


APE FTD's From the SEC

**FAILURE-TO-DELIVER Data for APE (AMC Preferred Equity)
Shares now available from SEC**

2022-09-15



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EXCHANGE COMMISSION

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Data

Fails-to-Deliver Data

February 2004 - August 2022

Fails Data Availability:

- The **first half** of a given month is available at the **end of the month**.
- The **second half** of a given month is available at about the **15th of the next month**.

What You Should Know About the Data

This text file contains the date, CUSIP numbers, ticker symbols, issuer name, price, and total number of fails-to-deliver (i.e., the balance level outstanding) recorded in the National Securities Clearing Corporation's ("NSCC") Continuous Net Settlement (CNS) system aggregated over all NSCC members. Data prior to September 16, 2008 include only securities with a balance of total fails-to-deliver of at least 10,000 shares as of a particular settlement date. Data on or after September 16, 2008 include all securities with a balance of total fails-to-deliver as of a particular settlement date. The data include fails-to-deliver in equity securities.

Data Downloads

File	Format	Size
August 2022, second half	ZIP	1.68 MB
August 2022, first half	ZIP	1.28 MB
July 2022, second half	ZIP	1.64 MB



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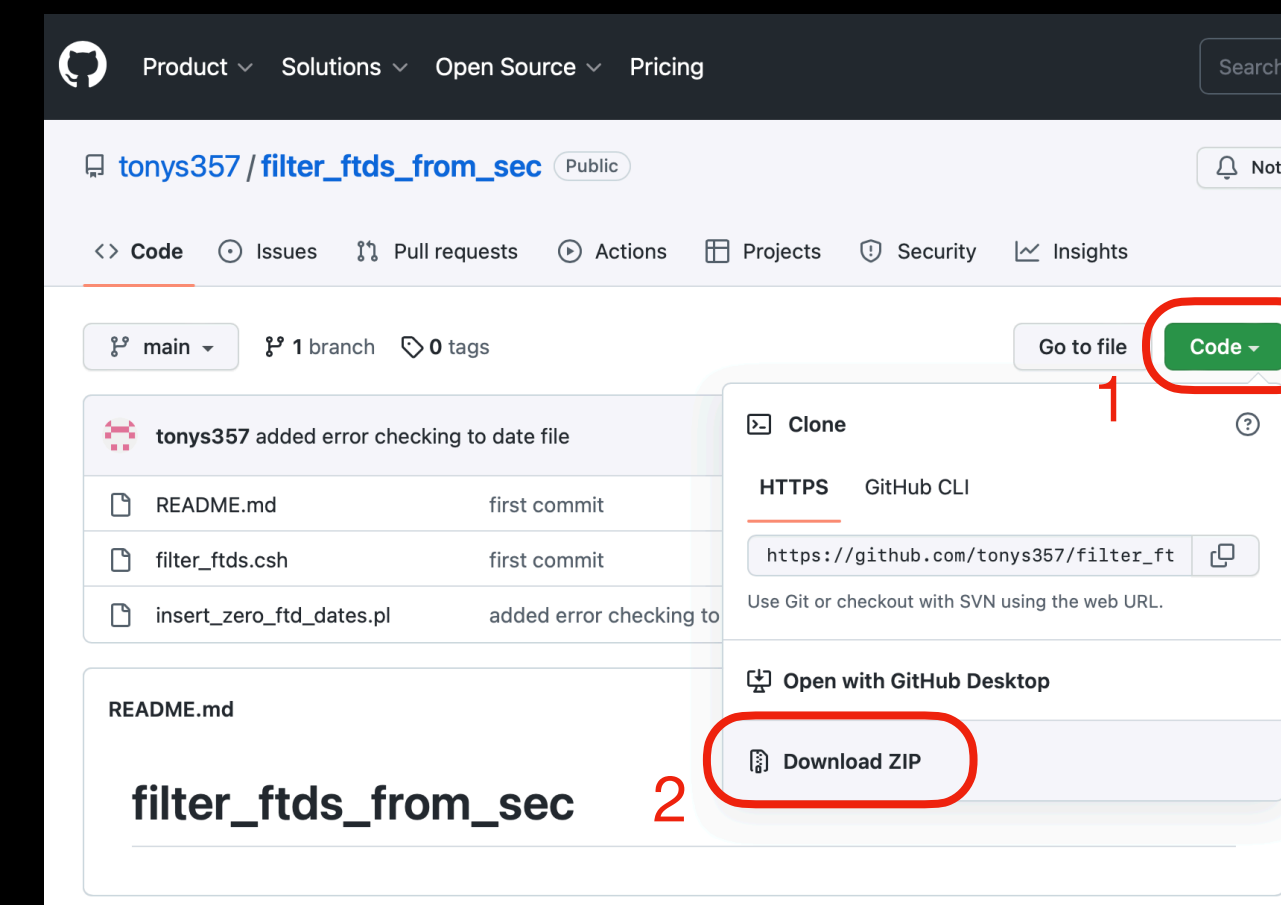
This text file contains the date, CUSIP numbers, ticker symbols, issuer name, price, and total number of fails-to-deliver (i.e., the balance level outstanding) recorded in the National Securities Clearing Corporation's ("NSCC") Continuous Net Settlement (CNS) system aggregated over all NSCC members. Data prior to September 16, 2008 include only securities with a balance of total fails-to-deliver of at least 10,000 shares as of a particular settlement date. Data on or after September 16, 2008 include all securities with a balance of total fails-to-deliver as of a particular settlement date. The data include fails-to-deliver in equity securities.

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August 2022, first half	ZIP	1.28 MB
July 2022, second half	ZIP	1.34 MB

Processed With ...

https://github.com/tonys357/filter_ftds_from_sec



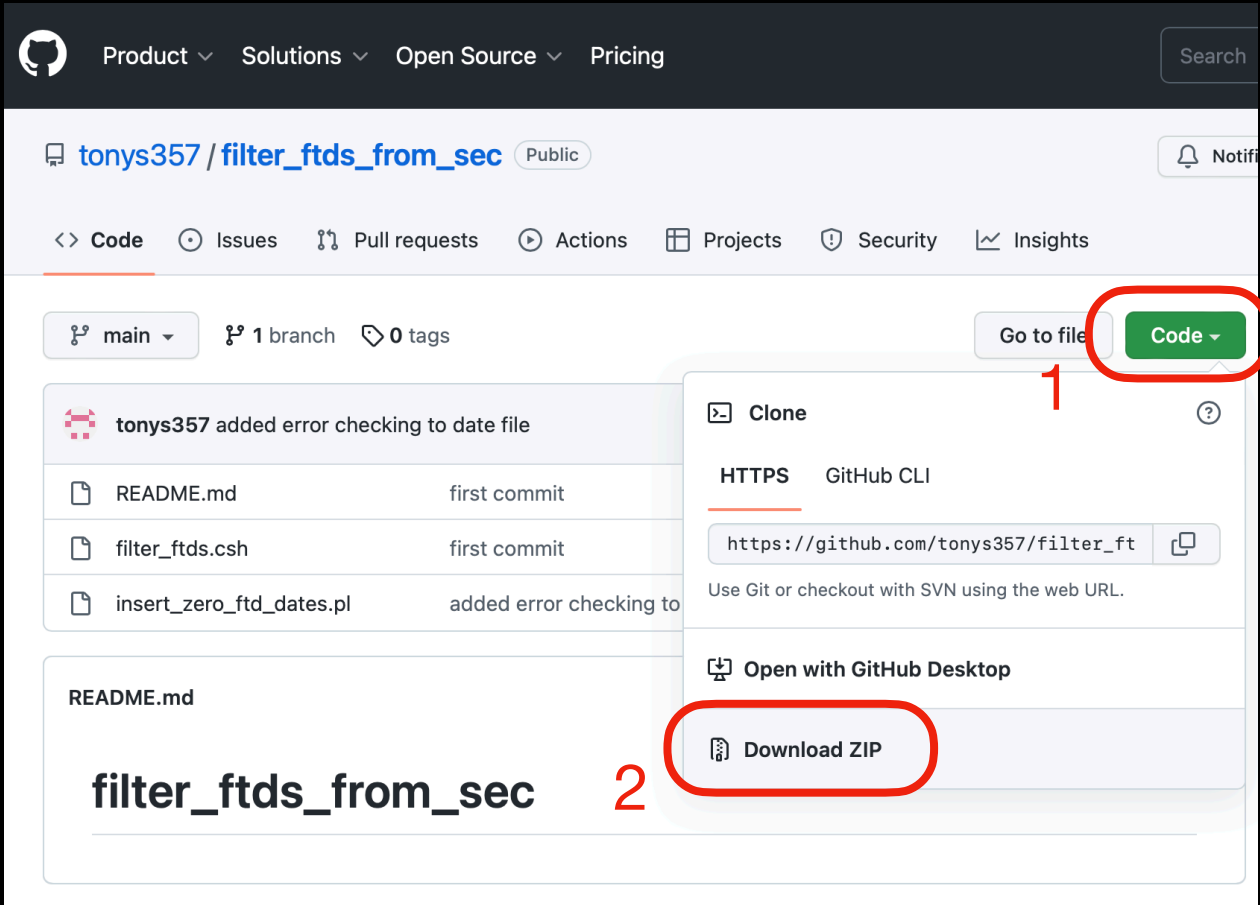
1. Click Code
2. Click Download ZIP
3. Put into a folder called `cns_fails_from_sec`



Data Downloads		
File	Format	Size
August 2022, second half	ZIP	1.68 MB
August 2022, first half	ZIP	1.28 MB
July 2022, second half	ZIP	1.61 MB

ssed With ...

