

# The Gigantic Mess Project

2017–2023

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Version 1d560bdd, compiled on Jan 05, 2024.

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## **Part I**

## **Sets**

# Chapter 1

## Sets

0000 This chapter (will eventually) contain material on axiomatic set theory, as well as a couple other things.

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### 1.1 The Enrichment of Sets in Classical Truth Values

#### 1.1.1 ( $-2$ )-Categories

##### DEFINITION 1.1.1.1 ► ( $-2$ )-CATEGORIES

0003 A ( $-2$ )-category is the “necessarily true” truth value.<sup>1,2,3</sup>

<sup>1</sup>Thus, there is only one ( $-2$ )-category.

<sup>2</sup>A ( $-n$ )-category for  $n = 3, 4, \dots$  is also the “necessarily true” truth value, coinciding with a ( $-2$ )-category.

<sup>3</sup>For motivation, see [BS10, p. 13].

#### 1.1.2 ( $-1$ )-Categories

**DEFINITION 1.1.2.1 ► (-1)-CATEGORIES**

**0005** A **(-1)-category** is a classical truth value.

**REMARK 1.1.2.2 ► MOTIVATION FOR (-1)-CATEGORIES**

**0006** <sup>1</sup>(-1)-categories should be thought of as being “categories enriched in (-2)-categories”, having a collection of objects and, for each pair of objects, a Hom-object  $\text{Hom}(x, y)$  that is a (-2)-category (i.e. trivial).

Therefore, a (-1)-category  $C$  is either ([BS10, pp. 33–34]):

1. *Empty*, having no objects;
2. *Contractible*, having a collection of objects  $\{a, b, c, \dots\}$ , but with  $\text{Hom}_C(a, b)$  being a (-2)-category (i.e. trivial) for all  $a, b \in \text{Obj}(C)$ , forcing all objects of  $C$  to be uniquely isomorphic to each other.

As such, there are only two (-1)-categories, up to equivalence:

- The (-1)-category **false** (the empty one);
- The (-1)-category **true** (the contractible one).

---

<sup>1</sup>For more motivation, see [BS10, p. 13].

**DEFINITION 1.1.2.3 ► THE POSET OF TRUTH VALUES**

**0007** The **poset of truth values**<sup>1</sup> is the poset  $(\{\text{true}, \text{false}\}, \leq)$ <sup>2</sup> consisting of

- *The Underlying Set*. The set  $\{\text{true}, \text{false}\}$  whose elements are the truth values true and false;
- *The Partial Order*. The partial order

$$\leq : \{\text{true}, \text{false}\} \times \{\text{true}, \text{false}\} \rightarrow \{\text{true}, \text{false}\}$$

on  $\{\text{true}, \text{false}\}$  defined by<sup>3</sup>

$$\begin{aligned} \text{false} &\leq \text{false} \stackrel{\text{def}}{=} \text{true}, \\ \text{true} &\leq \text{false} \stackrel{\text{def}}{=} \text{false}, \\ \text{false} &\leq \text{true} \stackrel{\text{def}}{=} \text{true}, \\ \text{true} &\leq \text{true} \stackrel{\text{def}}{=} \text{true}. \end{aligned}$$

---

<sup>1</sup>Further Terminology: Also called the **poset of (-1)-categories**.

<sup>2</sup>Further Notation: Also written  $\{\text{t}, \text{f}\}$ .

<sup>3</sup>This partial order coincides with logical implication.

**PROPOSITION 1.1.2.4 ► CARTESIAN CLOSEDNESS OF THE POSET OF TRUTH VALUES**

0008

The poset of truth values  $\{t, f\}$  is Cartesian closed with product given by<sup>1</sup>

$$\begin{aligned} t \times t &= t, \\ t \times f &= f, \\ f \times t &= f, \\ f \times f &= f, \end{aligned}$$

and internal Hom  $\mathbf{Hom}_{\{t,f\}}$  given by the partial order of  $\{t, f\}$ , i.e. by

$$\begin{aligned} \mathbf{Hom}_{\{t,f\}}(t, t) &= t, \\ \mathbf{Hom}_{\{t,f\}}(t, f) &= f, \\ \mathbf{Hom}_{\{t,f\}}(f, t) &= t, \\ \mathbf{Hom}_{\{t,f\}}(f, f) &= t. \end{aligned}$$

<sup>1</sup>Note that  $\times$  coincides with the “and” operator, while  $\mathbf{Hom}_{\{t,f\}}$  coincides with the logical implication operator.

**PROOF 1.1.2.5 ► PROOF OF PROPOSITION 1.1.2.4****Existence of Products**

We claim that the products  $t \times t$ ,  $t \times f$ ,  $f \times t$ , and  $f \times f$  satisfy the universal property of the product in  $\{t, f\}$ . Indeed, consider the diagrams

Here:

1. If  $P_1 = t$ , then  $p_1^1 = p_2^1 = \text{id}_t$ , and there's indeed a unique morphism from  $P_1$  to  $t$  making the diagram commute, namely  $\text{id}_t$ ;
2. If  $P_1 = f$ , then  $p_1^1 = p_2^1$  are given by the unique morphism from  $f$  to  $t$ , and there's indeed a unique morphism from  $P_1$  to  $t$  making the diagram commute, namely the unique morphism from  $f$  to  $t$ ;
3. If  $P_2 = t$ , then there is no morphism  $p_2^2$ .
4. If  $P_2 = f$ , then  $p_1^2$  is the unique morphism from  $f$  to  $t$  while  $p_2^2 = \text{id}_f$ , and there's indeed a unique morphism from  $P_2$  to  $f$  making the diagram commute, namely  $\text{id}_f$ ;

5. The proof for  $P_3$  is similar to the one for  $P_2$ ;
6. If  $P_4 = t$ , then there is no morphism  $p_1^4$  or  $p_2^4$ .
7. If  $P_4 = f$ , then  $p_1^4 = p_2^4 = \text{id}_f$ , and there's indeed a unique morphism from  $P_4$  to  $f$  making the diagram commute, namely  $\text{id}_f$ .

### Cartesian Closedness

We claim there's a bijection

$$\text{Hom}_{\{t,f\}}(A \times B, C) \cong \text{Hom}_{\{t,f\}}(A, \text{Hom}_{\{t,f\}}(B, C))$$

natural in  $A, B, C \in \{t, f\}$ . Indeed:

- For  $(A, B, C) = (t, t, t)$ , we have

$$\begin{aligned} \text{Hom}_{\{t,f\}}(t \times t, t) &\cong \text{Hom}_{\{t,f\}}(t, t) \\ &= \{\text{id}_{\text{true}}\} \\ &\cong \text{Hom}_{\{t,f\}}(t, t) \\ &\cong \text{Hom}_{\{t,f\}}(t, \text{Hom}_{\{t,f\}}(t, t)). \end{aligned}$$

- For  $(A, B, C) = (t, t, f)$ , we have

$$\begin{aligned} \text{Hom}_{\{t,f\}}(t \times t, f) &\cong \text{Hom}_{\{t,f\}}(t, f) \\ &= \emptyset \\ &\cong \text{Hom}_{\{t,f\}}(t, f) \\ &\cong \text{Hom}_{\{t,f\}}(t, \text{Hom}_{\{t,f\}}(t, f)). \end{aligned}$$

- For  $(A, B, C) = (t, f, t)$ , we have

$$\begin{aligned} \text{Hom}_{\{t,f\}}(t \times f, t) &\cong \text{Hom}_{\{t,f\}}(f, t) \\ &\cong \text{pt} \\ &\cong \text{Hom}_{\{t,f\}}(f, t) \\ &\cong \text{Hom}_{\{t,f\}}(f, \text{Hom}_{\{t,f\}}(f, t)). \end{aligned}$$

- For  $(A, B, C) = (t, f, f)$ , we have

$$\begin{aligned} \text{Hom}_{\{t,f\}}(t \times f, f) &\cong \text{Hom}_{\{t,f\}}(f, f) \\ &\cong \{\text{id}_{\text{false}}\} \\ &\cong \text{Hom}_{\{t,f\}}(f, f) \\ &\cong \text{Hom}_{\{t,f\}}(t, \text{Hom}_{\{t,f\}}(f, f)). \end{aligned}$$

- For  $(A, B, C) = (f, t, t)$ , we have

$$\begin{aligned}\text{Hom}_{\{t,f\}}(f \times t, t) &\cong \text{Hom}_{\{t,f\}}(f, t) \\ &\cong pt \\ &\cong \text{Hom}_{\{t,f\}}(f, t) \\ &\cong \text{Hom}_{\{t,f\}}(f, \mathbf{Hom}_{\{t,f\}}(t, t)).\end{aligned}$$

- For  $(A, B, C) = (f, t, f)$ , we have

$$\begin{aligned}\text{Hom}_{\{t,f\}}(f \times t, f) &\cong \text{Hom}_{\{t,f\}}(f, f) \\ &\cong \{\text{id}_{\text{false}}\} \\ &\cong \text{Hom}_{\{t,f\}}(f, f) \\ &\cong \text{Hom}_{\{t,f\}}(f, \mathbf{Hom}_{\{t,f\}}(t, f)).\end{aligned}$$

- For  $(A, B, C) = (f, f, t)$ , we have

$$\begin{aligned}\text{Hom}_{\{t,f\}}(f \times f, t) &\cong \text{Hom}_{\{t,f\}}(f, t) \\ &\cong pt \\ &\cong \text{Hom}_{\{t,f\}}(f, t) \\ &\cong \text{Hom}_{\{t,f\}}(f, \mathbf{Hom}_{\{t,f\}}(f, t)).\end{aligned}$$

- For  $(A, B, C) = (f, f, f)$ , we have

$$\begin{aligned}\text{Hom}_{\{t,f\}}(f \times f, f) &\cong \text{Hom}_{\{t,f\}}(f, f) \\ &= \{\text{id}_{\text{false}}\} \\ &\cong \text{Hom}_{\{t,f\}}(f, f) \\ &\cong \text{Hom}_{\{t,f\}}(f, \mathbf{Hom}_{\{t,f\}}(f, f)).\end{aligned}$$

The proof of naturality is omitted. 

### 1.1.3 0-Categories

#### DEFINITION 1.1.3.1 ► 0-CATEGORIES

000A

A **0-category** is a poset.<sup>1</sup>

<sup>1</sup>Motivation: A 0-category is precisely a category enriched in the poset of  $(-1)$ -categories.

**DEFINITION 1.1.3.2 ► 0-GROUPOIDS**

**000B** A **0-groupoid** is a 0-category in which every morphism is invertible.<sup>1</sup>

<sup>1</sup>That is, a *set*.

**1.1.4 Tables of Analogies Between Set Theory and Category Theory**

Here we record some analogies between notions in set theory and category theory. Note that the analogies relating to presheaves relate equally well to copresheaves, as the opposite  $X^{\text{op}}$  of a set  $X$  is just  $X$  again.

Basics:

SET THEORY	CATEGORY THEORY
Enrichment in {true, false}	Enrichment in Sets
Set $X$	Category $C$
Element $x \in X$	Object $X \in \text{Obj}(C)$
Function	Functor
Function $X \rightarrow \{\text{true, false}\}$	Functor $C \rightarrow \text{Sets}$
Function $X \rightarrow \{\text{true, false}\}$	Presheaf $C^{\text{op}} \rightarrow \text{Sets}$

Powersets and categories of presheaves:

SET THEORY	CATEGORY THEORY
Powerset $\mathcal{P}(X)$	Presheaf category $\text{PSh}(C)$
Characteristic function $\chi_{\{x\}}$	Representable presheaf $h_X$
Characteristic embedding $\chi_{(-)} : X \hookrightarrow \mathcal{P}(X)$	Yoneda embedding $\mathfrak{y} : C^{\text{op}} \hookrightarrow \text{PSh}(C)$
Characteristic relation $\chi_X(-_1, -_2)$	Hom profunctor $\text{Hom}_C(-_1, -_2)$
The Yoneda lemma for sets $\text{Hom}_{\mathcal{P}(X)}(\chi_x, \chi_U) = \chi_U(x)$	The Yoneda lemma for categories $\text{Nat}(h_X, \mathcal{F}) \cong \mathcal{F}(X)$
The characteristic embedding is fully faithful, $\text{Hom}_{\mathcal{P}(X)}(\chi_x, \chi_y) = \chi_X(x, y)$	The Yoneda embedding is fully faithful, $\text{Nat}(h_X, h_Y) \cong \text{Hom}_C(X, Y)$
Subsets are unions of their elements $U = \bigcup_{x \in U} \{x\}$ or $\chi_U = \underset{\chi_x \in \text{Sets}(U, \{\text{t, f}\})}{\text{colim}} (\chi_x)$	Presheaves are colimits of representables, $\mathcal{F} \cong \underset{h_X \in \int_C \mathcal{F}}{\text{colim}} (h_X)$

Categories of elements:

SET THEORY	CATEGORY THEORY
Assignment $U \mapsto \chi_U$	Assignment $\mathcal{F} \mapsto \int_C \mathcal{F}$ (the category of elements)
Assignment $U \mapsto \chi_U$ giving an isomorphism $\mathcal{P}(X) \cong \text{Sets}(X, \{\text{t}, \text{f}\})$	Assignment $\mathcal{F} \mapsto \int_C \mathcal{F}$ giving an equivalence $\text{PSh}(C) \xrightarrow{\text{eq.}} \text{DFib}(C)$

Functions between powersets and functors between presheaf categories:

SET THEORY	CATEGORY THEORY
Direct image function $f_*: \mathcal{P}(X) \rightarrow \mathcal{P}(Y)$	Inverse image functor $f^{-1}: \text{PSh}(C) \rightarrow \text{PSh}(D)$
Inverse image function $f^{-1}: \mathcal{P}(Y) \rightarrow \mathcal{P}(X)$	Direct image functor $f_*: \text{PSh}(D) \rightarrow \text{PSh}(C)$
Direct image with compact support function $f_!: \mathcal{P}(X) \rightarrow \mathcal{P}(Y)$	Direct image with compact support functor $f_!: \text{PSh}(C) \rightarrow \text{PSh}(D)$

Relations and profunctors:

SET THEORY	CATEGORY THEORY
Relation $R: X \times Y \rightarrow \{\text{t}, \text{f}\}$	Profunctor $\mathfrak{p}: D^{\text{op}} \times C \rightarrow \text{Sets}$
Relation $R: X \rightarrow \mathcal{P}(Y)$	Profunctor $\mathfrak{p}: C \rightarrow \text{PSh}(D)$
Relation as a cocontinuous morphism of posets $R: (\mathcal{P}(X), \subset) \rightarrow (\mathcal{P}(Y), \subset)$	Profunctor as a colimit-preserving functor $\mathfrak{p}: \text{PSh}(C) \rightarrow \text{PSh}(D)$

# Appendices

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45. Schemes

## Chapter 2

# Constructions With Sets

**000D** This chapter contains some material relating to constructions with sets. Notably, it contains:

1. Explicit descriptions of the major types of co/limits in Sets, including in particular pushouts and coequalisers (see [Definitions 2.2.3.1](#) and [2.2.4.1](#) and [Remarks 2.2.3.3](#) and [2.2.4.3](#));
2. A discussion of powersets as decategorifications of categories of presheaves ([Remarks 2.4.1.2](#) and [2.4.3.2](#));
3. A lengthy discussion of the adjoint triple

$$f_* \dashv f^{-1} \dashv f_! : \mathcal{P}(A) \rightleftarrows \mathcal{P}(B)$$

of functors (morphisms of posets) between  $\mathcal{P}(A)$  and  $\mathcal{P}(B)$  induced by a map of sets  $f: A \rightarrow B$ , along with a discussion of the properties of  $f_*$ ,  $f^{-1}$ , and  $f_!$ .

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---

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## 2.1 Limits of Sets

### 2.1.1 Products of Families of Sets

Let  $\{A_i\}_{i \in I}$  be a family of sets.

#### DEFINITION 2.1.1.1 ► THE PRODUCT OF A FAMILY OF SETS

000G

The **product<sup>1</sup>** of  $\{A_i\}_{i \in I}$  is the pair  $(\prod_{i \in I} A_i, \{\text{pr}_i\}_{i \in I})$  consisting of

- *The Limit.* The set  $\prod_{i \in I} A_i$  defined by<sup>2</sup>

$$\prod_{i \in I} A_i \stackrel{\text{def}}{=} \left\{ f \in \text{Sets}\left(I, \bigcup_{i \in I} A_i\right) \middle| \begin{array}{l} \text{for each } i \in I, \text{ we} \\ \text{have } f(i) \in A_i \end{array} \right\}.$$

- *The Cone.* The collection

$$\left\{ \text{pr}_i: \prod_{i \in I} A_i \rightarrow A_i \right\}_{i \in I}$$

of maps given by

$$\text{pr}_i(f) \stackrel{\text{def}}{=} f(i)$$

for each  $f \in \prod_{i \in I} A_i$  and each  $i \in I$ .

<sup>1</sup>Further Terminology: Also called the **Cartesian product** of  $\{A_i\}_{i \in I}$ .

<sup>2</sup>Less formally,  $\prod_{i \in I} A_i$  is the set whose elements are  $I$ -indexed collections  $(a_i)_{i \in I}$  with  $a_i \in A_i$  for each  $i \in I$ .

### PROOF 2.1.1.2 ► PROOF OF DEFINITION 2.1.1.1

We claim that  $\prod_{i \in I} A_i$  is the categorical product of  $\{A_i\}_{i \in I}$  in Sets. Indeed, suppose we have, for each  $i \in I$ , a diagram of the form

$$\begin{array}{ccc} P & & \\ & \searrow p_i & \\ & \prod_{i \in I} A_i & \xrightarrow{\text{pr}_i} A_i \end{array}$$

in Sets. Then there exists a unique map  $\phi: P \rightarrow \prod_{i \in I} A_i$ , uniquely determined by the condition  $\text{pr}_i \circ \phi = p_i$  for each  $i \in I$ , being necessarily given by

$$\phi(x) = (p_i(x))_{i \in I}$$

for each  $x \in P$ . ■

### PROPOSITION 2.1.1.3 ► PROPERTIES OF PRODUCTS OF FAMILIES OF SETS

000H Let  $\{A_i\}_{i \in I}$  be a family of sets.

000J 1. *Functionality.* The assignment  $\{A_i\}_{i \in I} \mapsto \prod_{i \in I} A_i$  defines a functor

$$\prod_{i \in I}: \text{Fun}(I_{\text{disc}}, \text{Sets}) \rightarrow \text{Sets}$$

where

· *Action on Objects.* For each  $(A_i)_{i \in I} \in \text{Obj}(\text{Fun}(I_{\text{disc}}, \text{Sets}))$ , we have

$$\left[ \prod_{i \in I} \right] ((A_i)_{i \in I}) \stackrel{\text{def}}{=} \prod_{i \in I} A_i$$

· *Action on Morphisms.* For each  $(A_i)_{i \in I}, (B_i)_{i \in I} \in \text{Obj}(\text{Fun}(I_{\text{disc}}, \text{Sets}))$ , the action on Hom-sets

$$\left( \prod_{i \in I} \right)_{(A_i)_{i \in I}, (B_i)_{i \in I}} : \text{Nat}((A_i)_{i \in I}, (B_i)_{i \in I}) \rightarrow \text{Sets} \left( \prod_{i \in I} A_i, \prod_{i \in I} B_i \right)$$

of  $\prod_{i \in I}$  at  $((A_i)_{i \in I}, (B_i)_{i \in I})$  is defined by sending a map

$$\{f_i: A_i \rightarrow B_i\}_{i \in I}$$

in  $\text{Nat}((A_i)_{i \in I}, (B_i)_{i \in I})$  to the map of sets

$$\prod_{i \in I} f_i: \prod_{i \in I} A_i \rightarrow \prod_{i \in I} B_i$$

defined by

$$\left[ \prod_{i \in I} f_i \right] ((a_i)_{i \in I}) \stackrel{\text{def}}{=} (f_i(a_i))_{i \in I}$$

for each  $(a_i)_{i \in I} \in \prod_{i \in I} A_i$ .

#### PROOF 2.1.1.4 ► PROOF OF PROPOSITION 2.1.1.3

Item 1: Functoriality

Clear.



## 2.1.2 Binary Products of Sets

Let  $A$  and  $B$  be sets.

#### DEFINITION 2.1.2.1 ► PRODUCTS OF SETS

000L

The **product<sup>1</sup>** of  $A$  and  $B$  is the pair  $(A \times B, \{\text{pr}_1, \text{pr}_2\})$  consisting of

- *The Limit.* The set  $A \times B$  defined by<sup>2</sup>

$$\begin{aligned} A \times B &\stackrel{\text{def}}{=} \prod_{z \in \{A, B\}} z \\ &\stackrel{\text{def}}{=} \{f \in \text{Sets}(\{0, 1\}, A \cup B) \mid \text{we have } f(0) \in A \text{ and } f(1) \in B\} \\ &\cong \{\{\{a\}, \{a, b\}\} \in \mathcal{P}(\mathcal{P}(A \cup B)) \mid \text{we have } a \in A \text{ and } b \in B\}. \end{aligned}$$

- *The Cone.* The maps

$$\begin{aligned} \text{pr}_1: A \times B &\rightarrow A, \\ \text{pr}_2: A \times B &\rightarrow B \end{aligned}$$

defined by

$$\begin{aligned}\text{pr}_1(a, b) &\stackrel{\text{def}}{=} a, \\ \text{pr}_2(a, b) &\stackrel{\text{def}}{=} b\end{aligned}$$

for each  $(a, b) \in A \times B$ .

<sup>1</sup>*Further Terminology:* Also called the **Cartesian product of  $A$  and  $B$**  or the **binary Cartesian product of  $A$  and  $B$** , for emphasis.

This can also be thought of as the  $(\mathbb{E}_{-1}, \mathbb{E}_{-1})$ -**tensor product of  $A$  and  $B$** .

<sup>2</sup>In other words,  $A \times B$  is the set whose elements are ordered pairs  $(a, b)$  with  $a \in A$  and  $b \in B$  as in [Definition 2.3.4.1](#).

#### PROOF 2.1.2.2 ► PROOF OF DEFINITION 2.1.2.1

We claim that  $A \times B$  is the categorical product of  $A$  and  $B$  in Sets. Indeed, suppose we have a diagram of the form

$$\begin{array}{ccc} & P & \\ p_1 \swarrow & & \searrow p_2 \\ A & \xleftarrow{\text{pr}_1} & A \times B \xrightarrow{\text{pr}_2} B \end{array}$$

in Sets. Then there exists a unique map  $\phi: P \rightarrow A \times B$ , uniquely determined by the conditions

$$\begin{aligned}\text{pr}_1 \circ \phi &= p_1, \\ \text{pr}_2 \circ \phi &= p_2,\end{aligned}$$

being necessarily given by

$$\phi(x) = (p_1(x), p_2(x))$$

for each  $x \in P$ .

#### PROPOSITION 2.1.2.3 ► PROPERTIES OF PRODUCTS OF SETS

000M Let  $A, B, C$ , and  $X$  be sets.

