# Translating SQL Queries to Relational Algebra Expressions

Dirk Van Gucht<sup>1</sup>

<sup>1</sup>Indiana University

February 26, 2019

#### **Outline**

- Objective: Discuss an algorithm that translates a SQL query into an equivalent RA expression
- Motivation: Translate a declaratively specified query into a procedurally specified query
- Restriction: We do not attempt to get an efficient RA expression. Finding an equivalent efficient RA expression is done during query optimization

#### **Strategy**

- SQL queries with set predicates will be translated to equivalent SQL queries without set predicates
- WHERE conditions will be eliminated by translating them into FROM clauses using selections and join operations, or by decomposing them into more basic components (then translate these) and then use the set operations union, intersection, and set difference
- These SQL queries will then be translated into RA expressions

# **Query Forms (Basic case)**

```
SELECT [DISTINCT] L(t_1, ..., t_n)
FROM R_1 t_1, ..., R_n t_n
WHERE C(t_1, ..., t_n)
```

- $L(t_1, ..., t_n)$  is a list of (named) components of the tuple variables  $t_1$  through  $t_n$ <sup>1</sup>
- R<sub>1</sub> through R<sub>n</sub> are either relations or non-parameterized SQL queries aliased by the tuple variables t<sub>1</sub> through t<sub>n</sub>
- $C(t_1, ..., t_n)$  is any valid SQL condition involving the components of the variables  $t_1$  through  $t_n$
- We do not handle SQL queries with aggregate functions or queries with subqueries in the FROM clause

<sup>&</sup>lt;sup>1</sup>There may also appear constants in *L*; these need special treatment

# **Query Forms (queries with set operations)**

Assuming that Q,  $Q_1$ , and  $Q_2$  are SQL queries, we consider the following SQL query forms

Q<sub>1</sub> UNION Q<sub>2</sub>

Q<sub>1</sub>
INTERSECT
Q<sub>2</sub>

Q<sub>1</sub> EXCEPT Q<sub>2</sub>

# Discussion: interaction between projection $\pi$ and set operations $\cup$ , $\cap$ , and -

- Before we can start with the translation algorithm, it is crucial to discuss how the projection operator  $\pi$  interacts with the set operations U,  $\Omega$ , and -.
- Understanding this is vital for the correct translations of SQL WHERE clauses that use the OR, AND, and NOT boolean operations
- We will consider the following interactions:
  - Projection  $\pi$  and union U
  - Projection  $\pi$  and intersection  $\cap$
  - Projection π and set difference –

#### **Projection** $\pi$ distributes over ∪

Given RA expressions  $E_1$  and  $E_2$ , it is the case that

$$\pi_L(E_1 \cup E_2) = \pi_L(E_1) \cup \pi_L(E_2)$$

An application of this is the following: ( $C_1$  and  $C_2$  are some conditions)

$$\pi_L(\sigma_{C_1\vee C_2}(E)) = \pi_L(\sigma_{C_1}(E)\cup\sigma_{C_2}(E)) = \pi_L(\sigma_{C_1}(E))\cup\pi_L(\sigma_{C_2}(E))$$

#### Translating OR in WHERE clause

```
SELECT L(t_1, ..., t_n)
FROM R_1 t_1, ..., R_n t_n
WHERE C_1(t_1, ..., t_n) OR C_2(t_1, ..., t_n)
```

```
SELECT L(t_1, ..., t_n)

FROM R_1 t_1, ..., R_n t_n

WHERE C_1(t_1, ..., t_n)

UNION

SELECT L(t_1, ..., t_n)

FROM R_1 t_1, ..., R_n t_n

WHERE C_2(t_1, ..., t_n)
```

#### **Projection** $\pi$ does not distributes over $\cap$

Given RA expressions  $E_1$  and  $E_2$ , it is the case that

$$\pi_L(E_1 \cap E_2) \subseteq \pi_L(E_1) \cap \pi_L(E_2)$$

But there exist cases where,

$$\pi_L(E_1 \cap E_2) \neq \pi_L(E_1) \cap \pi_L(E_2)$$

This complexity features in reasoning about the expression  $\pi_L(\sigma_{C_1 \land C_2}(E))$  since

$$\pi_L(\sigma_{C_1 \wedge C_2}(E)) = \pi_L(\sigma_{C_1}(E) \cap \sigma_{C_2}(E)) \subseteq \pi_L(\sigma_{C_1}(E)) \cap \pi_L(\sigma_{C_2}(E))$$

But there are cases where,

$$\pi_L(\sigma_{C_1 \wedge C_2}(E)) \neq \pi_L(\sigma_{C_1}(E)) \cap \pi_L(\sigma_{C_2}(E))$$

# **Projection** $\pi$ does **not** distribute over intersection $\cap$

	R	
а	b	С
1	1	2
1	2	1

$$\pi_a(\sigma_{b=1 \land c=1}(R))$$

correct incorrect 
$$\pi a(\sigma_{b=1}(R) \cap \sigma_{c=1}(R)) \qquad \pi a(\sigma_{b=1}(R)) \cap \pi a(\sigma_{c=1}(R))$$