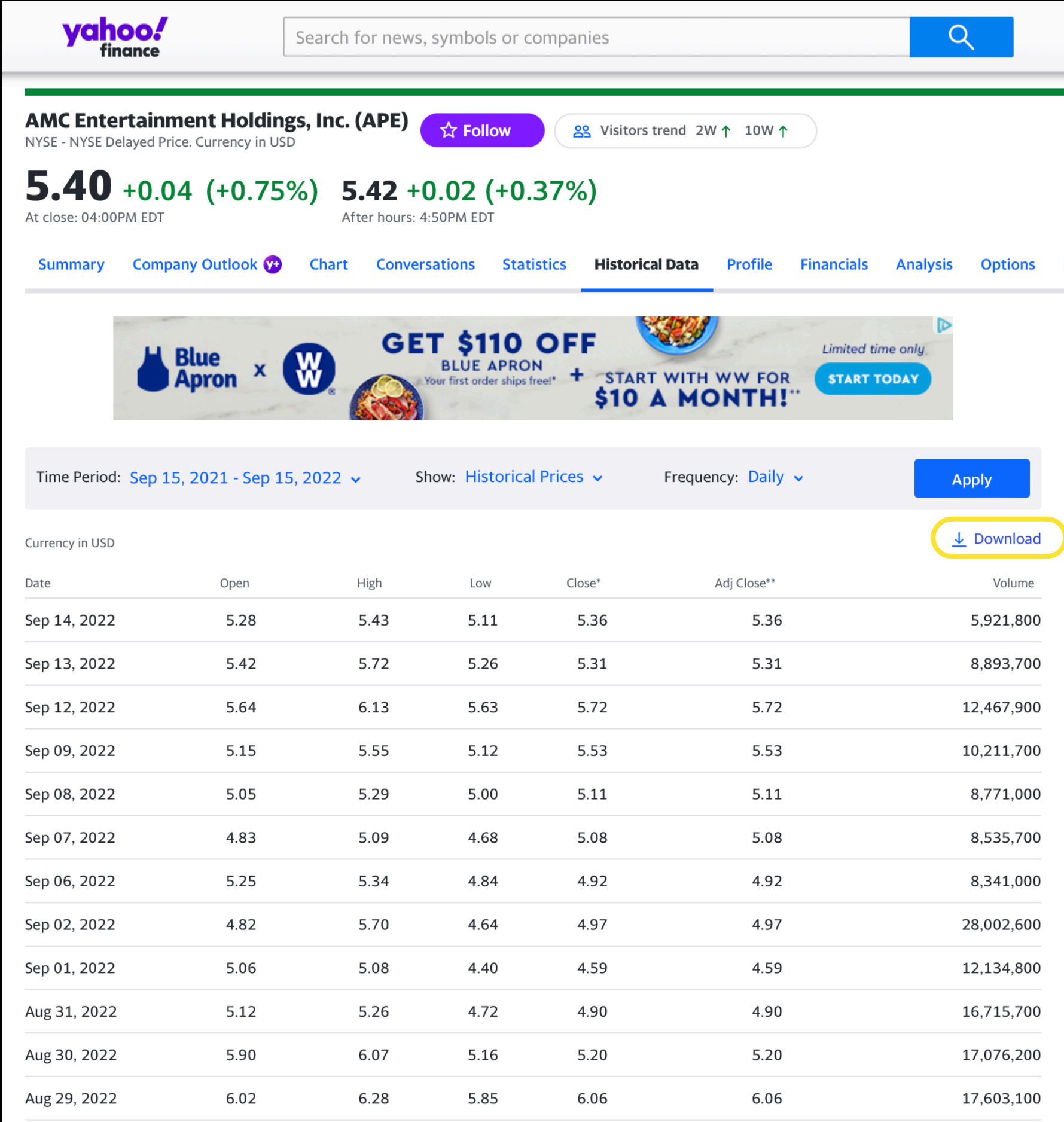
https://github.com/tonys357/filter_ftds_from_sec

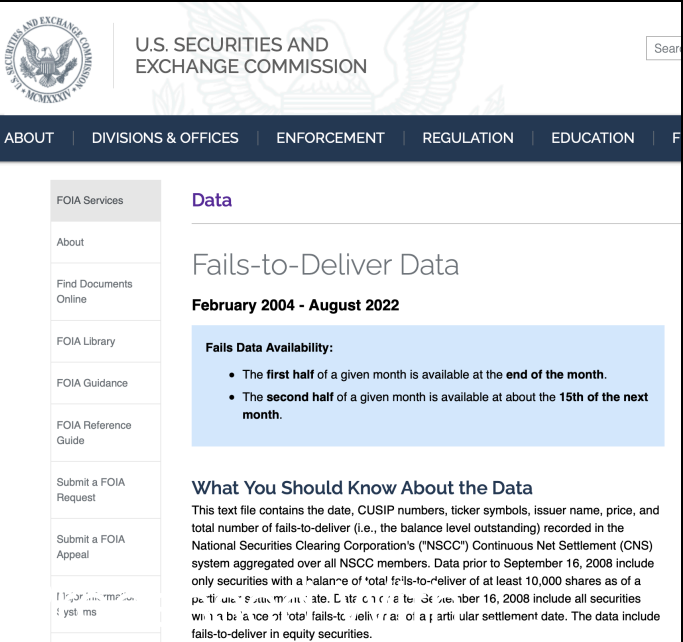


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1. Get Historical Data (for trading dates)
<https://finance.yahoo.com/quote/APE/history>

2. Click Download
3. Rename to APE_prices.csv

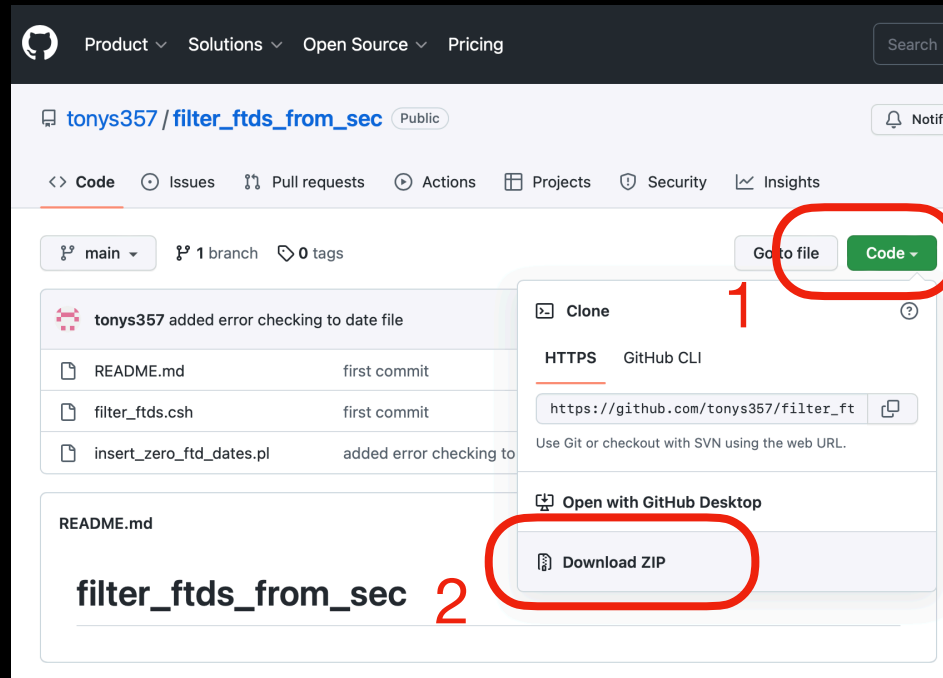




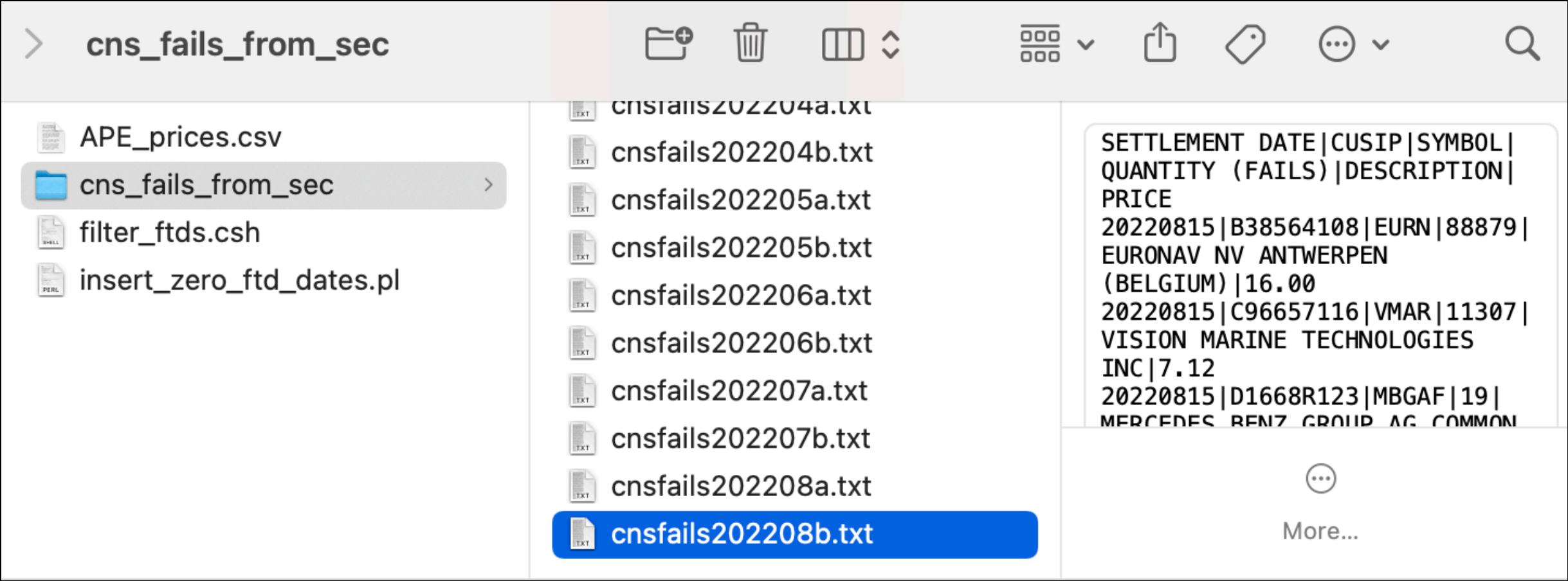
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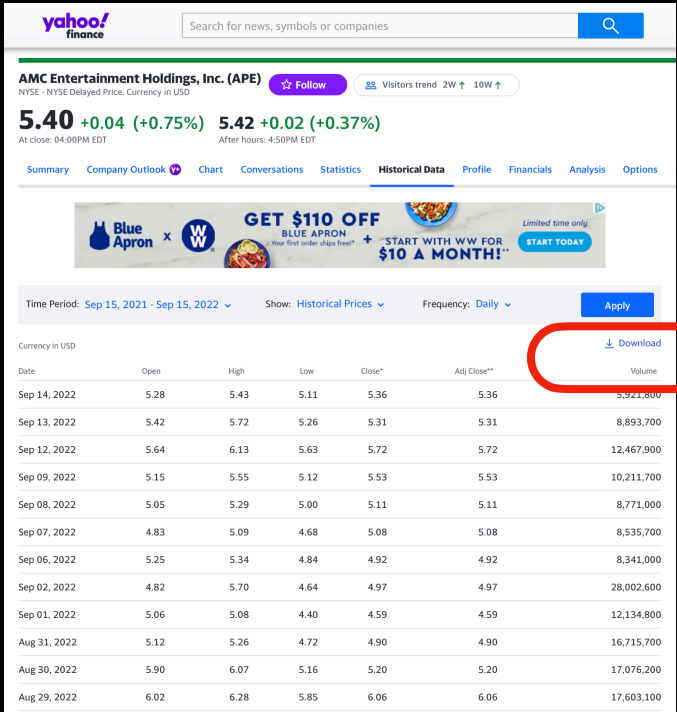
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From a Terminal Window (Mac, unix), Issue the following commands :

```
./filter_ftds.csh cns_fails_from_sec cnsfails202208b.txt APE APE_ftds_2022__aug.csv
perl insert_zero_ftd_dates.pl APE_ftds_2022__aug.csv APE_prices.csv APE_ftds_2022__final.csv
```