000N 1. *Functionality.* The assignments  $A, B, (A, B) \mapsto A \times B$  define functors

$$A \times -_2: \text{Sets} \rightarrow \text{Sets},$$

$$-_1 \times B: \text{Sets} \rightarrow \text{Sets},$$

$$-_1 \times -_2: \text{Sets} \times \text{Sets} \rightarrow \text{Sets},$$

where  $-_1 \times -_2$  is the functor where

- *Action on Objects.* For each  $(A, B) \in \text{Obj}(\text{Sets} \times \text{Sets})$ , we have

$$[-_1 \times -_2](A, B) \stackrel{\text{def}}{=} A \times B;$$

- *Action on Morphisms.* For each  $(A, B), (X, Y) \in \text{Obj}(\text{Sets})$ , the action on Hom-sets

$$\times_{(A,B),(X,Y)} : \text{Sets}(A, X) \times \text{Sets}(B, Y) \rightarrow \text{Sets}(A \times B, X \times Y)$$

of  $\times$  at  $((A, B), (X, Y))$  is defined by sending  $(f, g)$  to the function

$$f \times g : A \times B \rightarrow X \times Y$$

defined by

$$[f \times g](a, b) \stackrel{\text{def}}{=} (f(a), g(b))$$

for each  $(a, b) \in A \times B$ ;

and where  $A \times -$  and  $- \times B$  are the partial functors of  $-_1 \times -_2$  at  $A, B \in \text{Obj}(\text{Sets})$ .

000P

2. *Adjointness.* We have adjunctions

$$(A \times - \dashv \text{Sets}(A, -)): \text{Sets} \begin{array}{c} \xrightarrow{A \times -} \\ \perp \\ \xleftarrow{\text{Sets}(A, -)} \end{array} \text{Sets},$$

$$(- \times B \dashv \text{Sets}(B, -)): \text{Sets} \begin{array}{c} \xrightarrow{- \times B} \\ \perp \\ \xleftarrow{\text{Sets}(B, -)} \end{array} \text{Sets},$$

witnessed by bijections

$$\text{Sets}(A \times B, C) \cong \text{Sets}(A, \text{Sets}(B, C)),$$

$$\text{Sets}(A \times B, C) \cong \text{Sets}(B, \text{Sets}(A, C)),$$

natural in  $A, B, C \in \text{Obj}(\text{Sets})$ .

000Q

3. *Associativity.* We have an isomorphism of sets

$$(A \times B) \times C \cong A \times (B \times C),$$

natural in  $A, B, C \in \text{Obj}(\text{Sets})$ .

000R

4. *Unitality.* We have isomorphisms of sets

$$\text{pt} \times A \cong A,$$

$$A \times \text{pt} \cong A,$$

natural in  $A \in \text{Obj}(\text{Sets})$ .

000S

5. *Commutativity.* We have an isomorphism of sets

$$A \times B \cong B \times A,$$

natural in  $A, B \in \text{Obj}(\text{Sets})$ .

000T

6. *Annihilation With the Empty Set.* We have isomorphisms of sets

$$A \times \emptyset \cong \emptyset,$$

$$\emptyset \times A \cong \emptyset,$$

natural in  $A \in \text{Obj}(\text{Sets})$ .

000U

7. *Distributivity Over Unions.* We have isomorphisms of sets

$$A \times (B \cup C) = (A \times B) \cup (A \times C),$$

$$(A \cup B) \times C = (A \times C) \cup (B \times C).$$

000V

8. *Distributivity Over Intersections.* We have isomorphisms of sets

$$A \times (B \cap C) = (A \times B) \cap (A \times C),$$

$$(A \cap B) \times C = (A \times C) \cap (B \times C).$$

000W

9. *Middle-Four Exchange with Respect to Intersections.* We have an isomorphism of sets

$$(A \times B) \cap (C \times D) \cong (A \cap B) \times (C \cap D).$$

000X

10. *Distributivity Over Differences.* We have isomorphisms of sets

$$A \times (B \setminus C) = (A \times B) \setminus (A \times C),$$

$$(A \setminus B) \times C = (A \times C) \setminus (B \times C),$$

natural in  $A, B, C \in \text{Obj}(\text{Sets})$ .

000Y

11. *Distributivity Over Symmetric Differences.* We have isomorphisms of sets

$$\begin{aligned} A \times (B \Delta C) &= (A \times B) \Delta (A \times C), \\ (A \Delta B) \times C &= (A \times C) \Delta (B \times C), \end{aligned}$$

natural in  $A, B, C \in \text{Obj}(\text{Sets})$ .

000Z

12. *Symmetric Monoidality.* The triple  $(\text{Sets}, \times, \text{pt})$  is a symmetric monoidal category.

0010

13. *Symmetric Bimonoidality.* The quintuple  $(\text{Sets}, \coprod, \emptyset, \times, \text{pt})$  is a symmetric bimonoidal category.

#### PROOF 2.1.2.4 ► PROOF OF PROPOSITION 2.1.2.3

##### Item 1: Functoriality

This follows by applying associativity and unitality componentwise.

##### Item 2: Adjointness

We prove only that there's an adjunction  $X \times - \dashv \text{Hom}_{\text{Sets}}(-, Z)$ , witnessed by a bijection

$$\text{Hom}_{\text{Sets}}(X \times Y, Z) \cong \text{Hom}_{\text{Sets}}(X, \text{Hom}_{\text{Sets}}(Y, Z)),$$

natural in  $Y, Z \in \text{Obj}(\text{Sets})$ , as the proof of the existence of the adjunction  $- \times Y \dashv \text{Hom}_{\text{Sets}}(-, Z)$  follows almost exactly in the same way.<sup>1</sup>

- *Map I.* We define a map

$$\Phi_{Y,Z}: \text{Hom}_{\text{Sets}}(X \times Y, Z) \rightarrow \text{Hom}_{\text{Sets}}(X, \text{Hom}_{\text{Sets}}(Y, Z)),$$

by sending a morphism  $\xi: X \times Y \rightarrow Z$  to the morphism

$$\xi^\dagger: X \rightarrow \text{Hom}_{\text{Sets}}(Y, Z)$$

defined by

$$\xi^\dagger(x) \stackrel{\text{def}}{=} \xi_x$$

for each  $x \in X$ , where  $\xi_x: Y \rightarrow Z$  is the map defined by

$$\xi_x(y) \stackrel{\text{def}}{=} \xi(x, y)$$

for each  $y \in Y$ .

- *Map II.* We define a map

$$\Psi_{Y,Z}: \text{Hom}_{\text{Sets}}(X, \text{Hom}_{\text{Sets}}(Y, Z)), \rightarrow \text{Hom}_{\text{Sets}}(X \times Y, Z)$$

given by sending a map  $\xi: X \rightarrow \text{Hom}_{\text{Sets}}(Y, Z)$  to the map

$$\xi^\dagger: X \times Y \rightarrow Z$$

defined by

$$\xi^\dagger(x, y) \stackrel{\text{def}}{=} [\xi(x)](y)$$

for each  $(x, y) \in X \times Y$ .

- *Naturality I.* We need to show that, given a function  $g: Y \rightarrow Y'$ , the diagram

$$\begin{array}{ccc} \text{Hom}_{\text{Sets}}(X \times Y', Z) & \xrightarrow{\Phi_{Y',Z}} & \text{Hom}_{\text{Sets}}(X, \text{Hom}_{\text{Sets}}(Y', Z)), \\ \downarrow \text{id}_X \times g^* & & \downarrow (g^*)_* \\ \text{Hom}_{\text{Sets}}(X \times Y, Z) & \xrightarrow{\Phi_{Y,Z}} & \text{Hom}_{\text{Sets}}(X, \text{Hom}_{\text{Sets}}(Y, Z)), \end{array}$$

commutes. Indeed, given a morphism  $\xi: X' \times Y \rightarrow Z$ , we have

$$\begin{aligned} [\Phi_{Y,Z} \circ (g^* \times \text{id}_Y)](\xi) &\stackrel{\text{def}}{=} (\xi(-_1, g(-_2)))^\dagger \\ &\stackrel{\text{def}}{=} \xi_{-1}(g(-_2)) \\ &\stackrel{\text{def}}{=} (g_*)^*(\xi_{-1}(-_2)) \\ &\stackrel{\text{def}}{=} (g_*)^*(\xi^\dagger) \\ &\stackrel{\text{def}}{=} [(g_*)^* \circ \Phi_{Y',Z}](\xi). \end{aligned}$$

- *Naturality II.* We need to show that, given a function  $h: Z \rightarrow Z'$ , the diagram

$$\begin{array}{ccc} \text{Hom}_{\text{Sets}}(X \times Y, Z) & \xrightarrow{\Phi_{Y,Z}} & \text{Hom}_{\text{Sets}}(X, \text{Hom}_{\text{Sets}}(Y, Z)), \\ \downarrow h_* & & \downarrow (h_*)_* \\ \text{Hom}_{\text{Sets}}(X \times Y, Z') & \xrightarrow{\Phi_{Y,Z'}} & \text{Hom}_{\text{Sets}}(X, \text{Hom}_{\text{Sets}}(Y, Z')), \end{array}$$

commutes. Indeed, given a morphism  $\xi: X \times Y \rightarrow Z$ , we have

$$\begin{aligned} [\Phi_{Y,Z} \circ h_*](\xi) &\stackrel{\text{def}}{=} (h(\xi(-_1, -_2)))^\dagger \\ &\stackrel{\text{def}}{=} [x \mapsto [y \mapsto h(\xi(x, y))]] \\ &\stackrel{\text{def}}{=} [x \mapsto h_*([y \mapsto \xi(x, y)])] \\ &\stackrel{\text{def}}{=} [x \mapsto h_*\left(\xi^\dagger(x)\right)] \\ &\stackrel{\text{def}}{=} h_*\left(\xi^\dagger\right) \\ &\stackrel{\text{def}}{=} [(h_*)_* \circ \Phi_{Y,Z}](\xi). \end{aligned}$$

• *Invertibility I.* We claim that

$$\Psi_{X,Y} \circ \Phi_{X,Y} = \text{id}_{\text{Hom}_{\text{Sets}}(X \times Y, Z)}.$$

Indeed, given a morphism  $\xi: X \times Y \rightarrow Z$ , we have

$$\begin{aligned} [\Psi_{X,Y} \circ \Phi_{X,Y}](\xi) &\stackrel{\text{def}}{=} \Psi_{X,Y}(\Phi_{X,Y}(\xi)) \\ &\stackrel{\text{def}}{=} \Psi_{X,Y}([x \mapsto [y \mapsto \xi(x, y)]]) \\ &\stackrel{\text{def}}{=} [(x, y) \mapsto \text{ev}_x([x \mapsto \text{ev}_y([y \mapsto \xi(x, y)])])] \\ &\stackrel{\text{def}}{=} [(x, y) \mapsto \text{ev}_x([x \mapsto \xi(x, y)])] \\ &\stackrel{\text{def}}{=} [(x, y) \mapsto \xi(x, y)] \\ &\stackrel{\text{def}}{=} \xi. \end{aligned}$$

• *Invertibility II.* We claim that

$$\Phi_{X,Y} \circ \Psi_{X,Y} = \text{id}_{\text{Hom}_{\text{Sets}}(X, \text{Hom}_{\text{Sets}}(Y, Z))}.$$

Indeed, given a morphism  $\xi: X \rightarrow \text{Hom}_{\text{Sets}}(Y, Z)$ , we have

$$\begin{aligned} [\Phi_{X,Y} \circ \Psi_{X,Y}](\xi) &\stackrel{\text{def}}{=} \Phi_{X,Y}(\Psi_{X,Y}(\xi)) \\ &\stackrel{\text{def}}{=} \Phi_{X,Y}(\Psi_{X,Y}([x \mapsto \xi(x)])) \\ &\stackrel{\text{def}}{=} \Phi_{X,Y}([(x, y) \mapsto \text{ev}_x([x \mapsto \text{ev}_y(\xi(x))])]) \\ &\stackrel{\text{def}}{=} \Phi_{X,Y}([(x, y) \mapsto \xi(x, y)]) \\ &\stackrel{\text{def}}{=} [x \mapsto [y \mapsto \xi(x, y)]] \\ &\stackrel{\text{def}}{=} \xi. \end{aligned}$$

**Item 3: Associativity**

See [Pro24a].

## Item 4: Unitality

Clear.

## Item 5: Commutativity

See [Pro24b].

## Item 6: Annihilation With the Empty Set

See [Pro24f].

## Item 7: Distributivity Over Unions

See [Pro24e].

## Item 8: Distributivity Over Intersections

See [Pro24g, Corollary 1].

## Item 9: Middle-Four Exchange With Respect to Intersections

See [Pro24g, Corollary 1].

## Item 10: Distributivity Over Differences

See [Pro24c].

## Item 11: Distributivity Over Symmetric Differences

See [Pro24d].

## Item 12: Symmetric Monoidality

See [MO 382264].

## Item 13: Symmetric Bimonoidality

Omitted. 

---

<sup>1</sup>Here we sometimes denote a map  $f: X \rightarrow Y$  by  $[x \mapsto f(x)]$ , similar to the lambda notation  $\lambda x. f(x)$ .

### 2.1.3 Pullbacks

Let  $A$ ,  $B$ , and  $C$  be sets and let  $f: A \rightarrow C$  and  $g: B \rightarrow C$  be functions.

#### DEFINITION 2.1.3.1 ► PULLBACKS OF SETS

0012

The **pullback of  $A$  and  $B$  over  $C$  along  $f$  and  $g$** <sup>1</sup> is the pair<sup>2</sup>  $(A \times_C B, \{\text{pr}_1, \text{pr}_2\})$  consisting of

- *The Limit.* The set  $A \times_C B$  defined by

$$A \times_C B \stackrel{\text{def}}{=} \{(a, b) \in A \times B \mid f(a) = g(b)\}.$$

- *The Cone.* The maps

$$\begin{aligned}\text{pr}_1 &: A \times_C B \rightarrow A, \\ \text{pr}_2 &: A \times_C B \rightarrow B\end{aligned}$$

defined by

$$\begin{aligned}\text{pr}_1(a, b) &\stackrel{\text{def}}{=} a, \\ \text{pr}_2(a, b) &\stackrel{\text{def}}{=} b\end{aligned}$$

for each  $(a, b) \in A \times_C B$ .

---

<sup>1</sup>Further Terminology: Also called the **fibre product of  $A$  and  $B$  over  $C$  along  $f$  and  $g$** .

<sup>2</sup>Further Notation: Also written  $A \times_{f,C,g} B$ .

#### PROOF 2.1.3.2 ► PROOF OF DEFINITION 2.1.3.1

We claim that  $A \times_C B$  is the categorical pullback of  $A$  and  $B$  over  $C$  with respect to  $(f, g)$  in Sets. First we need to check that the relevant pullback diagram commutes, i.e. that we have

$$\begin{array}{ccc} A \times_C B & \xrightarrow{\text{pr}_2} & B \\ f \circ \text{pr}_1 = g \circ \text{pr}_2, & \downarrow \text{pr}_1 & \downarrow g \\ A & \xrightarrow{f} & C. \end{array}$$

Indeed, given  $(a, b) \in A \times_C B$ , we have

$$\begin{aligned}[f \circ \text{pr}_1](a, b) &= f(\text{pr}_1(a, b)) \\ &= f(a) \\ &= g(b) \\ &= g(\text{pr}_2(a, b)) \\ &= [g \circ \text{pr}_2](a, b),\end{aligned}$$

where  $f(a) = g(b)$  since  $(a, b) \in A \times_C B$ . Next, we prove that  $A \times_C B$  satisfies the universal property of the pullback. Suppose we have a diagram of the form

$$\begin{array}{ccccc}
 P & \xrightarrow{\quad p_2 \quad} & A \times_C B & \xrightarrow{\quad \text{pr}_2 \rightarrow B \quad} & B \\
 \downarrow p_1 & \nearrow & \downarrow \text{pr}_1 & \lrcorner & \downarrow g \\
 A & \xrightarrow{\quad f \quad} & C & &
 \end{array}$$

in Sets. Then there exists a unique map  $\phi: P \rightarrow A \times_C B$ , uniquely determined by the conditions

$$\begin{aligned}
 \text{pr}_1 \circ \phi &= p_1, \\
 \text{pr}_2 \circ \phi &= p_2,
 \end{aligned}$$

being necessarily given by

$$\phi(x) = (p_1(x), p_2(x))$$

for each  $x \in P$ , where we note that  $(p_1(x), p_2(x)) \in A \times B$  indeed lies in  $A \times_C B$  by the condition

$$f \circ p_1 = g \circ p_2,$$

which gives

$$f(p_1(x)) = g(p_2(x))$$

for each  $x \in P$ , so that  $(p_1(x), p_2(x)) \in A \times_C B$ .



### EXAMPLE 2.1.3.3 ▶ EXAMPLES OF PULLBACKS OF SETS

**0013** Here are some examples of pullbacks of sets.

**0014** 1. *Unions via Intersections.* Let  $A, B \subset X$ . We have a bijection of sets

$$\begin{array}{ccc}
 A \cap B & \longrightarrow & B \\
 \downarrow \lrcorner & & \downarrow \iota_B \\
 A & \xrightarrow{\quad \iota_A \quad} & A \cup B.
 \end{array}$$

**PROOF 2.1.3.4 ► PROOF OF EXAMPLE 2.1.3.3****Item 1: Unions via Intersections**

Indeed, we have

$$\begin{aligned} A \times_{A \cup B} B &\cong \{(x, y) \in A \times B \mid x = y\} \\ &\cong A \cap B. \end{aligned}$$

This finishes the proof. 

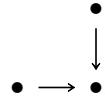
**PROPOSITION 2.1.3.5 ► PROPERTIES OF PULLBACKS OF SETS**

**0015** Let  $A, B, C$ , and  $X$  be sets.

**0016** 1. *Functoriality.* The assignment  $(A, B, C, f, g) \mapsto A \times_{f, C, g} B$  defines a functor

$$-_1 \times_{-_3} -_1: \text{Fun}(\mathcal{P}, \text{Sets}) \rightarrow \text{Sets},$$

where  $\mathcal{P}$  is the category that looks like this:



In particular, the action on morphisms of  $-_1 \times_{-_3} -_1$  is given by sending a morphism

$$\begin{array}{ccccc} A \times_C B & \xrightarrow{\quad} & B & & \\ \downarrow & \lrcorner & \downarrow g & \searrow \psi & \\ A' \times_{C'} B' & \xrightarrow{\quad} & B' & & \\ \downarrow & \lrcorner & \downarrow & & \\ A & \xrightarrow{f} & C & & \\ \phi \searrow & & \downarrow & \swarrow \chi & \\ & & A' & \xrightarrow{f'} & C' \end{array}$$

in  $\text{Fun}(\mathcal{P}, \text{Sets})$  to the map  $\xi: A \times_C B \xrightarrow{\exists!} A' \times_{C'} B'$  given by

$$\xi(a, b) \stackrel{\text{def}}{=} (\phi(a), \psi(b))$$

for each  $(a, b) \in A \times_C B$ , which is the unique map making the diagram

$$\begin{array}{ccccc}
 A \times_C B & \xrightarrow{\quad} & B & & \\
 \downarrow & \searrow \lrcorner & \downarrow g & \searrow \psi & \\
 A' \times_{C'} B' & \xrightarrow{\quad} & B' & & \\
 \downarrow & \lrcorner & \downarrow & & \downarrow g' \\
 A & \xrightarrow{f} & C & & \\
 \downarrow \phi & \searrow & \downarrow & \searrow \chi & \downarrow \\
 A' & \xrightarrow{f'} & C' & &
 \end{array}$$

commute.

0017

2. *Associativity*. Given a diagram

$$\begin{array}{ccccc}
 A & & B & & C \\
 & \searrow f & \swarrow g & \searrow h & \swarrow k \\
 & X & Y & &
 \end{array}$$

in Sets, we have isomorphisms

$$(A \times_X B) \times_Y C \cong (A \times_X B) \times_B (B \times_Y C) \cong A \times_X (B \times_Y C),$$

where these pullbacks are built as in the diagrams

$$\begin{array}{ccc}
 \begin{array}{c}
 (A \times_X B) \times_Y C \\
 \swarrow \quad \searrow \\
 A \times_X B \quad \quad \quad B \times_Y C \\
 \swarrow \quad \searrow \quad \swarrow \quad \searrow \\
 A \quad B \quad \quad \quad C \\
 \downarrow f \quad \downarrow g \quad \downarrow h \quad \downarrow k \\
 X \quad Y \quad \quad \quad
 \end{array} & 
 \begin{array}{c}
 (A \times_X B) \times_B (B \times_Y C) \\
 \swarrow \quad \searrow \quad \swarrow \quad \searrow \\
 A \times_X B \quad B \times_Y C \quad \quad C \\
 \swarrow \quad \searrow \quad \swarrow \quad \searrow \\
 A \quad B \quad \quad \quad C \\
 \downarrow f \quad \downarrow g \quad \downarrow h \quad \downarrow k \\
 X \quad Y \quad \quad \quad
 \end{array} & 
 \begin{array}{c}
 A \times_X (B \times_Y C) \\
 \swarrow \quad \searrow \\
 B \times_Y C \quad \quad \quad C \\
 \swarrow \quad \searrow \quad \swarrow \quad \searrow \\
 A \quad B \quad \quad \quad C \\
 \downarrow f \quad \downarrow g \quad \downarrow h \quad \downarrow k \\
 X \quad Y \quad \quad \quad
 \end{array}
 \end{array}$$

0018

3. *Unitality*. We have isomorphisms of sets

$$\begin{array}{ccc}
 \begin{array}{ccc}
 A & \xlongequal{\quad} & A \\
 \downarrow f & \lrcorner & \downarrow f \\
 X & \xlongequal{\quad} & X
 \end{array} & 
 \begin{array}{c}
 X \times_X A \cong A, \\
 A \times_X X \cong A,
 \end{array} & 
 \begin{array}{c}
 A \xrightarrow{f} X \\
 \parallel \lrcorner \parallel \\
 X \xrightarrow{f} X.
 \end{array}
 \end{array}$$

0019

4. *Commutativity.* We have an isomorphism of sets

$$\begin{array}{ccc} A \times_X B & \longrightarrow & B \\ \downarrow \lrcorner & & \downarrow g \\ A & \xrightarrow{f} & X, \end{array} \quad A \times_X B \cong B \times_X A \quad \begin{array}{ccc} B \times_X A & \longrightarrow & A \\ \downarrow \lrcorner & & \downarrow f \\ B & \xrightarrow{g} & X. \end{array}$$

001A

5. *Annihilation With the Empty Set.* We have isomorphisms of sets

$$\begin{array}{ccc} \emptyset & \longrightarrow & \emptyset \\ \downarrow \lrcorner & & \downarrow \\ A & \xrightarrow{f} & X, \end{array} \quad \begin{array}{c} A \times_X \emptyset \cong \emptyset, \\ \emptyset \times_X A \cong \emptyset, \end{array} \quad \begin{array}{ccc} \emptyset & \longrightarrow & A \\ \downarrow \lrcorner & & \downarrow f \\ \emptyset & \longrightarrow & X. \end{array}$$

001B

6. *Interaction With Products.* We have

$$\begin{array}{ccc} A \times B & \longrightarrow & B \\ A \times_{\text{pt}} B \cong A \times B, & \downarrow \lrcorner & \downarrow !_B \\ \downarrow & & \downarrow \\ A & \xrightarrow{!_A} & \text{pt.} \end{array}$$

001C

7. *Symmetric Monoidality.* The triple  $(\text{Sets}, \times_X, X)$  is a symmetric monoidal category.