# Translating AND in WHERE clause (Correct)

```
SELECT L(t_1, ..., t_n)
FROM R_1 t_1, ..., R_n t_n
WHERE C_1(t_1, ..., t_n) AND C_2(t_1, ..., t_n)
```

```
SELECT L^q(t_1,\ldots,t_n)^2
               (SELECT
FROM
                                    t_1, \star, \ldots, t_n, \star
               FROM
                                    R_1 t_1, \ldots, R_n t_n
               WHERE
                                    C_1(t_1,\ldots,t_n)
               INTERSECT
               SELECT
                                    t_1, \star, \ldots, t_n, \star
               FROM
                                    R_1 t_1, \ldots, R_n t_n
               WHFRF
                                     C_2(t_1,\ldots,t_n)
               ) q
```

 $<sup>{}^{2}</sup>L^{q}(t_{1},...,t_{n})$  indicates that the components of  $t_{1}$  through  $t_{n}$  in L may need to be renamed as components of q

# Translating AND in WHERE clause (Incorrect)

```
SELECT L(t_1, ..., t_n)
FROM R_1 t_1, ..., R_n t_n
WHERE C_1(t_1, ..., t_n) AND C_2(t_1, ..., t_n)
```

#### is not equivalent3 with

```
SELECT L(t_1, ..., t_n)

FROM R_1 t_1, ..., R_n t_n

WHERE C_1(t_1, ..., t_n)

INTERSECT

SELECT L(t_1, ..., t_n)

FROM R_1 t_1, ..., R_n t_n

WHERE C_2(t_1, ..., t_n)
```

<sup>&</sup>lt;sup>3</sup>Projection does not distribute over intersection

#### Translating AND in WHERE clause (Correct)

```
SELECT e.sid
FROM Enroll e
```

WHERE e.cno = 100 AND e.grade = 'A'

```
SELECT
         q.sid
FROM
          (SELECT
                        e.sid, e.cno, e.grade
          FROM
                        Enroll e
          WHERE
                        e.cno = 100
          INTERSECT
          SELECT
                        e.sid, e.cno, e.grade
          FROM
                        Enroll e
          WHERE
                        e.grade = 'A'
          ) q
```

# Translating AND in WHERE clause (Incorrect)

SELECT e.sid FROM Enroll e

WHERE e.cno = 100 AND e.grade = 'A'

#### is not equivalent4 with

SELECT e.sid FROM Enroll e

WHERE e.cno = 100

**INTERSECT** 

SELECT e.sid

FROM Enroll e

WHERE e.grade = 'A'

<sup>&</sup>lt;sup>4</sup>Projection does not distribute over intersection

#### Projection $\pi$ does not distributes over –

Given RA expressions  $E_1$  and  $E_2$ , it is the case that

$$\pi_L(E_1 - E_2) \supseteq \pi_L(E_1) - \pi_L(E_2)$$

But there exist cases where

$$\pi_L(E_1 - E_2) \neq \pi_L(E_1) - \pi_L(E_2)$$

This complexity features in reasoning about the expression  $\pi_L(\sigma_{\neg C}(E))$  since

$$\pi_L(\sigma_{\neg C}(E)) = \pi_L(E - \sigma_C(E)) \supseteq \pi_L(E) - \pi_L(\sigma_C(E))$$

But there are cases where

$$\pi_L(\sigma_{\neg C}(E)) \neq \pi_L(E) - \pi_L(\sigma_C(E))$$

#### Projection $\pi$ does **not** distribute over set difference –



$$\pi a(\sigma_{\neg(b=1)}(R))$$
a
1

correct
$$\pi_{\theta}(R - \sigma_{b=1}(R))$$
a
1

correct incorrect
$$\pi_a(R - \sigma_{b=1}(R)) \qquad \pi_a(R) - \pi_a(\sigma_{b=1}(R))$$

#### Translating NOT in WHERE clause (Correct)

```
SELECT L(t_1, ..., t_n)
FROM R_1 t_1, ..., R_n t_n
WHERE NOT C(t_1, ..., t_n)
```

```
SELECT L^q(t_1, \ldots, t_n)

FROM (SELECT t_1, \ldots, t_n, t_n)

FROM R_1, t_1, \ldots, R_n, t_n

EXCEPT SELECT t_1, \ldots, t_n, t_n

FROM R_1, t_1, \ldots, R_n, t_n

WHERE C(t_1, \ldots, t_n)
```

#### Translating NOT in WHERE clause (Incorrect)

SELECT 
$$L(t_1, ..., t_n)$$
  
FROM  $R_1 t_1, ..., R_n t_n$   
WHERE NOT  $C(t_1, ..., t_n)$ 

# is not equivalent5 with

```
SELECT L(t_1, ..., t_n)

FROM R_1 t_1, ..., R_n t_n

EXCEPT

SELECT L(t_1, ..., t_n)

FROM R_1 t_1, ..., R_n t_n

WHERE C(t_1, ..., t_n)
```