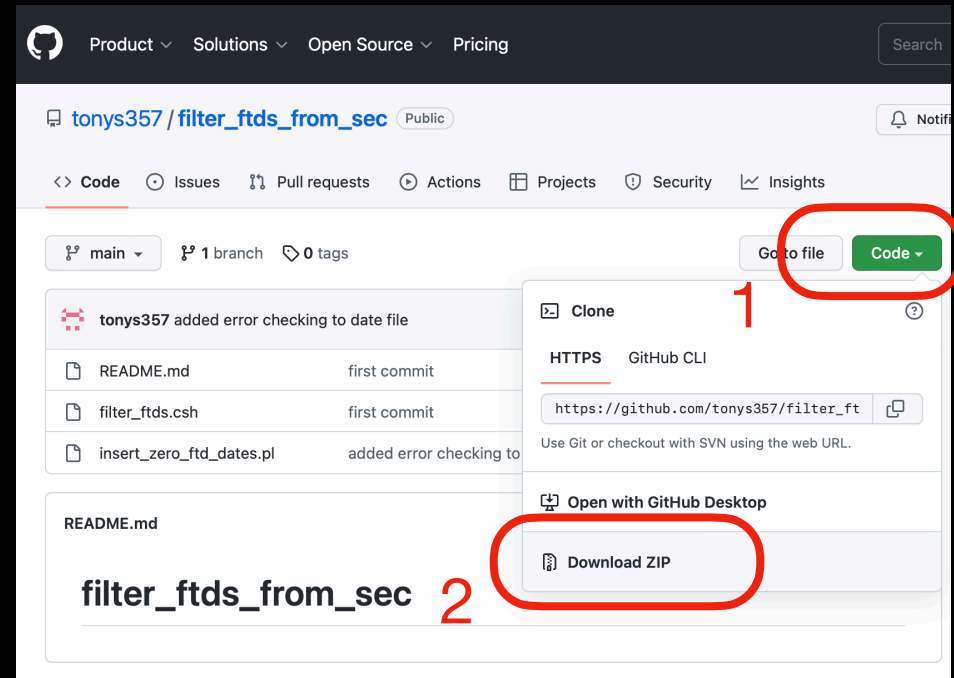
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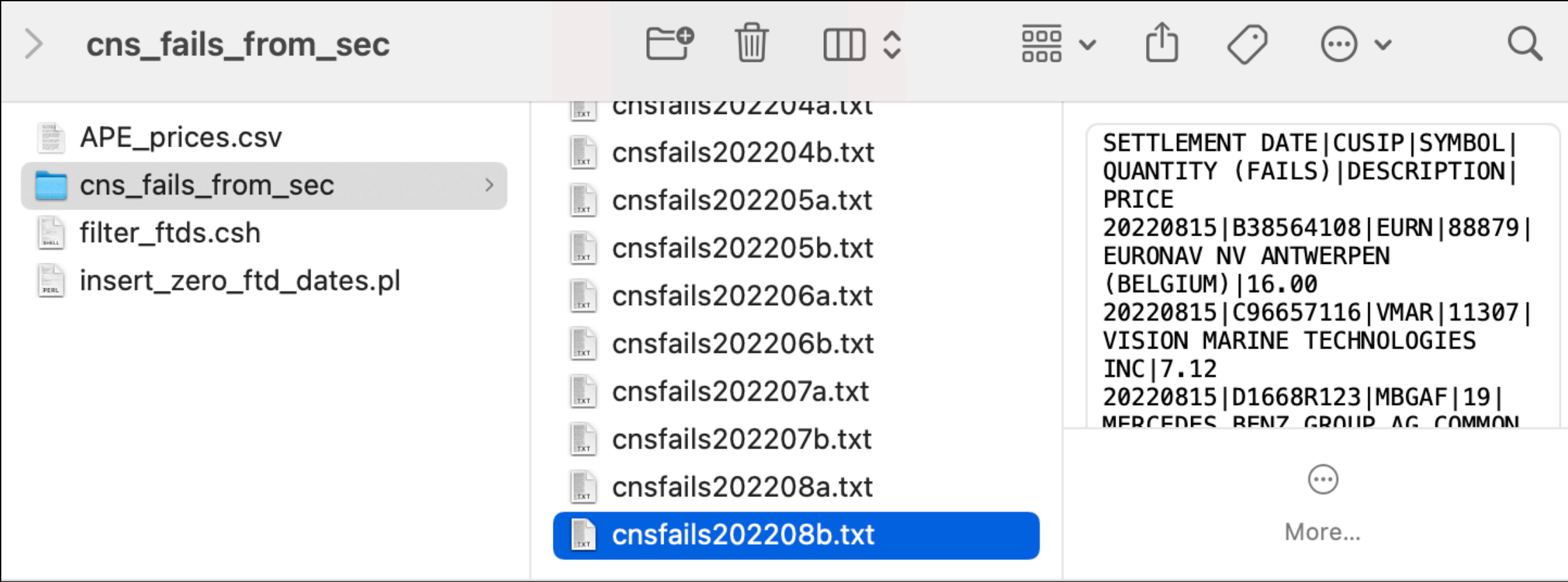


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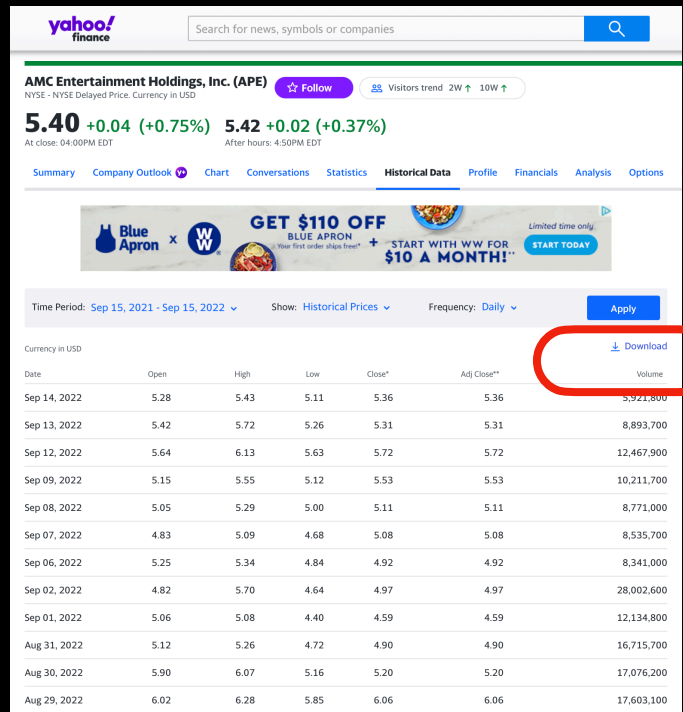
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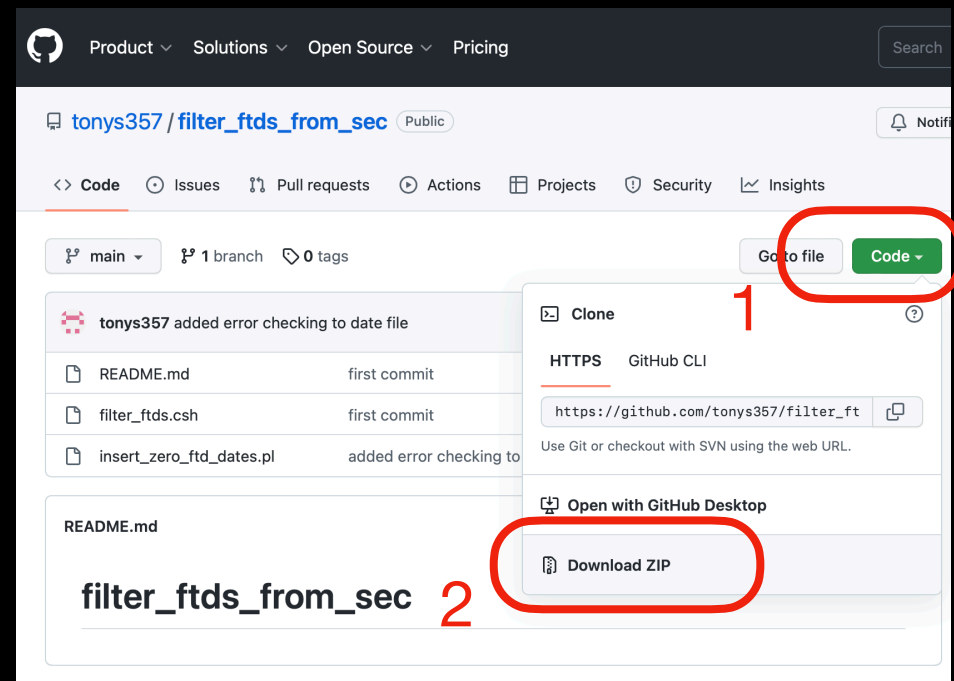
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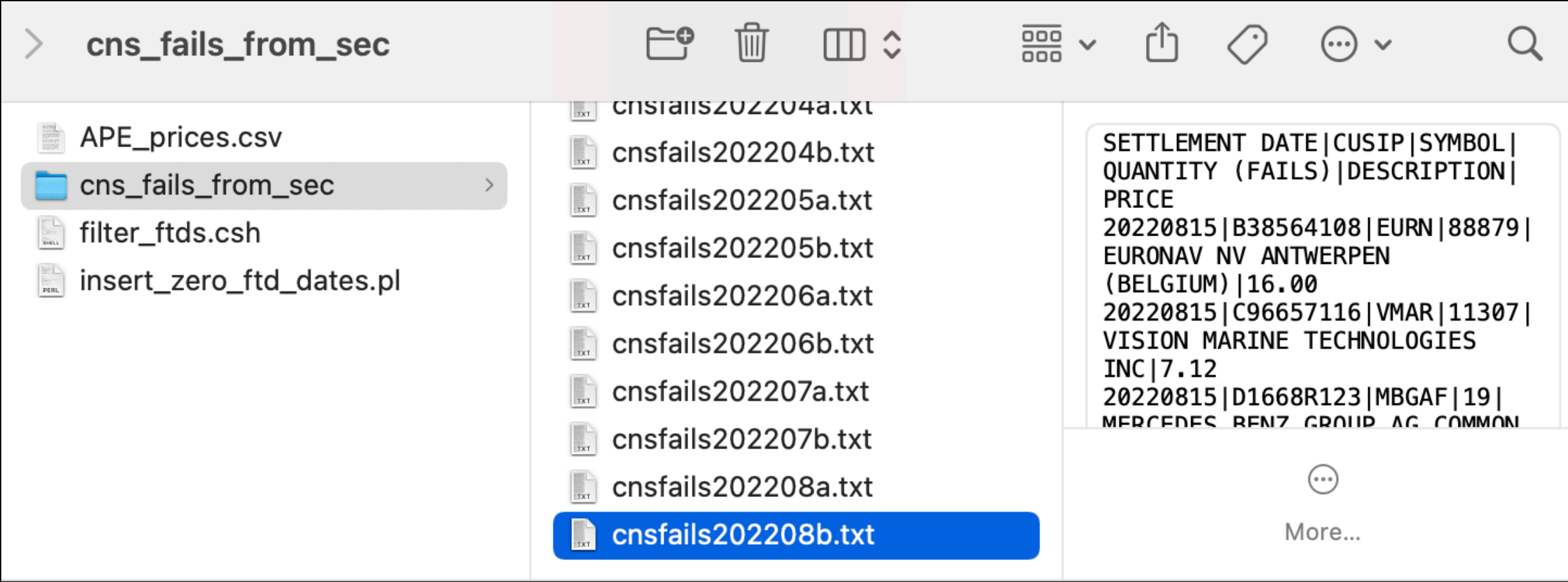


