G (\hat{\theta}_{(i)} - \hat{\theta})^2 = \frac{1}{80(1-0.5)^2} \sum_{i=1}^{80} (\hat{\theta}_{(i)} - \hat{\theta})^2 = \frac{1}{20} \sum_{i=1}^{80} (\hat{\theta}_{(i)} - \hat{\theta})^2$$

OTHER PROCEDURES FOR ACCOUNTING FOR CLUSTERED SAMPLES

For the past two decades, multi-level models and software packages have been introduced in the education research field. There is no doubt that these models led to a breakthrough in the unravelling of education phenomena. Indeed, multi-level regression models offer the possibility of taking into account the fact that students are nested within classes and schools: each contributing factor can be evaluated when establishing the outcome measure.

Multi-level regression software packages, such as MLWin® or HLM®, just like any professional statistical package, provide an estimate of the standard error for each of the estimated population parameters. While SAS® and SPSS® consider the sample as a simple random sample of population elements, MLWin® and HLM® recognise the hierarchical structure of the data, but consider that the school sample is a simple random one. They therefore do not take into account the complementary sample design information used in PISA to reduce the sampling variance. Consequently, in PISA, the sampling variances estimated with multi-level models will always be greater than the sampling variances estimated with Fay replicate samples.

As these multi-level model packages do not incorporate the additional sample design information, their standard error estimates are similar to the Jackknife method for unstratified samples. For instance, the PISA 2003 data in Germany were analysed using the multi-level model proposed by SAS® and called PROC MIXED. The standard errors of the mean of the five plausible values² for the combined mathematical literacy scale were respectively 5.4565, 5.3900, 5.3911, 5.4692, and 5.3461. The average of these five standard errors is 5.41. Recall that the use of the formula assuming PSUs are selected as simple random sampling discussed above produces an estimate of the sampling variance equal to 5.45.

With multi-level software packages, using replicates cannot be avoided if unbiased estimates of the standard errors for the estimates need to be obtained.

CONCLUSION

Since international education surveys use a two-stage sample design most of the time, it would be inappropriate to apply the sampling distribution formulas developed for simple random sampling. Doing so would lead to an underestimation of the sampling variances.

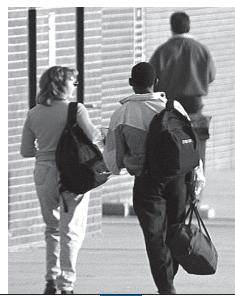
Sampling designs in education surveys can be very intricate. As a result, sampling distributions might not be available or too complex even for simple estimators, such as means. Since the 1990 IEA Reading Literacy Study, sampling variances have been estimated through replication methods. These methods function by generating several subsamples, or replicate samples, from the whole sample. The statistic of interest is then estimated for each of these replicate samples and then compared to the whole sample estimate to provide an estimate of the sampling variance.

A replicate sample is formed simply through a transformation of the full sample weights according to an algorithm specific to the replication method. These methods therefore can be applied to any estimators³ – means, medians, percentiles, correlations, regression coefficients, etc. – which can be easily computed thanks to advanced computing resources. Further, using these replicate weights does not require an extensive knowledge in statistics, since these procedures can be applied regardless of the statistic of interest.



Notes

1. See the reasons for this decision in the *PISA 2000 Technical Report* (OECD, 2002c).
2. See Chapter 6 for a description of plausible values.
3. Several empirical or theoretical studies have compared the different resampling methods for complex sampling design. As Rust and Krawchuk noted: "A benefit of both BRR and modified BRR over the Jackknife is that they have a sound theoretical basis for use with nonsmooth statistics, such as quantiles like the median. It has long been known that the Jackknife is inconsistent for estimating the variances of quantiles. That is, as the sample size increases for a given sample design, the estimation of the variances of quantiles does not necessarily become more precise when using the Jackknife." (Rust and Krawchuk, 2002).



5

The Rasch Model

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INTRODUCTION

International surveys in education such as PISA are designed to estimate the performance in specific subject domains of various subgroups of students, at specific ages or grade levels.

For the surveys to be considered valid, many items need to be developed and included in the final tests. The OECD publications related to the assessment frameworks indicate the breadth and depth of the PISA domains, showing that many items are needed to assess a domain as broadly defined as, for example, mathematical literacy.¹

At the same time, it is unreasonable and perhaps undesirable to assess each sampled student with the whole item battery because:

- After extended testing time, students' results start to be affected by fatigue and this can bias the outcomes of the surveys.
- School principals would refuse to free their students for the very long testing period that would be required. This would reduce the school participation rate, which in turn might substantially bias the outcomes of the results.

To overcome the conflicting demands of limited student-level testing time and broad coverage of the assessment domain, students are assigned a subset of the item pool. The result of this is that only certain subsamples of students respond to each item.

If the purpose of the survey is to estimate performance by reporting the percentage of correct answers for each item, it would not be necessary to report the performance of individual students. However, typically there is a need to summarise detailed item-level information for communicating the outcomes of the survey to the research community, to the public and also to policy makers. In addition, educational surveys aim to explain the difference in results between countries, between schools and between students. For instance, a researcher might be interested in the difference in performance between males and females.

HOW CAN THE INFORMATION BE SUMMARISED?

At the country level, the most straightforward procedure for summarising the item-level information would be to compute the average percentage of correct answers. This has been largely used in previous national and international surveys and is still used in some current international surveys, even when more complex models are implemented. These surveys may report the overall percentage of correct answers in reading, in mathematics and in science, as well as by content areas (for example, biology, physics, chemistry, earth sciences and so on). For instance, in mathematics, the overall percentage of correct answers for one country might be 54%, and for another, 65%.

The great advantage of this type of reporting is that it can be understood by everyone. Everybody can imagine a mathematics test and can envision what is represented by 54% and 65% of correct answers. These two numbers also give a sense of the difference between two countries.

Nevertheless, there are some weaknesses in this approach, because the percentage of correct answers depends on the difficulty of the test. The actual size of the difference in results between two countries depends on the difficulty of the test, which may lead to misinterpretation.

International surveys do not aim to just report an overall level of performance. Over the past few decades, policy makers have also largely been interested in equity indicators. They may also be interested in the amount of dispersion of results in their country. In some countries the results may be clustered around the mean and in other countries there may be large numbers of students scoring very high results and very low results.



It would be impossible to compute dispersion indices with only the difficulty indices, based on percentage of correct answers of all items. To do so, the information collected through the test need also be summarised at the student level.

To compare the results of two students assessed by two different tests, the tests must have exactly the same average difficulty. For PISA, as all items included in the main study are usually field trialled, test developers have some idea of the item difficulties and can therefore allocate the items to the different tests in such a way that the items in each test have more or less the same average difficulty. However, the two tests will never have exactly the same difficulty.

The distribution of the item difficulties will affect the distribution of the students' performance expressed as a raw score. For instance, a test with only items of medium difficulty will generate a different student score distribution than a test that consists of a large range of item difficulties.

This is complicated to a further degree in PISA as it assesses three or even four domains per cycle. This multiple assessment reduces the number of items available for each domain per test and it is easier to guarantee the comparability of two tests of 60 items than it is with, for example, 15 items.

If the different tests are randomly assigned to students, then the equality of the subpopulations in terms of mean score and variance of the student's performance can be assumed. In other words,

- The mean of the raw score should be identical for the different tests.
- The variance of the student raw scores should be identical for the different tests.

If this is not the case, then it would mean that the different tests do not have exactly the same psychometric properties. To overcome this problem of comparability of student performance between tests, the student's raw scores can be standardised per test. As the equality of the subpopulations can be assumed, differences in the results are due to differences in the test characteristics. The standardisation would then neutralise the effect of test differences on student's performance.

However, usually, only a sample of students from the different subpopulations is tested. As explained in Chapters 3 and 4, this sampling process generates an uncertainty around any population estimates. Therefore, even if different tests present exactly the same psychometric properties and are randomly assigned, the mean and standard deviation of the students' performance between the different tests can differ slightly. As the effect of the test characteristics and the sampling variability cannot be disentangled, the assumption cannot be made that the student raw scores obtained with different tests are fully comparable.

Other psychometric arguments can also be invoked against the use of raw scores based on the percentage of correct answers to assess student performance. Raw scores are on a ratio scale insofar as the interpretation of the results is limited to the number of correct answers. A student who gets a 0 on this scale did not provide any correct answers, but could not be considered as having no competencies, while a student who gets 10 has twice the number of correct answers as a student who gets 5, but does not necessarily have twice the competencies. Similarly, a student with a perfect score could not be considered as having all competencies (Wright and Stone, 1979).

THE RASCH MODEL FOR DICHOTOMOUS ITEMS

Introduction to the Rasch Model

PISA applies the Rasch Model for scaling, in order to overcome challenges identified in the previous section. The following section provides a general introduction to the Rasch Model.

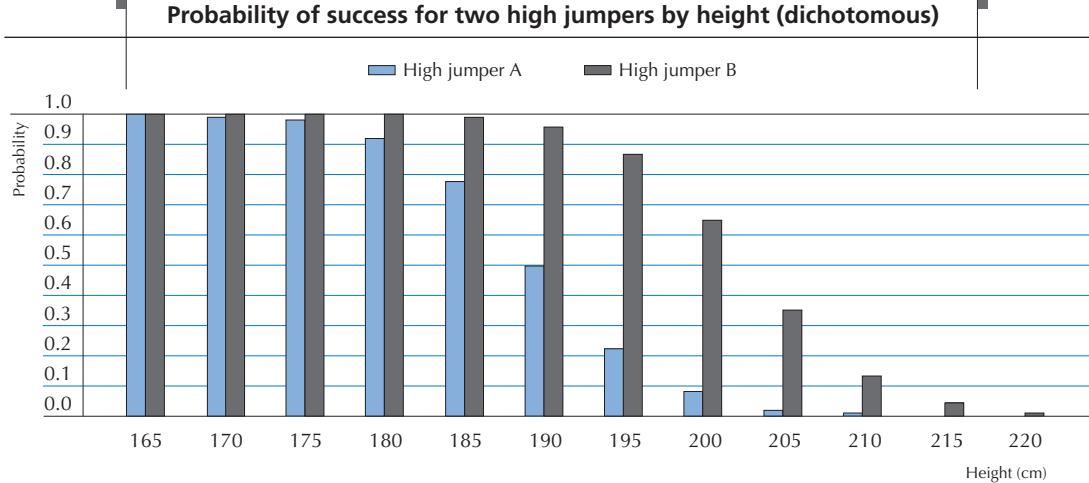


Let us suppose that someone wants to estimate the competence of a high jumper. It might be measured or expressed as his or her:

- individual record,
- individual record during an official and international event,
- mean performance during a particular period of time,
- most frequent performance during a particular period of time.

Figure 5.1 presents the probability of success for two high jumpers per height for the competitions in the previous year.

Figure 5.1
Probability of success for two high jumpers by height (dichotomous)



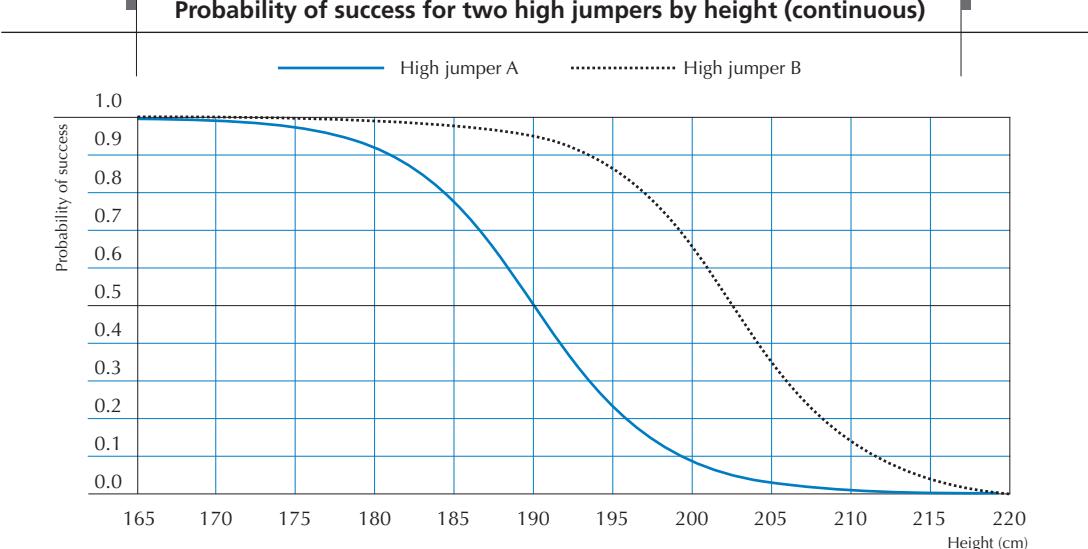
The two high jumpers always succeeded at 165 centimetres. Then the probability of success progressively decreases to reach 0 for both jumpers at 225 centimetres. While it starts to decrease at 170 centimetres for High jumper A, it starts to decrease at 185 for High jumper B.

These data can be depicted by a logistic regression model. This statistical analysis consists of explaining a dichotomous variable by a continuous variable. In this example, the continuous variable will explain the success or failure of a particular jumper by the height of the jump. The outcome of this analysis will allow the estimation of the probability of success, given any height. Figure 5.2 presents the probability of success for two high jumpers.

These two functions model the probability of success for the two high jumpers. The blue function represents the probability of success for High jumper A and the black function, the probability of success for High jumper B.

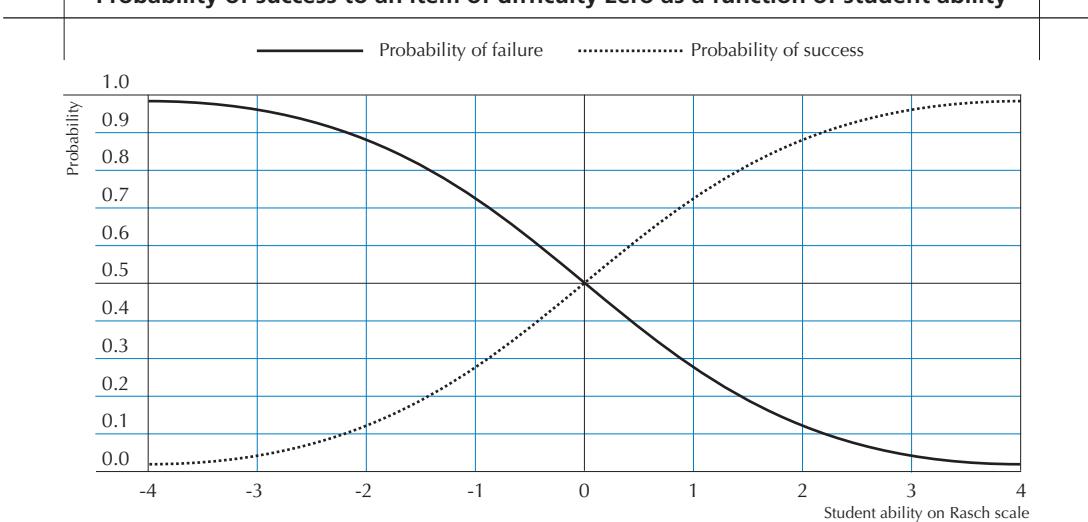
By convention,² the performance level would be defined as the height where the probability of success is equal to 0.50. This makes sense as below that level, the probability of success is lower than the probability of failure and beyond that level, it is the inverse.

In this particular example, the performance of the two high jumpers is respectively 190 and 202.5. Note that from Figure 5.1, the performance of High jumper A is directly observable whereas for High jumper B, it needs to be estimated from the model. A key property of this kind of approach is that the level (*i.e.* the height) of the crossbar and the performance of the high jumpers are expressed on the same metric or scale.

**Figure 5.2****Probability of success for two high jumpers by height (continuous)**

Scaling cognitive data according to the Rasch Model follows the same principle. The difficulty of the items is analogous to the difficulty of the jump based on the height of the crossbar. Further, just as a particular jump has two possible outcomes, *i.e.* success or failure, a student's answer to a particular question is either correct or incorrect. Finally, just as each jumper's performance was defined at the point where the probability of success was 0.5, the student's performance/ability is measured where the probability of success on an item equals 0.5.

One of the important features of the Rasch Model is that it will create a continuum on which both student performance and item difficulty will be located and a probabilistic function links these two components. Low ability students and easy items will be located on the left side of the continuum or scale, while high ability students and difficult items will be located on the right side of the continuum. Figure 5.3 represents the probability of success and the probability of failure for an item of difficulty zero.

Figure 5.3**Probability of success to an item of difficulty zero as a function of student ability**



As shown in Figure 5.3, a student with an ability of zero has a probability of 0.5 of success on an item of difficulty zero and a probability of 0.5 of failure. A student with an ability of -2 has a probability of a bit more than 0.10 of success and a probability of a bit less than 0.90 of failure on the same item of difficulty zero. But this student will have a probability of 0.5 of succeeding on an item of difficulty -2.

From a mathematical point of view, the probability that a student i , with an ability denoted β_i , provides a correct answer to item j of difficulty δ_j is equal to:

$$P(X_{ij}=1 | \beta_i, \delta_j) = \frac{\exp(\beta_i - \delta_j)}{1 + \exp(\beta_i - \delta_j)}$$

Similarly, the probability of failure is equal to:

$$P(X_{ij}=0 | \beta_i, \delta_j) = \frac{1}{1 + \exp(\beta_i - \delta_j)}$$

It can be easily shown that:

$$P(X_{ij}=1 | \beta_i, \delta_j) + P(X_{ij}=0 | \beta_i, \delta_j) = 1$$

In other words, the probability of success and the probability of failure always sum to one. Tables 5.1 to 5.5 present the probability of success for different student abilities and different item difficulties.

Table 5.1
Probability of success when student ability equals item difficulty

Student ability	Item difficulty	Probability of success
-2	-2	0.50
-1	-1	0.50
0	0	0.50
1	1	0.50
2	2	0.50

Table 5.2
Probability of success when student ability is less than the item difficulty by 1 unit

Student ability	Item difficulty	Probability of success
-2	-1	0.27
-1	0	0.27
0	1	0.27
1	2	0.27
2	3	0.27

Table 5.3
Probability of success when student ability is greater than the item difficulty by 1 unit

Student ability	Item difficulty	Probability of success
-2	-3	0.73
-1	-2	0.73
0	-1	0.73
1	0	0.73
2	3	0.73

**Table 5.4****Probability of success when student ability is less than the item difficulty by 2 units**

Student ability	Item difficulty	Probability of success
-2	0	0.12
-1	1	0.12
0	2	0.12
1	3	0.12
2	4	0.12

Table 5.5**Probability of success when student ability is greater than the item difficulty by 2 units**

Student ability	Item difficulty	Probability of success
-2	-4	0.88
-1	-3	0.88
0	-2	0.88
1	-1	0.88
2	0	0.88

It can be observed that:

- When the student ability is equal to the item difficulty, the probability of success will always be equal to 0.50, regardless of the student ability and item difficulty locations on the continuum (Table 5.1).
- If the item difficulty exceeds the student ability by one Rasch unit, denoted as a logit, then the probability of success will always be equal to 0.27, regardless of the location of the student ability on the continuum (Table 5.2).
- If the student ability exceeds the item difficulty by one logit, the probability of success will always be equal to 0.73, regardless of the location of the student ability on the continuum (Table 5.3).
- If two units separate the student ability and the item difficulty, the probabilities of success will be 0.12 when the student ability is lower than the item difficulty and 0.88 when the student ability is higher than the item difficulty (Tables 5.4 and 5.5).

From these observations, it is evident that the only factor that influences the probability of success is the distance on the Rasch continuum between the student ability and the item difficulty.

These examples also illustrate the symmetry of the scale. If student ability is lower than item difficulty by one logit, then the probability of success will be 0.27, which is 0.23 lower than the probability of success when ability and difficulty are equal. If student ability is higher than item difficulty by one logit, the probability of success will be 0.73, which is 0.23 higher than the probability of success when ability and difficulty are equal. Similarly, a difference of two logits generates a change of 0.38 from the probability of success when ability and difficulty are equal.

Item calibration

Of course, in real settings a student's answer will either be correct or incorrect, so what then is the meaning of a probability of 0.5 of success in terms of correct or incorrect answers? In simple terms the following interpretations can be made:

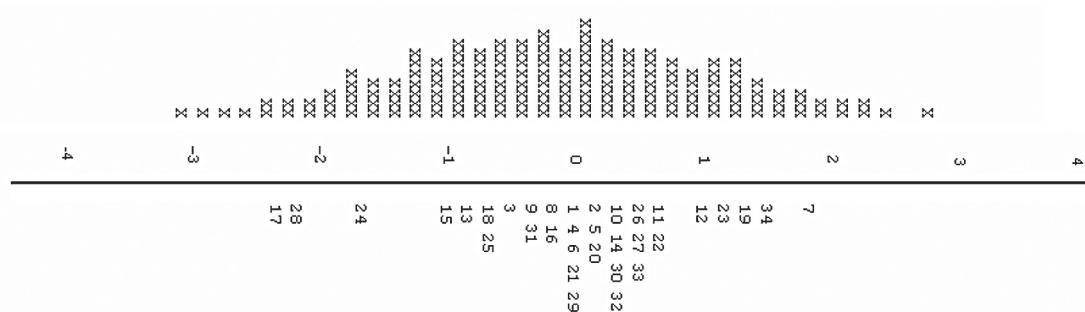
- If 100 students each having an ability of 0 have to answer a item of difficulty 0, then the model will predict 50 students with correct answers and 50 students with incorrect answers.
- If a student with an ability of 0 has to answer 100 items, all of difficulty 0, then the model will predict 50 correct answers and 50 incorrect answers.



As described, the Rasch Model, through a probabilistic function, builds a relative continuum on which the item's difficulty and the student's ability are located. With the example of high jumpers, the continuum already exists, *i.e.* this is the physical continuum of the meter height. With cognitive data, the continuum has to be built. By analogy, this consists of building a continuum on which the unknown height of the crossbars, *i.e.* the difficulty of the items, will be located. The following three major principles underlie the construction of the Rasch continuum.

- The relative difficulty of an item results from the comparison of that item with all other items. Let us suppose that a test consists of only two items. Intuitively, the response pattern (0, 0) and (1, 1) (1 denotes a success and 0 denotes a failure), where the ordered pairs refer to the responses to items 1 and 2, respectively, is uninformative for comparing the two items. The responses in these patterns are identical. On the other hand, responses (1, 0) and (0, 1) are different and are informative on just that comparison. If 50 students have the (0, 1) response pattern and only 10 students have the (1, 0) response pattern, then the second item is substantially easier than the first item. Indeed, 50 students succeeded on the second item while failing the first one and only 10 students succeeded on the first item while failing the second. This means that if one person succeeds on one of these two items, the probability of succeeding on the second item is five times higher than the probability of succeeding on first item. It is, therefore, easier to succeed on the second than it is to succeed on the first. Note that the relative difficulty of the two items is independent of the student abilities.
- As difficulties are determined through comparison of items, this creates a relative scale, and therefore there are an infinite number of scale points. Broadly speaking, the process of overcoming this issue is comparable to the need to create anchor points on the temperature scale. For example, Celsius fixed two reference points: the temperature at which the water freezes and the temperature at which water boils. He labelled the first reference point as 0 and the second reference point at 100 and consequently defined the measurement unit as one-hundredth of the distance between the two reference points. In the case of the Rasch Model, the measurement unit is defined by the probabilistic function involving the item difficulty and student ability parameters. Therefore, only one reference point has to be defined. The most common reference point consists of centring the item difficulties on zero. However, other arbitrary reference points can be used, like centring the student's abilities on zero.
- This continuum allows the computation of the relative difficulty of items partly submitted to different subpopulations. Let us suppose that the first item was administered to all students and the second item was only administered to the low ability students. The comparison of items will only be performed on the subpopulation who was administered both items, *i.e.* the low ability student population. The relative difficulty of the two items will be based on this common subset of students.

Figure 5.4
Student score and item difficulty distributions on a Rasch continuum





Once the item difficulties have been placed on the Rasch continuum, the student scores can be computed. The line in Figure 5.4 represents a Rasch continuum. The item difficulties are located above that line and the item numbers are located below the line. For instance, item 7 represents a difficult item and item 17, an easy item. This test includes a few easy items, a large number of medium difficulty items and a few difficult items. The x symbols above the line represent the distribution of the student scores.

Computation of a student's score

Once the item difficulties have been located on the Rasch scale, student scores can be computed. As mentioned previously, the probability that a student i , with an ability denoted β_i , provides a correct answer to item j of difficulty δ_j is equal to:

$$P(X_{ij}=1 | \beta_i, \delta_j) = \frac{\exp(\beta_i - \delta_j)}{1 + \exp(\beta_i - \delta_j)}$$

Similarly, the probability of failure is equal to:

$$P(X_{ij}=0 | \beta_i, \delta_j) = \frac{1}{1 + \exp(\beta_i - \delta_j)}$$

The Rasch Model assumes the independence of the items, *i.e.* the probability of a correct answer does not depend on the responses given to the other items. Consequently, the probability of succeeding on two items is equal to the product of the two individual probabilities of success.

Let us consider a test of four items with the following items difficulties: -1 , -0.5 , 0.5 and 1 . There are 16 possible responses patterns. These 16 patterns are presented in Table 5.6.

Table 5.6
Possible response pattern for a test of four items

Raw score	Response patterns
0	(0, 0, 0, 0)
1	(1, 0, 0, 0), (0, 1, 0, 0), (0, 0, 1, 0), (0, 0, 0, 1)
2	(1, 1, 0, 0), (1, 0, 1, 0), (1, 0, 0, 1), (0, 1, 1, 0), (0, 1, 0, 1), (0, 0, 1, 1)
3	(1, 1, 1, 0), (1, 1, 0, 1), (1, 0, 1, 1), (0, 1, 1, 1)
4	(1, 1, 1, 1)

For any student ability denoted β_i , it is possible to compute the probability of any response pattern. Let us compute the probability of the response pattern $(1, 1, 0, 0)$ for three students with an ability of -1 , 0 , and 1 .

Table 5.7
Probability for the response pattern $(1, 1, 0, 0)$ for three student abilities

			$\beta_i = -1$	$\beta_i = 0$	$\beta_i = 1$
Item 1	$\delta_1 = -1$	Response = 1	0.50	0.73	0.88
Item 2	$\delta_2 = -0.5$	Response = 1	0.38	0.62	0.82
Item 3	$\delta_3 = 0.5$	Response = 0	0.82	0.62	0.38
Item 4	$\delta_4 = 1$	Response = 0	0.88	0.73	0.50
Probability of obtaining response pattern			0.14	0.21	0.14



The probability of success for the first student on the first item is equal to:

$$P(X_{ij}=1 | \beta_i, \delta_j) = P(X_{1,1}=1 | -1, -1) \frac{\exp(-1 - (-1))}{1 + \exp(-1 - (-1))} = 0.5$$

The probability of success for the first student on the second item is equal to:

$$P(X_{ij}=1 | \beta_i, \delta_j) = P(X_{1,2}=1 | -1, -0.5) \frac{\exp(-1 - (-0.5))}{1 + \exp(-1 - (-0.5))} = 0.38$$

The probability of failure for the first student on the third item is equal to:

$$P(X_{ij}=0 | \beta_i, \delta_j) = P(X_{1,3}=0 | -1, 0.5) \frac{1}{1 + \exp(-1 - 0.5)} = 0.82$$

The probability of failure for the first student on the fourth item is equal to:

$$P(X_{ij}=0 | \beta_i, \delta_j) = P(X_{1,4}=0 | -1, 1) \frac{1}{1 + \exp(-1 - 1)} = 0.88$$

As these four items are considered as independent, the probability of the response pattern (1, 1, 0, 0) for a student with an ability $\beta_i = -1$ is equal to:

$$0.50 * 0.38 * 0.82 * 0.88 = 0.14$$

Given the item difficulties, a student with an ability $\beta_i = -1$ has 14 chances out of 100 to provide a correct answer to items 1 and 2 and to provide an incorrect answer to items 3 and 4. Similarly, a student with an ability of $\beta_i = 0$ has a probability of 0.21 to provide the same response pattern and a student with an ability of $\beta_i = 1$ has a probability of 0.14.

This process can be applied for a large range of student abilities and for all possible response patterns. Figure 5.5 presents the probability of observing the response pattern (1, 1, 0, 0) for all students' abilities between -6 and +6. As shown, the most likely value corresponds to a student ability of 0. Therefore, the Rasch Model will estimate the ability of any students with a response pattern (1, 1, 0, 0) to 0.

Figure 5.5
Response pattern probabilities for the response pattern (1, 1, 0, 0)

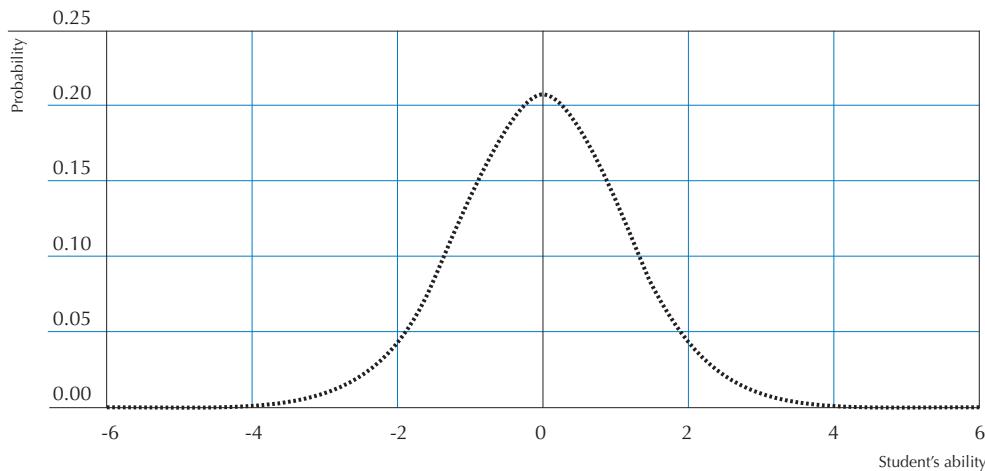




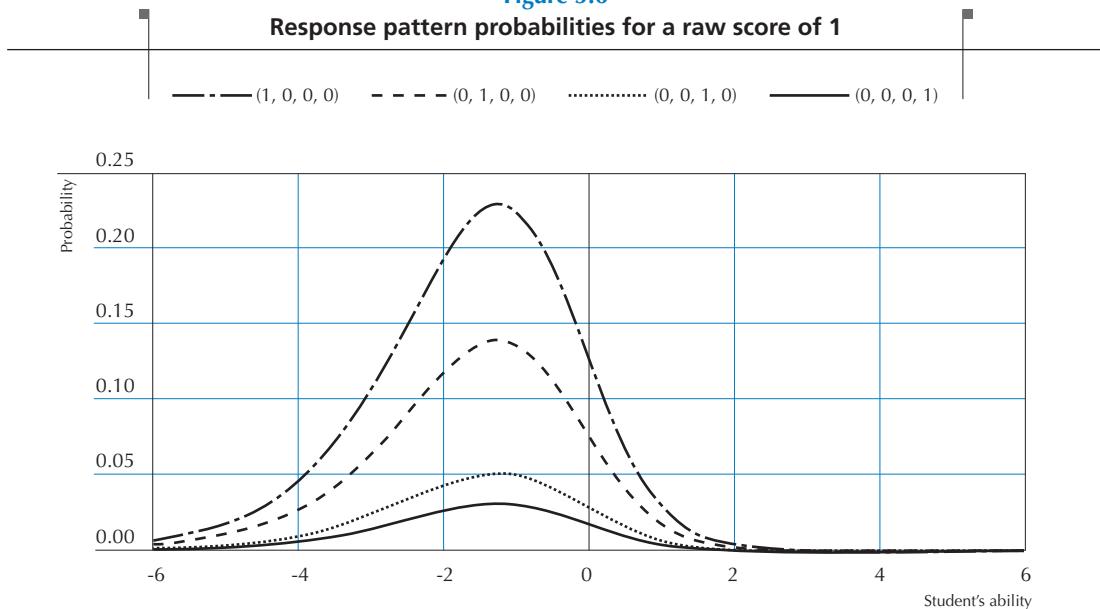
Figure 5.6 presents the distribution of the probabilities for all response patterns with only one correct item. As shown in Table 5.6, there are four responses patterns with only one correct item, i.e. (1, 0, 0, 0), (0, 1, 0, 0), (0, 0, 1, 0), (0, 0, 0, 1).

Figure 5.6 clearly shows that:

- The most likely response pattern for any students who succeed on only one item is (1, 0, 0, 0) and the most unlikely response pattern is (0, 0, 0, 1). When a student only provides one correct answer, it is expected that the correct answer was provided for the easiest item, i.e. item 1. It is also unexpected that this correct answer was provided for the most difficult item, i.e. item 4.
- Whatever the response pattern, the most likely value always corresponds to the same value for student ability. For instance, the most likely student ability for the response pattern (1, 0, 0, 0) is around -1.25. This is also the most likely student ability for the other response patterns.

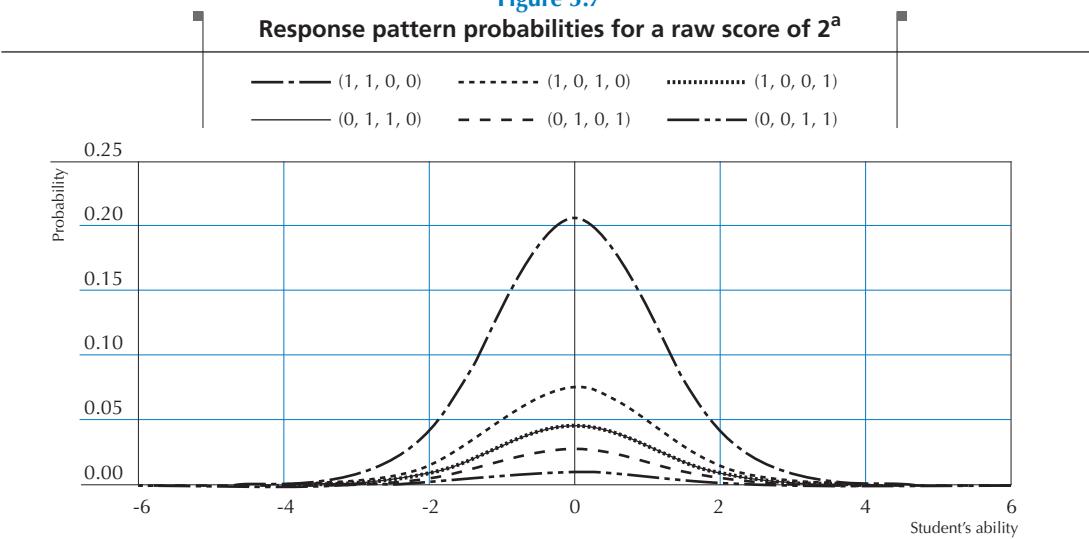
The Rasch Model will therefore return the value -1.25 for any students who get only one correct answer, whichever item was answered correctly.

Figure 5.6
Response pattern probabilities for a raw score of 1

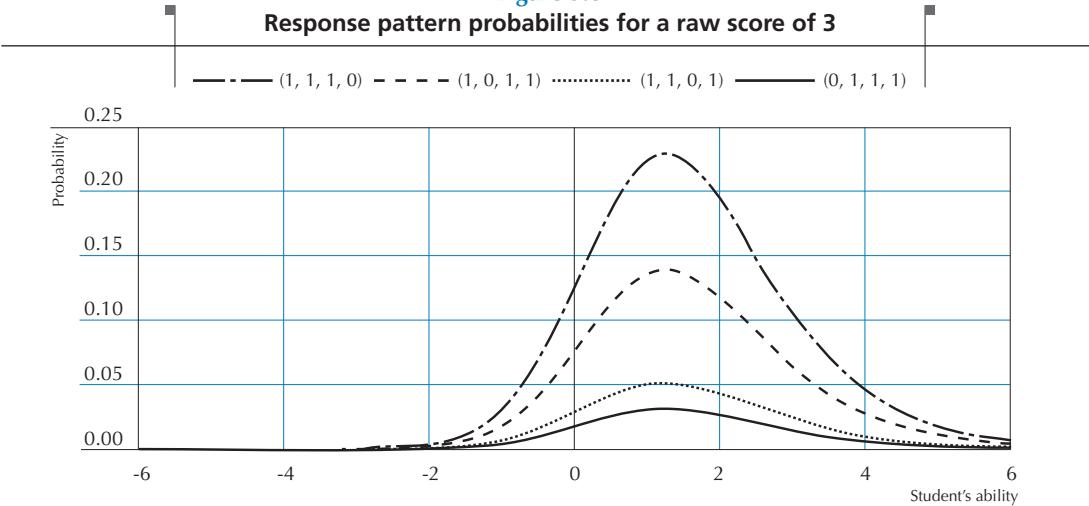


Similarly, as shown by Figure 5.7 and by Figure 5.8:

- The most likely response pattern with two correct items is (1, 1, 0, 0).
- The most likely student ability is always the same for any response pattern that includes two correct answers (student ability is 0 in this case).
- The most likely response pattern with three correct items is (1, 1, 1, 0).
- The most likely student ability is always the same for any response pattern that includes three correct answers (student ability is +1.25 in this case).

**Figure 5.7****Response pattern probabilities for a raw score of 2^a**

a. In this example, since the likelihood function for the response pattern (1, 0, 0, 1) is perfectly similar to that for the response pattern (0, 1, 1, 0), these two lines overlap in the figure.

Figure 5.8**Response pattern probabilities for a raw score of 3**

This type of Rasch ability estimate is usually denoted the maximum likelihood estimate (or MLE). As shown by these figures, per raw score, *i.e.* zero correct answers, one correct answer, two correct answers, and so on, the Rasch Model will return only one maximum likelihood estimate.

Warm has shown that this maximum likelihood estimate is biased and proposed to weight the contribution of each item by the information this item can provide (Warm, 1989). Warm estimates and MLEs are similar types of student individual ability estimates.

As the Warm estimate corrects the small bias in the MLE, it is usually preferred as the estimate of an individual's ability. Therefore, in PISA, weighted likelihood estimates (WLEs) are calculated by applying weights to MLE in order to account for the bias inherent in MLE as Warm proposed.



Computation of a student's score for incomplete designs

PISA uses a rotated booklet design for overcoming the conflicting demands of limited student-level testing time and the broad coverage of the assessment domain. A testing design where students are assigned a subset of items is denoted as an incomplete design. The principles for computing the student's individual ability estimate described in the previous section are also applicable for incomplete designs.

Let us suppose that two students with abilities of -1 and 1 have to answer two out of the four items presented in Table 5.8. The student with $\beta_1 = -1$ has to answer the first two items, *i.e.* the two easiest items and the student with $\beta_2 = 1$ has to answer the last two items, *i.e.* the two most difficult items. Both students succeed on their first item and fail on their second item.

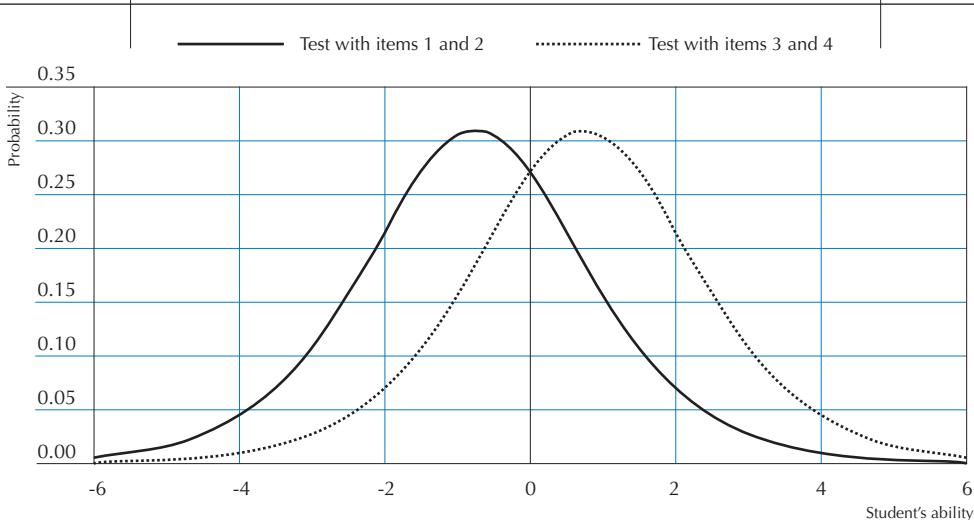
Both patterns have a probability of 0.31 respectively for an ability of -1 and 1 . As stated previously, these probabilities can be computed for a large range of student abilities. Figure 5.9 presents the $(1, 0)$ response pattern probabilities for the easy test (solid line) and for the difficult test (dotted line).

Table 5.8
Probability for the response pattern $(1, 0)$ for two students
of different ability in an incomplete test design

			$\beta_1 = -1$	$\beta_1 = 1$
Item 1	$\delta_1 = -1$	Response = 1	0.50	
Item 2	$\delta_2 = -0.5$	Response = 0	0.62	
Item 3	$\delta_3 = 0.5$	Response = 1		0.62
Item 4	$\delta_4 = 1$	Response = 0		0.50
Response pattern			0.31	0.31

Figure 5.9 shows that for any student that succeeded on one item of the easy test, the model will estimate the student ability at -0.75 , and that for any student that succeeded on one item of the difficult test, the model will estimate the student ability at 0.75 . If raw scores were used as estimates of student ability, in both cases, we would get 1 out of 2, or 0.5.

Figure 5.9
Response pattern likelihood for an easy test and a difficult test





In summary, the raw score does not take into account the difficulty of the item for the estimation of the raw score and therefore, the interpretation of the raw score depends on the item difficulties. On the other hand, the Rasch Model uses the number of correct answers and the difficulties of the items administered to a particular student for his or her ability estimate. Therefore, a Rasch score can be interpreted independently of the item difficulties. As far as all items can be located on the same continuum, the Rasch model can return fully comparable student ability estimates, even if students were assessed with a different subset of items. Note, however, that valid ascertainment of the student's Rasch score depends on knowing the item difficulties.

Optimal conditions for linking items

Some conditions have to be satisfied when different tests are used. First of all, the data collected through these tests must be linked. Without any links, the data collected through two different tests cannot be reported on a single scale. Usually, tests are linked by having different students do common items or having the same students assessed with the different tests.

Let's suppose that a researcher wants to estimate the growth in reading performance between a population of grade 2 students and a population of grade 4 students. Two tests will be developed and both will be targeted at the expected proficiency level of both populations. To ensure that both tests can be scaled on the same continuum, a few difficult items from the grade 2 test will be included in the grade 4 test (let's say items 7, 34, 19, 23 and 12).

Figure 5.10
Rasch item anchoring

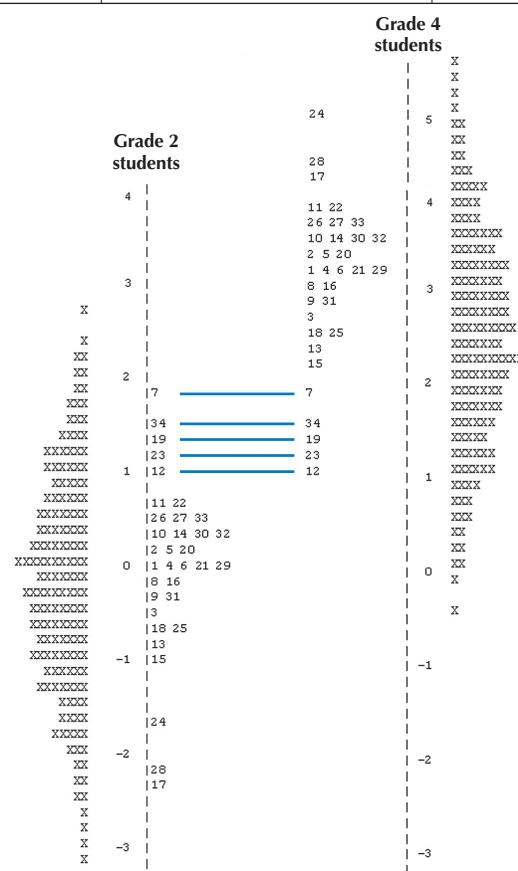




Figure 5.10 represents this item-anchoring process. The left part of Figure 5.10 presents the outputs of the scaling of the grade 2 test with items centred on zero. For the scaling of grade 4 data, the reference point will be the grade 2 difficulty of the anchoring items. Then the difficulty of the other grade 4 items will be fixed according to this reference point, as shown on the right side of Figure 5.10.

With this anchoring process, grade 2 and grade 4 item difficulties will be located on a single continuum. Therefore, the grade 2 and grade 4 students' ability estimates will also be located on the same continuum.

To accurately estimate the increase between grades 2 and 4, the researcher needs to ensure that the location of the anchor items is similar in both tests.

From a theoretical point of view, only one item is needed to link two different tests. However, this situation is far from being optimal. A balanced incomplete design presents the best guarantee for reporting the data of different tests on a single scale. This was adopted by PISA 2003 where the item pool was divided into 13 clusters of items. The item allocation to clusters takes into account the expected difficulty of the items and the expected time needed to answer the items. Table 5.9 presents the PISA 2003 test design. Thirteen clusters of items were denoted as C1 to C13 respectively. Thirteen booklets were developed and each of them has four parts, denoted as Block 1 to Block 4. Each booklet consists of four clusters. For instance, Booklet 1 consists of Cluster 1, Cluster 2, Cluster 4 and Cluster 10.

Table 5.9
PISA 2003 test design

	Block 1	Block 2	Block 3	Block 4
Booklet 1	C1	C2	C4	C10
Booklet 2	C2	C3	C5	C11
Booklet 3	C3	C4	C6	C12
Booklet 4	C4	C5	C7	C13
Booklet 5	C5	C6	C8	C1
Booklet 6	C6	C7	C9	C2
Booklet 7	C7	C8	C10	C3
Booklet 8	C8	C9	C11	C4
Booklet 9	C9	C10	C12	C5
Booklet 10	C10	C11	C13	C6
Booklet 11	C11	C12	C1	C7
Booklet 12	C12	C13	C2	C8
Booklet 13	C13	C1	C3	C9

With such design, each cluster appears four times, once in each position. Further, each pair of clusters appears once and only once.

This design should ensure that the link process will not be influenced by the respective location of the link items in the different booklets.

Extension of the Rasch Model

Wright and Masters have generalised the original Rasch Model to polytomous items, usually denoted as the partial credit model (Wright and Masters, 1982). With this model, items can be scored as incorrect, partially correct and correct. The PISA cognitive items were calibrated according to this model.

This polytomous items model can also be applied on Likert scale data. There is of course no correct or incorrect answer for such scales, but the basic principles are the same: the possible answers can be ordered. PISA questionnaire data are scaled with the one-parameter logistic model for polytomous items.



OTHER ITEM RESPONSE THEORY MODELS

A classical distinction between item response theory models concerns the number of parameters used to describe items. The Rasch Model is designated as a one-parameter model because item characteristic curves only depend on the item difficulty. In the three-parameter logistic model, the item characteristic curves depend on: (i) the item difficulty parameter; (ii) the item discrimination parameter; and (iii) what can be termed the “guessing” parameter. This last parameter accounts for the fact that, on a multiple choice test, all students have some chance of answering the item correctly, no matter how difficult the item is.

CONCLUSION

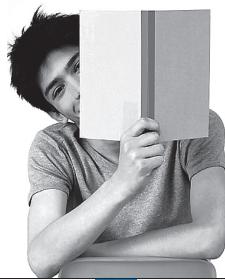
The Rasch Model was designed to build a symmetric continuum on which both item difficulty and student ability are located. The item difficulty and the student ability are linked by a logistic function. With this function, it is possible to compute the probability that a student succeeds on an item.

Further, due to this probabilistic link, it is not a requirement to administer the whole item battery to every student. If some link items are guaranteed, the Rasch Model will be able to create a scale on which every item and every student will be located. This last feature of the Rasch Model constitutes one of the major reasons why this model has become fundamental in educational surveys.

Notes

1. See *Measuring Student Knowledge and Skills – A New Framework for Assessment* (OECD, 1999a), *The PISA 2003 Assessment Framework – Mathematics, Reading, Science and Problem Solving Knowledge and Skills* (OECD, 2003b), and *Assessing Scientific, Reading and Mathematical Literacy – A Framework for PISA 2006* (OECD, 2006).

2. The probability of 0.5 was first used by psychophysics theories (Guilford, 1954).



6

Plausible Values

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INDIVIDUAL ESTIMATES VERSUS POPULATION ESTIMATES

Education assessments can have two major purposes:

1. To measure the knowledge and skills of particular students. The performance of each student usually will have an impact on his or her future (school career, admission to post-secondary education, and so on). It is therefore particularly important to minimise the measurement error associated with each individual's estimate.
2. To assess the knowledge or skills of a population. The performance of individuals will have no impact on their school career or professional life. In such a case, the goal of reducing error in making inferences about the target population is more important than the goal of reducing errors at the individual level.

National and international education surveys belong to the second category.

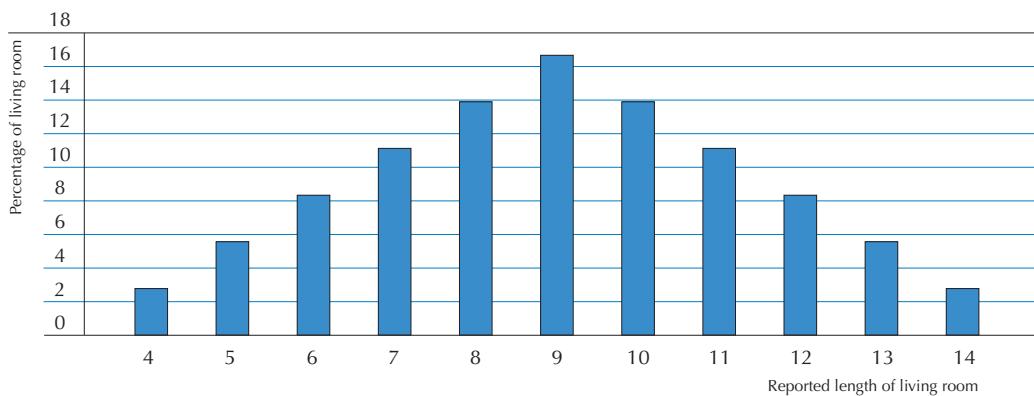
International surveys such as PISA report student performance through plausible values.¹ This chapter will explain the conceptual meaning of plausible values and the advantage of reporting with them. Individual estimators (such as the *weighted likelihood estimate* [WLE] defined in Chapter 5) will be compared with plausible values for the purposes of estimating a range of population statistics.

THE MEANING OF PLAUSIBLE VALUES (PVs)

An example taken from the physical sciences measurement area can help illustrate the complex concept of plausible values. Suppose that a city board decides to levy a new building tax to increase the city's revenue. This new tax will be proportional to the length of the family house living room. Inspectors visit all city houses to measure the length of the living rooms. They are given a measuring tape and are instructed to record the length in term of integers only, *i.e.* 1 metre, 2 metres, 3 metres, 4 metres and so on.

The results of this measure are shown in Figure 6.1. About 2% of the living rooms have a reported length of 4 metres; slightly over 16% of the living rooms have a reported length of 9 metres and so on.

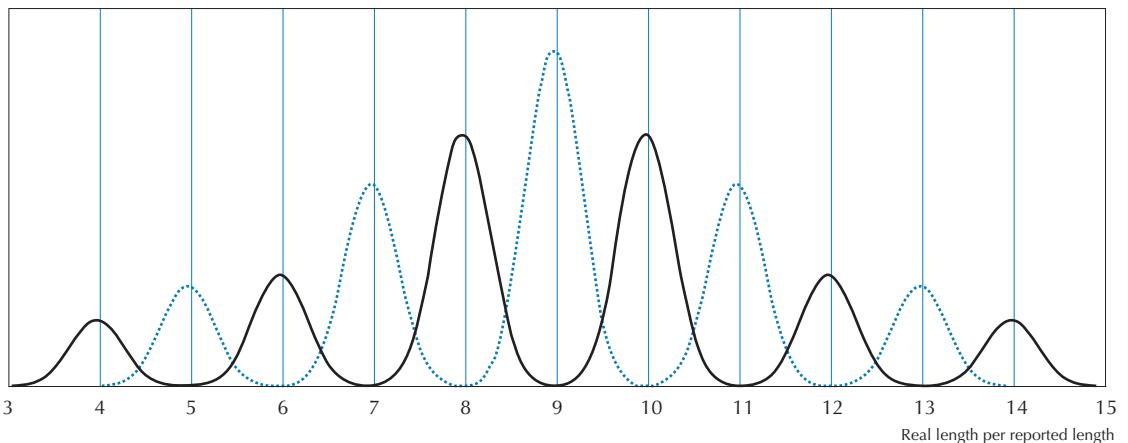
Figure 6.1
Living room length expressed in integers



Of course, the reality is quite different, as length is a continuous variable. With a continuous variable, observations can take any value between the minimum and the maximum. On the other hand, with a discontinuous variable, observations can only take a predefined number of values. Figure 6.2 gives the length distribution of the living rooms per reported length.



Figure 6.2
Real length per reported length



All living rooms with a reported length of 5 metres are not exactly 5 metres long. On average, they are 5 metres long, but their length varies around the mean. The difference between reported length and real length is due to the rounding process and measurement error. An inspector might incorrectly report 5 metres for a particular living room, when it really measures 4.15 metres. If the rounding process were the only source of error, then the reported length should be 4 metres. The second source of error, the error in measuring, explains the overlap in the distribution.

In this particular example, the lengths of the living rooms are normally distributed around the mean, which is also the reported length. If the difference between the length and the closest integer is small, then the probability of not reporting this length with the closest integer is very small. For instance, it is unlikely that a length of 4.15 be reported as 5 metres or 3 metres. However, as the distance between the real length and the closest integer increases, the probability of not reporting this length with the closest integer will also increase. For instance, it is likely that a length of 4.95 will be reported as 5 metres, whereas a length of 4.50 will be reported equally as many times as 4 metres as it is 5 metres.

The methodology of plausible values consists of:

- mathematically computing distributions (denoted as posterior distributions) around the reported values and the reported length in the example; and
- assigning to each observation a set of random values drawn from the posterior distributions.

Plausible values can therefore be defined as random values from the posterior distributions. In the example, a living room of 7.154 metres that was reported as 7 metres might be assigned any value from the normal distribution around the reported length of 7. It might be 7.45 as well as 6.55 or 6.95. Therefore, plausible values should not be used for individual estimation.

This fictitious example from the physical sciences can be translated successfully to the social sciences. For example, with a test of six dichotomous items, a continuous variable (*i.e.* mental ability) can be transformed into a discontinuous variable. The discontinuous variable will be the student raw score or the number of correct answers. The only possible scores are: 0, 1, 2, 3, 4, 5 and 6.



Contrary to most measures in the physical sciences, psychological or education measures encompass substantial measurement errors because:

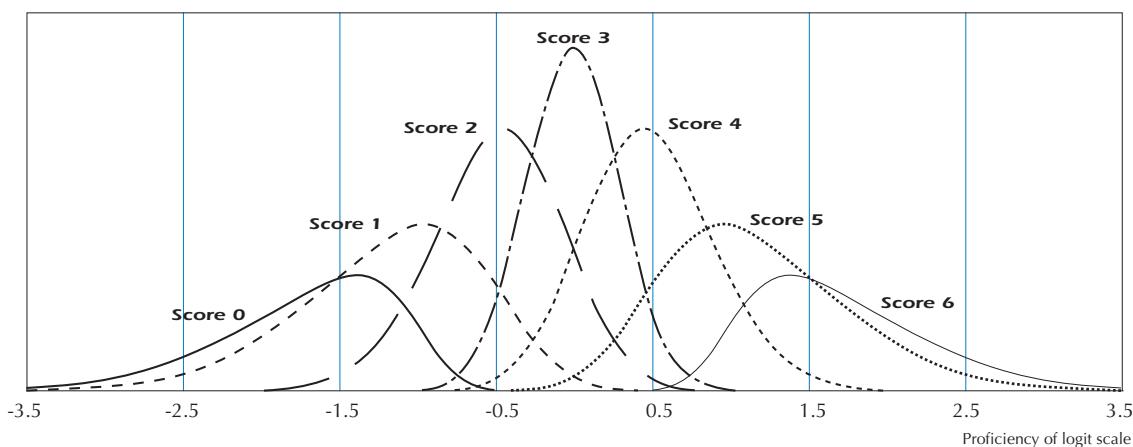
- The concept to be measured is broader.
- They might be affected by the mental and physical dispositions of the students on the day of the assessment.
- The conditions in which students are tested might also affect the results.

This means that there are large overlaps in the posterior distributions, as shown in Figure 6.3.

Further, with the example of the living room, the measurement error of the posterior distributions can be considered as independent of the living room.² In education, the measurement error is not always independent of the proficiency level of the students. It may be smaller for average students, and larger for low and high achievers, depending on the test average difficulty.

Further, in this particular example, the posterior distributions for score 0 and score 6 are substantially skewed, as the posterior distributions of the living rooms with a reported length of 4 and 14 metres would be, if all living rooms smaller than 4 metres were reported as 4, and if all living rooms longer than 14 metres were reported as 14. This means that the posterior distributions are not normally distributed, as shown in Figure 6.3.

Figure 6.3
A posterior distribution on a test of six items



Generating plausible values on an education test consists of drawing random numbers from the posterior distributions. This example clearly shows that plausible values should not be used for individual performance. Indeed, a student who scores 0 might get -3, but also -1. A student who scores 6 might get 3, but also 1.

It has been noted that:

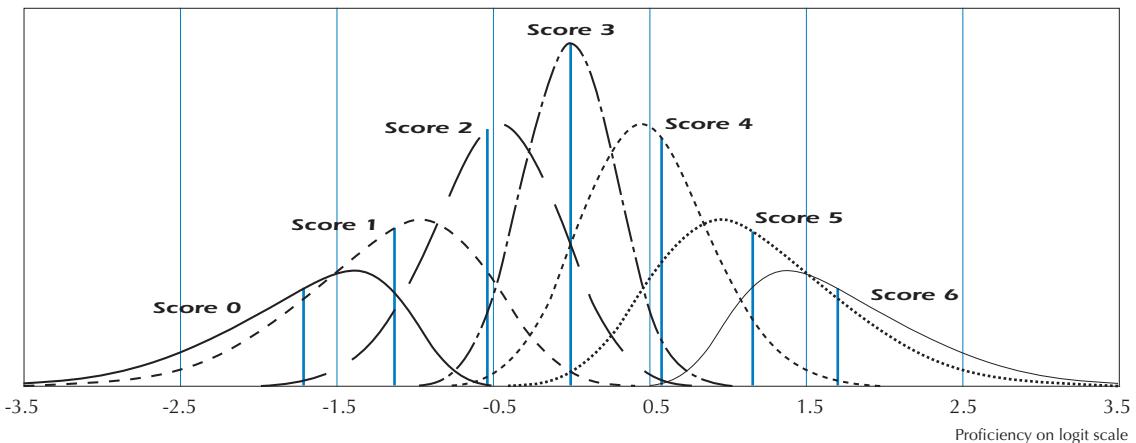
"The simplest way to describe plausible values is to say that plausible values are a representation of the range of abilities that a student might reasonably have. [...] Instead of directly estimating a student's ability θ , a probability distribution for a student's θ is estimated. That is, instead of obtaining a point estimate for θ , like a WLE, a range of possible values for a student's θ , with an associated probability for each of these values is estimated. Plausible values are random draws from this (estimated) distribution for a student's θ " (Wu and Adams, 2002).³



All this methodology aims at building a continuum from a collection of discontinuous variables (*i.e.* the test score). It is meant to prevent biased inferences occurring as a result of measuring an unobservable underlying ability through a test using a relatively small number of items.

Finally, an individual estimate of student ability can also be derived from the posterior distributions. This derived individual estimate is called the *expected a posteriori* estimator (EAP). Instead of assigning a set of random values from the posterior distributions, the mean of the posterior distributions is assigned. Therefore, the EAP can be considered as the mean of an infinite set of plausible values for a particular student. See Figure 6.4.

Figure 6.4
EAP estimators



As only one value is assigned per posterior distribution, the EAP estimator is also a discontinuous variable.⁴ However, EAP estimates and WLEs differ as the former requires a population distribution assumption, which is not the case for the latter. Further, while any raw score for a particular test will always be associated with one and only one WLE, different EAP values can be associated with a particular raw score, depending on the regressors used as conditioning variables.

Researchers not used to working with plausible values might consider this apparent randomisation as a source of imprecision. The comparison of the different types of Rasch ability estimators (WLE, EAP and PV) through the estimation of population statistics will overcome this perception. The PISA database only includes PVs⁵ for student performance but uses WLE for contextual indices derived from the student or school questionnaire. Although PISA does not include any EAP in its databases, the comparison will incorporate EAP estimates to show biases that occur when data analysts average the plausible values at the student levels to obtain one score value per student.

COMPARISON OF THE EFFICIENCY OF WLEs, EAP ESTIMATES AND PVs FOR THE ESTIMATION OF SOME POPULATION STATISTICS⁶

A comparison between different student ability estimators could be performed on real data. Such a comparison will outline differences, but it will not identify the best estimators for a particular population statistic. Therefore, a simulation will be used to illustrate and evaluate the differences in various estimators.



The simulation consists of three major steps:

- The generation of a dataset including a continuous variable that represents the student abilities (*i.e.* denoted as the latent variable), some background variables including the gender and an index of social background, denoted HISEI, and a pattern of item responses coded 0 for an incorrect answer and 1 for a correct answer. The results presented hereafter are based on a fictitious test of 15 items.⁷
- The computation of the student ability estimator, in particular the WLEs, EAP estimates and PVs.⁸
- The estimation of some population parameters using the student ability (*i.e.* latent variable) and the different student ability estimators. A comparison will be made for:
 - mean, variance and percentiles,
 - correlation,
 - between- and within-school variance.

The dataset of this simulation contains 5 250 students distributed in 150 schools with 35 students per school. Table 6.1 presents the structure of the simulated dataset before the importation of the Rasch student ability estimators.

Table 6.1
Structure of the simulated data

School ID	Student ID	Sex	HISEI	Item 1	Item 2	...	Item 14	Item 15
001	01	1	32	1	1		0	0
001	02	0	45	1	0		1	0
...	...							
150	5 249	0	62	0	0		1	1
150	5 250	1	50	0	1		1	1

Table 6.2 presents the mean and the variance of the latent variable, the WLEs, the EAP estimates and the five plausible values. The average of the 5 PV mean is also included.

Table 6.2 shows that a good estimate of the population's mean (*i.e.* the latent variable estimate) is obtained regardless of the type of latent variable used (WLEs, EAP estimates or PVs). It can be empirically demonstrated that none of the estimates significantly differs from the expected mean, *i.e.* 0.00 in this particular case (Wu and Adams, 2002). Additionally, it can also be shown that the mean of the WLEs will not be biased if the test is well targeted, *i.e.* if the average of the item difficulties is around 0 on the Rasch scale (Wu and Adams, 2002). That is, on a well-targeted test, students will obtain a raw score of about 50% correct answers. If the test is too easy then the mean of the WLEs will be underestimated (this is called the ceiling effect), while if it is too difficult then the mean of the WLEs will be overestimated (this is called the floor effect).

Table 6.2
Means and variances for the latent variables and the different student ability estimators

	Mean	Variance
Latent variable	0.00	1.00
WLE	0.00	1.40
EAP	0.00	0.75
PV1	0.01	0.99
PV2	0.00	0.99
PV3	0.00	1.01
PV4	0.00	1.01
PV5	-0.01	0.00
Average of the 5 PV statistics	0.00	1.00



These last results explain why the mean of the WLEs provided in the PISA 2000 database differs from the mean of the plausible values, especially for the partner countries. For the reading/reflection and evaluation scale, the means obtained for Canada using WLEs and PVs are 538.4 and 542.5, respectively, which are very close. In contrast, the means obtained for Peru, using WLEs and PVs are 352.2 and 322.7, respectively, a difference of about 0.3 standard deviations. This shows that there is bias when WLEs are used to estimate the mean if the test is not well targeted.

For the population variance, Table 6.2 shows that PVs give estimates closest to the expected value, while WLEs overestimate it and the EAP underestimates it. These results are consistent with other simulation studies.

Table 6.3 presents some percentiles computed on the different ability estimators. For example, because the variance computed using plausible values is not biased, the percentiles based on PVs are also unbiased. However, because the EAP estimates and WLEs variances are biased, the percentiles, and in particular, extreme percentiles will also be biased. These results are consistent with other simulation studies previously cited.

Table 6.4 presents the correlation between the social background index (HISEI), gender and the latent variables and the different estimators of student abilities. The correlation coefficients with the WLEs are both underestimated, while the correlation coefficients with the EAP estimates are overestimated. Only the correlation coefficients with the plausible values are unbiased.⁹

It should be noted that the regression coefficients are all unbiased for the different types of estimators. Nevertheless, as variances are biased for some estimators, residual variances will also be biased. Therefore, the standard error on the regression coefficients will be biased in the case of the WLEs and the EAP estimates.

Table 6.3
Percentiles for the latent variables and the different student ability estimators

	P5	P10	P25	P50	P75	P90	P95
Latent variable	-1.61	-1.26	-0.66	0.01	0.65	1.26	1.59
WLE	-2.15	-1.65	-0.82	-0.1	0.61	1.38	1.81
EAP	-1.48	-1.14	-0.62	-0.02	0.55	1.08	1.37
PV1	-1.68	-1.29	-0.71	-0.03	0.64	1.22	1.59
PV2	-1.67	-1.31	-0.69	-0.03	0.62	1.22	1.58
PV3	-1.67	-1.32	-0.70	-0.02	0.64	1.21	1.56
PV4	-1.69	-1.32	-0.69	-0.03	0.63	1.23	1.55
PV5	-1.65	-1.3	-0.71	-0.02	0.62	1.2	1.55
Average of the 5 PV statistics	-1.67	-1.31	-0.70	-0.03	0.63	1.22	1.57

Table 6.4
Correlation between HISEI, gender and the latent variable, the different student ability estimators

	HISEI	GENDER
Latent variable	0.40	0.16
WLE	0.33	0.13
EAP	0.46	0.17
PV1	0.41	0.15
PV2	0.42	0.15
PV3	0.42	0.13
PV4	0.40	0.15
PV5	0.40	0.14
Average of the 5 PV statistics	0.41	0.14



Table 6.5
Between- and within-school variances

Latent variable	Between-school variance	Within-school variance
WLE	0.34	1.02
EAP	0.35	0.38
PV1	0.35	0.61
PV2	0.36	0.60
PV3	0.36	0.61
PV4	0.35	0.61
PV5	0.35	0.61
Average of the 5 PV statistics	0.35	0.61

Finally, Table 6.5 presents the between- and within-school variances. Between-school variances for the different estimators do not differ from the expected value of 0.33. However, WLEs overestimate the within-school variance, while the EAP estimates underestimate it. These results are consistent with other simulation studies (Monseur and Adams, 2002).

As this example shows, plausible values provide unbiased estimates.

HOW TO PERFORM ANALYSES WITH PLAUSIBLE VALUES

As stated in the previous section, a set of plausible values, usually five, are drawn for each student for each scale or subscale. Population statistics should be estimated using each plausible value separately. The reported population statistic is then the average of each plausible value statistic. For instance, if one is interested in the correlation coefficient between the social index and the reading performance in PISA, then five correlation coefficients should be computed and then averaged.

Plausible values should never be averaged at the student level, *i.e.* by computing in the dataset the mean of the five plausible values at the student level and then computing the statistic of interest once using that average PV value. Doing so would be equivalent to an EAP estimate, with a bias as described in the previous section.

Mathematically, secondary analyses with plausible values can be described as follows. If θ is the population statistic and θ_i is the statistic of interest computed on one plausible value, then:

$$\theta = \frac{1}{M} \sum_{i=1}^M \theta_i$$

where M is the number of plausible values.

Plausible values also allow computing the uncertainty in the estimate of θ due to the lack of precision in the measurement test. If a perfect test could be developed, then the measurement error would be equal to zero and the five statistics from the plausible values would be exactly identical. Unfortunately, perfect tests do not exist and never will. This measurement variance, usually denoted imputation variance, is equal to:

$$B_M = \frac{1}{M-1} \sum_{i=1}^M (\theta_i - \theta)^2$$

It corresponds to the variance of the five plausible value statistics of interest. The final stage is to combine the sampling variance and the imputation variance as follows:

$$V = U + \left(1 + \frac{1}{M}\right) B_M$$

where U is the sampling variance.



Chapter 7 will demonstrate how to compute sampling variances and imputation variances and how to combine them, using the PISA databases.

CONCLUSION

This chapter was devoted to the meaning of plausible values and the steps required to analyse data with PVs. A comparison between PVs and alternate individual ability estimates was presented to demonstrate the superiority of this methodology for reporting population estimates.

Notes

1. The methodology of plausible values was first implemented in the National Assessment of Educational Progress (NAEP) studies. For more information, see Beaton (1987).
2. The measurement error will be independent of the length of the living rooms if the inspectors are using a measuring instrument that is at least 15 metres long (such as a measuring tape). If they are using a standard metre, then the overall measurement error will be proportional to the length of the living room.
3. The probability distribution for a student's θ can be based on the cognitive data only, *i.e.* the item response pattern, but can also include additional information, such as student gender, social background, and so on. The probability distribution becomes therefore conditioned by this additional information. A mathematical explanation of the model used for the scaling of the PISA 2000 scaling can be found in the *PISA 2000 Technical Report* (OECD, 2002c).
4. If several regressors are used as conditioning variables, then the EAP estimator tends to be a continuous variable.
5. PISA 2000 data files include both WLEs and PVs.
6. PV and EAP estimators can be computed with or without regressors. As the PVs in PISA were generated based on all variables collected through the student questionnaires, this comparison will only include PVs and EAP estimators with the use of regressors.
7. The data generation starts with a factorial analysis on a 3 by 3 squared correlation matrix. The correlation between the latent variable and gender was set at 0.20, the correlation between the latent variable and the social background indicator was set at 0.40 and the correlation between gender and the social background indicator was set at 0.00. Three random variables are drawn from normal distributions and combined according to the factorial regression coefficients to create the three variables of interest, *i.e.* reading, gender and social background. Based on the student score on the latent variable and a predefined set of 20 item difficulties; probabilities of success are computed according to the Rasch Model. These probabilities are then compared to uniform distribution and recoded into 0 and 1. Finally, gender is recoded into a dichotomous variable.
8. The estimators were computed with the Conquest Software® developed by M.L. Wu, R.J. Adams and M.R. Wilson.
9. The results on the EAP and PV correlation coefficients are observed when the probability distributions are generated with conditioning variables. Without the conditioning, the correlation with the plausible values would be underestimated.



Computation of Standard Errors

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INTRODUCTION

As shown in Chapter 4, replicates have to be used for the computation of the standard error for any population estimate. This chapter will give examples of such computations.

For PISA, the Fay's variant of the Balanced Repeated Replication (BRR) is used. The general formula for computing the standard error with this method is:

$$\sigma_{(\hat{\theta})}^2 = \frac{1}{G(1-k)^2} \sum_{i=1}^G (\hat{\theta}_{(i)} - \bar{\theta})^2$$

Since the PISA databases include 80 replicates and since the Fay coefficient was set to 0.5, the above formula can be simplified as follows:

$$\sigma_{(\hat{\theta})}^2 = \frac{1}{G(1-k)^2} \sum_{i=1}^G (\hat{\theta}_{(i)} - \bar{\theta})^2 = \frac{1}{80(1-0.5)^2} \sum_{i=1}^{80} (\hat{\theta}_{(i)} - \bar{\theta})^2 = \frac{1}{20} \sum_{i=1}^{80} (\hat{\theta}_{(i)} - \bar{\theta})^2$$

THE STANDARD ERROR ON UNIVARIATE STATISTICS FOR NUMERICAL VARIABLES

To compute the mean and its respective standard error, it is necessary to first compute this statistic by weighting the data with the student final weight, *i.e.* `w_fstuwt`, and then to compute 80 other means, each of them by weighting the data with one of the 80 replicates, *i.e.* `w_fstr1` to `w_fstr80`.

Box 7.1 presents the SPSS® syntax for computing these 81 means based on the social background index, denoted HISEI, for the PISA 2003 data for Germany. Table 7.1 presents the HISEI final estimates as well as the 80 replicate estimates.

Box 7.1 **SPSS® syntax for computing 81 means (e.g. PISA 2003)**

```

GET FILE="C:\PISA\2003\DATA\INT_STUI_2003.SAV".
SELECT IF (CNT="DEU").
WEIGHT BY W_FSTUWT.
DESCRIPTIVES VARIABLES=HISEI
/STATISTICS=MEAN.

WEIGHT BY W_FSTR1.
DESCRIPTIVES VARIABLES=HISEI
/STATISTICS=MEAN.
WEIGHT BY W_FSTR2.
DESCRIPTIVES VARIABLES=HISEI
/STATISTICS=MEAN.
.
.
WEIGHT BY W_FSTR79.
DESCRIPTIVES VARIABLES=HISEI
/STATISTICS=MEAN.
WEIGHT BY W_FSTR80.
DESCRIPTIVES VARIABLES=HISEI
/STATISTICS=MEAN.

```



Table 7.1
HISEI mean estimates

Weight	Mean estimate	Weight	Mean estimate
Final weight	49.33		
Replicate 1	49.44	Replicate 41	49.17
Replicate 2	49.18	Replicate 42	49.66
Replicate 3	49.12	Replicate 43	49.18
Replicate 4	49.46	Replicate 44	49.04
Replicate 5	49.24	Replicate 45	49.42
Replicate 6	49.34	Replicate 46	49.72
Replicate 7	49.13	Replicate 47	49.48
Replicate 8	49.08	Replicate 48	49.14
Replicate 9	49.54	Replicate 49	49.57
Replicate 10	49.20	Replicate 50	49.36
Replicate 11	49.22	Replicate 51	48.78
Replicate 12	49.12	Replicate 52	49.53
Replicate 13	49.33	Replicate 53	49.27
Replicate 14	49.47	Replicate 54	49.23
Replicate 15	49.40	Replicate 55	49.62
Replicate 16	49.30	Replicate 56	48.96
Replicate 17	49.24	Replicate 57	49.54
Replicate 18	48.85	Replicate 58	49.14
Replicate 19	49.41	Replicate 59	49.27
Replicate 20	48.82	Replicate 60	49.42
Replicate 21	49.46	Replicate 61	49.56
Replicate 22	49.37	Replicate 62	49.75
Replicate 23	49.39	Replicate 63	48.98
Replicate 24	49.23	Replicate 64	49.00
Replicate 25	49.47	Replicate 65	49.35
Replicate 26	49.51	Replicate 66	49.27
Replicate 27	49.35	Replicate 67	49.44
Replicate 28	48.89	Replicate 68	49.08
Replicate 29	49.44	Replicate 69	49.09
Replicate 30	49.34	Replicate 70	49.15
Replicate 31	49.41	Replicate 71	49.29
Replicate 32	49.18	Replicate 72	49.29
Replicate 33	49.50	Replicate 73	49.08
Replicate 34	49.12	Replicate 74	49.25
Replicate 35	49.05	Replicate 75	48.93
Replicate 36	49.40	Replicate 76	49.45
Replicate 37	49.20	Replicate 77	49.13
Replicate 38	49.54	Replicate 78	49.45
Replicate 39	49.32	Replicate 79	49.14
Replicate 40	49.35	Replicate 80	49.27

The mean that will be reported is equal to 49.33, *i.e.* the estimate obtained with the student final weight w_{stuwt} . The 80 replicate estimates are just used to compute the standard error on the mean of 49.33.

There are three major steps for computing the standard error:

1. Each replicate estimate will be compared with the final estimate 49.33 and the difference will be squared. Mathematically, it corresponds to $(\hat{\theta}_{(i)} - \hat{\theta})^2$ or in this particular case, $(\hat{\mu}_{(i)} - \hat{\mu})^2$. For the first replicate, it will be equal to $(49.44 - 49.33)^2 = 0.0140$. For the second replicate, it corresponds to $(49.18 - 49.33)^2 = 0.0228$. Table 7.2 presents the squared differences.
2. The sum of the squared differences is computed, and then divided by 20. Mathematically, it corresponds to $\frac{1}{20} \sum_{i=1}^{80} (\hat{\mu}_{(i)} - \hat{\mu})^2$. In the example, the sum is equal to $(0.0140 + 0.0228 + \dots + 0.0354 + 0.0031) = 3.5195$. The sum divided by 20 is therefore equal to $\frac{3.5195}{20} = 0.1760$. This value represents the sampling variance on the mean estimate for HISEI.
3. The standard error is equal to the square root of the sampling variance, *i.e.* $\sigma_{(\hat{\mu})} = \sqrt{\sigma_{(\hat{\mu})}^2} = \sqrt{0.1760} = 0.4195$.



This means that the sampling distribution on the HISEI mean for Germany has a standard error of 0.4195. This value also allows building a confidence interval around this mean. With a risk of type I error equal to 0.05, usually denoted α , the confidence interval will be equal to:

$$[49.33 - (1.96 \cdot 0.4195); 49.33 + (1.96 \cdot 0.4195)]$$

$$[48.51; 50.15]$$

In other words, there are 5 chances out of 100 that an interval formed in this way will fail to capture the population mean. It also means that the German population mean for HISEI is significantly different from, for example, a value of 51, as this number is not included in the confidence interval.

Chapter 11 will show how this standard error can be used for comparisons either between two or several countries, or between subpopulations within a particular country.

Table 7.2
Squared differences between replicate estimates and the final estimate

Weight	Squared difference	Weight	Squared difference
Replicate 1	0.0140	Replicate 41	0.0239
Replicate 2	0.0228	Replicate 42	0.1090
Replicate 3	0.0421	Replicate 43	0.0203
Replicate 4	0.0189	Replicate 44	0.0818
Replicate 5	0.0075	Replicate 45	0.0082
Replicate 6	0.0002	Replicate 46	0.1514
Replicate 7	0.0387	Replicate 47	0.0231
Replicate 8	0.0583	Replicate 48	0.0349
Replicate 9	0.0472	Replicate 49	0.0590
Replicate 10	0.0167	Replicate 50	0.0014
Replicate 11	0.0124	Replicate 51	0.3003
Replicate 12	0.0441	Replicate 52	0.0431
Replicate 13	0.0000	Replicate 53	0.0032
Replicate 14	0.0205	Replicate 54	0.0086
Replicate 15	0.0048	Replicate 55	0.0868
Replicate 16	0.0009	Replicate 56	0.1317
Replicate 17	0.0074	Replicate 57	0.0438
Replicate 18	0.2264	Replicate 58	0.0354
Replicate 19	0.0077	Replicate 59	0.0034
Replicate 20	0.2604	Replicate 60	0.0081
Replicate 21	0.0182	Replicate 61	0.0563
Replicate 22	0.0016	Replicate 62	0.1761
Replicate 23	0.0041	Replicate 63	0.1173
Replicate 24	0.0093	Replicate 64	0.1035
Replicate 25	0.0199	Replicate 65	0.0008
Replicate 26	0.0344	Replicate 66	0.0030
Replicate 27	0.0007	Replicate 67	0.0139
Replicate 28	0.1919	Replicate 68	0.0618
Replicate 29	0.0139	Replicate 69	0.0557
Replicate 30	0.0001	Replicate 70	0.0324
Replicate 31	0.0071	Replicate 71	0.0016
Replicate 32	0.0215	Replicate 72	0.0011
Replicate 33	0.0302	Replicate 73	0.0603
Replicate 34	0.0411	Replicate 74	0.0052
Replicate 35	0.0778	Replicate 75	0.1575
Replicate 36	0.0052	Replicate 76	0.0157
Replicate 37	0.0150	Replicate 77	0.0378
Replicate 38	0.0445	Replicate 78	0.0155
Replicate 39	0.0000	Replicate 79	0.0354
Replicate 40	0.0004	Replicate 80	0.0031
Sum of squared differences		3.5195	



THE SPSS® MACRO FOR COMPUTING THE STANDARD ERROR ON A MEAN

Writing all the SPSS® syntax to compute these 81 means and then transferring them into a Microsoft® Excel® spreadsheet to finally obtain the standard error would be very time consuming. Fortunately, SPSS® macros simplify iterative computations. The software package will execute N times the commands included between the beginning command (!DO! I=1 !To N) and the ending command (!DOEND). Further, it also saves the results in a file that can be used subsequently for the computation of the standard error. This file will be on C: \TEMP.

Several SPSS® macros have been written to simplify the main PISA computations as reported in the PISA initial reports. These macros have been saved in different files (with the extension .sps). Box 7.2 shows a SPSS® syntax where a macro is called for computing the mean and standard error of the variable HISEI.

Box 7.2 SPSS® syntax for computing the mean of HISEI and its standard error (e.g. PISA 2003)

```

GET FILE="C:\PISA\2003\DATA\INT_STUI_2003.SAV".
SELECT IF (CNT="DEU").
SELECT IF (NOT MISSING (ST03Q01)).
SAVE OUTFILE="C:\TEMP\DEU.SAV".

INSERT FILE="C:\PISA\MACRO\MCR_SE_UNIV.SPS".

SET MPRINT=YES.

/* First call of the macro */

UNIVAR NREP=80/                      /* is default */
      STAT=MEAN/
      DEP=HISEI/
      GRP=CNT/                     /* default=NOGRP */
      WGT=W_FSTUWT/                /* is default */
      RWGT=RW_FSTR/                 /* is default */
      CONS=0.05/                    /* is default */
      PSU=SCHOOLID/                /* is default */
      LIMIT_CRITERIA=50 5 3 1/     /* is default */
      INFILE="C:\TEMP\DEU.SAV"/.

/* Second call of the macro */

UNIVAR STAT=MEAN STDDEV/
      DEP=ESCS/
      GRP=CNT/
      INFILE="C:\TEMP\DEU.SAV" /.

```

After opening the international PISA 2003 student data file and creating a new data file by selecting the student data from Germany, the command (INSERT FILE="C:\PISA\MACRO\MCR_SE_UNIV.sps") will create and save a new procedure for later use.

This is followed by the statement calling the macro. Ten pieces of information can be provided, out of which only three are compulsory. These 10 pieces of information are::

- The number of replicate weights (NREP=80).
- The requested statistic (STAT=MEAN). More than one statistics can be requested. In the second call of the macro in Box 7.1., the mean and the standard deviation is requested per data cell as defined by the GRP statement.



- The variable on which an estimate and its respective standard error will be computed (**DEP=HISEI**).
- One or several breakdown variables (**GRP=CNT**).
- The variable name of the final weight (**WGT=W_FSTUWT**).
- The root of the replicate weights (**RWGT=W_FSTR**).
- A constant for the computation of the standard error that depends on the number of replicates and on the Fay coefficient. In PISA, this constant is equal to $\frac{1}{2}$, i.e. 0.05 (see introduction section of this chapter).
- The variable name of the school identification for the flagging procedure (**PSU=SCHOOLID**).
- The sample size minimal requirements per cell as defined by the GRP statement: if a statistic flagging is requested
 - The minimal number of students.
 - The minimal number of schools.
 - The minimal percentage of the population.
 - If the forth number in **LIMIT_CRITERIA** is equal to 1, then only the first within variable (**CNT** in this case) is used to compute the total number of students (for computing **FLAG_pct**). If another value is used, e.g. 2, then the first two variables in **GRP** are used to break the files in groups before computing the total number of students.
- The input data file (**INFILE="C:\TEMP\DEU.SAV"**).

This first macro in Box 7.2 will compute the mean of HISEI and its standard error by country using the 81 final and replicate weights denoted **W_FSTUWT** and **W_FSTR1** to **W_FSTR80**. The results will be stored in a file. This macro will return exactly the same values for the mean estimate and its respective standard error as the ones obtained through Table 7.1 and Table 7.2.

If values are provided in the statement **LIMIT_CRITERIA**, then the macro will also return:

1. The flag for not meeting the minimal number of student (**FLAG_STD**)
2. The flag for not meeting the minimal number of schools (**FLAG_SCH**)
3. The flag for not meeting the minimal percentage of students (**FLAG_PCT**)

The structure of the output data file is presented in Table 7.3.

Table 7.3
Output data file from Box 7.2

CNT	HISEI	se_HISEI	FLAG_STD	FLAG_SCH	FLAG_PCT
DEU	49.33	0.42	0	0	0

If the dataset had not been reduced to the data for Germany, then the number of rows in the output data file would be equal to the number of countries in the database times the number of requested statistics.

As the three flags are equal to 0, the mean estimate has been computed on at least on 50 students, 5 schools and represents at least 3% of the population, i.e. Germany in this case. This last result is useless as the mean estimate was requested at the country level. These sample size requirements are more useful when statistics are requested at a subnational level. With a required percentage set at 95, the **FLAG_PCT** would be equal to 1. It would indicate in that particular case that more than 5% of the data for HISEI are missing.



In the context of PISA secondary analyses, several statements can be omitted as default values have been provided in the macros. The second call of the macro in Box 7.1 presents the minimal statements; i.e. the requested statistics, the variable on which statistics are computed and the infile database. It should be noted that if the statement LIMIT_CRITERIA is omitted, then no flagging will be undertaken and if the statement GRP is omitted, the statistics will be computed on the whole dataset.

There are a few restrictions, as well as a few options, with this macro:

- Several breakdown variables can be specified. For instance, if results per gender are needed, then the breakdown variables will be CNT and ST03Q01³ (GRP=CNT ST03Q01).
- More than one numerical variable can be specified in the DEP statement.
- More than one statistic can be specified. The available statistics are presented in Table 7.4.

Table 7.4
Available statistics with the UNIVAR macro

Statistics available	Meaning
COUNT	Sum of the weight
MEAN	Arithmetic Mean
HARMONIC	Harmonic Mean
GEOMETRIC	Geometric Mean
VAR	Variance
STDDEV	Standard deviation
MEDIAN	Median
GMEDIAN	Grouped median
SUM	Sum of the values
KURT	Kurtosis
SKEW	Skewness

It should be noted that the LISTWISE deletion is applied when more than one variable is specified in the DEP statement. It means that the flagging will be based on the number of students and schools with valid data on the whole set of variables defined in the statement.

Box 7.3 presents the syntax for computing the standard deviation per gender and Table 7.5 sets out the structure of the output data file. As sample size limits are not requested, the output data file will not include the three flagging variables.

Box 7.3 SPSS® syntax for computing the standard deviation of HISEI and its standard error by gender (e.g. PISA 2003)

```
UNIVAR STAT = STDDEV /
    DEP = HISEI/
    GRP = CNT ST03Q01/* default=NOGRP */
    INFILE = "C:\TEMP\DEU.SAV".
```

Table 7.5
Output data file from Box 7.3

CNT	ST03Q01	Statistic	STAT	SE
DEU	1	STDDEV	16.12	0.29
DEU	2	STDDEV	16.34	0.23



THE STANDARD ERROR ON PERCENTAGES

For variables such as gender, the statistic of interest is usually the percentage per category. The procedure for estimating the standard error is identical to the procedure used for the estimation of the standard error on a mean or a standard deviation, *i.e.* per category of the variable, 81 percentages have to be computed.

Box 7.4 presents the SPSS® syntax for running the macro that will compute the percentages and their respective standard errors for each category of the gender variable. The structure of the output data file is presented in Table 7.6.

Box 7.4 SPSS® syntax for computing the percentages and their standard errors for gender (e.g. PISA 2003)

```
GET FILE="C:\TEMP\DEU.SAV".
SELECT IF NOT MISSING (ST03Q01).
SAVE OUTFILE="C:\TEMP\DEU_TEMP1.sav".

INSERT FILE="C:\PISA\MACRO\MCR_SE_GRPCT.SPS".

GRPPCT WITHIN = CNT /
    GRP = ST03Q01/
    LIMIT_CRITERIA = 100 10 5 1/
    INFILE = "C:\TEMP\DEU_TEMP1.SAV" /.
EXEC.
```

Table 7.6
Output data file from Box 7.4

CNT	ST03Q01	STAT	SE	FLAG_STD	FLAG_SCH	FLAG_PCT
DEU	1	49.66	1.04	0	0	0
DEU	2	50.34	1.04	0	0	0

Similar to the macro devoted for univariate statistics on continuous variables, several statements can be omitted such as NREP for the number of replicates, WITHIN for the breakdown variables, WGT for the final student weight, RWGT for the replicate weights, CONS for the constant requested for the computation of the standard error, PSU for the school identification variable and LIMIT_CRITERIA.

Table 7.7 presents the estimates of the percentage of females for the 81 weights and the squared differences. The percentage of females that will be reported is equal to 49.66, *i.e.* the percentage obtained with the final student weight.

As previously, there are three major steps for computing the standard error.

4. Each replicate estimate will be compared with the final estimate 49.66 and the difference will be squared. Mathematically, it corresponds to $(\hat{\pi}_{(i)} - \hat{\pi})^2$. For the first replicate, it will be equal to $(49.82 - 49.66)^2 = 0.0256$.
5. The sum of the squared differences is computed, and then divided by 20. Mathematically, it corresponds to $\frac{1}{20} \sum_{i=1}^{80} (\hat{\pi}_{(i)} - \hat{\pi})^2$. In the example, the sum is equal to $(0.0252 + 0.1044 + \dots + 0.3610 + 0.1313) = 21.4412$. The sum divided by 20 is therefore equal to $\frac{21.4412}{20} = 1.07$. This value represents the sampling variance on the percentage estimate of females.
6. The standard error is equal to the square root of the sampling variance, *i.e.* $\sigma_{(\hat{\pi})} = \sqrt{\sigma_{(\hat{\pi})}^2} = \sqrt{1.07} = 1.035$.



Table 7.7
Percentage of girls for the final and replicate weights and squared differences

Weight	% estimate	Squared difference	Weight	% estimate	Squared difference
Final weight	49.66		Replicate 41	50.00	0.11
Replicate 1	49.82	0.03	Replicate 42	49.95	0.09
Replicate 2	49.98	0.10	Replicate 43	49.70	0.00
Replicate 3	49.44	0.05	Replicate 44	50.59	0.87
Replicate 4	49.32	0.11	Replicate 45	49.07	0.35
Replicate 5	49.39	0.07	Replicate 46	48.82	0.71
Replicate 6	49.06	0.36	Replicate 47	49.88	0.05
Replicate 7	48.59	1.14	Replicate 48	49.14	0.27
Replicate 8	48.85	0.66	Replicate 49	49.53	0.02
Replicate 9	49.06	0.36	Replicate 50	49.81	0.02
Replicate 10	49.72	0.00	Replicate 51	49.87	0.04
Replicate 11	50.05	0.15	Replicate 52	49.82	0.02
Replicate 12	49.31	0.13	Replicate 53	49.42	0.06
Replicate 13	49.29	0.13	Replicate 54	48.99	0.45
Replicate 14	49.47	0.04	Replicate 55	50.07	0.17
Replicate 15	49.90	0.06	Replicate 56	50.68	1.04
Replicate 16	50.82	1.35	Replicate 57	50.34	0.46
Replicate 17	49.11	0.30	Replicate 58	49.54	0.02
Replicate 18	49.51	0.02	Replicate 59	48.75	0.83
Replicate 19	49.79	0.02	Replicate 60	50.14	0.23
Replicate 20	50.75	1.18	Replicate 61	49.45	0.05
Replicate 21	50.24	0.33	Replicate 62	49.46	0.04
Replicate 22	49.79	0.02	Replicate 63	50.11	0.20
Replicate 23	49.87	0.04	Replicate 64	49.64	0.00
Replicate 24	49.37	0.08	Replicate 65	49.72	0.00
Replicate 25	49.50	0.02	Replicate 66	50.79	1.27
Replicate 26	49.82	0.02	Replicate 67	49.73	0.00
Replicate 27	49.92	0.07	Replicate 68	49.96	0.09
Replicate 28	49.55	0.01	Replicate 69	50.31	0.42
Replicate 29	50.22	0.31	Replicate 70	49.17	0.24
Replicate 30	49.16	0.25	Replicate 71	50.10	0.19
Replicate 31	50.51	0.73	Replicate 72	49.93	0.07
Replicate 32	49.98	0.10	Replicate 73	49.55	0.01
Replicate 33	50.67	1.02	Replicate 74	49.42	0.06
Replicate 34	49.29	0.13	Replicate 75	49.60	0.00
Replicate 35	48.96	0.49	Replicate 76	49.45	0.05
Replicate 36	49.98	0.10	Replicate 77	49.80	0.02
Replicate 37	50.23	0.33	Replicate 78	49.91	0.07
Replicate 38	48.25	1.99	Replicate 79	49.06	0.36
Replicate 39	49.56	0.01	Replicate 80	50.02	0.13
Replicate 40	49.66	0.00	Sum of squared differences		21.44

The same process can be used for the percentage of males. It should be noted that the standard error for males is equal to the one for females. Indeed, it can be mathematically shown that the standard error on π is equal to the standard error on $1-\pi$, i.e. $\sigma_{(\rho)} = \sigma_{(1-\rho)}$. Nevertheless, if missing data for gender are kept in the data file, the standard error on the percentage of males can differ slightly from the standard error on the percentage of females.

Just as for the macro for numerical variables, more than one breakdown variable can be used. In PISA 2003, the first question in the student questionnaire provides the students' grade. German 15-year-olds are distributed from grade 7 to grade 11.

Box 7.5 presents the SPSS® syntax for computing the percentage for each grade per gender and its standard error and Table 7.8 presents the output data file. The percentages within the GRP group variable add up to 100%.



**Box 7.5 SPSS® syntax for computing the percentages
and its standard errors for grades by gender (e.g. PISA 2003)**

```

INSERT FILE="C:\PISA\MACRO\MCR_SE_GRPPCT.SPS".

GET FILE="C:\PISA\2003\DATA\INT_STUI_2003.SAV".
SELECT IF (CNT=>DEU).
SELECT IF NOT MISSING (ST03Q01).
SELECT IF NOT MISSING (ST01Q01).
SAVE OUTFILE="C:\TEMP\DEU_TEMP2.sav".

GRPPCT WITHIN = CNT ST03Q01 /
    GRP = ST01Q01/
    LIMIT CRITERIA = 100 10 5 1/
    INFILE = "C:\TEMP\DEU_TEMP2.SAV" /.
EXEC.

```

As shown in Table 7.8, more males tend to be in lower grades than females and more females tend to be in upper grades in Germany. A few rows are flagged in Table 7.8. Grade 7 and grade 11 count less than 100 males and less than 100 females. Further, these four subpopulations (males in grades 7 and 11 and females in grades 7 and 11) each represent less than 5% of the German population. Finally, the computations in grade 11 are based on less than ten schools both for males and females.

Table 7.8
Output data file from Box 7.5

CNT	ST03Q01	ST01Q01	STAT	SE	FLAG_STD	FLAG_SCH	FLAG_PCT
DEU	1	7	1.15	0.26	1	0	1
DEU	1	8	13.09	0.83	0	0	0
DEU	1	9	59.33	1.00	0	0	0
DEU	1	10	26.28	1.08	0	0	0
DEU	1	11	0.17	0.08	1	1	1
DEU	2	7	2.28	0.45	1	0	1
DEU	2	8	16.92	1.04	0	0	0
DEU	2	9	60.32	1.06	0	0	0
DEU	2	10	20.41	0.79	0	0	0
DEU	2	11	0.08	0.05	1	1	1

THE STANDARD ERROR ON REGRESSION COEFFICIENTS

For any requested statistic, the computation of the estimate and its standard error will follow exactly the same procedure as the ones described for the mean of HISEI and for the percentages for gender. The remainder of this chapter will explain the use of two other SPSS® macros developed for analysing PISA data.

The first macro is for simple linear regression analyses. Besides the eight arguments common to SPSS® macros previously described in this manual, *i.e.* (i) NREP=; (ii) GRP=; (iii) WGT=; (iv) RWGT=; (v) CONS=; (vi) LIMIT_CRITERIA=; (vii) PSU=, and (viii) INFILE=, two arguments need to be specified: the dependent variable (DEP=) and the independent variables (IND=). Only one dependent variable can be specified, whereas several independent variables can be specified.

Box 7.6 provides the syntax for running the simple linear regression macro. In this example, the dependent variable is the socio-economic index derived from the expected student job at the age of 30 (BSMJ) and the independent variables are the family socio-economic index (HISEI) and the student gender after recoding females into 1 and males into 0 (GENDER). Germany (DEU) and Austria (AUT) are selected.



Box 7.6 SPSS® syntax for computing regression coefficients, R² and its respective standard errors: Model 1 (e.g. PISA 2003)

```

GET FILE="C:\PISA\2003\DATA\INT_STUI_2003.SAV".
SELECT IF (CNT="DEU" | CNT="AUT").
SELECT IF (NOT MISSING(ST03Q01)).
RECODE ST03Q01 (1=1) (2=0) INTO GENDER.
SAVE OUTFILE="C:\TEMP\DEU_AUT.SAV".

INSERT FILE="C:\PISA\MACRO\MCR_SE_REG.SPS".

SET MPRINT=YES.
REGnOPV NREP = 80/
IND = GENDER HISEI/ /* is default */
DEP = BSMJ/
GRP = CNT/ /* default=NOGRP */
WGT = W_FSTUWT/ /* is default */
RWGT = W_FSTR/ /* is default */
CONS = 0.05/ /* is default */
PSU = SCHOOLID/ /* is default */
LIMIT_CRITERIA = 100 10 5 1/ /* default is 0 */
INFILE = "C:\TEMP\DEU_AUT.SAV"/.

```

Table 7.9 presents the structure of the output data file of the regression analysis in Box 7.6.

Table 7.9
Output data file from Box 7.6

CNT	Ind	STAT	SE	FLAG_STD	FLAG_SCH	FLAG_PCT
AUT	INTERCEPT	32.25	1.20	0	0	0
AUT	HISEI	0.36	0.02	0	0	0
AUT	GENDER	3.99	0.98	0	0	0
AUT	R_SQUARE	0.13	0.02	0	0	0
DEU	INTERCEPT	32.9	1.29	0	0	0
DEU	HISEI	0.37	0.03	0	0	0
DEU	GENDER	2.07	0.62	0	0	0
DEU	R_SQUARE	0.12	0.02	0	0	0

There are two ways to determine whether the regression coefficients are significantly different from 0. The first method consists of building a confidence interval around the estimated regression coefficient. The confidence interval for the GENDER regression coefficient on BSMJ in Germany can be computed for a value of α equal to 0.05 as: $[2.07 - (1.96 \cdot 0.62); 2.07 + (1.96 \cdot 0.62)] = [0.85; 3.29]$.

As the value 0 is not included in this confidence interval, the regression coefficient is significantly different from 0. As the value 0 was assigned to males and the value 1 to females in the GENDER variable, it can be concluded that on average, females have significantly higher job expectations in Germany.

Another way to test the null hypothesis of the regression coefficient consists of dividing the regression coefficient by its standard error. This procedure will standardise the regression coefficient. It also means that the sampling distribution of the standardised regression coefficient, under the null hypothesis, has an expected mean of 0 and a standard deviation of 1. Therefore, if the ratio of the regression coefficient to its standard error is lower than -1.96 or higher than 1.96, it will be considered as significantly different from 0.

Table 7.9 also includes the R² of the regression and its standard error.

A slightly different model is presented in Box 7.7 and this will provide different results from Box 7.6. GENDER is considered as an explanatory variable in Box 7.6. In Box 7.7, GENDER is used as a breakdown variable. In the second model, there is only one explanatory variable, i.e. HISEI.



Box 7.7 SPSS® syntax for computing regression coefficients, R² and its respective standard errors: Model 2 (e.g. PISA 2003)

```
REGnOPV NREP = 80/                                /* is default */
IND = HISEI/
DEP = BSMJ/
GRP = CNT GENDER/                                /* default=NOGRP */
WGT = W_FSTUWT/                                 /* is default */
RWGT = W_FSTR/                                  /* is default */
CONS = 0.05/                                    /* is default */
PSU = SCHOOLID/                                /* is default */
LIMIT CRITERIA = 100 10 5 1/                      /* default is 0 */
INFILE = "C:\TEMP\DEU_AUT.SAV" .
```

Table 7.10 presents the structure of the output data file for the model in Box 7.7.

Table 7.10
Output data file from Box 7.7

CNT	GENDER	Ind	STAT	SE	FLAG_STD	FLAG_SCH	FLAG_PCT
AUT	0	INTERCEPT	32.00	1.64	0	0	0
AUT	0	HISEI	0.37	0.03	0	0	0
AUT	0	R_SQUARE	0.12	0.02	0	0	0
AUT	1	INTERCEPT	36.49	1.52	0	0	0
AUT	1	HISEI	0.36	0.03	0	0	0
AUT	1	R_SQUARE	0.11	0.02	0	0	0
DEU	0	INTERCEPT	32.54	1.44	0	0	0
DEU	0	HISEI	0.37	0.03	0	0	0
DEU	0	R_SQUARE	0.13	0.02	0	0	0
DEU	1	INTERCEPT	35.33	1.66	0	0	0
DEU	1	HISEI	0.36	0.03	0	0	0
DEU	1	R_SQUARE	0.10	0.02	0	0	0

THE STANDARD ERROR ON CORRELATION COEFFICIENTS

Box 7.8 and Table 7.11 present, respectively, the SPSS® syntax and the structure of the output data file for running the macro devoted to computing a correlation between two and only two variables.

Box 7.8 SPSS® syntax for computing correlation coefficients and its standard errors (e.g. PISA 2003)

```
INSERT FILE="C:\PISA\MACRO\MCR_SE_COR.SPS".

SET MPRINT=YES.
CORnOPV NREP = 80/                                /* is default */
VAR1 = HISEI/
VAR2 = BSMJ/
GRP = CNT/                                         /* default=NOGRP */
WGT = W_FSTUWT/                                 /* is default */
RWGT = W_FSTR/                                  /* is default */
CONS = 0.05/                                    /* is default */
PSU = SCHOOLID/                                /* is default */
LIMIT CRITERIA = 100 10 5 1/                      /* default=0 */
INFILE = "C:\TEMP\DEU_AUT.SAV" .
```

Table 7.11
Output data file from Box 7.8

CNT	STAT	SE	FLAG_STD	FLAG_SCH	FLAG_PCT
AUT	0.34	0.02	0	0	0
DEU	0.34	0.02	0	0	0



CONCLUSION

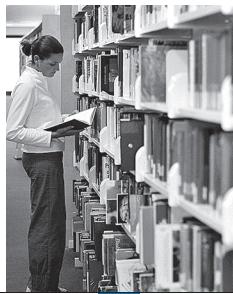
This chapter described the computation of a standard error by using 80 replicates. For any given statistic, the procedure is the same.

Further, the SPSS® syntax for running the SPSS® macros, which were developed to facilitate the computation of the standard errors, has been provided in various examples.

However, all macros described in this chapter are for computing various statistics **without** using plausible values. Chapter 8 will describe how to conduct computation with plausible values.

Notes

1. The minimal numbers of students and schools are computed without weights and the minimal percentage of the population is computed with weights.
2. In general, PISA does not report estimates based on fewer than 30 students or less than 3% of students, unless otherwise stated.
3. In PISA 2006, the gender variable is ST04Q01.



Analyses with Plausible Values

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INTRODUCTION

As described in Chapters 5 and 6, the cognitive data in PISA are scaled with the Rasch Model and the performance of students is denoted with plausible values (PVs). For minor domains, only one scale is included in the international databases. For major domains,¹ a combined scale and several subscales are provided. For each scale and subscale, five plausible values per student are included in the international databases. This chapter describes how to perform analyses with plausible values.

Since plausible values are mainly used for reporting student performance on cognitive tests, this chapter is mainly useful when conducting analyses on achievement data and their relationships with student or school characteristics.

UNIVARIATE STATISTICS ON PLAUSIBLE VALUES

The computation of a statistic with plausible values always consists of six steps, regardless of the required statistic.

1. The required statistic and its respective standard error have to be computed for each plausible value. In Chapter 7, it was mentioned that 81 estimates were necessary to get the final estimate and its standard error. Therefore, any analysis that involves five plausible values will require 405 estimates. If a mean needs to be estimated, then 405 means will be calculated. The means estimated with the final weights are denoted $\hat{\mu}_1, \hat{\mu}_2, \hat{\mu}_3, \hat{\mu}_4$ and $\hat{\mu}_5$. From the 80 replicates applied on each of the five plausible values, five sampling variances are estimated, denoted respectively $\sigma_{(\hat{\mu}_1)}^2, \sigma_{(\hat{\mu}_2)}^2, \sigma_{(\hat{\mu}_3)}^2, \sigma_{(\hat{\mu}_4)}^2$ and $\sigma_{(\hat{\mu}_5)}^2$. These five mean estimates and their respective sampling variances are provided in Table 8.1.

Table 8.1
The 405 mean estimates

Weight	PV1	PV2	PV3	PV4	PV5
Final	$\hat{\mu}_1$	$\hat{\mu}_2$	$\hat{\mu}_3$	$\hat{\mu}_4$	$\hat{\mu}_5$
Replicate 1	$\hat{\mu}_{1,1}$	$\hat{\mu}_{2,1}$	$\hat{\mu}_{3,1}$	$\hat{\mu}_{4,1}$	$\hat{\mu}_{5,1}$
Replicate 2	$\hat{\mu}_{1,2}$	$\hat{\mu}_{2,2}$	$\hat{\mu}_{3,2}$	$\hat{\mu}_{4,2}$	$\hat{\mu}_{5,2}$
Replicate 3	$\hat{\mu}_{1,3}$	$\hat{\mu}_{2,3}$	$\hat{\mu}_{3,3}$	$\hat{\mu}_{4,3}$	$\hat{\mu}_{5,3}$
.....
.....
Replicate 80	$\hat{\mu}_{1,80}$	$\hat{\mu}_{2,80}$	$\hat{\mu}_{3,80}$	$\hat{\mu}_{4,80}$	$\hat{\mu}_{5,80}$
Sampling variance	$\sigma_{(\hat{\mu}_1)}^2$	$\sigma_{(\hat{\mu}_2)}^2$	$\sigma_{(\hat{\mu}_3)}^2$	$\sigma_{(\hat{\mu}_4)}^2$	$\sigma_{(\hat{\mu}_5)}^2$

2. The final mean estimate is equal to the average of the five mean estimates, i.e. $\hat{\mu} = \frac{1}{5}(\hat{\mu}_1 + \hat{\mu}_2 + \hat{\mu}_3 + \hat{\mu}_4 + \hat{\mu}_5)$.
3. The final sampling variance is equal to the average of the five sampling variances,
i.e. $\sigma_{(\hat{\mu})}^2 = \frac{1}{5}(\sigma_{(\hat{\mu}_1)}^2 + \sigma_{(\hat{\mu}_2)}^2 + \sigma_{(\hat{\mu}_3)}^2 + \sigma_{(\hat{\mu}_4)}^2 + \sigma_{(\hat{\mu}_5)}^2)$
4. The imputation variance, also denoted measurement error variance, is computed as $\sigma_{(test)}^2 = \frac{1}{4} \sum_{i=1}^5 (\hat{\mu}_i - \hat{\mu})^2$.
Indeed, as PISA returns five plausible values per scale, then $\sigma_{(test)}^2 = \frac{1}{M-1} \sum_{i=1}^M (\hat{\mu}_i - \hat{\mu})^2 = \frac{1}{4} \sum_{i=1}^5 (\hat{\mu}_i - \hat{\mu})^2$ with M being the number of plausible values. This formula is similar to the one used for the estimation of a population variance, except that in this particular case, observations are not compared with the population mean, but each PV mean is compared with the final mean estimate.



5. The sampling variance and the imputation variance are combined to obtain the final error variance as

$$\sigma_{(error)}^2 = \sigma_{(\hat{\mu})}^2 + (1.2 \sigma_{(test)}^2)$$

$$\text{Indeed, } \sigma_{(error)}^2 = \sigma_{(\hat{\mu})}^2 + \left(\left(1 + \frac{1}{M} \right) \sigma_{(test)}^2 \right) = \sigma_{(\hat{\mu})}^2 + \left(\left(1 + \frac{1}{5} \right) \sigma_{(test)}^2 \right) = \sigma_{(\hat{\mu})}^2 + ((1.2) \sigma_{(test)}^2)$$

6. The standard error is equal to the square root of the error variance.

The mean estimate on the science scale and its respective standard error for the PISA 2006 Belgian data can be computed. The macro described in Chapter 7 and labelled MCR_SE_UNIV can be sequentially used five times, and the results can be combined in an Excel® spreadsheet. Table 8.2 presents the different PV means, their respective sampling variances, as well as the mean estimates on the first and last replicates.

Table 8.2

Mean estimates and their respective sampling variances on the science scale for Belgium (PISA 2006)

Weight	PV1	PV2	PV3	PV4	PV5
Final	510.18	510.58	510.36	510.62	510.09
Replicate 1	509.06	509.63	509.64	509.72	509.03
.....
Replicate 80	511.38	512.03	511.63	512	511.28
Sampling variance	(2.47) ²	(2.42) ²	(2.50) ²	(2.45) ²	(2.52) ²

Box 8.1 presents the SPSS® syntax for running sequentially the MCR_SE_UNIV macro described in Chapter 7.

Box 8.1 SPSS® syntax for computing the mean on the science scale by using the MCR_SE_UNIV macro (e.g. PISA 2006)

```

GET FILE="C:\PISA\2006\DATA\INT_STU06_DEC07.SAV" .

SELECT IF CNT="BEL" .
SAVE OUTFILE="C:\TEMP\BEL.SAV" .

INSERT FILE="C:\PISA\MACRO\MCR_SE_UNIV.SPS" .

SET MPRINT=YES .

UNIVAR STAT=MEAN/ DEP=PV1SCIE/ PSU=SCHOOLID/ LIMIT_CRITERIA=50 5 3 1/
INFILE="C:\TEMP\BEL.SAV" .
SAVE OUTFILE="C:\TEMP\RESULTS1.SAV" .
UNIVAR STAT=MEAN/ DEP=PV2SCIE/ PSU=SCHOOLID/ LIMIT_CRITERIA=50 5 3 1/
INFILE="C:\TEMP\BEL.SAV" .
SAVE OUTFILE="C:\TEMP\RESULTS2.SAV" .
UNIVAR STAT=MEAN/ DEP=PV3SCIE/ PSU=SCHOOLID/ LIMIT_CRITERIA=50 5 3 1/
INFILE="C:\TEMP\BEL.SAV" .
SAVE OUTFILE="C:\TEMP\RESULTS3.SAV" .
UNIVAR STAT=MEAN/ DEP=PV4SCIE/ PSU=SCHOOLID/ LIMIT_CRITERIA=50 5 3 1/
INFILE="C:\TEMP\BEL.SAV" .
SAVE OUTFILE="C:\TEMP\RESULTS4.SAV" .
UNIVAR STAT=MEAN/ DEP=PV5SCIE/ PSU=SCHOOLID/ LIMIT_CRITERIA=50 5 3 1/
INFILE="C:\TEMP\BEL.SAV" .
SAVE OUTFILE="C:\TEMP\RESULTS5.SAV" .

```



The final mean estimate for Belgium on the combined science scale is computed as:

$$\hat{\mu} = \frac{1}{5}(\hat{\mu}_1 + \hat{\mu}_2 + \hat{\mu}_3 + \hat{\mu}_4 + \hat{\mu}_5), \text{ i.e. } \hat{\mu} = \frac{(510.18 + 510.58 + 510.36 + 510.62 + 510.09)}{5} = 510.4$$

The final sampling variance on the mean estimate for the combined science literacy scale is equal to:

$$\sigma_{(\hat{\mu})}^2 = \frac{1}{5}(\sigma_{(\hat{\mu}_1)}^2 + \sigma_{(\hat{\mu}_2)}^2 + \sigma_{(\hat{\mu}_3)}^2 + \sigma_{(\hat{\mu}_4)}^2 + \sigma_{(\hat{\mu}_5)}^2), \text{ i.e. } \sigma_{(\hat{\mu})}^2 = \frac{(2.47)^2 + (2.42)^2 + (2.50)^2 + (2.45)^2 + (2.52)^2}{5} = 6.11$$

The imputation variance is equal to:

$$\sigma_{(test)}^2 = \frac{1}{4} \sum_{i=1}^5 (\hat{\mu}_i - \hat{\mu})^2, \text{ i.e. } \sigma_{(test)}^2 = \frac{[(510.18 - 510.4)^2 + (510.58 - 510.4)^2 + \dots + (510.09 - 510.4)^2]}{4} = 0.055$$

The final error variance is equal to:

$$\sigma_{(error)}^2 = \sigma_{(\hat{\mu})}^2 + (1.2 \sigma_{(test)}^2), \text{ i.e. } \sigma_{(error)}^2 = 6.11 + (1.2 * 0.055) = 6.17$$

The final standard error is therefore equal to:

$$SE = \sqrt{\sigma_{(error)}^2} = \sqrt{6.17} = 2.48$$

Sequentially running the MCR_SE_UNIV macro five times and combining the results can be avoided: a SPSS® macro has been developed for dealing with plausible values (see Box 8.2). This macro also computes the:

- five mean estimates,
- five sampling variances,
- imputation variance,
- final standard error by combining the final sampling variance and the imputation variance.

Box 8.2 SPSS® syntax for computing the mean and its standard error on PVs (e.g. PISA 2006)

```
INSERT FILE="C:\PISA\MACRO\MCR_SE_PV.SPS".
SET MPRINT=YES.
PV    STAT=MEAN/
      DEP=PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE/
      INFILE="C:\TEMP\BEL.SAV"/
      GRP=CNT/                                     /* default=NOGRP */
      PSU = SCHOOLID/                            /* is default */
      LIMIT_CRITERIA=100 10 5 1/                  /* default=0 */
      WGT=W_FSTUWT/                             /* is default */
      RWGT=W_FSTR/                               /* is default */
      CONS=0.05/.                                /* is default */
```

Besides the eight arguments common to most SPSS® macros previously described in this manual, i.e. (i) NREP=; (ii) GRP=; (iii) WGT=; (iv) RWGT=; (v) CONS=; (vi) LIMIT_CRITERIA=; (vii) PSU=, and (viii) INFILE=, two arguments need to be specified: the dependent variables (DEP=) and the requested statistic (STAT=) :

- The name of the 5 variables with the plausible values needs to be listed after the DEP argument.
- The STAT argument to specify the requested statistics. Available statistics have been described in Chapter 7.



The structure of the output data file is presented in Table 8.3.

Table 8.3
Output data file from Box 8.2

CNT	Statistic	STAT	SE	FLAG_STD	FLAG_SCH	FLAG_PCT
BEL	MEAN	510.4	2.48	0	0	0

Similar to the SPSS® macros described in the previous chapter, more than one breakdown variable can be used. For instance, if one wants to determine whether the dispersion of the science performance is larger for females than for males, the macro MCR_SE_PV can be used as shown in Box 8.3.

Box 8.3 SPSS® syntax for computing the standard deviation and its standard error on PVs by gender (e.g. PISA 2006)

```
PV  STAT=STDDEV/
DEP=P1SCIE P2SCIE P3SCIE P4SCIE P5SCIE/
INFILE="C:\TEMP\BEL.SAV"/
GRP=CNT ST04Q01/
PSU = SCHOOLID/
LIMIT_CRITERIA=100 10 5 1/.
```

The structure of the output data file is presented in Table 8.4.

Table 8.4
Output data file from Box 8.3

CNT	ST04Q01	Statistic	STAT	SE	FLAG_STD	FLAG_SCH	FLAG_PCT
BEL	1	STDDEV	96.1	2.23	0	0	0
BEL	2	STDDEV	102.9	2.50	0	0	0

According to Table 8.4, the standard deviation (STAT) is larger for males than for females. Unfortunately, the information of the two standard errors in SE is not enough to conduct a test of the equality for these two standard deviation coefficients since the standard deviation estimates for males and females may be correlated. The detail of the standard error on difference will be presented in Chapter 11.

THE STANDARD ERROR ON PERCENTAGES WITH PVS

The MCR_SE_GRPCT first presented in Chapter 7 was developed for the computation of percentages and their respective standard errors. Chapter 9 will deal with the application of this macro to plausible values: an entire chapter needs to be devoted to this type of analysis because of the issues involved.

THE STANDARD ERROR ON REGRESSION COEFFICIENTS WITH PVS

Suppose that the statistical effect of gender and student socio-economic background on the performance in science needs to be estimated. Just like estimating a mean, this question can be solved by sequentially applying five times the MCR_SE_REG macro described in Chapter 7. Box 8.4 presents the SPSS® syntax for such an approach.



Box 8.4 SPSS® syntax for computing regression coefficients and their standard errors on PVs by using the MCR_SE_REG macro (e.g. PISA 2006)

```

GET FILE="C:\PISA\2006\DATA\INT_STU06_DEC07.SAV".
SELECT IF CNT="BEL".
RECODE ST04Q01 (1=1) (2=0) INT GENDER.
SAVE OUTFILE="C:\TEMP\BEL2.SAV".
INSERT FILE="C:\PISA\MACRO\MCR_SE_REG.SPS".
REGnOPV IND=GENDER HISEI/ DEP=PV1SCIE/ PSU=SCHOOLID/
LIMIT_CRITERIA=100 10 5 1/ INFILE="C:\TEMP\BEL2.SAV"/.
SAVE OUTFILE="C:\TEMP\RESULTS6.SAV".
REGnOPV IND=GENDER HISEI/ DEP=PV2SCIE/ PSU=SCHOOLID/
LIMIT_CRITERIA=100 10 5 1/ INFILE="C:\TEMP\BEL2.SAV"/.
SAVE OUTFILE="C:\TEMP\RESULTS7.SAV".
REGnOPV IND=GENDER HISEI/ DEP=PV3SCIE/ PSU=SCHOOLID/
LIMIT_CRITERIA=100 10 5 1/ INFILE="C:\TEMP\BEL2.SAV"/.
SAVE OUTFILE="C:\TEMP\RESULTS8.SAV".
REGnOPV IND=GENDER HISEI/ DEP=PV4SCIE/ PSU=SCHOOLID/
LIMIT_CRITERIA=100 10 5 1/ INFILE="C:\TEMP\BEL2.SAV"/.
SAVE OUTFILE="C:\TEMP\RESULTS9.SAV".
REGnOPV IND=GENDER HISEI/ DEP=PV5SCIE/ PSU=SCHOOLID/
LIMIT_CRITERIA=100 10 5 1/ INFILE="C:\TEMP\BEL2.SAV"/.
SAVE OUTFILE="C:\TEMP\RESULTS10.SAV".

```

Just like the computation of a mean and its standard error, the computation of regression coefficients and their respective standard errors consists of six steps:

1. For each plausible value and for each explanatory variable, regression coefficients are computed with the final and the 80 replicate weights. Thus, 405 regression coefficients per explanatory variable will be computed. The MCR_SE_REG macro applied sequentially five times will return, per explanatory variable, five estimates, denoted, $\hat{\beta}_1, \dots, \hat{\beta}_5$ and five standard errors, denoted $\sigma_{(\hat{\beta}_1)}, \dots, \sigma_{(\hat{\beta}_5)}$. Table 8.5 presents the mathematical expression for these 405 estimates and Table 8.6 presents some of the values for the 405 regression coefficients obtained on the Belgian data for the HISEI (international socio-economic index of occupational status) variable.

2. The final regression coefficient estimate is equal to $\hat{\beta} = \frac{\hat{\beta}_1 + \hat{\beta}_2 + \hat{\beta}_3 + \hat{\beta}_4 + \hat{\beta}_5}{5}$

$$\text{i.e. for HISEI } \hat{\beta} = \frac{2.22+2.24+2.25+2.24+2.26}{5} = 2.24$$

3. The final sampling variance estimate is equal to $\sigma_{(\hat{\beta})}^2 = \frac{1}{5} (\sigma_{(\hat{\beta}_1)}^2 + \sigma_{(\hat{\beta}_2)}^2 + \sigma_{(\hat{\beta}_3)}^2 + \sigma_{(\hat{\beta}_4)}^2 + \sigma_{(\hat{\beta}_5)}^2)$

$$\text{i.e. for HISEI } \sigma_{(\hat{\beta})}^2 = \frac{(0.09)^2 + (0.09)^2 + (0.09)^2 + (0.09)^2 + (0.09)^2}{5} = 0.0081$$

4. The imputation variance is equal to $\sigma_{(test)}^2 = \frac{1}{4} \sum_{i=1}^5 (\hat{\beta}_i - \hat{\beta})^2$

$$\text{i.e. for HISEI } \sigma_{(test)}^2 = \frac{(2.22-2.24)^2 + (2.24-2.24)^2 + \dots + (2.26-2.24)^2}{4} = 0.0002$$

5. The final error variance is equal to $\sigma_{(error)}^2 = \sigma_{(\hat{\beta})}^2 + (1.2\sigma_{(test)}^2)$

$$\text{i.e. for HISEI } \sigma_{(error)}^2 = 0.0081 + (1.2*0.0002) = 0.0084$$

6. The final standard error is equal to $SE = \sqrt{\sigma_{(error)}^2} = \sqrt{0.0084} = 0.10$

As 2.24 divided by 0.10 is about 22.4, the regression coefficient for HISEI is significantly different from 0.



Table 8.5
The 450 regression coefficient estimates

Weight	PV1	PV2	PV3	PV4	PV5
Final	$\hat{\beta}_1$	$\hat{\beta}_2$	$\hat{\beta}_3$	$\hat{\beta}_4$	$\hat{\beta}_5$
Replicate 1	$\hat{\beta}_{1,1}$	$\hat{\beta}_{2,1}$	$\hat{\beta}_{3,1}$	$\hat{\beta}_{4,1}$	$\hat{\beta}_{5,1}$
Replicate 2	$\hat{\beta}_{1,2}$	$\hat{\beta}_{2,2}$	$\hat{\beta}_{3,2}$	$\hat{\beta}_{4,2}$	$\hat{\beta}_{5,2}$
Replicate 3	$\hat{\beta}_{1,3}$	$\hat{\beta}_{2,3}$	$\hat{\beta}_{3,3}$	$\hat{\beta}_{4,3}$	$\hat{\beta}_{5,3}$
.....
.....
Replicate 80	$\hat{\beta}_{1,80}$	$\hat{\beta}_{2,80}$	$\hat{\beta}_{3,80}$	$\hat{\beta}_{4,80}$	$\hat{\beta}_{5,80}$
Sampling variance	$\sigma^2_{(\hat{\beta}_1)}$	$\sigma^2_{(\hat{\beta}_2)}$	$\sigma^2_{(\hat{\beta}_3)}$	$\sigma^2_{(\hat{\beta}_4)}$	$\sigma^2_{(\hat{\beta}_5)}$

Table 8.6
HISEI regression coefficient estimates and their respective sampling variance on the science scale
in Belgium after accounting for gender (PISA 2006)

Weight	PV1	PV2	PV3	PV4	PV5
Final	2.22	2.24	2.25	2.24	2.26
Replicate 1	2.22	2.22	2.24	2.23	2.27
.....
Replicate 80	2.14	2.16	2.18	2.18	2.18
Sampling variance	(0.09) ²				

A SPSS® macro MCR_SE_REG_PV has also been developed for regression analyses with plausible values as dependent variables. The SPSS® syntax is presented in Box 8.5.

**Box 8.5 SPSS® syntax for running the simple linear regression macro with PVs
(e.g. PISA 2006)**

```
INSERT FILE="C:\PISA\MACRO\MCR_SE_REG_PV.SPS".
SET MPRINT=YES.

REG_PV INFIL="C:\TEMP\BEL2.SAV"/
IND=HISEI GENDER/
DEP=PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE/
GRP=CNT/
NREP=80/
WGT=W_FSTUWT/
RWGT=R_FSTR/
CONS=0.05 /
PSU=SCHOOLID/
LIMIT_CRITERIA=100 10 5 1/.
```

Besides the arguments common to most macros, the name of the 5 plausible value variables has to be specified, in addition to the list of independent variables. The structure of the output data file is presented in Table 8.7.

Table 8.7
Output data file from Box 8.5

CNT	Ind	STAT	SE	FLAG_STD	FLAG_SCH	FLAG_PCT
BEL	INTERCEPT	403.39	5.74	0	0	0
BEL	HISEI	2.24	0.10	0	0	0
BEL	GENDER	0.10	3.24	0	0	0
BEL	R_SQUARE	0.15	0.01	0	0	0



A quick overview of these results shows that the intercept and the regression coefficient for HISEI are significantly different from 0. However, the regression coefficient for GENDER does not statistically differ from 0.

Similar to the MCR_SE_REG macro, the MCR_SE_REG_PV macro also returns the outcomes of the sampling size requirement analysis in a separate file. The file name consists of: (i) the name of the output files that contains the regression parameters; and (ii) the name of the output file followed with _CRITERIA.

THE STANDARD ERROR ON CORRELATION COEFFICIENTS WITH PVs

A SPSS® macro has also been developed to compute the correlation between a set of plausible values and another variable. The SPSS syntax for running this macro is presented in Box 8.6 and the structure of the output data file is presented in Table 8.8.

**Box 8.6 SPSS® syntax for running the correlation macro with PVs
(e.g. PISA 2006)**

```
INSERT FILE="C:\PISA\MACRO\MCR_SE_COR_1PV.SPS".
COR_1PV NOPV=HISEI/
PV=PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE /
INFILE="C:\TEMP\BEL2.sav"/
GRP=CNT/
LIMIT_CRITERIA=100 10 5 1/
PSU = SCHOOLID /
WGT=W_FSTUWT/
RWGT=W_FSTR/
NREP=80/
CONS=0.05/.
```

Table 8.8
Output data file from Box 8.6

CNT	STAT	SE	FLAG_STD	FLAG_SCH	FLAG_PCT
BEL	0.38	0.02	0	0	0

CORRELATION BETWEEN TWO SETS OF PLAUSIBLE VALUES

Some researchers may be interested in the correlation between the different PISA domains and subdomains. For instance, some might want to compute the correlation between the reading subdomains or between the mathematics subdomains, or between reading and mathematics using the PISA 2000, PISA 2003 and PISA 2006 databases.

As described in Chapter 5, the PISA assessment used incomplete assessment designs, *i.e.* students are required to answer a subset of the item battery. Further, while all students were assessed in the major domain, only a subset of students was assessed in minor domains.

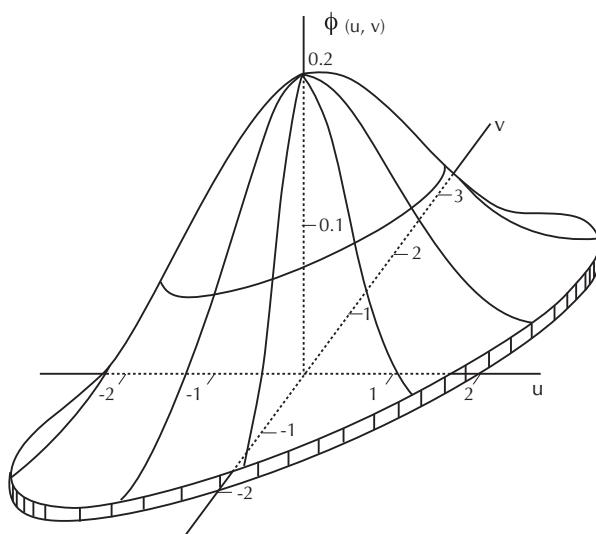
PISA 2000 included plausible values of a minor domain only for students who answered questions for that minor domain. Therefore, using the PISA 2000 database to compute the correlation between reading and mathematics, for example, would require working on a subset of students.²

To facilitate secondary analyses, PISA 2003 returned plausible values for all domains and for all students, regardless of whether they were actually assessed or not. Ignoring the assessment status is possible, because the cognitive data in PISA are scaled according to multi-dimensional models.



Since this is easier to illustrate graphically, let's suppose that only two domains were assessed, *i.e.* mathematics/quantity and mathematics/space and shape. If the mathematics/quantity and mathematics/space and shape materials were scaled independently, the correlation between the two subdomains would be largely underestimated. In order to avoid this problem, both materials are scaled together. The model will build a two-dimensional posterior distribution, instead of two one-dimensional posterior distributions as described in Chapter 6. Figure 8.1 graphically presents a two-dimensional normal distribution.

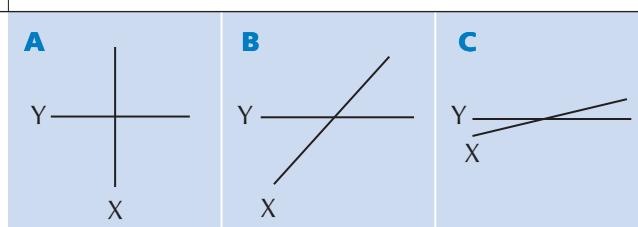
Figure 8.1
A two-dimensional distribution



To correctly describe such distributions, two means, two variances, and one correlation are needed. If the correlation is equal to 0, then the two axes will be orthogonal. As the absolute value of the correlation starts to increase, the angle formed by the two axes becomes less than 90 degrees (see Figure 8.2, part A).³ Two axes perfectly overlapping would represent a correlation of 1.0 (or -1.0). These different cases are illustrated in Figure 8.2.

With a two-dimensional model, the first plausible value for mathematics/quantity will be drawn at the same time as the first plausible value for mathematics/space and shape. Per student, this will consist of randomly drawing one dot in the scatter plot. The values of the two plausible values will be the coordinates of the dot on the two axes. The same procedure is applied for the second, third, fourth, and fifth plausible values.

Figure 8.2
Axes for two-dimensional normal distributions





As the PISA domains and subdomains highly correlate, as shown by the graph on the far right in Figure 8.2, it is very unlikely for a student to get a high score for the first plausible value in mathematics/quantity (PV1MATH4) and a low score for the first plausible value in mathematics/space and shape (PV1MATH1). If plausible values were drawn independently for these two mathematics subdomains, such a case would be possible and therefore the correlation would be underestimated.

Since each draw is independent, to calculate the correlation between the two domains, the correlation between each set of plausible value, *i.e.* correlation between two plausible values with the same number, needs to be computed. In the PISA 2003 example of correlation between PV1MATH1 to PV5MATH1 and PV1MATH4 to PV5MATH4, the following values need to be computed:

- PV1MATH1 and PV1MATH4,
- PV2MATH1 and PV2MATH4,
- PV3MATH1 and PV3MATH4,
- PV4MATH1 and PV4MATH4,
- PV5MATH1 and PV5 MATH4.

Table 8.9 presents all possible 25 correlation coefficients between the 5 plausible values in mathematics/quantity (MATH4) and mathematics/space and shape (MATH1), respectively, for Germany in PISA 2003. As expected, the correlation coefficients on the diagonal of the square matrix are substantially higher than the other correlation coefficients. The final correlation estimate between these two mathematics subdomains will be the average of the five correlation coefficients on the diagonal.

Table 8.9
Correlation between the five plausible values for each domain,
mathematics/quantity and mathematics/space and shape

	PV1MATH1	PV2MATH1	PV3MATH1	PV4MATH1	PV5MATH1
PV1MATH4	0.90	0.83	0.84	0.84	0.83
PV2MATH4	0.83	0.90	0.84	0.84	0.83
PV3MATH4	0.84	0.83	0.90	0.84	0.83
PV4MATH4	0.83	0.83	0.84	0.90	0.83
PV5MATH4	0.83	0.83	0.84	0.84	0.90

**Box 8.7 SPSS® syntax for the computation of the correlation between
mathematics/quantity and mathematics/space and shape
by using the MCR_SE_COR_2PV macro (e.g. PISA 2003)**

```
GET FILE="C:\PISA\2003\DATA\INT_STUI_2003.SAV".
SELECT IF CNT="DEU".
SAVE OUTFILE="C:\TEMP\DEU.SAV".
INSERT FILE="C:\PISA\MACRO\MCR_SE_COR_2PV.SPS".
COR_2PV PV1=PV1MATH1 PV2MATH1 PV3MATH1 PV4MATH1 PV5MATH1/
PV2=PV1MATH4 PV2MATH4 PV3MATH4 PV4MATH4 PV5MATH4/
INFILE="C:\TEMP\DEU.SAV"/
GRP=CNT/
LIMIT_CRITERIA=100 10 5 1/
PSU=SCHOOLID/
WGT=W_FSTUWT/
RWGT=W_FSTR/
NREP=80/
CONS=0.05/.
```



The standard error on this correlation estimate can be easily obtained by using the SPSS® macro denoted MCR_SE_COR_2PV especially designed for the computation of the correlation between two sets of plausible values. The SPSS® syntax is given in Box 8.7 and the output data file is presented in Table 8.10.

Table 8.10

The correlation estimate between mathematics/quantity and mathematics/space and shape and their respective sampling variance

CNT	STAT	SE	FLAG_STD	FLAG_SCH	FLAG_PCT
DEU	0.897	0.004132	0	0	0

The computation of the correlation between two domains or between a subdomain and a domain might be problematic in some cases in the PISA databases. PISA 2000 used two scaling models:

- a three-dimensional model with mathematics, reading and science;
- a five-dimensional model with mathematics, reading/retrieving information, reading/interpreting texts, reading/reflection and evaluation, and science.

PISA 2003 also used two scaling models:

- a four-dimensional model with mathematics, problem solving, reading and science;
- a seven-dimensional model with mathematics/space and shape, mathematics/change and relationships, mathematics/uncertainty, mathematics/quantity, problem solving, reading and science.

PISA 2006 used two scaling models as well:

- a five-dimensional model with mathematics, reading, science and the two attitudinal scales;
- a five-dimensional model with mathematics, reading, and the three science scales (the identifying scientific issues scale, the explaining phenomena scientifically scale, and the using scientific evidence scale).

The PISA databases should contain two sets of plausible values for each of the minor domains. As this would be too confusing, only one set was provided. Therefore, the correlation coefficients are underestimated.

This can be confirmed by examining the data. In the case of a minor domain and a subscale of the major domain, the correlation coefficients on the diagonal do not differ from the other correlations, since these two sets of plausible values were generated by two different models.

In PISA 2006, as well as in PISA 2000 and in PISA 2003, the plausible values for the minor domains included in the databases were generated with the major domain as a combined scale. This means that:

- The correlation between a minor domain and the combined scale of the major domain can be computed.
- The correlation between two minor domains can be computed.
- The correlation between the subdomains can be computed.
- It is not possible to compute the correlation between minor domains and one of the subscales of the major domain.



A FATAL ERROR SHORTCUT

A common fatal error when analysing with plausible values involves computing the mean of the five plausible values, before further analysis.

In Chapter 6, the expected *a posteriori* (EAP) student performance estimator was described. As a reminder, the EAP estimator is equal to the mean of the posterior distribution. Therefore, computing the mean of the five plausible values at the student level is more or less equal to the EAP estimate.

In Chapter 6, the efficiency of the EAP estimator was also compared with the weighted likelihood estimate (WLE) and the plausible values for some statistics estimations. It was indicated that the EAP estimator:

- underestimates the standard deviation,
- overestimates the correlation between the student performance and some background variables,
- underestimates the within-school variance.

Therefore, computing the mean of the five plausible values and then computing statistics on this new score would bias the results just as the EAP does. Table 8.11 provides, per country, the standard deviation of the combined mathematics scale in PISA 2003 using the correct method, as described in this chapter, and the incorrect method of averaging the five plausible values at the student level and then computing the standard deviation on this new score. The result of the latter is denoted as pseudo-EAP.

As shown by Table 8.11, the pseudo-EAP underestimates the standard deviation.

Table 8.11
Standard deviations for mathematics scale using the correct method (plausible values)
and by averaging the plausible values at the student level (pseudo-EAP) (PISA 2003)

	Plausible values	Pseudo-EAP
AUS	95.42	91.90
AUT	93.09	89.91
BEL	109.88	106.65
CAN	87.11	83.37
CHE	98.38	94.97
CZE	95.94	92.50
DEU	102.59	99.54
DNK	91.32	87.52
ESP	88.47	84.52
FIN	83.68	79.77
FRA	91.70	88.07
GBR	92.26	89.18
GRC	93.83	89.49
HUN	93.51	89.71
IRL	85.26	82.03
ISL	90.36	86.55
ITA	95.69	92.00
JPN	100.54	96.96
KOR	92.38	89.07
LUX	91.86	88.28
MEX	85.44	80.52
NLD	92.52	89.89
NOR	92.04	88.31
NZL	98.29	95.07
POL	90.24	86.49
PRT	87.63	83.91
SVK	93.31	89.86
SWE	94.75	91.07
TUR	104.74	100.79
USA	95.25	92.12



The analysis process should always aggregate the results of the five plausible values at the latest stage, i.e. the statistic that has to be reported is computed five times, then these five statistics are combined.

AN UNBIASED SHORTCUT

Table 8.1 and Table 8.5 respectively give the 405 mean and regression coefficient estimates needed for computing a mean or regression coefficient final estimate and the respective standard errors.

On average, analysing one plausible value instead of five plausible values provides unbiased population estimates as well as unbiased sampling variances on these estimates. It will not be possible to estimate the imputation variance using this method, however.

Therefore, an unbiased shortcut consists of:

- computing, using one of the five plausible values, the statistical estimate and its sampling variance by using the final student weight as well as the 80 replicate weights;
- computing the statistical estimate by using the final student weight on the four other plausible values;
- computing the final statistical estimate by averaging the plausible value statistical estimates;
- computing the imputation variance, as previously described;
- combining the imputation variance and the sampling variance, as previously described.

This unbiased shortcut is presented in Table 8.12 for the estimation of a mean and its standard error. This shortcut only requires the computation of 85 estimates instead of 405. The final estimate of this shortcut will be equal to the one obtained with the long procedure, but the standard error might differ slightly.

Table 8.12
Unbiased shortcut for a population estimate and its standard error

Weight	PV1	PV2	PV3	PV4	PV5
Final	$\hat{\mu}_1$	$\hat{\mu}_2$	$\hat{\mu}_3$	$\hat{\mu}_4$	$\hat{\mu}_5$
Replicate 1	$\mu_{1,1}$				
Replicate 2	$\mu_{1,2}$				
Replicate 3	$\mu_{1,3}$				
.....				
.....				
Replicate 80	$\mu_{1,80}$				
Sampling variance	$\sigma^2_{(\hat{\mu}_1)}$				

Table 8.13 presents the standard errors for the country mean estimate in student performance on the science scale (PISA 2006) as well as the immigrant subpopulations. Two standard errors are provided per estimate: the standard error that results from the strict application of the recommended procedure; and the standard errors using the described shortcut. In all or nearly all cases, the difference between the two standard error estimates is negligible, even based on less than 50 students.



Table 8.13
Standard errors from the full and shortcut computation (PISA 2006)

	Mean estimate in science		Immigrant students mean estimate in science		
	Full computation		Full computation		Student flag (50)
	S.E.	S.E.	S.E.	S.E.	
AUS	2.26	2.21	5.75	5.77	0
AUT	3.92	3.98	10.92	11.00	0
BEL	2.48	2.49	8.34	8.65	0
CAN	2.03	2.00	5.24	5.25	0
CHE	3.16	3.25	6.94	7.13	0
CZE	3.48	3.40	15.89	15.48	0
DEU	3.80	3.80	8.80	8.62	0
DNK	3.11	3.06	8.05	8.06	0
ESP	2.57	2.60	7.23	7.43	0
FIN	2.02	2.05	16.28	15.76	0
FRA	3.36	3.34	10.05	9.94	0
GBR	2.29	2.23	14.74	15.07	0
GRC	3.23	3.29	10.33	10.73	0
HUN	2.68	2.63	14.57	13.15	0
IRL	3.19	3.18	14.56	13.95	0
ISL	1.64	1.59	13.94	13.73	0
ITA	2.02	2.02	8.18	8.30	0
JPN	3.37	3.45	36.57	37.54	1
KOR	3.36	3.41			
LUX	1.05	1.14	3.73	3.82	0
MEX	2.71	2.64	7.61	6.78	0
NLD	2.74	2.77	10.16	10.18	0
NOR	3.11	3.07	11.21	11.34	0
NZL	2.69	2.67	6.58	6.79	0
POL	2.34	2.37	53.97	49.11	1
PRT	3.02	3.02	11.09	10.64	0
SVK	2.59	2.57	47.09	49.10	1
SWE	2.37	2.28	8.09	8.29	0
TUR	3.84	3.82	18.20	18.18	1
USA	4.22	4.20	7.89	8.15	0

CONCLUSION

This chapter described the different steps for analysing data with plausible values. It also provided some SPSS® macros to facilitate the computations.

Attention was also drawn to a common error that consists of computing the average of the plausible values at the student level and adding this value to the database to be used as the student score in analyses. The correct method involves the averaging process which should always occur at the latest stage, that is on the statistic that will be reported.

The particular issue of analysing two sets of plausible values was also presented in the case of a correlation. The procedure that was applied can also be extended to other linear or non-linear modelling, such as a linear regression analysis.

Finally, an unbiased shortcut was described, one that is useful for time-consuming procedures, e.g. multilevel procedures.



Notes

1. Reading was the major domain in PISA 2000, mathematics in PISA 2003 and science in PISA 2006.
2. For more information, see the *Manual for the PISA 2000 Database* (OECD, 2002b).
3. A correlation coefficient can be expressed by the cosines of the angle formed by the two variables.



9

Use of Proficiency Levels

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INTRODUCTION

The values for student performance in reading, mathematics, and science literacy are usually considered as continuous latent variables. In order to facilitate the interpretation of the scores assigned to students, the reading, mathematics and science scales were designed to have an average score of 500 points and a standard deviation of 100 across OECD countries. This means that about two-thirds of the OECD member country students perform between 400 and 600 points.

In order to render PISA results more accessible to policy makers and educators, proficiency scales have been developed for the assessment domains. Since these scales are divided according to levels of difficulty and performance, both a ranking of student performance and a description of the skill associated with that proficiency level can be obtained. Each successive level is associated with tasks of increased difficulty.

In PISA 2000, five levels of reading proficiency were defined and reported in the PISA 2000 initial report *Knowledge and Skills for Life: First Results from PISA 2000* (OECD, 2001). In PISA 2003, six levels of mathematics proficiency levels were also defined and reported in the PISA 2003 initial report *Learning for Tomorrow's World – First Results from PISA 2003* (OECD, 2004a). In PISA 2006, six levels of science proficiency were defined and reported in the PISA 2006 initial report *Science Competencies for Tomorrow's World* (OECD, 2007a).

This chapter will show how to derive the proficiency levels from the PISA databases and how to use them.

GENERATION OF THE PROFICIENCY LEVELS

Proficiency levels are not included in the PISA databases, but they can be derived from the plausible values (PVs).

In PISA 2006, the cutpoints that frame the proficiency levels in science are 334.94, 409.54, 484.14, 558.73, 633.33 and 709.93.¹ While some researchers might understand that different possible scores can be assigned to a student, it is more difficult to understand that different levels can be assigned to a single student. Therefore, they might be tempted to compute the average of the five plausible values and then assign each student a proficiency level based on this average.

As discussed in Chapter 6 and Chapter 8, such a procedure is similar to assigning each student an expected *a posteriori* (EAP) score; the biases of such estimators are now well known. Since using EAP scores underestimates the standard deviation, the estimation of the percentages of students at each level of proficiency will consequently underestimate the percentages at the lowest and highest levels, and overestimate the percentages at the central levels.

As already stated, international education surveys do not aim to estimate the performance of particular students, but rather, they aim to describe population characteristics. Therefore, particular students can be allocated different proficiency levels for different plausible values. Thus, five plausible proficiency levels will be assigned to each student respectively according to their five plausible values. The SPSS® syntax for the generation of the plausible proficiency levels in science is provided in Box 9.1.

In Box 9.1, two vectors are defined. The first, labelled STUDEST, includes the five plausible values for the science combined scale (PV1SCIE to PV5SCIE) and the three science subscales (PV1EPS to PV5EPS, PV1ISI to PV5ISI, and PV1USE to PV5USE). The second, labelled PROFLEV, will create 20 new variables, labelled PL_PV1SCIE to PL_PV5SCIE for the science combined scale; PL_PV1EPS to PL_PV5EPS for the science/explaining phenomena scientifically subscale; PL_PV1ISI to PL_PV5ISI for the science/identifying scientific issues subscale; PL_PV1USE to PL_PV5USE to the science/use of scientific evidence subscale.



Box 9.1 SPSS® syntax for generating the proficiency levels in science (e.g. PISA 2006)

```

GET FILE="C:\PISA\2006\DATA\INT_STU06_DEC07.SAV".
SELECT IF (CNT="DEU") .

DO REPEAT
STUDEST= PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE
          PV1EPS PV2EPS PV3EPS PV4EPS PV5EPS
          PV1ISI PV2ISI PV3ISI PV4ISI PV5ISI
          PV1USE PV2USE PV3USE PV4USE PV5USE/
PROFLEV= PL_PV1SCIE PL_PV2SCIE PL_PV3SCIE PL_PV4SCIE PL_PV5SCIE
          PL_PV1EPS PL_PV2EPS PL_PV3EPS PL_PV4EPS PL_PV5EPS
          PL_PV1ISI PL_PV2ISI PL_PV3ISI PL_PV4ISI PL_PV5ISI
          PL_PV1USE PL_PV2USE PL_PV3USE PL_PV4USE PL_PV5USE.

IF (STUDEST <= 334.94) PROFLEV=0.
IF (STUDEST > 334.94 & STUDEST <= 409.54) PROFLEV=1.
IF (STUDEST > 409.54 & STUDEST <= 484.14) PROFLEV=2.
IF (STUDEST > 484.14 & STUDEST <= 558.73) PROFLEV=3.
IF (STUDEST > 558.73 & STUDEST <= 633.33) PROFLEV=4.
IF (STUDEST > 633.33 & STUDEST <= 707.93) PROFLEV=5.
IF (STUDEST > 707.93) PROFLEV=6.

END REPEAT.

FORMATS
PL_PV1SCIE PL_PV2SCIE PL_PV3SCIE PL_PV4SCIE PL_PV5SCIE
PL_PV1EPS PL_PV2EPS PL_PV3EPS PL_PV4EPS PL_PV5EPS
PL_PV1ISI PL_PV2ISI PL_PV3ISI PL_PV4ISI PL_PV5ISI
PL_PV1USE PL_PV2USE PL_PV3USE PL_PV4USE PL_PV5USE (F1.0) .
EXE.

SAVE OUTFILE="C:\TEMP\DEU1.SAV".

```

The iterative process will recode each plausible value variable into a new variable with seven categories labelled 0 to 6 for science.

The computation of the percentage of students at each proficiency level and its respective standard error is similar to the computation of a mean estimate and its standard error, as described in Chapter 8, *i.e.*:

- For each plausible value, the percentage of students at each proficiency level and its respective standard error have to be computed. Per proficiency level, five percentage estimates denoted $\hat{\pi}_1$, $\hat{\pi}_2$, $\hat{\pi}_3$, $\hat{\pi}_4$ and $\hat{\pi}_5$ will be obtained. Out of the 80 replicates applied on each of the 5 proficiency level variables, per level of proficiency, 5 sampling variances will be estimated, denoted respectively $\sigma_{(\hat{\pi}_1)}^2$, $\sigma_{(\hat{\pi}_2)}^2$, $\sigma_{(\hat{\pi}_3)}^2$, $\sigma_{(\hat{\pi}_4)}^2$ and $\sigma_{(\hat{\pi}_5)}^2$. These five percentage estimates and their respective sampling variances are given in Table 9.1.
- The final percentage estimate is equal to the average of the five percentage estimates,
i.e. $\hat{\pi} = \frac{1}{5}(\hat{\pi}_1 + \hat{\pi}_2 + \hat{\pi}_3 + \hat{\pi}_4 + \hat{\pi}_5)$
- The final sampling variance is equal to the average of the five sampling variances,
i.e. $\sigma_{(\hat{\pi})}^2 = \frac{1}{5}(\sigma_{(\hat{\pi}_1)}^2 + \sigma_{(\hat{\pi}_2)}^2 + \sigma_{(\hat{\pi}_3)}^2 + \sigma_{(\hat{\pi}_4)}^2 + \sigma_{(\hat{\pi}_5)}^2)$
- The imputation variance, also denoted measurement error variance, is computed² as $\sigma_{(test)}^2 = \frac{1}{4} \sum_{i=1}^5 (\hat{\pi}_i - \hat{\pi})^2$
- The sampling variance and the imputation variance are combined to obtain the final error variance as $\sigma_{(error)}^2 = \sigma_{(\hat{\pi})}^2 + (1.2 \sigma_{(test)}^2)$
- The standard error is equal to the square root of the error variance.

This process is repeated for each proficiency level.