<sup>&</sup>lt;sup>5</sup>Projection do not distribute over set difference

# Translating NOT in WHERE clause (Correct)

```
SELECT e.sid
FROM Enroll e
WHERE NOT e.grade = 'A'
```

```
        SELECT
        q.sid

        FROM
        (SELECT e.sid, e.cno, e.grade Enroll e EXCEPT SELECT e.sid, e.cno, e.grade FROM Enroll e WHERE e.grade = 'A' ) q
```

# Translating NOT in WHERE clause (Incorrect)

SELECT e.sid FROM Enroll e WHERE NOT e.grade = 'A'

#### is not equivalent6 with

SELECT e.sid
FROM Enroll e
EXCEPT
SELECT e.sid
FROM Enroll e
WHERE e.grade = 'A'

<sup>&</sup>lt;sup>6</sup>Projection does not distribute over set difference

# Projection $\pi$ does not distribute over set difference –

	R	
Α	В	С
1	1	2
1	2	1

$$\pi_{A}(\sigma_{B=1 \land \neg(C=1)}(R))$$

$$\boxed{A}$$

#### Translating AND NOT in WHERE clause (Correct)

```
SELECT L(t_1, ..., t_n)
FROM R_1 t_1, ..., R_n t_n
WHERE C_1(t_1, ..., t_n) AND NOT C_2(t_1, ..., t_n)
```

```
SELECT L^q(t_1,\ldots,t_n)
FROM
               (SELECT
                                   t_1, \star, \ldots, t_n, \star
                FROM
                                    R_1 t_1, \ldots, R_n t_n
                WHERE
                                    C_1(t_1,\ldots,t_n)
                EXCEPT
                SELECT
                                    t_1, \underline{*}, \ldots, t_n, \underline{*}
                FROM
                                    R_1 t_1, \ldots, R_n t_n
                WHERE
                                    C_2(t_1,\ldots,t_n)
               ) q
```

#### Translating AND NOT in WHERE clause (Incorrect)

```
SELECT L(t_1, ..., t_n)
FROM R_1 t_1, ..., R_n t_n
WHERE C_1(t_1, ..., t_n) AND NOT C_2(t_1, ..., t_n)
```

#### is not equivalent7 with

```
SELECT L(t_1, ..., t_n)

FROM R_1 \ t_1, ..., R_n \ t_n

WHERE C_1(t_1, ..., t_n)

EXCEPT

SELECT L(t_1, ..., t_n)

FROM R_1 \ t_1, ..., R_n \ t_n

WHERE C_2(t_1, ..., t_n)
```

<sup>&</sup>lt;sup>7</sup>Projection do not distribute over set difference

#### Translating AND NOT in WHERE clause (Correct)

```
SELECT e.sid

FROM Enroll e

WHERE e.cno = 100 AND NOT e.grade = 'A'
```

```
        SELECT
        q.sid

        FROM
        (SELECT
        e.sid, e.cno, e.grade

        FROM
        Enroll e

        WHERE
        e.cno = 100

        EXCEPT
        SELECT
        e.sid, e.cno, e.grade

        FROM
        Enroll e

        WHERE
        e.grade = 'A'

        ) q
```

# Translating AND NOT in WHERE clause (Incorrect)

SELECT e.sid FROM Enroll e

WHERE e.cno = 100 AND NOT e.grade = 'A'

#### is not equivalent8 with

SELECT e.sid

FROM Enroll e

WHERE e.cno = 100

**EXCEPT** 

SELECT e.sid

FROM Enroll e

WHERE e.grade = 'A'

<sup>&</sup>lt;sup>8</sup>Projection does not distribute over set difference

# Translating AND NOT in WHERE clause (Also correct)

```
SELECT L(t_1, ..., t_n)
FROM R_1 t_1, ..., R_n t_n
WHERE C_1(t_1, ..., t_n) AND NOT C_2(t_1, ..., t_n)
```

#### can be also be translated to become

```
SELECT L^q(t_1,\ldots,t_n)
FROM
               (SELECT
                                   t_{1.*}, \ldots, t_{n.*}
                FROM
                                    R_1 t_1, \ldots, R_n t_n
                WHERE
                                    C_1(t_1,\ldots,t_n)
                EXCEPT
                SELECT
                                    t_1, \underline{*}, \ldots, t_n, \underline{*}
                FROM
                                    R_1 t_1, \ldots, R_n t_n
                WHERE
                                    C_1(t_1, \ldots, t_n) AND C_2(t_1, \ldots, t_n)
               ) q
```

# Translating AND NOT in WHERE clause (Also correct)

```
SELECT e.sid
FROM Enroll e
WHERE e.cno = 100 AND NOT e.grade = 'A'
```

```
SELECT
         q.sid
FROM
         (SELECT
                   e.sid, e.cno, e.grade
                   Enroll e
          FROM
          WHERE
                   e.cno = 100
          EXCEPT
          SELECT
                   e.sid, e.cno, e.grade
          FROM
                   Enroll e
          WHERE
                   e.cno= 100 AND e.grade = 'A'
         ) q
```