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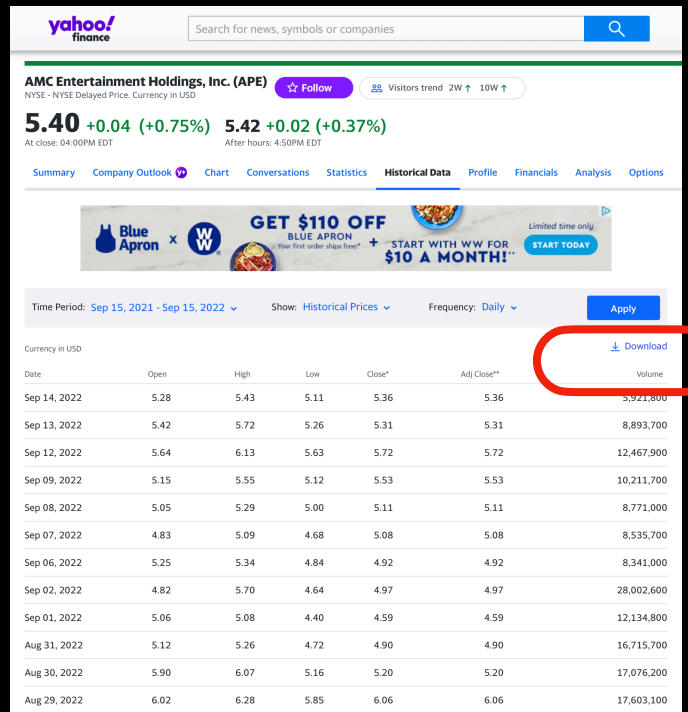
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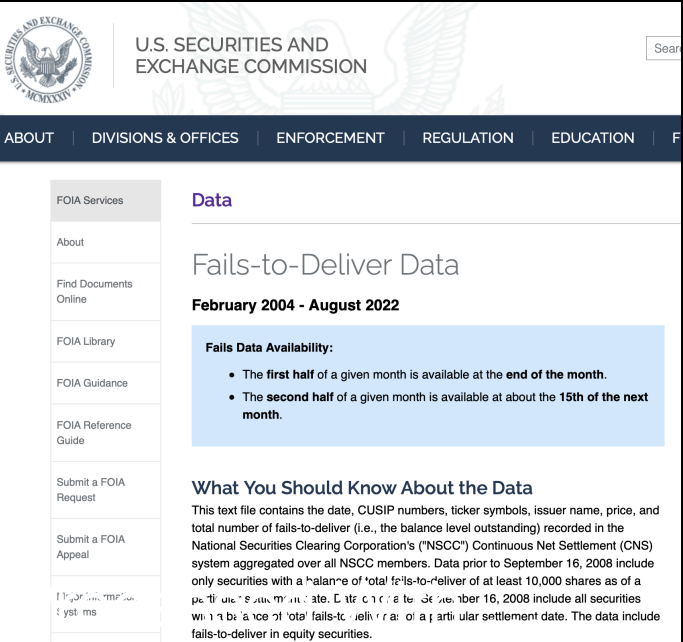
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```

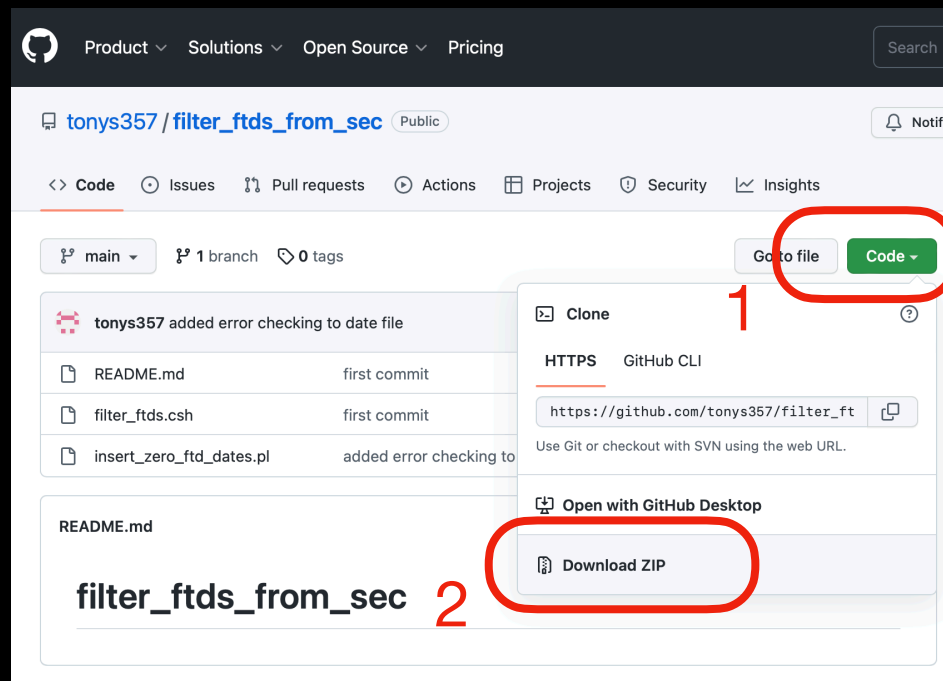
Inserts 0 for for trading day with NO Failures, so we can see contiguous days of FTD's
—> Creates a text file called `APE_ftds_2022__final.csv`