Table 9.1
The 405 percentage estimates for a particular proficiency level

Weight	PV1	PV2	PV3	PV4	PV5
Final	$\hat{\pi}_1$	$\hat{\pi}_2$	$\hat{\pi}_3$	$\hat{\pi}_4$	$\hat{\pi}_5$
Replicate 1	$\hat{\pi}_{1,1}$	$\hat{\pi}_{2,1}$	$\hat{\pi}_{3,1}$	$\hat{\pi}_{4,1}$	$\hat{\pi}_{5,1}$
Replicate 2	$\hat{\pi}_{1,2}$	$\hat{\pi}_{2,2}$	$\hat{\pi}_{3,2}$	$\hat{\pi}_{4,2}$	$\hat{\pi}_{5,2}$
Replicate 3	$\hat{\pi}_{1,3}$	$\hat{\pi}_{2,3}$	$\hat{\pi}_{3,3}$	$\hat{\pi}_{4,3}$	$\hat{\pi}_{5,3}$
.....
.....
Replicate 80	$\hat{\pi}_{1,80}$	$\hat{\pi}_{2,80}$	$\hat{\pi}_{3,80}$	$\hat{\pi}_{4,80}$	$\hat{\pi}_{5,80}$
Sampling variance	$\sigma^2_{(\hat{\pi}_1)}$	$\sigma^2_{(\hat{\pi}_2)}$	$\sigma^2_{(\hat{\pi}_3)}$	$\sigma^2_{(\hat{\pi}_4)}$	$\sigma^2_{(\hat{\pi}_5)}$

In this way 405 percentages will be estimated per proficiency level. As there are 7 levels in science, 2 835 percentages will be estimated.

The seven proficiency levels in science are:

1. Below level 1,
2. Level 1,
3. Level 2,
4. Level 3,
5. Level 4,
6. Level 5,
7. Level 6.

Sequentially applying the MCR_SE_GRPCT macro five times, as described in Chapter 7, will return, per proficiency level, five percentage estimates and five standard error estimates that can be combined to get the final estimate and its standard error.

Box 9.2 presents the SPSS® syntax for sequentially running the MCR_SE_GRPCT macro five times. Table 9.2 presents the five estimates and their respective sampling variances, per proficiency level.

Box 9.2 SPSS® syntax for computing the percentages of students by proficiency level in science and its standard errors (e.g. PISA 2006)

```
INSERT FILE="C:\PISA\MACRO\MCR_SE_GRPCT.SPS".
GRPCT WITHIN = CNT/ GRP = PL_PV1SCIE/ LIMIT_CRITERIA = 100 10 5 1/
    INFILE = "C:\TEMP\DEU1.SAV".
SAVE OUTFILE="C:\TEMP\RESULTS1.SAV".
GRPCT WITHIN = CNT/ GRP = PL_PV2SCIE/ LIMIT_CRITERIA = 100 10 5 1/
    INFILE = "C:\TEMP\DEU1.SAV".
SAVE OUTFILE="C:\TEMP\RESULTS2.SAV".
GRPCT WITHIN = CNT/ GRP = PL_PV3SCIE/ LIMIT_CRITERIA = 100 10 5 1/
    INFILE = "C:\TEMP\DEU1.SAV".
SAVE OUTFILE="C:\TEMP\RESULTS3.SAV".
GRPCT WITHIN = CNT/ GRP = PL_PV4SCIE/ LIMIT_CRITERIA = 100 10 5 1/
    INFILE = "C:\TEMP\DEU1.SAV".
SAVE OUTFILE="C:\TEMP\RESULTS4.SAV".
GRPCT WITHIN = CNT/ GRP = PL_PV5SCIE/ LIMIT_CRITERIA = 100 10 5 1/
    INFILE = "C:\TEMP\DEU1.SAV".
SAVE OUTFILE="C:\TEMP\RESULTS5.SAV".
```



To combine the results:

- Per proficiency level, the five percentage estimates are averaged.
- Per proficiency level, the five sampling variances are averaged.
- By comparing the final estimate and the five PV estimates, the imputation variance is computed.
- The final sampling variance and the imputation variance are combined as usual to get the final error variance.
- The standard error is obtained by taking the square root of the error variance.

Table 9.2

Estimates and sampling variances per proficiency level in science for Germany (PISA 2006)

Level		PV1	PV2	PV3	PV4	PV5
Below Level 1	$\hat{\pi}_i$	4.12	3.82	4.25	4.03	4.13
	$\sigma^2_{(\hat{\pi}_i)}$	(0.60) ²	(0.59) ²	(0.72) ²	(0.67) ²	(0.71) ²
Level 1	$\hat{\pi}_i$	11.93	11.8	11.03	11.09	10.70
	$\sigma^2_{(\hat{\pi}_i)}$	(0.86) ²	(0.81) ²	(0.72) ²	(0.73) ²	(0.71) ²
Level 2	$\hat{\pi}_i$	20.26	21.59	21.87	21.16	21.91
	$\sigma^2_{(\hat{\pi}_i)}$	(0.73) ²	(0.71) ²	(0.73) ²	(0.80) ²	(0.76) ²
Level 3	$\hat{\pi}_i$	28.70	27.46	27.33	27.92	27.93
	$\sigma^2_{(\hat{\pi}_i)}$	(1.00) ²	(0.94) ²	(0.69) ²	(0.91) ²	(0.92) ²
Level 4	$\hat{\pi}_i$	23.39	23.45	23.57	23.66	23.77
	$\sigma^2_{(\hat{\pi}_i)}$	(0.91) ²	(0.93) ²	(0.92) ²	(0.92) ²	(0.96) ²
Level 5	$\hat{\pi}_i$	9.82	10.07	10.14	10.24	9.69
	$\sigma^2_{(\hat{\pi}_i)}$	(0.61) ²	(0.53) ²	(0.53) ²	(0.64) ²	(0.49) ²
Level 6	$\hat{\pi}_i$	1.79	1.81	1.82	1.89	1.87
	$\sigma^2_{(\hat{\pi}_i)}$	(0.25) ²	(0.28) ²	(0.23) ²	(0.20) ²	(0.21) ²

The final results are presented in Table 9.3.

Table 9.3

Final estimates of the percentage of students, per proficiency level, in science and its standard errors for Germany (PISA 2006)

Proficiency level	%	S.E.
Below Level 1	4.07	0.68
Level 1	11.31	0.96
Level 2	21.36	1.06
Level 3	27.87	1.07
Level 4	23.57	0.95
Level 5	9.99	0.62
Level 6	1.84	0.24

A SPSS® macro has been developed for computing the percentage of students at each proficiency level as well as its respective standard error in one run. Box 9.3 presents the SPSS® syntax for running the macro and Table 9.4 presents the structure of the output data file.



Box 9.3 SPSS® syntax for computing the percentage of students by proficiency level in science and its standard errors (e.g. PISA 2006)

```
INSERT FILE="C:\PISA\MACRO\MCR_SE_PCTLEV.SPS".
PCTLEV NREP=80/
      PROFILEV=PL_PV1SCIE PL_PV2SCIE PL_PV3SCIE PL_PV4SCIE PL_PV5SCIE/
      WITHIN=CNT/
      WGT=W_FSTUWT/
      RWGT=W_FSTR/
      CONS=0.05/
      LIMIT_CRITERIA=100 10 5 1 /
      PSU=SCHOOLID/
      INFILE="C:\TEMP\DEU1.SAV" .
```

This macro has nine arguments. Besides the usual arguments, the proficiency level variable names have to be specified. As indicated in Table 9.4, the number of cases at Level 6 is less than 100.

Table 9.4
Output data file from Box 9.3

CNT	PROLEV	STAT	SE	FLAG_STD	FLAG_SCH	FLAG_PCT
DEU	0	4.07	0.68	0	0	1
DEU	1	11.31	0.96	0	0	0
DEU	2	21.36	1.06	0	0	0
DEU	3	27.87	1.07	0	0	0
DEU	4	23.57	0.95	0	0	0
DEU	5	9.99	0.62	0	0	0
DEU	6	1.84	0.24	1	0	1

As before, several breakdown variables can be used. For instance, the distribution of students across proficiency levels per gender can be obtained, as in Box 9.4.

Box 9.4 SPSS® syntax for computing the percentage of students by proficiency level and its standard errors by gender (e.g. PISA 2006)

```
PCTLEV NREP=80/
      PROFILEV=PL_PV1SCIE PL_PV2SCIE PL_PV3SCIE PL_PV4SCIE PL_PV5SCIE /
      WITHIN=CNT_ST04Q01/
      WGT=W_FSTUWT/
      RWGT=W_FSTR/
      CONS=0.05/
      LIMIT_CRITERIA=100 10 5 1 /
      PSU=SCHOOLID/
      INFILE="C:\TEMP\DEU1.SAV" .
```

In this case, the sum of the percentages will be equal to 100 per country and per gender, as shown in Table 9.5.

Table 9.5
Output data file from Box 9.4

CNT	ST04Q01	PROLEV	STAT	SE	FLAG_STD	FLAG_SCH	FLAG_PCT
DEU	1	0	3.73	0.67	1	0	1
DEU	1	1	12.12	1.19	0	0	0
DEU	1	2	21.08	1.26	0	0	0
DEU	1	3	29.94	1.47	0	0	0
DEU	1	4	23.29	1.07	0	0	0
DEU	1	5	8.42	0.73	0	0	1
DEU	1	6	1.42	0.38	1	0	1
DEU	2	0	4.39	0.84	0	0	1
DEU	2	1	10.55	1.09	0	0	0
DEU	2	2	21.62	1.23	0	0	0
DEU	2	3	25.93	1.21	0	0	0
DEU	2	4	23.83	1.35	0	0	0
DEU	2	5	11.46	1.03	0	0	0
DEU	2	6	2.22	0.37	1	0	1



As shown in Table 9.5, the percentage of males at Level 5 and 6 is higher than the percentage of females at Level 5 and 6.

The statistical significance of these differences cannot be evaluated with this procedure, however. More details on this issue will be provided in Chapter 11.

OTHER ANALYSES WITH PROFICIENCY LEVELS

Proficiency levels constitute a powerful tool for communicating the results on the cognitive test. Researchers and/or policy makers might therefore be interested in estimating the influence of some variables (such as the social background or self-confidence measures) on the proficiency levels.

PISA 2003, for instance, constructed an index of mathematics self-efficacy, denoted MATHEFF.

Analysing the relationship between proficiency levels and mathematics self-efficacy is relevant, as there is probably a reciprocal relationship between these two concepts. Better self-perception in mathematics is thought to increase a student's proficiency in mathematics, but an increase in the latter might in return affect the former.

Suppose that the statistic of interest is the average self-efficacy per proficiency level. In statistical terms, mathematics self-efficacy is considered as the dependent variable and the level of proficiency, the independent variable. There is no macro that can directly compute the mean of a continuous variable per proficiency level. On the other hand, the MCR_SE_UNIV macro described in Chapter 7 can be applied sequentially five times and the results could be combined in an Excel® spreadsheet for instance. This will be the case whenever proficiency levels are used as independent or as classification variables.

Box 9.5 presents SPSS® syntax for preparing the PISA 2003 data file.

Box 9.5 SPSS® syntax for generating the proficiency levels in mathematics (e.g. PISA 2003)

```

GET FILE="C:\PISA\2003\DATA\INT_STUI_2003.SAV".
SELECT IF (CNT="DEU").

DO REPEAT
STUDEST=PV1MATH PV2MATH PV3MATH PV4MATH PV5MATH/
PROFLEV=PL_PV1MATH PL_PV2MATH PL_PV3MATH PL_PV4MATH PL_PV5MATH.

IF (STUDEST <= 357.77) PROFLEV=0.
IF (STUDEST > 357.77 & STUDEST <= 420.07) PROFLEV=1.
IF (STUDEST > 420.07 & STUDEST <= 482.38) PROFLEV=2.
IF (STUDEST > 482.38 & STUDEST <= 544.68) PROFLEV=3.
IF (STUDEST > 544.68 & STUDEST <= 606.99) PROFLEV=4.
IF (STUDEST > 606.99 & STUDEST <= 669.30) PROFLEV=5.
IF (STUDEST > 669.30) PROFLEV=6.

END REPEAT.

FORMATS
PL_PV1MATH PL_PV2MATH PL_PV3MATH PL_PV4MATH PL_PV5MATH (F1.0).
EXE.
SAVE OUTFILE="C:\TEMP\DEU2.SAV".

```



Box 9.6 presents SPSS® syntax for computing the mean of student self-efficacy per proficiency level.

Box 9.6 SPSS® syntax for computing the mean of self-efficacy in mathematics and its standard errors by proficiency level (e.g. PISA 2003)

```

INSERT FILE="C:\PISA\MACRO\MCR_SE_UNIV.SPS".

UNIVAR STAT=MEAN/ DEP=MATHEFF/ GRP=CNT PL_PV1MATH/
INFILE="C:\TEMP\DEU2.SAV" /.
COMPUTE PV=1.
STRING LEVEL (A5).
COMPUTE LEVEL=PL_PV1MATH.
SAVE OUTFILE="C:\TEMP\RESULTS6.SAV"/DROP=PL_PV1MATH.

UNIVAR STAT=MEAN/ DEP=MATHEFF/ GRP=CNT PL_PV2MATH/
INFILE="C:\TEMP\DEU2.SAV" /.
COMPUTE PV=2.
STRING LEVEL (A5).
COMPUTE LEVEL=PL_PV2MATH.
SAVE OUTFILE="C:\TEMP\RESULTS7.SAV"/DROP=PL_PV2MATH.

UNIVAR STAT=MEAN/ DEP=MATHEFF/ GRP=CNT PL_PV3MATH/
INFILE="C:\TEMP\DEU2.SAV" /.
COMPUTE PV=3.
STRING LEVEL (A5).
COMPUTE LEVEL=PL_PV3MATH.
SAVE OUTFILE="C:\TEMP\RESULTS8.SAV"/DROP=PL_PV3MATH.

UNIVAR STAT=MEAN/ DEP=MATHEFF/ GRP=CNT PL_PV4MATH/
INFILE="C:\TEMP\DEU2.SAV" /.
COMPUTE PV=4.
STRING LEVEL (A5).
COMPUTE LEVEL=PL_PV4MATH.
SAVE OUTFILE="C:\TEMP\RESULTS9.SAV"/DROP=PL_PV4MATH.

UNIVAR STAT=MEAN/ DEP=MATHEFF/ GRP=CNT PL_PV5MATH/
INFILE="C:\TEMP\DEU2.SAV" /.
COMPUTE PV=5.
STRING LEVEL (A5).
COMPUTE LEVEL=PL_PV5MATH.
SAVE OUTFILE="C:\TEMP\RESULTS10.SAV"/DROP=PL_PV5MATH.

GET FILE="C:\TEMP\RESULTS6.SAV".
ADD FILES /FILE=*
  /FILE="C:\TEMP\RESULTS7.SAV"
  /FILE="C:\TEMP\RESULTS8.SAV"
  /FILE="C:\TEMP\RESULTS9.SAV"
  /FILE="C:\TEMP\RESULTS10.SAV".
EXECUTE .
SORT CASES BY CNT(A) LEVEL(A) PV(A).
COMPUTE VAR=SE_MATHEFF*SE_MATHEFF.
AGGREGATE
  /OUTFILE="C:\TEMP\AGR.SAV"
  /PRESORTED
  /BREAK=CNT LEVEL
  /STAT=MEAN(MATHEFF)
  /MES_ERROR=SD(MATHEFF)
  /SAMP_VAR=MEAN(VAR).
GET FILE="C:\TEMP\AGR.SAV".
COMPUTE FINAL_VAR=((MES_ERROR*MES_ERROR)*1.2)+(SAMP_VAR).
COMPUTE SE=SQRT(FINAL_VAR).
SAVE OUTFILE='C:\TEMP\FINAL.SAV'/KEEP = CNT LEVEL STAT SE.
GET FILE="C:\TEMP\FINAL.SAV".

```

Table 9.6 presents the mean estimates and standard errors for self-efficacy in mathematics per proficiency level.



Table 9.6
**Mean estimates and standard errors for self-efficacy in mathematics
per proficiency level (PISA 2003)**

Level		PV1	PV2	PV3	PV4	PV5
Below Level 1	$\hat{\mu}_i$	-0.68	-0.70	-0.74	-0.72	-0.77
	$\sigma_{(\hat{\mu}_i)}^2$	(0.06) ²	(0.06) ²	(0.06) ²	(0.05) ²	(0.06) ²
Level 1	$\hat{\mu}_i$	-0.44	-0.45	-0.42	-0.43	-0.40
	$\sigma_{(\hat{\mu}_i)}^2$	(0.06) ²	(0.05) ²	(0.06) ²	(0.04) ²	(0.05) ²
Level 2	$\hat{\mu}_i$	-0.18	-0.16	-0.17	-0.18	-0.18
	$\sigma_{(\hat{\mu}_i)}^2$	(0.03) ²				
Level 3	$\hat{\mu}_i$	0.09	0.09	0.12	0.11	0.10
	$\sigma_{(\hat{\mu}_i)}^2$	(0.03) ²				
Level 4	$\hat{\mu}_i$	0.43	0.45	0.41	0.45	0.44
	$\sigma_{(\hat{\mu}_i)}^2$	(0.03) ²				
Level 5	$\hat{\mu}_i$	0.85	0.84	0.86	0.79	0.82
	$\sigma_{(\hat{\mu}_i)}^2$	(0.04) ²	(0.04) ²	(0.03) ²	(0.04) ²	(0.04) ²
Level 6	$\hat{\mu}_i$	1.22	1.23	1.27	1.28	1.29
	$\sigma_{(\hat{\mu}_i)}^2$	(0.05) ²	(0.05) ²	(0.06) ²	(0.05) ²	(0.07) ²

Table 9.7
Output data file from Box 9.6

CNT	LEVEL	STAT	SE
DEU	0	-0.72	0.07
DEU	1	-0.43	0.06
DEU	2	-0.17	0.03
DEU	3	0.10	0.03
DEU	4	0.44	0.03
DEU	5	0.83	0.05
DEU	6	1.26	0.07

To combine the results:

- Per proficiency level, the five mean estimates are averaged.
- Per proficiency level, the five sampling variances are averaged.
- By comparing the final estimate and the five PV estimates, the imputation variance is computed.
- The final sampling variance and the imputation variance are combined as usual to get the final error variance.
- The standard error is obtained by taking the square root of the error variance.

Final results are presented in Table 9.7. It shows that high self-efficacy in mathematics (STAT) is associated with higher proficiency levels (LEVEL).

CONCLUSION

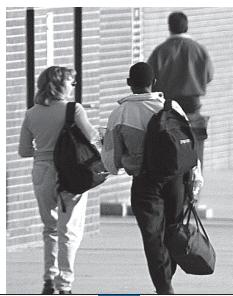
This chapter has shown how to compute the percentage of students per proficiency level and its standard errors. As shown, the algorithm is similar to the one used for other statistics.

The difficulty of conducting analyses using proficiency levels as the explanatory (independent) variables was also discussed.



Notes

1. In PISA 2000, the cutpoints that frame the proficiency levels in reading are: 334.75, 407.47, 480.18, 552.89 and 625.61. In PISA 2003, the cutpoints that frame the proficiency levels in mathematics are: 357.77, 420.07, 482.38, 544.68, 606.99 and 669.3.
2. This formula is a simplification of the general formula provided in Chapter 5. M, denoting the number of plausible values, has been replaced by 5.



10

Analyses with School-Level Variables

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INTRODUCTION

The target population in PISA is 15-year-old students. This population was chosen because, at this age in most OECD countries, students are approaching the end of their compulsory schooling. Thus, PISA should be able to indicate the cumulative effect of a student's education.

As described in detail in Chapter 3, a two-stage sampling procedure is used in PISA. After the population is defined, school samples are selected with a probability proportional to size. Subsequently, 35 students are randomly selected from each school. As the target population is based on age, it is possible that students will come from a variety of grades.

Table 10.1 presents the distribution of 15-year-olds in each country, by grade and by the International Standard Classification of Education (ISCED) level in PISA 2006.

In a few countries, most of the 15-year-old population tends to be in a modal grade, whereas in others, the 15-year-old population is spread across several grades.

Table 10.1
Percentage of students per grade and ISCED level, by country (PISA 2006)

	Grade							ISCED 2	ISCED 3
	7	8	9	10	11	12	13		
AUS		0.1	9.2	70.8	19.8	0.1		80.1	19.9
AUT	0.3	6.4	44.6	48.7	0			6.7	93.3
BEL	0.4	4.4	31.1	63.2	1			6.8	93.2
CAN	0	1.7	13.3	83.8	1.2	0		15	85
CHE	0.8	16.1	62.6	20.3	0.3	0		82.8	17.2
CZE	0.6	3.5	44.3	51.5				50.4	49.6
DEU	1.6	12.3	56.5	29.3	0.3			97.3	2.7
DNK	0.2	12	85.3	1.4	1.1			98.9	1.1
ESP	0.1	7	33	59.8	0			100	0
FIN	0.2	11.7	88.1	0				100	0
FRA	0	5.2	34.8	57.5	2.4	0		40	60
GBR			0	0.9	98.4	0.7		0.5	99.5
GRC	0.5	2.1	5.3	78.8	13.3			8	92
HUN	2.2	5.5	65.7	26.6	0			7.7	92.3
IRL	0	2.7	58.5	21.2	17.5			61.3	38.7
ISL			0.2	99.2	0.6			99.4	0.6
ITA	0.3	1.5	15	80.4	2.8			1.7	98.3
JPN				100					100
KOR			2	97.3	0.7			2	98
LUX	0.2	11.8	53.4	34.4	0.1			64.2	35.8
MEX	2.3	8.1	33.5	48.9	5.1	2		44.5	55.5
NLD	0.1	3.7	44.9	50.7	0.4	0		73.5	26.5
NOR			0.5	99	0.5			99.5	0.5
NZL			0	6.2	89.4	4.4	0	6.2	93.8
POL	0.6	3.8	95	0.6				99.4	0.6
PRT	6.6	13.1	29.5	50.7	0.2			50.2	49.8
SVK	0.7	2.2	38.5	58.7				38.6	61.4
SWE		1.9	95.9	2.2				97.8	2.2
TUR	0.8	4.5	38.4	53.7	2.6			5.3	94.7
USA	0.8	1	10.7	70.9	16.5	0.1		12.4	87.6



The PISA target population can be spread over several grades for different reasons:

- If the student does not pass a particular grade examination, he or she has to repeat the grade. For example in some countries there may be up to about 35% of students who have already repeated at least one grade.
- Even if grade retention is not used, the 15-year-old population might be separated at the time of testing into two grades. For logistical reasons, PISA testing takes place in a single calendar year. As the recommended testing window is around April (in the northern hemisphere), the PISA target population is defined as all students between 15 years and 3 months old and 16 years and 2 months old at the beginning of the testing period. If the entrance rules for compulsory education are defined in terms of complete calendar years, then the PISA target population will attend just one grade.

Further, in most countries, the PISA population is spread across the two levels of secondary education, *i.e.* lower secondary (ISCED 2) and upper secondary (ISCED 3).

As the 15-year-old population attends different grades in most OECD countries, the within-school samples can only consist of a random sample of students. Consequently, the PISA participating students are attending several grades and within a particular grade, are in several classes, depending on the school size. Largely because the PISA sample is not class-based, PISA collects data at the school level. This chapter describes how and why school-level data should be analysed.

Since the PISA target population attends several grades in most countries, it would be interesting to compute the average performance growth between two adjacent grades, so that performance differences between countries could be translated into school-year effect. However, this would certainly lead to an overestimation of the performance growth: 15-year-olds attending lower grades are either lower achievers or younger students, and 15-year-olds attending higher grades are either high achievers or older students. Therefore, caution is required in comparing subpopulations in different grades.

LIMITS OF THE PISA SCHOOL SAMPLES

As mentioned earlier, the following statement is valid for both PISA and other international studies:

Although the student's samples were drawn from within a sample of schools, the school sample was designed to optimize the resulting sample of students, rather than to give an optimal sample of schools. For this reason, it is always preferable to analyse the school-level variables as attributes of students, rather than as elements in their own right. (Gonzalez and Kennedy, 2003)

This advice is particularly important in PISA as the target population is not defined as a grade, but as students of a particular age.

In some countries, lower secondary and upper secondary education are provided by the same school, whereas in others, this is not the case. In these countries, usually, the transition between lower and upper secondary education occurs around the age of 15, *i.e.* at the end of compulsory education (in most cases). As PISA focuses on the 15-year-old population, it means that one part of the target population is attending upper secondary education, while the other is attending lower secondary education (see Table 10.1 for the percentages of student by level of secondary education). Consequently, in several countries, 15-year-olds can be in different educational institutions.

As discussed in Chapter 3, schools are selected from the school sample frame by the probability proportional to size (PPS) sampling method, *i.e.* proportionally to the number of 15-year-olds attending the school.



This might mean, for example, that upper secondary schools only attended by students over the PISA age of 15 should not be included in the school sample frame. Similarly, lower secondary schools without any 15-year-olds should not be included in the school sample frame.

Thus, neither the lower secondary school population, nor the upper secondary school population represents the 15-year-old school population. In other words, the PISA school target population does not necessarily match the school population(s) within a particular country.

This lack of perfect match between the usual school population(s) and the PISA school population affects the way school data should be analysed. To avoid biases for the population estimates, school data have to be imported into the student data files and have to be analysed with the student final weight. This means, for example, that one will not estimate the percentage of public schools versus private schools, but will estimate the percentage of 15-year-olds attending private schools versus public schools. From a pedagogical and/or policy point of view, what is really important is not the percentage of schools that present such characteristics, but the percentage of students who are affected by these characteristics.

MERGING THE SCHOOL AND STUDENT DATA FILES

Box 10.1 provides the SPSS® syntax for merging the student and school data files. Both files need first to be sorted by the identification variables, *i.e.* CNT, SCHOOLID and STIDSTD in the student data file and CNT and SCHOOLID in the school data file. Afterwards, the two sorted data files can be merged according to the common identification variables, *i.e.* CNT and SCHOOLID.

**Box 10.1 SPSS® syntax for merging the student and school data files
(e.g. PISA 2006)**

```

GET FILE="C:\PISA\2006\DATA\INT_SCH06_DEC07.SAV".
SORT CASES BY CNT (A) SCHOOLID (A).
SAVE OUTFILE="C:\TEMP\SCHOOL.SAV".

GET FILE="C:\PISA\2006\DATA\INT_STU06_DEC07.SAV".
SORT CASES BY CNT (A) SCHOOLID (A) STIDSTD (A).
SAVE OUTFILE="C:\TEMP\STUDENT.SAV".

MATCH FILES /FILE=*
  /TABLE="C:\TEMP\SCHOOL.SAV"
  /RENAME (SUBNATIO COUNTRY OECD STRATUM = D0 D1 D2 D3)
  /BY CNT SCHOOLID
  /DROP= D0 D1 D2 D3.
EXECUTE.

SAVE OUTFILE="C:\TEMP\ALLDATA.SAV".

```

ANALYSES OF THE SCHOOL VARIABLES

After merging the student and school data files, school data can be analysed as any student-level variables since the school-level variables are now considered as attributes of students. However, in this case, it is even more critical to use the replicate weights to compute sampling errors. Failure to do so would give a completely misleading inference.



The remainder of this chapter explains the methods for computing the percentage of students by school location, and their respective standard errors, as well as the student average performance in science, by school location.

Box 10.2 sets out the school-location question found in the school questionnaire.

Box 10.2 Question on school location in PISA 2006

Q7 WHICH OF THE FOLLOWING BEST DESCRIBES THE COMMUNITY IN WHICH YOUR SCHOOL IS LOCATED?

Tick only one box in each row.

A «village, hamlet or rural area» (fewer than 3 000 people)	<input type="checkbox"/> 1
A «small town» (3 000 to about 15 000 people)	<input type="checkbox"/> 2
A «town» (15 000 to about 100 000 people)	<input type="checkbox"/> 3
A «city» (100 000 to about 1 000 000 people)	<input type="checkbox"/> 4
A large «city» with over 1 000 000 people	<input type="checkbox"/> 5

Box 10.3 provides the SPSS® syntax for computing the percentage of students and the average performance in science, by school location. As previously indicated, the SPSS® macro might be CPU-consuming; thus it is advised to keep only the variables indispensable for the analyses.

Box 10.3 SPSS® syntax for computing the percentage of students and the average performance in science, by school location (e.g. PISA 2006)

```

GET FILE="C:\TEMP\ALLDATA.SAV".
SELECT IF (CNT="DEU").
SELECT IF NOT MISSING(SC07Q01).
SAVE OUTFILE="C:\TEMP\DEUALL.SAV"
/ KEEP = CNT SCHOOLID STIDSTD PV1SCIE TO PV5SCIE W_FSTUWT W_FSTR1 TO W_FSTR80
SC07Q01.

INSERT FILE="C:\PISA\MACRO\MCR_SE_GRPPCT.SPS".

GRPPCT WITHIN=CNT/
    GRP=SC07Q01/
    INFILE="C:\TEMP\DEUALL.SAV" /.
EXEC.

INSERT FILE="C:\PISA\MACRO\MCR_SE_PV.SPS".

PV STAT=MEAN/
    DEP=PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE/
    INFILE="C:\TEMP\DEUALL.SAV" /
    GRP=CNT SC07Q01/.

```



Table 10.2 and Table 10.3 present the structure of the output data files.

Table 10.2
Output data file from the first model in Box 10.3

CNT	SC07Q01	STAT	SE
DEU	1	3.8	1.1
DEU	2	27.6	2.9
DEU	3	45.0	3.5
DEU	4	15.7	2.6
DEU	5	7.9	1.6

Table 10.3
Output data file from the second model in Box 10.3

CNT	SC07Q01	Statistic	STAT	SE
DEU	1	MEAN	453.1	14.9
DEU	2	MEAN	515.9	7.7
DEU	3	MEAN	526.4	7.7
DEU	4	MEAN	521.1	13.6
DEU	5	MEAN	487.5	17.8

As a reminder, the school data was analysed at the student level and weighted by the student final weight. Therefore, results should be interpreted as: 27.6% of the 15-year-olds are attending a school located in a village with less than 3 000 people. The students attending a school located in a small village on average perform at 515.9.

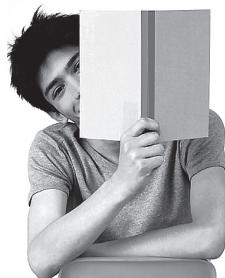
As the percentages for some categories might be small, the standard error will be large for the mean estimates.

All the SPSS® macros described in the previous chapters can be used on the school variables once they have been imported in the student data file.

CONCLUSION

For statistical and pedagogical reasons, the data collected through the school questionnaire, as well as the variables derived from that instrument, have to be analysed at the student level.

All the SPSS® macros developed can be used without any modifications. The interpretation of the results should clearly state the analysis level, *i.e.* for instance the percentage of students attending a school located in a small village and not the percentage of schools located in a small village.



11

Standard Error on a Difference

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INTRODUCTION

This chapter will discuss the computation of standard errors on differences. Following a description of the statistical issues for such estimates, the different steps for computing such standard errors will be presented. Finally, the correction of the critical value for multiple comparisons will be discussed.

STATISTICAL ISSUES AND COMPUTING STANDARD ERRORS ON DIFFERENCES

Suppose that X represents the student score for a mathematics test and Y the student score for a science test for the same sample of students. To summarise the score distribution for both tests, one can compute:

- $\mu_{(X)}$, $\mu_{(Y)}$, representing respectively the mean of X and the mean of Y ,
- $\sigma_{(X)}^2$, $\sigma_{(Y)}^2$, representing respectively the variance of X and the variance of Y .

It can be shown that:

$$\mu_{(X+Y)} = \mu_{(X)} + \mu_{(Y)} \text{ and}$$

$$\sigma_{(X+Y)}^2 = \sigma_{(X)}^2 + \sigma_{(Y)}^2 + 2 \operatorname{cov}(X, Y)$$

If a total score is computed by just adding the mathematics and science scores, then according to these two formulae, the mean of this total score will be the sum of the two initial means, and the variance of the total score will be equal to the sum of the variance of the two initial variables X and Y plus two times the covariance between X and Y . This covariance represents the relationship between X and Y . Usually, high performers in mathematics are also high performers in science; thus, one should expect a positive and high covariance in this particular example.

Similarly,

$$\mu_{(X-Y)} = \mu_{(X)} - \mu_{(Y)} \text{ and}$$

$$\sigma_{(X-Y)}^2 = \sigma_{(X)}^2 + \sigma_{(Y)}^2 - 2 \operatorname{cov}(X, Y)$$

In other words, the variance of a difference is equal to the sum of the variances of the two initial variables minus two times the covariance between the two initial variables.

As described in Chapter 4, a sampling distribution has the same characteristics as any distribution, except that units consist of sample estimates and not observations. Therefore,

$$\sigma_{(\hat{\mu}_X - \hat{\mu}_Y)}^2 = \sigma_{(\hat{\mu}_X)}^2 + \sigma_{(\hat{\mu}_Y)}^2 - 2 \operatorname{cov}(\hat{\mu}_X, \hat{\mu}_Y)$$

The sampling variance of a difference is equal to the sum of the two initial sampling variances minus two times the covariance between the two sampling distributions on the estimates.

Suppose that one wants to determine whether female performance is on average higher than male performance. As for all statistical analyses, the null hypothesis has to be tested. In this particular example, it will consist of computing the difference between the male performance mean and the female performance mean, or the inverse. The null hypothesis will be:

$$H_0 : \hat{\mu}_{(\text{males})} - \hat{\mu}_{(\text{females})} = 0$$

To test this null hypothesis, the standard error on this difference has to be computed and then compared to the observed difference. The respective standard errors on the mean estimate for males and for females ($\sigma_{(\hat{\mu}_{\text{males}})}$, $\sigma_{(\hat{\mu}_{\text{females}})}$) can be easily computed.



What does the covariance between the two variables, i.e. $\hat{\mu}_{(males)}$, $\hat{\mu}_{(females)}$ tell us? A positive covariance means that if $\hat{\mu}_{(males)}$ increases, then $\hat{\mu}_{(females)}$ will also increase. A covariance equal or close to 0 means that $\hat{\mu}_{(males)}$ can increase or decrease with $\hat{\mu}_{(females)}$ remaining unchanged. Finally, a negative covariance means that if $\hat{\mu}_{(males)}$ increases, then $\hat{\mu}_{(females)}$ will decrease, and inversely.

How are $\hat{\mu}_{(males)}$ and $\hat{\mu}_{(females)}$ correlated? Suppose that in the school sample, a coeducational school attended by low performers is replaced by a coeducational school attended by high performers. The country mean will increase slightly, as well as the means for males and females. If the replacement process is continued, $\hat{\mu}_{(males)}$ and $\hat{\mu}_{(females)}$ will likely increase in a similar pattern. Indeed, a coeducational school attended by high-performing males is usually also attended by high-performing females. Therefore, the covariance between $\hat{\mu}_{(males)}$ and $\hat{\mu}_{(females)}$ will be positive.

Let us now suppose that all schools are single gender. A boys' school can replace a girls' school in the sample and therefore $\hat{\mu}_{(males)}$ and $\hat{\mu}_{(females)}$ will change. If gender is used as a stratification variable, i.e. all girls' schools are allocated to an explicit stratum and all boys' schools are allocated to another explicit stratum, then a girls' school can only be replaced by another girls' school. In this case, only $\hat{\mu}_{(females)}$ will change. As $\hat{\mu}_{(females)}$ might change without affecting $\hat{\mu}_{(males)}$, the expected value of the covariance between $\hat{\mu}_{(males)}$ and $\hat{\mu}_{(females)}$ is 0.

Finally, a negative covariance means that if a school is attended by high-performing males, then that school is also attended by low-performing females or the inverse. This situation is not likely.

In summary, the expected value of the covariance will be equal to 0 if the two subsamples are independent. If the two subsamples are not independent, then the expected value of the covariance might differ from 0.

In PISA, country samples are independent. Therefore, for any comparison between two countries, the expected value of the covariance will be equal to 0. The standard error on the estimate is:

$$\sigma_{(\hat{\theta}_i - \hat{\theta}_j)} = \sqrt{\sigma_{(\hat{\theta}_i)}^2 + \sigma_{(\hat{\theta}_j)}^2}, \text{ with } \theta \text{ being any statistic.}$$

For instance, in PISA 2003, the mean score in mathematics is equal to 503 with a standard error of 3.3 in Germany, and the mean is equal to 529 with a standard error of 2.3 in Belgium. Therefore, the difference between Germany and Belgium is $529 - 503 = 26$ and the standard error on this difference is:

$$\sigma_{(\hat{\theta}_i - \hat{\theta}_j)} = \sqrt{\sigma_{(\hat{\theta}_i)}^2 + \sigma_{(\hat{\theta}_j)}^2} = \sqrt{(3.3)^2 + (2.3)^2} = \sqrt{10.89 + 5.29} = \sqrt{16.18} = 4.02$$

The difference divided by its standard error, i.e. $\frac{26}{4.02} = 6.46$, is greater than 1.96, which is significant. This means that the performance in Belgium is greater than the performance in Germany.

Similarly, the percentage of students below Level 1 in mathematics is equal to 9.2% in Germany (with a standard error of 0.8) and to 7.2% in Belgium (with a standard error of 0.6). The difference is equal to $9.2 - 7.2 = 2$ and the standard error on this difference is equal to:

$$\sigma_{(\hat{\theta}_i - \hat{\theta}_j)} = \sqrt{\sigma_{(\hat{\theta}_i)}^2 + \sigma_{(\hat{\theta}_j)}^2} = \sqrt{(0.8)^2 + (0.6)^2} = \sqrt{0.64 + 0.36} = \sqrt{1} = 1$$

The standardised difference is equal to 2 (i.e. $\frac{2}{1}$), which is significant. Thus the percentage of students below Level 1 is greater in Germany than in Belgium.

Finally, the regression coefficient of student socio-economic background index on the science performance in PISA 2006 is equal to 47.71 for Germany (with a standard error equal to 1.89), and 46.45 for Belgium



(with a standard error equal to 2.08). These two regression coefficients do not statistically differ as the standardised difference is equal to 0.44:

$$\frac{\hat{\beta}_1 - \hat{\beta}_2}{\sqrt{\sigma_{(\hat{\beta}_1)}^2 + \sigma_{(\hat{\beta}_2)}^2}} = \frac{47.71 - 46.45}{\sqrt{(1.89)^2 + (2.08)^2}} = 0.44$$

While the covariance between two country estimates for any statistical parameter is expected to be 0, it differs from 0 between an OECD country and the OECD average or total, as any OECD country contributes to the computation of the OECD average or total parameter estimate. Chapter 12 will describe how the standard error on the difference between an OECD country and the OECD average can be computed.

Within a particular country, any subsamples will be considered as independent if the categorical variable used to define the subsamples was used as an explicit stratification variable. For instance, since Canada used the provinces as an explicit stratification variable, these subsamples are independent and any comparison between two provinces does not require the estimation of the covariance between the sampling distributions.

As a general rule, any comparison between countries does not require the estimation of the covariance, but it is strongly advised to estimate the covariance between the sampling distributions for within-country comparisons.

As described earlier in this section, the estimation of the covariance between, for instance, $\hat{\mu}_{(males)}$ and $\hat{\mu}_{(females)}$ would require the selection of several samples and then the analysis of the variation of $\hat{\mu}_{(males)}$ in conjunction with $\hat{\mu}_{(females)}$. Such procedure is, of course, unrealistic. Therefore, as for any computation of a standard error in PISA, replication methods using the supplied replicate weights will be used to estimate the standard error on a difference.

THE STANDARD ERROR ON A DIFFERENCE WITHOUT PLAUSIBLE VALUES

Let's suppose that a researcher wants to test whether females have higher job expectations than males in Germany.

As described in Chapter 7, the SPSS® macro MCR_SE_UNIV can be used to estimate the average job expectation for males and females respectively.

Box 11.1 SPSS® syntax for computing the mean of job expectations by gender (e.g. PISA 2003)

```

GET FILE="C:\PISA\2003\DATA\INT_STUI_2003.SAV".
SELECT IF (CNT="DEU").
SAVE OUTFILE="C:\TEMP\DEU1.SAV".

INSERT FILE="C:\PISA\MACRO\MCR_SE_UNIV.SPS".

UNIVAR STAT=MEAN /
    DEP=BSMJ /
    GRP=CNT ST03Q01/
    INFILE="C:\TEMP\DEU1.SAV" .
  
```



Box 11.1 presents the SPSS® syntax for the computation of the mean for job expectations at the age of 30 (BSMJ) by gender. Table 11.1 presents the structure of the output data file as well as the results by gender.

Table 11.1
Output data file from Box 11.1

CNT	ST03Q01	Statistic	BSMJ	se_BSMJ
DEU	1	MEAN	53.05	0.57
DEU	2	MEAN	50.58	0.69

On average, job expectation is 53.05 for females and 50.58 for males. As German schools are usually coeducational and as gender is not used as an explicit stratification variable, the expected value of the covariance might differ from 0.

To compute the standard error by gender, it is necessary to compute the mean estimate for each of the 80 replicate weights. Table 11.2 presents the mean estimates by gender for 80 replicate weights.

Table 11.2
Mean estimates for the final and 80 replicate weights by gender (PISA 2003)

Weight	Mean estimate		Weight	Mean estimate	
	Females	Males		Females	Males
Final weight	53.05	50.58	Replicate 41	52.69	50.55
Replicate 1	53.29	50.69	Replicate 42	53.28	51.23
Replicate 2	53.16	50.53	Replicate 43	53.07	50.39
Replicate 3	53.16	50.45	Replicate 44	52.95	49.72
Replicate 4	53.30	50.70	Replicate 45	53.31	51.04
Replicate 5	52.79	50.28	Replicate 46	53.72	50.80
Replicate 6	53.14	50.76	Replicate 47	52.91	51.03
Replicate 7	53.04	50.36	Replicate 48	53.10	50.53
Replicate 8	52.97	50.11	Replicate 49	53.05	50.81
Replicate 9	53.28	51.37	Replicate 50	53.79	50.90
Replicate 10	53.01	50.55	Replicate 51	52.65	50.15
Replicate 11	53.26	50.70	Replicate 52	53.30	50.45
Replicate 12	53.16	49.86	Replicate 53	52.68	50.12
Replicate 13	52.81	50.94	Replicate 54	52.74	50.01
Replicate 14	53.21	50.71	Replicate 55	53.50	50.11
Replicate 15	53.39	50.23	Replicate 56	52.54	50.58
Replicate 16	53.06	50.46	Replicate 57	53.31	51.03
Replicate 17	53.34	50.48	Replicate 58	53.13	50.34
Replicate 18	52.71	50.42	Replicate 59	52.72	50.37
Replicate 19	53.18	50.87	Replicate 60	53.49	51.43
Replicate 20	52.82	50.44	Replicate 61	53.13	50.71
Replicate 21	53.36	50.74	Replicate 62	53.61	51.27
Replicate 22	53.15	50.72	Replicate 63	52.74	50.15
Replicate 23	53.24	50.65	Replicate 64	53.19	50.25
Replicate 24	52.68	50.51	Replicate 65	53.28	51.04
Replicate 25	52.76	50.44	Replicate 66	52.91	50.94
Replicate 26	52.79	50.43	Replicate 67	53.25	50.85
Replicate 27	53.01	50.58	Replicate 68	53.12	50.74
Replicate 28	53.24	50.12	Replicate 69	53.08	50.31
Replicate 29	52.86	50.68	Replicate 70	52.92	50.44
Replicate 30	52.85	50.02	Replicate 71	53.35	50.63
Replicate 31	52.90	50.85	Replicate 72	53.25	50.75
Replicate 32	53.25	50.60	Replicate 73	52.54	50.42
Replicate 33	53.32	50.54	Replicate 74	52.58	50.20
Replicate 34	52.42	50.55	Replicate 75	52.49	49.75
Replicate 35	52.91	50.72	Replicate 76	52.98	50.96
Replicate 36	53.06	50.36	Replicate 77	53.04	50.24
Replicate 37	52.67	50.73	Replicate 78	53.30	50.44
Replicate 38	53.36	50.16	Replicate 79	52.93	50.36
Replicate 39	52.57	50.36	Replicate 80	52.98	50.76
Replicate 40	53.07	50.58			



The final difference estimate will be the difference between the two final estimates, *i.e.* $53.05 - 50.58 = 2.47$.

The procedure to estimate the final standard error is straightforward. It is similar to the procedure described in Chapter 7, except that θ is now a difference, and not a mean or a regression coefficient. The different steps are:

- The difference in the means between females and males is computed for each of the 80 replicates.
- Each of the 80 difference estimates is compared with the final difference estimate, then squared.
- The sum of the square is computed then divided by 20 to obtain the sampling variance on the difference.
- The standard error is the square root of the sampling variance.

These different steps can be summarised as:

$$\sigma_{(\hat{\theta})} = \sqrt{\frac{1}{20} \sum_{i=1}^{80} (\hat{\theta}_{(i)} - \hat{\theta})^2} \text{ with } \theta \text{ being a difference.}$$

Concretely:

- For the first replicate, the difference between the female mean estimate and the male mean estimate is equal to $(53.29 - 50.69) = 2.60$. For the second replicate, the difference estimate will be equal to $(53.16 - 50.53) = 2.63$ and so on for the 80 replicates. All these difference estimates are presented in Table 11.3.
- Each of the 80 replicate difference estimates is compared with the final difference estimate and this difference is squared. For the first replicate, it will be $(2.60 - 2.47)^2 = 0.0164$. For the second replicate, it will be $(2.63 - 2.47)^2 = 0.0258$. These squared differences are also presented in Table 11.3.
- These squared differences are summed. This sum is equal to $(0.0164 + 0.0258 + \dots + 0.0641) = 9.7360$. The sampling variance on the difference is therefore equal to $\frac{9.7360}{20} = 0.4868$.
- The standard error is equal to the square root of 0.4868, *i.e.* 0.6977.

As $\frac{2.47}{0.6977}$ is greater than 1.96, job expectations for females are statistically greater than job expectations for males in Germany.

If the researcher had considered these two German subsamples as independent, then s/he would have obtained the following for the standard error on this difference

$$\sigma_{(\hat{\theta}_i - \hat{\theta}_j)} = \sqrt{\sigma_{(\hat{\theta}_i)}^2 + \sigma_{(\hat{\theta}_j)}^2} = \sqrt{(0.57)^2 + (0.69)^2} = 0.895$$

In this particular case, the difference between the unbiased estimate of the standard error (*i.e.* 0.698) and the biased estimate of the standard error (*i.e.* 0.895) is quite small. The difference between the biased and unbiased estimates of the standard error, however, can be substantial, as shown later in this chapter.

A SPSS® macro of MCR_SE_DIFF has been developed for the computation of standard errors on differences. Box 11.2 presents the SPSS® syntax for running this macro. Table 11.4 presents the structure of the output data file.

**Table 11.3**

Difference in estimates for the final weight and 80 replicate weights between females and males (PISA 2003)

Weight	Difference between females and males (females – males)	Squared difference between the replicate and the final estimates	Weight	Difference between females and males (females – males)	Squared difference between the replicate and the final estimates
Final weight	2.47		Replicate 41	2.14	0.1079
Replicate 1	2.60	0.0164	Replicate 42	2.05	0.1789
Replicate 2	2.63	0.0258	Replicate 43	2.68	0.0440
Replicate 3	2.72	0.0599	Replicate 44	3.23	0.5727
Replicate 4	2.61	0.0180	Replicate 45	2.28	0.0373
Replicate 5	2.51	0.0011	Replicate 46	2.92	0.2038
Replicate 6	2.39	0.0067	Replicate 47	1.88	0.3488
Replicate 7	2.68	0.0450	Replicate 48	2.56	0.0084
Replicate 8	2.86	0.1483	Replicate 49	2.23	0.0567
Replicate 9	1.92	0.3085	Replicate 50	2.89	0.1768
Replicate 10	2.46	0.0002	Replicate 51	2.49	0.0004
Replicate 11	2.57	0.0089	Replicate 52	2.85	0.1440
Replicate 12	3.30	0.6832	Replicate 53	2.56	0.0072
Replicate 13	1.87	0.3620	Replicate 54	2.73	0.0667
Replicate 14	2.50	0.0009	Replicate 55	3.39	0.8520
Replicate 15	3.16	0.4756	Replicate 56	1.96	0.2631
Replicate 16	2.60	0.0173	Replicate 57	2.28	0.0351
Replicate 17	2.87	0.1577	Replicate 58	2.79	0.1017
Replicate 18	2.29	0.0327	Replicate 59	2.35	0.0158
Replicate 19	2.31	0.0269	Replicate 60	2.05	0.1749
Replicate 20	2.38	0.0078	Replicate 61	2.42	0.0027
Replicate 21	2.62	0.0221	Replicate 62	2.34	0.0164
Replicate 22	2.43	0.0014	Replicate 63	2.59	0.0137
Replicate 23	2.59	0.0142	Replicate 64	2.94	0.2230
Replicate 24	2.17	0.0901	Replicate 65	2.24	0.0539
Replicate 25	2.32	0.0227	Replicate 66	1.97	0.2524
Replicate 26	2.36	0.0132	Replicate 67	2.40	0.0050
Replicate 27	2.43	0.0015	Replicate 68	2.38	0.0089
Replicate 28	3.12	0.4225	Replicate 69	2.76	0.0848
Replicate 29	2.18	0.0844	Replicate 70	2.48	0.0002
Replicate 30	2.84	0.1333	Replicate 71	2.72	0.0609
Replicate 31	2.06	0.1709	Replicate 72	2.50	0.0006
Replicate 32	2.65	0.0312	Replicate 73	2.12	0.1217
Replicate 33	2.78	0.0970	Replicate 74	2.39	0.0073
Replicate 34	1.87	0.3611	Replicate 75	2.73	0.0693
Replicate 35	2.19	0.0809	Replicate 76	2.02	0.2031
Replicate 36	2.69	0.0490	Replicate 77	2.80	0.1058
Replicate 37	1.94	0.2825	Replicate 78	2.86	0.1519
Replicate 38	3.20	0.5355	Replicate 79	2.57	0.0091
Replicate 39	2.21	0.0683	Replicate 80	2.22	0.0641
Replicate 40	2.48	0.0001	Sum of squared differences		9.736

Box 11.2 SPSS® macro for computing standard errors on differences (e.g. PISA 2003)

```

INSERT FILE="C:\PISA\MACRO\MCR_SE_DIFF.SPS".
SET MPRINT=YES.
DIFnоВV DEP=BSMJ /
STAT=MEAN /
COMPARE=ST03Q01 /
CATEG=1 2/
INFILE="C:\TEMP\DEU1.SAV" /
WITHIN=CNT/
WGT=W_FSTUWT/
RWGT=Ｗ_FSTR/
CONS=0.05/
NREP=80 .
```



Beside the arguments common to most SPSS® macros, four other arguments have to be specified:

1. The DEP argument informs the macro of the numerical variable on which a mean or a standard deviation will be computed per value of a categorical variable. In this example, VAR equals BSMJ.
2. The COMPARE argument specifies the categorical variables on which the contrasts will be based.
3. The CATEG argument specifies the values of the categorical variables for which contrasts are required. As gender has only two categories, denoted 1 and 2, CATEG is set as "1 2". If a categorical variable has four categories and if these four categories are specified in CATEG statement, then the macro will compute the standard error on the difference between:
 - category 1 and category 2;
 - category 1 and category 3;
 - category 1 and category 4;
 - category 2 and category 3;
 - category 2 and category 4;
 - category 3 and category 4.

If only categories 1 and 2 are specified, then only the contrast between 1 and 2 will be computed, regardless of the number of categories for this categorical variable.

4. The STAT argument specifies the required statistic. See Chapter 7 for available statistics.

Table 11.4
Output data file from Box 11.2

CNT	CONTRAST	STAT	SESTAT
DEU	ST03Q01.1_2	2.47	0.6977
Total	ST03Q01.1_2	2.47	0.6977

The row denoted "Total" in Table 11.4 presents the statistic on the whole dataset regardless of the grouping variables.

For dichotomous variables, the standard error on the difference can also be computed by a regression model. Box 11.3 presents the SPSS® syntax to compute a gender difference in BSMJ and its standard error by using the MCR_SE_REG macro. Before running the syntax in Box 11.3, the gender variable of ST03Q01 needs to be recoded into a new variable denoted GENDER, with females being 1 and males being 0. Table 11.5 presents the structure of the output data file.

Box 11.3 Alternative SPSS® macro for computing the standard error on a difference for a dichotomous variable (e.g. PISA 2003)

```

GET FILE="C:\PISA\2003\DATA\INT_STUI_2003.SAV".
SELECT IF (CNT="DEU").
SELECT IF NOT (ST03Q01=8).
RECODE ST03Q01 (1=1) (2=0) INTO GENDER.
SAVE OUTFILE="C:\TEMP\DEU2.SAV".

INSERT FILE="C:\PISA\MACRO\MCR_SE_REG.SPS".

SET MPRINT=YES.
REGnOPV IND=GENDER/
DEP=BSMJ/
GRP=CNT/                               /* is default */
INFILE="C:\TEMP\DEU2.SAV" /.

```



Table 11.5
Output data file from Box 11.3

CNT	Ind	STAT	SE
DEU	INTERCEPT	50.58	0.69
DEU	GENDER	2.47	0.70
DEU	R_SQUARE	0.01	0.00

The difference estimate and its respective standard error are equal to the regression coefficient estimate and its standard error. For polytomous categorical variables, the use of the regression macro would require the recoding of the categorical variables into $h-1$ dichotomous variables, with h being equal to the number of categories. Further, the regression macro will compare each category with the reference category, while the macro MCR_SE_DIFF will provide all contrasts.

THE STANDARD ERROR ON A DIFFERENCE WITH PLAUSIBLE VALUES

The procedure for computing the standard error on a difference that involves plausible values consists of:

- Using each plausible value and for the final and 80 replicate weights, the requested statistic (e.g. a mean) has to be computed per value of the categorical variable.
- Computing, per contrast, per plausible value and per replicate weight, the difference between the two categories. There will be 405 difference estimates. Table 11.6 presents the structure of these 405 differences.
- A final difference estimate equal to the average of the five difference estimates.
- Computing, per plausible value, the sampling variance by comparing the final difference estimate with the 80 replicate estimates.
- A final sampling variance being equal to the average of the five sampling variances.
- Computing imputation variance, also denoted measurement error variance.
- Combining the sampling variance and the imputation variance to obtain the final error variance.
- A standard error being equal to the square root of the error variance.

Table 11.6
Gender difference estimates and their respective sampling variances on the mathematics scale
(PISA 2003)

Weight	PV1	PV2	PV3	PV4	PV5
Final	-8.94	-9.40	-8.96	-7.46	-10.12
Replicate 1	-9.64	-10.05	-10.29	-8.74	-11.45
.....
.....
Replicate 80	-8.56	-8.52	-8.85	-7.70	-9.84
Sampling variance	(4.11) ²	(4.36) ²	(4.10) ²	(4.31) ²	(4.28) ²

Note: PV = plausible value.

A SPSS® macro has been developed to compute standard errors on differences that involve plausible values. Box 11.4 provides the SPSS® syntax. In this example, the standard error on the difference in performance in mathematics between males and females is computed. Table 11.7 presents the structure of the output data file.

Box 11.4 SPSS® syntax for computing standard errors on differences which involve PVs (e.g. PISA 2003)

```

INSERT FILE="C:\PISA\MACRO\MCR_SE_DIFF_PV.SPS".

SET MPRINT=YES.

DIF_PV DEP=PV1MATH PV2MATH PV3MATH PV4MATH PV5MATH/
      STAT=MEAN/
      COMPARE=ST03Q01/
      CATEG= 1 2/
      INFILE="C:\TEMP\DEU1.SAV" /
      WITHIN = CNT/*default=NOWITHIN*/
      WGT=W_FSTUWT/*default=W_FSTUWT*/
      RWGT=W_FSTR/*default=W_FSTR */
      CONS=0.05/*default=0.05 */
      NREP=80/*default=80 */
  
```

As the absolute value of the ratio between the difference estimate and its respective standard error is greater than 1.96, the null hypothesis is rejected. Thus females perform on average lower than males in Germany in mathematics. These results might also be obtained through the regression macro for plausible values.

Table 11.7
Output data file from Box 11.4

CNT	ST03Q01	STAT	SE
DEU	1-2	-8.98	4.37
Total	1-2	-8.98	4.37

Table 11.8 presents the gender difference in mean performance in mathematics for all OECD countries in PISA 2003, as well as the unbiased standard errors and the biased standard errors.

In nearly all countries, the unbiased standard error is smaller than the biased standard error, reflecting a positive covariance between the two sampling distributions. In a few countries, the difference between the two standard errors is small, but it is substantial for some other countries, such as Greece and Turkey.

These two SPSS® macros can also be used for other statistical parameters, such as variances or standard deviations. Table 11.9 presents the gender difference in the mean science performance and in the standard deviation for science performance in PISA 2006.

Surprisingly, males and females perform differently in only 8 countries out of 30. In two countries, *i.e.* Turkey and Greece, females outperform males while in Denmark, Luxembourg, Mexico, Netherlands, Switzerland and the United Kingdom, males outperforms females. On the other hand, in 23 countries, the standard deviation of the science performance for females is significantly smaller than the standard deviation of the science performance for males.



Table 11.8
Gender differences on the mathematics scale, unbiased standard errors
and biased standard errors (PISA 2003)

Country	Mean difference (females – males)	Unbiased standard error	Biased standard error
AUS	-5.34	3.75	4.04
AUT	-7.57	4.40	5.59
BEL	-7.51	4.81	4.69
CAN	-11.17	2.13	2.78
CHE	-16.63	4.87	5.98
CZE	-14.97	5.08	6.11
DEU	-8.98	4.37	5.59
DNK	-16.58	3.20	4.50
ESP	-8.86	2.98	4.02
FIN	-7.41	2.67	3.24
FRA	-8.51	4.15	4.60
GBR	-6.66	4.90	4.84
GRC	-19.40	3.63	6.11
HUN	-7.79	3.54	4.69
IRL	-14.81	4.19	4.54
ISL	15.41	3.46	3.15
ITA	-17.83	5.89	5.96
JPN	-8.42	5.89	7.04
KOR	-23.41	6.77	6.90
LUX	-17.17	2.81	2.40
MEX	-10.90	3.94	5.91
NLD	-5.12	4.29	5.36
NOR	-6.22	3.21	4.04
NZL	-14.48	3.90	4.23
POL	-5.59	3.14	4.18
PRT	-12.25	3.31	5.41
SVK	-18.66	3.65	5.30
SWE	-6.53	3.27	4.30
TUR	-15.13	6.16	10.33
USA	-6.25	2.89	4.65

Table 11.9
Gender differences in mean science performance and in standard deviation
for science performance (PISA 2006)

	Difference in mean (females – males)		Difference in standard deviation (females – males)	
	Difference	S.E.	Difference	S.E.
AUS	-0.05	3.76	-7.29	1.85
AUT	-7.53	4.91	0.40	3.56
BEL	-0.75	4.13	-6.81	2.54
CAN	-4.07	2.19	-5.90	1.62
CHE	-5.56	2.67	-0.67	1.67
CZE	-4.82	5.64	4.09	2.79
DEU	-7.14	3.71	-5.92	2.22
DNK	-8.93	3.24	-2.89	2.04
ESP	-4.36	2.36	-6.13	1.66
FIN	3.10	2.88	-7.87	1.93
FRA	-2.64	4.03	-8.87	2.72
GBR	-10.06	3.44	-9.45	2.19
GRC	11.41	4.68	-12.93	2.92
HUN	-6.48	4.17	-8.45	2.88
IRL	0.40	4.31	-7.07	2.12
ISL	6.17	3.44	-7.46	2.24
ITA	-3.05	3.53	-9.00	2.11
JPN	-3.26	7.40	-8.74	3.26
KOR	1.86	5.55	-7.43	2.67
LUX	-9.34	2.93	-8.71	2.15
MEX	-6.66	2.19	-3.14	1.76
NLD	-7.20	3.03	-2.80	2.35
NOR	4.37	3.39	-9.31	2.36
NZL	3.75	5.22	-8.57	2.42
POL	-3.38	2.48	-7.00	1.77
PRT	-5.04	3.33	-4.89	2.06
SVK	-6.23	4.73	-6.07	2.87
SWE	-1.28	2.97	-4.93	2.86
TUR	11.93	4.12	-4.64	2.25
USA	-0.58	3.51	-7.13	2.44



Comparisons of regression coefficients might also interest researchers or policy makers. For instance, does the influence of a student's socio-economic background on his/her performance depend on a student's gender? A regression model on the male subsample and another one on the female subsample will provide the regression coefficients but it will be impossible to compute the significance level of their difference, as the two samples are not independent. This test can, however, be easily implemented by modelling an interaction. Box 11.5 presents the SPSS® syntax for testing this interaction.

Question ST04Q01 is recoded into a new variable denoted MALE, with males being 1 and females being 0. A second variable, denoted INTER, is computed by multiplying MALE with HISEI. The INTER variable will be equal to 0 for all females and to HISEI for all males.

Box 11.5 SPSS® syntax for computing standard errors on differences that involve PVs (e.g. PISA 2006)

```

GET FILE="C:\PISA\2006\DATA\INT_STU06_DEC07.SAV".
SELECT IF (CNT="BEL") .
SELECT IF NOT (ST04Q01=8) .
RECODE ST04Q01 (1=0) (2=1) INTO MALES .
COMPUTE INTER=MALES*HISEI .
SAVE OUTFILE="C:\TEMP\BEL.SAV" .

INSERT FILE="C:\PISA\MACRO\MCR_SE_REG_PV.SPS" .

SET MPRINT=YES .

*** MODEL 1 ***.

REG_PV INFIL="C:\TEMP\BEL.SAV" /
IND=HISEI/
DEP=PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE /
GRP=CNT MALES/ .

*** MODEL 2 ***.

REG_PV INFIL="C:\TEMP\BEL.SAV" /
IND=HISEI MALES INTER/
DEP=PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE/
GRP=CNT/ .

```

Table 11.10 presents the regression coefficients for the male subsample regression and the female subsample regression (e.g. Model 1) as well as the regression coefficients for the model including males and females altogether with the interaction (e.g. Model 2). Standard errors are also provided.

Table 11.10
Regression coefficient of HISEI on the science performance for different models (PISA 2006)

Models	Sample	Variables	Estimates	S.E.
Model 1	Females	Intercept	405.13	7.32
		HISEI	2.21	0.13
	Males	Intercept	401.90	5.89
		HISEI	2.27	0.12
Model 2	All	Intercept	405.13	7.32
		MALE	-3.23	7.46
		HISEI	2.21	0.13
		INTER	0.06	0.15



The model with the interaction returns values for the intercept and for the HISEI regression coefficient that are identical to the corresponding estimates on the subsample of females. The regression coefficient of INTER is equal to the difference between the two HISEI regression coefficients computed on both subsamples. The standard error for the INTER regression coefficient indicates that the null hypothesis cannot be rejected. Therefore, the influence of a student's social background on his/her performance does not depend on student gender.

MULTIPLE COMPARISONS

In Chapter 4, it was noted that every statistical inference is associated with what is usually called a type I error. This error represents the risk of rejecting a null hypothesis that is true.

Let's suppose that at the population level, there is no difference in the mathematics performance between males and females. A sample is drawn and the gender difference in mathematics performance is computed. As this difference is based on a sample, a standard error on the difference has to be computed. If the standardised difference (*i.e.* the gender difference divided by its standard error) is less than -1.96 or greater than 1.96, that difference would be reported as significant. In fact, there are 5 chances out of 100 to observe a standardised difference lower than -1.96 or higher than 1.96 and still have the null hypothesis true. In other words, there are 5 chances out of 100 to reject the null hypothesis, when there is no true gender difference in the population.

If 100 countries are participating in the international survey and if the gender difference is computed for each of them, then it is statistically expected to report 5 of the 100 gender differences as significant, when there are no true differences at the population level.

For every country, the type I error is set at 0.05. For two countries, as countries are independent samples, the probability of not making a type I error, *i.e.* accepting both null hypotheses, is equal to 0.9025 (0.95 times 0.95) (Table 11.11).

Table 11.11
Cross tabulation of the different probabilities

		Country A	
		0.05	0.95
Country B	0.05	0.0025	0.0475
	0.95	0.0475	0.9025

This statistical issue is even more amplified for tables of multiple comparisons of achievement. Suppose that the means of three countries need to be compared. This will involve three tests: Country A versus Country B; Country A versus Country C; and Country B versus Country C. The probability of not making a type I error is therefore equal to:

$$(1-\alpha)(1-\alpha)(1-\alpha) = (1-\alpha)^3$$

Broadly speaking, if X comparisons are tested, then the probability of not making a type I error is equal to $(1-\alpha)^X$

Dunn (1961) developed a general procedure that is appropriate for testing a set of *a priori* hypotheses, while controlling the probability of making a type I error. It consists of adjusting the value α . Precisely, the value α is divided by the number of comparisons and then its respective critical value is used.



In the case of three comparisons, the critical value for an $\alpha = 0.05$ will therefore be equal to 2.24 instead of 1.96. Indeed,

$$\frac{0.05}{3} = 0.01666$$

As the risk is shared by both tails of the sampling distribution, one has to find the z score that corresponds to the cumulative proportion of 0.008333. Consulting the cumulative function of the standardised normal distribution will return the value -2.24.

Nevertheless, the researcher still has to decide how many comparisons are involved. In PISA, it was decided that no correction of the critical value would be applied, except on multiple comparison tables.¹ Indeed, in many cases, readers are primarily interested in finding out whether a given value in a particular country is different from a second value in the same or another country, e.g. whether females in a country perform better than males in the same country. Therefore, as only one test is performed at a time, then no adjustment is required.

On the other hand, with multiple comparison tables, if the reader is interested in comparing the performance of one country with all other countries, the adjustment is required. For example, if one wants to compare the performance of Country 1 with all other countries, we will have the following comparisons: Country 1 versus Country 2; Country 1 versus Country 3; and Country 1 versus Country L. Therefore, the adjustment will be based on L-1 comparisons.

CONCLUSION

This chapter was devoted to the computation of standard errors on differences. After a description of the statistical issues for such estimates, the different steps for computing such standard errors were presented. The SPSS® macros to facilitate such computations were also described.

It was clearly stated that any comparison between countries does not require the estimation of the covariance. However, it is strongly advised that the covariance between the sampling distributions for any within-country comparisons should be estimated.

The two SPSS® macros can however be used for between-country comparisons. As the expected value of the covariance is equal to 0, in a particular case, one might get a small positive or negative estimated covariance. Therefore, the standard error returned by the SPSS® macro might be slightly different from the standard errors based only on the initial standard errors.

Finally, the correction of the critical value for multiple comparisons was discussed.

Note

1. The Bonferroni adjustment was not presented in the PISA 2006 multiple comparison tables (OECD, 2007).



12

OECD Total and OECD Average

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INTRODUCTION

The PISA initial and thematic reports present results for each country and two additional aggregated estimates: the OECD total and the OECD average.

The OECD total considers all the OECD countries as a single entity, to which each country contributes proportionally to the number of 15-year-olds enrolled in its schools. To compute an OECD total estimate, data have to be weighted by the student final weight, *i.e.* W_FSTUWT.

On the contrary, the OECD average does not take into account the absolute size of the population in each country; each country contributes equally to the OECD average. The contribution of the smallest OECD country, *i.e.* Luxembourg, is equivalent to one of the largest countries, *i.e.* the United States.

In the PISA publications, the OECD total is generally used when references are made to the overall situation in the OECD area; the OECD average is used when the focus is on comparing performance across education systems.

In the case of some countries, data may not be available for specific indicators, or specific categories may not apply. Researchers should, therefore, keep in mind that the terms OECD average and OECD total refer to the OECD countries included in the respective comparisons for each cycle and for a particular comparison.

There are two approaches to compute the OECD total and the OECD average.

- One way is to compute them based on the pooled samples of the OECD countries with specific weights. To compute the pooled OECD total estimate, data will be weighted by the student final weight, *i.e.* W_FSTUWT. The computation of the pooled OECD average estimates will require the transformation of the student final weights and replicates so that their sum per country is a constant. These transformed weights are usually denoted as SENAT weights.
- The other way is to compute them directly from the country estimates. The arithmetic OECD total is the weighted average of the country estimates and the arithmetic OECD average is the unweighted average of the country estimates.

The arithmetic OECD total estimate and its respective sampling variance are equal to:

$$\hat{\theta} = \frac{\sum_{i=1}^C w_i \hat{\theta}_i}{\sum_{i=1}^C w_i} \text{ and } SE^2 = \frac{\sum_{i=1}^C w_i^2 SE_i^2}{\left[\sum_{i=1}^C w_i \right]^2}$$

with θ any statistics, SE^2 its respective sampling variance and w_i being the sum of the student final weights for a particular country, C being the number of OECD countries that participated.

The arithmetic OECD average estimate and its respective sampling variance are equal to:

$$\hat{\theta} = \frac{\sum_{i=1}^C \hat{\theta}_i}{C} \text{ and } SE^2 = \frac{\sum_{i=1}^C SE_i^2}{C^2}$$

with C being the number of OECD countries that participated.

For example, if the statistic is a mean, the arithmetic OECD total mean and its respective sampling variance are equal to:

$$\hat{\mu} = \frac{\sum_{i=1}^C w_i \hat{\mu}_i}{\sum_{i=1}^C w_i} \text{ and } \sigma_{(\hat{\mu})}^2 = \frac{\sum_{i=1}^C w_i^2 \sigma_{(\hat{\mu}_i)}^2}{\left[\sum_{i=1}^C w_i \right]^2}$$

with w_i being the sum of the student final weights for a particular country.



The arithmetic OECD average mean and its respective sampling variance are equal to:

$$\hat{\mu} = \frac{\sum_{i=1}^C \hat{\mu}_i}{C} \text{ and } \sigma_{(\hat{\mu})}^2 = \frac{\sum_{i=1}^C \sigma_{(\hat{\mu}_i)}^2}{C^2}$$

with C being the number of OECD countries that participated.

For simple statistics such as a mean or a percentage, the pooled OECD total estimate is mathematically equal to the arithmetic OECD total estimate, and the pooled OECD average estimate is equal to the arithmetic OECD average, respectively, if no data are missing.

If the percentage of missing data varies between countries, however, then these two estimates are not equal anymore, unless a weight adjustment for missing data is applied to W_FSTUWT and SENAT weights. For instance, in PISA 2000, about 60% of the Japanese students did not have information on their parent's main occupation. The data for Japan therefore contribute substantially less than it should for the computation of the pooled OECD average estimate.

Furthermore, "pooled" estimates and "arithmetic" estimates are not equal for the estimates which include the notion of variation (such as standard deviation) and the notion of relationship (such as regression coefficients or correlation). For instance, the pooled OECD average for standard deviation for the student performance, *i.e.* 100, is not equal to the average of country standard deviation. Also the pooled OECD average for a regression coefficient is not equal to the arithmetic OECD average for a regression coefficient and the pooled OECD total for a regression coefficient is not equal to the arithmetic OECD total for a regression coefficient.

Table 12.1
**Regression coefficients of the index of instrumental motivation in mathematics
on mathematic performance in OECD countries (PISA 2003)**

	Change in the mathematics score per unit of this index	
	Effect	S.E.
AUS	16.88	0.91
AUT	-3.70	1.60
BEL	11.03	1.63
CAN	19.78	0.96
CHE	-2.39	1.62
CZE	10.73	1.82
DEU	1.11	1.93
DNK	20.89	1.77
ESP	19.41	1.39
FIN	26.89	1.70
FRA	13.72	1.61
GBR	10.39	1.48
GRC	14.89	1.76
HUN	7.86	1.90
IRL	7.66	1.45
ISL	17.73	1.72
ITA	8.45	1.58
JPN	23.92	2.25
KOR	32.81	1.77
LUX	-0.01	1.35
MEX	5.37	2.44
NLD	6.14	2.00
NOR	28.49	1.49
NZL	15.63	1.81
POL	16.97	1.82
PRT	17.35	2.04
SVK	6.29	1.98
SWE	22.98	2.00
TUR	12.89	2.39
USA	13.58	1.52
Pooled OECD average	8.52	0.41
Arithmetic OECD average	13.46	0.32



The example presented in Table 12.1, which presents the mathematic score per unit of the index of instrumental motivation in mathematics in PISA 2003, illustrates the distinction between the pooled OECD average and the arithmetic OECD average. The arithmetic OECD average for a regression coefficient, *i.e.* the average of the country regression coefficients, differs from the pooled OECD average in Table 12.1

In the PISA 2000 and 2003 initial and thematic reports published before 2005, the pooled OECD total and the pooled OECD average were reported as the OECD total and the OECD average. In the PISA publication after 2005, in general, the OECD total corresponds to the pooled OECD total, while the OECD average corresponds to the arithmetic OECD average, unless otherwise stated.

RECODING OF THE DATABASE TO ESTIMATE THE POOLED OECD TOTAL AND THE POOLED OECD AVERAGE

As stated in Chapter 4, the sum of the student final weights per country is an estimate of the 15-year-old population in that country. Therefore, the OECD total statistic can easily be obtained by deleting the partner country data. Then the statistic is computed, without using the country breakdown variable (CNT). The standard error is obtained as usual by using the 80 replicates. Box 12.1 provides the SPSS® syntax for computing the pooled OECD total for the mathematics performance by gender in PISA 2003 and Table 12.2 provides the results of the procedure.

Box 12.1 SPSS® syntax for computing the pooled OECD total for the mathematics performance by gender (e.g. PISA 2003)

```

GET FILE="C:\PISA\2003\DATA\INT_STUI_2003.SAV".

SELECT IF (
  CNT="AUS" | CNT="AUT" | CNT="BEL" | CNT="CAN" | CNT="CHE" | CNT="CZE" |
  CNT="DEU" | CNT="DNK" | CNT="ESP" | CNT="FIN" | CNT="FRA" | CNT="GBR" |
  CNT="GRC" | CNT="HUN" | CNT="ISL" | CNT="IRL" | CNT="ITA" | CNT="JPN" |
  CNT="KOR" | CNT="LUX" | CNT="MEX" | CNT="NLD" | CNT="NZL" | CNT="NOR" |
  CNT="POL" | CNT="PRT" | CNT="SVK" | CNT="SWE" | CNT="TUR" | CNT="USA") .
SELECT IF (ST03Q01=1 | ST03Q01=2) .
SAVE OUTFILE="C:\TEMP\OECD2003.SAV" .

INSERT FILE="C:\PISA\MACRO\MCR_SE_PV.SPS" .

SET PRINT=YES .

PV      STAT=MEAN/
        DEP=PV1MATH PV2MATH PV3MATH PV4MATH PV5MATH/
        INFILE="C:\TEMP\OECD2003.SAV" /
        GRP=ST03Q01/ .

```

Table 12.2
Output data file from Box 12.1

ST03Q01	Statistics	STAT	SE
1	MEAN	483.93	1.25
2	MEAN	494.04	1.32



Computing the pooled OECD average requires an additional step. The student final weights need to be recoded, so that the sum of the student final weights per country is equal to a constant, e.g. 1 000. This can easily be implemented, as described in Box 12.2. Table 12.3 presents the results of the procedure.

**Box 12.2 SPSS® syntax for the pooled OECD average
for the mathematics performance by gender (e.g. PISA 2003)**

```

GET FILE="C:\PISA\2003\DATA\INT_STUI_2003.SAV".

SELECT IF (
CNT="AUS" | CNT="AUT" | CNT="BEL" | CNT="CAN" | CNT="CHE" | CNT="CZE" |
CNT="DEU" | CNT="DNK" | CNT="ESP" | CNT="FIN" | CNT="FRA" | CNT="GBR" |
CNT="GRC" | CNT="HUN" | CNT="ISL" | CNT="IRL" | CNT="ITA" | CNT="JPN" |
CNT="KOR" | CNT="LUX" | CNT="MEX" | CNT="NLD" | CNT="NZL" | CNT="NOR" |
CNT="POL" | CNT="PRT" | CNT="SVK" | CNT="SWE" | CNT="TUR" | CNT="USA" ) .
SAVE OUTFILE="C:\TEMP\OECD2003a.SAV".

SORT CASES BY CNT(A) SCHOOLID(A) STIDSTD(A) .
WEIGHT OFF.

AGGREGATE
/OUTFILE=* MODE=ADDVARIABLES
/BREAK=CNT
/WGTSUM=SUM(W_FSTUWT) .

COMPUTE W_FSTUWT=(W_FSTUWT/WGTSUM)*1000.
DO REPEAT a= W_FSTR1 to W_FSTR80.
COMPUTE a=(a/WGTSUM)*1000.
END REPEAT.
SELECT IF (ST03Q01=1|ST03Q01=2).
SAVE OUTFILE="C:\TEMP\OECD2003a.SAV" .

SET MPRINT=YES.
PV STAT=MEAN/
DEP=PV1MATH PV2MATH PV3MATH PV4MATH PV5MATH/
INFILE="C:\TEMP\OECD2003a.SAV" /
GRP=ST03Q01/ .

```

It is worth noting that the standard error is higher for the pooled OECD total than it is for the pooled OECD average. In the case of the pooled OECD total, 40% of the data come from just two countries (*i.e.* Japan and the United States), and these two countries do not have large sample sizes compared to the other OECD countries.

Table 12.3
Output data file from Box 12.2

ST03Q01	Statistics	STAT	SESTAT
1	MEAN	494.41	0.76
2	MEAN	505.53	0.75



DUPLICATION OF THE DATA TO AVOID RUNNING THE PROCEDURE THREE TIMES

If a researcher is interested in the country estimates as well as the pooled OECD total and the pooled OECD average, then three runs of the procedure are needed: one for the country estimates, one for the pooled OECD total estimate and one for the pooled OECD average estimate.

Box 12.3 SPSS® syntax for the creation of a larger dataset that will allow the computation of the pooled OECD total and the pooled OECD average in one run (e.g. PISA 2003)

```

GET FILE="C:\PISA\2003\DATA\INT_STUI_2003.SAV".
COMPUTE ORDRE=4.
IF (CNT="AUS" | CNT="AUT" | CNT="BEL" | CNT="CAN" | CNT="CHE" | CNT="CZE" |
CNT="DEU" | CNT="DNK" | CNT="ESP" | CNT="FIN" | CNT="FRA" | CNT="GBR" |
CNT="GRC" | CNT="HUN" | CNT="ISL" | CNT="IRL" | CNT="ITA" | CNT="JPN" |
CNT="KOR" | CNT="LUX" | CNT="MEX" | CNT="NLD" | CNT="NZL" | CNT="NOR" |
CNT="POL" | CNT="PRT" | CNT="SVK" | CNT="SWE" | CNT="TUR" | CNT="USA") ORDRE=1.
STRING PAYS (A3).
COMPUTE PAYS=CNT.
SORT CASES BY ORDRE(A) PAYS(A) .
SAVE OUTFILE="C:\TEMP\TEMP1.SAV".
GET FILE="C:\PISA\2003\DATA\INT_STUI_2003.SAV".
SELECT IF
(CNT="AUS" | CNT="AUT" | CNT="BEL" | CNT="CAN" | CNT="CHE" | CNT="CZE" |
CNT="DEU" | CNT="DNK" | CNT="ESP" | CNT="FIN" | CNT="FRA" | CNT="GBR" |
CNT="GRC" | CNT="HUN" | CNT="ISL" | CNT="IRL" | CNT="ITA" | CNT="JPN" |
CNT="KOR" | CNT="LUX" | CNT="MEX" | CNT="NLD" | CNT="NZL" | CNT="NOR" |
CNT="POL" | CNT="PRT" | CNT="SVK" | CNT="SWE" | CNT="TUR" | CNT="USA") .
COMPUTE ORDRE=2.
STRING PAYS (A3).
COMPUTE PAYS="TOT".
SORT CASES BY ORDRE(A) PAYS(A) .
SAVE OUTFILE="C:\TEMP\TEMP2.SAV".
GET FILE="C:\PISA\2003\DATA\INT_STUI_2003.SAV".
SELECT IF
(CNT="AUS" | CNT="AUT" | CNT="BEL" | CNT="CAN" | CNT="CHE" | CNT="CZE" |
CNT="DEU" | CNT="DNK" | CNT="ESP" | CNT="FIN" | CNT="FRA" | CNT="GBR" |
CNT="GRC" | CNT="HUN" | CNT="ISL" | CNT="IRL" | CNT="ITA" | CNT="JPN" |
CNT="KOR" | CNT="LUX" | CNT="MEX" | CNT="NLD" | CNT="NZL" | CNT="NOR" |
CNT="POL" | CNT="PRT" | CNT="SVK" | CNT="SWE" | CNT="TUR" | CNT="USA") .
COMPUTE ORDRE=3.
STRING PAYS (A3).
COMPUTE PAYS="AVE".
SORT CASES BY ORDRE(A) PAYS(A) .
WEIGHT OFF.
AGGREGATE
/OUTFILE=* MODE=ADDVARIABLES
/BREAK=ORDRE CNT
/WGTSUM=SUM(W_FSTUWT) .
COMPUTE W_FSTUWT=(W_FSTUWT/WGTSUM)*1000.
DO REPEAT a= W_FSTR1 to W_FSTR80.
COMPUTE a=(a/WGTSUM)*1000.
END REPEAT.
SAVE OUTFILE="C:\TEMP\TEMP3.SAV" /DROP=WGTSUM.
ADD FILES
FILE="C:\TEMP\TEMP1.SAV"
FILE="C:\TEMP\TEMP2.SAV"
FILE="C:\TEMP\TEMP3.SAV" .
SORT CASES BY ORDRE(A) PAYS(A) CNT(A) SCHOOLID(A) STIDSTD(A) .
SAVE OUTFILE="C:\TEMP\FUSION.SAV" .

```



In order to avoid such repetitions, it is possible to duplicate three times the data for the OECD countries in such a way that the procedure directly provides the estimates for each country, as well as the pooled OECD total and the pooled OECD average estimates.

Box 12.3 presents the SPSS® syntax for the generation of such datasets. It consists of the following steps:

- Create a file (TEMP1.SAV) with a new categorical variable, denoted ORDRE, which separates OECD and the partner countries; a value of 1 for OECD countries, a value of 4 for the partner countries. Also, create an alphanumerical variable, denoted PAYS, and set it as CNT.
- Create a file (TEMP2.SAV) by selecting only OECD countries, set the variable OECD as 2, set the PAYS variable as TOT.
- Create a file (TEMP3.SAV) by selecting only the OECD countries. Add within this file the sum of the weight per country and transform the final student weights in such a way that the sum per country is equal to 1 000. Apply the same linear transformation to the 80 replicates. Set the PAYS variable as AVE and the OECD variable as 3.
- Merge the three files and then save these new data into a new data file.

SPSS® macros presented in the previous chapters can be applied to this new data file. The breakdown variables are now ORDRE and PAYS. The output data file will contain two additional rows for the pooled OECD average and the pooled OECD total. The first 30 rows will be the results of OECD countries. The next two rows will present the pooled OECD total and the pooled OECD average estimates. Finally, the last rows will present the estimates for the partner countries.

With the increasing numbers of partner countries and of countries that are oversampling for subnational adjudication, the file with duplicates might contain more than 500 000 records. In terms of computing time, this procedure might become less efficient than estimating separately the pooled OECD total and the pooled OECD average. However, creating a file with duplicates might be required if the difference between an OECD country and the pooled OECD total or the pooled OECD average need to be statistically tested.

COMPARISONS BETWEEN THE POOLED OECD TOTAL OR POOLED OECD AVERAGE ESTIMATES AND A COUNTRY ESTIMATE

As a reminder, only OECD countries that are fully adjudicated contribute to the OECD average and the OECD total estimates and their respective standard errors. Therefore, the expected value of the covariance between a country sampling variance and the OECD aggregate sampling variance will differ from 0 if the country's values are included in the OECD aggregate values, because the two are not independent. Indeed, if the sampling variance of one country increases, then the OECD aggregate sampling variance will also increase.

If a researcher wants to test the null hypothesis between an OECD country and the OECD aggregate estimate, then the covariance should be estimated, as explained in Chapter 11. Since the covariance is expected to be positive, then the correct standard error estimate should be smaller than the standard error obtained from the formulae.

Since partner countries do not contribute at all to the OECD aggregate estimates, estimating the covariance is not necessary. The standard error on the difference can be directly obtained from the country standard error and the aggregate standard error.



Table 12.4 provides:

- the country mean performance in mathematics as well as the pooled OECD total and the pooled OECD average in PISA 2003;
- the standard error on these mean estimates;
- the difference between the country mean and the pooled OECD total;
- the standard error on this difference, using the formula provided in Chapter 10, *i.e.* without an estimation of the covariance;
- the standard error on this difference, using the replicates, *i.e.* with an estimation of the covariance;
- the difference between the country mean and the pooled OECD average;
- the standard error on this difference, using the formula provided in Chapter 10, *i.e.* without an estimation of the covariance;
- the standard error on this difference, using the replicates, *i.e.* with an estimation of the covariance.

Table 12.4
**Difference between the country mean scores in mathematics and the OECD total and average
(PISA 2003)**

	Mean score		Difference from the OECD total		Difference from the OECD average			
	Mean	S.E.	Dif.	S.E. without the covariance estimates	S.E. with the covariance estimates	Dif.	S.E. without the covariance estimates	S.E. with the covariance estimates
OECD								
Australia	524	2.2	35	2.4	2.1	24	2.2	2.0
Austria	506	3.3	17	3.4	3.5	6	3.3	3.3
Belgium	529	2.3	40	2.5	2.4	29	2.4	2.2
Canada	532	1.8	43	2.1	2.1	32	1.9	2.0
Czech Republic	516	3.6	27	3.7	3.9	16	3.6	3.5
Denmark	514	2.7	25	3.0	3.0	14	2.8	2.7
Finland	544	1.9	55	2.2	2.1	44	2.0	1.9
France	511	2.5	22	2.7	2.5	11	2.6	2.5
Germany	503	3.3	14	3.5	3.4	3	3.4	3.3
Greece	445	3.9	-44	4.1	3.9	-55	4.0	3.8
Hungary	490	2.8	1	3.0	3.2	-10	2.9	3.0
Iceland	515	1.4	26	1.8	1.8	15	1.6	1.5
Ireland	503	2.5	14	2.7	2.6	3	2.5	2.4
Italy	466	3.1	-23	3.3	3.1	-34	3.1	3.0
Japan	534	4.0	45	4.2	3.9	34	4.1	3.9
Korea	542	3.2	53	3.4	3.3	42	3.3	3.2
Luxembourg	493	1.0	4	1.5	1.5	-7	1.2	1.2
Mexico	385	3.6	-104	3.8	3.6	-115	3.7	3.6
Netherlands	538	3.1	49	3.3	3.2	38	3.2	3.1
New Zealand	523	2.3	34	2.5	2.4	23	2.3	2.3
Norway	495	2.4	6	2.6	2.7	-5	2.5	2.4
Poland	490	2.5	1	2.7	2.8	-10	2.6	2.5
Portugal	466	3.4	-23	3.6	3.3	-34	3.5	3.2
Slovak Republic	498	3.4	9	3.5	3.5	-2	3.4	3.3
Spain	485	2.4	-4	2.6	2.6	-15	2.5	2.5
Sweden	509	2.6	20	2.8	2.5	9	2.6	2.4
Switzerland	527	3.4	38	3.6	3.5	27	3.4	3.4
Turkey	423	6.7	-66	6.8	6.5	-77	6.8	6.5
United Kingdom	508	2.4	19	2.7	2.4	8	2.5	2.4
United States	483	3.0	-6	3.1	2.4	-17	3.0	2.9
OECD total	489	1.1						
OECD average	500	0.6						
Partners								
Brazil	356	4.8	-133	5.0	4.9	-144	4.9	4.8
Hong Kong-China	550	4.5	61	4.7	4.8	50	4.6	4.7
Indonesia	360	3.9	-129	4.1	4.0	-140	4.0	3.9
Latvia	483	3.7	-6	3.8	3.9	-17	3.7	3.8
Liechtenstein	536	4.1	47	4.3	4.2	36	4.2	4.1
Macao-China	527	2.9	38	3.1	3.1	27	3.0	2.9
Russian Federation	468	4.2	-21	4.3	4.5	-32	4.2	4.3
Serbia	437	3.8	-52	3.9	3.9	-63	3.8	3.8
Thailand	417	3.0	-72	3.2	3.4	-83	3.1	3.2
Tunisia	359	2.5	-130	2.8	2.6	-141	2.6	2.6
Uruguay	422	3.3	-67	3.5	3.4	-78	3.4	3.3



The correct standard error estimates are in bold in Table 12.4. The differences between the biased and unbiased estimates for OECD countries are not very large.

The differences for partner countries are not very large either. As the expected covariance for partner countries are 0, both standard errors are on average unbiased. However, it is recommended to use the standard error directly obtained with the formula.

COMPARISONS BETWEEN THE ARITHMETIC OECD TOTAL OR ARITHMETIC OECD AVERAGE ESTIMATES AND A COUNTRY ESTIMATE

The standard error on a difference between the arithmetic OECD total and an OECD country estimate can be mathematically computed as follows:¹

$$SE_{(OECD_TOT-J)}^2 = \frac{\sum_{i=1}^C w_i^2 SE_i^2 + \left[\left(\sum_{i=1}^C w_i - w_j \right)^2 - w_j^2 \right] SE_j^2}{\left[\sum_{i=1}^C w_i \right]^2}$$

with C being the number of OECD countries, SE_j being the standard error for country j θ parameter estimate, w_i being the sum of the student final weights for a particular country i and w_j being the sum of the student final weights for a particular country j .

In this formula, it can be observed that the first block on the right side of the equation, i.e. $\left[\sum_{i=1}^C w_i \right]^2$, is equivalent to the equation for the sampling variance for the arithmetic OECD total presented at the beginning of this chapter.

The standard error on a difference between the arithmetic OECD average and an OECD country estimate can be mathematically computed as follows (Gonzalez, 2003):

$$SE_{(OECD_AV-J)}^2 = \frac{\sum_{i=1}^C SE_i^2 + [(C-1)^2 - 1] SE_j^2}{C^2}$$

with C being the number of OECD countries and SE_j being the standard error for country j θ parameter estimate.

In this formula, it can be observed that the first block on the right side of the equation, i.e. $\frac{\sum_{i=1}^C SE_i^2}{C^2}$, is equivalent to the equation for the sampling variance for the arithmetic OECD average presented at the beginning of this chapter.

CONCLUSION

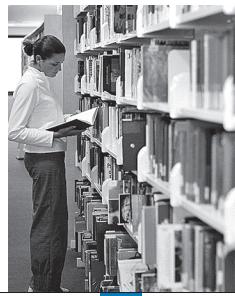
This chapter discussed the concepts of OECD total and OECD average. First, the pooled OECD total and the arithmetic OECD total as well as the pooled OECD average and the arithmetic OECD average were introduced. The “pooled” and “arithmetic” estimates should be the same, as far as no data are missing, for the simple statistics such as mean and percentage, but these are different for the statistics which involves the notion of variation or relationship (e.g. standard deviation and correlation coefficient).

The second section presented the recoding of the database in order to estimate the pooled OECD total and the pooled OECD average. The SPSS® syntax for creating a larger dataset was also provided.

Finally, following the issues raised in the previous chapter devoted to comparisons, any comparison that involves a particular country and an OECD aggregate estimate was discussed.

**Note**

1. The derivation assumes that the population size is known, which is not the case in PISA. However, this variance is a “second order” contribution to the standard error that it is estimating and can therefore be ignored.



13

Trends

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INTRODUCTION

Policy makers and researchers require information on how indicators change over time. An analysis of the impact of reforms on the education system, would be an example, where policy makers would seek to measure changes in the targeted area to gauge the effectiveness of their policies. In the early 1960s, for example, most OECD countries implemented education reforms to facilitate access to tertiary education, mainly through financial help. One indicator of the impact of these reforms would be to calculate the percentage of the population with a tertiary qualification for several years to show how this has evolved. Computing this trend indicator is a straightforward statistical manipulation, since the measure (*i.e.* whether or not an individual has completed tertiary education) is objective and available at the population level, in most cases. Nevertheless, such measures can be slightly biased by, for example, differing levels of immigration over a period of time, student exchange programmes, and so on.

Trends over time on a particular indicator do require careful interpretation. Policy makers also need to take into account changes to the economic context of a country, such as rising unemployment rates. Further, when comparing trend indicators across countries, it is important to consider how comparable the definition of the indicator is from country to country, *e.g.* tertiary education might mean something different in each country.

PISA offers a unique opportunity to extend the computation of trend indicators on educational outcomes by looking at student performance in reading, mathematical and scientific literacy.

For the trend measures to be reliable, the comparability of the target population, the data collection procedures, and the assessment framework need to be consistent over time. Being able to use the results from PISA as trend indicators is one of its major aims.

Since its inception, PISA has maintained a consistent methodology of data collection. A few small methodological changes have been introduced, however: (*i*) limitation of the target population to 15-year-olds attending grade 7 or above;¹ (*ii*) modification of the student non-response adjustment for counterbalancing gender and grade differential participation rates; (*iii*) revision of the test design between 2000 and 2003.

Other changes were planned when PISA was designed: (*i*) shifts between major/minor domains; and (*ii*) revision/broadening of the assessment framework for the major domain. The changes made in the assessment frameworks have limited the use and the interpretation of the trend indicators in mathematics between 2000 and 2003 and in science between 2003 and 2006.

Figure 13.1 summarises the available trend indicators on student performance for the first three data collections. In reading literacy, the combined scale was constructed in PISA 2000 and later reading assessments were reported on this scale in PISA 2003 and PISA 2006. In PISA 2003 mathematics was the major domain, and the mathematics assessment framework was broadened from two overarching ideas included in PISA 2000 to four overarching ideas.² A new combined mathematic scale was constructed in PISA 2003 and two trends scales, provided in a separate database, were computed for the two overarching ideas assessed in both PISA 2000 and PISA 2003. Mathematics reporting scales are directly comparable for PISA 2003 and PISA 2006. For science, a new metric was established in PISA 2006. As mentioned in the *PISA 2006 Technical Report* (OECD, forthcoming), a science link was prepared to permit a comparison of the PISA 2006 science results with the science results in previous data collections. The science link scale provides the results for PISA 2003 and PISA 2006 using only those items that were common to the two PISA studies.

In Figure 13.1, black arrows indicate the data that are on a common scale. For instance, the plausible values for reading literacy, denoted PV1READ to PV5READ in the three international databases, are on a common scale. Trends can therefore be computed directly. Blue arrows indicate the data that are not on a common scale. For instance, the plausible values for science denoted PV1SCIE to PV5SCIE in the PISA 2003



and in the PISA 2006 databases, are not on a common scale. However, the PISA 2000 and the PISA 2003 science plausible values are on a common scale. Trends can therefore be computed in science between PISA 2000 and PISA 2003 without any precautions.

Figure 13.1
Trend indicators in PISA 2000, PISA 2003 and PISA 2006

			Black arrows indicate the data that are on a common scale	Blue arrows indicate the data that are not on a common scale	
			Reading literacy	Mathematic literacy	Science literacy
PISA 2000	Major domain		Minor domain	Minor domain	Minor domain
PISA 2003	Minor domain		Major domain	Minor domain	Minor domain
PISA 2006	Minor domain		Minor domain	Minor domain	Major domain

Behind these preliminary precautions, the computation of trend indicators in PISA raises two statistical issues:

1. PISA collects data on a sample and therefore any statistic has to be associated with a sampling error. The next section will discuss how to compute such sampling error on a trend indicator.
2. As implicitly represented in Figure 13.1, there are three test-design contexts for trend indicators: *(i)* the *major domain – minor domain* context; *(ii)* the *minor domain – minor domain* context; and *(iii)* the *major domain – minor domain* context. As described previously, with the last context, scales are not on the same metrics and additional data are required for the computation of trends. With the first context, *i.e. major domain – minor domain*, only a subset of items is included to ensure a psychometric link while usually the same anchor items are used in the second context, *i.e. minor domain – minor domain*. As one can easily imagine, selecting other anchor items would have returned slightly different results on the trend performance indicators. It follows that any comparison between two PISA cycles in the student performance will require an addition of another error component, *i.e.* the item sampling error.

THE COMPUTATION OF THE STANDARD ERROR FOR TREND INDICATORS ON VARIABLES OTHER THAN PERFORMANCE

For any country, the PISA samples of two cycles are independent. Therefore, the standard error on any trend indicator not involving achievement variables can be computed as follows:

$$\sigma_{(\hat{\theta}_{2003} - \hat{\theta}_{2000})} = \sqrt{\sigma_{(\hat{\theta}_{2003})}^2 + \sigma_{(\hat{\theta}_{2000})}^2}, \text{ with } \theta \text{ representing any statistic.}$$

However, the computation of a difference between two PISA cycles and its standard error are relevant only if the two measures are identical. For instance, in the PISA databases, there are several indices derived from the student questionnaires with exactly the same variable names (for instance, HEDRES for Home Educational Resources, BELONG for the student's sense of belonging to the school, and so on). The questions that were used to derive these indices have not changed, but as the scaling was done independently, there is no guarantee that the PISA 2000, PISA 2003 and PISA 2006 metrics are comparable. Further, these indices were standardised at the OECD level to get a mean of 0 and a standard deviation of 1. The standardisation differs between cycles. It is therefore not recommended to compute trend indicators on contextual questionnaire-derived indices.



The Highest International Social and Economic Index (denoted HISEI in the databases) satisfies all the conditions for the computation of trend indicators. Indeed, the questions were not changed and the transformation used on the International Standard Classification of Occupations (ISCO) categories has been implemented without any modification in the three cycles.

Table 13.1 presents, by country, the mean estimate of HISEI and its standard error for PISA 2000 and PISA 2003, as well as the difference between the two estimates, the standard error of this difference and the standardised difference, *i.e.* the difference divided by its standard error.

For Germany (DEU), the means for HISEI in PISA 2000 and PISA 2003 are equal to 48.85 and 49.33 respectively. The difference between these two data collections is therefore equal to:

$$49.33 - 48.85 = 0.48$$

The standard errors on these mean estimates are equal to 0.32 and 0.42. The standard error on the difference estimate is equal to:

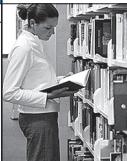
$$\sigma_{(\hat{\theta}_{2003} - \hat{\theta}_{2000})} = \sqrt{\sigma_{(\hat{\theta}_{2000})}^2 + \sigma_{(\hat{\theta}_{2003})}^2} = \sqrt{(0.32)^2 + (0.42)^2} = 0.53$$

The standardised difference, *i.e.* the difference estimate divided by its standard error, is equal to:

$$\frac{0.48}{0.53} = 0.91$$

Table 13.1
Trend indicators between PISA 2000 and PISA 2003 for HISEI, by country

	PISA 2000		PISA 2003		Difference between PISA 2003 and PISA 2000		
	Mean	S.E.	Mean	S.E.	Dif.	S.E.	STD difference
AUS	52.25	(0.50)	52.59	(0.30)	0.34	(0.58)	0.59
AUT	49.72	(0.29)	47.06	(0.52)	-2.66	(0.59)	-4.49
BEL	48.95	(0.39)	50.59	(0.38)	1.65	(0.54)	3.05
CAN	52.83	(0.22)	52.58	(0.27)	-0.25	(0.35)	-0.73
CHE	49.21	(0.53)	49.30	(0.43)	0.09	(0.68)	0.13
CZE	48.31	(0.27)	50.05	(0.34)	1.74	(0.44)	3.98
DEU	48.85	(0.32)	49.33	(0.42)	0.48	(0.53)	0.91
DNK	49.73	(0.43)	49.26	(0.45)	-0.47	(0.63)	-0.75
ESP	44.99	(0.62)	44.29	(0.58)	-0.70	(0.85)	-0.83
FIN	50.00	(0.40)	50.23	(0.36)	0.23	(0.54)	0.42
FRA	48.27	(0.44)	48.66	(0.47)	0.39	(0.64)	0.61
GBR	51.26	(0.35)	49.65	(0.39)	-1.61	(0.52)	-3.07
GRC	47.76	(0.60)	46.94	(0.72)	-0.83	(0.93)	-0.88
HUN	49.53	(0.47)	48.58	(0.33)	-0.95	(0.57)	-1.65
IRL	48.43	(0.48)	48.34	(0.49)	-0.09	(0.69)	-0.13
ISL	52.73	(0.28)	53.72	(0.26)	0.99	(0.38)	2.62
ITA	47.08	(0.31)	46.83	(0.38)	-0.24	(0.49)	-0.50
JPN	50.54	(0.62)	49.98	(0.31)	-0.56	(0.69)	-0.80
KOR	42.80	(0.42)	46.32	(0.36)	3.52	(0.55)	6.36
LUX	44.79	(0.27)	48.17	(0.22)	3.38	(0.35)	9.76
MEX	42.48	(0.68)	40.12	(0.68)	-2.37	(0.96)	-2.46
NLD	50.85	(0.47)	51.26	(0.38)	0.42	(0.61)	0.68
NOR	53.91	(0.38)	54.63	(0.39)	0.72	(0.54)	1.33
NZL	52.20	(0.37)	51.46	(0.36)	-0.74	(0.51)	-1.45
POL	46.03	(0.47)	44.96	(0.34)	-1.07	(0.58)	-1.85
PRT	43.85	(0.60)	43.10	(0.54)	-0.75	(0.81)	-0.92
SWE	50.57	(0.39)	50.64	(0.38)	0.07	(0.55)	0.12
USA	52.40	(0.79)	54.55	(0.37)	2.15	(0.87)	2.47



As the standardised difference is included in the interval [-1.96; 1.96], the difference on the mean estimate for HISEI between PISA 2000 and PISA 2003 is not statistically different from 0 with a type I error of 0.05.

Table 13.1 shows that the difference is statistically different from 0 in nine countries: Austria, Belgium, the Czech Republic, Iceland, Korea, Luxembourg, Mexico, the United Kingdom and the United States.

It would be unrealistic to consider these differences as simply a reflection of social and economic changes in these nine countries. Over a period of three years, some changes can occur, but these could not explain by themselves the size of the observed increases or decreases.

It is also possible that the quality of the samples might explain some of the differences. As the student propensity to participate positively correlate with his/her academic records and as on average low performers come from lower social background variables than high performers, an increase or a decrease in the student participation rates might affect the HISEI mean.

A change in the percentage of missing data for the HISEI variable would be another explanation that can be easily verified. On average, students who do not provide their parents' occupations are lower performers. Therefore, one should expect low socio-economic background characteristics, so that an increase of missing data could be associated with an increase of the HISEI mean, and the inverse.

In summary, changes in the school or student participation rates and in the distribution of missing data might sometimes increase the type I error, *i.e.* rejecting the null hypothesis while it is true. It is therefore recommended to implement some verification before trying to interpret calculated differences as a real change in the population characteristics.

THE COMPUTATION OF THE STANDARD ERROR FOR TREND INDICATORS ON PERFORMANCE VARIABLES

The Technical Reports of the PISA surveys (OECD, 2002; 2005; forthcoming) provide detailed information on the equating methods. These equating methods are usually based on a linear transformation. Such transformations that equate new data with previous data depend upon the change in the difficulty of each of the individual link items, and as a consequence, the sample of link items that have been chosen will influence the choice of the transformation. With an alternative set of link items, the transformation would be slightly different. The consequence is an uncertainty in the transformation due to the sampling of the link items. This uncertainty is referred to as the linking error and this error must be taken into account when making certain comparisons between the results from different PISA data collections.

Similar to the sampling error, the linking error can only be estimated. As the PISA items are clustered in units, mathematical developments for the computation of the linking error estimates are complex. The underlying rationale will therefore be presented on a fictitious example with independent items. Readers interested in the details of the linking error in PISA should consult the *PISA Technical Reports* (OECD, 2002; 2005; forthcoming).

An equating process supposes two data collections and a set of link items. For each link item, we have two item parameter estimates that are, after the application of the linear transformation, on the same metric. Some of these link items might show an increase of the relative difficulty, some might show a decrease, but on average, the difference is equal to 0. This means that some items seem more difficult in one data collection than they were in the other data collection, or the inverse.



Let $\hat{\delta}_i^1$ be the estimated difficulty of link i for the first data collection and let $\hat{\delta}_i^2$ be the estimated difficulty of link i for the second data collection, where the mean of the two sets difficulty estimates for all of the link items for a domain is set at 0. We now define the value:

$$c_i = \hat{\delta}_i^1 - \hat{\delta}_i^2$$

The value c_i is the amount by which item i deviates from the average of all link items in terms of the transformation that is required to align the two scales. If the link items are assumed to be a random sample of all possible link items and each of the items is counted equally, then the link error can be estimated as follows:

$$\text{Link_error} = \sqrt{\frac{1}{L} \sum_{i=1}^L c_i^2}$$

where the summation is over the link items for the domain and L is the number of link items.

Mathematically, this formula is equal to the one used for computing the sampling error on a population mean estimate.

If the item parameters from one calibration perfectly match the item parameters from the other calibration, then the relative difficulty of the link items would not have changed. All the differences between the relative difficulties would be equal to 0 and therefore, the linking error would be equal to 0.

As the differences in the item parameters increase, the variance of these differences will increase and consequently the linking error will increase. It makes sense for the uncertainty around the trend to be proportional to the changes in the item parameters.

Also, the uncertainty around the trend indicators is inversely proportional to the number of link items. From a theoretical point of view, only one item is needed to measure a trend, but with only one item, the uncertainty will be very large. If the number of link items increases, the uncertainty will decrease.

Table 13.2 presents the linking error estimates by subject domains and by comparison between data collections.

Table 13.2
Linking error estimates

Scales	Compared data collections	Linking errors
Reading combined scale	PISA 2000 – PISA 2003	5.307
	PISA 2000 – PISA 2006	4.976
	PISA 2003 – PISA 2006	4.474
Mathematics combined scale	PISA 2003 – PISA 2006	1.382
Interim science scale	PISA 2000 – PISA 2003	3.112
Science scale	PISA 2003 – PISA 2006	4.963

A common transformation has been estimated from the link items, and this transformation is applied to all participating countries. It follows that any uncertainty that is introduced through the linking is common to all students and all countries. Thus, for example, suppose that the linking error between PISA 2000 and PISA 2003 in reading resulted in an overestimation of student scores by two points on the PISA 2000 scale. It follows that every student's score would be overestimated by two score points. This overestimation will have effects on certain, but not all, summary statistics computed from the PISA 2003 data. For example, consider the following:



- Each country's mean would be overestimated by an amount equal to the link error, in our example this is two score points;
- The mean performance of any subgroup would be overestimated by an amount equal to the linking error, in our example this is two score points;
- The standard deviation of student scores would not be affected because the over-estimation of each student by a common error does not change the standard deviation;
- The difference between the mean scores of two countries in PISA 2003 would not be influenced because the over-estimation of each student by a common error would have distorted each country's mean by the same amount;
- The difference between the mean scores of two groups (e.g. males and females) in PISA 2003 would not be influenced, because the overestimation of each student by a common error would have distorted each group's mean by the same amount;
- The difference between the performance of a group of students (e.g. a country) between PISA 2000 and PISA 2003 would be influenced because each student's score in PISA 2003 would be influenced by the error; and
- A change in the difference between two groups from PISA 2000 to PISA 2003 would not be influenced. This is because neither of the components of this comparison, which are differences in scores in PISA 2000 and PISA 2003 respectively, is influenced by a common error that is added to all student scores in PISA 2003.

In general terms, the linking error need only be considered when comparisons are being made between results from different data collections, and then usually when group means are being compared.

The most obvious example of a situation where there is a need to use the linking error is in the comparison of the mean performance for a country between two data collections.

In PISA 2000, the mean in reading literacy for Germany is equal to 483.99 with a standard error of 2.47. In PISA 2003, the mean for Germany is equal to 491.36 and the standard error is equal to 3.39. The difference between PISA 2003 and PISA 2000 is therefore equal to $491.36 - 483.99 = 7.37$. The average performance of German students has therefore increased by 7.37 scores on the PISA 2000 reading scale from PISA 2000 to PISA 2003.

The standard error on this difference, as mentioned previously, is influenced by the linking error. The standard error is therefore equal to:

$$SE = \sqrt{\sigma_{(\mu_{2000})}^2 + \sigma_{(\mu_{2003})}^2 + \sigma_{(\text{linking_error})}^2}$$

$$SE = \sqrt{(2.47)^2 + (3.39)^2 + (5.31)^2} = 6.77$$

As the standardised difference between PISA 2000 and PISA 2003, *i.e.* $7.37/6.77$, is included in the interval [-1.96; 1.96], the null hypothesis of no difference is not rejected. In other words, Germany's performance in reading has not changed between PISA 2000 and PISA 2003.

Table 13.3 provides the estimates of the reading performance in Germany by gender in PISA 2000 and PISA 2003, with their respective standard errors, as well as the difference in the mean performance and their respective standard errors.



Table 13.3
Mean performance in reading by gender in Germany

		Performance in reading	S.E.
PISA 2003	Females	512.93	3.91
	Males	470.80	4.23
	Difference	42.13	4.62
PISA 2000	Females	502.20	3.87
	Males	467.55	3.17
	Difference	34.65	5.21

As the comparison for a particular country between PISA 2000 and PISA 2003 is affected by the linking error, the comparison for a particular subgroup between PISA 2000 and PISA 2003 is also affected by the linking error. Therefore, the standard error has to include the linking error.

The trend indicators for males and females in Germany are, respectively, equal to:

$$Trends_{females} = 512.93 - 502.20 = 10.73$$

$$SE_{females} = \sqrt{(3.91)^2 + (3.87)^2 + (5.31)^2} = 7.65$$

$$Trends_{males} = 470.80 - 467.55 = 3.25$$

$$SE_{males} = \sqrt{(4.23)^2 + (3.17)^2 + (5.31)^2} = 7.49$$

Both differences are not statistically different from 0.

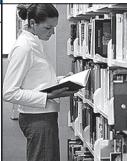
On the other hand, the gender difference in PISA 2003 is not affected by the linking error. Indeed, both subgroup estimates will be underestimated or overestimated by the same amount and therefore the computation of the difference will neutralise this difference. Consequently, the trend indicator on the gender difference and its standard error will be equal to:

$$Trends_{Gender_dif} = 42.13 - 34.65 = 7.43$$

$$SE_{Gender_dif} = \sqrt{(4.62)^2 + (5.21)^2} = 6.96$$

This means that the change in gender difference in Germany for reading between PISA 2000 and PISA 2003 was not statistically significant, even though it appears from Table 13.3 to have widened considerably.

In the PISA initial reports, student performance is also reported by proficiency levels (see Chapter 9). As the linking error affects the country mean estimates, the percentages of students at each level will also be affected. However, an overestimation or an underestimation of the results of X points on one PISA scale will have a different impact on the percentages of students at each proficiency level for each country. If the percentage is small, then the impact will be small. If the percentage is large, then the impact will be larger. It would have been too complex to provide a linking error for each country and for each proficiency level. It was therefore decided not to take into account the linking error for the comparison of percentages of students at each proficiency level between two PISA data collections. This means that the standard errors on the difference between 2000 and 2003 are underestimated.



CONCLUSION

This chapter was devoted to the computation of the standard error on trend indicators. The comparison of any variable other than performance variables is straightforward as the national PISA samples for two cycles are independent. However, such comparisons are only relevant if the measures are comparable from one cycle to another.

The comparison of performance mean estimates is more complex as it might require the inclusion of the linking error in the standard error depending on the statistic. For instance, Table 2.1.d in the PISA 2003 initial report (OECD, 2004) presents the trends in mathematics/space and shape average performance between PISA 2000 and PISA 2003. The trend indicator has integrated the linking error in its standard error. However, Figure 2.6c in the PISA 2003 initial report (OECD, 2004) presents the trends between PISA 2000 and PISA 2003 on the 5th, 10th, 25th, 75th, 90th and 95th percentiles. As mentioned previously, it would require a specific linking error for each percentile and for each country. For that reason, the linking error was not integrated in the standard error of these trends.

Due to the growing interest in trend indicators and their political impacts, it is essential to interpret significant changes with caution. A significant change might simply be due to a difference in the school or student participation rate, in the pattern of missing data or in the composition of the test. For instance, changing the repartition of item types (multiple choice versus open-ended items) might have an impact on the gender difference estimates.

Notes

1. This was introduced from PISA 2003. In PISA 2000, only a very small percentage of 15-year-olds were attending grade 5 or grade 6 (Austria: 0.03%; Canada: 0.03%; Czech Republic: 0.06%; Germany: 0.02%; Hungary: 0.59%; Latvia: 0.27%; Portugal: 1.25%; and Russia: 0.04%). Therefore, except for Portugal, the change in the target population should not significantly affect trend indicators.

2. Four overarching ideas consist of *space and shape; change and relationships; quantity; and uncertainty*. *Space and shape* and *change and relationships* were covered in PISA 2000.



14

Studying the Relationship between Student Performance and Indices Derived from Contextual Questionnaires

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INTRODUCTION

The PISA initial reports have used the following tools to describe the relationship between student performance and questionnaire indices: (i) dividing the questionnaire indices into quarters and then reporting the mean performance by quarter; (ii) the relative risk; (iii) the effect size; and (iv) the linear regression. This chapter discusses technical issues related to these four tools and presents some SPSS® macros that facilitate their computation.

ANALYSES BY QUARTERS

As described in Chapter 5, the indices derived from questionnaire data were generated with the Rasch Model, and students' estimates were reported with the *weighted likelihood estimates* (WLEs). As previously mentioned, WLE individual estimates constitute a discontinuous variable. Indeed, with the Rasch Model, the raw score is a sufficient statistic.

Table 14.1 presents the distribution of the questionnaire index of **cultural possessions at home** from the PISA 2006 data in Luxembourg. This table shows the discontinuous character of the variable.

Table 14.1
**Distribution of the questionnaire index of cultural possession at home
 in Luxembourg (PISA 2006)**

WLE	%
-1.60	17.52
-1.57	0.13
-1.49	0.10
-0.93	0.13
-0.84	0.02
-0.58	24.53
-0.50	0.27
-0.23	0.02
-0.20	0.15
-0.16	0.38
0.13	0.14
0.21	23.74
0.50	0.07
0.58	0.82
1.11	0.09
1.19	0.05
1.23	31.84

The cultural-possession-at-home scale consists of three dichotomous items. There are therefore four possible scores, ranging from 0 to 3. Thus, 98% of the students ($17.52 + 24.53 + 23.74 + 31.84$) are distributed among four WLEs. All the other WLEs, with negligible percentages, represent response patterns with at least one missing value.

How can this distribution be divided into four quarters, especially given that approximately 32% of the students have the highest WLE?

There are two ways of recoding the data:

1. smaller versus equal and greater;
2. smaller and equal versus greater.

Depending on the procedure adopted, the percentages of students in the bottom quarter, second quarter, third quarter, and top quarter will vary. Further, neither of these two procedures generate four equal quarters of 25% students each. Since the percentages of students in each quarter can vary among countries, no international comparisons can be made.



It is therefore necessary to distribute the students with a WLE equal to one of the three cutpoint percentiles into the two respective adjacent quarters. For instance, if 31.84% of the students get a score equal to percentile 75, it is then necessary to sample 6.84% of these students who will be allocated to the third quarter. The remaining 25% will be allocated to the fourth quarter.

This random subsampling process is implemented by adding a small random variable to the questionnaire index. That random noise will in a sense transform this discontinuous variable into a pseudo-continuous variable. The three new percentiles on this pseudo-continuous variable will divide the index variable into quarters, each including exactly 25% of the students.

This random allocation of some parts of the population to one of the four quarters adds an error component to the standard error. Indeed, in the example, the composition of the 6.84% of the students allocated to the third quarter is likely to differ between two runs of the procedure.

To account for this new error component, the statistical approach adopted for the analyses of plausible values can be implemented. It will therefore consist of:

- computing a set of five plausible quarters for each student;
- computing the required statistic and its respective sampling variance by using the final and 80 replicate weights, per plausible quarter;
- averaging the five estimates and their respective sampling variances;
- computing the imputation variance;
- combining the sampling variance and the imputation variance to obtain the final error variance.

As the dependent variable is exclusively, or nearly exclusively, a set of plausible values, the procedure described in Chapter 8 will be used, except that each plausible value will be analysed with a different plausible quarter.

Box 14.1 SPSS® syntax for the quarter analysis (e.g. PISA 2006)

```

GET FILE="C:\PISA\2006\DATA\INT_STU06_DEC07.SAV".
SELECT IF CNT="LUX".
SAVE OUTFILE="C:\TEMP\LUX.SAV".

INSERT FILE="C:\PISA\MACRO\MCR_SE_PV_WLEQRT.SPS".

OMSEND.

SET MPRINT=YES.
PVWLEQRT PV=PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE /
    WLE=CULTPOSS/
    STAT=MEAN/
    INFILE=C:\TEMP\LUX.SAV/
    LIMIT_CRITERIA=100 10 5 1/          /* default=0 */
    CNT=CNT/                           /* is default */
    GRP=NOGRP/                         /* is default */
    PSU=SCHOOLID/                     /* is default */
    WGT=W_FSTUWT/                      /* is default */
    RWGT=W_FSTR/                       /* is default */
    NREP=80/                            /* is default */
    CONS=0.05/.                         /* is default */

/* POSSIBLE STATISTICS ARE: MEAN, SD, MIN, MAX, MEDIAN */.

```



A SPSS® macro was developed to facilitate these computations, as largely used in the three PISA initial reports. Box 14.1 presents the SPSS® syntax and Table 14.2 the output data file.

The arguments of the quarter SPSS® macro have been extensively described in other chapters. The output data file contains: (i) the mean of the contextual index (the independent variable) per quartile and its corresponding standard error; and (ii) the average performance in science (the dependent variable) and its standard errors.

Table 14.2
Output data file from Box 14.1

CNT	Q_CULTPOSS	INDEX_STAT	INDEX_SE	PV_STAT	PV_SE	FLAG_STD	FLAG_SCH	FLAG_PCT
LUX	1	-1.31	0.01	451.40	3.09	0	0	0
LUX	2	-0.35	0.01	471.64	3.37	0	0	0
LUX	3	0.51	0.01	494.24	3.00	0	0	0
LUX	4	1.23	0.00	531.71	3.52	0	0	0

THE CONCEPT OF RELATIVE RISK

The notion of relative risk is a measure of association between an antecedent factor and an outcome factor (Cornfield, 1951). The relative risk is simply the ratio of two risks, *i.e.* the risk of observing the outcome when the antecedent is present, and the risk of observing the outcome when the antecedent is not present.

Table 14.3 presents the notation that will be used.

$P_{..}$ is equal to $\frac{n_{..}}{n_{..}}$, with $n_{..}$ the total number of students and $P_{..}$ is therefore equal to 1; $P_i.$, $P_j.$ respectively represent the marginal probabilities for each row and for each column. The marginal probabilities are equal to the marginal frequencies divided by the total number of students. Finally, the P_{ij} values represent the probabilities for each cell and are equal to the number of observations in a particular cell divided by the total number of observations.

Table 14.3
Labels used in a two-way table

		Outcome measure		
		Yes	No	Total
Antecedent measure	Yes	p_{11}	p_{12}	$p_{1..}$
	No	p_{21}	p_{22}	$p_{2..}$
	Total	$p_{.1}$	$p_{.2}$	$p_{..}$

In this chapter, the conventions for the two-way table are:

- The rows represent the antecedent factor with:
 - the first row having the antecedent; and
 - the second row not having the antecedent.
- The columns represent the outcome with:
 - the first column having the outcome; and
 - the second column not having the outcome.



With these conditions, the relative risk is equal to:

$$RR = \frac{(p_{11} / p_{1.})}{(p_{21} / p_{2.})}$$

Let's suppose that a psychologist wants to analyse the risk of a student repeating a grade if the parents recently divorced. The psychologist draws a simple random sample of students in grade 10. In this example, the outcome variable is present if the child is repeating grade 10 and the antecedent factor is considered present if the student's parents divorced in the past two years. The results are found in Table 14.4 and Table 14.5.

Table 14.4
Distribution of 100 students by parents' marital status and grade repetition

	Repeat the grade	Does not repeat the grade	Total
Parents divorced	10	10	20
Parents not divorced	5	75	80
Total	15	85	100

Table 14.5
Probabilities by parents' marital status and grade repetition

	Repeat the grade	Does not repeat the grade	Total
Parents divorced	0.10	0.10	0.20
Parents not divorced	0.05	0.75	0.80
Total	0.15	0.85	1.00

The relative risk is therefore equal to:

$$RR = \frac{(p_{11} / p_{1.})}{(p_{21} / p_{2.})} = \frac{(0.10 / 0.20)}{(0.05 / 0.80)} = \frac{0.5}{0.0625} = 8$$

This means that the probability of repeating grade 10 is eight times greater if the parents recently divorced than if they had stayed together.

Instability of the relative risk

The relative risk was developed for dichotomous variables. More and more often, this coefficient is extended and is used with continuous variables. However, to apply the coefficient to continuous variables, a cutpoint needs to be set for each variable and the continuous variables need to be dichotomised.

It is important to recognise that when applied to dichotomised variables, the computed values of the relative risk will depend on the value of the chosen cutpoint.

Table 14.6
Relative risk for different cutpoints

Percentile	Relative risk
10	2.64
15	2.32
20	1.90
25	1.73
30	1.63

To demonstrate the influence of the cutpoint on the relative risk, two random variables were generated with a correlation of 0.30. These two variables were then transformed into dichotomous variables by using the 10th, 15th, 20th, 25th and 30th percentiles respectively as cutpoints. Table 14.6 presents the relative risk for a range of choices for the cutpoints.



Table 14.6 shows that the relative risk coefficient is dependent on the setting of the cutpoints; thus, the values should be interpreted in light of this.

Such a comparison of the relative risks was computed for the PISA 2000 data to identify the changes depending on the cutpoint location. The antecedent factor was the mother's educational level and the outcome variable was student performance in reading. Low reading performance was successively defined within countries as being below the 10th, 15th, 20th, 25th, 30th and 35th percentiles.

In PISA 2000, the relative risks for these different cutpoints are on average (across OECD countries) equal to 2.20, 1.92, 1.75, 1.62, 1.53, and 1.46, respectively. In PISA, it was decided to use the 25th percentile as the cutpoint for continuous variables when calculating the relative risk.

Computation of the relative risk

Depending on the variables involved in the computation of the relative risk, the procedure might differ. Indeed, the relative risk concept requires as input two dichotomous variables, such as gender.

However, most of the variables in the PISA databases are not dichotomous; they are categorical or continuous variables.

The recoding of a categorical into a dichotomous variable does not raise any specific issues. From a theoretical point of view, the purpose of the comparison needs to be decided; the recoding will follow. For instance, in PISA 2003, the education levels of the parents are reported by using the ISCED classification (OECD, 1999b). If the comparison is based on the distinction between tertiary versus non-tertiary education, then the categorical variable can be recoded into a dichotomous variable.

Numerical variables also have to be recoded into dichotomous variables. As stated earlier, the OECD has decided to divide numerical variables based on the 25th percentile.

If plausible values are involved as outcome measures, after the recoding of the five estimates of the student performance into dichotomous variables, five relative risks will be computed and then combined.

In the PISA databases however, most numerical variables are discontinuous variables. To ensure that the 25th percentile will divide the variables into two categories that will include 25% and 75% respectively, a random component has to be added to the initial variable, as described in the previous section on analyses per quarter. Five relative risk estimates are computed and then combined.

Box 14.2 presents the SPSS® syntax for computing the increased likelihood of the students in the bottom quarter of HISEI (international socio-economic index of occupational status) scoring the bottom quarter of the science performance distribution, with the PISA 2006 data in France. As HISEI is a discontinuous variable with a limited number of values, it is necessary to add a random component. This example therefore has five antecedent variables and five outcome variables.

The first macro devoted to the computation of the relative risk requires five dummy variables as antecedents and five dummy variables as outcomes. Value 1 will be assigned if the risk is present; otherwise the assigned value will be 0. Value 1 will also be assigned if the outcome is present; otherwise it will be 0. It is of prime importance to respect these application conditions. Inverting the values will not stop the macro running, but it will change the meaning of the results.

Table 14.7 shows that a student in the bottom quarter of the international socio-economic index of occupational status has 2.38 times more chance of appearing in the bottom quarter of the science performance distribution.



Box 14.2 SPSS® syntax for computing the relative risk with five antecedent variables and five outcome variables (e.g. PISA 2006)

```

GET FILE="C:\PISA\2006\DATA\INT_STU06_DEC07.SAV".
SELECT IF CNT="FRA".
RECODE ST04Q01 (1=0) (2=1) (ELSE=SYSMIS) INTO GENDER.
IF (INTSCIE<=0) INT=1.
IF (INTSCIE>0) INT=0.

SET SEED=1.
COMPUTE SES1=HISEI+RV.NORMAL(0,0.01).
SET SEED=2.
COMPUTE SES2=HISEI+RV.NORMAL(0,0.01).
SET SEED=3.
COMPUTE SES3=HISEI+RV.NORMAL(0,0.01).
SET SEED=4.
COMPUTE SES4=HISEI+RV.NORMAL(0,0.01).
SET SEED=5.
COMPUTE SES5=HISEI+RV.NORMAL(0,0.01).
EXE.

WEIGHT BY W_FSTUWT.
FRE PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE SES1 SES2 SES3 SES4 SES5 /
FORMAT=NOTABLE /PERCENTILES=25.

COMPUTE PV25_1=(PV1SCIE<=421.6089).
COMPUTE PV25_2=(PV2SCIE<=425.1523).
COMPUTE PV25_3=(PV3SCIE<=424.2198).
COMPUTE PV25_4=(PV4SCIE<=422.5414).
COMPUTE PV25_5=(PV5SCIE<=426.0848).
COMPUTE SES25_1=(SES1<=34.0114).
COMPUTE SES25_2=(SES2<=34.0104).
COMPUTE SES25_3=(SES3<=34.0095).
COMPUTE SES25_4=(SES4<=34.0127).
COMPUTE SES25_5=(SES5<=34.0089).
EXE.

FRE PV25_1 PV25_2 PV25_3 PV25_4 PV25_5 SES25_1 SES25_2 SES25_3 SES25_4 SES25_5.
FORMATS GENDER INT PV25_1 PV25_2 PV25_3 PV25_4 PV25_5 SES25_1 SES25_2 SES25_3 SES25_4 SES25_5 (F1.0).
WEIGHT OFF.
SAVE OUTFILE="C:\TEMP\FRA.SAV".

*** RELATIVE RISK BETWEEN TWO SETS OF 5 DICHOTOMOUS VARIABLES (AT LEAST ONE SET IS BASED ON PLAUSIBLE VALUES) ***.

INSERT FILE="C:\PISA\MACRO\MCR_SE_RR_PV.SPS".

SET MPRINT=YES.
RelRisk_PV OUTCOME=PV25_1 PV25_2 PV25_3 PV25_4 PV25_5/
ANTECEDENT=SES25_1 SES25_2 SES25_3 SES25_4 SES25_5/
INFILE=C:\TEMP\FRA.sav/
GRP=NOGRP/ /* default is NOGRP */
WGT=W_FSTUWT/ /* is default */
RWGT=W_FSTR/ /* is default */
CONS=0.05/ /* is default */
NREP=80/. /* is default */

```

Table 14.7
Output data file from Box 14.2

CNT	STAT	SE
FRA	2.42	0.18

A second macro presented in Box 14.3 has been developed for analyses that involve only one antecedent variable and one outcome variable.



Box 14.3 SPSS® syntax for computing the relative risk with one antecedent variable and one outcome variable (e.g. PISA 2006)

```
INSERT FILE="C:\PISA\MACRO\MCR_SE_RR.SPS".
SET MPRINT=YES.
RelRisk OUTCOME=INT/
    ANTECEDENT=GENDER/
    INFILE=C:\TEMP\FRA.SAV/
    GRP=CNT/                                /* default is NOGRP */
    WGT=W FSTUWT/                            /* is default */
    RWGT=W FSTR/                             /* is default */
    CONS=0.05/                               /* is default */
    NREP=80/.                                /* is default */
```

It should be noted that the variables listed in the antecedent and outcome arguments should be assigned a F1.0 format.

No macro has been developed for analyses that involve one antecedent variable and five outcome variables. However, Box 14.4 presents the SPSS® syntax for computing the relative risk in that case. It consists of running the macro for relative risk without plausible values five times and then, as usual, combining the results.

Box 14.4 SPSS® syntax for computing the relative risk with one antecedent variable and five outcome variables (e.g. PISA 2006)

```
GET FILE="C:\TEMP\FRA.SAV".
DO REPEAT A=PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE /
    B=LOW1 TO LOW5.
IF (A<=409.5) B=1.
IF (A>409.5) B=0.
END REPEAT.
FORMATS GENDER LOW1 LOW2 LOW3 LOW4 LOW5 (F1.0).
SAVE OUTFILE="C:\TEMP\FRA.SAV".

RelRisk OUTCOME=LOW1/ ANTECEDENT=GENDER/ INFILE=C:\TEMP\FRA.SAV/.
COMPUTE PV=1.
SAVE OUTFILE="C:\TEMP\RESULTS1.SAV".
RelRisk OUTCOME=LOW2/ ANTECEDENT=GENDER/ INFILE=C:\TEMP\FRA.SAV/.
COMPUTE PV=2.
SAVE OUTFILE="C:\TEMP\RESULTS2.SAV".
RelRisk OUTCOME=LOW3/ ANTECEDENT=GENDER/ INFILE=C:\TEMP\FRA.SAV/.
COMPUTE PV=3.
SAVE OUTFILE="C:\TEMP\RESULTS3.SAV".
RelRisk OUTCOME=LOW4/ ANTECEDENT=GENDER/ INFILE=C:\TEMP\FRA.SAV/.
COMPUTE PV=4.
SAVE OUTFILE="C:\TEMP\RESULTS4.SAV".
RelRisk OUTCOME=LOW5/ ANTECEDENT=GENDER/ INFILE=C:\TEMP\FRA.SAV/.
COMPUTE PV=5.
SAVE OUTFILE="C:\TEMP\RESULTS5.SAV".

GET FILE="C:\TEMP\RESULTS1.SAV".
ADD FILES /FILE=*
    /FILE="C:\TEMP\RESULTS2.SAV"
    /FILE="C:\TEMP\RESULTS3.SAV"
    /FILE="C:\TEMP\RESULTS4.SAV"
    /FILE="C:\TEMP\RESULTS5.SAV".
EXTENSION.
COMPUTE VAR=SE*SE.
AGGREGATE
    /OUTFILE=* MODE=ADDVARIABLES
    /BREAK=
    /STAT_MEAN=MEAN(STAT).
COMPUTE MESVAR=((STAT-STAT_MEAN)**2)/4.
AGGREGATE
    /OUTFILE="C:\TEMP\RESULTS.SAV"
    /BREAK=
    /STAT=MEAN(STAT)
    /SAMPVAR=MEAN(VAR)
    /MESVAR=SUM(MESVAR).
GET FILE="C:\TEMP\RESULTS.SAV".
COMPUTE SE=(SAMPVAR+(1.2*MESVAR))**0.5.
EXECUTE.
```



EFFECT SIZE

An effect size is a measure of the strength of the relationship between two variables. PISA requires sampling a substantial number of students from each participating country. As standard errors are inversely proportional to the number of observations, small differences will be statistically different from 0. It is therefore recommended to analyse the strength of a relationship that is statistically significant. In other words, the effect size helps researchers decide whether a statistically significant difference is of practical concern.

The term effect size is commonly used to refer to standardised differences. Standardising a difference is useful when the metric has no intrinsic meaning. With variables that have an intrinsic meaning, it is preferable to use non-standardised differences. For instance, the differences between male and female averages of height or weight are more meaningful if they are expressed in metres or kilos than if they are expressed in standardised differences.

Mathematically, the effect size is equal to:

$$\frac{\hat{\mu}_1 - \hat{\mu}_2}{\sqrt{\frac{\sigma_1^2 + \sigma_2^2}{2}}}$$

$\hat{\mu}_1$ and $\hat{\mu}_2$ respectively represent the mean estimates for groups 1 and 2 and σ_1^2 , σ_2^2 their variance.

Effect sizes are particularly interesting in PISA. Firstly, as differences are also compared across countries, using the difference or using the effect size might change the interpretation of the results. Differences will mainly affect countries with large or small standard deviations. For instance, the mean and the standard deviation of the student performance in reading for males and for females are presented in Table 14.8.

Table 14.8
Mean and standard deviation for the student performance in reading by gender, gender difference and effect size (PISA 2006)

	Performance in reading							
	Mean		Standard deviation		Difference in means between females and males	Effect size	Rank of the difference	Rank of the effect size
	Females	Males	Females	Males	Dif.			
AUS	531.8	494.9	86.9	96.5	36.9	0.40	14	16
AUT	512.9	468.3	102.8	108.8	44.6	0.42	24	22
BEL	521.7	482.0	102.1	113.5	39.7	0.37	17	12
CAN	543.0	511.1	90.7	98.9	31.9	0.34	7	6
CHE	515.2	484.4	91.2	94.3	30.8	0.33	5	5
CZE	508.6	462.8	107.5	110.0	45.8	0.42	25	21
DEU	516.6	474.6	105.6	113.9	42.0	0.38	22	14
DNK	509.3	479.5	86.0	90.0	29.8	0.34	3	8
ESP	478.7	443.3	82.4	91.4	35.4	0.41	13	19
FIN	572.0	521.4	73.4	80.9	50.6	0.66	28	29
FRA	504.6	469.8	97.1	107.9	34.9	0.34	11	9
GBR	509.5	480.4	95.3	106.3	29.2	0.29	2	2
GRC	488.1	431.6	87.9	108.3	56.6	0.57	29	28
HUN	503.0	463.4	87.1	96.8	39.6	0.43	16	24
IRL	534.0	500.2	87.0	94.7	33.8	0.37	10	13
ISL	508.9	460.4	87.6	99.8	48.5	0.52	27	27
ITA	489.0	447.7	100.6	112.8	41.3	0.39	20	15
JPN	513.3	482.7	95.0	107.1	30.6	0.30	4	3
KOR	573.8	538.8	82.4	90.4	35.0	0.41	12	18
LUX	495.4	463.7	95.2	102.5	31.7	0.32	6	4
MEX	426.7	393.1	91.7	96.8	33.6	0.36	9	10
NLD	519.0	494.9	93.1	98.4	24.2	0.25	1	1
NOR	508.0	462.1	95.5	108.8	45.9	0.45	26	25
NZL	539.1	501.7	99.2	107.9	37.4	0.36	15	11
POL	527.6	487.4	92.6	103.5	40.1	0.41	18	20
PRT	488.2	455.3	94.1	100.8	32.8	0.34	8	7
SVK	487.8	446.1	98.5	107.0	41.7	0.40	21	17
SWE	528.1	487.6	92.6	99.3	40.5	0.42	19	23
TUR	471.0	427.3	84.6	94.8	43.7	0.49	23	26



The differences and the effect sizes have been sorted and ranked. In most cases, the two rankings presented in the two last columns of Table 14.8 are equal or close. However, for some countries, they differ substantially. For instance, Germany is ranked 22 in the difference, and 14 in the effect size; Hungary ranks 16 and 24, respectively. The German and Hungarian researchers and policy makers would certainly interpret the results differently if their analyses were based on the absolute difference or on the effect size.

An effect size also allows a comparison of differences across measures that differ in their metric. For example, it is possible to compare effect sizes between the PISA indices and the PISA test scores, as for example, gender differences in performance in science compared to gender differences in several indices.

Two SPSS® macros have been developed for the computation of the effect size, depending on whether the independent variables consist of plausible values or not. Box 14.5 presents the SPSS® syntax for running effect size analyses.

Box 14.5 SPSS® syntax for computing effect size (e.g. PISA 2006)

```

GET FILE="C:\PISA\2006\DATA\INT_STU06_DEC07.SAV".
SELECT IF CNT="AUT".
SAVE OUTFILE="C:\TEMP\AUT.SAV".
INSERT FILE="C:\PISA\MACRO\MCR_SE_EFFECT_PV.SPS".
OMSEND.
SET MPRINT=YES.
EFF_PV DEP=PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE /
    COMPARE=ST04Q01 /
    CATEG= 1 2/
    INFILE=C:\TEMP\AUT.SAV/
    WITHIN=CNT/*default=NOWITHIN*/
    WGT=W_FSTUWT/*default=W_FSTUWT*/
    RWGT=RW_FSTR/*default=RW_FSTR */
    CONS=0.05/*default=0.05 */
    NREP=80/*default=80 */

INSERT FILE="C:\PISA\MACRO\MCR_SE_EFFECT.SPS".
SET MPRINT=YES.
EFFnoPV DEP=INSTSCIE/
    COMPARE=ST04Q01/
    CATEG= 1 2/
    INFILE=C:\TEMP\AUT.SAV/
    WITHIN=CNT/*default=NOWITHIN*/
    WGT=W_FSTUWT/*default=W_FSTUWT*/
    RWGT=RW_FSTR/*default=RW_FSTR */
    CONS=0.05/*default=0.05 */
    NREP=80/*default=80 */

```

The dependent variable is listed in the **DEP** argument and the independent variable is listed in the **COMPARE** argument. The two values that define the two subgroups are listed in the **CATEG** argument. The order of two values is important: with **1 2**, the effect size will be equal to:

$$\frac{\hat{\mu}_1 - \hat{\mu}_2}{\sqrt{\frac{\sigma_1^2 + \sigma_2^2}{2}}}$$

With **2 1**, the effect size will be equal to:

$$\sqrt{\frac{\hat{\mu}_2 - \hat{\mu}_1}{\frac{\sigma_1^2 + \sigma_2^2}{2}}}$$



Table 14.9 and Table 14.10 present the structure of the output data files.

Table 14.9
Output data file from the first model in Box 14.5

CNT	ST04Q01	STAT	SESTAT
AUT	1-2	-0.08	0.05
TOTAL	1-2	-0.08	0.05

Table 14.10
Output data file from the second model in Box 14.5

CNT	EFF	STAT	SESTAT
AUT	ST04Q01.1-2	-0.21	0.04
TOTAL	ST04Q01.1-2	-0.21	0.04

LINEAR REGRESSION AND RESIDUAL ANALYSIS

This section is devoted to linear regression analyses. As it will be demonstrated, such models can be used, even if there is some dependency between the errors within schools. Further, analyses of regression residuals might also provide researchers an opportunity to investigate composition effects outside complex multilevel modelling, for instance.

Independence of errors

As expressed by P. Bressoux (2008), “*qui se ressemble s’assemble mais aussi qui s’assemble tend à se ressembler*” (all that appear similar, group together; but also, all that group together tend to appear similar).

Selected students that attend the same school cannot be considered as independent observations as they are usually more similar to each other than students that attend different schools. This assumption of independence of errors can also be translated as a requirement of the absence of intraclass correlation. The PISA initial and thematic reports have reported the school variances and the intraclass correlation coefficients. In some countries such as Austria, Belgium or Germany, more than 50% of the variance is accounted for by the schools. The assumption of independence between errors cannot be maintained.

The violation of this assumption does not bias the regression coefficient estimates, but underestimates its standard error, which leads to an increase of type I error (Bressoux, 2008, p. 108). Fortunately, standard errors in PISA are estimated by replication techniques and are therefore unbiased.

The PISA initial and thematic reports have extensively used the linear regression, mainly for reporting the change in the student performance score per unit of indices derived from contextual questionnaires. The detailed description of conducting linear regression analysis with using replicates and plausible values is presented in Chapters 7 and 8.

This section will describe an alternative use of the linear regression. It consists of analysing the residual according to a particular criterion. An illustration from Monseur and Crahay (forthcoming) is also provided to show the potential of such analyses.

The underlying hypothesis of the illustration relates to the impact of social segregation in schools on student performance. Typically, this hypothesis should be tested with a multilevel regression analysis. However, such models are quite complex and their results are not always easy to explain.



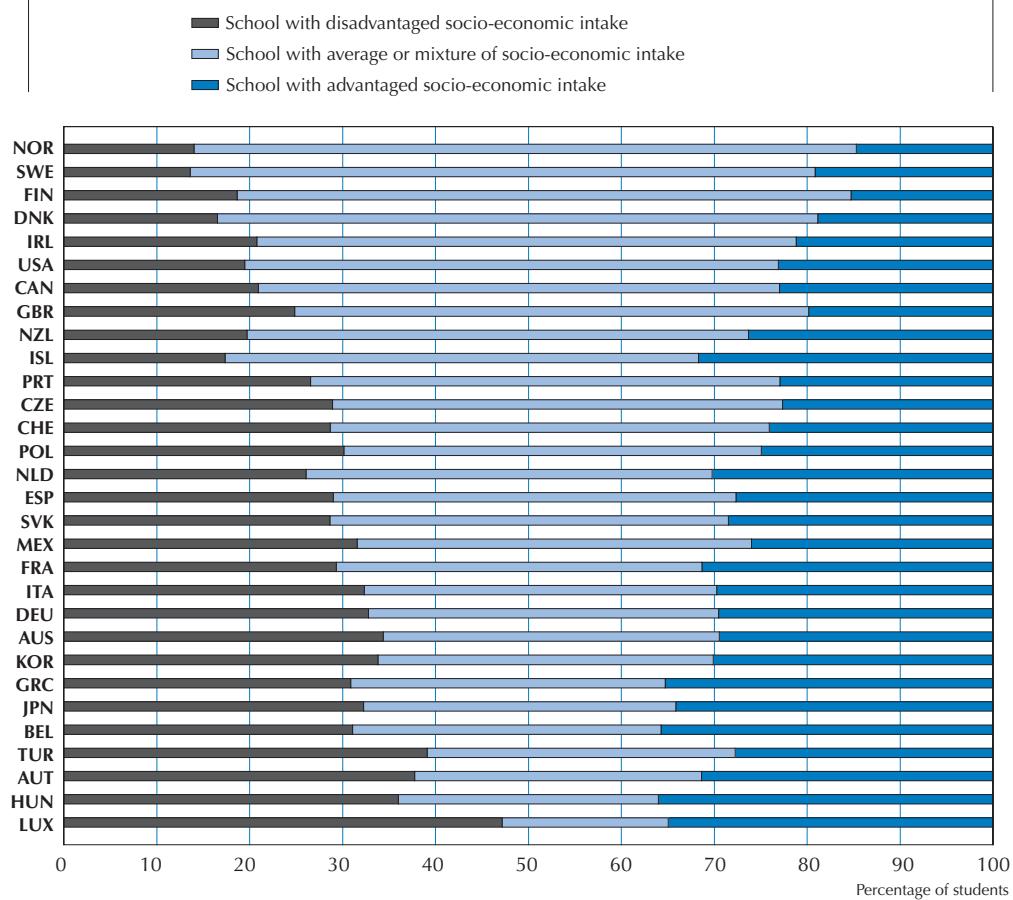
The first step implemented by Monseur and Crahay (forthcoming) was to allocate schools to one of the three following groups: (i) schools mainly attended by students with disadvantaged socio-economic backgrounds; (ii) schools mainly attended by students with average socio-economic backgrounds, or students with a mixture of disadvantaged and advantaged socio-economic backgrounds; and (iii) schools mainly attended by students with advantaged socio-economic backgrounds.

As the within-school samples are simple random samples, the standard error of the school average of the student socio-economic background can be estimated only with the student final weights without replicates. Then, the difference of a school average and the country average is statistically tested. If the null hypothesis is accepted, the school is allocated to the second group, *i.e.* the average or mixed schools; otherwise, the school is allocated to the first or to the third group, depending on whether the difference is negative or positive.

Figure 14.1 presents the percentage of students by the three school groups in PISA 2003.

Northern European countries, and to a lesser extent English-speaking countries, tend to have a large percentage of mixed schools. Highly tracked educational systems, such as Belgium or Germany, present small percentages of the average or mixed schools.

Figure 14.1
Percentage of schools by three school groups (PISA 2003)





The mathematics performance of the students was predicted by the PISA index of economic, social and cultural status (ESCS) of the student. The residuals were then saved and their means were computed by school categories and by the national quartiles of ESCS. Table 14.11 presents the average residual for the students in the bottom quarter of ESCS and for the students in the top quarter of ESCS, by the three school groups.

Table 14.11

Mean of the residuals in mathematics performance for the bottom and top quarters of the PISA index of economic, social and cultural status, by school group (PISA 2003)

	(i) School with disadvantaged socio-economic intake				(ii) School with average or mixture of socio-economic intake				(iii) School with advantaged socio-economic intake			
	Students in the bottom quarter of ESCS		Students in the top quarter of ESCS		Students in the bottom quarter of ESCS		Students in the top quarter of ESCS		Students in the bottom quarter of ESCS		Students in the top quarter of ESCS	
	Mean	S.E.	Mean	S.E.	Mean	S.E.	Mean	S.E.	Mean	S.E.	Mean	S.E.
AUS	-12.7	(5.4)	-32.5	(7.9)	10.3	(6.4)	-13.3	(4.4)	47.7	(11.6)	18.6	(4.1)
AUT	-20.1	(5.3)	-79.3	(8.6)	25.5	(7.7)	-25.5	(8.0)	87.3	(11.9)	20.4	(4.9)
BEL	-30.3	(4.4)	-71.9	(8.6)	22.2	(4.7)	-18.9	(5.0)	97.3	(5.7)	15.3	(3.5)
CAN	-8.5	(3.6)	-19.2	(5.9)	4.0	(2.7)	-9.5	(3.0)	23.9	(7.7)	17.1	(4.2)
CHE	-13.9	(6.3)	-43.3	(11.6)	-0.3	(4.7)	-24.6	(5.1)	72.1	(17.1)	21.9	(5.2)
CZE	-23.2	(5.5)	-71.8	(11.8)	6.6	(4.0)	-32.0	(4.4)	78.6	(15.1)	29.4	(5.4)
DEU	-30.2	(5.2)	-85.1	(9.2)	25.8	(5.8)	-32.0	(7.3)	109.3	(9.8)	24.9	(3.4)
DNK	-15.6	(7.6)	-18.5	(14.7)	2.5	(4.0)	-1.6	(3.8)	19.8	(13.7)	9.2	(5.7)
ESP	-7.2	(5.4)	-31.9	(9.3)	9.8	(4.5)	-9.8	(4.2)	43.0	(8.9)	13.8	(3.7)
FIN	1.6	(4.2)	-7.5	(7.5)	-0.3	(3.2)	-0.1	(3.8)	-15.8	(12.7)	1.6	(5.9)
FRA	-29.7	(5.2)	-70.8	(15.9)	27.4	(6.6)	-8.5	(7.0)	69.6	(7.7)	9.7	(5.2)
GBR	-12.4	(4.0)	-30.0	(8.0)	11.0	(4.3)	-10.9	(3.8)	47.5	(11.3)	25.9	(5.3)
GRC	-16.3	(5.5)	-63.8	(11.4)	21.3	(6.9)	-10.4	(5.6)	57.6	(9.4)	14.0	(4.8)
HUN	-21.2	(4.8)	-84.6	(10.2)	36.7	(5.8)	-37.0	(8.2)	76.8	(11.8)	14.4	(4.3)
IRL	-22.3	(5.7)	-38.8	(12.1)	10.0	(3.8)	-9.2	(4.0)	25.9	(11.3)	9.2	(5.7)
ISL	2.5	(4.9)	-0.2	(15.9)	-0.4	(3.8)	4.9	(4.5)	-1.1	(9.6)	0.9	(3.7)
ITA	-27.5	(5.6)	-71.9	(7.4)	24.2	(7.3)	-22.7	(6.9)	71.1	(12.4)	12.5	(5.9)
JPN	-27.7	(7.6)	-75.4	(11.0)	19.1	(7.9)	-41.1	(6.8)	78.3	(11.0)	26.6	(8.7)
KOR	-25.0	(5.5)	-79.8	(10.5)	41.8	(6.2)	-9.6	(5.1)	59.3	(9.5)	20.1	(8.4)
LUX	-9.4	(2.7)	-51.2	(6.3)	14.7	(6.6)	0.0	(8.2)	73.9	(8.1)	25.3	(3.0)
MEX	-13.9	(4.7)	-56.8	(9.3)	20.8	(5.2)	-30.5	(5.2)	71.7	(7.1)	22.4	(4.5)
NLD	-30.3	(6.1)	-87.7	(8.4)	15.1	(7.8)	-28.3	(6.2)	105.3	(7.5)	34.0	(5.0)
NOR	-0.5	(7.2)	-14.2	(15.3)	-1.4	(3.3)	-2.1	(4.3)	-11.4	(15.8)	5.6	(5.1)
NZL	-19.1	(6.3)	-45.0	(12.8)	7.7	(4.0)	-2.3	(4.1)	30.9	(10.6)	19.2	(3.9)
POL	-8.3	(5.0)	-19.5	(7.9)	0.7	(4.8)	-4.2	(4.7)	23.4	(14.2)	4.8	(3.8)
PRT	-22.3	(5.5)	-20.1	(11.3)	20.0	(6.4)	-5.4	(5.8)	58.6	(12.8)	15.1	(3.8)
SVK	-28.6	(4.9)	-75.2	(12.2)	10.3	(5.3)	-27.8	(6.3)	59.0	(9.1)	18.2	(5.2)
SWE	-12.4	(8.6)	-24.4	(12.5)	6.4	(3.5)	-2.4	(4.0)	15.7	(10.3)	9.5	(7.0)
TUR	0.6	(5.0)	-79.3	(10.2)	30.0	(7.0)	-38.7	(5.4)	94.4	(13.6)	38.1	(13.2)
USA	-22.3	(6.0)	-53.5	(12.5)	13.2	(3.6)	-1.6	(3.6)	27.3	(10.5)	15.3	(4.2)

In all countries with significant differences:

- Students attending schools with disadvantaged socio-economic intake perform on average lower than what would be predicted based on their socio-economic background.
- Students attending schools with advantaged socio-economic intake perform on average higher than what would be predicted based on their socio-economic background.
- Students attending schools with average or a mixture of socio-economic intake and from the bottom quarter of ESCS on average perform higher than what would be predicted based on their socio-economic background; the reverse is observed for students from the top quarter.