#### Translating **EXISTS** in **WHERE** clause (Example)

Let R(a, b) and S(b, c) be two relations.

```
SELECT r.a

FROM R r

WHERE EXISTS (SELECT S.c

FROM S s

WHERE r.b = s.b)
```

In Predicate Logic,

$$\{a \mid \exists b(R(a, b) \land \{c \mid S(b, c)\} = \emptyset)\}$$

$$=$$

$$\{a \mid \exists b(R(a, b) \land \exists c S(b, c)))\}$$

$$=$$

$$\{a \mid \exists b \exists c(R(a, b) \land S(b, c)\}$$

$$=$$

$$\pi_{R.a}(\sigma_{R.b} = S.b(R \times S))$$

# Translating **EXISTS** in WHERE clause (Example in Predicate Logic)

$$\{a \mid \exists b (R(a, b) \land \{c \mid S(b, c)\} = \emptyset)\}$$

$$=$$

$$\{a \mid \exists b (R(a, b) \land \exists c S(b, c)))\}$$

$$=$$

$$\{a \mid \exists b \exists c (R(a, b) \land S(b, c)\}$$

$$=$$

$$\pi_{R.a}(\sigma_{R.b=S.b}(R \times S))$$

In SQL,

SELECT DISTINCT r.aFROM R r, S sWHERE r.b = s.b

#### Translating **EXISTS** in **WHERE** clause (Example)

Let R(a, b) and S(b, c) be two relations.

```
SELECT r.a

FROM R r

WHERE EXISTS (SELECT S.c

FROM S s

WHERE r.b = s.b)
```

is translated to

```
SELECT DISTINCT r.a
FROM R r, S s
WHERE r.b = s.b
```

#### Translating **EXISTS** in **WHERE** clause (General case)

```
SELECT L(t_1, ..., t_n)

FROM R_1 t_1, ..., R_n t_n

WHERE EXISTS (SELECT 1

FROM S_1 u_1, ..., S_m u_m

WHERE C(u_1, ..., u_m, t_1, ..., t_n))
```

#### is translated to

```
SELECT DISTINCT L(t_1, ..., t_n)
FROM R_1 t_1, ..., R_n t_n, S_1 u_1, ..., S_m u_m
WHERE C(u_1, ..., u_m, t_1, ..., t_n)
```

#### Translating EXISTS in WHERE clause

```
SELECT s.sid
```

FROM Student s

WHERE EXISTS (SELECT 1

FROM Enroll e, Course c

WHERE e.sid = s.sid AND e.cno = c.cno AND c.dept = 'CS')

#### is translated to

SELECT DISTINCT s.sid

FROM Student s, Enroll e, Course c

WHERE e.sid = s.sid AND e.cno = c.cno AND c.dept = 'CS'

# Translating NOT EXISTS in WHERE clause (Example)

Let R(a, b) and S(b, c) be two relations.

```
SELECT r.a FROM R r WHERE NOT EXISTS (SELECT S.c FROM S s WHERE r.b = s.b)
```

In Predicate Logic,

$$\{a \mid \exists b(R(a, b) \land \{c \mid S(b, c)\} = \emptyset)\}$$

$$=$$

$$\{a \mid \exists b(R(a, b) \land \neg \exists c S(b, c)))\}$$

$$=$$

$$\{a \mid \exists b(R(a, b) \land \neg \exists c (R(a, b) \land S(b, c)))\}$$

$$=$$

$$\pi_{R.a}(R - \pi_{R.a,R.b}(\sigma_{R.b=S.b}(R \times S)))$$

# Translating NOT EXISTS in WHERE clause (Example)

$$\{a \mid \exists b (R(a, b) \land \{c \mid S(b, c)\} = \varnothing)\}$$

$$=$$

$$\pi_{R.a}(R - \pi_{R.a,R.b}(\sigma_{R.b=S.b}(R \times S)))$$

In SQL,

```
        SELECT
        DISTINCT q.a

        FROM
        (SELECT
        R.a, R.b

        FROM
        R r

        EXCEPT
        SELECT
        R.a, R.b

        FROM
        R r, S s

        WHERE
        r.b = s.b

        ) q
```

# Translating NOT EXISTS in WHERE clause (Example)

```
SELECT r.a FROM R r WHERE NOT EXISTS (SELECT S.c FROM S s WHERE r.b = s.b)
```

#### is translated to

```
SELECT DISTINCT q.a FROM (SELECT R.a, R.b FROM R r EXCEPT SELECT R.a, S.b FROM R r, S s WHERE r.b = s.b) q
```