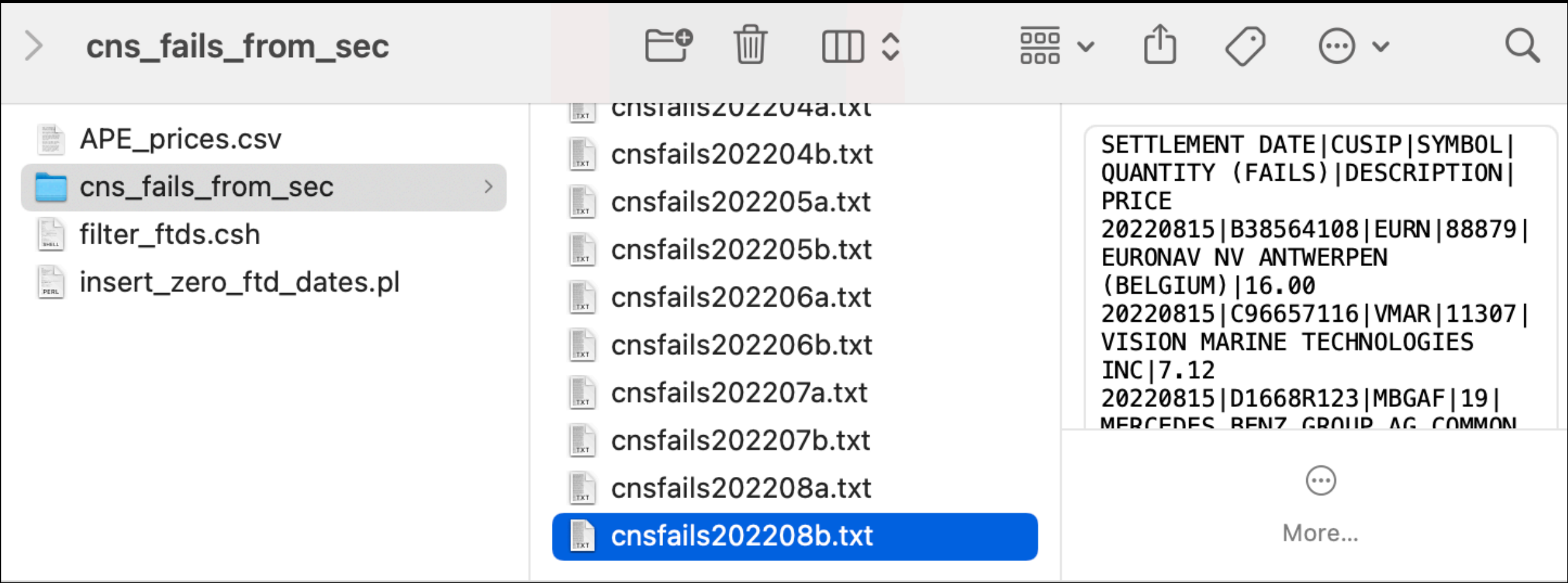
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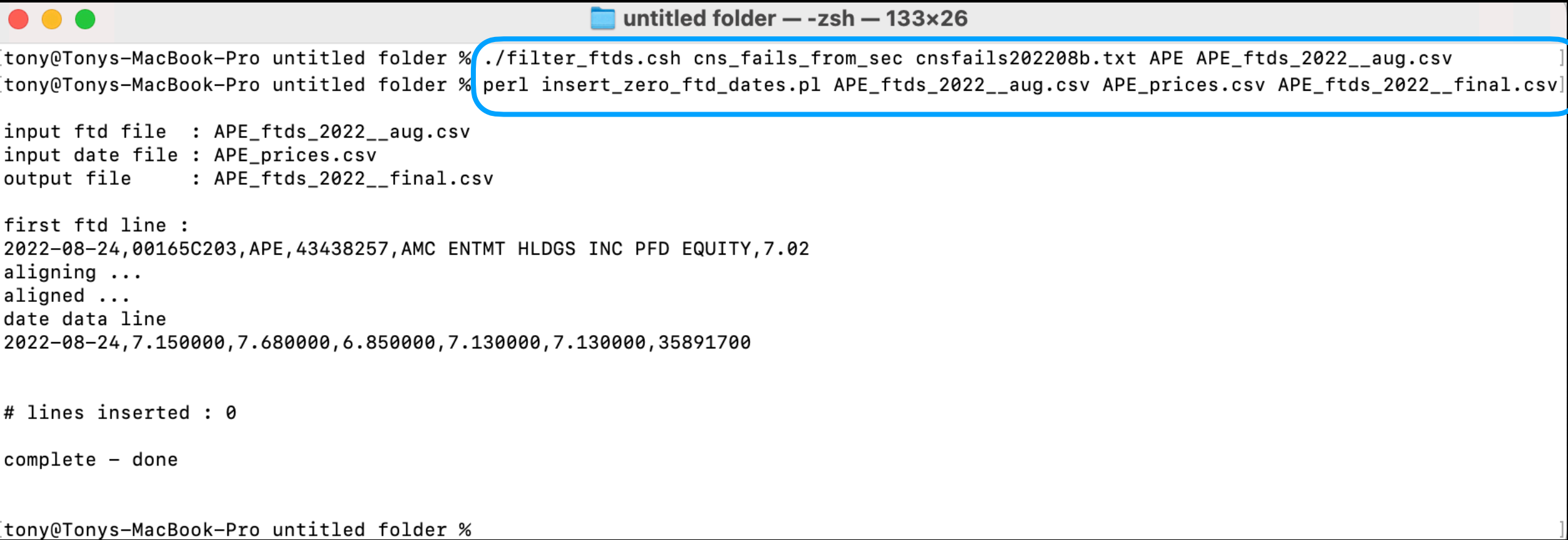
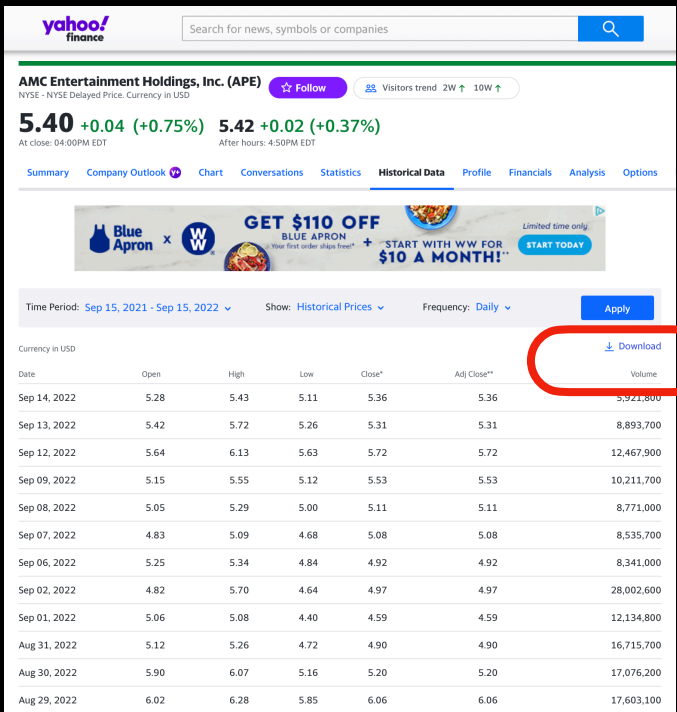
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2. Click Download ZIP
3. Put into a folder called cns_fails_from_sec

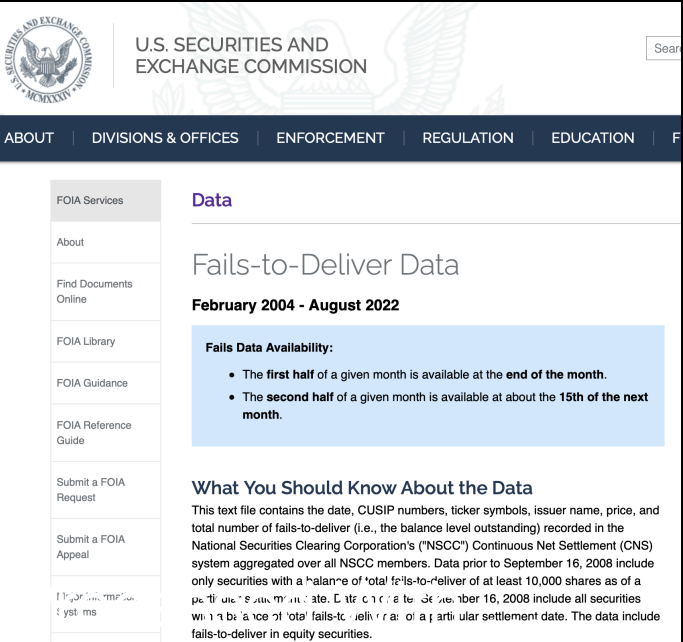


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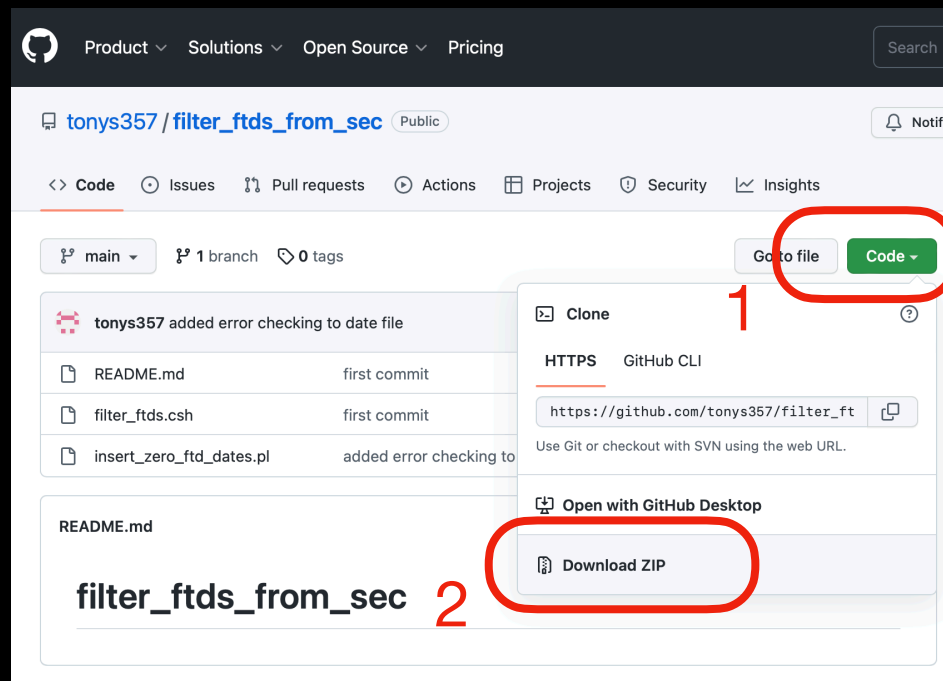