These results confirm a school socio-economic composition effect on student performance in mathematics. These composition effects appear small in northern European countries but large in countries such as Belgium, Czech Republic and Germany and the Netherlands.

As stated previously, composition effect can be estimated by multilevel regression modelling. Such modelling does not require allocating schools to some groups. On the other hand, residual analyses can easily be explained to policy makers.



STATISTICAL PROCEDURE

Box 14.6 presents the SPSS® syntax for running a residual analysis that involves plausible values. First, five regression models need to be computed, each of them on one of the five plausible values. The regression residuals are saved. These five regression residuals are then analysed according to a similar procedure as any plausible values.

Box 14.6 [1/2] SPSS® syntax for residual analyses (e.g. PISA 2003)

```

GET FILE="C:\PISA\2003\DATA\INT_STUI_2003.SAV".
SELECT IF (CNT="AUS" | CNT="AUT" | CNT="BEL" | CNT="CAN" | CNT="CHE" |
CNT="CZE" | CNT="DEU" | CNT="DNK" | CNT="ESP" | CNT="FIN" | CNT="FRA" |
CNT="GBR" | CNT="GRC" | CNT="HUN" | CNT="ISL" | CNT="IRL" | CNT="ITA" | CNT="JPN" |
CNT="KOR" | CNT="LUX" | CNT="MEX" | CNT="NLD" | CNT="NZL" | CNT="NOR" | CNT="POL" |
CNT="PRT" | CNT="SVK" | CNT="SWE" | CNT="TUR" | CNT="USA" ) .
SAVE OUTFILE="C:\TEMP\STUDENT.SAV"
/KEEP=CNT SCHOOLID STIDSTD W_FSTUWT W_FSTR1 to W_FSTR80 ESCS
    PV1MATH PV2MATH PV3MATH PV4MATH PV5MATH.
GET FILE="C:\TEMP\STUDENT.SAV".
SORT CASES BY CNT(A) SCHOOLID(A) STIDSTD (A).
WEIGHT BY W_FSTUWT.
AGGREGATE
    /OUTFILE=* MODE=ADDVARIABLES
    /BREAK=CNT
    /CNT_ESCS=MEAN (ESCS) .
AGGREGATE
    /OUTFILE=* MODE=ADDVARIABLES
    /BREAK=CNT SCHOOLID
    /SCH_ESCS=MEAN (ESCS) .
WEIGHT OFF.
AGGREGATE
    /OUTFILE=* MODE=ADDVARIABLES
    /BREAK=CNT SCHOOLID
    /SCH_STD=SD (ESCS)
    /SCH_NU=NU (ESCS) .
DO IF (SCH_NU >0) .
COMPUTE SE=((SCH_STD**2)/(SCH_NU))**0.5.
END IF.
DO IF (SE>0) .
COMPUTE Ttest=(SCH_ESCS - CNT_ESCS)/SE.
IF (Ttest<=-1.96) TYPE=1.
IF (Ttest>-1.96 and Ttest<1.96) TYPE=2.
IF (Ttest>=1.96) TYPE=3.
END IF.
EXECUTE .
SAVE OUTFILE="C:\TEMP\STUDENT2.SAV" .

OMS /SELECT TABLES /IF SUBTYPES ="Statistics" /DESTINATION FORMAT=SAV
OUTFILE="C:\TEMP\PCT.SAV" /TAG="PCT".
SPLIT FILE LAYERED BY CNT.
WEIGHT BY W_FSTUWT.
FRE ESCS /FORMAT=NOTABLE /PERCENTILES 25 75.
SPLIT FILE OFF.
OMSEND TAG="PCT" .
EXECUTE .

GET FILE="C:\TEMP\PCT.SAV".
SELECT IF (SUBSTR(VAR2,1,11)="Percentiles") .
RENAME VARIABLES (VAR1=CNT) (VAR3=PCT) (VAR5=PCT25) .
SELECT IF (SUBSTR(PCT,1,2)="25") .
SAVE OUTFILE="C:\TEMP\PCT25.SAV" /KEEP=CNT PCT25.
GET FILE="C:\TEMP\PCT.SAV" .
SELECT IF (SUBSTR(VAR2,1,11)="Percentiles") .
RENAME VARIABLES (VAR1=CNT) (VAR3=PCT) (VAR5=PCT75) .
SELECT IF (SUBSTR(PCT,1,2)="75") .
SAVE OUTFILE="C:\TEMP\PCT75.SAV" /KEEP=CNT PCT75.

```



Box 14.6 [2/2] SPSS® syntax for residual analyses (e.g. PISA 2003)

```

GET FILE="C:\TEMP\STUDENT2.SAV".
MATCH FILES /FILE=*
  /TABLE="C:\TEMP\pct25.sav"
  /TABLE="C:\TEMP\pct75.sav"
  /BY CNT.
SAVE OUTFILE="C:\TEMP\STUDENT3.SAV".
IF (ESCS<=PCT25) STATUT=1.
IF (ESCS>PCT25 AND ESCS<=PCT75) STATUT=2.
IF (ESCS>PCT75) STATUT=3.
EXECUTE.

SPLIT FILE LAYERED BY CNT.
WEIGHT BY W_FSTUWT.
REGRESSION
  /DEPENDENT PV1MATH
  /METHOD=ENTER ESCS
  /SAVE RESID.
REGRESSION
  /DEPENDENT PV2MATH
  /METHOD=ENTER ESCS
  /SAVE RESID.
REGRESSION
  /DEPENDENT PV3MATH
  /METHOD=ENTER ESCS
  /SAVE RESID.
REGRESSION
  /DEPENDENT PV4MATH
  /METHOD=ENTER ESCS
  /SAVE RESID.
REGRESSION
  /DEPENDENT PV5MATH
  /METHOD=ENTER ESCS
  /SAVE RESID.
SAVE OUTFILE="C:\TEMP\STUDENT4.SAV".

WEIGHT OFF.

INSERT FILE="C:\PISA\MACRO\MCR_SE_GRPPCT.SPS".
GRPPCT WITHIN=CNT/
  GRP=TYPE/
  INFIL="C:\TEMP\STUDENT4.SAV" .
EXEC.

GRPPCT WITHIN=CNT/
  GRP=TYPE/.
EXEC.

INSERT FILE="C:\PISA\MACRO\MCR_SE_PV.SPS".
SET MPRINT=YES.

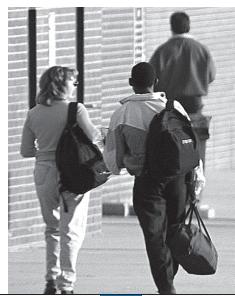
PV    STAT=MEAN/
  DEP=RES_1  RES_2  RES_3  RES_4  RES_5/
  INFIL="C:\TEMP\STUDENT4.SAV"/
  GRP=CNT TYPE STATUT/.

```

CONCLUSION

This chapter was devoted to some statistical issues related to the way the OECD reported the relationship between questionnaire indices and student performance in the initial reports.

The PISA initial and thematic reports extensively use the linear regression, in particular for estimating the impact of contextual indices on student performance. An alternative use of the linear regression that consists of analysing the residuals was also presented.



15

Multilevel Analyses

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INTRODUCTION

Over the last 20 years, education survey data have been increasingly analysed with multilevel models. Indeed, since simple linear regression models without taking into account the potential effects that may arise from the way in which students are assigned to schools or to classes within schools,¹ they may provide an incomplete or misleading representation of efficiency in education systems. In some countries, for instance, the socio-economic background of a student may partly determine the type of school that he or she attends and there may be little variation in the socio-economic background of students within each school. In other countries or systems, schools may draw on students from a wide range of socio-economic backgrounds, but within the school, the socio-economic background of the student impacts the type of class he or she is allocated to and, as a result, the within-school variance is affected. A linear regression model that does not take into account the hierarchical structure of the data will thus not differentiate between these two systems.

The use of multilevel models (Goldstein, 1995), also called hierarchical linear models (Bryk and Raudenbush, 1992), acknowledges the fact that students are nested within classes and schools. The relative variation in the outcome measures, between students within the same school and between schools can therefore be evaluated.

Figure 15.1 shows four graphs that highlight the distinction between a simple linear regression and a multilevel linear regression model. These four graphs represent the relationship between student socio-economic backgrounds and performance estimates in different countries; let's say for mathematics.

The thick black line represents the simple regression line when the hierarchical structure of the data is **not** taken into account. The thin blue lines represent the relationship between these two variables within particular schools. For each school, there is a regression line (the blue line in this example). The larger black dot on the simple linear regression lines (black) represents the point with the mean of X and Y as coordinates, (μ_x, μ_y) , and the blue point on the multilevel regression lines represents the point with the school mean of X and Y as coordinates, (μ_{xi}, μ_{yi}) .

The simple linear regression analysis, graphically represented by the black lines, shows that the expected score of a student from a higher socio-economic background is considerably higher than the expected score of a student from a lower socio-economic background. The comparison between the black lines on these four graphs shows the similarity of the relationship between the student's socio-economic background and student performance between countries. Based on simple linear regression analyses, therefore, the conclusion could be that the relationship between socio-economic background and student performance is identical in different countries.

However, the multilevel regression analyses clearly distinguish the relationship between students' socio-economic backgrounds and their performance in the four countries.

In Country 1, the multilevel regression lines are similar and close to the simple linear regression line. This means that:

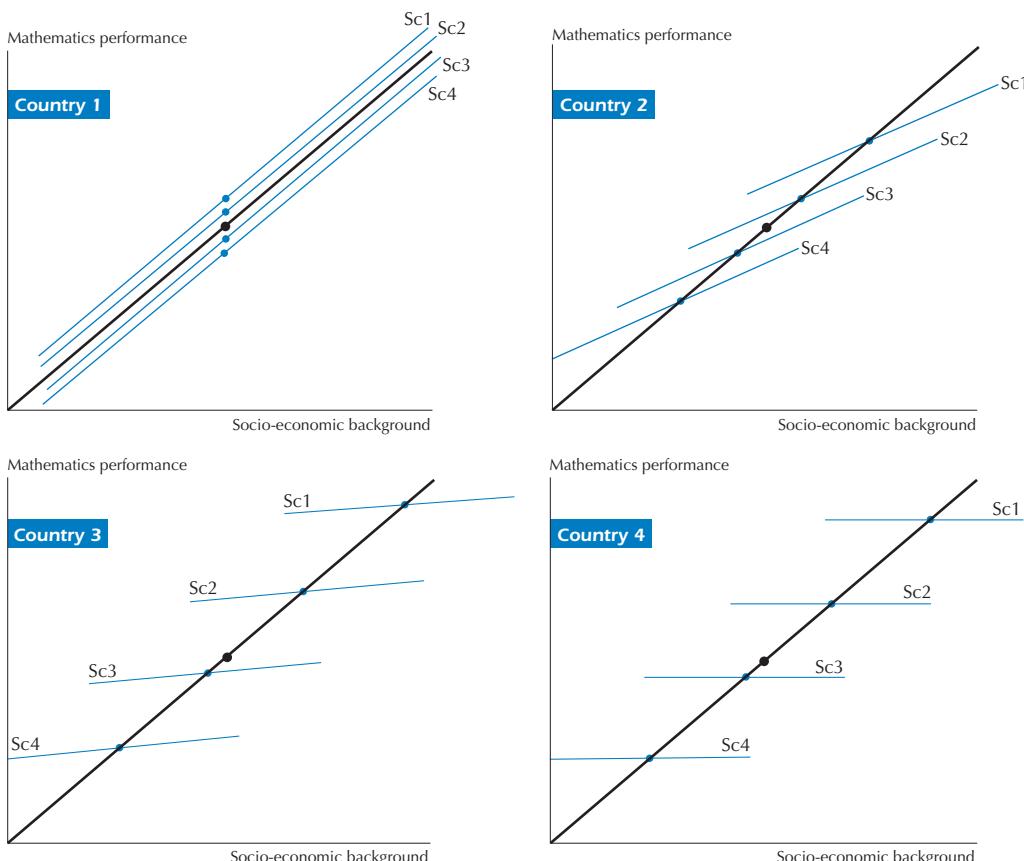
- Regarding the socio-economic background of the student (X axis):
 - The different schools are attended by students from a wide range of socio-economic backgrounds. All the within-school regression lines cover the whole range of values on the X axis.
 - The schools have the same socio-economic intake, *i.e.* the mean of the student socio-economic background. Indeed, the projections of the blue dots on the X axis are very close to each other.
 - In summary, there is no social segregation.



- Regarding the student performance in mathematics (Y axis):
 - In each school, there are low, medium, and high achievers. All the within-school regression lines cover the Y axis.
 - On average, the schools have a similar level of performance. Indeed, the projections of the blue dots on the Y axis are very close to each other. It also means that the school variance is quite small.
 - In summary, there is no academic segregation.
- Regarding the relationship between the socio-economic background and mathematics performance:
 - In each school, there is a positive relationship between the socio-economic background and achievement.
 - Within all schools, disadvantaged socio-economic background students perform well below students with advantaged socio-economic background students. The steep slope of the within-school regression line indicates that there is a relationship between students' socio-economic background and their performance.

Each school in Country 1 can therefore be considered as a simple random sample of the population and each school reflects the relationships that exist at the population level. Northern European countries tend generally to behave as the fictitious case of Country 1.

Figure 15.1
Simple linear regression analysis versus multilevel regression analysis





The opposite case of Country 1 is graphically represented by Country 4. The multilevel regression lines differ considerably from the simple linear regression line. In this case, it means that:

- Regarding the socio-economic background of the student (X axis):
 - The schools do not cover the range of socio-economic backgrounds that exist at the population level. School 1 is mainly attended by advantaged socio-economic background students while School 4 is mainly attended by disadvantaged socio-economic background students.
 - The schools have therefore different socio-economic intakes as the projections of the blue dots on the X axis show.
 - In summary, there is a significant social segregation at the school level.
- Regarding the student performance in mathematics (Y axis):
 - The schools do not cover the range of the student performance that exists at the population level. School 1 is mainly attended by high achievers and School 4 is mainly attended by low achievers.
 - Schools largely differ in their average performance level, as the projections of the blue dots on the Y axis show. In Country 4, the school performance variance is therefore very important.
 - In summary, there is a high academic segregation.
- Regarding the relationship between the socio-economic background and mathematics performance:
 - In each school, there is no relationship between socio-economic background and achievement.
 - What does matter is the school the student will attend knowing that the socio-economic background of the student will determine this school.

Countries 2 and 3 present intermediate situations between these two extreme examples.

TWO-LEVEL MODELLING WITH SPSS®

Usually, two types of indices are relevant in multilevel analyses: *(i)* the regression coefficients, usually denoted as the fixed parameters of the model; and *(ii)* the variance estimates, usually denoted as the random parameters of the model. Any multilevel regression analysis should always begin with the computation of the Level 1 and Level 2 variance estimates for the dependent variable.

Decomposition of the variance in the empty model

The first recommended step in multilevel regression analysis consists of a decomposition of the variance of the dependent variable into the different levels. Here, as an example, the variance of the student performance in science will be decomposed into two components: the within-school variance and the between-school variance.

These two variance components can be obtained with an Mixed ANOVA (analysis of variance) model, as well as with a multilevel regression. The multilevel regression equation is equal to:

$$Y_{ij} = \beta_{0j} + \varepsilon_{ij}$$

$$\beta_{0j} = \gamma_{00} + U_{0j}$$

with Y_{ij} representing the reading performance of student i in school j , β_{0j} the intercept for school j , ε_{ij} the student residual, γ_{00} the overall intercept and U_{0j} the school departure from the overall intercept. This model simply predicts the student performance by the average performance of his/her school and the school performance is predicted by the grand mean. Indeed, as the regression model has no predictors, the school intercepts, *i.e.* β_{0j} will therefore be equal or close to the school means. The variance of U_{0j} , usually denoted τ_{00} or τ_0^2 , will be equal to the between-school variance. As each student will be assigned his/her school mean as predicted score, the variance of ε_{ij} , usually denoted σ^2 , will be equal to the within-school variance.



The SPSS® MIXED procedure is devoted to multilevel regressions. However, it requires the normalisation of the weights, *i.e.* the sum of the weights is equal to the number of students in the dataset.²

Box 15.1 provides the SPSS® syntax for this normalisation, as well as a short checking procedure.

Box 15.1 Normalisation of the final student weights (e.g. PISA 2006)

```
SET MPRINT=NO.
GET FILE="C:\PISA\2006\DATA\INT_STU06_DEC07.SAV".
SELECT IF OECD=1.
SAVE OUTFILE="C:\TEMP\STUDENT.SAV".

WEIGHT BY W_FSTUWT.
AGGREGATE /BREAK=CNT /POPSIZE=N /SAMPsize=NU.
COMPUTE W_FSTUWT=(W_FSTUWT/POPSIZE)*SAMPsize.
DO REPEAT A= W_FSTR1 TO W_FSTR80.
COMPUTE A=(A/POPSIZE)*SAMPsize.
END REPEAT.
WEIGHT OFF.
SAVE OUTFILE="C:\TEMP\STUDENT2.SAV".

WEIGHT BY W_FSTUWT.
AGGREGATE OUTFILE=* /BREAK=CNT /POPSIZE=N /SAMPsize=NU.
```

As the SPSS® MIXED procedure cannot deal with fractional weights, it is recommended to use SPSS® only for preliminary multilevel analysis. The SPSS® syntax presented in this chapter gives an indication, but will not provide final unbiased estimates. Unbiased multilevel results are computed by SAS® and presented in this chapter.

Box 15.2 provides the SPSS® syntax for a multilevel regression model as well as the SPSS® syntax for the computation of the intraclass correlation.

Box 15.2 SPSS® syntax for the decomposition of the variance in student performance in science (e.g. PISA 2006)

```
GET FILE="C:\TEMP\STUDENT2.SAV".
SORT CASES BY CNT.
SPLIT FILE LAYERED BY CNT.
WEIGHT OFF.

VARCOMP PV1SCIE BY SCHOOLID
/RANDOM=SCHOOLID
/METHOD=ML
/REGWGT = W_FSTUWT
/OUTFILE = VAREST("C:\TEMP\DECOMPVAR.SAV")
/INTERCEPT=INCLUDE.
SPLIT FILE OFF.

GET FILE="C:\TEMP\DECOMPVAR.SAV" /DROP= ROWTYPE_ VARNAME_.
RENAME VAR (VC1 VC2=BETWEEN WITHIN).
COMPUTE RHO=BETWEEN/(BETWEEN+WITHIN).
SAVE TRANSLATE OUTFILE="C:\TEMP\tab15_1.xls" /TYPE=XLS /FIELDNAMES /REPLACE.

GET FILE="C:\TEMP\STUDENT2.SAV".
SORT CASES BY CNT.
SPLIT FILE LAYERED BY CNT.
WEIGHT OFF.
MIXED PV1SCIE
/METHOD=ML
/PRINT=G SOLUTION
/RANDOM=INTERCEPT | SUBJECT(SCHOOLID)
/REGWGT=W_FSTUWT.
```



The decomposition of the variance can be computed with the VARCOMP program that allows saving the results, i.e. the between and the within variance estimates, in an external data file or with the MIXED program that will return the variance estimates in the output viewer.

In the MIXED program, the SUBJECT statement defines the second level of the analyses, i.e. usually the school in the PISA context. The dependent variable is directly mentioned after the MIXED statement. In this particular example, there is no predictor. Therefore the between-school and within-school residual variances will be equal to the between-school and within-school variance estimates. The RANDOM statement distinguishes between fixed and random predictors, as explained in the previous section. It should be noted that "INTERCEPT" always needs to be mentioned in the random statement. The REGWGT statement is self-explanatory.

Table 15.1 provides the between-school and within-school variance estimates and the intraclass correlation. With the VARCOMP programme, these variance estimates were saved in the file "**decompvar**". As shown in Box 15.2, the intraclass correlation is equal to:

$$\rho = \frac{\sigma_{\text{between-school}}^2}{\sigma_{\text{between-school}}^2 + \sigma_{\text{within-school}}^2} = \frac{\tau_0^2}{\tau_0^2 + \sigma^2}$$

with $\sigma_{\text{between-school}}^2$ or τ_0^2 the between-school variance and $\sigma_{\text{within-school}}^2$ or σ^2 the within-school variance.

Table 15.1
Between- and within-school variance estimates and intraclass correlation (PISA 2006)

Country	Between-school variance	Within-school variance	rho (intraclass correlation)
AUS	1 793.90	8 263.15	0.18
AUT	5 417.72	4 487.38	0.55
BEL	5 128.06	4 776.88	0.52
CAN	1 659.45	7 121.52	0.19
CHE	3 341.69	5 900.62	0.36
CZE	5 576.30	5 068.80	0.52
DEU	5 979.48	4 483.83	0.57
DNK	1 411.05	7 313.88	0.16
ESP	1 131.29	6 663.92	0.15
FIN	424.32	6 958.82	0.06
FRA	5 547.85	4 711.89	0.54
GBR	2 169.93	8 925.47	0.20
GRC	4 467.86	5 054.07	0.47
HUN	5 450.09	3 461.37	0.61
IRL	1 496.87	7 551.06	0.17
ISL	887.96	8 641.69	0.09
ITA	4 803.95	4 657.73	0.51
JPN	4 769.06	5 326.91	0.47
KOR	2 881.59	5 353.91	0.35
LUX	2 752.47	6 584.74	0.29
MEX	2 281.54	3 462.17	0.40
NLD	5 343.29	3 525.86	0.60
NOR	947.58	8 338.64	0.10
NZL	1 913.37	9 702.39	0.16
POL	1 113.91	7 107.97	0.14
PRT	2 480.24	5 234.37	0.32
SVK	3 644.47	5 059.48	0.42
SWE	1 034.22	7 863.48	0.12
TUR	3 702.19	3 199.89	0.54
USA	2 610.97	8 529.74	0.23

Note: These results are computed by SAS®.



In Australia, the between-school variance is equal to 1 793 and the within-school variance is equal to 8 263. In Australia, the intraclass correlation is therefore equal to $1\ 793/(1\ 793 + 8\ 263) = 0.18$. The intraclass correlation is the percentage of the total variance that is accounted for by the school. It reflects how schools differ in their student average performance. The estimate of the intraclass correlation ranges among countries from 0.06 in Finland to 0.61 in Hungary.

If the Level 2 variance is equal to 0, a multilevel regression would be mathematically equal to a linear regression. As the between-school variance becomes larger, the differences between these two regression models increase. Knowing the intraclass correlation will therefore help the researcher correctly interpret the results.

Models with only random intercepts

The following examples are based on the PISA 2006 data in Belgium.

In the PISA databases, there are no missing data for the final weight and for the student performance estimates. However, contextual variables that might be used as predictors in a multilevel regression model usually have missing data. These missing data generate two major issues:

- The sum of the weights will slightly differ from the number of cases that will be used by the regression models. Note that cases with missing values are usually dropped from regression models.³
- The school and student variances from different models cannot be compared as missing values are not always random. For instance, disadvantaged socio-economic background students are usually less likely to provide answers about their mother's and/or father's occupations.

To avoid these two problems, it is recommended to delete any cases with missing data for the different predictors that will be used in the regression models before normalising the weights.

At the student level, different variables were included in the temporary file:

- The variable of ST01Q01 indicates students' grades.
- The variable of GENDER indicates students' gender derived from ST04Q01:
 - Value 0 is assigned to males.
 - Value 1 is assigned to females.
- The variable of IMIG indicates students' immigrant status derived from ST11Q01 to 03:
 - Value 1 is assigned to a student whose parents were born in a country other than Belgium.
 - Value 0 if the student was born in Belgium and at least one of the parents was also born in Belgium.
- The variable of ESCS indicates the PISA index of economic, social and cultural status for students.
- The variable of VOCATION indicates students' programme orientation derived from ISCEDO:
 - Value 0 is assigned to students enrolled in academic programmes.
 - Value 1 is assigned to students enrolled in pre-vocational and vocational programmes.

At the school level, three variables were derived:

- The variable of MU_ESCS indicates schools' socio-economic intake measured by the school average ESCS.
- The variable of PPCT_IM indicates the proportion of students with an immigrant background in the school.
- The variable of TYPE indicates the school type:
 - Value 1 is assigned to schools that propose only academic programmes.
 - Value 0 is assigned to schools that propose pre-vocational or vocational programs.



Box 15.3 presents the SPSS® syntax for the preparation of the data file.

As mentioned earlier in this chapter, the first step in multilevel modelling consists of running a regression without any independent variables. This model will return the estimate of the between-school and within-school variances. In Belgium, the between-school variance is equal to 5 010 and the within-school variance is equal to 4 656. It should be noted that the variance estimates have to be computed after the deletion of cases with missing data. Indeed, as residual variances will be compared between different regressions, it is of prime importance that the different models be computed exactly on the same dataset.

**Box 15.3 SPSS® syntax for normalising PISA 2006 final student weights
with deletion of cases with missing values and syntax for variance decomposition
(e.g. PISA 2006)**

```

GET FILE="C:\TEMP\STUDENT.SAV".
SELECT IF CNT="BEL".
MISS VAL ST01Q01 ST04Q01 ST11Q01 ST11Q02 ST11Q03 ISCEDO ESCS ().

RECODE ST01Q01 (15 THRU HIGHEST=SYSMIS).
RECODE ST04Q01 (1=1) (2=0) (ELSE=SYSMIS) INTO GENDER.
COMPUTE IMMIG=(100*ST11Q01)+(10*ST11Q02)+(ST11Q03).
IF (ANY(IMMIG,111,121,112)) IMG=0.
IF (ANY(IMMIG,122,222)) IMG=1.
IF (ESCS>996) ESCS=$SYSMIS.
RECODE ISCEDO (1=0) (2,3=1) (ELSE=SYSMIS) INTO VOCATION.
FORMATS GENDER IMG VOCATION (F1.0).
COUNT MIS=ST01Q01 GENDER IMG VOCATION ESCS (SYSMIS).
SELECT IF MIS=0.
EXE.

WEIGHT BY W_FSTUWT.
AGGREGATE /BREAK=SCHOOLID /MU_ESCS=MEAN(ESCS) /PCT_IMG=PGT(IMG,0) /TYPE_
T=PLT(VOCATION,1).
COMPUTE PCT_IMG=PCT_IMG/100.
COMPUTE TYPE=(TYPE_T=100).
FORMATS PCT_IMG (F3.0) TYPE (F1.0).
SORT CASES BY SCHOOLID STIDSTD.
WEIGHT OFF.

WEIGHT BY W_FSTUWT.
AGGREGATE /BREAK=CNT /POPSIZE=N /SAMPsize=NU.
COMPUTE W_FSTUWT=(W_FSTUWT/POPSIZE)*SAMPsize.
DO REPEAT A= W_FSTR1 TO W_FSTR80.
COMPUTE A=(A/POPSIZE)*SAMPsize.
END REPEAT.
WEIGHT OFF.
SAVE OUTFILE="C:\TEMP\BEL.SAV" /KEEP=CNT SUBNATIO SCHOOLID STIDSTD ST01Q01
GENDER IMG VOCATION ESCS
    MU_ESCS PCT_IMG TYPE PV1SCIE TO PV5SCIE W_FSTUWT W_FSTR1 TO W_FSTR80
HISEI.

WEIGHT BY W_FSTUWT.
AGGREGATE OUTFILE=* /BREAK=CNT /POPSIZE=N /SAMPsize=NU.

GET FILE="C:\TEMP\BEL.SAV".
WEIGHT OFF.
MIXED PV1SCIE
    /FIXED=INTERCEPT
    /METHOD=ML
    /PRINT=G SOLUTION
    /RANDOM=INTERCEPT | SUBJECT(SCHOOLID)
    /REGWGT=W_FSTUWT
    /SAVE=FIXPRED(GRANDMN) SEFIXP(SE_GRANDMN) DFFIXP(DF_GRANDMN)
        PRED(SCHMN) SEPRED(SE_SCHMN) DFPRED(DF_SCHMN) RESID(RESIDUAL).

```



The only fixed parameters in an empty model is the overall intercept. For the data in Belgium, γ_{00} , is equal to 510.78.

Shrinkage factor

In the case of an empty model, it might be considered that the sum of the overall intercept γ_{00} and a particular school departure U_{0j} should be perfectly equal to the school performance mean.

Multilevel models shrink the school departures. To illustrate this shrinkage process, let's suppose that we have an educational system with 100 schools and that the school performance means are perfectly identical. In other words, the school variance is equal to 0. If 20 students are tested within each school, it is expected that school mean estimates will differ slightly from the school means. Indeed, within particular schools, predominantly high performers or low performers may be sampled so that the school mean is respectively overestimated or underestimated. As the number of sampled students within schools increases, the difference between the school mean and its estimate is likely to decrease. Therefore, the shrinkage factor is inversely proportional to the number of sampled students within schools.

The shrinkage factor is equal to:

$$\frac{n_j \sigma_{\text{between-school}}^2}{n_j \sigma_{\text{between-school}}^2 + \sigma_{\text{within-school}}^2}$$

with n_j being the number of students in school j in the sample (Goldstein, 1997).

This shrinkage factor ranges from 0 to 1. As it multiplies the school departure, the shrinkage will:

- depend on the ratio between between-school and within-school variance ;
- be proportional to the school departure, *i.e.* the shrinkage factor mainly affects low and high performing schools;
- be inversely proportional to the number of observed students in the school.

The between-school variance can also be estimated with an ANOVA. Mathematically, the between-school variance will be equal to:

$$\sigma_{\text{between-school}}^2 = \frac{MS_{\text{between-school}} - MS_{\text{within-school}}}{n_j}$$

As it can be depicted from the ANOVA formula for estimating the between-school variance, the correction is also proportional to the within unit variance and inversely proportional to the number of cases sampled from each unit.

Models with random intercepts and fixed slopes

With the introduction of the student-level variable ESCS as a fixed effect, the equation can be written as:

$$Y_{ij} = \beta_{0j} + \beta_{1j} (\text{ESCS})_{ij} + \varepsilon_{ij}$$

$$\beta_{0j} = \gamma_{00} + U_{0j}$$

$$\beta_{1j} = \gamma_{10}$$

This model has two random components, *i.e.* (i) the variance of ε_{ij} denoted σ^2 ; and (ii) the variance of U_{0j} , denoted τ_{00} ; and two fixed parameters, *i.e.* γ_{00} and γ_{10} . The SPSS® syntax for this model is presented



in Box 15.4 and parts of the SAS® output for fixed and random estimates are presented in Box 15.5. The independent variables must be listed in the **mixed** statement, after the **with** command, as well as in the **fixed** statement.

Box 15.4 SPSS® syntax for a multilevel regression model with random intercepts and fixed slopes (e.g. PISA 2006)

```
GET FILE="C:\TEMP\BEL.SAV".
WEIGHT OFF.
MIXED PV1SCIE WITH ESCS
  /FIXED=INTERCEPT ESCS
  /METHOD=ML
  /PRINT=G SOLUTION
  /RANDOM=INTERCEPT | SUBJECT(SCHOOLID)
  /REGWGT=W_FSTUWT.
```

Box 15.5 Results for the multilevel model in Box 15.4

Covariance parameter estimates (Produced by SAS®)

Cov parm	Subject	Estimate
Intercept	SCHOOLID	3 971.20
Residual		4 475.09

Solution for fixed effects

Effect	Estimate	Standard error	DF	t Value	Pr> t
Intercept	507.90	3.9345	268	129.09	<.0001
ESCS	18.9064	0.9502	8112	19.90	<.0001

Only one change has been introduced in comparison with the syntax presented at the end of Box 15.3. The name ESCS has been added twice respectively in the **mixed** statement and in the **fixed** statement.

The overall intercept γ_{00} is now equal to 507.90 and the within-school regression coefficient γ_{10} is equal to 18.91. This means that, within a particular school, an increase of one unit on the ESCS index will be associated with an increase of 18.91 on the science scale. By comparison, the linear regression coefficient of ESCS on the science performance is equal to 47.38.⁴ It appears that the education system in Belgium behaves in a similar manner to fictional Country 3 presented in Figure 15.2.

The between-school and within-school residual variable estimates, respectively denoted τ_{00} and σ^2 , are equal to 3 971 and 4 475. In the empty model, the between-school variance is 5010 and the within-school variance is 4656.

The percentage of variance explained by the ESCS variable can be computed as:

$$1 - \frac{3971}{5010} = 0.21 \text{ at the school level, and}$$

$$1 - \frac{4475}{4656} = 0.04 \text{ at the student level.}$$

How can a student-level variable explain about 21% of the between-school variance and only 4% of the within-school variance? This mainly reflects the school socio-economic background segregation. Some of the schools are mainly attended by advantaged socio-economic background students, while other schools are mainly attended by disadvantaged socio-economic background students.



Figure 15.2
Graphical representation of the between-school variance reduction

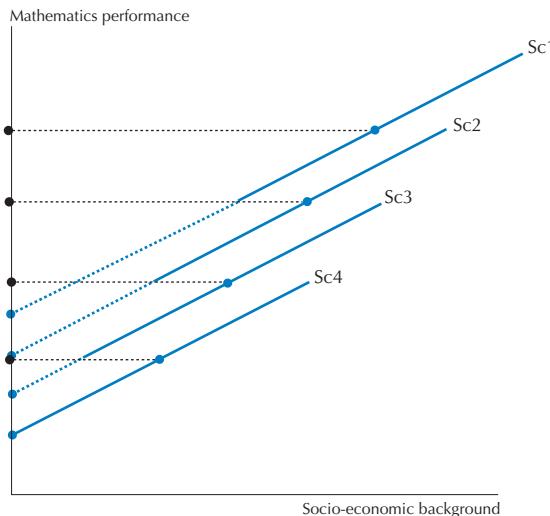


Figure 15.2 provides a graphical explanation of this phenomenon. In any case, the between-school variance can be graphically represented by the variability of the school intercepts on the Y axis. In the case of an empty model, the intercept is close to the orthogonal projection of the school performance average on the Y axis, as shown by the black line in Figure 15.2. As explained in the previous section, the difference between the school mean and the intercept results from the application of the shrinkage factor.

The between-school residual variance can be obtained by the extension of the regression line on the Y axis, as shown by the blue discontinuous line in Figure 15.2. As shown, the range of the black intercepts is larger than the range of the blue intercepts.

Broadly speaking, a student-level variable will have an impact on the between-school variance if:

- Schools differ in the mean and range of students with regard to the student-level variable (see Countries 2, 3 and 4 in Figure 15.1).
- The within-school regression coefficient of the student-level variable differs from 0. Country 4 in Figure 15.1 illustrates a case where using the ESCS variable at the student level in the model will not reduce the between-school variance. On the other hand, the introduction of the school socio-economic intake, *i.e.* the school ESCS mean, will have a substantial impact on the between-school variance.

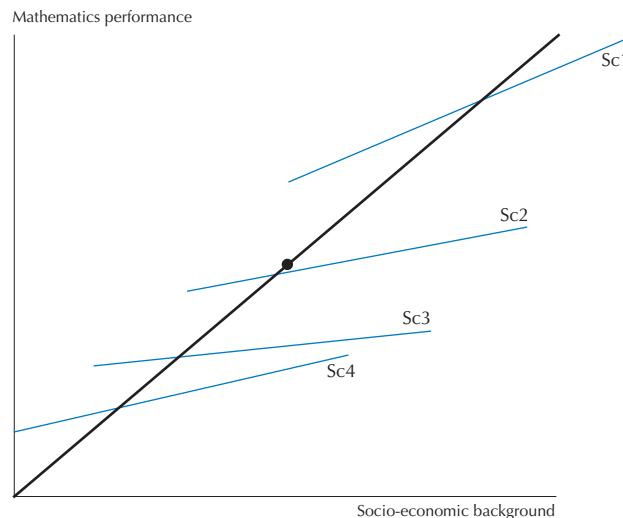
Models with random intercepts and random slopes

In the cases examined so far, the within-school regression lines were all parallel, but multilevel regression analyses also allowed the regression slopes to vary. In the former, the effect, *i.e.* the X effect, will be considered as fixed, while in the latter, the effect will be considered as random. Figure 15.3 presents a case with a random effect.

Usually, empirical data should better fit a model that does not force the parallelism of the within-school regression lines. On the other hand, it implies that more parameters have to be estimated and that therefore convergence might not be reached.



Figure 15.3
A random multilevel model



As demonstrated in the previous section, the student performance within a particular school is influenced by his/her socio-economic background. Schools may thus not be considered as equitable as expected by educational policies. One might further investigate if schools differ in terms of inequity. Are there schools that appear to be more equitable than others? This question can be answered by considering the ESCS slope as random and then testing if its variance significantly differs from 0. With the ESCS slope as random, the equation can be written as:

$$Y_{ij} = \alpha_j + \beta_{1j} (\text{ESCS})_{ij} + \varepsilon_{ij}$$

$$\alpha_j = \gamma_{00} + U_{0j}$$

$$\beta_{1j} = \gamma_{10} + U_{1j}$$

Box 15.6 sets out the SPSS® syntax for a multilevel regression model.

Box 15.6 SPSS® syntax for a multilevel regression model (e.g. PISA 2006)

```
WEIGHT OFF.

MIXED PV1SCIE WITH ESCS
  /FIXED=INTERCEPT ESCS
  /METHOD=ML
  /PRINT=G SOLUTION TESTCOV
  /RANDOM=INTERCEPT ESCS | SUBJECT(SCHOOLID)
  /REGWGT=W_FSTUWT.
```

The variable ESCS has been added to the random statement. The standard error and a confidence interval for random parameters is obtained by adding the option **testcov** in the **print** statement.

Similar to the school intercepts which are divided into two parts – an overall intercept and a school departure – the within-school regression coefficient is divided into two parts: an overall regression coefficient (the fixed part, denoted γ_{10}) and a school regression coefficient departure (the random part, denoted U_{1j}).



The overall intercept and regression coefficient are presented in Table 15.2. The overall intercept is equal to 508.05 and the overall ESCS regression coefficient is equal to 18.72. As shown by the t statistic and its associated probability, both parameters are significantly different from 0.

Table 15.2
Fixed parameter estimates

Effect	Estimate	Std Error	DF	t	Probability
Intercept	508.05	3.9602	268	128.29	<.0001
ESCS	18.719	1.1499	268	16.28	<.0001

Note: These results are computed by SAS®.

The random parameter file lists the school departures:

- U_{0j} from the intercept γ_{00} , i.e. 508.05,
- U_{1j} from the ESCS regression coefficient γ_{10} , i.e. 18.72.

Box 15.7 presents these estimates and their related information.

- the between-school residual variance τ_0^2 , i.e. 4 009;
- the within-school residual variance σ^2 , i.e. 4 411;
- the variance of ESCS regression coefficients τ_1^2 , i.e. 99.

Box 15.7 Results for the multilevel model in Box 15.6

Covariance parameter estimates (Produced by SAS®)								
Cov parm	Subject	Estimate	Standard error	Z Value	Pr Z	Alpha	Lower	Upper
Intercept	SCHOOLID	4 009.43	366.99	10.93	<.0001	0.05	3 377.22	4 838.43
ESCS	SCHOOLID	99.0653	27.3568	3.62	0.0001	0.05	61.5503	185.47
Residual		4 411.33	70.2516	62.79	<.0001	0.05	4 276.81	4 552.32

As variance parameters have a default lower boundary constraint of 0, their confidence intervals are not symmetric. The ESCS confidence interval does not include 0; the null hypothesis can therefore be rejected with a type I error risk of 0.05.

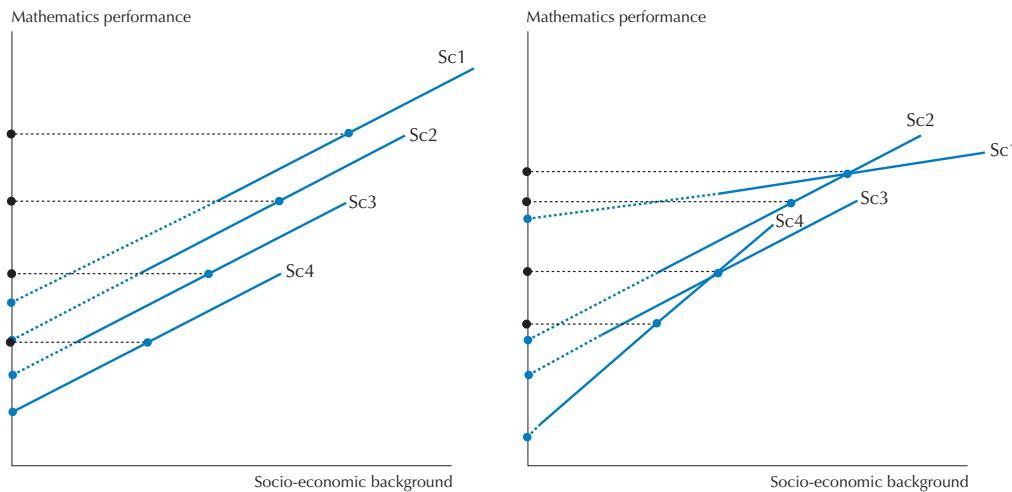
In comparison with previous results, the between-school residual variance has slightly increased (from 3 971 to 4 009) and the within-school residual variance has decreased slightly (from 4 475 to 4 411). The reduction of the within-school variance is not surprising as the random effect can only better fit the data. The increase in the school variance in this particular example is negligible, but in some cases it might be substantial. Figure 15.4 helps to understand and interpret a substantial increase of the between-school residual variance, by showing that the range of the projections of the red lines on the Y axis varies more in the random slope model than in the fixed slope model. The school intercepts and the school slopes might correlate. In Figure 15.4, the correlation between intercepts and slopes is negative: lower performing schools have deeper slopes and higher performing schools have flatter slopes. This would mean that higher performing schools are more equitable. The correlation between the intercept and the slope could also be positive: in that case, this would mean that lower performing schools are more equitable.

The SPSS® option `covtype(un)` in the `random` statement will return estimates of the covariance between random parameters. Box 15.8 presents the SAS® output.



Figure 15.4

Change in the between-school residual variance for a fixed and a random model



Box 15.8 Results for the multilevel model with covariance between random parameters

Covariance parameter estimates (Produced by SAS®)

Cov parm	Subject	Standard		Z Value	Pr Z	Alpha	Lower	Upper
		Estimate	error					
UN(1,1)	SCHOOLID	4 005.67	366.39	10.93	<.0001	0.05	3 374.43	4 833.23
UN(2,1)	SCHOOLID	-0.5365	73.8023	-0.01	0.9942	0.05	-145.19	144.11
UN(2,2)	SCHOOLID	99.0195	27.3829	3.62	0.0001	0.05	61.4854	185.57
Residual		4 411.48	70.2542	62.79	<.0001	0.05	4276.96	4 552.48

UN(1,1) corresponds to the intercept variance, **UN(2,2)** corresponds to the ESCS regression coefficient slope variance and **UN(2,1)** corresponds to the covariance between the intercepts and the slopes. In this example, 0 is included in the confidence interval for **UN(2,1)** and therefore the null hypothesis cannot be rejected.

Suppose that the regression lines in Figure 15.4 are moved 5 cm on the right. The variance of the intercept will be unchanged for the fixed model, but will increase for the random model. Broadly speaking, as the mean of the independent variable differs from 0, the impact of considering it as random on the school variance will increase. Centring the independent variables on 0 limits the changes in the between-school variance estimates. Table 15.3 presents the variance/covariance estimates on the international socio-economic index of occupational status (HISEI) for Belgium. As a reminder, HISEI averages around 50 and has a standard deviation of 15. The left part of Table 15.3 presents the estimates before centring, the right part, after centring on 0. Three models were implemented: (i) HISEI as a fixed factor in Model 1; (ii) HISEI as a random factor, but no estimation of the covariance in Model 2; and (iii) HISEI as a random factor and estimation of the covariance in Model 3.



As illustrated by Table 15.3, centring the independent variable limits changes in the school variance estimates.

Table 15.3
Variance/covariance estimates before and after centering

Estimate	τ_{00}	Before centering			After centering		
		Model 1	Model 2	Model 3	Model 1	Model 2	Model 3
Intercept variance	τ_{00}	4 112	3 920	4 653	4 112	4 114	4 109
HISEI regression coefficient slope variance	τ_{11}		0.13	0.22		0.22	0.22
Covariance between the intercepts and the slopes	τ_{10}			-10.80			-0.04
Residual	σ^2	4 506	4 472	4 457	4 506	4 457	4 457

Note: These results are computed by SAS®.

Bryk and Raudenbush (1992) distinguish three main locations for the Level 1 independent variables:

- **The natural X metric:** it can only be meaningful if cases with the value 0 on the X variable can be observed. Otherwise, the intercept that represents the score on Y for a subject with 0 on the X variables will be meaningless.
- **Centring around the grand mean:** it consists of transforming the original variables so that their means will be equal to 0. The intercepts will therefore represent the score on Y for a subject whose values on the X variable are equal to the grand mean.
- **Centring around the Level 2 mean (group-mean centring):** it consists of transforming the original variables so that their means will be equal to 0 for each school. With this approach, the introduction of Level 1 variables does not affect the between-school variance. For instance, the introduction of the ESCS variable as a fixed effect in the model decreases the between-school variance by around 20%. It reflects a segregation effect of the students based on their economic, social and cultural status. Such effect cannot be observed if Level 1 independent variables are group-mean centred.

In the following model, the student gender, denoted GENDER with males being 0 and females being 1 in the PISA 2006 database, is added as a random factor to the previous model. The equation can be written as:

$$Y_{ij} = \beta_{0j} + \beta_{1j} (\text{ESCS})_{ij} + \beta_{2j} (\text{GENDER})_{ij}$$

$$\beta_{0j} = \gamma_{00} + U_{0j}$$

$$\beta_{1j} = \gamma_{10} + U_{1j}$$

$$\beta_{2j} = \gamma_{20} + U_{2j}$$

The fixed parameters are respectively equal to 514.53 for the overall intercept γ_{00} , 18.06 for the overall ESCS regression coefficient γ_{10} and -13.01 for the overall gender coefficient γ_{20} .

The between-school residual variance τ_{00} is equal to 4 144 and the within-school residual variance σ^2 is equal to 4 344. Finally, the variance of the school ESCS regression coefficient τ_{11} is equal to 102 and the variance of the school GENDER regression coefficient τ_{22} is equal to 139. All these variance estimates differ statistically from 0.

The gender regression coefficient of -13.01 reflects the expected gender difference within any school, after controlling for ESCS.



Box 15.9 Interpretation of the within-school regression coefficient

The expected within-school gender difference can differ greatly from the overall gender difference, especially in a highly tracked system. It appears that girls are more likely to attend an academic track while boys are more likely to attend a vocational track. The linear regression coefficient of gender on the student performance provides an estimate of the overall gender difference, while a multilevel regression model estimates gender difference after accounting for the differential attendance to school. Therefore, the gender multilevel regression coefficient will substantially differ from the linear regression coefficient. The table below provides the linear and multilevel regression coefficients for gender on the data from Germany.

At the population level, males outperform females by 6.2 in science while females outperform males by 42.6 in reading. But within a particular school, the expected differences in science and reading are respectively equal to 16.6 and 31.9.

Gender differences in Germany (females – males)

	Science	Reading	Mathematics
Linear regression	-6.2	42.6	-18.8
Multilevel regression	-16.6	31.9	-28.9

Models with Level 2 independent variables

The last equation was $Y_{ij} = \beta_{0j} + \beta_{1j}(ESCS)_{ij} + \beta_{2j}(GENDER)_{ij} + \varepsilon_{ij}$. This equation mainly models the student performance variability within schools by introducing student-level predictors. However, due to the segregation effect, these student-level predictors can explain some of the between-school variance. It is also possible to introduce school-level predictors.

First, it is important to understand why some schools perform well and others less so. One usually predicts the school intercept by the school socio-economic intake, *i.e.* the mean of the student economical, social and cultural status. The impact of school socio-economic intake is usually denoted *peer effect* or *school composition effect*. A student's social and academic context may influence his/her behaviour. In a school where most of the students spend hours working on homework, it is likely s/he will work hard. Conversely, s/he will probably not work hard in a school where most of the students skip class.

Mathematically, testing the influence of the school socio-economic intake can be written as:

$$Y_{ij} = \beta_{0j} + \beta_{1j}(ESCS)_{ij} + \beta_{2j}(GENDER)_{ij} + \varepsilon_{ij}$$

$$\beta_{0j} = \gamma_{00} + \gamma_{01}(mu_ESCS)_j + U_{0j}$$

$$\beta_{1j} = \gamma_{10} + U_{1j}$$

$$\beta_{2j} = \gamma_{20} + U_{2j}$$

with mu_ESCS representing the school average of the PISA index of economic, social and cultural status for a student. The SPSS® syntax is presented in Box 15.10.

Box 15.10 SPSS® syntax for a multilevel regression model with a school-level variable (e.g. PISA 2006)

```

WEIGHT OFF.

MIXED PV1SCIE WITH ESCS GENDER MU_ESCS
  /FIXED=INTERCEPT ESCS GENDER MU_ESCS
  /METHOD=ML
  /PRINT=G SOLUTION
  /RANDOM=INTERCEPT ESCS GENDER | SUBJECT(SCHOOLID)
  /REGWGT=W_FSTUWT.

```



Table 15.4 presents the results for the fixed parameters.

Table 15.4
Output data file of the fixed parameters file

CNT	Effect	Estimate	S.E.	Degrees of freedom	tValue	Probability
BEL	Intercept	498.31	2.7255	267	182.83	<.0001
BEL	ESCS	16.48	1.1612	268	14.19	<.0001
BEL	gender	-12.87	1.8127	244	-7.10	<.0001
BEL	mu_ESCS	105.89	5.1549	7 599	20.54	<.0001

Note: These results are computed by SAS®.

As shown in Table 15.4, the regression coefficient of the school socio-economic intake is highly significant. For two students with a similar ESCS background but attending two schools that differ by one index point in the average ESCS, their performance will differ by 106 points.

It might be useful to understand why some schools appear to be more equitable, *i.e.* schools with lower ESCS and/or GENDER regression coefficients, and why some other schools appear to be more inequitable, *i.e.* with higher ESCS and/or GENDER regression coefficients.

In this example, the impact of the school socio-economic intake and the school type will be tested. Mathematically, the model can be written as:

$$\begin{aligned} Y_{ij} &= \beta_{0j} + \beta_{1j}(ESCS)_{ij} + \beta_{2j}(ST03Q01)_{ij} + \varepsilon_{ij} \\ \beta_{0j} &= \gamma_{00} + \gamma_{01}(TYPE)_j + \gamma_{02}(mu_ESCS)_j + U_{0j} \\ \beta_{1j} &= \gamma_{10} + \gamma_{11}(TYPE)_j + \gamma_{12}(mu_ESCS)_j + U_{1j} \\ \beta_{2j} &= \gamma_{20} + \gamma_{21}(TYPE)_j + \gamma_{22}(mu_ESCS)_j + U_{2j} \end{aligned}$$

Box 15.11 presents the SPSS® syntax for running this model. Testing the influence of the school type on the ESCS regression coefficients requires modelling the interaction between these two variables. Usually, this interaction is denoted a cross-level interaction. Box 15.12 presents the results produced by SAS®.

**Box 15.11 SPSS® syntax for a multilevel regression model with interaction
(e.g. PISA 2006)**

```
WEIGHT OFF.
MIXED PV1SCIE WITH ESCS GENDER MU_ESCS TYPE
  /FIXED=INTERCEPT ESCS GENDER MU_ESCS TYPE
    ESCS*TYPE ESCS*MU_ESCS GENDER*TYPE GENDER*MU_ESCS
  /METHOD=ML
  /PRINT=G SOLUTION TESTCOV
  /RANDOM=INTERCEPT ESCS GENDER | SUBJECT(SCHOOLID)
  /REGWGT=W_FSTUWT.
```

Only one cross-level interaction is significant, *i.e.* ESCS*type. As the value of 0 is assigned to schools that only propose an academic education and the value of 1 is assigned to schools that provide vocational education, the value of 8.41 for γ_{11} means that the ESCS regression coefficient is equal to 10.62 in academic schools and to $10.62 + 8.41$, *i.e.* 19.03 in vocational schools. This result is not surprising as the student population is less diverse in terms of socio-economic background and academic performance in academic schools.

It should be noted that any Level 2 predictors used for testing a cross-level interaction should also be included in the regression of the school intercept. Indeed, the estimation of an interaction requires modelling the main effects.



Box 15.12 Results for the multilevel model in Box 15.11

Covariance parameter estimates (Produced by SAS®)								
Cov parm	Subject	Estimate	Standard error	Z Value	Pr > Z	Alpha	Lower	Upper
Intercept	SCHOOLID	1 338.93	134.27	9.97	<.0001	0.05	1 110.32	1 646.60
ESCS	SCHOOLID	89.9421	26.0773	3.45	0.0003	0.05	54.7338	174.65
gender	SCHOOLID	87.6427	58.2604	1.50	0.0662	0.05	32.9396	602.44
Residual		4 348.94	70.2643	61.89	<.0001	0.05	4 214.45	4 490.01

Solution for fixed effects					
Effect	Estimate	Standard error	DF	t Value	Pr > t
Intercept	522.37	6.2466	266	83.62	<.0001
ESCS	10.6204	2.6153	266	4.06	<.0001
gender	-16.1028	3.9816	242	-4.04	<.0001
type	-30.8790	7.0795	7 599	-4.36	<.0001
mu_escs	94.3191	6.6287	7 599	14.23	<.0001
ESCS*type	8.4097	3.0270	7 599	2.78	0.0055
ESCS*mu_escs	0.07536	2.7466	7 599	0.03	0.9781
gender*type	7.4455	4.5563	7 599	1.63	0.1023
gender*mu_escs	-6.5241	4.4320	7 599	-1.47	0.1411

To demonstrate this, let's suppose the following: Students are distributed according to their immigrant status (native versus immigrant) and according to the type of school (academic versus vocational). Table 15.5 presents the performance average, as well as the percentage of students.

Table 15.5
Average performance and percentage of students by student immigrant status and by type of school

		Native students (0)	Students with an immigrant background (1)
Academic schools (0)	Average performance	650	450
	Percentage of students	25%	25%
Vocational schools (1)	Average performance	500	400
	Percentage of students	25%	25%

Table 15.6 presents the variables for the four groups of students.

Table 15.6
Variables for the four groups of students

	School type	Student immigrant status	Interaction
Academic schools – Native students	0	0	0
Vocational schools – Native students	1	0	0
Academic schools – Students with an immigrant background	0	1	0
Vocational schools – Students with an immigrant background	1	1	1

In a regression model, the reference category will be the native students attending an academic school (0,0,0). Therefore, β_0 will be equal to 650. If the two main dichotomous effects and the interaction are included in the model, it would correspond to three dummies differentiating four categories, as illustrated by Table 15.8.



The “school type” main effect will compare the native students in academic schools with the native students in a vocational school. The regression coefficient will therefore be equal to -150 . The “student immigrant status” main effect will differentiate student according to their immigrant status within academic schools. The regression coefficient will therefore be equal to -200 . Finally, the interaction will compare, for the students with an immigrant background in a vocational school, their expected score based on the main effect and the observed score. The expected score will be $650 - 150 - 200$, i.e. 300 . As the average performance is 400 , the interaction will therefore be equal to 100 .

Let’s suppose that the school type is not included as a main effect, but only the immigrant status and the interaction. The reference category $(0,0)$ will be the native students, so β_0 will be equal to 575 , the average performance of native students across school types. The “student immigrant status” main effect will be computed by comparing the reference categories with the students with an immigrant background in academic schools and, therefore, the regression coefficient will be equal to -125 . Finally, the interaction, as previously, will compare the expected and observed means. The interaction regression coefficient will therefore be equal to the difference between $575 - 125 = 450$ and 400 . The interaction will be equal to -50 .

This fictitious example illustrates that any cross-level interaction should not be tested without the inclusion of the main effect. In terms of multilevel modelling, it means that any variable used for explaining the variability of a Level 1 regression coefficient should also be included in the intercept equation.

Computation of final estimates and their respective standard errors

As described in the previous chapters, the final estimates of a multilevel regression analysis should be also computed for each of five plausible values, with the replicates.

Two SPSS® macros have been developed for multilevel regression analyses: one for plausible values as a dependent variable, and the other for non-plausible values as a dependent variable. However, as noted earlier, the SPSS® MIXED procedure cannot deal with fractional weights, therefore even these macros do not provide unbiased estimates.

Two subroutines are embedded in these two macros:

- The first subroutine deletes any cases with at least one missing value.
- The second subroutine normalises the weight and replicates.

Box 15.13 presents the SPSS® syntax for running the macro.

Box 15.13 SPSS® syntax for using the multilevel regression macro (e.g. PISA 2006)

```
INSERT FILE="C:\PISA\MACRO\MCR_ML_PV.SPS".

SET MPRINT=YES.
MIXED_PV  INFILE="C:\TEMP\BEL.SAV"
          DEP=PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE /
          FIXEF=ESCS VOCATION ST01Q01 TYPE MU_ESCS PCT_IMG IMG
          RANEF=IMG/* RANEF vars should be included in FIXEF vars */
          INTEF=NOINT/* default=NOINT, otherwise like "ESCS*TYPE" */
          GRP=NOGRP/* is default */
          LEVEL2=SCHOOLID/* is default */
          NREP=80/* is default */
          WGT=W FSTUWT/* is default */
          RWGT=R FSTR/* is default */
          CONS=0.05/./* is default */
```



The macro devoted to plausible values has eleven arguments. The INFILE, DEP, WGT, RWGT, GRP, NREP and CONS arguments have already been largely described in previous chapters. In the FIXEF and RANEF arguments, the variables considered as fixed and random effects are listed respectively. Finally, any crossed level interaction, such as GENDER*TYPE will be stated in the INTEF statement.

The Level 2 identification variable (SCHOOLID in the PISA databases) has to be listed in the LEVEL2 argument.

The macro will store the results in a SPSS® data file. This file will have the following parameter estimates:

- the intraclass correlation estimates;
- the variance parameter estimates;
- the fixed parameter estimates.

Table 15.7
Comparison of the regression coefficient estimates and their standard errors in Belgium (PISA 2006)

Effect	Using SAS® macro		Using SAS® PROC MIXED	
	STAT	S.E.	STAT	S.E.
ESCS	10.6	1.07	10.3	0.88
Intercept	104.0	17.09	99.5	13.80
st01q01	45.5	1.73	45.8	1.33
img	-24.0	2.61	-23.8	3.07
mu_escs	43.5	2.18	43.9	5.30
pct_im	-52.4	4.91	-53.6	11.41
type	-0.3	1.93	0.6	5.19
vocation	-56.8	2.72	-56.3	2.23

Note: These results are computed by SAS®.

Table 15.7 presents the regression coefficient estimates and their standard errors computed with the SAS® macro and just with SAS® PROC MIXED on the first plausible value.

Regression coefficient estimates differ slightly depending on how they are computed. But the most important differences concern the standard errors. Multilevel regression models assume schools were selected according to a simple random procedure. In PISA, explicit and implicit stratification variables improve the efficiency of the sampling design and therefore standard errors are usually smaller than the standard errors obtained from a simple random sample of schools.

From an educational policy perspective, these results show that the percentage of immigrants in a school and the school socio-economic intake do impact the school intercept in Belgium.

As shown in Table 15.8, the macro returns a larger standard error for τ_{11} (variance for the regression coefficient of the variable IMG) because for three out of the five plausible values, the variance estimate was equal to 0.

Table 15.8
Comparison of the variance estimates and their respective standard errors in Belgium (PISA 2006)

Cov parm	Using SAS® macro		Using SAS® PROC MIXED	
	STAT	S.E.	STAT	S.E.
Intercept	738.5	65.39	706.6	73.73
Residual	3619.7	85.63	3599.4	56.99
Img	450.8	237.7	517.5	149.82

Note: These results are computed by SAS®.



These results show the importance of using the 5 plausible values and the 80 replicates to compute the final estimates and their respective standard errors. The use of the replicates is particularly recommended in countries that organised a census of their students (e.g. Iceland, Luxembourg).

THREE-LEVEL MODELLING

Three-level regression analyses (*i.e.* Level 1 being the student level, Level 2 the school level and Level 3 the country level) can also be implemented with SPSS®. However, even a simple model on the PISA data will run for hours. It is therefore recommended to use specialised software packages such as HLM®. This section shows a simple example of a three-level regression. The detailed example of the preparation for the data files and three-level regression analysis with HLM® applied in the Chapter 5 of the PISA 2006 initial report (OECD, 2007) are presented in Appendix 1.

Three-level modelling requires precaution mainly because removing or adding countries might have a substantial impact on the results at Level 3. For instance, Figure 2.12a of the PISA 2006 initial report (OECD, 2007) presents the relationship between student performance in science and national income. The correlation between these two variables is equal to 0.53. Adding the partner countries would certainly strengthen the relationship.

The following example is based solely on data from OECD countries, since it is less likely that including, or not including, one or a subset of OECD countries will substantially change the results.

First of all, the final student weights need to be normalised. The weight transformation makes: (*i*) the sum of the weight across the countries equal to the number of cases in the databases; and (*ii*) the sum of the weights per country is constant and equal to the total number of cases divided by the number of countries. Box 15.14 presents the SPSS® syntax for normalising the weights for a three-level model.

**Box 15.14 SPSS® syntax for normalising the weights for a three-level model
(*e.g.* PISA 2006)**

```

GET FILE="C:\PISA\2006\DATA\INT_STU06_DEC07.SAV".
SORT CASES BY OECD CNT.
SELECT IF OECD=1.
SAVE OUTFILE="C:\TEMP\STUD.SAV".
DATASET CLOSE ALL.

GET FILE="C:\TEMP\STUD.SAV".

WEIGHT OFF.
AGGREGATE /PRESORTED /BREAK=OECD /POPOECD=SUM(W_FSTUWT) /SAMPOECD=N.
COMPUTE W_FSTUWT=(W_FSTUWT/POPOECD)*SAMPOECD.
DO REPEAT A= W_FSTR1 TO W_FSTR80.
COMPUTE A=(A/POPOECD)*SAMPOECD.
END REPEAT.

AGGREGATE /PRESORTED /BREAK=OECD CNT /POPCNT=SUM(W_FSTUWT) .
COMPUTE W_FSTUWT=(W_FSTUWT/POPCNT)*(SAMPOECD/30) .
DO REPEAT A= W_FSTR1 TO W_FSTR80.
COMPUTE A=(A/POPCNT)*(SAMPOECD/30) .
END REPEAT.
WEIGHT OFF.
SAVE OUTFILE="C:\TEMP\STUD2.SAV".

```



The dependent variable is the performance of the student in science. At Level 1, *i.e.* the student level, the only independent variable included in the model is the PISA index of economic, social and cultural status for students. At Level 2, *i.e.* the school level, two variables are included in the model: (*i*) the school socio-economic intake (the school average of the student ESCS index); and (*ii*) the school socio-economic mix (the standard deviation of the student ESCS index).

Table 15.9 shows the results from four different three-level models using HLM®. Model 1 is the empty model, without any independent variables. Model 2 has one independent variable (ESCS) at Level 1 as random slopes at Level 2 and Level 3. Model 3 has one independent variable (MU_ESCS) at Level 2 as random slopes at Level 3 in addition to Model 2. Model 4 has one more independent variable (STD_ESCS) at Level 2 as a fixed slope in addition to Model 3.

Table 15.9
Three-level regression analyses

	Model 1	Model 2	Model 3	Model 4
	Fixed parameters			
γ_{000}				501.43
γ_{010}			67.15	68.23
γ_{020}				-7.30
γ_{100}		21.96	19.67	12.34
γ_{110}				0.16
γ_{120}				9.14
Random parameters				
ε_{ijk}	5 777	5 467	5 469	5 470
U_{0jk}	3 464	2 685	1 244	1 241
U_{00k}	1 037	763	399	397
U_{1jk}		37	37	35
U_{10k}		66	86	89
U_{01k}			1 157	1 138

The equations for Model 4 are presented below. With only one independent variable at Level 1 and two independent variables at Level 2, a three-level regression model becomes quickly complex, especially when random slopes are modelled.

$$SCIE_{ijk} = \beta_{0jk} + \beta_{1jk}(ESCS)_{ijk} + \varepsilon_{ijk}$$

$$\beta_{0jk} = \beta_{00k} + \beta_{01k}(MU_ESCS)_{jk} + \beta_{02k}(STD_ESCS)_{jk} + U_{0jk}$$

$$\beta_{1jk} = \beta_{10k} + \beta_{11k}(MU_ESCS)_{jk} + \beta_{12k}(STD_ESCS)_{jk} + U_{1jk}$$

$$\beta_{00k} = \gamma_{000} + U_{00k}$$

$$\beta_{01k} = \gamma_{010} + U_{01k}$$

$$\beta_{02k} = \gamma_{020}$$

$$\beta_{10k} = \gamma_{100} + U_{10k}$$

$$\beta_{11k} = \gamma_{110}$$

$$\beta_{12k} = \gamma_{120}$$

The decomposition of the variance, *i.e.* Model 1, shows that 56% of the variance lies within schools, 34% between schools within countries and only 10% between countries. Model 2 indicates that the national variability of the ESCS regression coefficients (37) is about the same as its international counterpart (66). In other words, the differences in the ESCS regression coefficient between the most equitable schools and the most inequitable schools in a country are similar to the difference between the most equitable countries and the most inequitable countries.



Model 3 demonstrates that the impact of the school socio-economic intake is substantially higher than the impact of the PISA index of economic, social and cultural status of students. Further, the variability of the school social intake regressions (1 157) is higher than the variability of the ESCS regression coefficients. It does mean that countries differ more by the impact of the school socio-economic intake than by the impact of the student socio-economic background.

Finally, Model 4 indicates that the ESCS slope of a particular school is positively correlated with the school socio-economic mix (9.14). A school with a greater socio-economic diversity will have a higher ESCS regression coefficient.

This short example illustrates the potential of three-level regression modelling. However, such models become rapidly complex and their results might be sensitive to which countries are included in the analyses.

LIMITATIONS OF THE MULTILEVEL MODEL IN THE PISA CONTEXT

This section aims to alert PISA data users to some limitations of applying multilevel models in the PISA context.

As PISA draws, per participating school, a random sample of an age population across grades and across classes, it allows the decomposition of the variance into two levels: a between-school variance and a within-school variance. Therefore, the overall variance is expected to be larger with an age-based sample than with a grade sample, unless the age population is attending a single grade, as in Iceland or Japan.

To allow for meaningful international comparisons, these types of indicators require a school definition common to each country. While there are no major differences in definition of what a student is, there are, from one country to another, important differences about what a school is.

International surveys in education are primarily interested in the student sample and therefore one might consider the school sample as a necessary step to draw an efficient sample of students that minimises the cost of testing. In this context, the definition of what a school is, does not present any major issues. However, the increasing importance and popularity of multilevel analyses calls for more attention to the definition issue.

PISA's emphasis in the sampling procedures is on developing a list of units that would guarantee full coverage of the enrolled 15-year-old population and that would additionally give acceptable response rates. Once a "school" was selected, it also had to be practical to sample 35 students from that school to assess them. Thus, the school frame was constructed with issues of student coverage and practical implementation of PISA administration in mind, rather than analytic considerations. Therefore, in the PISA databases, it is possible that the school identification represents different educational institutions that may not be comparable without any restriction. For instance, in some PISA countries, schools were defined as administrative units that may consist of several buildings not necessarily located close to each other. Other countries used the "building" as the school sampling unit and finally, a few countries defined a school as a track within a particular building. It is likely that the larger these aggregates are, the smaller the differences between these aggregates will be and the larger the differences within these aggregates will be. In this context, one would expect to observe high intraclass correlations in these countries and a non-significant within-school regression coefficient for the student socio-economic background (OECD, 2002d).

Besides this problem of an international definition of a school, data users should be aware of the following issues:

- The choice of a school definition in a particular country may be dictated by the availability of the data. Indeed, the national centres have to include a measure of size of the 15-year-old population in the



school sample frame (see Chapter 3). This information may be available at the administrative unit level, but not at the “building” level. In federal countries that count several educational systems, the available data might differ from one system to another, so that the concept of a school might differ even within a particular country.

- For practical or operational reasons, the concept of schools might differ between two PISA data collections. For instance, some countries used the administrative units in the PISA 2000 school sample frame and the “building” units in the PISA 2003 school sample frame. Such changes were implemented to increase the school participation rate. These conceptual changes will influence the results of any variance decomposition and might also affect the outcomes of multilevel models. Moving from an administrative definition to a “building” definition will increase the intraclass correlation and should decrease the slope of the within-school regression coefficient. The changes in any trends on variance decomposition or multilevel regressions require careful examination and interpretation.

In summary, multilevel analyses and variance decomposition analyses need to be interpreted in light of the structure of the educational systems and the school definition used in the school sample frame.

CONCLUSION

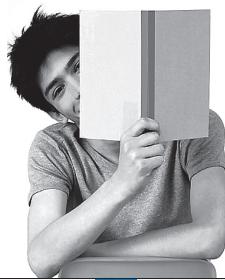
This chapter described the concept of multilevel analyses and how to perform such models with SPSS®. It started with the simplest model, denoted the empty model, and then progressively added complexity by adding variables. This was followed by a description of the SPSS® macro to compute standard errors using five plausible values and replicates.

An example of a three-level regression was then presented.

Finally, in the PISA context, important methodological issues that limit the international comparability of the results were discussed.

Notes

1. While simple linear regression models do not recognize hierarchical structure of data, it is possible to account for some hierarchical aspects of the PISA data in the survey regression models. In many software packages it is also straightforward to correct standard errors in the linear regression by using BRR weights or cluster-robust estimators. These models can adjust for clustering of students within schools and other aspects of survey design.
2. PISA has been using normalised student final weights at the student level for multilevel analysis. But, it is important to note that technical discussion is currently under way regarding the use of separate weights at the different levels.
3. A correlation matrix computed with the pairwise deletion option can however be used as input for a linear regression analysis.
4. This is based on PV1SCIE.



16

PISA and Policy Relevance – Three Examples of Analyses

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INTRODUCTION

This chapter will provide three examples of possible analyses with PISA data. The examples will begin with a concrete policy question which will be followed by a step-by-step analysis:

1. how to translate a policy question into a working hypothesis;
2. how to choose the most appropriate approach to answer the hypothesis;
3. how to compute, referring to the relevant chapters in this manual on technical matters;
4. how to interpret the results;
5. how to draw policy recommendations.

The first example will investigate how to determine which student population should be targeted by an educational reform designed to reduce the gender gap in reading. As it will be demonstrated, the male student distribution differs from the female student distribution, not only by their mean but also by their standard deviation.

The second example will scrutinise the school composition effect in a particular country, *i.e.* Belgium. In addition to the potential efficiency of promoting socio-economic diversity within schools, this example also illustrates the usefulness of the PISA data for answering a policy question in a specific national context.

The last example will explore the influence of some characteristics at the educational system level on the students' expected occupational status at age 30. It will be extended to the issue of segregation in academic performance.

EXAMPLE 1: GENDER DIFFERENCES IN PERFORMANCE

It is current practice to build efficiency and equity indicators of educational systems based on national or international surveys. International agencies, including the OECD, regularly release updated sets of indicators (*e.g.* OECD's *Education at a Glance*). Among equity indicators, differences of achievement in various domains (mainly reading, mathematics and science) between males and females are often presented. Computing these indicators does not raise technical problems and there is relative consensus among modern democratic societies that the gender gap in performance should be reduced. Until recently, the major concern was to improve females' achievement in scientific domains; but, currently, there is a growing concern about males' underachievement in reading literacy.

Lietz (2006) conducted a meta-analysis of gender differences in reading at the secondary education level. Results indicated that: *(i)* gender differences existed across the 139 studies under review that were not due to chance; and *(ii)* slightly over half of these differences could be explained by differences in the design of some of the large-scale assessment programmes included in the meta-analysis and the basis of calculating effect size. Further, the reason for greater gender differences in more recent assessment programmes which could, for example, be related to item selection procedures, contextual changes surrounding reading in society and at school, or the scaling of reading scores, warrant further scrutiny (Lietz, 2006, pp. 336-337).

Lafontaine and Monseur (forthcoming) has explored the impact of some of the test characteristics – especially the question format, the reading process and the type of texts – on gender equity indicators in reading literacy. They concluded that:

the variance analysis clearly shows that the reading aspect has a larger impact (24% of variance explained) than item format on the difference in reading achievement between males and females. But item format also makes a striking difference (16% of variance explained). The type of text appears to be one of the major



factors contributing to gender differences. This result is not surprising and can be related to the differences in written material regularly read by males and females respectively.

In summary, PISA and other large-scale assessments have shown the evidence of females outperforming males in reading and recent methodological investigations have pointed out the influence of item format, type of texts and reading aspects on the size of the gender gap.

However, few recommendations are provided to policy makers to reduce these gender differences. Should remediation programmes designed to reduce the gender gap in reading target all male students or primarily male low performers? In other words, is the gender difference constant or variable across the ability range? According to Wagemaker (1996, p. 42) based on the IEA Reading Literacy Study, "in some countries, it is evident that disparity between boys and girls is not uniformly systematic across the ability distribution." PISA offers a unique opportunity to test the hypothesis of a larger distribution for males. Indeed, data are collected every three years in three domains, *i.e.* reading literacy, mathematics literacy and science literacy.

As a starting point, the hypothesis can be translated as follows: there is no statistical difference between the standard deviation computed on the male population and the standard deviation computed on the female population. Mathematically, the null hypothesis can be written as:

$$H_0 : \sigma_{males} - \sigma_{females} = 0$$

The standard deviations for each domain and on the first three data collections have been computed for males and for females and then compared. However, as illustrated in Chapter 11, the two standard deviation estimates are not independent. Therefore, the significance test for the gender difference in standard deviation requires the use of the MCR_SE_DIFF_PV macro. Box 16.1 presents the syntax for testing the similarity of the standard deviations.

Box 16.1 SPSS® syntax for testing the gender difference in standard deviations of reading performance (e.g. PISA 2000)

```

GET FILE="C:\PISA\2000\DATA\INTSTUD_READ.SAV".
SELECT IF (CNT="AUS" | CNT="AUT" | CNT="BEL" | CNT="CAN" | CNT="CZE" | CNT="DNK" |
           CNT="FIN" | CNT="FRA" | CNT="DEU" | CNT="GRC" | CNT="HUN" | CNT="ISL" |
           CNT="IRL" | CNT="ITA" | CNT="JPN" | CNT="KOR" | CNT="LUX" | CNT="MEX" |
           CNT="NLD" | CNT="NZL" | CNT="NOR" | CNT="POL" | CNT="PRT" | CNT="SVK" |
           CNT="ESP" | CNT="SWE" | CNT="CHE" | CNT="TUR" | CNT="GBR" | CNT="USA" ) .
SAVE OUTFILE="C:\TEMP\STUDENT.SAV" .

INSERT FILE="C:\PISA\MACRO\MCR_SE_DIFF_PV.SPS" .

SET MPRINT=YES .

DIF_PV  DEP=PV1READ PV2READ PV3READ PV4READ PV5READ/
        STAT=STDDEV/
        COMPARE=ST03Q01/
        CATEG= 1 2/
        INFIL=C:\TEMP\STUDENT.SAV/
        WITHIN=_CNT/
        WGT=W_FSTUWT/
        RWGT=W_FSTR/
        CONS=0.05/
        NREP=80/ .
                                         /*default=NOWITHIN*/
                                         /*default=W_FSTUWT*/
                                         /*default=W_FSTR */
                                         /*default=0.05 */
                                         /*default=80 */

```



Table 16.1 presents the differences in the standard deviations between males and females by domain and by country in PISA 2000. For instance, in Australia, the difference in the standard deviation between males and females is equal to 6.94 with a standard error of 2.48. As $(6.94/2.48)$ is greater than 1.96, this difference is significant. The standard deviation of the male student performance in reading is higher by 6.94 than the standard deviation of the female student performance in reading. In other words, the performance of males varies more than the performance of females.

Table 16.1**Differences between males and females in the standard deviation of student performance (PISA 2000)**

	Reading		Mathematics		Science	
	Difference (males – females)	S.E.	Difference (males – females)	S.E.	Difference (males – females)	S.E.
AUS	6.94	(2.48)	3.45	(3.31)	6.58	(2.98)
AUT	4.12	(2.74)	6.75	(3.23)	4.06	(2.99)
BEL	8.16	(3.44)	7.24	(3.25)	10.66	(4.81)
CAN	5.22	(1.10)	5.16	(1.33)	4.65	(1.44)
CHE	3.44	(2.26)	3.47	(3.68)	4.02	(3.07)
CZE	11.92	(4.14)	8.01	(3.38)	7.9	(3.87)
DEU	5.58	(5.23)	0.93	(3.76)	1.67	(3.74)
DNK	6.68	(3.16)	4.3	(3.56)	6.5	(3.73)
ESP	9.33	(2.32)	7.01	(2.70)	8.83	(2.74)
FIN	6.21	(3.19)	1.41	(2.79)	8.52	(2.65)
FRA	8.19	(2.35)	5.82	(2.42)	5.91	(3.27)
GBR	5.71	(2.43)	7.06	(3.12)	4.02	(3.55)
GRC	10.09	(3.28)	9.55	(4.78)	8.11	(3.98)
HUN	2.54	(2.81)	1.44	(3.85)	3.01	(3.22)
IRL	4.35	(2.87)	3.27	(3.65)	3.55	(3.28)
ISL	8.72	(2.32)	4.77	(3.44)	6.03	(3.07)
ITA	9.75	(4.19)	5.92	(4.16)	9.81	(4.56)
JPN	11.19	(3.34)	11.64	(5.24)	12.74	(4.07)
KOR	3.84	(2.79)	2.21	(4.09)	2.31	(3.45)
LUX	5.45	(3.28)	7.02	(4.08)	9.81	(4.19)
MEX	3.69	(2.49)	6.32	(3.03)	5.73	(3.10)
NOR	12.42	(2.62)	9.58	(3.20)	11.27	(3.74)
NZL	9.04	(3.06)	9.82	(3.54)	7.77	(3.50)
POL	10.25	(3.69)	11.83	(4.48)	6.59	(3.82)
PRT	6.96	(2.64)	1.41	(4.17)	4.7	(3.08)
SVK		(0.00)		(0.00)		(0.00)
SWE	5.84	(2.40)	2.7	(3.46)	6.43	(3.63)
TUR		(0.00)		(0.00)		(0.00)
USA	12.89	(3.24)	9.15	(2.64)	12.27	(4.40)

Note: Differences that statistically differ from 0 are in bold.

Table 16.2 provides a summary of gender differences in standard deviation for reading, mathematics and science performance in PISA 2000, PISA 2003 and PISA 2006. Of the 260 comparisons, only 6 are negative, meaning that the standard deviation for females is higher than the standard deviation for males. Further, 177 differences, *i.e.* 68%, are positive and differ significantly from 0, which means that the distribution of the male performance is more widespread than the distribution of the female performance.

Table 16.2**Distribution of the gender differences (males – females) in the standard deviation of the student performance**

	Domain	Negative non-significant difference	Positive non-significant difference	Positive significant difference
PISA 2000	Reading	0	9	18
	Mathematics	0	13	14
	Science	0	13	14
PISA 2003	Reading	0	8	22
	Mathematics	2	7	21
	Science	0	12	18
PISA 2006	Reading	0	4	25
	Mathematics	2	6	22
	Science	2	5	23



To better understand why the standard deviation is higher for males than for females, 5th, 10th, 90th and 95th percentiles are computed by gender on the combined reading scale in PISA 2000. A macro exclusively devoted to the computation of percentiles on plausible values has been developed. Box 16.2 presents the SPSS® syntax for computing the difference for the 5th percentile. Table 16.3 presents the differences between males and females in these percentiles.

Table 16.3

Gender difference on the PISA combined reading scale for the 5th, 10th, 90th and 95th percentiles (PISA 2000)

	Gender difference (males – females) in percentiles of the reading performance distribution			
	5 th	10 th	90 th	95 th
	Difference	Difference	Difference	Difference
AUS	-44.5	-40.5	-21.6	-20.3
AUT	-38.5	-35.1	-19.1	-18.8
BEL	-38.8	-43.4	-16.4	-14.1
CAN	-42.8	-41.3	-25.3	-22.9
CHE	-24.5	-24.4	-20.6	-17.6
CZE	-68.2	-56.6	-21.5	-20.3
DEU	-56.1	-45.2	-25.4	-21.6
DNK	-41.0	-35.9	-16.4	-14.5
ESP	-37.3	-37.0	-11.1	-8.5
FIN	-65.4	-68.1	-40.0	-39.8
FRA	-46.5	-44.5	-20.1	-18.4
GBR	-38.6	-32.2	-17.9	-14.1
GRC	-52.3	-53.9	-23.4	-22.5
HUN	-34.0	-34.1	-26.1	-23.9
IRL	-30.6	-32.4	-20.0	-19.0
ISL	-54.8	-56.3	-26.1	-23.4
ITA	-54.2	-49.0	-23.4	-22.2
JPN	-56.7	-49.4	-18.5	-17.3
KOR	-28.0	-21.1	-13.3	-13.3
LUX	-34.7	-35.0	-19.6	-14.6
MEX	-21.6	-22.8	-13.2	-11.5
NOR	-61.0	-62.4	-28.7	-25.3
NZL	-68.0	-61.7	-34.0	-33.9
POL	-49.4	-53.3	-24.5	-22.5
PRT	-29.3	-33.4	-9.6	-6.7
SWE	-43.8	-49.5	-29.6	-27.7
USA	-52.4	-52.2	-11.1	-7.7
OECD average	-44.9	-43.4	-21.4	-19.3

Box 16.2 SPSS® syntax for computing the 5th percentile of the reading performance by gender (e.g. PISA 2000)

```

INSERT FILE="C:\PISA\MACRO\MCR_SE_PERCENTILES_PV.SPS".
SET MPRINT=YES.
PCTILE PV=PV1READ PV2READ PV3READ PV4READ PV5READ/
PTILES=5/
INFILE=C:\TEMP\STUDENT.SAV/
GRP=cnt ST03Q01/
WGT=w_FSTUWT/
RWGT=w_FSTR/
NREP=80/
CONS=0.05..
EXECUTE.

```

On average, across OECD countries, the gender differences are equal to -45, -43, -21 and -19 for the 5th, 10th, 90th and 95th percentiles respectively. It therefore appears that the gender gap varies according to the level of proficiency, the difference in performance between males and females being greater for low achievers than it is for high achievers.



Next, let's examine whether the gender difference is constant or not according to the different types of items. Two new reading scales are computed: the first scale is based on multiple-choice items and the second scale is based on open-ended items. Table 16.4 presents the difference between the standard deviation for males and the standard deviation for females. On average, the standard deviation for males is greater than females by 3.8 on the multiple-choice item scale, and by 8.2 on the open-ended item scale.

Table 16.4

**Gender difference in the standard deviation for the two different item format scales in reading
(PISA 2000)**

	Scale of multiple-choice items		Scale of open-ended items	
	Difference (males – females) in the standard deviation	S.E.	Difference (males – females) in the standard deviation	S.E.
AUS	4.7	(3.1)	8.8	(3.1)
AUT	1.2	(2.5)	4.4	(3.0)
BEL	3.3	(3.0)	7.9	(3.2)
CAN	3.7	(1.4)	6.5	(1.5)
CHE	3.1	(2.7)	4.6	(3.1)
CZE	2.0	(2.6)	8.2	(3.1)
DEU	-1.2	(3.7)	4.7	(3.6)
DNK	2.5	(2.7)	6.8	(3.3)
ESP	4.3	(2.1)	10.8	(2.1)
FIN	6.1	(3.0)	9.2	(2.3)
FRA	4.2	(2.6)	8.6	(2.6)
GBR	2.5	(3.1)	5.4	(2.8)
GRC	4.7	(3.4)	13.6	(3.5)
HUN	-0.7	(3.3)	3.7	(3.2)
IRL	2.9	(3.0)	6.4	(2.8)
ISL	7.7	(3.6)	12.1	(3.0)
ITA	1.7	(2.9)	9.2	(3.6)
JPN	4.3	(3.1)	13.4	(3.6)
KOR	1.8	(3.0)	5.2	(2.1)
LUX	3.7	(3.4)	5.4	(3.6)
MEX	2.8	(2.6)	3.5	(3.5)
NOR	8.0	(3.1)	12.8	(3.7)
NZL	6.6	(3.6)	9.0	(4.3)
POL	6.0	(3.7)	12.5	(3.7)
PRT	4.6	(2.7)	8.1	(2.8)
SWE	5.4	(2.3)	6.2	(2.7)
USA	6.9	(3.2)	14.5	(3.4)
OECD average	3.8	(0.6)	8.2	(0.6)

The item format is therefore related to the gender difference in the performance distribution. Increasing the proportion of multiple-choice items will minimise the difference between males and females in performance dispersion. As the proportion of open-ended items increase, the difference between males and females in performance distribution will increase.

In conclusion, the results suggest that any strategies aimed at reducing the gender gap should target male low performers. The consistent findings of the wider gender gap for students at the lower end of performance, in conjunction with the impact of item format on the standard deviation, might also suggest a tendency of male low performers to invest less in schoolwork than female low performers. Even if some studies demonstrate that reading disabilities are more frequent in males than in females (Rutter *et al.*, 2004), remedial strategies will have to take into account student motivation and behaviour in school.

EXAMPLE 2: PROMOTING SOCIO-ECONOMIC DIVERSITY WITHIN SCHOOL?

A few decades ago, some OECD countries set up a school catchment area that obliges students to attend their local schools. Countries with such a school attendance policy usually present small between-school variance (i.e., intraclass correlation coefficients) in students' performance and students' socio-economic background indicator. Every school, in some sense, has students who represent the population in the area that the school is located in.



In other countries, parents are free to select the school their children attend. This freedom generally tends to be related to an increase between-school variance in students' performance and students' socio-economic background. The PISA initial reports have extensively discussed such equity issues and equity in educational opportunity is an important issue that policy makers can no longer ignore (OECD, 2004, 2007). Belgium is one of the countries which show large between-school variance in student performance in science and the majority of which are explained by the student and school socio-economic background, together with other OECD countries including Austria, Czech Republic, Germany, Hungary and the Netherlands (OECD, 2007, Table 4.1a). For these countries, what would be the best strategy to provide students with equal educational opportunity regardless of their socio-economic background? The following section will examine this issue, taking Belgium as an example.

As illustrated in Figure 1.1 in Chapter 1 of this manual, between-school variance in performance (i.e. academic segregation) and between-school variance in students' socio-economic background (i.e. social segregation) are closely intertwined. Unfortunately, it is not easy to know whether social segregation is an antecedent or a consequence of academic segregation. For example, in countries with a substantial proportion of students enrolled in private schools with admission fees, one might suspect that academic segregation is partly a consequence of social segregation. In some other countries where students are grouped at an early age according to their performance, social segregation may be a consequence of academic segregation.

Before adopting a specific educational reform, it is important to disentangle the relationship between social segregation and academic segregations and help policy makers know where to target a reform. For example, even if a reform is designed to decrease the difference in school socio-economic intake, the reform would fail when social segregation is simply the consequence of academic segregation.

In this example, the PISA index of economic, social and cultural status (ESCS) is used as an indicator of the student socio-economic background. As described in Chapter 15, estimating the importance of the school socio-economic composition effect on student performance requires the computation of the school average PISA index of economic, social and cultural status (ESCS) of student. The composition effect, then, can be estimated with the following multilevel model:

$$\begin{aligned}y_{ij} &= \beta_{0j} + \beta_{1j} (\text{ESCS}) + \varepsilon_{ij} \\ \beta_{0j} &= \gamma_{00} + \gamma_{01} (\text{MU_ESCS}) + U_{0j} \\ \beta_{1j} &= \gamma_{10}\end{aligned}$$

In the educational system in Belgium, the school socio-economic composition has an important impact on student performance, as illustrated in Model 2 in Table 16.5.

Table 16.5
Random and fixed parameters in the multilevel model with student and school socio-economic background

	Model 1		Model 2	
	Estimate	S.E.	Estimate	S.E.
τ_0^2	5113.8	(142.5)	1456.7	(88.8)
σ^2	4750.7	(126.7)	4568.4	(118.1)
Intercept	509.9	(0.3)	491.3	(0.5)
ESCS			17.3	(1.2)
MU_ESCS			102.6	(1.5)

Note: These results are computed by SAS®.



An increase of 1 point on the school socio-economic intake variable (*i.e.* school average ESCS) is associated with an increase of 102.6 points in science. As the school socio-economic intake in Belgium ranges from -1.73 to 1.63, the difference in science performance between the most disadvantaged and the most advantaged schools in Belgium is more than 300 score points.

It should also be noted that the student socio-economic background and the school socio-economic background explain about 72% of the school variance, *i.e.* $(5\ 113.8 - 1\ 456.7) / 5\ 113.8$.

In Belgium, the educational system consists of three types of secondary schools: (*i*) schools that provide general education only; (*ii*) schools that provide vocational education only; (*iii*) schools that provide both general and vocational education.

Before starting the multilevel analysis, a data file is prepared, as shown in Box 16.3. Two dummy variables are created to differentiate the three types of schools: GEN for the schools that provide only general education and VOC for the schools that provide only vocational education. The detailed description for the variable REPEAT and MU_REPEAT is provided later in this section.

Box 16.3 SPSS® syntax for preparing a data file for the multilevel analysis

```

GET FILE="C:\PISA\2006\DATA\INT_STU06_DEC07.SAV".
SELECT IF (CNT="BEL").
RECODE ST01Q01 (9=1) (8=2) (7=3) (10 thru Highest=0) INTO REPEAT.
RECODE ISCED0 (3=1) (1=0) INTO VOCA.
SELECT IF NOT (SYSMISS(REPEAT)).
SAVE OUTFILE="C:\TEMP\TEMP1.SAV".
EXECUTE.

SORT CASES BY CNT(A) SCHOOLID(A) STIDSTD(A).
WEIGHT BY W_FSTUWT.
AGGREGATE
  /OUTFILE=* MODE=ADDVARIABLES
  /BREAK=SCHOOLID
  /MU_REPEAT=MEAN (REPEAT)
  /MU_ESCS=MEAN (ESCS).
WEIGHT OFF.
AGGREGATE
  /OUTFILE=* MODE=ADDVARIABLES
  /BREAK=SCHOOLID
  /MU_VOCA=SUM (VOCA)
  /N_VOCA=NU (VOCA)
  /NMIS_VOCA=NUMISS (VOCA).

COMPUTE GEN=0.
COMPUTE VOC=0.
COMPUTE N=N_VOCA - NMIS_VOCA.
IF (N=MU_VOCA) VOC=1.
IF (MU_VOCA=0) GEN=1.
SELECT IF NOT (SYSMISS(MU_ESCS) | MISSING(ESCS)).

AGGREGATE
  /OUTFILE=* MODE=ADDVARIABLES
  /BREAK=CNT
  /WGTSUM=SUM(W_FSTUWT)
  /SIZE=NU(W_FSTUWT).
COMPUTE W_FSTUWT=(W_FSTUWT/WGTSUM)*SIZE.
DO REPEAT a= W_FSTR1 to W_FSTR80.
  COMPUTE a=(a/WGTSUM)*SIZE.
END REPEAT.
SAVE OUTFILE="C:\TEMP\TEMP2.SAV".

```



As a first step, preliminary analysis is conducted, using only one plausible value without replicates, to examine if the school composition effect differs according to school type. Box 16.4 presents the SPSS® syntax for this preliminary analysis and Box 16.5 presents the SAS® output of this model as SPSS® MIXED procedure cannot deal with fractional weights (see detail in Chapter 15). As shown in Box 16.4, analysing the variability of the school composition effect according to school type can be modelled by Level 2 interactions (*i.e.* mu_escs*gen and mu_escs*voc). It is also necessary, as described in Chapter 15, to include them (*i.e.* gen and voc) as the main effects.

Box 16.4 **SPSS® syntax for running a preliminary multilevel analysis with one PV**

```
GET FILE="C:\TEMP\TEMP2.SAV".
WEIGHT OFF.
MIXED PV1SCIE WITH ESCS MU_ESCS GEN VOC
  /FIXED=INTERCEPT ESCS MU_ESCS GEN VOC MU_ESCS*GEN MU_ESCS*VOC
  /METHOD=ML
  /PRINT=G SOLUTION
  /RANDOM=INTERCEPT | SUBJECT(SCHOOLID)
  /REGWGT=W_FSTUWT.
```

Box 16.5 **Estimates of fixed parameters in the multilevel model**

Solution for Fixed Effects (Produced by SAS®)

Effect	Estimate	Standard Error	DF	t Value	Pr > t
Intercept	485.19	3.2541	263	149.10	<.0001
ESCS	17.0069	0.9346	8506	18.20	<.0001
mu_escs	120.78	8.6052	8506	14.04	<.0001
gen	54.3207	8.9145	8506	6.09	<.0001
voc	1.2123	6.0937	8506	0.20	0.8423
mu_escs*gen	-77.8060	14.2017	8506	-5.48	<.0001
mu_escs*voc	-40.9547	13.9624	8506	-2.93	0.0034

The school composition effect shown in Box 16.4 is the effect compared with a reference group, *i.e.* schools providing both general and vocational education. The school composition effect for schools providing general education only is equal to 43, *i.e.* 120.78-77.80, and the school composition effect for schools providing vocational education only is equal to 80, *i.e.* 120.78-40.95. However, these results were obtained by using only one plausible value and the macro was not used so that standard errors are not unbiased.

According to *Les indicateurs de l'enseignement* (2007, p. 35), a student who has to repeat a grade in secondary education is two or three times more likely to move to another school than to repeat the grade in the same school in the French-speaking community in Belgium. Further, usually, the student will move to a school that has a lower socio-economic intake.

The grade repetition information was therefore added to the previous model both at the student and school levels. The proportion of grade repetition at the school level is a proxy of academic segregation. In Belgium, as compulsory education is dictated by the calendar year of birth and as the PISA target population is also



defined in term of the calendar year, it is straightforward to determine if a student is in the expected grade or not. The difference in years between the expected grade and the actual grade is computed at the student level (*i.e.* REPEAT) and the school average of these differences is also computed at the school level (*i.e.* MU_REPEAT), as seen in Box 16.3. These variables are included as independent variables.

It is also possible to model cross-level interactions for analysing the differences between the three types of schools as shown in the model in Box 16.3. Here, however, in order to facilitate the readability of the results, a multilevel model is run separately for each of the three school types. The final model can be written as:

$$y_{ij} = \beta_{0j} + \beta_{1j} (\text{ESCS}) + \beta_{2j} (\text{REPEAT})$$

$$\beta_{0j} = \gamma_{00} + \gamma_{10} (\text{MU_ESCS}) + \gamma_{20} (\text{MU_REPEAT})$$

$$\beta_{10} = \gamma_{10}$$

$$\beta_{20} = \gamma_{20}$$

If the school socio-economic composition effect decreases substantially by introducing the grade repetition variables, this means that social segregation is mainly a result of academic segregation in Belgium.

Table 16.6 presents the fixed and random parameters estimates of the final model as well as two previous models, *i.e.* the empty model (Model 1) and the model with student and school socio-economic background (Model 2). Preliminary analysis can be conducted with the SPSS® MCR_ML_PV macro as shown in Box 16.6. The final estimates and their respective standard errors were obtained with SAS® as shown in Table 16.6.

The intraclass correlation on the whole population is equal to 0.52. It is respectively equal to 0.42, 0.26 and 0.42 for schools providing both general and vocational education, for schools providing general education only, and for schools providing vocational education only. Therefore, in Belgium, differences between schools in performance are small for the schools providing general education only, but large for schools providing vocational education or schools providing both general and vocational education.

The results of Model 2 indicate that the school socio-economic composition effects are important for students in schools providing both general and vocational education and to a lesser extent for students in schools providing vocational education only. On the other hand, the school socio-economic composition effect is small for students in schools providing general education. The percentage of school variance explained by the student socio-economic background and the school socio-economic intake are respectively equal to 66%, 38% and 58% for population in schools providing both general and vocation education, in schools providing general education only and in schools providing vocational education only.

The results of Model 3 illustrate the importance of the academic segregation in Belgium, even within school types. Indeed, the introduction of the grade repetition information substantially reduces the school composition effect. For instance, in schools providing general education only, this effect changes from 48.3 to 15.1 and from 86.0 to 12.7 for schools providing vocational education only. This reduction also reflects the high correlation between the school socio-economic intake and the percentage of over-aged students.

In conclusion, these results indicate that social segregation in the Belgian educational system is mainly an outcome of academic segregation. Thus, promoting social diversity within each school, without taking into account academic segregation, will not substantially reduce educational inequities.

**Table 16.6**

Random and fixed parameters in the multilevel model with socio-economic background and grade retention at the student and school levels

Schools providing both general and vocational education						
	Model 1		Model 2		Model 3	
	Estimate	S.E.	Estimate	S.E.	Estimate	S.E.
τ_0^2	4115.4	(149.8)	1400.4	(96.5)	666.9	(90.4)
σ^2	5582.0	(335.5)	5271.2	(310.0)	4516.3	(256.9)
Intercept	486.9	(0.5)	485.3	(0.6)	539.9	(3.4)
ESCS			22.0	(1.6)	18.6	(1.6)
MU_ESCS			114.9	(2.5)	57.6	(4.7)
REPEAT					-49.0	(2.0)
MU_REPEAT					-55.8	(7.1)
Schools providing general education only						
	Model 1		Model 2		Model 3	
	Estimate	S.E.	Estimate	S.E.	Estimate	S.E.
τ_0^2	1350.2	(105.7)	842.4	(91.3)	377.6	(50.0)
σ^2	3740.9	(299.8)	3663.3	(290.3)	3326.4	(235.3)
Intercept	578.1	(0.6)	539.8	(1.6)	587.5	(2.3)
ESCS			12.1	(2.1)	9.6	(2.0)
MU_ESCS			48.3	(3.0)	15.1	(3.0)
REPEAT					-45.2	(3.7)
MU_REPEAT					-90.3	(6.9)
School providing vocational education only						
	Model 1		Model 2		Model 3	
	Estimate	S.E.	Estimate	S.E.	Estimate	S.E.
τ_0^2	3185.9	(251.6)	1346.1	(144.4)	569.9	(127.4)
σ^2	4363.0	(437.2)	4251.6	(438.2)	3924.5	(403.2)
Intercept	468.6	(1.0)	486.0	(0.8)	531.8	(1.9)
ESCS			13.1	(2.6)	11.1	(2.5)
MU_ESCS			86.0	(4.0)	12.7	(5.7)
REPEAT					-38.9	(5.6)
MU_REPEAT					-78.1	(7.9)

Note: These results are computed by SAS®.

Box 16.6 SPSS® syntax for running preliminary analysis with the MCR_ML_PV macro

```

INSERT FILE="C:\PISA\MACRO\MCR_ML_PV.SPS".
SET MPRINT=YES.
MIXED_PV  INFILE=C:\TEMP\TEMP2.SAV/
          DEP=PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE /
          GRP=CNT GEN VOC/.
EXECUTE.
MIXED_PV  INFILE=C:\TEMP\TEMP2.SAV/
          DEP=PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE /
          FIXEF=ESCS MU_ESCS/
          GRP=CNT GEN VOC/.
EXECUTE.
MIXED_PV  INFILE=C:\TEMP\TEMP2.SAV/
          DEP=PV1SCIE PV2SCIE PV3SCIE PV4SCIE PV5SCIE /
          FIXEF=ESCS MU_ESCS REPEAT MU_REPEAT/
          GRP=CNT GEN VOC/.
EXECUTE.

```



EXAMPLE 3: THE INFLUENCE OF AN EDUCATIONAL SYSTEM ON THE EXPECTED OCCUPATIONAL STATUS OF STUDENTS AT AGE 30

This section examines the influence of an educational system on the expected occupational status of students at age 30. In PISA 2000 and PISA 2006 students were asked to report on their expected occupation at the age of 30. The open-ended responses for occupations were coded in accordance with the International Standard Classification of Occupations (ISCO 1988), to derive students' expected occupational status mapped to the International Socio-Economic Index of Occupational Status (ISEI) (Ganzeboom *et al.*, 1992).

The structure of the educational system was assessed through the academic segregation and social segregation coefficients, as described in Chapter 1. By way of reminder, the two SPSS® macros devoted to multilevel modelling return the intraclass correlation and its standard error. In Chapter 1, some of the consequences of social and/or academic segregations have been listed. Monseur and Crahay (forthcoming) demonstrated that as social and academic segregations increase, (*i*) the difference between low performers and high performers increases; (*ii*) the difference between disadvantaged and advantaged socio-economic backgrounds of students increases; and (*iii*) the correlation between the student socio-economic background and his/her performance in reading increases.

Table 16.7
Segregation indices and correlation coefficients by country (PISA 2000)

	Segregation indices (intraclass correlation coefficient)						Correlation between:			
	Student performance in reading		International socio-economic index of occupational status (HISEI)		Students' expected occupational status		Student performance in reading and students' expected occupational status		International socio-economic index of occupational status (HISEI) and students' expected occupational status	
	rho	S.E.	rho	S.E.	rho	S.E.	Coefficient	S.E.	Coefficient	S.E.
AUS	0.18	(0.01)	0.17	(0.01)	0.09	(0.01)	0.41	(0.02)	0.24	(0.02)
AUT	0.60	(0.02)	0.23	(0.01)	0.42	(0.02)	0.42	(0.02)	0.34	(0.02)
BEL	0.60	(0.01)	0.24	(0.01)	0.38	(0.01)	0.51	(0.02)	0.37	(0.02)
CAN	0.18	(0.01)	0.12	(0.00)	0.07	(0.00)	0.30	(0.01)	0.17	(0.01)
CHE	0.43	(0.02)	0.18	(0.01)	0.26	(0.02)	0.45	(0.02)	0.37	(0.02)
CZE	0.53	(0.01)	0.20	(0.02)	0.38	(0.02)	0.52	(0.01)	0.35	(0.02)
DEU	0.59	(0.01)	0.21	(0.01)	0.31	(0.02)	0.46	(0.02)	0.30	(0.02)
DNK	0.19	(0.03)	0.13	(0.02)	0.10	(0.03)	0.42	(0.02)	0.31	(0.03)
ESP	0.20	(0.01)	0.26	(0.02)	0.08	(0.01)	0.41	(0.01)	0.26	(0.02)
FIN	0.12	(0.04)	0.13	(0.01)	0.05	(0.01)	0.38	(0.02)	0.26	(0.02)
FRA	0.50	(0.01)	0.20	(0.01)	0.28	(0.01)	0.46	(0.01)	0.31	(0.02)
GBR	0.22	(0.01)	0.16	(0.01)	0.08	(0.01)	0.41	(0.01)	0.27	(0.02)
GRC	0.51	(0.02)	0.22	(0.02)	0.22	(0.02)	0.40	(0.02)	0.28	(0.02)
HUN	0.67	(0.01)	0.30	(0.01)	0.40	(0.02)	0.51	(0.02)	0.36	(0.02)
IRL	0.18	(0.02)	0.13	(0.01)	0.05	(0.01)	0.42	(0.02)	0.24	(0.02)
ISL	0.08	(0.02)	0.15	(0.03)	0.04	(0.03)	0.34	(0.02)	0.22	(0.02)
ITA	0.55	(0.02)	0.22	(0.01)	0.38	(0.02)	0.31	(0.02)	0.31	(0.02)
JPN	0.46	(0.01)	0.06	(0.01)	0.09	(0.02)	0.18	(0.03)	0.13	(0.03)
KOR	0.37	(0.01)	0.19	(0.01)	0.18	(0.01)	0.29	(0.02)	0.21	(0.02)
LUX	0.31	(0.01)	0.20	(0.01)	0.20	(0.02)	0.29	(0.02)	0.23	(0.02)
MEX	0.53	(0.01)	0.33	(0.02)	0.13	(0.02)	0.20	(0.02)	0.18	(0.02)
NLD	0.50	(0.02)	0.13	(0.02)	0.19	(0.02)	0.45	(0.02)	0.25	(0.03)
NOR	0.10	(0.02)	0.09	(0.01)	0.07	(0.01)	0.42	(0.02)	0.31	(0.02)
NZL	0.16	(0.01)	0.12	(0.01)	0.06	(0.01)	0.38	(0.02)	0.25	(0.02)
POL	0.62	(0.01)	0.24	(0.01)	0.53	(0.02)	0.54	(0.02)	0.34	(0.02)
PRT	0.37	(0.02)	0.23	(0.01)	0.09	(0.01)	0.41	(0.02)	0.29	(0.02)
SWE	0.09	(0.01)	0.12	(0.01)	0.05	(0.01)	0.36	(0.02)	0.24	(0.02)
USA	0.29	(0.02)	0.15	(0.01)	0.05	(0.01)	0.27	(0.02)	0.14	(0.02)



Three other indicators were computed for assessing the influence of the educational system on the index of expected occupational status of students:

1. the percentage of variance in students' expected occupational status that lies between schools;
2. the correlation between students' expected occupational status and student performance;
3. the correlation between students' expected occupational status and the international socio-economic index of occupational status (HISEI) for parents.

It is assumed that in highly tracked or segregated educational systems:

1. the intraclass correlation for students' expected occupational status will be higher,
2. the correlation between students' expected occupational status and student performance will be higher,
3. the correlation between students' expected occupational status and the international socio-economic index of occupational status (HISEI) for parents will be higher.

Table 16.7 and Table 16.8 present these five indicators respectively for PISA 2000 data and for PISA 2006. These five indicators include: the segregation indices (*i.e.* intraclass correlation coefficients) of student performance; international socio-economic index of occupational status (HISEI); and students' expected occupational status; as well as the correlation coefficients between student performance and students' expected occupational status; and between HISEI and students' expected occupational status.

Table 16.8
Segregation indices and correlation coefficients by country (PISA 2006)

	Segregation indices (intraclass correlation coefficient)						Correlation between:			
	Student performance in science		International socio-economic index of occupational status (HISEI)		Students' expected occupational status		Student performance in science and students' expected occupational status		International socio-economic index of occupational status (HISEI) and students' expected occupational status	
	rho	S.E.	rho	S.E.	rho	S.E.	Coefficient	S.E.	Coefficient	S.E.
AUS	0.18	(0.01)	0.16	(0.01)	0.08	(0.01)	0.39	(0.01)	0.22	(0.01)
AUT	0.55	(0.01)	0.26	(0.01)	0.46	(0.01)	0.39	(0.04)	0.36	(0.02)
BEL	0.52	(0.01)	0.22	(0.01)	0.36	(0.01)	0.48	(0.02)	0.33	(0.02)
CAN	0.19	(0.01)	0.12	(0.00)	0.08	(0.00)	0.28	(0.01)	0.20	(0.01)
CHE	0.36	(0.01)	0.15	(0.01)	0.17	(0.01)	0.30	(0.02)	0.25	(0.01)
CZE	0.53	(0.02)	0.26	(0.02)	0.34	(0.02)	0.50	(0.02)	0.35	(0.02)
DEU	0.57	(0.01)	0.21	(0.01)	0.29	(0.02)	0.43	(0.02)	0.34	(0.02)
DNK	0.16	(0.02)	0.10	(0.01)	0.07	(0.01)	0.41	(0.02)	0.27	(0.02)
ESP	0.15	(0.01)	0.21	(0.01)	0.06	(0.00)	0.42	(0.02)	0.25	(0.01)
FIN	0.06	(0.01)	0.10	(0.01)	0.05	(0.01)	0.39	(0.02)	0.28	(0.02)
FRA	0.54	(0.01)	0.28	(0.02)	0.31	(0.02)	0.43	(0.02)	0.33	(0.02)
GBR	0.20	(0.01)	0.13	(0.01)	0.08	(0.00)	0.42	(0.02)	0.26	(0.01)
GRC	0.47	(0.02)	0.29	(0.02)	0.30	(0.02)	0.45	(0.02)	0.26	(0.02)
HUN	0.61	(0.02)	0.28	(0.02)	0.41	(0.02)	0.45	(0.02)	0.30	(0.02)
IRL	0.17	(0.02)	0.17	(0.01)	0.07	(0.01)	0.42	(0.02)	0.26	(0.02)
ISL	0.09	(0.03)	0.13	(0.03)	0.07	(0.03)	0.34	(0.02)	0.22	(0.02)
ITA	0.50	(0.01)	0.20	(0.01)	0.36	(0.01)	0.31	(0.02)	0.28	(0.01)
JPN	0.47	(0.01)	0.10	(0.01)	0.16	(0.01)	0.26	(0.03)	0.17	(0.02)
KOR	0.35	(0.01)	0.13	(0.01)	0.11	(0.01)	0.35	(0.02)	0.13	(0.02)
LUX	0.30	(0.01)	0.24	(0.01)	0.26	(0.02)	0.42	(0.02)	0.30	(0.02)
MEX	0.40	(0.04)	0.25	(0.01)	0.08	(0.01)	0.13	(0.02)	0.12	(0.01)
NLD	0.60	(0.01)	0.18	(0.01)	0.32	(0.01)	0.49	(0.01)	0.29	(0.02)
NOR	0.11	(0.01)	0.12	(0.01)	0.05	(0.01)	0.37	(0.02)	0.25	(0.02)
NZL	0.17	(0.01)	0.12	(0.01)	0.07	(0.01)	0.35	(0.02)	0.23	(0.02)
POL	0.14	(0.01)	0.19	(0.01)	0.05	(0.01)	0.43	(0.01)	0.29	(0.01)
PRT	0.32	(0.01)	0.26	(0.01)	0.10	(0.01)	0.41	(0.02)	0.29	(0.02)
SVK	0.42	(0.02)	0.23	(0.02)	0.31	(0.02)	0.44	(0.02)	0.32	(0.02)
SWE	0.12	(0.02)	0.12	(0.01)	0.07	(0.01)	0.31	(0.02)	0.23	(0.01)
TUR	0.53	(0.02)	0.18	(0.01)	0.23	(0.02)	0.35	(0.02)	0.15	(0.02)
USA	0.24	(0.01)	0.15	(0.01)	0.04	(0.01)	0.22	(0.02)	0.13	(0.01)



Figure 16.1 presents the correlation at the country level between the segregation index of student performance in reading and the segregation index of students' expected occupational status. It can be observed in this figure that the segregation index of students' expected occupational status increases as the academic segregation increases. This result makes sense as in highly segregated educational systems, low performers are more likely to attend vocational schools that train students for specific types of occupations.

Figure 16.1

Relationship between the segregation index of students' expected occupational status and the segregation index of student performance in reading (PISA 2000)

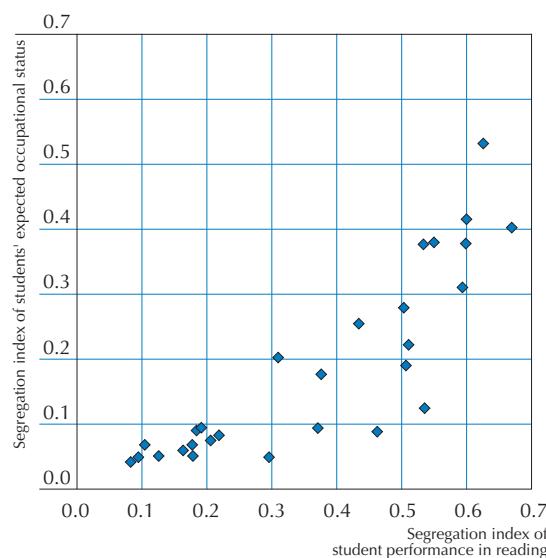


Figure 16.2

Relationship between the segregation index of students' expected occupational status and the correlation between HISEI and students' expected occupational status

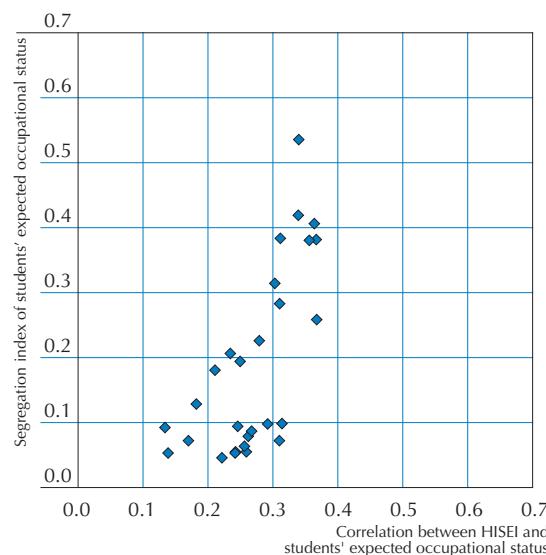




Figure 16.2 is quite appealing. As the intraclass correlation of the students' expected occupational status increases, the correlation between the parents occupation and the self-expected correlation tends to be higher than what is observed in non-segregated educational systems.

Table 16.9 and Table 16.10 present the correlation at the country level between the five indicators.

As shown by Tables 16.9 and 16.10, academic segregation is highly associated with the segregation index of students' expected occupational status which seems to reinforce the correlation between: (i) performance and students' expected occupational status; and (ii) parents' occupational status and students' expected occupational status.

Table 16.9
Country correlations (PISA 2000)

	Segregation index of international socio-economic index of occupational status (HISEI)	Segregation index of students' expected occupational status	Correlation between student performance in reading and students' expected occupational status	Correlation between international socio-economic index of occupational status (HISEI) and students' expected occupational status
Segregation index of student performance in reading	0.63	0.86	0.28	0.43
Segregation index of international socio-economic index of occupational status (HISEI)		0.58	0.24	0.41
Segregation index of students' expected occupational status			0.54	0.69
Correlation between student performance in reading and students' expected occupational status				0.85

Table 16.10
Country correlations (PISA 2006)

	Segregation index of international socio-economic index of occupational status (HISEI)	Segregation index of students' expected occupational status	Correlation between student performance in science and students' expected occupational status	Correlation between international socio-economic index of occupational status (HISEI) and students' expected occupational status
Segregation index of student performance in science	0.65	0.88	0.23	0.29
Segregation index of international socio-economic index of occupational status (HISEI)		0.69	0.38	0.48
Segregation index of students' expected occupational status			0.45	0.60
Correlation between student performance in science and students' expected occupational status				0.78

CONCLUSION

This chapter illustrated how policy relevant questions might be at least partially answered by PISA data. The first example addressed an important equity issue, *i.e.* the gender gap in reading. Results indicate that education reforms for reducing the gender gap in reading performance should be targeted at low male achievers. It also identified the methodological concern of the impact of item format on the respective



standard deviation for boys and for girls. This is obviously an area where PISA offers extensive opportunities for methodological research.

The second example demystified the concept of school socio-economic composition in a particular context and revealed that in Belgium, social segregation mainly results from academic segregation.

Finally, the last example broadened the consequences on segregated education systems to students' expected occupational status at the age of 30. It concretised the long-term effects of educational policies.



17

SPSS® Macro

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INTRODUCTION

This chapter presents the 19 SPSS® macros used in the previous chapters. These are also available from www.pisa.oecd.org. Table 17.1 presents a summary of the 19 SPSS® macros. The file names are in blue and the macro names as well as their arguments are in black.

STRUCTURE OF THE SPSS® MACROS

All the macros have five common arguments:

- NREP =
- WGT =
- RWGT =
- CONS =
- INFILE =

The other arguments are specific to a particular macro. These specific arguments were explained in the previous chapters.

All SPSS® macros have the same structure.

- The first step consists of:
 - Reading in the INFILE data file and dropping all variables that are not necessary for the analysis.
- The second step is the iterative part of the macro:
 - The SPSS® procedure for computing the estimate is repeated 81 times or 405 times, depending on the procedure; and
 - At each run, the results are stored in a temporary file. The number of the replicate and the number of the plausible values if needed are then combined in one file.
- The fourth step is devoted to the computation of the final statistic and its respective standard error:
 - The squared differences between the final estimate and the 80 replicates are computed;
 - The sum of the squared difference is computed and divided by 20; and
 - Final estimates, the sampling variance estimates and, in the case of the plausible values, the measurement variance are computed.
- The final step flags the statistics for not reaching minimum sample size requirements that researchers set. This step is not included in some macros.
 - The unweighted numbers of students, the unweighted number of schools and the weighted percentage of the population are firstly computed per population estimates returned by the macro;
 - The unweighted number of schools and the weighted percentage of the population are then compared with the benchmarks provided by the researchers; and
 - If these numbers are below the benchmarks, the results are flagged.

The SPSS® syntax is presented hereafter.



Table 17.1
Synthesis of the 19 SPSS® macros

Requested statistics	Without plausible values	With plausible values
Mean, sd, sum, pgt, plt, pin, pout, fgt, flt, fin, fout	MCR_SE_UNIV.sps UNIVAR NREP= / /*default=80*/ STAT= / DEP= / GRP= / /*default=NOGRP*/ WGT= / /*default=W_FSTUWT*/ RWGT= / /*default=W_FSTR*/ CONS= / /*default=0.05*/ PSU= / /*default=SCHOOLID*/ LIMIT_CRITERIA= / /*default=0*/ INFILE=' '.	MCR_SE_PV.sps PV NREP= / /*default=80*/ STAT= / DEP= / GRP= / /*default=NOGRP*/ WGT= / /*default=W_FSTUWT*/ RWGT= / /*default=W_FSTR*/ CONS= / /*default=0.05*/ PSU= / /*default=SCHOOLID*/ LIMIT_CRITERIA= / /*default=0*/ INFILE=' '.
Percentiles		MCR_SE_PERCENTILES_PV.sps PCTILE PV= / PTILES= / INFILE= / GRP= / /*default=NOGRP*/ WGT= / /*default=W_FSTUWT*/ RWGT= / /*default=W_FSTR*/ NREP= / /*default=80*/ CONS= /. /*default=0.05*/
Percentage	MCR_SE_GrpPct.sps GRPPCT NREP= / /*default=80*/ WITHIN= / /*default=NOWITHIN*/ GRP= / WGT= / /*default=W_FSTUWT*/ RWGT= / /*default=W_FSTR*/ CONS= / /*default=0.05*/ PSU= / /*default=SCHOOLID*/ LIMIT_CRITERIA= / /*default=0*/ INFILE=' '.	MCR_SE_PctLev.sps PCTLEV NREP= / /*default=80*/ WITHIN= / /*default=NOWITHIN*/ PROLEV= / WGT= / /*default=W_FSTUWT*/ RWGT= / /*default=W_FSTR*/ CONS= / /*default=0.05*/ PSU= / /*default=SCHOOLID*/ LIMIT_CRITERIA= / /*default=0*/ INFILE=' '.
Regression	MCR_SE_REG.sps REGnoPV NREP= / /*default=80*/ IND= / DEP= / GRP= / /*default=NOGRP*/ WGT= / /*default=W_FSTUWT*/ RWGT= / /*default=W_FSTR*/ CONS= / /*default=0.05*/ PSU= / /*default=SCHOOLID*/ LIMIT_CRITERIA= / /*default=0*/ INFILE=' '.	MCR_SE_REG_PV.sps REG_PV NREP= / /*default=80*/ IND= / DEP= / GRP= / /*default=NOGRP*/ WGT= / /*default=W_FSTUWT*/ RWGT= / /*default=W_FSTR*/ CONS= / /*default=0.05*/ PSU= / /*default=SCHOOLID*/ LIMIT_CRITERIA= / /*default=0*/ INFILE=' '.
Correlation coefficients	MCR_SE_COR.sps CORnoPV VAR1= / VAR2= / INFILE= / GRP= / /*default=NOGRP*/ LIMIT_CRITERIA= / /*default=0*/ PSU= / /*default=SCHOOLID*/ WGT= / /*default=W_FSTUWT*/ RWGT= / /*default=W_FSTR*/ NREP= / /*default=80*/ CONS= /. /*default=0.05*/	MCR_SE_COR_1PV.sps COR_1PV NoPV= / PV= / INFILE= / GRP= / /*default=NOGRP*/ LIMIT_CRITERIA= / /*default=0*/ PSU= / /*default=SCHOOLID*/ WGT= / /*default=W_FSTUWT*/ RWGT= / /*default=W_FSTR*/ NREP= / /*default=80*/ CONS= /. /*default=0.05*/
Differences on mean, sd, sum, pgt, plt, pin, pout, fgt, flt, fin, fout	MCR_SE_DIFF.sps DIFnoPV DEP= / STAT= / COMPARE= / CATEG= / INFILE= / WITHIN= / /*default=NOWITHIN*/ WGT= / /*default=W_FSTUWT*/ RWGT= / /*default=W_FSTR*/ CONS= / /*default=0.05*/ NREP= /. /*default=80*/	MCR_SE_DIFF_PV.sps DIF_PV DEP= / STAT= / COMPARE= / CATEG= / INFILE= / WITHIN= / /*default=NOWITHIN*/ WGT= / /*default=W_FSTUWT*/ RWGT= / /*default=W_FSTR*/ CONS= / /*default=0.05*/ NREP= /. /*default=80*/
PV mean, sd, sum, pgt, plt, pin, pout, fgt, flt, fin, fout within quarters of WLE indices		MCR_SE_PV_WLEQRT.sps PVWLEQRT PV= / WLE= / STAT= / INFILE= / CNT= / /*default(CNT) */ GRP= / /*default(NOGRP) */ PSU= / /*default(SCHOOLID) */ WGT= / /*default(W_FSTUWT) RWGT= / /*default(W_FSTR) NREP= / /*default(80) CONS= / /*default(0.05) LIMIT_CRITERIA= /. /*default=0*/ ...



Relative risk	MCR_SE_RR.sps RelRisk OUTCOME= / ANTECEDENT= / INFILE= / GRP= /*default=NODRP*/ WGT= /*default=W_FSTUWT*/ RWGT= /*default=W_FSTR*/ CONS= /*default=0.05*/ NREP= /. /*default=80*/	MCR_SE_RR_PV.sps RelRisk_PV OUTCOME= / ANTECEDENT= / INFILE= / GRP= /*default=NODRP*/ WGT= /*default=W_FSTUWT*/ RWGT= /*default=W_FSTR*/ CONS= /*default=0.05*/ NREP= /. /*default=80*/
Effect size	MCR_SE_EFFECT.sps EFFnoPV DEP= / COMPARE= / CATEG= / INFILE= / WITHIN= /*default=NOWITHIN*/ WGT = /*default=W_FSTUWT*/ RWGT = /*default=W_FSTR*/ CONS = /*default=0.05*/ NREP = /. /*default=80*/	MCR_SE_EFFECT_PV.sps EFF_PV DEP= / COMPARE= / CATEG= / INFILE= / WITHIN= /*default=NOWITHIN*/ WGT = /*default=W_FSTUWT*/ RWGT = /*default=W_FSTR*/ CONS = /*default=0.05*/ NREP = /. /*default=80*/
Multilevel regression	MCR_ML.sps MIXED_noPV INFILE= / FIXEF= /*default=EMPTY*/ RANEF= /*default=NORAN*/ INTEF= /*default=NOINT*/ DEP= / LEVEL2= /*default=SCHOOLID*/ GRP= /*default=NODRP*/ NREP= /*default=80*/ WGT= /*default=W_FSTUWT*/ RWGT= /*default=W_FSTR*/ CONS= /. /*default=0.05*/	MCR_ML_PV.sps MIXED_PV INFILE= / FIXEF= /*default=EMPTY*/ RANEF= /*default=NORAN*/ INTEF= /*default=NOINT*/ DEP= / LEVEL2= /*default=SCHOOLID*/ GRP= /*default=NODRP*/ NREP= /*default=80*/ WGT= /*default=W_FSTUWT*/ RWGT= /*default=W_FSTR*/ CONS= /. /*default=0.05*/



Box 17.1 [1/4] SPSS® macro of MCR_SE_UNI.sps

```

set mprint=no.

*** Created by Eveline Gebhardt, Australian Council for Educational Research ***.

DEFINE univar (nrep = !default(80) !charend('/')/
               stat = !charend('/')/
               dep = !charend('/'')/
               grp = !default(NOGRP) !charend('/')/
               wgt = !default(W_FSTUWT) !charend('/')/
               rwgt = !default(W_FSTR) !charend('/')/
               cons = !default(0.05) !charend('/')/
               PSU = !default(SCHOOLID) !charend('/')/
               limit_criteria = !default(0) !charend('/')/
               infile = !charend(''))..

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.
oms /select headings tables TEXTS /destination viewer=no /Tag"NoViewer".

/* nGrp is the number of group variables */
!if (!upcase(!grp) "NOGRP") !then
!let !nGrp=null.
!Else
!do !g !in (!grp)
!let !nGrp=!concat(!nGrp, " ")
!doend !ifend.
!let !nGrp=!length(!nGrp).
!if (!ngrp=0) !then
!let !x=2.
!else.
/* x is nGrp + 1 */
!let !x=!length(!concat(!blanks(!nGrp), " "))..
!ifend.
title !concat(' (number of group variables is ', !nGrp, ') (nGrp + 1 = ', !x, ')') .

dataset close all.
GET FILE !infile
/KEEP !if(!nGrp>0) !then !grp !ifend !PSU !dep !wgt !do !i= 1 !to !nrep
!concat(!rwgt,!i) !doend.
!if(!nGrp=0) !then compute !grp=1 !ifend.
missing values !grp () .
SORT CASES BY !grp.
compute MISS=0.
!do !d !in (!dep).
if (missing(!d)) MISS=1.
!doend.
compute VALIDN=1-MISS.
formats VALIDN (f1.0).
save outfile='c:\temp\`a.sav' /drop=MISS.

*** COMPUTE ESTIMATE ***.

```



Box 17.1 [2/4] SPSS® macro of MCR_SE_UNI.sps

```

oms /select tables /if subtypes='Report' /destination format=sav outfile='c:\temp\fullwgt.sav'
  /COLUMNS DIMNAMES = ["Variables"] /tag='FullWeight'.
WEIGHT BY !wgt.
means !dep !if (!nGrp>0) !then !do !g !in (!grp) !concat("by ",!g) !doend !else by
!grp !ifend /cells=!stat.
omsend tag=['FullWeight'].

* REPLICATES.

oms /select tables /if subtypes='Report' /destination format=sav numbered="RepWeight"
outfile='c:\temp\repwgt.sav'
  /COLUMNS DIMNAMES = ["Variables"] /tag='RepWeight'.
!do !i= 1 !to !nrep.
WEIGHT BY !concat(!rwgt,!i).
means !dep !if (!nGrp>0) !then !do !g !in (!grp) !concat("by ",!g) !doend !else by
!grp !ifend /cells=!stat.
!doend.
omsend tag=['RepWeight'].

*** COMBINE FILES ***.

!if (!ngrp=0) !then !let !ngrp=1 !ifend.

get file='c:\temp\repwgt.sav' .
sort cases by !do !n=1 !to !nGrp !concat("Var",!n) !doend !concat(" Var",!x).
rename vars (!dep=!do !d !in (!dep) !concat("R_",!d) !doend).
save outfile='c:\temp\repwgt2.sav'.

get file='c:\temp\fullwgt.sav' .
sort cases by !do !n=1 !to !nGrp !concat("Var",!n) !doend !concat(" Var",!x).

match files table=* /file='c:\temp\repwgt2.sav' /by !do !n=1 !to !nGrp !concat("Var",!n) !doend !concat(" Var",!x).
exe.

rename vars (!concat("Var",!x)=Statistic).
!do !s !in (!stat)
!if (!uppercase(!s)=MEAN) !then recode Statistic ("Mean"="MEAN") !ifend.
!if (!uppercase(!s)=STDDEV) !then recode statistic("Std. Deviation"="STDDEV") !ifend.
!if (!uppercase(!s)=MEDIAN) !then recode statistic("Median"="MEDIAN") !ifend.
!if (!uppercase(!s)=SKEW) !then recode statistic("Skewness"="SKEW") !ifend.
!if (!uppercase(!s)=VARIANCE) !then recode statistic("Variance"="VARIANCE") !ifend.
!if (!uppercase(!s)=KURT) !then recode statistic("Kurtosis"="KURT") !ifend.
!doend.

!if (!nGrp > 0) !Then
rename vars (!do !n=1 !to !nGrp !concat("Var",!n) !doend =!grp).
!Ifend.

*** COMPUTE SAMPLING VARIANCE (U) ***.

!do !d !in(!dep)
COMPUTE !concat('var_',!d) = !cons*((!concat('r_',!d) - !d)**2).
!doend.

AGGREGATE OUTFILE=*
/PRESORTED /BREAK=!if (!nGrp > 0) !then !grp !ifend Statistic
  /!do !d !in(!dep) !d !doend=MEAN(!do !d !in(!dep) !d !doend)
  /!do !d !in(!dep) !concat('var_',!d) !doend=SUM(!do !d !in(!dep) !concat('var_',!d)
  !doend) .

*** COMPUTE SE ***.

!do !d !in(!dep)
COMPUTE !concat('se_',!d) = SQRT(!concat('var_',!d) ) .
FORMATS !d (F8.3) / !concat('se_',!d) (F10.6) .
!doend .

```



Box 17.1 [3/4] SPSS® macro of MCR_SE_UNI.sps

```

*** COMPUTE SE ***.

!do !d !in(!dep)
COMPUTE !concat('se_',!d) = SQRT(!concat('var_',!d) ) .
FORMATS !d (F8.3) / !concat('se_',!d) (F10.6).
!doend .

*** CREATE MERGE VARIABLE ***.

* Note: This might not work in all languages .
!do !g !in (!grp).
select if (substr(!g,1,3)<>"Tot") .
compute !g=rtrim(!g) .
!doend.

sort cases by statistic !grp.
compute z=$casenum.
autorecode statistic /into temp.
aggregate /break=temp /max=max(z) /N=N.
compute y=max-z.
compute x=mod(y,z) .
do if (temp=1) .
compute MERGE=z.
else.
compute MERGE=N-x.
end if.
SORT CASES BY MERGE.
save outfile='c:\temp\z.sav' /DROP=TEMP X Y Z.

*** COMPUTE N ***.

get file='c:\temp\z.sav'.
weight off.
aggregate /break=!if (!z=1) !then !head(!grp)!else !grp !ifend /N_total=sum(!wgt) .
filter by VALIDN.
weight by !wgt.
aggregate outfile='c:\temp\y.sav' /break=!grp /NU_cases=NU /N_cases=N.
weight off.
aggregate outfile=* /break=!grp !PSU /N=N /N_total=mean(N_total) .
aggregate outfile=* /break=!grp /NU_PSU=N /N_total=mean(N_total) .
filter off.

match files /file='c:\temp\y.sav' /file=* /by !grp.
Compute MERGE=$casenum.
exe.
delete var !grp.
match files file='c:\temp\z.sav' /TABLE=* /by MERGE.
formats N_cases (f10.0) .

omsend Tag="NoViewer".

*** FLAGGING FOR OECD ***.

!if (!limit_criteria=0) !then delete var (N_total) !else
!let !z=!substr(!limit_criteria,!length(!limit_criteria),1) .
!let !a=null.
!do !b !in (!limit_criteria).
!let !a=!concat(!a," ") .
!if (!length(!a)=1) !then.
compute FLAG_std=(NU_cases<!b) .
formats FLAG_std (f1.0) .
!ifend.
!if (!length(!a)=2) !then.
compute FLAG_sch=(NU_PSU<!b) .
formats FLAG_sch (f1.0) .
!ifend.

```



Box 17.1 [4/4] SPSS® macro of MCR_SE_UNI.sps

```

!if (!length(!a)=3) !then
compute FLAG_pct=((100*N_cases/N_total)<!b).
formats FLAG_pct (f1.0) N_total (f10.0).
!ifend.
!doend.
!ifend.
exe.

*** OUTPUT TABLES ***.

OMS /SELECT TABLES headings
/IF COMMANDS = ["Summarize"] SUBTYPES = ["Case Processing Summary"]
/DESTINATION VIEWER = NO /TAG = "SumNoCaseProc".
!do !s !in (!stat).
Temp.
Select if (Statistic=!quote(!upcase(!s))).

SUMMARIZE
/TABLES= !if (!upcase(!grp)="NOGRP") !then !else !grp !ifend STATISTIC !do !d
!in(!dep) !d !concat('SE_',!d) !doend
!if (!limit_criteria=0) !then !else FLAG_std FLAG_sch FLAG_pct !ifend
/FORMAT= !if (!nGrp > 0) !then LIST NOCASENUM TOTAL !else LIST NOCASENUM !ifend
!if (!nGrp=0) !then /TITLE=!quote(!concat(!upcase(!s), ' and SE of ', !dep))
!else /TITLE=!quote(!concat(!upcase(!s), ' and SE of ', !dep, ' by ', !grp)) !ifend
/CELLS=NONE.
!doend

OMSEND TAG=[ "SumNoCaseProc"].

delete variables !if (!upcase(!grp)="NOGRP") !then !grp !ifend !do !d !in(!dep)
!concat(" var_",!d) !doend MERGE max N nu_cases n_cases nu_psu n_total .

save outfile="c:\temp\results.sav".

set mprint=no.
restore.
!enddefine.

```



Box 17.2 [1/4] SPSS® macro of MCR_SE_PV.sps

```
*** Created by Eveline Gebhardt, Australian Council for Educational Research ***.

set mprint=no.
DEFINE PV (nrep = !default(80) !charend(''')/
           stat = !charend(''')/
           dep = !charend(''')/
           grp = !default(NOGRP) !charend(''')/
           wgt = !default(W_FSTUWT) !charend(''')/
           rwgt = !default(W_FSTR) !charend(''')/
           cons = !default(0.05) !charend(''')/
           PSU = !default(SCHOOLID) !charend(''')/
           limit_criteria = !default(0) !charend(''')/
           infile = !charend(''))..

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.
oms /select headings tables TEXTS /destination viewer=no /Tag="NoViewer".

/* nGrp is the number of group variables */
!if (!upcase(!grp)="NOGRP") !then
!let !nGrp=null.
!Else
!do !g !in (!grp)
!let !nGrp=!concat(!nGrp, " ")
!doend !ifend.
!let !nGrp=!length(!nGrp).
!if (!nGrp=0) !then
!let !x=2.
!else.
/* x is nGrp + 1 */
!let !x=!length(!concat(!blanks(!nGrp), " "))..
!ifend.
title !concat(`(number of group variables is ',!nGrp,)')(nGrp + 1 = ',!x,)').

dataset close all.
GET FILE !infile
/KEEP !if (!nGrp>0) !then !grp !ifend !psu !dep !wgt !do !i= 1 !to !nrep
!concat(!rwgt,!i) !doend.
!if(!nGrp=0) !then compute !grp=1 !ifend.
missing values !grp () .
SORT CASES BY !grp.
compute MISS=0.
!do !d !in (!dep).
if (missing(!d)) MISS=1.
!doend.
compute VALIDN=1-MISS.
formats VALIDN (f1.0).
save outfile='c:\temp\`a.sav' /drop=MISS.

*** COMPUTE ESTIMATE ***.

oms /select tables /if subtypes='Report' /destination format=sav outfile='c:\temp\`fullwgt.sav'
/COLUMNS DIMNAMES = ["Variables"] /tag='FullWeight'.
WEIGHT BY !wgt.
means !dep !if (!nGrp>0) !then !do !g !in (!grp) !concat("by ",!g) !doend !else by
!grp !ifend /cells=!stat.
omsend tag='FullWeight'.
```



Box 17.2 [2/4] SPSS® macro of MCR_SE_PV.sps

```

* REPLICATES.
oms /select tables /if subtypes='Report' /destination format=sav
numbered="RepWeight" outfile='c:\temp\repwgt.sav'
/COLUMNS DIMNAMES = ["Variables"] /tag='RepWeight'.
!do !i= 1 !to !nrep.
WEIGHT BY !concat(!rwgt,!i).
means !dep !if (!nGrp>0) !then !do !g !in (!grp) !concat("by ",!g) !doend !else by
!grp !ifend /cells=stat.
!doend.
omsend tag='RepWeight'.

*** COMBINE FILES ***.
!if (!ngrp=0) !then !let !ngrp=1 !ifend.

get file='c:\temp\repwgt.sav' .
sort cases by !do !n=1 !to !nGrp !concat("Var",!n) !doend !concat("Var",!x).
rename vars (!dep=!do !d !in (!dep) !concat("R_",__!d) !doend).
save outfile='c:\temp\repwgt2.sav' .

get file='c:\temp\fullwgt.sav' .
sort cases by !do !n=1 !to !nGrp !concat("Var",!n) !doend !concat("Var",!x).

match files table=* /file='c:\temp\repwgt2.sav' /by !do !n=1 !to !nGrp
!concat("Var",!n) !doend !concat("Var",!x) .
exe.

rename vars (!concat("Var",__!x)=Statistic).
!do !s !in (!stat)
!if (!upcase(!s)=MEAN) !then recode Statistic ("Mean"="MEAN") !ifend.
!if (!upcase(!s)=STDDEV) !then recode statistic("Std. Deviation" "STDDEV") !ifend.
!if (!upcase(!s)=MEDIAN) !then recode statistic("Median" "MEDIAN") !ifend.
!if (!upcase(!s)=SKEW) !then recode statistic("Skewness" "SKEW") !ifend.
!if (!upcase(!s)=VARIANCE) !then recode statistic("Variance" "VARIANCE") !ifend.
!if (!upcase(!s)=KURT) !then recode statistic("Kurtosis" "KURT") !ifend.
!doend.

!if (!nGrp > 0) !Then
rename vars (!do !n=1 !to !nGrp !concat("Var",!n) !doend =!grp) .
!Ifend.

*** COMPUTE SAMPLING VARIANCE (U) ***.

!do !d !in(!dep)
COMPUTE !concat('var_',!d) = !cons*(!concat('r_',!d) - !d)**2) .
!doend.

AGGREGATE OUTFILE =*
/PRESORTED /BREAK=!if (!nGrp > 0) !then !grp !ifend Statistic
/!do !d !in(!dep) !d !doend=MEAN(!do !d !in(!dep) !d !doend)
/!do !d !in(!dep) !concat('var_',!d) !doend=SUM(!do !d !in(!dep) !concat('var_',
,!d) !doend) .

!let !k=!null.
!do !d !in (!dep)
!let !k=!concat(!k,",var_",!d) .
!doend.
compute pv_var=mean(!substr(!k,2)) .
exe.

*** CALCULATING MEASUREMENT VARIANCE (Bm) ***.

!let !k=!null.
!do !d !in (!dep)
!let !k=!concat(!k,",",!d) .
!doend.
compute STAT=mean(!substr(!k,2)) .

do repeat a=!dep/b=pvar1 to pvar5.
compute b=(a-STAT)**2.
end repeat.

compute pvmerr=.25*(sum(pvar1 to pvar5)).

```



Box 17.2 [3/4] SPSS® macro of MCR_SE_PV.sps

```
*** COMPUTE SE [V = U + (1+1/M)Bm] ***.

compute SE=sqrt(pv_var+1.2*pvmerr).
exe.
FORMATS STAT (F8.3) / SE (F10.6).

*** CREATE MERGE VARIABLE ***.

* Note: This might not work in all languages .
!do !g !in (!grp).
select if (substr(!g,1,3)<>"Tot").
compute !g=rtrim(!g).
!doend.
sort cases by statistic !grp.
compute z=$casenum.
autorecode statistic /into temp.
aggregate /break=temp /max=max(z) /N=N.
compute y=max-z.
compute x=mod(y,z).
do if (temp=1).
compute MERGE=z.
else.
compute MERGE=N-x.
end if.
SORT CASES BY MERGE.
save outfile='c:\temp\z.sav' /DROP=TEMP X Y Z.
*** COMPUTE N ***.

get file='c:\temp\z.sav'.
weight off.
aggregate /break=!if (!z=1) !then !head(!grp)!else !grp !ifend /N_total=sum(!wgt).
filter by VALIDN.
weight by !wgt.
aggregate outfile='c:\temp\y.sav' /break=!grp /NU_cases=NU /N_cases=N.
weight off.
aggregate outfile=* /break=!grp !PSU /N=N /N_total=mean(N_total).
aggregate outfile=* /break=!grp /NU_PSU=N /N_total=mean(N_total).
filter off.

match files /file='c:\temp\y.sav' /file=* /by !grp.
Compute MERGE=$casenum.
exe.
delete var !grp.
match files file='c:\temp\z.sav' /TABLE=* /by MERGE.
formats N_cases (f10.0).
exe.

*** FLAGGING FOR OECD ***.

!if (!limit_criteria=0) !then delete var N_total !else
!let !z=!substr(!limit_criteria,!length(!limit_criteria),1).
!let !a=!null.
!do !b !in (!limit_criteria).
!let !a=!concat(!a," ").
!if (!length(!a)=1) !then.
compute FLAG_std=(NU_cases<!b).
formats FLAG_std (f1.0).
!ifend.
!if (!length(!a)=2) !then.
compute FLAG_sch=(NU_PSU<!b).
formats FLAG_sch (f1.0).
!ifend.
!if (!length(!a)=3) !then
compute FLAG_pct=((100*N_cases/N_total)<!b).
formats FLAG_pct (f1.0) N_total (f10.0).
!ifend.
!doend.
!ifend.
exe.
omsend tag="NoViewer".
```



Box 17.2 [4/4] SPSS® macro of MCR_SE_PV.sps

```

*** OUTPUT TABLES ***.

OMS /SELECT TABLES headings logs
  /IF COMMANDS = ["Summarize"] SUBTYPES = ["Case Processing Summary"]
  /DESTINATION VIEWER = NO /TAG = "SumNoCaseProc".

!do !s !in (!stat).
Temp.
Select if (Statistic=!quote(!upcase(!s))). 

SUMMARIZE
  /TABLES= !if (!upcase(!grp)="NOGRP") !then !else !grp !ifend STATISTIC STAT SE
    !if (!limit_criteria=0) !then !else FLAG_std FLAG_sch FLAG_pct !ifend
  /FORMAT=LIST NOCASENUM !if (!nGrp > 0) !then TOTAL !ifend
  !if (!nGrp=0) !then /TITLE=!quote(!concat(!upcase(!s), ' and SE on ', !head(!dep), ' to ',
  !substr(!dep,!Index(!dep,PV5)))) )
  !else /TITLE=!quote(!concat(!upcase(!s), ' and SE on ', !head(!dep), ' to ', !substr
  (!dep,!Index(!dep,PV5)), ' by ', '!grp)) !ifend
  /CELLS=NONE.
!doend

OMSEND TAG=[ "SumNoCaseProc"] .

delete variables !if (!upcase(!grp)="NOGRP") !then !grp !ifend !dep !do !d !in
(!dep) !concat("var_",!d) !doend
  pv_var pvar1 pvar2 pvar3 pvar4 pvar5 pvmerr merge max N.
restore.

!if (!limit_criteria=0) !then.
save outfile="c:\temp\results.sav" drop= n_cases nu_cases nu_psu.
get file="c:\temp\results.sav".
!else.
save outfile="c:\temp\results.sav" drop=n_cases nu_cases nu_psu n_total.
get file="c:\temp\results.sav".
!ifend.

!enddefine.

```



Box 17.3 [1/3] SPSS® macro of MCR_SE_PERCENTILES_PV.sps

```

set mprint=no.

*** Created by Eveline Gebhardt, Australian Council for Educational Research ***.

define pctile  (pv      =!charend('/')
                /ptiles=!charend('/')
                /infile=!charend('/')
                /grp     =!default(NOGRP) !charend('/')
                /wgt     =!default(W_FSTUWT) !charend('/')
                /rwgt    =!default(W_FSTR) !charend('/')
                /nrep    =!default(80) !charend('/')
                /cons    =!default(0.05) !charend('/)).

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values
mxwarns=0.
omsend.
oms /select headings tables texts/destination viewer=no /tag="crap".

!let !a=!null.
!do !b !in (!ptiles)
!let !a=!concat(!a,!blanks(1)).
!doend.
!let !nptile=!length(!a).

!let !a=!null.
!do !b !in (!grp)
!let !a=!concat(!a,!blanks(1)).
!doend.
!let !ngrp=!length(!a).
!if (!upcase(!grp)=NOGRP) !then !let !ngrp=0 !ifend.
!let !plus1=!length(!concat(!a,!blanks(1))).
!let !plus2=!length(!concat(!a,!blanks(2))).

!let !k=!null.
!do !d !in (!pv).
!let !k=!concat(!k,",",!d).
!doend.
!let !k=!substr(!k,2).

!let !l=!null.
!do !d !in (!pv).
!let !l=!concat(!l,"_,var_",__d).
!doend.
!let !l=!substr(!l,2).

dataset close all.
get file='!quote(!infile) /keep=!if (!ngrp=0) !then !else !grp !ifend !pv !wgt
!concat(!rwgt,'1') to !concat(!rwgt,!nrep)'.
!if (!ngrp=0) !then compute !grp=1 !ifend.
sort cases by !grp.
save outfile='c:\temp\sort.sav'.

*** FULL WEIGHT ***.

dataset close all.
get file='c:\temp\sort.sav'.
oms /select tables /if subtype='Statistics' /destination format=sav outfile='c:\temp\fwgt.sav' /tag='fwgt'.
split file layered by !grp.
weight by !wgt.
fre !pv /format=notable /percentiles !ptiles.
split file off.
omsend tag='fwgt'.
dataset close all.
get file='c:\temp\fwgt.sav' /drop=Command_ Subtype_ Label_ !concat('var',!plus1)'.
compute temp=number(!concat('var',!plus2),f7.0).
select if not missing (temp).
RENAME VAR (!if (!ngrp=0) !then var1=!grp !else var1 to !concat('var',!ngrp)=!grp
!ifend.
save outfile='c:\temp\fwgt2.sav'.

```



Box 17.3 [2/3] SPSS® macro of MCR_SE_PERCENTILES_PV.sps

```
**** REPLICATE WEIGHTS ***.

dataset close all.
get file='c:\temp\sort.sav'.
oms /select tables /if subtype='Statistics' /destination format=sav outfile='c:\temp\rwgt.sav' /tag='rwgt'.
split file layered by !grp.
!do !i = 1 !to !nrep.
weight by !concat(!rwgt,!i).
fre !pv /format=notable /percentiles !ptiles.
!doend.
split file off.
omsend tag='rwgt'.
dataset close all.
get file='c:\temp\rwgt.sav' /drop=Command_ Subtype_ Label_ !concat('var',!plus1).
compute temp=number(!concat('var',!plus2),f7.0).
select if not missing (temp).
rename vars (!pv=!do !d !in (!pv) !concat("R_",!d) !doend).
RENAME VAR (!if (!ngrp=0) !then var1=!grp !else var1 to !concat('var',!ngrp)=!grp
!ifend).
sort case by !grp temp.
save outfile='c:\temp\wgt2.sav'.

match files table='c:\temp\fwt2.sav' /file='c:\temp\wgt2.sav' /by !grp temp.

*** CALCULATION SAMPLING VARIANCE ***.

!do !a !in (!pv).
COMPUTE !concat('var_',!a) = !cons * ((!concat('r_',!a) - !a)**2).
!doend.

AGGREGATE OUTFILE =*
/PRESORTED /BREAK= !grp temp
/!do !d !in (!pv) !d !doend=MEAN(!do !d !in(!pv) !d !doend)
/!do !d !in (!pv) !concat('var_',!d) !doend=SUM(!do !d !in(!pv) !concat('var_',!d)
!doend) .

compute pv_var=mean(!1).
exe.

*** CALCULATING MEASUREMENT VARIANCE (Bm) ***.

compute STAT=mean(!k).

do repeat a=!pv/b=pvar1 to pvar5.
compute b=(a-STAT)**2.
end repeat.

compute pvmerr=.25*(sum(pvar1 to pvar5)).
exe.

*** COMPUTE SE [V = U + (1+1/M)Bm] ***.

compute SE=sqrt(pv_var+1.2*pvmerr).
exe.
FORMATS STAT (F8.3) / SE (F10.6).
delete variables !pv !do !d !in (!pv) !concat("var_",!d) !doend pv_var pvar1 pvar2
pvar3 pvar4 pvar5 pvmerr.
formats temp (f3.0).
casestovars /id=!grp /index=temp /groupsby index.
omsend tag="crap".
```



Box 17.3 [3/3] SPSS® macro of MCR_SE_PERCENTILES_PV.sps

```
*** OUTPUT TABLE ***.

!if (!nptile=1) !then rename var (STAT SE=!concat('STAT.',!ptiles)
!concat('SE.',!ptiles)) !ifend.
!let !var=null.
!do !p !in (!ptiles).
!let !var=!concat(!var,' STAT.',!p,' SE.',!p).
!doend.

Oms /select tables /if subtypes='Case Processing Summary' /destination viewer=no
/tag"case".
SUMMARIZE
  /TABLES= !if (!ngrp=0) !then !else !grp !ifend !var
  /FORMAT=LIST NOCASENUM
  !if (!ngrp=0) !then /TITLE=!quote(!concat("Percentiles ",!head(!dep)," to ",!subs
tr(!dep,!Index(!pv,PV5))))
  !else /TITLE=!quote(!concat("Percentiles ",!head(!pv)," to ",!substr(!pv,!Index(!
pv,PV5)," by ",!grp)) !ifend
  /CELLS=NONE.
omsend tag"case".

!if (!ngrp=0) !then delete var !grp !ifend.

save outfile"c:\temp\results.sav".

RESTORE.
!enddefine.
```



Box 17.4 [1/3] SPSS® macro of MCR_SE_GrpPct.sps

```

set mprint=no.

*** Created by Eveline Gebhardt & Alexander Daraganov, Australian Council for
Educational Research ***.

define GRPPCT (nrep = !default(80) !charend('/')/
               within = !default(NOWITHIN) !charend('/'))
               grp = !charend('/'))
               wgt = !default(W_FSTUWT) !charend('/'))
               rwgt = !default(W_FSTR) !charend('/'))
               cons = !default(0.05) !charend('/'))
               PSU = !default(SCHOOLID) !charend('/'))
               limit_criteria = !default(0) !charend('/'))
               infile = !charend('/')).

preserve.
SET Olang=English OVars names ONumbers both TVars names TNumbers both.
omsend.

/* nWithin is the number of within group variables */
!if (!upcase(!within)="NOWITHIN") !then
!let !nWithin=!null
!Else
!do !w !in (!within)
!let !nwithin=!concat(!nWithin, " ")
!doend !ifend.
!let !nwithin=!length(!nwithin).

get file !infile /keep !if (!nwithin>0) !then !within !ifend !grp !PSU !wgt
!concat(!rwgt,!i) to !concat(!rwgt,!nrep)).
!if (!nwithin=0) !then compute !within = 1 !ifend.
sort cases by !within !grp.
save outfile='c:\temp\sort.sav'.

*** COMPUTE ESTIMATE ***.

weight by !wgt.
aggregate outfile=* mode=addvariables /presorted /break=!within /N_all=n.
aggregate outfile=* /presorted /break=!within !grp /N_cases=n /N_all=mean(N_all)
/NU_cases=NU.
formats N_cases (f10.0).
compute stat=100*(n_cases/n_all).
save outfile=!quote(!concat('c:\temp\', !wgt, '.sav')).

* REPLICATES.

!do !i= 1 !to !nrep.
dataset close ALL.
get file 'c:\temp\sort.sav'.
weight by !concat(!rwgt,!i).
aggregate outfile=* mode=addvariables /presorted /break=!within /N_all=n.
aggregate outfile=* /presorted /break=!within !grp /N_grp=n /N_all=mean(N_all).
compute statr=100*(n_grp/n_all).
save outfile=!quote(!concat('c:\temp\', !rwgt,!i, '.sav')) .
!doend.