#### Translating NOT EXISTS in WHERE clause (General case)

```
SELECT L(t_1, \ldots, t_n)

FROM R_1 \ t_1, \ldots, R_n \ t_n

WHERE NOT EXISTS (SELECT 1

FROM S_1 \ u_1, \ldots, S_m \ u_m

WHERE C(u_1, \ldots, u_m, t_1, \ldots, t_n))
```

#### is translated to

### Translating NOT EXISTS in WHERE clause

```
SELECT s.sid
 FROM
           Student s
 WHERE
           NOT EXISTS (SELECT 1
                        FROM Enroll e
                        WHERE e.sid = s.sid AND e.grade = 'A')
is translated to
      SELECT
                g.sid
      FROM
                (SELECT
                          s.sid, s.sname
                FROM
                           Student s
                 EXCEPT
                 SELECT
                          s.sid, s.sname
                FROM
                           Student s, Enroll e
                WHERE
                          e.sid = s.sid AND e.grade = 'A'
                ) q
```

### Translating AND NOT EXISTS in WHERE clause

```
SELECT s.sid
FROM Student s
```

WHERE s.sname = 'Ann' AND

NOT EXISTS (SELECT 1

FROM Enroll e

WHERE e.sid = s.sid AND e.grade = 'A')

```
SELECT q.sid

FROM (SELECT s.sid, s.sname
FROM Student s
WHERE s.sname = 'Ann'
EXCEPT
SELECT s.sid, s.sname
FROM Student s, Enroll e
WHERE e.sid = s.sid AND e.grade = 'A'
) q
```

## Translating AND NOT EXISTS in WHERE clause (Alternative)

SELECT s.sid FROM Student s

WHERE s.sname = 'Ann' AND

NOT EXISTS (SELECT 1

FROM Enroll e

WHERE e.sid = s.sid AND e.grade = 'A')

```
SELECT q.sid

FROM (SELECT s.sid, s.sname
FROM Student s
WHERE s.sname = 'Ann'
EXCEPT
SELECT s.sid, s.sname
FROM Student s, Enroll e
WHERE s.sname='Ann' AND e.sid = s.sid AND e.grade = 'A'
) q
```

## Translating IN in WHERE clause

```
SELECT L(t_{1},...,t_{n})

FROM R_{1} t_{1},...,R_{n} t_{n}

WHERE (t_{i_{1}}.A_{j_{1}},...,t_{i_{k}}.A_{j_{k}}) IN (SELECT\ u_{l_{1}}.Bm_{1},...,u_{l_{k}}.Bm_{k}

FROM S_{1}\ u_{1},...,S_{m}\ u_{m}

WHERE C(u_{1},...,u_{m},t_{1},...,t_{n})
```

```
SELECT DISTINCT L(t_1, ..., t_n)

FROM R_1 t_1, ..., R_n t_n, S_1 u_1, ..., S_m u_m

WHERE C(u_1, ..., u_m, t_1, ..., t_n) AND t_{i_1}.A_{j_1} = u_{l_1}.B_{m_1} AND \cdots AND t_{i_k}.A_{j_k} = u_{l_k}.B_{m_k}
```

### Translating NOT IN in WHERE clause

```
\begin{array}{lll} \text{SELECT} & \textit{L}(t_1, \dots, t_n) \\ \text{FROM} & \textit{R}_1 \ t_1, \dots, \textit{R}_n \ t_n \\ \text{WHERE} & (t_{i_1}.A_{j_1}, \dots, t_{i_k}.A_{j_k}) \ \textbf{NOT IN} \\ & & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\
```

```
SELECT
                 L^{q}(t_1,\ldots,t_n)
FROM
                 (SELECT
                                       t_1, \star, \ldots, t_n, \star
                 FROM
                                       R_1 t_1, \ldots, R_n t_n
                 EXCEPT
                 SELECT
                                       t_1, \star, \ldots, t_n, \star
                 FROM
                                       R_1 t_1, \ldots, R_n t_n, S_1 u_1, \ldots, S_m u_m
                 WHFRF
                                       C(u_1, \ldots, u_m, t_1, \ldots, t_n) AND
                                       t_{i_1}.A_{i_1} = u_{i_1}.B_{m_1} AND \cdots AND t_{i_k}.A_{i_k} = u_{i_k}.B_{m_k}
                 ) q
```

### Translating *θ* **SOME** in **WHERE** clause

```
SELECT L(t_1, \ldots, t_n)

FROM R_1 \ t_1, \ldots, R_n \ t_n

WHERE t_{i_1}.A_{j_1} \ \theta SOME

(SELECT u_{l_1}.B_{m_1}

FROM S_1 \ u_1, \ldots, S_m \ u_m

WHERE C(u_1, \ldots, u_m, t_1, \ldots, t_n))
```

```
SELECT DISTINCT L(t_1, ..., t_n)
FROM R_1 t_1, ..., R_n t_n, S_1 u_1, ..., S_m u_m
WHERE C(u_1, ..., u_m, t_1, ..., t_n) AND t_{i_1} A_{j_1} \theta u_{l_1} B_{m_1}
```