#### PROOF 2.1.3.6 ▶ PROOF OF PROPOSITION 2.1.3.5

**Item 1: Functoriality**

This is a special case of functoriality of co/limits, ?? of ??, with the explicit expression for  $\xi$  following from the commutativity of the cube pullback diagram.

**Item 2: Associativity**

Indeed, we have

$$\begin{aligned}
 (A \times_X B) \times_Y C &\cong \{((a, b), c) \in (A \times_X B) \times C \mid h(b) = k(c)\} \\
 &\cong \{((a, b), c) \in (A \times B) \times C \mid f(a) = g(b) \text{ and } h(b) = k(c)\} \\
 &\cong \{(a, (b, c)) \in A \times (B \times C) \mid f(a) = g(b) \text{ and } h(b) = k(c)\} \\
 &\cong \{(a, (b, c)) \in A \times (B \times_Y C) \mid f(a) = g(b)\} \\
 &\cong A \times_X (B \times_Y C)
 \end{aligned}$$

and

$$\begin{aligned}
 (A \times_X B) \times_B (B \times_Y C) &\cong \{((a, b), (b', c)) \in (A \times_X B) \times (B \times_Y C) \mid b = b'\} \\
 &\cong \left\{ ((a, b), (b', c)) \in (A \times B) \times (B \times C) \mid \begin{array}{l} f(a) = g(b), b = b', \\ \text{and } h(b') = k(c) \end{array} \right\} \\
 &\cong \left\{ (a, (b, (b', c))) \in A \times (B \times (B \times C)) \mid \begin{array}{l} f(a) = g(b), b = b', \\ \text{and } h(b') = k(c) \end{array} \right\} \\
 &\cong \left\{ (a, ((b, b'), c)) \in A \times ((B \times B) \times C) \mid \begin{array}{l} f(a) = g(b), b = b', \\ \text{and } h(b') = k(c) \end{array} \right\} \\
 &\cong \left\{ (a, ((b, b'), c)) \in A \times ((B \times_B B) \times C) \mid \begin{array}{l} f(a) = g(b) \text{ and} \\ h(b') = k(c) \end{array} \right\} \\
 &\cong \{(a, (b, c)) \in A \times (B \times C) \mid f(a) = g(b) \text{ and } h(b) = k(c)\} \\
 &\cong A \times_X (B \times_Y C),
 \end{aligned}$$

where we have used **Item 3** for the isomorphism  $B \times_B B \cong B$ .

#### Item 3: Unitality

Indeed, we have

$$\begin{aligned}
 X \times_X A &\cong \{(x, a) \in X \times A \mid f(a) = x\}, \\
 A \times_X X &\cong \{(a, x) \in X \times A \mid f(a) = x\},
 \end{aligned}$$

which are isomorphic to  $A$  via the maps  $(x, a) \mapsto a$  and  $(a, x) \mapsto a$ .

#### Item 4: Commutativity

Clear.

#### Item 5: Annihilation With the Empty Set

Clear.

#### Item 6: Interaction With Products

Clear.

#### Item 7: Symmetric Monoidality

Omitted. 

### 2.1.4 Equalisers

Let  $A$  and  $B$  be sets and let  $f, g: A \rightrightarrows B$  be functions.

#### DEFINITION 2.1.4.1 ► EQUALISERS OF SETS

001E

The **equaliser of  $f$  and  $g$**  is the pair  $(\text{Eq}(f, g), \text{eq}(f, g))$  consisting of

- *The Limit.* The set  $\text{Eq}(f, g)$  defined by

$$\text{Eq}(f, g) \stackrel{\text{def}}{=} \{a \in A \mid f(a) = g(a)\}.$$

- *The Cone.* The inclusion map

$$\text{eq}(f, g): \text{Eq}(f, g) \hookrightarrow A.$$

#### PROOF 2.1.4.2 ► PROOF OF DEFINITION 2.1.4.1

We claim that  $\text{Eq}(f, g)$  is the categorical equaliser of  $f$  and  $g$  in Sets. First we need to check that the relevant equaliser diagram commutes, i.e. that we have

$$f \circ \text{eq}(f, g) = g \circ \text{eq}(f, g),$$

which indeed holds by the definition of the set  $\text{Eq}(f, g)$ . Next, we prove that  $\text{Eq}(f, g)$  satisfies the universal property of the equaliser. Suppose we have a diagram of the form

$$\begin{array}{ccccc} \text{Eq}(f, g) & \xrightarrow{\text{eq}(f, g)} & A & \xrightarrow{\quad f \quad} & B \\ & & \nearrow e & & \\ & & E & & \end{array}$$

in Sets. Then there exists a unique map  $\phi: E \rightarrow \text{Eq}(f, g)$ , uniquely determined by the condition

$$\text{eq}(f, g) \circ \phi = e$$

being necessarily given by

$$\phi(x) = e(x)$$

for each  $x \in E$ , where we note that  $e(x) \in A$  indeed lies in  $\text{Eq}(f, g)$  by the condition

$$f \circ e = g \circ e,$$

which gives

$$f(e(x)) = g(e(x))$$

for each  $x \in E$ , so that  $e(x) \in \text{Eq}(f, g)$ .



**PROPOSITION 2.1.4.3 ► PROPERTIES OF EQUALISERS OF SETS**

**001F** Let  $A, B$ , and  $C$  be sets.

**001G** 1. *Associativity.* We have an isomorphism of sets<sup>1</sup>

$$\begin{aligned} \text{Eq}(f \circ \text{eq}(g, h), g \circ \text{eq}(g, h)) &\cong \text{Eq}(f, g, h) \cong \underbrace{\text{Eq}(f \circ \text{eq}(f, g), h \circ \text{eq}(f, g))}_{=\text{Eq}(g \circ \text{eq}(f, g), h \circ \text{eq}(f, g))} \\ &= \text{Eq}(f \circ \text{eq}(g, h), h \circ \text{eq}(g, h)) \end{aligned}$$

where  $\text{Eq}(f, g, h)$  is the limit of the diagram

$$\begin{array}{ccc} & f & \\ A & \xrightarrow[g]{\quad} & B \\ & h & \end{array}$$

in Sets, being explicitly given by

$$\text{Eq}(f, g, h) \cong \{a \in A \mid f(a) = g(a) = h(a)\}.$$

**001H** 2. *Unitality.* We have an isomorphism of sets

$$\text{Eq}(f, f) \cong A.$$

**001J** 3. *Commutativity.* We have an isomorphism of sets

$$\text{Eq}(f, g) \cong \text{Eq}(g, f).$$

**001K** 4. *Interaction With Composition.* Let

$$\begin{array}{ccc} & f & \\ A & \xrightarrow[g]{\quad} & B \xrightarrow[k]{\quad} C \\ & h & \end{array}$$

be functions. We have an inclusion of sets

$$\text{Eq}(h \circ f \circ \text{eq}(f, g), k \circ g \circ \text{eq}(f, g)) \subset \text{Eq}(h \circ f, k \circ g),$$

where  $\text{Eq}(h \circ f \circ \text{eq}(f, g), k \circ g \circ \text{eq}(f, g))$  is the equaliser of the composition

$$\text{Eq}(f, g) \xrightarrow{\text{eq}(f, g)} A \xrightarrow[g]{\quad} B \xrightarrow[k]{\quad} C.$$

---

<sup>1</sup>That is, the following three ways of forming “the” equaliser of  $(f, g, h)$  agree:

(a) Take the equaliser of  $(f, g, h)$ , i.e. the limit of the diagram

$$\begin{array}{ccc} & f & \\ A & \xrightarrow[g]{\quad} & B \\ & h & \end{array}$$

in Sets.

(b) First take the equaliser of  $f$  and  $g$ , forming a diagram

$$\text{Eq}(f, g) \xrightarrow{\text{eq}(f, g)} A \xrightarrow[g]{f} B$$

and then take the equaliser of the composition

$$\text{Eq}(f, g) \xrightarrow{\text{eq}(f, g)} A \xrightarrow[h]{f} B,$$

obtaining a subset

$$\text{Eq}(f \circ \text{eq}(f, g), h \circ \text{eq}(f, g)) = \text{Eq}(g \circ \text{eq}(f, g), h \circ \text{eq}(f, g))$$

of  $\text{Eq}(f, g)$ .

(c) First take the equaliser of  $g$  and  $h$ , forming a diagram

$$\text{Eq}(g, h) \xrightarrow{\text{eq}(g, h)} A \xrightarrow[g]{h} B$$

and then take the equaliser of the composition

$$\text{Eq}(g, h) \xrightarrow{\text{eq}(g, h)} A \xrightarrow[g]{f} B,$$

obtaining a subset

$$\text{Eq}(f \circ \text{eq}(g, h), g \circ \text{eq}(g, h)) = \text{Eq}(f \circ \text{eq}(g, h), h \circ \text{eq}(g, h))$$

of  $\text{Eq}(g, h)$ .

#### PROOF 2.1.4.4 ► PROOF OF PROPOSITION 2.1.4.3

##### Item 1: Associativity

We first prove that  $\text{Eq}(f, g, h)$  is indeed given by

$$\text{Eq}(f, g, h) \cong \{a \in A \mid f(a) = g(a) = h(a)\}.$$

Indeed, suppose we have a diagram of the form

$$\begin{array}{ccc} \text{Eq}(f, g, h) & \xrightarrow{\text{eq}(f, g, h)} & A \xrightarrow[g]{f} B \\ & \nearrow e & \end{array}$$

in Sets. Then there exists a unique map  $\phi: E \rightarrow \text{Eq}(f, g, h)$ , uniquely determined by the condition

$$\text{eq}(f, g) \circ \phi = e$$

being necessarily given by

$$\phi(x) = e(x)$$

for each  $x \in E$ , where we note that  $e(x) \in A$  indeed lies in  $\text{Eq}(f, g, h)$  by the condition

$$f \circ e = g \circ e = h \circ e,$$

which gives

$$f(e(x)) = g(e(x)) = h(e(x))$$

for each  $x \in E$ , so that  $e(x) \in \text{Eq}(f, g, h)$ .

We now check the equalities

$$\text{Eq}(f \circ \text{eq}(g, h), g \circ \text{eq}(g, h)) \cong \text{Eq}(f, g, h) \cong \text{Eq}(f \circ \text{eq}(f, g), h \circ \text{eq}(f, g)).$$

Indeed, we have

$$\begin{aligned} \text{Eq}(f \circ \text{eq}(g, h), g \circ \text{eq}(g, h)) &\cong \{x \in \text{Eq}(g, h) \mid [f \circ \text{eq}(g, h)](a) = [g \circ \text{eq}(g, h)](a)\} \\ &\cong \{x \in \text{Eq}(g, h) \mid f(a) = g(a)\} \\ &\cong \{x \in A \mid f(a) = g(a) \text{ and } g(a) = h(a)\} \\ &\cong \{x \in A \mid f(a) = g(a) = h(a)\} \\ &\cong \text{Eq}(f, g, h). \end{aligned}$$

Similarly, we have

$$\begin{aligned} \text{Eq}(f \circ \text{eq}(f, g), h \circ \text{eq}(f, g)) &\cong \{x \in \text{Eq}(f, g) \mid [f \circ \text{eq}(f, g)](a) = [h \circ \text{eq}(f, g)](a)\} \\ &\cong \{x \in \text{Eq}(f, g) \mid f(a) = h(a)\} \\ &\cong \{x \in A \mid f(a) = h(a) \text{ and } f(a) = g(a)\} \\ &\cong \{x \in A \mid f(a) = g(a) = h(a)\} \\ &\cong \text{Eq}(f, g, h). \end{aligned}$$

#### Item 2: Unitality

Clear.

#### Item 3: Commutativity

Clear.

#### Item 4: Interaction With Composition

Indeed, we have

$$\begin{aligned} \text{Eq}(h \circ f \circ \text{eq}(f, g), k \circ g \circ \text{eq}(f, g)) &\cong \{a \in \text{Eq}(f, g) \mid h(f(a)) = k(g(a))\} \\ &\cong \{a \in A \mid f(a) = g(a) \text{ and } h(f(a)) = k(g(a))\}. \end{aligned}$$

and

$$\text{Eq}(h \circ f, k \circ g) \cong \{a \in A \mid h(f(a)) = k(g(a))\},$$

and thus there's an inclusion from  $\text{Eq}(h \circ f \circ \text{eq}(f, g), k \circ g \circ \text{eq}(f, g))$  to  $\text{Eq}(h \circ f, k \circ g)$ . □

## 2.2 Colimits of Sets

### 2.2.1 Coproducts of Families of Sets

Let  $\{A_i\}_{i \in I}$  be a family of sets.

#### DEFINITION 2.2.1.1 ► DISJOINT UNIONS OF FAMILIES

001N

The **disjoint union of the family**  $\{A_i\}_{i \in I}$  is the pair  $(\coprod_{i \in I} A_i, \{\text{inj}_i\}_{i \in I})$  consisting of

- *The Colimit.* The set  $\coprod_{i \in I} A_i$  defined by

$$\coprod_{i \in I} A_i \stackrel{\text{def}}{=} \left\{ (i, x) \in I \times \left( \bigcup_{i \in I} A_i \right) \middle| x \in A_i \right\}.$$

- *The Cocone.* The collection

$$\left\{ \text{inj}_i: A_i \rightarrow \coprod_{i \in I} A_i \right\}_{i \in I}$$

of maps given by

$$\text{inj}_i(x) \stackrel{\text{def}}{=} (i, x)$$

for each  $x \in A_i$  and each  $i \in I$ .

#### PROOF 2.2.1.2 ► PROOF OF DEFINITION 2.2.1.1

We claim that  $\coprod_{i \in I} A_i$  is the categorical coproduct of  $\{A_i\}_{i \in I}$  in Sets. Indeed, suppose we have, for each  $i \in I$ , a diagram of the form

$$\begin{array}{ccc} & & C \\ & \nearrow i_i & \\ A_i & \xrightarrow{\text{inj}_i} & \coprod_{i \in I} A_i \end{array}$$

in Sets. Then there exists a unique map  $\phi: \coprod_{i \in I} A_i \rightarrow C$ , uniquely determined by the condition  $\phi \circ \text{inj}_i = i_i$  for each  $i \in I$ , being necessarily given by

$$\phi(i, x) = i_i(x)$$

for each  $(i, x) \in \coprod_{i \in I} A_i$ . ■

**PROPOSITION 2.2.1.3 ► PROPERTIES OF COPRODUCTS OF FAMILIES OF SETS**

**001P** Let  $\{A_i\}_{i \in I}$  be a family of sets.

**001Q** 1. *Functoriality.* The assignment  $\{A_i\}_{i \in I} \mapsto \coprod_{i \in I} A_i$  defines a functor

$$\coprod_{i \in I}: \text{Fun}(I_{\text{disc}}, \text{Sets}) \rightarrow \text{Sets}$$

where

- *Action on Objects.* For each  $(A_i)_{i \in I} \in \text{Obj}(\text{Fun}(I_{\text{disc}}, \text{Sets}))$ , we have

$$\left[ \coprod_{i \in I} \right] ((A_i)_{i \in I}) \stackrel{\text{def}}{=} \coprod_{i \in I} A_i$$

- *Action on Morphisms.* For each  $((A_i)_{i \in I}, (B_i)_{i \in I}) \in \text{Obj}(\text{Fun}(I_{\text{disc}}, \text{Sets}))$ , the action on Hom-sets

$$\left( \coprod_{i \in I} \right)_{(A_i)_{i \in I}, (B_i)_{i \in I}} : \text{Nat}((A_i)_{i \in I}, (B_i)_{i \in I}) \rightarrow \text{Sets} \left( \coprod_{i \in I} A_i, \coprod_{i \in I} B_i \right)$$

of  $\coprod_{i \in I}$  at  $((A_i)_{i \in I}, (B_i)_{i \in I})$  is defined by sending a map

$$\{f_i: A_i \rightarrow B_i\}_{i \in I}$$

in  $\text{Nat}((A_i)_{i \in I}, (B_i)_{i \in I})$  to the map of sets

$$\coprod_{i \in I} f_i: \coprod_{i \in I} A_i \rightarrow \coprod_{i \in I} B_i$$

defined by

$$\left[ \coprod_{i \in I} f_i \right] (i, a) \stackrel{\text{def}}{=} f_i(a)$$

for each  $(i, a) \in \coprod_{i \in I} A_i$ .

**PROOF 2.2.1.4 ► PROOF OF PROPOSITION 2.2.1.3**

Item 1: Functoriality

Clear. 

## 2.2.2 Binary Coproducts

Let  $A$  and  $B$  be sets.

## DEFINITION 2.2.2.1 ► COPRODUCTS OF SETS

**001S** The **coproduct<sup>1</sup>** of  $A$  and  $B$  is the pair  $(A \coprod B, \{\text{inj}_1, \text{inj}_2\})$  consisting of

- *The Colimit.* The set  $A \coprod B$  defined by

$$\begin{aligned} A \coprod B &\stackrel{\text{def}}{=} \coprod_{z \in \{A, B\}} z \\ &\cong \{(0, a) \mid a \in A\} \cup \{(1, b) \mid b \in B\}. \end{aligned}$$

- *The Cocone.* The maps

$$\begin{aligned} \text{inj}_1 &: A \rightarrow A \coprod B, \\ \text{inj}_2 &: B \rightarrow A \coprod B, \end{aligned}$$

given by

$$\begin{aligned} \text{inj}_1(a) &\stackrel{\text{def}}{=} (0, a), \\ \text{inj}_2(b) &\stackrel{\text{def}}{=} (1, b), \end{aligned}$$

for each  $a \in A$  and each  $b \in B$ .

<sup>1</sup>Further Terminology: Also called the **disjoint union** of  $A$  and  $B$ , or the **binary disjoint union** of  $A$  and  $B$ , for emphasis.

## PROOF 2.2.2.2 ► PROOF OF DEFINITION 2.2.2.1

We claim that  $A \coprod B$  is the categorical coproduct of  $A$  and  $B$  in Sets. Indeed, suppose we have a diagram of the form

$$\begin{array}{ccccc} & & C & & \\ & i_A \nearrow & & \swarrow i_B & \\ A & \xrightarrow{\text{inj}_A} & A \coprod B & \xleftarrow{\text{inj}_B} & B \end{array}$$

in Sets. Then there exists a unique map  $\phi: A \coprod B \rightarrow C$ , uniquely determined by the conditions

$$\begin{aligned} \phi \circ \text{inj}_A &= i_A, \\ \phi \circ \text{inj}_B &= i_B, \end{aligned}$$

being necessarily given by

$$\phi(x) = \begin{cases} i_A(x) & \text{if } x \in A, \\ i_B(x) & \text{if } x \in B \end{cases}$$

for each  $x \in C$ .



### PROPOSITION 2.2.2.3 ► PROPERTIES OF COPRODUCTS OF SETS

**001T** Let  $A, B, C$ , and  $X$  be sets.

**001U** 1. *Functoriality.* The assignment  $A, B, (A, B) \mapsto A \coprod B$  defines functors

$$A \coprod -_2: \text{Sets} \rightarrow \text{Sets},$$

$$-_1 \coprod B: \text{Sets} \rightarrow \text{Sets},$$

$$-_1 \coprod -_2: \text{Sets} \times \text{Sets} \rightarrow \text{Sets},$$

where  $-_1 \coprod -_2$  is the functor where

- *Action on Objects.* For each  $(A, B) \in \text{Obj}(\text{Sets} \times \text{Sets})$ , we have

$$[-_1 \coprod -_2](A, B) \stackrel{\text{def}}{=} A \coprod B;$$

- *Action on Morphisms.* For each  $(A, B), (X, Y) \in \text{Obj}(\text{Sets})$ , the action on Hom-sets

$$\coprod_{(A,B),(X,Y)}: \text{Sets}(A, X) \times \text{Sets}(B, Y) \rightarrow \text{Sets}(A \coprod B, X \coprod Y)$$

of  $\coprod$  at  $((A, B), (X, Y))$  is defined by sending  $(f, g)$  to the function

$$f \coprod g: A \coprod B \rightarrow X \coprod Y$$

defined by

$$[f \coprod g](x) \stackrel{\text{def}}{=} \begin{cases} f(x) & \text{if } x \in A, \\ g(x) & \text{if } x \in B \end{cases}$$

for each  $x \in A \coprod B$ ;

and where  $A \coprod -$  and  $- \coprod B$  are the partial functors of  $-_1 \coprod -_2$  at  $A, B \in \text{Obj}(\text{Sets})$ .

**001V** 2. *Associativity.* We have an isomorphism of sets

$$(A \coprod B) \coprod C \cong A \coprod (B \coprod C),$$

natural in  $A, B, C \in \text{Obj}(\text{Sets})$ .

001W

3. *Unitality.* We have isomorphisms of sets

$$\begin{aligned} A \coprod \emptyset &\cong A, \\ \emptyset \coprod A &\cong A, \end{aligned}$$

natural in  $A \in \text{Obj}(\text{Sets})$ .

001X

4. *Commutativity.* We have an isomorphism of sets

$$A \coprod B \cong B \coprod A,$$

natural in  $A, B \in \text{Obj}(\text{Sets})$ .

001Y

5. *Symmetric Monoidality.* The triple  $(\text{Sets}, \coprod, \emptyset)$  is a symmetric monoidal category.

#### PROOF 2.2.2.4 ► PROOF OF PROPOSITION 2.2.2.3

Item 1: Functoriality

Clear.

Item 2: Associativity

Clear.

Item 3: Unitality

Clear.

Item 4: Commutativity

Clear.

Item 5: Symmetric Monoidality

Omitted. 

### 2.2.3 Pushouts

Let  $A, B$ , and  $C$  be sets and let  $f: C \rightarrow A$  and  $g: C \rightarrow B$  be functions.

#### DEFINITION 2.2.3.1 ► PUSHOUTS OF SETS

0020

The **pushout of  $A$  and  $B$  over  $C$  along  $f$  and  $g$** <sup>1</sup> is the pair  $(A \coprod_C B, \{\text{inj}_1, \text{inj}_2\})$  consisting of

- *The Colimit.* The set  $A \coprod_C B$  defined by

$$A \coprod_C B \stackrel{\text{def}}{=} A \coprod B / \sim_C,$$

where  $\sim_C$  is the equivalence relation on  $A \coprod B$  generated by  $(0, f(c)) \sim_C (1, g(c))$ .