```



Box 17.4 [2/3] SPSS® macro of MCR_SE_GrpPct.sps

```

*** COMBINE RESULTS ***.

dataset close ALL.
get file =!quote(!concat('C:\temp\',!rwgt,'1.sav')).

!let !ccc!=NULL.
!Do !e = 2 !to !nrep.
add files file=* /file=!quote(!concat('c:\temp\',!rwgt,!e,'.sav')).
exe.
!if ((!e=50) !or (!e=100) !or (!e=150) !or (!e=200) !or (!e=250) !or (!e=300) !or
(!e=350) !or (!e=400) !or (!e=450)) !then
!let !ccc=(!Concat(!ccc,!Blank(1))).
save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_',!length(!ccc),'.sav')).
!let !f!=length(!concat(!blanks(!e),!blanks(1))).
get file =!quote(!concat('C:\temp\',!rwgt,!f,'.sav')).
select if $casenum=0.
!ifend
!if (!e!=!nrep) !then
!let !ccc=(!Concat(!ccc,!Blank(1))).
save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_',!length(!ccc),'.sav')).
!if (!nrep>50) !then
add files !do !cccc=1 !to !length(!ccc)/file=!quote(!concat('c:\temp\',!rwgt,'_temp_',
!cccc,'.sav')) !doend.
!ifend
!ifend
!Doend

sort cases by !within !grp.
match files file=* /table=!quote(!concat('c:\temp\',!wgt,'.sav')) /by !within !grp.
exec.

*** COMPUTE SAMPLING VARIANCE (U) ***.

compute var=(statr-stat)**2.
aggregate outfile=*/ break=!within !grp NU_cases N_cases/ stat= mean(stat) /
var=sum(var).
compute var=!cons*var.

*** COMPUTE SE ***.

compute SE=sqrt(var).
formats stat (f8.3)/ SE (f10.6).
sort cases by !within !grp.
save outfile='c:\temp\z.sav' /keep=!within !grp stat se NU_cases N_cases.

*** ADD NUMBER OF SAMPLED SCHOOLS ***.

get file='c:\temp\sort.sav'.
sort cases by !within !grp !PSU.
weight off.
aggregate outfile=* /break=!within !grp !PSU /N=N.
aggregate outfile=* /break=!within !grp /NU_PSU=N.
match files file='c:\temp\z.sav' /file=* /by !within !grp.

*** FLAGGING FOR OECD ***.

!if (!limit_criteria=0) !then !else
!let !z=!substr(!limit_criteria,!length(!limit_criteria),1).
!let !a=null.
!do !b !in (!limit_criteria).
!let !a=!concat(!a," ").
!if (!length(!a)=1) !then.
compute FLAG_std=(NU_cases<!b).
formats FLAG_std (f1.0).
!ifend.

```



Box 17.4 [3/3] **SPSS® macro of MCR_SE_GrpPct.sps**

```

!if (!length(!a)=3) !then
aggregate /break=!if (!z=1) !then !head(!within)!else !within !ifend /N_
total=sum(N_cases).
compute FLAG_pct=((100*N_cases/N_total)<!b).
formats FLAG_pct (f1.0).
!ifend.
!doend.
!ifend.
exe.

*** OUTPUT RESULTS ***.

OMS /SELECT TABLES headings
  /IF COMMANDS = ["Summarize"] SUBTYPES = ["Case Processing Summary"]
  /DESTINATION VIEWER = NO /TAG = "SumNoCaseProc".

SUMMARIZE
  /TABLES=!if (!nwithin>0) !then !within !ifend !grp stat se !if (!limit_
criteria=0) !then !else FLAG_std FLAG_sch FLAG_pct !ifend
  /FORMAT=LIST NOCASENUM NOTOTAL
  !if (!nwithin=0) !then /TITLE=!quote(!concat('SE on group percentages of ',!grp))
  !else /TITLE=!quote(!concat('SE on group percentages of ',!grp,' within ,
!within, (categories')) !ifend
  /CELLS=NONE.
OMSEND TAG=[ "SumNoCaseProc"].

restore.

!if (!limit_criteria=0) !then.
save outfile="c:\temp\results.sav" drop= n_cases nu_cases nu_psu.
get file="c:\temp\results.sav".
!else.
save outfile="c:\temp\results.sav" drop=n_cases nu_cases nu_psu n_total.
get file="c:\temp\results.sav".
!ifend.

!enddefine.

```



Box 17.5 [1/4] SPSS® macro of MCR_SE_PctLev.sps

```

SET mprint=no.

*** Created by Alexander Daraganov & Eveline Gebhardt, Australian Council for
Educational Research ***.

define PCTLEV (nrep = !default(80) !charend('/')/
               within = !default(NOWITHIN) !charend('/') /
               proflev = !charend('/') /
               wgt = !default(W_FSTUWT) !charend('/') /
               rwgt = !default(W_FSTR) !charend('/') /
               cons = !default(0.05) !charend('/') /
               PSU = !default(SCHOOLID) !charend('/') /
               limit_criteria = !default(0) !charend('/') /
               infile = !charend('/')).

preserve.
SET Olang=English OVars names ONumbers both TVars names TNumbers both.
omsend.

/* nWithin is the number of within group variables */
!if (!upcase(!within)"NOWITHIN") !then
!let !nWithin=!null
!Else
!do !w !in (!within)
!let !nwithin=!concat(!nWithin, " ")
!doend !ifend.
!let !nwithin=!length(!nwithin).

*** COMPUTE ESTIMATE ***.

!do !j !in (!proflev).
get file !infile /keep !if (!nwithin>0) !then !within !ifend !j !PSU !wgt.
!if (!nwithin=0) !then compute !within = 1 !ifend .
rename vars (!j=proflev).
sort cases by !within proflev .
weight by !wgt.
aggregate outfile=!quote(!concat('c:\temp\',!j,'.sav')) /presorted /break=!within
proflev /!j=n /N_cases=n /NU_cases=NU .
!doend.

match files !do !j !in (!proflev) /file=!quote(!concat('c:\temp\',!j,'.sav')) !doend
/by !within proflev.
aggregate outfile=* mode=addvariables /break=!within/N_all=sum(!head(!proflev)) .

do repeat s=stat1 to stat5 /p=!proflev.
compute s=100*p/N_all.
End repeat.
save outfile=!quote(!concat('c:\temp\',!wgt,'.sav')) /* /keep=!within proflev stat1
to stat5. */

* REPLICATES.

!do !i= 1 !to !nrep.
!do !j !in (!proflev).
dataset close ALL.
get file !infile /keep !if (!nwithin>0) !then !within !ifend !j !concat(!rwgt,!i).
!if (!nwithin=0) !then compute !within = 1 !ifend .
rename vars (!j=proflev).
sort cases by !within proflev .
weight by !concat(!rwgt,!i).
aggregate outfile=!quote(!concat('c:\temp\',!i,!j,'.sav')) /presorted /break=!within
proflev /!j=n.
!doend.

match files !do !j !in (!proflev) /file=!quote(!concat('c:\temp\',!i,!j,'.sav'))
!doend /by !within proflev.
aggregate outfile=* mode=addvariables /break=!within /N_all=sum(!head(!proflev)) .

do repeat s=statR1 to statR5 /p=!proflev.
compute s=100*p/N_all.
End repeat.

```



Box 17.5 [2/4] SPSS® macro of MCR_SE_PctLev.sps

```

save outfile=!quote(!concat('c:\temp\',!rwgt,!i,'.sav')) /keep=!within proflev statR1 to
statR5..
!doend.

*** COMBINE RESULTS ***.

dataset close ALL.
/* get file =!quote(!concat('C:\temp\',!rwgt,'1.sav')). */
!let !nper=50.
!if ( !length(!substr(!blanks(!nrep),!length(!concat(!blanks(!nper ), !blanks(1)))))=0 )
!then
    add files file= !quote(!concat('C:\temp\',!rwgt,'1.sav')) !do !e=2 !to !nrep
/file=!quote(!concat('C:\temp\',!rwgt,!e,'.sav')) !doend .
!else
    !let !modls=!nrep.
    !let !intgr=0.
    !Do !iter=!nrep !to !nper !by -!nper
        add files file= !quote(!concat('C:\temp\',!rwgt,!iter,'.sav')) !do !e!=!le
ngth(!substr(!blanks(!iter),2)) !to !length(!substr(!blanks(!iter),!len
gth(!blanks(!nper)))) !by -1 /file=!quote(!concat('C:\temp\',!rwgt,!e,'.
sav')) !doend .
        !let !modls=!length(!substr(!blanks(!modls),!length(!concat(!blanks(1),
!blanks(!nper))))).
        !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))).
        save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_,!intgr,'.sav')).
    !doend
    !if (!modls>0) !then
        !if (!modls>1) !then
            add files file= !quote(!concat('C:\temp\',!rwgt,'1.sav')) !do
!e=2 !to !modls /file=!quote(!concat('C:\temp\',!rwgt,!e,'.sav'))
!doend .
            !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))).
            save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_,!intgr,'.sav')).
        !else
            get file =!quote(!concat('C:\temp\',!rwgt,'1.sav')).
            !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))).
            save
outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_,!intgr,'.sav')).
        !ifend
    !ifend
    !if ( !length(!substr(!blanks(!intgr),!length(!concat(!blanks(!nper ),
!blanks(1)))))=0 ) !then
        add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_1.sav')) !do !e=2
!to !intgr /file=!quote(!concat('C:\temp\',!rwgt,'_temp_!,!e,'.sav'))
!doend .
    !else
        !let !modls=!intgr.
        !let !intgr1=0.
        !do !iter=!intgr !to !nper !by -!nper
            add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_,!iter,'.sav'))
            !do !e!=!length(!substr(!blanks(!iter),2)) !to !length (!substr
(!blanks(!iter),!length(!blanks(!nper)))) !ny -1
            /file=!quote(!concat('C:\temp\',!rwgt,'_temp_!,!e,'.sav'))
            !doend .
            !let !modls=!length(!substr(!blanks(!modls),!length(!concat
(!blanks(1),!blanks(!nper))))).
            !let !intgr1=!length(!Concat(!blanks(!intgr1), !blanks(1))).
            save
outfile=!quote(!concat('c:\temp\',!rwgt,'_tempover_,!intgr1,'.sav')).
        !doend
    !if (!modls>0) !then
        !if (!modls>1) !then
            add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_1.sav'))
            !do !e=2 !to !modls /file=!quote(!concat('C:\temp\' ,
!rwgt,'_temp_!,!e,'.sav')) !doend .
    !let !intgr1=!length(!Concat(!blanks(!intgr1), !blanks(1))).
    save outfile=!quote(!concat('c:\temp\',!rwgt,'_tempover_',
!intgr1,'.sav')).
!ifend

```



Box 17.5 [3/4] SPSS® macro of MCR_SE_PctLev.sps

```

!ifend
      add files file= !quote(!concat('c:\temp\',!rwgt,'_tempover_1.sav'))
!do !e=2 !to !intgr1 /file=!quote(!concat('C:\temp\',!rwgt,'_tempover_',!e,'.sav'))
!doend .
!ifend
!ifend
exe.

sort cases by !within proflev.

match files file=* /table=!quote(!concat('c:\temp\',!wgt,'.sav')) /by !within proflev.
recode stat1 to stat5 statR1 to StatR5 (sysmis=0).
exec.

*** COMPUTE SAMPLING VARIANCE (U) ***.

do repeat a=statr1 to statr5/
      b=stat1 to stat5/
      c=varl to var5.
compute c=(a-b)**2.
end repeat.

aggregate outfile=*/
      break=!within proflev NU_cases N_cases/
      stat1 to stat5= mean(stat1 to stat5) /
      varl to var5 = sum(varl to var5).

do repeat a=varl to var5.
compute a=!cons*a.
end repeat.

compute pv_var=mean(varl to var5).

*** CALCULATING MEASUREMENT VARIANCE (Bm) ***.

compute stat=mean(stat1 to stat5).

do repeat a=stat1 to stat5/b=pvarl to pvar5.
compute b=(a-stat)**2.
end repeat.

compute pvmerr=.25*(sum(pvarl to pvar5)).

*** COMPUTE SE [V = U + (1+1/M)Bm] ***.

compute SE=sqrt(pv_var+1.2*pvmerr).
formats stat (f8.3)/ SE (f10.6).
sort cases by !within proflev.
save outfile='c:\temp\z.sav' /keep=!within proflev stat se NU_cases N_cases.

*** ADD NUMBER OF SAMPLED SCHOOLS ***.

!do !j !in (!proflev).
dataset close ALL.
get file !infile /keep !if (!nwithin>0) !then !within !ifend !j !concat(!rwgt,!i)
!PSU.
!if (!nwithin=0) !then compute !within = 1 !ifend .
rename vars (!j=proflev).
sort cases by !within proflev !PSU.
weight off.
aggregate outfile=!quote(!concat('c:\temp\',!j,'.sav')) /presorted /break=!within
proflev !PSU!/j=N.
!doend.

match files !do !j !in (!proflev) /file=!quote(!concat('c:\temp\',!j,'.sav')) !doend
/by !within proflev !PSU.
aggregate outfile=* /break=!within proflev /NU_PSU=N.
match files file='c:\temp\z.sav' /file=* /by !within proflev.

```



Box 17.5 [4/4] SPSS® macro of MCR_SE_PctLev.sps

```

*** FLAGGING FOR OECD ***.

!if (!limit_criteria=0) !then !else
!let !z=!substr(!limit_criteria,!length(!limit_criteria),1).
!let !a=null.
!do !b !in (!limit_criteria).
!let !a=!concat(!a, " ").
!if (!length(!a)=1) !then.
compute FLAG_std=(NU_cases<!b).
formats FLAG_std (f1.0).
!ifend.
!if (!length(!a)=2) !then.
compute FLAG_sch=(NU_PSU<!b).
formats FLAG_sch (f1.0).
!ifend.
!if (!length(!a)=3) !then
aggregate /break=!if (!z=1) !then !head(!within) !else !within !ifend /N_
total=sum(N_cases).
compute FLAG_pct=((100*N_cases/N_total)<!b).
formats FLAG_pct (f1.0).
!ifend.
!doend.
!ifend.
exe.

*** OUTPUT TABLE ***.

OMS /SELECT TABLES headings
/IF COMMANDS = ["Summarize"] SUBTYPES = ["Case Processing Summary"]
/DESTINATION VIEWER = NO /TAG = "SumNoCaseProc".

SUMMARIZE
/TABLES=!if (!nwithin>0) !then !within !ifend proflev stat se !if (!limit_
criteria=0) !then !else FLAG_std FLAG_sch FLAG_pct !ifend
/FORMAT=LIST NOCASENUM NOTOTAL
!if (!nwithin=0) !then /TITLE='SE on percentages in proficiency levels'
!else /TITLE=!concat('SE on percentages in proficiency levels by ',
!within)) !ifend
/CELLS=NONE.
OMSEND TAG=[ "SumNoCaseProc"].

delete variables !if(!upcase(!within)=NOWITHIN) !then !within !ifend .

!if (!limit_criteria=0) !then.
save outfile="c:\temp\results.sav" drop= n_cases nu_cases nu_psu.
get file="c:\temp\results.sav".
!else.
save outfile="c:\temp\results.sav" drop=n_cases nu_cases nu_psu n_total.
get file="c:\temp\results.sav".
!ifend.

!enddefine.

```



Box 17.6 [1/4] SPSS® macro of MCR_SE_REG.sps

```

set mprint=no.

*** Created by Eveline Gebhardt & Alexander Daraganov, Australian Council for
Educational Research ***.

define REGnoPV (nrep = !default(80) !charend('/') /
    ind = !charend('/') /
    dep = !charend('/') /
    grp = !default(NOGRP) !charend('/') /
    wgt = !default(W_FSTUWT) !charend('/') /
    rwgt = !default(W_FSTR) !charend('/') /
    cons = !default(0.05) !charend('/')/
    PSU = !default(SCHOOLID) !charend('/')/
    limit_criteria = !default(0) !charend('/')/
    infile = !charend('/')).

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.
oms /select headings tables texts/destination viewer=no /tag="crap".

/* nGrp is the number of group variables */
!if (!upcase(!grp)=NOGRP) !then
!let !nGrp=null
!Else
!do !g !in (!grp)
!let !nGrp=!concat(!nGrp, " ")
!doend !ifend.
!let !nGrp=!length(!nGrp).
title !quote(!concat('Number of group variables = ', !ngrp)).

get file !infile /keep=!if (!ngrp>0) !then !grp !ifend !PSU !wgt !concat(!rwgt,1) to
!concat(!rwgt,!nrep) !dep !ind.
!if (!ngrp=0) !then compute !grp=1 !ifend.
missing values !grp () .
sort cases by !grp.
compute MISS = (missing(!dep)) .
!do !i !in (!ind).
if (missing(!i)) MISS=1.
!doend.
compute VALIDN=1-MISS.
formats VALIDN (f1.0).
save outfile='c:\temp\sort.sav' /drop=MISS.

*** COMPUTE ESTIMATES ***.

get file 'c:\temp\sort.sav'.
split file by !grp.
weight by !wgt.

oms /select tables /if subtype='Model Summary' /destination format=sav outfile='c:\temp\R2.sav' /TAG="R2".
REGRESSION
/missing=listwise
/DEPENDENT !dep
/METHOD=ENTER !ind
/OUTFILE=COVB('C:\temp\coef.sav') .
omsend tag="R2".

get file='C:\temp\coef.sav'.
select if (rowtype_='EST').
rename var(CONST_=@INTERCEPT).
VARSTOCASES /MAKE STAT FROM @INTERCEPT !ind
/INDEX = ind(stat) /KEEP = !grp /NULL = KEEP.
Recode ind ('@INTERCEPT'=' INTERCEPT').
save outfile='c:\temp\all.sav'.

```



Box 17.6 [2/4] SPSS® macro of MCR_SE_REG.sps

```

get file='c:\temp\R2.sav' /keep=!if (!ngrp=0) !then var1 !else !do !n=1 !to !nGrp
!concat('var',!n," ") !doend !ifend RSquare.
rename var (!if (!ngrp=0) !then var1 !else !do !n=1 !to !nGrp !concat('var',!n," "))
!doend !ifend RSquare=!grp STAT).
formats stat (f8.2).
string IND (a10).
compute IND=" R-SQUARE".
add files file='c:\temp\all.sav' /file=*.sav.
sort cases by !grp ind.
save outfile='c:\temp\all2.sav'.

* REPLICATES.
oms /select tables /if subtype='Model Summary' /destination format=sav outfile='c:\temp\R2R.sav' /TAG="R2R".
!do !i=1 !to !nrep.
dataset close all.
get file='c:\temp\sort.sav'.

*sort cases by !grp.
split file by !grp.

weight by !concat(!rwgt,!i).
REGRESSION
  /missing=listwise
  /DEPENDENT !dep
  /METHOD=ENTER !ind
  /OUTFILE=COVB('C:\temp\coef.sav') .

get file='C:\temp\coef.sav'.
select if (rowtype_ ='EST').
rename var(CONST_=@INTERCEPT).
VARSTOCASES /MAKE statR FROM @INTERCEPT !ind
  /INDEX = ind(statR) /KEEP = !grp /NULL = KEEP.
Recode ind ('@INTERCEPT'=' INTERCEPT').

save outfile=!quote(!concat('C:\temp\',!dep,!i,'.sav')).sav'.
!doend.
omsend tag="R2R".

*** COMBINE RESULTS ***.

dataset close ALL.
get file =!quote(!concat('C:\temp\',!dep,'1.sav')).

!let !ccc=!NULL.
!Do !e = 2 !to !nrep.
add files file=* /file=!quote(!concat('c:\temp\',!dep,!e,'.sav')).
exe.
!if ((!e=50) !or (!e=100) !or (!e=150) !or (!e=200) !or (!e=250) !or (!e=300) !or
(!e=350) !or (!e=400) !or (!e=450)) !then
!let !ccc=(!Concat(!ccc,!Blank(1))).
save outfile=!quote(!concat('c:\temp\',!dep,'_temp_',!length(!ccc),'.sav')).
!let !f!=length(!concat(!blanks(!e),!blanks(1))).
get file =!quote(!concat('C:\temp\',!dep,!f,'.sav')).
select if $casenum=0.
!ifend
!if (!e!=!nrep) !then
!let !ccc=(!Concat(!ccc,!Blank(1))).
save outfile=!quote(!concat('c:\temp\',!dep,'_temp_',!length(!ccc),'.sav')).
!if (!nrep>50) !then
add files !do !cccc=1 !to !length(!ccc)/file=!quote(!concat('c:\temp\',!dep,'_temp_',
!cccc,'.sav')) !doend.
!ifend
!ifend
!Doend
save outfile='c:\temp\rwgt.sav'.

```



Box 17.6 [3/4] SPSS® macro of MCR_SE_REG.sps

```

get file='c:\temp\R2R.sav' /keep=!if (!ngrp=0) !then var1 !else !do !n=1 !to !nGrp
!concat('var',!n," ") !doend !ifend RSquare.
rename var (!if (!ngrp=0) !then var1 !else !do !n=1 !to !nGrp !concat('var',!n," "))
!doend !ifend RSquare=!grp STATR).
formats statr (f8.2).
string IND (a10).
compute IND=" R-SQUARE".
add files file='c:\temp\rwgt.sav' /file=*.
sort cases by !grp ind.
save outfile='c:\temp\rwgt2.sav'.

sort cases by !grp ind.
match files file=* /table='c:\temp\all2.sav' /by !grp ind.
exec.

*** COMPUTE SAMPLING VARIANCE (U) ***.

compute var=(statr-stat)**2.

aggregate outfile=* /break=!grp IND /stat= mean(stat) /var=sum(var).
compute var=!cons*var.

*** COMPUTE SE ***.

compute se=sqrt(var).
formats stat (f8.3)/ SE (f10.6).
save outfile='c:\temp\stats.sav'.

*** COMPUTE N ***.

!let !z=!substr(!limit_criteria,!length(!limit_criteria),1).
get file='c:\temp\sort.sav'.
weight by !wgt.
aggregate /break=!if (!z=1) !then !head(!grp) !else !grp !ifend /N_total=N.
filter by VALIDN.
aggregate outfile='c:\temp\y.sav' /break=!grp /NU_cases=NU /N_cases=N.
weight off.
aggregate outfile=* /break=!grp !PSU /N=N /N_total=mean(N_total).
aggregate outfile=* /break=!grp /NU_PSU=N /N_total=mean(N_total).
match files /file='c:\temp\y.sav' /file=* /by !grp.
match files file='c:\temp\stats.sav' /TABLE=* /by !grp.
formats N_cases (f10.0).
filter off.

*** FLAGGING FOR OECD ***.

!if (!limit_criteria=0) !then !else
!let !a=null.
!do !b !in (!limit_criteria).
!let !a=!concat(!a, " ").
!if (!length(!a)=1) !then.
compute FLAG_std=(NU_cases<!b).
formats FLAG_std (f1.0).
!ifend.
!if (!length(!a)=2) !then.
compute FLAG_sch=(NU_PSU<!b).
formats FLAG_sch (f1.0).
!ifend.
!if (!length(!a)=3) !then
compute FLAG_pct=((100*N_cases/N_total)<!b).
formats FLAG_pct (f1.0).
!ifend.
!doend.
!ifend.
compute IND=Ltrim(IND).
exe.
omsend tag="crap".

```



Box 17.6 [4/4] **SPSS® macro of MCR_SE_REG.sps**

```
*** OUTPUT TABLES ***.
oms /select tables /if subtypes='Case Processing Summary' /destination viewer=no
/tag="case".
SUMMARIZE
  /TABLES= !if (!nGrp>0) !then !grp !ifend IND stat SE !if (!limit_criteria=0)
!then !else FLAG_std FLAG_sch FLAG_pct !ifend
  /FORMAT=LIST NOCASENUM
  !if (!ngrp=0) !then /TITLE=!quote(!concat("Regression of ",!dep," on ",!ind))
  !else /TITLE=!quote(!concat("Regression of ",!dep," on ",!ind," by ",!grp))
!ifend
  /CELLS=NONE.
delete variables !if (!limit_criteria=0) !then N_total !ifend !if (!ngrp=0) !then
!grp !ifend var.
omsend tag="case".
restore.
!if (!limit_criteria=0) !then.
save outfile="c:\temp\results.sav" drop=n_cases nu_cases nu_psu.
get file="c:\temp\results.sav".
!else.
save outfile="c:\temp\results.sav" drop=n_cases nu_cases nu_psu n_total.
get file="c:\temp\results.sav".
!ifend.
!enddefine.
```



Box 17.7 [1/5] SPSS® macro of MCR_SE_REG_PV.sps

```

set mprint=no.

*** Created by Eveline Gebhardt & Alexander Daraganov, Australian Council for
Educational Research ***.

define REG_PV (infile = !charend('/')/
               ind = !charend('/')/
               dep = !charend('/')/
               grp = !default(NOGRP) !charend('/')/
               nrep = !default(80) !charend('/')/
               wgt = !default(W_FSTUWT) !charend('/')/
               rwgt = !default(W_FSTR) !charend('/')/
               cons = !default(0.05) !charend('/')/
               PSU = !default(SCHOOLID) !charend('/')/
               limit_criteria = !default(0) !charend('/')).

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.
oms /select headings tables texts/destination viewer=no /tag="crap".

/* nGrp is the number of group variables */
!let !nGrp=null
!if (!upcase(!grp)=NOGRP) !then !else
!do !g !in (!grp)
!let !nGrp=!concat(!nGrp, " ")
!doend !ifend.
!let !nGrp=!length(!nGrp).
title !quote(!concat('Number of group variables = ', !nGrp)).

get file !infile /keep=!if (!nGrp>0) !then !grp !ifend !PSU !wgt !concat(!rwgt,1) to
!concat(!rwgt,!nrep) !dep !ind .
!if (!nGrp=0) !then compute !grp=1 !ifend.
missing values !grp () .
sort cases by !grp.
compute MISS = 0.
!do !d !in (!dep).
if (missing(!d)) MISS=1.
!doend.
!do !i !in (!ind).
if (missing(!i)) MISS=1.
!doend.
compute VALIDN=1-MISS.
formats VALIDN (f1.0).
save outfile='c:\temp\sort.sav' /drop=MISS.

*** COMPUTE REGRESSION COEFFICIENTS ***.

get file 'c:\temp\sort.sav'.
split file by !grp.
weight by !wgt.

oms /select tables /if subtype='Model Summary' /destination format=sav numbered=PV
outfile='c:\temp\R2.sav' /TAG="R2".
REGRESSION
  /missing=listwise
  /DEPENDENT !dep
  /METHOD=ENTER !ind
  /OUTFILE=COVB(!quote(!concat('C:\temp\coef.sav'))).
omsend tag="R2".
get file='C:\temp\coef.sav'.
select if (rowtype_ ='EST').
rename var(CONST_=@INTERCEPT).
sort cases by !grp depvar_.
VARSTOCASES
  /MAKE s FROM @INTERCEPT !ind
  /INDEX = IND(s) /KEEP = DEPVAR_ !grp /NULL = KEEP.
sort cases by !grp IND depvar_.
casestovars /id=!grp IND /index=depvar_.
rename vars (!dep=stat.1 to stat.5).
Recode ind ('@INTERCEPT'=' INTERCEPT').
save outfile='c:\temp\all.sav'.

```



Box 17.7 [2/5] SPSS® macro of MCR_SE_REG_PV.sps

```

get file='c:\temp\R2.sav'
/keep=PV !if (!ngrp=0) !then var1 !else !do !n=1 !to !nGrp !concat('var',!n," ")
!doend !ifend RSquare.
rename var (!if (!ngrp=0) !then var1 !else !do !n=1 !to !nGrp !concat('var',!n," "))
!doend !ifend RSquare=!grp STAT).
formats stat (f8.2).
sort cases by !grp.
casestovars /id=!grp /index=PV.
string IND (a10).
compute IND=" R-SQUARE".
add files file='c:\temp\all.sav' /file=*.
sort cases by !grp ind.
save outfile='c:\temp\all2.sav'.

* REPLICATES.

oms /select tables /if subtype='Model Summary' /destination format=sav
numbered=PVREP outfile='c:\temp\R2R.sav' /TAG="R2R".
!do !i=1 !to !nrep.
dataset close all.
get file 'c:\temp\sort.sav'.
split file by !grp.

weight by !CONCAT(!rwgt,!i).
REGRESSION
  /missing=listwise
  /DEPENDENT !dep
  /METHOD=ENTER !ind
  /OUTFILE=COVB(!quote(!concat('C:\temp\rep',!i,'.sav'))).

get file=!quote(!concat('C:\temp\rep',!i,'.sav')).
select if (rowtype_='EST').
rename var (CONST_=@INTERCEPT).
sort cases by !grp depvar_.
VARSTOCASES
  /MAKE s FROM @INTERCEPT !ind
  /INDEX = IND(s)
  /KEEP = DEPVAR_ !grp
  /NULL = KEEP.
sort cases by !grp IND depvar_.
casestovars /id=!grp IND /index=depvar_.
rename vars (!dep=statR.1 to statR.5).
Recode ind ('@INTERCEPT'=' INTERCEPT').
save outfile=!quote(!concat('C:\temp\',!rwgt,!i,'.sav')).
!doend.
omsend tag="R2R".

dataset close ALL.

* Combine replicate files.

!let !nper=50.
!if ( !length(!substr(!blanks(!nrep),!length(!concat(!blanks(!nper),
!blanks(1)))))=0 ) !then
  add files file= !quote(!concat('C:\temp\',!rwgt,'1.sav')) !do !e=2 !to !nrep /
  file=!quote(!concat('C:\temp\',!rwgt,!e,'.sav')) !doend .
!else
  !let !modls=!nrep.
  !let !intgr=0.
  !Do !iter=!nrep !to !nper !by -!nper
    add files file= !quote(!concat('C:\temp\',!rwgt,!iter,'.sav')) !do !e=
    !length(!substr(!blanks(!iter),2)) !to !length(!substr(!blanks(!iter),
    !length(!blanks(!nper)))) !by -1 /file=!quote(!concat('C:\temp\' ,
    !rwgt,!e,'.sav')) !doend .
    !let !modls=!length(!substr(!blanks(!modls),!length(!concat(!blanks(1),
    !blanks(!nper))))).
    !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))).
    save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_,!intgr,'.sav')).
  !doend
  !if (!modls>0) !then
    !if (!modls>1) !then
      add files
.....
```



Box 17.7 [3/5] SPSS® macro of MCR_SE_REG_PV.sps

```

file= !quote(!concat('C:\temp\',!rwgt,'1.sav')) !do !e=2 !to !modls /
file=!quote(!concat('C:\temp\',!rwgt,!e,'.sav')) !doend .
    !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))).
    save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_,!intgr,'.sav')). .
!else
    get file =!quote(!concat('C:\temp\',!rwgt,'1.sav')). .
    !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))). .
    save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_,!intgr,'.sav')). .
!endif
!ifend
!if ( !length(!substr(!blanks(!intgr),!length(!concat(!blanks(!nper),
!blanks(1)))))=0 ) !then
    add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_1.sav')) !do !e=2 !to
    !intgr /file=!quote(!concat('C:\temp\',!rwgt,'_temp_,!e,'.sav')) !doend .
!else
    !let !modls!=intgr.
    !let !intgr1=0.
    !do !iter!=intgr !to !nper !by -1
        add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_!,!iter,'.
        sav')) !do !e!=length(!substr(!blanks(!iter),2)) !to
        !length(!substr(!blanks(!iter),!length(!blanks(!nper)))) !ny -1
        /file= !quote(!concat('C:\temp\', !rwgt,'_temp_!,!e,'.sav')) !doend .
        !let !modls!=length(!substr(!blanks(!modls),!length(!concat(!blanks(1),
        !blanks(!nper))))).
        !let !intgr1!=length(!Concat(!blanks(!intgr1), !blanks(1))). .
        save outfile=!quote(!concat('c:\temp\',!rwgt,'_tempover_,!intgr1,'.
        sav')). .
    !doend
    !if (!modls>0) !then
        !if (!modls>1) !then
            add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_1.sav')) !
            do !e=2 !to !modls /file= !quote(!concat('C:\temp\' ,
            !rwgt,'_temp_!,!e,'.sav')) !doend .
            !let !intgr1!=length(!Concat(!blanks(!intgr1),
            !blanks(1))). .
            save outfile=!quote(!concat('c:\temp\',!rwgt,'_tempover_ ,
            !intgr1,'.sav')). .
        !else
            get file= !quote(!concat('C:\temp\',!rwgt,'_temp_1.sav')). .
            !let !intgr1!=length(!Concat(!blanks(!intgr1),
            !blanks(1))). .
            save outfile=!quote(!concat('c:\temp\',!rwgt,'_tempover_ ,
            !intgr1,'.sav')). .
        !endif
        add files file= !quote(!concat('c:\temp\',!rwgt,'_tempover_1.sav')) !do !e=2
        !to !intgr1 /file= !quote(!concat('C:\temp\',!rwgt,'_tempover_!,!e,'.sav')) !
        doend .
    !ifend
!endif
exe.
save outfile='c:\temp\rep1.sav'.
get file='c:\temp\R2R.sav'
/keep=PVREP !if (!ngrp=0) !then var1 !else !do !n=1 !to !nGrp !concat('var',!n," ")
!doend !ifend RSquare.
rename var (!if (!ngrp=0) !then var1 !else !do !n=1 !to !nGrp !concat('var',!n," "))
!doend !ifend RSquare=grp STATR.
formats STATR (f8.2).
compute PV=mod(PVREP,5).
recode PV (0=5).
if (PV=5) REP=PVREP/PV.
sort cases by !grp PVREP (d).
!do !j=4 !to 1 !by -1.
if (PV!=j) REP=lag(REP).
exe.
!doend.
formats PV REP (F3.0).
sort cases by !grp REP PV.
delete var PVREP.
casestovars /id=!grp REP/index=PV.
string IND (a10).
compute IND=" R-SQUARE".
add files file=* /file='c:\temp\rep1.sav'.
save outfile='c:\temp\rep2.sav'.
delete var REP.

```



Box 17.7 [4/5] SPSS® macro of MCR_SE_REG_PV.sps

```

SORT CASES BY !grp IND.
match files file=*/table= 'c:\temp\all2.sav' /by !grp IND.
exec.

*** COMPUTE SAMPLING VARIANCE (U) ***.

do repeat a=statr.1 to statr.5/
      b=stat.1 to stat.5/
      c=var1 to var5.
compute c=(a-b)**2.
end repeat.

aggregate outfile=*/
      break=!grp IND/
      stat.1 to stat.5= mean(stat.1 to stat.5)/
      var1 to var5 = sum(var1 to var5).

do repeat a=var1 to var5.
compute a=!cons*a.
end repeat.

compute pv_var=mean(var1 to var5).

*** CALCULATING MEASUREMENT VARIANCE (Bm) ***.

compute stat=mean(stat.1 to stat.5).
do repeat a=stat.1 to stat.5/b=pvar1 to pvar5.
compute b=(a-stat)**2.
end repeat.
compute pvmerr=.25*(sum(pvar1 to pvar5)).

*** COMPUTE SE [V = U + (1+1/M)Bm] ***.

compute SE=sqrt(pv_var+1.2*pvmerr).
formats stat (f8.3)/ SE (f10.6).
save outfile='c:\temp\stats.sav'.

*** COMPUTE N ***.

!let !z=!substr(!limit_criteria,!length(!limit_criteria),1).
get file='c:\temp\sort.sav'.
weight by !wgt.
aggregate /break=!if (!z=1) !then !head(!grp) !else !grp !ifend /N_total=N.
filter by VALIDN.
aggregate outfile='c:\temp\y.sav' /break=!grp /NU_cases=NU /N_cases=N.
weight off.
aggregate outfile=* /break=!grp !PSU /N=N /N_total=mean(N_total).
aggregate outfile=* /break=!grp /NU_PSU=N /N_total=mean(N_total).
match files /file='c:\temp\y.sav' /file=* /by !grp.
match files file='c:\temp\stats.sav' /TABLE=* /by !grp.
formats N_cases (f10.0).
filter off.

```



Box 17.7 [5/5] SPSS® macro of MCR_SE_REG_PV.sps

```

*** FLAGGING FOR OECD ***.

!if (!limit_criteria=0) !then !else
!let !a=null.
!do !b !in (!limit_criteria).
!let !a=!concat(!a, " ").
!if (!length(!a)=1) !then.
compute FLAG_std=(NU_cases<!b).
formats FLAG_std (f1.0).
!ifend.
!if (!length(!a)=2) !then.
compute FLAG_sch=(NU_PSU<!b).
formats FLAG_sch (f1.0).
!ifend.
!if (!length(!a)=3) !then
compute FLAG_pct=((100*N_cases/N_total)<!b).
formats FLAG_pct (f1.0).
!ifend.
!doend.
!ifend.
compute IND=Ltrim(IND).
exe.
omsend tag="crap".

*** OUTPUT TABLES ***.

Oms /select tables /if subtypes='Case Processing Summary' /destination viewer=no
/tag="case".
SUMMARIZE
  /TABLES= !if (!nGrp>0) !then !grp !ifend IND stat SE !if (!limit_criteria=0)
  !then !else FLAG_std FLAG_sch FLAG_pct !ifend
  /FORMAT=LIST NOCASENUM
    !if (!ngrp=0) !then /TITLE=!quote(!concat("Regression of ",!head(!dep)," to ",!su
bstr(!dep,!Index(!dep,PV5)), " on ",!ind))
    !else /TITLE=!quote(!concat("Regression of ",!head(!dep)," to ",!substr(!dep,!Ind
ex(!dep,PV5)), " on ",!ind," by ",!grp)) !ifend
    /CELLS=NONE.

omsend tag="case".

delete variables !if (!ngrp=0) !then !grp !ifend stat.1 stat.2 stat.3 stat.4 stat.5
  var1 var2 var3 var4 var5 pv_var pvar1 pvar2 pvar3 pvar4 pvar5 pvmerr !if
  (!limit_criteria=0) !then N_total !ifend .

!if (!limit_criteria=0) !then.
save outfile="c:\temp\results.sav" drop= n_cases nu_cases nu_psu.
get file="c:\temp\results.sav".
!else.
save outfile="c:\temp\results.sav" drop=n_cases nu_cases nu_psu n_total.
get file="c:\temp\results.sav".
!ifend.

!enddefine.

```



Box 17.8 [1/3] SPSS® macro of MCR_SE_COR.sps

```

set mprint=no.

*** Created by Eveline Gebhardt, Australian Council for Educational Research ***.

define CORnoPV (var1 = !charend('/') /
    var2 = !charend('/') /
    infile = !charend('/') /
    grp = !default(NOGRP) !charend('/') /
    limit_criteria = !default(0) !charend('/') /
    PSU = !default(SCHOOLID) !charend('/') /
    wgt = !default(W_FSTUWT) !charend('/') /
    rwgt = !default(W_FSTR) !charend('/') /
    nrep = !default(80) !charend('/') /
    cons = !default(0.05) !charend('/')).

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.
oms /select tables headings texts/destination viewer=no /tag="NoViewer".

/* nGrp is the number of group variables */
!if (!upcase(!grp)="NOGRP") !then
!let !nGrp=null
!Else
!do !g !in (!grp)
!let !nGrp=!concat(!nGrp, " ")
!doend !ifend.
!let !nGrp=!length(!nGrp).

!if (!nGrp>0) !then
!let !x=!length(!concat(!blanks(!nGrp), " ")). 
!let !y=!length(!concat(!blanks(!nGrp), " ")). 
!else
!let !x=2.
!let !y=3.
!ifend.
title grp=!grp ngrp=!ngrp x=!x y=!y.

get file !infile /keep !if (!ngrp>0) !then !grp !ifend !PSU !wgt !concat(!rwgt,1) to
!concat(!rwgt,!nrep) !var1 !var2.
!if (!nGrp=0) !then compute !grp=1 !ifend.
missing values !grp () .
SORT CASES by !grp.
compute MISS = (missing(!var1)).
if (missing(!var2)) MISS=1.
compute VALIDN=1-MISS.
formats VALIDN (f1.0).
save outfile='c:\temp\sort.sav' /drop=MISS.

*** COMPUTE ESTIMATE ***.

oms select tables /if subtypes='Correlations' /destination format=sav outfile='c:\temp\full.sav' /tag="fullweight".
split file layered by !grp.
weight by !wgt.
CORRELATIONS /VARIABLES=!var1 with !var2
/PRINT=TWOTAIL NOSIG .
split file off.
omsend tag="fullweight".

get file='c:\temp\full.sav' /keep= Var1 to !concat('Var',!y) !var2.
rename vars (!var2=STAT).
compute casenum=$casenum.
exe.
select if mod(casenum,3)=1.
exe.
*erase file='c:\temp\fullwgt.sav'.
!if (!nGrp > 0) !then
sort cases by Var1 to !concat('Var',!nGrp)
!ifend.
save outfile='c:\temp\fullwgt.sav' /drop= !concat('Var',!x) !concat('Var',!y).

```



Box 17.8 [2/3] SPSS® macro of MCR_SE_COR.sps

```

* REPLICATES.
get file='c:\temp\sort.sav'.

oms select tables /if subtypes='Correlations' /destination numbered="RepWeight"
format=sav outfile='c:\temp\rep.sav' /tag="repweight".

!do !i=1 !to !nrep.
split file layered by !grp.
weight by !concat(!rwgt,!i).
CORRELATIONS /VARIABLES=!var1 with !var2
/PRINT=TWOTAIL NOSIG /MISSING=PAIRWISE .
!doend.
omsend tag="RepWeight".

get file='c:\temp\rep.sav' /keep=RepWeight Var1 to !concat('Var',!y) !var2.
rename vars (!var2=statR).
compute casenum=$casenum.
exe.
select if mod(casenum,3)=1.
exe.
!if (!nGrp > 0) !then
sort cases by Var1 to !concat('Var',!nGrp)
!ifend.
save outfile='c:\temp\repwgt.sav' /drop= !concat('Var',!x) !concat('Var',!y) .

*** COMBINE RESULTS ***.

match files table='c:\temp\fullwgt.sav' /file='c:\temp\repwgt.sav' /by !if (!nGrp>1)
!then Var1 to !concat('Var',!nGrp) !else Var1 !ifend.
string Correlation (a100).
compute Correlation=!quote(!concat(!var1," * ",!var2)).
rename vars (!if (!nGrp>1) !then Var1 to !concat('Var',!nGrp) !else Var1 !ifend =
!grp).
exec.

*** COMPUTE SAMPLING VARIANCE (U) ***.

compute var=(statr-stat)**2.
save outfile = 'c:\temp\regmod.sav'.

aggregate outfile=/* break=!grp/ stat= mean(stat)/ var=sum(var).
compute var=!cons*var.

*** COMPUTE SE ***.

compute SE=sqrt(var).
formats stat (f8.3)/ SE (f10.6).
save outfile='c:\temp\stats.sav'.

*** COMPUTE N ***.

!let !z=!substr(!limit_criteria,!length(!limit_criteria),1).
get file='c:\temp\sort.sav'.
weight by !wgt.
!if (!limit_criteria=0) !then !else
aggregate /break=!if (!z=1) !then !head(!grp) !else !grp !ifend /N_total=N.
!ifend
filter by VALIDN.
aggregate outfile='c:\temp\y.sav' /break=!grp /NU_cases=NU /N_cases=N.
weight off.
aggregate outfile=* /break=!grp !PSU /N=N !if (!limit_criteria=0) !then !else /
N_total=mean(N_total) !ifend.
aggregate outfile=* /break=!grp /NU_PSU=N !if (!limit_criteria=0) !then !else /
N_total=mean(N_total) !ifend.
match files /file='c:\temp\y.sav' /file=* /by !grp.
match files file='c:\temp\stats.sav' /TABLE=* /by !grp.
formats N_cases (f10.0).
filter off.

```



Box 17.8 [3/3] SPSS® macro of MCR_SE_COR.sps

```

*** FLAGGING FOR OECD ***.

!if (!limit_criteria=0) !then !else
!let !a=null.
!do !b !in (!limit_criteria).
!let !a=!concat(!a," ").
!if (!length(!a)=1) !then.
compute FLAG_std=(NU_cases<!b).
formats FLAG_std (f1.0).
!ifend.
!if (!length(!a)=2) !then.
compute FLAG_sch=(NU_PSU<!b).
formats FLAG_sch (f1.0).
!ifend.
!if (!length(!a)=3) !then
compute FLAG_pct=((100*N_cases/N_total)<!b).
formats FLAG_pct (f1.0).
!ifend.
!doend.
!ifend.
exe.
omsend tag="NoViewer".

*** OUTPUT TABLES ***.

oms /select tables /if subtypes='Case Processing Summary' /destination viewer=no
/tag="case".
SUMMARIZE
  /TABLES= !if (!nGrp > 0) !then !grp !ifend stat SE !if (!limit_criteria=0) !then
  !else FLAG_std FLAG_sch FLAG_pct !ifend
  /FORMAT=LIST NOCASENUM
  !if (!ngrp=0) !then /TITLE=!quote(!concat("Correlation ",!var1," with ",!var2))
  !else /TITLE=!quote(!concat("Correlation ",!var1," with ",!var2," by ",!grp))
!ifend
  /CELLS=NONE.

omsend tag="case".

delete variables var !if (!ngrp=0) !then !grp !ifend.
restore.

!if (!limit_criteria=0) !then.
save outfile="c:\temp\results.sav" drop= n_cases nu_cases nu_psu.
get file="c:\temp\results.sav".
!else.
save outfile="c:\temp\results.sav" drop=n_cases nu_cases nu_psu n_total.
get file="c:\temp\results.sav".
!ifend.

!enddefine.

```



Box 17.9 [1/4] SPSS® macro of MCR_SE_COR_1PV.sps

```

set mprint=no.

*** Created by Eveline Gebhardt, Australian Council for Educational Research ***.

define COR_1PV (NoPV = !charend('/') /
    PV = !charend('/') /
    infile = !charend('/')/
    grp = !default(NOGRP) !charend('/') /
    limit_criteria = !default(0) !charend('/')/
    PSU = !default(SCHOOLID) !charend('/')/
    wgt = !default(W_FSTUWT) !charend('/') /
    rwgt = !default(W_FSTR) !charend('/') /
    nrep = !default(80) !charend('/')/
    cons = !default(0.05) !charend('/')).
preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.
oms /select tables headings texts/destination viewer=no /tag="NoViewer".

/* nGrp is the number of group variables */
!if (!upcase(!grp)="NOGRP") !then
!let !nGrp=null
!Else
!do !g !in (!grp)
!let !nGrp=!concat(!nGrp, " ")
!doend !ifend.
!let !nGrp=!length(!nGrp).

!if (!nGrp>0) !then
!let !x=!length(!concat(!blanks(!nGrp), " ")). 
!let !y=!length(!concat(!blanks(!nGrp), " ")). 
!else
!let !x=2.
!let !y=3.
!ifend.
title grp=!grp ngrp=!ngrp x=!x y=!y.

get file !infile /keep !if (!ngrp>0) !then !grp !ifend !PSU !wgt !concat(!rwgt,1) to
!concat(!rwgt,!nrep) !NoPV !PV.
!if (!nGrp=0) !then compute !grp=1 !ifend.
missing values !grp () .
SORT CASES by !grp.
compute MISS = (missing(!NoPV)) .
!do !p !in (!pv).
if (missing(!p)) MISS=1.
!doend.
compute VALIDN=1-MISS.
formats VALIDN (f1.0).
save outfile='c:\temp\sort.sav' /drop=MISS.

*** COMPUTE ESTIMATE ***.

oms select tables /if subtypes='Correlations' /destination format=sav outfile='c:\temp\full.sav' /tag="fullweight".
split file layered by !grp.
weight by !wgt.
CORRELATIONS /VARIABLES=!NoPV with !PV
/PRINT=TWOTAIL NOSIG /MISSING=PAIRWISE .
split file off.
omsend tag="fullweight".

get file='c:\temp\full.sav' /keep= Var1 to !concat('Var',!y) !PV.
rename vars (!PV=stat1 to stat5).
compute casenum=$casenum.
exe.
select if mod(casenum,3)=1.
exe.
*erase file='c:\temp\fullwgt.sav'.
!if (!nGrp > 0) !then
sort cases by Var1 to !concat('Var',!nGrp)
!ifend.
save outfile='c:\temp\fullwgt.sav' /drop= !concat('Var',!x) !concat('Var',!y).

```



Box 17.9 [2/4] SPSS® macro of MCR_SE_COR_1PV.sps

```

* REPLICATES.

get file='c:\temp\sort.sav'.

oms select tables /if subtypes='Correlations' /destination numbered="RepWeight"
format=sav outfile='c:\temp\rep.sav' /tag="repweight".

!do !i=1 !to !nrep.
split file layered by !grp.
weight by !concat(!rwgt,!i).
CORRELATIONS /VARIABLES=!NopV with !PV
/PRINT=TWOTAIL NOSIG /MISSING=PAIRWISE .
!doend.
omsend tag="RepWeight".

get file='c:\temp\rep.sav' /keep=RepWeight Var1 to !concat('Var',!y) !PV.
rename vars (!PV=statR1 to statR5).
compute casenum=$casenum.
exe.
select if mod(casenum,3)=1.
exe.
!if (!nGrp > 0) !then
sort cases by Var1 to !concat('Var',!nGrp)
!ifend.
save outfile='c:\temp\repwgt.sav' /drop= !concat('Var',!x) !concat('Var',!y) .

*** COMBINE RESULTS ***.

match files table='c:\temp\fullwgt.sav' /file='c:\temp\repwgt.sav' /by !if (!nGrp>1)
!then Var1 to !concat('Var',!nGrp) !else Var1 !ifend .
string Correlation (a100).
compute Correlation=!quote(!concat(!NoPV," * ",!PV)).
rename vars (!if (!nGrp>1) !then Var1 to !concat('Var',!nGrp) !else Var1 !ifend =
!grp).
exec.

*** COMPUTE SAMPLING VARIANCE (U) ***.

do repeat a=statr1 to statr5/
      b=stat1 to stat5/
      c=var1 to var5.
compute c=(a-b)**2.
end repeat.
save outfile = 'c:\temp\regmod.sav'.

aggregate outfile=*/ break=!grp/ stat1 to stat5= mean(stat1 to stat5)/ var1 to var5 =
sum(var1 to var5).
do repeat a=var1 to var5.
compute a=!cons*a.
end repeat.
compute pv_var=mean(var1 to var5).

*** COMPUTE SAMPLING VARIANCE (U) ***.

do repeat a=statr1 to statr5/
      b=stat1 to stat5/
      c=var1 to var5.
compute c=(a-b)**2.
end repeat.
save outfile = 'c:\temp\regmod.sav'.

aggregate outfile=*/ break=!grp/ stat1 to stat5= mean(stat1 to stat5)/ var1 to var5 =
sum(var1 to var5).
do repeat a=var1 to var5.
compute a=!cons*a.
end repeat.
compute pv_var=mean(var1 to var5).

```



Box 17.9 [3/4] SPSS® macro of MCR_SE_COR_1PV.sps

```

*** CALCULATING MEASUREMENT VARIANCE (Bm) ***.

compute stat=mean(stat1 to stat5).
do repeat a=stat1 to stat5/b=pvar1 to pvar5.
compute b=(a-stat)**2.
end repeat.
compute pvmerr=.25*(sum(pvar1 to pvar5)).

*** COMPUTE SE [V = U + (1+1/M)Bm] ***.

compute SE=sqrt(pv_var+1.2*pvmerr).
formats stat (f8.3) / SE (f10.6).
save outfile='c:\temp\stats.sav'.

*** COMPUTE N ***.

!let !z=!substr(!limit_criteria,!length(!limit_criteria),1).
get file='c:\temp\sort.sav'.
weight by !wgt.
!if (!limit_criteria=0) !then !else
aggregate /break=!if (!z=1) !then !head(!grp) !else !grp !ifend /N_total=N.
!ifend
filter by VALIDN.
aggregate outfile='c:\temp\y.sav' /break=!grp /NU_cases=NU /N_cases=N.
weight off.
aggregate outfile=* /break=!grp !PSU /N=N !if (!limit_criteria=0) !then !else /N_
total=mean(N_total) !ifend.
aggregate outfile=* /break=!grp /NU_PSU=N !if (!limit_criteria=0) !then !else /N_
total=mean(N_total) !ifend.
match files /file='c:\temp\y.sav' /file=* /by !grp.
match files file='c:\temp\stats.sav' /TABLE=* /by !grp.
formats N_cases (f10.0).
filter off.

*** FLAGGING FOR OECD ***.

!if (!limit_criteria=0) !then !else
!let !a=null.
!do !b !in (!limit_criteria).
!let !a=!concat(!a," ").
!if (!length(!a)=1) !then.
compute FLAG_std=(NU_cases<!b).
formats FLAG_std (f1.0).
!ifend.
!if (!length(!a)=2) !then.
compute FLAG_sch=(NU_PSU<!b).
formats FLAG_sch (f1.0).
!ifend.
!if (!length(!a)=3) !then
compute FLAG_pct=((100*N_cases/N_total)<!b).
formats FLAG_pct (f1.0).
!ifend.
!doend.
!ifend.
exe.
omsend tag="NoViewer".

*** OUTPUT TABLES ***.

oms /select tables /if subtypes='Case Processing Summary' /destination viewer=no /
tag="case".
SUMMARIZE
/TABLES= !if (!nGrp > 0) !then !grp !ifend stat SE !if (!limit_criteria=0) !then
!else FLAG_std FLAG_sch FLAG_pct !ifend
/FORMAT=LIST NOCASENUM
!if (!ngrp=0) !then /TITLE=!quote(!concat("Correlation ",!concat(!NoPV," with ",
!head(!PV)," to ",!substr(!PV,!Index(!PV,PV5)))) )
!else /TITLE=!quote(!concat("Correlation ",!concat(!NoPV," with ",!head(!PV)," to ",
!substr(!PV,!Index(!PV,PV5))), " by ",!grp)) !ifend
/CELLS=None.

```

Box 17.9 [4/4] **SPSS® macro of MCR_SE_COR_1PV.sps**

```
omsend tag="case".  
  
delete variables !if (!ngrp=0) !then !grp !ifend stat1 stat2 stat3 stat4 stat5 var1  
var2 var3 var4 var5 pv_var pvar1 pvar2 pvar3 pvar4 pvar5 pvmerr.  
restore.  
  
!if (!limit_criteria=0) !then.  
save outfile="c:\temp\results.sav" drop= n_cases nu_cases nu_psu.  
get file="c:\temp\results.sav".  
!else.  
save outfile="c:\temp\results.sav" drop=n_cases nu_cases nu_psu n_total.  
get file="c:\temp\results.sav".  
!ifend.  
  
!enddefine.
```



Box 17.10 [1/4] SPSS® macro of MCR_SE_COR_2PV.sps

```

set mprint=no.

*** Created by Eveline Gebhardt, Australian Council for Educational Research ***.

define COR_2PV (PV1 = !charend('/') /
    PV2 = !charend('/') /
    infile = !charend('/')/
    grp = !default(NOGRP) !charend('/') /
    limit_criteria = !default(0) !charend('/')/
    PSU = !default(SCHOOLID) !charend('/')/
    wgt = !default(W_FSTUWT) !charend('/') /
    rwgt = !default(W_FSTR) !charend('/') /
    nrep = !default(80) !charend('/')/
    cons = !default(0.05) !charend('/')).
preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.
oms /select tables headings texts/destination viewer=no /tag="NoViewer".

/* nGrp is the number of group variables */
!if (!upcase(!grp)="NOGRP") !then
!let !nGrp=null
!Else
!do !g !in (!grp)
!let !nGrp=!concat(!nGrp, " ")
!doend !ifend.
!let !nGrp=!length(!nGrp).

!if (!nGrp>0) !then
!let !x=!length(!concat(!blanks(!nGrp), " ")). 
!let !y=!length(!concat(!blanks(!nGrp), " ")). 
!else
!let !x=2.
!let !y=3.
!ifend.
title grp=!grp ngrp=!ngrp x=!x y=!y.

get file !infile /keep !if (!ngrp>0) !then !grp !ifend !PSU !wgt !concat(!rwgt,1) to
!concat(!rwgt,!nrep) !PV1 !PV2.
!if (!nGrp=0) !then compute !grp=1 !ifend.
missing values !grp () .
SORT CASES by !grp.
compute MISS = 0.
!do !p1 !in (!pv1).
if (missing(!p1)) MISS=1.
!doend.
!do !p2 !in (!pv2).
if (missing(!p2)) MISS=1.
!doend.
compute VALIDN=1-MISS.
formats VALIDN (f1.0).
save outfile='c:\temp\sort.sav' /drop=MISS.

*** COMPUTE ESTIMATE ***.

oms select tables /if subtypes='Correlations' /destination format=sav outfile='c:\temp\full.sav' /tag="fullweight".
split file layered by !grp.
weight by !wgt.
CORRELATIONS /VARIABLES=!PV2 with !PV1
/PRINT=TWOTAIL NOSIG /MISSING=PAIRWISE .
split file off.
omsend tag="fullweight".

get file='c:\temp\full.sav' /keep= Var1 to !concat('Var',!y) !PV1.
compute casenum=$casenum.
exe.
select if mod(casenum,3)=1.
exe.
rename vars (!pv1=stat1 to stat5).

```



Box 17.10 [2/4] SPSS® macro of MCR_SE_COR_2PV.sps

```

!do !p=1 !to 5.
if (index(!concat('var', !x), !quote(!concat("PV", !p)))=0 & index(!concat('var', !x), !quo
te(!concat("pv", !p)))=0) !concat("stat", !p)=$sysmis.
!doend.
exe.
aggregate /outfile=* /break=!if (!nGrp>1) !then Var1 to !concat('Var', !nGrp) !else Var1
!ifend /stat1 to stat5=mean(stat1 to stat5).
!if (!nGrp > 0) !then
sort cases by Var1 to !concat('Var', !nGrp) .
!ifend.

*erase file='c:\temp\fullwgt.sav'.
save outfile='c:\temp\fullwgt.sav'.

* REPLICATES.

get file='c:\temp\sort.sav'.

oms select tables /if subtypes='Correlations' /destination numbered="RepWeight"
format=sav outfile='c:\temp\rep.sav' /tag="repweight".

!do !i=1 !to !nrep.
split file layered by !grp.
weight by !concat(!rwgt,!i).
CORRELATIONS /VARIABLES=!PV2 with !PV1
/PRINT=TWOTAIL NOSIG /MISSING=PAIRWISE .
!doend.
omsend tag="RepWeight".

get file='c:\temp\rep.sav' /keep=RepWeight Var1 to !concat('Var', !y) !PV1.
compute casenum=$casenum.
exe.
select if mod(casenum,3)=1.
exe.
rename vars (!pv1=statR1 to statR5).

!do !p=1 !to 5.
if (index(!concat('var', !x), !quote(!concat("PV", !p)))=0 & index(!concat('var', !x), !quo
te(!concat("pv", !p)))=0) !concat("statR", !p)=$sysmis.
!doend.
exe.
aggregate /outfile=* /break=!if (!nGrp>1) !then Var1 to !concat('Var', !nGrp) !else Var1
!ifend RepWeight /statR1 to statR5=mean(statR1 to statR5).
!if (!nGrp > 0) !then
sort cases by Var1 to !concat('Var', !nGrp) .
!ifend.
save outfile='c:\temp\repwgt.sav' .

*** COMBINE RESULTS ***.

match files table='c:\temp\fullwgt.sav' /file='c:\temp\repwgt.sav' /by !if (!nGrp>1)
!then Var1 to !concat('Var', !nGrp) !else Var1 !ifend .
string Correlation (a100).
compute Correlation=!quote(!concat(!PV2, " * ", !PV1)).
rename vars (!if (!nGrp>1) !then Var1 to !concat('Var', !nGrp) !else Var1 !ifend =
!grp ) .
exec.

*** COMPUTE SAMPLING VARIANCE (U) ***.

do repeat a=statr1 to statr5/
      b=stat1 to stat5/
      c=var1 to var5.
compute c=(a-b)**2.
end repeat.
save outfile = 'c:\temp\regmod.sav'.

```



Box 17.10 [3/4] SPSS® macro of MCR_SE_COR_2PV.sps

```

aggregate outfile=*/ break=!grp/ stat1 to stat5= mean(stat1 to stat5)/ var1 to var5 =
sum(var1 to var5).
do repeat a=var1 to var5.
compute a=!cons*a.
end repeat.
compute pv_var=mean(var1 to var5).

*** CALCULATING MEASUREMENT VARIANCE (Bm) ***.

compute stat=mean(stat1 to stat5).
do repeat a=stat1 to stat5/b=pvar1 to pvar5.
compute b=(a-stat)**2.
end repeat.
compute pvmerr=.25*(sum(pvar1 to pvar5)).

*** COMPUTE SE [V = U + (1+1/M)Bm] ***.

compute SE=sqrt(pv_var+1.2*pvmerr).
formats stat (f8.3)/ SE (f10.6).
save outfile='c:\temp\stats.sav'.

*** COMPUTE N ***.

!let !z=!substr(!limit_criteria,!length(!limit_criteria),1).
get file='c:\temp\sort.sav'.
weight by !wgt.
!if (!limit_criteria=0) !then !else
aggregate /break=!if (!z=1) !then !head(!grp) !else !grp !ifend /N_total=N.
!ifend
filter by VALIDN.
aggregate outfile='c:\temp\y.sav' /break=!grp /NU_cases=NU /N_cases=N.
weight off.
aggregate outfile=* /break=!grp !PSU /N=N !if (!limit_criteria=0) !then !else /N_
total=mean(N_total) !ifend.
aggregate outfile=* /break=!grp /NU_PSU=N !if (!limit_criteria=0) !then !else /N_
total=mean(N_total) !ifend.
match files /file='c:\temp\y.sav' /file=* /by !grp.
match files file='c:\temp\stats.sav' /TABLE=* /by !grp.
formats N_cases (f10.0).
filter off.

*** FLAGGING FOR OECD ***.

!if (!limit_criteria=0) !then !else
!let !a=!null.
!do !b !in (!limit_criteria).
!let !a=!concat(!a, " ").
!if (!length(!a)=1) !then.
compute FLAG_std=(NU_cases<!b).
formats FLAG_std (f1.0).
!ifend.
!if (!length(!a)=2) !then.
compute FLAG_sch=(NU_PSU<!b).
formats FLAG_sch (f1.0).
!ifend.
!if (!length(!a)=3) !then
compute FLAG_pct=((100*N_cases/N_total)<!b).
formats FLAG_pct (f1.0).
!ifend.
!doend.
!ifend.
exe.
omsend tag="NoViewer".

```



Box 17.10 [4/4] SPSS® macro of MCR_SE_COR_2PV.sps

```

*** OUTPUT TABLES ***.

oms /select tables /if subtypes='Case Processing Summary' /destination viewer=no
/tag="case".
SUMMARIZE
  /TABLES= !if (!nGrp > 0) !then !grp !ifend stat SE !if (!limit_criteria=0) !then
  !else FLAG_std FLAG_sch FLAG_pct !ifend
  /FORMAT= LIST NOCASENUM
  !if (!ngrp=0) !then /TITLE=!quote(!concat("Correlation ",!concat(!head(!PV1)," to",
  !substr(!PV1,!Index(!PV1,PV5))," with ",!head(!PV2)," to ",!substr(!PV2,!Index(!PV2,
  PV5)))))
  !else /TITLE=!quote(!concat("Correlation ",!concat(!head(!PV1)," to ",!substr(!P
  V1,!Index(!PV1,PV5))," with ",!head(!PV2)," to ",!substr(!PV2,!Index(!PV2,PV5))),"
  by ",!grp)) !ifend
  /CELLS=NONE.

omsend tag="case".

delete variables !if (!ngrp=0) !then !grp !ifend stat1 stat2 stat3 stat4 stat5 var1
var2 var3 var4 var5 pv_var pvar1 pvar2 pvar3 pvar4 pvar5 pvmerr.
restore.

!if (!limit_criteria=0) !then.
save outfile="c:\temp\results.sav" drop= n_cases nu_cases nu_psu.
get file="c:\temp\results.sav".
!else.
save outfile="c:\temp\results.sav" drop=n_cases nu_cases nu_psu n_total.
get file="c:\temp\results.sav".
!ifend.

!enddefine.

```



Box 17.11 [1/4] SPSS® macro of MCR_SE_DIFF.sps

```

set mprint=no.

*** Created by Eveline Gebhardt, Australian Council for Educational Research ***.

*** !!! THE CATEGORIES OF THE 'COMPARE' VARIABLE SHOULD BE NUMBERS, NOT LETTERS OR
WORDS ***.

define DIFnoPV (dep = !charend('/') /
    stat = !charend ('/') /
    compare = !charend('') /
    categ = !charend ('') /
    infile = !charend('/')/
    within = !default(NOWITHIN) !charend('/') /
    wgt = !default(W_FSTUWT) !charend('/') /
    rwgt = !default(W_FSTR) !charend('/') /
    cons = !default(0.05) !charend('/')/
    nrep = !default(80) !charend('')).

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.

/* nWithin is the number of within group variables */
!if (!upcase(!within)="NOWITHIN") !then
!let !nWithin=null
!Else
!do !w !in (!within)
!let !nwithin=!concat(!nWithin, " ")
!doend !ifend.
!let !nwithin=!length(!nwithin).

/* z is nWithin + 1 */
!if (!nWithin>0) !then
!let !z=!length(!concat(!blanks(!nWithin), " ")).
!else
!let !z=2.
!ifend.
*title !concat('number of Within group variables is ',!nWithin,', plus 1 (z) = ',!z).

/* ncat is the number of categories
!let !nc=null.
!do !c !in (!categ) !let !nc=!concat(!nc," ") !doend.
!let !ncat=!length(!nc).
*title !concat("Number of categories=",!ncat).

get file !quote(!infile) /keep !dep !if (!nwithin>0) !then !within !ifend !compare
!wgt !concat(!rwgt,1) to !concat(!rwgt,!nrep).
!if (!nwithin=0) !then compute !within=1 !ifend.
compute MISS = (missing(!dep)).
if (missing(!compare)) MISS=1.
!do !w !in (!within).
if (missing(!w)) MISS=1.
!doend.
!let !n=null.
!do !c !in(!categ)
!let !n=!concat(!n,'| ',!compare,'=',!c,!blanks(1))
!doend.
!let !n=!concat('(',!substr(!n,2),')').
*title !n.
compute VAL=!n.
if (VAL=0) MISS=1.
compute VALIDN=1-MISS.
formats VALIDN (f1.0).
save outfile='c:\temp\data.sav' /drop=MISS.

```



Box 17.11 [2/4] SPSS® macro of MCR_SE_DIFF.sps

```

*----- Next table with counts does not work without licence for CLABELS ---
-----.
*!let !q=null.
*!if (!nwithin>0) !then
*!do !w !in(!within).
*!let !q=!concat(!q,!w,' [C] > ').
*!doend !ifend.
**title !q.
*
*VARIABLE LEVEL !within !compare (NOMINAL).
*string INCLUDED (a3).
*if (validn=0) INCLUDED='No'.
*if (validn=1) INCLUDED='Yes'.
*weight by !wgt.
*CTABLES
* /VLABELS VARIABLES=!if (!nwithin>0) !then !within !ifend !compare INCLUDED
DISPLAY=DEFAULT
* /TABLE !q !compare [C] [UCOUNT F40.0, COUNT F40.0] BY INCLUDED [C]
* /CATEGORIES VARIABLES=!if (!nwithin>0) !then !within !ifend !compare INCLUDED
ORDER=A KEY=VALUE EMPTY=EXCLUDE MISSING=INCLUDE
* /TITLES TITLE='Columns "INCLUDED=Yes" give the weighted and unweighted number of
included students'.
*-----
oms /select tables headings texts/destination viewer=no /tag="NoViewer".
*** COMPUTE ESTIMATES ***.

oms /select tables /if subtypes='Report' /destination format=sav outfile=!quote(!con
cat('c:\temp\',!wgt,'_1.sav')) /tag="fullweight".
select if (VALIDN=1).
weight by !wgt.
means !dep !if (!nWithin>0) !then !do !w !in (!within) !concat("by ",!w) !doend
!else by !within !ifend by !compare /cells=!stat.
omsend tag="fullweight".

Get file=!quote(!concat('c:\temp\',!wgt,'_1.sav')) /keep= Var1 to !concat('var',!z)
!dep .
rename vars (Var1 to !concat('var',!z) = !within !compare).

compute y=number(!compare,f3.0).
select if (not missing(y)).
compute !compare=concat('cat',!compare).
sort cases by !within !compare.
delete var y.
casestovars /id=!within /index=!compare.

/* This part computes the difference between each pair of categories */.
*-----
-----.
!let !vars=!null.
!let !a=!cateq.
!do !x !in (!cateq)
!do !c !in (!tail(!a))
!if (!tail(!a) > !null) !then
compute !concat(!compare,".",!x,"_",!c)=!concat("cat",!x) - !concat("cat",!c).
!let !vars=!concat(!vars,!concat(!compare,".",!x,"_",!c), " ").
!ifend !doend
!let !a=!tail(!a).
!doend.
exe.
*-----
-----.

```



Box 17.11 [3/4] SPSS® macro of MCR_SE_DIFF.sps

```

delete variables !do !c !in (!categ) !concat("cat",!c) !doend.
VARSTOCASES /MAKE stat FROM !vars
/INDEX = DIFF(stat)
/KEEP = !within
/NULL = KEEP.
sort cases by !within DIFF.
save outile=!quote(!concat('c:\temp\',!wgt,'.sav')).

*** REPLICATES ***.

dataset close all.
get file='c:\temp\data.sav'.
select if (VALIDN=1).
oms /select tables /if subtypes='Report' /destination format=sav numbered='REP'
outfile=!quote(!concat('c:\temp\',!rwgt,'_1.sav')) /tag="r".
!do !i=1 !to !nrep.
weight by !concat(!rwgt,!i).
means !dep !if (!nWithin>0) !then !do !w !in (!within) !concat("by ",!w) !doend
!else by !within !ifend by !compare /cells=!stat.
!doend.
omsend tag="r".

get file=!quote(!concat('c:\temp\',!rwgt,'_1.sav')) /keep= rep Var1 to
!concat('var',!z) !dep .
rename vars (Var1 to !concat('var',!z) = !within !compare).
compute y=number(!compare,f3.0).
select if (not missing(y)).
compute !compare=concat('cat',!compare).
sort cases by rep !within !compare.
delete var y.
casestovars /id=rep !within /index=!compare.

/* This part computes the difference between each pair of categories */.
*-----
-----.
!let !vars=!null.
!let !a=!categ.
!do !x !in (!categ)
!do !c !in (!tail(!a))
!if (!tail(!a) > !null) !then
compute !concat(!compare,".",!x,"_",!c)=!concat("cat",!x) - !concat("cat",!c).
!let !vars=!concat(!vars,!concat(!compare,".",!x,"_",!c)," ").
!ifend !doend
!let !a=!tail(!a).
!doend.
exe.
*-----
-----.
delete variables !do !c !in (!categ) !concat("cat",!c) !doend.
VARSTOCASES /MAKE statR FROM !vars
/INDEX = DIFF(statR)
/KEEP = rep !within
/NULL = KEEP.
sort cases by !within DIFF.

*** COMBINE RESULTS ***.

match files table=!quote(!concat('c:\temp\',!wgt,'.sav')) /file=* /by !within DIFF.

```



Box 17.11 [4/4] **SPSS® macro of MCR_SE_DIFF.sps**

```

*** COMPUTE SAMPLING VARIANCE (U) ***.

compute var=(statr-stat)**2.
aggregate outfile=/* break !within diff/ stat= mean(stat)/ var=sum(var).
compute var=!cons*var.

*** COMPUTE SE ***.

compute se=sqrt(var).
exe.

formats stat (f8.3)/ SE (f10.6).
omsend tag="NoViewer".

*** OUTPUT TABLE ***.

OMS /SELECT TABLES headings
  /IF COMMANDS = ["Summarize"] SUBTYPES = ["Case Processing Summary"]
  /DESTINATION VIEWER = NO /TAG = "SumNoCaseProc".

SUMMARIZE
  /TABLES=!if (!nWithin>0) !then !within !ifend diff stat se
  /FORMAT=LIST NOCASENUM NOTOTAL
  !if (!nwithin=0) !then /TITLE=!quote(!concat('SE on difference in ',!upcase(!dep),
  ' between ',!upcase(!compare), ' group ',!upcase(!stat),'s'))
  !else /TITLE=!quote(!concat('SE on difference in ',!upcase(!dep), ' between ',!upc
  ase(!compare), ' group ',!upcase(!stat),'s by ',!upcase(!within))) !ifend
  /CELLS=NONE.
OMSEND TAG=[ "SumNoCaseProc"].

delete variables var !if (!nWithin=0) !then !within !ifend.

restore.

save outfile="c:\temp\results.sav".

!enddefine.

```



Box 17.12 [1/5] SPSS® macro of MCR_SE_DIFF_PV.sps

```

set mprint=no.

*** Created by Eveline Gebhardt, Australian Council for Educational Research ***.

*** !!! THE CATEGORIES OF THE 'COMPARE' VARIABLE SHOULD BE NUMBERS, NOT LETTERS OR
WORDS ***.

define DIF_PV  (dep = !charend('/') /
                stat = !charend(' /') /
                compare = !charend(' /') /
                categ = !charend(' /') /
                infile = !charend(' /') /
                within = !default(NOWITHIN) !charend(' /') /
                wgt = !default(W_FSTUWT) !charend(' /') /
                rwgt = !default(W_FSTR) !charend(' /') /
                cons = !default(0.05) !charend(' /')/
                nrep = !default(80) !charend(' /')). 

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.

/* nWithin is the number of within group variables */
!if (!upcase(!within)="NOWITHIN") !then
!let !nWithin=!null
!Else
!do !w !in (!within)
!let !nwithin=!concat(!nWithin, " ")
!doend !ifend.
!let !nwithin=!length(!nwithin).

/* z is nWithin + 1 */
!if (!nWithin>0) !then
!let !z=!length(!concat(!blanks(!nWithin), " ")). 
!else
!let !z=2.
!ifend.
*title !concat('number of Within group variables is ',!nWithin,', plus 1 (z) = ', !z).

/* ncat is the number of categories
!let !nc=!null.
!do !c !in (!categ) !let !nc=!concat(!nc, " ") !doend.
!let !ncat=!length(!nc).
*title !concat("Number of categories=", !ncat).

get file !quote(!infile) /keep !dep !if (!nwithin>0) !then !within !ifend !compare
!wgt !concat(!rwgt,1) to !concat(!rwgt,!nrep).
!if (!nwithin=0) !then compute !within=1 !ifend.
compute MISS = (missing(!compare)).
!do !d !in (!dep).
if (missing(!d)) MISS=1.
!doend.
!do !w !in (!within).
if (missing(!w)) MISS=1.
!doend.
!let !n=!null.
!do !c !in(!categ)
!let !n=!concat(!n, '| ', !compare, '=' , !c, !blanks(1))
!doend.
!let !n=!concat('(', !substr(!n,2), ')').
*title !n.
compute VAL=!n.
if (VAL=0) MISS=1.
compute VALIDN=1-MISS.
formats VALIDN (f1.0).
save outfile='c:\temp\data.sav' /drop=MISS.

```



Box 17.12 [2/5] SPSS® macro of MCR_SE_DIFF_PV.sps

```

----- Next table with counts does not work without licence for CLABELS ---
-----
*!let !q=null.
*!if (!nwithin>0) !then
!*do !w !in(!within).
*!let !q=!concat(!q,!w,' [C] >').
*!doend !ifend.
**title !q.
*
*VARIABLE LEVEL !within !compare (NOMINAL).
*string INCLUDED (a3).
*if (validn=0) INCLUDED='No'.
*if (validn=1) INCLUDED='Yes'.
*weight by !wgt.
*CTABLES
* /VLABELS VARIABLES=!if (!nwithin>0) !then !within !ifend !compare INCLUDED
DISPLAY=DEFAULT
* /TABLE !q !compare [C] [UCOUNT F40.0, COUNT F40.0] BY INCLUDED [C]
* /CATEGORIES VARIABLES=!if (!nwithin>0) !then !within !ifend !compare INCLUDED
ORDER=A KEY=VALUE EMPTY=EXCLUDE MISSING=INCLUDE
* /TITLES TITLE='Columns "INCLUDED=Yes" give the weighted and unweighted number of
included students'.
*-----
oms /select tables headings texts/destination viewer=no /tag="NoViewer".
*** COMPUTE ESTIMATES ***.

oms /select tables /if subtypes='Report' /destination format=sav outfile=!quote(!con
cat('c:\temp\',!wgt,'_1.sav')) /tag="fullweight".
select if (VALIDN=1).
weight by !wgt.
means !dep !if (!nWithin>0) !then !do !w !in (!within) !concat("by ",!w) !doend
!else by !within !ifend by !compare /cells=!stat.
omsend tag="fullweight".

Get file=!quote(!concat('c:\temp\',!wgt,'_1.sav')) /keep= Var1 to !concat('var',!z)
!dep .
rename vars (Var1 to !concat('var',!z) = !within !compare).
compute y=number(!compare,f3.0).
select if (not missing(y)).
compute !compare=concat('cat',!compare).
sort cases by !within !compare.
delete var y.
sort cases by !within !compare.
casestovars /id=!within /index=!compare /groupby=index.

/* This part computes the difference between each pair of categories */.
*-----
-----.
!let !vars1=!null.
!do !d !in (!dep).
!let !vars=!null.
!let !a=!categ.
!do !x !in (!categ)
!do !c !in (!tail(!a))
!if (!tail(!a) > !null) !then
compute !concat(!d,".",!x,"_",!c)=!concat(!d,".cat",!x) - !concat(!d,".cat",!c).
!let !vars1=!concat(!vars1,!concat(!d,".",!x,"_",!c), " ").
!ifend !doend
!let !a=!tail(!a).
!doend.
exe.
!doend.
*-----
-----.

```



Box 17.12 [3/5] SPSS® macro of MCR_SE_DIFF_PV.sps

```

delete variables !do !d !in (!dep) !do !c !in (!categ) !concat(!d,".cat",!c) !doend
!doend.

VARSTOCASES /MAKE stat FROM !vars1
/INDEX = !compare(stat)
/KEEP = !within
/NULL = KEEP.

!let !q=!length(!concat(!head(!dep),!blanks(2))).
string PV (!concat('a',!length(!head(!dep)))). 
compute PV=substr(!compare,1,!length(!head(!dep))).
compute !compare=substr(!compare,!q).
exe.

!let !f!=null.
!do !d !in (!dep).
!let !f!=concat(!f," ").
!let !g=!length(!f).
recode PV (!quote(!d)=!quote(!g)).
!doend.
compute PV=concat('PV',PV).
sort cases by !within !compare PV.
casestovars /id= !within !compare /index=PV.
rename vars (pv1 to pv5 = !dep).
save outile=!quote(!concat('c:\temp\',!wgt,'.sav')).

*** REPLICATES ***.

dataset close all.
get file='c:\temp\data.sav'.
select if (VALIDN=1).
oms /select tables /if subtypes='Report' /destination format=sav numbered='REP'
outfile=!quote(!concat('c:\temp\',!rwgt,'_1.sav')) /tag="r".
!do !i=1 !to !nrep.
weight by !concat(!rwgt,!i).
means !dep !if (!nWithin>0) !then !do !w !in (!within) !concat("by ",!w) !doend
!else by !within !ifend by !compare /cells=!stat.
!doend.
omsend tag="r".

get file=!quote(!concat('c:\temp\',!rwgt,'_1.sav')) /keep= rep Var1 to
!concat('var',!z) !dep .
rename vars (Var1 to !concat('var',!z) = !within !compare).
compute y=number(!compare,f3.0).
select if (not missing(y)).
compute !compare=concat('cat',!compare).
sort cases by rep !within !compare.
delete var Y.
casestovars /id=rep !within /index=!compare.

/* This part computes the difference between each pair of categories */.
*-----.
!let !vars1!=null.
!do !d !in (!dep).
!let !vars1=null.
!let !a=!categ.
!do !x !in (!categ)
!do !c !in (!tail(!a))
!if (!tail(!a) > !null) !then
compute !concat(!d,".",!x,"_",!c)=!concat(!d,".cat",!x) - !concat(!d,".cat",!c).
!let !vars1=!concat(!vars1,!concat(!d,".",!x,"_",!c)," ").
!ifend !doend
!let !a=!tail(!a).
!doend.
exe.
!doend.
*-----.

```



Box 17.12 [4/5] SPSS® macro of MCR_SE_DIFF_PV.sps

```

delete variables !do !d !in (!dep) !do !c !in (!categ) !concat(!d, ".cat", !c) !doend
!doend.
VARSTOCASES /MAKE statR FROM !vars1
/INDEX = !compare(statR)
/KEEP = rep !within
/NULL = KEEP.
!let !q=!length(!concat(!head(!dep),!blanks(2))) .
string PV (!concat('a',!length(!head(!dep)))). 
compute PV=substr(!compare,1,!length(!head(!dep))). 
compute !compare=substr(!compare,!q).
exe.

!let !f!=null.
!do !d !in (!dep).
!let !f!=!concat(!f, " ").
!let !g!=!length(!f).
recode PV (!quote(!d)=!quote(!g)).
!doend.
compute PV=concat('PV',PV).
sort cases by !within !compare REP PV.
casestovars /id= !within !compare REP /index=PV.
rename vars (pvl to pvs = !do !d !in(!dep) !concat('r_',!d) !doend).

*** COMBINE RESULTS ***.

sort cases by !within !compare.
match files table=!quote(!concat('c:\temp\',!wgt,'.sav')) /file=* /by !within
!compare.
exec.

*** COMPUTE SAMPLING VARIANCE (U) ***.

!do !d !in(!dep)
COMPUTE !concat('var_',!d) = !cons*((!concat('r_',!d) - !d)**2).
!doend.

AGGREGATE OUTFILE=*
/PRESORTED /BREAK= !within !compare
/!do !d !in(!dep) !d !doend=MEAN(!do !d !in(!dep) !d !doend)
/!do !d !in(!dep) !concat('var_',!d) !doend=SUM(!do !d !in(!dep) !concat('var_',!d)
!doend) .

!let !k!=null.
!do !d !in (!dep)
!let !k!=!concat(!k, ",var_",!d).
!doend.
compute pv_var=mean(!substr(!k,2)).
exe.

*** CALCULATING MEASUREMENT VARIANCE (Bm) ***.

!let !k!=null.
!do !d !in (!dep)
!let !k!=concat(!k, ", ",!d).
!doend.
compute STAT=mean(!substr(!k,2)).

do repeat a=!dep/b=pvar1 to pvar5.
compute b=(a-STAT)**2.
end repeat.

compute pvmerr=.25*(sum(pvar1 to pvar5)).

*** COMPUTE SE [V = U + (1+1/M)Bm] ***.

compute SE=sqrt(pv_var+1.2*pvmerr).
exe.
FORMATS STAT (F8.3) / SE (F10.6).
omsend tag="NoViewer".

```



Box 17.12 [5/5] SPSS® macro of MCR_SE_DIFF_PV.sps

```
*** OUTPUT TABLE ***.

OMS /SELECT TABLES headings
  /IF COMMANDS = ["Summarize"] SUBTYPES = ["Case Processing Summary"]
  /DESTINATION VIEWER = NO /TAG = "SumNoCaseProc".

SUMMARIZE
  /TABLES=!if (!nWithin>0) !then !within !ifend !compare stat se
  /FORMAT=LIST NOCASENUM NOTOTAL
  !if (!nwithin=0) !then /TITLE=!quote(!concat('SE on difference in ',!upcase
  (!head(!dep)), ' to PV5 between ',!upcase(!compare), ' group ',!upcase(!stat), 's'))
  !else /TITLE=!quote(!concat('SE on difference in ',!upcase(!head(!dep)), ' to PV5
  between ',!upcase(!compare), ' group ',!upcase(!stat), 's by ',!upcase(!within)))
  !ifend
  /CELLS=NONE.
OMSEND TAG= ["SumNoCaseProc"].

delete variables !dep !do !d !in (!dep) !concat("var_",!d) !doend !if (!nWithin=0)
!then !within !ifend pv_var pvarl to pvar5 pvmerr .
restore.
save outfile="c:\temp\results.sav".
!enddefine.
```



Box 17.13 [1/5] SPSS® macro of MCR_SE_PV_WLEQRT.sps

```

set mprint=no.

*** Created by Eveline Gebhardt & Alexander Daragonov, Australian Council for
Educational Research ***.

*** Performance statistics by national quarters of a WLE index variable.
*** A small random value is added to the WLE index variable to make the
*** variable continuous. This is done 5 times. Each of the new 5 continuous
*** variables is transformed into a group variable that indicate national
*** quartiles. Perfomance and index (WLE) statistics are computed within each
*** quartile where PV1 is paired with quart1, PV2 with quart2, etc.

define PVWLEQRT (pv = !charend('/')
    /wle = !charend('/')
    /stat = !charend('/')
    /infile = !charend('/')
    /cnt = !default(CNT) !charend('/')
    /grp = !default(NOGRP) !charend('/')
    /psu = !default(SCHOOLID) !charend('/')
    /wgt = !default(W_FSTUWT) !charend('/')
    /rwgt = !default(W_FSTR) !charend('/')
    /nrep = !default(80) !charend('/')
    /cons = !default(0.05) !charend('/')
    /limit_criteria = !default(0) !charend('/')).

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.
oms /select headings tables texts/destination viewer=no /tag="crap".

/* nGrp is the number of group variables */
!if (!upcase(!grp)="NOGRP") !then
!let !nGrp=null
!Else
!do !g !in (:grp)
!let !nGrp=!concat(!nGrp, " ")
!doend !ifend.
!let !nGrp=!length(!nGrp).
title !ngrp.

get file=!quote(!infile) /keep=!cnt !psu !if (!ngrp>0) !then !grp !ifend !wgt
!concat(!rwgt,!cnt) to !concat(!rwgt,!nrep) !PV !wle.
!if (!ngrp=0) !then compute !grp=1 !ifend.
sort cases by !cnt.
weight off.
aggregate /presorted /break=!cnt /N_TOTAL=sum(!wgt).
select if not missing (!wle).

* COMPUTE CUMULATIVE COUNT WITHIN COUNTRIES.
*-----
autorecode !cnt /into cnt# /print.
!do !s=1 !to 5.
set seed = !s.
!let !v=!concat(!wle,!s).
!let !c=!concat('cumfreq',!s).

compute !v=!wle+rv.normal(0,.01).
sort cases by cnt# !v.

do if ($casenum=1 or lag(cnt#) <> cnt#).
compute !c=w_fstuwt.
else if (cnt#=lag(cnt#)).
compute !c=w_fstuwt + lag(!c).
end if.
sort cases by !cnt.
!doend.
save outfile='C:\temp\temp.sav'.

* DEFINE CUTSCORES.
*-----
weight by w_fstuwt.
aggregate /presorted /break=!cnt /total=max(cumfreq1).
compute cut25=(total/100)*25.
compute cut50=(total/100)*50.
compute cut75=(total/100)*75.
exe.

```



Box 17.13 [2/5] SPSS® macro of MCR_SE_PV_WLEQRT.sps

```

* CREATE PERCENTILE GROUPS.
*-----.
do repeat c=cumfreq1 cumfreq2 cumfreq3 cumfreq4 cumfreq5 /q=quart1 quart2 quart3
quart4 quart5.
if (c<cut25) q=1.
if (c>=cut25 & c<cut50) q=2.
if (c>=cut50 & c<cut75) q=3.
if (c>=cut75) q=4.
formats q (f1.0).
end repeat.
save outfile='C:\temp\quarters.sav' /keep=!cnt !psu !grp !wgt !concat(!rwgt,1) to
!concat(!rwgt,!nrep) !wle !PV
        quart1 quart2 quart3 quart4 quart5 !do !i=1 !to 5 !concat(!wle,!i) !doend
N_TOTAL.

*** COMPUTE STATISTIC ***.

!let !k=null.
!do !p !in (!pv).
!let !k=!concat(!k," ").
!let !j=!length(!k).

dataset close ALL.
get file='C:\temp\quarters.sav' /keep !cnt !grp !wgt !wle !P !concat('quart',!j).
rename var (!concat('quart',!j)=!concat("Q_",__wle)).
sort cases by !cnt !grp !concat("q_",__wle).
weight by !wgt.
aggregate outfile = !quote(!concat('C:\temp\temp',!j,'.sav')) /presorted /break=!cnt
!grp !concat("q_",__wle)
    /!concat('PV_STAT.',!j)=!stat(!p) /!concat('INDEX_STAT.',!j)=!stat(!wle).
!doend.

match files file='C:\temp\temp1.sav'
    /file='C:\temp\temp2.sav'
    /file='C:\temp\temp3.sav'
    /file='C:\temp\temp4.sav'
    /file='C:\temp\temp5.sav'
    /by !cnt !grp !concat("q_",__wle).
save outfile=!quote(!concat('C:\temp\',!wgt,'.sav')).

*** REPLICATES ***.

!do !i= 1 !to !nrep.

!let !k=null.
!do !p !in (!pv).
!let !k=!concat(!k," ").
!let !j=!length(!k).
*ttitle !concat(!p," j=",!j," i=",!i).

dataset close all.
get file='C:\temp\quarters.sav' /keep !cnt !grp !concat(!rwgt,!i) !wle !P
!concat('quart',!j).
rename var (!concat('quart',!j)=!concat("Q_",__wle)).
sort cases by !cnt !grp !concat("q_",__wle).
weight by !concat(!rwgt,!i).
aggregate outfile = !quote(!concat('C:\temp\temp',!j,'.sav')) /presorted /break=!cnt
!grp !concat("q_",__wle)
    /!concat('PV_STATR.',!j)=!stat(!p) /!concat('INDEX_STATR.',!j)=!stat(!wle).
!doend.

match files file='C:\temp\temp1.sav'
    /file='C:\temp\temp2.sav'
    /file='C:\temp\temp3.sav'
    /file='C:\temp\temp4.sav'
    /file='C:\temp\temp5.sav'
    /by !cnt !grp !concat("q_",__wle).
save outfile = !quote(!concat('C:\temp\',!rwgt,!i,'.sav')).
!doend.

```



Box 17.13 [3/5] SPSS® macro of MCR_SE_PV_WLEQRT.sps

```

*** COMBINE RESULTS ***.

dataset close ALL.
!let !nper=50.
!if ( !length(!substr(!blanks(!nrep),!length(!concat(!blanks(!nper), !blanks(1))))))=0 )
!then
    add files file= !quote(!concat('C:\temp\',!rwgt,'1.sav')) !do !e=2 !to !nrep
    /file=!quote(!concat('C:\temp\',!rwgt,!e,'.sav')) !doend .
!else
    !let !modls=!nrep.
    !let !intgr=0.
    !Do !iter=!nrep !to !nper !by -!nper
        add files file= !quote(!concat('C:\temp\',!rwgt,!iter,'.sav')) !do !e=!length
        (!substr(!blanks(!iter),2)) !to !length(!substr(!blanks(!iter),!length
        (!blanks(!nper)))) !by -1 /file=!quote(!concat('C:\temp\',!rwgt,!e,'.sav'))
        !doend .
        !let !modls=!length(!substr(!blanks(!modls),!length(!concat(!blanks(1),
        blanks(!nper))))).
        !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))).
        save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_!',intgr,'.sav')).
    !doend
    !if (!modls>0) !then
    !if (!modls>1) !then
        add files file= !quote(!concat('C:\temp\',!rwgt,'1.sav')) !do !e=2 !to
        !modls /file=!quote(!concat('C:\temp\',!rwgt,!e,'.sav')) !doend .
        !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))).
        save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_!',intgr,'.sav')).
    !else
        get file =!quote(!concat('C:\temp\',!rwgt,'1.sav')).
        !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))).
        save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_!',intgr,'.sav')).
    !endif
    !ifend
    !if ( !length(!substr(!blanks(!intgr),!length(!concat(!blanks(!nper),
    !blanks(1))))))=0 ) !then
        add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_1.sav')) !do !e=2
        !to !intgr /file=!quote(!concat('C:\temp\',!rwgt,'_temp_!',!e,'.sav'))
        !doend .
    !else
        !let !modls=!intgr.
        !let !intgr1=0.
        !do !iter=!intgr !to !nper !by -!nper
            add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_!',!iter,'.sav'))
            !do !e=!length(!substr(!blanks(!iter),2)) !to !length(!substr(!blanks
            (!iter),!length(!blanks(!nper)))) !by -1 /file=!quote(!concat('C:\temp\' ,
            !rwgt,'_temp_!',!e,'.sav')) !doend .
        !let !modls=!length(!substr(!blanks(!modls),!length(!concat(!blanks(1),
        blanks(!nper))))).
        !let !intgr1=!length(!Concat(!blanks(!intgr1),
        !blanks(1))).
        save outfile=!quote(!concat('c:\temp\',!rwgt,'_tempover_!',intgr1,'.sav')).
    !doend
    !if (!modls>0) !then
        !if (!modls>1) !then
            add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_1.sav')) !
            do !e=2 !to !modls /file=!quote(!concat('C:\temp\',!rwgt,
            '_temp_!',!e,'.sav')) !doend .
    !let !intgr1=!length(!Concat(!blanks(!intgr1), !blanks(1))).
    save outfile=!quote(!concat('c:\temp\',!rwgt,'_tempover_!',intgr1,'.sav')).
    !else
        get file= !quote(!concat('C:\temp\',!rwgt,'_temp_1.sav')).
        !let !intgr1=!length(!Concat(!blanks(!intgr1),
        !blanks(1))).
        save outfile=!quote(!concat('c:\temp\',!rwgt,'_tempover_!',
        intgr1,'.sav')).
    !endif
    !ifend
    add files file= !quote(!concat('c:\temp\',!rwgt,'_tempover_1.sav')) !do
    !e=2 !to !intgr1 /file=!quote(!concat('C:\temp\',!rwgt,'_tempover_!',!e,
    '.sav')) !doend .
!ifend
!ifend
exe.

```



Box 17.13 [4/5] SPSS® macro of MCR_SE_PV_WLEQRT.sps

```

sort cases by !cnt !grp !concat("q_",&wle).
match files file=*/table= !quote(!concat('C:\temp\',!wgt,'.sav'))/by !cnt !grp
!concat("q_",&wle).
exec.

*** COMPUTE SAMPLING VARIANCE (U) ***.

compute INDEX_STAT=mean(INDEX_STAT.1,INDEX_STAT.2,INDEX_STAT.3,INDEX_STAT.4,INDEX_
STAT.5).
compute INDEX_STATR=mean(INDEX_STATR.1,INDEX_STATR.2,INDEX_STATR.3,INDEX_
STATR.4,INDEX_STATR.5).
compute INDEX_var=(INDEX_statr-INDEX_stat)**2.

do repeat a=PV_statr.1 PV_statr.2 PV_statr.3 PV_statr.4 PV_statr.5/
      b=PV_stat.1 PV_stat.2 PV_stat.3 PV_stat.4 PV_stat.5/
      c=PV_var1 to PV_var5.
compute c=(a-b)**2.
end repeat.

aggregate outfile=/* break=!cnt !grp !concat("q_",&wle)/
      PV_stat.1 to PV_stat.5= mean(PV_stat.1 PV_stat.2 PV_stat.3 PV_stat.4 PV_
stat.5) /
      PV_var1 to PV_var5 = sum(PV_var1 to PV_var5)/
      INDEX_STAT=mean(INDEX_STAT) /
      INDEX_VAR=sum(INDEX_VAR) .

do repeat a=PV_var1 to PV_var5 INDEX_VAR.
compute a=!cons*a.
end repeat.

compute PV_VAR=mean(PV_var1 to PV_var5).

*** CALCULATING MEASUREMENT VARIANCE (Bm) ***.

compute PV_STAT=mean(PV_stat.1 to PV_stat.5).

do repeat a=PV_stat.1 to PV_stat.5/b=PV_pvar1 to PV_pvar5.
compute b=(a-PV_STAT)**2.
end repeat.

compute pvmerr=.25*(sum(PV_pvar1 to PV_pvar5)).

*** COMPUTE SE [V = U + (1+1/M)Bm] ***.

compute PV_SE=sqrt(pv_var+1.2*pvmerr).
compute INDEX_SE=sqrt(INDEX_VAR).
exe.
delete var PV_stat.1 PV_stat.2 PV_stat.3 PV_stat.4 PV_stat.5 PV_var1 PV_var2
PV_var3 PV_var4 PV_var5 INDEX_VAR PV_VAR PV_pvar1 PV_pvar2 PV_pvar3 PV_pvar4
PV_pvar5pvmerr.
formats PV_stat INDEX_STAT (f8.3) / PV_SE INDEX_SE (f10.6).
save outfile='c:\temp\stats.sav'.
omsend tag="crap".

*** COMPUTE N ***.
!do !n=1 !to 5.
get file='C:\temp\quarters.sav' /keep= !cnt !psu !grp !wgt !concat('quart',!n)
N_TOTAL.
weight off.
rename var (!concat('quart',!n)=QUART).
aggregate /break=!cnt !grp QUART /NU_CASES=N /N_CASES=sum(!wgt).
aggregate outfile=/*/break=!cnt !grp QUART !psu /N_TOTAL=mean(N_TOTAL)
/NU_CASES=mean(NU_CASES) /N_CASES=mean(N_CASES).
aggregate outfile=/*/break=!cnt !grp QUART /N_TOTAL=mean(N_TOTAL)
/NU_CASES=mean(NU_CASES) /N_CASES=mean(N_CASES) /NU_PSU=N.
save outfile=!quote(!concat('c:\temp\N',!n,'.sav')).
!doend.

```



Box 17.13 [5/5] SPSS® macro of MCR_SE_PV_WLEQRT.sps

```

add files /file='c:\temp\N1.sav'
/file='c:\temp\N2.sav'
/file='c:\temp\N3.sav'
/file='c:\temp\N4.sav'
/file='c:\temp\N5.sav'.
aggregate outfile=* /break=!cnt !grp QUART / N_TOTAL NU_CASES N_CASES NU_PSU=
 mean(N_TOTAL NU_CASES N_CASES NU_PSU).

rename var (QUART=!concat('Q_','!wle)).
match files file='c:\temp\stats.sav' /file=* /by !cnt !grp !concat('Q_','!wle').
formats N_TOTAL NU_CASES N_CASES NU_PSU (f10.0).
exe.

*** FLAGGING FOR OECD ***.

!if (!limit_criteria=0) !then !else
!let !a=!null.
!do !b !in (!limit_criteria).
!let !a=!concat(!a, " ").
!if (!length(!a)=1) !then.
compute FLAG_std=(NU_cases<!b).
formats FLAG_std (f1.0).
!ifend.
!if (!length(!a)=2) !then
compute FLAG_sch=(NU_PSU<!b).
formats FLAG_sch (f1.0).
!ifend.
!if (!length(!a)=3) !then
compute FLAG_pct=((100*N_cases/N_total)<!b).
formats FLAG_pct (f1.0).
!ifend.
!doend.
!ifend.

*** OUTPUT RESULTS ***.

oms /select tables /if subtypes='Case Processing Summary' /destination viewer=no
/tag="case".
SUMMARIZE
  /TABLES= !cnt !if (!nGrp > 0) !then !grp !ifend !concat("Q_",'!wle) INDEX_STAT
INDEX_SE PV_STAT PV_SE
  !if (!limit_criteria=0) !then !else FLAG_std FLAG_sch FLAG_pct !ifend
  /FORMAT=LIST NOCASENUM
  !if (!nGrp > 0) !then /
TITLE=!quote(!concat("Performance by national quartiles of ",!wle," and by ",
!upcase(!grp),"."))
  !else /TITLE=!quote(!concat("Performance by national quartiles of ",!wle,"."))
!ifend
  /CELLS=NONE.

omsend tag="case".
!if (!ngrp=0) !then delete var !grp !ifend.
restore.

save outfile="c:\temp\results.sav" /drop = nu_cases n_cases nu_psu n_total.
get file="c:\temp\results.sav" .

!enddefine.

```



Box 17.14 [1/3] SPSS® macro of MCR_SE_RR.sps

```

set mprint=no.
define RelRisk (outcome = !charend('/')/
    antecedent = !charend('/')/
    infile = !charend('/')/
    grp = !default(NOGRP) !charend('/')/
    wgt = !default(W_FSTUWT) !charend('/')/
    rwgt = !default(W_FSTR) !charend('/')/
    cons = !default(0.05) !charend('/')/
    nrep = !default(80) !charend('/')).

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.
oms /select headings tables texts/destination viewer=no /tag="crap".

/* nOut is the number of outcome variables (1 or 5) */
!let !nOut=null.
!do !o !in (!outcome)
!let !nOut=!concat(!nOut,!blanks(1)).
!doend.
!let !nOut=!length(!nOut).
title Number of outcome variables= !nOut.

/* nAnt is the number of antecedent variable (1 or 5) */
!let !nAnt=null.
!do !o !in (!antecedent)
!let !nAnt=!concat(!nAnt,!blanks(1)).
!doend.
!let !nAnt=!length(!nAnt).
title Number of antecedent variables= !nAnt.

/* nGrp is the number of group variables */
!let !nGrp=null.
!do !g !in (!grp)
!let !nGrp=!concat(!nGrp,!blanks(1))
!doend.
!let !nGrp=!length(!nGrp).
!if (!upcase(!grp)=NOGRP) !then !let !ngrp=0 !ifend.
title Number of group variables = !ngrp.

*** COMPUTE RELATIVE RISK ***.

get file !quote(!infile) /keep !if (!ngrp=0) !then !else !grp !ifend !outcome
!antecedent !wgt !concat(!rwgt,1) to !concat(!rwgt,!nrep) .
!if (!ngrp=0) !then compute !grp=1 !ifend.

!if (!nOut=1 & !nAnt=1) !then
select if (not missing(!antecedent)).
select if (not missing(!outcome)).
weight by !wgt.
aggregate outfile=* /break=!GRP !outcome !antecedent /n=N.
!ifend
exe.
sort cases by !grp !antecedent !outcome.
casestovars /id=!grp /index=!antecedent !outcome.
compute STAT=(N.1.1/(N.1.0+N.1.1))/(N.0.1/(N.0.0+N.0.1)).
save outfile=!quote(!concat('c:\temp\',!wgt,'.sav')) /keep=!grp STAT.

*** REPLICATION ***.

!do !i=1 !to !nrep.
get file !quote(!infile) /keep !if (!ngrp=0) !then !else !grp !ifend !outcome
!antecedent !wgt !concat(!rwgt,1) to !concat(!rwgt,!nrep) .
!if (!ngrp=0) !then compute !grp=1 !ifend.

```



Box 17.14 [2/3] SPSS® macro of MCR_SE_RR.sps

```

!if (!nOut=1 & !nAnt=1) !then
select if (not missing(!antecedent)).
select if (not missing(!outcome)).
weight by !concat(!rwgt,!i).
aggregate outfile=* /break=!GRP !outcome !antecedent /n=N.
!ifend
exe.

sort cases by !grp !antecedent !outcome.
casestovars /id=!grp /index=!antecedent !outcome.
compute STATR=(N.1.1/(N.1.0+N.1.1))/(N.0.1/(N.0.0+N.0.1)).
save outfile=!quote(!concat('c:\temp\',!rwgt,!i,'.sav')) /keep=!grp STATR.
!doend.

* Combine replicate files.

!let !nper=50.
!if ( !length(!substr(!blanks(!nrep),!length(!concat(!blanks(!nper),
!blanks(1)))))=0 ) !then
    add files file= !quote(!concat('C:\temp\',!rwgt,'1.sav')) !do !e=2 !to !nrep
    /file=!quote(!concat('C:\temp\',!rwgt,!e,'.sav')) !doend .
!else
    !let !modls=!nrep.
    !let !intgr=0.
    !Do !iter=!nrep !to !nper !by -!nper
        add files file= !quote(!concat('C:\temp\',!rwgt,!iter,'.sav')) !do !e=
        length(!substr(!blanks(!iter),2)) !to !length(!substr(!blanks(!iter),
        !length(!blanks(!nper))) !by -1 /file=!quote(!concat('C:\temp\' ,
        !rwgt,!e,'.sav')) !doend .
        !let !modls=!length(!substr(!blanks(!modls),!length(!concat(!blanks(1),
        !blanks(!nper))))).
        !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))).
        save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_!',intgr,'.sav')).
    !doend
    !if (!modls>0) !then
        !if (!modls>1) !then
            add files file= !quote(!concat('C:\temp\',!rwgt,'1.sav')) !do
            !e=2 !to !modls /file=!quote(!concat('C:\temp\',!rwgt,!e,
            '.sav')) !doend .
            !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))).
            save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_!',intgr,'.sav')).
        !else
            get file =!quote(!concat('C:\temp\',!rwgt,'1.sav')).
            !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))).
            save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_!',intgr,'.sav')).
        !ifend
    !if ( !length(!substr(!blanks(!intgr),!length(!concat(!blanks(!nper),
    !blanks(1)))))=0 ) !then
        add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_1.sav')) !do
    !e=2 !to !intgr /file=!quote(!concat('C:\temp\',!rwgt,'_temp_!',!e,'.sav')) !doend .
    !else
        !let !modls!=!intgr.
        !let !intgr1=0.
        !do !iter!=!intgr !to !nper !by -!nper
            add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_!',iter,'.sav'))
            !do !e=!length(!substr(!blanks(!iter),2)) !to !length(!substr(
            !blanks(!iter),
            !length(!blanks(!nper)))) !ny -1 /file=!quote(!concat('C:\temp\' ,
            !rwgt,'_temp_!',!e,'.sav')) !doend .
        !let !modls!=!length(!substr(!blanks(!modls),!length(!concat(!blanks(1),
        !blanks(!nper))))).
        !let !intgr1=!length(!Concat(!blanks(!intgr1), !blanks(1))).
        save outfile=!quote(!concat('c:\temp\',!rwgt,'_tempover_',
        !intgr1,'.sav')).
    !doend
    !if (!modls>0) !then
        !if (!modls>1) !then

```



Box 17.14 [3/3] SPSS® macro of MCR_SE_RR.sps

```

add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_1.sav')) !do !e=2 !to !modls
/file=!quote(!concat('C:\temp\',!rwgt,'_temp_',!e,'.sav')) !doend .
!let !intgr1=!length(!Concat(!blanks(!intgr1),
!blanks(1))).
save outfile=!quote(!concat('c:\temp\',!rwgt,'_
tempover_',!intgr1,'.sav')).
!else
get file= !quote(!concat('C:\temp\',!rwgt,'_tempover_1.sav')).!
!let !intgr1=!length(!Concat(!blanks(!intgr1),
!blanks(1))).
save outfile=!quote(!concat('c:\temp\',!rwgt,'_tempover_',
!intgr1,'.sav')).
!endif
!ifend
add files file= !quote(!concat('c:\temp\',!rwgt,'_tempover_1.sav'))
!do !e=2 !to !intgr1 /file=!quote(!concat('C:\temp\',!rwgt,'_
tempover_',!e,'.sav')) !doend .
!ifend
!ifend
exe.
sort cases by !grp.
save outfile='c:\temp\rep.sav'.

match files /table=!quote(!concat('c:\temp\',!wgt,'.sav')) /file='c:\temp\rep.sav'
/by !grp.

*** COMPUTE SAMPLING VARIANCE (U) ***.

compute var=(statr-stat)**2.
aggregate outfile=* /break=!grp /stat= mean(stat) /var=sum(var).
compute var=!cons*var.

*** COMPUTE SE ***.

compute se=sqrt(var).
formats stat '(f8.3)/ SE (f10.6)'.
save outfile='c:\temp\stats.sav'.

omsend tag="crap".

*** OUTPUT TABLES ***.

oms /select tables /if subtypes='Case Processing Summary' /destination viewer=no
/tag="case".
SUMMARIZE
/TABLES= !if (!nGrp>0) !then !grp !ifend stat SE
/FORMAT=LIST NOCASENUM
!if (!ngrp=0) !then /TITLE=!quote(!concat("Relative risk with ",!antecedent,' as
antecedent and ',!outcome,' as outcome variable')))
!else /TITLE=!quote(!concat("Relative risk with ",!antecedent,' as antecedent and ',
!outcome,' as outcome variable by ',!grp)) !ifend
/CELLS=NONE.

delete variables !if (!ngrp=0) !then !grp !ifend var.
omsend tag="case".
restore.

save outfile="c:\temp\results.sav".

!enddefine.

```



Box 17.15 [1/4] SPSS® macro of MCR_SE_RR_PV.sps

```

set mprint=no.
define RelRisk_pv (outcome = !charend('/')/
    antecedent = !charend('/')/
    infile = !charend('/')/
    grp = !default(NOGRP) !charend('/')/
    wgt = !default(W_FSTUWT) !charend('/')/
    rwgt = !default(W_FSTR) !charend('/')/
    cons = !default(0.05) !charend('/')/
    nrep = !default(80) !charend('/')).

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.
oms /select headings tables texts/destination viewer=no /tag="crap".

/* nGrp is the number of group variables */
!let !nGrp=null.
!do !g !in (!grp)
!let !nGrp=!concat(!nGrp,!blanks(1))
!doend.
!let !nGrp=!length(!nGrp).
!if (!uppercase(!grp)=NOGRP) !then !let !ngrp=0 !ifend.
title Number of group variables = !ngrp.

get file !quote(!infile)
    /keep !if (!ngrp=0) !then !else !grp !ifend !outcome !antecedent !wgt
!concat(!rwgt,1) to !concat(!rwgt,!nrep) .
!if (!ngrp=0) !then compute !grp=1 !ifend.

!let !p=null.
!do !o !in (!outcome).
!let !p=!concat(!p,!blanks(1)).
select if (not missing(!o)).
rename var (!o=!concat('OUT',!length(!p))).
!doend.

!let !p=null.
!do !a !in (!antecedent).
!let !p=!concat(!p,!blanks(1)).
select if (not missing(!a)).
rename var (!a=!concat('ANT',!length(!p))).
!doend.

!do !j=1 !to 5.
sort cases by !grp !concat('ANT',!j) !concat('OUT',!j).
save outfile=!quote(!concat('c:\temp\`a',!j,'.sav'))
    /keep !grp !concat('ANT',!j) !concat('OUT',!j) !wgt !concat(!rwgt,1) to
    !concat(!rwgt,!nrep).
!doend.

*** COMPUTE RELATIVE RISK ***.

!do !j=1 !to 5.
dataset close all.
get file=!quote(!concat('c:\temp\`a',!j,'.sav')).
weight by !wgt.
aggregate outfile=* /PRESORTED /break=!GRP !concat('ANT',!j) !concat('OUT',!j)/n=N.
casestovars /id=!grp /index=!concat('ANT',!j) !concat('OUT',!j).
compute !concat('STAT.',!j)=(N.1.1/(N.1.0+N.1.1))/(N.0.1/(N.0.0+N.0.1)).
save outfile=!quote(!concat('c:\temp\`',!wgt,!j,'.sav')) /keep=!grp
!concat('STAT.',!j).
!doend.

match files !do !j=1 !to 5/file=!quote(!concat('c:\temp\`',!wgt,!j,'.sav')) !doend /by
!grp.
save outfile=!quote(!concat('c:\temp\`',!wgt,'.sav')).
```



Box 17.15 [2/4] SPSS® macro of MCR_SE_RR_PV.sps

```

*** REPLICATION ***.

!do !i=1 !to !nrep.
!do !j=1 !to 5.
dataset close all.
get file=!quote(!concat('c:\temp\`a',!j,'.sav')).
weight by !concat(!rwgt,!i).
aggregate outfile=* /PRESORTED /break=!GRP !concat('ANT',!j) !concat('OUT',!j)/n=N.
casestovars /id=!grp /index=!concat('ANT',!j) !concat('OUT',!j).
compute !concat('STATR.',!j)=(N.1.1/(N.1.0+N.1.1))/(N.0.1/(N.0.0+N.0.1)).
save outfile=!quote(!concat('c:\temp\',!rwgt,!i,!j,'.sav')) /keep=!grp
!concat('STATR.',!j).
!doend.

match files !do !j=1 !to 5/file=!quote(!concat('c:\temp\',!rwgt,!i,!j,'.sav')) !doend
/by !grp.
save outfile=!quote(!concat('c:\temp\',!rwgt,!i,'.sav')).
!doend.

* Combine replicate files.

!let !nper=50.
!if ( !length(!substr(!blanks(!nrep),!length(!concat(!blanks(!nper),!blanks(1)))))=0 )
!then
    add files file= !quote(!concat('C:\temp\',!rwgt,'1.sav')) !do !e=2 !to !nrep
    /file=!quote(!concat('C:\temp\',!rwgt,!e,'.sav')) !doend .
!else
    !let !modls=!nrep.
    !let !intgr=0.
    !Do !iter=!nrep !to !nper !by -!nper
        add files file= !quote(!concat('C:\temp\',!rwgt,!iter,'.sav')) !do !e=
        !length(!substr(!blanks(!iter),2)) !to !length(!substr(!blanks(!iter),
        !length(!blanks(!nper)))) !by -1 /file=!quote(!concat('C:\temp\' ,
        !rwgt,!e,'.sav')) !doend .
        !let !modls=!length(!substr(!blanks(!modls),!length(!concat(!blanks(1),
        !blanks(!nper))))).
        !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))).
        save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_!',!intgr,'.sav')).
    !doend
    !if (!modls>0) !then
        !if (!modls>1) !then
            add files file= !quote(!concat('C:\temp\',!rwgt,'1.sav')) !do !e=2
            !to !modls /file=!quote(!concat('C:\temp\',!rwgt,!e,'.sav'))
            !doend .
            !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))).
            save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_!',!intgr,'.sav')).
        !else
            get file =!quote(!concat('C:\temp\',!rwgt,'1.sav')).
            !let !intgr=!length(!Concat(!blanks(!intgr), !blanks(1))).
            save outfile=!quote(!concat('c:\temp\',!rwgt,'_temp_!',!intgr,'.sav')).
        !endif
    !ifend
    !if ( !length(!substr(!blanks(!intgr),!length(!concat(!blanks(!nper),
    !blanks(1)))))=0 ) !then
        add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_1.sav')) !do !e=2
        !to !intgr /file=!quote(!concat('C:\temp\',!rwgt,'_temp_!',!e,'.sav'))
        !doend .
    !else
        !let !modls=!intgr.
        !let !intgr1=0.
        !do !iter=!intgr !to !nper !by -!nper

add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_!',iter,'.sav')) !do !e!=!length
(!substr(!blanks(!iter),2)) !to !length(!substr(!blanks(!iter),!length(!blanks(!nper)))) !
my -1 /file=!quote(!concat('C:\temp\',!rwgt,'_temp_!',e,'.sav')) !doend.

```



Box 17.15 [3/4] SPSS® macro of MCR_SE_RR_PV.sps

```

!let !modls=!length(!substr(!blanks(!modls),!length(!concat(!blanks(1),!blanks(!nper))))).
    !let !intgr1=!length(!Concat(!blanks(!intgr1), !blanks(1))).
    save outfile=!quote(!concat('c:\temp\',!rwgt,'_tempover_',
    !intgr1,'.sav')).
!doend
!if (!modls>0) !then
    !if (!modls>1) !then
        add files file= !quote(!concat('C:\temp\',!rwgt,'_temp_1.sav'))
        !do !e=2 !to !modls /file=!quote(!concat('C:\temp\',!rwgt,
        '_temp_',!e,'.sav')) !doend .
    !let !intgr1=!length(!Concat(!blanks(!intgr1), !blanks(1))).
    save outfile=!quote(!concat('c:\temp\',!rwgt,'_tempover_',
    !intgr1,'.sav')).
    !else
        get file= !quote(!concat('C:\temp\',!rwgt,'_temp_1.sav')).
        !let !intgr1=!length(!Concat(!blanks(!intgr1),
        !blanks(1))).
        save outfile=!quote(!concat('c:\temp\',!rwgt,'_tempover_',
        !intgr1,'.sav')).
    !endif
!endif
add files file= !quote(!concat('c:\temp\',!rwgt,'_tempover_1.sav')) !do
!e=2 !to !intgr1 /file=!quote(!concat('C:\temp\',!rwgt,'_tempover_',
!e,'.sav')) !doend .
!ifend
exe.
sort cases by !grp.
save outfile='c:\temp\rep.sav' .

match files /table=!quote(!concat('c:\temp\',!wgt,'.sav')) /file='c:\temp\rep.sav' /by
!grp.

*** COMPUTE SAMPLING VARIANCE (U) ***.

do repeat a=statr.1 to statr.5/
    b=stat.1 to stat.5/
    c=var1 to var5.
compute c=(a-b)**2.
end repeat.

aggregate outfile=*/
    break=!grp /stat.1 to stat.5= mean(stat.1 to stat.5) /var1 to var5 =
    sum(var1 to var5).

do repeat a=var1 to var5.
compute a=!cons*a.
end repeat.

compute pv_var=mean(var1 to var5).

*** CALCULATING MEASUREMENT VARIANCE (Bm) ***.

compute stat=mean(stat.1 to stat.5).
do repeat a=stat.1 to stat.5/b=pvar1 to pvar5.
compute b=(a-stat)**2.
end repeat.
compute pvmerr=.25*(sum(pvar1 to pvar5)).

*** COMPUTE SE [V = U + (1+1/M)Bm] ***.

compute SE=sqrt(pv_var+1.2*pvmerr).
formats stat (f8.3)/ SE (f10.6).
exe.
omsend tag="crap".

```



Box 17.15 [4/4] SPSS® macro of MCR_SE_RR_PV.sps

```
*** OUTPUT TABLES ***.

oms /select tables /if subtypes='Case Processing Summary' /destination viewer=no /
tag="case".
SUMMARIZE
  /TABLES= !if (!nGrp>0) !then !grp !ifend stat SE
  /FORMAT=LIST NOCASENUM
  !if (!ngrp=0) !then /TITLE=!quote(!concat("Relative risk with ",!antecedent,' as
  antecedent and ',!outcome,' as outcome variables'))
  !else /TITLE=!quote(!concat("Relative risk with ",!antecedent,' as antecedent and ',
  !outcome,' as outcome variables by ',!grp)) !ifend
  /CELLS=NONE.

delete variables !if (!ngrp=0) !then !grp !ifend stat.1 stat.2 stat.3 stat.4 stat.5
  var1 var2 var3 var4 var5 pv_var pvar1 pvar2 pvar3 pvar4 pvar5 pvmerr.
omsend tag="case".
restore.

save outfile="c:\temp\results.sav".

!enddefine.
```



Box 17.16 [1/4] SPSS® macro of MCR_SE_EFFECT.sps

```

set mprint=no.
*** Created by Eveline Gebhardt, Australian Council for Educational Research ***.
*** !!! THE 'COMPARE' VARIABLE SHOULD BE NUMERIC, NOT STRING ***.

define EFFnoPV (dep = !charend('/') /
    compare = !charend('/') /
    categ = !charend('') /
    infile = !charend('/') /
    within = !default(NOWITHIN) !charend('/') /
    wgt = !default(W_FSTUWT) !charend('/') /
    rwgt = !default(W_FSTR) !charend('/') /
    cons = !default(0.05) !charend('/') /
    nrep = !default(80) !charend('/')).

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.

/* nWithin is the number of within group variables */
!if (!upcase(!within)="NOWITHIN") !then
!let !nWithin=null
!Else
!do !w !in (!within)
!let !nwithin=!concat(!nWithin," ")
!doend !ifend.
!let !nwithin=!length(!nwithin).

/* z is nWithin + 1 */
!if (!nWithin>0) !then
!let !z=!length(!concat(!blanks(!nWithin)," ")).
!else
!let !z=2.
!ifend.
*title !concat('number of Within group variables is ',!nWithin,', plus 1 (z) = ',!z).

/* ncat is the number of categories */
!let !nc=null.
!do !c !in (!categ) !let !nc=!concat(!nc," ") !doend.
!let !ncat=!length(!nc).
*title !concat("Number of categories=",!ncat).

get file !quote(!infile) /keep !dep !if (!nwithin>0) !then !within !ifend !compare
!wgt !concat(!rwgt,1) to !concat(!rwgt,!nrep).
!if (!nwithin=0) !then compute !within=1 !ifend.
compute MISS = (missing(!dep)).
if (missing(!compare)) MISS=1.
if (missing(!w)) MISS=1.
!doend.
!let !n=null.
!do !c !in(!categ)
!let !n=!concat(!n,'| ',!compare,'=',!c,!blanks(1))
!doend.
!let !n=!concat('(',!substr(!n,2),')').
*title !n.
compute VAL=!n.
if (VAL=0) MISS=1.
compute VALIDN=1-MISS.
formats VALIDN (f1.0).
save outfile='c:\temp\data.sav' /drop=MISS VAL.

----- Next table with counts does not work without licence for CLABELS ---
-----.
*!let !q=null.
*!if (!nwithin>0) !then
*!do !w !in(!within).
*!let !q=!concat(!q,!w,' [C] > ').
*!doend !ifend.
**title !q.

```



Box 17.16 [2/4] SPSS® macro of MCR_SE_EFFECT.sps

```

*-----.
*VARIABLE LEVEL !within !compare (NOMINAL).
*string INCLUDED (a3).
*if (validn=0) INCLUDED='No'.
*if (validn=1) INCLUDED='Yes'.
*weight by !wgt.
*CTABLES
* /VLABELS VARIABLES=!if (!nwithin>0) !then !within !ifend !compare INCLUDED
DISPLAY=DEFAULT
* /TABLE !q !compare [C] [UCOUNT F40.0, COUNT F40.0] BY INCLUDED [C]
* /CATEGORIES VARIABLES=!if (!nwithin>0) !then !within !ifend !compare INCLUDED
ORDER=A KEY=VALUE EMPTY=EXCLUDE MISSING=INCLUDE
* /TITLES TITLE='Columns "INCLUDED=Yes" give the weighted and unweighted number of
included students'.
*-----
oms /select tables headings texts/destination viewer=no /tag="NoViewer".

*** COMPUTE ESTIMATES ***.

oms /select tables /if subtypes='Report' /destination format=sav outfile=!quote(!con
cat('c:\temp\',!wgt,'_1.sav')) /tag="fullweight".
select if (VALIDN=1).
weight by !wgt.
means !dep !if (!nWithin>0) !then !do !w !in (!within) !concat("by ",!w) !doend
!else by !within !ifend by !compare /cells=MEAN VARIANCE.
omsend tag="fullweight".

Get file=!quote(!concat('c:\temp\',!wgt,'_1.sav')) /keep= Var1 to !concat('var',!z)
Mean Variance.
rename vars (Var1 to !concat('var',!z) = !within !compare).
compute y=number(!compare,f3.0).
select if (not missing(y)).
compute !compare=concat('cat',!compare).
sort cases by !within !compare.
delete var y.
casestovars /id=!within /index=!compare.

/* This part computes the Difference between each pair of categories */.
*-----
-----.
!let !vars=null.
!let !a=categ.
!do !x !in (!categ)
!do !c !in (!tail(!a))
!if (!tail(!a) > !null) !then
compute !concat(!compare,".",!x,"_",!c)=(!concat("Mean.cat",!x) - !concat("Mean.
cat",!c))
/sqrt(!concat("Variance.cat",!x)+!concat("Variance.cat",!c))/2).
!let !vars=!vars,!concat(!compare,".",!x,"_",!c)," ").
!ifend !doend
!let !a=!tail(!a).
!doend.
exe.
*-----
-----.

delete variables !do !c !in (!categ) !concat("Mean.cat",!c,' Variance.cat',!c)
!doend.
VARSTOCASES /MAKE stat FROM !vars
/INDEX = EFF(stat)
/KEEP = !within
/NULL = KEEP.
sort cases by !within EFF.
save outile=!quote(!concat('c:\temp\',!wgt,'.sav')).
```



Box 17.16 [3/4] SPSS® macro of MCR_SE_EFFECT.sps

```

*** REPLICATES ***.

dataset close all.
get file='c:\temp\data.sav'.
select if (VALIDDN=1).
oms /select tables /if subtypes='Report' /destination format=sav numbered='REP'
outfile=!quote(!concat('c:\temp\',!rwgt,'_1.sav')) /tag="r".
!do !i=1 !to !nrep.
weight by !concat(!rwgt,!i).
means !dep !if (!nWithin>0) !then !do !w !in (!within) !concat("by ",!w) !doend
!else by !within !ifend by !compare /cells=MEAN VARIANCE.
!doend.
omsend tag="r".

get file=!quote(!concat('c:\temp\',!rwgt,'_1.sav')) /keep= rep Var1 to
!concat('var',!z) MEAN VARIANCE.
rename vars (Var1 to !concat('var',!z) = !within !compare).
compute y=number(!compare,f3.0).
select if (not missing(y)).
compute !compare=concat('cat',!compare).
sort cases by rep !within !compare.
delete var y.
casestovars /id=rep !within /index=!compare.

/* This part computes the Difference between each pair of categories */.
*-----.
-----.
!let !vars=null.
!let !a=!categ.
!do !x !in (!categ)
!do !c !in (!tail(!a))
!if (!tail(!a) > !null) !then
compute !concat(!compare,".",!x,"_",!c)=(!concat("Mean.cat",!x) - !concat("Mean.
cat",!c))
/sqrt((!concat("Variance.cat",!x)+!concat("Variance.cat",!c))/2).
!let !vars=!concat(!vars,!concat(!compare,".",!x,"_",!c)," ").
!ifend !doend
!let !a=!tail(!a).
!doend.
exe.
*-----.

-----.
delete variables !do !c !in (!categ) !concat("Mean.cat",!c,' Variance.cat',!c)
!doend.
VARSTOCASES /MAKE statR FROM !vars
/INDEX = EFF(statR)
/KEEP = rep !within
/NUL = KEEP.
sort cases by !within EFF.

*** COMBINE RESULTS ***.

match files table=!quote(!concat('c:\temp\',!wgt,'.sav')) /file=* /by !within EFF.

*** COMPUTE SAMPLING VARIANCE (U) ***.

compute var=(statR-stat)**2.
aggregate outfile=*/ break !within EFF/ stat= mean(stat)/ var=sum(var).
compute var=!cons*var.

*** COMPUTE SE ***.

compute se=sqrt(var).
exe.

formats stat (f8.3)/ SE (f10.6).
omsend tag="NoViewer".

```



Box 17.16 [4/4] **SPSS® macro of MCR_SE_EFFECT.sps**

```
*** OUTPUT TABLE ***.

OMS /SELECT TABLES headings
  /IF COMMANDS = ["Summarize"] SUBTYPES = ["Case Processing Summary"]
  /DESTINATION VIEWER = NO /TAG = "SumNoCaseProc".

SUMMARIZE
  /TABLES=!if (!nWithin>0) !then !within !ifend EFF stat se
  /FORMAT=LIST NOCASENUM NOTOTAL
  !if (!nwithin=0) !then /TITLE=!quote(!concat('SE on effect size in ',!upcase(!dep),
  ' between ',!upcase(!compare), ' groups'))
  !else /TITLE=!quote(!concat('SE on effect size in ',!upcase(!dep), ' between ',
  !upcase(!compare), ' groups by ',!upcase(!within))) !ifend
  /CELLS=NONE.
OMSEND TAG= ["SumNoCaseProc"].

delete variables var !if (!nWithin=0) !then !within !ifend.
save outfile="c:\temp\results.sav".
restore.

!enddefine.
```



Box 17.17 [1/5] SPSS® macro of MCR_SE_EFFECT_PV.sps

```

set mprint=no.

*** Created by Eveline Gebhardt, Australian Council for Educational Research ***.

*** !!! THE 'COMPARE' VARIABLE SHOULD BE NUMERIC, NOT STRING ***.

define EFF_PV  (dep = !charend('') /
                compare = !charend('') /
                categ = !charend ('') /
                infile = !charend('')/
                within = !default(NOWITHIN) !charend('') /
                wgt = !default(W_FSTUWT) !charend('') /
                rwgt = !default(W_FSTR) !charend('') /
                cons = !default(0.05) !charend('')/
                nrep = !default(80) !charend('')). 

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.

/* nWithin is the number of within group variables */
!if (!upcase(!within)="NOWITHIN") !then
!let !nWithin=!null
!Else
!do !w !in (!within)
!let !nwithin=!concat(!nWithin, " ")
!doend !ifend.
!let !nwithin=!length(!nwithin).

/* z is nWithin + 2 */
!if (!nWithin>0) !then
!let !z=!length(!concat(!blanks(!nWithin),!blanks(2))).
!else
!let !z=3.
!ifend.
*title !concat('number of Within group variables is ',!nWithin,' plus 2 (z) = ',!z).

/* ncat is the number of categories
!let !nc=!null.
!do !c !in (!categ) !let !nc=!concat(!nc," ") !doend.
!let !ncat=!length(!nc).
*title !concat("Number of categories=",!ncat).

get file !quote(!infile) /keep !dep !if (!nwithin>0) !then !within !ifend !compare
!wgt !concat(!rwgt,1) to !concat(!rwgt,!nrep).
!if (!nwithin=0) !then compute !within=1 !ifend.
compute MISS = (missing(!compare)).
!do !d !in (!dep).
if (missing(!d)) MISS=1.
!doend.
!do !w !in (!within).
if (missing(!w)) MISS=1.
!doend.
!let !n=!null.
!do !c !in(!categ)
!let !n=!concat(!n,'| ',!compare,'=',!c,!blanks(1))
!doend.
!let !n=!concat('(',!substr(!n,2),')').
*title !n.
compute VAL=!n.
if (VAL=0) MISS=1.
compute VALIDN=1-MISS.
formats VALIDN (f1.0).
save outfile='c:\temp\data.sav' /drop=MISS.

```



Box 17.17 [2/5] SPSS® macro of MCR_SE_EFFECT_PV.sps

```

----- Next table with counts does not work without licence for CLABELS -----
-----
*!let !q=!null.
*!if (!nwithin>0) !then
!*do !w !in(!within).
*!let !q=!concat(!q,!w,' [C] > ').
*!doend !ifend.
**title !q.
*
*VARIABLE LEVEL !within !compare (NOMINAL).
*string INCLUDED (a3).
*if (validn=0) INCLUDED='No'.
*if (validn=1) INCLUDED='Yes'.
*weight by !wgt.
*CTABLES
* /VLABELS VARIABLES=!if (!nwithin>0) !then !within !ifend !compare INCLUDED
DISPLAY=DEFAULT
* /TABLE !q !compare [C] [UCOUNT F40.0, COUNT F40.0] BY INCLUDED [C]
* /CATEGORIES VARIABLES=!if (!nwithin>0) !then !within !ifend !compare INCLUDED
ORDER=A KEY=VALUE EMPTY=EXCLUDE MISSING=INCLUDE
* /TITLES TITLE='Columns "INCLUDED=Yes" give the weighted and unweighted number of
included students'.
*-----
oms /select tables headings texts/destination viewer=no /tag="NoViewer".
*** COMPUTE ESTIMATES ***.

oms /select tables /if subtypes='Report' /destination format=sav outfile=!quote
(!concat('c:\temp\',!wgt,'_1.sav')) /tag="fullweight".
select if (VALIDN=1).
weight by !wgt.
means !dep !if (!nWithin>0) !then !do !w !in (!within) !concat("by ",!w) !doend
!else by !within !ifend by !compare /cells=MEAN VARIANCE.
omsend tag="fullweight".

Get file=!quote(!concat('c:\temp\',!wgt,'_1.sav')) /keep= Var1 to !concat('var',!z)
!dep .
rename vars (Var1 to !concat('var',!z) = !within !compare TEMP).
compute y=number(!compare,f3.0).
select if (not missing(y)).
compute !compare=concat('cat',!compare).
string S (a3).
if (mod($casenum,2)=1) S='MN'.
if (mod($casenum,2)=0) S='VAR'.
sort cases by !within !compare S.
delete var y TEMP.
casestovars /id=!within /index=!compare S/groupby=index.

/* This part computes the difference between each pair of categories */.
*-----
-----
!let !vars1=!null.
!do !d !in (!dep).
!let !a=!categ.
!do !x !in (!categ)
!do !c !in (!tail(!a))
!if (!tail(!a) > !null) !then
compute !concat(!d,".",!x,"_",!c)=(!concat(!d,".cat",!x,'.MN') - !concat(!d,".cat",
!c,'.MN'))/
sqrt((!concat(!d,".cat",!x,'.VAR') + !concat(!d,".cat",!c,'.VAR'))/2).
!let !vars1=!concat(!vars1,!concat(!d,".",!x,"_",!c)," ").
*title !d # !x # !c.
!ifend !doend.
!let !a=!tail(!a).
!doend.
!doend.
*title 'End of 3 loops'.
exe.
*-----
----- .

```



Box 17.17 [3/5] SPSS® macro of MCR_SE_EFFECT_PV.sps

```

!let !vars2=!null.
!do !d !in (!dep) !do !c !in (!categ)
!let !vars2=!concat(!vars2,!d,'.cat',!c,'.MN ',!d,'.cat',!c,'.VAR ').
!doend !doend
delete var !vars2.

VARSTOCASES
/MAKE STAT FROM !vars1
/INDEX=TEMP(STAT)
/KEEP=!within
/NULL=KEEP.
!let !q!=length(!concat(!head(!dep),!blanks(2))). 
string PV (!concat('a',!length(!head(!dep)))). 
compute PV=substr(TEMP,1,!length(!head(!dep))). 
string !upcase(!compare) (a10). 
compute !compare=substr(TEMP,!q). 
exe.

!let !f!=null.
!do !d !in (!dep).
!let !f!=concat(!f,!blanks(1)).
!let !g!=length(!f).
recode PV (!quote(!d)=!quote(!concat('PV',!g))). 
!doend.
sort cases by !within !compare PV.
delete var TEMP.
casestovars /id= !within !compare /index=PV.
rename vars (pv1 to pv5 = !dep).
save outile=!quote(!concat('c:\temp\',!wgt,'.sav')). 

***** REPLICATES ***.

dataset close all.
get file='c:\temp\data.sav'.
select if (VALIDN=1).
oms /select tables /if subtypes='Report' /destination format=sav numbered='REP'
outfile=!quote(!concat('c:\temp\',!rwgt,'_1.sav')) /tag="r".
!do !i=1 !to !nrep.
weight by !concat(!rwgt,!i).
means !dep !if (!nWithin>0) !then !do !w !in (!within) !concat("by ",!w) !doend
!else by !within !ifend by !compare /cells=MEAN VARIANCE.
!doend.
omsend tag="r".

get file=!quote(!concat('c:\temp\',!rwgt,'_1.sav')) /keep= REP Var1 to
!concat('var',!z) !dep .
rename vars (Var1 to !concat('var',!z) = !within !compare TEMP).
compute y=number(!compare,f3.0).
select if (not missing(y)).
compute !compare=concat('cat',!compare).
string S (a3).
if (mod($casenum,2)=1) S='MN'.
if (mod($casenum,2)=0) S='VAR'.
sort cases by !within REP !compare S.
delete var y TEMP.
casestovars /id=!within REP /index=!compare S/groupby=index.

/* This part computes the difference between each pair of categories */.
*-----.
!let !vars1=!null.
!do !d !in (!dep).
!let !vars=!null.
!let !a=!categ.
!do !x !in (!categ)
!do !c !in (!tail(!a))
!if (!tail(!a) > !null) !then
compute !concat(!d,".",!x,"_",!c)=(!concat(!d,".cat",!x,'.MN') - !concat(!d,".cat",
!c,'.MN'))/

```



Box 17.17 [4/5] SPSS® macro of MCR_SE_EFFECT_PV.sps

```

sqrt((!concat(!d, ".cat", !x, '.VAR') + !concat(!d, ".cat", !c, '.VAR'))/2).
!let !vars1=!concat(!vars1,!concat(!vars1,!concat(!d,".",!x,"_",!c)," ")). 
*title !d # !x # !c.
!ifend !doend.
!let !a=!tail(!a).
!doend.
!doend.
*title 'End of 3 loops'.
exe.
*-----.
!let !vars2=null.
!do !d !in (!dep) !do !c !in (!categ)
!let !vars2=!concat(!vars2,!d,'.cat',!c,'.MN ',!d,'.cat',!c,'.VAR ').
!doend !doend
delete var !vars2.

VARSTOCASES
/MAKE STATR FROM !vars1
/INDEX=TEMP(STATR)
/KEEP=!within REP
/NULL=KEEP.
!let !q=!length(!concat(!head(!dep),!blanks(2))).
string PV (!concat('a',!length(!head(!dep)))). 
compute PV=substr(TEMP,1,!length(!head(!dep))).
string !upcase(!compare) (a10).
compute !compare=substr(TEMP,!q).
exe.

!let !f=!null.
!do !d !in (!dep).
!let !f=!concat(!f,!blanks(1)).
!let !g=!length(!f).
recode PV (!quote(!d)=!quote(!concat('PV',!g))). 
!doend.
sort cases by !within !compare REP PV.
delete var TEMP.
casestovars /id= !within !compare REP /index=PV.
rename vars (pv1 to pv5 = !do !d !in(!dep) !concat('r_',!d) !doend).

*** COMBINE RESULTS ***.

sort cases by !within !compare.
match files table=!quote(!concat('c:\temp\',!wgt,'.sav')) /file=* /by !within
!compare.
exec.

*** COMPUTE SAMPLING VARIANCE (U) ***.

!do !d !in(!dep)
COMPUTE !concat('var_',!d) = !cons*((!concat('r_',!d) - !d)**2).
!doend.

AGGREGATE OUTFILE =*
/PRESORTED /BREAK= !within !compare
!/do !d !in(!dep) !d !doend=MEAN(!do !d !in(!dep) !d !doend)
!/do !d !in(!dep) !concat('var_',!d) !doend=SUM(!do !d !in(!dep) !concat('var_',
!d) !doend) .

!let !k=!null.
!do !d !in (!dep)
!let !k=!concat(!k,",var_",!d).
!doend.
compute pv_var=mean(!substr(!k,2)).
exe.

```



Box 17.17 [5/5] SPSS® macro of MCR_SE_EFFECT_PV.sps

```

*** CALCULATING MEASUREMENT VARIANCE (Bm) ***.

!let !k=null.
!do !d !in (!dep)
!let !k=concat(!k,",",!d).
!doend.
compute STAT=mean(!substr(!k,2)).

do repeat a=!dep/b=pvar1 to pvar5.
compute b=(a-STAT)**2.
end repeat.

compute pvmerr=.25*(sum(pvar1 to pvar5)).

*** COMPUTE SE [V = U + (1+1/M)Bm] ***.

compute SE=sqrt(pv_var+1.2*pvmerr).
exe.
FORMATS STAT (F8.3) / SE (F10.6).
omsend tag="NoViewer".

*** OUTPUT TABLE ***.

OMS /SELECT TABLES headings
/IF COMMANDS = ["Summarize"] SUBTYPES = ["Case Processing Summary"]
/DESTINATION VIEWER = NO /TAG = "SumNoCaseProc".

SUMMARIZE
/TABLES=!if (!nWithin>0) !then !within !ifend !compare stat se
/FORMAT=LIST NOCASENUM NOTOTAL
!if (!nWithin=0) !then /TITLE=!quote(!concat('SE on effect size in ',
!upcase(!head(!dep)), ' to PV5 between ',!upcase(!compare), ' groups'))
!else /TITLE=!quote(!concat('SE on effect size in ',!upcase(!head(!dep)), ' to PV5 between ',
!upcase(!compare), ' groups by ',!upcase(!within))) !ifend
/CELLS=NONE.
OMSEND TAG=[ "SumNoCaseProc"].

delete variables !dep !do !d !in (!dep) !concat("var_",!d) !doend !if (!nWithin=0)
!then !within !ifend pv_var pvar1 to pvar5 pvmerr .
save outfile="c:\temp\results.sav".
restore.

!enddefine.

```



Box 17.18 [1/4] SPSS® macro of MCR_ML.sps

```

set mprint=no.

*** Created by Eveline Gebhardt, Australian Council for Educational Research ***.

define MIXED_noPV (infile = !charend('/')/
fixef = !default(EMPTY) !charend('/')/
ranef = !default(NORAN) !charend('/')/
intef = !default(NOINT) !charend('/')/
dep = !charend('/')/
level2 = !default(SCHOOLID) !charend('/')/
grp = !default(NOGRP) !charend('/')/
nrep = !default(80) !charend('/')/
wgt = !default(W_FSTUWT) !charend('/')/
rwgt = !default(W_FSTR) !charend('/')/
cons = !default(0.05) !charend('')).

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.
oms /select headings tables texts/destination viewer=no /tag="crap".

!let !fixup=!upcase(!fixef).
!let !ranup=!upcase(!ranef).
!let !intup=!upcase(!intef).
!let !grpup=!upcase(!grp).

* nGrp is the number of group variables.
!let !nGrp=null
!if (!upcase(!grp)=NOGRP) !then !else
!do !g !in (!grp)
!let !nGrp=!concat(!nGrp, " ")
!doend !ifend.
!let !nGrpp=!length(!concat(!ngrp,!blanks(1))).
!let !nGrp=!length(!nGrp).

*** PREPARE DATA ***.

DATASET CLOSE ALL.
get file='!quote(!infile)' /keep=!level2 !if (!fixup=EMPTY) !then !else !fixef !ifend
!dep !if (!grpup=NOGRP) !then !else !grp !ifend !wgt !concat(!rwgt,'1') to
!concat(!rwgt,!nrep).
!if (!grpup=NOGRP) !then compute NOGRP=1 !ifend.

* Normalise weights.
weight by !wgt.
aggregate /break=!grp /POPsize=N /SAMPsize=NU.
compute !wgt=(!wgt/POPsize)*SAMPsize.
do repeat a= !concat(!rwgt,'1') to !concat(!rwgt,!nrep).
compute a=(a/POPsize)*SAMPsize.
end repeat.
weight off.

* Listwise deletion.
compute DEL=0.
!if (!fixup=EMPTY) !then !else
!do !f !in (!fixef).
if (missing(!f)) DEL=1.
!doend !ifend.
if (missing(!dep)) DEL=1.
select if DEL=0.
sort cases by !grp !level2.
save outfile='C:\temp\norm.sav' /drop=DEL SAMPsize POPsize.

DATASET CLOSE ALL.
get file='C:\temp\norm.sav'.

```



Box 17.18 [2/4] SPSS® macro of MCR_ML.sps

```
*** COMPUTE ESTIMATES ***.

split file layered by !grp.
oms /select tables /if subtype='Parameter Estimates' /destination format=sav
outfile='c:\temp\fixful.sav' /tag='ff'.
oms /select tables /if subtype='Covariance Parameter Estimates' /destination
format=sav outfile='c:\temp\ranful.sav' /tag='rf'.
weight off.
MIXED !dep !if (!fixup=EMPTY) !then !else with !fixef !ifend
/FIXED=INTERCEPT !if (!fixup=EMPTY) !then !else !fixef !ifend !if (!intup=Noint)
!then !else !intef !ifend
/METHOD=ML
/PRINT=G SOLUTION
/RANDOM=INTERCEPT !if (!ranup=Noran) !then !else !ranef !ifend | SUBJECT(!level2)
/REGWGT=!wgt.
omsend tag='ff'.
omsend tag='rf'.
split file off.

DATASET CLOSE ALL.
get file='c:\temp\fixful.sav'
/keep=label_ !if (!grpup=NOGRP) !then Var2 !else Var1 to !concat('var',!nGrpp)
!ifend Estimate.
rename var (label_ !if (!grpup=NOGRP) !then Var2 !else Var1 to
!concat('var',!nGrpp) !ifend Estimate
=EFFECT !if (!grpup=NOGRP) !then PARAMETER !else !GRUPUP PARAMETER !ifend STAT).
alter type EFFECT PARAMETER (a50).
SAVE OUTFILE='C:\TEMP\1.SAV'.

DATASET CLOSE ALL.
get file='c:\temp\ranful.sav'
/keep=label_ !if (!grpup=NOGRP) !then Var2 !else Var1 to !concat('var',!nGrpp)
!ifend Estimate.
rename var (label_ !if (!grpup=NOGRP) !then Var2 !else Var1 to
!concat('var',!nGrpp) !ifend Estimate
=EFFECT !if (!grpup=NOGRP) !then PARAMETER !else !GRUPUP PARAMETER !ifend STAT).
alter type EFFECT PARAMETER (a50).
SAVE OUTFILE='C:\TEMP\2.SAV'.

aggregate /break=effect !if (!ngrp=0) !then !else !grp !ifend /TOTAL=sum(STAT).
select if substr(PARAMETER,1,9)='Intercept'.
compute STAT=STAT/TOTAL.
compute PARAMETER = ' Intra-class correlation'.
exe.
delete var total.

ADD FILES FILE='C:\TEMP\1.SAV' /FILE='C:\TEMP\2.SAV' /FILE=*.
sort cases by !if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER.
SAVE OUTFILE=!quote(!concat('C:\TEMP\',!wgt,'.sav')).

*** REPLICATES ***.

DATASET CLOSE ALL.
get file='C:\temp\norm.sav'.
split file layered by !grp.
oms /select tables /if subtype='Parameter Estimates' /destination numbered=REP
format=sav outfile='c:\temp\fixrep.sav' /tag='fr'.
oms /select tables /if subtype='Covariance Parameter Estimates' /destination
numbered=REP format=sav outfile='c:\temp\ranrep.sav' /tag='rr'.
!do !i=1 !to !nrep.
weight off.
```



Box 17.18 [3/4] SPSS® macro of MCR_ML.sps

```

MIXED !dep !if (!fixup=EMPTY) !then !else with !fixef !ifend
  /FIXED=INTERCEPT !if (!fixup=EMPTY) !then !else !fixef !ifend !if (!intup=Noint)
!then !else !intef !ifend
  /METHOD=ML
  /PRINT=G SOLUTION
  /RANDOM=INTERCEPT !if (!ranup=Noran) !then !else !ranef !ifend | SUBJECT(!level2)
  /REGWGT=!concat(!rwgt,!i).
!doend.
omsend tag='fr'.
omsend tag='rr'.
split file off.

DATASET CLOSE ALL.
get file='c:\temp\fixrep.sav'
/keep=REP label_ !if (!grpup=NOGRP) !then Var2 !else Var1 to !concat('var',!nGrpp)
!ifend Estimate.
rename var (label_ !if (!grpup=NOGRP) !then Var2 !else Var1 to
!concat('var',!nGrpp) !ifend Estimate
=EFFECT !if (!grpup=NOGRP) !then PARAMETER !else !GRUPUP PARAMETER !ifend STATR).
alter type EFFECT PARAMETER (a50).
SAVE OUTFILE='C:\TEMP\1r.SAV'.

DATASET CLOSE ALL.
get file='c:\temp\ranrep.sav'
/keep=REP label_ !if (!grpup=NOGRP) !then Var2 !else Var1 to !concat('var',!nGrpp)
!ifend Estimate.
rename var (label_ !if (!grpup=NOGRP) !then Var2 !else Var1 to
!concat('var',!nGrpp) !ifend Estimate
=EFFECT !if (!grpup=NOGRP) !then PARAMETER !else !GRUPUP PARAMETER !ifend STATR).
alter type EFFECT PARAMETER (a50).
SAVE OUTFILE='C:\TEMP\2r.SAV'.

aggregate /break=REP effect !if (!ngrp=0) !then !else !grp !ifend /
TOTAL=sum(STATR).
select if substr(PARAMETER,1,9)='Intercept'.
compute STATR=STATR/TOTAL.
compute PARAMETER = ' Intra-class correlation'.
exe.
delete var total .

ADD FILES FILE='C:\TEMP\1r.SAV' /FILE='C:\TEMP\2r.SAV' /FILE=*.sav
sort cases by !if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER.
SAVE OUTFILE=!quote(!concat('C:\TEMP\',!rwgt,'.sav')).

match files /table=!quote(!concat('C:\TEMP\',!wgt,'.sav')) /file=!quote(!concat('C:\TEMP\' ,
!rwgt,'.sav'))
  /by !if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER.
exe.

*** COMPUTE SAMPLING VARIANCE (U) ***.

compute var=(statr-stat)**2.
save outfile = 'c:\temp\regmod.sav'.

aggregate outfile=* /break=!if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER
/STAT= mean(stat) /var=sum(var).
compute var=!cons*var.