## Translating $\theta$ ALL in WHERE clause

) q

```
SELECT L(t_1, \ldots, t_n)
               FROM
                              R_1 t_1, \ldots, R_n t_n
               WHERE t_{i_1}, A_{i_1} \theta ALL
                                           (SELECT UI1. Bm1
                                           FROM S1 U1.... Sm Um
                                           WHERE C(u_1, \ldots, u_m, t_1, \ldots, t_n)
is translated to
           SELECT
                          L^{q}(t_1,\ldots,t_n)
           FROM
                           (SELECT
                                               t_1, \underline{*}, \ldots, t_n, \underline{*}
                           FROM
                                                R_1 t_1, \ldots, R_n t_n
                           EXCEPT
                           SELECT
                                                t_1, \underline{*}, \ldots, t_n, \underline{*}
                           FROM
                                                R_1 t_1, \ldots, R_n t_n, S_1 u_1, \ldots, S_m u_m
                           WHERE
                                                C(u_1, \ldots, u_m, t_1, \ldots, t_n) AND
```

NOT  $t_{i_1}$ ,  $A_{i_1}$   $\theta$   $u_{i_1}$ ,  $B_{m_1}$ 

## Translating $\theta$ ALL in WHERE clause

```
SELECT p.pid

FROM Person p

WHERE p.age \le ALL

(SELECT p1.age

FROM Person p1)
```

```
SELECT DISTINCT q.pid

FROM (SELECT p.pid, p.age

FROM Person p

EXCEPT

SELECT p.pid, p.age

FROM Person p, Person p1

WHERE NOT p.age \leq p1.age
```

## Translating SQL with doubly-nested set predicates

```
SELECT s.sid
FROM Student s

WHERE NOT EXISTS (SELECT 1
FROM Enroll e
WHERE e.sid = s.sid AND
e.cno NOT IN (SELECT c.cno
FROM Course c
WHERE c.dept = 'CS')
```

#### Eliminate first-level **NOT EXISTS** predicate

```
SELECT q1.ssid

FROM (SELECT s.sid AS ssid, s.sname
FROM Student s
EXCEPT
SELECT s.sid, s.sname
FROM Student s, Enroll e
WHERE e.sid = s.sid AND
e.cno NOT IN (SELECT c.cno
FROM Course c
WHERE c.dept = 'CS')) q1
```

#### Eliminate second-level AND NOT IN

```
SELECT q1.ssid

FROM (SELECT s.sid AS ssid, s.sname
FROM Student s
EXCEPT
SELECT q2.ssid, s.sname
FROM (SELECT s.sid AS ssid, s.sname, e.sid, e.cno, e.grade
FROM Student s, Enroll e
WHERE e.sid = s.sid
EXCEPT
(SELECT s.sid, s.sname, e.sid, e.cno, e.grade
FROM Student s, Enroll e, Course c
WHERE e.cno = c.cno AND c.dept = 'CS' ) q2) q1
```

## Translating SQL with doubly-nested set predicates

```
SELECT s.sid

FROM Student s

WHERE NOT EXISTS (SELECT 1

FROM Course c

WHERE c.dept = 'CS' AND

c.cno NOT IN (SELECT e.cno

FROM Enroll e

WHERE e.sid = s.sid))
```

#### Eliminate first-level **NOT EXISTS** predicate

```
SELECT q1.ssid

FROM (SELECT s.sid AS ssid, s.sname
FROM Student s
EXCEPT
SELECT s.sid, s.sname
FROM Student s, Course c
WHERE c.dname = 'CS' AND
c.cno NOT IN (SELECT e.cno
FROM Enroll e
WHERE e.sid = s.sid)) q1
```

#### Eliminate second-level AND NOT IN

```
SELECT q1.ssid

(SELECT s.sid AS ssid, s.sname
FROM Student s
EXCEPT
SELECT q2.ssid, s.sname
FROM (SELECT s.sid AS ssid, s.sname, c.cno, c.dname
FROM Student s, Course c
WHERE c.dname = 'CS'
EXCEPT
(SELECT s.sid, s.sname, c.cno, c.dname
FROM Student s, Enroll e, Course c
WHERE e.cno = c.cno AND e.sid = s.sid ) q2) q1
```

### Moving WHERE condition to FROM clause

- In the previous slides we have shown how set predicates can be translated
- After that process, we will have SQL queries wherein the WHERE clauses consist of boolean combinations of conditions of the form
  - $t.A\theta a$ ; or
  - ti.Aθtj.B
- In the following slides we will show how these WHERE clauses can be moved to FROM clauses
- We might also have queries without a WHERE clause and/or without a FROM clause; these require special treatment

## **SQL** queries without **WHERE** and **FROM** clauses

#### SELECT a AS A

This query is translated to the RA expression

(A:a)