- *The Cocone.* The maps

$$\begin{aligned}\text{inj}_1 &: A \rightarrow A \coprod_C B, \\ \text{inj}_2 &: B \rightarrow A \coprod_C B\end{aligned}$$

given by

$$\begin{aligned}\text{inj}_1(a) &\stackrel{\text{def}}{=} [(0, a)] \\ \text{inj}_2(b) &\stackrel{\text{def}}{=} [(1, b)]\end{aligned}$$

for each  $a \in A$  and each  $b \in B$ .

<sup>1</sup>Further Terminology: Also called the **fibre coproduct of  $A$  and  $B$  over  $C$  along  $f$  and  $g$** .

#### PROOF 2.2.3.2 ► PROOF OF DEFINITION 2.2.3.1

We claim that  $A \coprod_C B$  is the categorical pushout of  $A$  and  $B$  over  $C$  with respect to  $(f, g)$  in Sets. First we need to check that the relevant pushout diagram commutes, i.e. that we have

$$\begin{array}{ccc} A \coprod_C B & \xleftarrow{\text{inj}_2} & B \\ \text{inj}_1 \uparrow & & \uparrow g \\ A & \xleftarrow{f} & C. \end{array}$$

Indeed, given  $c \in C$ , we have

$$\begin{aligned}[\text{inj}_1 \circ f](c) &= \text{inj}_1(f(c)) \\ &= [(0, f(c))] \\ &= [(1, g(c))] \\ &= \text{inj}_2(g(c)) \\ &= [\text{inj}_2 \circ g](c),\end{aligned}$$

where  $[(0, f(c))] = [(1, g(c))]$  by the definition of the relation  $\sim$  on  $B$ . Next, we prove that  $A \coprod_C B$  satisfies the universal property of the pushout. Suppose we

have a diagram of the form

$$\begin{array}{ccccc}
 & & P & & \\
 & \swarrow i_1 & & \searrow i_2 & \\
 A & \xrightarrow{\text{inj}_1} & A \coprod_C B & \xleftarrow{\text{inj}_2} & B \\
 & \uparrow f & \lrcorner & \uparrow g & \\
 & C & & &
 \end{array}$$

in Sets. Then there exists a unique map  $\phi: A \coprod_C B \rightarrow P$ , uniquely determined by the conditions

$$\begin{aligned}
 \phi \circ \text{inj}_1 &= i_1, \\
 \phi \circ \text{inj}_2 &= i_2,
 \end{aligned}$$

being necessarily given by

$$\phi(x) = \begin{cases} i_1(a) & \text{if } x = [(0, a)], \\ i_2(b) & \text{if } x = [(1, b)] \end{cases}$$

for each  $x \in A \coprod_C B$ , where the well-definedness of  $\phi$  is guaranteed by the equality  $i_1 \circ f = i_2 \circ g$  and the definition of the relation  $\sim$  on  $A \coprod B$  as follows.

1. *Case 1:* Suppose we have  $x = [(0, a)] = [(0, a')]$  for some  $a, a' \in A$ . Then, by Remark 2.2.3.3, we have a sequence

$$(0, a) \sim' x_1 \sim' \cdots \sim' x_n \sim' (0, a').$$

2. *Case 2:* Suppose we have  $x = [(1, b)] = [(1, b')]$  for some  $b, b' \in B$ . Then, by Remark 2.2.3.3, we have a sequence

$$(1, b) \sim' x_1 \sim' \cdots \sim' x_n \sim' (1, b').$$

3. *Case 3:* Suppose we have  $x = [(0, a)] = [(1, b)]$  for some  $a \in A$  and  $b \in B$ . Then, by Remark 2.2.3.3, we have a sequence

$$(0, a) \sim' x_1 \sim' \cdots \sim' x_n \sim' (1, b).$$

In all these cases, we declare  $x \sim' y$  iff there exists some  $c \in C$  such that  $x = (0, f(c))$  and  $y = (1, g(c))$  or  $x = (1, g(c))$  and  $y = (0, f(c))$ . Then, the equality  $i_1 \circ f = i_2 \circ g$  gives

$$\begin{aligned}\phi([x]) &= \phi([(0, f(c))]) \\ &\stackrel{\text{def}}{=} i_1(f(c)) \\ &= i_2(g(c)) \\ &\stackrel{\text{def}}{=} \phi([(1, g(c))]) \\ &= \phi([y]),\end{aligned}$$

with the case where  $x = (1, g(c))$  and  $y = (0, f(c))$  similarly giving  $\phi([x]) = \phi([y])$ . Thus, if  $x \sim' y$ , then  $\phi([x]) = \phi([y])$ . Applying this equality pairwise to the sequences

$$\begin{aligned}(0, a) &\sim' x_1 \sim' \cdots \sim' x_n \sim' (0, a'), \\ (1, b) &\sim' x_1 \sim' \cdots \sim' x_n \sim' (1, b'), \\ (0, a) &\sim' x_1 \sim' \cdots \sim' x_n \sim' (1, b)\end{aligned}$$

gives

$$\begin{aligned}\phi([(0, a)]) &= \phi([(0, a')]), \\ \phi([(1, b)]) &= \phi([(1, b')]), \\ \phi([(0, a)]) &= \phi([(1, b)]),\end{aligned}$$

showing  $\phi$  to be well-defined. ■

### REMARK 2.2.3.3 ► UNWINDING DEFINITION 2.2.3.1

0021

In detail, by ??, the relation  $\sim$  of Definition 2.2.3.1 is given by declaring  $a \sim b$  iff one of the following conditions is satisfied:

- We have  $a, b \in A$  and  $a = b$ ;
- We have  $a, b \in B$  and  $a = b$ ;
- There exist  $x_1, \dots, x_n \in A \coprod B$  such that  $a \sim' x_1 \sim' \cdots \sim' x_n \sim' b$ , where we declare  $x \sim' y$  if one of the following conditions is satisfied:
  1. There exists  $c \in C$  such that  $x = (0, f(c))$  and  $y = (1, g(c))$ .
  2. There exists  $c \in C$  such that  $x = (1, g(c))$  and  $y = (0, f(c))$ .

That is: we require the following condition to be satisfied:

- (★) There exist  $x_1, \dots, x_n \in A \coprod B$  satisfying the following conditions:
1. There exists  $c_0 \in C$  satisfying one of the following conditions:
    - (a) We have  $a = f(c_0)$  and  $x_1 = g(c_0)$ .
    - (b) We have  $a = g(c_0)$  and  $x_1 = f(c_0)$ .
  2. For each  $1 \leq i \leq n - 1$ , there exists  $c_i \in C$  satisfying one of the following conditions:
    - (a) We have  $x_i = f(c_i)$  and  $x_{i+1} = g(c_i)$ .
    - (b) We have  $x_i = g(c_i)$  and  $x_{i+1} = f(c_i)$ .
  3. There exists  $c_n \in C$  satisfying one of the following conditions:
    - (a) We have  $x_n = f(c_n)$  and  $b = g(c_n)$ .
    - (b) We have  $x_n = g(c_n)$  and  $b = f(c_n)$ .

#### EXAMPLE 2.2.3.4 ► EXAMPLES OF PUSHOUTS OF SETS

**0022** Here are some examples of pushouts of sets.

**0023** 1. *Wedge Sums of Pointed Sets.* The wedge sum of two pointed sets of ?? is an example of a pushout of sets.

**0024** 2. *Intersections via Unions.* Let  $A, B \subset X$ . We have a bijection of sets

$$A \cup B \cong A \coprod_{A \cap B} B,$$

$$\begin{array}{ccc} A \cup B & \xleftarrow{\quad} & B \\ \uparrow & \lrcorner & \uparrow \\ A & \xleftarrow{\quad} & A \cap B. \end{array}$$

#### PROOF 2.2.3.5 ► PROOF OF EXAMPLE 2.2.3.4

Item 1: Wedge Sums of Pointed Sets

Follows by definition.

Item 2: Intersections via Unions

Indeed,  $A \coprod_{A \cap B} B$  is the quotient of  $A \coprod B$  by the equivalence relation obtained by declaring  $(0, a) \sim (1, b)$  iff  $a = b \in A \cap B$ , which is in bijection with  $A \cup B$  via the map with  $[(0, a)] \mapsto a$  and  $[(1, b)] \mapsto b$ . 

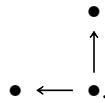
**PROPOSITION 2.2.3.6 ► PROPERTIES OF PUSHOOTS OF SETS**

0025 Let  $A, B, C$ , and  $X$  be sets.

0026 1. *Functionality.* The assignment  $(A, B, C, f, g) \mapsto A \coprod_{f, C, g} B$  defines a functor

$$-_1 \coprod_{-_3} -_1 : \text{Fun}(\mathcal{P}, \text{Sets}) \rightarrow \text{Sets},$$

where  $\mathcal{P}$  is the category that looks like this:



In particular, the action on morphisms of  $-_1 \coprod_{-_3} -_1$  is given by sending a morphism

$$\begin{array}{ccccc} A \coprod_C B & \xleftarrow{\quad \lrcorner \quad} & B & & \\ \uparrow & & \uparrow \psi & & \\ A' \coprod_{C'} B' & \xleftarrow{\quad \lrcorner \quad} & B' & & \\ \uparrow & & \uparrow g & & \\ A & \xleftarrow{\quad f \quad} & C & & \\ \downarrow \phi & & \downarrow \chi & & \uparrow g' \\ A' & \xleftarrow{\quad f' \quad} & C' & & \end{array}$$

in  $\text{Fun}(\mathcal{P}, \text{Sets})$  to the map  $\xi : A \coprod_C B \xrightarrow{\exists!} A' \coprod_{C'} B'$  given by

$$\xi(x) \stackrel{\text{def}}{=} \begin{cases} \phi(a) & \text{if } x = [(0, a)], \\ \psi(b) & \text{if } x = [(1, b)] \end{cases}$$

for each  $x \in A \coprod_C B$ , which is the unique map making the diagram

$$\begin{array}{ccccc} A \coprod_C B & \xleftarrow{\quad \lrcorner \quad} & B & & \\ \uparrow & & \uparrow \psi & & \\ A' \coprod_{C'} B' & \xleftarrow{\quad \lrcorner \quad} & B' & & \\ \uparrow & & \uparrow g & & \\ A & \xleftarrow{\quad f \quad} & C & & \\ \downarrow \phi & & \downarrow \chi & & \uparrow g' \\ A' & \xleftarrow{\quad f' \quad} & C' & & \end{array}$$

commute.

0027

2. *Associativity.* Given a diagram

$$\begin{array}{ccccc} A & & B & & C \\ \swarrow f & & \searrow g & \swarrow h & \searrow k \\ X & & Y & & \end{array}$$

in Sets, we have isomorphisms

$$(A \sqcup_X B) \sqcup_Y C \cong (A \sqcup_X B) \sqcup_B (B \sqcup_Y C) \cong A \sqcup_X (B \sqcup_Y C),$$

where these pullbacks are built as in the diagrams

$$\begin{array}{ccc} \begin{array}{c} (A \sqcup_X B) \sqcup_Y C \\ \nearrow \quad \nwarrow \\ A \sqcup_X B \end{array} & \begin{array}{c} (A \sqcup_X B) \sqcup_B (B \sqcup_Y C) \\ \nearrow \quad \nwarrow \\ A \sqcup_X B \end{array} & \begin{array}{c} A \sqcup_X (B \sqcup_Y C) \\ \nearrow \quad \nwarrow \\ A \end{array} \\ \begin{array}{c} A \\ \nearrow f \\ X \end{array} \quad \begin{array}{c} A \\ \nearrow f \\ X \end{array} \quad \begin{array}{c} A \\ \nearrow f \\ X \end{array} & \begin{array}{c} B \\ \nearrow g \\ Y \end{array} \quad \begin{array}{c} B \\ \nearrow g \\ Y \end{array} \quad \begin{array}{c} B \\ \nearrow g \\ Y \end{array} & \begin{array}{c} C \\ \nearrow h \\ Y \end{array} \quad \begin{array}{c} C \\ \nearrow h \\ Y \end{array} \quad \begin{array}{c} C \\ \nearrow h \\ Y \end{array} \\ \begin{array}{c} A \\ \nearrow f \\ X \end{array} \quad \begin{array}{c} B \\ \nearrow g \\ Y \end{array} \quad \begin{array}{c} C \\ \nearrow h \\ Y \end{array} & \begin{array}{c} A \\ \nearrow f \\ X \end{array} \quad \begin{array}{c} B \\ \nearrow g \\ Y \end{array} \quad \begin{array}{c} C \\ \nearrow h \\ Y \end{array} & \begin{array}{c} A \\ \nearrow f \\ X \end{array} \quad \begin{array}{c} B \\ \nearrow g \\ Y \end{array} \quad \begin{array}{c} C \\ \nearrow h \\ Y \end{array} \end{array}$$

0028

3. *Unitality.* We have isomorphisms of sets

$$\begin{array}{ccc} A = A & X \sqcup_X A \cong A, & A \xleftarrow{f} X \\ \uparrow \lrcorner & \uparrow f & \parallel \\ X = X & A \sqcup_X X \cong A, & X \xleftarrow{f} X. \end{array}$$

0029

4. *Commutativity.* We have an isomorphism of sets

$$\begin{array}{ccc} A \sqcup_X B \leftarrow B & A \sqcup_X B \cong B \sqcup_X A & B \sqcup_X A \leftarrow A \\ \uparrow \lrcorner & \uparrow g & \uparrow \lrcorner \\ A \xleftarrow{f} X, & & B \xleftarrow{g} X. \end{array}$$

002A

5. *Interaction With Coproducts.* We have

$$\begin{array}{c} A \sqcup B \leftarrow B \\ \uparrow \lrcorner \qquad \uparrow \iota_B \\ A \sqcup_{\emptyset} B \cong A \sqcup B, \\ \uparrow \iota_A \qquad \downarrow \iota_B \\ A \xleftarrow{\iota_A} \emptyset. \end{array}$$

002B

6. *Symmetric Monoidality.* The triple  $(\text{Sets}, \sqcup_X, \emptyset)$  is a symmetric monoidal category.

**PROOF 2.2.3.7 ► PROOF OF PROPOSITION 2.2.3.6****Item 1: Functoriality**

This is a special case of functoriality of co/limits, ?? of ??, with the explicit expression for  $\xi$  following from the commutativity of the cube pushout diagram.

**Item 2: Associativity**

Omitted.

**Item 3: Unitality**

Omitted.

**Item 4: Commutativity**

Clear.

**Item 5: Interaction With Coproducts**

Clear.

**Item 6: Symmetric Monoidality**

Omitted. 

**2.2.4 Coequalisers**

Let  $A$  and  $B$  be sets and let  $f, g: A \rightrightarrows B$  be functions.

**DEFINITION 2.2.4.1 ► COEQUALISERS OF SETS**

002D

The **coequaliser of  $f$  and  $g$**  is the pair  $(\text{CoEq}(f, g), \text{coeq}(f, g))$  consisting of

- *The Colimit.* The set  $\text{CoEq}(f, g)$  defined by

$$\text{CoEq}(f, g) \stackrel{\text{def}}{=} B/\sim,$$

where  $\sim$  is the equivalence relation on  $B$  generated by  $f(a) \sim g(a)$ .

- *The Cocone.* The map

$$\text{coeq}(f, g): B \rightarrow \text{CoEq}(f, g)$$

given by the quotient map  $\pi: B \twoheadrightarrow B/\sim$  with respect to the equivalence relation generated by  $f(a) \sim g(a)$ .

**PROOF 2.2.4.2 ► PROOF OF DEFINITION 2.2.4.1**

We claim that  $\text{CoEq}(f, g)$  is the categorical coequaliser of  $f$  and  $g$  in Sets. First we need to check that the relevant coequaliser diagram commutes, i.e. that we have

$$\text{coeq}(f, g) \circ f = \text{coeq}(f, g) \circ g.$$

Indeed, we have

$$\begin{aligned} [\text{coeq}(f, g) \circ f](a) &\stackrel{\text{def}}{=} [\text{coeq}(f, g)](f(a)) \\ &\stackrel{\text{def}}{=} [f(a)] \\ &= [g(a)] \\ &\stackrel{\text{def}}{=} [\text{coeq}(f, g)](g(a)) \\ &\stackrel{\text{def}}{=} [\text{coeq}(f, g) \circ g](a) \end{aligned}$$

for each  $a \in A$ . Next, we prove that  $\text{CoEq}(f, g)$  satisfies the universal property of the coequaliser. Suppose we have a diagram of the form

$$\begin{array}{ccccc} A & \xrightarrow{\quad f \quad} & B & \xleftarrow{\quad \text{coeq}(f, g) \quad} & \text{CoEq}(f, g) \\ & & \searrow c & & \\ & & C & & \end{array}$$

in Sets. Then, since  $c(f(a)) = c(g(a))$  for each  $a \in A$ , it follows from ?? of ?? that there exists a unique map  $\text{CoEq}(f, g) \xrightarrow{\exists!} C$  making the diagram

$$\begin{array}{ccccc} A & \xrightarrow{\quad f \quad} & B & \xleftarrow{\quad \text{coeq}(f, g) \quad} & \text{CoEq}(f, g) \\ & & \searrow c & & \downarrow \exists! \\ & & C & & \end{array}$$

commutes. ■

**REMARK 2.2.4.3 ► UNWINDING DEFINITION 2.2.4.1**

**002E** In detail, by ??, the relation  $\sim$  of [Definition 2.2.4.1](#) is given by declaring  $a \sim b$  iff one of the following conditions is satisfied:

- We have  $a = b$ ;
- There exist  $x_1, \dots, x_n \in B$  such that  $a \sim' x_1 \sim' \dots \sim' x_n \sim' b$ , where we

declare  $x \sim' y$  if one of the following conditions is satisfied:

1. There exists  $z \in A$  such that  $x = f(z)$  and  $y = g(z)$ .
2. There exists  $z \in A$  such that  $x = g(z)$  and  $y = f(z)$ .

That is: we require the following condition to be satisfied:

- (★) There exist  $x_1, \dots, x_n \in B$  satisfying the following conditions:

1. There exists  $z_0 \in A$  satisfying one of the following conditions:
  - (a) We have  $a = f(z_0)$  and  $x_1 = g(z_0)$ .
  - (b) We have  $a = g(z_0)$  and  $x_1 = f(z_0)$ .
2. For each  $1 \leq i \leq n - 1$ , there exists  $z_i \in A$  satisfying one of the following conditions:
  - (a) We have  $x_i = f(z_i)$  and  $x_{i+1} = g(z_i)$ .
  - (b) We have  $x_i = g(z_i)$  and  $x_{i+1} = f(z_i)$ .
3. There exists  $z_n \in A$  satisfying one of the following conditions:
  - (a) We have  $x_n = f(z_n)$  and  $b = g(z_n)$ .
  - (b) We have  $x_n = g(z_n)$  and  $b = f(z_n)$ .

#### EXAMPLE 2.2.4.4 ► EXAMPLES OF COEQUALISERS OF SETS

002F

Here are some examples of coequalisers of sets.

002G

1. *Quotients by Equivalence Relations.* Let  $R$  be an equivalence relation on a set  $X$ . We have a bijection of sets

$$X/\sim_R \cong \text{CoEq}\left(R \hookrightarrow X \times X \xrightarrow{\begin{smallmatrix} \text{pr}_1 \\ \text{pr}_2 \end{smallmatrix}} X\right).$$

#### PROOF 2.2.4.5 ► PROOF OF EXAMPLE 2.2.4.4

Item 1: Quotients by Equivalence Relations

See [Pro24Z].



#### PROPOSITION 2.2.4.6 ► PROPERTIES OF COEQUALISERS OF SETS

002H

Let  $A, B$ , and  $C$  be sets.

002J

1. *Associativity.* We have an isomorphism of sets<sup>1</sup>

$$\underbrace{\text{CoEq}(\text{coeq}(f,g) \circ f, \text{coeq}(f,g) \circ h)}_{=\text{CoEq}(\text{coeq}(f,g) \circ g, \text{coeq}(f,g) \circ h)} \cong \text{CoEq}(f,g,h) \cong \underbrace{\text{CoEq}(\text{coeq}(g,h) \circ f, \text{coeq}(g,h) \circ g)}_{=\text{CoEq}(\text{coeq}(g,h) \circ f, \text{coeq}(g,h) \circ h)}$$

where  $\text{CoEq}(f,g,h)$  is the colimit of the diagram

$$A \xrightarrow[\substack{g \\ h}]{} B$$

in Sets.

002K

2. *Unitality.* We have an isomorphism of sets

$$\text{CoEq}(f,f) \cong B.$$

002L

3. *Commutativity.* We have an isomorphism of sets

$$\text{CoEq}(f,g) \cong \text{CoEq}(g,f).$$

002M

4. *Interaction With Composition.* Let

$$A \xrightarrow[\substack{g \\ f}]{} B \xrightarrow[\substack{k \\ h}]{} C$$

be functions. We have a surjection

$$\text{CoEq}(h \circ f, k \circ g) \twoheadrightarrow \text{CoEq}(\text{coeq}(h,k) \circ h \circ f, \text{coeq}(h,k) \circ k \circ g)$$

exhibiting  $\text{CoEq}(\text{coeq}(h,k) \circ h \circ f, \text{coeq}(h,k) \circ k \circ g)$  as a quotient of  $\text{CoEq}(h \circ f, k \circ g)$  by the relation generated by declaring  $h(y) \sim k(y)$  for each  $y \in B$ .

---

<sup>1</sup>That is, the following three ways of forming “the” coequaliser of  $(f,g,h)$  agree:

- (a) Take the coequaliser of  $(f,g,h)$ , i.e. the colimit of the diagram

$$A \xrightarrow[\substack{g \\ h}]{} B$$

in Sets.

- (b) First take the coequaliser of  $f$  and  $g$ , forming a diagram

$$A \xrightarrow[\substack{f \\ g}]{} B \xrightarrow{\text{coeq}(f,g)} \text{CoEq}(f,g)$$

and then take the coequaliser of the composition

$$A \xrightarrow[f]{h} B \xrightarrow{\text{coeq}(f,g)} \text{CoEq}(f,g),$$

obtaining a quotient

$$\text{CoEq}(\text{coeq}(f,g) \circ f, \text{coeq}(f,g) \circ h) = \text{CoEq}(\text{coeq}(f,g) \circ g, \text{coeq}(f,g) \circ h)$$

of  $\text{CoEq}(f,g)$

(c) First take the coequaliser of  $g$  and  $h$ , forming a diagram

$$A \xrightarrow[g]{h} B \xrightarrow{\text{coeq}(g,h)} \text{CoEq}(g,h)$$

and then take the coequaliser of the composition

$$A \xrightarrow[f]{g} B \xrightarrow{\text{coeq}(g,h)} \text{CoEq}(g,h),$$

obtaining a quotient

$$\text{CoEq}(\text{coeq}(g,h) \circ f, \text{coeq}(g,h) \circ g) = \text{CoEq}(\text{coeq}(g,h) \circ f, \text{coeq}(g,h) \circ h)$$

of  $\text{CoEq}(g,h)$ .