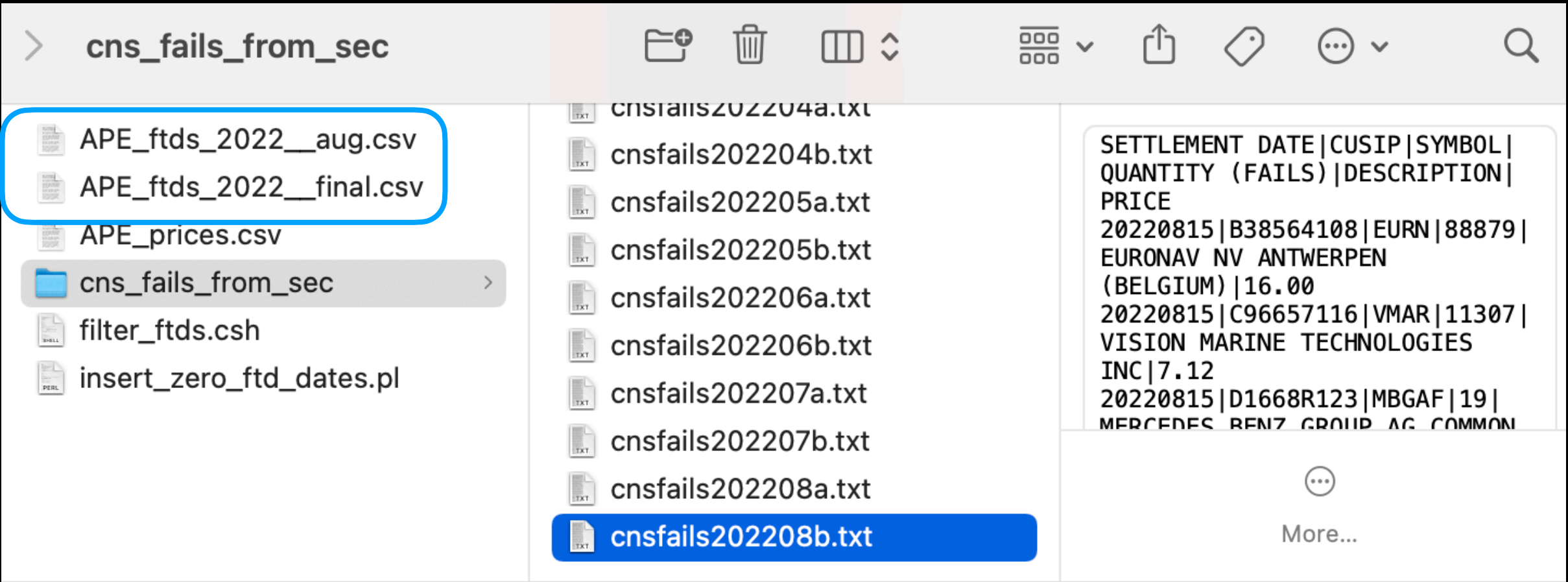
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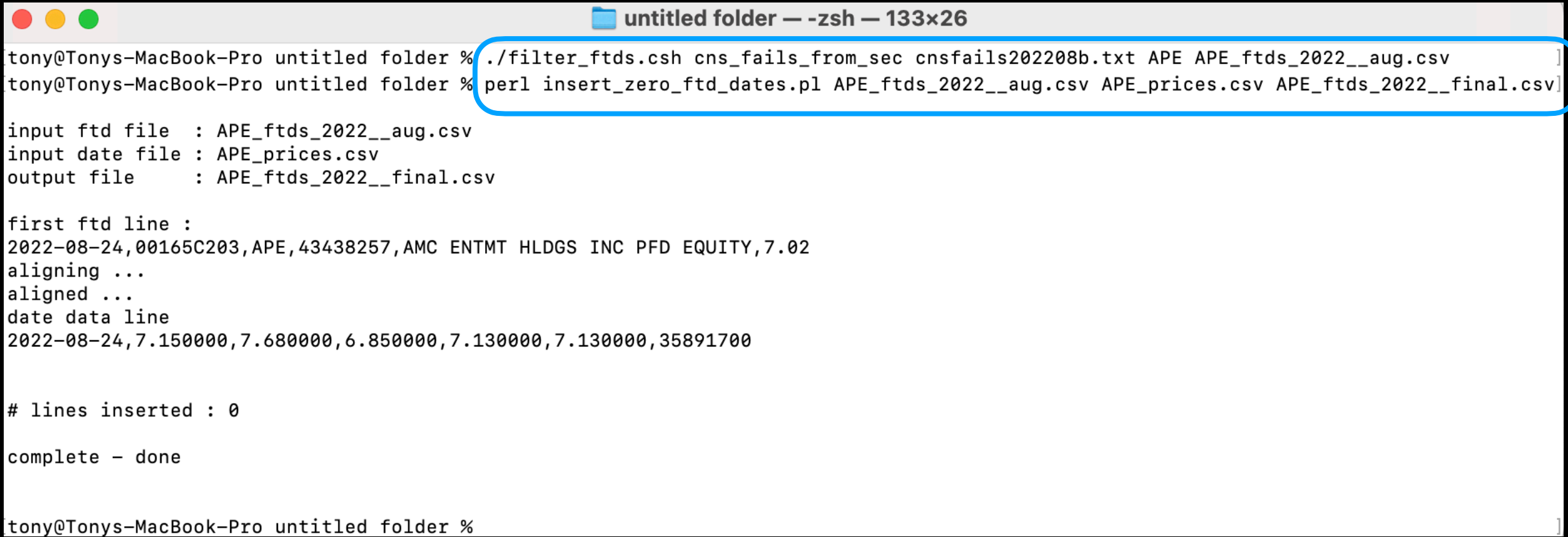
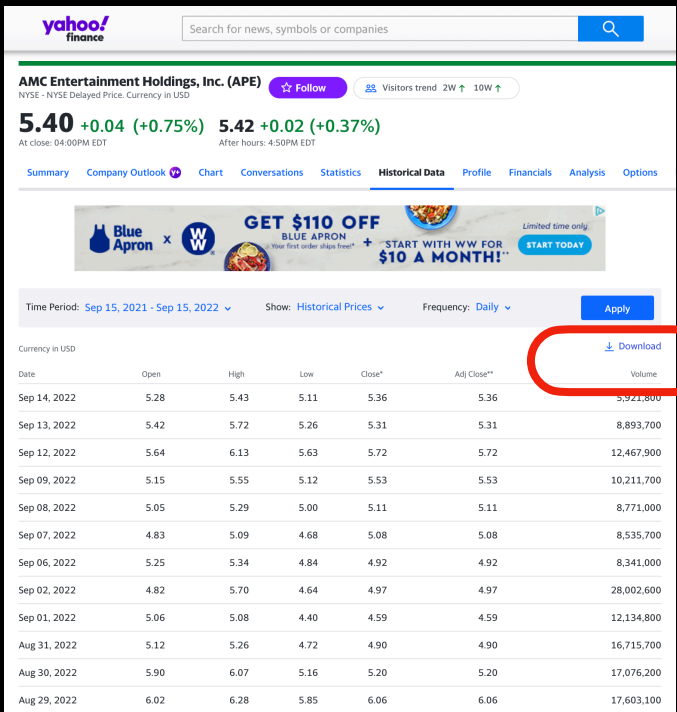
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APE_ftds_2022__final

SETTLEMENT DATE	CUSIP	SYMBOL	QUANTITY (FAILS)	DESCRIPTION	PRICE
2022-08-24	00165C203	APE	43,438,257	AMC ENTMT HLDGS INC PFD EQUITY	7.02
2022-08-25	00165C203	APE	38,656,759	AMC ENTMT HLDGS INC PFD EQUITY	7.13
2022-08-26	00165C203	APE	24,648,308	AMC ENTMT HLDGS INC PFD EQUITY	6.83
2022-08-29	00165C203	APE	11,472,648	AMC ENTMT HLDGS INC PFD EQUITY	6.50
2022-08-30	00165C203	APE	5,673,265	AMC ENTMT HLDGS INC PFD EQUITY	6.06
2022-08-31	00165C203	APE	5,635,854	AMC ENTMT HLDGS INC PFD EQUITY	5.20

APE_ftds_2022__final

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2022-08-29	00165C203	APE	11,472,648	AMC ENTMT HLDGS INC PFD EQUITY	6.50
2022-08-30	00165C203	APE	5,673,265	AMC ENTMT HLDGS INC PFD EQUITY	6.06
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Looks like divi FAILURES are not shown ...
Know many did not receive divi on 22nd ...

First Recorded Failure to Deliver 2022-08-24

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First Recorded Failure to Deliver 2022-08-24

APE_prices

Date	Open	High	Low	Close	Adj Close	Volume
2022-08-22	6.950000	10.500000	5.210000	6.000000	6.000000	122,699,800
2022-08-23	6.760000	7.640000	6.500000	7.020000	7.020000	104,446,900
2022-08-24	7.150000	7.680000	6.850000	7.130000	7.130000	35,891,700
2022-08-25	7.010000	7.070000	6.330000	6.830000	6.830000	23,415,600
2022-08-26	6.660000	6.830000	6.310000	6.500000	6.500000	13,877,700
2022-08-29	6.020000	6.279000	5.850000	6.060000	6.060000	17,603,100
2022-08-30	5.900000	6.070000	5.160000	5.200000	5.200000	17,076,200
2022-08-31	5.120000	5.260000	4.721000	4.900000	4.900000	16,715,700
2022-09-01	5.060000	5.077000	4.400000	4.590000	4.590000	12,134,800
2022-09-02	4.820000	5.700000	4.640000	4.970000	4.970000	28,002,600
2022-09-06	5.250000	5.340000	4.840000	4.920000	4.920000	8,341,000
2022-09-07	4.830000	5.090000	4.680000	5.080000	5.080000	8,535,700
2022-09-08	5.050000	5.290000	5.000000	5.110000	5.110000	8,771,000
2022-09-09	5.150000	5.550000	5.120000	5.530000	5.530000	10,211,700
2022-09-12	5.640000	6.130000	5.630000	5.720000	5.720000	12,467,900
2022-09-13	5.420000	5.720000	5.260000	5.310000	5.310000	8,893,700
2022-09-14	5.280000	5.430000	5.110000	5.360000	5.360000	5,921,800
2022-09-15	5.350000	5.690000	5.320000	5.390000	5.390000	7,303,339

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2022-08-30	5.900000	6.070000	5.160000	5.200000	5.200000	17,076,200
2022-08-31	5.120000	5.260000	4.721000	4.900000	4.900000	16,715,700
2022-09-01	5.060000	5.077000	4.400000	4.590000	4.590000	12,134,800
2022-09-02	4.820000	5.700000	4.640000	4.970000	4.970000	28,002,600
2022-09-06	5.250000	5.340000	4.840000	4.920000	4.920000	8,341,000
2022-09-07	4.830000	5.090000	4.680000	5.080000	5.080000	8,535,700
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2022-09-13	5.420000	5.720000	5.260000	5.310000	5.310000	8,893,700
2022-09-14	5.280000	5.430000	5.110000	5.360000	5.360000	5,921,800
2022-09-15	5.350000	5.690000	5.320000	5.390000	5.390000	7,303,339

On 2022-08-24 : MORE FAILURES THAN VOLUME?