```



Box 17.18 [4/4] SPSS® macro of MCR_ML.sps

```

*** COMPUTE SE ***.

compute SE=sqrt(var).
formats stat (f8.3) / SE (f10.6).
!if (!ngrp=0) !then compute NOGRP=1 !ifend.
save outfile='c:\temp\stats.sav'.
omsend tag="crap".

*** COMPUTE N ***.

DATASET CLOSE ALL.
get file='C:\temp\norm.sav'.
sort cases by !grp !level2.
weight OFF.
aggregate /presorted /break=!grp /NU_lev1=N.
aggregate outfile=* /presorted /break=!grp !level2 /NU_lev1=mean(NU_lev1).
aggregate outfile=* /presorted /break=!grp /NU_lev1=mean(NU_lev1) /NU_lev2=N.
match files file='c:\temp\stats.sav' /table=* /by !grp.

if (substr(parameter,1,9)='Intercept'|substr(parameter,1,8)='Residual')
parameter=concat(' ',parameter).
sort cases by !if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER.
compute PARAMETER=ltrim(PARAMETER).
EXE.

formats NU_lev1 NU_lev2 (f8.0).
delete variables var !if (!ngrp=0) !then !grp !ifend.

*** OUTPUT TABLES ***.

oms /select tables /if subtypes='Case Processing Summary' /destination viewer=no
/tag="case".
SUMMARIZE
/TABLES= EFFECT PARAMETER !if (!nGrp>0) !then !grp !ifend stat SE NU_lev1 NU_lev2
/FORMAT=LIST NOCASENUM
!if (!ngrp=0) !then /TITLE=!quote(!concat("Multi-level model with ",!dep," on ",
!fixup))
!else /TITLE=!quote(!concat("Multi-level model with ",!dep," on ",!fixup," by ",
!grp)) !ifend
/CELLS=NONE.

save outfile="c:\temp\results.sav" / drop nu_lev1 nu_lev2.
get file="c:\temp\results.sav".

omsend tag="case".
restore.
!enddefine.

```



Box 17.19 [1/5] SPSS® macro of MCR_ML_PV.sps

```

set mprint=no.

*** Created by Eveline Gebhardt, Australian Council for Educational Research ***.

define MIXED_PV    (infile = !charend('/')/
                    fixef = !default(EMPTY) !charend('/')/
                    ranef = !default(NORAN) !charend('/')/
                    intef = !default(NOINT) !charend('/')/
                    dep = !charend('/')/
                    level2 = !default(SCHOOLID) !charend('/')/
                    grp = !default(NOGRP) !charend('/')/
                    nrep = !default(80) !charend('/')/
                    wgt = !default(W_FSTUWT) !charend('/')/
                    rwgt = !default(W_FSTR) !charend('/')/
                    cons = !default(0.05) !charend('/')).

preserve.
SET Olang=English OVars names ONumbers values TVars names TNumbers values.
omsend.
oms /select headings tables texts/destination viewer=no /tag="crap".

!let !fixup=!upcase(!fixef).
!let !ranup=!upcase(!ranef).
!let !intup=!upcase(!intef).
!let !grpup=!upcase(!grp).

* nGrp is the number of group variables.
!let !nGrp=null
!if (!upcase(!grp)=NOGRP) !then !else
!do !g !in (!grp)
!let !nGrp=!concat(!nGrp, " ")
!doend !ifend.
!let !nGrpp=!length(!concat(!ngrp,!blanks(1))).
!let !nGrp=!length(!nGrp).

*** PREPARE DATA ***.

DATASET CLOSE ALL.
get file=!quote(!infile) /keep=!level2 !if (!fixup=EMPTY) !then !else !fixef !ifend
!dep !if (!grpup=NOGRP) !then !else !grp !ifend
        !wgt !concat(!rwgt,'1') to !concat(!rwgt,!nrep).
!if (!grpup=NOGRP) !then compute NOGRP=1 !ifend.

* Normalise weights.
weight by !wgt.
aggregate /break=!grp /POPsize=N /SAMPsize=NU.
compute !wgt=(!wgt/POPsize)*SAMPsize.
do repeat a= !concat(!rwgt,'1') to !concat(!rwgt,!nrep).
compute a=(a/POPsize)*SAMPsize.
end repeat.
weight off.

* Listwise deletion.
compute DEL=0.
!if (!fixup=EMPTY) !then !else
!do !f !in (!fixef).
if (missing(!f)) DEL=1.
!doend !ifend.
!do !d !in (!dep).
if (missing(!d)) DEL=1.
!doend.
select if DEL=0.
sort cases by !grp !level2.
save outfile='C:\temp\norm.sav' /drop=DEL SAMPsize POPsize.

```



Box 17.19 [2/5] SPSS® macro of MCR_ML_PV.sps

```

DATASET CLOSE ALL.
get file='C:\temp\norm.sav' .

*** COMPUTE ESTIMATES ***.

split file layered by !grp.
oms /select tables /if subtype='Parameter Estimates' /destination numbered=PV
format=sav outfile='c:\temp\fixful.sav' /tag='ff'.
oms /select tables /if subtype='Covariance Parameter Estimates' /destination
numbered=PV format=sav outfile='c:\temp\ranful.sav' /tag='rf'.
weight off.
!do !d !in (!dep).
MIXED !d !if (!fixup=EMPTY) !then !else with !fixef !ifend
/FIXED=INTERCEPT !if (!fixup=EMPTY) !then !else !fixef !ifend !if (!intup=Noint)
!then !else !intef !ifend
/METHOD=ML
/PRINT=G SOLUTION
/RANDOM=INTERCEPT !if (!ranup=Noran) !then !else !ranef !ifend | SUBJECT(!level2)
/REGWGT=!wgt.
!doend.
omsend tag='ff'.
omsend tag='rf'.
split file off.

DATASET CLOSE ALL.
get file='c:\temp\fixful.sav'
/keep=PV label_ !if (!ngrp=0) !then Var2 !else Var1 to !concat('var',!nGrpp)
!ifend Estimate.
rename var (label_ !if (!ngrp=0) !then Var2 !else Var1 to !concat('var',!nGrpp)
!ifend Estimate
=EFFECT !if (!grpup=NOGRP) !then PARAMETER !else !GRPUP PARAMETER !ifend STAT).
alter type EFFECT PARAMETER (a50).
sort cases by !if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER PV.
casestovars /ID=!if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER /index=PV.
SAVE OUTFILE='C:\TEMP\1.SAV'.

DATASET CLOSE ALL.
get file='c:\temp\ranful.sav'
/keep=PV label_ !if (!grpup=NOGRP) !then Var2 !else Var1 to !concat('var',!nGrpp)
!ifend Estimate.
rename var (label_ !if (!grpup=NOGRP) !then Var2 !else Var1 to
!concat('var',!nGrpp) !ifend Estimate
=EFFECT !if (!grpup=NOGRP) !then PARAMETER !else !GRPUP PARAMETER !ifend STAT).
alter type EFFECT PARAMETER (a50).
sort cases by !if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER PV.
casestovars /ID=!if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER /index=PV.
SAVE OUTFILE='C:\TEMP\2.SAV'.

aggregate /break=effect !if (!ngrp=0) !then !else !grp !ifend /TOTAL.1 TOTAL.2
TOTAL.3 TOTAL.4 TOTAL.5
=SUM(STAT.1 STAT.2 STAT.3 STAT.4 STAT.5).
select if substr(PARAMETER,1,9)='Intercept'.
do repeat STAT=STAT.1 STAT.2 STAT.3 STAT.4 STAT.5 /TOTAL=TOTAL.1 TOTAL.2 TOTAL.3
TOTAL.4 TOTAL.5.
compute STAT=STAT/TOTAL.
end repeat.
compute PARAMETER = ' Intra-class correlation'.
exe.
delete var TOTAL.1 TOTAL.2 TOTAL.3 TOTAL.4 TOTAL.5.
ADD FILES FILE='C:\TEMP\1.SAV' /FILE='C:\TEMP\2.SAV' /FILE=*.sav'.
sort cases by !if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER.
SAVE OUTFILE=QUOTE(!concat('C:\TEMP\',!wgt,'.sav')).
```



Box 17.19 [3/5] SPSS® macro of MCR_ML_PV.sps

```

*** REPLICATES ***.

DATASET CLOSE ALL.
get file='C:\temp\norm.sav'.
split file layered by !grp.
oms /select tables /if subtype='Parameter Estimates' /destination numbered=PVREP
format=sav outfile='c:\temp\fixrep.sav' /tag='fr'.
oms /select tables /if subtype='Covariance Parameter Estimates' /destination
numbered=PVREP format=sav outfile='c:\temp\ranrep.sav' /tag='rr'.
!do !i=1 !to !nrep.
weight off.
!do !d !in (!dep).
MIXED !d !if (!fixup=EMPTY) !then !else with !fixef !ifend
/FIXED=INTERCEPT !if (!fixup=EMPTY) !then !else !fixef !ifend !if (!intup=Noint)
!then !else !intef !ifend
/METHOD=ML
/PRINT=G SOLUTION
/RANDOM=INTERCEPT !if (!ranup=Noran) !then !else !ranef !ifend | SUBJECT(!level2)
/REGWGT=!concat(!rwgt,!i).
!doend.
!doend.
omsend tag='fr'.
omsend tag='rr'.
split file off.

DATASET CLOSE ALL.
get file='c:\temp\fixrep.sav'
/keep=PVREP label_ !if (!grpup=NOGRP) !then Var2 !else Var1 to
!concat('var',!nGrpp) !ifend Estimate.
rename var (label_ !if (!ngrp=0) !then Var2 !else Var1 to !concat('var',!nGrpp)
!ifend Estimate
=EFFECT !if (!grpup=NOGRP) !then PARAMETER !else !GRUPUP PARAMETER !ifend STATR).
compute PV=mod(PVREP,5).
recode PV (0=5).
if (PV=5) REP=PVREP/PV.
sort cases by !if (!ngrp=0) !then !else !grp !ifend PVREP (d).
!do !j=4 !to 1 !by -1.
if (PV!=!j) REP=lag(REP).
exe.
!doend.
formats PV REP (F3.0).
sort cases by !if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER REP PV.
delete var PVREP.
casestostovars /id=!if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER REP/
index=PV.
alter type EFFECT PARAMETER (a50).
SAVE OUTFILE='C:\TEMP\1r.SAV'.

DATASET CLOSE ALL.
get file='c:\temp\ranrep.sav'
/keep=PVREP label_ !if (!grpup=NOGRP) !then Var2 !else Var1 to
!concat('var',!nGrpp) !ifend Estimate.
rename var (label_ !if (!grpup=NOGRP) !then Var2 !else Var1 to
!concat('var',!nGrpp) !ifend Estimate
=EFFECT !if (!grpup=NOGRP) !then PARAMETER !else !GRUPUP PARAMETER !ifend STATR).
compute PV=mod(PVREP,5).
recode PV (0=5).
if (PV=5) REP=PVREP/PV.
sort cases by !if (!ngrp=0) !then !else !grp !ifend PVREP (d).
!do !j=4 !to 1 !by -1.
if (PV!=!j) REP=lag(REP).
exe.
!doend.

```



Box 17.19 [4/5] SPSS® macro of MCR_ML_PV.sps

```

formats PV REP (F3.0).
sort cases by !if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER REP PV.
delete var PVREP.
casestovars /id=!if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER REP/
index=PV.
alter type EFFECT PARAMETER (a50).
SAVE OUTFILE='C:\TEMP\2r.SAV'.

aggregate /break=REP effect !if (!ngrp=0) !then !else !grp !ifend /TOTAL.1 TOTAL.2
TOTAL.3 TOTAL.4 TOTAL.5
= sum(STATR.1 STATR.2 STATR.3 STATR.4 STATR.5).
select if substr(PARAMETER,1,9)='Intercept'.
do repeat STATR=STATR.1 STATR.2 STATR.3 STATR.4 STATR.5 /TOTAL=TOTAL.1 TOTAL.2
TOTAL.3 TOTAL.4 TOTAL.5.
compute STATR=STATR/TOTAL.
end repeat.
compute PARAMETER = ' Intra-class correlation'.
exe.

delete var TOTAL.1 TOTAL.2 TOTAL.3 TOTAL.4 TOTAL.5.
ADD FILES FILE='C:\TEMP\1r.SAV' /FILE='C:\TEMP\2r.SAV' /FILE=*.sav.
sort cases by !if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER.
SAVE OUTFILE=!quote(!concat('C:\TEMP\',!rwgt,'.sav')).

match files /table=!quote(!concat('C:\TEMP\',!wgt,'.sav')) /file=!quote(!concat('C:\TEMP\' ,
!rwgt,'.sav'))
/by !if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER.
exe.

*** COMPUTE SAMPLING VARIANCE (U) ***.

do repeat a=statr.1 to statr.5/
      b=stat.1 to stat.5/
      c=var1 to var5.
compute c=(a-b)**2.
end repeat.
save outfile = 'c:\temp\regmod.sav'.

aggregate outfile=* /PRESORTED
      /break=!if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER
      /stat.1 to stat.5= mean(stat.1 to stat.5)
      /var1 to var5 = sum(var1 to var5).

do repeat a=var1 to var5.
compute a=!cons*a.
end repeat.

compute pv_var=mean(var1 to var5).

*** CALCULATING MEASUREMENT VARIANCE (Bm) ***.

compute STAT=mean(stat.1 to stat.5).
do repeat a=stat.1 to stat.5/b=pvar1 to pvar5.
compute b=(a-stat)**2.
end repeat.
compute pvmerr=.25*(sum(pvar1 to pvar5)).

*** COMPUTE SE [V = U + (1+1/M)Bm] ***.

compute SE=sqrt(pv_var+1.2*pvmerr).
formats stat (f8.3)/ SE (f10.6).
!if (!ngrp=0) !then compute !grp=1 !ifend.
save outfile='c:\temp\stats.sav'.

omsend tag="crap".

```



Box 17.19 [5/5] SPSS® macro of MCR_ML_PV.sps

```

*** COMPUTE N ***.

DATASET CLOSE ALL.
get file='C:\temp\norm.sav'.
sort cases by !grp !level2.
weight OFF.
aggregate /presorted /break=!grp /NU_lev1=N.
aggregate outfile=* /presorted /break=!grp !level2 /NU_lev1=mean(NU_lev1).
aggregate outfile=* /presorted /break=!grp /NU_lev1=mean(NU_lev1) /NU_lev2=N.
match files file='c:\temp\stats.sav' /table=* /by !grp.

if (substr(parameter,1,9)='Intercept'|substr(parameter,1,8)='Residual')
parameter=concat(' ',parameter).
sort cases by !if (!ngrp=0) !then !else !grp !ifend EFFECT PARAMETER.
compute PARAMETER=ltrim(PARAmETER).
EXE.

formats NU_lev1 NU_lev2 (f8.0).
delete variables stat.1 to stat.5 var1 to var5
      pv_var pvar1 to pvar5 pvmerr !if (!ngrp=0) !then !grp !ifend.

*** OUTPUT TABLES ***.

oms /select tables /if subtypes='Case Processing Summary' /destination viewer=no
/tag="case".
SUMMARIZE
  /TABLES= EFFECT PARAMETER !if (!nGrp>0) !then !grp !ifend stat SE NU_lev1 NU_lev2
  /FORMAT=LIST NOCASENUM
  !if (!ngrp=0) !then /TITLE=!quote(!concat("Multi-level model with ",!head(!dep)," to ",
  !substr(!dep,!Index(!dep,PV5))," on ",!fixup))
  !else /TITLE=!quote(!concat("Multi-level model with ",!head(!dep)," to ",!substr
  (!dep,!Index(!dep,PV5))," on ",!fixup," by ",!grp)) !ifend
  /CELLS=None.

omsend tag="case".

save outfile="c:\temp\results.sav" / drop nu_lev1 nu_lev2.
get file="c:\temp\results.sav".

restore.
!enddefine.

```




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APPENDIX 1

THREE-LEVEL REGRESSION ANALYSIS

Method

The multilevel analysis described in Chapter 5 in the PISA 2006 initial report of *PISA 2006: Science Competencies for Tomorrow's World* (OECD, 2007) was performed using Hierarchical Linear and Nonlinear Modelling (HLM®).¹ A three-level HLM was carried out, with students serving as level 1, schools as level 2, and countries/economies as level 3. The model coefficients and statistics were estimated using a full maximum likelihood procedure.² Normalised student final weights were used, so that the sum of the weights was equal to the number of students in the data set, and each country contributed equally to the analysis. Five plausible values for the students' science performance served as the outcome variable.

Data

The data file used for the multilevel analysis includes 387 769 students from 14 052 schools in 55 countries/economies.³ Three data sources were used:

- The PISA 2006 student and school questionnaire for the majority of indicators used in the multilevel analysis.
- *Education at a Glance 2006* (OECD, 2006), for data on the age of first selection in school systems in the OECD countries.
- The PISA 2006 system-level questionnaire, for additional system-level data in partner countries/economies, concerning in particular the use of standards-based external examination in science and the age of first selection in the school system. The system-level questionnaire was filled out by the National Project Manager of each partner country/economy.

Data preparation

Selecting and recoding variables

Based on both theoretical considerations and previous empirical findings, several school and system-level explanatory variables were selected in order to examine their role in the quality and equity of education. The variables can be sorted into six groups of thematic modules:

- Adminitting grouping and selecting
- School management and funding
- Parental pressure and choice
- Accountability policies
- School autonomy
- Selected resources (human, material and educational)

For each of these six groups, a few variables were selected, mainly from the PISA 2006 database, but also some derived from education system-level information. Indices were preferred over single-item statements whenever they were available since more information could be combined in one index and the problem of measurement error was less severe for indices than for single items. For some of the analysis it was possible to choose from several similar variables. In these cases, variables with the lowest rate of missing data and the highest correlation with performance were selected.

Demographic and socio-economic background variables, which are less likely to be amenable to school and system-level factors, were selected based on previous empirical findings. These background variables were included in the net models (that is, models accounting for background factors) in order to examine the net effects of the school and system-level variables. The background variables used in the net models were:

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1. The commercial software HLM® 6.04 (developed by Raudenbush, Bryk and Congdon) was used.

2. In this method, both the regression coefficients and the variance components are included in the likelihood function.

3. France and Qatar were not included.



- Student level
 - PISA of economic, social and cultural status (ESCS) and its squared value
 - Gender
 - Language spoken at home
 - Immigrant status
- School level
 - School average ESCS
 - School size and its squared value
 - School location
- System level
 - Country average ESCS

These selected background and explanatory variables were re-coded where necessary. The descriptive statistics for all variables are listed in Box A1.1. The variables with “X” or “Y” in the first or second place are school-level or system-level variables, respectively. A detailed SPSS syntax for recoding variables is available online at www.pisa.oecd.org.

Treatment of missing data

The proportion of missing values for the variables considered in the analysis is presented in Box A1.1. Even though the missing rate was less than 5% for most of the variables, a listwise deletion of all observations that have a missing value for at least one variable would have reduced the sample size by 28.21%, since more than 30 variables were included in the models. Therefore, missing values were imputed in order to include the maximum number of cases in analysis.

Since the missing rates were not high for most of the variables, a simple imputation approach was used to circumvent the problem of missing data: predictors at the individual and school level were imputed using a dummy variable adjustment (Cohen and Cohen, 1985). Due to the small number of observations ($n=55$) at the system level, system-level variables were not imputed.

It is known that this imputation method generally produces biased estimates of coefficients (Jones, 1996), and that standard errors of those variables that contain missing values are underestimated since they do not account for the uncertainty introduced through imputation. However, given the fact that only on 2 of 33 variables, more than 5% of the data were missing (Box A1.1), this bias was considered negligible.

As a first step of the imputation, a so-called “missing dummy” variable was created for all variables with missing values regardless of whether a variable was continuous, categorical or dichotomous. A missing dummy variable was set to 1 if the data were missing on that variable and it was set to 0 if the data were not missing. The first letter “M” in variable names in Box A1.1 signifies a missing dummy.

As a second step, missing values were imputed for continuous variables. Missing values were replaced by the weighted school average of the variable. If all data on the respective variable were missing in one school such that the weighted school mean could not be computed, the weighted country mean was imputed. If all data on the respective variable were missing in a country, the weighted international mean was imputed. When a missing value was replaced by the country or school mean, the weights were proportional to the sampling probability (weighting factor W_FSTUWT from the PISA 2006 dataset). When a missing value was replaced by the international mean, equal country weights were used, *i.e.* each country was given an equal weight of 1 000 cases.

Categorical variables were re-coded into a set of dummy variables.⁴ For each category or for combined categories, a dummy variable was created with the value of 1 if the observation belongs to the respective category and 0 otherwise. Missing values in dummy and dichotomous variables were replaced by 0.

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4. The number of dummy variables created from a categorical variable is smaller than the number of categories of the variable since one or more categories are used as a reference group.


APPENDIX 1: THREE-LEVEL REGRESSION ANALYSIS
Box A1.1 Descriptive statistics of background and explanatory variables

Variable description	Type	Variable name	Mean	S.D.	Min.	Max.	% missing
STUDENT LEVEL							
Student's index of economic, social and cultural status (ESCS)	B	ESCS; MESCS	-0.22	1.08	-5.67	3.35	0.95%
Student's index of economic, social and cultural status squared	B	ESCS2	1.22	1.81	0.00	32.14	0.95%
Student is female	B	FEMALE	0.50	0.50	0.00	1.00	<0.00%
Student is native student (not first- or second-generation students)	B	NATIVE; MNATIVE	0.88	0.33	0.00	1.00	2.47%
Student speaks the test language or other national language most of the time or always at home	B	SAMELANG; MSAMELANG	0.93	0.26	0.00	1.00	3.44%
SCHOOL LEVEL							
School located in a small town or village (fewer than 15,000 people)	B	XRURAL; MXRURAL	0.33	0.47	0.00	1.00	1.49%
School located in a city (with over 100,000 people)	B	XCITY	0.36	0.48	0.00	1.00	1.49%
School size	B	XSCHSIZE; MXSCHSIZE	8.47	7.44	0.03	100.00	2.61%
School size squared	B	XSCHSIZ2	127.10	382.99	0.00	10000.00	2.61%
School average index of economic, social and cultural status	B	XESCS; MXESCS	-0.22	0.74	-3.67	1.97	<0.00%
Admitting, grouping and selecting							
School with ability grouping for all subjects within school	E	XABGR; MXABGR	0.19	0.40	0.00	1.00	3.33%
School with low academic selectivity of school admittance	E	XLOSELE	0.32	0.47	0.00	1.00	2.33%
School with high academic selectivity of school admittance	E	XHISELE; MXHISELE	0.23	0.42	0.00	1.00	2.33%
School management and funding							
School being privately managed	E	XPRIVMAN; MXPRIVMAN	0.18	0.39	0.00	1.00	3.11%
School funding source from government	E	XGOVFUND; MXGOVFUND	82.75	26.77	0.00	100.00	7.47%
Parental pressure and choice							
School with high level of competition	E	XSCHLCOMP; MXSCHLCOMP	0.73	0.45	0.00	1.00	2.66%
School with high levels of perceived parental pressure	E	XPRESSPA; MXPRESSPA	0.65	0.48	0.00	1.00	2.53%
Accountability practices							
School informing parents of children's performance relative to other students in school	E	XACC1; MXACC1	0.61	0.49	0.00	1.00	2.31%
School informing parents of children's performance relative to national benchmarks	E	XACC2; MXACC2	0.45	0.50	0.00	1.00	2.84%
School informing parents of students' performance relative to other schools	E	XACC3; MXACC3	0.28	0.45	0.00	1.00	3.20%
School posting achievement data publicly	E	XACC4; MXACC4	0.37	0.48	0.00	1.00	2.92%
School using achievement data for evaluating principals	E	XACC5; MXACC5	0.37	0.48	0.00	1.00	3.69%
School using achievement data for evaluating teachers	E	XACC6; MXACC6	0.55	0.50	0.00	1.00	2.93%
School using achievement data for allocating resources to schools	E	XACC7; MXACC7	0.35	0.48	0.00	1.00	3.52%
School tracking achievement data over time	E	XACC8; MXACC8	0.66	0.47	0.00	1.00	3.33%
Any accountability variable missing		MXACC					7.82%
School autonomy							
School autonomy in staffing	E	XFACS; MXFACS	0.00	1.00	-1.24	1.66	1.15%
School autonomy in budgeting	E	XFACB; MXFACB	0.00	1.00	-2.31	0.87	1.12%
School autonomy in educational content	E	XFACC; MXFACC	0.00	1.00	-1.93	1.09	1.11%
Any school autonomy variable missing		MXFAC					1.16%
School resources							
School average number of students per teacher (student-teacher ratio)	E	XSTRATIO; MXSTRATIO	14.75	6.69	0.27	100.33	6.84%
School level index of teacher shortage	E	XTCSHORT; MXTCSHORT	0.05	1.06	-1.06	3.62	2.01%
School average number of computers for instruction per student	E	XIRATCOMP; MXIRATCOMP	0.12	0.12	0.00	1.47	4.32%
School level index of quality of school educational resources	E	XSCMATED; MXSCMATED	-0.28	1.13	-3.43	2.14	1.62%
School average students' learning time for regular lessons in school	E	XLTSCTOT; MXLTSCTOT	10.24	2.37	0.00	21.00	0.31%
School average students' learning time for out-of-school lessons	E	XLTSTOT; MXLTSTOT	2.77	1.57	0.00	13.00	0.31%
School average students' learning time for self-study or homework	E	XLTSTTOT; MXLTSTTOT	5.27	1.64	0.00	19.00	0.31%
School providing opportunity of taking science	E	XANYSCLIE; MXANYSCLIE	81.73	22.29	0.00	100.00	0.27%
School average index of school activities to promote students' learning of science	E	XSCIPROM; MXSCIPROM	0.23	1.01	-2.27	1.64	1.79%
SYSTEM LEVEL							
Country mean ESCS	B	YESCS	-0.22	0.51	-1.52	0.77	-
Admitting, grouping and selecting							
System with early selection (each additional year between the first age of selection and the age of 15)	E	YYRSSEP	1.20	1.62	0.00	5.00	-
System-level number of school types and distinct educational programmes available to 15-year-olds	E	YNRTRACK	2.33	1.21	1.00	5.00	-
Parental pressure and choice							
System with high proportion of competitive schools	E	YSCHLCOM	74.61	16.15	27.81	98.76	-
Accountability practices							
System with standards-based external examinations	E	YSCENTEX	0.56	0.47	0.00	1.00	-
Percentage of observations with at least one missing value on one variable							28.21%

Note: In the second column "B" refers to background variable and "E" refers to explanatory variable. For the computation of the percentages of missing values, equal country weights were used. The first letter "M" in variable names signifies a missing dummy. As only four (unweighted) cases are missing in the variable "female", the missing value was imputed to 0 and a missing dummy was not created for this variable. Variables YYRSSEP, YNRTRACK and YSCENTEX were derived from Table 5.2 in the PISA 2006 initial report (OECD, 2007).



Student weights

For the multilevel analysis, data files were weighted at the student level with “normalised student final weights”, which were computed based on the student final weights (W_FSTUWT)⁵ in the PISA 2006 dataset. Normalised student final weights were developed at two different levels according to the purpose of the analysis:

- At the country level for the two-level regression analysis The student final weights(W_FSTUWT) were normalised at the country level to i) make the sum of the weights within each country equal to the number of students within the country in the dataset (*i.e.* the sample size of the country); and ii) maintain the same proportion of weights as in the student final weights (W_FSTUWT within each country).
- At the international level for the three-level regression analysis The student final weights (W_FSTUWT) were normalised at the international level including 55 of the 57 participating countries to *i*) make the sum of the weights across the 55 countries equal to the number of students across the 55 countries in the dataset; *ii*) maintain the same proportion of weights as in the student final weights (W_FSTUWT) within each country; and *iii*) ensure that each individual country's contribution to the analysis is equal by introducing a country factor (*i.e.* the sum of the weights within each country is the same for all 55 countries).

The SPSS syntax for computing the normalised student final weights is available on line at www.pisa.oecd.org.

Modelling student performance

This section outlines the modelling strategy used in the multilevel analysis of school and system-level variables related to educational performance.

For building the multilevel model, a step-by-step approach was adopted, starting from the student level upwards to the country level, following an approach suggested by Raudenbush and Bryk (2002). This resulted in the background variables at all three levels listed above and the background model presented in Box A1.2 [see Model 0b in Table 5.19g in the PISA 2006 initial report (OECD, 2007)].

Throughout the multilevel analysis, unless otherwise specified, an effect is considered statistically significant if the p-value is below 0.1 at country level and below 0.005 at school level. Different criterion values were chosen for the two levels to balance between significance and statistical power. In particular, at the country level, where there are only 55 cases, statistical power is rather low, which is why a higher significance level was chosen. In contrast, there are more than 14 000 observations at the school level and so a rather low significance level of 0.005 was chosen.

Model 0b in Table 5.19g in the PISA 2006 initial report (OECD, 2007) summarises the results for the background model, *i.e.* the model in which only the background variables are considered.

Box A1.2 Background model for student performance

Level-1 Model

$$\begin{aligned} Y = & P_0 + P_1*(ESCS) + P_2*(MESCS) + P_3*(ESCS2) + P_4*(FEMALE) \\ & + P_5*(NATIVE) + P_6*(MNATIVE) + P_7*(SAMELANG) + P_8*(MSAMELAN) + E \end{aligned}$$

Level-2 Model

$$\begin{aligned} P_0 = & B_{00} + B_{01}*(XESCS) + B_{02}*(MXESCS) + B_{03}*(XRURAL) + B_{04}*(XCITY) \\ & + B_{05}*(MXRURAL) + B_{06}*(XSCHSIZE) + B_{07}*(XSCHSIZ2) + B_{08}*(MXSCHSIZ) + R_0 \end{aligned}$$

Level-3 Model

$$B_{00} = G_{000} + G_{001}(YESCS) + U_{00}$$

Note: See Box A1.1 for the definition of the variables.

5. The detailed description of student final weights is in PISA 2006 Technical Report (OECD, forthcoming).



Explanatory variables considered

The impact of selected system and school-level variables on science performance was analysed using multilevel models, before and after accounting for the demographic and socio-economic background variables.⁶ As described in an earlier section of this annex, the six groups of variables were selected based on both theoretical considerations and previous empirical findings. One or more variables were selected as indicators measuring each of these groups. All background and explanatory variables for each of the six groups are listed in Box A1.1.

In the analysis of the impact of system and school-level variables on learning outcomes, a two-step procedure was applied with the following six groups of system and school-level variables: Admitting, grouping and selecting; school management and funding; parental pressure and choice; accountability policies; school autonomy; and school resources (human, material, and educational).

In the first step, the effects of the variables of each of the six groups were examined in turn, estimating separate models for each group. In the second step, from each of the separate models run in the first step, only the significant predictors were combined in a model. When a predictor turned out to be no longer significant in the combined model, it was dropped from the analysis.

This two-step procedure was applied following the model specification suggested by Raudenbush and Bryk (2002), as well as by Snijders and Bosker (1999). The opposite, backward approach of entering all possible predictors at a time, and then removing the non-significant ones was not feasible due to the large number of variables and multicollinearity problems.⁷

The final net combined model is shown in Box A1.3. The results of this model are presented in the Model 2N in Table 5.19g in the PISA 2006 initial report (OECD, 2007).

Box A1.3 Final net combined model for student performance

Level-1 Model

$$\begin{aligned} Y = & P_0 + P_1*(ESCS) + P_2*(MESCS) + P_3*(ESCS2) + P_4*(FEMALE) \\ & + P_5*(NATIVE) + P_6*(MNATIVE) + P_7*(SAMELANG) + P_8*(MSAMELAN) + E \end{aligned}$$

Level-2 Model

$$\begin{aligned} P_0 = & B_{00} + B_{01}*(XESCS) + B_{02}*(MXESCS) + B_{03}*(XRURAL) + B_{04}*(XCITY) \\ & + B_{05}*(MXRURAL) + B_{06}*(XSCHSIZE) + B_{07}*(XSCHSIZ2) + B_{08}*(MXSCHSIZ) \\ & + B_{09}*(XLTSTTOT) + B_{10}*(XLTSTCTOT) + B_{11}*(XLTOSTTOT) + B_{12}*(XSCIPROM) \\ & + B_{13}*(MXSCIPRO) + B_{14}*(XFACB) + B_{15}*(MXFACB) + B_{16}*(XACC4) + B_{17}*(MXACC4) \\ & + B_{18}*(XLOSELE) + B_{19}*(XHISELE) + B_{20}*(MXHISELE) + B_{21}*(XABGR) + B_{22}*(MXABGR) \\ & + B_{23}*(MLTTTOT) + R_0 \end{aligned}$$

Level-3 Model

$$B_{00} = G_{000} + G_{001}(YFACB) + G_{002}(YESCS) + U_0$$

Note: See Box A1.1 for the definition of the variables.

6. A gross model is defined as the model without accounting for the background variables. A net model is defined as the model accounting for the background variables.

7. Multicollinearity exists when two or more independent variables are highly correlated.



Besides the final net combined model for student performance depicted here, which is a model where background variables are included, the same two-step analysis strategy was used for gross models, *i.e.* models where background variables are not accounted for. The results of the final gross combined model are depicted in the Model 2G in Table 5.19g in the PISA 2006 initial report (OECD, 2007).

Fixed/random effects and centring

In the models for examining the impact of selected system and school-level variables on science performance, all slopes were fixed and only the intercept was randomised at all three levels.

All variables including both background and explanatory variables were centred on the grand mean. The grand mean centring is a linear transformation of variables by subtracting the overall mean of all 55 countries from the value proper. Note that, for fixed slopes, it does not make a difference for the estimated slope whether a variable is grand-centred or not centred. Only the interpretation of the intercept changes when centring by the grand mean. In all models, the intercept is to be interpreted as the achievement score in science for a student who has the international mean in all variables included in the model.

Modelling the impact of socio-economic background on student performance

In investigating the roles that variables at the school and system level play with respect to the impact which socio-economic background has on student performance, a two-step procedure similar to the models for student performance was conducted.

To look at the net effects of these factors, background variables at the student, school, and system level were included in the analyses. The background variables are exactly the same as those used in the models described in the preceding section. The detailed equity background model specification is presented in Box A1.4.

In Box A1.4, the first letter "M" in variable names signifies a missing dummy and "X" and "Y" in the first or second place denote school and system-level variables, respectively. The only difference to the first set of models (models for student performance) is that the ESCS slope and the XESCS slope were given a random slope.

In Table 5.20g in the PISA 2006 initial report (OECD, 2007), the Model 0b summarises the results for the background model for the impact of socio-economic background on student performance, *i.e.* the model in which only the background variables are considered without any explanatory variables.

Box A1.4 Background model for the impact of socio-economic background

Level-1 Model

$$Y = P0 + P1*(ESCS) + P2*(MESCS) + P3*(ESCS2) + P4*(FEMALE) + P5*(NATIVE) + P6*(MNATIVE) \\ + P7*(SAMELANG) + P8*(MSAMELAN) + E$$

Level-2 Model

$$P0 = B00 + B01*(XESCS) + B02*(MXESCS) + B03*(XRURAL) + B04*(XCITY) \\ + B05*(MXRURAL) + B06*(XSCHSIZE) + B07*(XSCHSIZ2) + B08*(MXSCHSIZ) + R0 \\ P1 = B10 + R1$$

Level-3 Model

$$B00 = G000 + G001(YESCS) + U00 \\ B01 = G010 + U01 \\ B10 = G100 + U10$$

Note: See Box A1.1 for the definition of the variables.



Explanatory variables considered

In the first step of the analysis, the variables at the school level were added to the background model for each of the six groups separately, estimating the slope of the student-level PISA index of economic, social and cultural status, as well as the intercept. As an example, Box A1.5 contains the group of the impact of socio-economic background for the group of school resources variables. All variables from the school resources group were introduced to the equations signifying the slope of the student-level PISA index of economic, social and cultural status (P1), in addition to the intercept (P0). The focus is on the coefficients (and corresponding significance values) for the slope, P1. The missing dummies for the variables (starting with the letter M) were included only in the estimation of the intercept, but not in the slope estimation.

Box A1.5 Model of the impact of socio-economic background: “school resources” module

Level-1 Model

$$\begin{aligned} Y = & P_0 + P_1*(ESCS) + P_2*(MESCS) + P_3*(ESCS2) + P_4*(FEMALE) \\ & + P_5*(NATIVE) + P_6*(MNATIVE) + P_7*(SAMELANG) + P_8*(MSAMELAN) + E \end{aligned}$$

Level-2 Model

$$\begin{aligned} P_0 = & B_{00} + B_{01}*(XESCS) + B_{02}*(MXESCS) + B_{03}*(XRURAL) + B_{04}*(XCITY) \\ & + B_{05}*(MXRURAL) + B_{06}*(XSCHSIZE) + B_{07}*(XSCHSIZ2) + B_{08}*(MXSCHSIZ) \\ & + B_{09}*(XSTRATIO) + B_{10}*(MXSTRATI) + B_{11}*(XTCSHORT) + B_{12}*(MXTCSHOR) \\ & + B_{13}*(XIRATCOM) + B_{14}*(MXIRATCO) + B_{15}*(XSCMATED) + B_{16}*(MXSCMATE) \\ & + B_{17}*(XLTSTTOT) + B_{18}*(XLTSTTOT) + B_{19}*(XLTOSTOT) + B_{20}*(XANYSCIE) \\ & + B_{21}*(MXANYSCI) + B_{22}*(XSCIPROM) + B_{23}*(MXSCIPRO) + B_{24}*(MXLTTOT) + R_0 \\ P_1 = & B_{10} + B_{11}*(XSTRATIO) + B_{12}*(XTCSHORT) + B_{13}*(XIRATCOM) + B_{14}*(XSCMATED) \\ & + B_{15}*(XLTSTTOT) + B_{16}*(XLTSTTOT) + B_{17}*(XLTOSTOT) + B_{18}*(XANYSCIE) \\ & + B_{19}*(XSCIPROM) + R_1 \end{aligned}$$

Level-3 Model

$$\begin{aligned} B_{00} = & G_{000} + G_{001}(YESCS) + U_{00} \\ B_{01} = & G_{010} + U_{01} \\ B_{10} = & G_{100} + U_{10} \\ B_{11} = & G_{1100} \end{aligned}$$

Note: See Box A1.1 for the definition of the variables.

The model for the group of accountability practices variables is presented in Box A1.6. The variables at the system level, such as the existence of a standards-based external examination (SCENTEXA) were included in the estimate of the intercept (B00) and of two slopes, namely the slope of the student-level PISA index of economic, social and cultural status (ESCS; B10) and the slope of school-level PISA index of economic, social and cultural status (XESCS; B01). The coefficients of interest are G011 and G101.



Box A1.6 Model of the impact of socio-economic background: “accountability practices” module

Level-1 Model

$$\begin{aligned} Y = & P0 + P1*(ESCS) + P2*(MESCS) + P3*(ESCS2) + P4*(FEMALE) \\ & + P5*(NATIVE) + P6*(MNATIVE) + P7*(SAMELANG) + P8*(MSAMELAN) + E \end{aligned}$$

Level-2 Model

$$\begin{aligned} P0 = & B00 + B01*(XESCS) + B02*(MXESCS) + B03*(XRURAL) + B04*(XCITY) \\ & + B05*(MXRURAL) + B06*(XSCHSIZE) + B07*(XSCHSIZ2) + B08*(MXSCHSIZ) \\ & + B09*(XACC1) + B010*(XACC2) + B011*(XACC3) + B012*(XACC4) \\ & + B013*(XACC5) + B014*(XACC6) + B015*(XACC7) + B016*(XACC8) + B017*(MXACC) + R0 \\ P1 = & B10 + B11*(XACC1) + B12*(XACC2) + B13*(XACC3) + B14*(XACC4) \\ & + B15*(XACC5) + B16*(XACC6) + B17*(XACC7) + B18*(XACC8) + R1 \end{aligned}$$

Level-3 Model

$$\begin{aligned} B00 = & G000 + G001(YSCENTEX) + G002(YESCS) + U00 \\ B01 = & G010 + G011(YSCENTEX) + U01 \\ B10 = & G100 + G101(YSCENTEX) + U10 \end{aligned}$$

Note: See Box A1.1 for the definition of the variables.

In the second step of the modelling procedure of the socio-economic impact, the variables that were statistically significant in the first-step estimations were jointly submitted to the analysis, yielding the combined model contained in Box A1.7.

Box A1.7 Final combined model for the impact of socio-economic background

Level-1 Model

$$\begin{aligned} Y = & P0 + P1*(ESCS) + P2*(MESCS) + P3*(ESCS2) + P4*(FEMALE) \\ & + P5*(NATIVE) + P6*(MNATIVE) + P7*(SAMELANG) + P8*(MSAMELAN) + E \end{aligned}$$

Level-2 Model

$$\begin{aligned} P0 = & B00 + B01*(XESCS) + B02*(MXESCS) + B03*(XRURAL) + B04*(XCITY) \\ & + B05*(MXRURAL) + B06*(XSCHSIZE) + B07*(XSCHSIZ2) + B08*(MXSCHSIZ) \\ & + B09*(XLTSCTOT) + B010*(MXLTSCTO) + R0 \\ P1 = & B10 + B11*(XLTSCTOT) + R1 \end{aligned}$$

Level-3 Model

$$\begin{aligned} B00 = & G000 + G001(YYRSSEP) + G002(YESCS) + U00 \\ B01 = & G010 + G011(YYRSSEP) + U01 \\ B10 = & G100 + G101(YYRSSEP) + U10 \end{aligned}$$

Note: See Box A1.1 for the definition of the variables.

The results from the final combined model are given in Table 5.20g (Model 2) in the PISA 2006 initial report (OECD, 2007).



APPENDIX 2

PISA 2006 INTERNATIONAL DATABASE

What is the general structure of the PISA 2006 international database?

This document describes the international database of the OECD Programme for International Student Assessment (PISA) 2006. The database can be accessed through the PISA web page (www.pisa.oecd.org). The database comprises data collected in 2006 in 57 countries/economies and processed in the second half of 2006 and in 2007. The first results were released in December 2007 (for the full set of results see OECD, 2007).

For the detail of the PISA 2000 and PISA 2003 data, see the *Manual for the PISA 2003 Database* (OECD, 2002a) and the *PISA 2003 Data Analysis Manual* (OECD, 2005b)

The following sources can provide additional information about PISA:

- The PISA Web site (www.pisa.oecd.org) provides: *i*) descriptions about the programme, contact information, participating countries and results of PISA 2006 as well as PISA 2003 and PISA 2000; *ii*) the complete micro-level database, all questionnaires, publications and national reports of PISA 2006 as well as PISA 2003 and PISA 2000, in a downloadable format; and *iii*) an opportunity for users to generate their own tables or request specific ones.
- *PISA 2006: Science Competencies for Tomorrow's World* (OECD, 2007) include the first results from PISA 2006. It presents evidence on student performance in scientific, reading and mathematical literacy reveals factors that influence the development of these skills at home and at school, and examines what the implications are for policy development.
- *Assessing Scientific, Reading and Mathematical Literacy: A framework for PISA 2006* (OECD, 2006) describes the framework and instruments underlying the PISA 2006 assessment. It introduces the PISA approach to assessing mathematical, reading and scientific literary. Further it presents tasks from the PISA 2006 assessment together with how these tasks were scored and how they relate to the conceptual framework underlying PISA.
- The *PISA 2006 Technical Report* (OECD, 2009) presents the methodology and procedures used in PISA.

Which instruments were included in PISA 2006?

Test design

In PISA 2006, a rotated test design was used to ensure a wide coverage of content while at the same time keeping the testing burden on individual students low. The main study items were allocated to 13 item clusters (seven science clusters, two reading clusters and four mathematics clusters) with each cluster representing 30 minutes of test time. The items were presented to students in 13 test booklets, with each booklet being composed of four clusters according to the rotation design shown in Table A2.1. S1 to S7 denote the science clusters, R1 and R2 denote the reading clusters, and M1 to M4 denote the mathematics clusters. R1 and R2 were the same two reading clusters as in PISA 2003, but the mathematics clusters were not intact clusters from PISA 2003. The eight science link units from PISA 2003 were distributed across the seven science clusters, in first or second position.

Table A2.1
Cluster rotation design used to form test booklets for PISA 2006

Booklet	Cluster 1	Cluster 2	Cluster 3	Cluster 4
1	S1	S2	S4	S7
2	S2	S3	M3	R1
3	S3	S4	M4	M1
4	S4	M3	S5	M2
5	S5	S6	S7	S3
6	S6	R2	R1	S4
7	S7	R1	M2	M4
8	M1	M2	S2	S6
9	M2	S1	S3	R2
10	M3	M4	S6	S1
11	M4	S5	R2	S2
12	R1	M1	S1	S5
13	R2	S7	M1	M3



The fully-linked design is a balanced incomplete block design. Each cluster appears in each of the four possible positions within a booklet once and so each test item appeared in four of the test booklets. Another feature of the design is that each pair of clusters appears in one (and only one) booklet. Each sampled student was randomly assigned one of the thirteen booklets, which meant each student undertook two hours of testing. Students were allowed a short break after one hour. The directions to students emphasised that there were no correct answers to the attitudinal questions, and that they would not count in their test scores, but that it was important to answer them truthfully.

In addition to the 13 two-hour booklets, a special one-hour booklet, referred to as the UH booklet (Une Heure booklet), was prepared for use in schools catering exclusively to students with special needs. The UH booklet contained about half as many items as the other booklets, with about 50% of the items being science items, 25% reading and 25% mathematics. The items were selected from the main study items taking into account their suitability for students with special educational needs.

Questionnaires

Student questionnaire (see Appendix 3)

The student questionnaire was administered after the literacy assessment and it took students about 30 minutes to complete the instrument. The core questions on home background were similar to those used in PISA 2003, however, for some questions the wording was modified to improve the quality of the data collection based on experiences in previous surveys. The questionnaire covered the following aspects:

- Student characteristics: Grade, study programme, age and gender;
- Family background: Occupation of parents, education of parents, home possessions, number of books at home, country of birth for student and parents, language spoken at home;
- Students' views on science: Enjoyment of science, confidence in solving science tasks, general and personal value of science, participation in science-related activities, sources of information on science and general interest in learning science;
- Students' views on the environment: Awareness of environmental issues, source of information on the environment, perception of the impact of environmental issues, optimism about environmental issues and sense of responsibility for sustainable development;
- Students' views of science-related careers: Usefulness of schooling as preparation for the science labour market, information about science-related careers, future-oriented motivations for science and expected occupation at 30;
- Students' reports on learning time: Mode and duration of students' learning time in different subject areas and duration of students' out-of-school lessons;
- Students' views on teaching and learning of science: Science course taking in current and previous year, nature of science teaching at school (interactive, hands-on activities, student investigations and use of applications), future-oriented motivations to learn science, importance of doing well in subject areas (science, mathematics and test language subjects) and academic self-concept in science.

School questionnaire (see Appendix 5)

The school questionnaire was administered to the school principal and took about 20 minutes to be completed. It covered a variety of school-related aspects:

- Structure and organisation of the school: Enrolment, ownership, funding, grade levels, grade repetition, average test language class size, community size and tracking/ability grouping;
- Staffing and management: Number of teachers, availability of science teaching staff, responsibility for decision-making at school and influences of external bodies on school-level decisions;
- The school's resources: Number of computers at school and principals' views on quality and quantity of staffing and educational resources;



- Accountability and admission practices: Accountability to parents, parental pressure on school, use of achievement data, parental choice of local school(s) and school admittance policies;
- Teaching of science and the environmental issues: School activities to promote learning of science, environmental issues in school curriculum and school activities to promote learning of environmental issues; and
- Aspects of career guidance: Students' opportunities to participate in career information activities, student training through local businesses, influence of business on school curriculum and structure of career guidance at school.

International options

As in previous surveys, additional questionnaire material was developed, which was offered as international options to participating countries. In PISA 2006, two international options were available, the ICT familiarity questionnaire and the parent questionnaire.

Information Communication Technology (ICT) familiarity questionnaire (see Appendix 4)

The ICT familiarity questionnaire consisted of questions regarding the students' use of, familiarity with and attitudes towards information communication technology which was defined as the use of any equipment or software for processing or transmitting digital information that performs diverse general functions whose options can be specified or programmed by its user. The questionnaire was administered to students after the international student questionnaire (sometimes combined within the same booklet) and it took about five minutes to be completed. It covered the following ICT-related aspects:

- Use of ICT: Students' experience with computers at different locations and frequency of ICT use for different purposes;
- Affective responses to ICT: Confidence in carrying out ICT-related tasks.

Parent questionnaire (see Appendix 6)

The parent questionnaire covered both parental socio-economic background and aspects related to some of the research areas. It took about ten minutes to complete and one questionnaire was administered per student. The questionnaire covered the following aspects:

- Parental reports related to school and science learning: The students' past science activities, parental perceptions of value and quality of the student's schooling, parental views on science-related careers and parental general and personal value of science;
- Parental views on the environment: Parental awareness of environmental views and environmental optimism;
- Annual spending on children's education;
- Parental background: Age, occupation (both parents), education (both parents) and household income.

What is available from the PISA 2006 international database?

What is available for downloading?

The downloadable files are classified into six categories. Some of them are quite small, while others (e.g. the micro-level data files) are quite large, taking a long time to download. The six categories of file are:

Questionnaires

The following questionnaires are available for PISA 2006: student questionnaire, ICT familiarity questionnaire, school questionnaire and parent questionnaire. Appendices 3 to 6 of this document show these questionnaires, with the variable name of each item in the left-hand margin.

Codebooks

The codebooks are useful in relating the actual items from the instruments (assessment tests or questionnaires) to the data available in the data files as they identify the variable name with all possible values which are valid for that variable.



In addition to the name of the variable, they also show its label, all possible responses (code and label), type of variable (e.g. string or numeric) and the columns where the values are shown in the actual data file. Five codebooks are available: the codebook for student questionnaire and ICT questionnaire (Appendix 7), the codebooks for non-scored and scored cognitive and embedded attitude items (Appendices 8 and 9), the codebook for school questionnaire (Appendix 10) and the codebook for parent questionnaire (Appendix 11).

SAS® Control files

These files will read the raw text file, and convert it into a SAS® data file assigning label and values (valid and missing). The five SAS® control files will read and convert: the student questionnaire data file, two type of cognitive test item data files (*i.e.* non-scored and scored), the school questionnaire data file, and the parent questionnaire data file. These files have extension *.SAS.

SPSS® Control files

Similarly to the SAS® control files, these files will read the raw text file, and convert it into a SPSS® data file assigning labels and values (valid and missing). The five SPSS® control files will read and convert: the student questionnaire data file, two type of cognitive test item data files (*i.e.* non-scored and scored), the school questionnaire data file, and the parent questionnaire data file. The files have extension *.SPS.

Data files in text format

The item by item database is available in text format, which once read by the SAS® or SPSS® control files will be correctly formatted and labelled. As it is, it includes one row for each student with his or her responses to all items. These files have extension *.TXT and are in ASCII form.

Compendia

Compendia show the full item by country results for the two student questionnaires, the school questionnaire and the students' performance. The following files are available: the student questionnaire compendium, the test item compendium, the embedded attitude item compendium, the school questionnaire compendium, the ICT questionnaire compendium and the parent questionnaire compendium. There are two types of data for each item: percentages by categories and performances in science, reading and mathematics by categories. Standard errors are also reported for the percentages and for the literacy means.

Which files are included in the PISA 2006 International database?

The PISA international database consists of six data files¹: four with student responses, one with school responses and one with parent responses. All are provided in text (or ASCII format) with the corresponding SAS® and SPSS® control files.

Student files

Student performance and questionnaire data file can be found on the PISA website www.pisa.oecd.org.

For each student who participated in the assessment, the following information is available:

- Identification variables for the country, school and student;
- The student responses to the two questionnaires, *i.e.* the student questionnaire and the international option information communication technology (*ICT*) questionnaire;
- The indices derived from each student's responses to the original questions in the questionnaires;
- The students' performance scores in mathematics, reading, science, the three scales of science and embedded attitude scores in interest and support (five plausible values for each domain);
- The student weight variable and 80 Fay's replicates for the computation of the sampling variance estimates;

.....
1. Two additional data files were created and sent to countries on request. One file contains the student abilities in WLEs on the 5 domains. The other file contains plausible values for students abilities on an alternative set of science scales, the content subscales.



- Two weight factors to compute normalised (replicate) weights for multi-level analysis, one for countries and one for subnational entities;
- Three sampling related variables: the randomised final variance stratum, the final variance unit and the original explicit strata, mostly labeled by country;
- Some variables that come from the cognitive test: test language, effort variables and three science items that were internationally deleted because of students' misconceptions;
- Database version with the date of the release.

Two types of indices are provided in the student questionnaire files (see Appendix 12). The first set is based on a transformation of one variable or on a combination of the information included in two or more variables. Twenty-five indices are included in the database from this first type. The second set is the result of a Rasch scaling and consists of weighted likelihood estimate indices. Twenty-three indices from the student questionnaire and 4 indices from the international option on information communication technology questionnaire are included in the database from this second type. The index for socio-economic status (ESCS) is derived as factor scores from a Principal Component Analysis and is also included in the database.

For each domain, *i.e.* science, reading and mathematics, and for each scale in science, *i.e.* *identifying scientific issues, explaining phenomena scientifically and using scientific evidence*, a set of five plausible values (transformed to the PISA scale) are provided.

The metrics of the various scales are established so that in the year that the scale is first established the OECD student mean score is 500 and the pooled OECD standard deviation is 100. The reading scale was established in PISA 2000, the mathematics scale in PISA 2003 and the science scale in PISA 2006. When establishing the scale the data is weighted to ensure that each OECD country is given equal weight.

In the case of science, the scale that was established in PISA 2006, the average of the five plausible values means for the 30 equally weighted participating OECD countries has been set at 500 and the average of the five plausible values standard deviations has been set at 100. Note that it follows that the means and variances of each of the five plausible values are not exactly 500 and 100. The same transformation was applied to the three scales in science.

Reading plausible values were mapped to the PISA 2000 scale and mathematics plausible values were mapped to the PISA 2003 scale.

The variable *W_FSTUWT* is the final student weight. The sum of the weights constitutes an estimate of the size of the target population, *i.e.* the number of 15-year-old students in grade 7 or above in that country attending school. When analysing weighted data at the international level, large countries have a greater contribution to the results than small countries. This weighting is used for the OECD total in the tables of the international report for the first results from PISA 2006 (OECD, 2007). To weight all countries equally for a summary statistic, the OECD average is computed and reported. The OECD average is computed as follows. First, the statistic of interest is computed for each OECD country using the final student weights. Second, the mean of the country statistics is computed and reported as the OECD average.²

Two student cognitive files for cognitive and embedded attitude items can be found on the PISA website www.pisa.oecd.org.

For each student who participated in the assessment, the following information is available:

- Identification variables for the country, school and student;
- Test booklet identification;

.....
2. The definition of the OECD average has changed between PISA 2003 and PISA 2006. In previous cycles, the OECD average was based on a pooled, equally weighted database. To compute the OECD average the data was weighted by an adjusted student weight variable that made the sum of the weights equal in all countries.



- The student responses to the cognitive and attitude items. In the non-scored files, when original responses consist of multiple digits (complex multiple choice or open ended items), the multiple digits were recoded into single digit variables for use in scaling software. A "T" was added to the end of the recoded single digit variable names. The original response variables have been added at the end of the single digit, unscored file (without a T at the end of the variable name and the Q replaced by an R, see further below). The scored data file only has one single digit variable per item with scores instead of response categories.
- Test language;
- Effort self-report;
- Database version with the date of the release.

The PISA items are organised into units. Each unit consists of a stimulus (consisting of a piece of text or related texts, pictures or graphs) followed by one or more questions. A unit is identified by a short label and by a long label. The units' short labels consist of four characters and form the first part of the variable names in the data files. The first character is R, M or S for reading, mathematics or science, respectively. The next three characters indicate the unit within the domain. For example, M155 is a mathematics unit. The item names (usually seven- or eight-digits) represent questions within a unit and are used as variable names (in the current example the item names within the unit are M155Q01, M155Q02T, M155Q03T and M155Q04T). Thus items within a unit have the same initial four characters plus a question number. Responses that needed to be recoded into single digit variables have a "T" at the end of the variable name. The original multiple digit responses have been added to the end of the unscored, single digit file without a "T" in the name and with the "Q" replaced by a "R" (for example, the variable M155Q02T is a recoded item with the corresponding original responses in M155R02 at the end of the file). The full variable label indicates the domain the unit belongs to, the PISA cycle in which the item was first used, the full name of the unit and the question number. For example, the variable label for M155Q01 is "MATH - P2000 POPULATION PYRAMIDS (Q01)".

In all both files, the cognitive items are sorted by domain and alphabetically by item name within domain. This means that the mathematics items appear at the beginning of the file, followed by the reading items and then the science items. The embedded attitude items have been placed after the cognitive items, first the embedded interest items followed by the embedded support items. Within domains, units with smaller numeric identification appear before those with larger identification, and within each unit, the first question will precede the second, and so on.

School file

The school questionnaire data file can be found on the PISA website www.pisa.oecd.org.

For each school that participated in the assessment, the following information is available:

- The identification variables for the country and school;
- The school principals' responses on the school questionnaire;
- The school indices derived from the original questions in the school questionnaire;
- The school weight;
- Explicit strata with national labels; and
- Database version with the date of the release.

The school file contains the original variables collected through the school context questionnaire. In addition, two types of indices are provided in the school questionnaire files. The first set is based on a transformation of one variable or on a combination of two or more variables. The database includes 14 indices from this first type. The second set is the result of a Rasch scaling and consists of weighted likelihood estimate indices. Four indices are included in the database from this second type. For a full description of the indices and how to interpret them see Appendix 12. The school weight (*W_FSCHWT*) is the trimmed school-base weight adjusted for non-response.

Although the student samples were drawn from within a sample of schools, the school sample was designed to optimise the resulting sample of students, rather than to give an optimal sample of schools. For this reason, it is always preferable



to analyse the school-level variables as attributes of students, rather than as elements in their own right (Gonzalez and Kennedy, 2003). Following this recommendation one would not estimate the percentages of private schools versus public schools, for example, but rather the percentages of students attending a private school or public schools. From a practical point of view, this means that the school data should be merged with the student data file prior to analysis.

Parent file

The parent questionnaire file can be found on the PISA website: www.pisa.oecd.org. The following information is available:

- The identification variables for the country, school and student;
- The parents' responses on the parent questionnaire;
- The parent indices derived from the original questions in the parent questionnaire; and
- Database version with the date of the release.

The parent file contains the original variables collected through the parent context questionnaire as a national option instrument. In addition, two types of indices are provided in the parent questionnaire file. The first set is based on a transformation of one variable or on a combination of two or more variables. The database includes six indices from this first type. The second set is the result of a Rasch scaling and consists of weighted likelihood estimate indices. Eleven indices are included in the database from this second type. For a detailed description of the indices and how to interpret them see Appendix 12.

Due to the high parent non-response in most countries, caution is needed when analysing this data. Non-response is not random. When using the final student weights from the student file, the weights of valid students in the analysis do not sum up to the population size of parents of PISA eligible students. A weight adjustment is not provided in the database.

Records in the database

Records included in the database

Student and parent files

- All PISA students who attended test (assessment) sessions.
- PISA students who only attended the questionnaire session are included if they provided at least one response to the student questionnaire and the father's or the mother's occupation is known from the student or the parent questionnaire.

School file

- All participating schools – that is, any school where at least 25% of the sampled eligible, non-excluded students were assessed – have a record in the school-level international database, regardless of whether the school returned the school questionnaire.

Records excluded from the database

Student and parent file

- Additional data collected by countries as part of national or international options.
- Sampled students who were reported as not eligible, students who were no longer at school, students who were excluded for physical, mental or linguistic reasons, and students who were absent on the testing day.
- Students who refused to participate in the assessment sessions.
- Students from schools where less than 25% of the sampled and eligible, non-excluded students participated.

School file

- Additional data collected by countries as part of national or international options.
- Schools where fewer than 25% of the sampled eligible, non-excluded students participated in the testing sessions.



Representing missing data

The coding of the data distinguishes between four different types of missing data:

- Item level non-response: 9 for a one-digit variable, 99 for a two-digit variable, 999 for a three-digit variable, and so on. Missing codes are shown in the codebooks. This missing code is used if the student or school principal was expected to answer a question, but no response was actually provided.
- Multiple or invalid responses: 8 for a one-digit variable, 98 for a two-digit variable, 998 for a three-digit variable, and so on. For the multiple-choice items code 8 is used when the student selected more than one alternative answer.
- Not-administered: 7 for a one-digit variable, 97 for a two-digit variables, 997 for a three-digit variable, and so on. Generally this code is used for cognitive and questionnaire items that were not administered to the students and for items that were deleted after assessment because of misprints or translation errors.
- Not reached items: all consecutive missing values clustered at the end of test session were replaced by the non-reached code, "r", except for the first value of the missing series, which is coded as item level non-response.

How are students and schools identified?

The student identification from the student and parent files consists of three variables, which together form a unique identifier for each student:

- A country identification variable labelled *COUNTRY*. The country codes used in PISA are the ISO numerical three-digit country codes.
- A school identification variable labelled *SCHOOLID*.
- A student identification variable labelled *STIDSTD*.

A fourth variable has been included to differentiate adjudicated sub-national entities within countries. This variable (*SUBNATIO*) is used for four countries as follows:

- *Belgium*. The value "05601" is assigned to the Flemish region, "05602" to the French region and "05603" to the German region of Belgium;
- *Italy*. The value "38001" is assigned to Provincia Autonoma of Bolzano, "38002" to Provincia Basilicata, "38003" to Provincia Campania, "38004" to Provincia Emilia Romagna, "38005" to Provincia Friuli Venezia Giulia, "38006" to Provincia Liguria, "38007" to Provincia Lombardia, "38008" to Provincia Piemonte, "38009" to Provincia Puglia, "38010" to Provincia Sardegna, "38011" to Provincia Sicilia, "38012" to Provincia Trento, "38013" to Provincia Veneto, "38014" to the rest of Italy;
- *Spain*. The value "72401" is assigned to Andalusia, "72402" to Aragon, "72403" to Asturias, "72406" to Cantabria, "72407" to Castile and Leon, "72409" to Catalonia, "72411" to Galicia, "72412" to La Rioja, "72415" to Navarre, "72416" to Basque Country; and
- *United Kingdom*. The value "82610" is assigned to England, Northern Ireland and Wales and the value "82620" is assigned to Scotland.

A fifth variable is added to make the identification of countries more convenient. The variable *CNT* uses the ISO 3166-1 ALPHA-3 classification, which is based on alphabetical characters rather than numeric characters (for example, for Sweden has *COUNTRY*=752 and *CNT*=SWE).

A sixth variable (*STRATUM*) is also included to differentiate sampling strata. Value labels are provided in the control files to indicate the population defined by each stratum.³

The school identification consists of two variables, which together form a unique identifier for each school:

- The country identification variable labelled *COUNTRY*. The country codes used in PISA are the ISO numerical three-digit country codes.
- The school identification variable labelled *SCHOOLID*.

3. Note that not all participants permit the identification of all sampling strata in the database.



Additional technical information and glossary codebook

A codebook is a document that identifies the variables and all possible values associated with them. In addition to the name of the variable, it also shows the variable label, all possible responses (*i.e.* in the case of multiple-choice items it shows the values for all alternatives and the full label of each alternative), type of variable (e.g. string or numeric) and the columns where the values are shown in the actual data file.

Compendia

Compendia include a set of tables showing statistics for every item included in the questionnaires, and the relationship with performance. The tables show the percentage of students per category of response and the performance for the group of students in each category of response.

Double-digit coding

Students' responses could give valuable information about their ideas and thinking, besides being correct or incorrect. The marking guides for mathematics and science included a system of two-digit coding for marking so that the frequency of various types of correct and incorrect responses could be recoded. The first digit is the actual score. The second digit is used to categorise the different kinds of responses on the basis of the strategies used by the student to answer the item. There are two main advantages of using double-digit codes. Firstly, more information can be collected about students' misconceptions, common errors, and different approaches to solving problems. Secondly, double-digit coding allows a more structured way of presenting the codes, clearly indicating the hierarchical levels of groups of codes. The assessment data files including the second digit were available to national centres.

SAS®

SAS® is a statistical package. For further information, see <http://www.sas.com>.

SPSS®

SPSS® is a statistical package. For further information, see <http://www.spss.com>.

WesVar®

WesVar® is a statistical package that computes estimates and their variance estimates from survey data using replication methods. The information generated can then be used to estimate sampling errors for different types of survey statistics. It can be used in conjunction with a wide range of complex sample designs, including multistage, stratified, and unequal probability samples. For further information, see <http://www.westat.com/wesvar>.



APPENDIX 3

PISA 2006 STUDENT QUESTIONNAIRE

Section 1 About you

ST01Q01	Q1 – What <grade> are you in?	
	<input type="text"/> <grade>	
ST02Q01	Q2 – Which one of the following <programmes> are you in?	
	<Programme 1> <input type="checkbox"/> ₁	
	<Programme 2> <input type="checkbox"/> ₂	
	<Programme 3> <input type="checkbox"/> ₃	
	<Programme 4> <input type="checkbox"/> ₄	
	<Programme 5> <input type="checkbox"/> ₅	
	<Programme 6> <input type="checkbox"/> ₆	
ST03Q02	Q3 – On what date were you born? (Please write the day, month and year you were born)	
ST03Q03	19 Day Month Year	
ST04Q01	Q4 – Are you female or male?	
	Female <input type="checkbox"/> ₁	
	Male <input type="checkbox"/> ₂	

Section 2 Your family and your home

In this section you will be asked some questions about your family and your home.

Some of the following questions are about your mother and father or those persons who are like a mother or father to you – for example, guardians, step-parents, foster parents, etc.

If you share your time with more than one set of parents or guardians, please answer the following questions for those parents/guardians you spend the most time with.

ST05Q01	Q5a – What is your mother's main job? (e.g. school teacher, kitchen-hand, sales manager)	
	(If she is not working now, please tell us her last main job)	
	Please write in the job title: _____	
	Q5b – What does your mother do in her main job? (e.g. teaches high school students, helps the cook prepare meals in a restaurant, manages a sales team)	
	Please use a sentence to describe the kind of work she does or did in that job: _____	
ST06Q01	Q6 – What is the <highest level of schooling> completed by your mother?	
	If you are not sure which box to choose, please ask the <test administrator> for help. (Please tick only one box)	
	<ISCED level 3A> <input type="checkbox"/> ₁	
	<ISCED level 3B, 3C> <input type="checkbox"/> ₂	
	<ISCED level 2> <input type="checkbox"/> ₃	
	<ISCED level 1> <input type="checkbox"/> ₄	
	She did not complete <ISCED level 1> <input type="checkbox"/> ₅	

**APPENDIX 3: PISA 2006 STUDENT QUESTIONNAIRE****Q7 – Does your mother have any of the following qualifications?**

If you are not sure how to answer this question, please ask the <test administrator> for help.
(Please tick one box in each row)

Yes No

ST07Q01	a) <ISCED level 5A, 6>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂
ST07Q02	b) <ISCED level 5B>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂
ST07Q03	c) <ISCED level 4>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂

ST08Q01**Q8a – What is your father's main job?**

(e.g. school teacher, kitchen-hand, sales manager)

(If he is not working now, please tell us his last main job)

Please write in the job title:

Q8b – What does your father do in her main job?

(e.g. teaches high school students, helps the cook prepare meals in a restaurant, manages a sales team)

Please use a sentence to describe the kind of work he does or did in that job:

ST09Q01**Q9 – What is the <highest level of schooling> completed by your father?**

If you are not sure how to answer this question, please ask the <test administrator> for help.
(Please tick only one box)

- <ISCED level 3A> ₁
<ISCED level 3B, 3C> ₂
<ISCED level 2> ₃
<ISCED level 1> ₄
He did not complete <ISCED level 1> ₅

Q10 – Does your father have any of the following qualifications?

If you are not sure which box to choose, please ask the <test administrator> for help.

(Please tick one box in each row)

Yes No

ST10Q01	a) <ISCED level 5A, 6>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂
ST10Q02	b) <ISCED level 5B>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂
ST10Q03	c) <ISCED level 4>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂

**ST11Q01 to
ST11Q03****Q11a – In what country were you and your parents born?**

(Please tick one answer in each column)

	You (ST11Q01)	Mother (ST11Q02)	Father (ST11Q03)
<Country A>	<input type="checkbox"/> ₀₁	<input type="checkbox"/> ₀₁	<input type="checkbox"/> ₀₁
<Country B>	<input type="checkbox"/> ₀₂	<input type="checkbox"/> ₀₂	<input type="checkbox"/> ₀₂
<Country C>	<input type="checkbox"/> ₀₃	<input type="checkbox"/> ₀₃	<input type="checkbox"/> ₀₃
<Country D>	<input type="checkbox"/> ₀₄	<input type="checkbox"/> ₀₄	<input type="checkbox"/> ₀₄
<...etc.>	<input type="checkbox"/> _{xxx}	<input type="checkbox"/> _{xxx}	<input type="checkbox"/> _{xxx}
Other country	<input type="checkbox"/> _{xxx}	<input type="checkbox"/> _{xxx}	<input type="checkbox"/> _{xxx}

ST11Q04**Q11b – If you were NOT born in <country of test>, how old were you when you arrived in <country of test>?**

If you were less than 12 months old, please write zero (0)

years

ST12Q01**Q12 – What language do you speak at home most of the time?**

(Please tick only one box)

<Language 1>	<input type="checkbox"/> _{xxxx}
<Language 2>	<input type="checkbox"/> _{xxxx}
<Language 3>	<input type="checkbox"/> _{xxxx}
< ...etc. >	<input type="checkbox"/> _{xxxx}
Other language	<input type="checkbox"/> _{xxxx}



Q13 – Which of the following are in your home?
(Please tick one box in each row)

		Yes	No
ST13Q01	a) A desk to study at	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q02	b) A room of your own	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q03	c) A quiet place to study	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q04	d) A computer you can use for school work	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q05	e) Educational software	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q06	f) A link to the Internet	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q07	g) Your own calculator	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q08	h) Classic literature (e.g. <Shakespeare>)	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q09	i) Books of poetry	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q10	j) Works of art (e.g. paintings)	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q11	k) Books to help with your school work	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q12	l) A dictionary	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q13	m) A dishwasher	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q14	n) A <DVD or VCR> player	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q15	o) <Country-specific wealth item 1>	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q16	p) <Country-specific wealth item 2>	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST13Q17	q) <Country-specific wealth item 3>	<input type="checkbox"/> 1	<input type="checkbox"/> 2

Q14 How many of these are there at your home?
(Please tick only one box in each row)

		None	One	Two	Three or more
ST14Q01	a) Cellular phones	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST14Q02	b) Televisions	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST14Q03	c) Computers	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST14Q04	d) Cars	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST14Q05	e) Rooms with a bath or shower	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

ST15Q01 Q15 – How many books are there in your home?

There are usually about 40 books per metre of shelving. Do not include magazines, newspapers, or your schoolbooks.
(Please tick only one box)

0-10 books	<input type="checkbox"/> 1
11-25 books	<input type="checkbox"/> 2
26-100 books	<input type="checkbox"/> 3
101-200 books	<input type="checkbox"/> 4
201-500 books	<input type="checkbox"/> 5
More than 500 books	<input type="checkbox"/> 6

Section 3 Your views on <Broad Science>

This section asks about your views on various issues relating to <broad science>. <Broad science> refers to any topics that you might encounter in school, or outside of school (for example on television) that relate to space science, biology, chemistry, Earth science or physics.

Q16 – How much do you agree with the statements below?
(Please tick only one box in each row)

		Strongly agree	Agree	Disagree	Strongly disagree
ST16Q01	a) I generally have fun when I am learning <broad science> topics	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST16Q02	b) I like reading about <broad science>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST16Q03	c) I am happy doing <broad science> problems	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST16Q04	d) I enjoy acquiring new knowledge in <broad science>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST16Q05	e) I am interested in learning about <broad science>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

**APPENDIX 3: PISA 2006 STUDENT QUESTIONNAIRE****Q17 – How easy do you think it would be for you to perform the following tasks on your own?**
(Please tick only one box in each row)

		I could do this easily	I could do this with a bit of effort	I would struggle to do this on my own	I couldn't do this
ST17Q01	a) Recognise the science question that underlies a newspaper report on a health issue	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST17Q02	b) Explain why earthquakes occur more frequently in some areas than in others	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST17Q03	c) Describe the role of antibiotics in the treatment of disease	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST17Q04	d) Identify the science question associated with the disposal of garbage	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST17Q05	e) Predict how changes to an environment will affect the survival of certain species	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST17Q06	f) Interpret the scientific information provided on the labelling of food items	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST17Q07	g) Discuss how new evidence can lead you to change your understanding about the possibility of life on Mars	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST17Q08	h) Identify the better of two explanations for the formation of acid rain	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

Q18 – How much do you agree with the statements below?
(Please tick only one box in each row)

		Strongly agree	Agree	Disagree	Strongly disagree
ST18Q01	a) Advances in <broad science and technology> usually improve people's living conditions	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST18Q02	b) <Broad science> is important for helping us to understand the natural world	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST18Q03	c) Some concepts in <broad science> help me see how I relate to other people	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST18Q04	d) Advances in <broad science and technology> usually help improve the economy	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST18Q05	e) I will use <broad science> in many ways when I am an adult	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST18Q06	f) <Broad science> is valuable to society	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST18Q07	g) <Broad science> is very relevant to me	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST18Q08	h) I find that <broad science> helps me to understand the things around me	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST18Q09	i) Advances in <broad science and technology> usually bring social benefits	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST18Q10	j) When I leave school there will be many opportunities for me to use <broad science>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

Q19 – How often do you do these things?
(Please tick only one box in each row)

		Very often	Regularly	Sometimes	Never or hardly ever
ST19Q01	a) Watch TV programmes about <broad science>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST19Q02	b) Borrow or buy books on <broad science> topics	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST19Q03	c) Visit web sites about <broad science> topics	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST19Q04	d) Listen to radio programmes about advances in <broad science>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST19Q05	e) Read <broad science> magazines or science articles in newspapers	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST19Q06	f) Attend a <science club>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

Q20 – Here is a list of <broad science> topics. From which source(s) did you mainly learn about each of these topics?
(Please tick as many boxes as apply in each row)

		None of these, I am not sure what this is	My school	The TV, radio, newspaper or magazines	My friends	My family	The Internet or books
ST20QA1 to ST20QA6	a) Photosynthesis	<input type="checkbox"/> 1 (ST20QA1)	<input type="checkbox"/> 1 (ST20QA2)	<input type="checkbox"/> 1 (ST20QA3)	<input type="checkbox"/> 1 (ST20QA4)	<input type="checkbox"/> 1 (ST20QA5)	<input type="checkbox"/> 1 (ST20QA6)
ST20QB1 to ST20QB6	b) Formation of the continents	<input type="checkbox"/> 1 (ST20QB1)	<input type="checkbox"/> 1 (ST20QB2)	<input type="checkbox"/> 1 (ST20QB3)	<input type="checkbox"/> 1 (ST20QB4)	<input type="checkbox"/> 1 (ST20QB5)	<input type="checkbox"/> 1 (ST20QB6)
ST20QC1 to ST20QC6	c) Genes and chromosomes	<input type="checkbox"/> 1 (ST20QC1)	<input type="checkbox"/> 1 (ST20QC2)	<input type="checkbox"/> 1 (ST20QC3)	<input type="checkbox"/> 1 (ST20QC4)	<input type="checkbox"/> 1 (ST20QC5)	<input type="checkbox"/> 1 (ST20QC6)
ST20QD1 to ST20QD6	d) Soundproofing	<input type="checkbox"/> 1 (ST20QD1)	<input type="checkbox"/> 1 (ST20QD2)	<input type="checkbox"/> 1 (ST20QD3)	<input type="checkbox"/> 1 (ST20QD4)	<input type="checkbox"/> 1 (ST20QD5)	<input type="checkbox"/> 1 (ST20QD6)
ST20QE1 to ST20QE6	e) Climate change	<input type="checkbox"/> 1 (ST20QE1)	<input type="checkbox"/> 1 (ST20QE2)	<input type="checkbox"/> 1 (ST20QE3)	<input type="checkbox"/> 1 (ST20QE4)	<input type="checkbox"/> 1 (ST20QE5)	<input type="checkbox"/> 1 (ST20QE6)
ST20QF1 to ST20QF6	f) Evolution	<input type="checkbox"/> 1 (ST20QF1)	<input type="checkbox"/> 1 (ST20QF2)	<input type="checkbox"/> 1 (ST20QF3)	<input type="checkbox"/> 1 (ST20QF4)	<input type="checkbox"/> 1 (ST20QF5)	<input type="checkbox"/> 1 (ST20QF6)
ST20QG1 to ST20QG6	g) Nuclear energy	<input type="checkbox"/> 1 (ST20QG1)	<input type="checkbox"/> 1 (ST20QG2)	<input type="checkbox"/> 1 (ST20QG3)	<input type="checkbox"/> 1 (ST20QG4)	<input type="checkbox"/> 1 (ST20QG5)	<input type="checkbox"/> 1 (ST20QG6)
ST20QH1 to ST20QH6	h) Health and nutrition	<input type="checkbox"/> 1 (ST20QH1)	<input type="checkbox"/> 1 (ST20QH2)	<input type="checkbox"/> 1 (ST20QH3)	<input type="checkbox"/> 1 (ST20QH4)	<input type="checkbox"/> 1 (ST20QH5)	<input type="checkbox"/> 1 (ST20QH6)



Q21 – How much interest do you have in learning about the following <broad science> topics?
(Please tick only one box in each row)

	High interest	Medium interest	Low interest	No interest	
ST21Q01	a) Topics in physics	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST21Q02	b) Topics in chemistry	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST21Q03	c) The biology of plants	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST21Q04	d) Human biology	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST21Q05	e) Topics in astronomy	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST21Q06	f) Topics in geology	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST21Q07	g) Ways scientists design experiments	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST21Q08	h) What is required for scientific explanations	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

Section 4 The Environment

Q22 – How informed are you about the following environmental issues?
(Please tick only one box in each row)

	I have never heard of this	I have heard about this but I would not be able to explain what it is really about	I know something about this and could explain the general issue	I am familiar with this and I would be able to explain this well	
ST22Q01	a) The increase of greenhouse gases in the atmosphere	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST22Q02	b) Use of genetically modified organisms (<GMO>)	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST22Q03	c) Acid rain	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST22Q04	d) Nuclear waste	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST22Q05	e) The consequences of clearing forests for other land use	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

Q23 – From which source(s) did you mainly learn about each of these environmental issues?
(Please tick as many boxes as apply in each row)

	None of these, I am not sure what this is	My school	The TV, radio, newspaper or magazines	My friends	My family	The Internet or books	
ST23QA1 to ST23QA6	a) Air pollution	<input type="checkbox"/> 1 (ST23QA1)	<input type="checkbox"/> 1 (ST23QA2)	<input type="checkbox"/> 1 (ST23QA3)	<input type="checkbox"/> 1 (ST23QA4)	<input type="checkbox"/> 1 (ST23QA5)	<input type="checkbox"/> 1 (ST23QA6)
ST23QB1 to ST23QB6	b) Energy shortages	<input type="checkbox"/> 1 (ST23QB1)	<input type="checkbox"/> 1 (ST23QB2)	<input type="checkbox"/> 1 (ST23QB3)	<input type="checkbox"/> 1 (ST23QB4)	<input type="checkbox"/> 1 (ST23QB5)	<input type="checkbox"/> 1 (ST23QB6)
ST23QC1 to ST23QC6	c) Extinction of plants and animals	<input type="checkbox"/> 1 (ST23QC1)	<input type="checkbox"/> 1 (ST23QC2)	<input type="checkbox"/> 1 (ST23QC3)	<input type="checkbox"/> 1 (ST23QC4)	<input type="checkbox"/> 1 (ST23QC5)	<input type="checkbox"/> 1 (ST23QC6)
ST23QD1 to ST23QD6	d) Clearing of forests for other land use	<input type="checkbox"/> 1 (ST23QD1)	<input type="checkbox"/> 1 (ST23QD2)	<input type="checkbox"/> 1 (ST23QD3)	<input type="checkbox"/> 1 (ST23QD4)	<input type="checkbox"/> 1 (ST23QD5)	<input type="checkbox"/> 1 (ST23QD6)
ST23QE1 to ST23QE6	e) Water shortages	<input type="checkbox"/> 1 (ST23QE1)	<input type="checkbox"/> 1 (ST23QE2)	<input type="checkbox"/> 1 (ST23QE3)	<input type="checkbox"/> 1 (ST23QE4)	<input type="checkbox"/> 1 (ST23QE5)	<input type="checkbox"/> 1 (ST23QE6)
ST23QF1 to ST23QF6	f) Nuclear waste	<input type="checkbox"/> 1 (ST23QF1)	<input type="checkbox"/> 1 (ST23QF2)	<input type="checkbox"/> 1 (ST23QF3)	<input type="checkbox"/> 1 (ST23QF4)	<input type="checkbox"/> 1 (ST23QF5)	<input type="checkbox"/> 1 (ST23QF6)

Q24 – Do you see the environmental issues below as a serious concern for yourself and/or others?
(Please tick only one box in each row)

	This is a serious concern for me personally as well as others	This is a serious concern for other people in my country but not me personally	This is a serious concern only for people in other countries	This is not a serious concern to anyone	
ST24Q01	a) Air pollution	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST24Q02	b) Energy shortages	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST24Q03	c) Extinction of plants and animals	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST24Q04	d) Clearing of forests for other land use	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST24Q05	e) Water shortages	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST24Q06	f) Nuclear waste	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

**Q25 – Do you think problems associated with the environmental issues below will improve or get worse over the next 20 years?**
(Please tick only one box in each row)

		Improve	Stay about the same	Get worse
ST25Q01	a) Air pollution	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3
ST25Q02	b) Energy shortages	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3
ST25Q03	c) Extinction of plants and animals	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3
ST25Q04	d) Clearing of forests for other land use	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3
ST25Q05	e) Water shortages	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3
ST25Q06	f) Nuclear waste	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3

Q26 – How much do you agree with the statements below?
(Please tick only one box in each row)

		Strongly agree	Agree	Disagree	Strongly disagree
ST26Q01	a) It is important to carry out regular checks on the emissions from cars as a condition of their use	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST26Q02	b) It disturbs me when energy is wasted through the unnecessary use of electrical appliances	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST26Q03	c) I am in favour of having laws that regulate factory emissions even if this would increase the price of products	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST26Q04	d) To reduce waste, the use of plastic packaging should be kept to a minimum	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST26Q05	e) Industries should be required to prove that they safely dispose of dangerous waste materials	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST26Q06	f) I am in favour of having laws that protect the habitats of endangered species	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST26Q07	g) Electricity should be produced from renewable sources as much as possible, even if this increases the cost	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

Section 5 Careers and <Broad Science>

In this section we ask you questions about <science-related careers>. When thinking about what a <science-related career> might be, think of the many jobs that involve science – not just the traditional ‘scientist’. Careers like engineer (involving physics), weather forecaster (involving Earth science), optician (involving biology and physics), and medical doctors (involving the medical sciences) are all examples of <science-related careers>.

Q27 – How much do you agree with the statements below?
(Please tick only one box in each row)

		Strongly agree	Agree	Disagree	Strongly disagree
ST27Q01	a) The subjects available at my school provide students with the basic skills and knowledge for a <science-related career>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST27Q02	b) The <school science> subjects at my school provide students with the basic skills and knowledge for many different careers.	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST27Q03	c) The subjects I study provide me with the basic skills and knowledge for a <science-related career>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST27Q04	d) My teachers equip me with the basic skills and knowledge I need for a <science-related career>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

Q28 – How informed are you about these topics?
(Please tick only one box in each row)

		Very well informed	Fairly informed	Not well informed	Not informed at all
ST28Q01	a) <Science-related careers> that are available in the job market	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST28Q02	b) Where to find information about <science-related careers>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST28Q03	c) The steps students need to take if they want a <science-related career>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST28Q04	d) Employers or companies that hire people to work in <science-related careers>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4



Q29 – How much do you agree with the statements below?
(Please tick only one box in each row)

		Strongly agree	Agree	Disagree	Strongly disagree
ST29Q01	a) I would like to work in a career involving <broad science>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST29Q02	b) I would like to study <broad science> after <secondary school>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST29Q03	c) I would like to spend my life doing advanced <broad science>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST29Q04	d) I would like to work on <broad science> projects as an adult	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

ST30Q01 Q30 – What kind of job do you expect to have when you are about 30 years old?

Write the job title: _____

Section 6 Learning time

Q31 – How much time do you typically spend per week studying the following subjects?

For each subject, please indicate separately:

- the time spent attending regular lessons at your school;
- the time spent attending out-of-school-time lessons (at school, at home or somewhere else);
- the time spent studying or doing homework by yourself.

<An hour here refers to 60 minutes, not to a class period>

(Please tick only one box in each row)

	School science	No time	Less than 2 hours a week	2 or more but less than 4 hours a week	4 or more but less than 6 hours a week	6 or more hours a week
ST31Q01	a) Regular lessons in <school science> at my school	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
ST31Q02	b) Out-of school-time lessons in <school science>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
ST31Q03	c) Study or homework in <school science> by myself	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
Mathematics						
ST31Q04	d) Regular lessons in mathematics at my school	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
ST31Q05	e) Out-of school-time lessons in mathematics	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
ST31Q06	f) Study or homework in mathematics by myself	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
Test Language						
ST31Q07	g) Regular lessons in <test language> at my school	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
ST31Q08	h) Out-of school-time lessons in <test Language>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
ST31Q09	i) Study or homework in <test Language> by myself	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
Other subjects No time						
ST31Q10	j) Regular lessons in other subjects at my school	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
ST31Q11	k) Out-of school-time lessons in other subjects	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5
ST31Q12	l) Study or homework in other subjects by myself	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5

Q32 – What type of out-of-school-time lessons do you attend currently (if any)?

These are lessons in subjects that you are learning at school, that you spend extra time learning outside of normal school hours. The lessons might be held at your school, at your home or somewhere else. These are only lessons in subjects that you also learn at school.

(Please tick one box in each row)

		Yes	No
ST32Q01	a) <One to one> lessons with a <teacher> who is also a teacher at your school	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST32Q02	b) <One to one> lessons with a <teacher> who is not a teacher at your school	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST32Q03	c) Lessons in small groups (less than 8 students) with a <teacher> who is also a teacher at your school	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST32Q04	d) Lessons in small groups (less than 8 students) with a <teacher> who is not a teacher at your school	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST32Q05	e) Lessons in larger groups (8 students or more) with a <teacher> who is also a teacher at your school	<input type="checkbox"/> 1	<input type="checkbox"/> 2
ST32Q06	f) Lessons in larger groups (8 students or more) with a <teacher> who is not a teacher at your school	<input type="checkbox"/> 1	<input type="checkbox"/> 2



Section 7 Teaching and learning science

Q33 – Did you or do you take any of the courses listed below?

<Instructions for students who do not study science>
(Please tick as many boxes as apply in each row)

		Last year		This year	
		Yes	No	Yes	No
ST33Q11 – ST33Q12	a) A compulsory <general science course>	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1
				(ST33Q11)	
ST33Q21 – ST33Q22	b) An optional <general science course>	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1
				(ST33Q21)	
ST33Q31 – ST33Q32	c) A compulsory biology course	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1
				(ST33Q31)	
ST33Q41 – ST33Q42	d) An optional biology course	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1
				(ST33Q41)	
ST33Q51 – ST33Q52	e) A compulsory physics course	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1
				(ST33Q51)	
ST33Q61 – ST33Q62	f) An optional physics course	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1
				(ST33Q61)	
ST33Q71 – ST33Q72	g) A compulsory chemistry course	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1
				(ST33Q71)	
ST33Q81 – ST33Q82	h) An optional chemistry course	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 1
				(ST33Q81)	
				(ST33Q82)	

Q34 – When learning <school science> topics at school, how often do the following activities occur?

(Please tick only one box in each row)

		In all lessons	In most lessons	In some lessons	Never or hardly ever
ST34Q01	a) Students are given opportunities to explain their ideas	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q02	b) Students spend time in the laboratory doing practical experiments	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q03	c) Students are required to design how a <school science> question could be investigated in the laboratory	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q04	d) The students are asked to apply a <school science> concept to everyday problems	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q05	e) The lessons involve students' opinions about the topics	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q06	f) Students are asked to draw conclusions from an experiment they have conducted	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q07	g) The teacher explains how a <school science> idea can be applied to a number of different phenomena (e.g. the movement of objects, substances with similar properties)	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q08	h) Students are allowed to design their own experiments	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q09	i) There is a class debate or discussion	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q10	j) Experiments are done by the teacher as demonstrations	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q11	k) Students are given the chance to choose their own investigations	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q12	l) The teacher uses <school science> to help students understand the world outside school	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q13	m) Students have discussions about the topics	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q14	n) Students do experiments by following the instructions of the teacher	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q15	o) The teacher clearly explains the relevance of <broad science> concepts to our lives	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q16	p) Students are asked to do an investigation to test out their own ideas	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST34Q17	q) The teacher uses examples of technological application to show how <school science> is relevant to society	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4



Q35 – How much do you agree with the statements below?
(Please tick only one box in each row)

		Strongly agree	Agree	Disagree	Strongly disagree
ST35Q01	a) Making an effort in my <school science> subject(s) is worth it because this will help me in the work I want to do later on	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST35Q02	b) What I learn in my <school science> subject(s) is important for me because I need this for what I want to study later on	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST35Q03	c) I study <school science> because I know it is useful for me	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST35Q04	d) Studying my <school science> subject(s) is worthwhile for me because what I learn will improve my career prospects	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST35Q05	e) I will learn many things in my <school science> subject(s) that will help me get a job	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

Q36 – In general, how important do you think it is for you to do well in the subjects below?
(Please tick only one box in each row)

		Very important	Important	Of little importance	Not important at all
ST28Q01	a) <School science> subjects	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST28Q02	b) Mathematics subjects	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST28Q03	c) <test language> subjects	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

Q37 – How much do you agree with the statements below?

The following question asks about your experience in learning <school science> topics.
(Please tick only one box in each row)

		Strongly agree	Agree	Disagree	Strongly disagree
ST37Q01	a) Learning advanced <school science> topics would be easy for me	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST37Q02	b) I can usually give good answers to <test questions> on <school science> topics	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST37Q03	c) I learn <school science> topics quickly	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST37Q04	d) <School science> topics are easy for me	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST35Q05	e) When I am being taught <school science>, I can understand the concepts very well	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
ST35Q06	f) I can easily understand new ideas in <school science>	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

**APPENDIX 4****PISA 2006 INFORMATION COMMUNICATION TECHNOLOGY (ICT) QUESTIONNAIRE****Your use of computers**

The following questions ask about computers: this does not include calculators or games consoles like a <Sony PlayStation™>.

IC01Q01	Q1 – Have you ever used a computer? If you answered Yes to the above question, please continue. If you answered No, please stop here. <Instructions> (Please tick one box)
	Yes No
	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂

IC02Q01	Q2 – How long have you been using computers? (Please tick only one box)
	Less than one year <input type="checkbox"/> ₁
	One year or more but less than three years <input type="checkbox"/> ₂
	Three years or more but less than five years <input type="checkbox"/> ₃
	Five years or more <input type="checkbox"/> ₄

Q3 – How often do you use a computer at these places? (Please tick only one box in each row)					
	Almost every day	Once or twice a week	A few times a month	Once a month or less	Never
IC03Q01	a) At home <input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
IC03Q02	b) At school <input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
IC03Q03	c) At other places <input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

Q4 – How often do you use computers for the following reasons? (Please tick only one box in each row)					
	Almost every day	A few times each week	Between once a week and once a month	Less than once a month	Never
IC04Q01	a) Browse the Internet for information about people, things, or ideas <input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
IC04Q02	b) Play games <input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
IC04Q03	c) Write documents (e.g. with <Word® or WordPerfect®>) <input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
IC04Q04	d) Use the Internet to collaborate with a group or team <input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
IC04Q05	e) Use spreadsheets (e.g. <Lotus 1 2 3® or Microsoft Excel®>) <input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
IC04Q06	f) Download software from the Internet (including games) <input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
IC04Q07	g) Drawing, painting or using graphics programs <input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
IC04Q08	h) Use educational software such as Mathematics programs <input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
IC04Q09	i) Download music from the Internet <input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
IC04Q10	j) Writing computer programs <input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
IC04Q11	k) For communication (e.g. Email or “chat rooms”) <input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅



Q5 – How well can you do each of these tasks on a computer?

(Please tick only one box in each row)

		I can do this very well by myself	I can do this with help from someone	I know what this means but I cannot do it	I don't know what this means
IC05Q01	a) Chat online	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q02	b) Use software to find and get rid of computer viruses	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q03	c) Edit digital photographs or other graphic images	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q04	d) Create a database (e.g. using <Microsoft Access®>)	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q05	e) Copy data to a CD (e.g. make a music CD)	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q06	f) Move files from one place to another on a computer	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q07	g) Search the internet for information	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q08	h) Download files or programs from the Internet.	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q09	i) Attach a file to an E-mail message	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q10	j) Use a word processor (e.g. to write an essay for school)	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q11	k) Use a spreadsheet to plot a graph	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q12	l) Create a presentation (e.g. using <Microsoft PowerPoint®>)	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q13	m) Download music from the Internet	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q14	n) Create a multi-media presentation (with sound, pictures, video)	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q15	o) Write and send E-mails	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
IC05Q16	p) Construct a web page	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4



APPENDIX 5

PISA 2006 SCHOOL QUESTIONNAIRE

Section A The structure and organisation of the school

Q1 – As at <February 1, 2006>, what was the total school enrolment (number of students)?
(Please write a number in each line. Write 0 (zero) if there are none)

SC01Q01	a) Number of boys:	_____
SC01Q02	b) Number of girls:	_____

SC01Q01	Q2 – Is your school a public or a private school? (Please tick only one box)	
	A public school (This is a school managed directly or indirectly by a public education authority, government agency, or governing board appointed by government or elected by public franchise.)	<input type="checkbox"/> ₁
	A private school (This is a school managed directly or indirectly by a non-government organisation; e.g. a church, trade union, business, or other private institution.)	<input type="checkbox"/> ₂

Q3 – About what percentage of your total funding for a typical school year comes from the following sources?
(Please write a number in each row. Write 0 (zero) if no funding comes from that source)

	%
SC03Q01	a) Government (includes departments, local, regional, state and national)
SC03Q02	b) Student fees or school charges paid by parents
SC03Q03	c) Benefactors, donations, bequests, sponsorships, parent fund raising
SC03Q04	d) Other
	Total 100%

Q4 – Do you have the following grade levels in your school?
(Please tick one box in each row)

	Yes	No
SC04Q01	a) <Grade 1>	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂
SC04Q02	b) <Grade 2>	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂
SC04Q03	c) <Grade 3>	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂
SC04Q04	d) <Grade 4>	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂
SC04Q05	e) <Grade 5>	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂
SC04Q06	f) <Grade 6>	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂
SC04Q07	g) <Grade 7>	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂
SC04Q08	h) <Grade 8>	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂
SC04Q09	i) <Grade 9>	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂
SC04Q010	j) <Grade 10>	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂
SC04Q011	k) <Grade 11>	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂
SC04Q012	l) <Grade 12>	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂
SC04Q013	m) <Grade 13>	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂
SC04Q014	n) <Ungraded school>	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂

**Q5 – About what percentage of students in your school repeated a grade, at these <ISCED levels>, last academic year?**

(Please write a number in each row. Write 0 (zero) if nobody repeated a grade. Tick the 'not available' box if the <ISCED level> does not exist in your school)

		% <ISCED level> not available in this school
SC05Q01	a) The approximate percentage of students repeating a grade at <ISCED 2> in this school last year was:	<input type="checkbox"/> ₉₉₆
SC05Q02	b) The approximate percentage of students repeating a grade at <ISCED 3> in this school last year was:	<input type="checkbox"/> ₉₉₆

SC06Q01 Q6 – What is the average size of <test language> classes in <national modal grade for 15-year-olds> in your school?
(Please tick only one box)

- | | |
|-----------------------|--|
| 15 students or fewer | <input type="checkbox"/> ₀₁ |
| 16-20 students | <input type="checkbox"/> ₀₂ |
| 21-25 students | <input type="checkbox"/> ₀₃ |
| 26-30 students | <input type="checkbox"/> ₀₄ |
| 31-35 students | <input type="checkbox"/> ₀₅ |
| 36-40 students | <input type="checkbox"/> ₀₆ |
| 41-45 students | <input type="checkbox"/> ₀₇ |
| 46-50 students | <input type="checkbox"/> ₀₈ |
| More than 50 students | <input type="checkbox"/> ₀₉ |

SC07Q01 Q7 – Which of the following best describes the community in which your school is located?
(Please tick only one box)

- | | |
|---|---------------------------------------|
| A village, hamlet or rural area (fewer than 3 000 people) | <input type="checkbox"/> ₁ |
| A small town (3 000 to about 15 000 people) | <input type="checkbox"/> ₂ |
| A town (15 000 to about 100 000 people) | <input type="checkbox"/> ₃ |
| A city (100 000 to about 1 000 000 people) | <input type="checkbox"/> ₄ |
| A large city (with over 1 000 000 people) | <input type="checkbox"/> ₅ |

**Q8 – Some schools organise instruction differently for students with different abilities.
What is your school's policy about this for students in <national modal grade for 15-year-olds>? (Please tick one box in each row)**

		For all subjects	For some subjects	Not for any subject
SC08Q01	a) Students are grouped by ability into different classes	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
SC08Q02	b) Students are grouped by ability within their classes	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃

Section B Staffing

Q9 – How many of the following are on the staff of your school?

Include both full-time and part-time teachers. A full-time teacher is employed at least 90% of the time as a teacher for the full school year. All other teachers should be considered part-time.

(Please write a number in each space provided. Write 0 (zero) if there is none)

		Full time	Part time
SC09Q11 – SC09Q12	a) Teachers in TOTAL	<input type="checkbox"/> ₁ (SC09Q11)	<input type="checkbox"/> ₁ (SC09Q12)
SC09Q21 – SC09Q22	b) Teachers fully certified by <the appropriate authority>	<input type="checkbox"/> ₁ (SC09Q21)	<input type="checkbox"/> ₁ (SC09Q22)
SC09Q31 – SC09Q32	c) Teachers with an <ISCED5A> qualification	<input type="checkbox"/> ₁ (SC09Q31)	<input type="checkbox"/> ₁ (SC09Q32)

**APPENDIX 5: PISA 2006 SCHOOL QUESTIONNAIRE**

SC10Q01	Q10 – In the last academic year, did you fill all vacant <national modal grade for 15-year-olds> science teaching positions at your school? See the preliminary note on the definition of science <inside the front cover>. A science teacher is defined as a teacher of the subject(s) which meet this definition. (Please tick only one box)
	Not applicable (we had no vacant science teaching positions to be filled) <input type="checkbox"/> ₁
	Yes (we filled all vacant science teaching positions, either with newly appointed staff or by reassigning existing staff) <input type="checkbox"/> ₂
	No (we could not fill one or more vacant science teaching positions) <input type="checkbox"/> ₃

Q11 – Regarding your school, who has a considerable responsibility for the following tasks? (Please tick as many boxes as appropriate in each row)					
		Principal or teachers	<School governing board>	<Regional or local education authority>	
SC11QA1 to SC11QA4	a) Selecting teachers for hire	<input type="checkbox"/> ₁ (SC11QA1)	<input type="checkbox"/> ₁ (SC11QA2)	<input type="checkbox"/> ₁ (SC11QA3)	<input type="checkbox"/> ₁ (SC11QA4)
SC11QB1 to SC11QB4	b) Firing teachers	<input type="checkbox"/> ₁ (SC11QB1)	<input type="checkbox"/> ₁ (SC11QB2)	<input type="checkbox"/> ₁ (SC11QB3)	<input type="checkbox"/> ₁ (SC11QB4)
SC11QC1 to SC11QC4	c) Establishing teachers' starting salaries	<input type="checkbox"/> ₁ (SC11QC1)	<input type="checkbox"/> ₁ (SC11QC2)	<input type="checkbox"/> ₁ (SC11QC3)	<input type="checkbox"/> ₁ (SC11QC4)
SC11QD1 to SC11QD4	d) Determining teachers' salaries increases	<input type="checkbox"/> ₁ (SC11QD1)	<input type="checkbox"/> ₁ (SC11QD2)	<input type="checkbox"/> ₁ (SC11QD3)	<input type="checkbox"/> ₁ (SC11QD4)
SC11QE1 to SC11QE4	e) Formulating the school budget	<input type="checkbox"/> ₁ (SC11QE1)	<input type="checkbox"/> ₁ (SC11QE2)	<input type="checkbox"/> ₁ (SC11QE3)	<input type="checkbox"/> ₁ (SC11QE4)
SC11QF1 to SC11QF4	f) Deciding on budget allocations within the school	<input type="checkbox"/> ₁ (SC11QF1)	<input type="checkbox"/> ₁ (SC11QF2)	<input type="checkbox"/> ₁ (SC11QF3)	<input type="checkbox"/> ₁ (SC11QF4)
SC11QG1 to SC11QG4	g) Establishing student disciplinary policies	<input type="checkbox"/> ₁ (SC11QG1)	<input type="checkbox"/> ₁ (SC11QG2)	<input type="checkbox"/> ₁ (SC11QG3)	<input type="checkbox"/> ₁ (SC11QG4)
SC11QH1 to SC11QH4	h) Establishing student assessment policies	<input type="checkbox"/> ₁ (SC11QH1)	<input type="checkbox"/> ₁ (SC11QH2)	<input type="checkbox"/> ₁ (SC11QH3)	<input type="checkbox"/> ₁ (SC11QH4)
SC11QI1 to SC11QI4	i) Approving students for admission to the school	<input type="checkbox"/> ₁ (SC11QI1)	<input type="checkbox"/> ₁ (SC11QI2)	<input type="checkbox"/> ₁ (SC11QI3)	<input type="checkbox"/> ₁ (SC11QI4)
SC11QJ1 to SC11QJ4	j) Choosing which textbooks are used	<input type="checkbox"/> ₁ (SC11QJ1)	<input type="checkbox"/> ₁ (SC11QJ2)	<input type="checkbox"/> ₁ (SC11QJ3)	<input type="checkbox"/> ₁ (SC11QJ4)
SC11QK1 to SC11QK4	k) Determining course content	<input type="checkbox"/> ₁ (SC11QK1)	<input type="checkbox"/> ₁ (SC11QK2)	<input type="checkbox"/> ₁ (SC11QK3)	<input type="checkbox"/> ₁ (SC11QK4)
SC11QL1 to SC11QL4	l) Deciding which courses are offered	<input type="checkbox"/> ₁ (SC11QL1)	<input type="checkbox"/> ₁ (SC11QL2)	<input type="checkbox"/> ₁ (SC11QL3)	<input type="checkbox"/> ₁ (SC11QL4)

Q12 – Regarding your school, which of the following bodies exert a direct influence on decision making about staffing, budgeting, instructional content and assessment practices? (Please tick as many boxes as apply)					
		Area of influence			
		Staffing	Budgeting	Instructional content	Assessment practices
SC12QA1 to SC12QA4	a) Regional or national education authorities (e.g. inspectorates)	<input type="checkbox"/> ₁ (SC12QA1)	<input type="checkbox"/> ₁ (SC12QA2)	<input type="checkbox"/> ₁ (SC12QA3)	<input type="checkbox"/> ₁ (SC12QA4)
SC12QB1 to SC12QB4	b) The school's <governing board>	<input type="checkbox"/> ₁ (SC12QB1)	<input type="checkbox"/> ₁ (SC12QB2)	<input type="checkbox"/> ₁ (SC12QB3)	<input type="checkbox"/> ₁ (SC12QB4)
SC12QC1 to SC12QC4	c) Parent groups	<input type="checkbox"/> ₁ (SC12QC1)	<input type="checkbox"/> ₁ (SC12QC2)	<input type="checkbox"/> ₁ (SC12QC3)	<input type="checkbox"/> ₁ (SC12QC4)
SC12QD1 to SC12QD4	d) Teacher groups (e.g. Staff Association, curriculum committees, trade union)	<input type="checkbox"/> ₁ (SC12QD1)	<input type="checkbox"/> ₁ (SC12QD2)	<input type="checkbox"/> ₁ (SC12QD3)	<input type="checkbox"/> ₁ (SC12QD4)
SC12QE1 to SC12QE4	e) Student groups (e.g. Student Association, youth organisation)	<input type="checkbox"/> ₁ (SC12QE1)	<input type="checkbox"/> ₁ (SC12QE2)	<input type="checkbox"/> ₁ (SC12QE3)	<input type="checkbox"/> ₁ (SC12QE4)
SC12QF1 to SC12QF4	f) External examination boards	<input type="checkbox"/> ₁ (SC12QF1)	<input type="checkbox"/> ₁ (SC12QF2)	<input type="checkbox"/> ₁ (SC12QF3)	<input type="checkbox"/> ₁ (SC12QF4)



Section C The school's resources

		Number
SC13Q01	Q13a – About how many computers are available in the school altogether? (Please write 0 (zero) if there are none)	
SC13Q02	Q13b – About how many of these computers are available for instruction?	
SC13Q03	Q13c – About how many computers in the school are connected to the Internet/World Wide Web?	

Q14 – Is your school's capacity to provide instruction hindered by any of the following?
(Please tick one box in each row)

		Not at all	Very little	To some extent	A lot
SC14Q01	a) A lack of qualified science teachers	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
SC14Q02	b) A lack of qualified mathematics teachers	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
SC14Q03	c) A lack of qualified <test language> teachers	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
SC14Q04	d) A lack of qualified teachers of other subjects	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
SC14Q05	e) A lack of laboratory technicians	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
SC14Q06	f) A lack of other support personnel	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
SC14Q07	g) Shortage or inadequacy of science laboratory equipment	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
SC14Q08	h) Shortage or inadequacy of instructional materials (e.g. textbooks)	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
SC14Q09	i) Shortage or inadequacy of computers for instruction	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
SC14Q10	j) Lack or inadequacy of Internet connectivity	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
SC14Q11	k) Shortage or inadequacy of computer software for instruction	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
SC14Q12	l) Shortage or inadequacy of library materials	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
SC14Q13	m) Shortage or inadequacy of audio-visual resources	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

Section D <Accountability> and Admission practices

Q15 – This set of questions explores aspects of the school's <accountability> to parents.
(Please tick one box in each row)

		Yes	No
SC15Q01	a) Does your school provide information to parents of students in <national modal grade for 15-year-olds> on their child's academic performance relative to other students in <national modal grade for 15-year-olds> in your school?	<input type="checkbox"/> 1	<input type="checkbox"/> 2
SC15Q02	b) Does your school provide information to parents of students in <national modal grade for 15-year-olds> on their child's academic performance relative to national or regional <benchmarks>?	<input type="checkbox"/> 1	<input type="checkbox"/> 2
SC15Q03	c) Does your school provide information to parents on the academic performance of students in <national modal grade for 15-year-olds> as a group relative to students in the same grade in other schools?	<input type="checkbox"/> 1	<input type="checkbox"/> 2

SC16Q01 Q16 – Which statement below best characterises parental expectations towards your school?
(Please tick only one box)

- | | |
|---|--------------------------|
| There is constant pressure from many parents, who expect our school to set very high academic standards and to have our students achieve them | <input type="checkbox"/> |
| Pressure on the school to achieve higher academic standards among students comes from a minority of parents | <input type="checkbox"/> |
| Pressure from parents on the school to achieve higher academic standards among students is largely absent | <input type="checkbox"/> |

**Q17 – In your school, are achievement data used in any of the following <accountability procedures>?**

Achievement data include aggregated school or grade-level test scores or grades, or graduation rates.
(Please tick one box in each row)

		Yes	No
SC17Q01	a) Achievement data are posted publicly (e.g. in the media)	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂
SC17Q02	b) Achievement data are used in evaluation of the principal's performance	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂
SC17Q03	c) Achievement data are used in evaluation of teachers' performance	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂
SC17Q04	d) Achievement data are used in decisions about instructional resource allocation to the school	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂
SC17Q05	e) Achievement data are tracked over time by an administrative authority	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂

SC18Q01	Q18 – We are interested in the options parents have when choosing a school for their children. Which of the following statements best describes the schooling available to students in your location? (Please tick only one box)	
	There are two or more other schools in this area that compete for our students	<input type="checkbox"/>
	There is one other school in this area that competes for our students	<input type="checkbox"/> ₂
	There are no other schools in this area that compete for our students	<input type="checkbox"/> ₃

	Q19 – How much consideration is given to the following factors when students are admitted to your school? (Please tick one box in each row)	
		Prerequisite High priority Considered Not considered
SC19Q01	a) Residence in a particular area	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂ <input type="checkbox"/> ₃ <input type="checkbox"/> ₄
SC19Q02	b) Student's academic record (including placement tests)	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂ <input type="checkbox"/> ₃ <input type="checkbox"/> ₄
SC19Q03	c) Recommendation of feeder schools	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂ <input type="checkbox"/> ₃ <input type="checkbox"/> ₄
SC19Q04	d) Parents' endorsement of the instructional or religious philosophy of the school	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂ <input type="checkbox"/> ₃ <input type="checkbox"/> ₄
SC19Q05	e) Student's need or desire for a special programme	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂ <input type="checkbox"/> ₃ <input type="checkbox"/> ₄
SC19Q06	f) Attendance of other family members at the school (past or present)	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂ <input type="checkbox"/> ₃ <input type="checkbox"/> ₄

Section E Science and the environment

Q20 – Is your school involved in any of the following activities to promote engagement with science among students in <national modal grade for 15-year-olds>?

(Please tick one box in each row)

		Yes	No
SC20Q01	a) Science clubs	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂	
SC20Q02	b) Science fairs	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂	
SC20Q03	c) Science competitions	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂	
SC20Q04	d) Extracurricular science projects (including research)	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂	
SC20Q05	e) Excursions and field trips	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂	

Q21 – Where do topics on the environment sit in the curriculum received by students in <national modal grade for 15-year-olds> at your school?

Environmental topics include all topics related to environmental science. These may include environmental issues such as pollution or the degradation of the environment. Relationships between organisms, biodiversity and conservation of resources would also be examples of environmental topics.
(Please tick one box in each row. If there are no topics on the environment in the curriculum received by students in <national modal grade for 15-year-olds> please tick "No" in all four rows)

		Yes	No
SC21Q01	a) In a specific environmental studies course	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂	
SC21Q02	b) In the natural sciences courses – for example as part of biology, chemistry, physics, earth science or within an integrated science course	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂	
SC21Q03	c) As part of a geography course	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂	
SC21Q04	d) As part of another course	<input type="checkbox"/> ₁ <input type="checkbox"/> ₂	



Q22 – Does your school organise any of the following activities to provide opportunities to students in <national modal grade for 15-year-olds> to learn about environmental topics?
(Please tick one box in each row)

		Yes	No
SC22Q01	a) <Outdoor education>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂
SC22Q02	b) Trips to museums	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂
SC22Q03	c) Trips to science and/or technology centres	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂
SC22Q04	d) Extracurricular environmental projects (including research)	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂
SC22Q05	e) Lectures and/or seminars (e.g. guest speakers)	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂

Section F Careers and further education

Q23 – How often would students in <national modal grade for 15-year-olds> have the opportunity to participate in the activities below as part of their normal schooling?
(Please tick one box in each row)

		Never	Once a year	More than once a year
SC23Q01	a) <Job fairs>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
SC23Q02	b) Lectures (at school) by business or industry representatives	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
SC23Q03	c) Visits to local businesses or industries	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃

SC24Q01	Q24 – In your school, about how many students in <national modal grade for 15-year-olds> receive some training within local businesses as part of school activities during the normal school year (e.g. apprenticeships)? (Please tick only one box)
	This is not offered to students in <national modal grade for 15-year-olds>
	Half or less of students in <national modal grade for 15-year-olds>
	More than a half of students in <national modal grade for 15-year-olds>

SC25Q01	Q25 – Thinking about the curriculum received by students in <national modal grade for 15-year-olds>, which statement below is closest to your view? (Please tick only one box)
	Business and industry have no influence on the curriculum
	Business and industry have a minor or indirect influence on the curriculum
	Business and industry have a considerable influence on the curriculum

SC26Q01	Q26 – To what extent do you feel that teachers in your school concentrate on developing in students the skills and knowledge that will help them progress towards science-related careers? Science-related career has been used here to include careers that involve a considerable amount of science but are beyond the traditional idea of a scientist as someone who works in a laboratory or academic environment (like a nuclear physicist). As such, a science-related career is not only one in physics, chemistry or biology. Any career that involves tertiary education in a scientific field is considered science-related. Therefore careers like engineer (involving physics), weather forecaster (involving earth science), optician (involving biology and physics), and medical doctors (involving the medical sciences) are all examples of science-related careers. (Please tick only one box)
	These skills and knowledge are incidental to teachers' pedagogical activities
	These skills and knowledge are integrated into teachers' pedagogical activities, but they are not emphasised
	These skills and knowledge are a focus of teachers' pedagogical activities

SC27Q01	Q27 – To what extent do you feel that teachers in your school concentrate on developing in students the skills and knowledge that will help them in tertiary education? (Please tick only one box)
	These skills and knowledge are incidental to teachers' pedagogical activities
	These skills and knowledge are integrated into teachers' pedagogical activities, but they are not emphasised
	These skills and knowledge are a focus of teachers' pedagogical activities



SC28Q01	Q28 – Who has the main responsibility for career guidance of students in <national modal grade for 15-year-olds> at your school? <i>(Please tick only one box)</i>	
	Not applicable, career guidance is not available in this school	<input type="checkbox"/> ₁
	All teachers share the responsibility for career guidance	<input type="checkbox"/> ₂
	Specific teachers have the main responsibility for career guidance	<input type="checkbox"/> ₃
	We have one or more specific career guidance counsellors employed at school	<input type="checkbox"/> ₄
	We have one or more specific career guidance counsellors who regularly visit the school	<input type="checkbox"/> ₅
SC29Q01	Q29 – If career guidance is available at your school, which of the statements below best describes the situation for students in <national modal grade for 15-year-olds>? Skip this question if career guidance is not available at your school. <i>(Please tick only one box)</i>	
	Career guidance is sought voluntarily by students	<input type="checkbox"/> ₁
	Career guidance is formally scheduled into students' time at school	<input type="checkbox"/> ₂



APPENDIX 6

PISA 2006 PARENT QUESTIONNAIRE

Q1 – Who will complete this questionnaire? (Please tick all that apply.)			
PA01Q01	a) Mother or other female guardian	<input type="checkbox"/>	
PA01Q02	b) Father or other male guardian	<input type="checkbox"/>	
PA01Q03	c) Other	<input type="checkbox"/>	
(If other, please specify) _____			

Your child's past science activities

Please answer this question with reference to <the student who brought this questionnaire home>.

Q2 – Thinking back to when your child was about 10 years old, how often would your child have done these things? (Please tick only one box in each row)				
		Very often	Regularly	Sometimes
PA02Q01	a) Watched TV programmes about science	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PA02Q02	b) Read books on scientific discoveries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PA02Q03	c) Watched, read or listened to science fiction	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PA02Q04	d) Visited web sites about science topics	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PA02Q05	e) Attended a science club	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			Never	<input type="checkbox"/>

Your child's school

We are interested in what you think about your child's school.

Q3 – How much do you agree with the following statements? (Please tick only one box in each row)				
		Strongly agree	Agree	Disagree
PA03Q01	a) Most of my child's school teachers seem competent and dedicated	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PA03Q02	b) Standards of achievement are high in my child's school	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PA03Q03	c) I am happy with the content taught and the instructional methods used in my child's school	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PA03Q04	d) I am satisfied with the disciplinary atmosphere in my child's school	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PA03Q05	e) My child's progress is carefully monitored by the school	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PA03Q06	f) My child's school provides regular and useful information on my child's progress	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PA03Q07	g) My child's school does a good job in educating students	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			Strongly disagree	<input type="checkbox"/>

Science in your child's career and the job market

We would like to hear your views on the need for science in the job market today. We are also interested in your child's career and educational aspirations particularly those related to science.

Q4 – We are interested in what you think about the need for science skills in the job market today. How much do you agree with the following statements? (Please tick only one box in each row)				
		Strongly agree	Agree	Disagree
PA04Q01	a) It is important to have good scientific knowledge and skills in order to get any good job in today's world	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PA04Q02	b) Employers generally appreciate strong scientific knowledge and skills among their employees	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PA04Q03	c) Most jobs today require some scientific knowledge and skills	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PA04Q04	d) It is an advantage in the job market to have good scientific knowledge and skills	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			Strongly disagree	<input type="checkbox"/>



APPENDIX 6: PISA 2006 PARENT QUESTIONNAIRE

Q5 – The following questions refer to <science-related careers>. A <science-related career> is one that requires studying science at tertiary level (e.g. university). So, careers like engineer (involving physics), weather forecaster (involving Earth science), optician (involving biology and physics), and medical doctors (involving the medical sciences) are all examples of <science-related careers>.

Please answer the questions below.

(Please tick one box in each row)

		Yes	No
PA05Q01	a) Does anybody in your family (including you) work in a <science-related career>?	<input type="checkbox"/> 1	<input type="checkbox"/> 2
PA05Q02	b) Does your child show an interest in working in a <science-related career>?	<input type="checkbox"/> 1	<input type="checkbox"/> 2
PA05Q03	c) Do you expect your child will go into a <science-related career>?	<input type="checkbox"/> 1	<input type="checkbox"/> 2
PA05Q04	d) Has your child shown interest in studying science after completing <secondary school>?	<input type="checkbox"/> 1	<input type="checkbox"/> 2
PA05Q05	e) Do you expect your child will study science after completing <secondary school>?	<input type="checkbox"/> 1	<input type="checkbox"/> 2

Your views on science

Science is an important part of the PISA study. We are interested in parents' opinions on science and on environmental issues.

Q6 – The following question asks about your views towards science.

How much do you agree with the following statements?

(Please tick only one box in each row)

		Strongly agree	Agree	Disagree	Strongly disagree
PA06Q01	a) Advances in <broad science and technology> usually improve people's living conditions	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
PA06Q02	b) <Broad science> is important for helping us to understand the natural world	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
PA06Q03	c) Some concepts in <broad science> help me to see how I relate to other people	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
PA06Q04	d) Advances in <broad science and technology> usually help improve the economy	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
PA06Q05	e) There are many opportunities for me to use <broad science> in my everyday life	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
PA06Q06	f) <Broad science> is valuable to society	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
PA06Q07	g) <Broad science> is very relevant to me	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
PA06Q08	h) I find that <broad science> helps me to understand the things around me	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
PA06Q09	i) Advances in <broad science and technology> usually bring social benefits	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

Q7 – Do you see the environmental issues below as a serious concern for yourself and/or others?

(Please tick only one box in each row)

		This is a serious concern for me personally as well as others	This is a serious concern for other people in my country but not me personally	This is a serious concern only for people in other countries	This is not a serious concern for anyone
PA07Q01	a) Air pollution	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
PA07Q02	b) Energy shortages	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
PA07Q03	c) Extinction of plants and animals	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
PA07Q04	d) Clearing of forests for other land use	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
PA07Q05	e) Water shortages	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4
PA07Q06	f) Nuclear waste	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4

Q8 – Do you think problems associated with the environmental issues below will improve or get worse over the next 20 years?

(Please tick only one box in each row)

		Improve	Stay about the same	Get worse
PA08Q01	a) Air pollution	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3
PA08Q02	b) Energy shortages	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3
PA08Q03	c) Extinction of plants and animals	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3
PA08Q04	d) Clearing of forests for other land use	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3
PA08Q05	e) Water shortages	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3
PA08Q06	f) Nuclear waste	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3



The cost of education services

We are interested in how much parents spend on educational services.

PA09Q01

Q9 – Please answer the following question thinking just of expenses related to <the student who brought this questionnaire home>. In the last twelve months, about how much would you have paid to educational providers for services?

In determining this, please include any tuition fees you pay to your child's school, any other fees paid to individual teachers in the school or to other teachers for any tutoring your child receives, as well as any fees for cram school.

Do not include the costs of goods like sports equipment, school uniforms, computers or textbooks if they are not included in a general fee (that is, if you have to buy these things separately).

(Please tick only one box)

- | | |
|---------------------------------|----------------------------|
| Less than <\$W> | <input type="checkbox"/> 1 |
| <\$W or more but less than \$X> | <input type="checkbox"/> 2 |
| <\$X or more but less than \$Y> | <input type="checkbox"/> 3 |
| <\$Y or more but less than \$Z> | <input type="checkbox"/> 4 |
| <\$Z> or more | <input type="checkbox"/> 5 |

Parents' background

In this section we ask questions about the background of both the mother and the father of the <student who brought this questionnaire home>. These help us obtain better information about the family situation of the student.

**PA10Q01 to
PA10Q02**

Q10 – How old are the child's parents?
(Please tick one box in each column.)

Mother (PA10Q01)	Father (PA10Q02)
---------------------	---------------------

- | | | |
|-----------------------|----------------------------|----------------------------|
| Younger than 36 years | <input type="checkbox"/> 1 | <input type="checkbox"/> 1 |
| 36 – 40 years | <input type="checkbox"/> 2 | <input type="checkbox"/> 2 |
| 41 – 45 years | <input type="checkbox"/> 3 | <input type="checkbox"/> 3 |
| 46 – 50 years | <input type="checkbox"/> 4 | <input type="checkbox"/> 4 |
| 51 years or older | <input type="checkbox"/> 5 | <input type="checkbox"/> 5 |

PA11Q01

Q11a – What is the main job of the child's father?
(e.g. school teacher, kitchen-hand, sales manager)

(If he is not working now, please tell us his last main job)

Please write in the job title: _____

Q11b – What does the child's father do in his main job?
(e.g. teaches high school students, helps the cook prepare meals in a restaurant, manages a sales team)

Please use a sentence to describe the kind of work he does or did in that job: _____

Q12 – Does the child's father have any of the following qualifications?
(Please tick one box in each row)

Yes No

PA12Q01

a) <ISCED level 5A, 6>

1 2

PA12Q02

b) <ISCED level 5B>

1 2

PA12Q03

c) <ISCED level 4>

1 2

PA12Q04

d) <ISCED level 3A>

1 2

PA13Q01

Q13a – What is the main job of the child's mother?
(e.g. school teacher, kitchen-hand, sales manager)

(If she is not working now, please tell us her last main job)

Please write in the job title: _____

Q13b – What does the child's mother do in her main job?
(e.g. teaches high school students, helps the cook prepare meals in a restaurant, manages a sales team)

Please use a sentence to describe the kind of work she does or did in that job: _____

**Q14 – Does the child's mother have any of the following qualifications?**
(Please tick one box in each row)

		Yes	No
PA14Q01	a) <ISCED level 5A, 6>	<input type="checkbox"/> 1	<input type="checkbox"/> 2
PA14Q02	b) <ISCED level 5B>	<input type="checkbox"/> 1	<input type="checkbox"/> 2
PA14Q03	c) <ISCED level 4>	<input type="checkbox"/> 1	<input type="checkbox"/> 2
PA14Q04	d) <ISCED level 3A>	<input type="checkbox"/> 1	<input type="checkbox"/> 2

PA15Q01	Q15 – What is your annual household income? Please add together the total income, before tax, from all members of your household. Please remember we ask you to answer questions only if you feel comfortable doing so, and that all responses are kept <u>strictly confidential</u> . <i>(Please tick only one box)</i>
	Less than < \$A > <input type="checkbox"/> 1
	< \$A > or more but less than < \$B > <input type="checkbox"/> 2
	< \$B > or more but less than < \$C > <input type="checkbox"/> 3
	< \$C > or more but less than < \$D > <input type="checkbox"/> 4
	< \$D > or more but less than < \$E > <input type="checkbox"/> 5
	< \$E > or more <input type="checkbox"/> 6

**APPENDIX 7****CODEBOOK FOR PISA 2006 STUDENT QUESTIONNAIRE DATA FILE**

SUBNATIO (1) Adjudicated sub-region	
Format: A5	Columns: 1-5
03100	Azerbaijan
03200	Argentina
03600	Australia
04000	Austria
05601	Belgium: Flemish region
05699	Belgium: French & German regions (not adjudicated)
07600	Brazil
10000	Bulgaria
12400	Canada
15200	Chile
15800	Chinese Taipei
17000	Colombia
19100	Croatia
20300	Czech Republic
20800	Denmark
23300	Estonia
24600	Finland
25000	France
27600	Germany
30000	Greece
34400	Hong Kong-China
34800	Hungary
35200	Iceland
36000	Indonesia
37200	Ireland
37600	Israel
38001	Italy: Region 1
38002	Italy: Region 2
38003	Italy: Region 3
38004	Italy: Region 4
38005	Italy: Region 5
38006	Italy: Region 6
38007	Italy: Region 7
38008	Italy: Region 8
38009	Italy: Region 9
38010	Italy: Region 10
38011	Italy: Region 11
38012	Italy: Region 12
38013	Italy: Region 13
38099	Italy: Region 14 (not adjudicated)
39200	Japan
40000	Jordan
41000	Korea
41700	Kyrgyzstan
42800	Latvia
43800	Liechtenstein
44000	Lithuania
44200	Luxembourg
44600	Macao-China
48400	Mexico
49900	Montenegro
52800	Netherlands
55400	New Zealand
57800	Norway
61600	Poland
62000	Portugal
63400	Qatar
64200	Romania
64300	Russian Federation
68800	Serbia
70300	Slovak Republic
70500	Slovenia
72401	Spain: Andalusia
72402	Spain: Aragon
72403	Spain: Asturias
72406	Spain: Cantabria
72407	Spain: Castile and Leon
72409	Spain: Catalonia
72411	Spain: Galicia
72412	Spain: La Rioja
72415	Spain: Navarre
72416	Spain: Basque Country
72499	The rest of Spain (not adjudicated)
75200	Sweden
75600	Switzerland
76400	Thailand
78800	Tunisia
79200	Turkey
82610	United Kingdom: England, Wales & Northern Ireland
82620	United Kingdom: Scotland
84000	United States
85800	Uruguay
SCHOOLID (2) School ID 5-digit	
Format: A5	Columns: 6-10
STIDSTD (3) Student ID 5-digit	
Format: A5	Columns: 11-15
CNT (4) Country code 3-character	
Format: A3	Columns: 16-18
ARG	Argentina
AUS	Australia
AUT	Austria
AZE	Azerbaijan
BEL	Belgium
BGR	Bulgaria
BRA	Brazil
CAN	Canada
CHE	Switzerland
CHL	Chile
COL	Colombia
CZE	Czech Republic
DEU	Germany
DNK	Denmark
ESP	Spain
EST	Estonia
FIN	Finland
FRA	France
GBR	United Kingdom
GRC	Greece
HKG	Hong Kong-China
HRV	Croatia
HUN	Hungary
IDN	Indonesia
IRL	Ireland
ISL	Iceland
ISR	Israel
ITA	Italy
JOR	Jordan
JPN	Japan
KGZ	Kyrgyzstan
KOR	Korea
LIE	Liechtenstein
LTU	Lithuania
LUX	Luxembourg
LVA	Latvia

**APPENDIX 7: CODEBOOK FOR PISA 2006 STUDENT QUESTIONNAIRE DATA FILE**

MAC	Macao-China
MEX	Mexico
MNE	Montenegro
NLD	Netherlands
NOR	Norway
NZL	New Zealand
POL	Poland
PRT	Portugal
QAT	Qatar
ROU	Romania
RUS	Russian Federation
SRB	Serbia
SVK	Slovak Republic
SVN	Slovenia
SWE	Sweden
TAP	Chinese Taipei
THA	Thailand
TUN	Tunisia
TUR	Turkey
URY	Uruguay
USA	United States

703	Slovak Republic
705	Slovenia
724	Spain
752	Sweden
756	Switzerland
764	Thailand
788	Tunisia
792	Turkey
826	United Kingdom
840	United States
858	Uruguay

OECD (6) OECD country

Format: F1.0	Columns: 22-22
0	Non-OECD
1	OECD

ST01Q01 (7) Grade Q1

Format: F2.0	Columns: 23-24
96	Ungraded
99	Missing

ST02Q01 (8) Study programme Q2

Format: F2.0	Columns: 25-26
99	Missing

ST03Q02 (9) Month of birth Q3

Format: A2	Columns: 27-28
99	Missing

ST03Q03 (10) Year of birth Q3

Format: A2	Columns: 29-30
99	Missing

ST04Q01 (11) Gender Q4

Format: F1.0	Columns: 31-31
1	Female
2	Male
9	Missing

ST05Q01 (12) Mother ISCO code Q5a

Format: A4	Columns: 32-35
1000	LEGISLATORS, SENIOR OFFICIALS & MANAGERS
1100	LEGISLATORS & SENIOR OFFICIALS
1110	LEGISLATORS [incl. Member of Parliament, Member of Local Council]
1120	SENIOR GOVERNMENT OFFICIALS [incl. Minister, Ambassador]
1130	SENIOR LOCAL GOVERNMENT OFFICIALS
1140	SENIOR OFFICIALS SPECIAL-INTEREST ORGANISATIONS
1141	Senior officials political-party organisations
1142	Senior officials economic-interest organisations
1143	Senior officials special-interest organisations
1200	CORPORATE MANAGERS [LARGE ENTERPRISES]
1210	[LARGE ENTERPRISES] DIRECTORS & CHIEF EXECUTIVES
1220	[LARGE ENTERPRISE OPERATION] DEPARTMENT MANAGERS
1221	Production dep. managers agriculture & fishing
1222	Production dep. managers manufacturing [incl. Factory Manager]
1223	Production dep. managers construction
1224	Production dep. managers wholesale & retail trade
1225	Production dep. managers restaurants & hotels
1226	Production dep. managers transp., storage & communic.
1227	Production dep. managers business services [incl. Bank Manager]
1228	Production dep. managers personal care, cleaning etc
1229	Production dep. managers nec. [incl. Dean, School Principal]
1230	[LARGE ENTERPRISES] OTHER DEPARTMENT MANAGERS
1231	Finance & admin. department managers [incl. Company Secretary]
1232	Personnel & industrial relations department managers



1233	Sales & marketing department managers	2331	Primary education teaching professionals
1234	Advertising & public relations department managers	2332	Pre-primary educ. teaching professionals [incl. Kindergarten]
1235	Supply & distribution department managers	2340	SPECIAL EDUC. TEACHING PROFESSIONALS [incl. Remedial, Blnd]
1236	Computing services department managers	2350	OTHER TEACHING PROFESSIONALS
1237	Research & development department managers	2351	Education methods specialists [incl. Curricula Developer]
1239	Other department managers nec	2352	School inspectors
1240	OFFICE MANAGERS [incl. Clerical Supervisor]	2359	Other teaching professionals nec
1250	MILITARY OFFICERS	2400	OTHER PROFESSIONALS [incl. Professional nfs, Admin. Professional]
1251	Higher military officers [Captain and above]	2410	BUSINESS PROFESSIONALS
1252	Lower grade commissioned officers [incl. Army Lieutenant]	2411	Accountants [incl. Auditor]
1300	[SMALL ENTERPRISE] GENERAL MANAGERS	2412	Personnel & careers profess. [incl. Job Analyst, Stud. Couns.]
1310	[SMALL ENTERPRISE] GENERAL MANAGERS [incl. Businessman, Trader]	2419	Business profess. [incl. Publicity/Patent agent, Market Research]
1311	[Small enterprise] General managers agr., forestry & fishing	2420	LEGAL PROFESSIONALS
1312	[Small enterprise] General managers manufacturing	2421	Lawyers
1313	[Small enterprise] General managers constr. [incl. Contractor]	2422	Judges
1314	[Small enterprise] General managers wholesale & retail trade	2429	Legal professionals nec [incl. Notary, Notary Public]
1315	[Small enterprise] General managers restaurants & hotels	2430	ARCHIVISTS, LIBRARIANS ETC INFORMATION PROFESSIONALS
1316	[Small enterprise] General managers transp., storage & comm.	2431	Archivists & curators
1317	[Small enterprise] General managers business services	2432	Librarians etc information professionals
1318	[Small enterprise] General managers personal care, cleaning etc.	2440	SOCIAL SCIENCE ETC PROFESSIONALS
1319	[Small enterprise] General managers nec [incl. Travel, Fitness]	2441	Economists
2000	PROFESSIONALS	2442	Sociologists, anthropologists etc professionals
2100	PHYSICAL, MATHEMATICAL & ENGINEERING SCIENCE PROFESSIONALS	2443	Philosophers, historians & political scientists
2110	PHYSICISTS, CHEMISTS & RELATED PROFESSIONALS	2444	Philologists, translators & interpreters
2111	Physicists & astronomers	2445	Psychologists
2112	Meteorologists	2446	Social work professionals [incl. Welfare Worker]
2113	Chemists	2450	WRITERS & CREATIVE OR PERFORMING ARTISTS
2114	Geologists & geophysicists [incl. Geodesist]	2451	Authors journalists & other writers [incl. Editor, Techn. Writer]
2120	MATHEMATICIANS, STATISTICIANS ETC PROFESSIONALS	2452	Sculptors, painters etc artists
2121	Mathematicians etc professionals	2453	Composers, musicians & singers
2122	Statisticians [incl. Actuary]	2454	Choreographers & dancers
2130	COMPUTING PROFESSIONALS	2455	Film, stage etc actors & directors
2131	Computer systems designers & analysts [incl. Software Engineer]	2460	RELIGIOUS PROFESSIONALS
2132	Computer programmers	3000	TECHNICIANS AND ASSOCIATE PROFESSIONALS
2139	Computing professionals nec	3100	PHYSICAL & ENGINEERING SCIENCE ASSOCIATE PROFESSIONALS
2140	ARCHITECTS, ENGINEERS ETC PROFESSIONALS	3110	PHYSICAL & ENGINEERING SCIENCE TECHNICIANS
2141	Architects town & traffic planners [incl. Landscape Architect]	3111	Chemical & physical science technicians
2142	Civil engineers [incl. Construction Engineer]	3112	Civil engineering technicians
2143	Electrical engineers	3113	Electrical engineering technicians
2144	Electronics & telecommunications engineers	3114	Electronics & telecommunications engineering technicians
2145	Mechanical engineers	3115	Mechanical engineering technicians
2146	Chemical engineers	3116	Chemical engineering technicians
2147	Mining engineers, metallurgists etc professionals	3117	Mining & metallurgical technicians
2148	Cartographers & surveyors	3118	Draughtpersons [incl. Technical Illustrator]
2149	Architects engineers etc professionals nec [incl. Consultant]	3119	Physical & engineering science technicians nec
2200	LIFE SCIENCE & HEALTH PROFESSIONALS	3120	COMPUTER ASSOCIATE PROFESSIONALS
2210	LIFE SCIENCE PROFESSIONALS	3121	Computer assistants [incl. Assistant Users Services]
2211	Biologists, botanists zoologists etc professionals	3122	Computer equipment operators
2212	Pharmacologists, pathologists etc profess. [incl. Biochemist]	3123	Industrial robot controllers
2213	Agronomists etc professionals	3130	OPTICAL & ELECTRONIC EQUIPMENT OPERATORS
2220	HEALTH PROFESSIONALS (EXCEPT NURSING)	3131	Photographers & electronic equipment operators
2221	Medical doctors	3132	Broadcasting & telecommunications equipment operators
2222	Dentists	3133	Medical equipment operators [incl. X-ray Technician]
2223	Veterinarians	3139	Optical & electronic equipment operators nec
2224	Pharmacists	3140	SHIP & AIRCRAFT CONTROLLERS & TECHNICIANS
2229	Health professionals except nursing nec	3141	Ships engineers
2230	NURSING & MIDWIFERY PROFESS. [incl. Registered Nurses, Midwives]	3142	Ships deck officers & pilots [incl. River Boat Captain]
2300	TEACHING PROFESSIONALS	3143	Aircraft pilots etc associate professionals
2310	HIGHER EDUCATION TEACHING PROFESSIONALS [incl. Univ. Professor]	3144	Air traffic controllers
2320	SECONDARY EDUCATION TEACHING PROFESSIONALS	3145	Air traffic safety technicians
2321	[Sec. teachers, academic track] [incl. Middle School Teacher]	3150	SAFETY & QUALITY INSPECTORS
2322	[Sec. teachers, vocational track] [incl. Vocational Instructor]	3151	Building & fire inspectors
2330	PRIMARY & PRE-PRIMARY EDUCATION TEACHING PROFESSIONALS	3152	Safety, health & quality inspectors
		3200	LIFE SCIENCE & HEALTH ASSOCIATE PROFESSIONALS
		3210	LIFE SCIENCE TECHNICIANS ETC ASSOCIATE PROFESSIONALS

**APPENDIX 7: CODEBOOK FOR PISA 2006 STUDENT QUESTIONNAIRE DATA FILE**

3211	Life science technicians [incl. Medical Laboratory Assistant]	4110	SECRETARIES & KEYBOARD-OPERATING CLERKS
3212	Agronomy & forestry technicians	4111	Stenographers & typists
3213	Farming & forestry advisers	4112	Word-processor etc operators [incl. Teletypist]
3220	MODERN HEALTH ASSOCIATE PROFESSIONALS EXCEPT NURSING	4113	Data entry operators [incl. Key Puncher]
3221	Medical assistants	4114	Calculating-machine operators [incl. Bookkeeping Machine Op.]
3222	Sanitarians	4115	Secretaries
3223	Dieticians & nutritionists	4120	NUMERICAL CLERKS
3224	Optometrists & opticians [incl. Dispensing Optician]	4121	Accounting & bookkeeping clerks [incl. Payroll Clerk]
3225	Dental assistants [incl. Oral Hygienist]	4122	Statistical & finance clerks [incl. Credit Clerk]
3226	Physiotherapists etc associate professionals	4130	MATERIAL-RECORDING & TRANSPORT CLERKS
3227	Veterinary assistants [incl. Veterinarian Vaccinator]	4131	Stock clerks [incl. Weighing Clerk, Storehouse Clerk]
3228	Pharmaceutical assistants	4132	Production clerks [incl. Planning Clerks]
3229	Modern health associate professionals except nursing nec	4133	Transport clerks [incl. Dispatcher, Expeditor]
3230	NURSING & MIDWIFERY ASSOCIATE PROFESSIONALS	4140	LIBRARY, MAIL ETC CLERKS
3231	Nursing associate professionals [incl. Trainee Nurses]	4141	Library & filing clerks
3232	Midwifery associate professionals [incl. Trainee Midwife]	4142	Mail carriers & sorting clerks
3240	TRADITIONAL MEDICINE PRACTITIONERS & FAITH HEALERS	4143	Coding proof-reading etc clerks
3241	Traditional medicine practitioners [incl. Herbalist]	4144	Scribes etc workers [incl. Form Filling Assistance Clerk]
3242	Faith healers	4190	OTHER OFFICE CLERKS [incl. Office Boy, Photocopy Machine Op.]
3300	TEACHING ASSOCIATE PROFESSIONALS	4200	CUSTOMER SERVICES CLERKS [incl. Customer Service Clerk nfs]
3310	PRIMARY EDUCATION TEACHING ASSOCIATE PROFESSIONALS	4210	CASHIERS, TELLERS ETC CLERKS
3320	PRE-PRIMARY EDUCATION TEACHING ASSOCIATE PROFESSIONALS	4211	Cashiers & ticket clerks [incl. Bank/Store, Toll Collector]
3330	SPECIAL EDUCATION TEACHING ASSOCIATE PROFESSIONALS	4212	Tellers & other counter clerks [incl. Bank Teller, Post Office]
3340	OTHER TEACHING ASSOCIATE PROFESSIONALS	4213	Bookmakers & croupiers
3400	OTHER ASSOCIATE PROFESSIONALS	4214	Pawnbrokers & money-lenders
3410	FINANCE & SALES ASSOCIATE PROFESSIONALS	4215	Debt-collectors etc workers
3411	Securities & finance dealers & brokers	4220	CLIENT INFORMATION CLERKS
3412	Insurance representatives [incl. Insurance Agent, Underwriter]	4221	Travel agency etc clerks
3413	[Real] estate agents [incl. Real Estate Broker]	4222	Receptionists & information clerks [incl. Medical Receptionist]
3414	Travel consultants & organisers	4223	Telephone switchboard operators [incl. Telephone Operator]
3415	Technical & commercial sales representatives	5000	SERVICE WORKERS & SHOP & MARKET SALES WORKERS
3416	Buyers	5100	PERSONAL & PROTECTIVE SERVICES WORKERS
3417	Appraisers, valuers & auctioneers [incl. Claims Adjuster]	5110	TRAVEL ATTENDANTS ETC
3419	Finance & sales associate professionals nec	5111	Travel attendants & travel stewards
3420	BUSINESS SERVICES AGENTS AND TRADE BROKERS	5112	Transport conductors [incl. Train Conductor]
3421	Trade brokers	5113	Travel, museum guides
3422	Clearing & forwarding agents	5120	HOUSEKEEPING & RESTAURANT SERVICES WORKERS
3423	Employment agents & labour contractors	5121	Housekeepers etc workers
3429	Business services agents & trade brokers nec	5122	Cooks
3430	ADMINISTRATIVE ASSOCIATE PROFESSIONALS	5123	Waiters, waitresses & bartenders
3431	Administrative secretaries etc associate professionals	5130	PERSONAL CARE ETC WORK
3432	Legal etc business associate profess. [incl. Bailiff, Law Clerk]	5131	Child-care workers [incl. Nursemaid, Governess]
3433	Bookkeepers	5132	Inst.-based personal care workers [incl. Ambulance, Orderly]
3434	Statistical, mathematical etc associate professionals	5133	Home based personal care workers [incl. Attendant]
3439	Administrative associate profess. nec [incl. Management Ass.]	5139	[Other] care etc workers nec [incl. Animal Feeder]
3440	CUSTOMS, TAX ETC GOVERNMENT ASSOCIATE PROFESSIONALS	5140	OTHER PERSONAL SERVICES WORKERS
3441	Customs & border inspectors	5141	Hairdressers, barbers, beauticians etc workers
3442	Government tax & excise officials	5142	Companions & valets [incl. Personal Maid]
3443	Government social benefits officials	5143	Undertakers & embalmers [incl. Funeral Director]
3444	Government licensing officials	5149	Other personal services workers [incl. Escort, Dancing Partner]
3449	Customs tax etc government associate professionals nec	5150	ASTROLOGERS, FORTUNE-TELLERS ETC WORKERS
3450	POLICE INSPECTORS & DETECTIVES / [ARMY]	5151	Astrologers etc workers
3451	Police inspectors & detectives	5152	Fortune-tellers, palmists etc workers
3452	[Armed forces non-commissioned officers] [incl. Sergeant]	5160	PROTECTIVE SERVICES WORKERS
3460	SOCIAL WORK ASSOCIATE PROFESSIONALS	5161	Fire-fighters
3470	ARTISTIC, ENTERTAINMENT & SPORTS ASSOCIATE PROFESSIONALS	5162	Police officers [Incl. Policeman, Constable, Marshall]
3471	Decorators & commercial designers	5163	Prison guards
3472	Radio, television & other announcers	5164	[Armed forces, soldiers] [incl. Enlisted Man]
3473	Street night-club etc musicians, singers & dancers	5169	Protective services workers [Incl. Bodyguard, Coastguard]
3474	Clowns, magicians, acrobats etc associate professionals	5200	[SALESPERSONS, MODELS & DEMONSTRATORS]
3475	Athletes, sports persons etc associate professionals	5210	FASHION & OTHER MODELS [incl. Mannequin, Artists Model]
3480	RELIGIOUS ASSOCIATE PROFESS. [incl. Evangelist, Lay Preacher]	5220	SHOP SALESPERSONS & DEMONSTRATORS
4000	CLERKS	5230	STALL & MARKET SALESPERSONS
4100	OFFICE CLERKS [Incl. Clerk nfs, Government Office Clerk nfs]	6000	SKILLED AGRICULTURAL & FISHERY WORKERS
		6100	MARKET-ORIENTED SKILLED AGRICULTURAL & FISHERY WORKERS



6110	MARKET GARDENERS & CROPGROWERS	7233	[Industrial & agricultural] machinery mechanics & fitters
6111	Field crop & vegetable growers	7234	[Unskilled garage worker] [incl. Oilier-Greaser]
6112	Tree & shrub crop growers	7240	ELECTRICAL & ELECTRONIC EQUIPMENT MECHANICS & FITTERS
6113	Gardeners, horticultural & nursery growers	7241	Electrical mechanics & fitters [incl. Office Machine Repairman]
6114	Mixed-crop growers [Incl. Share Cropper]	7242	Electronics fitters
6120	MARKET-ORIENTED ANIMAL PRODUCERS ETC WORKERS	7243	Electronics mechanics & servicers
6121	Dairy & livestock producers	7244	Telegraph & telephone installers & servicers
6122	Poultry producers [incl. Chicken Farmer, Skilled Hatchery Worker]	7245	Electrical line installers, repairers & cable jointers
6123	Apriarists & sericulturists [incl. Beekeeper, Silkworm Raiser]	7300	PRECISION, HANDICRAFT, PRINTING ETC TRADES WORKERS
6124	Mixed-animal producers	7310	PRECISION WORKERS IN METAL ETC MATERIALS
6129	Market-oriented animal producers etc workers nec	7311	Precision-instr. makers & repairers [incl. Dental, Watch Maker]
6130	MARKET-ORIENTED CROP & ANIMAL PRODUCERS	7312	Musical-instrument makers & tuners
6131	[Mixed farmers]	7313	Jewellery & precious-metal workers [incl. Goldsmith]
6132	[Farm foremen/supervisor]	7320	POTTERS, GLASS-MAKERS ETC TRADES WORKERS
6133	[Farmers nfs]	7321	Abrasive wheel formers, potters etc workers
6134	[Skilled farm workers nfs]	7322	Glass-makers, cutters, grinders & finishers
6140	FORESTRY ETC WORKERS	7323	Glass engravers & etchers
6141	Forestry workers & loggers [incl. Rafter, Timber Cruiser]	7324	Glass ceramics etc decorative painters
6142	Charcoal burners etc workers	7330	HANDICRAFT WORKERS IN WOOD, TEXTILE, LEATHER ETC
6150	FISHERY WORKERS, HUNTERS & TRAPPERS	7331	Handicraft workers in wood etc materials
6151	Aquatic-life cultivation workers	7332	Handicraft workers in textile leather etc materials
6152	Inland & coastal waters fishery workers	7340	PRINTING ETC TRADES WORKERS
6153	Deep-sea fishery workers [incl. Fisherman nfs, Trawler Crewman]	7341	Compositors typesetters etc workers
6154	Hunters & trappers [incl. Whaler]	7342	Stereotypers & electrotypers
6200	SUBSISTENCE AGRICULTURAL & FISHERY WORKERS	7343	Printing engravers & etchers
6210	SUBSISTENCE AGRICULTURAL & FISHERY WORKERS	7344	Photographic etc workers [incl. Darkroom worker]
7000	CRAFT ETC TRADES WORKERS	7345	Bookbinders etc workers
7100	EXTRACTION & BUILDING TRADES WORKERS	7346	Silk-screen, block & textile printers
7110	MINERS, SHOTFIRERS, STONE CUTTERS & CARVERS	7400	OTHER CRAFT ETC TRADES WORKERS
7111	Miners & quarry workers [incl. Miner nfs]	7410	FOOD PROCESSING ETC TRADES WORKERS
7112	Shotfitters & blasters	7411	Butchers, fishmongers etc food preparers
7113	Stone splitters, cutters & carvers [incl. Tombstone Carver]	7412	Bakers, pastry-cooks & confectionery makers
7120	BUILDING FRAME ETC TRADES WORKERS	7413	Dairy-products makers
7121	Builders traditional materials	7414	Fruit, vegetable etc preservers
7122	Bricklayers & stonemasons [incl. Pavour]	7415	Food & beverage tasters & graders
7123	Concrete placers, concrete finishers etc workers	7416	Tobacco preparers & tobacco products makers
7124	Carpenters & joiners	7420	WOOD TREATERS, CABINET-MAKERS ETC TRADES WORKERS
7129	Building frame etc trades workers nec [incl. Scaffolder]	7421	Wood treaters [incl. Wood Grader, Wood Impregnator]
7130	BUILDING FINISHERS ETC TRADES WORKERS	7422	Cabinet-makers etc workers [incl. Cartwright, Cooper]
7131	Roofers	7423	Woodworking-machine setters & setter-operators
7132	Floor layers & tile setters [incl. Parquetry Worker]	7424	Basketry weavers, brush makers etc workers [incl. Broom Maker]
7133	Plasterers [incl. Stucco Mason]	7430	TEXTILE, GARMENT ETC TRADES WORKERS
7134	Insulation workers	7431	Fibre preparers
7135	Glaziers	7432	Weavers, knitters etc workers
7136	Plumbers & pipe fitters [incl. Well Digger]	7433	Tailors, dressmakers & hatters [incl. Milliner]
7137	Building etc electricians	7434	Furriers etc workers
7140	PAINTERS, BUILDING STRUCTURE CLEANERS ETC TRADES WORKERS	7435	Textile, leather etc pattern-makers & cutters
7141	Painters etc workers [incl. Construction Painter, Paperhanger]	7436	Sewers, embroiderers etc workers
7142	Varnishers etc painters [incl. Automobile Painter]	7437	Upholsterers etc workers
7143	Building structure cleaners [incl. Chimney Sweep, Sandblaster]	7440	PELT, LEATHER & SHOEMAKING TRADES WORKERS
7200	METAL, MACHINERY ETC TRADES WORKERS	7441	Pelt dressers, tanners & fellmongers
7210	METAL MOULDERS, WELDERS, SHEETMETAL WORKERS STRUCTURAL METAL	7442	Shoe-makers etc workers
7211	Metal moulders & coremakers	7500	[SKILLED WORKERS NFS]
7212	Welders & flamecutters [incl. Brazier, Solderer]	7510	[MANUAL FOREMEN NFS --NON-FARM--]
7213	Sheet-metal workers [incl. Panel Beater, Coppersmith, Tinsmith]	7520	[SKILLED WORKERS NFS] [incl. Craftsman, Artisan, Tradesman]
7214	Structural-metal preparers & erectors	7530	[APPRENTICE SKILLED WORK NFS]
7215	Riggers & cable splicers	8000	PLANT & MACHINE OPERATORS & ASSEMBLERS
7216	Underwater workers [incl. Frogman]	8100	STATIONARY-PLANT ETC OPERATORS
7220	BLACKSMITHS, TOOL-MAKERS ETC TRADES WORKERS	8110	MINING- & MINERAL-PROCESSING PLANT OPERATORS
7221	Blacksmiths, hammer-smiths & forging press workers	8111	Mining-plant operators
7222	Tool-makers etc workers [incl. Locksmith]	8112	Mineral-ore- & stone-processing-plant operators
7223	Machine-tool setters & setter-operators [Metal driller, Turner]	8113	Well drillers & borers etc workers
7224	Metal wheel-grinders, polishers & tool sharpeners	8120	METAL-PROCESSING-PLANT OPERATORS
7230	MACHINERY MECHANICS & FITTERS	8121	Ore & metal furnace operators
7231	Motor vehicle mechanics & fitters [incl. Bicycle Repairman]	8122	Metal melters, casters & rolling-mill operators
7232	Aircraft engine mechanics & fitters		