### PROOF 2.2.4.7 ► PROOF OF PROPOSITION 2.2.4.6

Item 1: Associativity

Omitted.

Item 2: Unitality

Clear.

Item 3: Commutativity

Clear.

Item 4: Interaction With Composition

Omitted. 

## 2.3 Operations With Sets

### 2.3.1 The Empty Set

#### DEFINITION 2.3.1.1 ► THE EMPTY SET

002Q

The **empty set** is the set  $\emptyset$  defined by

$$\emptyset \stackrel{\text{def}}{=} \{x \in X \mid x \neq x\},$$

where  $A$  is the set in the set existence axiom, ?? of ??.

### 2.3.2 Singleton Sets

Let  $X$  be a set.

#### DEFINITION 2.3.2.1 ► SINGLETON SETS

002S The **singleton set containing  $X$**  is the set  $\{X\}$  defined by

$$\{X\} \stackrel{\text{def}}{=} \{X, X\},$$

where  $\{X, X\}$  is the pairing of  $X$  with itself (Definition 2.3.3.1).

### 2.3.3 Pairings of Sets

Let  $X$  and  $Y$  be sets.

#### DEFINITION 2.3.3.1 ► PAIRINGS OF SETS

002U The **pairing of  $X$  and  $Y$**  is the set  $\{X, Y\}$  defined by

$$\{X, Y\} \stackrel{\text{def}}{=} \{x \in A \mid x = X \text{ or } x = Y\},$$

where  $A$  is the set in the axiom of pairing, ?? of ??.

### 2.3.4 Ordered Pairs

Let  $A$  and  $B$  be sets.

#### DEFINITION 2.3.4.1 ► ORDERED PAIRS

002W The **ordered pair associated to  $A$  and  $B$**  is the set  $(A, B)$  defined by

$$(A, B) \stackrel{\text{def}}{=} \{\{A\}, \{A, B\}\}.$$

#### PROPOSITION 2.3.4.2 ► PROPERTIES OF ORDERED PAIRS

002X Let  $A$  and  $B$  be sets.

- 002Y 1. *Uniqueness.* Let  $A, B, C$ , and  $D$  be sets. The following conditions are equivalent:

- (a) We have  $(A, B) = (C, D)$ .  
 (b) We have  $A = C$  and  $B = D$ .

**PROOF 2.3.4.3 ► PROOF OF PROPOSITION 2.3.4.2**

Item 1: Uniqueness

See [Cie97, Theorem 1.2.3].

**2.3.5 Unions of Families**Let  $\{A_i\}_{i \in I}$  be a family of sets.**DEFINITION 2.3.5.1 ► UNIONS OF FAMILIES**0030 The **union of the family**  $\{A_i\}_{i \in I}$  is the set  $\bigcup_{i \in I} A_i$  defined by

$$\bigcup_{i \in I} A_i \stackrel{\text{def}}{=} \{x \in F \mid \text{there exists some } i \in I \text{ such that } x \in A_i\},$$

where  $F$  is the set in the axiom of union, ?? of ??.**2.3.6 Binary Unions**Let  $A$  and  $B$  be sets.**DEFINITION 2.3.6.1 ► BINARY UNIONS**0032 The **union<sup>1</sup> of  $A$  and  $B$**  is the set  $A \cup B$  defined by

$$A \cup B \stackrel{\text{def}}{=} \bigcup_{z \in \{A, B\}} z.$$

<sup>1</sup>*Further Terminology:* Also called the **binary union of  $A$  and  $B$** , for emphasis.**PROPOSITION 2.3.6.2 ► PROPERTIES OF BINARY UNIONS**0033 Let  $X$  be a set.0034 1. *Functionality.* The assignments  $U, V, (U, V) \mapsto U \cup V$  define functors

$$\begin{aligned} U \cup -: (\mathcal{P}(X), \subset) &\rightarrow (\mathcal{P}(X), \subset), \\ - \cup V: (\mathcal{P}(X), \subset) &\rightarrow (\mathcal{P}(X), \subset), \\ -_1 \cup -_2: (\mathcal{P}(X) \times \mathcal{P}(X), \subset \times \subset) &\rightarrow (\mathcal{P}(X), \subset), \end{aligned}$$

where  $-_1 \cup -_2$  is the functor where

- *Action on Objects.* For each  $(U, V) \in \mathcal{P}(X) \times \mathcal{P}(X)$ , we have

$$[-_1 \cup -_2](U, V) \stackrel{\text{def}}{=} U \cup V;$$

- *Action on Morphisms.* For each pair of morphisms

$$\begin{aligned} \iota_U: U &\hookrightarrow U', \\ \iota_V: V &\hookrightarrow V' \end{aligned}$$

of  $\mathcal{P}(X) \times \mathcal{P}(X)$ , the image

$$\iota_U \cup \iota_V: U \cup V \hookrightarrow U' \cup V'$$

of  $(\iota_U, \iota_V)$  by  $\cup$  is the inclusion

$$U \cup V \subset U' \cup V'$$

i.e. where we have

- (★) If  $U \subset U'$  and  $V \subset V'$ , then  $U \cup V \subset U' \cup V'$ ;

and where  $U \cup -$  and  $- \cup V$  are the partial functors of  $-_1 \cup -_2$  at  $U, V \in \mathcal{P}(X)$ .

**0035** 2. *Via Intersections and Symmetric Differences.* We have an equality of sets

$$U \cup V = (U \Delta V) \Delta (U \cap V)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

**0036** 3. *Associativity.* We have an equality of sets

$$(U \cup V) \cup W = U \cup (V \cup W)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

**0037** 4. *Unitality.* We have equalities of sets

$$\begin{aligned} U \cup \emptyset &= U, \\ \emptyset \cup U &= U \end{aligned}$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

0038

5. *Commutativity.* We have an equality of sets

$$U \cup V = V \cup U$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

0039

6. *Idempotency.* We have an equality of sets

$$U \cup U = U$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

003A

7. *Distributivity Over Intersections.* We have equalities of sets

$$U \cup (V \cap W) = (U \cup V) \cap (U \cup W),$$

$$(U \cap V) \cup W = (U \cup W) \cap (V \cup W)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

003B

8. *Interaction With Characteristic Functions I.* We have

$$\chi_{U \cup V} = \max(\chi_U, \chi_V)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

003C

9. *Interaction With Characteristic Functions II.* We have

$$\chi_{U \cup V} = \chi_U + \chi_V - \chi_{U \cap V}$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

003D

10. *Interaction With Powersets and Semirings.* The quintuple  $(\mathcal{P}(X), \cup, \cap, \emptyset, X)$  is an idempotent commutative semiring.

#### PROOF 2.3.6.3 ► PROOF OF PROPOSITION 2.3.6.2

Item 1: Functoriality

See [Pro24an].

Item 2: Via Intersections and Symmetric Differences

See [Pro24ay].

Item 3: Associativity

See [Pro24ba].

## Item 4: Unitality

This follows from [Pro24bd] and Item 5.

## Item 5: Commutativity

See [Pro24bb].

## Item 6: Idempotency

See [Pro24am].

## Item 7: Distributivity Over Intersections

See [Pro24az].

## Item 8: Interaction With Characteristic Functions I

See [Pro24k].

## Item 9: Interaction With Characteristic Functions II

See [Pro24k].

## Item 10: Interaction With Powersets and Semirings

This follows from Items 3 to 6 and Items 3 to 5, 7 and 8 of Proposition 2.3.8.2. 

### 2.3.7 Intersections of Families

Let  $\mathcal{F}$  be a family of sets.

**DEFINITION 2.3.7.1 ► INTERSECTIONS OF FAMILIES**

003F

The **intersection of a family  $\mathcal{F}$  of sets** is the set  $\bigcap_{X \in \mathcal{F}} X$  defined by

$$\bigcap_{X \in \mathcal{F}} X \stackrel{\text{def}}{=} \left\{ z \in \bigcup_{X \in \mathcal{F}} X \mid \text{for each } X \in \mathcal{F}, \text{ we have } z \in X \right\}.$$

### 2.3.8 Binary Intersections

Let  $X$  and  $Y$  be sets.

**DEFINITION 2.3.8.1 ► BINARY INTERSECTIONS**

003H

The **intersection<sup>1</sup> of  $X$  and  $Y$**  is the set  $X \cap Y$  defined by

$$X \cap Y \stackrel{\text{def}}{=} \bigcap_{z \in \{X, Y\}} z.$$

<sup>1</sup>Further Terminology: Also called the **binary intersection of  $X$  and  $Y$** , for emphasis.

**PROPOSITION 2.3.8.2 ► PROPERTIES OF BINARY INTERSECTIONS**

**003J** Let  $X$  be a set.

**003K** 1. *Functoriality.* The assignments  $U, V, (U, V) \mapsto U \cap V$  define functors

$$\begin{aligned} U \cap -: (\mathcal{P}(X), \subset) &\rightarrow (\mathcal{P}(X), \subset), \\ - \cap V: (\mathcal{P}(X), \subset) &\rightarrow (\mathcal{P}(X), \subset), \\ -_1 \cap -_2: (\mathcal{P}(X) \times \mathcal{P}(X), \subset \times \subset) &\rightarrow (\mathcal{P}(X), \subset), \end{aligned}$$

where  $-_1 \cap -_2$  is the functor where

- *Action on Objects.* For each  $(U, V) \in \mathcal{P}(X) \times \mathcal{P}(X)$ , we have

$$[-_1 \cap -_2](U, V) \stackrel{\text{def}}{=} U \cap V;$$

- *Action on Morphisms.* For each pair of morphisms

$$\begin{aligned} \iota_U: U &\hookrightarrow U', \\ \iota_V: V &\hookrightarrow V' \end{aligned}$$

of  $\mathcal{P}(X) \times \mathcal{P}(X)$ , the image

$$\iota_U \cap \iota_V: U \cap V \hookrightarrow U' \cap V'$$

of  $(\iota_U, \iota_V)$  by  $\cap$  is the inclusion

$$U \cap V \subset U' \cap V'$$

i.e. where we have

- (★) If  $U \subset U'$  and  $V \subset V'$ , then  $U \cap V \subset U' \cap V'$ ;

and where  $U \cap -$  and  $- \cap V$  are the partial functors of  $-_1 \cap -_2$  at  $U, V \in \mathcal{P}(X)$ .

**003L** 2. *Adjointness.* We have adjunctions

$$\begin{aligned} (U \cap - \dashv \mathbf{Hom}_{\mathcal{P}(X)}(U, -)): \quad \mathcal{P}(X) &\xrightleftharpoons[\mathbf{Hom}_{\mathcal{P}(X)}(U, -)]{U \cap -} \mathcal{P}(X), \\ (- \cap V \dashv \mathbf{Hom}_{\mathcal{P}(X)}(V, -)): \quad \mathcal{P}(X) &\xrightleftharpoons[\mathbf{Hom}_{\mathcal{P}(X)}(V, -)]{- \cap V} \mathcal{P}(X), \end{aligned}$$

where

$$\mathbf{Hom}_{\mathcal{P}(X)}(-_1, -_2) : \mathcal{P}(X)^{\text{op}} \times \mathcal{P}(X) \rightarrow \mathcal{P}(X)$$

is the bifunctor defined by<sup>1</sup>

$$\mathbf{Hom}_{\mathcal{P}(X)}(U, V) \stackrel{\text{def}}{=} (X \setminus U) \cup V$$

witnessed by bijections

$$\begin{aligned} \mathbf{Hom}_{\mathcal{P}(X)}(U \cap V, W) &\cong \mathbf{Hom}_{\mathcal{P}(X)}(U, \mathbf{Hom}_{\mathcal{P}(X)}(V, W)), \\ \mathbf{Hom}_{\mathcal{P}(X)}(U \cap V, W) &\cong \mathbf{Hom}_{\mathcal{P}(X)}(V, \mathbf{Hom}_{\mathcal{P}(X)}(U, W)), \end{aligned}$$

natural in  $U, V, W \in \mathcal{P}(X)$ , i.e. where:

(a) The following conditions are equivalent:

- i. We have  $U \cap V \subset W$ .
- ii. We have  $U \subset \mathbf{Hom}_{\mathcal{P}(X)}(V, W)$ .
- iii. We have  $U \subset (X \setminus V) \cup W$ .

(b) The following conditions are equivalent:

- i. We have  $V \cap U \subset W$ .
- ii. We have  $V \subset \mathbf{Hom}_{\mathcal{P}(X)}(U, W)$ .
- iii. We have  $V \subset (X \setminus U) \cup W$ .

003M

3. *Associativity.* We have an equality of sets

$$(U \cap V) \cap W = U \cap (V \cap W)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

003N

4. *Unitality.* Let  $X$  be a set and let  $U \in \mathcal{P}(X)$ . We have equalities of sets

$$\begin{aligned} X \cap U &= U, \\ U \cap X &= U \end{aligned}$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

003P

5. *Commutativity.* We have an equality of sets

$$U \cap V = V \cap U$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

003Q

6. *Idempotency.* We have an equality of sets

$$U \cap U = U$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

003R

7. *Distributivity Over Unions.* We have equalities of sets

$$\begin{aligned} U \cap (V \cup W) &= (U \cap V) \cup (U \cap W), \\ (U \cup V) \cap W &= (U \cap W) \cup (V \cap W) \end{aligned}$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

003S

8. *Annihilation With the Empty Set.* We have an equality of sets

$$\begin{aligned} \emptyset \cap X &= \emptyset, \\ X \cap \emptyset &= \emptyset \end{aligned}$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

003T

9. *Interaction With Characteristic Functions I.* We have

$$\chi_{U \cap V} = \chi_U \chi_V$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

003U

10. *Interaction With Characteristic Functions II.* We have

$$\chi_{U \cap V} = \min(\chi_U, \chi_V)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

003V

11. *Interaction With Powersets and Monoids With Zero.* The quadruple  $((\mathcal{P}(X), \emptyset), \cap, X)$  is a commutative monoid with zero.

003W

12. *Interaction With Powersets and Semirings.* The quintuple  $(\mathcal{P}(X), \cup, \cap, \emptyset, X)$  is an idempotent commutative semiring.

---

<sup>1</sup>*Intuition:* Since intersections are the products in  $\mathcal{P}(X)$ , the left adjoint  $\mathbf{Hom}_{\mathcal{P}(X)}(U, V)$  works as a function type  $U \rightarrow V$ .

Now, under the Curry–Howard correspondence, the function type  $U \rightarrow V$  corresponds to implication  $U \implies V$ , which is logically equivalent to the statement  $\neg U \vee V$ , which in turn corresponds to the set  $U^c \vee V \stackrel{\text{def}}{=} (X \setminus U) \cup V$ .

**PROOF 2.3.8.3 ► PROOF OF PROPOSITION 2.3.8.2****Item 1: Functoriality**See [[Pro24al](#)].**Item 2: Adjointness**See [[MSE 267469](#)].**Item 3: Associativity**See [[Pro24t](#)].**Item 4: Unitality**This follows from [[Pro24x](#)] and **Item 5**.**Item 5: Commutativity**See [[Pro24u](#)].**Item 6: Idempotency**See [[Pro24ak](#)].**Item 7: Distributivity Over Unions**See [[Pro24aj](#)].**Item 8: Annihilation With the Empty Set**This follows from [[Pro24v](#)] and **Item 5**.**Item 9: Interaction With Characteristic Functions I**See [[Pro24h](#)].**Item 10: Interaction With Characteristic Functions II**See [[Pro24h](#)].**Item 11: Interaction With Powersets and Monoids With Zero**This follows from **Items 3 to 5** and **8**.**Item 12: Interaction With Powersets and Semirings**This follows from **Items 3 to 6** and **Items 3 to 5, 7 and 8** of [Proposition 2.3.8.2](#). **2.3.9 Differences**Let  $X$  and  $Y$  be sets.**DEFINITION 2.3.9.1 ► DIFFERENCES****003Y**The **difference of  $X$  and  $Y$**  is the set  $X \setminus Y$  defined by

$$X \setminus Y \stackrel{\text{def}}{=} \{a \in X \mid a \notin Y\}.$$

**PROPOSITION 2.3.9.2 ► PROPERTIES OF DIFFERENCES**

**003Z** Let  $X$  be a set.

**0040** 1. *Functoriality.* The assignments  $U, V, (U, V) \mapsto U \cap V$  define functors

$$\begin{aligned} U \setminus - &: (\mathcal{P}(X), \supset) \rightarrow (\mathcal{P}(X), \subset), \\ - \setminus V &: (\mathcal{P}(X), \subset) \rightarrow (\mathcal{P}(X), \subset), \\ -_1 \setminus -_2 &: (\mathcal{P}(X) \times \mathcal{P}(X), \subset \times \supset) \rightarrow (\mathcal{P}(X), \subset), \end{aligned}$$

where  $-_1 \setminus -_2$  is the functor where

- *Action on Objects.* For each  $(U, V) \in \mathcal{P}(X) \times \mathcal{P}(X)$ , we have

$$[-_1 \setminus -_2](U, V) \stackrel{\text{def}}{=} U \setminus V;$$

- *Action on Morphisms.* For each pair of morphisms

$$\begin{aligned} \iota_A &: A \hookrightarrow B, \\ \iota_U &: U \hookrightarrow V \end{aligned}$$

of  $\mathcal{P}(X) \times \mathcal{P}(X)$ , the image

$$\iota_U \setminus \iota_V : A \setminus V \hookrightarrow B \setminus U$$

of  $(\iota_U, \iota_V)$  by  $\setminus$  is the inclusion

$$A \setminus V \subset B \setminus U$$

i.e. where we have

(★) If  $A \subset B$  and  $U \subset V$ , then  $A \setminus V \subset B \setminus U$ ;

and where  $U \setminus -$  and  $- \setminus V$  are the partial functors of  $-_1 \setminus -_2$  at  $U, V \in \mathcal{P}(X)$ .

**0041** 2. *De Morgan's Laws.* We have equalities of sets

$$\begin{aligned} X \setminus (U \cup V) &= (X \setminus U) \cap (X \setminus V), \\ X \setminus (U \cap V) &= (X \setminus U) \cup (X \setminus V) \end{aligned}$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

**0042** 3. *Interaction With Unions I.* We have equalities of sets

$$U \setminus (V \cup W) = (U \setminus V) \cap (U \setminus W)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

0043

4. *Interaction With Unions II.* We have equalities of sets

$$(U \setminus V) \cup W = (U \cup W) \setminus (V \setminus W)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

0044

5. *Interaction With Unions III.* We have equalities of sets

$$\begin{aligned} U \setminus (V \cup W) &= (U \cup W) \setminus (V \cup W) \\ &= (U \setminus V) \setminus W \\ &= (U \setminus W) \setminus V \end{aligned}$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

0045

6. *Interaction With Unions IV.* We have equalities of sets

$$(U \cup V) \setminus W = (U \setminus W) \cup (V \setminus W)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

0046

7. *Interaction With Intersections.* We have equalities of sets

$$\begin{aligned} (U \setminus V) \cap W &= (U \cap W) \setminus V \\ &= U \cap (W \setminus V) \end{aligned}$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

0047

8. *Interaction With Complements.* We have an equality of sets

$$U \setminus V = U \cap V^c$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

0048

9. *Interaction With Symmetric Differences.* We have an equality of sets

$$U \setminus V = U \Delta (U \cap V)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

0049

10. *Triple Differences.* We have

$$U \setminus (V \setminus W) = (U \cap W) \cup (U \setminus V)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

004A

11. *Left Annihilation.* We have

$$\emptyset \setminus U = \emptyset$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

004B

12. *Right Unitality.* We have

$$U \setminus \emptyset = U$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

004C

13. *Invertibility.* We have

$$U \setminus U = \emptyset$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

004D

14. *Interaction With Containment.* The following conditions are equivalent:

- (a) We have  $V \setminus U \subset W$ .
- (b) We have  $V \setminus W \subset U$ .

004E

15. *Interaction With Characteristic Functions.* We have

$$\chi_{U \setminus V} = \chi_U - \chi_{U \cap V}$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

#### PROOF 2.3.9.3 ► PROOF OF PROPOSITION 2.3.9.2

Item 1: Functoriality

See [Pro24ad] and [Pro24ah].

Item 2: De Morgan's Laws

See [Pro24p].

Item 3: Interaction With Unions I

See [Pro24q].

Item 4: Interaction With Unions II

Omitted.

Item 5: Interaction With Unions III

See [Pro24ai].

Item 6: Interaction With Unions IV

See [Pro24ac].

Item 7: Interaction With Intersections

See [Pro24w].

Item 8: Interaction With Complements

See [Pro24aa].

Item 9: Interaction With Symmetric Differences

See [Pro24ab].

Item 10: Triple Differences

See [Pro24ag].

Item 11: Left Annihilation

Clear.

Item 12: Right Unitality

See [Pro24ae].

Item 13: Invertibility

See [Pro24af].

Item 14: Interaction With Containment

Omitted.

Item 15: Interaction With Characteristic Functions

See [Pro24i].



### 2.3.10 Complements

Let  $X$  be a set and let  $U \in \mathcal{P}(X)$ .

#### DEFINITION 2.3.10.1 ► COMPLEMENTS

004G

The **complement** of  $U$  is the set  $U^c$  defined by

$$\begin{aligned} U^c &\stackrel{\text{def}}{=} X \setminus U \\ &\stackrel{\text{def}}{=} \{a \in X \mid a \notin U\}. \end{aligned}$$

**PROPOSITION 2.3.10.2 ► PROPERTIES OF COMPLEMENTS**

**004H** Let  $X$  be a set.

**004J** 1. *Functoriality.* The assignment  $U \mapsto U^c$  defines a functor

$$(-)^c: \mathcal{P}(X)^{\text{op}} \rightarrow \mathcal{P}(X),$$

where

- *Action on Objects.* For each  $U \in \mathcal{P}(X)$ , we have

$$[(-)^c](U) \stackrel{\text{def}}{=} U^c;$$

- *Action on Morphisms.* For each morphism  $\iota_U: U \hookrightarrow V$  of  $\mathcal{P}(X)$ , the image

$$\iota_U^c: V^c \hookrightarrow U^c$$

of  $\iota_U$  by  $(-)^c$  is the inclusion

$$V^c \subset U^c$$

i.e. where we have

$$(\star) \quad \text{If } U \subset V, \text{ then } V^c \subset U^c.$$

**004K** 2. *De Morgan's Laws.* We have equalities of sets

$$(U \cup V)^c = U^c \cap V^c,$$

$$(U \cap V)^c = U^c \cup V^c$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

**004L** 3. *Involutority.* We have

$$(U^c)^c = U$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

**004M** 4. *Interaction With Characteristic Functions.* We have

$$\chi_{U^c} = 1 - \chi_U$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

**PROOF 2.3.10.3 ► PROOF OF PROPOSITION 2.3.10.2****Item 1: Functoriality**This follows from [Item 1 of Proposition 2.3.9.2](#).**Item 2: De Morgan's Laws**See [[Pro24p](#)].**Item 3: Involutory**See [[Pro24l](#)].**Item 4: Interaction With Characteristic Functions**

Clear.