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2022-08-30	5.900000	6.070000	5.160000	5.200000	5.200000	17,076,200
2022-08-31	5.120000	5.260000	4.721000	4.900000	4.900000	16,715,700
2022-09-01	5.060000	5.077000	4.400000	4.590000	4.590000	12,134,800
2022-09-02	4.820000	5.700000	4.640000	4.970000	4.970000	28,002,600
2022-09-06	5.250000	5.340000	4.840000	4.920000	4.920000	8,341,000
2022-09-07	4.830000	5.090000	4.680000	5.080000	5.080000	8,535,700
2022-09-08	5.050000	5.290000	5.000000	5.110000	5.110000	8,771,000
2022-09-09	5.150000	5.550000	5.120000	5.530000	5.530000	10,211,700
2022-09-12	5.640000	6.130000	5.630000	5.720000	5.720000	12,467,900
2022-09-13	5.420000	5.720000	5.260000	5.310000	5.310000	8,893,700
2022-09-14	5.280000	5.430000	5.110000	5.360000	5.360000	5,921,800
2022-09-15	5.350000	5.690000	5.320000	5.390000	5.390000	7,303,339

On 2022-08-24 : MORE FAILURES THAN VOLUME?
Same for the NEXT 2 Days ...

APE_ftds_2022__final

SETTLEMENT DATE	CUSIP	SYMBOL	QUANTITY (FAILS)	DESCRIPTION	PRICE
2022-08-24	00165C203	APE	43,438,257	AMC ENTMT HLDGS INC PFD EQUI	7.02
2022-08-25	00165C203	APE	38,656,759	AMC ENTMT HLDGS INC PFD EQUI	7.13
2022-08-26	00165C203	APE	24,648,308	AMC ENTMT HLDGS INC PFD EQUI	6.83
2022-08-29	00165C203	APE	11,472,648	AMC ENTMT HLDGS INC PFD EQUI	6.50
2022-08-30	00165C203	APE	5,673,265	AMC ENTMT HLDGS INC PFD EQUI	6.06
2022-08-31	00165C203	APE	5,635,854	AMC ENTMT HLDGS INC PFD EQUI	5.20

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First Recorded Failure to Deliver 2022-08-24

APE_prices

Date	Open	High	Low	Close	Adj Close	Volume
2022-08-22	6.950000	10.500000	5.210000	6.000000	6.000000	122,699,800
2022-08-23	6.760000	7.640000	6.500000	7.020000	7.020000	104,446,900
2022-08-24	7.150000	7.680000	6.850000	7.130000	7.130000	35,891,700
2022-08-25	7.010000	7.070000	6.330000	6.830000	6.830000	23,415,600
2022-08-26	6.660000	6.830000	6.310000	6.500000	6.500000	13,877,700
2022-08-29	6.020000	6.279000	5.850000	6.060000	6.060000	17,603,100
2022-08-30	5.900000	6.070000	5.160000	5.200000	5.200000	17,076,200
2022-08-31	5.120000	5.260000	4.721000	4.900000	4.900000	16,715,700
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Information shown in the table is based on the aggregate number of orders entered by Fidelity Brokerage Services LLC self-directed retail customers "as of" the date and time shown. Recent News headlines are "as of" the date indicated on the full story. Each customer may have a different reason for buying or selling a security. This information provides you with only some information about customer's sentiments. It should not be the sole basis for making an investment decision. Securities listed are not recommended or endorsed by Fidelity and are displayed for informational purposes only. Fidelity strongly encourages each investor to review and research their investments to ensure they align with the investor's personal investment objectives and risk profile.

Top Buys and Sells AS OF 08/22/22

Rank	Symbol	Company	Price Change	# Buy Orders	Buy / Sell Ratio	# Sell Orders	Recent News
1.	APE	AMC ENTERTAINMENT HOLDINGS INC	+0.00 (+0.00%)	14,942	<div><div></div><div></div><div></div></div>	3,489	Form 4 AMC ENT...
2.	AMC	AMC ENTERTAINMENT HOLDINGS INC	-7.56 (-41.9534%)	11,165	<div><div></div><div></div><div></div></div>	3,548	Form 4 AMC ENT...
3.	TSLA	TESLA INC	-20.26 (-2.2764%)	7,041	<div><div></div><div></div><div></div></div>	3,918	US STOCKS-Wall...
4.	BBBY	BED BATH & BEYOND INC	-1.79 (-16.2285%)	5,938	<div><div></div><div></div><div></div></div>	3,751	Could Bed Bath &...

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APE

1h

Indicators

Alert

Replay

WTI_movin...

Put

AMC Entertainment Holdings, Inc. AMC Preferred Equity · 1h · NYSE · TradingView

5.41 0.02 5.43

Vol 1.116K

Trade_halts_Historical

	Halt Date	Halt Time	NYSE Resum	Resume Date	Symbol	Name	Exchan	Reason
1	08/22/2022	09:38:04	09:43:14	08/22/2022	APE	AMC Entertainment Holdings, Inc. AMC Preferred Equity Units, e	NYSE	LULD pause
2	08/22/2022	09:43:32	09:48:36	08/22/2022	APE	AMC Entertainment Holdings, Inc. AMC Preferred Equity Units, e	NYSE	LULD pause
3	08/22/2022	09:49:06	09:54:17	08/22/2022	APE	AMC Entertainment Holdings, Inc. AMC Preferred Equity Units, e	NYSE	LULD pause
4	08/22/2022	09:57:09	10:02:50	08/22/2022	APE	AMC Entertainment Holdings, Inc. AMC Preferred Equity Units, e	NYSE	LULD pause
5	08/22/2022	10:05:27	10:10:30	08/22/2022	APE	AMC Entertainment Holdings, Inc. AMC Preferred Equity Units, e	NYSE	LULD pause
6	08/22/2022	10:14:11	10:19:14	08/22/2022	APE	AMC Entertainment Holdings, Inc. AMC Preferred Equity Units, e	NYSE	LULD pause
7	08/22/2022	10:25:18	10:30:26	08/22/2022	APE	AMC Entertainment Holdings, Inc. AMC Preferred Equity Units, e	NYSE	LULD pause
8	08/22/2022	10:35:24	10:40:24	08/22/2022	APE	AMC Entertainment Holdings, Inc. AMC Preferred Equity Units, e	NYSE	LULD pause
9	08/22/2022	13:37:53	13:43:09	08/22/2022	APE	AMC Entertainment Holdings, Inc. AMC Preferred Equity Units, e	NYSE	LULD pause
10	08/22/2022	15:33:32	15:38:32	08/22/2022	APE	AMC Entertainment Holdings, Inc. AMC Preferred Equity Units, e	NYSE	LULD pause
11	08/23/2022	09:41:59	09:47:24	08/23/2022	APE	AMC Entertainment Holdings, Inc. AMC Preferred Equity Units, e	NYSE	LULD pause
12	08/23/2022	09:52:07	09:57:15	08/23/2022	APE	AMC Entertainment Holdings, Inc. AMC Preferred Equity Units, e	NYSE	LULD pause
13	08/23/2022	10:06:19	10:11:19	08/23/2022	APE	AMC Entertainment Holdings, Inc. AMC Preferred Equity Units, e	NYSE	LULD pause

1st Day of Trading : 10 Trading Halts
2nd Day of Trading : 3 Trading Halts

Watch

APE

AMC Entertainment Holdings, Inc. AMC Preferred Equity NYSE

Consumer Services · Movies/Entertainment

Add note

03:29, Sep 15 · Social Buzz: Wallstreetbets...

5.41 USD

0.05 (0.93%)

MARKET OPEN

5.41x200 5.43x400

5.33 DAY'S RANGE 5.69

Key stats

VOLUME 6.428M

AVERAGE VOLUME (10) 10.933M

MARKET CAPITALIZATION 5.173B

DIVIDENDS YIELD (FY) —

Dividends

APE does not pay dividends

The company has not previously paid dividends and at the moment there is no information about whether it is going to pay them in the future

Income statement

Annual Quarterly

6B 3B

APE_ftds_2022__final

SETTLEMENT DATE	CUSIP	SYMBOL	QUANTITY (SHARES)	DESCRIPTION	PRICE
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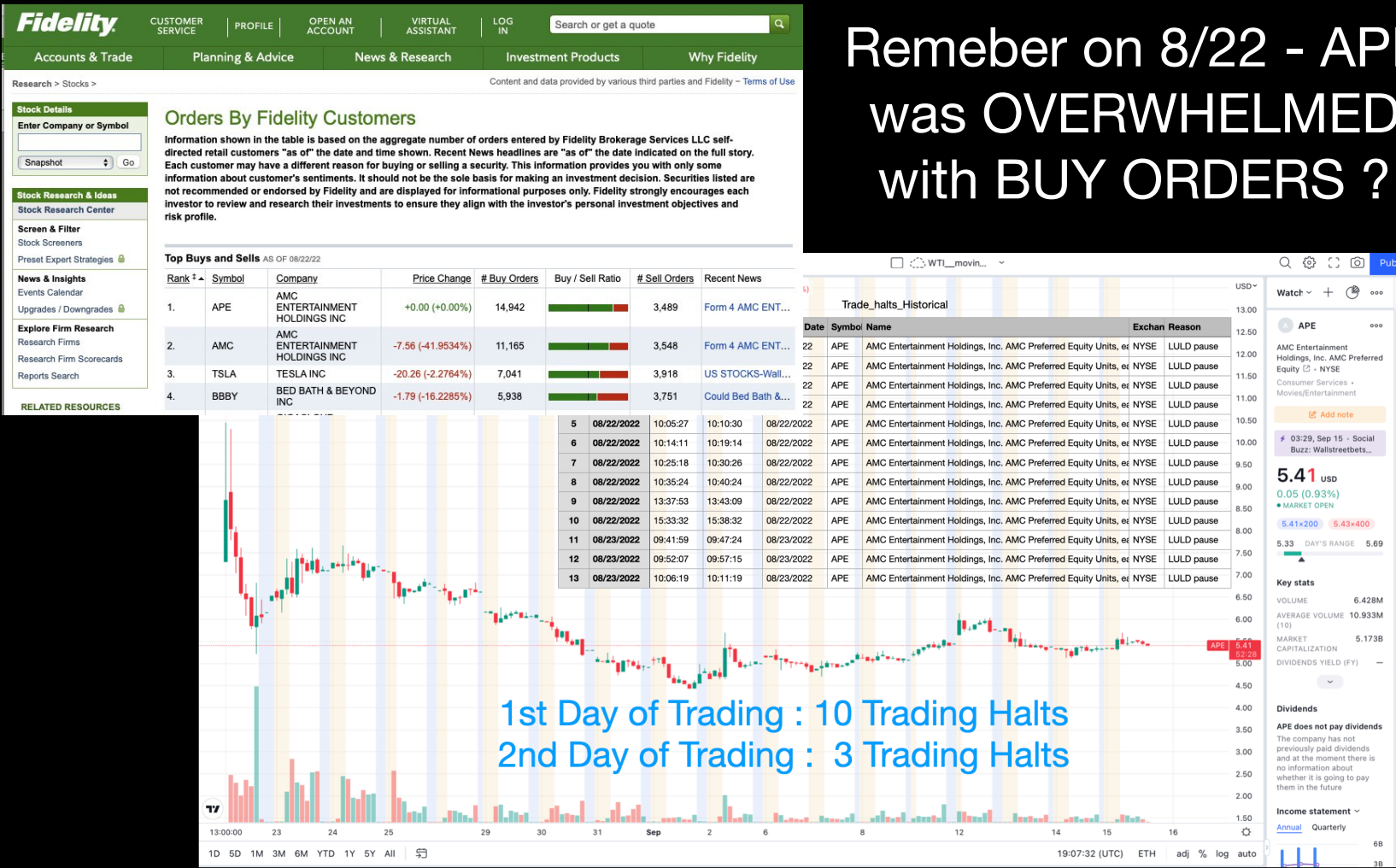
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Public Bought - Market Makers Could NOT Deliver APE Shares Naked Shorted