### **SQL** queries without WHERE clause

SELECT 
$$L(t_1, ..., t_n)$$
  
FROM  $R_1 t_1, ..., R_n t_n$ 

This query is translated to the RA expression

$$\pi_{L(t_1,...,t_n)}(R_1 \times \cdots \times R_n)$$

# Moving WHERE condition to FROM clause (condition on at least three relations)

Assume that the condition C applies to a least three relations, i.e., the condition is of the form  $C(t_{i_1}, t_{i_2}, t_{i_3}, ..., t_{i_k})$  with  $k \ge 3$ 

```
SELECT L(t_1, ..., t_n)
FROM R_1 t_1, R_2 t_2, R_3 t_3, ..., R_n t_n
WHERE C(t_{i_1}, t_{i_2}, t_{i_3}, ..., t_{i_k})
```

We can now introduce the CROSS JOIN in the FROM clause by replacing each ',' with CROSS JOIN

```
SELECT L(t_1,\ldots,t_n)
FROM R_1 t_1 CROSS JOIN R_2 t_2 CROSS JOIN R_3 t_3 CROSS JOIN \cdots CROSS JOIN R_n t_n WHERE C(t_{1_1},t_{2_2},t_{3_3},\ldots,t_{l_k})
```

In RA,

$$\pi_{L(t_1,\ldots,t_n)}(\sigma_{C(t_{i_1},t_{i_2},t_{i_3},\ldots,t_{i_k})}(R_1\times R_2\times R_3\times \cdots \times R_n))$$

# Moving WHERE condition to FROM clause (condition on at least three relations) Example

SELECT  $L(t_1, t_2, t_3)$ FROM  $R_1 t_1, R_2 t_2, R_3 t_3$ WHERE  $t_1.A_1 \theta_1 t_2.A_2$  OR  $t_2.A_3 \theta_2 t_3.A_4$ 

$$\pi_{L(t_1,t_2,t_3)}(\sigma_{t_1.A_1\ \theta_1\ t_2.A_2\ \lor\ t_2.A_3\ \theta_2\ t_3.A_4}(R_1\times R_2\times R_3))$$

# Moving WHERE condition to FROM clause (condition on single relation)

```
SELECT L(t_1, ..., t_n)
FROM R_1 t_1, R_2 t_2, ..., R_i t_i, ..., R_n t_n
WHERE C(t_i) [AND C^l(t_{i_1}, ..., t_{i_k})]
```

Observe that  $C(t_i)$  is only a condition on  $R_i$ . This query is translated to

```
SELECT L(t_1, ..., t_n)

FROM R_1 t_1, R_2 t_2 ...,

(SELECT t_{i,*} FROM R_i WHERE C(t_i)) t_i, ..., R_n t_n

[WHERE C^l(t_{i_1}, ..., t_{i_k})]
```

# Moving WHERE condition to FROM clause (condition on single relation)

```
SELECT L(t_1, \ldots, t_n)

FROM R_1 t_1, R_2 t_2, \ldots,

(SELECT t_{i,*} FROM R_i WHERE C(t_i)) t_i, \ldots, R_n t_n

[WHERE C^{l}(t_{i_1}, \ldots, t_{i_k})]
```

We can now introduce the CROSS JOIN in the FROM clause by replacing each ',' with CROSS JOIN

```
SELECT L(t1, \ldots, tn)

FROM R1 tr CROSS JOIN R2 tz CROSS JOIN \cdots CROSS JOIN \cdots CROSS JOIN \cdots CROSS JOIN Rn tn (SELECT t1, r FROM Ri WHERE C(ti)) ti CROSS JOIN \cdots CROSS JOIN Rn tn (WHERE C^{I}(t1, \ldots, t_{lr}))
```

Which, in the notation of RA, corresponds to the expression

$$\pi_{L(t_1,...,t_n)}(\sigma_{C^t(t_{i_1},...,t_{i_k})}(R_1 \times R_2 \times \cdots \times \sigma_{C(t_i)}(R_i) \times \cdots \times R_n))$$
 or, when  $C^l(t_{i_1},...,t_{i_k})$  is missing,

$$\pi_{L(t_1,\ldots,t_n)}(R_1 \times R_2 \times \cdots \times \sigma_{C(t_i)}(R_i) \times \cdots \times R_n)$$

# Moving WHERE condition to FROM clause (condition on two relations)

```
SELECT L(t_1, ..., t_n)
FROM R_1 t_1, ..., R_i t_i, ..., R_j t_j, ..., R_n t_n
WHERE C(t_i, t_j) [AND C^l(t_{i_1}, ..., t_{i_k})]
```

Observe that  $C(t_i, t_j)$  is a condition relating  $R_i$  and  $R_j$ . This query is translated to

```
SELECT L(t_1, ..., t_n)
FROM R_1 t_1, ..., R_{i-1} t_{j-1}, R_{i+1} t_{i+1}, ..., R_{j-1} t_{j-1}, R_{j+1} t_{j+1}, ..., R_n t_n, R_i t_i \text{ JOIN } R_j t_j \text{ ON } C(t_i, t_j)
[WHERE C^t(t_1, ..., t_k)]
```