8123	Metal-heat-treating-plant operators
8124	Metal drawers & extruders
8130	GLASS, CERAMICS ETC PLANT OPERATORS
8131	Glass & ceramics kiln etc machine operators
8139	Glass, ceramics etc plant operators nec
8140	WOOD-PROCESSING- & PAPERMAKING-PLANT OPERATORS
8141	Wood-processing-plant operators [incl. Sawyer]
8142	Paper-pulp plant operators
8143	Papermaking-plant operators
8150	CHEMICAL-PROCESSING-PLANT OPERATORS
8151	Crushing-, grinding-, & chemical-mixing machinery operators
8152	Chemical-heat-treating-plant operators
8153	Chemical-filtering-, & separating-equipment operators
8154	Chemical-still & reactor operators
8155	Petroleum- & natural-gas-refining-plant operators
8159	Chemical-processing-plant operators nec
8160	POWER-PRODUCTION ETC PLANT OPERATORS
8161	Power-production plant operators
8162	Steam-engine & boiler operators [incl. Stoker]
8163	Incinerator water-treatment etc plant operators
8170	AUTOMATED-ASSEMBLY-LINE & INDUSTRIAL-ROBOT OPERATORS
8171	Automated-assembly-line operators
8172	Industrial-robot operators
8200	MACHINE OPERATORS & ASSEMBLERS
8210	METAL- & MINERAL-PRODUCTS MACHINE OPERATORS
8211	Machine-tool operators [incl. Machine Operator nfs]
8212	Cement & other mineral products machine operators
8220	CHEMICAL-PRODUCTS MACHINE OPERATORS
8221	Pharmaceutical- & toiletry-products machine operators
8222	Ammunition- & explosive-products machine operators
8223	Metal finishing-, plating- & coating-machine operators
8224	Photographic-products machine operators
8229	Chemical-products machine operators nec
8230	RUBBER- & PLASTIC-PRODUCTS MACHINE OPERATORS
8231	Rubber-products machine operators
8232	Plastic-products machine operators
8240	WOOD-PRODUCTS MACHINE OPERATORS
8250	PRINTING-, BINDING- & PAPER-PRODUCTS MACHINE OPERATORS
8251	Printing-machine operators
8252	Bookbinding-machine operators
8253	Paper-products machine operators
8260	TEXTILE-, FUR- & LEATHER-PRODUCTS MACHINE OPERATORS
8261	Fibre-preparing-, spinning- & winding machine operators
8262	Weaving- & knitting-machine operators
8263	Sewing-machine operators
8264	Bleaching-, dyeing- & cleaning-machine operators
8265	Fur & leather-preparing-machine operators
8266	Shoemaking- etc machine operators
8269	Textile-, fur & leather-products machine operators nec
8270	FOOD ETC PRODUCTS MACHINE OPERATORS
8271	Meat- & fish-processing-machine operators
8272	Dairy-products machine operators
8273	Grain- & spice-milling-machine operators
8274	Baked-goods cereal & chocolate-products machine operators
8275	Fruit-, vegetable- & nut-processing-machine operators
8276	Sugar production machine operators
8277	Tea-, coffee- & cocoa-processing-machine operators
8278	Brewers- wine & other beverage machine operators
8279	Tobacco production machine operators
8280	ASSEMBLERS
8281	Mechanical-machinery assemblers [incl. Car Assembly Line Worker]
8282	Electrical-equipment assemblers
8283	Electronic-equipment assemblers
8284	Metal-, rubber- & plastic-products assemblers
8285	Wood etc products assemblers
8286	Paperboard, textile etc products assemblers
8290	OTHER MACHINE OPERATORS & ASSEMBLERS
8300	DRIVERS & MOBILE-PLANT OPERATORS
8310	LOCOMOTIVE-ENGINE DRIVERS ETC WORKERS
8311	Locomotive-engine drivers
8312	Railway brakers signallers & shunters
8320	MOTOR-VEHICLE DRIVERS [incl. Driver nfs]
8321	Motor-cycle drivers
8322	Car, taxi & van drivers [incl. Taxi Owner nfs]
8323	Bus & tram drivers
8324	Heavy truck & lorry drivers
8330	AGRICULTURAL & OTHER MOBILE PLANT OPERATORS
8331	Motorised farm & forestry plant operators [incl. Tractor Driver]
8332	Earth-moving- etc plant operators [incl. Bulldozer Driver]
8333	Crane, hoist etc plant operators
8334	Lifting-truck operators
8340	SHIPS DECK CREWS ETC WORKERS [incl. Boatman, Deck Hand, Sailor]
8400	SEMI-SKILLED WORKERS NFS [Incl. Production Process Worker nfs]
9000	ELEMENTARY OCCUPATIONS
9100	SALES & SERVICES ELEMENTARY OCCUPATIONS
9110	STREET VENDORS ETC WORKERS
9111	Street food vendors
9112	Street vendors non-food products [incl. Hawker, Pedlar]
9113	Door-to-door & tel. salespersons [incl. Solicitor, Canvasser]
9120	STREET SERVICES ELEMENTARY OCCUPATIONS [incl. Billposter]
9130	DOMESTIC ETC HELPERS CLEANERS & LAUNDERERS
9131	Domestic helpers & cleaners [incl. Housemaid, Housekeeper nfs]
9132	Helpers & cleaners in establishments [Kitchen Hand, Chambermaid]
9133	Hand-laundlers & pressers
9140	BUILDING CARETAKERS, WINDOW ETC CLEANERS
9141	Building caretakers [incl. Janitor, Sexton, Verger]
9142	Vehicle, window etc cleaners
9150	MESSENGERS, PORTERS, DOORKEEPERS ETC WORKERS
9151	Messengers, package & luggage porters & deliverers
9152	Doorkeepers, watch-persons etc workers
9153	Vending-machine money collectors, meter readers etc workers
9160	GARBAGE COLLECTORS ETC LABOURERS
9161	Garbage collectors [incl. Dustwoman]
9162	Sweepers etc labourers [incl. Odd-Job Worker]
9200	AGRICULTURAL, FISHERY ETC LABOURERS
9210	AGRICULTURAL, FISHERY ETC LABOURERS
9211	Farm-hands & labourers [incl. Cowherd, Farm Helper, Fruit Picker]
9212	Forestry labourers
9213	Fishery, hunting & trapping labourers
9300	LABOURERS IN MINING, CONSTRUCTION, MANUFACTURING & TRANSPORT
9310	MINING & CONSTRUCTION LABOURERS
9311	Mining & quarrying labourers
9312	Construction & maintenance labourers: roads dams etc
9313	Building construction labourers [incl. Handyman, Hod Carrier]
9320	MANUFACTURING LABOURERS
9321	Assembling labourers [incl. Sorter, Bottle Sorter, Winder]
9322	Handpackers & other manufacturing labourers [incl. Crater]
9330	TRANSPORT LABOURERS & FREIGHT HANDLERS
9331	Hand or pedal vehicle drivers [incl. Rickshaw Driver]
9332	Drivers of animal-drawn vehicles & machinery
9333	Freight handlers [incl. Docker, Loader, Longshoreman, Remover]
9501	Housewife
9502	Student
9503	Social beneficiary (unemployed, retired, sickness, etc.)
9504	Do not know
9505	Vague(a good job, a quiet job, a well paid job, an office job)
9997	N/A
9998	Invalid
9999	Missing

**ST06Q01 (13) Mother <highest schooling> Q6**

Format:	F1.0	Columns:	36-36
1	Completed ISCED 3A		
2	Completed ISCED 3B, 3C		
3	Completed ISCED 2		
4	Completed ISCED 1		
5	Did not complete ISCED 1		
7	N/A		
8	Invalid		
9	Missing		

ST11Q01 (22) Self born in country Q11a

Format:	F1.0	Columns:	48-48
1	Country of test		
2	Other Country		
7	N/A		
8	Invalid		
9	Missing		

ST11Q02 (23) Mother born in country Q11a

Format:	F1.0	Columns:	49-49
1	Country of test		
2	Other Country		
7	N/A		
8	Invalid		
9	Missing		

ST11Q03 (24) Father born in country Q11a

Format:	F1.0	Columns:	50-50
1	Country of test		
2	Other Country		
7	N/A		
8	Invalid		
9	Missing		

ST11Q04 (25) Country arrival age Q11b

Format:	F2.0	Columns:	51-52
97	N/A		
98	Invalid		
99	Missing		

ST12Q01 (26) Language at home Q12

Format:	F1.0	Columns:	53-53
1	Language of test		
2	Other national language		
3	Other language		
7	N/A		
8	Invalid		
9	Missing		

ST07Q03 (16) Mother <ISCED 4> Q7c

Format:	F1.0	Columns:	39-39
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

ST08Q01 (17) Father ISCO Code Q8a

Format:	A4	Columns:	40-43
<i>See ST05Q01 for labels</i>			

ST09Q01 (18) Father <highest schooling> Q9

Format:	F1.0	Columns:	44-44
1	Completed ISCED 3A		
2	Completed ISCED 3B, 3C		
3	Completed ISCED 2		
4	Completed ISCED 1		
5	Did not complete ISCED 1		
7	N/A		
8	Invalid		
9	Missing		

ST10Q01 (19) Father <ISCED 5A or 6> Q10a

Format:	F1.0	Columns:	45-45
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

ST10Q02 (20) Father <ISCED 5B> Q10b

Format:	F1.0	Columns:	46-46
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

ST10Q03 (21) Father <ISCED 4> Q10c

Format:	F1.0	Columns:	47-47
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

ST13Q01 (27) Possessions desk Q13a

Format:	F1.0	Columns:	54-54
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

ST13Q02 (28) Possessions own room Q13b

Format:	F1.0	Columns:	55-55
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

ST13Q03 (29) Possessions study place Q13c

Format:	F1.0	Columns:	56-56
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

ST13Q04 (30) Possessions computer Q13d

Format:	F1.0	Columns:	57-57
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		



ST13Q05 (31) Possessions software Q13e	
Format:	F1.0 Columns: 58-58
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

ST13Q06 (32) Possessions Internet Q13f	
Format:	F1.0 Columns: 59-59
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

ST13Q07 (33) Possessions calculator Q13g	
Format:	F1.0 Columns: 60-60
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

ST13Q08 (34) Possessions literature Q13h	
Format:	F1.0 Columns: 61-61
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

ST13Q09 (35) Possessions poetry Q13i	
Format:	F1.0 Columns: 62-62
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

ST13Q10 (36) Possessions art Q13j	
Format:	F1.0 Columns: 63-63
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

ST13Q11 (37) Possessions textbooks Q13k	
Format:	F1.0 Columns: 64-64
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

ST13Q12 (38) Possessions dictionary Q13l	
Format:	F1.0 Columns: 65-65
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

ST13Q13 (39) Possessions dishwasher Q13m	
Format:	F1.0 Columns: 66-66
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

ST13Q14 (40) Possessions <DVD or VCR> Q13n	
Format:	F1.0 Columns: 67-67
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

ST13Q15 (41) Possessions <country-specific item 1> Q13o	
Format:	A6 Columns: 68-73
031001	AZE: Satellite Dish
031002	AZE: No Satellite Dish
032001	ARG: Cable TV (Direct TV, Cablevision, etc.)
032002	ARG: No Cable TV (Direct TV, Cablevision, etc.)
036001	AUS: Cable/Pay TV
036002	AUS: No Cable/Pay TV
040001	AUT: MP3 Player
040002	AUT: AUT: No MP3 Player
056011	QBL: Home Cinema
056012	QBL: No Home Cinema
056961	QBF: Home Cinema (LCD screen...)
056962	QBF: No Home Cinema (LCD screen...)
076001	BRA: Personal Mobile Phone
076002	BRA: No Personal Mobile Phone
100001	BGR: Air Conditioning
100002	BGR: No Air Conditioning
124001	CAN: MP3 Player/iPod
124002	CAN: No MP3 Player/iPod
152001	CHL: Hot Water
152002	CHL: No Hot Water
158001	TAP: Musical Instrument
158002	TAP: No Musical Instrument
170001	COL: Refrigerator
170002	COL: No Refrigerator
191001	HRV: Video Camera
191002	HRV: No Video Camera
203001	CZE: Digital Camera (not part of a mobile phone)
203002	CZE: No Digital Camera (not part of a mobile phone)
208001	DNK: Colour Printer
208002	DNK: No Colour Printer
233001	EST: Video Camera
233002	EST: No Video Camera
246001	FIN: Digital Camera
246002	FIN: No Digital Camera
250001	FRA: Flat Screen TV
250002	FRA: No Flat Screen TV
276001	DEU: Subscription to a Newspaper
276002	DEU: No Subscription to a Newspaper
300001	GRC: Home Cinema
300002	GRC: No Home Cinema
344001	HKG: Digital Camera /Video Recorder
344002	HKG: No Digital Camera /Video Recorder
348001	HUN: Automatic Washing Machine
348002	HUN: No Automatic Washing Machine
352001	ISL: Security Service or Security System
352002	ISL: No Security Service or Security System
360001	IDN: Washing Machine
360002	IDN: No Washing Machine
372001	IRL: MP3 Player (e.g. iPod)
372002	IRL: No MP3 Player (e.g. iPod)
376001	ISR: Home Alarm System
376002	ISR: No Home Alarm System
380001	ITA: Antique Furniture
380002	ITA: No Antique Furniture
392001	JPN: Digital Camera
392002	JPN: No Digital Camera
400001	JOR: Central Heating
400002	JOR: No Central Heating
410001	KOR: Air Conditioning
410002	KOR: No Air Conditioning
417001	KGZ: Camera



417002	KGZ: No Camera	036002	AUS: No Digital Camera
428001	LVA: Bicycle	040001	AUT: Digital Camera
428002	LVA: No Bicycle	040002	AUT: No Digital Camera
438001	LIE: MP3 Player or iPod	056011	QBL: Alarm System
438002	LIE: No MP3 Player or iPod	056012	QBL: No Alarm System
440001	LTU: Digital Camera	056961	QBF: Alarm System
440002	LTU: No Digital Camera	056962	QBF: No Alarm System
442001	LUX: Digital Camera	076001	BRA: Cable TV
442002	LUX: No Digital Camera	076002	BRA: No Cable TV
446001	MAC: Video Game Machine	100001	BGR: Freezer
446002	MAC: No Video Game Machine	100002	BGR: No Freezer
484001	MEX: Pay TV	124001	CAN: Subscription to a Daily Newspaper
484002	MEX: No Pay TV	124002	CAN: No Subscription to a Daily Newspaper
499001	MNE: Cable TV	152001	CHL: Washing Machine
499002	MNE: No Cable TV	152002	CHL: No Washing Machine
528001	NLD: Digital Camera (not part of mobile phone or laptop computer)	158001	TAP: iPod
528002	NLD: No Digital Camera (not part of mobile phone or laptop computer)	158002	TAP: No iPod
554001	NZL: Broadband Internet Connection	170001	COL: Cable TV or Direct to Home TV
554002	NZL: No Broadband Internet Connection	170002	COL: No Cable TV or Direct to Home TV
578001	NOR: Cleaner	191001	HRV: Clothes Dryer
578002	NOR: No Cleaner	191002	HRV: No Clothes Dryer
616001	POL: Cable TV with at least 30 channels	203001	CZE: Digital Video Camera
616002	POL: POL: No Cable TV with at least 30 channels	203002	CZE: No Digital Video Camera
620001	PRT: Cable TV or Satellite Dish	208001	DNK: MP3 Player
620002	PRT: PRT: No Cable TV or Satellite Dish	208002	DNK: No MP3 Player
634001	QAT: MP3 Walkman	233001	EST: Hi-Fi
634002	QAT: QAT: No MP3 Walkman	233002	EST: No Hi-Fi
642001	ROU: Video Camera / Digital Photo Camera	246001	FIN: Wide Screen TV
642002	ROU: No Video Camera / Digital Photo Camera	246002	FIN: No Wide Screen TV
643001	RUS: Digital Camera or Video Camera	250001	FRA: Digital Camera (not part of a mobile phone)
643002	RUS: RUS: No Digital Camera or Video Camera	250002	FRA: No Digital Camera (not part of a mobile phone)
688001	SRB: Digital Camera	276001	DEU: Video Camera
688002	SRB: SRB: No Digital Camera	276002	DEU: No Video Camera
703001	SVK: Video Camera	300001	GRC: Cable TV (Nova, Filmnet,etc.)
703002	SVK: SVK: No Video Camera	300002	GRC: No Cable TV (Nova, Filmnet,etc.)
705001	SVN: Digital Camera or Video Camera	344001	HKG: Musical Instrument (e.g. piano, violin)
705002	SVN: SVN: No Digital Camera or Video Camera	344002	HKG: No Musical Instrument (e.g. piano, violin)
724001	ESP: Video Camera	348001	HUN: Video Camera
724002	ESP: ESP: No Video Camera	348002	HUN: No Video Camera
752001	SWE: Piano	352001	ISL: Satellite Dish
752002	SWE: SWE: No Piano	352002	ISL: No Satellite Dish
756001	CHE: MP3 Player or iPod	360001	IDN: Motorcycle
756002	CHE: CHE: No MP3 Player or iPod	360002	IDN: No Motorcycle
764001	THA: Air Conditioning	372001	IRL: Bedroom with an En-suite Bathroom
764002	THA: THA: No Air Conditioning	372002	IRL: No Bedroom with an En-suite Bathroom
788001	TUN: Satellite Dish	376001	ISR: Digital Camera
788002	TUN: TUN: No Satellite Dish	376002	ISR: No Digital Camera
792001	TUR: Air-Conditioning-type Heating and Cooling System	380001	ITA: Plasma TV Set
792002	TUR: TUR: No Air-Conditioning-type Heating and Cooling System	380002	ITA: No Plasma TV Set
826101	QUK: Digital TV	392001	JPN: Plasma/Liquid Crystal TV
826102	QUK: QUK: No Digital TV	392002	JPN: No Plasma/Liquid Crystal TV
826201	QSC: Video Camera	400001	JOR: Satellite Dish
826202	QSC: QSC: No Video Camera	400002	JOR: No Satellite Dish
840001	USA: Guest Room	410001	KOR: Digital Camera
840002	USA: USA: No Guest Room	410002	KOR: No Digital Camera
858001	URY: Television Subscription	417001	KGZ: Vacuum Cleaner
858002	URY: URY: No Television Subscription	417002	KGZ: No Vacuum Cleaner
999997	N/A	428001	LVA: Snowboard
999998	Invalid	428002	LVA: No Snowboard
999999	Missing	438001	LIE: Digital Camera
		438002	LIE: No Digital Camera
		440001	LTU: Press Subscription Edition (newspaper, magazine)
		440002	LTU: No Press Subscription Edition (newspaper, magazine)
		442001	LUX: MP3 Player
		442002	LUX: No MP3 Player
		446001	MAC: Digital Camera
		446002	MAC: No Digital Camera
		484001	MEX: Telephone Line
		484002	MEX: No Telephone Line

ST13Q16 (42) Possessions <country-specific item 2> Q13p

Format: A6	Columns: 74-79
031001	AZE: Video Camera
031002	AZE: No Video Camera
032001	ARG: Telephone Line
032002	ARG: No Telephone Line
036001	AUS: Digital Camera

**APPENDIX 7: CODEBOOK FOR PISA 2006 STUDENT QUESTIONNAIRE DATA FILE**

499001	MNE: Jacuzzi
499002	MNE: No Jacuzzi
528001	NLD: Piano
528002	NLD: No Piano
554001	NZL: Digital Camera (not part of mobile phone)
554002	NZL: No Digital Camera (not part of mobile phone)
578001	NOR: Plasma/LCD TV
578002	NOR: No Plasma/LCD TV
616001	POL: Digital Camera
616002	POL: No Digital Camera
620001	PRT: Plasma or LCD Screen TV
620002	PRT: No Plasma or LCD Screen TV
634001	QAT: Digital Video Camera
634002	QAT: No Digital Video Camera
642001	ROU: Cable TV
642002	ROU: No Cable TV
643001	RUS: Home Cinema
643002	RUS: No Home Cinema
688001	SRB: Laundry Drying Machine
688002	SRB: No Laundry Drying Machine
703001	SVK: Digital Camera (not part of mobile phone)
703002	SVK: No Digital Camera (not part of mobile phone)
705001	SVN: Personal MP3 Player
705002	SVN: No Personal MP3 Player
724001	ESP: Satellite Dish or Digital TV Set
724002	ESP: No Satellite Dish or Digital TV Set
752001	SWE: Video Camera
752002	SWE: No Video Camera
756001	CHE: Digital Camera
756002	CHE: No Digital Camera
764001	THA: Washing Machine
764002	THA: No Washing Machine
788001	TUN: Digital Camera
788002	TUN: No Digital Camera
792001	TUR: Treadmill (fitness equipment device)
792002	TUR: No Treadmill (fitness equipment device)
826101	QUK: Digital Camcorder
826102	QUK: No Digital Camcorder
826201	QSC: Plasma Screen TV
826202	QSC: No Plasma Screen TV
840001	USA: High-Speed Internet Connection
840002	USA: No High-Speed Internet Connection
858001	URY: Washing Machine
858002	URY: No Washing Machine
999997	N/A
999998	Invalid
999999	Missing

ST13Q17 (43) Possessions <country-specific item 3> Q13q

Format:	A6	Columns: 80-85
031001	AZE: Colour Printer	
031002	AZE: No Colour Printer	
032001	ARG: Refrigerator with Freezer	
032002	ARG: No Refrigerator with Freezer	
036001	AUS: Plasma TV	
036002	AUS: No Plasma TV	
040001	AUT: Digital Video Camera	
040002	AUT: No Digital Video Camera	
056011	QBL: Plasma or LCD TV	
056012	QBL: No Plasma or LCD TV	
056961	QBF: Housekeeper	
056962	QBF: No Housekeeper	
076001	BRA: Video Game	
076002	BRA: No Video Game	
100001	BGR: Digital Camera	
100002	BGR: No Digital Camera	
124001	CAN: Central Air Conditioning	
124002	CAN: No Central Air Conditioning	
152001	CHL: Digital Video Camera	
152002	CHL: No Digital Video Camera	

158001	TAP: Jacuzzi Bath
158002	TAP: No Jacuzzi Bath
170001	COL: Encyclopedia
170002	COL: No Encyclopedia
191001	HRV: Air Conditioning
191002	HRV: No Air Conditioning
203001	CZE: Personal Discman or MP3 Player
203002	CZE: No Personal Discman or MP3 Player
208001	DNK: Digital Camera
208002	DNK: No Digital Camera
233001	EST: Broadband Internet Connection
233002	EST: No Broadband Internet Connection
246001	FIN: Fitness Equipment (e.g. exercise bike, rowing machine)
246002	FIN: No Fitness Equipment (e.g. exercise bike, rowing machine)
250001	FRA: Laptop Computer
250002	FRA: No Laptop Computer
276001	DEU: ISDN Connection
276002	DEU: No ISDN Connection
300001	GRC: Alarm System
300002	GRC: No Alarm System
344001	HKG: Pay TV Channel
344002	HKG: No Pay TV Channel
348001	HUN: Digital Camera (not part of a mobile phone)
348002	HUN: No Digital Camera (not part of a mobile phone)
352001	ISL: Plasma TV or TV Projector
352002	ISL: No Plasma TV or TV Projector
360001	IDN: Air Conditioning
360002	IDN: No Air Conditioning
372001	IRL: Premium Cable TV Package (e.g. Sky Movies, Sky Sports)
372002	IRL: No Premium Cable TV Package (e.g. Sky Movies, Sky Sports)
376001	ISR: Home Movie Theatre
376002	ISR: No Home Movie Theatre
380001	ITA: Air Conditioning
380002	ITA: No Air Conditioning
392001	JPN: Clothing Dryer
392002	JPN: No Clothing Dryer
400001	JOR: Digital Camera
400002	JOR: No Digital Camera
410001	KOR: Water Purifier
410002	KOR: No Water Purifier
417001	KGZ: Imported Clothes Washing Machine such as Ariston or Indesit
417002	KGZ: No Imported Clothes Washing Machine such as Ariston or Indesit
428001	LVA: Digital Camera
428002	LVA: No Digital Camera
438001	LIE: Digital Video Camera
438002	LIE: No Digital Video Camera
440001	LTU: MP3 Player
440002	LTU: No MP3 Player
442001	LUX: Flat Screen TV
442002	LUX: No Flat Screen TV
446001	MAC: MP3 Player
446002	MAC: No MP3 Player
484001	MEX: Microwave Oven
484002	MEX: No Microwave Oven
499001	MNE: Digital Camera
499002	MNE: No Digital Camera
528001	NLD: Laptop
528002	NLD: No Laptop
554001	NZL: Clothes Dryer
554002	NZL: No Clothes Dryer
578001	NOR: Spa Bath
578002	NOR: No Spa Bath
616001	POL: Telescope or Microscope
616002	POL: No Telescope or Microscope
620001	PRT: Central Heating or Air Conditioning Equipment
620002	PRT: No Central Heating or Air Conditioning Equipment



634001	QAT: X-Box
634002	QAT: No X-Box
642001	ROU: Air Conditioning
642002	ROU: No Air Conditioning
643001	RUS: Satellite Antenna
643002	RUS: No Satellite Antenna
688001	SRB: Cable TV
688002	SRB: No Cable TV
703001	SVK: Satellite Receiver or Cable TV
703002	SVK: No Satellite Receiver or Cable TV
705001	SVN: Sauna
705002	SVN: No Sauna
724001	ESP: Home Cinema Set
724002	ESP: No Home Cinema Set
752001	SWE: Wall TV
752002	SWE: No Wall TV
756001	CHE: Digital Video Camera
756002	CHE: No Digital Video Camera
764001	THA: Microwave Oven
764002	THA: No Microwave Oven
788001	TUN: Washing Machine
788002	TUN: No Washing Machine
792001	TUR: Home Cinema System (5+1)
792002	TUR: No Home Cinema System (5+1)
826101	QUK: Swimming Pool
826102	QUK: No Swimming Pool
826201	QSC: Broadband Internet Connection
826202	QSC: No Broadband Internet Connection
840001	USA: iPod or MP3 Player
840002	USA: No iPod or MP3 Player
858001	URY: Microwave Oven
858002	URY: No Microwave Oven
999997	N/A
999998	Invalid
999999	Missing

3	Two
4	Three or more
7	N/A
8	Invalid
9	Missing

ST15Q01 (48) How many books at home Q15

Format: F1.0	Columns: 90-90
1	0-10 books
2	11-25 books
3	26-100 books
4	101-200 books
5	201-500 books
6	More than 500 books
7	N/A
8	Invalid
9	Missing

ST16Q01 (49) Sci enjoyment – Have fun Q16a

Format: F1.0	Columns: 91-91
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST16Q02 (50) Sci enjoyment – Like reading Q16b

Format: F1.0	Columns: 92-92
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST14Q01 (44) How many cell phones Q14a

Format: F1.0	Columns: 86-86
1	None
2	One
3	Two
4	Three or more
7	N/A
8	Invalid
9	Missing

ST16Q03 (51) Sci enjoyment – Sci problems Q16c

Format: F1.0	Columns: 93-93
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST14Q02 (45) How many televisions Q14b

Format: F1.0	Columns: 87-87
1	None
2	One
3	Two
4	Three or more
7	N/A
8	Invalid
9	Missing

ST16Q04 (52) Sci enjoyment – New knowledge Q16d

Format: F1.0	Columns: 94-94
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST14Q03 (46) How many computers Q14c

Format: F1.0	Columns: 88-88
1	None
2	One
3	Two
4	Three or more
7	N/A
8	Invalid
9	Missing

ST16Q05 (53) Sci enjoyment – Learning science Q16e

Format: F1.0	Columns: 95-95
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST14Q04 (47) How many cars Q14d

Format: F1.0	Columns: 89-89
1	None
2	One

ST17Q01 (54) Sci tasks – Newspaper Q17a

Format: F1.0	Columns: 96-96
1	Do easily



2	With some effort
3	Struggle on own
4	Couldn't do it
7	N/A
8	Invalid
9	Missing

ST17Q02 (55) Sci tasks – Earthquakes Q17b

Format:	F1.0	Columns:	97-97
1	Do easily		
2	With some effort		
3	Struggle on own		
4	Couldn't do it		
7	N/A		
8	Invalid		
9	Missing		

ST17Q03 (56) Sci tasks – Antibiotics Q17c

Format:	F1.0	Columns:	98-98
1	Do easily		
2	With some effort		
3	Struggle on own		
4	Couldn't do it		
7	N/A		
8	Invalid		
9	Missing		

ST17Q04 (57) Sci tasks – Garbage Q17d

Format:	F1.0	Columns:	99-99
1	Do easily		
2	With some effort		
3	Struggle on own		
4	Couldn't do it		
7	N/A		
8	Invalid		
9	Missing		

ST17Q05 (58) Sci tasks – Species survival Q17e

Format:	F1.0	Columns:	100-100
1	Do easily		
2	With some effort		
3	Struggle on own		
4	Couldn't do it		
7	N/A		
8	Invalid		
9	Missing		

ST17Q06 (59) Sci tasks – Food labels Q17f

Format:	F1.0	Columns:	101-101
1	Do easily		
2			
3	Struggle on own		
4	Couldn't do it		
7	N/A		
8	Invalid		
9	Missing		

ST17Q07 (60) Sci tasks – Life on Mars Q17g

Format:	F1.0	Columns:	102-102
1	Do easily		
2	With some effort		
3	Struggle on own		
4	Couldn't do it		
7	N/A		
8	Invalid		
9	Missing		

ST17Q08 (61) Sci tasks – Acid rain Q17h

Format:	F1.0	Columns:	103-103
1	Do easily		
2	With some effort		

3	Struggle on own
4	Couldn't do it
7	N/A
8	Invalid
9	Missing

ST18Q01 (62) Sci value – Living conditions Q18a

Format:	F1.0	Columns:	104-104
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

ST18Q02 (63) Sci value – Natural world Q18b

Format:	F1.0	Columns:	105-105
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

ST18Q03 (64) Sci value – Relate to others Q18c

Format:	F1.0	Columns:	106-106
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

ST18Q04 (65) Sci value – Improve economy Q18d

Format:	F1.0	Columns:	107-107
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

ST18Q05 (66) Sci value – Use as adult Q18e

Format:	F1.0	Columns:	108-108
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

ST18Q06 (67) Sci value – Value to society Q18f

Format:	F1.0	Columns:	109-109
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

ST18Q07 (68) Sci value – Relevant to me Q18g

Format:	F1.0	Columns:	110-110
1	Strongly agree		
2	Agree		
3	Disagree		



4	Strongly disagree
7	N/A
8	Invalid
9	Missing

7	N/A
8	Invalid
9	Missing

ST18Q08 (69) Sci value – Help understand Q18h

Format: F1.0	Columns: 111-111
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST19Q05 (76) Sci activity – Science mags Q19e

Format: F1.0	Columns: 118-118
1	Very often
2	Regularly
3	Sometimes
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST18Q09 (70) Sci value – Social benefits Q18i

Format: F1.0	Columns: 112-112
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST19Q06 (77) Sci activity – Science club Q19f

Format: F1.0	Columns: 119-119
1	Very often
2	Regularly
3	Sometimes
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST18Q10 (71) Sci value – Opportunities Q18j

Format: F1.0	Columns: 113-113
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST20QA1 (78) Sci info – Photosynthesis – none Q20a

Format: F1.0	Columns: 120-120
1	Tick
2	No Tick
7	N/A
8	Invalid

ST19Q01 (72) Sci activity – Science TV Q19a

Format: F1.0	Columns: 114-114
1	Very often
2	Agree
3	Sometimes
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST20QA2 (79) Sci info – Photosynthesis – school Q20a

Format: F1.0	Columns: 121-121
1	Tick
2	No Tick
7	N/A
8	Invalid

ST19Q02 (73) Sci activity – Science books Q19b

Format: F1.0	Columns: 115-115
1	Very often
2	Agree
3	Sometimes
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST20QA3 (80) Sci info – Photosynthesis – media Q20a

Format: F1.0	Columns: 122-122
1	Tick
2	No Tick
7	N/A
8	Invalid

ST19Q03 (74) Sci activity – Web content Q19c

Format: F1.0	Columns: 116-116
1	Very often
2	Regularly
3	Sometimes
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST20QA4 (81) Sci info – Photosynthesis – friends Q20a

Format: F1.0	Columns: 123-123
1	Tick
2	No Tick
7	N/A
8	Invalid

ST19Q04 (75) Sci activity – Science radio Q19d

Format: F1.0	Columns: 117-117
1	Very often
2	Regularly
3	Sometimes
4	Hardly ever

ST20QA5 (82) Sci info – Photosynthesis – family Q20a

Format: F1.0	Columns: 124-124
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QA6 (83) Sci info – Photosynthesis – Internet or books Q20a

Format: F1.0	Columns: 125-125
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QB1 (84) Sci info – Continents – none Q20b

Format: F1.0	Columns: 126-126
1	Tick

**APPENDIX 7: CODEBOOK FOR PISA 2006 STUDENT QUESTIONNAIRE DATA FILE**

7	N/A
8	Invalid

ST20QB2 (85) Sci info – Continents – school Q20b

Format: F1.0	Columns: 127-127
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QB3 (86) Sci info – Continents – media Q20b

Format: F1.0	Columns: 128-128
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QB4 (87) Sci info – Continents – friends Q20b

Format: F1.0	Columns: 129-129
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QB5 (88) Sci info – Continents – family Q20b

Format: F1.0	Columns: 130-130
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QB6 (89) Sci info – Continents – Internet or books Q20b

Format: F1.0	Columns: 131-131
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QC1 (90) Sci info – Genes – none Q20c

Format: F1.0	Columns: 132-132
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QC2 (91) Sci info – Genes – school Q20c

Format: F1.0	Columns: 133-133
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QC3 (92) Sci info – Genes – media Q20c

Format: F1.0	Columns: 134-134
1	Tick
2	2
7	N/A
8	Invalid

ST20QC4 (93) Sci info – Genes – friends Q20c

Format: F1.0	Columns: 135-135
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QC5 (94) Sci info – Genes – family Q20c

Format: F1.0	Columns: 136-136
1	Tick
2	No Tick

7	N/A
8	Invalid

ST20QC6 (95) Sci info – Genes – Internet or books Q20c

Format: F1.0	Columns: 137-137
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QD1 (96) Sci info – Soundproofing – none Q20d

Format: F1.0	Columns: 138-138
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QD2 (97) Sci info – Soundproofing – school Q20d

Format: F1.0	Columns: 139-139
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QD3 (98) Sci info – Soundproofing – media Q20d

Format: F1.0	Columns: 140-140
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QD4 (99) Sci info – Soundproofing – friends Q20d

Format: F1.0	Columns: 141-141
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QD5 (100) Sci info – Soundproofing – family Q20d

Format: F1.0	Columns: 142-142
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QD6 (101) Sci info – Soundproofing – Internet or books Q20d

Format: F1.0	Columns: 143-143
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QE1 (102) Sci info – Climate change – none Q20e

Format: F1.0	Columns: 144-144
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QE2 (103) Sci info – Climate change – school Q20e

Format: F1.0	Columns: 145-145
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QE3 (104) Sci info – Climate change – media Q20e

Format: F1.0	Columns: 146-146
1	Tick



7	N/A
8	Invalid

ST20QE4 (105) Sci info – Climate change – friends Q20e

Format: F1.0	Columns: 147-147
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QE5 (106) Sci info – Climate change – family Q20e

Format: F1.0	Columns: 148-148
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QE6 (107) Sci info – Climate change – Internet or books Q20e

Format: F1.0	Columns: 149-149
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QF1 (108) Sci info – Evolution – none Q20f

Format: F1.0	Columns: 150-150
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QF2 (109) Sci info – Evolution – school Q20f

Format: F1.0	Columns: 151-151
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QF3 (110) Sci info – Evolution – media Q20f

Format: F1.0	Columns: 152-152
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QF4 (111) Sci info – Evolution – friends Q20f

Format: F1.0	Columns: 153-153
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QF5 (112) Sci info – Evolution – family Q20f

Format: F1.0	Columns: 154-154
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QF6 (113) Sci info – Evolution – Internet or books Q20f

Format: F1.0	Columns: 155-155
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QG1 (114) Sci info – Nuclear energy – none Q20g

Format: F1.0	Columns: 156-156
1	Tick
2	No Tick

7	N/A
8	Invalid

ST20QG2 (115) Sci info – Nuclear energy – school Q20g

Format: F1.0	Columns: 157-157
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QG3 (116) Sci info – Nuclear energy – media Q20g

Format: F1.0	Columns: 158-158
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QG4 (117) Sci info – Nuclear energy – friends Q20g

Format: F1.0	Columns: 159-159
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QG5 (118) Sci info – Nuclear energy – family Q20g

Format: F1.0	Columns: 160-160
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QG6 (119) Sci info – Nuclear energy – Internet or books Q20g

Format: F1.0	Columns: 161-161
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QH1 (120) Sci info – Health – none Q20h

Format: F1.0	Columns: 162-162
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QH2 (121) Sci info – Health – school Q20h

Format: F1.0	Columns: 163-163
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QH3 (122) Sci info – Health – media Q20h

Format: F1.0	Columns: 164-164
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QH4 (123) Sci info – Health – friends Q20h

Format: F1.0	Columns: 165-165
1	Tick
2	No Tick
7	N/A
8	Invalid

ST20QH5 (124) Sci info – Health – family Q20h

Format: F1.0	Columns: 166-166
1	Tick
2	No Tick
7	N/A
8	Invalid

**APPENDIX 7: CODEBOOK FOR PISA 2006 STUDENT QUESTIONNAIRE DATA FILE**

ST20QH6 (125) Sci info – Health – Internet or books Q20h	
Format:	F1.0 Columns: 167-167
1	Tick
2	No Tick
7	N/A
8	Invalid

ST21Q01 (126) Sci interest – Physics Q21a	
Format:	F1.0 Columns: 168-168
1	High Interest
2	Medium Interest
3	Low Interest
4	No Interest
7	N/A
8	Invalid
9	Missing

ST21Q02 (127) Sci interest – Chemistry Q21b	
Format:	F1.0 Columns: 169-169
1	High Interest
2	Medium Interest
3	Low Interest
4	No Interest
7	N/A
8	Invalid
9	Missing

ST21Q03 (128) Sci interest – Plant biology Q21c	
Format:	F1.0 Columns: 170-170
1	High Interest
2	Medium Interest
3	Low Interest
4	No Interest
7	N/A
8	Invalid
9	Missing

ST21Q04 (129) Sci interest – Human biology Q21d	
Format:	F1.0 Columns: 171-171
1	High Interest
2	Medium Interest
3	Low Interest
4	No Interest
7	N/A
8	Invalid
9	Missing

ST21Q05 (130) Sci interest – Astronomy Q21e	
Format:	F1.0 Columns: 172-172
1	High Interest
2	Medium Interest
3	Low Interest
4	No Interest
7	N/A
8	Invalid
9	Missing

ST21Q06 (131) Sci interest – Geology Q21f	
Format:	F1.0 Columns: 173-173
1	High Interest
2	Medium Interest
3	Low Interest
4	No Interest
7	N/A
8	Invalid
9	Missing

ST21Q07 (132) Sci interest – Experiments Q21g	
Format:	F1.0 Columns: 174-174
1	High Interest
2	Medium Interest

3	Low Interest
4	No Interest
7	N/A
8	Invalid
9	Missing

ST21Q08 (133) Sci interest – Explanations Q21h	
Format:	F1.0 Columns: 175-175
1	High Interest
2	Medium Interest
3	Low Interest
4	No Interest
7	N/A
8	Invalid
9	Missing

ST22Q01 (134) Envr aware – Greenhouse Q22a	
Format:	F1.0 Columns: 176-176
1	Never heard
2	Know a little
3	Know something
4	Familiar
7	N/A
8	Invalid
9	Missing

ST22Q02 (135) Envr aware – Genetic modified Q22b	
Format:	F1.0 Columns: 177-177
1	Never heard
2	Know a little
3	Know something
4	Familiar
7	N/A
8	Invalid
9	Missing

ST22Q03 (136) Envr aware – Acid rain Q22c	
Format:	F1.0 Columns: 178-178
1	Never heard
2	Know a little
3	Know something
4	Familiar
7	N/A
8	Invalid
9	Missing

ST22Q04 (137) Envr aware – Nuclear waste Q22d	
Format:	F1.0 Columns: 179-179
1	Never heard
2	Know a little
3	Know something
4	Familiar
7	N/A
8	Invalid
9	Missing

ST22Q05 (138) Envr aware – Forest clearing Q22e	
Format:	F1.0 Columns: 180-180
1	Never heard
2	Know a little
3	Know something
4	Familiar
7	N/A
8	Invalid
9	Missing

ST23QA1 (139) Envr info – Air pollution – none Q23a	
Format:	F1.0 Columns: 181-181
1	Tick
2	No Tick
7	N/A
8	Invalid



ST23QA2 (140) Envr info – Air pollution – school Q23a	ST23QB6 (150) Envr info – Energy shortages – Internet or books Q23b
Format: F1.0 Columns: 182-182	Format: F1.0 Columns: 192-192
1 Tick	1 Tick
2 No Tick	2 No Tick
7 N/A	7 N/A
8 Invalid	8 Invalid
ST23QA3 (141) Envr info – Air pollution – media Q23a	ST23QC1 (151) Envr info – Extinction – none Q23c
Format: F1.0 Columns: 183-183	Format: F1.0 Columns: 193-193
1 Tick	1 Tick
2 No Tick	2 No Tick
7 N/A	7 N/A
8 Invalid	8 Invalid
ST23QA4 (142) Envr info – Air pollution – friends Q23a	ST23QC2 (152) Envr info – Extinction – school Q23c
Format: F1.0 Columns: 184-184	Format: F1.0 Columns: 194-194
1 Tick	1 Tick
2 No Tick	2 No Tick
7 N/A	7 N/A
8 Invalid	8 Invalid
ST23QA5 (143) Envr info – Air pollution – family Q23a	ST23QC3 (153) Envr info – Extinction – media Q23c
Format: F1.0 Columns: 185-185	Format: F1.0 Columns: 195-195
1 Tick	1 Tick
2 No Tick	2 No Tick
7 N/A	7 N/A
8 Invalid	8 Invalid
ST23QA6 (144) Envr info – Air pollution – Internet or books Q23a	ST23QC4 (154) Envr info – Extinction – friends Q23c
Format: F1.0 Columns: 186-186	Format: F1.0 Columns: 196-196
1 Tick	1 Tick
2 No Tick	2 No Tick
7 N/A	7 N/A
8 Invalid	8 Invalid
ST23QB1 (145) Envr info – Energy shortages – none Q23b	ST23QC5 (155) Envr info – Extinction – family Q23c
Format: F1.0 Columns: 187-187	Format: F1.0 Columns: 197-197
1 Tick	1 Tick
2 No Tick	2 No Tick
7 N/A	7 N/A
8 Invalid	8 Invalid
ST23QB2 (146) Envr info – Energy shortages – school Q23b	ST23QC6 (156) Envr info – Extinction – Internet or books Q23c
Format: F1.0 Columns: 188-188	Format: F1.0 Columns: 198-198
1 Tick	1 Tick
2 No Tick	2 No Tick
7 N/A	7 N/A
8 Invalid	8 Invalid
ST23QB3 (147) Envr info – Energy shortages – media Q23b	ST23QD1 (157) Envr info – Forest clearing – none Q23d
Format: F1.0 Columns: 189-189	Format: F1.0 Columns: 199-199
1 Tick	1 Tick
2 No Tick	2 No Tick
7 N/A	7 N/A
8 Invalid	8 Invalid
ST23QB4 (148) Envr info – Energy shortages – friends Q23b	ST23QD2 (158) Envr info – Forest clearing – school Q23d
Format: F1.0 Columns: 190-190	Format: F1.0 Columns: 200-200
1 Tick	1 Tick
2 No Tick	2 No Tick
7 N/A	7 N/A
8 Invalid	8 Invalid
ST23QB5 (149) Envr info – Energy shortages – family Q23b	ST23QD3 (159) Envr info – Forest clearing – media Q23d
Format: F1.0 Columns: 191-191	Format: F1.0 Columns: 201-201
1 Tick	1 Tick
2 No Tick	2 No Tick
7 N/A	7 N/A
8 Invalid	8 Invalid

**APPENDIX 7: CODEBOOK FOR PISA 2006 STUDENT QUESTIONNAIRE DATA FILE**

ST23QD4 (160) Envr info – Forest clearing – friends Q23d
Format: F1.0 Columns: 202-202
1 Tick
2 No Tick
7 N/A
8 Invalid
ST23QD5 (161) Envr info – Forest clearing – family Q23d
Format: F1.0 Columns: 203-203
1 Tick
2 No Tick
7 N/A
8 Invalid
ST23QD6 (162) Envr info – Forest clearing – Internet or books Q23d
Format: F1.0 Columns: 204-204
1 Tick
2 No Tick
7 N/A
8 Invalid
ST23QE1 (163) Envr info – Water shortages – none Q23e
Format: F1.0 Columns: 205-205
1 Tick
2 No Tick
7 N/A
8 Invalid
ST23QE2 (164) Envr info – Water shortages – school Q23e
Format: F1.0 Columns: 206-206
1 Tick
2 No Tick
7 N/A
8 Invalid
ST23QE3 (165) Envr info – Water shortages – media Q23e
Format: F1.0 Columns: 207-207
1 Tick
2 No Tick
7 N/A
8 Invalid
ST23QE4 (166) Envr info – Water shortages – friends Q23e
Format: F1.0 Columns: 208-208
1 Tick
2 No Tick
7 N/A
8 Invalid
ST23QE5 (167) Envr info – Water shortages – family Q23e
Format: F1.0 Columns: 209-209
1 Tick
2 No Tick
7 N/A
8 Invalid
ST23QE6 (168) Envr info – Water shortages – Internet or books Q23e
Format: F1.0 Columns: 210-210
1 Tick
2 No Tick
7 N/A
8 Invalid
ST23QF1 (169) Envr info – Nuclear waste – none Q23f
Format: F1.0 Columns: 211-211
1 Tick
2 No Tick
7 N/A
8 Invalid

ST23QF2 (170) Envr info – Nuclear waste – school Q23f
Format: F1.0 Columns: 212-212
1 Tick
2 No Tick
7 N/A
8 Invalid
ST23QF3 (171) Envr info – Nuclear waste – media Q23f
Format: F1.0 Columns: 213-213
1 Tick
2 No Tick
7 N/A
8 Invalid
ST23QF4 (172) Envr info – Nuclear waste – friends Q23f
Format: F1.0 Columns: 214-214
1 Tick
2 No Tick
7 N/A
8 Invalid
ST23QF5 (173) Envr info – Nuclear waste – family Q23f
Format: F1.0 Columns: 215-215
1 Tick
2 No Tick
7 N/A
8 Invalid
ST23QF6 (174) Envr info – Nuclear waste – Internet or books Q23f
Format: F1.0 Columns: 216-216
1 Tick
2 No Tick
7 N/A
8 Invalid
ST24Q01 (175) Envr issues – Air pollution Q24a
Format: F1.0 Columns: 217-217
1 Concern for me
2 Concern for others
3 Concern other countries
4 Not a concern
7 N/A
8 Invalid
9 Missing
ST24Q02 (176) Envr issues – Energy shortages Q24b
Format: F1.0 Columns: 218-218
1 Concern for me
2 Concern for others
3 Concern other countries
4 Not a concern
7 N/A
8 Invalid
9 Missing
ST24Q03 (177) Envr issues – Extinction Q24c
Format: F1.0 Columns: 219-219
1 Concern for me
2 Concern for others
3 Concern other countries
4 Not a concern
7 N/A
8 Invalid
9 Missing
ST24Q04 (178) Envr issues – Forest clearing Q24d
Format: F1.0 Columns: 220-220
1 Concern for me
2 Concern for others
3 Concern other countries



4	Not a concern
7	N/A
8	Invalid
9	Missing

ST24Q05 (179) Envr issues – Water shortages Q24e

Format: F1.0	Columns: 221-221
1	Concern for me
2	Concern for others
3	Concern other countries
4	Not a concern
7	N/A
8	Invalid
9	Missing

ST24Q06 (180) Envr issues – Nuclear waste Q24f

Format: F1.0	Columns: 222-222
1	Concern for me
2	Concern for others
3	Concern other countries
4	Not a concern
7	N/A
8	Invalid
9	Missing

ST25Q01 (181) Envr improve – Air pollution Q25a

Format: F1.0	Columns: 223-223
1	Improve
2	Stay same
3	Get worse
7	N/A
8	Invalid
9	Missing

ST25Q02 (182) Envr improve – Energy shortages Q25b

Format: F1.0	Columns: 224-224
1	Improve
2	Stay same
3	Get worse
7	N/A
8	Invalid
9	Missing

ST25Q03 (183) Envr improve – Extinction Q25c

Format: F1.0	Columns: 225-225
1	Improve
2	Stay same
3	Get worse
7	N/A
8	Invalid
9	Missing

ST25Q04 (184) Envr improve – Forest clearing Q25d

Format: F1.0	Columns: 226-226
1	Improve
2	Stay same
3	Get worse
7	N/A
8	Invalid
9	Missing

ST25Q05 (185) Envr improve – Water shortages Q25e

Format: F1.0	Columns: 227-227
1	Improve
2	Stay same
3	Get worse
7	N/A
8	Invalid
9	Missing

ST25Q06 (186) Envr improve – Nuclear waste Q25f

Format: F1.0	Columns: 228-228
1	Improve
2	Stay same
3	Get worse
7	N/A
8	Invalid
9	Missing

ST26Q01 (187) Envr responsibility – Car emissions Q26a

Format: F1.0	Columns: 229-229
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST26Q02 (188) Envr responsibility- Energy wasted Q26b

Format: F1.0	Columns: 230-230
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST26Q03 (189) Envr responsibility- Factory emissions Q26c

Format: F1.0	Columns: 231-231
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST26Q04 (190) Envr responsibility- Plastic pack Q26d

Format: F1.0	Columns: 232-232
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST26Q05 (191) Envr responsibility- Dangerous waste Q26e

Format: F1.0	Columns: 233-233
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST26Q06 (192) Envr responsibility- Endangered Q26f

Format: F1.0	Columns: 234-234
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

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ST26Q07 (193) Env responsibility- Renewable electricity Q26g	
Format:	F1.0 Columns: 235-235
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST27Q01 (194) Useful for Science career – School subjects Q27a	
Format:	F1.0 Columns: 236-236
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST27Q02 (195) Useful for career – Science subjects Q27b	
Format:	F1.0 Columns: 237-237
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST27Q03 (196) Useful for Science career – My subjects Q27c	
Format:	F1.0 Columns: 238-238
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST27Q04 (197) Useful for Science career – Teaching Q27d	
Format:	F1.0 Columns: 239-239
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST28Q01 (198) Science know – Job available Q28a	
Format:	F1.0 Columns: 240-240
1	Very well informed
2	Fairly informed
3	Not well informed
4	Not informed at all
7	N/A
8	Invalid
9	Missing

ST28Q02 (199) Science know – Find Where Q28b	
Format:	F1.0 Columns: 241-241
1	Very well informed
2	Fairly informed
3	Not well informed
4	Not informed at all
7	N/A
8	Invalid
9	Missing

ST28Q03 (200) Science know – Steps to take Q28c	
Format:	F1.0 Columns: 242-242
1	Very well informed
2	Fairly informed
3	Not well informed
4	Not informed at all
7	N/A
8	Invalid
9	Missing

ST28Q04 (201) Science know – Employers Q28d	
Format:	F1.0 Columns: 243-243
1	Very well informed
2	Fairly informed
3	Not well informed
4	Not informed at all
7	N/A
8	Invalid
9	Missing

ST29Q01 (202) Sci future – Like career Q29a	
Format:	F1.0 Columns: 244-244
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST29Q02 (203) Sci future – After <secondary school> Q29b	
Format:	F1.0 Columns: 245-245
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST29Q03 (204) Sci future – Advanced Q29c	
Format:	F1.0 Columns: 246-246
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST29Q04 (205) Sci future – Work as adult Q29d	
Format:	F1.0 Columns: 247-247
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST30Q01 (206) Self expected occupation at 30 ISCO code Q30	
Format:	A4 Columns: 248-251
See ST05Q01 for labels	

ST31Q01 (207) Regular lessons – Science Q31a	
Format:	F1.0 Columns: 252-252
1	No time
2	Less than 2 hours
3	2 up to 4 hours
4	4 up to 6 hours



5	6 or more hours
7	N/A
8	Invalid
9	Missing

ST31Q02 (208) Out of school – Science Q31b

Format: F1.0	Columns: 253-253
1	No time
2	Less than 2 hours
3	2 up to 4 hours
4	4 up to 6 hours
5	6 or more hours
7	N/A
8	Invalid
9	Missing

ST31Q03 (209) Self study – Science Q31c

Format: F1.0	Columns: 254-254
1	No time
2	Less than 2 hours
3	2 up to 4 hours
4	4 up to 6 hours
5	6 or more hours
7	N/A
8	Invalid
9	Missing

ST31Q04 (210) Regular lessons – Mathematics Q31d

Format: F1.0	Columns: 255-255
1	No time
2	Less than 2 hours
3	2 up to 4 hours
4	4 up to 6 hours
5	6 or more hours
7	N/A
8	Invalid
9	Missing

ST31Q05 (211) Out of school – Mathematics Q31e

Format: F1.0	Columns: 256-256
1	No time
2	Less than 2 hours
3	2 up to 4 hours
4	4 up to 6 hours
5	6 or more hours
7	N/A
8	Invalid
9	Missing

ST31Q06 (212) Self study – Mathematics Q31f

Format: F1.0	Columns: 257-257
1	No time
2	Less than 2 hours
3	2 up to 4 hours
4	4 up to 6 hours
5	6 or more hours
7	N/A
8	Invalid
9	Missing

ST31Q07 (213) Regular lessons – Language Q31g

Format: F1.0	Columns: 258-258
1	No time
2	Less than 2 hours
3	2 up to 4 hours
4	4 up to 6 hours
5	6 or more hours
7	N/A
8	Invalid
9	Missing

ST31Q08 (214) Out of school – Language Q31h

Format: F1.0	Columns: 259-259
1	No time
2	Less than 2 hours
3	2 up to 4 hours
4	4 up to 6 hours
5	6 or more hours
7	N/A
8	Invalid
9	Missing

ST31Q09 (215) Self study – Language Q31i

Format: F1.0	Columns: 260-260
1	No time
2	Less than 2 hours
3	2 up to 4 hours
4	4 up to 6 hours
5	6 or more hours
7	N/A
8	Invalid
9	Missing

ST31Q10 (216) Regular lessons – Other Q31j

Format: F1.0	Columns: 261-261
1	No time
2	Less than 2 hours
3	2 up to 4 hours
4	4 up to 6 hours
5	6 or more hours
7	N/A
8	Invalid
9	Missing

ST31Q11 (217) Out of school – Other Q31k

Format: F1.0	Columns: 262-262
1	No time
2	Less than 2 hours
3	2 up to 4 hours
4	4 up to 6 hours
5	6 or more hours
7	N/A
8	Invalid
9	Missing

ST31Q12 (218) Self study – Other Q31l

Format: F1.0	Columns: 263-263
1	No time
2	Less than 2 hours
3	2 up to 4 hours
4	4 up to 6 hours
5	6 or more hours
7	N/A
8	Invalid
9	Missing

ST32Q01 (219) Lessons – School 1-1 Q32a

Format: F1.0	Columns: 264-264
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

ST32Q02 (220) Lessons – Not school 1-1 Q32b

Format: F1.0	Columns: 265-265
1	Yes
2	No
7	N/A
8	Invalid
9	Missing



ST32Q03 (221) Lessons – School small Q32c	
Format: F1.0	Columns: 266-266
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST32Q04 (222) Lessons – Not school small Q32d	
Format: F1.0	Columns: 267-267
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST32Q05 (223) Lessons – School large Q32e	
Format: F1.0	Columns: 268-268
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST32Q06 (224) Lessons – Not school large Q32f	
Format: F1.0	Columns: 269-269
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST33Q11 (225) Course – Comp Sci last yr Q33a	
Format: F1.0	Columns: 270-270
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST33Q12 (226) Course – Comp Sci this yr Q33a	
Format: F1.0	Columns: 271-271
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST33Q21 (227) Course – Opt Sci last yr Q33b	
Format: F1.0	Columns: 272-272
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST33Q22 (228) Course – Opt Sci this yr Q33b	
Format: F1.0	Columns: 273-273
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST33Q31 (229) Course – Comp Bio last yr Q33c	
Format: F1.0	Columns: 274-274
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

ST33Q32 (230) Course – Comp Bio this yr Q33c	
Format: F1.0	Columns: 275-275
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST33Q41 (231) Course – Opt Bio last yr Q33d	
Format: F1.0	Columns: 276-276
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST33Q42 (232) Course – Opt Bio this yr Q33d	
Format: F1.0	Columns: 277-277
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST33Q51 (233) Course – Comp Phy last yr Q33e	
Format: F1.0	Columns: 278-278
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST33Q52 (234) Course – Comp Phy this yr Q33e	
Format: F1.0	Columns: 279-279
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST33Q61 (235) Course – Opt Phy last yr Q33f	
Format: F1.0	Columns: 280-280
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST33Q62 (236) Course – Opt Phy this yr Q33f	
Format: F1.0	Columns: 281-281
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST33Q71 (237) Course – Comp Chem last yr Q33g	
Format: F1.0	Columns: 282-282
1	Yes
2	No
7	N/A
8	Invalid
9	Missing
ST33Q72 (238) Course – Comp Chem this yr Q33g	
Format: F1.0	Columns: 283-283
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

**ST33Q81 (239) Course – Opt Chem last yr Q33h**

Format: F1.0 Columns: 284-284

1	Yes
2	No
7	N/A
8	Invalid
9	Missing

ST33Q82 (240) Course – Opt Chem this yr Q33h

Format: F1.0 Columns: 285-285

1	Yes
2	No
7	N/A
8	Invalid
9	Missing

ST34Q01 (241) Learning – Student ideas Q34a

Format: F1.0 Columns: 286-286

1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q02 (242) Learning – Experiments Q34b

Format: F1.0 Columns: 287-287

1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q03 (243) Learning – Design for lab Q34c

Format: F1.0 Columns: 288-288

1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q04 (244) Learning – Apply everyday Q34d

Format: F1.0 Columns: 289-289

1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q05 (245) Learning – Student opinion Q34e

Format: F1.0 Columns: 290-290

1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q06 (246) Learning – Draw conclusions Q34f

Format: F1.0 Columns: 291-291

1	All lessons
2	Most Lessons

3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q07 (247) Learning – Different phenomena Q34g

Format: F1.0 Columns: 292-292

1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q08 (248) Learning – Own experiments Q34h

Format: F1.0 Columns: 293-293

1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q09 (249) Learning – Class debate Q34i

Format: F1.0 Columns: 294-294

1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q10 (250) Learning – Demonstrations Q34j

Format: F1.0 Columns: 295-295

1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q11 (251) Learning – Choose own Q34k

Format: F1.0 Columns: 296-296

1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q12 (252) Learning – World outside Q34l

Format: F1.0 Columns: 297-297

1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q13 (253) Learning – Discussion Q34m

Format: F1.0 Columns: 298-298

1	All lessons
2	Most Lessons

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3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q14 (254) Learning – Follow instructions Q34n

Format: F1.0	Columns: 299-299
1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q15 (255) Learning – Explain relevance Q34o

Format: F1.0	Columns: 300-300
1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q16 (256) Learning – Test ideas Q34p

Format: F1.0	Columns: 301-301
1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST34Q17 (257) Learning – Society relevance Q34q

Format: F1.0	Columns: 302-302
1	All lessons
2	Most Lessons
3	Some lessons
4	Hardly ever
7	N/A
8	Invalid
9	Missing

ST35Q01 (258) Sci future – Help later work Q35a

Format: F1.0	Columns: 303-303
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST35Q02 (259) Sci future – Learn need later Q35b

Format: F1.0	Columns: 304-304
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST35Q03 (260) Sci future – Useful to me Q35c

Format: F1.0	Columns: 305-305
1	Strongly agree
2	Agree

3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST35Q04 (261) Sci future – Improve career Q35d

Format: F1.0	Columns: 306-306
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST35Q05 (262) Sci future – Get a job Q35e

Format: F1.0	Columns: 307-307
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST36Q01 (263) Self – Do well Science Q36a

Format: F1.0	Columns: 308-308
1	Very important
2	Important
3	Of little importance
4	Not important at all
7	N/A
8	Invalid
9	Missing

ST36Q02 (264) Self – Do well Maths Q36b

Format: F1.0	Columns: 309-309
1	Very important
2	Important
3	Of little importance
4	Not important at all
7	N/A
8	Invalid
9	Missing

ST36Q03 (265) Self – Do well Language Q36c

Format: F1.0	Columns: 310-310
1	Very important
2	Important
3	Of little importance
4	Not important at all
7	N/A
8	Invalid
9	Missing

ST37Q01 (266) Learning – Advanced easy Q37a

Format: F1.0	Columns: 311-311
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

ST37Q02 (267) Learning – Good answers Q37b

Format: F1.0	Columns: 312-312
1	Strongly agree
2	Agree



3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

5	Never
7	N/A
8	Invalid
9	Missing

ST37Q03 (268) Learning – Topics quickly Q37c

Format:	F1.0	Columns:	313-313
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

IC03Q02 (275) Use computer at school IC3b

Format:	F1.0	Columns:	320-320
1	Almost every day		
2	Once or twice a week		
3	Few times a month		
4	Once a month or less		
5	Never		
7	N/A		
8	Invalid		
9	Missing		

ST37Q04 (269) Learning – Topics easy Q37d

Format:	F1.0	Columns:	314-314
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

IC03Q03 (276) Use computer other places IC3c

Format:	F1.0	Columns:	321-321
1	Almost every day		
2	Once or twice a week		
3	Few times a month		
4	Once a month or less		
5	Never		
7	N/A		
8	Invalid		
9	Missing		

ST37Q05 (270) Learning – Understand well Q37e

Format:	F1.0	Columns:	315-315
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

IC04Q01 (277) Browse Internet IC4a

Format:	F1.0	Columns:	322-322
1	Almost every day		
2	Once or twice a week		
3	Few times a month		
4	Once a month or less		
5	Never		
7	N/A		
8	Invalid		
9	Missing		

ST37Q06 (271) Learning – New ideas Q37f

Format:	F1.0	Columns:	316-316
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

IC04Q02 (278) Play games IC4b

Format:	F1.0	Columns:	323-323
1	Almost every day		
2	Once or twice a week		
3	Few times a month		
4	Once a month or less		
5	Never		
7	N/A		
8	Invalid		
9	Missing		

IC01Q01 (272) Used computer IC1

Format:	F1.0	Columns:	317-317
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

IC04Q03 (279) Write documents IC4c

Format:	F1.0	Columns:	324-324
1	Almost every day		
2	Once or twice a week		
3	Few times a month		
4	Once a month or less		
5	Never		
7	N/A		
8	Invalid		
9	Missing		

IC02Q01 (273) How long used computers IC2

Format:	F1.0	Columns:	318-318
1	Less than 1 year		
2	1 to 3 years		
3	3 to 5 years		
4	5 years or more		
7	N/A		
8	Invalid		
9	Missing		

IC04Q04 (280) Collaborate on Internet IC4d

Format:	F1.0	Columns:	325-325
1	Almost every day		
2	Once or twice a week		
3	Few times a month		
4	Once a month or less		
5	Never		
7	N/A		
8	Invalid		
9	Missing		

IC03Q01 (274) Use computer at home IC3a

Format:	F1.0	Columns:	319-319
1	Almost every day		
2	Once or twice a week		
3	Few times a month		
4	Once a month or less		

**IC04Q05 (281) Use spreadsheets IC4e**

Format:	F1.0	Columns: 326-326
1	Almost every day	
2	Once or twice a week	
3	Few times a month	
4	Once a month or less	
5	Never	
7	N/A	
8	Invalid	
9	Missing	

IC04Q06 (282) Download software IC4f

Format:	F1.0	Columns: 327-327
1	Almost every day	
2	Once or twice a week	
3	Few times a month	
4	Once a month or less	
5	Never	
7	N/A	
8	Invalid	
9	Missing	

IC04Q07 (283) Graphics programs IC4g

Format:	F1.0	Columns: 328-328
1	Almost every day	
2	Once or twice a week	
3	Few times a month	
4	Once a month or less	
5	Never	
7	N/A	
8	Invalid	
9	Missing	

IC04Q08 (284) Educational software IC4h

Format:	F1.0	Columns: 329-329
1	Almost every day	
2	Once or twice a week	
3	Few times a month	
4	Once a month or less	
5	Never	
7	N/A	
8	Invalid	
9	Missing	

IC04Q09 (285) Download music IC4i

Format:	F1.0	Columns: 330-330
1	Almost every day	
2	Once or twice a week	
3	Few times a month	
4	Once a month or less	
5	Never	
7	N/A	
8	Invalid	
9	Missing	

IC04Q10 (286) Write programs IC4j

Format:	F1.0	Columns: 331-331
1	Almost every day	
2	Once or twice a week	
3	Few times a month	
4	Once a month or less	
5	Never	
7	N/A	
8	Invalid	
9	Missing	

IC04Q11 (287) E-mail or chat rooms IC4k

Format:	F1.0	Columns: 332-332
1	Almost every day	
2	Once or twice a week	
3	Few times a month	

4	Once a month or less
5	Never
7	N/A
8	Invalid
9	Missing

IC05Q01 (288) How well – Chat IC5a

Format:	F1.0	Columns: 333-333
1	Do well by myself	
2	Do with help	
3	Know but can't do	
4	Don't know	
7	N/A	
8	Invalid	
9	Missing	

IC05Q02 (289) How well – Virus IC5b

Format:	F1.0	Columns: 334-334
1	Do well by myself	
2	Do with help	
3	Know but can't do	
4	Don't know	
7	N/A	
8	Invalid	
9	Missing	

IC05Q03 (290) How well – Edit photos IC5c

Format:	F1.0	Columns: 335-335
1	Do well by myself	
2	Do with help	
3	Know but can't do	
4	Don't know	
7	N/A	
8	Invalid	
9	Missing	

IC05Q04 (291) How well – Database IC5d

Format:	F1.0	Columns: 336-336
1	Do well by myself	
2	Do with help	
3	Know but can't do	
4	Don't know	
7	N/A	
8	Invalid	
9	Missing	

IC05Q05 (292) How well – Copy data to CD IC5e

Format:	F1.0	Columns: 337-337
1	Do well by myself	
2	Do with help	
3	Know but can't do	
4	Don't know	
7	N/A	
8	Invalid	
9	Missing	

IC05Q06 (293) How well – Move files IC5f

Format:	F1.0	Columns: 338-338
1	Do well by myself	
2	Do with help	
3	Know but can't do	
4	Don't know	
7	N/A	
8	Invalid	
9	Missing	

IC05Q07 (294) How well – Search Internet IC5g

Format:	F1.0	Columns: 339-339
1	Do well by myself	
2	Do with help	



3	Know but can't do
4	Don't know
7	N/A
8	Invalid
9	Missing

IC05Q08 (295) How well – Download files IC5h

Format: F1.0	Columns: 340-340
1	Do well by myself
2	Do with help
3	Know but can't do
4	Don't know
7	N/A
8	Invalid
9	Missing

IC05Q09 (296) How well – Attach e-mail IC5i

Format: F1.0	Columns: 341-341
1	Do well by myself
2	Do with help
3	Know but can't do
4	Don't know
7	N/A
8	Invalid
9	Missing

IC05Q10 (297) How well – Word processor IC5j

Format: F1.0	Columns: 342-342
1	Do well by myself
2	Do with help
3	Know but can't do
4	Don't know
7	N/A
8	Invalid
9	Missing

IC05Q11 (298) How well – Spreadsheet IC5k

Format: F1.0	Columns: 343-343
1	Do well by myself
2	Do with help
3	Know but can't do
4	Don't know
7	N/A
8	Invalid
9	Missing

IC05Q12 (299) How well – Presentation IC5l

Format: F1.0	Columns: 344-344
1	Do well by myself
2	Do with help
3	Know but can't do
4	Don't know
7	N/A
8	Invalid
9	Missing

IC05Q13 (300) How well – Download music IC5m

Format: F1.0	Columns: 345-345
1	Do well by myself
2	Do with help
3	Know but can't do
4	Don't know
7	N/A
8	Invalid
9	Missing

IC05Q14 (301) How well – Multi-media IC5n

Format: F1.0	Columns: 346-346
1	Do well by myself
2	Do with help

3	Know but can't do
4	Don't know
7	N/A
8	Invalid
9	Missing

IC05Q15 (302) How well – E-mails IC5o

Format: F1.0	Columns: 347-347
1	Do well by myself
2	Do with help
3	Know but can't do
4	Don't know
7	N/A
8	Invalid
9	Missing

IC05Q16 (303) How well – Web Page IC5p

Format: F1.0	Columns: 348-348
1	Do well by myself
2	Do with help
3	Know but can't do
4	Don't know
7	N/A
8	Invalid
9	Missing

AGE (304) Age of student

Format: F5.2	Columns: 349-353
97	N/A
98	Invalid
99	Missing

ISCEDL (305) ISCED level

Format: F1.0	Columns: 354-354
1	ISCED level 1
2	ISCED level 2
3	ISCED level 3
7	N/A
8	Invalid
9	Missing

ISCEDD (306) ISCED designation

Format: F1.0	Columns: 355-355
1	A
2	B
3	C
4	M
7	N/A
8	Invalid
9	Missing

ISCEDO (307) ISCED orientation

Format: F1.0	Columns: 356-356
1	General
2	Pre-Vocational
3	Vocational
7	N/A
8	Invalid
9	Missing

PROGN (308) Unique national study programme code

Format: A7	Columns: 357-363
0310001	AZE: PROGRAMME OF BASIC GENERAL EDUCATION (LOWER SECONDARY)
0310002	AZE: PROGRAMME OF SECONDARY GENERAL EDUCATION (UPPER SECONDARY)
0310003	AZE: PROGRAMME OF INITIAL PROFESSIONAL EDUC. (PROF. SCHOOLS, ETC.)
0310004	AZE: PROGRAMME OF SEC. PROF. EDUCATION (TECHNIKUM, COLLEGE, ETC.)
0320001	ARG: PRIMARY – 7TH YEAR (OLD)
0320002	ARG: GENERAL PROGRAMME WITH 3RD CYCLE – LOWER SECONDARY (NEW)

**APPENDIX 7: CODEBOOK FOR PISA 2006 STUDENT QUESTIONNAIRE DATA FILE**

0320003	ARG: GENERAL PROGR. – ONLY GBE 3RD CYCLE – LOWER SEC. (NEW)	0569613	BEL: FIRST YEAR B SPECIAL NEEDS (FR/GER)
0320004	ARG: ARTISTIC, EGB AND POLIMODAL – LOWER SECONDARY- 7TH TO 9TH YEAR	0569614	BEL: 2ND YEAR OF VOCATIONAL EDUCATION (FR/GER)
0320005	ARG: ARTISTIC-EGB & POLIMODAL – GENERAL UPPER SEC. – YEAR 1-3 (NEW)	0569615	BEL: COMPLEMENTARY YEAR TO 1ST DEGREE (FR COM ONLY)
0320006	ARG: GENERAL PROGRAMME – LOWER SEC., CORDOBA, YEAR 1-3 (NEW)	0569616	BEL: SECOND & THIRD DEGREES OF GENERAL EDUCATION (FR/GER)
0320007	ARG: GENERAL PROGRAMME – YEAR 4-6 UPPER SEC., CORDOBA – (NEW)	0569617	BEL: 2ND & 3RD DEGREES OF TECHN. OR ART. EDUC. (TRANSITION) (FR/GER)
0320008	ARG: ARTISTIC / GENERAL PROGR. – LOWER SECONDARY YEAR 1-2 (OLD)	0569618	BEL: 2ND & 3RD DEGREES OF TECHN. OR ART. EDUC. (QUALIF.) (FR/GER)
0320009	ARG: ARTISTIC,GENERAL PROGR. – UPPER SEC. YEAR 3 (OLD)	0569619	BEL: SECOND & THIRD DEGREES OF VOCATIONAL EDUCATION (FR/GER)
0320010	ARG: ARTISTIC, GENERAL PROGR. – LOWER SECONDARY YEAR 1-2 (OLD)	0569620	BEL: VOCATIONAL TRAINING FOCUSED ON THE LABOUR MARKET (FR COM ONLY)
0320011	ARG: ARTISTIC, GENERAL PROGR. – UPPER SECONDARY (OLD)	0569622	BEL: SPECIAL SEC. EDUC. (LOWER SEC. – TRAINING FORM 3) (FR COM ONLY)
0320012	ARG: VOCATIONAL PROGR. – TECHNICAL EDUC., LOWER SEC. – YEAR 1-2 (OLD)	0569623	BEL: SPECIAL SEC. EDUC. (UPPER SEC.-TRAINING FORM 3) (FR. ONLY)
0320013	ARG: VOCATIONAL PROGR. – TECHNICAL EDUC., UPPER SEC. YEAR 3 (OLD)	0569624	BEL: SPECIAL SEC. EDUC. (LOWER SEC.) (GER. ONLY)
0320014	ARG: VOCATIONAL PROGR. – TECHNICAL EDUC., UPPER SEC. YEAR 4-6,7 (OLD)	0760001	BRA: LOWER SECONDARY EDUCATION
0320015	ARG: ARTISTIC, POLIMODAL – YEAR 1-3 (NEW) GENERAL PROGRAMME	0760002	BRA: UPPER SECONDARY EDUCATION
0320016	ARG: SEC, YEAR 3-5 WITH ADULT GENERAL PROGR., UPPER SEC. (NEW)	1000001	BGR: SECONDARY EDUCATION (LOWER)
0320017	ARG: POLIMODAL WITH ADULT GENERAL PROGR. – UPPER SECONDARY (NEW)	1000002	BGR: SECONDARY EDUCATION – GENERAL NONSPECIALIZED (UPPER)
0320018	ARG: ADULT EDUCATION – GENERAL PROGRAMME UPPER SECONDARY	1000003	BGR: SECONDARY EDUCATION – VOCATIONAL (UPPER)
0320019	ARG: ARTISTIC AND PROFESSIONAL COURSES (INFORMAL EDUC.)	1000004	BGR: SECONDARY EDUCATION – GENERAL SPECIALIZED (UPPER)
0360001	AUS: LOWER SECONDARY GENERAL ACADEMIC	1240001	CAN: GRADES 7-9 (QUEBEC: SECONDARY 1-3, MANITOBA: SENIOR 1)
0360002	AUS: LOWER SECONDARY WITH SOME VET SUBJECTS	1240002	CAN: GRADES 10-12 (QUEB.: SEC. 4-5, MAN.: SNR 2-4, NEWFNL.: LEV. 1-3)
0360003	AUS: UPPER SECONDARY GENERAL ACADEMIC	1520001	CHL: SECONDARY EDUCATION (LOWER)
0360004	AUS: UPPER SECONDARY WITH SOME VET SUBJECTS	1520002	CHL: FIRST CYCLE OF UPPER SECONDARY
0360005	AUS: UPPER SECONDARY VET COURSE	1520003	CHL: SECOND CYCLE OF UPPER SECONDARY EDUCATION, ACADEMIC ORIENTATION
0400002	AUT: LOWER SECONDARY SCHOOL	1520004	CHL: SECOND CYCLE OF UPPER SECONDARY EDUCATION, TECHNICAL ORIENTATION
0400003	AUT: VOCATIONAL PROGRAMME	1580001	TAP: SENIOR HIGH SCHOOL
0400004	AUT: SPECIAL EDUCATION SCHOOL (LOWER SECONDARY)	1580002	TAP: VOCATIONAL SENIOR HIGH SCHOOL
0400005	AUT: SPECIAL EDUCATION SCHOOL (UPPER SECONDARY)	1580003	TAP: 5-YEAR COLLEGE
0400006	AUT: GYMNASIUM LOWER SECONDARY	1580004	TAP: CONT. SUPP. SCHOOL
0400007	AUT: GYMNASIUM UPPER SECONDARY	1580005	TAP: PRACTICAL TECHNICAL PROGRAMME
0400008	AUT: LOWER SECONDARY SCHOOL	1580006	TAP: WORKING AND LEARNING PROGRAMME
0400009	AUT: UPPER SECONDARY SCHOOL	1580007	TAP: GENERAL JUNIOR HIGH SCHOOLS
0400010	AUT: APPRENTICESHIP	1580008	TAP: COMPREHENSIVE HIGH SCHOOL (JUNIOR)
0400011	AUT: MIDDLE VOCATIONAL SCHOOL	1700001	COL: SECONDARY EDUCATION (LOWER)
0400012	AUT: MIDDLE VOCATIONAL SCHOOL (HOME ECONOMICS, HEALTH-SOCIAL SERVICES)	1700002	COL: SECONDARY EDUCATION (UPPER), ACADEMICA
0400013	AUT: MIDDLE VOCATIONAL SCHOOL (AGRICULTURAL, FORESTRY)	1700003	COL: SECONDARY EDUCATION (UPPER), TECNICA
0400014	AUT: HIGHER VOCATIONAL SCHOOL	1910001	HRV: LOWER SECONDARY EDUCATION
0400015	AUT: VOCATIONAL COLLEGE	1910002	HRV: GYMNASIUM
0560101	BEL: (FIRST YEAR A OF FIRST STAGE OF) GENERAL EDUCATION	1910003	HRV: FOUR YEAR VOCATIONAL PROGRAMMES
0560103	BEL: SECOND YEAR OF FIRST STAGE – PREPARING FOR VOCATIONAL SEC. EDUC.	1910004	HRV: ART PROGRAMMES
0560104	BEL: SECOND YEAR OF FIRST STAGE PREPARING FOR REGULAR SEC. EDUC.	1910005	HRV: VOCATIONAL PROGRAMMES FOR INDUSTRY
0560105	BEL: SECOND & THIRD STAGE REGULAR SECONDARY EDUCATION	1910006	HRV: VOCATIONAL PROGRAMMES FOR CRAFTS
0560106	BEL: SECOND & THIRD STAGE TECHNICAL SECONDARY EDUCATION	1910007	HRV: LOWER QUALIFICATION VOCATIONAL PROGRAMMES
0560107	BEL: SECOND & THIRD STAGE ARTISTIC SECONDARY EDUCATION	2030001	CZE: BASIC SCHOOL
0560108	BEL: SECOND & THIRD STAGE VOCATIONAL SECONDARY EDUCATION	2030002	CZE: 6-8-YEAR GYMNASIUM AND 8-YEAR CONSERVATORY (LOWER SECONDARY)
0560109	BEL: PART-TIME VOCATIONAL SEC. EDUC. FOCUSED ON THE LABOUR MARKET	2030003	CZE: 6-8-YEAR GYMNASIUM (UPPER SECONDARY)
0560110	BEL: SPECIAL SEC. EDUC. – LOWER SEC. (TRAINING FORM 3 / FIRST 3 YEARS)	2030004	CZE: 4-YEAR GYMNASIUM
0560111	BEL: SPECIAL SEC. EDUC. – UPPER SEC. (TRAINING FORM 3 / YEARS 4 AND 5)	2030005	CZE: VOC/TECH SECONDARY SCHOOL WITH MATURATE
0569612	BEL: FIRST DEGREE OF GENERAL EDUCATION (FR/GER)	2030007	CZE: VOC/TECH SECONDARY SCHOOL WITHOUT MATURATE
		2030008	CZE: SPECIAL SCHOOLS
		2030009	CZE: PRACTICAL SCHOOLS, VOCATIONAL EDUCATION PREDOMINANTLY
		2080001	DNK: LOWER SECONDARY
		2080002	DNK: CONTINUATION SCHOOL
		2080004	DNK: UPPER SECONDARY
		2330001	EST: LOWER SECONDARY
		2330002	EST: UPPER SECONDARY
		2460001	FIN: COMPREHENSIVE SECONDARY SCHOOL
		2460002	FIN: UPPER SECONDARY



2500001	FRA: LOWER SECONDARY (GENERAL)	3760007	ISR: HIGHER RELIGIOUS EDUCATION FOR BOYS YEARS 7-9
2500002	FRA: SPECIAL LOWER SEC. EDUCATION (SEGPA, CPA)	3760008	ISR: HIGHER RELIGIOUS EDUC. FOR BOYS YEARS 10-12 WITHOUT MATRICULATION
2500003	FRA: UPPER SECONDARY (GENERAL OR TECHN.)	3760009	ISR: HIGHER RELIGIOUS EDUCATION FOR BOYS WITH MATRICULATION
2500004	FRA: UPPER SECONDARY (PROFESSIONAL: CAP, BEP, OTHERS)	3760010	ISR: HIGHER RELIGIOUS EDUCATION FOR GIRLS YEARS 7-9
2760001	DEU: LOWER SECONDARY WITH ACCESS TO UPPER SECONDARY (COMPREHENSIVE)	3760011	ISR: HIGHER RELIGIOUS EDUC. FOR GIRLS YEARS 10-12 – WITH MATRICULATION
2760002	DEU: LOWER SEC., NO ACCESS TO UPPER SECONDARY (HAUPTSCHULE)	3800001	ITA: LICEO (SC., CLASS., SOC. SC., SCIENT.-TECHNOLOGICAL, LINGUISTIC)
2760003	DEU: LOWER SEC., NO ACCESS TO UPPER SECONDARY (REALSCHULE)	3800002	ITA: TECHNICAL INSTITUTE
2760004	DEU: LOWER SEC. WITH ACCESS TO UPPER SECONDARY (GYMNASIUM)	3800003	ITA: VOCATIONAL INSTITUTE, ART INSTITUTE, ART HIGH SCHOOL
2760005	DEU: UPPER SEC. LEVEL (GYMNASIUM)	3800004	ITA: LOWER SECONDARY EDUCATION
2760006	DEU: COMPREHENSIVE LOWER SEC. WITH ACCESS TO UPPER SEC. (GESAMTSCHULE)	3800005	ITA: VOC. TRAINING (VOC. SCHOOLS IN BOLZANO & TRENTO)
2760008	DEU: LOWER SEC., NO ACCESS TO UPPER SEC. (KOOP, GESAMTSCHULE HS)	3920001	JPN: UPPER SECONDARY SCHOOL (GENERAL)
2760009	DEU: LOWER SEC., WITH OR WITHOUT ACCESS TO UPPER SEC. (KOOP, GS, RS)	3920002	JPN: TECHNICAL COLLEGE (FIRST 3 YEARS)
2760010	DEU: LOWER SEC., WITH ACCESS TO UPPER SEC. (KOOP, GS, GYMN.)	3920003	JPN: UPPER SECONDARY SCHOOL (VOCATIONAL)
2760012	DEU: LOWER SEC., NO ACCESS TO UPPER SECONDARY (HAUPTSCHULE INTEGRATED)	4000001	JOR: BASIC EDUCATION
2760013	DEU: LOWER SEC., NO ACCESS TO UPPER SECONDARY (REALSCHULE INTEGRATED)	4100001	KOR: LOWER SECONDARY EDUCATION
2760014	DEU: LOWER SEC., NO ACCESS TO UPPER SECONDARY (HAUPTSCHULKLASSE)	4100002	KOR: UPPER SECONDARY EDUCATION
2760015	DEU: LOWER SEC., NO ACCESS TO UPPER SECONDARY (REALSCHULKLASSE)	4100003	KOR: UPPER SECONDARY EDUCATION
2760016	DEU: LOWER SECONDARY WITH ACCESS TO UPPER SECONDARY (WALDORF)	4170001	KGZ: PROGRAMME OF BASIC GENERAL EDUCATION (LOWER SECONDARY)
2760017	DEU: UPPER SECONDARY LEVEL OF EDUCATION (WALDORF)	4170002	KGZ: PROGRAMME OF SECONDARY GENERAL EDUCATION (UPPER SECONDARY)
2760018	DEU: PRE-VOCATIONAL TRAINING YEAR	4170004	KGZ: PROGRAMME OF SEC. PROF. EDUCATION (TECHNIKUM, COLLEGES, ETC.)
2760019	DEU: VOCATIONAL SCHOOL (BERUFSCHULE)	4280001	LVA: BASIC EDUCATION
2760020	DEU: VOCATIONAL SCHOOL (BERUFSFACHSCHULE)	4280002	LVA: SPECIAL BASIC EDUCATION
3000001	GRC: LOWER SECONDARY EDUCATION	4280004	LVA: GENERAL SECONDARY EDUCATION
3000002	GRC: UPPER SECONDARY EDUCATION	4280006	LVA: SECONDARY VOCATIONAL EDUCATION
3000003	GRC: (TECHNICAL-VOCATIONAL SCHOOLS) UPPER SECONDARY EDUCATION	4380001	LIE: SECONDARY EDUCATION, FIRST STAGE
3000004	GRC: GYMNASIO (LOWER SECONDARY EDUCATION) EVENING SCHOOL	4380003	LIE: SCHOOL PREPARING FOR THE UNIVERSITY ENTRANCE CERTIFICATE
3000007	GRC: MISSING/UNKNOWN	4400001	LTU: GENERAL BASIC EDUCATION
3440001	HKG: LOWER SECONDARY IN GRAMMAR OR INT. PROGR.	4400003	LTU: BASIC EDUCATION (LOWER GYMNASIUM)
3440002	HKG: UPPER SECONDARY IN GRAMMAR OR INT. PROGR.	4400004	LTU: SECONDARY EDUCATION (UPPER GYMNASIUM)
3440003	HKG: LOWER SECONDARY IN PREVOC. OR TECHN. PROGR.	4400005	LTU: BASIC AND VOCATIONAL EDUCATION
3440004	HKG: UPPER SECONDARY IN PREVOC. OR TECHN. PROGR.	4400006	LTU: VOCATIONAL EDUCATION
3480001	HUN: PRIMARY SCHOOL	4420001	LUX: LOWER SECONDARY EDUCATION (EST: PREPARATOIRE)
3480002	HUN: VOCATIONAL SCHOOL	4420002	LUX: LOWER SECONDARY EDUCATION (EST: INFERIEUR)
3480003	HUN: VOCATIONAL SECONDARY SCHOOL	4420003	LUX: LOWER SECONDARY EDUCATION (ES: INFERIEUR)
3480004	HUN: GRAMMAR SCHOOL	4420004	LUX: A 3-YEAR VOCATIONAL EDUCATION (EST: PROF.)
3520001	ISL: LOWER SECONDARY SCHOOL	4420005	LUX: A 4-YEAR VOCATIONAL-TECHNICAL EDUCATION (EST)
3520007	ISL: UPPER SECONDARY LEVEL VOCATIONAL 3-YEAR CERTIFICATE	4420006	LUX: A 4 TO 5-YEAR TECHNICAL EDUCATION (EST)
3520010	ISL: FINE ARTS EXAMINATION	4420007	LUX: UPPER SECONDARY EDUCATION (ES: SUPERIEUR)
3520012	ISL: STUDENTSPRÓF. MATRIC. EXAM. CERT. (ACCESS TO UNIV. STUDIES)	4420008	LUX: LOWER SECONDARY PRIVATE, NOT SUBSIDIZED
3600001	IDN: JUNIOR SECONDARY SCHOOL	4420009	LUX: UPPER SECONDARY PRIVATE, NOT SUBSIDIZED
3600002	IDN: ISLAMIC JUNIOR SECONDARY SCHOOL	4460001	MAC: GRAMMAR OR INTERNATIONAL PROGRAM AT LOWER SECONDARY LEVELS
3600003	IDN: HIGH SCHOOL	4460002	MAC: GRAMMAR OR INTERNATIONAL PROGRAM AT HIGHER SECONDARY LEVELS
3600004	IDN: ISLAMIC HIGH SCHOOL	4460003	MAC: TECHNICAL OR PREVOCATIONAL PROGRAM AT LOWER SECONDARY LEVELS
3600005	IDN: VOCATIONAL & TECHNICAL SCHOOL	4460004	MAC: TECHNICAL OR PREVOCATIONAL PROGRAM AT HIGHER SECONDARY LEVELS
3720001	IRL: JUNIOR CERT	4840001	MEX: GENERAL LOWER SECONDARY
3720002	IRL: TRANSITION YEAR PROGRAMME	4840002	MEX: TECHNICAL LOWER SECONDARY
3720003	IRL: LEAVING CERT. APPLIED	4840003	MEX: LOWER SECONDARY FOR WORKERS
3720004	IRL: LEAVING CERT. ESTABLISHED	4840004	MEX: GENERAL LOWER SECONDARY BY TELEVISION
3720005	IRL: LEAVING CERT. VOCATIONAL	4840005	MEX: JOB TRAINING
3760001	ISR: SECONDARY EDUCATION (LOWER)	4840006	MEX: GENERAL BACCALAUREATE OR UPPER SECONDARY
3760002	ISR: 6 YEARS HIGHER EDUCATION YEARS 7-9	4840007	MEX: GENERAL BACCALAUREATE OR UPPER SECONDARY
3760003	ISR: 6 YEARS HIGHER EDUCATION YEARS 10-12	4840008	MEX: GENERAL BACCALAUREATE OR UPPER SECONDARY
3760004	ISR: 4 YEARS HIGHER EDUCATION		
3760005	ISR: 3 YEARS HIGHER EDUCATION		
3760006	ISR: HIGHER EDUCATION TECHNICAL/VOCATIONAL		

**APPENDIX 7: CODEBOOK FOR PISA 2006 STUDENT QUESTIONNAIRE DATA FILE**

4840009	MEX: TECHNICAL BACCALAUREATE OR TECHNICAL FROM UPPER SECONDARY	7030004	SVK: GENERAL 8-YEAR SECONDARY SCHOOL (YEARS 5-8)
4840010	MEX: PROFESSIONAL TECHNICIAN	7030005	SVK: HIGH SCHOOL (GYMNASIUM)
4990001	MNE: LOWER SECONDARY	7030006	SVK: SECONDARY COLLEGE
4990002	MNE: GYMNASIUM	7030007	SVK: TECHNICAL COLLEGE, CLASS WITH A SCHOOL LEAVING EXAMINATION
4990003	MNE: TECHNICAL	7030008	SVK: TECHNICAL COLLEGE, CLASS WITHOUT A SCHOOL LEAVING EXAMINATION
4990004	MNE: TECHNICAL VOCATIONAL SCHOOL	7030009	SVK: VOCATIONAL COLLEGE
4990005	MNE: ARTS SCHOOL	7050001	SVN: BASIC (ELEMENTARY) EDUCATION
4990006	MNE: ECONOMIC	7050002	SVN: VOCATIONAL EDUCATION PROGRAMMES OF SHORT DURATION
4990008	MNE: MEDICAL	7050003	SVN: VOCATIONAL EDUCATION PROGRAMMES OF MEDIUM DURATION
4990009	MNE: AGRICULTURAL	7050004	SVN: TECHNICAL EDUCATION PROGRAMMES
4990010	MNE: AGRICULTURAL VOCATIONAL	7050005	SVN: SECONDARY GENERAL EDUCATION – TECHNICAL GYMNASIUMS
4990011	MNE: CATERING	7050006	SVN: SECONDARY GENERAL EDUCATION – GENERAL AND CLASSICAL GYMNASIUMS
5280001	NLD: PRACTICAL PREPARATION FOR LABOUR MARKET	7240001	ESP: COMPULSORY SECONDARY EDUCATION
5280002	NLD: VMBO (GENERAL VOC.)	7240002	ESP: BACCALAUREAT
5280003	NLD: VMBO BB (1-2 YEAR)	7520001	SWE: COMPULSORY BASIC SCHOOL
5280004	NLD: VMBO BB (3-4 YEAR)	7520002	SWE: UPPER SECONDARY SCHOOL, GENERAL ORIENTATION
5280005	NLD: VMBO KB (1-2 YEAR)	7520003	SWE: UPPER SECONDARY SCHOOL, VOCATIONAL ORIENTATION
5280006	NLD: VMBO KB (3-4 YEAR)	7520004	SWE: UPPER SECONDARY SCHOOL, THE INDIVIDUAL PROGRAMME
5280007	NLD: VMBO GL/TL (1-2 YEAR)	7560001	CHE: SECONDARY EDUCATION, FIRST STAGE
5280008	NLD: VMBO GL/TL (3-4 YEAR)	7560002	CHE: PREPARATORY COURSE FOR VOCATIONAL EDUCATION
5280009	NLD: HAVO (YEAR 1-3)	7560003	CHE: SCHOOL PREPARING FOR THE UNIVERSITY ENTRANCE CERTIFICATE
5280010	NLD: HAVO (SEC. YEAR 4-5)	7560004	CHE: VOCATIONAL BACCALAUREAT, DUAL SYSTEM 3-4 YEARS
5280011	NLD: VWO (YEAR 1-3)	7560005	CHE: VOCATIONAL EDUCATION, DUAL SYSTEM 3-4 YEARS
5280012	NLD: VWO (YEAR 4-6)	7560006	CHE: INTERMEDIATE DIPLOMA SCHOOL
5280097	NLD: MISSING/UNKNOWN	7560007	CHE: BASIC VOCATIONAL EDUCATION, DUAL SYSTEM 1-2 YEARS
5540001	NZL: YEARS 7 TO 10	7640001	THA: LOWER SECONDARY LEVEL
5540002	NZL: YEARS 11 TO 13	7640002	THA: UPPER SECONDARY LEVEL
5780001	NOR: LOWER SECONDARY	7640003	THA: VOCATIONAL CERTIFICATE LEVEL (UPPER SECONDARY LEVEL)
5780002	NOR: UPPER SECONDARY	7880001	TUN: ENSEIGNEMENT DE BASE (LOWER SECONDARY)
6160001	POL: GYMNASIUM	7880002	TUN: ENSEIGNEMENT SECONDAIRE (UPPER SECONDARY)
6160002	POL: LYCEUM – GENERAL EDUCATION	7920001	TUR: PRIMARY EDUCATION
6200001	PRT: LOWER SECUNDARY	7920002	TUR: GENERAL HIGH SCHOOL
6200002	PRT: LOWER SECUNDARY UNGRADED	7920003	TUR: ANATOLIAN HIGH SCHOOL
6200003	PRT: UPPER SECUNDARY	7920004	TUR: HIGH SCHOOL WITH INTENSIVE FOREIGN LANGUAGE TEACHING
6200004	PRT: VOCATIONAL SECUNDARY (TECHNICAL)	7920005	TUR: SCIENCE HIGH SCHOOLS
6200005	PRT: VOCATIONAL SECUNDARY (PROFESSIONAL)	7920006	TUR: VOCATIONAL HIGH SCHOOLS
6200006	PRT: LOWER SECUNDARY (SPEC. CURR. 1 YEAR)	7920007	TUR: ANATOLIAN VOCATIONAL HIGH SCHOOLS
6200007	PRT: LOWER SECUNDARY (SPEC. CURR. 2 YEARS)	7920011	TUR: SECONDARY AND VOCATIONAL HIGH SCHOOL
6200008	PRT: LOWER SECUNDARY (SPEC. CURR. 3 YEARS)	8261001	GBR: STUDYING MOSTLY TOWARD ENTRY LEVEL CERTIFICATES
6340001	QAT: LOWER SECONDARY	8261002	GBR: STUDYING MOSTLY TOWARD GCSE OR LEVEL 1 OR 2 QUALIF.
6340002	QAT: LOWER SECONDARY REFORMED	8261003	GBR: STUDYING MOSTLY FOR AS OR A LEV. OR NON-VOC. LEV. 3 QUALIF.
6340003	QAT: LOWER SECONDARY INTERNATIONAL	8261007	GBR: STUDENTS < YEAR 10 (ENG. & WALES) OR < YEAR 11 (NORTH. IRELAND)
6340004	QAT: UPPER SECONDARY	8262001	GBR: STUDYING IN S3 OR S4. (SCO)
6340005	QAT: UPPER SECONDARY REFORMED	8262002	GBR: SS-56 & NAT. QUALIF. AT HIGHER LEV., A-LEV., OR EQUIV. (SCO)
6340006	QAT: UPPER SECONDARY INTERNATIONAL	8262003	GBR: SS-56 & NAT. QUAL. AT INTERMED. OR ACCESS LEVEL, OR EQUIV. (SCO)
6420001	ROU: GENERAL EDUCATION (GIMNAZIU)	8400001	USA: GRADES 7-9
6420002	ROU: VOCATIONAL EDUCATION (SCUOLA DE ARTE SI MESERII)	8400002	USA: GRADES 10-12
6420003	ROU: LOWER SECONDARY EDUCATION (LICEU INFERIOR)	8400097	USA: MISSING/UNKNOWN
6430001	RUS: PROGRAMME OF BASIC GENERAL EDUCATION (LOWER SECONDARY)	8580001	URY: LOWER SECONDARY
6430002	RUS: PROGRAMME OF SECONDARY GENERAL EDUCATION (UPPER SECONDARY)	8580002	URY: LOWER SECONDARY WITH A TECHNOLOGICAL COMPONENT
6430003	RUS: PROGRAMME OF INITIAL PROF. EDUCATION (PROFESSIONAL SCHOOLS, ETC.)	8580003	URY: VOCATIONAL LOWER SECONDARY (BASIC COURSES)
6430004	RUS: PROGRAMME OF SECONDARY PROF. EDUCATION (TECHNIKUM, COLLEGE, ETC.)	8580004	URY: VOCATIONAL LOWER SECONDARY (BASIC PROF. EDUC.)
6880001	SRB: PRIMARY SCHOOL		
6880002	SRB: GYMNASIUM		
6880003	SRB: TECHNICAL		
6880004	SRB: TECHNICAL VOCATIONAL		
6880005	SRB: MEDICAL		
6880006	SRB: ECONOMIC		
6880007	SRB: ECONOMIC VOCATIONAL		
6880008	SRB: AGRICULTURAL		
6880009	SRB: AGRICULTURAL VOCATIONAL		
6880010	SRB: ARTISTIC		
7030001	SVK: BASIC SCHOOL		
7030002	SVK: VOCATIONAL BASIC SCHOOL		
7030003	SVK: GENERAL 8-YEAR SECONDARY SCHOOL (YEARS 1-4)		



8580005	URY: RURAL LOWER SECONDARY
8580006	URY: GENERAL UPPER SECONDARY
8580007	URY: TECHNICAL UPPER SECONDARY
8580008	URY: VOCATIONAL UPPER SECONDARY
8580009	URY: MILITARY SCHOOL

BMMJ (309) Occupational status Mother (SEI)

Format: F2.0	Columns: 364-365
97	N/A
99	Missing

BFMJ (310) Occupational status Father (SEI)

Format: F2.0	Columns: 366-367
97	N/A
99	Missing

BSMJ (311) Occupational status Self (SEI)

Format: F2.0	Columns: 368-369
97	N/A
99	Missing

HISEI (312) Highest parental occupational status (SEI)

Format: F2.0	Columns: 370-371
97	N/A
99	Missing

MSECATEG (313) Mother White collar/Blue collar classification

Format: F1.0	Columns: 372-372
1	White collar high skilled
2	White collar low skilled
3	Blue collar high skilled
4	Blue collar low skilled
7	N/A
9	Missing

FSECATEG (314) Father White collar/Blue collar classification

Format: F1.0	Columns: 373-373
1	White collar high skilled
2	White collar low skilled
3	Blue collar high skilled
4	Blue collar low skilled
7	N/A
9	Missing

HSECATEG (315) Highest parent White collar/Blue collar classification

Format: F1.0	Columns: 374-374
1	White collar high skilled
2	White collar low skilled
3	Blue collar high skilled
4	Blue collar low skilled
7	N/A
9	Missing

SRC_M (316) Mother science-related career

Format: F1.0	Columns: 375-375
0	No or indeterminate
1	Yes
7	N/A
9	Missing

SRC_F (317) Father science-related career

Format: F1.0	Columns: 376-376
0	No or indeterminate
1	Yes
7	N/A
9	Missing

SRC_E (318) Either parent science-related career

Format: F1.0	Columns: 377-377
0	No or indeterminate

1	Yes
7	N/A
9	Missing

SRC_S (319) Self science-related career at 30

Format: F1.0	Columns: 378-378
0	No or indeterminate
1	Yes
7	N/A
9	Missing

MISCED (320) Educational level of mother (ISCED)

Format: F1.0	Columns: 379-379
0	None
1	ISCED 1
2	ISCED 2
3	ISCED 3B, C
4	ISCED 3A, ISCED 4
5	ISCED 5B
6	ISCED 5A, 6
7	N/A
9	Missing

FISCED (321) Educational level of father (ISCED)

Format: F1.0	Columns: 380-380
0	None
1	ISCED 1
2	ISCED 2
3	ISCED 3B, C
4	ISCED 3A, ISCED 4
5	ISCED 5B
6	ISCED 5A, 6
7	N/A
9	Missing

HISCED (322) Highest educational level of parents (ISCED)

Format: F1.0	Columns: 381-381
0	None
1	ISCED 1
2	ISCED 2
3	ISCED 3B, C
4	ISCED 3A, ISCED 4
5	ISCED 5B
6	ISCED 5A, 6
7	N/A
9	Missing

PARED (323) Highest parental education in years

Format: F4.1	Columns: 382-385
97	N/A
99	Missing

COBN_M (324) Country of birth (Mother) 5-digit code

Format: A5	Columns: 386-390
00020	Africa
00021	A Sub-Saharan country (Africa excl. Maghreb)
00080	Albania
00110	Cap Verde (in Western Africa)
00150	North African country (Maghreb)
00290	Caribbean
00310	Azerbaijan
00320	Argentina
00360	Australia
00361	England
00400	Austria
00500	Bangladesh
00560	Belgium
00680	Bolivia
00700	Bosnia and Herzegovina
00760	Brazil



01000	Bulgaria
01120	Belarus
01240	Canada
01451	Middle Eastern country
01510	An Eastern European country
01520	Chile
01560	China
01561	China (incl. HongKong)
01580	Chinese Taipei
01700	Colombia
01910	Croatia
02030	Czech Republic
02080	Denmark
02330	Estonia
02460	Finland
02500	France
02750	Occupied Palestinian Territory
02760	Germany
03000	Greece
03440	Hong Kong-China
03480	Hungary
03520	Iceland
03560	India
03600	Indonesia
03720	Republic of Ireland
03760	Israel
03800	Italy
03920	Japan
04000	Jordan
04100	Republic of Korea
04170	Kyrgyzstan
04280	Latvia
04380	Liechtenstein
04400	Lithuania
04420	Luxembourg
04460	Macao-China
04461	Mainland China
04580	Malaysia
04840	Mexico
05280	Netherlands
05540	New Zealand
05780	Norway
05860	Pakistan
06000	Paraguay
06080	Philippines
06160	Poland
06200	Portugal
06340	Qatar
06420	Romania
06430	Russian Federation
07020	Singapore
07030	Slovakia
07050	Slovenia
07100	South Africa
07240	Spain
07241	Andalusia (in Spain)
07242	Aragon (in Spain)
07243	Asturias (in Spain)
07244	Baleares Islands (in Spain)
07245	Canary Islands (in Spain)
07246	Cantabria (in Spain)
07247	Castile-La Mancha (in Spain)
07248	Castile and Leon (in Spain)
07249	Catalonia (in Spain)
07251	Extremadura (in Spain)
07252	Galicia (in Spain)
07253	La Rioja (in Spain)
07254	Madrid (in Spain)
07255	Murcia (in Spain)
07256	Navarre (in Spain)

07257	Basque Country (in Spain)
07258	Valencian Community (in Spain)
07259	Ceuta and Melilla (in Spain)
07520	Sweden
07560	Switzerland
07620	Tajikistan
07640	Thailand
07880	Tunisia
07920	Turkey
08040	Ukraine
08070	Former Yugoslav Republic of Macedonia
08100	A former USSR republic
08101	Another former USSR republic (RUS)
08102	Another former USSR republic (EST)
08180	Egypt
08260	United Kingdom
08261	United Kingdom (excl.Scotland)
08262	United Kingdom (Scotland)
08263	Northern Ireland
08264	Great Britain
08400	United States
08580	Uruguay
08600	Uzbekistan
08820	Samoa
08870	Yemen
08900	A former Yugoslav republic
08910	Serbia-Montenegro
08911	Serbia
08912	Montenegro
10560	Other Western European country (BEL)
11910	nother former Yugoslav republic (HRV)
13800	Other European Union Country (ITA)
14420	Other European Union Country (LUX)
15280	Other European country (NLD)
16200	African country with Portuguese as the official language
18262	Other European country (QSC)
18911	One of the other former Yugoslav republics (SRB)
23800	A European country that is not a member of the European Union
26200	Other European Union Country (PRT)
36200	An Eastern European country outside the EU
90310	Other countries (AZE)
90320	Other countries (ARG)
90360	Other countries (AUS)
90400	Other countries (AUT)
90560	Other countries (BEL)
90760	Other countries (BRA)
91000	Other countries (BGR)
91240	Other countries (CAN)
91520	Other countries (CHL)
91580	Other countries (TAP)
91700	Other countries (COL)
91910	Other countries (HRV)
92030	Other countries (CZE)
92080	Other countries (DNK)
92330	Other countries (EST)
92460	Other countries (FIN)
92500	Other countries (FRA)
92760	Other countries (DEU)
93000	Other countries (GRC)
93440	Other countries (HKG)
93480	Other countries (HUN)
93520	Other countries (ISL)
93600	Other countries (IDN)
93720	Other countries (IRL)
93760	Other countries (ISR)
93800	Other countries (ITA)
93920	Other countries (JPN)
94000	Other countries (JOR)
94100	Other countries (KOR)



94170	Other countries (KGZ)
94280	Other countries (LVA)
94400	Other countries (LTU)
94420	Other countries (LUX)
94460	Other countries (MAC)
94840	Other countries (MEX)
95280	Other countries (NLD)
95540	Other countries (NZL)
95780	Other countries (NOR)
96160	Other countries (POL)
96200	Other countries (PRT)
96340	Other countries (QAT)
96420	Other countries (ROU)
96430	Other countries (RUS)
97030	Other countries (SVK)
97050	Other countries (SVN)
97240	Other countries (ESP)
97520	Other countries (SWE)
97560	Other countries (CHE)
97640	Other countries (THA)
97770	Other countries (URY)
97880	Other countries (TUN)
97920	Other countries (TUR)
98260	Other countries (GBR-QUK)
98262	Other countries (GBR-QSC)
98400	Other countries (USA)
98911	Other countries (SRB)
98912	Other countries (MNE)
99997	N/A
99998	Invalid
99999	Missing

COBN_F (325) Country of birth (Father) 5-digit code

Format: A5 Columns: 391-395
See COBN_M for labels

COBN_S (326) Country of birth (Self) 5-digit code

Format: A5 Columns: 396-400
See COBN_M for labels

IMMIG (327) Immigration status

Format: F1.0 Columns: 401-401
1 Native
2 Second-Generation
3 First-Generation
7 N/A
8 Invalid
9 Missing

272	Samoan
273	Polish
286	Japanese
301	Korean
313	English
316	Chinese
317	Serbian
322	Dutch
325	Latvian
329	Vietnamese
344	Turkish
351	Bulgarian
363	Kyrgyz
369	Azerbaijani
375	Lithuanian
379	Welsh
381	Romanian
382	Scottish Gaelic
412	Punjabi
415	Hindi
420	Finnish
422	Hebrew
434	Irish
442	Slovenian
449	Greek, Modern
451	Basque
463	Australian Indigenous languages
465	Maori
467	Icelandic
471	Uzbek
474	Galician
492	Macedonian
493	French
494	Swedish
495	Russian
496	Hungarian
500	Arabic
507	Letzeburgesch
514	Ukrainian
523	Norwegian
540	Sami
555	Thai
600	Yugoslavian - Serbian, Croatian, etc
602	National Minorities languages and Bulgarian dialects (BGR)
604	Italian (CHE)
605	European Languages (QSC)
606	Western European languages
607	Regional languages (FRA)
608	Valencian
609	Chinese dialects or languages (HKG)
610	Another language officially recognised in Italy
611	A dialect (ITA)
612	German (CHE)
614	Languages of the former USSR
615	Eastern European languages
616	National dialects or languages (THA)
617	Arabic dialect (TUN)
620	Dialect of Slovak (SVK)
621	Flemish dialect (BEL)
622	Serbian of a yekavian variant or Montenegrin
623	Other European Languages (NLD)
624	Another language spoken in a European Union country (ITA)
625	Cantonese
626	Ulster Scots
627	Other national dialects or languages (ROU)
628	Taiwanese dialect (TWN)
629	Indigenous language (ARG)
638	German (LIE)
639	Languages of other republics in the former Yugoslavia (SVN)
640	German dialect (BEL)

**APPENDIX 7: CODEBOOK FOR PISA 2006 STUDENT QUESTIONNAIRE DATA FILE**

641	Mandarin
642	Local language in Indonesia (IDN)
650	Aboriginal dialect (TWN)
661	Hakka dialect (TWN)
800	Other languages (ARG)
801	Other languages (AUS)
802	Other languages (AUT)
803	Other languages (AZE)
804	Other languages (BEL)
805	Other languages (BRA)
806	Other languages (BGR)
807	Other languages (CAN)
808	Other languages (CHL)
809	Other languages (TWN)
810	Other languages (COL)
811	Other languages (HRV)
812	Other languages (CZE)
813	Other languages (DNK)
814	Other languages (EST)
815	Other languages (FIN)
816	Other languages (FRA)
818	Other languages (DEU)
819	Other languages (GRC)
820	Other languages (HKG)
821	Other languages (HUN)
822	Other languages (ISL)
823	Other languages (IDN)
824	Other languages (IRL)
825	Other languages (ISR)
826	Other languages (ITA)
827	Other languages (JPN)
828	Other languages (JOR)
830	Other languages (KGZ)
831	Other languages (LVA)
833	Other languages (LTU)
834	Other languages (LUX)
835	Other languages (MAC)
836	Other languages (MEX)
837	Other languages (MNE)
838	Other languages (NLD)
839	Other languages (NZL)
840	Other languages (NOR)
842	Other languages (POL)
843	Other languages (PRT)
844	Other languages (QAT)
845	Other languages (KOR)
846	Other languages (ROU)
847	Other languages (RUS)
848	Other languages (GBR-QSC)
850	Other languages (SVK)
851	Other languages (SVN)
852	Other languages (ESP)
853	Other languages (SWE)
854	Other languages (CHE)
855	Other languages (THA)
856	Other languages (TUN)
857	Other languages (TUR)
858	Other languages (GBR-QUK)
859	Other languages (USA)
860	Other languages (URY)
861	Other languages (SRB)
997	N/A
998	Invalid
999	Missing

CARINFO (329) Student information on science-related careers PISA 2006 (WLE)

Format: F9.4	Columns: 405-413
997	N/A
999	Missing

CARPREP (330) School preparation for science-related careers PISA 2006 (WLE)

Format: F9.4	Columns: 414-422
997	N/A
999	Missing

CULTPOSS (331) Cultural possessions at home PISA 2006 (WLE)

Format: F9.4	Columns: 423-431
997	N/A
999	Missing

ENVAWARE (332) Awareness of environmental issues PISA 2006 (WLE)

Format: F9.4	Columns: 432-440
997	N/A
999	Missing

ENVOPT (333) Environmental optimism PISA 2006 (WLE)

Format: F9.4	Columns: 441-449
997	N/A
999	Missing

ENVPERC (334) Perception of environmental issues PISA 2006 (WLE)

Format: F9.4	Columns: 450-458
997	N/A
999	Missing

GENSCIE (335) General value of science PISA 2006 (WLE)

Format: F9.4	Columns: 459-467
997	N/A
999	Missing

HEDRES (336) Home educational resources PISA 2006 (WLE)

Format: F9.4	Columns: 468-476
997	N/A
999	Missing

HOMEPOS (337) Index of home possessions PISA 2006 (WLE)

Format: F9.4	Columns: 477-485
997	N/A
999	Missing

INSTSCIE (338) Instrumental motivation in science PISA 2006 (WLE)

Format: F9.4	Columns: 486-494
997	N/A
999	Missing

INTSCIE (339) General interest in learning science PISA 2006 (WLE)

Format: F9.4	Columns: 495-503
997	N/A
999	Missing

JOYSCIE (340) Enjoyment of science PISA 2006 (WLE)

Format: F9.4	Columns: 504-512
997	N/A
999	Missing

PERSCIE (341) Personal value of science PISA 2006 (WLE)

Format: F9.4	Columns: 513-521
997	N/A
999	Missing

RESPDEV (342) Responsibility for sustainable development PISA 2006 (WLE)

Format: F9.4	Columns: 522-530
997	N/A
999	Missing

SCAPPLY (343) Science Teaching - Focus on applications or models PISA 2006 (WLE)

Format: F9.4	Columns: 531-539
997	N/A
999	Missing



SCHANDS (344) Science Teaching - Hands-on activities PISA 2006 (WLE)	PV3MATH (359) Plausible value in math
Format: F9.4 Columns: 540-548	Format: F9.4 Columns: 675-683
997 N/A	
999 Missing	
SCIEACT (345) Science activities PISA 2006 (WLE)	PV4MATH (360) Plausible value in math
Format: F9.4 Columns: 549-557	Format: F9.4 Columns: 684-692
997 N/A	
999 Missing	
SCIEEFF (346) Science self-efficacy PISA 2006 (WLE)	PV5MATH (361) Plausible value in math
Format: F9.4 Columns: 558-566	Format: F9.4 Columns: 693-701
997 N/A	
999 Missing	
SCIxFUT (347) Future-oriented science motivation PISA 2006 (WLE)	PV1READ (362) Plausible value in reading
Format: F9.4 Columns: 567-575	Format: F9.4 Columns: 702-710
997 N/A	9997 N/A
999 Missing	
SCINTACT (348) Science Teaching - Interaction PISA 2006 (WLE)	PV2READ (363) Plausible value in reading
Format: F9.4 Columns: 576-584	Format: F9.4 Columns: 711-719
997 N/A	9997 N/A
999 Missing	
SCINVEST (349) Science Teaching - Student investigations PISA 2006 (WLE)	PV3READ (364) Plausible value in reading
Format: F9.4 Columns: 585-593	Format: F9.4 Columns: 720-728
997 N/A	9997 N/A
999 Missing	
SCSCIE (350) Science self-concept PISA 2006 (WLE)	PV4READ (365) Plausible value in reading
Format: F9.4 Columns: 594-602	Format: F9.4 Columns: 729-737
997 N/A	9997 N/A
999 Missing	
WEALTH (351) Family wealth PISA 2006 (WLE)	PV5READ (366) Plausible value in reading
Format: F9.4 Columns: 603-611	Format: F9.4 Columns: 738-746
997 N/A	9997 N/A
999 Missing	
HIGHCONF (352) Self-confidence in ICT high level tasks PISA 2006 (WLE)	PV1SCIE (367) Plausible value in science
Format: F9.4 Columns: 612-620	Format: F9.4 Columns: 747-755
997 N/A	
999 Missing	
INTCONF (353) Self-confidence in ICT Internet tasks PISA 2006 (WLE)	PV2SCIE (368) Plausible value in science
Format: F9.4 Columns: 621-629	Format: F9.4 Columns: 756-764
997 N/A	
999 Missing	
INTUSE (354) ICT Internet/entertainment use PISA 2006 (WLE)	PV3SCIE (369) Plausible value in science
Format: F9.4 Columns: 630-638	Format: F9.4 Columns: 765-773
997 N/A	
999 Missing	
PRGUSE (355) ICT program/software use PISA 2006 (WLE)	PV4SCIE (370) Plausible value in science
Format: F9.4 Columns: 639-647	Format: F9.4 Columns: 774-782
997 N/A	
999 Missing	
ESCS (356) Index of economic, social and cultural status PISA 2006	PV1INTR (372) Plausible value in interest in science
Format: F9.4 Columns: 648-656	Format: F9.4 Columns: 792-800
997 N/A	
999 Missing	
PV1MATH (357) Plausible value in math	PV2INTR (373) Plausible value in interest in science
Format: F9.4 Columns: 657-665	Format: F9.4 Columns: 801-809
PV2MATH (358) Plausible value in math	PV3INTR (374) Plausible value in interest in science
Format: F9.4 Columns: 666-674	Format: F9.4 Columns: 810-818
	PV4INTR (375) Plausible value in interest in science
	Format: F9.4 Columns: 819-827
	PV1SUPP (377) Plausible value in support for scientific inquiry
	Format: F9.4 Columns: 837-845
	PV2SUPP (378) Plausible value in support for scientific inquiry
	Format: F9.4 Columns: 846-854
	PV3SUPP (379) Plausible value in support for scientific inquiry
	Format: F9.4 Columns: 855-863
	PV4SUPP (380) Plausible value in support for scientific inquiry
	Format: F9.4 Columns: 864-872

**APPENDIX 7: CODEBOOK FOR PISA 2006 STUDENT QUESTIONNAIRE DATA FILE**

PV5SUPP (381) Plausible value in support for scientific inquiry Format: F9.4 Columns: 873-881	W_FSTR8 (405) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT8 Format: F9.4 Columns: 1089-1097
PV1EPS (382) Plausible value in explaining phenomena scientifically Format: F9.4 Columns: 882-890	W_FSTR9 (406) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT9 Format: F9.4 Columns: 1098-1106
PV2EPS (383) Plausible value in explaining phenomena scientifically Format: F9.4 Columns: 891-899	W_FSTR10 (407) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT10 Format: F9.4 Columns: 1107-1115
PV3EPS (384) Plausible value in explaining phenomena scientifically Format: F9.4 Columns: 900-908	W_FSTR11 (408) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT11 Format: F9.4 Columns: 1116-1124
PV4EPS (385) Plausible value in explaining phenomena scientifically Format: F9.4 Columns: 909-917	W_FSTR12 (409) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT12 Format: F9.4 Columns: 1125-1133
PV5EPS (386) Plausible value in explaining phenomena scientifically Format: F9.4 Columns: 918-926	W_FSTR13 (410) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT13 Format: F9.4 Columns: 1134-1142
PV1ISI (387) Plausible value in identifying scientific issues Format: F9.4 Columns: 927-935	W_FSTR14 (411) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT14 Format: F9.4 Columns: 1143-1151
PV2ISI (388) Plausible value in identifying scientific issues Format: F9.4 Columns: 936-944	W_FSTR15 (412) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT15 Format: F9.4 Columns: 1152-1160
PV3ISI (389) Plausible value in identifying scientific issues Format: F9.4 Columns: 945-953	W_FSTR16 (413) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT16 Format: F9.4 Columns: 1161-1169
PV4ISI (390) Plausible value in identifying scientific issues Format: F9.4 Columns: 954-962	W_FSTR17 (414) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT17 Format: F9.4 Columns: 1170-1178
PV5ISI (391) Plausible value in identifying scientific issues Format: F9.4 Columns: 963-971	W_FSTR18 (415) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT18 Format: F9.4 Columns: 1179-1187
PV1USE (392) Plausible value in using scientific evidence Format: F9.4 Columns: 972-980	W_FSTR19 (416) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT19 Format: F9.4 Columns: 1188-1196
PV2USE (393) Plausible value in using scientific evidence Format: F9.4 Columns: 981-989	W_FSTR20 (417) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT20 Format: F9.4 Columns: 1197-1205
PV3USE (394) Plausible value in using scientific evidence Format: F9.4 Columns: 990-998	W_FSTR21 (418) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT21 Format: F9.4 Columns: 1206-1214
PV4USE (395) Plausible value in using scientific evidence Format: F9.4 Columns: 999-1007	W_FSTR22 (419) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT22 Format: F9.4 Columns: 1215-1223
PV5USE (396) Plausible value in using scientific evidence Format: F9.4 Columns: 1008-1016	W_FSTR23 (420) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT23 Format: F9.4 Columns: 1224-1232
W_FSTUWT (397) FINAL STUDENT WEIGHT Format: F9.4 Columns: 1017-1025	W_FSTR24 (421) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT24 Format: F9.4 Columns: 1233-1241
W_FSTR1 (398) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT1 Format: F9.4 Columns: 1026-1034	W_FSTR25 (422) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT25 Format: F9.4 Columns: 1242-1250
W_FSTR2 (399) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT2 Format: F9.4 Columns: 1035-1043	W_FSTR26 (423) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT26 Format: F9.4 Columns: 1251-1259
W_FSTR3 (400) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT3 Format: F9.4 Columns: 1044-1052	W_FSTR27 (424) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT27 Format: F9.4 Columns: 1260-1268
W_FSTR4 (401) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT4 Format: F9.4 Columns: 1053-1061	W_FSTR28 (425) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT28 Format: F9.4 Columns: 1269-1277
W_FSTR5 (402) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT5 Format: F9.4 Columns: 1062-1070	W_FSTR29 (426) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT29 Format: F9.4 Columns: 1278-1286
W_FSTR6 (403) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT6 Format: F9.4 Columns: 1071-1079	W_FSTR30 (427) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT30 Format: F9.4 Columns: 1287-1295
W_FSTR7 (404) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT7 Format: F9.4 Columns: 1080-1088	W_FSTR31 (428) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT31 Format: F9.4 Columns: 1296-1304



W_FSTR32 (429) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT32	Format: F9.4 Columns: 1305-1313	W_FSTR56 (453) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT56	Format: F9.4 Columns: 1521-1529
W_FSTR33 (430) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT33	Format: F9.4 Columns: 1314-1322	W_FSTR57 (454) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT57	Format: F9.4 Columns: 1530-1538
W_FSTR34 (431) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT34	Format: F9.4 Columns: 1323-1331	W_FSTR58 (455) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT58	Format: F9.4 Columns: 1539-1547
W_FSTR35 (432) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT35	Format: F9.4 Columns: 1332-1340	W_FSTR59 (456) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT59	Format: F9.4 Columns: 1548-1556
W_FSTR36 (433) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT36	Format: F9.4 Columns: 1341-1349	W_FSTR60 (457) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT60	Format: F9.4 Columns: 1557-1565
W_FSTR37 (434) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT37	Format: F9.4 Columns: 1350-1358	W_FSTR61 (458) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT61	Format: F9.4 Columns: 1566-1574
W_FSTR38 (435) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT38	Format: F9.4 Columns: 1359-1367	W_FSTR62 (459) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT62	Format: F9.4 Columns: 1575-1583
W_FSTR39 (436) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT39	Format: F9.4 Columns: 1368-1376	W_FSTR63 (460) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT63	Format: F9.4 Columns: 1584-1592
W_FSTR40 (437) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT40	Format: F9.4 Columns: 1377-1385	W_FSTR64 (461) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT64	Format: F9.4 Columns: 1593-1601
W_FSTR41 (438) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT41	Format: F9.4 Columns: 1386-1394	W_FSTR65 (462) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT65	Format: F9.4 Columns: 1602-1610
W_FSTR42 (439) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT42	Format: F9.4 Columns: 1395-1403	W_FSTR66 (463) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT66	Format: F9.4 Columns: 1611-1619
W_FSTR43 (440) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT43	Format: F9.4 Columns: 1404-1412	W_FSTR67 (464) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT67	Format: F9.4 Columns: 1620-1628
W_FSTR44 (441) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT44	Format: F9.4 Columns: 1413-1421	W_FSTR68 (465) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT68	Format: F9.4 Columns: 1629-1637
W_FSTR45 (442) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT45	Format: F9.4 Columns: 1422-1430	W_FSTR69 (466) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT69	Format: F9.4 Columns: 1638-1646
W_FSTR46 (443) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT46	Format: F9.4 Columns: 1431-1439	W_FSTR70 (467) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT70	Format: F9.4 Columns: 1647-1655
W_FSTR47 (444) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT47	Format: F9.4 Columns: 1440-1448	W_FSTR71 (468) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT71	Format: F9.4 Columns: 1656-1664
W_FSTR48 (445) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT48	Format: F9.4 Columns: 1449-1457	W_FSTR72 (469) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT72	Format: F9.4 Columns: 1665-1673
W_FSTR49 (446) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT49	Format: F9.4 Columns: 1458-1466	W_FSTR73 (470) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT73	Format: F9.4 Columns: 1674-1682
W_FSTR50 (447) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT50	Format: F9.4 Columns: 1467-1475	W_FSTR74 (471) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT74	Format: F9.4 Columns: 1683-1691
W_FSTR51 (448) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT51	Format: F9.4 Columns: 1476-1484	W_FSTR75 (472) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT75	Format: F9.4 Columns: 1692-1700
W_FSTR52 (449) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT52	Format: F9.4 Columns: 1485-1493	W_FSTR76 (473) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT76	Format: F9.4 Columns: 1701-1709
W_FSTR53 (450) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT53	Format: F9.4 Columns: 1494-1502	W_FSTR77 (474) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT77	Format: F9.4 Columns: 1710-1718
W_FSTR54 (451) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT54	Format: F9.4 Columns: 1503-1511	W_FSTR78 (475) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT78	Format: F9.4 Columns: 1719-1727
W_FSTR55 (452) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT55	Format: F9.4 Columns: 1512-1520	W_FSTR79 (476) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT79	Format: F9.4 Columns: 1728-1736



W_FSTR80 (477) FINAL STUDENT REPPLICATE BRR-FAY WEIGHT80	
Format: F9.4	Columns: 1737-1745
CNTFAC (478) Country weight factor for normalised weights (multi-level)	
Format: F13.10	Columns: 1746-1758
SUBFAC (479) Adjudicated region weight factor for normalised weights (multi-level)	
Format: F13.10	Columns: 1759-1771
WVARSTR (480) RANDOMIZED FINAL VARIANCE STRATUM (1-80)	
Format: F2.0	Columns: 1772-1773
RANDUNIT (481) FINAL VARIANCE UNIT (1,2,3)	
Format: F1.0	Columns: 1774-1774
STRATUM (482) Original stratum	
Format: A5	Columns: 1775-1779
03197	AZE: Stratum 97
03201	ARG: COD_PROV02
03202	ARG: COD_PROV05
03203	ARG: COD_PROV06
03204	ARG: COD_PROV10
03205	ARG: COD_PROV14
03206	ARG: COD_PROV18
03207	ARG: COD_PROV22
03208	ARG: COD_PROV26
03209	ARG: COD_PROV30
03210	ARG: COD_PROV34
03211	ARG: COD_PROV38
03212	ARG: COD_PROV42
03213	ARG: COD_PROV46
03214	ARG: COD_PROV50
03215	ARG: COD_PROV54
03216	ARG: COD_PROV58
03217	ARG: COD_PROV62
03218	ARG: COD_PROV66
03219	ARG: COD_PROV70
03220	ARG: COD_PROV74
03221	ARG: COD_PROV78
03222	ARG: COD_PROV82
03223	ARG: COD_PROV86
03224	ARG: COD_PROV90
03225	ARG: COD_PROV94
03226	ARG: Moderately Small schools
03227	ARG: Very Small schools
03601	AUS: ACT
03602	AUS: NSW
03603	AUS: NT
03604	AUS: QLD
03605	AUS: SA
03606	AUS: TAS
03607	AUS: VIC
03608	AUS: WA
04097	AUT: Stratum 97
05601	BEL: Stratum 01
05602	BEL: Stratum 02
05603	BEL: Stratum 03
05604	BEL: Stratum 04
05605	BEL: Stratum 05
05606	BEL: Stratum 06
05607	BEL: Stratum 07
05608	BEL: Stratum 08
05609	BEL: Stratum 09
05610	BEL: Stratum 10
05611	BEL: Stratum 11
05612	BEL: Stratum 12
05613	BEL: Stratum 13
05614	BEL: Stratum 14
05615	BEL: Stratum 15
05616	BEL: Stratum 16

05617	BEL: Stratum 17
07601	BRA: Stratum 01
07602	BRA: Stratum 02
07603	BRA: Stratum 03
07604	BRA: Stratum 04
07605	BRA: Stratum 05
07606	BRA: Stratum 06
07607	BRA: Stratum 07
07608	BRA: Stratum 08
07609	BRA: Stratum 09
07610	BRA: Stratum 10
07611	BRA: Stratum 11
07612	BRA: Stratum 12
07613	BRA: Stratum 13
07614	BRA: Stratum 14
07615	BRA: Stratum 15
07616	BRA: Stratum 16
07617	BRA: Stratum 17
07618	BRA: Stratum 18
07619	BRA: Stratum 19
07620	BRA: Stratum 20
07621	BRA: Stratum 21
07622	BRA: Stratum 22
07623	BRA: Stratum 23
07624	BRA: Stratum 24
07625	BRA: Stratum 25
07626	BRA: Stratum 26
07627	BRA: Stratum 27
07628	BRA: Stratum 28
07629	BRA: Stratum 29
07630	BRA: Stratum 30
10001	BGR: Stratum 01
10002	BGR: Stratum 02
10003	BGR: Stratum 03
10004	BGR: Stratum 04
10005	BGR: Stratum 05
10006	BGR: Stratum 06
10007	BGR: Stratum 07
10008	BGR: Stratum 08
10009	BGR: Stratum 09
10010	BGR: Stratum 10
10011	BGR: Stratum 11
10012	BGR: Stratum 12
10013	BGR: Stratum 13
12401	CAN: Stratum 01
12402	CAN: Stratum 02
12403	CAN: Stratum 03
12404	CAN: Stratum 04
12405	CAN: Stratum 05
12406	CAN: Stratum 06
12407	CAN: Stratum 07
12408	CAN: Stratum 08
12409	CAN: Stratum 09
12410	CAN: Stratum 10
12411	CAN: Stratum 11
12412	CAN: Stratum 12
12413	CAN: Stratum 13
12414	CAN: Stratum 14
12415	CAN: Stratum 15
12416	CAN: Stratum 16
12417	CAN: Stratum 17
12418	CAN: Stratum 18
12419	CAN: Stratum 19
12420	CAN: Stratum 20
12421	CAN: Stratum 21
12422	CAN: Stratum 22
12423	CAN: Stratum 23
12424	CAN: Stratum 24
12425	CAN: Stratum 25
12426	CAN: Stratum 26



12427	CAN:	Stratum 27		20316	CZE:	PRGM1_RGN6
12428	CAN:	Stratum 28		20317	CZE:	PRGM1_RGN6_MSS
12429	CAN:	Stratum 29		20318	CZE:	PRGM1_RGN6_VSS
12430	CAN:	Stratum 30		20319	CZE:	PRGM1_RGN7
12431	CAN:	Stratum 31		20320	CZE:	PRGM1_RGN7_MSS
12432	CAN:	Stratum 32		20321	CZE:	PRGM1_RGN7_VSS
12433	CAN:	Stratum 33		20322	CZE:	PRGM1_RGN8
12434	CAN:	Stratum 34		20323	CZE:	PRGM1_RGN8_MSS
12435	CAN:	Stratum 35		20324	CZE:	PRGM1_RGN8_VSS
12436	CAN:	Stratum 36		20325	CZE:	PRGM1_RGN9
12437	CAN:	Stratum 37		20326	CZE:	PRGM1_RGN9_MSS
12438	CAN:	Stratum 38		20327	CZE:	PRGM1_RGN9_VSS
12439	CAN:	Stratum 39		20328	CZE:	PRGM1_RGN10
12440	CAN:	Stratum 40		20329	CZE:	PRGM1_RGN10_MSS
12441	CAN:	Stratum 41		20330	CZE:	PRGM1_RGN10_VSS
12442	CAN:	Stratum 42		20331	CZE:	PRGM1_RGN11
12443	CAN:	Stratum 43		20332	CZE:	PRGM1_RGN11_MSS
12444	CAN:	Stratum 44		20333	CZE:	PRGM1_RGN11_VSS
15201	CHL:	Stratum 01		20334	CZE:	PRGM1_RGN 12
15202	CHL:	Stratum 02		20335	CZE:	PRGM1_RGN 12_MSS
15203	CHL:	Stratum 03		20336	CZE:	PRGM1_RGN 12_VSS
15204	CHL:	Stratum 04		20337	CZE:	PRGM1_RGN13
15205	CHL:	Stratum 05		20338	CZE:	PRGM1_RGN13_MSS
15206	CHL:	Stratum 06		20339	CZE:	PRGM1_RGN13_VSS
15207	CHL:	Stratum 07		20340	CZE:	PRGM1_RGN14
15208	CHL:	Stratum 08		20341	CZE:	PRGM1_RGN14_MSS
15209	CHL:	Stratum 09		20342	CZE:	PRGM1_RGN14_VSS
15210	CHL:	Stratum 10		20343	CZE:	PRGM2_RGN1
15211	CHL:	Stratum 11		20345	CZE:	PRGM2_RGN2
15212	CHL:	Stratum 12		20346	CZE:	PRGM2_RGN2_MSS
15213	CHL:	Stratum 13		20347	CZE:	PRGM2_RGN3
15214	CHL:	Stratum 14		20348	CZE:	PRGM2_RGN3_MSS
15216	CHL:	Stratum 16		20349	CZE:	PRGM2_RGN4
15219	CHL:	Stratum 19		20351	CZE:	PRGM2_RGN5
15220	CHL:	Stratum 20		20352	CZE:	PRGM2_RGN5_SS
15801	TAP:	Centre		20353	CZE:	PRGM2_RGN6
15802	TAP:	East & Little Island		20354	CZE:	PRGM2_RGN6_SS
15803	TAP:	Kaohsiung City		20355	CZE:	PRGM2_RGN7
15804	TAP:	North		20356	CZE:	PRGM2_RGN7_MSS
15805	TAP:	South		20357	CZE:	PRGM2_RGN8
15806	TAP:	Taipei City		20358	CZE:	PRGM2_RGN8_SS
15807	TAP:	Certainty School Stratum		20359	CZE:	PRGM2_RGN9
15808	TAP:	Cont. Supp. High schools		20360	CZE:	PRGM2_RGN9_MSS
15809	TAP:	5-Year colleges		20361	CZE:	PRGM2_RGN10
15810	TAP:	Junior parts of comprehensive high schools		20362	CZE:	PRGM2_RGN10_MSS
15811	TAP:	Junior High schools		20363	CZE:	PRGM2_RGN11
15812	TAP:	Practical and technical schools		20364	CZE:	PRGM2_RGN11_MSS
15814	TAP:	Practical and technical / Working and Learning schools		20365	CZE:	PRGM2_RGN 12
15815	TAP:	Moderately small schools		20366	CZE:	PRGM2_RGN 12_SS
15816	TAP:	Very small schools		20367	CZE:	PRGM2_RGN13
15817	TAP:	Certainty stratum		20368	CZE:	PRGM2_RGN13_SS
17001	COL:	Stratum 01		20369	CZE:	PRGM2_RGN14
17002	COL:	Stratum 02		20370	CZE:	PRGM2_RGN14_SS
17003	COL:	Stratum 03		20371	CZE:	PRGM3
19197	HRV:	Stratum 97		20372	CZE:	PRGM4
20301	CZE:	PRGM1_RGN1		20373	CZE:	PRGM5
20302	CZE:	PRGM1_RGN1_MSS		20374	CZE:	PRGM6
20303	CZE:	PRGM1_RGN1_VSS		20375	CZE:	PRGM3456_MSS
20304	CZE:	PRGM1_RGN2		20376	CZE:	PRGM3456_VSS
20305	CZE:	PRGM1_RGN2_MSS		20801	DNK:	VSS
20306	CZE:	PRGM1_RGN2_VSS		20802	DNK:	MSS
20307	CZE:	PRGM1_RGN3		20803	DNK:	LARGE
20308	CZE:	PRGM1_RGN3_MSS		23301	EST:	Estonian Schools
20309	CZE:	PRGM1_RGN3_VSS		23302	EST:	Russian Schools
20310	CZE:	PRGM1_RGN4		23303	EST:	Estonian/Russian Schools
20311	CZE:	PRGM1_RGN4_MSS		23304	EST:	Moderately small schools
20312	CZE:	PRGM1_RGN4_VSS		23305	EST:	Very small schools
20313	CZE:	PRGM1_RGN5		23306	EST:	Certainty stratum
20314	CZE:	PRGM1_RGN5_MSS		24601	FIN:	Uusimaa, rural
20315	CZE:	PRGM1_RGN5_VSS		24602	FIN:	Uusimaa, urban



24603	FIN:	Southern Finland, rural	36022	IDN:	Stratum 22
24604	FIN:	Southern Finland, urban	36023	IDN:	Stratum 23
24605	FIN:	Eastern Finland, rural	36024	IDN:	Stratum 24
24606	FIN:	Eastern Finland, urban	36026	IDN:	Stratum 26
24607	FIN:	Mid-Finland, rural	36028	IDN:	Stratum 28
24608	FIN:	Mid-Finland, urban	36029	IDN:	Stratum 29
24609	FIN:	Northern Finland, rural	36030	IDN:	Stratum 30
24610	FIN:	Northern Finland, urban	36031	IDN:	Stratum 31
24611	FIN:	Ahvenanmaa, rural	36032	IDN:	Stratum 32
24612	FIN:	Ahvenanmaa, urban	37201	IRL:	Enrollment size <=40
25001	FRA:	Lycées généraux et technologiques	37202	IRL:	Enrollment size between 41 and 80
25002	FRA:	Collèges	37203	IRL:	Enrollment size > 80
25003	FRA:	Lycées professionnels	37601	ISR:	Stratum 01
25004	FRA:	Lycées agricoles	37602	ISR:	Stratum 02
25005	FRA:	Moderately Small schools	37603	ISR:	Stratum 03
25006	FRA:	Very Small schools	37604	ISR:	Stratum 04
27697	DEU:	Stratum 97	37605	ISR:	Stratum 05
30001	GRC:	Stratum 01	37606	ISR:	Stratum 06
30002	GRC:	Stratum 02	37607	ISR:	Stratum 07
30003	GRC:	Stratum 03	37608	ISR:	Stratum 08
30004	GRC:	Stratum 04	37609	ISR:	Stratum 09
30005	GRC:	Stratum 05	38001	ITA:	Region 08 – Licei – large schools + moderately small
30006	GRC:	Stratum 06	38002	ITA:	Region 08 – Tecnici – large schools + moderately small
30007	GRC:	Stratum 07	38003	ITA:	Region 08 – Professionali – large schools + moderately small
30008	GRC:	Stratum 08	38004	ITA:	Region 08 – Medie – large schools + moderately small
30009	GRC:	Stratum 09	38005	ITA:	Region 07 – Licei – large schools
30010	GRC:	Stratum 10	38006	ITA:	Region 07 – Tecnici – large schools
30011	GRC:	Stratum 11	38007	ITA:	Region 07 – Professionali – large schools
30012	GRC:	Stratum 12	38009	ITA:	Region 07 – Formazione professionale – large schools
30013	GRC:	Stratum 13	38010	ITA:	Region 06 – Licei – large schools
30014	GRC:	Stratum 14	38011	ITA:	Region 06 – Tecnici – large schools
30015	GRC:	Stratum 15	38012	ITA:	Region 06 – Professionali – large schools
30016	GRC:	Stratum 16	38014	ITA:	Region 06 – Formazione professionale – census
34401	HKG:	Government	38015	ITA:	Region 99 – Licei – large schools
34402	HKG:	Aided or Caput	38016	ITA:	Region 99 – Tecnici – large schools
34403	HKG:	Private	38017	ITA:	Region 99 – Professionali – large schools
34404	HKG:	Direct Subsidy Scheme	38019	ITA:	Region 13 – Licei – large schools
34802	HUN:	VOC	38020	ITA:	Region 13 – Tecnici – large schools
34803	HUN:	SCNDRY_VOC	38021	ITA:	Region 13 – Professionali – large schools
34804	HUN:	GRAMMAR	38023	ITA:	Region 13 – Formazione professionale – large schools
34805	HUN:	MSS	38024	ITA:	Region 12 – Licei – large schools
34806	HUN:	VSS	38025	ITA:	Region 12 – Tecnici – large schools + moderately small
35201	ISL:	Reykjavík	38026	ITA:	Region 12 – Professionali – large schools + moderately small
35202	ISL:	Reykjavík neighbouring municipalities	38028	ITA:	Region 12 – Formazione professionale – census
35203	ISL:	Reykjanesskagi peninsula	38029	ITA:	Region 01 – Licei – census
35204	ISL:	West	38030	ITA:	Region 01 – Tecnici – census
35205	ISL:	West fjords	38031	ITA:	Region 01 – Professionali – census
35206	ISL:	North-West	38032	ITA:	Region 01 – Medie – all schools
35207	ISL:	North-East	38033	ITA:	Region 01 – Formazione professionale – census
35208	ISL:	East	38034	ITA:	Region 05 – Licei – large schools
35209	ISL:	South	38035	ITA:	Region 05 – Tecnici – large schools
36001	IDN:	Stratum 01	38036	ITA:	Region 05 – Professionali – large schools
36002	IDN:	Stratum 02	38038	ITA:	Region 04 – Licei - large schools
36003	IDN:	Stratum 03	38039	ITA:	Region 04 – Tecnici – large schools
36004	IDN:	Stratum 04	38040	ITA:	Region 04 – Professionali – large schools
36005	IDN:	Stratum 05	38042	ITA:	Region 99 – Licei – large schools
36007	IDN:	Stratum 07	38043	ITA:	Region 99 – Tecnici – large schools
36008	IDN:	Stratum 08	38044	ITA:	Region 99 – Professionali – large schools
36009	IDN:	Stratum 09	38046	ITA:	Region 03 – Licei – large schools + moderately small
36010	IDN:	Stratum 10	38047	ITA:	Region 03 – Tecnici – large schools + moderately small
36011	IDN:	Stratum 11	38048	ITA:	Region 03 – Professionali – large schools + moderately small
36012	IDN:	Stratum 12	38049	ITA:	Region 03 – Medie – large schools + moderately small
36013	IDN:	Stratum 13	38050	ITA:	Region 09 – Licei – large schools+ moderately small
36014	IDN:	Stratum 14			
36015	IDN:	Stratum 15			
36016	IDN:	Stratum 16			
36017	IDN:	Stratum 17			
36018	IDN:	Stratum 18			
36019	IDN:	Stratum 19			
36020	IDN:	Stratum 20			



38051	ITA:	Region 09 – Tecnici – large schools+ moderately small	41711	KGZ:	Chui / Town / Russian
38052	ITA:	Region 09 – Professionali – large schools+ moderately small	41712	KGZ:	Chui / Town / Kyrgyz
38053	ITA:	Region 09 – Medie – moderately small schools	41714	KGZ:	Issykkul / Rural / Russian
38054	ITA:	Region 99 – Licei – large schools	41715	KGZ:	Issykkul / Rural / Kyrgyz
38055	ITA:	Region 99 – Tecnici – large schools	41716	KGZ:	Issykkul / Town / Russian
38056	ITA:	Region 99 – Professionali – large schools	41717	KGZ:	Issykkul / Town / Kyrgyz
38058	ITA:	Region 02 – Licei – large schools	41718	KGZ:	Jalalabat / Rural / Russian
38059	ITA:	Region 02 – Tecnici – large schools	41719	KGZ:	Jalalabat / Rural / Kyrgyz
38060	ITA:	Region 02 – Professionali – large schools	41720	KGZ:	Jalalabat / Rural / Uzbek
38062	ITA:	Region 02 – Formazione professionale – census	41721	KGZ:	Jalalabat / Town / Russian
38063	ITA:	Region 10 – Licei – large schools	41722	KGZ:	Jalalabat / Town / Kyrgyz
38064	ITA:	Region 10 – Tecnici – large schools	41723	KGZ:	Jalalabat / Town / Uzbek
38065	ITA:	Region 10 – Professionali – large schools	41724	KGZ:	Naryn / Rural / Russian
38067	ITA:	Region 11 – Licei – large schools	41725	KGZ:	Naryn / Rural / Kyrgyz
38068	ITA:	Region 11 – Tecnici – large schools	41726	KGZ:	Naryn / Town / Russian
38069	ITA:	Region 11 – Professionali – large schools	41727	KGZ:	Naryn / Town / Kyrgyz
38070	ITA:	Region 11 – Medie – large schools	41728	KGZ:	Osh / Rural / Russian
38071	ITA:	Region 99 – Licei – large schools	41729	KGZ:	Osh / Rural / Kyrgyz
38072	ITA:	Region 99 – Tecnici – large schools	41730	KGZ:	Osh / Rural / Uzbek
38073	ITA:	Region 99 – Professionali – large schools	41731	KGZ:	Osh / Town / Russian
38075	ITA:	Region 02 – moderately small schools	41732	KGZ:	Osh / Town / Kyrgyz
38076	ITA:	Region 02 – very small schools	41733	KGZ:	Osh / Town / Uzbek
38077	ITA:	Region 03 – very small schools	41734	KGZ:	Osh City / Rural / Kyrgyz
38078	ITA:	Region 04 – moderately small schools	41735	KGZ:	Osh City / Town / Russian
38079	ITA:	Region 04 – very small schools	41736	KGZ:	Osh City / Town / Kyrgyz
38080	ITA:	Region 05 – moderately small schools	41737	KGZ:	Osh City / Town / Uzbek
38081	ITA:	Region 05 – very small schools	41738	KGZ:	Talas / Rural / Russian
38082	ITA:	Region 06 – moderately small schools	41739	KGZ:	Talas / Rural / Kyrgyz
38083	ITA:	Region 06 – very small schools	41740	KGZ:	Talas / Town / Russian
38084	ITA:	Region 07 – moderately small schools	41741	KGZ:	Talas / Town / Kyrgyz
38085	ITA:	Region 07 – very small schools	41742	KGZ:	Moderately Small Schools
38086	ITA:	Region 08 – very small schools	41743	KGZ:	Very Small Schools
38087	ITA:	Region 09 – very small schools	41744	KGZ:	Certainty School Stratum
38088	ITA:	Region 10 – moderately small schools	42801	LVA:	Stratum 01
38089	ITA:	Region 10 – very small schools	42802	LVA:	Stratum 02
38090	ITA:	Region 11 – moderately small schools	42803	LVA:	Stratum 03
38091	ITA:	Region 11 – very small schools	42804	LVA:	Stratum 04
38092	ITA:	Region 12 – very small schools	43875	LIE:	Stratum 75
38093	ITA:	Region 13 – moderately small schools	44001	LTU:	Stratum 01
38094	ITA:	Region 13 – very small schools	44002	LTU:	Stratum 02
38095	ITA:	Region 99 – moderately small schools	44003	LTU:	Stratum 03
38096	ITA:	Region 99 – very small schools	44004	LTU:	Stratum 04
38098	ITA:	Certainty stratum	44005	LTU:	Stratum 05
38099	ITA:	Region 05 – Sloveni census	44006	LTU:	Stratum 06
39201	JPN:	Public & Academic Course	44297	LUX:	Stratum 97
39202	JPN:	Public & Practical Course	44601	MAC:	Gov, Grammar-International, Chinese and Portuguese
39203	JPN:	Private & Academic Course	44602	MAC:	Gov, Technical-Prevocational, Chinese
39204	JPN:	Private & Practical Course	44603	MAC:	Private-In-Net, Grammar-International, Chinese
40001	JOR:	Stratum 01	44604	MAC:	Private-In-Net, Grammar-International, English
40002	JOR:	Stratum 02	44605	MAC:	Private-In-Net, Grammar-International, English and Chinese
40003	JOR:	Stratum 03	44606	MAC:	Private-In-Net, Technical-Prevocational, Chinese
40004	JOR:	Stratum 04	44607	MAC:	Private-not-in-Net, Grammar-International, Chinese
40005	JOR:	Stratum 05	44608	MAC:	Private-not-in-Net, Grammar-International, English
40006	JOR:	Stratum 06	44609	MAC:	Private-not-in-Net, Grammar-International, Portuguese
41001	KOR:	Stratum 01	44610	MAC:	Private-not-in-Net, Grammar-International, Chinese and English
41002	KOR:	Stratum 02	48401	MEX:	AGUASCALIENTES, Lower Secondary
41003	KOR:	Stratum 03	48402	MEX:	AGUASCALIENTES, Upper Secondary
41004	KOR:	Stratum 04	48403	MEX:	BAJA CALIFORNIA, Lower Secondary
41005	KOR:	Stratum 05	48404	MEX:	BAJA CALIFORNIA, Upper Secondary
41701	KGZ:	Batken / Rural / Russian	48405	MEX:	BAJA CALIFORNIA SUR, Lower Secondary
41702	KGZ:	Batken / Rural / Kyrgyz	48406	MEX:	BAJA CALIFORNIA SUR, Upper Secondary
41703	KGZ:	Batken / Rural / Uzbek	48407	MEX:	CAMPECHE, Lower Secondary
41704	KGZ:	Batken / Town / Russian	48408	MEX:	CAMPECHE, Upper Secondary
41705	KGZ:	Batken / Town / Kyrgyz	48409	MEX:	CHIAPAS, Lower Secondary
41706	KGZ:	Batken / Town / Uzbek	48410	MEX:	CHIAPAS, Upper Secondary
41707	KGZ:	Bishkek / Russian	48411	MEX:	CHIHUAHUA, Lower Secondary
41708	KGZ:	Bishkek / Kyrgyz	48412	MEX:	CHIHUAHUA, Upper Secondary
41709	KGZ:	Chui / Rural / Russian	48413	MEX:	COAHUILA, Lower Secondary
41710	KGZ:	Chui Rural / Kyrgyz			