**2.3.11 Symmetric Differences**Let  $A$  and  $B$  be sets.**DEFINITION 2.3.11.1 ► SYMMETRIC DIFFERENCES****004P** The **symmetric difference of  $A$  and  $B$**  is the set  $A \Delta B$  defined by

$$A \Delta B \stackrel{\text{def}}{=} (A \setminus B) \cup (B \setminus A).$$

**PROPOSITION 2.3.11.2 ► PROPERTIES OF SYMMETRIC DIFFERENCES****004Q** Let  $X$  be a set.**004R** 1. *Lack of Functoriality.* The assignment  $(U, V) \mapsto U \Delta V$  **need not** define functors

$$\begin{aligned} U \Delta -_2 &: (\mathcal{P}(X), \subset) \rightarrow (\mathcal{P}(X), \subset), \\ -_1 \Delta V &: (\mathcal{P}(X), \subset) \rightarrow (\mathcal{P}(X), \subset), \\ -_1 \Delta -_2 &: (\mathcal{P}(X) \times \mathcal{P}(X), \subset \times \subset) \rightarrow (\mathcal{P}(X), \subset). \end{aligned}$$

**004S** 2. *Via Unions and Intersections.* We have<sup>1</sup>

$$U \Delta V = (U \cup V) \setminus (U \cap V)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .**004T** 3. *Associativity.* We have<sup>2</sup>

$$(U \Delta V) \Delta W = U \Delta (V \Delta W)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

004U

4. *Commutativity.* We have

$$U \Delta V = V \Delta U$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

004V

5. *Unitality.* We have

$$U \Delta \emptyset = U,$$

$$\emptyset \Delta U = U$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

004W

6. *Invertibility.* We have

$$U \Delta U = \emptyset$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

004X

7. *Interaction With Unions.* We have

$$(U \Delta V) \cup (V \Delta W) = (U \cup V \cup W) \setminus (U \cap V \cap W)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

004Y

8. *Interaction With Complements I.* We have

$$U \Delta U^c = X$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

004Z

9. *Interaction With Complements II.* We have

$$U \Delta X = U^c,$$

$$X \Delta U = U^c$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

0050

10. *Interaction With Complements III.* We have

$$U^c \Delta V^c = U \Delta V$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

0051

11. “*Transitivity*”. We have

$$(U \Delta V) \Delta (V \Delta W) = U \Delta W$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

0052

12. *The Triangle Inequality for Symmetric Differences.* We have

$$U \Delta W \subset U \Delta V \cup V \Delta W$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

0053

13. *Distributivity Over Intersections.* We have

$$\begin{aligned} U \cap (V \Delta W) &= (U \cap V) \Delta (U \cap W), \\ (U \Delta V) \cap W &= (U \cap W) \Delta (V \cap W) \end{aligned}$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V, W \in \mathcal{P}(X)$ .

0054

14. *Interaction With Characteristic Functions.* We have

$$\chi_{U \Delta V} = \chi_U + \chi_V - 2\chi_{U \cap V}$$

and thus, in particular, we have

$$\chi_{U \Delta V} \equiv \chi_U + \chi_V \pmod{2}$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

0055

15. *Bijection.* Given  $A, B \subset \mathcal{P}(X)$ , the maps

$$\begin{aligned} A \Delta -: \mathcal{P}(X) &\rightarrow \mathcal{P}(X), \\ - \Delta B: \mathcal{P}(X) &\rightarrow \mathcal{P}(X) \end{aligned}$$

are bijections with inverses given by

$$\begin{aligned} (A \Delta -)^{-1} &= - \cup (A \cap -), \\ (- \Delta B)^{-1} &= - \cup (B \cap -). \end{aligned}$$

Moreover, the map

$$C \mapsto C \Delta (A \Delta B)$$

is a bijection of  $\mathcal{P}(X)$  onto itself sending  $A$  to  $B$  and  $B$  to  $A$ .

0056

16. *Interaction With Powersets and Groups.* Let  $X$  be a set.

0057

(a) The quadruple  $(\mathcal{P}(X), \Delta, \emptyset, \text{id}_{\mathcal{P}(X)})$  is an abelian group.<sup>3</sup>

0058

(b) Every element of  $\mathcal{P}(X)$  has order 2 with respect to  $\Delta$ , and thus  $\mathcal{P}(X)$  is a *Boolean group* (i.e. an abelian 2-group).

0059

17. *Interaction With Powersets and Vector Spaces I.* The pair  $(\mathcal{P}(X), \alpha_{\mathcal{P}(X)})$  consisting of

- The group  $\mathcal{P}(X)$  of ??;
- The map  $\alpha_{\mathcal{P}(X)} : \mathbb{F}_2 \times \mathcal{P}(X) \rightarrow \mathcal{P}(X)$  defined by

$$\begin{aligned} 0 \cdot U &\stackrel{\text{def}}{=} \emptyset, \\ 1 \cdot U &\stackrel{\text{def}}{=} U; \end{aligned}$$

is an  $\mathbb{F}_2$ -vector space.

005A

18. *Interaction With Powersets and Vector Spaces II.* If  $X$  is finite, then:

- (a) The set of singleton sets on the elements of  $X$  forms a basis for the  $\mathbb{F}_2$ -vector space  $(\mathcal{P}(X), \alpha_{\mathcal{P}(X)})$  of Item 17.

(b) We have

$$\dim(\mathcal{P}(X)) = \#\mathcal{P}(X).$$

005B

19. *Interaction With Powersets and Rings.* The quintuple  $(\mathcal{P}(X), \Delta, \cap, \emptyset, X)$  is a commutative ring.<sup>4</sup>

<sup>1</sup>Illustration:

$$U \Delta V = U \cup V \setminus (U \cap V).$$

<sup>2</sup>Illustration:

$$(U \Delta V) \Delta W = U \Delta (V \Delta W) = U \Delta V \Delta W = V \Delta (U \Delta W).$$

<sup>3</sup>Here are some examples:

- i. When  $X = \emptyset$ , we have an isomorphism of groups between  $\mathcal{P}(\emptyset)$  and the trivial group:

$$(\mathcal{P}(\emptyset), \Delta, \emptyset, \text{id}_{\mathcal{P}(\emptyset)}) \cong \text{pt}.$$

- ii. When  $X = \text{pt}$ , we have an isomorphism of groups between  $\mathcal{P}(\text{pt})$  and  $\mathbb{Z}/2$ :

$$(\mathcal{P}(\text{pt}), \Delta, \emptyset, \text{id}_{\mathcal{P}(\text{pt})}) \cong \mathbb{Z}/2.$$

- iii. When  $X = \{0, 1\}$ , we have an isomorphism of groups between  $\mathcal{P}(\{0, 1\})$  and  $\mathbb{Z}/2 \times \mathbb{Z}/2$ :

$$(\mathcal{P}(\{0, 1\}), \Delta, \emptyset, \text{id}_{\mathcal{P}(\{0, 1\})}) \cong \mathbb{Z}/2 \times \mathbb{Z}/2.$$

<sup>4</sup> **Warning:** The analogous statement replacing intersections by unions (i.e. that the quintuple  $(\mathcal{P}(X), \Delta, \cup, \emptyset, X)$  is a ring) is false, however. See [Pro24aw] for a proof.  
END TEXTDBEND

**PROOF 2.3.11.3 ► PROOF OF PROPOSITION 2.3.11.2****Item 1: Lack of Functoriality**

Omitted.

**Item 2: Via Unions and Intersections**

See [Pro24r].

**Item 3: Associativity**

See [Pro24ao].

**Item 4: Commutativity**

See [Pro24ap].

**Item 5: Unitality**

This follows from Item 4 and [Pro24at].

**Item 6: Invertibility**

See [Pro24av].

**Item 7: Interaction With Unions**

See [Pro24bc].

**Item 8: Interaction With Complements I**

See [Pro24as].

**Item 9: Interaction With Complements II**

This follows from Item 4 and [Pro24ax].

**Item 10: Interaction With Complements III**

See [Pro24aq].

**Item 11: “Transitivity”**

We have

$$\begin{aligned}
 (U \Delta V) \Delta (V \Delta W) &= U \Delta (V \Delta (V \Delta W)) && \text{(by Item 3)} \\
 &= U \Delta ((V \Delta V) \Delta W) && \text{(by Item 3)} \\
 &= U \Delta (\emptyset \Delta W) && \text{(by Item 6)} \\
 &= U \Delta W && \text{(by Item 5)}
 \end{aligned}$$

**Item 12: The Triangle Inequality for Symmetric Differences**

This follows from Items 2 and 11.

**Item 13: Distributivity Over Intersections**

See [Pro24s].

Item 14: Interaction With Characteristic Functions

See [Pro24j].

Item 15: Bijectivity

Clear.

Item 16: Interaction With Powersets and Groups

Item 16a follows from<sup>1</sup> Items 3 to 6, while Item 16b follows from Item 6.

Item 17: Interaction With Powersets and Vector Spaces I

Clear.

Item 18: Interaction With Powersets and Vector Spaces II

Omitted.

Item 19: Interaction With Powersets and Rings

This follows from Items 8 and 11 of Proposition 2.3.8.2 and Items 13 and 16.<sup>2</sup>

<sup>1</sup>Reference: [Pro24ar].

<sup>2</sup>Reference: [Pro24au].

## 2.4 Powersets

### 2.4.1 Characteristic Functions

Let  $X$  be a set.

#### DEFINITION 2.4.1.1 ► CHARACTERISTIC FUNCTIONS

005E Let  $U \subset X$  and let  $x \in X$ .

005F 1. The **characteristic function** of  $U$ <sup>1</sup> is the function<sup>2</sup>

$$\chi_U: X \rightarrow \{t, f\}$$

defined by

$$\chi_U(x) \stackrel{\text{def}}{=} \begin{cases} \text{true} & \text{if } x \in U, \\ \text{false} & \text{if } x \notin U \end{cases}$$

for each  $x \in X$ .

005G 2. The **characteristic function** of  $x$  is the function<sup>3</sup>

$$\chi_x: X \rightarrow \{t, f\}$$

defined by

$$\chi_x \stackrel{\text{def}}{=} \chi_{\{x\}},$$

i.e. by

$$\chi_x(y) \stackrel{\text{def}}{=} \begin{cases} \text{true} & \text{if } x = y, \\ \text{false} & \text{if } x \neq y \end{cases}$$

for each  $y \in X$ .

005H

3. The **characteristic relation** on  $X$ <sup>4</sup> is the relation<sup>5</sup>

$$\chi_X(-_1, -_2) : X \times X \rightarrow \{\text{t, f}\}$$

on  $X$  defined by<sup>6</sup>

$$\chi_X(x, y) \stackrel{\text{def}}{=} \begin{cases} \text{true} & \text{if } x = y, \\ \text{false} & \text{if } x \neq y \end{cases}$$

for each  $x, y \in X$ .

005J

4. The **characteristic embedding**<sup>7</sup> of  $X$  into  $\mathcal{P}(X)$  is the function

$$\chi(-) : X \hookrightarrow \mathcal{P}(X)$$

defined by

$$\chi(-)(x) \stackrel{\text{def}}{=} \chi_x$$

for each  $x \in X$ .

<sup>1</sup>Further Terminology: Also called the **indicator function** of  $U$ .

<sup>2</sup>Further Notation: Also written  $\chi_X(U, -)$  or  $\chi_X(-, U)$ .

<sup>3</sup>Further Notation: Also written  $\chi_x$ ,  $\chi_X(x, -)$ , or  $\chi_X(-, x)$ .

<sup>4</sup>Further Terminology: Also called the **identity relation** on  $X$ .

<sup>5</sup>Further Notation: Also written  $\chi_{-2}^{-1}$ , or  $\sim_{\text{id}}$  in the context of relations.

<sup>6</sup>As a subset of  $X \times X$ , the relation  $\chi_X$  corresponds to the diagonal  $\Delta_X \subset X \times X$  of  $X$ .

<sup>7</sup>The name “characteristic *embedding*” comes from the fact that there is an analogue of fully faithfulness for  $\chi(-)$ : given a set  $X$ , we have

$$\text{Hom}_{\mathcal{P}(X)}(\chi_x, \chi_y) = \chi_X(x, y),$$

for each  $x, y \in X$ .

#### REMARK 2.4.1.2 ► CHARACTERISTIC FUNCTIONS AS DECATEGORIFICATIONS OF PRESHEAVES

005K

The definitions in [Definition 2.4.1.1](#) are decategorifications of co/presheaves, representable co/presheaves, Hom profunctors, and the Yoneda embedding.<sup>1</sup>

1. A function

$$f : X \rightarrow \{\text{t, f}\}$$

is a decategorification of a presheaf

$$\mathcal{F}: \mathcal{C}^{\text{op}} \rightarrow \text{Sets},$$

with the characteristic functions  $\chi_U$  of the subsets of  $X$  being the primordial examples (and, in fact, all examples) of these.

2. The characteristic function

$$\chi_x: X \rightarrow \{\text{t, f}\}$$

of an *element*  $x$  of  $X$  is a decategorification of the representable presheaf

$$h_X: \mathcal{C}^{\text{op}} \rightarrow \text{Sets}$$

of an *object*  $x$  of a category  $\mathcal{C}$ .

3. The characteristic relation

$$\chi_{X(-_1, -_2)}: X \times X \rightarrow \{\text{t, f}\}$$

of  $X$  is a decategorification of the Hom profunctor

$$\text{Hom}_{\mathcal{C}}(-_1, -_2): \mathcal{C}^{\text{op}} \times \mathcal{C} \rightarrow \text{Sets}$$

of a category  $\mathcal{C}$ .

4. The characteristic embedding

$$\chi_{(-)}: X \hookrightarrow \mathcal{P}(X)$$

of  $X$  into  $\mathcal{P}(X)$  is a decategorification of the Yoneda embedding

$$\mathfrak{J}: \mathcal{C}^{\text{op}} \hookrightarrow \text{PSh}(\mathcal{C})$$

of a category  $\mathcal{C}$  into  $\text{PSh}(\mathcal{C})$ .

5. There is also a direct parallel between unions and colimits:

- An element of  $\mathcal{P}(X)$  is a union of elements of  $X$ , viewed as one-point subsets  $\{x\} \in \mathcal{P}(A)$ ;
- An object of  $\text{PSh}(\mathcal{C})$  is a colimit of objects of  $\mathcal{C}$ , viewed as representable presheaves  $h_X \in \text{Obj}(\text{PSh}(\mathcal{C}))$ .

<sup>1</sup>These statements can be made precise by using the embeddings

$$\begin{aligned} (-)_{\text{disc}} : \text{Sets} &\hookrightarrow \text{Cats}, \\ (-)_{\text{disc}} : \{\text{t, f}\}_{\text{disc}} &\hookrightarrow \text{Sets} \end{aligned}$$

of sets into categories and of classical truth values into sets.  
For instance, in this approach the characteristic function

$$\chi_x : X \rightarrow \{\text{t, f}\}$$

of an element  $x$  of  $X$ , defined by

$$\chi_x(y) \stackrel{\text{def}}{=} \begin{cases} \text{true} & \text{if } x = y, \\ \text{false} & \text{if } x \neq y \end{cases}$$

for each  $y \in X$ , is recovered as the representable presheaf

$$\text{Hom}_{X_{\text{disc}}}(-, x) : X_{\text{disc}} \rightarrow \text{Sets}$$

of the corresponding object  $x$  of  $X_{\text{disc}}$ , defined on objects by

$$\text{Hom}_{X_{\text{disc}}}(y, x) \stackrel{\text{def}}{=} \begin{cases} \text{pt} & \text{if } x = y, \\ \emptyset & \text{if } x \neq y \end{cases}$$

for each  $y \in \text{Obj}(X_{\text{disc}})$ .

#### PROPOSITION 2.4.1.3 ► PROPERTIES OF CHARACTERISTIC FUNCTIONS

005L Let  $X$  be a set.

005M 1. *The Inclusion of Characteristic Relations Associated to a Function.* Let  $f : A \rightarrow B$  be a function. We have an inclusion<sup>1</sup>

$$\begin{array}{ccc} A \times A & \xrightarrow{f \times f} & B \times B \\ \chi_B \circ (f \times f) \subset \chi_A, & \swarrow \curvearrowright & \searrow \chi_B \\ & & \{\text{t, f}\}. \end{array}$$

005N 2. *Interaction With Unions I.* We have

$$\chi_{U \cup V} = \max(\chi_U, \chi_V)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

005P 3. *Interaction With Unions II.* We have

$$\chi_{U \cup V} = \chi_U + \chi_V - \chi_{U \cap V}$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

005Q

4. *Interaction With Intersections I.* We have

$$\chi_{U \cap V} = \chi_U \chi_V$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

005R

5. *Interaction With Intersections II.* We have

$$\chi_{U \cap V} = \min(\chi_U, \chi_V)$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

005S

6. *Interaction With Differences.* We have

$$\chi_{U \setminus V} = \chi_U - \chi_{U \cap V}$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

005T

7. *Interaction With Complements.* We have

$$\chi_{U^c} = 1 - \chi_U$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U \in \mathcal{P}(X)$ .

005U

8. *Interaction With Symmetric Differences.* We have

$$\chi_{U \Delta V} = \chi_U + \chi_V - 2\chi_{U \cap V}$$

and thus, in particular, we have

$$\chi_{U \Delta V} \equiv \chi_U + \chi_V \pmod{2}$$

for each  $X \in \text{Obj}(\text{Sets})$  and each  $U, V \in \mathcal{P}(X)$ .

<sup>1</sup>This is the 0-categorical version of ??.

#### PROOF 2.4.1.4 ► PROOF OF PROPOSITION 2.4.1.3

##### Item 1: The Inclusion of Characteristic Relations Associated to a Function

The inclusion  $\chi_B(f(a), f(b)) \subset \chi_A(a, b)$  is equivalent to the statement “if  $a = b$ , then  $f(a) = f(b)$ ”, which is true.

##### Item 2: Interaction With Unions I

This is a repetition of Item 8 of Proposition 2.3.6.2 and is proved there.

**Item 3: Interaction With Unions II**

This is a repetition of [Item 9 of Proposition 2.3.6.2](#) and is proved there.

**Item 4: Interaction With Intersections I**

This is a repetition of [Item 9 of Proposition 2.3.8.2](#) and is proved there.

**Item 5: Interaction With Intersections II**

This is a repetition of [Item 10 of Proposition 2.3.8.2](#) and is proved there.

**Item 6: Interaction With Differences**

This is a repetition of [Item 15 of Proposition 2.3.9.2](#) and is proved there.

**Item 7: Interaction With Complements**

This is a repetition of [Item 4 of Proposition 2.3.10.2](#) and is proved there.

**Item 8: Interaction With Symmetric Differences**

This is a repetition of [Item 14 of Proposition 2.3.11.2](#) and is proved there. 

### 2.4.2 The Yoneda Lemma for Sets

Let  $X$  be a set and let  $U \subset X$  be a subset of  $X$ .

**PROPOSITION 2.4.2.1 ► THE YONEDA LEMMA FOR SETS**

005W

We have

$$\chi_{\mathcal{P}(X)}(\chi_x, \chi_U) = \chi_U(x)$$

for each  $x \in X$ , giving an equality of functions

$$\chi_{\mathcal{P}(X)}(\chi_{(-)}, \chi_U) = \chi_U.$$

**PROOF 2.4.2.2 ► PROOF OF PROPOSITION 2.4.2.1**

Clear. 

**COROLLARY 2.4.2.3 ► THE CHARACTERISTIC EMBEDDING IS FULLY FAITHFUL**

005X

The characteristic embedding is fully faithful, i.e., we have

$$\chi_{\mathcal{P}(X)}(\chi_x, \chi_y) = \chi_X(x, y)$$

for each  $x, y \in X$ .

**PROOF 2.4.2.4 ► PROOF OF ??**

This follows from [Proposition 2.4.2.1](#). 

**2.4.3 Powersets**

Let  $X$  be a set.

**DEFINITION 2.4.3.1 ► POWERSETS**

**005Z** The **powerset of  $X$**  is the set  $\mathcal{P}(X)$  defined by

$$\mathcal{P}(X) \stackrel{\text{def}}{=} \{U \in P \mid U \subset X\},$$

where  $P$  is the set in the axiom of powerset, ?? of ??.

**REMARK 2.4.3.2 ► POWERSETS AS DECATEGORIFICATIONS OF CO/PRESHEAF CATEGORIES**

**0060** The powerset of a set is a decategorification of the category of presheaves of a category: while<sup>1</sup>

- The powerset of a set  $X$  is equivalently ([Item 6 of Proposition 2.4.3.3](#)) the set

$$\text{Sets}(X, \{t, f\})$$

of functions from  $X$  to the set  $\{t, f\}$  of classical truth values;

- The category of presheaves on a category  $C$  is the category

$$\text{Fun}(C^{\text{op}}, \text{Sets})$$

of functors from  $C^{\text{op}}$  to the category  $\text{Sets}$  of sets.

<sup>1</sup>This parallel is based on the following comparison:

- A category is enriched over the category

$$\text{Sets} \stackrel{\text{def}}{=} \text{Cats}_0$$

of sets (i.e. “0-categories”), with presheaves taking values on it;

- A set is enriched over the set

$$\{t, f\} \stackrel{\text{def}}{=} \text{Cats}_{-1}$$

of classical truth values (i.e. “( $-1$ )-categories”), with characteristic functions taking values on it.

**PROPOSITION 2.4.3.3 ► PROPERTIES OF POWERSETS**

0061 Let  $X$  be a set.

0062 1. *Functoriality.* The assignment  $X \mapsto \mathcal{P}(X)$  defines functors

$$\begin{aligned}\mathcal{P}_* &: \text{Sets} \rightarrow \text{Sets}, \\ \mathcal{P}^{-1} &: \text{Sets}^{\text{op}} \rightarrow \text{Sets}, \\ \mathcal{P}_! &: \text{Sets} \rightarrow \text{Sets}\end{aligned}$$

where

- *Action on Objects.* For each  $A \in \text{Obj}(\text{Sets})$ , we have

$$\begin{aligned}\mathcal{P}_*(A) &\stackrel{\text{def}}{=} \mathcal{P}(A), \\ \mathcal{P}^{-1}(A) &\stackrel{\text{def}}{=} \mathcal{P}(A), \\ \mathcal{P}_!(A) &\stackrel{\text{def}}{=} \mathcal{P}(A);\end{aligned}$$

- *Action on Morphisms.* For each morphism  $f: A \rightarrow B$  of Sets, the images

$$\begin{aligned}\mathcal{P}_*(f) &: \mathcal{P}(A) \rightarrow \mathcal{P}(B), \\ \mathcal{P}^{-1}(f) &: \mathcal{P}(B) \rightarrow \mathcal{P}(A), \\ \mathcal{P}_!(f) &: \mathcal{P}(A) \rightarrow \mathcal{P}(B)\end{aligned}$$

of  $f$  by  $\mathcal{P}_*$ ,  $\mathcal{P}^{-1}$ , and  $\mathcal{P}_!$  are defined by

$$\begin{aligned}\mathcal{P}_*(f) &\stackrel{\text{def}}{=} f_*, \\ \mathcal{P}^{-1}(f) &\stackrel{\text{def}}{=} f^{-1}, \\ \mathcal{P}_!(f) &\stackrel{\text{def}}{=} f_!,\end{aligned}$$

as in [Definitions 2.4.4.1, 2.4.5.1](#) and [2.4.6.1](#).