# Moving WHERE condition to FROM clause (condition on two relations)

```
SELECT L(t_1, ..., t_n)

FROM R_1 t_1, ..., R_{i-1} t_{j-1}, R_{i+1} t_{i+1}, ..., R_{j-1} t_{j-1}, R_{j+1} t_{j+1}, ... R_n t_n,

R_i t_i \text{ JOIN } R_j t_j \text{ ON } C(t_i, t_j)

[WHERE C^t(t_1, ..., t_k)]
```

Recalling that each ',' in the FROM clause corresponds to a CROSS JOIN, this query can be formulated in RA as follows:

```
\pi_{L(t_1,\ldots,t_n)}(\sigma_{CC}(t_{i_1},\ldots,t_{i_k})(R1\times\cdots\times Ri-1\times Ri+1\times\cdots\times Rj-1\times Rj+1\times\cdots\times Rn\times (Ri\bowtie C(t_i,t_j)\ Rj)))
or, when C^l(t_{i_1},\ldots,t_{i_k}) is missing
\pi_{L(t_1,\ldots,t_n)}(R1\times\cdots\times Ri-1\times Ri+1\times\cdots\times Rj-1\times Rj+1\times\cdots\times Rn\times (Ri\bowtie C(t_i,t_j)\ Rj))
```

# Moving WHERE condition to FROM clause (natural join condition on two relations)

Assume that  $A_1, \ldots, A_k$  are the common attributes of  $R_i$  and  $R_j$  and that  $C(t_i, t_j)$  is the condition

$$t_i.A_1 = t_j.A_1 \text{ AND } \cdots \text{ AND } t_i.A_k = t_j.A_k$$

then

```
SELECT L(t1, ..., tn)

FROM R1 \ t_1, ..., Ri-1 \ t_j-1, Ri+1 \ t_{i+1}, ..., Rj-1 \ t_j-1, Rj+1 \ t_{j+1}, ... Rn \ tn, Ri \ t_j \ Jon \ C(t, t_j)

[WHERE C^t(t_k, ..., t_{ir})]
```

#### is translated to

```
SELECT L(t1, \ldots, tn)

FROM R1 \ t_1, \ldots, Ri-1 \ t_j-1, Ri+1 \ ti+1, \ldots, Rj-1 \ t_j-1, Rj+1 \ t_j+1, \ldots Rn \ tn,

Ri \ ti NATURAL JOIN Rj \ t_j

[WHERE C^1(t_1, \ldots, t_b)]
```

## In RA,

```
\pi L(t_1, \dots, t_n) \big( \sigma_{C^t}(t_{i_1}, \dots, t_{i_k}) \big( R1 \times \dots \times Ri-1 \times Ri+1 \times \dots \times Rj-1 \times Rj+1 \times \dots \times Rn \times (Ri \bowtie Rj) \big) \big)
```

### SQL queries with set operations UNION, INTERSECT, or EXCEPT

Assuming Q<sub>1</sub> and Q<sub>2</sub> SQL queries, the queries of the form

Q<sub>1</sub> UNION [INTERSECT | EXCEPT] Q<sub>2</sub>

can be translated to RA as follows

$$E_{Q_1}$$
U[ $\cap$   $|-$ ] $E_{Q_2}$ 

where  $E_{Q_1}$  and  $E_{Q_2}$  are the RA expressions corresponding to  $Q_1$  and  $Q_2$ 

#### **Example**

```
SELECT q1.ssid

(SELECT s.sid AS ssid, s.sname
FROM Student s
EXCEPT
SELECT q2.ssid, s.sname
FROM (SELECT s.sid AS ssid, s.sname, e.sid, e.cno
FROM Student s, Enroll e
WHERE e.sid = s.sid
EXCEPT
(SELECT s.sid, s.sname, e.sid, e.cno
FROM Student s, Enroll e, Course c
WHERE e.cno = c.cno AND c.dept = 'CS' ) q2) q1
```

#### **Example**

"Find the sid of each student who is only enrolled in CS courses."

```
SELECT
FROM
(SELECT s.sid AS ssid, s.sname
FROM Student s
EXCEPT
SELECT q2.ssid, s.sname
FROM (SELECT s.sid AS ssid, s.sname, e.sid, e.cno
FROM Student s NATURAL JOIN Enroll e
EXCEPT
(SELECT s.sid, s.sname, e.sid, e.cno
FROM Student s CROSS JOIN Enroll E
NATURAL JOIN (SELECT c.* FROM Course c WHERE dept = 'CS') c) q2) q1
```

#### is translated to

```
\pi_{sid}(\pi_{sid,sname}(S) - \pi_{S.sid,sname}(\pi_{S.sid,sname,E.sid,cno}(S \bowtie E) - \pi_{S.sid,sname,E.sid,cno}(S \times E \bowtie \sigma_{dept='CS'}(C))))
```

where *S*, *E*, and *C* denote Student, Enroll, and Course, respectively.