**APPENDIX 7: CODEBOOK FOR PISA 2006 STUDENT QUESTIONNAIRE DATA FILE**

48414	MEX: COAHUILA, Upper Secondary
48415	MEX: COLIMA, Lower Secondary
48416	MEX: COLIMA, Upper Secondary
48417	MEX: DISTRITO FEDERAL, Lower Secondary
48418	MEX: DISTRITO FEDERAL, Upper Secondary
48419	MEX: DURANGO, Lower Secondary
48420	MEX: DURANGO, Upper Secondary
48421	MEX: GUANAJUATO, Lower Secondary
48422	MEX: GUANAJUATO, Upper Secondary
48423	MEX: GUERRERO, Lower Secondary
48424	MEX: GUERRERO, Upper Secondary
48425	MEX: HIDALGO, Lower Secondary
48426	MEX: HIDALGO, Upper Secondary
48427	MEX: JALISCO, Lower Secondary
48428	MEX: JALISCO, Upper Secondary
48429	MEX: MEXICO, Lower Secondary
48430	MEX: MEXICO, Upper Secondary
48431	MEX: MICHOACAN, Lower Secondary
48432	MEX: MICHOACAN, Upper Secondary
48434	MEX: MORELOS, Upper Secondary
48435	MEX: NAYARIT, Lower Secondary
48436	MEX: NAYARIT, Upper Secondary
48437	MEX: NUEVO LEON, Lower Secondary
48438	MEX: NUEVO LEON, Upper Secondary
48439	MEX: OAXACA, Lower Secondary
48440	MEX: OAXACA, Upper Secondary
48441	MEX: PUEBLA, Lower Secondary
48442	MEX: PUEBLA, Upper Secondary
48443	MEX: QUERETARO, Lower Secondary
48444	MEX: QUERETARO, Upper Secondary
48445	MEX: QUINTANA ROO, Lower Secondary
48446	MEX: QUINTANA ROO, Upper Secondary
48447	MEX: SAN LUIS POTOSI, Lower Secondary
48448	MEX: SAN LUIS POTOSI, Upper Secondary
48449	MEX: SINALOA, Lower Secondary
48450	MEX: SINALOA, Upper Secondary
48451	MEX: SONORA, Lower Secondary
48452	MEX: SONORA, Upper Secondary
48453	MEX: TABASCO, Lower Secondary
48454	MEX: TABASCO, Upper Secondary
48455	MEX: TAMAULIPAS, Lower Secondary
48456	MEX: TAMAULIPAS, Upper Secondary
48457	MEX: TLAXCALA, Lower Secondary
48458	MEX: TLAXCALA, Upper Secondary
48459	MEX: VERACRUZ, Lower Secondary
48460	MEX: VERACRUZ, Upper Secondary
48461	MEX: YUCATAN, Lower Secondary
48462	MEX: YUCATAN, Upper Secondary
48463	MEX: ZACATECAS, Lower Secondary
48464	MEX: ZACATECAS, Upper Secondary
48465	MEX: Moderately small schools
48466	MEX: Very small schools
48467	MEX: Certainty schools
49901	MNE: Stratum 01
49902	MNE: Stratum 02
49903	MNE: Stratum 03
49904	MNE: Stratum 04
52801	NLD: Track A
52802	NLD: Track B
55497	NZL: Stratum 97
57801	NOR: Stratum 01
57802	NOR: Stratum 02
57803	NOR: Stratum 03
57804	NOR: Stratum 04
61601	POL: PUBLIC
61602	POL: PRV
61603	POL: PRV_MSS
61604	POL: PRV_VSS
61605	POL: LYCEA
62097	PRT: Stratum 97

63401	QAT: Stratum 01
63402	QAT: Stratum 02
63403	QAT: Stratum 03
63404	QAT: Stratum 04
63405	QAT: Stratum 05
63406	QAT: Stratum 06
63407	QAT: Stratum 07
63408	QAT: Stratum 08
63409	QAT: Stratum 09
63410	QAT: Stratum 10
63411	QAT: Stratum 11
63412	QAT: Stratum 12
63413	QAT: Stratum 13
63414	QAT: Stratum 14
63415	QAT: Stratum 15
63417	QAT: Stratum 17
63418	QAT: Stratum 18
63419	QAT: Stratum 19
63420	QAT: Stratum 20
63421	QAT: Stratum 21
63422	QAT: Stratum 22
63423	QAT: Stratum 23
63424	QAT: Stratum 24
63425	QAT: Stratum 25
63426	QAT: Stratum 26
64201	ROU: Gimnaziu
64202	ROU: Liceu – Ciclul inferior
64203	ROU: Scoala de Arte si Meserii
64204	ROU: Moderately Small Schools
64205	ROU: Very Small Schools
64301	RUS: Stratum 01
64302	RUS: Stratum 02
64303	RUS: Stratum 03
64304	RUS: Stratum 04
64305	RUS: Stratum 05
64306	RUS: Stratum 06
64307	RUS: Stratum 07
64308	RUS: Stratum 08
64309	RUS: Stratum 09
64310	RUS: Stratum 10
64311	RUS: Stratum 11
64312	RUS: Stratum 12
64313	RUS: Stratum 13
64314	RUS: Stratum 14
64315	RUS: Stratum 15
64316	RUS: Stratum 16
64317	RUS: Stratum 17
64318	RUS: Stratum 18
64319	RUS: Stratum 19
64320	RUS: Stratum 20
64321	RUS: Stratum 21
64322	RUS: Stratum 22
64323	RUS: Stratum 23
64324	RUS: Stratum 24
64325	RUS: Stratum 25
64326	RUS: Stratum 26
64327	RUS: Stratum 27
64328	RUS: Stratum 28
64329	RUS: Stratum 29
64330	RUS: Stratum 30
64331	RUS: Stratum 31
64332	RUS: Stratum 32
64333	RUS: Stratum 33
64334	RUS: Stratum 34
64335	RUS: Stratum 35
64336	RUS: Stratum 36
64337	RUS: Stratum 37
64338	RUS: Stratum 38
64339	RUS: Stratum 39
64340	RUS: Stratum 40



64341	RUS:	Stratum 41	72415	ESP:	LAMANCHA_SCHTYPE1
64342	RUS:	Stratum 42	72416	ESP:	LAMANCHA_SCHTYPE2
64343	RUS:	Stratum 43	72417	ESP:	CATALONIA_SCHTYPE1
64344	RUS:	Stratum 44	72418	ESP:	CATALONIA_SCHTYPE2
64345	RUS:	Stratum 45	72419	ESP:	EXTRAMADURA_SCHTYPE1
68801	SRB:	Region 1	72420	ESP:	EXTRAMADURA_SCHTYPE2
68802	SRB:	Region 2	72421	ESP:	GALICIA_SCHTYPE1
68803	SRB:	Region 3	72422	ESP:	GALICIA_SCHTYPE2
68804	SRB:	Region 4	72423	ESP:	LARIOJA_SCHTYPE1
68805	SRB:	Region 5	72425	ESP:	MADRID_SCHTYPE1
68806	SRB:	Region 6	72426	ESP:	MADRID_SCHTYPE2
68807	SRB:	Region 7	72427	ESP:	MURCIA_SCHTYPE1
68808	SRB:	Region 8	72428	ESP:	MURCIA_SCHTYPE2
68809	SRB:	Very small schools	72429	ESP:	NAVARRA_SCHTYPE1
68810	SRB:	Certainty stratum	72430	ESP:	NAVARRA_SCHTYPE2
70301	SVK:	Bratislavsky – basic and vocational schools	72431	ESP:	BASQUE_SCHTYPE1
70302	SVK:	Bratislavsky – secondary, high, secondary + high schools	72432	ESP:	BASQUE_SCHTYPE1
70303	SVK:	Bratislavsky – secondary, technical, sec. + techn. colleges	72433	ESP:	BASQUE_SCHTYPE1
70304	SVK:	trnavsky – basic and vocational schools	72434	ESP:	BASQUE_SCHTYPE2
70305	SVK:	trnavsky – secondary, high, secondary + high schools	72435	ESP:	BASQUE_SCHTYPE2
70306	SVK:	trnavsky – secondary, technical, sec. + techn. colleges	72436	ESP:	BASQUE_SCHTYPE2
70307	SVK:	treniansky – basic and vocational schools	72437	ESP:	VALENCIA_SCHTYPE1
70308	SVK:	treniansky – secondary, high, secondary + high schools	72438	ESP:	VALENCIA_SCHTYPE2
70309	SVK:	treniansky – secondary, technical, sec. + techn. colleges	72439	ESP:	CEUTAyMELILLA_SCHTYPE1
70310	SVK:	nitriansky – basic and vocational schools	72440	ESP:	CEUTAyMELILLA_SCHTYPE2
70311	SVK:	nitriansky – secondary, high, secondary + high schools	72441	ESP:	ANDALUSIA_SS
70312	SVK:	nitriansky – secondary, technical, sec. + techn. colleges	72442	ESP:	ARAGON_SS
70313	SVK:	zilinsky – basic and vocational schools	72443	ESP:	ASTURIAS_MSS
70314	SVK:	zilinsky – secondary, high, secondary + high schools	72444	ESP:	ASTURIAS_VSS
70315	SVK:	zilinsky – secondary, technical, sec. + techn. colleges	72445	ESP:	CANTABRIA_MSS
70316	SVK:	banskobytricky – basic and vocational schools	72446	ESP:	CANTABRIA_VSS
70317	SVK:	banskobytricky – secondary, high, secondary + high schools	72447	ESP:	CASTILEyLEON_MSS
70318	SVK:	banskobytricky – secondary, technical, sec. + techn. colleges	72448	ESP:	CASTILEyLEON_VSS
70319	SVK:	presovsky – basic and vocational schools	72449	ESP:	CATALONIA_SS
70320	SVK:	presovsky – secondary, high, secondary + high schools	72450	ESP:	GALICIA_MSS
70321	SVK:	presovsky – secondary, technical, sec. + techn. colleges	72451	ESP:	GALICIA_VSS
70322	SVK:	kosicky – basic and vocational schools	72452	ESP:	NAVARRA_SS
70323	SVK:	kosicky – secondary, high, secondary + high schools	72453	ESP:	BASQUE_MSS
70324	SVK:	kosicky – secondary, technical, sec. + techn. colleges	72456	ESP:	BASQUE_VSS
70325	SVK:	Moderately small schools	72457	ESP:	OTHER_SS
70326	SVK:	Very small schools	72458	ESP:	Certainty stratum
70501	SVN:	Stratum 01	75201	SWE:	Stratum 01
70502	SVN:	Stratum 02	75202	SWE:	Stratum 02
70503	SVN:	Stratum 03	75203	SWE:	Stratum 03
70504	SVN:	Stratum 04	75204	SWE:	Stratum 04
70505	SVN:	Stratum 05	75205	SWE:	Stratum 05
70506	SVN:	Stratum 06	75206	SWE:	Stratum 06
70508	SVN:	Stratum 08	75207	SWE:	Stratum 07
70509	SVN:	Stratum 09	75208	SWE:	Stratum 08
72401	ESP:	ANDALUSIA_SCHTYPE1	75209	SWE:	Stratum 09
72402	ESP:	ANDALUSIA_SCHTYPE2	75210	SWE:	Stratum 10
72403	ESP:	ARAGON_SCHTYPE1	75697	CHE:	Stratum 97
72404	ESP:	ARAGON_SCHTYPE2	76401	THA:	Stratum 01
72405	ESP:	ASTURIAS_SCHTYPE1	76402	THA:	Stratum 02
72406	ESP:	ASTURIAS_SCHTYPE2	76403	THA:	Stratum 03
72407	ESP:	BALEARIC_SCHTYPE1	76404	THA:	Stratum 04
72408	ESP:	BALEARIC_SCHTYPE2	76405	THA:	Stratum 05
72409	ESP:	CANARY_SCHTYPE1	76406	THA:	Stratum 06
72410	ESP:	CANARY_SCHTYPE2	76407	THA:	Stratum 07
72411	ESP:	CANTABRIA_SCHTYPE1	76408	THA:	Stratum 08
72412	ESP:	CANTABRIA_SCHTYPE2	76409	THA:	Stratum 09
72413	ESP:	CASTILEyLEON_SCHTYPE1	76410	THA:	Stratum 10
72414	ESP:	CASTILEyLEON_SCHTYPE2	76411	THA:	Stratum 11
			76412	THA:	Stratum 12
			78801	TUN:	PUB_EAST_LEVEL0_GEN
			78802	TUN:	PUB_EAST_LEVEL1_GEN
			78803	TUN:	PUB_EAST_LEVEL2_GEN
			78804	TUN:	PUB_WEST_LEVEL0_GEN
			78805	TUN:	PUB_WEST_LEVEL1_GEN
			78806	TUN:	PUB_WEST_LEVEL2_GEN
			78807	TUN:	PRIVATE
			78808	TUN:	VOCATIONAL
			78809	TUN:	VSS

**APPENDIX 7: CODEBOOK FOR PISA 2006 STUDENT QUESTIONNAIRE DATA FILE**

78810	TUN: CERTAINTY
79201	TUR: Stratum 01
79202	TUR: Stratum 02
79203	TUR: Stratum 03
79204	TUR: Stratum 04
79205	TUR: Stratum 05
79206	TUR: Stratum 06
79207	TUR: Stratum 07
79208	TUR: Stratum 08
79209	TUR: Stratum 09
82601	GBR: Scotland: SGRADE_LOW
82602	GBR: Scotland: SGRADE_LOWMID
82603	GBR: Scotland: SGRADE_MID
82604	GBR: Scotland: SGRADE_HIGHMID
82605	GBR: Scotland: SGRADE_HIGH
82611	GBR: NONPRU_ENG
82612	GBR: NI
82613	GBR: WALES
82615	GBR: CERTAINTY
84097	USA: Stratum 97
85801	URY: Stratum 01
85802	URY: Stratum 02
85803	URY: Stratum 03
85804	URY: Stratum 04
85805	URY: Stratum 05
85807	URY: Stratum 07
85810	URY: Stratum 10
85811	URY: Stratum 11
85812	URY: Stratum 12
85813	URY: Stratum 13
85814	URY: Stratum 14
85815	URY: Stratum 15
85816	URY: Stratum 16
85817	URY: Stratum 17
85818	URY: Stratum 18
85819	URY: Stratum 19

TESTLANG (483) Language of Test (3-char)

Format:	A3	Columns: 1780-1782
	ARA	Arabic
	AZE	Azerbaijani
	BAQ	Basque
	BUL	Bulgarian
	CAT	Catalan
	CHI	Chinese
	CZE	Czech
	DAN	Danish
	DUT	Dutch
	ENG	English
	EST	Estonian
	FIN	Finnish
	FRE	French
	GER	German
	GLE	Irish
	GLG	Galician
	GRE	Greek, Modern
	HEB	Hebrew
	HUN	Hungarian
	ICE	Icelandic
	IND	Indonesian
	ITA	Italian
	JPN	Japanese
	KIR	Kyrgyz
	KOR	Korean
	LAV	Latvian
	LIT	Lithuanian
	NOR	Norwegian
	POL	Polish
	POR	Portuguese
	QMN	Montenegrin
	QTU	Arabic dialect (TUN)
	QVL	Valencian
	RUM	Romanian

RUS	Russian
SCC	Serbian
SCR	Croatian
SLO	Slovak
SLV	Slovenian
SPA	Spanish
SWE	Swedish
THA	Thai
TUR	Turkish
UZB	Uzbek
WEL	Welsh

CLCUSE3A (484) Effort A: real

Format:	F3.0	Columns: 1783-1785
	997	N/A
	998	Invalid
	999	Missing

CLCUSE3B (485) Effort B: if counted

Format:	F3.0	Columns: 1786-1788
	997	N/A
	998	Invalid
	999	Missing

DEFFORT (486) Effort B - Effort A

Format:	F3.0	Columns: 1789-1791
	997	N/A
	998	Invalid
	999	Missing

S421Q02 (487) Deleted science item - Big and Small (Q02)

Format:	A1	Columns: 1792-1792
	0	No credit
	1	Full credit
	7	Not administered
	9	Missing

S456Q01 (488) Deleted science item - The Cheetah (Q01)

Format:	A2	Columns: 1793-1794
	11	Yes, Yes: Full credit
	12	Yes, No: No credit
	18	Yes, Invalid: No credit
	19	Yes, Missing: No credit
	21	No, Yes: No credit
	22	No, No: No credit
	28	No, Invalid: No credit
	29	No, Missing: No credit
	71	N/A, Yes: Not administered
	77	N/A, N/A: Not administered
	81	Invalid, Yes: No credit
	82	Invalid, No: No credit
	88	Invalid, Invalid: No credit
	89	Invalid, Missing: No credit
	91	Missing, Yes: No credit
	92	Missing, No: No credit
	97	Missing, N/A: Not administered
	98	Missing, Invalid: No credit
	99	Missing, Missing: No credit

S456Q02 (489) Deleted science item - The Cheetah (Q02)

Format:	A1	Columns: 1795-1795
	1	No credit
	2	No credit
	3	Full credit
	4	No credit
	7	Not administered
	8	Invalid
	9	Missing

VER_STU (490) Version student database and date of release

Format:	A13	Columns: 1796-1808
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**APPENDIX 8****CODEBOOK FOR PISA 2006 NON-SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
SUBNATIO	Adjudicated sub-region	1-5		9 Missing	
A5	See Appendix 7 for labels			r Not reached	
SCHOOLID	School ID 5-digit	6-10	M192Q01T	MATH – P2000 Containers (Q01)	32-32
A5			Complex Multiple	0 No credit	Booklet 3: Q45
STIDSTD	Student ID 5-digit	11-15	Choice	1 No credit	Booklet 7: Q51
A5			A1	2 Full credit	Booklet 10: Q17
CNT	Country code 3-character	16-18		3 Full credit	Booklet 11: Q5
A3	See Appendix 7 for labels			7 N/A	
COUNTRY	Country code 3-digit	19-21		8 M/R	
A3	See Appendix 7 for labels			9 Missing	
OECD	OECD Country	22-22		r Not reached	
F1.0	0 Non-OECD		M273Q01T	MATH – P2000 Pipelines (Q01)	33-33
	1 OECD		Complex Multiple	0 No credit	Booklet 2: Q41
BOOKID	Booklet	23-24	Choice	1 Full credit	Booklet 4: Q22
F2.0			A1	7 N/A	Booklet 10: Q2
M033Q01	MATH – P2000 A View Room (Q01)	26-26		8 M/R	Booklet 13: Q50
Multiple Choice				9 Missing	
A1	1 No credit	Booklet 4: Q52		r Not reached	
	2 No credit	Booklet 7: Q35	M302Q01T	MATH – P2003 Car Drive (Q01)	34-34
	3 No credit	Booklet 8: Q13	Closed Constructed	0 No credit	Booklet 3: Q53
	4 Full credit	Booklet 9: Q1	Response	1 Full credit	Booklet 8: Q1
	7 N/A		A1	7 N/A	Booklet 12: Q14
	8 M/R			8 M/R	Booklet 13: Q37
	9 Missing			9 Missing	Booklet UH: Q29
	r Not reached			r Not reached	
M034Q01T	MATH – P2000 Bricks (Q01)	27-27	M302Q02	MATH – P2003 Car Drive (Q02)	35-35
Closed Constructed	0 No credit	Booklet 4: Q63	Closed Constructed	0 No credit	Booklet 3: Q54
Response	1 Full credit	Booklet 7: Q46	Response	1 Full credit	Booklet 8: Q2
A1	7 N/A	Booklet 8: Q24	A1	7 N/A	Booklet 12: Q15
	8 M/R	Booklet 9: Q12		9 Missing	Booklet 13: Q38
	9 Missing			r Not reached	Booklet UH: Q30
	r Not reached		M302Q03	MATH – P2003 Car Drive (Q03)	36-36
M155Q01	MATH – P2000 Population Pyramids (Q01)	28-28	Open Constructed	0 No credit	Booklet 3: Q55
Open Constructed	0 No credit	Booklet 4: Q55	Response	1 Full credit	Booklet 8: Q3
Response	1 Full credit	Booklet 7: Q38	A1	7 N/A	Booklet 12: Q16
A1	7 N/A	Booklet 8: Q16		9 Missing	Booklet 13: Q39
	9 Missing	Booklet 9: Q4		r Not reached	Booklet UH: Q31
	r Not reached		M305Q01	MATH – P2003 Map (Q01)	37-37
M155Q02T	MATH – P2000 Population Pyramids (Q02)	29-29	Multiple Choice	1 No credit	Booklet 3: Q41
Open Constructed	0 No credit	Booklet 4: Q54	A1	2 No credit	Booklet 7: Q47
Response	1 Partial credit	Booklet 7: Q37		3 Full credit	Booklet 10: Q13
A1	2 Full credit	Booklet 8: Q15		4 No credit	Booklet 11: Q1
	7 N/A	Booklet 9: Q3		7 N/A	
	9 Missing			8 M/R	
	r Not reached			9 Missing	
M155Q03T	MATH – P2000 Population Pyramids (Q03)	30-30		r Not reached	
Open Constructed	0 No credit	Booklet 4: Q56	M406Q01	MATH – P2003 Running Tracks (Q01)	38-38
Response	1 Partial credit	Booklet 7: Q39	Response	0 No credit	Booklet 3: Q46
A1	2 Full credit	Booklet 8: Q17	A1	1 Full credit	Booklet 7: Q52
	7 N/A	Booklet 9: Q5		7 N/A	Booklet 10: Q18
	9 Missing			9 Missing	Booklet 11: Q6
	r Not reached			r Not reached	
M155Q04T	MATH – P2000 Population Pyramids (Q04)	31-31	M406Q02	MATH – P2003 Running Tracks (Q02)	39-39
Complex Multiple	0 No credit	Booklet 4: Q57	Open Constructed	0 No credit	Booklet 3: Q47
Choice	1 No credit	Booklet 7: Q40	Response	1 Full credit	Booklet 7: Q53
A1	2 No credit	Booklet 8: Q18	A1	7 N/A	Booklet 10: Q19
	3 No credit	Booklet 9: Q6		9 Missing	Booklet 11: Q7
	4 Full credit			r Not reached	
	7 N/A		M408Q01T	MATH – P2003 Lotteries (Q01)	40-40
	8 M/R		Complex Multiple	0 No credit	Booklet 2: Q42
			Choice	1 No credit	Booklet 4: Q23
			A1	2 No credit	Booklet 10: Q3

**APPENDIX 8: CODEBOOK FOR PISA 2006 NON-SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
	3 No credit	Booklet 13: Q51
	4 Full credit	
	7 N/A	
	8 M/R	
	9 Missing	
	r Not reached	
M411Q01	MATH – P2003 Diving (Q01)	41-41
Short Response A1	0 No credit	Booklet 4: Q58
	1 Full credit	Booklet 7: Q41
	7 N/A	Booklet 8: Q19
	9 Missing	Booklet 9: Q7
	r Not reached	
M411Q02	MATH – P2003 Diving (Q02)	42-42
Multiple Choice A1	1 No credit	Booklet 4: Q59
	2 No credit	Booklet 7: Q42
	3 No credit	Booklet 8: Q20
	4 Full credit	Booklet 9: Q8
	7 N/A	
	8 M/R	
	9 Missing	
	r Not reached	
M420Q01T	MATH – P2003 Transport (Q01)	43-43
Complex Multiple Choice A1	0 No credit	Booklet 2: Q43
	1 No credit	Booklet 4: Q24
	2 No credit	Booklet 10: Q4
	3 No credit	Booklet 13: Q52
	4 Full credit	
	7 N/A	
	8 M/R	
	9 Missing	
	r Not reached	
M421Q01	MATH – P2003 Height (Q01)	44-44
Open Constructed Response A1	0 No credit	Booklet 3: Q56
	1 Full credit	Booklet 8: Q4
	7 N/A	Booklet 12: Q17
	9 Missing	Booklet 13: Q40
	r Not reached	
M421Q02T	MATH – P2003 Height (Q02)	45-45
Complex Multiple Choice A1	0 No credit	Booklet 3: Q57
	1 No credit	Booklet 8: Q5
	2 No credit	Booklet 12: Q18
	3 No credit	Booklet 13: Q41
	4 Full credit	
	7 N/A	
	8 M/R	
	9 Missing	
	r Not reached	
M421Q03	MATH – P2003 Height (Q03)	46-46
Multiple Choice A1	1 No credit	Booklet 3: Q58
	2 No credit	Booklet 8: Q6
	3 No credit	Booklet 12: Q19
	4 Full credit	Booklet 13: Q42
	5 No credit	
	7 N/A	
	8 M/R	
	9 Missing	
	r Not reached	
M423Q01	MATH – P2003 Tossing Coins (Q01)	47-47
Multiple Choice A1	1 Full credit	Booklet 3: Q44
	2 No credit	Booklet 7: Q50
	3 No credit	Booklet 10: Q16
	4 No credit	Booklet 11: Q4
	7 N/A	
	8 M/R	
	9 Missing	
	r Not reached	
M442Q02	MATH – P2003 Braille (Q02)	48-48
Closed Constructed Response A1	0 No credit	Booklet 4: Q61
	1 Full credit	Booklet 7: Q44
	7 N/A	Booklet 8: Q22
	9 Missing	Booklet 9: Q10
	r Not reached	
M446Q01	MATH – P2003 Thermometer Cricket (Q01)	49-49
Short Response A1	0 No credit	Booklet 2: Q44
	1 Full credit	Booklet 4: Q25
	7 N/A	Booklet 10: Q5
	9 Missing	Booklet 13: Q53
	r Not reached	
M446Q02	MATH – P2003 Thermometer Cricket (Q02)	50-50
Open Constructed Response A1	0 No credit	Booklet 2: Q45
	1 Full credit	Booklet 4: Q26
	7 N/A	Booklet 10: Q6
	9 Missing	Booklet 13: Q54
	r Not reached	
M447Q01	MATH – P2003 Tile Arrangement (Q01)	51-51
Multiple Choice A1	1 No credit	Booklet 2: Q40
	2 No credit	Booklet 4: Q21
	3 No credit	Booklet 10: Q1
	4 Full credit	Booklet 13: Q49
	7 N/A	
	8 M/R	
	9 Missing	
	r Not reached	
M462Q01T	MATH – P2003 Third Side (Q01)	52-52
Open Constructed Response A1	0 No credit	Booklet 4: Q62
	1 Partial credit	Booklet 7: Q45
	2 Full credit	Booklet 8: Q23
	7 N/A	Booklet 9: Q11
	9 Missing	Booklet UH: Q26
	r Not reached	
M464Q01T	MATH – P2003 The Fence (Q01)	53-53
Short Response A1	0 No credit	Booklet 2: Q50
	1 Full credit	Booklet 4: Q31
	7 N/A	Booklet 10: Q11
	8 M/R	Booklet 13: Q59
	9 Missing	
	r Not reached	
M474Q01	MATH – P2003 Running Time (Q01)	54-54
Closed Constructed Response A1	0 No credit	Booklet 4: Q53
	1 Full credit	Booklet 7: Q36
	7 N/A	Booklet 8: Q14
	9 Missing	Booklet 9: Q2
	r Not reached	
M496Q01T	MATH – P2003 Cash Withdrawal (Q01)	55-55
Complex Multiple Choice A1	0 No credit	Booklet 3: Q42
	1 No credit	Booklet 7: Q48
	2 No credit	Booklet 10: Q14
	3 No credit	Booklet 11: Q2
	4 Full credit	
	7 N/A	
	8 M/R	
	9 Missing	
	r Not reached	
M496Q02	MATH – P2003 Cash Withdrawal (Q02)	56-56
Short Response A1	0 No credit	Booklet 3: Q43
	1 Full credit	Booklet 7: Q49
	7 N/A	Booklet 10: Q15
	9 Missing	Booklet 11: Q3
	r Not reached	



Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
M559Q01	MATH – P2003 Telephone Rates (Q01)	57-57		7 N/A	
Multiple Choice A1	1 No credit	Booklet 2: Q46	Multiple Choice A1	8 M/R	
	2 No credit	Booklet 4: Q27		9 Missing	
	3 No credit	Booklet 10: Q7		r Not reached	
	4 Full credit	Booklet 13: Q55	Multiple Choice A1	MATH – P2003 Computer Game (Q01)	65-65
	7 N/A			1 No credit	Booklet 2: Q51
	8 M/R			2 No credit	Booklet 4: Q32
	9 Missing			3 Full credit	Booklet 10: Q12
	r Not reached			4 No credit	Booklet 13: Q60
	MATH – P2003 Chair Lift (Q01)	58-58		7 N/A	Booklet UH: Q25
	1 No credit	Booklet 3: Q51		8 M/R	
Multiple Choice A1	2 Full credit	Booklet 7: Q57		9 Missing	
	3 No credit	Booklet 10: Q23		r Not reached	
	4 No credit	Booklet 11: Q11	Short Response A1	MATH – P2003 Labels (Q01)	66-66
	7 N/A	Booklet UH: Q27		0 No credit	Booklet 4: Q60
	8 M/R			1 Full credit	Booklet 7: Q43
	9 Missing			7 N/A	Booklet 8: Q21
	r Not reached			8 M/R	Booklet 9: Q9
	MATH – P2003 Chair Lift (Q02)	59-59		9 Missing	
	1 No credit	Booklet 3: Q52		r Not reached	
	2 No credit	Booklet 7: Q58	M810Q01T Short Response A1	MATH – P2003 Bicycles (Q01)	67-67
Multiple Choice A1	3 Full credit	Booklet 10: Q24		0 No credit	Booklet 3: Q61
	4 No credit	Booklet 11: Q12		1 Full credit	Booklet 8: Q9
	5 No credit	Booklet UH: Q28		7 N/A	Booklet 12: Q22
	7 N/A			8 M/R	Booklet 13: Q45
	8 M/R			9 Missing	
	9 Missing			r Not reached	
	r Not reached		M810Q02T Short Response A1	MATH – P2003 Bicycles (Q02)	68-68
	MATH – P2003 Stop The Car (Q01)	60-60		0 No credit	Booklet 3: Q62
	1 No credit	Booklet 3: Q50		1 Full credit	Booklet 8: Q10
	2 No credit	Booklet 7: Q56		7 N/A	Booklet 12: Q23
	3 No credit	Booklet 10: Q22		8 M/R	Booklet 13: Q46
	4 Full credit	Booklet 11: Q10		9 Missing	
	7 N/A			r Not reached	
	8 M/R		M810Q03T Open Constructed Response A1	MATH – P2003 Bicycles (Q03)	69-69
	9 Missing			0 No credit	Booklet 3: Q63
	r Not reached			1 Partial credit	Booklet 8: Q11
M571Q01 Multiple Choice A1	MATH – P2003 Making A Booklet (Q01)	61-61		2 Full credit	Booklet 12: Q24
	0 No credit	Booklet 3: Q60		7 N/A	Booklet 13: Q47
	1 Full credit	Booklet 8: Q8		9 Missing	
	7 N/A	Booklet 12: Q21		r Not reached	
	9 Missing	Booklet 13: Q44	M828Q01 Open Constructed Response A1	MATH – P2003 Carbon Dioxide (Q01)	70-70
	r Not reached			0 No credit	Booklet 2: Q47
M603Q01T Complex Multiple Choice A1	MATH – P2003 Number Check (Q01)	62-62		1 Full credit	Booklet 4: Q28
	0 No credit	Booklet 3: Q48		7 N/A	Booklet 10: Q8
	1 No credit	Booklet 7: Q54		9 Missing	Booklet 13: Q56
	2 No credit	Booklet 10: Q20		r Not reached	
	3 Full credit	Booklet 11: Q8	M828Q02 Short Response A1	MATH – P2003 Carbon Dioxide (Q02)	71-71
	7 N/A			0 No credit	Booklet 2: Q48
	8 M/R			1 Full credit	Booklet 4: Q29
	9 Missing			7 N/A	Booklet 10: Q9
	r Not reached			9 Missing	Booklet 13: Q57
	MATH – P2003 Number Check (Q02)	63-63		r Not reached	
Short Response A1	0 No credit	Booklet 3: Q49	M828Q03 Short Response A1	MATH – P2003 Carbon Dioxide (Q03)	72-72
	1 Full credit	Booklet 7: Q55		0 No credit	Booklet 2: Q49
	7 N/A	Booklet 10: Q21		1 Full credit	Booklet 4: Q30
	8 M/R	Booklet 11: Q9		7 N/A	Booklet 10: Q10
	9 Missing			9 Missing	Booklet 13: Q58
	r Not reached			r Not reached	
M710Q01 Multiple Choice A1	MATH – P2003 Forecast of Rain (Q01)	64-64	M833Q01T Complex Multiple Choice A1	MATH – P2003 Seeing the tower (Q01)	73-73
	1 No credit	Booklet 3: Q59		0 No credit	Booklet 3: Q64
	2 No credit	Booklet 8: Q7		1 No credit	Booklet 8: Q12
	3 No credit	Booklet 12: Q20		2 No credit	Booklet 12: Q25
	4 Full credit	Booklet 13: Q43		3 No credit	Booklet 13: Q48
	5 No credit			4 No credit	

**APPENDIX 8: CODEBOOK FOR PISA 2006 NON-SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
	5 Full credit			9 Missing	Booklet 12: Q7
	7 N/A			r Not reached	
	8 M/R				
	9 Missing				
	r Not reached				
R055Q01	READ – P2000 Drugged Spiders (Q01)	74-74	R102Q07	READ – P2000 Shirts (Q07)	83-83
Multiple Choice	1 No credit	Booklet 6: Q28	Multiple Choice	1 No credit	Booklet 2: Q59
A1	2 No credit	Booklet 9: Q63	A1	2 No credit	Booklet 6: Q42
	3 No credit	Booklet 11: Q40		3 Full credit	Booklet 7: Q29
	4 Full credit	Booklet 13: Q9		4 No credit	Booklet 12: Q8
	7 N/A	Booklet UH: Q21		7 N/A	
	8 M/R			8 M/R	
	9 Missing			9 Missing	
	r Not reached			r Not reached	
R055Q02	READ – P2000 Drugged Spiders (Q02)	75-75	R104Q01	READ – P2000 Telephone (Q01)	84-84
Open Constructed Response	0 No credit	Booklet 6: Q29	Closed Constructed Response	0 No credit	Booklet 6: Q32
A1	1 Full credit	Booklet 9: Q64	A1	1 Full credit	Booklet 9: Q67
	7 N/A	Booklet 11: Q41		7 N/A	Booklet 11: Q44
	9 Missing	Booklet 13: Q10		9 Missing	Booklet 13: Q13
	r Not reached	Booklet UH: Q22		r Not reached	
R055Q03	READ – P2000 Drugged Spiders (Q03)	76-76	R104Q02	READ – P2000 Telephone (Q02)	85-85
Open Constructed Response	0 No credit	Booklet 6: Q30	Closed Constructed Response	0 No credit	Booklet 6: Q33
A1	1 No credit	Booklet 9: Q65	A1	1 Full credit	Booklet 9: Q68
	2 Full credit	Booklet 11: Q42		7 N/A	Booklet 11: Q45
	7 N/A	Booklet 13: Q11		9 Missing	Booklet 13: Q14
	9 Missing	Booklet UH: Q23		r Not reached	
	r Not reached		R104Q05	READ – P2000 Telephone (Q05)	86-86
R055Q05	READ – P2000 Drugged Spiders (Q05)	77-77	Short Response	0 No credit	Booklet 6: Q34
Open Constructed Response	0 No credit	Booklet 6: Q31	A1	1 Partial credit	Booklet 9: Q69
A1	1 Full credit	Booklet 9: Q66		2 Full credit	Booklet 11: Q46
	7 N/A	Booklet 11: Q43		7 N/A	Booklet 13: Q15
	9 Missing	Booklet 13: Q12		9 Missing	
	r Not reached	Booklet UH: Q24		r Not reached	
R067Q01	READ – P2000 Aesop (Q01)	78-78	R111Q01	READ – P2000 Exchange (Q01)	87-87
Multiple Choice	1 No credit	Booklet 2: Q54	Multiple Choice	1 No credit	Booklet 6: Q24
A1	2 No credit	Booklet 6: Q37	A1	2 No credit	Booklet 9: Q59
	3 Full credit	Booklet 7: Q24		3 No credit	Booklet 11: Q36
	4 No credit	Booklet 12: Q3		4 Full credit	Booklet 13: Q5
	7 N/A			7 N/A	
	8 M/R			8 M/R	
	9 Missing			9 Missing	
	r Not reached			r Not reached	
R067Q04	READ – P2000 Aesop (Q04)	79-79	R111Q02B	READ – P2000 Exchange (Q02b)	88-88
Open Constructed Response	0 No credit	Booklet 2: Q55	Open Constructed Response	0 No credit	Booklet 6: Q25
A1	1 Partial credit	Booklet 6: Q38	A1	1 Partial credit	Booklet 9: Q60
	2 Full credit	Booklet 7: Q25		2 Full credit	Booklet 11: Q37
	7 N/A	Booklet 12: Q4		7 N/A	Booklet 13: Q6
	9 Missing			9 Missing	
	r Not reached			r Not reached	
R067Q05	READ – P2000 Aesop (Q05)	80-80	R111Q06B	READ – P2000 Exchange (Q06b)	89-89
Open Constructed Response	0 No credit	Booklet 2: Q56	Open Constructed Response	0 No credit	Booklet 6: Q27
A1	1 Partial credit	Booklet 6: Q39	A1	1 Partial credit	Booklet 9: Q62
	2 Full credit	Booklet 7: Q26		2 Full credit	Booklet 11: Q39
	7 N/A	Booklet 12: Q5		7 N/A	Booklet 13: Q8
	9 Missing			9 Missing	
	r Not reached			r Not reached	
R102Q04A	READ – P2000 Shirts (Q04a)	81-81	R219Q01E	READ – P2000 Employment (Q01e)	90-90
Open Constructed Response	0 No credit	Booklet 2: Q57	Short Response	0 No credit	Booklet 2: Q52
A1	1 Full credit	Booklet 6: Q40	A1	1 Full credit	Booklet 6: Q35
	7 N/A	Booklet 7: Q27		7 N/A	Booklet 7: Q22
	9 Missing	Booklet 12: Q6		9 Missing	Booklet 12: Q1
	r Not reached			r Not reached	Booklet UH: Q19
R102Q05	READ – P2000 Shirts (Q05)	82-82	R219Q01T	READ – P2000 Employment (Q01)	91-91
Closed Constructed Response	0 No credit	Booklet 2: Q58	Closed Constructed Response	0 No credit	Booklet 2: Q52
A1	1 Full credit	Booklet 6: Q41	A1	1 No credit	Booklet 6: Q35
	7 N/A	Booklet 7: Q28		2 No credit	Booklet 7: Q22
	9 Missing			3 No credit	Booklet 12: Q1
	r Not reached			4 Full credit	Booklet UH: Q19



Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
R219Q02	READ – P2000 Employment (Q02)	92-92		9 Missing	Booklet 13: Q3
Open Constructed Response A1	0 No credit	Booklet 2: Q53		r Not reached	
	1 Full credit	Booklet 6: Q36	R227Q06	READ – P2000 Optician (Q06)	101-101
	7 N/A	Booklet 7: Q23	Short Response A1	0 No credit	Booklet 6: Q23
	9 Missing	Booklet 12: Q2		1 Full credit	Booklet 9: Q58
	r Not reached	Booklet UH: Q20		7 N/A	Booklet 11: Q35
R220Q01	READ – P2000 South Pole (Q01)	93-93		9 Missing	Booklet 13: Q4
Short Response A1	0 No credit	Booklet 2: Q60		r Not reached	
	1 Full credit	Booklet 6: Q43	S114Q03T	SCIE – P2000 Greenhouse (Q03)	102-102
	7 N/A	Booklet 7: Q30	Open Response A1	0 No credit	Booklet 1: Q28
	9 Missing	Booklet 12: Q9		1 Full credit	Booklet 2: Q6
	r Not reached			7 N/A	Booklet 8: Q30
R220Q02B	READ – P2000 South Pole (Q02b)	94-94		9 Missing	Booklet 11: Q52
Multiple Choice A1	1 Full credit	Booklet 2: Q61		r Not reached	
	2 No credit	Booklet 6: Q44	S114Q04T	SCIE – P2000 Greenhouse (Q04)	103-103
	3 No credit	Booklet 7: Q31	Open Response A1	0 No credit	Booklet 1: Q29
	4 No credit	Booklet 12: Q10		1 Partial credit	Booklet 2: Q7
	7 N/A			2 Full credit	Booklet 8: Q31
	8 M/R			7 N/A	Booklet 11: Q53
	9 Missing			9 Missing	
	r Not reached			r Not reached	
R220Q04	READ – P2000 South Pole (Q04)	95-95	S114Q05T	SCIE – P2000 Greenhouse (Q05)	104-104
Multiple Choice A1	1 No credit	Booklet 2: Q62	Open Response A1	0 No credit	Booklet 1: Q30
	2 No credit	Booklet 6: Q45		1 Full credit	Booklet 2: Q8
	3 No credit	Booklet 7: Q32		7 N/A	Booklet 8: Q32
	4 Full credit	Booklet 12: Q11		9 Missing	Booklet 11: Q54
	7 N/A			r Not reached	
	8 M/R		S131Q02T	SCIE – P2000 Good Vibrations (Q02)	105-105
	9 Missing		Open Response A1	0 No credit	Booklet 4: Q34
	r Not reached			1 Full credit	Booklet 5: Q2
R220Q05	READ – P2000 South Pole (Q05)	96-96		7 N/A	Booklet 11: Q14
Multiple Choice A1	1 No credit	Booklet 2: Q63		9 Missing	Booklet 12: Q49
	2 No credit	Booklet 6: Q46		r Not reached	
	3 Full credit	Booklet 7: Q33	S131Q04T	SCIE – P2006 (broken link) Good Vibrations (Q04)	106-106
	4 No credit	Booklet 12: Q12	Open Response A1	0 No credit	Booklet 4: Q35
	7 N/A			1 Full credit	Booklet 5: Q3
	8 M/R			7 N/A	Booklet 11: Q15
	9 Missing			9 Missing	Booklet 12: Q50
	r Not reached			r Not reached	
R220Q06	READ – P2000 South Pole (Q06)	97-97	S213Q01T	SCIE – P2000 Clothes (Q01)	107-107
Multiple Choice A1	1 No credit	Booklet 2: Q64	Complex Multiple Choice A1	0 No credit	Booklet 1: Q65
	2 No credit	Booklet 6: Q47		1 No credit	Booklet 5: Q42
	3 Full credit	Booklet 7: Q34		2 No credit	Booklet 7: Q4
	4 No credit	Booklet 12: Q13		3 No credit	Booklet 13: Q19
	7 N/A			4 Full credit	
	8 M/R			7 N/A	
	9 Missing			8 M/R	
	r Not reached			9 Missing	
R227Q01	READ – P2000 Optician (Q01)	98-98		r Not reached	
Multiple Choice A1	1 No credit	Booklet 6: Q20	S213Q02	SCIE – P2000 Clothes (Q02)	108-108
	2 Full credit	Booklet 9: Q55	Multiple Choice A1	1 Full credit	Booklet 1: Q66
	3 No credit	Booklet 11: Q32		2 No credit	Booklet 5: Q43
	4 No credit	Booklet 13: Q1		3 No credit	Booklet 7: Q5
	7 N/A			4 No credit	Booklet 13: Q20
	8 M/R			7 N/A	
	9 Missing			8 M/R	
	r Not reached			9 Missing	
R227Q02T	READ – P2000 Optician (Q02)	99-99		r Not reached	
Complex Multiple Choice A1	0 No credit	Booklet 6: Q21	S256Q01	SCIE – P2000 Spoons (Q01)	109-109
	1 Partial credit	Booklet 9: Q56	Multiple Choice A1	1 Full credit	Booklet 4: Q33
	2 Full credit	Booklet 11: Q33		2 No credit	Booklet 5: Q1
	7 N/A	Booklet 13: Q2		3 No credit	Booklet 11: Q13
	8 M/R			4 No credit	Booklet 12: Q48
	9 Missing			7 N/A	Booklet UH: Q1
	r Not reached			8 M/R	
R227Q03	READ – P2000 Optician (Q03)	100-100		9 Missing	
Open Constructed Response A1	0 No credit	Booklet 6: Q22		r Not reached	
	1 Full credit	Booklet 9: Q57			
	7 N/A	Booklet 11: Q34			

**APPENDIX 8: CODEBOOK FOR PISA 2006 NON-SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S268Q01	SCIE – P2000 Algae (Q01)	110-110		9 Missing	Booklet 10: Q30
Multiple Choice A1	1 No credit 2 No credit 3 Full credit 4 No credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 2: Q24 Booklet 3: Q5 Booklet 5: Q64 Booklet 9: Q39		r Not reached	
S268Q02T	SCIE – P2000 Algae (Q02)	111-111	S326Q01	SCIE – P2003 Milk (Q01)	120-120
Open Response A1	0 No credit 1 Full credit 7 N/A 9 Missing r Not reached	Booklet 2: Q25 Booklet 3: Q6 Booklet 5: Q65 Booklet 9: Q40	Open Response A1	0 No credit 1 Full credit 7 N/A 9 Missing r Not reached	Booklet 1: Q44 Booklet 3: Q23 Booklet 4: Q3 Booklet 6: Q50
S268Q06	SCIE – P2000 Algae (Q06)	112-112	S326Q02	SCIE – P2003 Milk (Q02)	121-121
Multiple Choice A1	1 No credit 2 Full credit 3 No credit 4 No credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 2: Q26 Booklet 3: Q7 Booklet 5: Q66 Booklet 9: Q41	Open Response A1	0 No credit 1 Full credit 7 N/A 9 Missing r Not reached	Booklet 1: Q45 Booklet 3: Q24 Booklet 4: Q4 Booklet 6: Q51
S269Q01	SCIE – P2000 Earth Temperature (Q01)	113-113	S326Q03	SCIE – P2003 Milk (Q03)	122-122
Open Response A1	0 No credit 1 Full credit 7 N/A 9 Missing r Not reached	Booklet 1: Q5 Booklet 9: Q17 Booklet 10: Q48 Booklet 12: Q30	Multiple Choice A1	1 No credit 2 Full credit 3 No credit 4 No credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q46 Booklet 3: Q25 Booklet 4: Q5 Booklet 6: Q52
S269Q03T	SCIE – P2000 Earth Temperature (Q03)	114-114	S326Q04T	SCIE – P2003 Milk (Q04)	123-123
Open Response A1	0 No credit 1 Full credit 7 N/A 9 Missing r Not reached	Booklet 1: Q6 Booklet 9: Q18 Booklet 10: Q49 Booklet 12: Q31	Complex Multiple Choice A1	0 No credit 1 No credit 2 No credit 3 Full credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q47 Booklet 3: Q26 Booklet 4: Q6 Booklet 6: Q53
S269Q04T	SCIE – P2000 Earth Temperature (Q04)	115-115	S408Q01	SCIE – P2006 Wild Oat Grass (Q01)	124-124
Complex Multiple Choice A1	0 No credit 1 No credit 2 No credit 3 No credit 4 Full credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q7 Booklet 9: Q19 Booklet 10: Q50 Booklet 12: Q32 Booklet 1: Q7 Booklet 9: Q19 Booklet 10: Q50 Booklet 12: Q32	Multiple Choice A1	1 No credit 2 No credit 3 No credit 4 Full credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q48 Booklet 3: Q27 Booklet 4: Q7 Booklet 6: Q54
S304Q01	SCIE – P2003 Water (Q01)	116-116	S408Q03	SCIE – P2006 Wild Oat Grass (Q03)	125-125
Open Response A1	0 No credit 1 Full credit 7 N/A 9 Missing r Not reached	Booklet 5: Q22 Booklet 6: Q3 Booklet 8: Q46 Booklet 10: Q27	Open Response A1	0 No credit 1 Full credit 7 N/A 9 Missing r Not reached	Booklet 1: Q49 Booklet 3: Q28 Booklet 4: Q8 Booklet 6: Q55
S304Q02	SCIE – P2003 Water (Q02)	117-117	S408Q04T	SCIE – P2006 Wild Oat Grass (Q04)	126-126
Multiple Choice A1	1 No credit 2 No credit 3 Full credit 4 No credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 5: Q23 Booklet 6: Q4 Booklet 8: Q47 Booklet 10: Q28 Booklet 5: Q23 Booklet 6: Q4 Booklet 8: Q47 Booklet 10: Q28	Complex Multiple Choice A1	0 No credit 1 No credit 2 No credit 3 Full credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q50 Booklet 3: Q29 Booklet 4: Q9 Booklet 6: Q56
S304Q03A	SCIE – P2003 Water (Q03a)	118-118	S408Q05	SCIE – P2006 Wild Oat Grass (Q05)	127-127
Open Response A1	0 No credit 1 Full credit 7 N/A 9 Missing r Not reached	Booklet 5: Q24 Booklet 6: Q5 Booklet 8: Q48 Booklet 10: Q29	Multiple Choice A1	1 No credit 2 No credit 3 No credit 4 Full credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q51 Booklet 3: Q30 Booklet 4: Q10 Booklet 6: Q57
S304Q03B	SCIE – P2003 Water (Q03b)	119-119			
Open Response A1	0 No credit 1 Full credit 7 N/A	Booklet 5: Q25 Booklet 6: Q6 Booklet 8: Q49			



Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S413Q04T	SCIE – P2006 Plastic Age (Q04)	128-128	S425Q02	SCIE – P2006 Penguin Island (Q02)	137-137
Complex Multiple Choice A1	0 No credit 1 No credit 2 No credit 3 Full credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 4: Q49 Booklet 5: Q17 Booklet 11: Q29 Booklet 12: Q64	Multiple Choice A1	1 No credit 2 Full credit 3 No credit 4 No credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q72 Booklet 5: Q49 Booklet 7: Q11 Booklet 13: Q26
S413Q05	SCIE – P2006 Plastic Age (Q05)	129-129	S425Q03	SCIE – P2006 Penguin Island (Q03)	138-138
Multiple Choice A1	1 No credit 2 Full credit 3 No credit 4 No credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 4: Q50 Booklet 5: Q18 Booklet 11: Q30 Booklet 12: Q65	Open Response A1	0 No credit 1 Full credit 7 N/A 9 Missing r Not reached	Booklet 1: Q70 Booklet 5: Q47 Booklet 7: Q9 Booklet 13: Q24
S413Q06	SCIE – P2006 Plastic Age (Q06)	130-130	S425Q04	SCIE – P2006 Penguin Island (Q04)	139-139
Closed Constructed Response A1	0 No credit 1 Full credit 7 N/A 9 Missing r Not reached	Booklet 4: Q48 Booklet 5: Q16 Booklet 11: Q28 Booklet 12: Q63	Open Response A1	0 No credit 1 Full credit 7 N/A 9 Missing r Not reached	Booklet 1: Q73 Booklet 5: Q50 Booklet 7: Q12 Booklet 13: Q27
S415Q02	SCIE – P2006 Solar Power Generation (Q02)	131-131	S425Q05	SCIE – P2006 Penguin Island (Q05)	140-140
Multiple Choice A1	1 No credit 2 No credit 3 No credit 4 Full credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q60 Booklet 3: Q39 Booklet 4: Q19 Booklet 6: Q66	Multiple Choice A1	1 No credit 2 Full credit 3 No credit 4 No credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q71 Booklet 5: Q48 Booklet 7: Q10 Booklet 13: Q25
S415Q07T	SCIE – P2006 Solar Power Generation (Q07)	132-132	S426Q03	SCIE – P2006 The Grand Canyon (Q03)	141-141
Complex Multiple Choice A1	0 No credit 1 No credit 2 Full credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q59 Booklet 3: Q38 Booklet 4: Q18 Booklet 6: Q65	Multiple Choice A1	1 No credit 2 No credit 3 No credit 4 Full credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q10 Booklet 9: Q22 Booklet 10: Q53 Booklet 12: Q35
S415Q08T	SCIE – P2006 Solar Power Generation (Q08)	133-133	S426Q05	SCIE – P2006 The Grand Canyon (Q05)	142-142
Complex Multiple Choice A1	0 No credit 1 No credit 2 No credit 3 Full credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q61 Booklet 3: Q40 Booklet 4: Q20 Booklet 6: Q67	Multiple Choice A1	1 No credit 2 No credit 3 Full credit 4 No credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q11 Booklet 9: Q23 Booklet 10: Q54 Booklet 12: Q36
S416Q01	SCIE – P2006 The Moon (Q01)	134-134	S426Q07T	SCIE – P2006 The Grand Canyon (Q07)	143-143
Closed Constructed Response A1	0 No credit 1 Full credit 7 N/A 9 Missing r Not reached	Booklet 1: Q67 Booklet 5: Q44 Booklet 7: Q6 Booklet 13: Q21	Complex Multiple Choice A1	0 No credit 1 No credit 2 Full credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q9 Booklet 9: Q21 Booklet 10: Q52 Booklet 12: Q34
S421Q01	SCIE – P2006 Big and Small (Q01)	135-135	S428Q01	SCIE – P2006 Bacteria in Milk (Q01)	144-144
Closed Constructed Response A1	0 No credit 1 Full credit 7 N/A 9 Missing r Not reached	Booklet 1: Q79 Booklet 5: Q56 Booklet 7: Q18 Booklet 13: Q33	Multiple Choice A1	1 No credit 2 No credit 3 Full credit 4 No credit 7 N/A 8 M/R 9 Missing r Not reached	Booklet 5: Q26 Booklet 6: Q7 Booklet 8: Q50 Booklet 10: Q31 Booklet UH: Q2
S421Q03	SCIE – P2006 Big and Small (Q03)	136-136			
Closed Constructed Response A1	0 No credit 1 Full credit 7 N/A 9 Missing r Not reached	Booklet 1: Q81 Booklet 5: Q58 Booklet 7: Q20 Booklet 13: Q35			

**APPENDIX 8: CODEBOOK FOR PISA 2006 NON-SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S428Q03	SCIE – P2006 Bacteria in Milk (Q03)	145-145	S438Q03T	SCIE – P2006 Green Parks (Q03)	153-153
Multiple Choice A1	1 No credit	Booklet 5: Q27	Open Response A1	0 No credit	Booklet 5: Q32
	2 No credit	Booklet 6: Q8		1 Full credit	Booklet 6: Q13
	3 No credit	Booklet 8: Q51		7 N/A	Booklet 8: Q56
	4 Full credit	Booklet 10: Q32		9 Missing	Booklet 10: Q37
	7 N/A	Booklet UH: Q3		r Not reached	
	8 M/R		Multiple Choice A1	SCIE – P2006 Sunscreens (Q02)	154-154
	9 Missing			1 No credit	Booklet 4: Q44
	r Not reached			2 No credit	Booklet 5: Q12
	S428Q05	SCIE – P2006 Bacteria in Milk (Q05)		3 No credit	Booklet 11: Q24
	0 No credit	Booklet 5: Q28		4 Full credit	Booklet 12: Q59
Open Response A1	1 Full credit	Booklet 6: Q9	Multiple Choice A1	7 N/A	
	7 N/A	Booklet 8: Q52		8 M/R	
	9 Missing	Booklet 10: Q33		9 Missing	
	r Not reached	Booklet UH: Q4		r Not reached	
	S437Q01	SCIE – P2006 Extinguishing Fires (Q01)	Multiple Choice A1	SCIE – P2006 Sunscreens (Q03)	155-155
Multiple Choice A1	1 No credit	Booklet 1: Q54		1 Full credit	Booklet 4: Q45
	2 Full credit	Booklet 3: Q33		2 No credit	Booklet 5: Q13
	3 No credit	Booklet 4: Q13		3 No credit	Booklet 11: Q25
	4 No credit	Booklet 6: Q60		4 No credit	Booklet 12: Q60
	7 N/A			7 N/A	
	8 M/R			8 M/R	
	9 Missing			9 Missing	
	r Not reached			r Not reached	
	S437Q03	SCIE – P2006 Extinguishing Fires (Q03)		SCIE – P2006 Sunscreens (Q04)	156-156
	1 No credit	Booklet 1: Q55		1 No credit	Booklet 4: Q46
Multiple Choice A1	2 No credit	Booklet 3: Q34		2 No credit	Booklet 5: Q14
	3 Full credit	Booklet 4: Q14		3 No credit	Booklet 11: Q26
	4 No credit	Booklet 6: Q61		4 Full credit	Booklet 12: Q61
	7 N/A			7 N/A	
	8 M/R			8 M/R	
	9 Missing			9 Missing	
	r Not reached			r Not reached	
	S437Q04	SCIE – P2006 Extinguishing Fires (Q04)	Multiple Choice A1	SCIE – P2006 Sunscreens (Q05)	157-157
	1 No credit	Booklet 1: Q56		0 No credit	Booklet 4: Q47
	2 No credit	Booklet 3: Q35		1 Partial credit	Booklet 5: Q15
	3 Full credit	Booklet 4: Q15		2 Full credit	Booklet 11: Q27
	4 No credit	Booklet 6: Q62		7 N/A	Booklet 12: Q62
	7 N/A			9 Missing	
	8 M/R			r Not reached	
	9 Missing		Open Response A1	SCIE – P2006 The Ice Mummy (Q01)	158-158
	r Not reached			0 No credit	Booklet 5: Q20
Open Response A1	S437Q06	SCIE – P2006 Extinguishing Fires (Q06)		1 Full credit	Booklet 6: Q1
	0 No credit	Booklet 1: Q57		7 N/A	Booklet 8: Q44
	1 Full credit	Booklet 3: Q36		9 Missing	Booklet 10: Q25
	7 N/A	Booklet 4: Q16		r Not reached	
	9 Missing	Booklet 6: Q63	Complex Multiple Choice A1	SCIE – P2006 The Ice Mummy (Q02)	159-159
	r Not reached			0 No credit	Booklet 5: Q21
Complex Multiple Choice A1	S438Q01T	SCIE – P2006 Green Parks (Q01)		1 No credit	Booklet 6: Q2
	0 No credit	Booklet 5: Q30		2 No credit	Booklet 8: Q45
	1 No credit	Booklet 6: Q11		3 Full credit	Booklet 10: Q26
	2 No credit	Booklet 8: Q54		7 N/A	
	3 Full credit	Booklet 10: Q35		8 M/R	
	7 N/A			9 Missing	
	8 M/R			r Not reached	
	9 Missing			SCIE – P2006 Different Climates (Q01)	160-160
	r Not reached			0 No credit	Booklet 4: Q36
	S438Q02	SCIE – P2006 Green Parks (Q02)	Multiple Choice A1	1 Partial credit	Booklet 5: Q4
Multiple Choice A1	1 No credit	Booklet 5: Q31		2 Full credit	Booklet 11: Q16
	2 No credit	Booklet 6: Q12		7 N/A	Booklet 12: Q51
	3 No credit	Booklet 8: Q55		9 Missing	
	4 Full credit	Booklet 10: Q36		r Not reached	
	7 N/A		Open Response A1	SCIE – P2006 Different Climates (Q02)	161-161
	8 M/R			1 No credit	Booklet 4: Q37
	9 Missing			2 No credit	Booklet 5: Q5
	r Not reached			3 Full credit	Booklet 11: Q17



Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
	4 No credit	Booklet 12: Q52	S477Q02	SCIE – P2006 Mary Montagu (Q02)	169-169
	7 N/A		Multiple Choice	1 No credit	Booklet 2: Q20
	8 M/R		A1	2 Full credit	Booklet 3: Q1
	9 Missing			3 No credit	Booklet 5: Q60
	r Not reached			4 No credit	Booklet 9: Q35
S465Q04	SCIE – P2006 Different Climates (Q04)	162-162		7 N/A	
Multiple Choice	1 No credit	Booklet 4: Q38		8 M/R	
A1	2 Full credit	Booklet 5: Q6		9 Missing	
	3 No credit	Booklet 11: Q18		r Not reached	
	4 No credit	Booklet 12: Q53	S477Q03	SCIE – P2006 Mary Montagu (Q03)	170-170
	7 N/A		Multiple Choice	1 No credit	Booklet 2: Q21
	8 M/R		A1	2 Full credit	Booklet 3: Q2
	9 Missing			3 No credit	Booklet 5: Q61
	r Not reached			4 No credit	Booklet 9: Q36
S466Q01T	SCIE – P2006 Forest Fires (Q01)	163-163		7 N/A	
Complex Multiple Choice	0 No credit	Booklet 5: Q35		8 M/R	
A1	1 No credit	Booklet 6: Q16		9 Missing	
	2 No credit	Booklet 8: Q59		r Not reached	
	3 Full credit	Booklet 10: Q40	S477Q04	SCIE – P2006 Mary Montagu (Q04)	171-171
	7 N/A	Booklet UH: Q15	Open Response	0 No credit	Booklet 2: Q22
	8 M/R		A1	1 Full credit	Booklet 3: Q3
	9 Missing			7 N/A	Booklet 5: Q62
	r Not reached			9 Missing	Booklet 9: Q37
S466Q05	SCIE – P2006 Forest Fires (Q05)	164-164		r Not reached	
Multiple Choice	1 No credit	Booklet 5: Q37	S478Q01	SCIE – P2006 Antibiotics (Q01)	172-172
A1	2 Full credit	Booklet 6: Q18	Multiple Choice	1 No credit	Booklet 4: Q40
	3 No credit	Booklet 8: Q61	A1	2 No credit	Booklet 5: Q8
	4 No credit	Booklet 10: Q42		3 Full credit	Booklet 11: Q20
	7 N/A	Booklet UH: Q17		4 No credit	Booklet 12: Q55
	8 M/R			7 N/A	
	9 Missing			8 M/R	
	r Not reached			9 Missing	
S466Q07T	SCIE – P2006 Forest Fires (Q07)	165-165		r Not reached	
Complex Multiple Choice	0 No credit	Booklet 5: Q36	S478Q02T	SCIE – P2006 Antibiotics (Q02)	173-173
A1	1 No credit	Booklet 6: Q17	Complex Multiple Choice	0 No credit	Booklet 4: Q41
	2 Full credit	Booklet 8: Q60	A1	1 No credit	Booklet 5: Q9
	7 N/A	Booklet 10: Q41		2 No credit	Booklet 11: Q21
	8 M/R	Booklet UH: Q16		3 Full credit	Booklet 12: Q56
	9 Missing			7 N/A	
	r Not reached			8 M/R	
S476Q01	SCIE – P2006 Heart Surgery (Q01)	166-166		9 Missing	
Multiple Choice	1 No credit	Booklet 1: Q23		r Not reached	
A1	2 No credit	Booklet 2: Q1	S478Q03T	SCIE – P2006 Antibiotics (Q03)	174-174
	3 Full credit	Booklet 8: Q25	Complex Multiple Choice	0 No credit	Booklet 4: Q42
	4 No credit	Booklet 11: Q47	A1	1 No credit	Booklet 5: Q10
	7 N/A	Booklet UH: Q10		2 Full credit	Booklet 11: Q22
	8 M/R			7 N/A	Booklet 12: Q57
	9 Missing			8 M/R	
	r Not reached			9 Missing	
S476Q02	SCIE – P2006 Heart Surgery (Q02)	167-167		r Not reached	
Multiple Choice	1 No credit	Booklet 1: Q24	S485Q02	SCIE – P2006 Acid Rain (Q02)	175-175
A1	2 No credit	Booklet 2: Q2	Open Response	0 No credit	Booklet 1: Q18
	3 Full credit	Booklet 8: Q26	A1	1 Full credit	Booklet 9: Q30
	4 No credit	Booklet 11: Q48		2 Full credit	Booklet 10: Q61
	7 N/A	Booklet UH: Q11		7 N/A	Booklet 12: Q43
	8 M/R			9 Missing	
	9 Missing			r Not reached	
	r Not reached		S485Q03	SCIE – P2006 Acid Rain (Q03)	176-176
S476Q03	SCIE – P2006 Heart Surgery (Q03)	168-168	Multiple Choice	1 Full credit	Booklet 1: Q19
Multiple Choice	1 Full credit	Booklet 1: Q25	A1	2 No credit	Booklet 9: Q31
A1	2 No credit	Booklet 2: Q3		3 No credit	Booklet 10: Q62
	3 No credit	Booklet 8: Q27		4 No credit	Booklet 12: Q44
	4 No credit	Booklet 11: Q49		7 N/A	
	7 N/A	Booklet UH: Q12		8 M/R	
	8 M/R			9 Missing	
	9 Missing			r Not reached	
	r Not reached		S485Q05	SCIE – P2006 Acid Rain (Q05)	177-177
			Open Response	0 No credit	Booklet 1: Q20
			A1	1 Partial credit	Booklet 9: Q32

**APPENDIX 8: CODEBOOK FOR PISA 2006 NON-SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
	2 Full credit	Booklet 10: Q63		9 Missing	
	7 N/A	Booklet 12: Q45		r Not reached	
	9 Missing		S498Q03	SCIE – P2006 Experimental Digestion (Q03)	186-186
	r Not reached		Multiple Choice	1 Full credit	Booklet 2: Q33
S493Q01T	SCIE – P2006 Physical Exercise (Q01)	178-178	A1	2 No credit	Booklet 3: Q14
Complex Multiple Choice A1	0 No credit	Booklet 1: Q62		3 No credit	Booklet 5: Q73
	1 No credit	Booklet 5: Q39		4 No credit	Booklet 9: Q48
	2 No credit	Booklet 7: Q1		7 N/A	
	3 Full credit	Booklet 13: Q16		8 M/R	
	7 N/A			9 Missing	
	8 M/R			r Not reached	
	9 Missing		S498Q04	SCIE – P2006 Experimental Digestion (Q04)	187-187
	r Not reached		Open Response	0 No credit	Booklet 2: Q34
S493Q03T	SCIE – P2006 Physical Exercise (Q03)	179-179	A1	1 Partial credit	Booklet 3: Q15
Complex Multiple Choice A1	0 No credit	Booklet 1: Q63		2 Full credit	Booklet 5: Q74
	1 No credit	Booklet 5: Q40		7 N/A	Booklet 9: Q49
	2 Full credit	Booklet 7: Q2		9 Missing	
	7 N/A	Booklet 13: Q17		r Not reached	
	8 M/R		S508Q02T	SCIE – P2006 Genetically Modified Food (Q02)	188-188
	9 Missing		Complex Multiple Choice A1	0 No credit	Booklet 1: Q1
	r Not reached			1 No credit	Booklet 9: Q13
S493Q05T	SCIE – P2006 Physical Exercise (Q05)	180-180		2 Full credit	Booklet 10: Q44
Open Response A1	0 No credit	Booklet 1: Q64		7 N/A	Booklet 12: Q26
	1 Full credit	Booklet 5: Q41		8 M/R	
	7 N/A	Booklet 7: Q3		9 Missing	
	9 Missing	Booklet 13: Q18		r Not reached	
	r Not reached		S508Q03	SCIE – P2006 Genetically Modified Food (Q03)	189-189
S495Q01T	SCIE – P2006 Radiotherapy (Q01)	181-181	Multiple Choice A1	1 No credit	Booklet 1: Q2
Complex Multiple Choice A1	0 No credit	Booklet 1: Q35		2 No credit	Booklet 9: Q14
	1 No credit	Booklet 2: Q13		3 No credit	Booklet 10: Q45
	2 No credit	Booklet 8: Q37		4 Full credit	Booklet 12: Q27
	3 Full credit	Booklet 11: Q59		7 N/A	
	7 N/A			8 M/R	
	8 M/R			9 Missing	
	9 Missing			r Not reached	
	r Not reached		S510Q01T	SCIE – P2006 Magnetic Hovertrain (Q01)	190-190
S495Q02T	SCIE – P2006 Radiotherapy (Q02)	182-182	Complex Multiple Choice A1	0 No credit	Booklet 1: Q42
Complex Multiple Choice A1	0 No credit	Booklet 1: Q36		1 No credit	Booklet 3: Q21
	1 No credit	Booklet 2: Q14		2 Full credit	Booklet 4: Q1
	2 Full credit	Booklet 8: Q38		7 N/A	Booklet 6: Q48
	7 N/A	Booklet 11: Q60		8 M/R	
	8 M/R			9 Missing	
	9 Missing			r Not reached	
	r Not reached		S510Q04T	SCIE – P2006 Magnetic Hovertrain (Q04)	191-191
S495Q03	SCIE – P2006 Radiotherapy (Q03)	183-183	Open Response A1	0 No credit	Booklet 1: Q43
Open Response A1	0 No credit	Booklet 1: Q37		1 Full credit	Booklet 3: Q22
	1 Full credit	Booklet 2: Q15		7 N/A	Booklet 4: Q2
	7 N/A	Booklet 8: Q39		9 Missing	Booklet 6: Q49
	9 Missing	Booklet 11: Q61		r Not reached	
	r Not reached		S514Q02	SCIE – P2006 Development and Disaster (Q02)	192-192
S495Q04T	SCIE – P2006 Radiotherapy (Q04)	184-184	Open Response A1	0 No credit	Booklet 1: Q75
Complex Multiple Choice A1	0 No credit	Booklet 1: Q34		1 Full credit	Booklet 5: Q52
	1 No credit	Booklet 2: Q12		7 N/A	Booklet 7: Q14
	2 No credit	Booklet 8: Q36		9 Missing	Booklet 13: Q29
	3 Full credit	Booklet 11: Q58		r Not reached	
	7 N/A		S514Q03	SCIE – P2006 Development and Disaster (Q03)	193-193
	8 M/R		Open Response A1	0 No credit	Booklet 1: Q76
	9 Missing			1 Full credit	Booklet 5: Q53
	r Not reached			7 N/A	Booklet 7: Q15
S498Q02T	SCIE – P2006 Experimental Digestion (Q02)	185-185		9 Missing	Booklet 13: Q30
Complex Multiple Choice A1	0 No credit	Booklet 2: Q32		r Not reached	
	1 No credit	Booklet 3: Q13			
	2 No credit	Booklet 5: Q72			
	3 Full credit	Booklet 9: Q47			
	7 N/A				
	8 M/R				



Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S514Q04	SCIE – P2006 Development and Disaster (Q04)	194-194		7 N/A	
Complex Multiple Choice A1	0 No credit	Booklet 1: Q77		8 M/R	
	1 Full credit	Booklet 5: Q54		9 Missing	
	7 N/A	Booklet 7: Q16		r Not reached	
	9 Missing	Booklet 13: Q31	S527Q03T	SCIE – P2006 Extinction of the Dinosaurs (Q03)	203-203
	r Not reached		Complex Multiple Choice A1	0 No credit	Booklet 1: Q14
S519Q01	SCIE – P2006 Airbags (Q01)	195-195		1 No credit	Booklet 9: Q26
Open Response A1	0 No credit	Booklet 2: Q27		2 Full credit	Booklet 10: Q57
	1 Partial credit	Booklet 3: Q8		7 N/A	Booklet 12: Q39
	2 Full credit	Booklet 5: Q67		8 M/R	
	7 N/A	Booklet 9: Q42		9 Missing	
	9 Missing			r Not reached	
	r Not reached		S527Q04T	SCIE – P2006 Extinction of the Dinosaurs (Q04)	204-204
S519Q02T	SCIE – P2006 Airbags (Q02)	196-196	Complex Multiple Choice A1	0 No credit	Booklet 1: Q15
Complex Multiple Choice A1	0 No credit	Booklet 2: Q28		1 No credit	Booklet 9: Q27
	1 No credit	Booklet 3: Q9		2 No credit	Booklet 10: Q58
	2 Full credit	Booklet 5: Q68		3 Full credit	Booklet 12: Q40
	7 N/A	Booklet 9: Q43		7 N/A	
	8 M/R			8 M/R	
	9 Missing			9 Missing	
	r Not reached			r Not reached	
S519Q03	SCIE – P2006 Airbags (Q03)	197-197	S408QNA	INTR – P2006 Wild Oat Grass (A)	205-205
Open Response A1	0 No credit	Booklet 2: Q29	Likert A1	1 High interest (score=3)	Booklet 1: Q52
	1 Full credit	Booklet 3: Q10		2 Medium interest (score=2)	Booklet 3: Q31
	7 N/A	Booklet 5: Q69		3 Low interest (score=1)	Booklet 4: Q11
	9 Missing	Booklet 9: Q44		4 No interest (score=0)	Booklet 6: Q58
	r Not reached			7 N/A	
S521Q02	SCIE – P2006 Cooking Outdoors (Q02)	198-198		8 M/R	
Multiple Choice A1	1 No credit	Booklet 1: Q31		9 Missing	
	2 Full credit	Booklet 2: Q9		r Not reached	
	3 No credit	Booklet 8: Q33	S408QNB	INTR – P2006 Wild Oat Grass (B)	206-206
	4 No credit	Booklet 11: Q55	Likert A1	1 High interest (score=3)	Booklet 1: Q52
	7 N/A			2 Medium interest (score=2)	Booklet 3: Q31
	8 M/R			3 Low interest (score=1)	Booklet 4: Q11
	9 Missing			4 No interest (score=0)	Booklet 6: Q58
	r Not reached			7 N/A	
S521Q06	SCIE – P2006 Cooking Outdoors (Q06)	199-199		8 M/R	
Multiple Choice A1	1 No credit	Booklet 1: Q32		9 Missing	
	2 Full credit	Booklet 2: Q10		r Not reached	
	3 No credit	Booklet 8: Q34	S408QNC	INTR – P2006 Wild Oat Grass (C)	207-207
	4 No credit	Booklet 11: Q56	Likert A1	1 High interest (score=3)	Booklet 1: Q52
	7 N/A			2 Medium interest (score=2)	Booklet 3: Q31
	8 M/R			3 Low interest (score=1)	Booklet 4: Q11
	9 Missing			4 No interest (score=0)	Booklet 6: Q58
	r Not reached			7 N/A	
S524Q06T	SCIE – P2006 Penicillin Manufacture (Q06)	200-200		8 M/R	
Complex Multiple Choice A1	0 No credit	Booklet 2: Q37		9 Missing	
	1 No credit	Booklet 3: Q18		r Not reached	
	2 Full credit	Booklet 5: Q77	S413QNA	INTR – P2006 Plastic Age (A)	208-208
	7 N/A	Booklet 9: Q52	Likert A1	1 High interest (score=3)	Booklet 4: Q51
	8 M/R			2 Medium interest (score=2)	Booklet 5: Q19
	9 Missing			3 Low interest (score=1)	Booklet 11: Q31
	r Not reached			4 No interest (score=0)	Booklet 12: Q66
S524Q07	SCIE – P2006 Penicillin Manufacture (Q07)	201-201		7 N/A	
Open Response A1	0 No credit	Booklet 2: Q38		8 M/R	
	1 Full credit	Booklet 3: Q19		9 Missing	
	7 N/A	Booklet 5: Q78		r Not reached	
	9 Missing	Booklet 9: Q53	S413QNB	INTR – P2006 Plastic Age (B)	209-209
	r Not reached		Likert A1	1 High interest (score=3)	Booklet 4: Q51
S527Q01T	SCIE – P2006 Extinction of the Dinosaurs (Q01)	202-202		2 Medium interest (score=2)	Booklet 5: Q19
Complex Multiple Choice A1	0 No credit	Booklet 1: Q13		3 Low interest (score=1)	Booklet 11: Q31
	1 No credit	Booklet 9: Q25		4 No interest (score=0)	Booklet 12: Q66
	2 No credit	Booklet 10: Q56		7 N/A	
	3 Full credit	Booklet 12: Q38		8 M/R	
				9 Missing	
				r Not reached	

**APPENDIX 8: CODEBOOK FOR PISA 2006 NON-SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S413QNC	INTR – P2006 Plastic Age (C)	210-210	S437QNC	INTR – P2006 Extinguishing Fires (C)	218-218
Likert	1 High interest (score=3)	Booklet 4: Q51	Likert	1 High interest (score=3)	Booklet 1: Q58
A1	2 Medium interest (score=2)	Booklet 5: Q19	2 Medium interest (score=2)	Booklet 3: Q37	
	3 Low interest (score=1)	Booklet 11: Q31	3 Low interest (score=1)	Booklet 4: Q17	
	4 No interest (score=0)	Booklet 12: Q66	4 No interest (score=0)	Booklet 6: Q64	
	7 N/A		7 N/A		
	8 M/R		8 M/R		
	9 Missing		9 Missing		
	r Not reached		r Not reached		
S416QNA	INTR – P2006 The Moon (A)	211-211	S438QNA	INTR – P2006 Green Parks (A)	219-219
Likert	1 High interest (score=3)	Booklet 1: Q68	Likert	1 High interest (score=3)	Booklet 5: Q33
A1	2 Medium interest (score=2)	Booklet 5: Q45	2 Medium interest (score=2)	Booklet 6: Q14	
	3 Low interest (score=1)	Booklet 7: Q7	3 Low interest (score=1)	Booklet 8: Q57	
	4 No interest (score=0)	Booklet 13: Q22	4 No interest (score=0)	Booklet 10: Q38	
	7 N/A		7 N/A		
	8 M/R		8 M/R		
	9 Missing		9 Missing		
	r Not reached		r Not reached		
S416QNB	INTR – P2006 The Moon (B)	212-212	S438QNB	INTR – P2006 Green Parks (B)	220-220
Likert	1 High interest (score=3)	Booklet 1: Q68	Likert	1 High interest (score=3)	Booklet 5: Q33
A1	2 Medium interest (score=2)	Booklet 5: Q45	2 Medium interest (score=2)	Booklet 6: Q14	
	3 Low interest (score=1)	Booklet 7: Q7	3 Low interest (score=1)	Booklet 8: Q57	
	4 No interest (score=0)	Booklet 13: Q22	4 No interest (score=0)	Booklet 10: Q38	
	7 N/A		7 N/A		
	8 M/R		8 M/R		
	9 Missing		9 Missing		
	r Not reached		r Not reached		
S428QNA	INTR – P2006 Bacteria in Milk (A)	213-213	S438QNC	INTR – P2006 Green Parks (C)	221-221
Likert	1 High interest (score=3)	Booklet 5: Q29	Likert	1 High interest (score=3)	Booklet 5: Q33
A1	2 Medium interest (score=2)	Booklet 6: Q10	2 Medium interest (score=2)	Booklet 6: Q14	
	3 Low interest (score=1)	Booklet 8: Q53	3 Low interest (score=1)	Booklet 8: Q57	
	4 No interest (score=0)	Booklet 10: Q34	4 No interest (score=0)	Booklet 10: Q38	
	7 N/A	Booklet UH: Q5	7 N/A		
	8 M/R		8 M/R		
	9 Missing		9 Missing		
	r Not reached		r Not reached		
S428QNB	INTR – P2006 Bacteria in Milk (B)	214-214	S456QNA	INTR – P2006 The Cheetah (A)	222-222
Likert	1 High interest (score=3)	Booklet 5: Q29	Likert	1 High interest (score=3)	Booklet 1: Q40
A1	2 Medium interest (score=2)	Booklet 6: Q10	2 Medium interest (score=2)	Booklet 2: Q18	
	3 Low interest (score=1)	Booklet 8: Q53	3 Low interest (score=1)	Booklet 8: Q42	
	4 No interest (score=0)	Booklet 10: Q34	4 No interest (score=0)	Booklet 11: Q64	
	7 N/A	Booklet UH: Q5	7 N/A		
	8 M/R		8 M/R		
	9 Missing		9 Missing		
	r Not reached		r Not reached		
S428QNC	INTR – P2006 Bacteria in Milk (C)	215-215	S456QNB	INTR – P2006 The Cheetah (B)	223-223
Likert	1 High interest (score=3)	Booklet 5: Q29	Likert	1 High interest (score=3)	Booklet 1: Q40
A1	2 Medium interest (score=2)	Booklet 6: Q10	2 Medium interest (score=2)	Booklet 2: Q18	
	3 Low interest (score=1)	Booklet 8: Q53	3 Low interest (score=1)	Booklet 8: Q42	
	4 No interest (score=0)	Booklet 10: Q34	4 No interest (score=0)	Booklet 11: Q64	
	7 N/A	Booklet UH: Q5	7 N/A		
	8 M/R		8 M/R		
	9 Missing		9 Missing		
	r Not reached		r Not reached		
S437QNA	INTR – P2006 Extinguishing Fires (A)	216-216	S456QNC	INTR – P2006 The Cheetah (C)	224-224
Likert	1 High interest (score=3)	Booklet 1: Q58	Likert	1 High interest (score=3)	Booklet 1: Q40
A1	2 Medium interest (score=2)	Booklet 3: Q37	2 Medium interest (score=2)	Booklet 2: Q18	
	3 Low interest (score=1)	Booklet 4: Q17	3 Low interest (score=1)	Booklet 8: Q42	
	4 No interest (score=0)	Booklet 6: Q64	4 No interest (score=0)	Booklet 11: Q64	
	7 N/A		7 N/A		
	8 M/R		8 M/R		
	9 Missing		9 Missing		
	r Not reached		r Not reached		
S437QNB	INTR – P2006 Extinguishing Fires (B)	217-217	S466QNA	INTR – P2006 Forest Fires (A)	225-225
Likert	1 High interest (score=3)	Booklet 1: Q58	Likert	1 High interest (score=3)	Booklet 5: Q38
A1	2 Medium interest (score=2)	Booklet 3: Q37	2 Medium interest (score=2)	Booklet 6: Q19	
	3 Low interest (score=1)	Booklet 4: Q17	3 Low interest (score=1)	Booklet 8: Q62	
	4 No interest (score=0)	Booklet 6: Q64			
	7 N/A				
	8 M/R				
	9 Missing				
	r Not reached				



Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
	4 No interest (score=0)	Booklet 10: Q43	S478QNC	INTR – P2006 Antibiotics (C)	233-233
	7 N/A	Booklet UH: Q18	Likert	1 High interest (score=3)	Booklet 4: Q43
	8 M/R		A1	2 Medium interest (score=2)	Booklet 5: Q11
	9 Missing			3 Low interest (score=1)	Booklet 11: Q23
	r Not reached			4 No interest (score=0)	Booklet 12: Q58
S466QNB	INTR – P2006 Forest Fires (B)	226-226		7 N/A	
Likert	1 High interest (score=3)	Booklet 5: Q38		8 M/R	
A1	2 Medium interest (score=2)	Booklet 6: Q19		9 Missing	
	3 Low interest (score=1)	Booklet 8: Q62		r Not reached	
	4 No interest (score=0)	Booklet 10: Q43	S485QNA	INTR – P2006 Acid Rain (A)	234-234
	7 N/A	Booklet UH: Q18	Likert	1 High interest (score=3)	Booklet 1: Q21
	8 M/R		A1	2 Medium interest (score=2)	Booklet 9: Q33
	9 Missing			3 Low interest (score=1)	Booklet 10: Q64
	r Not reached			4 No interest (score=0)	Booklet 12: Q46
S466QNC	INTR – P2006 Forest Fires (C)	227-227		7 N/A	
Likert	1 High interest (score=3)	Booklet 5: Q38		8 M/R	
A1	2 Medium interest (score=2)	Booklet 6: Q19		9 Missing	
	3 Low interest (score=1)	Booklet 8: Q62		r Not reached	
	4 No interest (score=0)	Booklet 10: Q43	S485QNB	INTR – P2006 Acid Rain (B)	235-235
	7 N/A	Booklet UH: Q18	Likert	1 High interest (score=3)	Booklet 1: Q21
	8 M/R		A1	2 Medium interest (score=2)	Booklet 9: Q33
	9 Missing			3 Low interest (score=1)	Booklet 10: Q64
	r Not reached			4 No interest (score=0)	Booklet 12: Q46
S476QNA	INTR – P2006 Heart Surgery (A)	228-228		7 N/A	
Likert	1 High interest (score=3)	Booklet 1: Q26		8 M/R	
A1	2 Medium interest (score=2)	Booklet 2: Q4		9 Missing	
	3 Low interest (score=1)	Booklet 8: Q28		r Not reached	
	4 No interest (score=0)	Booklet 11: Q50	S485QNC	INTR – P2006 Acid Rain (C)	236-236
	7 N/A	Booklet UH: Q13	Likert	1 High interest (score=3)	Booklet 1: Q21
	8 M/R		A1	2 Medium interest (score=2)	Booklet 9: Q33
	9 Missing			3 Low interest (score=1)	Booklet 10: Q64
	r Not reached			4 No interest (score=0)	Booklet 12: Q46
S476QNB	INTR – P2006 Heart Surgery (B)	229-229		7 N/A	
Likert	1 High interest (score=3)	Booklet 1: Q26		8 M/R	
A1	2 Medium interest (score=2)	Booklet 2: Q4		9 Missing	
	3 Low interest (score=1)	Booklet 8: Q28		r Not reached	
	4 No interest (score=0)	Booklet 11: Q50	S498QNA	INTR – P2006 Experimental Digestion (A)	237-237
	7 N/A	Booklet UH: Q13	Likert	1 High interest (score=3)	Booklet 2: Q35
	8 M/R		A1	2 Medium interest (score=2)	Booklet 3: Q16
	9 Missing			3 Low interest (score=1)	Booklet 5: Q75
	r Not reached			4 No interest (score=0)	Booklet 9: Q50
S476QNC	INTR – P2006 Heart Surgery (C)	230-230		7 N/A	
Likert	1 High interest (score=3)	Booklet 1: Q26		8 M/R	
A1	2 Medium interest (score=2)	Booklet 2: Q4		9 Missing	
	3 Low interest (score=1)	Booklet 8: Q28		r Not reached	
	4 No interest (score=0)	Booklet 11: Q50	S498QNB	INTR – P2006 Experimental Digestion (B)	238-238
	7 N/A	Booklet UH: Q13	Likert	1 High interest (score=3)	Booklet 2: Q35
	8 M/R		A1	2 Medium interest (score=2)	Booklet 3: Q16
	9 Missing			3 Low interest (score=1)	Booklet 5: Q75
	r Not reached			4 No interest (score=0)	Booklet 9: Q50
S478QNA	INTR – P2006 Antibiotics (A)	231-231		7 N/A	
Likert	1 High interest (score=3)	Booklet 4: Q43		8 M/R	
A1	2 Medium interest (score=2)	Booklet 5: Q11		9 Missing	
	3 Low interest (score=1)	Booklet 11: Q23		r Not reached	
	4 No interest (score=0)	Booklet 12: Q58	S498QNC	INTR – P2006 Experimental Digestion (C)	239-239
	7 N/A		Likert	1 High interest (score=3)	Booklet 2: Q35
	8 M/R		A1	2 Medium interest (score=2)	Booklet 3: Q16
	9 Missing			3 Low interest (score=1)	Booklet 5: Q75
	r Not reached			4 No interest (score=0)	Booklet 9: Q50
S478QNB	INTR – P2006 Antibiotics (B)	232-232		7 N/A	
Likert	1 High interest (score=3)	Booklet 4: Q43		8 M/R	
A1	2 Medium interest (score=2)	Booklet 5: Q11		9 Missing	
	3 Low interest (score=1)	Booklet 11: Q23		r Not reached	
	4 No interest (score=0)	Booklet 12: Q58			
	7 N/A				
	8 M/R				
	9 Missing				
	r Not reached				

**APPENDIX 8: CODEBOOK FOR PISA 2006 NON-SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S508QNA	INTR – P2006 Genetically Modified Food (A)	240-240
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q4 Booklet 9: Q16 Booklet 10: Q47 Booklet 12: Q29
S508QNB	INTR – P2006 Genetically Modified Food (B)	241-241
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q4 Booklet 9: Q16 Booklet 10: Q47 Booklet 12: Q29
S508QNC	INTR – P2006 Genetically Modified Food (C)	242-242
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q4 Booklet 9: Q16 Booklet 10: Q47 Booklet 12: Q29
S514QNA	INTR – P2006 Development and Disaster (A)	243-243
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q78 Booklet 5: Q55 Booklet 7: Q17 Booklet 13: Q32
S514QNB	INTR – P2006 Development and Disaster (B)	244-244
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q78 Booklet 5: Q55 Booklet 7: Q17 Booklet 13: Q32
S514QNC	INTR – P2006 Development and Disaster (C)	245-245
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q78 Booklet 5: Q55 Booklet 7: Q17 Booklet 13: Q32
S519QNA	INTR – P2006 Airbags (A)	246-246
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 2: Q30 Booklet 3: Q11 Booklet 5: Q70 Booklet 9: Q45
S519QNB	INTR – P2006 Airbags (B)	247-247
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 2: Q30 Booklet 3: Q11 Booklet 5: Q70 Booklet 9: Q45
S519QNC	INTR – P2006 Airbags (C)	248-248
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 2: Q30 Booklet 3: Q11 Booklet 5: Q70 Booklet 9: Q45
S521QNA	INTR – P2006 Cooking Outdoors (A)	249-249
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q33 Booklet 2: Q11 Booklet 8: Q35 Booklet 11: Q57
S521QNB	INTR – P2006 Cooking Outdoors (B)	250-250
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q33 Booklet 2: Q11 Booklet 8: Q35 Booklet 11: Q57
S524QNA	INTR – P2006 Penicillin Manufacture (A)	251-251
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 2: Q39 Booklet 3: Q20 Booklet 5: Q79 Booklet 9: Q54
S524QNB	INTR – P2006 Penicillin Manufacture (B)	252-252
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 2: Q39 Booklet 3: Q20 Booklet 5: Q79 Booklet 9: Q54
S524QNC	INTR – P2006 Penicillin Manufacture (C)	253-253
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 2: Q39 Booklet 3: Q20 Booklet 5: Q79 Booklet 9: Q54



Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S527QNA	INTR – P2006 Extinction of the Dinosaurs (A)	254-254		3 Disagree (score=1) 4 Strongly disagree (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 7: Q8 Booklet 13: Q23
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q16 Booklet 9: Q28 Booklet 10: Q59 Booklet 12: Q41			
S527QNB	INTR – P2006 Extinction of the Dinosaurs (B)	255-255			
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q16 Booklet 9: Q28 Booklet 10: Q59 Booklet 12: Q41			
S527QNC	INTR – P2006 Extinction of the Dinosaurs (C)	256-256			
Likert A1	1 High interest (score=3) 2 Medium interest (score=2) 3 Low interest (score=1) 4 No interest (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q16 Booklet 9: Q28 Booklet 10: Q59 Booklet 12: Q41			
S408QSA	SUPP – P2006 Wild Oat Grass (A)	257-257			
Likert A1	1 Strongly agree (score=3) 2 Agree (score=2) 3 Disagree (score=1) 4 Strongly disagree (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q53 Booklet 3: Q32 Booklet 4: Q12 Booklet 6: Q59			
S408QSB	SUPP – P2006 Wild Oat Grass (B)	258-258			
Likert A1	1 Strongly agree (score=3) 2 Agree (score=2) 3 Disagree (score=1) 4 Strongly disagree (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q53 Booklet 3: Q32 Booklet 4: Q12 Booklet 6: Q59			
S408QSC	SUPP – P2006 Wild Oat Grass (C)	259-259			
Likert A1	1 Strongly agree (score=3) 2 Agree (score=2) 3 Disagree (score=1) 4 Strongly disagree (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q53 Booklet 3: Q32 Booklet 4: Q12 Booklet 6: Q59			
S416QSA	SUPP – P2006 The Moon (A)	260-260			
Likert A1	1 Strongly agree (score=3) 2 Agree (score=2) 3 Disagree (score=1) 4 Strongly disagree (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q69 Booklet 5: Q46 Booklet 7: Q8 Booklet 13: Q23			
S416QSB	SUPP – P2006 The Moon (B)	261-261			
Likert A1	1 Strongly agree (score=3) 2 Agree (score=2)	Booklet 1: Q69 Booklet 5: Q46			
S421QSA	SUPP – P2006 Big and Small (A)	262-262			
Likert A1	1 Strongly agree (score=3) 2 Agree (score=2) 3 Disagree (score=1) 4 Strongly disagree (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q69 Booklet 5: Q46 Booklet 7: Q8 Booklet 13: Q23			
S421QSC	SUPP – P2006 Big and Small (C)	264-264			
Likert A1	1 Strongly agree (score=3) 2 Agree (score=2) 3 Disagree (score=1) 4 Strongly disagree (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q69 Booklet 5: Q46 Booklet 7: Q8 Booklet 13: Q23			
S425QSA	SUPP – P2006 Penguin Island (A)	265-265			
Likert A1	1 Strongly agree (score=3) 2 Agree (score=2) 3 Disagree (score=1) 4 Strongly disagree (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q74 Booklet 5: Q51 Booklet 7: Q13 Booklet 13: Q28			
S425QSB	SUPP – P2006 Penguin Island (B)	266-266			
Likert A1	1 Strongly agree (score=3) 2 Agree (score=2) 3 Disagree (score=1) 4 Strongly disagree (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q74 Booklet 5: Q51 Booklet 7: Q13 Booklet 13: Q28			
S425QSC	SUPP – P2006 Penguin Island (C)	267-267			
Likert A1	1 Strongly agree (score=3) 2 Agree (score=2) 3 Disagree (score=1) 4 Strongly disagree (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q74 Booklet 5: Q51 Booklet 7: Q13 Booklet 13: Q28			
S426QSA	SUPP – P2006 The Grand Canyon (A)	268-268			
Likert A1	1 Strongly agree (score=3) 2 Agree (score=2) 3 Disagree (score=1) 4 Strongly disagree (score=0) 7 N/A 8 M/R 9 Missing r Not reached	Booklet 1: Q12 Booklet 9: Q24 Booklet 10: Q55 Booklet 12: Q37			

**APPENDIX 8: CODEBOOK FOR PISA 2006 NON-SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S426QSB	SUPP – P2006 The Grand Canyon (B)	269-269		8 M/R	
Likert A1	1 Strongly agree (score=3)	Booklet 1: Q12	9 Missing		
	2 Agree (score=2)	Booklet 9: Q24	r Not reached		
	3 Disagree (score=1)	Booklet 10: Q55			
	4 Strongly disagree (score=0)	Booklet 12: Q37	S465QSA	SUPP – P2006 Different Climates (A)	277-277
	7 N/A		Likert A1	1 Strongly agree (score=3)	Booklet 4: Q39
	8 M/R			2 Agree (score=2)	Booklet 5: Q7
	9 Missing			3 Disagree (score=1)	Booklet 11: Q19
	r Not reached			4 Strongly disagree (score=0)	Booklet 12: Q54
S426QSC	SUPP – P2006 The Grand Canyon (C)	270-270		7 N/A	
Likert A1	1 Strongly agree (score=3)	Booklet 1: Q12	8 M/R		
	2 Agree (score=2)	Booklet 9: Q24	9 Missing		
	3 Disagree (score=1)	Booklet 10: Q55	r Not reached		
	4 Strongly disagree (score=0)	Booklet 12: Q37	S465QSB	SUPP – P2006 Different Climates (B)	278-278
	7 N/A		Likert A1	1 Strongly agree (score=3)	Booklet 4: Q39
	8 M/R			2 Agree (score=2)	Booklet 5: Q7
	9 Missing			3 Disagree (score=1)	Booklet 11: Q19
	r Not reached			4 Strongly disagree (score=0)	Booklet 12: Q54
S438QSA	SUPP – P2006 Green Parks (A)	271-271		7 N/A	
Likert A1	1 Strongly agree (score=3)	Booklet 5: Q34	8 M/R		
	2 Agree (score=2)	Booklet 6: Q15	9 Missing		
	3 Disagree (score=1)	Booklet 8: Q58	r Not reached		
	4 Strongly disagree (score=0)	Booklet 10: Q39	S476QSA	SUPP – P2006 Heart Surgery (A)	279-279
	7 N/A		Likert A1	1 Strongly agree (score=3)	Booklet 1: Q27
	8 M/R			2 Agree (score=2)	Booklet 2: Q5
	9 Missing			3 Disagree (score=1)	Booklet 8: Q29
	r Not reached			4 Strongly disagree (score=0)	Booklet 11: Q51
S438QSB	SUPP – P2006 Green Parks (B)	272-272		7 N/A	Booklet UH: Q14
Likert A1	1 Strongly agree (score=3)	Booklet 5: Q34	8 M/R		
	2 Agree (score=2)	Booklet 6: Q15	9 Missing		
	3 Disagree (score=1)	Booklet 8: Q58	r Not reached		
	4 Strongly disagree (score=0)	Booklet 10: Q39	S476QSB	SUPP – P2006 Heart Surgery (B)	280-280
	7 N/A		Likert A1	1 Strongly agree (score=3)	Booklet 1: Q27
	8 M/R			2 Agree (score=2)	Booklet 2: Q5
	9 Missing			3 Disagree (score=1)	Booklet 8: Q29
	r Not reached			4 Strongly disagree (score=0)	Booklet 11: Q51
S438QSC	SUPP – P2006 Green Parks (C)	273-273		7 N/A	Booklet UH: Q14
Likert A1	1 Strongly agree (score=3)	Booklet 5: Q34	8 M/R		
	2 Agree (score=2)	Booklet 6: Q15	9 Missing		
	3 Disagree (score=1)	Booklet 8: Q58	r Not reached		
	4 Strongly disagree (score=0)	Booklet 10: Q39	S476QSC	SUPP – P2006 Heart Surgery (C)	281-281
	7 N/A		Likert A1	1 Strongly agree (score=3)	Booklet 1: Q27
	8 M/R			2 Agree (score=2)	Booklet 2: Q5
	9 Missing			3 Disagree (score=1)	Booklet 8: Q29
	r Not reached			4 Strongly disagree (score=0)	Booklet 11: Q51
S456QSA	SUPP – P2006 The Cheetah (A)	274-274		7 N/A	Booklet UH: Q14
Likert A1	1 Strongly agree (score=3)	Booklet 1: Q41	8 M/R		
	2 Agree (score=2)	Booklet 2: Q19	9 Missing		
	3 Disagree (score=1)	Booklet 8: Q43	r Not reached		
	4 Strongly disagree (score=0)	Booklet 11: Q65	S477QSA	SUPP – P2006 Mary Montagu (A)	282-282
	7 N/A		Likert A1	1 Strongly agree (score=3)	Booklet 2: Q23
	8 M/R			2 Agree (score=2)	Booklet 3: Q4
	9 Missing			3 Disagree (score=1)	Booklet 5: Q63
	r Not reached			4 Strongly disagree (score=0)	Booklet 9: Q38
S456QSB	SUPP – P2006 The Cheetah (B)	275-275		7 N/A	
Likert A1	1 Strongly agree (score=3)	Booklet 1: Q41	8 M/R		
	2 Agree (score=2)	Booklet 2: Q19	9 Missing		
	3 Disagree (score=1)	Booklet 8: Q43	r Not reached		
	4 Strongly disagree (score=0)	Booklet 11: Q65	S477QSB	SUPP – P2006 Mary Montagu (B)	283-283
	7 N/A		Likert A1	1 Strongly agree (score=3)	Booklet 2: Q23
	8 M/R			2 Agree (score=2)	Booklet 3: Q4
	9 Missing			3 Disagree (score=1)	Booklet 5: Q63
	r Not reached			4 Strongly disagree (score=0)	Booklet 9: Q38
S456QSC	SUPP – P2006 The Cheetah (C)	276-276		7 N/A	
Likert A1	1 Strongly agree (score=3)	Booklet 1: Q41	8 M/R		
	2 Agree (score=2)	Booklet 2: Q19	9 Missing		
	3 Disagree (score=1)	Booklet 8: Q43	r Not reached		
	4 Strongly disagree (score=0)	Booklet 11: Q65	S477QSC	SUPP – P2006 Mary Montagu (C)	284-284
	7 N/A		Likert A1	1 Strongly agree (score=3)	Booklet 2: Q23
	8 M/R			2 Agree (score=2)	Booklet 3: Q4



Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
	3 Disagree (score=1)	Booklet 5: Q63		8 M/R	
	4 Strongly disagree (score=0)	Booklet 9: Q38		9 Missing	
	7 N/A			r Not reached	
	8 M/R			S527QSB	SUPP – P2006 Extinction of the Dinosaurs (B) 292-292
	9 Missing			Likert	1 Strongly agree (score=3) Booklet 1: Q17
	r Not reached		A1	2 Agree (score=2) Booklet 9: Q29	
S485QSB	SUPP – P2006 Acid Rain (B)	285-285		3 Disagree (score=1) Booklet 10: Q60	
Likert	1 Strongly agree (score=3)	Booklet 1: Q22		4 Strongly disagree (score=0) Booklet 12: Q42	
A1	2 Agree (score=2)	Booklet 9: Q34		7 N/A	
	3 Disagree (score=1)	Booklet 10: Q65		8 M/R	
	4 Strongly disagree (score=0)	Booklet 12: Q47		9 Missing	
	7 N/A			r Not reached	
	8 M/R			S527QSC	SUPP – P2006 Extinction of the Dinosaurs (C) 293-293
	9 Missing			Likert	1 Strongly agree (score=3) Booklet 1: Q17
	r Not reached		A1	2 Agree (score=2) Booklet 9: Q29	
S485QSC	SUPP – P2006 Acid Rain (C)	286-286		3 Disagree (score=1) Booklet 10: Q60	
Likert	1 Strongly agree (score=3)	Booklet 1: Q22		4 Strongly disagree (score=0) Booklet 12: Q42	
A1	2 Agree (score=2)	Booklet 9: Q34		7 N/A	
	3 Disagree (score=1)	Booklet 10: Q65		8 M/R	
	4 Strongly disagree (score=0)	Booklet 12: Q47		9 Missing	
	7 N/A			r Not reached	
	8 M/R			M034R01	MATH – P2000 Bricks (Q01) – original responses 295-302
	9 Missing			Closed Constructed	21 Full credit Booklet 4: Q63
	r Not reached			Response	9997 N/A Booklet 7: Q46
S498QSA	SUPP – P2006 Experimental Digestion (A)	287-287		9998 M/R Booklet 8: Q24	
Likert	1 Strongly agree (score=3)	Booklet 2: Q36		9999 Missing Booklet 9: Q12	
A1	2 Agree (score=2)	Booklet 3: Q17			
	3 Disagree (score=1)	Booklet 5: Q76			
	4 Strongly disagree (score=0)	Booklet 9: Q51			
	7 N/A			M155R02	MATH – P2000 Population Pyramids (Q02) – original responses 303-304
	8 M/R			Open Constructed	00 No credit Booklet 4: Q54
	9 Missing			Response	11 Partial credit Booklet 7: Q37
	r Not reached		A2	12 Partial credit Booklet 8: Q15	
S498QSB	SUPP – P2006 Experimental Digestion (B)	288-288		13 Partial credit Booklet 9: Q3	
Likert	1 Strongly agree (score=3)	Booklet 2: Q36		21 Full credit	
A1	2 Agree (score=2)	Booklet 3: Q17		97 N/A	
	3 Disagree (score=1)	Booklet 5: Q76		99 Missing	
	4 Strongly disagree (score=0)	Booklet 9: Q51			
	7 N/A			M155R03	MATH – P2000 Population Pyramids (Q03) – original responses 305-306
	8 M/R			Open Constructed	00 No credit Booklet 4: Q56
	9 Missing			Response	11 Partial credit Booklet 7: Q39
	r Not reached		A2	12 Partial credit Booklet 8: Q17	
S519QSA	SUPP – P2006 Airbags (A)	289-289		13 Partial credit Booklet 9: Q5	
Likert	1 Strongly agree (score=3)	Booklet 2: Q31		21 Full credit	
A1	2 Agree (score=2)	Booklet 3: Q12		22 Full credit	
	3 Disagree (score=1)	Booklet 5: Q71		23 Full credit	
	4 Strongly disagree (score=0)	Booklet 9: Q46		97 N/A	
	7 N/A			99 Missing	
	8 M/R				
	9 Missing			M155R04	MATH – P2000 Population Pyramids (Q04) – original responses 307-310
	r Not reached			Complex Multiple	2111 Full credit Booklet 4: Q57
S519QSB	SUPP – P2006 Airbags (B)	290-290		7777 N/A Booklet 7: Q40	
Likert	1 Strongly agree (score=3)	Booklet 2: Q31		Booklet 8: Q18	
A1	2 Agree (score=2)	Booklet 3: Q12		Booklet 9: Q6	
	3 Disagree (score=1)	Booklet 5: Q71			
	4 Strongly disagree (score=0)	Booklet 9: Q46		M192R01	MATH – P2000 Containers (Q01) – original responses 311-313
	7 N/A			Complex Multiple	162 Full credit
	8 M/R			Choice	16* Full credit Booklet 3: Q45
	9 Missing		A3	1*2 Full credit Booklet 7: Q51	
	r Not reached			*62 Full credit Booklet 10: Q17	
S519QSC	SUPP – P2006 Airbags (C)	291-291		777 N/A Booklet 11: Q5	
Likert	1 Strongly agree (score=3)	Booklet 2: Q31			
A1	2 Agree (score=2)	Booklet 3: Q12		M273R01	MATH – P2000 Pipelines (Q01) – original responses 314-317
	3 Disagree (score=1)	Booklet 5: Q71		Complex Multiple	4213 Full credit Booklet 2: Q41
	4 Strongly disagree (score=0)	Booklet 9: Q46		Choice	9997 N/A Booklet 4: Q22
	7 N/A		A4	9998 M/R Booklet 10: Q2	

**APPENDIX 8: CODEBOOK FOR PISA 2006 NON-SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
M302R01	MATH – P2003 Car Drive (Q01) – original responses	318-325	M810R03	MATH – P2003 Bicycles (Q03) – original responses	387-388
Closed Constructed Response F8.2	60 Full credit	Booklet 3: Q53	Open Constructed Response A2	00 No credit	Booklet 3: Q63
	9997 N/A	Booklet 8: Q1		11 Partial credit	Booklet 8: Q11
	9998 M/R	Booklet 12: Q14		12 Partial credit	Booklet 12: Q24
	9999 Missing	Booklet 13: Q37		21 Full credit	Booklet 13: Q47
		Booklet UH: Q29		97 N/A	
M408R01	MATH – P2003 Lotteries (Q01) – original responses	326-329	M833R01	MATH – P2003 Seeing the tower (Q01) – original responses	389-393
Complex Multiple Choice A4	1222 Full credit	Booklet 2: Q42	Complex Multiple Choice A5	43122 Full credit	Booklet 3: Q64
	7777 N/A	Booklet 4: Q23		77777 N/A	Booklet 8: Q12
		Booklet 10: Q3			Booklet 12: Q25
		Booklet 13: Q52			Booklet 13: Q48
M420R01	MATH – P2003 Transport (Q01) – original responses	330-333	R219R01	READ – P2000 Employment (Q01) – original responses	394-397
Complex Multiple Choice A4	1112 Full credit	Booklet 2: Q43	Closed Constructed Response A4	1111 Full credit	Booklet 2: Q52
	7777 N/A	Booklet 4: Q24		7777 N/A	Booklet 6: Q35
		Booklet 10: Q4			Booklet 7: Q22
		Booklet 13: Q52			Booklet 12: Q1
M421R02	MATH – P2003 Height (Q02) – original responses	334-337	R227R02	READ – P2000 Optician (Q02) – original responses	398-404
Complex Multiple Choice A4	2222 Full credit	Booklet 3: Q57	Complex Multiple Choice A7	21211121 Full credit	Booklet 6: Q21
	7777 N/A	Booklet 8: Q5		5 or 6 out of 7 Partial credit	Booklet 9: Q56
		Booklet 12: Q18		7777777 N/A	Booklet 11: Q33
		Booklet 13: Q41			Booklet 13: Q2
M462R01	MATH – P2003 Third Side (Q01) – original responses	338-339	S114R03	SCIE – P2000 Greenhouse (Q03) – original responses	405-406
Open Constructed Response A2	01 No credit	Booklet 4: Q62	Open Response A2	01 No credit	Booklet 1: Q28
	02 No credit	Booklet 7: Q45		02 No credit	Booklet 2: Q6
	11 Partial credit	Booklet 8: Q23		11 Full credit	Booklet 8: Q30
	12 Partial credit	Booklet 9: Q11		12 Full credit	Booklet 11: Q52
M464R01	21 Full credit	Booklet UH: Q26		97 N/A	
	97 N/A			99 Missing	
	99 Missing		S114R05	SCIE – P2000 Greenhouse (Q05) – original responses	407-408
				01 No credit	Booklet 1: Q30
MATH – P2003 The Fence (Q01) – original responses	340-347			02 No credit	Booklet 2: Q8
Short Response F8.2	144 Full credit	Booklet 2: Q50		03 No credit	Booklet 8: Q32
	9997 N/A	Booklet 4: Q31		11 Full credit	Booklet 11: Q54
	9998 M/R	Booklet 10: Q11		12 Full credit	
	9999 Missing	Booklet 13: Q59		97 N/A	
M496R01	MATH – P2003 Cash Withdrawal (Q01) – original responses	348-351		99 Missing	
Complex Multiple Choice A4	2111 Full credit	Booklet 3: Q42	Open Response A2	SCIE – P2000 Good Vibrations (Q02) – original responses	409-410
	7777 N/A	Booklet 7: Q48		01 No credit	Booklet 4: Q34
	Booklet 10: Q14			02 No credit	Booklet 5: Q2
	Booklet 11: Q2			03 No credit	Booklet 11: Q14
M603R01	MATH – P2003 Number Check (Q01) – original responses	352-354		11 Full credit	Booklet 12: Q49
Complex Multiple Choice A3	112 Full credit	Booklet 3: Q48		12 Full credit	
	777 N/A	Booklet 7: Q54		97 N/A	
	Booklet 10: Q20			99 Missing	
	Booklet 11: Q8		S131R04	SCIE – P2006 (broken link) Good Vibrations (Q04) – original responses	411-412
M603R02	MATH – P2003 Number Check (Q02) – original responses	355-362		01 No credit	Booklet 4: Q35
Short Response F8.2	7 Full credit	Booklet 3: Q49		02 No credit	Booklet 5: Q3
	9997 N/A	Booklet 7: Q55		03 No credit	Booklet 11: Q15
	9998 M/R	Booklet 10: Q21		04 No credit	Booklet 12: Q50
	9999 Missing	Booklet 11: Q9		11 Full credit	
M803R01	MATH – P2003 Labels (Q01) – original responses	363-370		12 Full credit	
Short Response F8.2	12 Full credit	Booklet 4: Q60		97 N/A	
	9997 N/A	Booklet 7: Q43		99 Missing	
	9998 M/R	Booklet 8: Q21	Open Response A2	SCIE – P2000 Clothes (Q01) – original responses	413-416
	9999 Missing	Booklet 9: Q9		01 No credit	Booklet 4: Q36
M810R01	MATH – P2003 Bicycles (Q01) – original responses	371-378		02 No credit	Booklet 5: Q42
Short Response F8.2	282 Full credit	Booklet 3: Q61		03 No credit	Booklet 11: Q16
	9997 N/A	Booklet 8: Q9		04 No credit	Booklet 12: Q50
	9998 M/R	Booklet 12: Q22		11 Full credit	
	9999 Missing	Booklet 13: Q45		12 Full credit	
M810R02	MATH – P2003 Bicycles (Q02) – original responses	379-386		97 N/A	
Short Response F8.2	8 Full credit	Booklet 3: Q62		99 Missing	
	9997 N/A	Booklet 8: Q10	Complex Multiple Choice A4	SCIE – P2000 Clothes (Q02) – original responses	417-420
	9998 M/R	Booklet 12: Q23		1112 Full credit	Booklet 1: Q65
	9999 Missing	Booklet 13: Q46		7777 N/A	Booklet 5: Q42



Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S269R03	SCIE – P2000 Earth Temperature (Q03) – original responses	417-418	S466R01	SCIE – P2006 Forest Fires (Q01) – original responses	447-449
Open Response A2	01 No credit	Booklet 1: Q6	Complex Multiple Choice A3	121 Full credit	Booklet 5: Q35
	02 No credit	Booklet 9: Q18		777 N/A	Booklet 6: Q16
	11 Full credit	Booklet 10: Q49			Booklet 8: Q59
	12 Full credit	Booklet 12: Q31			Booklet 10: Q40
	97 N/A				Booklet UH: Q15
	99 Missing				
S269R04	SCIE – P2000 Earth Temperature (Q04) – original responses	419-422	S466R07	SCIE – P2006 Forest Fires (Q07) – original responses	450-451
Complex Multiple Choice A4	2112 Full credit	Booklet 1: Q7	Complex Multiple Choice A2	21 Full credit	Booklet 5: Q36
	7777 N/A	Booklet 9: Q19		77 N/A	Booklet 6: Q17
		Booklet 10: Q50			Booklet 8: Q60
		Booklet 12: Q32			Booklet 10: Q41
S326R04	SCIE – P2003 Milk (Q04) – original responses	423-425			Booklet UH: Q16
Complex Multiple Choice A3	122 Full credit	Booklet 1: Q47	S478R02	SCIE – P2006 Antibiotics (Q02) – original responses	452-454
	777 N/A	Booklet 3: Q26	Complex Multiple Choice A3	212 Full credit	Booklet 4: Q41
		Booklet 4: Q6		777 N/A	Booklet 5: Q9
		Booklet 6: Q53			Booklet 11: Q21
S408R04	SCIE – P2006 Wild Oat Grass (Q04) – original responses	426-428			Booklet 12: Q56
Complex Multiple Choice A3	211 Full credit	Booklet 1: Q50	S478R03	SCIE – P2006 Antibiotics (Q03) – original responses	455-456
	777 N/A	Booklet 3: Q29	Complex Multiple Choice A2	12 Full credit	Booklet 4: Q42
		Booklet 4: Q9		77 N/A	Booklet 5: Q10
		Booklet 6: Q56			Booklet 11: Q22
S413R04	SCIE – P2006 Plastic Age (Q04) – original responses	429-431			Booklet 12: Q57
Complex Multiple Choice A3	112 Full credit	Booklet 4: Q49	S493R01	SCIE – P2006 Physical Exercise (Q01) – original responses	457-459
	777 N/A	Booklet 5: Q17	Complex Multiple Choice A3	121 Full credit	Booklet 1: Q62
	Booklet 11: Q29			777 N/A	Booklet 5: Q39
	Booklet 12: Q64				Booklet 7: Q1
S415R07	SCIE – P2006 Solar Power Generation (Q07) – original responses	432-433			Booklet 13: Q16
Complex Multiple Choice A2	21 Full credit	Booklet 1: Q59	S493R03	SCIE – P2006 Physical Exercise (Q03) – original responses	460-461
	77 N/A	Booklet 3: Q38	Complex Multiple Choice A2	12 Full credit	Booklet 1: Q63
	Booklet 4: Q18			77 N/A	Booklet 5: Q40
	Booklet 6: Q65				Booklet 7: Q2
S415R08	SCIE – P2006 Solar Power Generation (Q08) – original responses	434-436			Booklet 13: Q17
Complex Multiple Choice A3	112 Full credit	Booklet 1: Q61	S493R05	SCIE – P2006 Physical Exercise (Q05) – original responses	462-463
	777 N/A	Booklet 3: Q40	Open Response A2	01 No credit	Booklet 1: Q64
	Booklet 4: Q20			11 Full credit	Booklet 5: Q41
	Booklet 6: Q67			12 Full credit	Booklet 7: Q3
S426R07	SCIE – P2006 The Grand Canyon (Q07) – original responses	437-438		97 N/A	Booklet 13: Q18
Complex Multiple Choice A2	12 Full credit	Booklet 1: Q9		99 Missing	
	77 N/A	Booklet 9: Q21	S495R01	SCIE – P2006 Radiotherapy (Q01) – original responses	464-466
		Booklet 10: Q52	Complex Multiple Choice A3	122 Full credit	Booklet 1: Q35
		Booklet 12: Q34		777 N/A	Booklet 2: Q13
S438R01	SCIE – P2006 Green Parks (Q01) – original responses	439-441			Booklet 8: Q37
Complex Multiple Choice A3	112 Full credit	Booklet 5: Q30			Booklet 11: Q59
	777 N/A	Booklet 6: Q11	S495R02	SCIE – P2006 Radiotherapy (Q02) – original responses	467-468
		Booklet 8: Q54	Complex Multiple Choice A2	11 Full credit	Booklet 1: Q36
		Booklet 10: Q35		77 N/A	Booklet 2: Q14
S438R03	SCIE – P2006 Green Parks (Q03) – original responses	442-443			Booklet 8: Q38
Open Response A2	01 No credit	Booklet 5: Q32			Booklet 11: Q60
	11 Full credit	Booklet 6: Q13	S495R04	SCIE – P2006 Radiotherapy (Q04) – original responses	469-471
	12 Full credit	Booklet 8: Q56	Complex Multiple Choice A3	112 Full credit	Booklet 1: Q34
	97 N/A	Booklet 10: Q37		777 N/A	Booklet 2: Q12
	99 Missing				Booklet 8: Q36
					Booklet 11: Q58
S458R02	SCIE – P2006 The Ice Mummy (Q02) – original responses	444-446	S498R02	SCIE – P2006 Experimental Digestion (Q02) – original responses	472-474
Complex Multiple Choice A3	112 Full credit	Booklet 5: Q21	Complex Multiple Choice A3	121 Full credit	Booklet 2: Q32
	777 N/A	Booklet 6: Q2		777 N/A	Booklet 3: Q13
		Booklet 8: Q45			Booklet 5: Q72
		Booklet 10: Q26			Booklet 9: Q47

**APPENDIX 8: CODEBOOK FOR PISA 2006 NON-SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S508R02	SCIE – P2006 Genetically Modified Food (Q02) – original responses	475-476
Complex Multiple Choice A2	21 Full credit	Booklet 1: Q1
	77 N/A	Booklet 9: Q13
		Booklet 10: Q44
		Booklet 12: Q26
S510R01	SCIE – P2006 Magnetic Hovertrain (Q01) – original responses	477-478
Complex Multiple Choice A2	11 Full credit	Booklet 1: Q42
	77 N/A	Booklet 3: Q21
		Booklet 4: Q1
		Booklet 6: Q48
S510R04	SCIE – P2006 Magnetic Hovertrain (Q04) – original responses	479-480
Open Response A2	01 No credit	Booklet 1: Q43
	11 Full credit	Booklet 3: Q22
	12 Full credit	Booklet 4: Q2
	97 N/A	Booklet 6: Q49
	99 Missing	
S519R02	SCIE – P2006 Airbags (Q02) – original responses	481-482
Complex Multiple Choice A2	12 Full credit	Booklet 2: Q28
	77 N/A	Booklet 3: Q9
		Booklet 5: Q68
		Booklet 9: Q43
S524R06	SCIE – P2006 Penicillin Manufacture (Q06) – original responses	483-484
Complex Multiple Choice A2	21 Full credit	Booklet 2: Q37
	77 N/A	Booklet 3: Q18
		Booklet 5: Q77
		Booklet 9: Q52
S527R01	SCIE – P2006 Extinction of the Dinosaurs (Q01) – original responses	485-487
Complex Multiple Choice A3	133 Full credit	Booklet 1: Q13
	777 N/A	Booklet 9: Q25
		Booklet 10: Q56
		Booklet 12: Q38
S527R03	SCIE – P2006 Extinction of the Dinosaurs (Q03) – original responses	488-489
Complex Multiple Choice A2	12 Full credit	Booklet 1: Q14
	77 N/A	Booklet 9: Q26
		Booklet 10: Q57
		Booklet 12: Q39
S527R04	SCIE – P2006 Extinction of the Dinosaurs (Q04) – original responses	490-492
Complex Multiple Choice A3	121 Full credit	Booklet 1: Q15
	777 N/A	Booklet 9: Q27
		Booklet 10: Q58
		Booklet 12: Q40
CLCUSE3A	Effort A: real	494-496
F3.0	997 N/A	
	998 M/R	
	999 Missing	
CLCUSE3B	Effort B: if counted	497-499
F3.0	997 N/A	
	998 M/R	
	999 Missing	
DEFFORT	Effort B – Effort A	500-502
F3.0	997 N/A	
	998 M/R	
	999 Missing	
TESTLANG	Language of Test (3-character)	503-505
A3	See Appendix 7 for labels	
VER_COGN	Version of cognitive database and date of release	506-519
A13		

**APPENDIX 9****CODEBOOK FOR PISA 2006 SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
SUBNATIO	Adjudicated sub-region	1-5	M302Q02	MATH – P2003 Car Drive (Q02)	35-35
A5	See Appendix 7 for labels		Closed	0 Score=0	Booklet 3: Q54
SCHOOLID	School ID 5-digit	6-10	Constructed	1 Score=1	Booklet 8: Q2
A5			Response	7 N/A	Booklet 12: Q15
STIDSTD	Student ID 5-digit	11-15	A1	8 Not reached	Booklet 13: Q38
A5					Booklet UH: Q30
CNT	Country code 3-character	16-18	M302Q03	MATH – P2003 Car Drive (Q03)	36-36
A3	See Appendix 7 for labels		Open	0 Score=0	Booklet 3: Q55
COUNTRY	Country code 3-digit	19-21	Constructed	1 Score=1	Booklet 8: Q3
A3	See Appendix 7 for labels		Response	7 N/A	Booklet 12: Q16
OECD	OECD Country	22-22	A1	8 Not reached	Booklet 13: Q39
F1.0	0 Non-OECD				Booklet UH: Q31
	1 OECD		M305Q01	MATH – P2003 Map (Q01)	37-37
BOOKID	Booklet	23-24	Multiple Choice	0 Score=0	Booklet 3: Q41
F2.0			A1	1 Score=1	Booklet 7: Q47
M033Q01	MATH – P2000 A View Room (Q01)	26-26		7 N/A	Booklet 10: Q13
				8 Not reached	Booklet 11: Q1
Multiple Choice	0 Score=0	Booklet 4: Q52	M406Q01	MATH – P2003 Running Tracks (Q01)	38-38
A1	1 Score=1	Booklet 7: Q35	Open	0 Score=0	Booklet 3: Q46
	7 N/A	Booklet 8: Q13	Constructed	1 Score=1	Booklet 7: Q52
	8 Not reached	Booklet 9: Q1	Response	7 N/A	Booklet 10: Q18
M034Q01T	MATH – P2000 Bricks (Q01)	27-27	A1	8 Not reached	Booklet 11: Q6
Closed	0 Score=0	Booklet 4: Q63			
Constructed	1 Score=1	Booklet 7: Q46	M406Q02	MATH – P2003 Running Tracks (Q02)	39-39
Response	7 N/A	Booklet 8: Q24	Open	0 Score=0	Booklet 3: Q47
A1	8 Not reached	Booklet 9: Q12	Constructed	1 Score=1	Booklet 7: Q53
M155Q01	MATH – P2000 Population Pyramids (Q01)	28-28	Response	7 N/A	Booklet 10: Q19
Open Constructed	0 Score=0	Booklet 4: Q55	A1	8 Not reached	Booklet 11: Q7
Response	1 Score=1	Booklet 7: Q38	M408Q01T	MATH – P2003 Lotteries (Q01)	40-40
A1	7 N/A	Booklet 8: Q16	Complex Multiple	0 Score=0	Booklet 2: Q42
	8 Not reached	Booklet 9: Q4	Choice	1 Score=1	Booklet 4: Q23
M155Q02T	MATH – P2000 Population Pyramids (Q02)	29-29	A1	7 N/A	Booklet 10: Q3
Open Constructed	0 Score=0	Booklet 4: Q54		8 Not reached	Booklet 13: Q51
Response	1 Score=1	Booklet 7: Q37	M411Q01	MATH – P2003 Diving (Q01)	41-41
A1	2 Score=2	Booklet 8: Q15	Short Response	0 Score=0	Booklet 4: Q58
	7 N/A	Booklet 9: Q3	A1	1 Score=1	Booklet 7: Q41
	8 Not reached			7 N/A	Booklet 8: Q19
M155Q03T	MATH – P2000 Population Pyramids (Q03)	30-30		8 Not reached	Booklet 9: Q7
Open Constructed	0 Score=0	Booklet 4: Q56	M411Q02	MATH – P2003 Diving (Q02)	42-42
Response	1 Score=1	Booklet 7: Q39	Multiple Choice	0 Score=0	Booklet 4: Q59
A1	2 Score=2	Booklet 8: Q17	A1	1 Score=1	Booklet 7: Q42
	7 N/A	Booklet 9: Q5		7 N/A	Booklet 8: Q20
	8 Not reached			8 Not reached	Booklet 9: Q8
M155Q04T	MATH – P2000 Population Pyramids (Q04)	31-31	M420Q01T	MATH – P2003 Transport (Q01)	43-43
Complex Multiple	0 Score=0	Booklet 4: Q57	Complex Multiple	0 Score=0	Booklet 2: Q43
Choice	1 Score=1	Booklet 7: Q40	Choice	1 Score=1	Booklet 4: Q24
A1	7 N/A	Booklet 8: Q18	A1	7 N/A	Booklet 10: Q4
	8 Not reached	Booklet 9: Q6		8 Not reached	Booklet 13: Q52
M192Q01T	MATH – P2000 Containers (Q01)	32-32	M421Q01	MATH – P2003 Height (Q01)	44-44
Complex Multiple	0 Score=0	Booklet 3: Q45	Open	0 Score=0	Booklet 3: Q56
Choice	1 Score=1	Booklet 7: Q51	Constructed	1 Score=1	Booklet 8: Q4
A1	7 N/A	Booklet 10: Q17	Response	7 N/A	Booklet 12: Q17
	8 Not reached	Booklet 11: Q5	A1	8 Not reached	Booklet 13: Q40
M273Q01T	MATH – P2000 Pipelines (Q01)	33-33	M421Q02T	MATH – P2003 Height (Q02)	45-45
Complex Multiple	0 Score=0	Booklet 2: Q41	Complex Multiple	0 Score=0	Booklet 3: Q57
Choice	1 Score=1	Booklet 4: Q22	Choice	1 Score=1	Booklet 8: Q5
A1	7 N/A	Booklet 10: Q2	A1	7 N/A	Booklet 12: Q18
	8 Not reached	Booklet 13: Q50		8 Not reached	Booklet 13: Q41
M302Q01T	MATH – P2003 Car Drive (Q01)	34-34	M421Q03	MATH – P2003 Height (Q03)	46-46
Closed Constructed	0 Score=0	Booklet 3: Q53	Multiple Choice	0 Score=0	Booklet 3: Q58
Response	1 Score=1	Booklet 8: Q1	A1	1 Score=1	Booklet 8: Q6
A1	7 N/A	Booklet 12: Q14		7 N/A	Booklet 12: Q19
	8 Not reached	Booklet 13: Q37		8 Not reached	Booklet 13: Q42
		Booklet UH: Q29			

**APPENDIX 9: CODEBOOK FOR PISA 2006 SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
M423Q01	MATH – P2003 Tossing Coins (Q01)	47-47	M571Q01	MATH – P2003 Stop The Car (Q01)	60-60
Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 3: Q44 Booklet 7: Q50 Booklet 10: Q16 Booklet 11: Q4	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 3: Q50 Booklet 7: Q56 Booklet 10: Q22 Booklet 11: Q10
M442Q02	MATH – P2003 Braille (Q02)	48-48	M598Q01	MATH – P2003 Making A Booklet (Q01)	61-61
Closed Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 4: Q61 Booklet 7: Q44 Booklet 8: Q22 Booklet 9: Q10	Closed Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 3: Q60 Booklet 8: Q8 Booklet 12: Q21 Booklet 13: Q44
M446Q01	MATH – P2003 Thermometer Cricket (Q01)	49-49	M603Q01T	MATH – P2003 Number Check (Q01)	62-62
Short Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q44 Booklet 4: Q25 Booklet 10: Q5 Booklet 13: Q53	Complex Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 3: Q48 Booklet 7: Q54 Booklet 10: Q20 Booklet 11: Q8
M446Q02	MATH – P2003 Thermometer Cricket (Q02)	50-50	M603Q02T	MATH – P2003 Number Check (Q02)	63-63
Open Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q45 Booklet 4: Q26 Booklet 10: Q6 Booklet 13: Q54	Short Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 3: Q49 Booklet 7: Q55 Booklet 10: Q21 Booklet 11: Q9
M447Q01	MATH – P2003 Tile Arrangement (Q01)	51-51	M710Q01	MATH – P2003 Forecast of Rain (Q01)	64-64
Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q40 Booklet 4: Q21 Booklet 10: Q1 Booklet 13: Q49	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 3: Q59 Booklet 8: Q7 Booklet 12: Q20 Booklet 13: Q43
M462Q01T	MATH – P2003 Third Side (Q01)	52-52	M800Q01	MATH – P2003 Computer Game (Q01)	65-65
Open Constructed Response A1	0 Score=0 1 Score=1 2 Score=2 7 N/A 8 Not reached	Booklet 4: Q62 Booklet 7: Q45 Booklet 8: Q23 Booklet 9: Q11 Booklet UH: Q26	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q51 Booklet 4: Q32 Booklet 10: Q12 Booklet 13: Q60 Booklet UH: Q25
M464Q01T	MATH – P2003 The Fence (Q01)	53-53	M803Q01T	MATH – P2003 Labels (Q01)	66-66
Short Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q50 Booklet 4: Q31 Booklet 10: Q11 Booklet 13: Q59	Short Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 4: Q60 Booklet 7: Q43 Booklet 8: Q21 Booklet 9: Q9
M474Q01	MATH – P2003 Running Time (Q01)	54-54	M810Q01T	MATH – P2003 Bicycles (Q01)	67-67
Closed Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 4: Q53 Booklet 7: Q36 Booklet 8: Q14 Booklet 9: Q2	Short Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 3: Q61 Booklet 8: Q9 Booklet 12: Q22 Booklet 13: Q45
M496Q01T	MATH – P2003 Cash Withdrawal (Q01)	55-55	M810Q02T	MATH – P2003 Bicycles (Q02)	68-68
Complex Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 3: Q42 Booklet 7: Q48 Booklet 10: Q14 Booklet 11: Q2	Short Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 3: Q62 Booklet 8: Q10 Booklet 12: Q23 Booklet 13: Q46
M496Q02	MATH – P2003 Cash Withdrawal (Q02)	56-56	M810Q03T	MATH – P2003 Bicycles (Q03)	69-69
Short Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 3: Q43 Booklet 7: Q49 Booklet 10: Q15 Booklet 11: Q3	Open Constructed Response A1	0 Score=0 1 Score=1 2 Score=2 7 N/A 8 Not reached	Booklet 3: Q63 Booklet 8: Q11 Booklet 12: Q24 Booklet 13: Q47
M559Q01	MATH – P2003 Telephone Rates (Q01)	57-57	M828Q01	MATH – P2003 Carbon Dioxide (Q01)	70-70
Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q46 Booklet 4: Q27 Booklet 10: Q7 Booklet 13: Q55	Open Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q47 Booklet 4: Q28 Booklet 10: Q8 Booklet 13: Q56
M564Q01	MATH – P2003 Chair Lift (Q01)	58-58	M828Q02	MATH – P2003 Carbon Dioxide (Q02)	71-71
Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 3: Q51 Booklet 7: Q57 Booklet 10: Q23 Booklet 11: Q11 Booklet UH: Q27	Short Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q48 Booklet 4: Q29 Booklet 10: Q9 Booklet 13: Q57
M564Q02	MATH – P2003 Chair Lift (Q02)	59-59	M828Q03	MATH – P2003 Carbon Dioxide (Q03)	72-72
Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 3: Q52 Booklet 7: Q58 Booklet 10: Q24 Booklet 11: Q12 Booklet UH: Q28	Short Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q49 Booklet 4: Q30 Booklet 10: Q10 Booklet 13: Q58



Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
M833Q01T	MATH – P2003 Seeing the tower (Q01)	73-73	R104Q02	READ – P2000 Telephone (Q02)	85-85
Complex Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 3: Q64 Booklet 8: Q12 Booklet 12: Q25 Booklet 13: Q48	Closed Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 6: Q33 Booklet 9: Q68 Booklet 11: Q45 Booklet 13: Q14
R055Q01	READ – P2000 Drugged Spiders (Q01)	74-74	R104Q05	READ – P2000 Telephone (Q05)	86-86
Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 6: Q28 Booklet 9: Q63 Booklet 11: Q40 Booklet 13: Q9	Short Response A1	0 Score=0 1 Score=1 2 Score=2 7 N/A 8 Not reached	Booklet 6: Q34 Booklet 9: Q69 Booklet 11: Q46 Booklet 13: Q15
R055Q02	READ – P2000 Drugged Spiders (Q02)	75-75	R111Q01	READ – P2000 Exchange (Q01)	87-87
Open Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 6: Q29 Booklet 9: Q64 Booklet 11: Q41 Booklet 13: Q10	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 6: Q24 Booklet 9: Q59 Booklet 11: Q36 Booklet 13: Q5
R055Q03	READ – P2000 Drugged Spiders (Q03)	76-76	R111Q02B	READ – P2000 Exchange (Q02b)	88-88
Open Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 6: Q30 Booklet 9: Q65 Booklet 11: Q42 Booklet 13: Q11	Open Constructed Response A1	0 Score=0 1 Score=1 2 Score=2 7 N/A 8 Not reached	Booklet 6: Q25 Booklet 9: Q60 Booklet 11: Q37 Booklet 13: Q6
R055Q05	READ – P2000 Drugged Spiders (Q05)	77-77	R111Q06B	READ – P2000 Exchange (Q06b)	89-89
Open Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 6: Q31 Booklet 9: Q66 Booklet 11: Q43 Booklet 13: Q12	Open Constructed Response A1	0 Score=0 1 Score=1 2 Score=2 7 N/A 8 Not reached	Booklet 6: Q27 Booklet 9: Q62 Booklet 11: Q39 Booklet 13: Q8
R067Q01	READ – P2000 Aesop (Q01)	78-78	R219Q01E	READ – P2000 Employment (Q01e)	90-90
Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q54 Booklet 6: Q37 Booklet 7: Q24 Booklet 12: Q3	Short Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q52 Booklet 6: Q35 Booklet 7: Q22 Booklet 12: Q1
R067Q04	READ – P2000 Aesop (Q04)	79-79	R219Q01T	READ – P2000 Employment (Q01)	91-91
Open Constructed Response A1	0 Score=0 1 Score=1 2 Score=2 7 N/A 8 Not reached	Booklet 2: Q55 Booklet 6: Q38 Booklet 7: Q25 Booklet 12: Q4	Closed Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q52 Booklet 6: Q35 Booklet 7: Q22 Booklet 12: Q1
R067Q05	READ – P2000 Aesop (Q05)	80-80	R219Q02	READ – P2000 Employment (Q02)	92-92
Open Constructed Response A1	0 Score=0 1 Score=1 2 Score=2 7 N/A 8 Not reached	Booklet 2: Q56 Booklet 6: Q39 Booklet 7: Q26 Booklet 12: Q5	Open Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q53 Booklet 6: Q36 Booklet 7: Q23 Booklet 12: Q2
R102Q04A	READ – P2000 Shirts (Q04a)	81-81	R220Q01	READ – P2000 South Pole (Q01)	93-93
Open Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q57 Booklet 6: Q40 Booklet 7: Q27 Booklet 12: Q6	Short Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q60 Booklet 6: Q43 Booklet 7: Q30 Booklet 12: Q9
R102Q05	READ – P2000 Shirts (Q05)	82-82	R220Q02B	READ – P2000 South Pole (Q02b)	94-94
Closed Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q58 Booklet 6: Q41 Booklet 7: Q28 Booklet 12: Q7	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q61 Booklet 6: Q44 Booklet 7: Q31 Booklet 12: Q10
R102Q07	READ – P2000 Shirts (Q07)	83-83	R220Q04	READ – P2000 South Pole (Q04)	95-95
Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q59 Booklet 6: Q42 Booklet 7: Q29 Booklet 12: Q8	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q62 Booklet 6: Q45 Booklet 7: Q32 Booklet 12: Q11
R104Q01	READ – P2000 Telephone (Q01)	84-84	R220Q05	READ – P2000 South Pole (Q05)	96-96
Closed Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 6: Q32 Booklet 9: Q67 Booklet 11: Q44 Booklet 13: Q13	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q63 Booklet 6: Q46 Booklet 7: Q33 Booklet 12: Q12
			R220Q06	READ – P2000 South Pole (Q06)	97-97
			Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 2: Q64 Booklet 6: Q47 Booklet 7: Q34 Booklet 12: Q13

**APPENDIX 9: CODEBOOK FOR PISA 2006 SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
R227Q01	READ – P2000 Optician (Q01)	98-98
Multiple Choice A1	0 Score=0	Booklet 6: Q20
	1 Score=1	Booklet 9: Q55
	7 N/A	Booklet 11: Q32
	8 Not reached	Booklet 13: Q1
R227Q02T	READ – P2000 Optician (Q02)	99-99
Complex Multiple Choice A1	0 Score=0	Booklet 6: Q21
	1 Score=1	Booklet 9: Q56
	2 Score=2	Booklet 11: Q33
	7 N/A	Booklet 13: Q2
R227Q03	READ – P2000 Optician (Q03)	100-100
Open Constructed Response A1	0 Score=0	Booklet 6: Q22
	1 Score=1	Booklet 9: Q57
	7 N/A	Booklet 11: Q34
	8 Not reached	Booklet 13: Q3
R227Q06	READ – P2000 Optician (Q06)	101-101
Short Response A1	0 Score=0	Booklet 6: Q23
	1 Score=1	Booklet 9: Q58
	7 N/A	Booklet 11: Q35
	8 Not reached	Booklet 13: Q4
S114Q03T	SCIE – P2000 Greenhouse (Q03)	102-102
Open Response A1	0 Score=0	Booklet 1: Q28
	1 Score=1	Booklet 2: Q6
	7 N/A	Booklet 8: Q30
	8 Not reached	Booklet 11: Q52
S114Q04T	SCIE – P2000 Greenhouse (Q04)	103-103
Open Response A1	0 Score=0	Booklet 1: Q29
	1 Score=1	Booklet 2: Q7
	2 Score=2	Booklet 8: Q31
	7 N/A	Booklet 11: Q53
S114Q05T	SCIE – P2000 Greenhouse (Q05)	104-104
Open Response A1	0 Score=0	Booklet 1: Q30
	1 Score=1	Booklet 2: Q8
	7 N/A	Booklet 8: Q32
	8 Not reached	Booklet 11: Q54
S131Q02T	SCIE – P2000 Good Vibrations (Q02)	105-105
Open Response A1	0 Score=0	Booklet 4: Q34
	1 Score=1	Booklet 5: Q2
	7 N/A	Booklet 11: Q14
	8 Not reached	Booklet 12: Q49
S131Q04T	SCIE – P2006 (broken link) Good Vibrations (Q04)	106-106
Open Response	0 Score=0	Booklet 4: Q35
	1 Score=1	Booklet 5: Q3
	7 N/A	Booklet 11: Q15
	8 Not reached	Booklet 12: Q50
S213Q01T	SCIE – P2000 Clothes (Q01)	107-107
Complex Multiple Choice A1	0 Score=0	Booklet 1: Q65
	1 Score=1	Booklet 5: Q42
	7 N/A	Booklet 7: Q4
	8 Not reached	Booklet 13: Q19
S213Q02	SCIE – P2000 Clothes (Q02)	108-108
Multiple Choice A1	0 Score=0	Booklet 1: Q66
	1 Score=1	Booklet 5: Q43
	7 N/A	Booklet 7: Q5
	8 Not reached	Booklet 13: Q20
S256Q01	SCIE – P2000 Spoons (Q01)	109-109
Multiple Choice A1	0 Score=0	Booklet 4: Q33
	1 Score=1	Booklet 5: Q1
	7 N/A	Booklet 11: Q13
	8 Not reached	Booklet 12: Q48
S268Q01	SCIE – P2000 Algae (Q01)	110-110
Multiple Choice A1	0 Score=0	Booklet 2: Q24
	1 Score=1	Booklet 3: Q5
	7 N/A	Booklet 5: Q64
	8 Not reached	Booklet 9: Q39
S268Q02T	SCIE – P2000 Algae (Q02)	111-111
Open Response A1	0 Score=0	Booklet 2: Q25
	1 Score=1	Booklet 3: Q6
	7 N/A	Booklet 5: Q65
	8 Not reached	Booklet 9: Q40
S268Q06	SCIE – P2000 Algae (Q06)	112-112
Multiple Choice A1	0 Score=0	Booklet 2: Q26
	1 Score=1	Booklet 3: Q7
	7 N/A	Booklet 5: Q66
	8 Not reached	Booklet 9: Q41
S269Q01	SCIE – P2000 Earth Temperature (Q01)	113-113
Open Response A1	0 Score=0	Booklet 1: Q5
	1 Score=1	Booklet 9: Q17
	7 N/A	Booklet 10: Q48
	8 Not reached	Booklet 12: Q30
S269Q03T	SCIE – P2000 Earth Temperature (Q03)	114-114
Open Response A1	0 Score=0	Booklet 1: Q6
	1 Score=1	Booklet 9: Q18
	7 N/A	Booklet 10: Q49
	8 Not reached	Booklet 12: Q31
S269Q04T	SCIE – P2000 Earth Temperature (Q04)	115-115
Complex Multiple Choice A1	0 Score=0	Booklet 1: Q7
	1 Score=1	Booklet 9: Q19
	7 N/A	Booklet 10: Q50
	8 Not reached	Booklet 12: Q32
S304Q01	SCIE – P2003 Water (Q01)	116-116
Open Response A1	0 Score=0	Booklet 5: Q22
	1 Score=1	Booklet 6: Q3
	7 N/A	Booklet 8: Q46
	8 Not reached	Booklet 10: Q27
S304Q02	SCIE – P2003 Water (Q02)	117-117
Multiple Choice A1	0 Score=0	Booklet 5: Q23
	1 Score=1	Booklet 6: Q4
	7 N/A	Booklet 8: Q47
	8 Not reached	Booklet 10: Q28
S304Q03A	SCIE – P2003 Water (Q03a)	118-118
Open Response A1	0 Score=0	Booklet 5: Q24
	1 Score=1	Booklet 6: Q5
	7 N/A	Booklet 8: Q48
	8 Not reached	Booklet 10: Q29
S304Q03B	SCIE – P2003 Water (Q03b)	119-119
Open Response A1	0 Score=0	Booklet 5: Q25
	1 Score=1	Booklet 6: Q6
	7 N/A	Booklet 8: Q49
	8 Not reached	Booklet 10: Q30
S326Q01	SCIE – P2003 Milk (Q01)	120-120
Open Response A1	0 Score=0	Booklet 1: Q44
	1 Score=1	Booklet 3: Q23
	7 N/A	Booklet 4: Q3
	8 Not reached	Booklet 6: Q50
S326Q02	SCIE – P2003 Milk (Q02)	121-121
Open Response A1	0 Score=0	Booklet 1: Q45
	1 Score=1	Booklet 3: Q24
	7 N/A	Booklet 4: Q4
	8 Not reached	Booklet 6: Q51
S326Q03	SCIE – P2003 Milk (Q03)	122-122
Multiple Choice A1	0 Score=0	Booklet 1: Q46
	1 Score=1	Booklet 3: Q25
	7 N/A	Booklet 4: Q5
	8 Not reached	Booklet 6: Q52
S326Q04T	SCIE – P2003 Milk (Q04)	123-123
Complex Multiple Choice A1	0 Score=0	Booklet 1: Q47
	1 Score=1	Booklet 3: Q26
	7 N/A	Booklet 4: Q6
	8 Not reached	Booklet 6: Q53
S408Q01	SCIE – P2006 Wild Oat Grass (Q01)	124-124
Multiple Choice A1	0 Score=0	Booklet 1: Q48
	1 Score=1	Booklet 3: Q27
	7 N/A	Booklet 4: Q7
	8 Not reached	Booklet 6: Q54
S408Q03	SCIE – P2006 Wild Oat Grass (Q03)	125-125
Open Response A1	0 Score=0	Booklet 1: Q49
	1 Score=1	Booklet 3: Q28
	7 N/A	Booklet 4: Q8
	8 Not reached	Booklet 6: Q55



Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S408Q04T	SCIE – P2006 Wild Oat Grass (Q04)	126-126	S425Q05	SCIE – P2006 Penguin Island (Q05)	140-140
Complex Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q50 Booklet 3: Q29 Booklet 4: Q9 Booklet 6: Q56	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q71 Booklet 5: Q48 Booklet 7: Q10 Booklet 13: Q25
S408Q05	SCIE – P2006 Wild Oat Grass (Q05)	127-127	S426Q03	SCIE – P2006 The Grand Canyon (Q03)	141-141
Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q51 Booklet 3: Q30 Booklet 4: Q10 Booklet 6: Q57	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q10 Booklet 9: Q22 Booklet 10: Q53 Booklet 12: Q35
S413Q04T	SCIE – P2006 Plastic Age (Q04)	128-128	S426Q05	SCIE – P2006 The Grand Canyon (Q05)	142-142
Complex Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 4: Q49 Booklet 5: Q17 Booklet 11: Q29 Booklet 12: Q64	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q11 Booklet 9: Q23 Booklet 10: Q54 Booklet 12: Q36
S413Q05	SCIE – P2006 Plastic Age (Q05)	129-129	S426Q07T	SCIE – P2006 The Grand Canyon (Q07)	143-143
Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 4: Q50 Booklet 5: Q18 Booklet 11: Q30 Booklet 12: Q65	Complex Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q9 Booklet 9: Q21 Booklet 10: Q52 Booklet 12: Q34
S413Q06	SCIE – P2006 Plastic Age (Q06)	130-130	S428Q01	SCIE – P2006 Bacteria in Milk (Q01)	144-144
Closed Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 4: Q48 Booklet 5: Q16 Booklet 11: Q28 Booklet 12: Q63	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 5: Q26 Booklet 6: Q7 Booklet 8: Q50 Booklet 10: Q31 Booklet UH: Q2
S415Q02	SCIE – P2006 Solar Power Generation (Q02)	131-131	S428Q03	SCIE – P2006 Bacteria in Milk (Q03)	145-145
Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q60 Booklet 3: Q39 Booklet 4: Q19 Booklet 6: Q66	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 5: Q27 Booklet 6: Q8 Booklet 8: Q51 Booklet 10: Q32 Booklet UH: Q3
S415Q07T	SCIE – P2006 Solar Power Generation (Q07)	132-132	S428Q05	SCIE – P2006 Bacteria in Milk (Q05)	146-146
Complex Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q59 Booklet 3: Q38 Booklet 4: Q18 Booklet 6: Q65	Open Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 5: Q28 Booklet 6: Q9 Booklet 8: Q52 Booklet 10: Q33 Booklet UH: Q4
S415Q08T	SCIE – P2006 Solar Power Generation (Q08)	133-133	S437Q01	SCIE – P2006 Extinguishing Fires (Q01)	147-147
Complex Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q61 Booklet 3: Q40 Booklet 4: Q20 Booklet 6: Q67	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q54 Booklet 3: Q33 Booklet 4: Q13 Booklet 6: Q60
S416Q01	SCIE – P2006 The Moon (Q01)	134-134	S437Q03	SCIE – P2006 Extinguishing Fires (Q03)	148-148
Closed Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q67 Booklet 5: Q44 Booklet 7: Q6 Booklet 13: Q21	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q55 Booklet 3: Q34 Booklet 4: Q14 Booklet 6: Q61
S421Q01	SCIE – P2006 Big and Small (Q01)	135-135	S437Q04	SCIE – P2006 Extinguishing Fires (Q04)	149-149
Closed Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q79 Booklet 5: Q56 Booklet 7: Q18 Booklet 13: Q33	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q56 Booklet 3: Q35 Booklet 4: Q15 Booklet 6: Q62
S421Q03	SCIE – P2006 Big and Small (Q03)	136-136	S437Q06	SCIE – P2006 Extinguishing Fires (Q06)	150-150
Closed Constructed Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q81 Booklet 5: Q58 Booklet 7: Q20 Booklet 13: Q35	Open Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q57 Booklet 3: Q36 Booklet 4: Q16 Booklet 6: Q63
S425Q02	SCIE – P2006 Penguin Island (Q02)	137-137	S438Q01T	SCIE – P2006 Green Parks (Q01)	151-151
Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q72 Booklet 5: Q49 Booklet 7: Q11 Booklet 13: Q26	Complex Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 5: Q30 Booklet 6: Q11 Booklet 8: Q54 Booklet 10: Q35
S425Q03	SCIE – P2006 Penguin Island (Q03)	138-138	S438Q02	SCIE – P2006 Green Parks (Q02)	152-152
Open Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q70 Booklet 5: Q47 Booklet 7: Q9 Booklet 13: Q24	Multiple Choice A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 5: Q31 Booklet 6: Q12 Booklet 8: Q55 Booklet 10: Q36
S425Q04	SCIE – P2006 Penguin Island (Q04)	139-139			
Open Response A1	0 Score=0 1 Score=1 7 N/A 8 Not reached	Booklet 1: Q73 Booklet 5: Q50 Booklet 7: Q12 Booklet 13: Q27			