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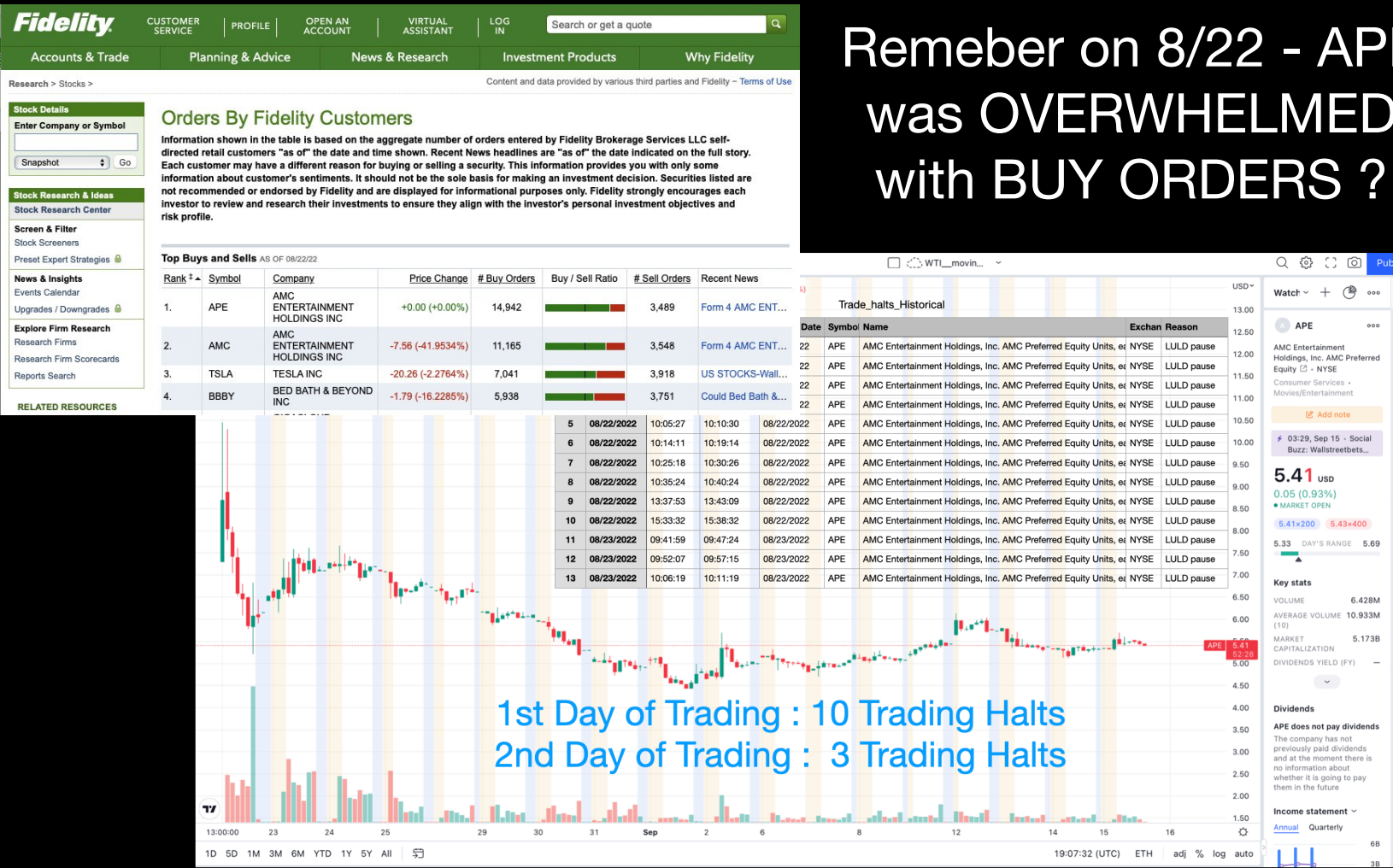
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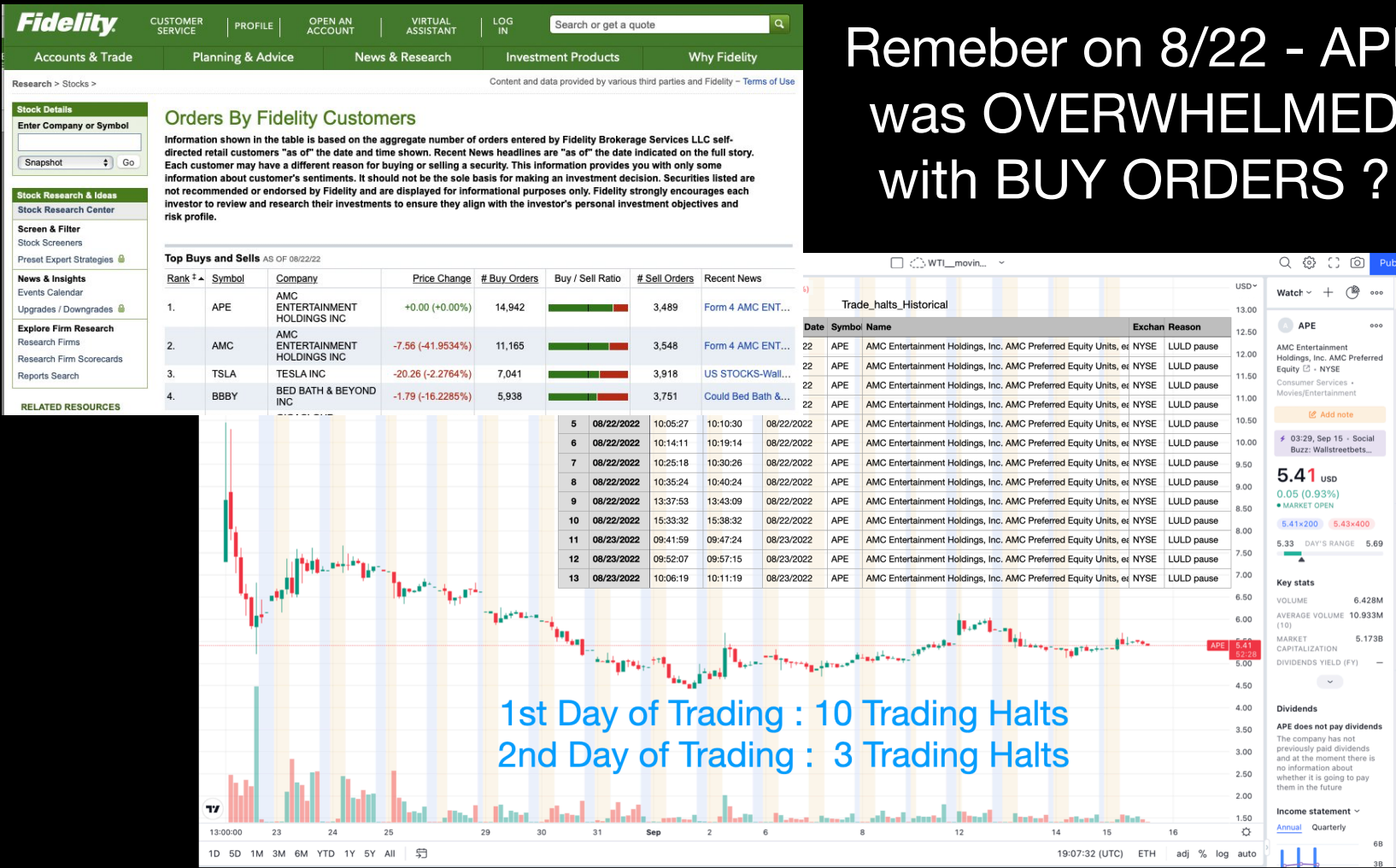
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2022-08-31	5.120000	5.260000	4.721000	4.900000	4.900000	16,715,700		
2022-09-01	5.060000	5.077000	4.400000	4.590000	4.590000	12,134,800		
2022-09-02	4.820000	5.700000	4.640000	4.970000	4.970000	28,002,600		
2022-09-06	5.250000	5.340000	4.840000	4.920000	4.920000	8,341,000		
2022-09-07	4.830000	5.090000	4.680000	5.080000	5.080000	8,535,700		
2022-09-08	5.050000	5.290000	5.000000	5.110000	5.110000	8,771,000		
2022-09-09	5.150000	5.550000	5.120000	5.530000	5.530000	10,211,700		
2022-09-12	5.640000	6.130000	5.630000	5.720000	5.720000	12,467,900		
2022-09-13	5.420000	5.720000	5.260000	5.310000	5.310000	8,893,700		
2022-09-14	5.280000	5.430000	5.110000	5.360000	5.360000	5,921,800		
2022-09-15	5.350000	5.690000	5.320000	5.390000	5.390000	7,303,339		

So... Let's offset by 2 days ...

Remeber on 8/22 - APE
was OVERWHELMED
with BUY ORDERS ?



Very Likely ALL The FTD's are
NAKED SHORTING of APE shares

Public Bought - Market Makers Could NOT
Deliver APE Shares
Naked Shorted

APE FTD's From the SEC

**FAILURE-TO-DELIVER Data for APE (AMC Preferred Equity)
Shares now available from SEC**

2022-09-15