0063 2. *Adjointness I.* We have an adjunction

$$(\mathcal{P}^{-1} \dashv \mathcal{P}^{-1,\text{op}}): \text{Sets}^{\text{op}} \rightleftarrows \text{Sets},$$

witnessed by a bijection

$$\underbrace{\text{Sets}^{\text{op}}(\mathcal{P}(X), Y)}_{\stackrel{\text{def}}{=} \text{Sets}(Y, \mathcal{P}(X))} \cong \text{Sets}(X, \mathcal{P}(Y)),$$

natural in  $X \in \text{Obj}(\text{Sets})$  and  $Y \in \text{Obj}(\text{Sets}^{\text{op}})$ .

0064

3. *Adjointness II.* We have an adjunction

$$(Gr \dashv \mathcal{P}_*): \text{Sets} \begin{array}{c} \xrightarrow{\quad \text{Gr} \quad} \\ \perp \\ \xleftarrow{\quad \mathcal{P}_* \quad} \end{array} \text{Rel},$$

witnessed by a bijection of sets

$$\text{Rel}(\text{Gr}(A), B) \cong \text{Sets}(A, \mathcal{P}(B))$$

natural in  $A \in \text{Obj}(\text{Sets})$  and  $B \in \text{Obj}(\text{Rel})$ , where  $\text{Gr}$  is the graph functor of ?? of ??.

0065

4. *Symmetric Strong Monoidality With Respect to Coproducts.* The powerset functor  $\mathcal{P}_*$  of Item 1 has a symmetric strong monoidal structure

$$(\mathcal{P}_*, \mathcal{P}_*^{\coprod}, \mathcal{P}_{*|*}^{\coprod}): (\text{Sets}, \coprod, \emptyset) \rightarrow (\text{Sets}, \times, \text{pt})$$

being equipped with isomorphisms

$$\begin{aligned} \mathcal{P}_{*|X,Y}^{\coprod}: \mathcal{P}(X) \times \mathcal{P}(Y) &\xrightarrow{\cong} \mathcal{P}(X \coprod Y), \\ \mathcal{P}_{*|*}^{\coprod}: \text{pt} &\xrightarrow{\cong} \mathcal{P}(\emptyset), \end{aligned}$$

natural in  $X, Y \in \text{Obj}(\text{Sets})$ .

0066

5. *Symmetric Lax Monoidality With Respect to Products.* The powerset functor  $\mathcal{P}_*$  of Item 1 has a symmetric lax monoidal structure

$$(\mathcal{P}_*, \mathcal{P}_*^{\otimes}, \mathcal{P}_{*|*}^{\otimes}): (\text{Sets}, \times, \text{pt}) \rightarrow (\text{Sets}, \times, \text{pt})$$

being equipped with isomorphisms

$$\begin{aligned} \mathcal{P}_{*|X,Y}^{\otimes}: \mathcal{P}(X) \times \mathcal{P}(Y) &\rightarrow \mathcal{P}(X \times Y), \\ \mathcal{P}_{*|*}^{\otimes}: \text{pt} &\xrightarrow{\cong} \mathcal{P}(\emptyset), \end{aligned}$$

natural in  $X, Y \in \text{Obj}(\text{Sets})$ , where  $\mathcal{P}_{*|X,Y}^{\otimes}$  is given by

$$\mathcal{P}_{*|X,Y}^{\otimes}(U, V) \stackrel{\text{def}}{=} U \times V$$

for each  $(U, V) \in \mathcal{P}(X) \times \mathcal{P}(Y)$ .

0067

6. *Powersets as Sets of Functions.* The assignment  $U \mapsto \chi_U$  defines a bijection<sup>1</sup>

$$\chi(-) : \mathcal{P}(X) \xrightarrow{\cong} \text{Sets}(X, \{\text{t}, \text{f}\}),$$

natural in  $X \in \text{Obj}(\text{Sets})$ .

0068

7. *Powersets as Sets of Relations.* We have bijections

$$\mathcal{P}(X) \cong \text{Rel}(\text{pt}, X),$$

$$\mathcal{P}(X) \cong \text{Rel}(X, \text{pt}),$$

natural in  $X \in \text{Obj}(\text{Sets})$ .

0069

8. *As a Free Cocompletion: Universal Property.* The pair  $(\mathcal{P}(X), \chi(-))$  consisting of

- The powerset  $\mathcal{P}(X)$  of  $X$ ;
- The characteristic embedding  $\chi(-) : X \hookrightarrow \mathcal{P}(X)$  of  $X$  into  $\mathcal{P}(X)$ ;

satisfies the following universal property:

(★) Given another pair  $(Y, f)$  consisting of

- A cocomplete poset  $(Y, \leq)$ ;
- A function  $f : X \rightarrow Y$ ;

there exists a unique cocontinuous morphism of posets  
 $(\mathcal{P}(X), \subset) \xrightarrow{\exists!} (Y, \leq)$  making the diagram

$$\begin{array}{ccc} & \mathcal{P}(X) & \\ \chi_X \nearrow & \downarrow \exists! & \\ X & \xrightarrow{f} & Y \end{array}$$

commute.

006A

9. *As a Free Cocompletion: Adjunctionness.* We have an adjunction<sup>2</sup>

$$(\chi(-) \dashv \exists) : \text{Sets} \begin{array}{c} \xrightarrow{\chi(-)} \\ \perp \\ \xleftarrow{\exists} \end{array} \text{Pos}^{\text{cocomp}},$$

witnessed by a bijection

$$\text{Pos}^{\text{cocomp}}((\mathcal{P}(X), \subset), (Y, \leq)) \cong \text{Sets}(X, Y),$$

natural in  $X \in \text{Obj}(\text{Sets})$  and  $(Y, \leq) \in \text{Obj}(\text{Pos})$ , where

- We have a natural map

$$\chi_X^* : \text{Pos}^{\text{cocomp}}((\mathcal{P}(X), \subset), (Y, \leq)) \rightarrow \text{Sets}(X, Y)$$

defined by

$$\chi_X^*(f) \stackrel{\text{def}}{=} f \circ \chi_X,$$

i.e. by sending a cocontinuous morphism of posets  $f: \mathcal{P}(X) \rightarrow Y$  to the composition

$$X \xrightarrow{\chi_X} \mathcal{P}(X) \xrightarrow{f} Y;$$

- We have a natural map

$$\text{Lan}_{\chi_X} : \text{Sets}(X, Y) \rightarrow \text{Pos}^{\text{cocomp}}((\mathcal{P}(X), \subset), (Y, \leq))$$

computed by

$$\begin{aligned} [\text{Lan}_{\chi_X}(f)](U) &\cong \int^{x \in X} \chi_{\mathcal{P}(X)}(\chi_x, U) \odot f(x) \\ &\cong \int^{x \in X} \chi_U(x) \odot f(x) \quad (\text{by Proposition 2.4.2.1}) \\ &\cong \bigvee_{x \in X} (\chi_U(x) \odot f(x)) \end{aligned}$$

for each  $U \in \mathcal{P}(X)$ , where:

- $\bigvee$  is the join in  $(Y, \leq)$ ;
- We have

$$\begin{aligned} \text{true} \odot f(x) &\stackrel{\text{def}}{=} f(x), \\ \text{false} \odot f(x) &\stackrel{\text{def}}{=} \emptyset_Y, \end{aligned}$$

where  $\emptyset_Y$  is the minimal element of  $(Y, \leq)$ .

---

<sup>1</sup>This bijection is a decategorified form of the equivalence

$$\text{PSh}(C) \stackrel{\text{eq.}}{\cong} \text{DFib}(C)$$

of ?? of ??, with  $\chi_{(-)}$  being a decategorified version of the category of elements construction of ??.  
See also ?? of ??.

<sup>2</sup>In this sense,  $\mathcal{P}(A)$  is the free cocompletion of  $A$ . (Note that, despite its name, however, this is not an idempotent operation, as we have  $\mathcal{P}(\mathcal{P}(A)) \neq \mathcal{P}(A)$ .)

**PROOF 2.4.3.4 ► PROOF OF PROPOSITION 2.4.3.3****Item 1: Functoriality**

This follows from [Items 3 and 4 of Proposition 2.4.4.5](#), [Items 3 and 4 of Proposition 2.4.5.5](#), and [Items 3 and 4 of Proposition 2.4.6.7](#).

**Item 2: Adjointness I**

Omitted.

**Item 3: Adjointness II**

We have

$$\begin{aligned}\text{Rel}(\text{Gr}(A), B) &= \mathcal{P}(A \times B) \\ &= \text{Sets}(A \times B, \{t, f\}) && \text{(by Item 6)} \\ &= \text{Sets}(A, \text{Sets}(B, \{t, f\})) && \text{(by Item 2 of Proposition 2.1.2.3)} \\ &= \text{Sets}(A, \mathcal{P}(B)) && \text{(by Item 6)}\end{aligned}$$

with all bijections natural in  $A$  and  $B$ .

**Item 4: Symmetric Strong Monoidality With Respect to Coproducts**

Omitted.

**Item 5: Symmetric Lax Monoidality With Respect to Products**

Omitted.

**Item 6: Powersets as Sets of Functions**

Omitted.

**Item 7: Powersets as Sets of Relations**

Omitted.

**Item 8: As a Free Cocompletion: Universal Property**

This is a rephrasing of ??.

**Item 9: As a Free Cocompletion: Adjointness**

Omitted. 

#### **2.4.4 Direct Images**

Let  $A$  and  $B$  be sets and let  $f: A \rightarrow B$  be a function.

## DEFINITION 2.4.4.1 ► DIRECT IMAGES

006C

The **direct image function associated to  $f$**  is the function<sup>1</sup>

$$f_*: \mathcal{P}(A) \rightarrow \mathcal{P}(B)$$

defined by<sup>2,3</sup>

$$\begin{aligned} f_*(U) &\stackrel{\text{def}}{=} f(U) \\ &\stackrel{\text{def}}{=} \left\{ b \in B \mid \begin{array}{l} \text{there exists some } a \in U \\ \text{such that } b = f(a) \end{array} \right\} \\ &= \{f(a) \in B \mid a \in U\} \end{aligned}$$

for each  $U \in \mathcal{P}(A)$ .

<sup>1</sup>Further Notation: Also written  $\exists_f: \mathcal{P}(A) \rightarrow \mathcal{P}(B)$ . This notation comes from the fact that the following statements are equivalent, where  $b \in B$  and  $U \in \mathcal{P}(A)$ :

- We have  $b \in \exists_f(U)$ .
- There exists some  $a \in U$  such that  $f(a) = b$ .

<sup>2</sup>Further Terminology: The set  $f(U)$  is called the **direct image of  $U$  by  $f$** .

<sup>3</sup>We also have

$$f_*(U) = B \setminus f_!(A \setminus U);$$

see Item 7 of Proposition 2.4.4.3.

## REMARK 2.4.4.2 ► UNWINDING DEFINITION 2.4.4.1

006D

Identifying subsets of  $A$  with functions from  $A$  to  $\{\text{true}, \text{false}\}$  via Item 6 of Proposition 2.4.3.3, we see that the direct image function associated to  $f$  is equivalently the function

$$f_*: \mathcal{P}(A) \rightarrow \mathcal{P}(B)$$

defined by

$$\begin{aligned} f_*(\chi_U) &\stackrel{\text{def}}{=} \text{Lan}_f(\chi_U) \\ &= \text{colim} \left( \left( f \times \underline{(-_1)} \right) \xrightarrow{\text{pr}} A \xrightarrow{\chi_U} \{\text{t}, \text{f}\} \right) \\ &= \underset{\substack{a \in A \\ f(a) = -_1}}{\text{colim}} (\chi_U(a)) \\ &= \bigvee_{\substack{a \in A \\ f(a) = -_1}} (\chi_U(a)). \end{aligned}$$

So, in other words, we have

$$\begin{aligned}
 [f_*(\chi_U)](b) &= \bigvee_{\substack{a \in A \\ f(a)=b}} (\chi_U(a)) \\
 &= \begin{cases} \text{true} & \text{if there exists some } a \in A \text{ such} \\ & \text{that } f(a) = b \text{ and } a \in U, \\ \text{false} & \text{otherwise} \end{cases} \\
 &= \begin{cases} \text{true} & \text{if there exists some } a \in U \\ & \text{such that } f(a) = b, \\ \text{false} & \text{otherwise} \end{cases}
 \end{aligned}$$

for each  $b \in B$ .

#### PROPOSITION 2.4.4.3 ► PROPERTIES OF DIRECT IMAGES I

006E Let  $f: A \rightarrow B$  be a function.

006F 1. *Functoriality.* The assignment  $U \mapsto f_*(U)$  defines a functor

$$f_*: (\mathcal{P}(A), \subset) \rightarrow (\mathcal{P}(B), \subset)$$

where

- *Action on Objects.* For each  $U \in \mathcal{P}(A)$ , we have

$$[f_*](U) \stackrel{\text{def}}{=} f_*(U);$$

- *Action on Morphisms.* For each  $U, V \in \mathcal{P}(A)$ :

(★) If  $U \subset V$ , then  $f_*(U) \subset f_*(V)$ .

006G 2. *Triple Adjointness.* We have a triple adjunction

$$(f_* \dashv f^{-1} \dashv f_!): \quad \mathcal{P}(A) \begin{array}{c} \xleftarrow{f_*} \\[-1ex] \perp \\[-1ex] \xrightarrow{f^{-1}} \\[-1ex] \perp \\[-1ex] \xrightarrow{f_!} \end{array} \mathcal{P}(B),$$

witnessed by bijections of sets

$$\text{Hom}_{\mathcal{P}(B)}(f_*(U), V) \cong \text{Hom}_{\mathcal{P}(A)}(U, f^{-1}(V)),$$

$$\text{Hom}_{\mathcal{P}(A)}(f^{-1}(U), V) \cong \text{Hom}_{\mathcal{P}(B)}(U, f_!(V)),$$

natural in  $U \in \mathcal{P}(A)$  and  $V \in \mathcal{P}(B)$  and (respectively)  $V \in \mathcal{P}(A)$  and  $U \in \mathcal{P}(B)$ , i.e. where:

(a) The following conditions are equivalent:

- i. We have  $f_*(U) \subset V$ .
- ii. We have  $U \subset f^{-1}(V)$ .

(b) The following conditions are equivalent:

- i. We have  $f^{-1}(U) \subset V$ .
- ii. We have  $U \subset f_!(V)$ .

006H

3. *Preservation of Colimits.* We have an equality of sets

$$f_* \left( \bigcup_{i \in I} U_i \right) = \bigcup_{i \in I} f_*(U_i),$$

natural in  $\{U_i\}_{i \in I} \in \mathcal{P}(A)^{\times I}$ . In particular, we have equalities

$$\begin{aligned} f_*(U) \cup f_*(V) &= f_*(U \cup V), \\ f_*(\emptyset) &= \emptyset, \end{aligned}$$

natural in  $U, V \in \mathcal{P}(A)$ .

006J

4. *Oplax Preservation of Limits.* We have an inclusion of sets

$$f_* \left( \bigcap_{i \in I} U_i \right) \subset \bigcap_{i \in I} f_*(U_i),$$

natural in  $\{U_i\}_{i \in I} \in \mathcal{P}(A)^{\times I}$ . In particular, we have inclusions

$$\begin{aligned} f_*(U \cap V) &\subset f_*(U) \cap f_*(V), \\ f_*(A) &\subset B, \end{aligned}$$

natural in  $U, V \in \mathcal{P}(A)$ .

006K

5. *Symmetric Strict Monoidality With Respect to Unions.* The direct image function of **Item 1** has a symmetric strict monoidal structure

$$\left( f_*, f_*^\otimes, f_{*\mid \sharp}^\otimes \right): (\mathcal{P}(A), \cup, \emptyset) \rightarrow (\mathcal{P}(B), \cup, \emptyset),$$

being equipped with equalities

$$\begin{aligned} f_{*|U,V}^\otimes: f_*(U) \cup f_*(V) &\xrightarrow{=} f_*(U \cup V), \\ f_{*\mid \sharp}^\otimes: \emptyset &\xrightarrow{=} \emptyset, \end{aligned}$$

natural in  $U, V \in \mathcal{P}(A)$ .

006L

6. *Symmetric Oplax Monoidality With Respect to Intersections.* The direct image function of [Item 1](#) has a symmetric oplax monoidal structure

$$(f_*, f_*^\otimes, f_{*|*}^\otimes) : (\mathcal{P}(A), \cap, A) \rightarrow (\mathcal{P}(B), \cap, B),$$

being equipped with inclusions

$$\begin{aligned} f_{*|U,V}^\otimes : f_*(U \cap V) &\hookrightarrow f_*(U) \cap f_*(V), \\ f_{*|*}^\otimes : f_*(A) &\hookrightarrow B, \end{aligned}$$

natural in  $U, V \in \mathcal{P}(A)$ .

006M

7. *Relation to Direct Images With Compact Support.* We have

$$f_*(U) = B \setminus f_!(A \setminus U)$$

for each  $U \in \mathcal{P}(A)$ .

#### PROOF 2.4.4.4 ► PROOF OF PROPOSITION 2.4.4.3

[Item 1: Functoriality](#)

Clear.

[Item 2: Triple Adjointness](#)

This follows from ?? of ??.

[Item 3: Preservation of Colimits](#)

This follows from [Item 2](#) and ?? of ??.

[Item 4: Oplax Preservation of Limits](#)

Omitted.

[Item 5: Symmetric Strict Monoidality With Respect to Unions](#)

This follows from [Item 3](#).

[Item 6: Symmetric Oplax Monoidality With Respect to Intersections](#)

This follows from ??.

[Item 7: Relation to Direct Images With Compact Support](#)

Applying ?? of ?? to  $A \setminus U$ , we have

$$\begin{aligned} f_!(A \setminus U) &= B \setminus f_*(A \setminus (A \setminus U)) \\ &= B \setminus f_*(U). \end{aligned}$$

Taking complements, we then obtain

$$\begin{aligned} f_*(U) &= B \setminus (B \setminus f_*(U)), \\ &= B \setminus f(A \setminus U), \end{aligned}$$

which finishes the proof. □

#### PROPOSITION 2.4.4.5 ► PROPERTIES OF DIRECT IMAGES II

006N Let  $f: A \rightarrow B$  be a function.

006P 1. *Functionality I.* The assignment  $f \mapsto f_*$  defines a function

$$(-)_{*|A,B}: \text{Sets}(A, B) \rightarrow \text{Sets}(\mathcal{P}(A), \mathcal{P}(B)).$$

006Q 2. *Functionality II.* The assignment  $f \mapsto f_*$  defines a function

$$(-)_{*|A,B}: \text{Sets}(A, B) \rightarrow \text{Pos}((\mathcal{P}(A), \subset), (\mathcal{P}(B), \subset)).$$

006R 3. *Interaction With Identities.* For each  $A \in \text{Obj}(\text{Sets})$ , we have

$$(\text{id}_A)_* = \text{id}_{\mathcal{P}(A)};$$

006S 4. *Interaction With Composition.* For each pair of composable functions  $f: A \rightarrow B$  and  $g: B \rightarrow C$ , we have

$$\begin{array}{ccc} \mathcal{P}(A) & \xrightarrow{f_*} & \mathcal{P}(B) \\ (g \circ f)_* = g_* \circ f_* & \searrow & \downarrow g_* \\ & & \mathcal{P}(C). \end{array}$$

#### PROOF 2.4.4.6 ► PROOF OF PROPOSITION 2.4.4.5

Item 1: Functionality I

Clear.

Item 2: Functionality II

Clear.

Item 3: Interaction With Identities

This follows from ?? of ??.

Item 4: Interaction With Composition

This follows from ?? of ??.



### 2.4.5 Inverse Images

Let  $A$  and  $B$  be sets and let  $f: A \rightarrow B$  be a function.

#### DEFINITION 2.4.5.1 ► INVERSE IMAGES

006U

The **inverse image function associated to  $f$**  is the function<sup>1</sup>

$$f^{-1}: \mathcal{P}(B) \rightarrow \mathcal{P}(A)$$

defined by<sup>2</sup>

$$f^{-1}(V) \stackrel{\text{def}}{=} \{a \in A \mid \text{we have } f(a) \in V\}$$

for each  $V \in \mathcal{P}(B)$ .

<sup>1</sup>Further Notation: Also written  $f^*: \mathcal{P}(B) \rightarrow \mathcal{P}(A)$ .

<sup>2</sup>Further Terminology: The set  $f^{-1}(V)$  is called the **inverse image of  $V$  by  $f$** .

#### REMARK 2.4.5.2 ► UNWINDING DEFINITION 2.4.5.1

006V

Identifying subsets of  $B$  with functions from  $B$  to {true, false} via [Item 6 of Proposition 2.4.3.3](#), we see that the inverse image function associated to  $f$  is equivalently the function

$$f^*: \mathcal{P}(B) \rightarrow \mathcal{P}(A)$$

defined by

$$f^*(\chi_V) \stackrel{\text{def}}{=} \chi_V \circ f$$

for each  $\chi_V \in \mathcal{P}(B)$ , where  $\chi_V \circ f$  is the composition

$$A \xrightarrow{f} B \xrightarrow{\chi_V} \{\text{true, false}\}$$

in Sets.

#### PROPOSITION 2.4.5.3 ► PROPERTIES OF INVERSE IMAGES I

006W

Let  $f: A \rightarrow B$  be a function.

006X

1. *Functionality.* The assignment  $V \mapsto f^{-1}(V)$  defines a functor

$$f^{-1}: (\mathcal{P}(B), \subset) \rightarrow (\mathcal{P}(A), \subset)$$

where

- *Action on Objects.* For each  $V \in \mathcal{P}(B)$ , we have

$$[f^{-1}](V) \stackrel{\text{def}}{=} f^{-1}(V);$$

- *Action on Morphisms.* For each  $U, V \in \mathcal{P}(B)$ :

$$(\star) \text{ If } U \subset V, \text{ then } f^{-1}(U) \subset f^{-1}(V).$$

006Y

2. *Triple Adjunctions.* We have a triple adjunction

$$(f_* \dashv f^{-1} \dashv f_!): \quad \mathcal{P}(A) \begin{array}{c} \xleftarrow{f_*} \\[-1ex] \perp \\[-1ex] \xrightarrow{f^{-1}} \\[-1ex] \perp \\[-1ex] \xrightarrow{f_!} \end{array} \mathcal{P}(B),$$

witnessed by bijections of sets

$$\text{Hom}_{\mathcal{P}(B)}(f_*(U), V) \cong \text{Hom}_{\mathcal{P}(A)}(U, f^{-1}(V)),$$

$$\text{Hom}_{\mathcal{P}(A)}(f^{-1}(U), V) \cong \text{Hom}_{\mathcal{P}(B)}(U, f_!(V)),$$

natural in  $U \in \mathcal{P}(A)$  and  $V \in \mathcal{P}(B)$  and (respectively)  $V \in \mathcal{P}(A)$  and  $U \in \mathcal{P}(B)$ , i.e. where:

- (a) The following conditions are equivalent:

- i. We have  $f_*(U) \subset V$ ;
- ii. We have  $U \subset f^{-1}(V)$ ;

- (b) The following conditions are equivalent:

- i. We have  $f^{-1}(U) \subset V$ .
- ii. We have  $U \subset f_!(V)$ .