**APPENDIX 9: CODEBOOK FOR PISA 2006 SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S438Q03T	SCIE – P2006 Green Parks (Q03)	153-153
Open Response A1	0 Score=0	Booklet 5: Q32
	1 Score=1	Booklet 6: Q13
	7 N/A	Booklet 8: Q56
	8 Not reached	Booklet 10: Q37
S447Q02	SCIE – P2006 Sunscreens (Q02)	154-154
Multiple Choice A1	0 Score=0	Booklet 4: Q44
	1 Score=1	Booklet 5: Q12
	7 N/A	Booklet 11: Q24
	8 Not reached	Booklet 12: Q59
S447Q03	SCIE – P2006 Sunscreens (Q03)	155-155
Multiple Choice A1	0 Score=0	Booklet 4: Q45
	1 Score=1	Booklet 5: Q13
	7 N/A	Booklet 11: Q25
	8 Not reached	Booklet 12: Q60
S447Q04	SCIE – P2006 Sunscreens (Q04)	156-156
Multiple Choice A1	0 Score=0	Booklet 4: Q46
	1 Score=1	Booklet 5: Q14
	7 N/A	Booklet 11: Q26
	8 Not reached	Booklet 12: Q61
S447Q05	SCIE – P2006 Sunscreens (Q05)	157-157
Open Response A1	0 Score=0	Booklet 4: Q47
	1 Score=1	Booklet 5: Q15
	2 Score=2	Booklet 11: Q27
	7 N/A	Booklet 12: Q62
8 Not reached		
S458Q01	SCIE – P2006 The Ice Mummy (Q01)	158-158
Open Response A1	0 Score=0	Booklet 5: Q20
	1 Score=1	Booklet 6: Q1
	7 N/A	Booklet 8: Q44
	8 Not reached	Booklet 10: Q25
S458Q02T	SCIE – P2006 The Ice Mummy (Q02)	159-159
Complex Multiple Choice A1	0 Score=0	Booklet 5: Q21
	1 Score=1	Booklet 6: Q2
	7 N/A	Booklet 8: Q45
	8 Not reached	Booklet 10: Q26
S465Q01	SCIE – P2006 Different Climates (Q01)	160-160
Open Response A1	0 Score=0	Booklet 4: Q36
	1 Score=1	Booklet 5: Q4
	2 Score=2	Booklet 11: Q16
	7 N/A	Booklet 12: Q51
8 Not reached		
S465Q02	SCIE – P2006 Different Climates (Q02)	161-161
Multiple Choice A1	0 Score=0	Booklet 4: Q37
	1 Score=1	Booklet 5: Q5
	7 N/A	Booklet 11: Q17
	8 Not reached	Booklet 12: Q52
S465Q04	SCIE – P2006 Different Climates (Q04)	162-162
Multiple Choice A1	0 Score=0	Booklet 4: Q38
	1 Score=1	Booklet 5: Q6
	7 N/A	Booklet 11: Q18
	8 Not reached	Booklet 12: Q53
S466Q01T	SCIE – P2006 Forest Fires (Q01)	163-163
Complex Multiple Choice A1	0 Score=0	Booklet 5: Q35
	1 Score=1	Booklet 6: Q16
	7 N/A	Booklet 8: Q59
	8 Not reached	Booklet 10: Q40
		Booklet UH: Q15
S466Q05	SCIE – P2006 Forest Fires (Q05)	164-164
Multiple Choice A1	0 Score=0	Booklet 5: Q37
	1 Score=1	Booklet 6: Q18
	7 N/A	Booklet 8: Q61
	8 Not reached	Booklet 10: Q42
		Booklet UH: Q17
S466Q07T	SCIE – P2006 Forest Fires (Q07)	165-165
Complex Multiple Choice A1	0 Score=0	Booklet 5: Q36
	1 Score=1	Booklet 6: Q17
	7 N/A	Booklet 8: Q60
	8 Not reached	Booklet 10: Q41
		Booklet UH: Q16
S476Q01	SCIE – P2006 Heart Surgery (Q01)	166-166
Multiple Choice A1	0 Score=0	Booklet 1: Q23
	1 Score=1	Booklet 2: Q1
	7 N/A	Booklet 8: Q25
	8 Not reached	Booklet 11: Q47
S476Q02	SCIE – P2006 Heart Surgery (Q02)	167-167
Multiple Choice A1	0 Score=0	Booklet 1: Q24
	1 Score=1	Booklet 2: Q2
	7 N/A	Booklet 8: Q26
	8 Not reached	Booklet 11: Q48
S476Q03	SCIE – P2006 Heart Surgery (Q03)	168-168
Multiple Choice A1	0 Score=0	Booklet 1: Q25
	1 Score=1	Booklet 2: Q3
	7 N/A	Booklet 8: Q27
	8 Not reached	Booklet 11: Q49
S477Q02	SCIE – P2006 Mary Montagu (Q02)	169-169
Multiple Choice A1	0 Score=0	Booklet 2: Q20
	1 Score=1	Booklet 3: Q1
	7 N/A	Booklet 5: Q60
	8 Not reached	Booklet 9: Q35
S477Q03	SCIE – P2006 Mary Montagu (Q03)	170-170
Multiple Choice A1	0 Score=0	Booklet 2: Q21
	1 Score=1	Booklet 3: Q2
	7 N/A	Booklet 5: Q61
	8 Not reached	Booklet 9: Q36
S477Q04	SCIE – P2006 Mary Montagu (Q04)	171-171
Open Response A1	0 Score=0	Booklet 2: Q22
	1 Score=1	Booklet 3: Q3
	7 N/A	Booklet 5: Q62
	8 Not reached	Booklet 9: Q37
S478Q01	SCIE – P2006 Antibiotics (Q01)	172-172
Multiple Choice A1	0 Score=0	Booklet 4: Q40
	1 Score=1	Booklet 5: Q8
	7 N/A	Booklet 11: Q20
	8 Not reached	Booklet 12: Q55
S478Q02T	SCIE – P2006 Antibiotics (Q02)	173-173
Complex Multiple Choice A1	0 Score=0	Booklet 4: Q41
	1 Score=1	Booklet 5: Q9
	7 N/A	Booklet 11: Q21
	8 Not reached	Booklet 12: Q56
S478Q03T	SCIE – P2006 Antibiotics (Q03)	174-174
Complex Multiple Choice A1	0 Score=0	Booklet 4: Q42
	1 Score=1	Booklet 5: Q10
	7 N/A	Booklet 11: Q22
	8 Not reached	Booklet 12: Q57
S485Q02	SCIE – P2006 Acid Rain (Q02)	175-175
Open Response A1	0 Score=0	Booklet 1: Q18
	1 Score=1	Booklet 9: Q30
	7 N/A	Booklet 10: Q61
	8 Not reached	Booklet 12: Q43
S485Q03	SCIE – P2006 Acid Rain (Q03)	176-176
Multiple Choice A1	0 Score=0	Booklet 1: Q19
	1 Score=1	Booklet 9: Q31
	7 N/A	Booklet 10: Q62
	8 Not reached	Booklet 12: Q44
S485Q05	SCIE – P2006 Acid Rain (Q05)	177-177
Open Response A1	0 Score=0	Booklet 1: Q20
	1 Score=1	Booklet 9: Q32
	2 Score=2	Booklet 10: Q63
	7 N/A	Booklet 12: Q45
8 Not reached		
S493Q01T	SCIE – P2006 Physical Exercise (Q01)	178-178
Complex Multiple Choice A1	0 Score=0	Booklet 1: Q62
	1 Score=1	Booklet 5: Q39
	7 N/A	Booklet 7: Q1
	8 Not reached	Booklet 13: Q16
S493Q03T	SCIE – P2006 Physical Exercise (Q03)	179-179
Complex Multiple Choice A1	0 Score=0	Booklet 1: Q63
	1 Score=1	Booklet 5: Q40
	7 N/A	Booklet 7: Q2
	8 Not reached	Booklet 13: Q17



Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S493Q05T	SCIE – P2006 Physical Exercise (Q05)	180-180	S514Q03	SCIE – P2006 Development and Disaster (Q03)	193-193
Open Response A1	0 Score=0	Booklet 1: Q64	Open Response A1	0 Score=0	Booklet 1: Q76
	1 Score=1	Booklet 5: Q41		1 Score=1	Booklet 5: Q53
	7 N/A	Booklet 7: Q3		7 N/A	Booklet 7: Q15
	8 Not reached	Booklet 13: Q18		8 Not reached	Booklet 13: Q30
S495Q01T	SCIE – P2006 Radiotherapy (Q01)	181-181	S514Q04	SCIE – P2006 Development and Disaster (Q04)	194-194
Complex Multiple Choice A1	0 Score=0	Booklet 1: Q35	Complex Multiple Choice A1	0 Score=0	Booklet 1: Q77
	1 Score=1	Booklet 2: Q13		1 Score=1	Booklet 5: Q54
	7 N/A	Booklet 8: Q37		7 N/A	Booklet 7: Q16
	8 Not reached	Booklet 11: Q59		8 Not reached	Booklet 13: Q31
S495Q02T	SCIE – P2006 Radiotherapy (Q02)	182-182	S519Q01	SCIE – P2006 Airbags (Q01)	195-195
Complex Multiple Choice A1	0 Score=0	Booklet 1: Q36	Open Response A1	0 Score=0	Booklet 2: Q27
	1 Score=1	Booklet 2: Q14		1 Score=1	Booklet 3: Q8
	7 N/A	Booklet 8: Q38		2 Score=2	Booklet 5: Q67
	8 Not reached	Booklet 11: Q60		7 N/A	Booklet 9: Q42
S495Q03	SCIE – P2006 Radiotherapy (Q03)	183-183	S519Q02T	SCIE – P2006 Airbags (Q02)	196-196
Open Response A1	0 Score=0	Booklet 1: Q37	Complex Multiple Choice A1	0 Score=0	Booklet 2: Q28
	1 Score=1	Booklet 2: Q15		1 Score=1	Booklet 3: Q9
	7 N/A	Booklet 8: Q39		7 N/A	Booklet 5: Q68
	8 Not reached	Booklet 11: Q61		8 Not reached	Booklet 9: Q43
S495Q04T	SCIE – P2006 Radiotherapy (Q04)	184-184	S519Q03	SCIE – P2006 Airbags (Q03)	197-197
Complex Multiple Choice A1	0 Score=0	Booklet 1: Q34	Open Response A1	0 Score=0	Booklet 2: Q29
	1 Score=1	Booklet 2: Q12		1 Score=1	Booklet 3: Q10
	7 N/A	Booklet 8: Q36		7 N/A	Booklet 5: Q69
	8 Not reached	Booklet 11: Q58		8 Not reached	Booklet 9: Q44
S498Q02T	SCIE – P2006 Experimental Digestion (Q02)	185-185	S521Q02	SCIE – P2006 Cooking Outdoors (Q02)	198-198
Complex Multiple Choice A1	0 Score=0	Booklet 2: Q32	Multiple Choice A1	0 Score=0	Booklet 1: Q31
	1 Score=1	Booklet 3: Q13		1 Score=1	Booklet 2: Q9
	7 N/A	Booklet 5: Q72		7 N/A	Booklet 8: Q33
	8 Not reached	Booklet 9: Q47		8 Not reached	Booklet 11: Q55
S498Q03	SCIE – P2006 Experimental Digestion (Q03)	186-186	S521Q06	SCIE – P2006 Cooking Outdoors (Q06)	199-199
Multiple Choice A1	0 Score=0	Booklet 2: Q33	Multiple Choice A1	0 Score=0	Booklet 1: Q32
	1 Score=1	Booklet 3: Q14		1 Score=1	Booklet 2: Q10
	7 N/A	Booklet 5: Q73		7 N/A	Booklet 8: Q34
	8 Not reached	Booklet 9: Q48		8 Not reached	Booklet 11: Q56
S498Q04	SCIE – P2006 Experimental Digestion (Q04)	187-187	S524Q06T	SCIE – P2006 Penicillin Manufacture (Q06)	200-200
Open Response A1	0 Score=0	Booklet 2: Q34	Complex Multiple Choice A1	0 Score=0	Booklet 2: Q37
	1 Score=1	Booklet 3: Q15		1 Score=1	Booklet 3: Q18
	2 Score=2	Booklet 5: Q74		7 N/A	Booklet 5: Q77
	7 N/A	Booklet 9: Q49		8 Not reached	Booklet 9: Q52
	8 Not reached				
S508Q02T	SCIE – P2006 Genetically Modified Food (Q02)	188-188	S524Q07	SCIE – P2006 Penicillin Manufacture (Q07)	201-201
Complex Multiple Choice A1	0 Score=0	Booklet 1: Q1	Open Response A1	0 Score=0	Booklet 2: Q38
	1 Score=1	Booklet 9: Q13		1 Score=1	Booklet 3: Q19
	7 N/A	Booklet 10: Q44		7 N/A	Booklet 5: Q78
	8 Not reached	Booklet 12: Q26		8 Not reached	Booklet 9: Q53
S508Q03	SCIE – P2006 Genetically Modified Food (Q03)	189-189	S527Q01T	SCIE – P2006 Extinction of the Dinosaurs (Q01)	202-202
Multiple Choice A1	0 Score=0	Booklet 1: Q2	Complex Multiple Choice A1	0 Score=0	Booklet 1: Q13
	1 Score=1	Booklet 9: Q14		1 Score=1	Booklet 9: Q25
	7 N/A	Booklet 10: Q45		7 N/A	Booklet 10: Q56
	8 Not reached	Booklet 12: Q27		8 Not reached	Booklet 12: Q38
S510Q01T	SCIE – P2006 Magnetic Hovertrain (Q01)	190-190	S527Q03T	SCIE – P2006 Extinction of the Dinosaurs (Q03)	203-203
Complex Multiple Choice A1	0 Score=0	Booklet 1: Q42	Complex Multiple Choice A1	0 Score=0	Booklet 1: Q14
	1 Score=1	Booklet 3: Q21		1 Score=1	Booklet 9: Q26
	7 N/A	Booklet 4: Q1		7 N/A	Booklet 10: Q57
	8 Not reached	Booklet 6: Q48		8 Not reached	Booklet 12: Q39
S510Q04T	SCIE – P2006 Magnetic Hovertrain (Q04)	191-191	S527Q04T	SCIE – P2006 Extinction of the Dinosaurs (Q04)	204-204
Open Response A1	0 Score=0	Booklet 1: Q43	Complex Multiple Choice A1	0 Score=0	Booklet 1: Q15
	1 Score=1	Booklet 3: Q22		1 Score=1	Booklet 9: Q27
	7 N/A	Booklet 4: Q2		7 N/A	Booklet 10: Q58
	8 Not reached	Booklet 6: Q49		8 Not reached	Booklet 12: Q40
S514Q02	SCIE – P2006 Development and Disaster (Q02)	192-192	S408QNA	INTR – P2006 Wild Oat Grass (A)	205-205
Open Response A1	0 Score=0	Booklet 1: Q75	Likert A1	0 Score=0	Booklet 1: Q52
	1 Score=1	Booklet 5: Q52		1 Score=1	Booklet 3: Q31
	7 N/A	Booklet 7: Q14		2 Score=2	Booklet 4: Q11
	8 Not reached	Booklet 13: Q29		3 Score=3	Booklet 6: Q58

**APPENDIX 9: CODEBOOK FOR PISA 2006 SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
	7 N/A		S428QNC	INTR – P2006 Bacteria in Milk (C)	215-215
	8 Not reached		Likert	0 Score=0	Booklet 5: Q29
	9 Missing		A1	1 Score=1	Booklet 6: Q10
S408QNB	INTR – P2006 Wild Oat Grass (B)	206-206		2 Score=2	Booklet 8: Q53
Likert	0 Score=0	Booklet 1: Q52		3 Score=3	Booklet 10: Q34
A1	1 Score=1	Booklet 3: Q31		7 N/A	Booklet UH: Q5
	2 Score=2	Booklet 4: Q11		8 Not reached	
	3 Score=3	Booklet 6: Q58		9 Missing	
	7 N/A		S437QNA	INTR – P2006 Extinguishing Fires (A)	216-216
	8 Not reached		Likert	0 Score=0	Booklet 1: Q58
	9 Missing		A1	1 Score=1	Booklet 3: Q37
S408QNC	INTR – P2006 Wild Oat Grass (C)	207-207		2 Score=2	Booklet 4: Q17
Likert	0 Score=0	Booklet 1: Q52		3 Score=3	Booklet 6: Q64
A1	1 Score=1	Booklet 3: Q31		7 N/A	
	2 Score=2	Booklet 4: Q11		8 Not reached	
	3 Score=3	Booklet 6: Q58		9 Missing	
	7 N/A		S437QNB	INTR – P2006 Extinguishing Fires (B)	217-217
	8 Not reached		Likert	0 Score=0	Booklet 1: Q58
	9 Missing		A1	1 Score=1	Booklet 3: Q37
S413QNA	INTR – P2006 Plastic Age (A)	208-208		2 Score=2	Booklet 4: Q17
Likert	0 Score=0	Booklet 4: Q51		3 Score=3	Booklet 6: Q64
A1	1 Score=1	Booklet 5: Q19		7 N/A	
	2 Score=2	Booklet 11: Q31		8 Not reached	
	3 Score=3	Booklet 12: Q66		9 Missing	
	7 N/A		S437QNC	INTR – P2006 Extinguishing Fires (C)	218-218
	8 Not reached		Likert	0 Score=0	Booklet 1: Q58
	9 Missing		A1	1 Score=1	Booklet 3: Q37
S413QNB	INTR – P2006 Plastic Age (B)	209-209		2 Score=2	Booklet 4: Q17
Likert	0 Score=0	Booklet 4: Q51		3 Score=3	Booklet 6: Q64
A1	1 Score=1	Booklet 5: Q19		7 N/A	
	2 Score=2	Booklet 11: Q31		8 Not reached	
	3 Score=3	Booklet 12: Q66		9 Missing	
	7 N/A		S438QNA	INTR – P2006 Green Parks (A)	219-219
	8 Not reached		Likert	0 Score=0	Booklet 5: Q33
	9 Missing		A1	1 Score=1	Booklet 6: Q14
S413QNC	INTR – P2006 Plastic Age (C)	210-210		2 Score=2	Booklet 8: Q57
Likert	0 Score=0	Booklet 4: Q51		3 Score=3	Booklet 10: Q38
A1	1 Score=1	Booklet 5: Q19		7 N/A	
	2 Score=2	Booklet 11: Q31		8 Not reached	
	3 Score=3	Booklet 12: Q66		9 Missing	
	7 N/A		S438QNB	INTR – P2006 Green Parks (B)	220-220
	8 Not reached		Likert	0 Score=0	Booklet 5: Q33
	9 Missing		A1	1 Score=1	Booklet 6: Q14
S416QNA	INTR – P2006 The Moon (A)	211-211		2 Score=2	Booklet 8: Q57
Likert	0 Score=0	Booklet 1: Q68		3 Score=3	Booklet 10: Q38
A1	1 Score=1	Booklet 5: Q45		7 N/A	
	2 Score=2	Booklet 7: Q7		8 Not reached	
	3 Score=3	Booklet 13: Q22		9 Missing	
	7 N/A		S438QNC	INTR – P2006 Green Parks (C)	221-221
	8 Not reached		Likert	0 Score=0	Booklet 5: Q33
	9 Missing		A1	1 Score=1	Booklet 6: Q14
S416QNB	INTR – P2006 The Moon (B)	212-212		2 Score=2	Booklet 8: Q57
Likert	0 Score=0	Booklet 1: Q68		3 Score=3	Booklet 10: Q38
A1	1 Score=1	Booklet 5: Q45		7 N/A	
	2 Score=2	Booklet 7: Q7		8 Not reached	
	3 Score=3	Booklet 13: Q22		9 Missing	
	7 N/A		S456QNA	INTR – P2006 The Cheetah (A)	222-222
	8 Not reached		Likert	0 Score=0	Booklet 1: Q40
	9 Missing		A1	1 Score=1	Booklet 2: Q18
S428QNA	INTR – P2006 Bacteria in Milk (A)	213-213		2 Score=2	Booklet 8: Q42
Likert	0 Score=0	Booklet 5: Q29		3 Score=3	Booklet 11: Q64
A1	1 Score=1	Booklet 6: Q10		7 N/A	
	2 Score=2	Booklet 8: Q53		8 Not reached	
	3 Score=3	Booklet 10: Q34		9 Missing	
	7 N/A		S456QNB	INTR – P2006 The Cheetah (B)	223-223
	8 Not reached		Likert	0 Score=0	Booklet 1: Q40
	9 Missing		A1	1 Score=1	Booklet 2: Q18
S428QNB	INTR – P2006 Bacteria in Milk (B)	214-214		2 Score=2	Booklet 8: Q42
Likert	0 Score=0	Booklet 5: Q29		3 Score=3	Booklet 11: Q64
A1	1 Score=1	Booklet 6: Q10		7 N/A	
	2 Score=2	Booklet 8: Q53		8 Not reached	
	3 Score=3	Booklet 10: Q34		9 Missing	
	7 N/A				
	8 Not reached				
	9 Missing				



Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S456QNC	INTR – P2006 The Cheetah (C)	224-224
Likert	0 Score=0	Booklet 1: Q40
A1	1 Score=1	Booklet 2: Q18
	2 Score=2	Booklet 8: Q42
	3 Score=3	Booklet 11: Q64
	7 N/A	
	8 Not reached	
	9 Missing	
S466QNA	INTR – P2006 Forest Fires (A)	225-225
Likert	0 Score=0	Booklet 5: Q38
A1	1 Score=1	Booklet 6: Q19
	2 Score=2	Booklet 8: Q62
	3 Score=3	Booklet 10: Q43
	7 N/A	Booklet UH: Q18
	8 Not reached	
	9 Missing	
S466QNB	INTR – P2006 Forest Fires (B)	226-226
Likert	0 Score=0	Booklet 5: Q38
A1	1 Score=1	Booklet 6: Q19
	2 Score=2	Booklet 8: Q62
	3 Score=3	Booklet 10: Q43
	7 N/A	Booklet UH: Q18
	8 Not reached	
	9 Missing	
S466QNC	INTR – P2006 Forest Fires (C)	227-227
Likert	0 Score=0	Booklet 5: Q38
A1	1 Score=1	Booklet 6: Q19
	2 Score=2	Booklet 8: Q62
	3 Score=3	Booklet 10: Q43
	7 N/A	Booklet UH: Q18
	8 Not reached	
	9 Missing	
S476QNA	INTR – P2006 Heart Surgery (A)	228-228
Likert	0 Score=0	Booklet 1: Q26
A1	1 Score=1	Booklet 2: Q4
	2 Score=2	Booklet 8: Q28
	3 Score=3	Booklet 11: Q50
	7 N/A	Booklet UH: Q13
	8 Not reached	
	9 Missing	
S476QNB	INTR – P2006 Heart Surgery (B)	229-229
Likert	0 Score=0	Booklet 1: Q26
A1	1 Score=1	Booklet 2: Q4
	2 Score=2	Booklet 8: Q28
	3 Score=3	Booklet 11: Q50
	7 N/A	Booklet UH: Q13
	8 Not reached	
	9 Missing	
S476QNC	INTR – P2006 Heart Surgery (C)	230-230
Likert	0 Score=0	Booklet 1: Q26
A1	1 Score=1	Booklet 2: Q4
	2 Score=2	Booklet 8: Q28
	3 Score=3	Booklet 11: Q50
	7 N/A	Booklet UH: Q13
	8 Not reached	
	9 Missing	
S478QNA	INTR – P2006 Antibiotics (A)	231-231
Likert	0 Score=0	Booklet 4: Q43
A1	1 Score=1	Booklet 5: Q11
	2 Score=2	Booklet 11: Q23
	3 Score=3	Booklet 12: Q58
	7 N/A	
	8 Not reached	
	9 Missing	
S478QNB	INTR – P2006 Antibiotics (B)	232-232
Likert	0 Score=0	Booklet 4: Q43
A1	1 Score=1	Booklet 5: Q11
	2 Score=2	Booklet 11: Q23
	3 Score=3	Booklet 12: Q58
	7 N/A	
	8 Not reached	
	9 Missing	
S478QNC	INTR – P2006 Antibiotics (C)	233-233
Likert	0 Score=0	Booklet 4: Q43
A1	1 Score=1	Booklet 5: Q11
	2 Score=2	Booklet 11: Q23
	3 Score=3	Booklet 12: Q58
	7 N/A	
	8 Not reached	
	9 Missing	
S485QNA	INTR – P2006 Acid Rain (A)	234-234
Likert	0 Score=0	Booklet 1: Q21
A1	1 Score=1	Booklet 9: Q33
	2 Score=2	Booklet 10: Q64
	3 Score=3	Booklet 12: Q46
	7 N/A	
	8 Not reached	
	9 Missing	
S485QNB	INTR – P2006 Acid Rain (B)	235-235
Likert	0 Score=0	Booklet 1: Q21
A1	1 Score=1	Booklet 9: Q33
	2 Score=2	Booklet 10: Q64
	3 Score=3	Booklet 12: Q46
	7 N/A	
	8 Not reached	
	9 Missing	
S485QNC	INTR – P2006 Acid Rain (C)	236-236
Likert	0 Score=0	Booklet 1: Q21
A1	1 Score=1	Booklet 9: Q33
	2 Score=2	Booklet 10: Q64
	3 Score=3	Booklet 12: Q46
	7 N/A	
	8 Not reached	
	9 Missing	
S498QNA	INTR – P2006 Experimental Digestion (A)	237-237
Likert	0 Score=0	Booklet 2: Q35
A1	1 Score=1	Booklet 3: Q16
	2 Score=2	Booklet 5: Q75
	3 Score=3	Booklet 9: Q50
	7 N/A	
	8 Not reached	
	9 Missing	
S498QNB	INTR – P2006 Experimental Digestion (B)	238-238
Likert	0 Score=0	Booklet 2: Q35
A1	1 Score=1	Booklet 3: Q16
	2 Score=2	Booklet 5: Q75
	3 Score=3	Booklet 9: Q50
	7 N/A	
	8 Not reached	
	9 Missing	
S498QNC	INTR – P2006 Experimental Digestion (C)	239-239
Likert	0 Score=0	Booklet 2: Q35
A1	1 Score=1	Booklet 3: Q16
	2 Score=2	Booklet 5: Q75
	3 Score=3	Booklet 9: Q50
	7 N/A	
	8 Not reached	
	9 Missing	
S508QNA	INTR – P2006 Genetically Modified Food (A)	240-240
Likert	0 Score=0	Booklet 1: Q4
A1	1 Score=1	Booklet 9: Q16
	2 Score=2	Booklet 10: Q47
	3 Score=3	Booklet 12: Q29
	7 N/A	
	8 Not reached	
	9 Missing	
S508QNB	INTR – P2006 Genetically Modified Food (B)	241-241
Likert	0 Score=0	Booklet 1: Q4
A1	1 Score=1	Booklet 9: Q16
	2 Score=2	Booklet 10: Q47
	3 Score=3	Booklet 12: Q29

**APPENDIX 9: CODEBOOK FOR PISA 2006 SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
	7 N/A			2 Score=2	Booklet 8: Q35
	8 Not reached			3 Score=3	Booklet 11: Q57
	9 Missing			7 N/A	
S508QNC	INTR – P2006 Genetically Modified Food (C)	242-242		8 Not reached	
Likert A1	0 Score=0	Booklet 1: Q4		9 Missing	
	1 Score=1	Booklet 9: Q16	S524QNA	INTR – P2006 Penicillin Manufacture (A)	251-251
	2 Score=2	Booklet 10: Q47	Likert A1	0 Score=0	Booklet 2: Q39
	3 Score=3	Booklet 12: Q29		1 Score=1	Booklet 3: Q20
	7 N/A			2 Score=2	Booklet 5: Q79
	8 Not reached			3 Score=3	Booklet 9: Q54
	9 Missing			7 N/A	
S514QNA	INTR – P2006 Development and Disaster (A)	243-243		8 Not reached	
Likert A1	0 Score=0	Booklet 1: Q78		9 Missing	
	1 Score=1	Booklet 5: Q55	S524QNB	INTR – P2006 Penicillin Manufacture (B)	252-252
	2 Score=2	Booklet 7: Q17	Likert A1	0 Score=0	Booklet 2: Q39
	3 Score=3	Booklet 13: Q32		1 Score=1	Booklet 3: Q20
	7 N/A			2 Score=2	Booklet 5: Q79
	8 Not reached			3 Score=3	Booklet 9: Q54
	9 Missing			7 N/A	
S514QNB	INTR – P2006 Development and Disaster (B)	244-244		8 Not reached	
Likert A1	0 Score=0	Booklet 1: Q78		9 Missing	
	1 Score=1	Booklet 5: Q55	S524QNC	INTR – P2006 Penicillin Manufacture (C)	253-253
	2 Score=2	Booklet 7: Q17	Likert A1	0 Score=0	Booklet 2: Q39
	3 Score=3	Booklet 13: Q32		1 Score=1	Booklet 3: Q20
	7 N/A			2 Score=2	Booklet 5: Q79
	8 Not reached			3 Score=3	Booklet 9: Q54
	9 Missing			7 N/A	
S514QNC	INTR – P2006 Development and Disaster (C)	245-245		8 Not reached	
Likert A1	0 Score=0	Booklet 1: Q78		9 Missing	
	1 Score=1	Booklet 5: Q55	S527QNA	INTR – P2006 Extinction of the Dinosaurs (A)	254-254
	2 Score=2	Booklet 7: Q17	Likert A1	0 Score=0	Booklet 1: Q16
	3 Score=3	Booklet 13: Q32		1 Score=1	Booklet 9: Q28
	7 N/A			2 Score=2	Booklet 10: Q59
	8 Not reached			3 Score=3	Booklet 12: Q41
	9 Missing			7 N/A	
S519QNA	INTR – P2006 Airbags (A)	246-246		8 Not reached	
Likert A1	0 Score=0	Booklet 2: Q30		9 Missing	
	1 Score=1	Booklet 3: Q11	S527QNB	INTR – P2006 Extinction of the Dinosaurs (B)	255-255
	2 Score=2	Booklet 5: Q70	Likert A1	0 Score=0	Booklet 1: Q16
	3 Score=3	Booklet 9: Q45		1 Score=1	Booklet 9: Q28
	7 N/A			2 Score=2	Booklet 10: Q59
	8 Not reached			3 Score=3	Booklet 12: Q41
	9 Missing			7 N/A	
S519QNB	INTR – P2006 Airbags (B)	247-247		8 Not reached	
Likert A1	0 Score=0	Booklet 2: Q30		9 Missing	
	1 Score=1	Booklet 3: Q11	S527QNC	INTR – P2006 Extinction of the Dinosaurs (C)	256-256
	2 Score=2	Booklet 5: Q70	Likert A1	0 Score=0	Booklet 1: Q16
	3 Score=3	Booklet 9: Q45		1 Score=1	Booklet 9: Q28
	7 N/A			2 Score=2	Booklet 10: Q59
	8 Not reached			3 Score=3	Booklet 12: Q41
	9 Missing			7 N/A	
S519QNC	INTR – P2006 Airbags (C)	248-248		8 Not reached	
Likert A1	0 Score=0	Booklet 2: Q30		9 Missing	
	1 Score=1	Booklet 3: Q11	S408QSA	SUPP – P2006 Wild Oat Grass (A)	257-257
	2 Score=2	Booklet 5: Q70	Likert A1	0 Score=0	Booklet 1: Q53
	3 Score=3	Booklet 9: Q45		1 Score=1	Booklet 3: Q32
	7 N/A			2 Score=2	Booklet 4: Q12
	8 Not reached			3 Score=3	Booklet 6: Q59
	9 Missing			7 N/A	
S521QNA	INTR – P2006 Cooking Outdoors (A)	249-249		8 Not reached	
Likert A1	0 Score=0	Booklet 1: Q33		9 Missing	
	1 Score=1	Booklet 2: Q11	S408QSB	SUPP – P2006 Wild Oat Grass (B)	258-258
	2 Score=2	Booklet 8: Q35	Likert A1	0 Score=0	Booklet 1: Q53
	3 Score=3	Booklet 11: Q57		1 Score=1	Booklet 3: Q32
	7 N/A			2 Score=2	Booklet 4: Q12
	8 Not reached			3 Score=3	Booklet 6: Q59
	9 Missing			7 N/A	
S521QNB	INTR – P2006 Cooking Outdoors (B)	250-250		8 Not reached	
Likert A1	0 Score=0	Booklet 1: Q33		9 Missing	
	1 Score=1	Booklet 2: Q11			



Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question	Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S408QSC	SUPP – P2006 Wild Oat Grass (C)	259-259		7 N/A	
Likert	0 Score=0	Booklet 1: Q53		8 Not reached	
A1	1 Score=1	Booklet 3: Q32		9 Missing	
	2 Score=2	Booklet 4: Q12	S426QSB	SUPP – P2006 The Grand Canyon (B)	269-269
	3 Score=3	Booklet 6: Q59	Likert	0 Score=0	Booklet 1: Q12
	7 N/A		A1	1 Score=1	Booklet 9: Q24
	8 Not reached			2 Score=2	Booklet 10: Q55
	9 Missing			3 Score=3	Booklet 12: Q37
S416QSA	SUPP – P2006 The Moon (A)	260-260		7 N/A	
Likert	0 Score=0	Booklet 1: Q69		8 Not reached	
A1	1 Score=1	Booklet 5: Q46		9 Missing	
	2 Score=2	Booklet 7: Q8	S426QSC	SUPP – P2006 The Grand Canyon (C)	270-270
	3 Score=3	Booklet 13: Q23	Likert	0 Score=0	Booklet 1: Q12
	7 N/A		A1	1 Score=1	Booklet 9: Q24
	8 Not reached			2 Score=2	Booklet 10: Q55
	9 Missing			3 Score=3	Booklet 12: Q37
S416QSB	SUPP – P2006 The Moon (B)	261-261		7 N/A	
Likert	0 Score=0	Booklet 1: Q69		8 Not reached	
A1	1 Score=1	Booklet 5: Q46		9 Missing	
	2 Score=2	Booklet 7: Q8	S438QSA	SUPP – P2006 Green Parks (A)	271-271
	3 Score=3	Booklet 13: Q23	Likert	0 Score=0	Booklet 5: Q34
	7 N/A		A1	1 Score=1	Booklet 6: Q15
	8 Not reached			2 Score=2	Booklet 8: Q58
	9 Missing			3 Score=3	Booklet 10: Q39
S416QSC	SUPP – P2006 The Moon (C)	262-262		7 N/A	
Likert	0 Score=0	Booklet 1: Q69		8 Not reached	
A1	1 Score=1	Booklet 5: Q46		9 Missing	
	2 Score=2	Booklet 7: Q8	S438QSB	SUPP – P2006 Green Parks (B)	272-272
	3 Score=3	Booklet 13: Q23	Likert	0 Score=0	Booklet 5: Q34
	7 N/A		A1	1 Score=1	Booklet 6: Q15
	8 Not reached			2 Score=2	Booklet 8: Q58
	9 Missing			3 Score=3	Booklet 10: Q39
S421QSA	SUPP – P2006 Big and Small (A)	263-263		7 N/A	
Likert	0 Score=0	Booklet 1: Q82		8 Not reached	
A1	1 Score=1	Booklet 5: Q59		9 Missing	
	2 Score=2	Booklet 7: Q21	S438QSC	SUPP – P2006 Green Parks (C)	273-273
	3 Score=3	Booklet 13: Q36	Likert	0 Score=0	Booklet 5: Q34
	7 N/A	Booklet UH: Q9	A1	1 Score=1	Booklet 6: Q15
	8 Not reached			2 Score=2	Booklet 8: Q58
	9 Missing			3 Score=3	Booklet 10: Q39
S421QSC	SUPP – P2006 Big and Small (C)	264-264		7 N/A	
Likert	0 Score=0	Booklet 1: Q82		8 Not reached	
A1	1 Score=1	Booklet 5: Q59		9 Missing	
	2 Score=2	Booklet 7: Q21	S456QSA	SUPP – P2006 The Cheetah (A)	274-274
	3 Score=3	Booklet 13: Q36	Likert	0 Score=0	Booklet 1: Q41
	7 N/A	Booklet UH: Q9	A1	1 Score=1	Booklet 2: Q19
	8 Not reached			2 Score=2	Booklet 8: Q43
	9 Missing			3 Score=3	Booklet 11: Q65
S425QSA	SUPP – P2006 Penguin Island (A)	265-265		7 N/A	
Likert	0 Score=0	Booklet 1: Q74		8 Not reached	
A1	1 Score=1	Booklet 5: Q51		9 Missing	
	2 Score=2	Booklet 7: Q13	S456QSB	SUPP – P2006 The Cheetah (B)	275-275
	3 Score=3	Booklet 13: Q28	Likert	0 Score=0	Booklet 1: Q41
	7 N/A		A1	1 Score=1	Booklet 2: Q19
	8 Not reached			2 Score=2	Booklet 8: Q43
	9 Missing			3 Score=3	Booklet 11: Q65
S425QSB	SUPP – P2006 Penguin Island (B)	266-266		7 N/A	
Likert	0 Score=0	Booklet 1: Q74		8 Not reached	
A1	1 Score=1	Booklet 5: Q51		9 Missing	
	2 Score=2	Booklet 7: Q13	S456QSC	SUPP – P2006 The Cheetah (C)	276-276
	3 Score=3	Booklet 13: Q28	Likert	0 Score=0	Booklet 1: Q41
	7 N/A		A1	1 Score=1	Booklet 2: Q19
	8 Not reached			2 Score=2	Booklet 8: Q43
	9 Missing			3 Score=3	Booklet 11: Q65
S425QSC	SUPP – P2006 Penguin Island (C)	267-267		7 N/A	
Likert	0 Score=0	Booklet 1: Q74		8 Not reached	
A1	1 Score=1	Booklet 5: Q51		9 Missing	
	2 Score=2	Booklet 7: Q13	S465QSA	SUPP – P2006 Different Climates (A)	277-277
	3 Score=3	Booklet 13: Q28	Likert	0 Score=0	Booklet 4: Q39
	7 N/A		A1	1 Score=1	Booklet 5: Q7
	8 Not reached			2 Score=2	Booklet 11: Q19
	9 Missing			3 Score=3	Booklet 12: Q54
S426QSA	SUPP – P2006 The Grand Canyon (A)	268-268		7 N/A	
Likert	0 Score=0	Booklet 1: Q12		8 Not reached	
A1	1 Score=1	Booklet 9: Q24		9 Missing	
	2 Score=2	Booklet 10: Q55			
	3 Score=3	Booklet 12: Q37			

**APPENDIX 9: CODEBOOK FOR PISA 2006 SCORED COGNITIVE AND EMBEDDED ATTITUDE ITEMS**

Variable/ Item Type/ Format	Variable and value labels	Column/booklet & question
S465QSB	SUPP – P2006 Different Climates (B)	278-278
Likert A1	0 Score=0 1 Score=1 2 Score=2 3 Score=3 7 N/A 8 Not reached 9 Missing	Booklet 4: Q39 Booklet 5: Q7 Booklet 11: Q19 Booklet 12: Q54
S476QSA	SUPP – P2006 Heart Surgery (A)	279-279
Likert A1	0 Score=0 1 Score=1 2 Score=2 3 Score=3 7 N/A 8 Not reached 9 Missing	Booklet 1: Q27 Booklet 2: Q5 Booklet 8: Q29 Booklet 11: Q51 Booklet UH: Q14
S476QSB	SUPP – P2006 Heart Surgery (B)	280-280
Likert A1	0 Score=0 1 Score=1 2 Score=2 3 Score=3 7 N/A 8 Not reached 9 Missing	Booklet 1: Q27 Booklet 2: Q5 Booklet 8: Q29 Booklet 11: Q51 Booklet UH: Q14
S476QSC	SUPP – P2006 Heart Surgery (C)	281-281
Likert A1	0 Score=0 1 Score=1 2 Score=2 3 Score=3 7 N/A 8 Not reached 9 Missing	Booklet 1: Q27 Booklet 2: Q5 Booklet 8: Q29 Booklet 11: Q51 Booklet UH: Q14
S477QSA	SUPP – P2006 Mary Montagu (A)	282-282
Likert A1	0 Score=0 1 Score=1 2 Score=2 3 Score=3 7 N/A 8 Not reached 9 Missing	Booklet 2: Q23 Booklet 3: Q4 Booklet 5: Q63 Booklet 9: Q38
S477QSB	SUPP – P2006 Mary Montagu (B)	283-283
Likert A1	0 Score=0 1 Score=1 2 Score=2 3 Score=3 7 N/A 8 Not reached 9 Missing	Booklet 2: Q23 Booklet 3: Q4 Booklet 5: Q63 Booklet 9: Q38
S477QSC	SUPP – P2006 Mary Montagu (C)	284-284
Likert A1	0 Score=0 1 Score=1 2 Score=2 3 Score=3 7 N/A 8 Not reached 9 Missing	Booklet 2: Q23 Booklet 3: Q4 Booklet 5: Q63 Booklet 9: Q38
S485QSB	SUPP – P2006 Acid Rain (B)	285-285
Likert A1	0 Score=0 1 Score=1 2 Score=2 3 Score=3 7 N/A 8 Not reached 9 Missing	Booklet 1: Q22 Booklet 9: Q34 Booklet 10: Q65 Booklet 12: Q47
S485QSC	SUPP – P2006 Acid Rain (C)	286-286
Likert A1	0 Score=0 1 Score=1 2 Score=2 3 Score=3 7 N/A 8 Not reached 9 Missing	Booklet 1: Q22 Booklet 9: Q34 Booklet 10: Q65 Booklet 12: Q47
CLCUSE3A	Effort A: real	295-297
F3.0	997 N/A 998 M/R 999 Missing	
CLCUSE3B	Effort B: if counted	298-300
F3.0	997 N/A 998 M/R 999 Missing	
DEFFORT	Effort B – Effort A	301-303
F3.0	997 N/A 998 M/R 999 Missing	
TESTLANG	Language of Test (3-character)	304-306
A3	See Appendix 7 for labels	
VER_COGN	Version of cognitive database and date of release	307-319
A13		

**APPENDIX 10****CODEBOOK FOR PISA 2006 SCHOOL QUESTIONNAIRE DATA FILE**

SUBNATIO (1) Adjudicated sub-region Format: A5 Columns: 1-5 <i>See Appendix 7 for labels</i>	SC04Q01 (13) Grade 1 Q4a Format: F1.0 Columns: 61-61 1 Yes 2 No 7 N/A 8 Invalid 9 Missing
SCHOOLID (2) School ID 5-digit Format: A5 Columns: 6-10	SC04Q02 (14) Grade 2 Q4b Format: F1.0 Column: 62-62 1 Yes 2 No 7 N/A 8 Invalid 9 Missing
CNT (3) Country code 3-character Format: A3 Columns: 11-13 <i>See Appendix 7 for labels</i>	SC04Q03 (15) Grade 3 Q4c Format: F1.0 Column: 63-63 1 Yes 2 No 7 N/A 8 Invalid 9 Missing
COUNTRY (4) Country code ISO 3-digit Format: A3 Columns: 14-16 <i>See Appendix 7 for labels</i>	SC04Q04 (16) Grade 4 Q4d Format: F1.0 Column: 64-64 1 Yes 2 No 7 N/A 8 Invalid 9 Missing
SC01Q01 (6) Number of boys Q1a Format: F5.0 Columns: 18-22 9997 N/A 9998 Invalid 9999 Missing	SC04Q05 (17) Grade 5 Q4e Format: F1.0 Column: 65-65 1 Yes 2 No 7 N/A 8 Invalid 9 Missing
SC01Q02 (7) Number of girls Q1b Format: F5.0 Columns: 23-27 9997 N/A 9998 Invalid 9999 Missing	SC04Q06 (18) Grade 6 Q4f Format: F1.0 Column: 66-66 1 Yes 2 No 7 N/A 8 Invalid 9 Missing
SC02Q01 (8) Public or private Q2 Format: F1.0 Columns: 28-28 1 Public 2 Private 7 N/A 8 Invalid 9 Missing	SC04Q07 (19) Grade 7 Q4g Format: F1.0 Column: 67-67 1 Yes 2 No 7 N/A 8 Invalid 9 Missing
SC03Q01 (9) Funding government Q3a Format: F8.2 Columns: 29-36 9997 N/A 9998 Invalid 9999 Missing	SC04Q08 (20) Grade 8 Q4h Format: F1.0 Column: 68-68 1 Yes 2 No 7 N/A 8 Invalid 9 Missing
SC03Q02 (10) Funding student fees Q3b Format: F8.2 Columns: 37-44 9997 N/A 9998 Invalid 9999 Missing	
SC03Q03 (11) Funding benefactors Q3c Format: F8.2 Columns: 45-52 9997 N/A 9998 Invalid 9999 Missing	
SC03Q04 (12) Funding other Q3d Format: F8.2 Columns: 53-60 9997 N/A 9998 Invalid 9999 Missing	

**APPENDIX 10: CODEBOOK FOR PISA 2006 SCHOOL QUESTIONNAIRE DATA FILE**

SC04Q09 (21) Grade 9 Q4i	
Format:	F1.0 Column: 69-69
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

SC04Q10 (22) Grade 10 Q4j	
Format:	F1.0 Column: 70-70
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

SC04Q11 (23) Grade 11 Q4k	
Format:	F1.0 Column: 71-71
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

SC04Q12 (24) Grade 12 Q4l	
Format:	F1.0 Column: 72-72
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

SC04Q13 (25) Grade 13 Q4m	
Format:	F1.0 Column: 73-73
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

SC04Q14 (26) Ungraded school Q4n	
Format:	F1.0 Column: 74-74
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

SC05Q01 (27) Repeat <grade> at <ISCED2> Q5a	
Format:	F8.2 Column: 75-82
996	N/A
9997	N/A
9998	Invalid
9999	Missing

SC05Q02 (28) Repeat <grade> at <ISCED3> Q5b	
Format:	F8.2 Column: 83-90
996	N/A
9997	N/A
9998	Invalid
9999	Missing

SC06Q01 (29) Size <test lang> classes <modal 15 year old grade> Q6	
Format:	F2.0 Column: 91-92
1	15 students or fewer
2	16-20 students
3	21-25 students
4	26-30 students
5	31-35 students
6	36-40 students

7	41-45 students
8	46-50 students
9	More than 50 students
97	N/A
98	Invalid
99	Missing

SC07Q01 (30) School community Q7	
Format:	F1.0 Column: 93-93
1	Village
2	Small town
3	Town
4	City
5	Large city
7	N/A
8	Invalid
9	Missing

SC08Q01 (31) Streaming between classes Q8a	
Format:	F1.0 Column: 94-94
1	For all subjects
2	For some subjects
3	Not for any subject
7	N/A
8	Invalid
9	Missing

SC08Q02 (32) Streaming within classes Q8b	
Format:	F1.0 Column: 95-95
1	For all subjects
2	For some subjects
3	Not for any subject
7	N/A
8	Invalid
9	Missing

SC09Q11 (33) Full time teachers in TOTAL Q9a1	
Format:	F4.0 Column: 96-99
9997	N/A
9998	Invalid
9999	Missing

SC09Q12 (34) Part time teachers in TOTAL Q9a2	
Format:	F4.0 Column: 100-103
9997	N/A
9998	Invalid
9999	Missing

SC09Q21 (35) Full time teachers fully certified Q9b1	
Format:	F4.0 Column: 104-107
9997	N/A
9998	Invalid
9999	Missing

SC09Q22 (36) Part time teachers fully certified Q9b2	
Format:	F4.0 Column: 108-111
9997	N/A
9998	Invalid
9999	Missing

SC09Q31 (37) Full time teachers ISCED5A Qual Q9c1	
Format:	F4.0 Column: 112-115
9997	N/A
9998	Invalid
9999	Missing

SC09Q32 (38) Part time teachers ISCED5A Qual Q9c2	
Format:	F4.0 Column: 116-119
9997	N/A
9998	Invalid
9999	Missing

**SC10Q01 (39) Fill science teaching vacancy Q10**

Format:	F1.0	Column:	120-120
1	No vacancies		
2	All positions filled		
3	Not all filled		
7	N/A		
8	Invalid		
9	Missing		

7 N/A

8 Invalid

9 Missing

SC11QA1 (40) Responsibility teacher hire – Principal or teachers Q11a1

Format:	F1.0	Column:	121-121
1	Tick		
2	No tick		
7	N/A		
8	Invalid		
9	Missing		

7 Tick

8 No tick

7 N/A

8 Invalid

9 Missing

SC11QA2 (41) Responsibility teacher hire – School governing board Q11a2

Format:	F1.0	Column:	122-122
1	Tick		
2	No tick		
7	N/A		
8	Invalid		
9	Missing		

7 N/A

8 Invalid

9 Missing

SC11QA3 (42) Responsibility teacher hire – Intermediate education authority Q11a3

Format:	F1.0	Columns:	123-123
1	Tick		
2	No tick		
7	N/A		
8	Invalid		
9	Missing		

7 N/A

8 Invalid

9 Missing

SC11QA4 (43) Responsibility teacher hire – Central education authority Q11a4

Format:	F1.0	Columns:	124-124
1	Tick		
2	No tick		
7	N/A		
8	Invalid		
9	Missing		

7 N/A

8 Invalid

9 Missing

SC11QB1 (44) Responsibility firing teachers – Principal or teachers Q11b1

Format:	F1.0	Columns:	125-125
1	Tick		
2	No tick		
7	N/A		
8	Invalid		
9	Missing		

7 N/A

8 Invalid

9 Missing

SC11QB2 (45) Responsibility firing teachers – School governing board Q11b2

Format:	F1.0	Columns:	126-126
1	Tick		
2	No tick		
7	N/A		
8	Invalid		
9	Missing		

7 N/A

8 Invalid

9 Missing

SC11QB3 (46) Responsibility firing teachers – Intermediate education authority Q11b3

Format:	F1.0	Columns:	127-127
1	Tick		
2	No tick		
7	N/A		
8	Invalid		
9	Missing		

7 N/A

8 Invalid

9 Missing

SC11QB4 (47) Responsibility firing teachers – Central education authority Q11b4

Format:	F1.0	Columns:	128-128
1	Tick		
2	No tick		

7 N/A

8 Invalid

9 Missing

**APPENDIX 10: CODEBOOK FOR PISA 2006 SCHOOL QUESTIONNAIRE DATA FILE**

SC11QE1 (56) Responsibility formulate budget – Principal or teachers Q11e1	
Format:	F1.0
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QE2 (57) Responsibility formulate budget – School governing board Q11e2	
Format:	F1.0
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QE3 (58) Responsibility formulate budget – Intermediate education authority Q11e3	
Format:	F1.0
	Columns: 139-139
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QE4 (59) Responsibility formulate budget – Central education authority Q11e4	
Format:	F1.0
	Columns: 140-140
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QF1 (60) Responsibility budget allocations – Principal or teachers Q11f1	
Format:	F1.0
	Columns: 141-141
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QF2 (61) Responsibility budget allocations – School governing board Q11f2	
Format:	F1.0
	Columns: 142-142
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QF3 (62) Responsibility budget allocations – Intermediate education authority Q11f3	
Format:	F1.0
	Columns: 143-143
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QF4 (63) Responsibility budget allocations – Central education authority Q11f4	
Format:	F1.0
	Columns: 144-144
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QG1 (64) Responsibility student discipline – Principal or teachers Q11g1	
Format:	F1.0
	Columns: 145-145
1	Tick

2	No tick
7	N/A
8	Invalid
9	Missing

SC11QG2 (65) Responsibility student discipline – School governing board Q11g2	
Format:	F1.0
	Columns: 146-146
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QG3 (66) Responsibility student discipline – Intermediate education authority Q11g3	
Format:	F1.0
	Columns: 147-147
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QG4 (67) Responsibility student discipline – Central education authority Q11g4	
Format:	F1.0
	Columns: 148-148
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QH1 (68) Responsibility student assessment – Principal or teachers Q11h1	
Format:	F1.0
	Columns: 149-149
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QH2 (69) Responsibility student assessment – School governing board Q11h2	
Format:	F1.0
	Columns: 150-150
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QH3 (70) Responsibility student assessment – Intermediate education authority Q11h3	
Format:	F1.0
	Columns: 151-151
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QH4 (71) Responsibility student assessment – Central education authority Q11h4	
Format:	F1.0
	Columns: 152-152
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QI1 (72) Responsibility student admission – Principal or teachers Q11i1	
Format:	F1.0
	Columns: 153-153
1	Tick
2	No tick
7	N/A



8	Invalid
9	Missing

SC11Q12 (73) Responsibility student admission – School governing board Q11i2

Format: F1.0	Columns: 154-154
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11Q13 (74) Responsibility student admission – Intermediate education authority Q11i3

Format: F1.0	Columns: 155-155
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11Q14 (75) Responsibility student admission – Central education authority Q11i4

Format: F1.0	Columns: 156-156
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11Q1 (76) Responsibility textbook use – Principal or teachers Q11j1

Format: F1.0	Columns: 157-157
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11Q2 (77) Responsibility textbook use – School governing board Q11j2

Format: F1.0	Columns: 158-158
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11Q3 (78) Responsibility textbook use – Intermediate education authority Q11j3

Format: F1.0	Columns: 159-159
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11Q4 (79) Responsibility textbook use – Central education authority Q11j4

Format: F1.0	Columns: 160-160
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QK1 (80) Responsibility course content – Principal or teachers Q11k1

Format: F1.0	Columns: 161-161
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QK2 (81) Responsibility course content – School governing board Q11k2

Format: F1.0	Columns: 162-162
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QK3 (82) Responsibility course content – Intermediate education authority Q11k3

Format: F1.0	Columns: 163-163
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QK4 (83) Responsibility course content – Central education authority Q11k4

Format: F1.0	Columns: 164-164
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QL1 (84) Responsibility courses offered – Principal or teachers Q11l1

Format: F1.0	Columns: 165-165
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QL2 (85) Responsibility courses offered – School governing board Q11l2

Format: F1.0	Columns: 166-166
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QL3 (86) Responsibility courses offered – Intermediate education authority Q11l3

Format: F1.0	Columns: 167-167
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC11QL4 (87) Responsibility courses offered – Central education authority Q11l4

Format: F1.0	Columns: 168-168
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QA1 (88) Intermediate or central authority – Influence staffing Q12a1

Format: F1.0	Columns: 169-169
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QA2 (89) Intermediate or central authority – Influence budget Q12a2

Format: F1.0	Columns: 170-170
1	Tick



2	No tick
7	N/A
8	Invalid
9	Missing

SC12QA3 (90) Intermediate or central authority – Influence instructional content Q12a3

Format: F1.0	Columns: 171-171
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QA4 (91) Intermediate or central authority – Influence assessment Q12a4

Format: F1.0	Columns: 172-172
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QB1 (92) School governing board – Influence staffing Q12b1

Format: F1.0	Columns: 173-173
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QB2 (93) School governing board – Influence budget Q12b2

Format: F1.0	Columns: 174-174
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QB3 (94) School governing board – Influence instructional content Q12b3

Format: F1.0	Columns: 175-175
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QB4 (95) School governing board – Influence assessment Q12b4

Format: F1.0	Columns: 176-176
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QC1 (96) Parent groups – Influence staffing Q12c1

Format: F1.0	Columns: 177-177
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QC2 (97) Parent groups – Influence budget Q12c2

Format: F1.0	Columns: 178-178
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QC3 (98) Parent groups – Influence instructional content Q12c3

Format: F1.0	Columns: 179-179
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QC4 (99) Parent groups – Influence assessment Q12c4

Format: F1.0	Columns: 180-180
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QD1 (100) Teacher groups – Influence staffing Q12d1

Format: F1.0	Columns: 181-181
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QD2 (101) Teacher groups – Influence budget Q12d2

Format: F1.0	Columns: 182-182
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QD3 (102) Teacher groups – Influence instructional content Q12d3

Format: F1.0	Columns: 183-183
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QD4 (103) Teacher groups – Influence assessment Q12d4

Format: F1.0	Columns: 184-184
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QE1 (104) Student groups – Influence staffing Q12e1

Format: F1.0	Columns: 185-185
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QE2 (105) Student groups – Influence budget Q12e2

Format: F1.0	Columns: 186-186
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

SC12QE3 (106) Student groups – Influence instructional content Q12e3

Format: F1.0	Columns: 187-187
1	Tick
2	No tick
7	N/A
8	Invalid
9	Missing

**SC12QE4 (107) Student groups – Influence assessment Q12e4**

Format:	F1.0	Columns:	188-188
	1	Tick	
	2	No tick	
	7	N/A	
	8	Invalid	
	9	Missing	

4 A lot

7 N/A

8 Invalid

9 Missing

SC12QF1 (108) Examination board – Influence staffing Q12f1

Format:	F1.0	Columns:	189-189
	1	Tick	
	2	No tick	
	7	N/A	
	8	Invalid	
	9	Missing	

SC14Q03 (117) Shortage <test lang> teachers Q14c

Format:	F1.0	Columns:	210-210
	1	Not at all	
	2	Very little	
	3	To some extent	
	4	A lot	
	7	N/A	
	8	Invalid	
	9	Missing	

SC12QF2 (109) Examination board – Influence budget Q12f2

Format:	F1.0	Columns:	190-190
	1	Tick	
	2	No tick	
	7	N/A	
	8	Invalid	
	9	Missing	

SC14Q04 (118) Shortage qualified teachers Q14d

Format:	F1.0	Columns:	211-211
	1	Not at all	
	2	Very little	
	3	To some extent	
	4	A lot	
	7	N/A	
	8	Invalid	
	9	Missing	

SC12QF3 (110) Examination board – Influence instructional content Q12f3

Format:	F1.0	Columns:	191-191
	1	Tick	
	2	No tick	
	7	N/A	
	8	Invalid	
	9	Missing	

SC14Q05 (119) Shortage lab techs Q14e

Format:	F1.0	Columns:	212-212
	1	Not at all	
	2	Very little	
	3	To some extent	
	4	A lot	
	7	N/A	
	8	Invalid	
	9	Missing	

SC12QF4 (111) Examination board – Influence assessment Q12f4

Format:	F1.0	Columns:	192-192
	1	Tick	
	2	No tick	
	7	N/A	
	8	Invalid	
	9	Missing	

SC13Q01 (112) Computers altogether Q13a

Format:	F5.0	Columns:	193-197
	9997	N/A	
	9998	Invalid	
	9999	Missing	

SC14Q06 (120) Shortage other personnel Q14f

Format:	F1.0	Columns:	213-213
	1	Not at all	
	2	Very little	
	3	To some extent	
	4	A lot	
	7	N/A	
	8	Invalid	
	9	Missing	

SC13Q02 (113) Computers instruction Q13b

Format:	F5.0	Columns:	198-202
	9997	N/A	
	9998	Invalid	
	9999	Missing	

SC14Q07 (121) Shortage science lab equipment Q14g

Format:	F1.0	Columns:	214-214
	1	Not at all	
	2	Very little	
	3	To some extent	
	4	A lot	
	7	N/A	
	8	Invalid	
	9	Missing	

SC13Q03 (114) Computers with web Q13c

Format:	F5.0	Columns:	203-207
	9997	N/A	
	9998	Invalid	
	9999	Missing	

SC14Q08 (122) Shortage instruct material Q14h

Format:	F1.0	Columns:	215-215
	1	Not at all	
	2	Very little	
	3	To some extent	
	4	A lot	
	7	N/A	
	8	Invalid	
	9	Missing	

SC14Q01 (115) Shortage science teachers Q14a

Format:	F1.0	Columns:	208-208
	1	Not at all	
	2	Very little	
	3	To some extent	
	4	A lot	
	7	N/A	
	8	Invalid	
	9	Missing	

SC14Q08 (122) Shortage instruct material Q14h

Format:	F1.0	Columns:	215-215
	1	Not at all	
	2	Very little	
	3	To some extent	
	4	A lot	
	7	N/A	
	8	Invalid	
	9	Missing	

SC14Q02 (116) Shortage maths teachers Q14b

Format:	F1.0	Columns:	209-209
	1	Not at all	
	2	Very little	
	3	To some extent	

SC14Q09 (123) Shortage computers Q14i

Format:	F1.0	Columns:	216-216
	1	Not at all	
	2	Very little	
	3	To some extent	



4	A lot
7	N/A
8	Invalid
9	Missing

SC14Q10 (124) Shortage Internet Q14j

Format: F1.0	Columns: 217-217
1	Not at all
2	Very little
3	To some extent
4	A lot
7	N/A
8	Invalid
9	Missing

SC14Q11 (125) Shortage computer software Q14k

Format: F1.0	Columns: 218-218
1	Not at all
2	Very little
3	To some extent
4	A lot
7	N/A
8	Invalid
9	Missing

SC14Q12 (126) Shortage library materials Q14l

Format: F1.0	Columns: 219-219
1	Not at all
2	Very little
3	To some extent
4	A lot
7	N/A
8	Invalid
9	Missing

SC14Q13 (127) Shortage audio-visual Q14m

Format: F1.0	Columns: 220-220
1	Not at all
2	Very little
3	To some extent
4	A lot
7	N/A
8	Invalid
9	Missing

SC15Q01 (128) Relative to other students Q15a

Format: F1.0	Columns: 221-221
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

SC15Q02 (129) Relative to benchmarks Q15b

Format: F1.0	Columns: 222-222
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

SC15Q03 (130) Relative to same grade Q15c

Format: F1.0	Columns: 223-223
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

SC16Q01 (131) Parent pressure academic standards Q16

Format: F1.0	Columns: 224-224
1	Many parents
2	Minority of parents

3	Largely absent
7	N/A
8	Invalid
9	Missing

SC17Q01 (132) Achievement public Q17a

Format: F1.0	Columns: 225-225
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

SC17Q02 (133) Achievement principal Q17b

Format: F1.0	Columns: 226-226
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

SC17Q03 (134) Achievement teachers Q17c

Format: F1.0	Columns: 227-227
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

SC17Q04 (135) Achievement resources Q17d

Format: F1.0	Columns: 228-228
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

SC17Q05 (136) Achievement tracked Q17e

Format: F1.0	Columns: 229-229
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

SC18Q01 (137) Schooling available Q18

Format: F1.0	Columns: 230-230
1	Two or more schools
2	One other school
3	No other schools
7	N/A
8	Invalid
9	Missing

SC19Q01 (138) Admittance residence Q19a

Format: F1.0	Columns: 231-231
1	Prerequisite
2	High priority
3	Considered
4	Not considered
7	N/A
8	Invalid
9	Missing

SC19Q02 (139) Admittance academic record Q19b

Format: F1.0	Columns: 232-232
1	Prerequisite
2	High priority
3	Considered
4	Not considered



7	N/A
8	Invalid
9	Missing

8	Invalid
9	Missing

SC19Q03 (140) Admittance recommendation Q19c

Format:	F1.0	Columns:	233-233
1	Prerequisite		
2	High priority		
3	Considered		
4	Not considered		
7	N/A		
8	Invalid		
9	Missing		

SC19Q04 (141) Admittance parents endorse Q19d

Format:	F1.0	Columns:	234-234
1	Prerequisite		
2	High priority		
3	Considered		
4	Not considered		
7	N/A		
8	Invalid		
9	Missing		

SC19Q05 (142) Admittance special programme Q19e

Format:	F1.0	Columns:	235-235
1	Prerequisite		
2	High priority		
3	Considered		
4	Not considered		
7	N/A		
8	Invalid		
9	Missing		

SC19Q06 (143) Admittance family preference Q19f

Format:	F1.0	Columns:	236-236
1	Prerequisite		
2	High priority		
3	Considered		
4	Not considered		
7	N/A		
8	Invalid		
9	Missing		

SC20Q01 (144) Activities <science clubs> Q20a

Format:	F1.0	Columns:	237-237
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

SC20Q02 (145) Activities <science fairs> Q20b

Format:	F1.0	Columns:	238-238
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

SC20Q03 (146) Activities <science competitions> Q20c

Format:	F1.0	Columns:	239-239
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

SC20Q04 (147) Activities <science projects> Q20d

Format:	F1.0	Columns:	240-240
1	Yes		
2	No		
7	N/A		

SC20Q05 (148) Activities <science trips> Q20e

Format:	F1.0	Columns:	241-241
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

SC21Q01 (149) Envr specific course Q21a

Format:	F1.0	Columns:	242-242
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

SC21Q02 (150) Envr natural sciences Q21b

Format:	F1.0	Columns:	243-243
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

SC21Q03 (151) Envr geography course Q21c

Format:	F1.0	Columns:	244-244
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

SC21Q04 (152) Envr another course Q21d

Format:	F1.0	Columns:	245-245
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

SC22Q01 (153) Envr activity <outdoor> Q22a

Format:	F1.0	Columns:	246-246
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

SC22Q02 (154) Envr activity museum Q22b

Format:	F1.0	Columns:	247-247
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

SC22Q03 (155) Envr activity sci/tech Q22c

Format:	F1.0	Columns:	248-248
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

SC22Q04 (156) Envr activity projects Q22d

Format:	F1.0	Columns:	249-249
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		



SC22Q05 (157) Envr activity lectures Q22e Format: F1.0 Columns: 250-250	SC28Q01 (165) Guidance responsibility Q28 Format: F1.0 Columns: 258-258
1 Yes	1 Not applicable
2 No	2 All teachers
7 N/A	3 Specific teachers
8 Invalid	4 Counsel employed
9 Missing	5 Counsel visits
	7 N/A
	8 Invalid
	9 Missing
SC23Q01 (158) Participate job fairs Q23a Format: F1.0 Columns: 251-251	SC29Q01 (166) Career guidance Opportunity Q29 Format: F1.0 Columns: 259-259
1 Never	1 Voluntary
2 Once a year	2 Compulsory
3 More than once a year	7 N/A
7 N/A	8 Invalid
8 Invalid	9 Missing
9 Missing	
SC23Q02 (159) Participate business/industry lectures Q23b Format: F1.0 Columns: 252-252	ABGROUP (167) Ability grouping within schools recoded from SC08Q01 and SC08Q02 (2006) Format: F1.0 Columns: 260-260
1 Never	1 Not for any subjects
2 Once a year	2 For some subjects
3 More than once a year	3 For all subjects
7 N/A	7 N/A
8 Invalid	9 Missing
9 Missing	
SC23Q03 (160) Participate business/industry visits Q23c Format: F1.0 Columns: 253-253	CLSIZE (168) Size of <test language> class recoded from SC06Q01 Format: F2.0 Columns: 261-262
1 Never	97 N/A
2 Once a year	99 Miss
3 More than once a year	
7 N/A	
8 Invalid	
9 Missing	
SC24Q01 (161) Training local business Q24 Format: F1.0 Columns: 254-254	COMPWEB (169) Proportion of computers connected to web Format: F8.3 Columns: 263-270
1 Not offered	9997 N/A
2 Half or less	9998 Invalid
3 More than half	9999 Missing
7 N/A	
8 Invalid	
9 Missing	
SC25Q01 (162) Curriculum business/industry Q25 Format: F1.0 Columns: 255-255	IRATCOMP (170) Ratio of computers for instruction to school size Format: F8.3 Columns: 271-278
1 No influence	9997 N/A
2 Minor influence	9998 Invalid
3 Considerable influence	9999 Missing
7 N/A	
8 Invalid	
9 Missing	
SC26Q01 (163) Developing science skills Q26 Format: F1.0 Columns: 256-256	PCGIRLS (171) Proportion of girls at school Format: F8.3 Columns: 279-286
1 Incidental	9997 N/A
2 Integrated	9998 Invalid
3 Focal	9999 Missing
7 N/A	
8 Invalid	
9 Missing	
SC27Q01 (164) Developing tertiary skills Q27 Format: F1.0 Columns: 257-257	PROPCERT (172) Proportion of certified teachers Format: F8.3 Columns: 287-294
1 Incidental	9997 N/A
2 Integrated	9998 Invalid
3 Focal	9999 Missing
7 N/A	
8 Invalid	
9 Missing	
RATCOMP (174) Ratio of computers to school size Format: F8.3 Columns: 303-310	PROPQUAL (173) Proportion of teachers with ISCED 5A Format: F8.3 Columns: 295-302
9997 N/A	9997 N/A
9998 Invalid	9998 Invalid
9999 Missing	9999 Missing



SCHLTYPE (175) School ownership	
Format: F1.0	Columns: 311-311
1	Private independent
2	Private government-dependent
3	Public
7	N/A
8	Invalid
9	Missing

SCHSIZE (176) School size	
Format: F8.0	Columns: 312-319
99997	N/A
99998	Invalid
99999	Missing

SELSCH (177) School academic selectivity recoded from SC19Q02 and SC19Q03 (2006)	
Format: F1.0	Columns: 320-320
1	Not considered
2	At least one considered
3	At least one high priority
4	At least one prerequisite
7	N/A
9	Missing

STRATIO (178) Student-teacher ratio	
Format: F8.3	Columns: 321-328
9997	N/A
9998	Invalid
9999	Missing

RESPRES (179) Responsibility for resource allocation index PISA 2006	
Format: F8.3	Columns: 329-336
997	N/A
999	Miss

RESPCURR (180) Responsibility for curriculum & assessment index PISA 2006	
Format: F8.3	Columns: 337-344
997	N/A
999	Miss

ENVLEARN (181) School activities for learning environmental topics PISA 2006 (WLE)	
Format: F8.4	Columns: 345-352
997	N/A
999	Miss

SCIPROM (182) School activities to promote the learning of science PISA 2006 (WLE)	
Format: F8.4	Columns: 353-360
997	N/A
999	Miss

SCMATEDU (183) Quality of educational resources PISA 2006 (WLE)	
Format: F8.4	Columns: 361-368
997	N/A
999	Miss

TCSHORT (184) Teacher shortage (negative scale) PISA 2006 (WLE)	
Format: F8.4	Columns: 369-376
997	N/A
999	Miss

W_FSCHWT (185) Final school weight	
Format: F9.4	Columns: 377-385

STRATUM (186) Original stratum	
Format: A5	Columns: 386-390
	See Appendix 7 for labels

VER_SCH (187) Version of school database and date of release	
Format: A13	Columns: 391-403



APPENDIX 11

CODEBOOK FOR PISA 2006 PARENTS QUESTIONNAIRE DATA FILE

SUBNATIO (1) Adjudicated sub-region
Format: A5 Columns: 1-5
See Appendix 7 for labels
SCHOOLID (2) School ID 5-digit
Format: A5 Columns: 6-10
STIDSTD (3) Student ID 5-digit
Format: A5 Columns: 11-15
CNT (4) Country code 3-character
Format: A3 Columns: 16-18
See Appendix 7 for labels
COUNTRY (5) Country code ISO 3-digit
Format: A3 Columns: 19-21
See Appendix 7 for labels
OECD (6) OECD country
Format: F1.0 Columns: 22-22
0 Non-OECD
1 OECD
PA01Q01 (7) Completed Quest – Mother Q1a
Format: F1.0 Columns: 23-23
1 Yes
7 N/A
9 Missing
PA01Q02 (8) Completed Quest – Father Q1b
Format: F1.0 Columns: 24-24
1 Yes
7 N/A
9 Missing
PA01Q03 (9) Completed Quest – Other Q1c
Format: F1.0 Columns: 25-25
1 Yes
7 N/A
9 Missing
PA02Q01 (10) Student at Age 10 – Science TV programmes Q2a
Format: F1.0 Columns: 26-26
1 Very often
2 Regularly
3 Sometimes
4 Never
7 N/A
8 Invalid
9 Missing
PA02Q02 (11) Student at Age 10 – Science books Q2b
Format: F1.0 Columns: 27-27
1 Very often
2 Regularly
3 Sometimes
4 Never
7 N/A
8 Invalid
9 Missing
PA02Q03 (12) Student at Age 10 – Science Fiction Q2c
Format: F1.0 Columns: 28-28
1 Very often
2 Regularly
3 Sometimes

4	Never
7	N/A
8	Invalid
9	Missing
PA02Q04 (13) Student at Age 10 – Science websites Q2d	
Format: F1.0	Columns: 29-29
1	Very often
2	Regularly
3	Sometimes
4	Never
7	N/A
8	Invalid
9	Missing
PA02Q05 (14) Student at Age 10 – Science club Q2e	
Format: F1.0	Columns: 30-30
1	Very often
2	Regularly
3	Sometimes
4	Never
7	N/A
8	Invalid
9	Missing
PA03Q01 (15) School – Teachers competent Q3a	
Format: F1.0	Columns: 31-31
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing
PA03Q02 (16) School – Achievements high Q3b	
Format: F1.0	Columns: 32-32
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing
PA03Q03 (17) School – Content good Q3c	
Format: F1.0	Columns: 33-33
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing
PA03Q04 (18) School – Discipline good Q3d	
Format: F1.0	Columns: 34-34
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

**PA03Q05 (19) School – Progress monitored Q3e**

Format:	F1.0	Columns:	35-35
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

PA03Q06 (20) School – Progress information Q3f

Format:	F1.0	Columns:	36-36
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

PA03Q07 (21) School – Education good Q3g

Format:	F1.0	Columns:	37-37
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

PA04Q01 (22) Science Skills – Any job Q4a

Format:	F1.0	Columns:	38-38
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

PA04Q02 (23) Science Skills – Appreciated by employers Q4b

Format:	F1.0	Columns:	39-39
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

PA04Q03 (24) Science Skills – Required Q4c

Format:	F1.0	Columns:	40-40
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

PA04Q04 (25) Science Skills – Advantage Q4d

Format:	F1.0	Columns:	41-41
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

PA05Q01 (26) Science Career – Family Q5a

Format:	F1.0	Columns:	42-42
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

PA05Q02 (27) Science Career – Student interest Q5b

Format:	F1.0	Columns:	43-43
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

PA05Q03 (28) Science Career – Student work Q5c

Format:	F1.0	Columns:	44-44
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

PA05Q04 (29) Science Study After School – Student interest Q5d

Format:	F1.0	Columns:	45-45
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

PA05Q05 (30) Science Study After School – Student study Q5e

Format:	F1.0	Columns:	46-46
1	Yes		
2	No		
7	N/A		
8	Invalid		
9	Missing		

PA06Q01 (31) Views – Improve conditions Q6a

Format:	F1.0	Columns:	47-47
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

PA06Q02 (32) Views – Natural world Q6b

Format:	F1.0	Columns:	48-48
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		

PA06Q03 (33) Views – Relate to others Q6c

Format:	F1.0	Columns:	49-49
1	Strongly agree		
2	Agree		
3	Disagree		
4	Strongly disagree		
7	N/A		
8	Invalid		
9	Missing		



PA06Q04 (34) Views – Improve economy Q6d	
Format:	F1.0 Columns: 50-50
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

PA06Q05 (35) Views – Everyday life Q6e	
Format:	F1.0 Columns: 51-51
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

PA06Q06 (36) Views – Valuable to society Q6f	
Format:	F1.0 Columns: 52-52
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

PA06Q07 (37) Views – Relevant to me Q6g	
Format:	F1.0 Columns: 53-53
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

PA06Q08 (38) Views – Understand things Q6h	
Format:	F1.0 Columns: 54-54
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

PA06Q09 (39) Views – Social benefits Q6i	
Format:	F1.0 Columns: 55-55
1	Strongly agree
2	Agree
3	Disagree
4	Strongly disagree
7	N/A
8	Invalid
9	Missing

PA07Q01 (40) Envr Issues – Air pollution Q7a	
Format:	F1.0 Columns: 56-56
1	Concern for me
2	Concern for others in my country
3	Concern for other countries
4	Concern for no one
7	N/A
8	Invalid
9	Missing

PA07Q02 (41) Envr Issues – Energy Q7b	
Format:	F1.0 Columns: 57-57
1	Concern for me
2	Concern for others in my country
3	Concern for other countries
4	Concern for no one
7	N/A
8	Invalid
9	Missing

PA07Q03 (42) Envr Issues – Extinction Q7c	
Format:	F1.0 Columns: 58-58
1	Concern for me
2	Concern for others in my country
3	Concern for other countries
4	Concern for no one
7	N/A
8	Invalid
9	Missing

PA07Q04 (43) Envr Issues – Forests Q7d	
Format:	F1.0 Columns: 59-59
1	Concern for me
2	Concern for others in my country
3	Concern for other countries
4	Concern for no one
7	N/A
8	Invalid
9	Missing

PA07Q05 (44) Envr Issues – Water Q7e	
Format:	F1.0 Columns: 60-60
1	Concern for me
2	Concern for others in my country
3	Concern for other countries
4	Concern for no one
7	N/A
8	Invalid
9	Missing

PA07Q06 (45) Envr Issues – Nuclear Q7f	
Format:	F1.0 Columns: 61-61
1	Concern for me
2	Concern for others in my country
3	Concern for other countries
4	Concern for no one
7	N/A
8	Invalid
9	Missing

PA08Q01 (46) Envr Probs – Air pollution Q8a	
Format:	F1.0 Columns: 62-62
1	Improve
2	Stay same
3	Get worse
7	N/A
8	Invalid
9	Missing

PA08Q02 (47) Envr Probs – Energy Q8b	
Format:	F1.0 Columns: 63-63
1	Improve
2	Stay same
3	Get worse
7	N/A
8	Invalid
9	Missing

PA08Q03 (48) Envr Probs – Extinction Q8c	
Format:	F1.0 Columns: 64-64
1	Improve
2	Stay same
3	Get worse



7	N/A
8	Invalid
9	Missing

PA08Q04 (49) Envr Probs – Forests Q8d

Format:	F1.0	Columns: 65-65
1	Improve	
2	Stay same	
3	Get worse	
7	N/A	
8	Invalid	
9	Missing	

PA08Q05 (50) Envr Probs – Water Q8e

Format:	F1.0	Columns: 66-66
1	Improve	
2	Stay same	
3	Get worse	
7	N/A	
8	Invalid	
9	Missing	

PA08Q06 (51) Envr Probs – Nuclear Q8f

Format:	F1.0	Columns: 67-67
1	Improve	
2	Stay same	
3	Get worse	
7	N/A	
8	Invalid	
9	Missing	

PA09Q01 (52) Education cost Q9

Format:	A4	Columns: 68-71
1001	Less than 100 leva	
1002	100 leva or more – less than 500 leva	
1003	500 leva or more – less than 1000 leva	
1004	1000 leva or more – less than 1500 leva	
1005	1500 leva or more	
1701	Less than COP\$ 50000	
1702	COP\$ 50000 or more – less than COP\$500000	
1703	COP\$500000 or more – less than COP\$1000000	
1704	COP\$1000000 or more – less than COP\$1500000	
1705	COP\$1500000 or more	
1911	Less than 2000 kuna	
1912	2000 kuna or more – less than 5000 kuna	
1913	5000 kuna or more – less than 8000 kuna	
1914	8000 kuna or more – less than 11000 kuna	
1915	11000 kuna or more	
2081	Less than 500 Dkr	
2082	500 Dkr or more – less than 4000 Dkr	
2083	4000 Dkr or more – less than 8000 Dkr	
2084	8000 Dkr or more – less than 12000 Dkr	
2085	12000 Dkr or more	
2761	Less than 10 eruo	
2762	10 eruo or more – less than 1200 euro	
2763	1200 euro or more – less than 2400 euro	
2764	2400 euro or more – less than 3600 euro	
2765	3600 euro or more	
3441	Less than HK\$5000	
3442	HK\$5000 or more – less than HK\$10000	
3443	HK\$10000 or more – less than HK\$50000	
3444	HK\$50000 or more – less than HK\$100000	
3445	HK\$100000 or more	
3521	Less than 10000 lkr	
3522	10000 lkr or more – less than 50000 lkr	
3523	50000 lkr or more – less than 90000 lkr	
3524	90000 lkr or more – less than 130000 lkr	
3525	130000 lkr or more	
3801	Less than 100 euro	
3802	100 euro or more – less than 200 euro	
3803	200 euro or more – less than 300 euro	
3804	300 euro or more – less than 400 euro	
3805	400 euro or more	

4101	Less than 1500000 won
4102	1500000 won or more – less than 3000000 won
4103	3000000 won or more – less than 4500000 won
4104	4500000 won or more – less than 6000000 won
4105	6000000 won or more
4421	Less than 100 euro
4422	100 euro or more – less than 800 euro
4423	800 euro or more – less than 1600 euro
4424	1600 euro or more – less than 2 400 euro
4425	2400 euro or more
4461	Less than MOP\$10000
4462	MOP\$10000 or more – less than MOP\$20000
4463	MOP\$20000 or more – less than MOP\$30000
4464	MOP\$30000 or more – less than MOP\$40000
4465	MOP\$40000 or more
5541	Less than NZ\$200
5542	NZ\$200 or more – less than NZ\$3000
5543	NZ\$3000 or more – less than NZ\$6000
5544	NZ\$6000 or more – less than NZ\$9000
5545	NZ\$9000 or more
6161	Less than 300 zlotych
6162	300 zlotych or more – less than 600 zlotych
6163	600 zlotych or more – less than 1200 zlotych
6164	1200 zlotych or more – less than 2500 zlotych
6165	2500 zlotych or more
6201	Less than 20 euro
6202	20 euro or more – less than 4000 euro
6203	4000 euro or more – less than 8000 euro
6204	8000 euro or more – less than 12000 euro
6205	12000 euro or more
6341	Less than QR 1000
6342	QR 1000 or more – less than QR 6000
6343	QR 6000 or more – less than QR 11000
6344	QR 11000 or more – less than QR 16000
6345	QR 16000 or more
7921	Less than YTL 600
7922	YTL 600 or more – less than YTL 1200
7923	YTL 1200 or more – less than YTL 5000
7924	YTL 5000 or more – less than YTL 15000
7925	YTL 15000 or more
9997	N/A
9998	Invalid
9999	Missing

PA10Q01 (53) Father age Q10a

Format:	F1.0	Columns: 72-72
1	Younger than 36	
2	36 – 40 years	
3	41 – 45 years	
4	46 – 50 years	
5	51 years or older	
7	N/A	
8	Invalid	
9	Missing	

PA10Q02 (54) Mother age Q10b

Format:	F1.0	Columns: 73-73
1	Younger than 36	
2	36 – 40 years	
3	41 – 45 years	
4	46 – 50 years	
5	51 years or older	
7	N/A	
8	Invalid	
9	Missing	

PA11Q01 (55) PQ Father occupation (ISCO) Q11

Format:	A4	Columns: 74-77
See ST05Q01 in Appendix 7 for labels		

PA12Q01 (56) Father Qual <ISCED 5A,6> Q12a

Format:	F1.0	Columns: 78-78
1	Yes	
2	No	
7	N/A	

**APPENDIX 11: CODEBOOK FOR PISA 2006 PARENTS QUESTIONNAIRE DATA FILE**

8	Invalid
9	Missing

PA12Q02 (57) Father Qual – <ISCED 5B> Q12b

Format: F1.0	Columns: 79-79
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

PA12Q03 (58) Father Qual – <ISCED 4> Q12c

Format: F1.0	Columns: 80-80
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

PA12Q04 (59) Father Qual – <ISCED 3A> Q12d

Format: F1.0	Columns: 81-81
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

PA13Q01 (60) PQ Mother occupation (ISCO) Q13

Format: A4	Columns: 82-85
See ST05Q01 in Appendix 7 for labels	

PA14Q01 (61) Mother Qual – <ISCED 5A,6> Q14a

Format: F1.0	Columns: 86-86
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

PA14Q02 (62) Mother Qual – <ISCED 5B> Q14b

Format: F1.0	Columns: 87-87
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

PA14Q03 (63) Mother Qual – <ISCED 4> Q14c

Format: F1.0	Columns: 88-88
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

PA14Q04 (64) Mother Qual – <ISCED 3A> Q14d

Format: F1.0	Columns: 89-89
1	Yes
2	No
7	N/A
8	Invalid
9	Missing

PA15Q01 (65) Household income (relative to median) Q15

Format: F1.0	Columns: 90-90
1	Less than < 0.5 median >
2	< 0.5 median > or more but less than < 0.75 median >
3	< 0.75 median > or more but less than < median >
4	< median > or more but less than < 1.25 median >
5	< 1.25 median > or more but less than < 1.5 median >
6	< 1.5 median > or more

7	N/A
8	Invalid
9	Missing

INCOME (66) Household income (national currency) Q15

Format: A4	Columns: 91-94
1001	Less than 2000 leva
1002	2000 leva or more – less than 3000 leva
1003	3000 leva or more – less than 4000 leva
1004	4000 leva or more – less than 5000 leva
1005	5000 leva or more – less than 6000 leva
1006	6000 leva or more
1701	Less than COP\$6000000
1702	COP\$6000000 or more – less than COP\$9000000
1703	COP\$9000000 or more – less than COP\$12000000
1704	COP\$12000000 or more – less than COP\$15000000
1705	COP\$15000000 or more – less than COP\$18000000
1716	COP\$18000000 or more
1911	Less than 2000 kuna
1912	2000 kuna or more – less than 4000 kuna
1913	4000 kuna or more – less than 6000 kuna
1914	6000 kuna or more – less than 8000 kuna
1915	8000 kuna or more – less than 10000 kuna
1916	10000 kuna or more
2081	Less than 200000 Dkr
2082	200000 Dkr or more – less than 300000 Dkr
2083	300000 Dkr or more – less than 400000 Dkr
2084	400000 Dkr or more – less than 500000 Dkr
2085	500000 Dkr or more – less than 600000 Dkr
2086	600000 Dkr or more
2761	Less than 20000 euro
2762	20000 euro or more – less than 30000 euro
2763	30000 euro or more – less than 40000 euro
2764	40000 euro or more – less than 50000 euro
2765	50000 euro or more – less than 60000 euro
2766	60000 euro or more
3441	Less than HK\$10000
3442	HK\$10000 or more – less than HK\$15000
3443	HK\$15000 or more – less than HK\$20000
3444	HK\$20000 or more – less than HK\$25000
3445	HK\$25000 or more – less than HK\$30000
3446	HK\$30000 or more
3521	Less than 2250000 lkr
3522	2250000 lkr or more – less than 3375000 lkr
3523	3375000 lkr or more – less than 4500000 lkr
3524	4500000 lkr or more – less than 5625000 lkr
3525	5625000 lkr or more – less than 6750000 lkr
3526	6750000 lkr or more
4101	Less than 15000000 won
4102	15000000 won or more – less than 25000000 won
4103	25000000 won or more – less than 35000000 won
4104	35000000 won or more – less than 45000000 won
4105	45000000 won or more – less than 55000000 won
4106	55000000 won or more
4421	Less than 20000 euro
4422	20000 euro or more – less than 35000 euro
4423	35000 euro or more – less than 50000 euro
4424	50000 euro or more – less than 65000 euro
4425	65000 euro or more – less than 80000 euro
4426	80000 euro or more
4461	Less than MOP\$72000
4462	MOP\$72000 or more – less than MOP\$144000
4463	MOP\$144000 or more – less than MOP\$216000
4464	MOP\$216000 or more – less than MOP\$288000
4465	MOP\$288000 or more – less than MOP\$360000
4466	MOP\$360000 or more
5541	Less than NZ\$30000
5542	NZ\$30000 or more – less than NZ\$45000
5543	NZ\$45000 or more – less than NZ\$60000
5544	NZ\$60000 or more – less than NZ\$75000
5545	NZ\$75000 or more – less than NZ\$90000
5546	NZ\$90000 or more
6161	Less than 600 zlotych



6162	600 złotych or more – less than 1200 złotych
6163	1200 złotych or more – less than 1800 złotych
6164	1800 złotych or more – less than 2400 złotych
6165	2400 złotych or more – less than 3000 złotych
6166	3000 złotych or more
6201	Less than 1000 euro
6202	1000 euro or more – less than 15000 euro
6203	15000 euro or more – less than 20000 euro
6204	20000 euro or more – less than 25000 euro
6205	25000 euro or more – less than 30000 euro
6206	30000 euro or more
6341	Less than QR 50000
6342	QR 50000 or more – less than QR 75000
6343	QR 75000 or more – less than QR 100000
6344	QR 100000 or more – less than QR 125000
6345	QR 125000 or more – less than QR 150000
6346	QR 150000 or more
7921	Less than YTL 6000
7922	YTL 6000 or more – less than YTL 12000
7923	YTL 12000 or more – less than YTL 24000
7924	YTL 24000 or more – less than YTL 48000
7925	YTL 48000 or more – less than YTL 72000
7926	YTL 72000 or more
9997	N/A
9998	Invalid
9999	Missing

PQBMJ (67) PQ Occupational status Mother (SEI)

Format: F2.0	Columns: 95-96
97	N/A
98	Invalid
99	Missing

PQBFMJ (68) PQ Occupational status Father (SEI)

Format: F2.0	Columns: 97-98
97	N/A
98	Invalid
99	Missing

PQHISEI (69) PQ Highest parental occupational status (SEI)

Format: F2.0	Columns: 99-100
97	N/A
98	Invalid
99	Missing

PQSRC_M (70) PQ Mother science-related career

Format: F1.0	Columns: 101-101
0	No or indeterminate
1	Yes
7	N/A
8	Invalid
9	Missing

PQSRC_F (71) PQ Father science-related career

Format: F1.0	Columns: 102-102
0	No or indeterminate
1	Yes
7	N/A
8	Invalid
9	Missing

PQSRC_E (72) PQ Either parent science-related career

Format: F1.0	Columns: 103-103
0	No or indeterminate
1	Yes
7	N/A
8	Invalid
9	Missing

PQFISCED (73) PQ Educational level of father (ISCED)

Format: F1.0	Columns: 104-104
0	Below ISCED 3A
1	ISCED 3A

2	ISCED 4
3	ISCED 5B
4	ISCED 5A or 6
7	N/A
8	Invalid
9	Missing

PQMISCED (74) PQ Educational level of mother (ISCED)

Format: F1.0	Columns: 105-105
0	Below ISCED 3A
1	ISCED 3A
2	ISCED 4
3	ISCED 5B
4	ISCED 5A or 6
7	N/A
8	Invalid
9	Missing

PQHISCED (75) PQ Highest educational level of parents

Format: F1.0	Columns: 106-106
0	Below ISCED 3A
1	ISCED 3A
2	ISCED 4
3	ISCED 5B
4	ISCED 5A or 6
7	N/A
8	Invalid
9	Missing

PQENPERC (76) PQ Perception of environmental issues PISA 2006 (WLE)

Format: F9.4	Columns: 107-115
9997	N/A
9999	Missing

PQENVOPT (77) PQ Environmental optimism PISA 2006 (WLE)

Format: F9.4	Columns: 116-124
9997	N/A
9999	Missing

PQGENSCI (78) PQ General value of science PISA 2006 (WLE)

Format: F9.4	Columns: 125-133
9997	N/A
9999	Missing

PQPERSCI (79) PQ Personal value of science PISA 2006 (WLE)

Format: F9.4	Columns: 134-142
9997	N/A
9999	Missing

PQSCCAR (80) Parents reports on science career motivation PISA 2006 (WLE)

Format: F9.4	Columns: 143-151
9997	N/A
9999	Missing

PQSCHOOL (81) Parents perception of school quality PISA 2006 (WLE)

Format: F9.4	Columns: 152-160
9997	N/A
9999	Missing

PQSCIACT (82) Science activities at age 10 PISA 2006 (WLE)

Format: F9.4	Columns: 161-169
9997	N/A
9999	Missing

PQSCIMP (83) Parents view – importance of science PISA 2006 (WLE)

Format: F9.4	Columns: 170-178
9997	N/A
9999	Missing

VER_PAR (84) Version parent database and date of release

Format: A13	Columns: 179-191
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APPENDIX 12 PISA 2006 QUESTIONNAIRE INDICES

Overview

The PISA 2006 context questionnaires included numerous items on student characteristics, student family background, student perceptions, school characteristics and perceptions of school principals. In 16 countries (optional) parent questionnaires were administered to the parents of the tested students.

Some of the items were designed to be used in analyses as single items (for example, gender). However, most questionnaire items were designed to be combined in some way so as to measure latent constructs that cannot be observed directly. For these items, transformations or scaling procedures are needed to construct meaningful indices.

Appendix 12 describes how student, school and parent questionnaire indices were constructed and validated. As in previous PISA surveys, two different kinds of indices can be distinguished:

- Simple indices: These indices were constructed through the arithmetical transformation or recoding of one or more items;
- Scale indices: These indices were constructed through the scaling of items. Typically, scale scores for these indices are estimates of latent traits derived through IRT scaling of dichotomous or Likert-type items.

Appendix 12 (i) outlines how simple indices were constructed, (ii) describes the methodology used for construct validation and scaling, (iii) details the construction and validation of scaled indices and (iv) illustrates the computation of the index on economic, social and cultural status (ESCS), including a discussion of some modifications from the PISA 2003 ESCS index. Some indices had already been used in previous PISA surveys and are constructed based on a similar scaling methodology (OECD 2005a). Most indices, however, were based on the elaboration of a questionnaire framework and are related to science as the major domain of the third PISA survey.

Simple questionnaire indices

Student questionnaire indices

Student age

The age of a student (*AGE*) was calculated as the difference between the year and month of the testing and the year and month of a student's birth. Data on student's age were obtained from both the questionnaire and the student tracking forms. If the month of testing was not known for a particular student, the median month of testing for that country was used in the calculation. The formula for computing *AGE* was

$$AGE = (100 + T_y - S_y) + \frac{(T_m - S_m)}{12}$$

where T_y and S_y are the year of the test and the year of the tested student's birth, respectively in two-digit format (for example "06" or "92"), and T_m and S_m are the month of the test and month of the student's birth respectively. The result is rounded to two decimal places.

Study programme indices

PISA 2006 collected data on study programmes available to 15-year-old students in each country. This information was obtained through the student tracking form and the student questionnaire. In the final database, all national programmes will be included in a separate variable (*PROGN*) where the first three digits are the ISO code for a country, the next two digits are the sub-national category, and the last two digits are the nationally specific programme code. All study programmes were classified using the international standard classification of education (ISCED) (OECD, 1999b). The following indices are derived from the data on study programmes: programme level (*ISCDL*) indicating whether students are on the lower or upper secondary level (ISCED 2 or ISCED 3); programme designation (*ISCEDD*) indicating the designation of the study programme (A = general programmes designed to give access to the next programme level, B = programmes designed to give access to vocational studies at the next programme level, C = programmes designed to give direct access to the labour market, M = modular programmes that combine any or all of these characteristics; and programme orientation (*ISCEDO*) indicating whether the programme's curricular content is general, pre-vocational or vocational).



Table A12.1
Mapping of ISCED to accumulated years of education

	ISCED 1	ISCED 2	ISCED 3B or 3C	ISCED 3A or 4	ISCED 5B	ISCED 5A or 6
OECD	Australia	6.0	10.0	11.0	12.0	14.0
	Austria	4.0	9.0	12.0	12.5	15.0
	Belgium	6.0	9.0	12.0	12.0	14.5
	Canada	6.0	9.0	12.0	12.0	15.0
	Czech Republic	5.0	9.0	11.0	13.0	16.0
	Denmark	6.0	9.0	12.0	12.0	15.0
	England, Wales & NOrth. Ireland	6.0	9.0	12.0	13.0	15.0
	Finland	6.0	9.0	12.0	12.0	14.5
	France	5.0	9.0	12.0	12.0	14.0
	Germany	4.0	10.0	13.0	13.0	15.0
	Greece	6.0	9.0	11.5	12.0	15.0
	Hungary	4.0	8.0	10.5	12.0	13.5
	Iceland	7.0	10.0	13.0	14.0	16.0
	Ireland	6.0	9.0	12.0	12.0	14.0
	Italy	5.0	8.0	12.0	13.0	16.0
	Japan	6.0	9.0	12.0	12.0	14.0
	Korea	6.0	9.0	12.0	12.0	14.0
	Luxembourg	6.0	9.0	12.0	13.0	16.0
	Mexico	6.0	9.0	12.0	12.0	14.0
	Netherlands	6.0	10.0		12.0	16.0
	New Zealand	5.5	10.0	11.0	12.0	14.0
	Norway	6.0	9.0	12.0	12.0	14.0
	Poland		8.0	11.0	12.0	15.0
	Portugal	6.0	9.0	12.0	12.0	15.0
	Scotland	7.0	11.0	13.0	13.0	16.0
	Slovak Republic	4.5	8.5	12.0	12.0	13.5
	Spain	5.0	8.0	10.0	12.0	13.0
	Sweden	6.0	9.0	11.5	12.0	14.0
	Switzerland	6.0	9.0	12.5	12.5	14.5
	Turkey	5.0	8.0	11.0	11.0	13.0
	United States	6.0	9.0		12.0	14.0
Partners	Argentina	6.0	10.0	12.0	12.0	14.5
	Azerbaijan	4.0	9.0	11.0	11.0	14.0
	Brazil	4.0	8.0	11.0	11.0	14.5
	Bulgaria	4.0	8.0	12.0	12.0	15.0
	Chile	6.0	8.0	12.0	12.0	16.0
	Colombia	5.0	9.0	11.0	11.0	14.0
	Croatia	4.0	8.0	11.0	12.0	15.0
	Estonia	4.0	9.0	12.0	12.0	15.0
	Hong Kong-China	6.0	9.0	11.0	13.0	14.0
	Indonesia	6.0	9.0	12.0	12.0	14.0
	Israel	6.0	9.0	12.0	12.0	15.0
	Jordan	6.0	10.0	12.0	12.0	14.5
	Kyrgyzstan	4.0	8.0	11.0	10.0	13.0
	Latvia	3.0	8.0	11.0	11.0	16.0
	Liechtenstein	5.0	9.0	11.0	13.0	14.0
	Lithuania	3.0	8.0	11.0	11.0	15.0
	Macao-China	6.0	9.0	11.0	12.0	15.0
	Montenegro	4.0	8.0	11.0	12.0	15.0
	Qatar	6.0	9.0	12.0	12.0	15.0
	Romania	4.0	8.0	11.5	12.5	14.0
	Russian Federation	4.0	9.0	11.5	12.0	
	Serbia	4.0	8.0	11.0	12.0	14.5
	Slovenia	4.0	8.0	11.0	12.0	15.0
	Chinese Taipei	6.0	9.0	12.0	12.0	14.0
	Thailand	6.0	9.0	12.0	12.0	14.0
	Tunisia	6.0	9.0	12.0	13.0	16.0
	Uruguay	6.0	9.0	12.0	12.0	15.0



Highest occupational status of parents

Occupational data for both the student's father and student's mother were obtained by asking open-ended questions. The responses were coded to four-digit ISCO codes (ILO, 1990) and then mapped to the international socio-economic index of occupational status (*ISEI*) (Ganzeboom *et al.*, 1992). Three indices were obtained from these scores: father's occupational status (*BFMj*); mother's occupational status (*BMMj*); and the highest occupational status of parents (*HISEI*) which corresponds to the higher *ISEI* score of either parent or to the only available parent's *ISEI* score. For all three indices, higher *ISEI* scores indicate higher levels of occupational status.

Educational level of parents

Parental education is a second family background variable that is often used in the analysis of educational outcomes. Theoretically, it has been argued that parental education is a more relevant influence on a student's outcomes than is parental occupation. Like occupation, the collection of internationally comparable data on parental education poses significant challenges, and less work has been done on internationally comparable measures of educational outcomes than has been done on occupational status. The core difficulties with parental education relate to international comparability (education systems differ widely between countries and within countries over time), response validity (students are often unable to accurately report their parents' level of education) and, especially with increasing immigration, difficulties in the national mapping of parental qualifications gained abroad.

Parental education is classified using ISCED (OECD, 1999). Indices on parental education are constructed by recoding educational qualifications into the following categories: (0) None; (1) ISCED 1 (primary education); (2) ISCED 2 (lower secondary); (3) ISCED Level 3B or 3C (vocational/pre-vocational upper secondary); (4) ISCED 3A (upper secondary) and/or ISCED 4 (non-tertiary post-secondary); (5) ISCED 5B (vocational tertiary); and (6) ISCED 5A, 6 (theoretically oriented tertiary and post-graduate). Indices with these categories were provided for the students' mother (*MISCED*) and the students' father (*FISCED*). In addition, the index on the highest educational level of parents (*HISCED*) corresponds to the higher ISCED level of either parent.

The index scores for highest educational level of parents were also recoded into estimated years of schooling (*PARED*). A mapping of ISCED levels of years of schooling is in Table A12.1.

Immigration background

As in PISA 2000 and PISA 2003, information on the country of birth of the students and their parents was collected. Included in the database are three country-specific variables relating to the country of birth of the student, mother, and father (*COBN_S*, *COBN_M* and *COBN_F*). Also, the items ST11Q01, ST11Q02 and ST11Q03 have been recoded for the database into the following categories: (1) country of birth is same as country of assessment, and (2) otherwise.

The index on immigrant background (*IMMIQ*) is calculated from these variables, and has the following categories: (1) native students (those students who had at least one parent born in the country), (2) first-generation students (those students born outside the country of assessment and whose parents were also born in another country), and (3) second generation' students (those born in the country of assessment but whose parent(s) were born in another country). Students with missing responses for either the student or for both parents have been given missing values for this variable.

Language spoken at home

Similar to PISA 2003, students also indicated what language they usually spoke at home, and the database includes a variable (*LANGN*) containing country-specific codes for each language. In addition, the item ST12Q01 has been recoded for the international database into the following categories: (1) language at home is same as the language of assessment for that student, (2) language at home is a national language of the country but the student was assessed in a different language, and (3) language at home is another (foreign) language.

Expected occupational status

As in PISA 2000 and 2003, students were asked to report their expected occupation at age 30 and a description of this job. The responses were coded to four-digit ISCO codes (ILO, 1990) and then mapped to the *ISEI* index (Ganzeboom *et al.*, 1992). Recoding of ISCO codes into *ISEI* index results in scores for the students' expected occupational status (*BSMj*), where higher scores of *ISEI* indicate higher levels of expected occupational status.



Blue-collar/white-collar parental occupation

As in 2003, the ISCO codes of parents were recoded into 4 categories: (1) white-collar high-skilled, (2) white-collar low-skilled, (3) blue-collar high-skilled, and (4) blue-collar low-skilled. Three variables are included, one indicating the mother's employment category (*MSECATEG*), another indicating father's employment category (*FSECATEG*), and another indicating the highest employment category of either parent (*HSECATEG*).

Table A12.2
ISCO major group white-collar/blue-collar classification

ISCO Major Group	White-collar/blue-collar classification
1	White-collar high-skilled
2	White-collar high-skilled
3	White-collar high-skilled
4	White-collar low-skilled
5	White-collar low-skilled
6	Blue-collar high-skilled
7	Blue-collar high-skilled
8	Blue-collar low-skilled
9	Blue-collar low-skilled

Science-related occupations for parents and students

The ISCO data were used to compute four variables indicating whether or not the student expects to have a science-related career at age 30 (*SRC_S*), whether their mother (*SRC_M*) or father (*SRC_F*) are in a science career, or whether either or both parents are in a science related career (*SRC_E*). Values of 1 on these indicate "yes", while values of 0 indicate "no or undetermined".

To reduce the amount of missing data for parents' career status, parents with the following responses for occupations were recoded to "no/undetermined": home makers, social beneficiaries and students. Furthermore, to reduce the amount of missing data on students' expected career status at age 30, students indicating "don't know" were recoded from missing to "no/undetermined". Also, students who responded to the items immediately subsequent to this question, but who did not respond to expected job at 30 were recoded to "no/undetermined".

Since the ISCO coding scheme is rather broad for this purpose (e.g. some teaching professionals may be in a science-related career, but the scheme does not distinguish between teachers in different subject areas and disciplines), these science-related career variables should be interpreted as broad indicators rather than precise classifications. The ISCO occupation categories that were classified as science-related occupations are shown in Table A12.3.

Table A12.3
ISCO occupation categories classified as science-related occupations

ISCO Group Number	Occupation Category
1236	Computing services department managers
1237	Research and development department managers
211	Physicists, chemists and related professionals
2122	Statisticians
213	Computing professionals
214	Architects, engineers, professionals etc.
221	Life science professionals
222	Health professionals except nursing
223	Nursing and midwifery professionals
2442	Sociologists, anthropologists, professionals etc.
2445	Psychologists
2446	Social work professionals
311	Physical and engineering science associate professionals
313	Optical and electronic equipment operators
3143	Aircraft pilots, associate professionals etc.
3144	Air traffic controllers
3145	Air traffic safety technicians
315	Safety and quality inspectors
321	Life science, associate professionals etc.
322	Modern health professionals except nursing
323	Nursing and midwifery associate professionals



School questionnaire indices

School size

As in previous surveys, the PISA 2006 index of school size (*SCHSIZE*) contains the total enrolment at school based on the enrolment data provided by the school principal, summing the number of girls and boys at a school.

Class size

The average class size (*CLSIZE*) is derived from one of nine possible categories, ranging from "15 students or fewer" to "More than 50 students". *CLSIZE* takes the midpoint of each response category, a value of 13 for the lowest category, and a value of 53 for the highest.

Proportion of girls enrolled at school

As in previous surveys, the PISA 2006 index on the proportion of girls at school (*PCGIRLS*) is based on the enrolment data provided by the school principal, dividing the number of girls by the total of girls and boys at a school.

School type

Schools are classified as either public or private according to whether a private entity or a public agency has the ultimate power to make decisions concerning its affairs. As in previous PISA surveys, the index on school type (*SCHLTYPE*) has three categories: (1) public schools controlled and managed by a public education authority or agency, (2) government-dependent private schools controlled by a non-government organisation or with a governing board not selected by a government agency which receive more than 50% of their core funding from government agencies, (3) government-independent private schools controlled by a non-government organisation or with a governing board not selected by a government agency which receive less than 50% of their core funding from government agencies.¹

Availability of computers

As in PISA 2000 and PISA 2003, school principals were asked to report the number of computers available at school. However, the question wording was modified for 2006 where principals were asked to report on the total number of computers, the number of computers available for instruction and the number of computers connected to the Internet. The index of availability of computers (*RATCOMP*) is obtained by dividing the number of computers at school by the number of students at school. The overall ratio of computers to school size (*IRATCOMP*) was obtained by dividing the number of computers available for instruction at school by the number of students at school. The proportion of computers connected to the Internet (*COMPWEB*) was obtained by dividing the total number of computers connected to the web by the total number of computers.

Quantity of teaching staff at school

As in previous PISA surveys, school principals were asked to report the number of full-time and part-time teachers at school. However, the number of items was reduced in 2006 to capture only teachers in total, certified teachers, and teachers with an ISCED 5A qualification.

The student-teacher ratio (*STRATIO*) was obtained by dividing the school size by the total number of teachers. The number of part-time teachers is weighted by 0.5 and the number of full-time teachers is weighted by 1.0. The proportion of fully certified teachers (*PROPCERT*) was computed by dividing the number of fully certified teachers by the total number of teachers. The proportion of teachers who have an ISCED 5A qualification (*PROPQUAL*) was calculated by dividing the number of these kinds of teachers by the total number of teachers.

School selectivity

As in previous surveys, school principals were asked about admittance policies at their school. Among these policies, principals were asked how much consideration was given to the following factors when students are admitted to the school, based on a scale with the categories "not considered", "considered", "high priority", and "pre-requisite": students' academic record (including placement tests) and the recommendation of feeder schools.

.....
1. Data on public/private school ownership in Australia are not included in the PISA 2006 database. In Austria, the question on funding was omitted and only for private schools information on government funding was provided to construct this index.



An index of school selectivity (*SELSCH*) was computed by assigning schools to four different categories: (1) schools where none of these factors is considered for student admittance; (2) schools considering at least one of these factors; (3) schools giving high priority to at least one of these factors; and (4) schools where at least one of these factors is a pre-requisite for student admittance.

Ability grouping

School principals were asked to report the extent to which their school organises instruction differently for student with different abilities. PISA 2003 included a similar question with two additional items which focused on mathematics classes. In 2006, this has been reduced to two items which ask about subject grouping in a more general sense. One item asked about the occurrence of ability grouping into different classes and the other regarding ability grouping within classes (with the response categories "For all subjects", "For some subjects" and "Not for any subject").

An index of ability grouping between or within classes (*ABGROUP*) was derived from the two items by assigning schools to three categories: (1) schools with no ability grouping for any subjects, (2) schools with at least one of these forms of ability grouping for some subjects and (3) schools with at least one of these two forms of ability grouping for all subjects.

School responsibility for resource allocation

An index of the relative level of responsibility of school staff in allocating resources (*RESPRES*) was derived from six items measuring the school principals' report on who has considerable responsibility for tasks regarding school management of resource allocation ("Selecting teachers for hire", "Firing teachers", "Establishing teachers' starting salaries", "Determining teachers' salaries increases", "Formulating the school budget", "Deciding on budget allocations within the school"). The index was calculated on the basis of the ratio of "yes" responses for principal or teachers to "yes" responses for central educational authority. Higher values on the scale indicate relatively higher levels of school responsibility in this area. The index was standardised to having an OECD mean of 0 and a standard deviation of 1 (for the pooled data with equally weighted country samples).²

School responsibility for curriculum and assessment

An index of the relative level of responsibility of school staff in issues relating to curriculum and assessment (*RESPCURR*) was computed from four items measuring the school principal's report concerning who had responsibility for curriculum and assessment ("Establishing student assessment policies", "Choosing which textbooks are used", "Determining course content", "Deciding which courses are offered"). The index was calculated on the basis of the ratio of "yes" responses for principal or teachers to "yes" responses for central education authorities. Higher values indicate relatively higher levels of school responsibility in this area. The index was standardised to having an OECD mean of zero and a standard deviation of one (for the pooled data with equally weighted country samples).³

Parent questionnaire indices

Educational level of parents

Administration of this instrument in PISA 2006 provided the opportunity to collect data on parental education directly from the parents in addition to the data provided by the student questionnaire. Similar to the student questionnaire data, parental education were classified using ISCED (OECD 1999). The question format differed from the one used in the student questionnaire as only four items were included with dichotomous response categories of Yes or No.

Indices were constructed by taking the highest level for father and mother and having the following categories: (0) None, (1) ISCED 3A (upper secondary) and/or ISCED 4 (non-tertiary post-secondary), (2) ISCED 5B (vocational tertiary), (3) ISCED 5A, 6 (theoretically oriented tertiary and post-graduate). Indices with these categories were computed for mother (*PQMISCED*) and father (*PQFISCED*). Highest Educational Level of Parents (*PQHISCED*) corresponds to the higher ISCED level of either parent.

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2. The raw index was transformed as (*RESPRES*_raw-2.57)/2.2.

3. The raw index was transformed as (*RESPCURR*_raw-2.72)/1.8.



Occupational status of parents

Occupational data for both the student's father and student's mother were obtained by asking open-ended questions in a manner similar to the questions asked of students. The responses were coded to four-digit ISCO codes (ILO, 1990) and then mapped to the international socio-economic index of occupational status (ISEI) (Ganzeboom, de Graaf & Treiman, 1992). Three ISEI indices were computed from these scores.

Recoding of ISCO codes into ISEI gives scores for the mother's occupational status (*PQBMMJ*) and father's occupational status (*PQBFM*). The highest occupational level of parents (*PQHIISEI*) is the higher ISEI score of either parent or to the only available parent's ISEI score. Higher scores of ISEI will indicate higher level of occupational status.

Similar to the science-related career variables derived from the student questionnaire, three indicators were derived from the parent data: whether the mother (*PQSRC_M*) or father (*PQSRC_F*) is in a science-related career, and whether either or both of the parents is in a science-related career (*PQSRC_E*).

Questionnaire scale indices

Scaling procedures

Most questionnaire items were scaled using IRT scaling methodology (one-parameter Rasch model). See Chapter 16 in the PISA 2006 Technical Report (OECD, 2009) for the details inscaling.

International item parameters were obtained from calibration samples consisting of randomly selected sub-samples:

- For the calibration of student item parameters, sub-samples of 500 students were randomly selected within each OECD country sample. As final student weights had not been available at the time the calibration sample was drawn, the random selection was based on preliminary student weights obtained from the ratio between sampled and enrolled student within explicit sampling strata. The final calibration sample included data from 15,000 students;
- For the calibration of school item parameters, 100 schools were randomly selected within each OECD country sample. The random selection was based on school level weights in order to ensure that a representative sample of schools was selected from each country. School data from Luxembourg were not included due to of the small number of schools. Data from France were not available because the school questionnaire was not administered in France. The final calibration sample included data from 2 800 school principals.

Once international item parameter had been estimated from the calibration sample, weighted likelihood estimation (WLE) was used to obtain individual student scores. IRT scores were derived using ACER *ConQuest*® with pre-calibrated item parameters.

WLEs were transformed to an international metric with an OECD average of zero and an OECD standard deviation of one. Model fit and scale reliabilities for each of the indices are presented by country in Chapter 16 of the PISA 2006 Technical Report (OECD, 2009).

Student scale indices

Household possessions

Collecting household possessions as indicators of family wealth has received much attention in international studies in the field of education (Buchmann, 2000). Household assets are believed to capture wealth better than income because they reflect a more stable source of wealth.

In PISA 2006, students reported the availability of 13 different household items at home. In addition, countries added three specific household items that were seen as appropriate measures of family wealth within the country's context. A list of the country-specific household items is presented in Appendix 6 of the PISA 2006 Technical Report (OECD, 2009).

Four different indices were derived from these items: (i) family wealth possessions (*WEALTH*), (ii) cultural possessions (*CULTPOSS*), (iii) home educational resources (*HEDRES*) and (iv) home possessions (*HOMEPOS*). The last index is a summary index of all household items and also included the variable indicating the number of books at home, but recoded into three categories: (0) 0-25 books, (1) 26-100 books, and (2) 101 or more books. *HOMEPOS* was also one of three components in the construction of the index on economic, social and cultural status (ESCS, see the section on ESCS index construction below). Table A12.4 shows the wording of items and their allocation to the four indices.



Table A12.4
Household possessions and home background indices

Item		Item is used to measure index			
		WEALTH	CULTPOSS	HEDRES	HOMEPOS
ST13	In your home, do you have:				
ST13Q01	A desk to study at			X	X
ST13Q02	A room of your own	X			X
ST13Q03	A quiet place to study			X	X
ST13Q04	A computer you can use for school work			X	
ST13Q05	Educational software			X	X
ST13Q06	A link to the Internet	X			X
ST13Q07	Your own calculator			X	X
ST13Q08	Classic literature (e.g. <Shakespeare>)	X			X
ST13Q09	Books of poetry	X			X
ST13Q10	Works of art (e.g. paintings)	X			X
ST13Q11	Books to help with your school work			X	X
ST13Q12	A dictionary			X	X
ST13Q13	A dishwasher (country-specific)	X			X
ST13Q14	A <DVD or VCR> player (country-specific)	X			X
ST13Q15	<Country-specific wealth item 1>	X			X
ST13Q16	<Country-specific wealth item 2>	X			X
ST13Q17	<Country-specific wealth item 3>	X			X
ST14	How many of these are there at your home?				
ST14Q01	Cellular phones	X			X
ST14Q02	Televisions	X			X
ST14Q03	Computers	X			X
ST14Q04	Cars	X			X
ST15	How many books are there in your home				X

Note: Item categories were "yes" (1) and "no" (2) for ST13, "None", "One", "Two" and "Three or more" for ST14, The categories for ST15 ("0-10 books", "11-25 books", "26-100 books", "101-200 books", "201-500 books" and "More than 500 books") were recoded into three categories ("0-25 books", 26-100 books" and "More than 100 books"; Items in ST13 for were inverted for scaling and the first two categories of ST14Q01 and ST14Q02 were collapsed into one for scaling.

The WEALTH and HOMEPOS scales were constructed in two stages. A basket of common items was chosen (ST13Q02, ST13Q06, ST14Q01, ST14Q02, ST14Q03 and ST14Q04 for WEALTH, and in addition to these ST13Q01, ST13Q03, ST13Q05 to ST13Q12 and ST15Q01 for HOMEPOS) and item parameters were estimated for each country based on this item set. The sum of the set's item parameters was constrained to zero for each country. Next, these item parameters were anchored. The remaining country-specific items were added, and each country was scaled separately.

The other two scales derived from household possession items, CULTPOSS and HEDRES, were scaled in one step but the item parameters were allowed to vary by country.

Interest in and enjoyment of science learning

Eight items are used to measure general interest in science learning in PISA 2006. While the interest items which are embedded in the test instrument provide data on interest in specific contexts, the items here will provide data on students' interest in more general terms. The items were inverted for scaling and so, more positive values on this index indicate higher levels of interest in learning science.

Interest in science learning (INTSCIE)

Item	How much interest do you have in learning about the following <broad science> topics? (High interest/Medium interest/Low interest/No interest)
ST21Q01	a) Topics in physics
ST21Q02	b) Topics in chemistry
ST21Q03	c) The biology of plants
ST21Q04	d) Human biology
ST21Q05	e) Topics in astronomy
ST21Q06	f) Topics in geology
ST21Q07	g) Ways scientists design experiments
ST21Q08	h) What is required for scientific explanations

Note: All items were inverted for scaling.



Four items are used to measure enjoyment of science learning in PISA 2006. The items were inverted for scaling and so, more positive values on this index indicate higher levels of enjoyment of science.

Enjoyment of science (JOYSCIE)

Item	How much do you agree with the statements below? (Strongly agree/Agree/Disagree/Strongly disagree)
ST16Q01	a) I generally have fun when I am learning <broad science> topics
ST16Q02	b) I like reading about <broad science>
ST16Q03	c) I am happy doing <broad science> problems
ST16Q04	d) I enjoy acquiring new knowledge in <broad science>
ST16Q05	e) I am interested in learning about <broad science>

Note: All items were inverted for scaling.

Motivation to learn science

Five items measuring the construct of instrumental motivation were included in the PISA 2006 main study. The items were inverted for scaling and so, more positive values on this index indicate higher levels of instrumental motivation to learn science.

Instrumental motivation to learn science (INSTSCIE)

Item	How much do you agree with the statements below? (Strongly agree/Agree/Disagree/Strongly disagree)
ST35Q01	a) Making an effort in my <school science> subject(s) is worth it because this will help me in the work I want to do later on
ST35Q02	b) What I learn in my <school science> subject(s) is important for me because I need this for what I want to study later on
ST35Q03	c) I study <school science> because I know it is useful for me
ST35Q04	d) Studying my <school science> subject(s) is worthwhile for me because what I learn will improve my career prospects
ST35Q05	e) I will learn many things in my <school science> subject(s) that will help me get a job

Note: All items were inverted for scaling.

Expectations about tertiary science studies and working in science-related careers are another important aspect of student motivations to learning science. Four items measuring students' motivations to take up a science-related career were included in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher levels of motivation to take up a science-related career.

Future-oriented science motivation (SCIEFUT)

Item	How much do you agree with the statements below? (Strongly agree/Agree/Disagree/Strongly disagree)
ST29Q01	a) I would like to work in a career involving <broad science>
ST29Q02	b) I would like to study <broad science> after <secondary school>
ST29Q03	c) I would like to spend my life doing advanced <broad science>
ST29Q04	d) I would like to work on <broad science> projects as an adult

Note: All items were inverted for scaling.

Self-related cognitions in science

Eight items measuring students' science self-efficacy (their confidence in performing science-related tasks) were included. These items cover important themes identified in the science literacy framework: identifying scientific questions, explaining phenomena scientifically and using scientific evidence. The items were inverted for scaling and so, more positive values on this index indicate higher levels of self-efficacy in science.



Six items on science self-concept were included in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher levels of self-concept in science.

Science self-concept (SCSCIE)

Item	How much do you agree with the statements below? (Strongly agree/Agree/Disagree/Strongly disagree)
ST37Q01	a) Learning advanced <school science> topics would be easy for me
ST37Q02	b) I can usually give good answers to <test questions> on <school science> topics
ST37Q03	c) I learn <school science> topics quickly
ST37Q04	d) <School science> topics are easy for me
ST37Q05	e) When I am being taught <school science>. I can understand the concepts very well
ST37Q06	f) I can easily understand new ideas in <school science>

Note: All items were inverted for scaling.

Value of science

Five items measuring perceptions of the general value of science were included in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate more positive students' perceptions of the general value of science.

General value of science (GENSCIE)

Item	How much do you agree with the statements below? (Strongly agree/Agree/Disagree/Strongly disagree)
ST18Q01	a) Advances in <broad science and technology> usually improve people's living conditions
ST18Q02	b) <Broad science> is important for helping us to understand the natural world
ST18Q04	d) Advances in <broad science and technology> usually help improve the economy
ST18Q06	f) <Broad science> is valuable to society
ST18Q09	i) Advances in <broad science and technology> usually bring social benefits

Note: All items were inverted for scaling.

Five items measuring perceptions of the personal value of science were included in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate more positive positive students' perceptions of the general value of science.

Science-related activities

Student participation in non-compulsory activities related to science or choice of course combinations with an emphasis on this subject are important indicators of engagement. Furthermore, out-of-school activities relating to science can contribute considerably to students' engagement and learning in science.

Six items measuring students' activities related to science were included in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher frequencies of students' science activities.

Science activities (SCIEACT)

Item	How often do you do these things? (Very often/Regularly/Sometimes/Never or hardly ever)
ST19Q01	a) Watch TV programmes about <broad science>
ST19Q02	b) Borrow or buy books on <broad science> topics
ST19Q03	c) Visit web sites about <broad science> topics
ST19Q04	d) Listen to radio programmes about advances in <broad science>
ST19Q05	e) Read <broad science> magazines or science articles in newspapers
ST19Q06	f) Attend a <science club>

Note: All items were inverted for scaling.

**Environment and sustainable development**

Five items measuring students' awareness of environmental issues were included in the student questionnaire. More positive values on this index indicate higher levels of students' awareness of environmental issues.

Awareness of environmental issues (ENVAWARE)

Item	How informed are you about the following environmental issues? (I have never heard of this/I have heard about this but I would not be able to explain what it is/I know something about this and could explain the general issue/I am familiar with this and I would be able to explain this well)
ST22Q01	a) The increase of greenhouse gases in the atmosphere
ST22Q02	b) Use of genetically modified organisms (<GMO>)
ST22Q03	c) Acid rain
ST22Q04	d) Nuclear waste
ST22Q05	e) The consequences of clearing forests for other land use

Six items measuring students' perception of environmental issues as a concern were included in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher levels of students' concerns about environmental issues.

Perception of environmental issues (ENVPERC)

Item	Do you see the environmental issues below as a serious concern for yourself and/or others? (This is a serious concern for me personally as well as others/This is a serious concern for other people in my country but not me personally/This is a serious concern only for people in other countries/ This is not a serious concern to anyone)
ST24Q01	a) Air pollution
ST24Q02	b) Energy shortages
ST24Q03	c) Extinction of plants and animals
ST24Q04	d) Clearing of forests for other land use
ST24Q05	e) Water shortages
ST24Q06	f) Nuclear waste

Note: All items were inverted for scaling.

Students' optimism regarding environmental issues was measured by six items in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher levels of students' optimism about environmental issues.

Environmental optimism (ENVOPT)

Item	Do you think problems associated with the environmental issues below will improve or get worse over the next 20 years? (Improve / Stay about the same / Get worse)
ST25Q01	a) Air pollution
ST25Q02	b) Energy shortages
ST25Q03	c) Extinction of plants and animals
ST25Q04	d) Clearing of forests for other land use
ST25Q05	e) Water shortages
ST25Q06	f) Nuclear waste

Note: All items were inverted for scaling.

Seven items measuring students' responsibility for sustainable development were included in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher levels of students' responsibility for sustainable development.

Responsibility for sustainable development (RESPDEV)

Item	How much do you agree with the statements below? (Strongly agree/Agree/Disagree/Strongly disagree)
ST26Q01	a) It is important to carry out regular checks on the emissions from cars as a condition of their use
ST26Q02	b) It disturbs me when energy is wasted through the unnecessary use of electrical appliances
ST26Q03	c) I am in favour of having laws that regulate factory emissions even if this would increase the price of products
ST26Q04	d) To reduce waste, the use of plastic packaging should be kept to a minimum
ST26Q05	e) Industries should be required to prove that they safely dispose of dangerous waste materials
ST26Q06	f) I am in favour of having laws that protect the habitats of endangered species
ST26Q07	g) Electricity should be produced from renewable sources as much as possible, even if this increases the cost

Note: All items were inverted for scaling.



Science career preparation

Four items measuring students' perceptions of the usefulness of schooling as preparation for science-related careers were included in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher levels of agreement with usefulness of schooling for this purpose.

School preparation for science career (CARPREP)

Item	How much do you agree with the statements below? (Strongly agree/Agree/Disagree/Strongly disagree)
ST27Q01	a) The subjects available at my school provide students with the basic skills and knowledge for a <science-related career>
ST27Q02	b) The <school science> subjects at my school provide students with the basic skills and knowledge for many different careers
ST27Q03	c) The subjects I study provide me with the basic skills and knowledge for a <science-related career>
ST27Q04	d) My teachers equip me with the basic skills and knowledge I need for a <science-related career>

Note: All items were inverted for scaling.

Four items measuring students' perceptions of being informed about science-related careers are included in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher levels of information about science-related careers.

Student information on science careers (CARINFO)

Item	How informed are you about these topics? (Very well informed/Fairly informed/Not well informed/Not informed at all)
ST28Q01	a) <Science-related careers> that are available in the job market
ST28Q02	b) Where to find information about <science-related careers>
ST28Q03	c) The steps a student needs to take if they want a <science-related career>
ST28Q04	d) Employers or companies that hire people to work in <science-related careers>

Note: All items were inverted for scaling.

Three items measuring students' reports on the frequency of student investigations in science lessons were included in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher frequencies of this type of science teaching.

Science teaching: student investigations (SCINVEST)

Item	When learning <school science> topics at school, how often do the following activities occur? (In all lessons/In most lessons/In some lessons/Never or hardly ever)
ST34Q08	h) Students are allowed to design their own experiments
ST34Q11	k) Students are given the chance to choose their own investigations
ST34Q16	p) Students are asked to do an investigation to test out their own ideas

Note: All items were inverted for scaling.

Five items measuring students' reports on the frequency of teaching in science lessons with a focus on applications are included in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher frequencies of this type of science teaching.

Science teaching: focus on models or applications (SCAPPLY)

Item	When learning <school science> topics at school, how often do the following activities occur? (In all lessons/In most lessons/In some lessons/Never or hardly ever)
ST34Q07	g) The teacher explains how a <school science> idea can be applied to a number of different phenomena (e.g. the movement of objects, substances with similar properties)
ST34Q12	l) The teacher uses science to help students understand the world outside school
ST34Q15	o) The teacher clearly explains the relevance of <broad science> concepts to our lives
ST34Q17	q) The teacher uses examples of technological application to show how <school science> is relevant to society

Note: All items were inverted for scaling.



ICT familiarity

The ICT familiarity questionnaire was an optional instrument administered which was administered in 40 of the participating countries in PISA 2006, for which four scaled indices were computed.

As in PISA 2003, six items measuring the frequency of ICT use related to Internet and entertainment were included in the PISA 2006 student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher frequencies of ICT Internet/entertainment use.

ICT Internet/entertainment use (INTUSE)

Item	How often do you use computers for the following reasons? (Almost every day / Once or twice a week / A few times a month / Once a month or less / Never)
IC04Q01	a) Browse the Internet for information about people, things, or ideas
IC04Q02	b) Play games
IC04Q04	d) Use the Internet to collaborate with a group or team
IC04Q06	f) Download software from the Internet to (including games)
IC04Q09	i) Download music from the Internet
IC04Q11	k) For communication (e.g. e-mail or "chat rooms")

Note: All items were inverted for scaling.

As in PISA 2003, six items measuring the frequency of ICT use related to programming and software packages are included in the PISA 2006 student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher frequencies of ICT program/software use.

ICT program/software use (PRGUSE)

Item	How often do you use computers for the following reasons? (Almost every day / Once or twice a week / A few times a month / Once a month or less / Never)
IC04Q03	c) Write documents (e.g. with <Word® or WordPerfect®>)
IC04Q05	e) Use spreadsheets (e.g. <Lotus 1 2 3® or Microsoft Excel®>)
IC04Q07	g) Drawing, painting or using graphics programs
IC04Q08	h) Use educational software such as Mathematics programs
IC04Q10	j) Writing computer programs

Note: All items were inverted for scaling.

As in PISA 2003, items measuring students' confidence in doing ICT Internet tasks were included. However, a modified set of six items was used in the PISA 2006 student questionnaire where three items were already included in the previous cycle. The items were inverted for scaling and so, more positive values on this index indicate higher levels of ICT self-confidence in Internet tasks.

ICT self-confidence in Internet tasks (INTCONF)

Item	How often do you use computers for the following reasons? (I can do this very well by myself / I can do this with help from someone / I know what this means but I cannot do it / I don't know what this means)
IC05Q01	a) Chat online
IC05Q07	g) Search the Internet for information
IC05Q08	h) Download files or programs from the Internet
IC05Q09	i) Attach a file to an e-mail message
IC05Q13	m) Download music from the Internet
IC05Q15	o) Write and send e-mails

Note: All items were inverted for scaling.

As in PISA 2003, items measuring student's confidence in doing ICT high-level tasks were included in the PISA 2006 student questionnaire. The set of eight items used in the PISA 2006 main study is modified somewhat from the 2003 item set. The items were inverted for scaling and so, more positive values on this index indicate higher levels of ICT self-confidence in high-level ICT tasks.



ICT self-confidence in high-level ICT tasks (HIGHCONF)

Item	How often do you use computers for the following reasons? (I can do this very well by myself/I can do this with help from someone/I know what this means but I cannot do it/I don't know what this means)
IC05Q02	b) Use software to find and get rid of computer viruses
IC05Q03	c) Edit digital photographs or other graphic images
IC05Q04	d) Create a database (e.g. using <Microsoft Access®>)
IC05Q10	j) Use a word processor (e.g. to write an essay for school)
IC05Q11	k) Use a spreadsheet to plot a graph
IC05Q12	l) Create a presentation (e.g. using <Microsoft PowerPoint®>)
IC05Q14	n) Create a multi-media presentation (with sound, pictures, video)
IC05Q16	p) Construct a web page

Note: All items were inverted for scaling.

School questionnaire scale indices

The index on teacher shortage (*TCSHORT*) was derived from four items measuring the school principal's perceptions of potential factors hindering instruction at school. Similar items were used in PISA 2000 and 2003. More positive values on this index indicate higher rates of teacher shortage at a school.

Teacher shortage (TCSHORT)

Item	Is your school's capacity to provide instruction hindered by any of the following? (Not at all/Very little/To some extent/A lot)
SC14Q01	a) A lack of qualified science teachers
SC14Q02	b) A lack of qualified mathematics teachers
SC14Q03	c) A lack of qualified <test language> teachers
SC14Q04	d) A lack of qualified teachers of other subjects

The index on the school's educational resources (*SCMATEDU*) was computed on the basis of seven items measuring the school principal's perceptions of potential factors hindering instruction at school. Similar items were used in PISA 2000 and 2003 but question format and item wording were modified for PISA 2006. The items were inverted for scaling and so, more positive values on this index indicate higher levels of educational resources.

Quality of educational resources (SCMATEDU)

Item	Is your school's capacity to provide instruction hindered by any of the following? (Not at all/Very little/To some extent/A lot)
SC14Q07	g) Shortage or inadequacy of science laboratory equipment
SC14Q08	h) Shortage or inadequacy of instructional materials (e.g. textbooks)
SC14Q09	i) Shortage or inadequacy of computers for instruction
SC14Q10	j) Lack or inadequacy of Internet connectivity
SC14Q11	k) Shortage or inadequacy of computer software for instruction
SC14Q12	l) Shortage or inadequacy of library materials
SC14Q13	m) Shortage or inadequacy of audio-visual resources

Note: All items were inverted for scaling.

School principals are asked to report what activities to promote students' learning of science occur at their school. Items were coded (Yes=1, No=0) so that more positive values on this index indicate higher levels of school activities to promote the learning of science.

School activities to promote the learning of science (SCIPROM)

Item	Is your school involved in any of the following activities to promote engagement with science among students in <national modal grade for 15-year-olds>? (Yes/No)
SC20Q01	a) Science clubs
SC20Q02	b) Science fairs
SC20Q03	c) Science competitions
SC20Q04	d) Extracurricular science projects (including research)
SC20Q05	e) Excursions and field trips



APPENDIX 12: PISA 2006 QUESTIONNAIRE INDICES

School principals are asked to report what activities to promote students' learning of environmental topics occur at their school. Items will be coded (Yes=1, No=0) so that more positive values on this index indicate higher levels of school activities for learning environmental topics.

School activities for learning environmental topics (ENVLEARN)

Item	Does your school organise any of the following activities to provide opportunities to students in <national modal grade for 15-year-olds> to learn about environmental topics? (Yes/No)
SC22Q01	a) <Outdoor education>
SC22Q02	b) Trips to museums
SC22Q03	c) Trips to science and/or technology centres
SC22Q04	d) Extracurricular environmental projects (including research)
SC22Q05	e) Lectures and/or seminars (e.g. guest speakers)

Parent questionnaire scale indices

Parent questionnaire indices are only available for the 16 countries which chose to administer the optional parent questionnaire.

Six items measuring students' activities related to science at age 10 were included in the parent questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher frequencies of students' science activities at age 10.

Science activities at age 10 (PQSCIACT)

Item	Thinking back to when your child was about 10 years old, how often would your child have done these things? (Very often / Regularly / Sometimes / Never)
PA02Q01	a) Watched TV programmes about science
PA02Q02	b) Read books on scientific discoveries
PA02Q03	c) Watched, read or listened to science fiction
PA02Q04	d) Visited web sites about science topics
PA02Q05	e) Attended a science club

Note: All items were inverted for scaling.

Seven items measuring parents' perceptions of the quality of school learning were included in the parent questionnaire. The items were inverted for scaling and so, more positive values on this index indicate more positive parents' perception of school quality.

Parents' perception of school quality (PQSCHOOL)

Item	How much do you agree with the following statements? (Strongly agree / Agree / Disagree / Strongly disagree)
PA03Q01	a) Most of my child's school teachers seem competent and dedicated
PA03Q02	b) Standards of achievement are high in my child's school
PA03Q03	c) I am happy with the content taught and the instructional methods used in my child's school
PA03Q04	d) I am satisfied with the disciplinary atmosphere in my child's school
PA03Q05	e) My child's progress is carefully monitored by the school
PA03Q06	f) My child's school provides regular and useful information on my child's progress
PA03Q07	g) My child's school does a good job in educating students

Note: All items were inverted for scaling.

Four items measuring parents' views on the importance of science were included in the PISA 2006 parent questionnaire. The items were inverted for scaling and so, more positive values on this index indicate more positive parents' views on importance of science.



Parents' views on importance of science (PQSCIMP)

Item	We are interested in what you think about the need for science skills in the job market today. How much do you agree with the following statements? (Strongly agree/Agree/Disagree/Strongly disagree)
PA04Q01	a) It is important to have good scientific knowledge and skills in order to get any good job in today's world
PA04Q02	b) Employers generally appreciate strong scientific knowledge and skills among their employees
PA04Q03	c) Most jobs today require some scientific knowledge and skills
PA04Q04	d) It is an advantage in the job market to have good scientific knowledge and skills

Note: All items were inverted for scaling.

Four items measuring parents' reports on science career motivation for their child were included in the PISA 2006 parent questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher frequencies of parents' reports on science career motivation. One item in this set (*PA05Q01* "Does anybody in your family (including you) work in a <science-related career>?") was not included in the scale since it is unrelated to the construct of career motivation of parents for their child.

Parents' reports on science career motivation (PQSCCAR)

Item	Please answer the questions below (Yes/No)
PA05Q02	b) Does your child show an interest to work in a <science-related career>?
PA05Q03	c) Do you expect your child will go into a <science-related career>?
PA05Q04	d) Has your child shown interest in studying science after completing <secondary school>?
PA05Q05	e) Do you expect your child will study science after completing <secondary school>?

Note: All items were inverted for scaling.

Five items measuring parents' perceptions of the general value of science were included in the PISA 2006 parent questionnaire; similar items were also included in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate more positive parents' view on general value of science.

Parents' view on general value of science (PQGENSCI)

Item	The following question asks about your views towards science. How much do you agree with the following statements? (Strongly agree/Agree/Disagree/Strongly disagree)
PA06Q01	a) Advances in <broad science and technology> usually improve people's living conditions
PA06Q02	b) <Broad science> is important for helping us to understand the natural world
PA06Q04	d) Advances in <broad science and technology> usually help improve the economy
PA06Q06	f) <Broad science> is valuable to society
PA06Q09	i) Advances in <broad science and technology> usually bring social benefits

Note: All items were inverted for scaling.

Four items measuring parents' perceptions of the personal value of science are included in the PISA 2006 parent questionnaire; similar items are included in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate more positive parents' view on personal value of science.

Parents' view on personal value of science (PQPERSCI)

Item	The following question asks about your views towards science. How much do you agree with the following statements? (Strongly agree/Agree/Disagree/Strongly disagree)
PA06Q03	c) Some concepts in <broad science> help me to see how I relate to other people
PA06Q05	e) There are many opportunities for me to use <broad science> in my everyday life
PA06Q07	g) <Broad science> is very relevant to me
PA06Q08	h) I find that <broad science> helps me to understand the things around me

Note: All items were inverted for scaling.



Six items measuring perception of environmental issues as a concern were included in the PISA 2006 parent questionnaire; similar items were also included in the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher levels of parents' concerns about environmental issues.

Parents' perception of environmental issues (PQENPERC)

Item	Do you see the environmental issues below as a serious concern for yourself and/or others? (This is a serious concern for me personally as well as others/This is a serious concern for other people in my country but not me personally/This is a serious concern for people in other countries/This is not a serious concern to anyone)
PA07Q01	a) Air pollution
PA07Q02	b) Energy shortages
PA07Q03	c) Extinction of plants and animals
PA07Q04	d) Clearing of forests for other land use
PA07Q05	e) Water shortages
PA07Q06	f) Nuclear waste

Note: All items were inverted for scaling.

Six items measuring parents' optimism regarding environmental issues were included in the PISA 2006 parent questionnaire similar to items on the student questionnaire. The items were inverted for scaling and so, more positive values on this index indicate higher levels of parents' optimism about environmental issues.

Parents' environmental optimism (PQENVOPT)

Item	Do you think problems associated with the environmental issues below will improve or get worse over the next 20 years? (Improve/Stay about the same/Get worse)
PA08Q01	a) Air pollution
PA08Q02	b) Energy shortages
PA08Q03	c) Extinction of plants and animals
PA08Q04	d) Clearing of forests for other land use
PA08Q05	e) Water shortages
PA08Q06	f) Nuclear waste

Note: All items were inverted for scaling.

The PISA index of economic, social and cultural status (ESCS)

Computation of ESCS

The index of *ESCS* was used first in the PISA 2000 analysis and at that time was derived from five indices: highest occupational status of parents (*HISEI*), highest educational level of parents (in years of education according to ISCED), family wealth, cultural possessions and home educational resources (all three WLE estimates based on student reports on home possessions).

The *ESCS* for PISA 2003 was derived from three variables related to family background: highest parental education (in number of years of education according to ISCED classification), highest parental occupation (*HISEI* scores), and number of home possessions including books in the home.⁴ The rationale for using these three components is that socio-economic status is usually seen as based on education, occupational status and income. As no direct income measure is available from the PISA data, the existence of household items is used as proxy for family wealth.

The *ESCS* has been slightly modified because: (i) there were more indicators available in the recent survey; and (ii) a consultation with countries regarding the mapping of ISCED levels to years of schooling led to minor changes in the indicator of parental education.

As in PISA 2003, the components comprising *ESCS* for 2006 are home possessions, *HOMEPOS* which comprises all items on the *WEALTH*, *CULTPOS* and *HEDRES* scales (except ST14Q04), as well as books in the home (ST19Q01) recoded into a three-level categorical variable (less than 25 books, 25-100 books, more than 100 books), the higher parental occupation (*HISEI*) and the higher parental education expressed as years of schooling (*PARED*).

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4. Here, home possessions only included items from ST17, as well as books in the home (ST19Q01) which was recoded into a dichotomous item (0 = "Less than 100 books", 1 = "100 books or more") (see OECD, 2004, p. 283).



Missing values for students with missing data for only one component were imputed with predicted values plus a random component based on a regression on the other two variables. Variables with imputed values were then used for a principal component analysis with an OECD senate weight.

The *ESCS* scores were obtained as component scores for the first principal component with zero being the score of an average OECD student and one the standard deviation across equally weighted OECD countries. For partner countries, *ESCS* scores were obtained as

$$ESCS = \frac{\beta_1 HISEI' + \beta_2 PARED' + \beta_3 HOMEPOS'}{\varepsilon_f}$$

where β_1 , β_2 and β_3 are the OECD factor loadings, *HISEI'*, *PARED'* and *HOMEPOS'* the “OECD-standardised” variables and ε_f is the eigenvalue of the first principal component.⁵

Consistency across cycles

Results for similar *ESCS* indices in 2003 and 2000 showed quite a high degree of consistency (see Schulz, 2006). Comparing *ESCS* mean scores per country shows that in spite of these differences there is a very high correlation of 0.98 between *ESCS* 2003 and *ESCS* 2006 country means.

Table A12.5
Factor loadings and internal consistency of ESCS 2006 in OECD countries

	Factor loadings			Reliability ¹
	HISEI	PARED	HOMEPOS	
Australia	0.80	0.78	0.67	0.59
Austria	0.81	0.78	0.71	0.64
Belgium	0.83	0.80	0.71	0.68
Canada	0.79	0.78	0.67	0.60
Czech Republic	0.84	0.78	0.70	0.65
Denmark	0.79	0.78	0.70	0.63
Finland	0.77	0.75	0.63	0.52
France	0.82	0.79	0.73	0.67
Germany	0.81	0.76	0.72	0.64
Greece	0.84	0.82	0.72	0.71
Hungary	0.83	0.85	0.77	0.74
Iceland	0.80	0.80	0.59	0.57
Ireland	0.81	0.79	0.74	0.67
Italy	0.84	0.81	0.73	0.71
Japan	0.72	0.77	0.68	0.53
Korea	0.76	0.81	0.75	0.66
Luxembourg	0.83	0.81	0.73	0.69
Mexico	0.85	0.86	0.82	0.80
Netherlands	0.82	0.78	0.75	0.68
New Zealand	0.79	0.76	0.69	0.59
Norway	0.78	0.77	0.66	0.55
Poland	0.87	0.86	0.74	0.73
Portugal	0.86	0.85	0.80	0.77
Slovak Republic	0.85	0.82	0.74	0.72
Spain	0.84	0.82	0.70	0.69
Sweden	0.77	0.73	0.70	0.57
Switzerland	0.80	0.78	0.68	0.62
Turkey	0.80	0.83	0.79	0.72
United Kingdom	0.78	0.75	0.71	0.60
United States	0.80	0.81	0.74	0.67
Median	0.81	0.79	0.72	0.67

1. Reliabilities (Standardised Cronbach's alpha) computed with weighted national samples.

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5. Only one principal component with an eigenvalue greater than 1 was identified in each of the participating countries.

**Consistency across countries**

Using principal component analysis (PCA) to derive factor loading for each participating country provides insight into the extent to which there are similar relationships between the three components. Table A12.5 shows the PCA results for the OECD countries and Table A12.6 those for partner countries and economies. The tables also include the scale reliabilities for the z-standardised variables (Cronbach's Alpha).

Table A12.6
Factor loadings and internal consistency of ESCS 2006 in partner countries/economies

	Factor loadings			Reliability ¹
	HISEI	PARED	HOMEPOS	
Argentina	0.81	0.78	0.79	0.69
Azerbaijan	0.83	0.83	0.73	0.70
Brazil	0.82	0.83	0.80	0.73
Bulgaria	0.84	0.83	0.77	0.74
Chile	0.86	0.85	0.83	0.80
Colombia	0.82	0.82	0.79	0.73
Croatia	0.83	0.81	0.73	0.69
Estonia	0.81	0.77	0.72	0.63
Hong Kong-China	0.83	0.82	0.77	0.72
Indonesia	0.81	0.83	0.78	0.73
Israel	0.78	0.75	0.73	0.60
Jordan	0.83	0.83	0.75	0.73
Kyrgyzstan	0.76	0.76	0.71	0.57
Latvia	0.81	0.78	0.74	0.66
Liechtenstein	0.83	0.81	0.62	0.63
Lithuania	0.81	0.79	0.76	0.68
Macao-China	0.79	0.77	0.75	0.65
Montenegro	0.80	0.80	0.73	0.66
Qatar	0.82	0.86	0.55	0.60
Romania	0.82	0.75	0.80	0.69
Russian Federation	0.81	0.79	0.69	0.59
Serbia	0.84	0.84	0.72	0.71
Slovenia	0.84	0.84	0.71	0.71
Chinese Taipei	0.77	0.79	0.70	0.61
Thailand	0.85	0.84	0.82	0.78
Tunisia	0.86	0.85	0.83	0.79
Uruguay	0.83	0.81	0.81	0.74
Median	0.82	0.81	0.75	0.69

1. Reliabilities (Cronbach's alpha) computed with weighted national samples.

Comparing results from within-country PCA reveals that patterns of factor loadings are generally similar across countries. Only in a few countries somehow distinct patterns emerge, however, all three components contribute more or less equally to this index with factor loadings ranging from 0.55 to 0.87. Internal consistency ranges between 0.52 and 0.80, the median scale reliability for the pooled OECD countries is 0.67.

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PISA Data Analysis Manual

SPSS® SECOND EDITION

The OECD Programme for International Student Assessment (PISA) surveys collected data on students' performances in reading, mathematics and science, as well as contextual information on students' background, home characteristics and school factors which could influence performance. The initial results for PISA 2000 is found in *Knowledge and Skills for Life – First Results from PISA 2000* (OECD, 2001), for PISA 2003 in *Learning for Tomorrow's World – First Results from PISA 2003* (OECD, 2004) and for PISA 2006 in *PISA 2006: Science Competencies for Tomorrow's World* (OECD, 2007).

This publication is an essential tool for researchers, as it provides all the information required to understand the PISA databases and perform analyses in accordance with the complex methodologies used to collect and process the data. It includes detailed information on how to analyse the PISA data, enabling researchers to both reproduce the published results and to undertake further analyses. In addition to the inclusion of the necessary techniques, the manual also includes a detailed account of the PISA 2006 database.

This publication is a revised edition of the *PISA 2003 Data Analysis Manual* (OECD, 2005). The chapters in the manual are expanded to cover various analytical issues in PISA in general, while applying examples from all available PISA surveys – PISA 2000, PISA 2003 and PISA 2006.

The publication includes:

- introductory chapters explaining the statistical theories and concepts required to analyse the PISA data, including full chapters on how to apply replicate weights and undertake analyses using plausible values;
- worked examples providing full syntax in SPSS®; and
- a comprehensive description of the OECD PISA 2006 international database.

THE OECD PROGRAMME FOR INTERNATIONAL STUDENT ASSESSMENT (PISA)

PISA is a collaborative process among the 30 member countries of the OECD and nearly 30 partner countries and economies. It brings together expertise from the participating countries and economies and is steered by their governments on the basis of shared, policy-driven interests. Its unique features include:

- *The literacy approach*: PISA defines each assessment area (science, reading and mathematics) not mainly in terms of mastery of the school curriculum, but in terms of the knowledge and skills needed for full participation in society.
- *A long-term commitment*: It enables countries to monitor regularly and predictably their progress in meeting key learning objectives.
- *The age-group covered*: By assessing 15-year-olds, i.e. young people near the end of their compulsory education, PISA provides a significant indication of the overall performance of school systems.
- *The relevance to lifelong learning*: PISA does not limit itself to assessing students' knowledge and skills but also asks them to report on their own motivation to learn, their beliefs about themselves and their learning strategies, as well as on their goals for future study and careers.

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