006Z

3. *Preservation of Colimits.* We have an equality of sets

$$f^{-1}\left(\bigcup_{i \in I} U_i\right) = \bigcup_{i \in I} f^{-1}(U_i),$$

natural in  $\{U_i\}_{i \in I} \in \mathcal{P}(B)^{\times I}$ . In particular, we have equalities

$$f^{-1}(U) \cup f^{-1}(V) = f^{-1}(U \cup V),$$

$$f^{-1}(\emptyset) = \emptyset,$$

natural in  $U, V \in \mathcal{P}(B)$ .

0070

4. *Preservation of Limits.* We have an equality of sets

$$f^{-1}\left(\bigcap_{i \in I} U_i\right) = \bigcap_{i \in I} f^{-1}(U_i),$$

natural in  $\{U_i\}_{i \in I} \in \mathcal{P}(B)^{\times I}$ . In particular, we have equalities

$$\begin{aligned} f^{-1}(U) \cap f^{-1}(V) &= f^{-1}(U \cap V), \\ f^{-1}(B) &= A, \end{aligned}$$

natural in  $U, V \in \mathcal{P}(B)$ .

0071

5. *Symmetric Strict Monoidality With Respect to Unions.* The inverse image function of [Item 1](#) has a symmetric strict monoidal structure

$$(f^{-1}, f^{-1,\otimes}, f_{\sharp}^{-1,\otimes}) : (\mathcal{P}(B), \cup, \emptyset) \rightarrow (\mathcal{P}(A), \cup, \emptyset),$$

being equipped with equalities

$$\begin{aligned} f_{U,V}^{-1,\otimes} : f^{-1}(U) \cup f^{-1}(V) &\xrightarrow{\cong} f^{-1}(U \cup V), \\ f_{\sharp}^{-1,\otimes} : \emptyset &\xrightarrow{\cong} f^{-1}(\emptyset), \end{aligned}$$

natural in  $U, V \in \mathcal{P}(B)$ .

0072

6. *Symmetric Strict Monoidality With Respect to Intersections.* The inverse image function of [Item 1](#) has a symmetric strict monoidal structure

$$(f^{-1}, f^{-1,\otimes}, f_{\sharp}^{-1,\otimes}) : (\mathcal{P}(B), \cap, B) \rightarrow (\mathcal{P}(A), \cap, A),$$

being equipped with equalities

$$\begin{aligned} f_{U,V}^{-1,\otimes} : f^{-1}(U) \cap f^{-1}(V) &\xrightarrow{\cong} f^{-1}(U \cap V), \\ f_{\sharp}^{-1,\otimes} : A &\xrightarrow{\cong} f^{-1}(B), \end{aligned}$$

natural in  $U, V \in \mathcal{P}(B)$ .

#### PROOF 2.4.5.4 ▶ PROOF OF PROPOSITION 2.4.5.3

[Item 1: Functoriality](#)

Clear.

Item 2: Triple Adjointness

This follows from ?? of ??.

Item 3: Preservation of Colimits

This follows from Item 2 and ?? of ??.

Item 4: Preservation of Limits

This follows from Item 2 and ?? of ??.

Item 5: Symmetric Strict Monoidality With Respect to Unions

This follows from Item 3.

Item 6: Symmetric Strict Monoidality With Respect to Intersections

This follows from Item 4.



#### PROPOSITION 2.4.5.5 ► PROPERTIES OF INVERSE IMAGES II

0073 Let  $f: A \rightarrow B$  be a function.

0074 1. *Functionality I.* The assignment  $f \mapsto f^{-1}$  defines a function

$$(-)_{A,B}^{-1}: \text{Sets}(A, B) \rightarrow \text{Sets}(\mathcal{P}(B), \mathcal{P}(A)).$$

0075 2. *Functionality II.* The assignment  $f \mapsto f^{-1}$  defines a function

$$(-)_{A,B}^{-1}: \text{Sets}(A, B) \rightarrow \text{Pos}((\mathcal{P}(B), \subset), (\mathcal{P}(A), \subset)).$$

0076 3. *Interaction With Identities.* For each  $A \in \text{Obj}(\text{Sets})$ , we have

$$\text{id}_A^{-1} = \text{id}_{\mathcal{P}(A)};$$

0077 4. *Interaction With Composition.* For each pair of composable functions  $f: A \rightarrow B$  and  $g: B \rightarrow C$ , we have

$$\begin{array}{ccc} \mathcal{P}(C) & \xrightarrow{g^{-1}} & \mathcal{P}(B) \\ (g \circ f)^{-1} = f^{-1} \circ g^{-1}, & \searrow^{(g \circ f)^{-1}} & \downarrow f^{-1} \\ & & \mathcal{P}(A). \end{array}$$

**PROOF 2.4.5.6 ► PROOF OF PROPOSITION 2.4.5.5****Item 1: Functionality I**

Clear.

**Item 2: Functionality II**

Clear.

**Item 3: Interaction With Identities**

This follows from ?? of ??.

**Item 4: Interaction With Composition**

This follows from ?? of ??.

**2.4.6 Direct Images With Compact Support**Let  $A$  and  $B$  be sets and let  $f: A \rightarrow B$  be a function.**DEFINITION 2.4.6.1 ► DIRECT IMAGES WITH COMPACT SUPPORT**

**0079** The **direct image with compact support function associated to  $f$**  is the function<sup>1</sup>

$$f_!: \mathcal{P}(A) \rightarrow \mathcal{P}(B)$$

defined by<sup>2,3</sup>

$$\begin{aligned} f_!(U) &\stackrel{\text{def}}{=} \left\{ b \in B \mid \begin{array}{l} \text{for each } a \in A, \text{ if we have} \\ f(a) = b, \text{ then } a \in U \end{array} \right\} \\ &= \{b \in B \mid \text{we have } f^{-1}(b) \subset U\} \end{aligned}$$

for each  $U \in \mathcal{P}(A)$ .

<sup>1</sup>*Further Notation:* Also written  $\forall_f: \mathcal{P}(A) \rightarrow \mathcal{P}(B)$ . This notation comes from the fact that the following statements are equivalent, where  $b \in B$  and  $U \in \mathcal{P}(A)$ :

- We have  $b \in \forall_f(U)$ .
- For each  $a \in A$ , if  $b = f(a)$ , then  $a \in U$ .

<sup>2</sup>*Further Terminology:* The set  $f_!(U)$  is called the **direct image with compact support of  $U$  by  $f$** .

<sup>3</sup>We also have

$$f_!(U) = B \setminus f_*(A \setminus U);$$

see Item 7 of Proposition 2.4.6.5.

**REMARK 2.4.6.2 ► UNWINDING DEFINITION 2.4.6.1**

007A

Identifying subsets of  $A$  with functions from  $A$  to  $\{\text{true}, \text{false}\}$  via [Item 6 of Proposition 2.4.3.3](#), we see that the direct image with compact support function associated to  $f$  is equivalently the function

$$f_! : \mathcal{P}(A) \rightarrow \mathcal{P}(B)$$

defined by

$$\begin{aligned} f_!(\chi_U) &\stackrel{\text{def}}{=} \text{Ran}_f(\chi_U) \\ &= \lim \left( \left( \underline{(-_1)} \xrightarrow{\rightarrow} f \right) \xrightarrow{\text{pr}} A \xrightarrow{\chi_U} \{\text{true}, \text{false}\} \right) \\ &= \lim_{\substack{a \in A \\ f(a) = -_1}} (\chi_U(a)) \\ &= \bigwedge_{\substack{a \in A \\ f(a) = -_1}} (\chi_U(a)). \end{aligned}$$

So, in other words, we have

$$\begin{aligned} [f_!(\chi_U)](b) &= \bigwedge_{\substack{a \in A \\ f(a) = b}} (\chi_U(a)) \\ &= \begin{cases} \text{true} & \text{if, for each } a \in A \text{ such that} \\ & f(a) = b, \text{ we have } a \in U, \\ \text{false} & \text{otherwise} \end{cases} \\ &= \begin{cases} \text{true} & \text{if } f^{-1}(b) \subset U \\ \text{false} & \text{otherwise} \end{cases} \end{aligned}$$

for each  $b \in B$ .

**DEFINITION 2.4.6.3 ► THE IMAGE AND COMPLEMENT PARTS OF  $f_!$** 

007B

Let  $U$  be a subset of  $A$ .<sup>1,2</sup>

1. The **image part of the direct image with compact support  $f_!(U)$  of  $U$**  is the set  $f_{!,\text{im}}(U)$  defined by

$$\begin{aligned} f_{!,\text{im}}(U) &\stackrel{\text{def}}{=} f_!(U) \cap \text{Im}(f) \\ &= \left\{ b \in B \mid \begin{array}{l} \text{we have } f^{-1}(b) \subset U \\ \text{and } f^{-1}(b) \neq \emptyset \end{array} \right\}. \end{aligned}$$

2. The **complement part of the direct image with compact support**  $f_!(U)$  of  $U$  is the set  $f_{!,cp}(U)$  defined by

$$\begin{aligned} f_{!,cp}(U) &\stackrel{\text{def}}{=} f_!(U) \cap (B \setminus \text{Im}(f)) \\ &= B \setminus \text{Im}(f) \\ &= \left\{ b \in B \mid \begin{array}{l} \text{we have } f^{-1}(b) \subset U \\ \text{and } f^{-1}(b) = \emptyset \end{array} \right\} \\ &= \left\{ b \in B \mid f^{-1}(b) = \emptyset \right\}. \end{aligned}$$

<sup>1</sup>Note that we have

$$f_!(U) = f_{!,im}(U) \cup f_{!,cp}(U),$$

as

$$\begin{aligned} f_!(U) &= f_!(U) \cap B \\ &= f_!(U) \cap (\text{Im}(f) \cup (B \setminus \text{Im}(f))) \\ &= (f_!(U) \cap \text{Im}(f)) \cup (f_!(U) \cap (B \setminus \text{Im}(f))) \\ &\stackrel{\text{def}}{=} f_{!,im}(U) \cup f_{!,cp}(U). \end{aligned}$$

<sup>2</sup>In terms of the meet computation of  $f_!(U)$  of Remark 2.4.6.2, namely

$$f_!(\chi_U) = \bigwedge_{\substack{a \in A \\ f(a) = -1}} (\chi_U(a)),$$

we see that  $f_{!,im}$  corresponds to meets indexed over nonempty sets, while  $f_{!,cp}$  corresponds to meets indexed over the empty set.

#### EXAMPLE 2.4.6.4 ► EXAMPLES OF DIRECT IMAGES WITH COMPACT SUPPORT

007C

Here are some examples of direct images with compact support.

1. *The Multiplication by Two Map on the Natural Numbers.* Consider the function  $f: \mathbb{N} \rightarrow \mathbb{N}$  given by

$$f(n) \stackrel{\text{def}}{=} 2n$$

for each  $n \in \mathbb{N}$ . Since  $f$  is injective, we have

$$\begin{aligned} f_{!,im}(U) &= f_*(U) \\ f_{!,cp}(U) &= \{\text{odd natural numbers}\} \end{aligned}$$

for any  $U \subset \mathbb{N}$ .

2. *Parabolas.* Consider the function  $f: \mathbb{R} \rightarrow \mathbb{R}$  given by

$$f(x) \stackrel{\text{def}}{=} x^2$$

for each  $x \in \mathbb{R}$ . We have

$$f_{!,\text{cp}}(U) = \mathbb{R}_{<0}$$

for any  $U \subset \mathbb{R}$ . Moreover, since  $f^{-1}(x) = \{-\sqrt{x}, \sqrt{x}\}$ , we have e.g.:

$$\begin{aligned} f_{!,\text{im}}([0, 1]) &= \{0\}, \\ f_{!,\text{im}}([-1, 1]) &= [0, 1], \\ f_{!,\text{im}}([1, 2]) &= \emptyset, \\ f_{!,\text{im}}([-2, -1] \cup [1, 2]) &= [1, 4]. \end{aligned}$$

3. *Circles.* Consider the function  $f: \mathbb{R}^2 \rightarrow \mathbb{R}$  given by

$$f(x, y) \stackrel{\text{def}}{=} x^2 + y^2$$

for each  $(x, y) \in \mathbb{R}^2$ . We have

$$f_{!,\text{cp}}(U) = \mathbb{R}_{<0}$$

for any  $U \subset \mathbb{R}^2$ , and since

$$f^{-1}(r) = \begin{cases} \text{a circle of radius } r \text{ about the origin} & \text{if } r > 0, \\ \{(0, 0)\} & \text{if } r = 0, \\ \emptyset & \text{if } r < 0, \end{cases}$$

we have e.g.:

$$\begin{aligned} f_{!,\text{im}}([-1, 1] \times [-1, 1]) &= [0, 1], \\ f_{!,\text{im}}(([ -1, 1] \times [-1, 1]) \setminus [-1, 1] \times \{0\}) &= \emptyset. \end{aligned}$$

#### PROPOSITION 2.4.6.5 ► PROPERTIES OF DIRECT IMAGES WITH COMPACT SUPPORT I

007D Let  $f: A \rightarrow B$  be a function.

007E 1. *Functoriality.* The assignment  $U \mapsto f_!(U)$  defines a functor

$$f_!: (\mathcal{P}(A), \subset) \rightarrow (\mathcal{P}(B), \subset)$$

where

- *Action on Objects.* For each  $U \in \mathcal{P}(A)$ , we have

$$[f_!](U) \stackrel{\text{def}}{=} f_!(U);$$

- *Action on Morphisms.* For each  $U, V \in \mathcal{P}(A)$ :

(★) If  $U \subset V$ , then  $f_!(U) \subset f_!(V)$ .

**007F** 2. *Triple Adjointness.* We have a triple adjunction

$$(f_* \dashv f^{-1} \dashv f_!): \quad \mathcal{P}(A) \begin{array}{c} \xleftarrow{\quad f_* \quad} \\[-1ex] \perp \\[-1ex] \xrightarrow{\quad f^{-1} \quad} \\[-1ex] \perp \\[-1ex] \xrightarrow{\quad f_! \quad} \end{array} \mathcal{P}(B),$$

witnessed by bijections of sets

$$\begin{aligned} \text{Hom}_{\mathcal{P}(B)}(f_*(U), V) &\cong \text{Hom}_{\mathcal{P}(A)}(U, f^{-1}(V)), \\ \text{Hom}_{\mathcal{P}(A)}(f^{-1}(U), V) &\cong \text{Hom}_{\mathcal{P}(B)}(U, f_!(V)), \end{aligned}$$

natural in  $U \in \mathcal{P}(A)$  and  $V \in \mathcal{P}(B)$  and (respectively)  $V \in \mathcal{P}(A)$  and  $U \in \mathcal{P}(B)$ , i.e. where:

(a) The following conditions are equivalent:

- i. We have  $f_*(U) \subset V$ ;
- ii. We have  $U \subset f^{-1}(V)$ ;

(b) The following conditions are equivalent:

- i. We have  $f^{-1}(U) \subset V$ .
- ii. We have  $U \subset f_!(V)$ .

**007G** 3. *Lax Preservation of Colimits.* We have an inclusion of sets

$$\bigcup_{i \in I} f_!(U_i) \subset f_!\left(\bigcup_{i \in I} U_i\right),$$

natural in  $\{U_i\}_{i \in I} \in \mathcal{P}(A)^{\times I}$ . In particular, we have inclusions

$$\begin{aligned} f_!(U) \cup f_!(V) &\hookrightarrow f_!(U \cup V), \\ \emptyset &\hookrightarrow f_!(\emptyset), \end{aligned}$$

natural in  $U, V \in \mathcal{P}(A)$ .

**007H** 4. *Preservation of Limits.* We have an equality of sets

$$f_!\left(\bigcap_{i \in I} U_i\right) = \bigcap_{i \in I} f_!(U_i),$$

natural in  $\{U_i\}_{i \in I} \in \mathcal{P}(A)^{\times I}$ . In particular, we have equalities

$$\begin{aligned} f^{-1}(U \cap V) &= f_!(U) \cap f^{-1}(V), \\ f_!(A) &= B, \end{aligned}$$

natural in  $U, V \in \mathcal{P}(A)$ .

- 007J** 5. *Symmetric Lax Monoidality With Respect to Unions.* The direct image with compact support function of [Item 1](#) has a symmetric lax monoidal structure

$$(f_!, f_!^\otimes, f_{!|k}^\otimes): (\mathcal{P}(A), \cup, \emptyset) \rightarrow (\mathcal{P}(B), \cup, \emptyset),$$

being equipped with inclusions

$$\begin{aligned} f_{!|U,V}^\otimes: f_!(U) \cup f_!(V) &\hookrightarrow f_!(U \cup V), \\ f_{!|k}^\otimes: \emptyset &\hookrightarrow f_!(\emptyset), \end{aligned}$$

natural in  $U, V \in \mathcal{P}(A)$ .

- 007K** 6. *Symmetric Strict Monoidality With Respect to Intersections.* The direct image function of [Item 1](#) has a symmetric strict monoidal structure

$$(f_!, f_!^\otimes, f_{!|k}^\otimes): (\mathcal{P}(A), \cap, A) \rightarrow (\mathcal{P}(B), \cap, B),$$

being equipped with equalities

$$\begin{aligned} f_{!|U,V}^\otimes: f_!(U \cap V) &\xrightarrow{=} f_!(U) \cap f_!(V), \\ f_{!|k}^\otimes: f_!(A) &\xrightarrow{=} B, \end{aligned}$$

natural in  $U, V \in \mathcal{P}(A)$ .

- 007L** 7. *Relation to Direct Images.* We have

$$f_!(U) = B \setminus f_*(A \setminus U)$$

for each  $U \in \mathcal{P}(A)$ .

- 007M** 8. *Interaction With Injections.* If  $f$  is injective, then we have

$$\begin{aligned} f_{!,im}(U) &= f_*(U), \\ f_{!,cp}(U) &= B \setminus \text{Im}(f), \\ f_!(U) &= f_{!,im}(U) \cup f_{!,cp}(U) \\ &= f_*(U) \cup (B \setminus \text{Im}(f)) \end{aligned}$$

for each  $U \in \mathcal{P}(A)$ .

007N

9. *Interaction With Surjections.* If  $f$  is surjective, then we have

$$\begin{aligned} f_{!,\text{im}}(U) &\subset f_*(U), \\ f_{!,\text{cp}}(U) &= \emptyset, \\ f_!(U) &\subset f_*(U) \end{aligned}$$

for each  $U \in \mathcal{P}(A)$ .

#### PROOF 2.4.6.6 ► PROOF OF PROPOSITION 2.4.6.5

**Item 1: Functoriality**

Clear.

**Item 2: Triple Adjointness**

This follows from ?? of ??.

**Item 3: Lax Preservation of Colimits**

Omitted.

**Item 4: Preservation of Limits**

Omitted. This follows from **Item 2** and ?? of ??.

**Item 5: Symmetric Lax Monoidality With Respect to Unions**

This follows from ??.

**Item 6: Symmetric Strict Monoidality With Respect to Intersections**

This follows from **Item 4**.

**Item 7: Relation to Direct Images**

We claim that  $f_!(U) = B \setminus f_*(A \setminus U)$ .

- *The First Implication.* We claim that

$$f_!(U) \subset B \setminus f_*(A \setminus U).$$

Let  $b \in f_!(U)$ . We need to show that  $b \notin f_*(A \setminus U)$ , i.e. that there is no  $a \in A \setminus U$  such that  $f(a) = b$ .

This is indeed the case, as otherwise we would have  $a \in f^{-1}(b)$  and  $a \notin U$ , contradicting  $f^{-1}(b) \subset U$  (which holds since  $b \in f_!(U)$ ).

Thus  $b \in B \setminus f_*(A \setminus U)$ .

- *The Second Implication.* We claim that

$$B \setminus f_*(A \setminus U) \subset f_!(U).$$

Let  $b \in B \setminus f_*(A \setminus U)$ . We need to show that  $b \in f_!(U)$ , i.e. that  $f^{-1}(b) \subset U$ .

Since  $b \notin f_*(A \setminus U)$ , there exists no  $a \in A \setminus U$  such that  $b = f(a)$ , and hence  $f^{-1}(b) \subset U$ .

Thus  $b \in f_!(U)$ .

This finishes the proof of [Item 7](#).

[Item 8: Interaction With Injections](#)

Clear.

[Item 9: Interaction With Surjections](#)

Clear. 

#### PROPOSITION 2.4.6.7 ► PROPERTIES OF DIRECT IMAGES WITH COMPACT SUPPORT II

[007P](#) Let  $f: A \rightarrow B$  be a function.

[007Q](#) 1. *Functionality I.* The assignment  $f \mapsto f_!$  defines a function

$$(-)_{!|A,B}: \text{Sets}(A, B) \rightarrow \text{Sets}(\mathcal{P}(A), \mathcal{P}(B)).$$

[007R](#) 2. *Functionality II.* The assignment  $f \mapsto f_!$  defines a function

$$(-)_{!|A,B}: \text{Sets}(A, B) \rightarrow \text{Pos}((\mathcal{P}(A), \subset), (\mathcal{P}(B), \subset)).$$

[007S](#) 3. *Interaction With Identities.* For each  $A \in \text{Obj}(\text{Sets})$ , we have

$$(\text{id}_A)_! = \text{id}_{\mathcal{P}(A)};$$

[007T](#) 4. *Interaction With Composition.* For each pair of composable functions  $f: A \rightarrow B$  and  $g: B \rightarrow C$ , we have

$$\begin{array}{ccc} \mathcal{P}(A) & \xrightarrow{f_!} & \mathcal{P}(B) \\ (g \circ f)_! & \searrow & \downarrow g_! \\ & & \mathcal{P}(C). \end{array}$$

**PROOF 2.4.6.8 ► PROOF OF PROPOSITION 2.4.6.7**

Item 1: Functionality I  
Clear.

Item 2: Functionality II  
Clear.

Item 3: Interaction With Identities  
This follows from ?? of ??.

Item 4: Interaction With Composition  
This follows from ?? of ??.

# Appendices

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# Chapter 3

## Pointed Sets

**007U** This chapter contains some foundational material on pointed sets.

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### 3.1 Pointed Sets

#### 3.1.1 Foundations

**DEFINITION 3.1.1.1 ► POINTED SETS**

007X

A **pointed set**<sup>1</sup> is equivalently

- An  $\mathbb{E}_0$ -monoid in  $(N_\bullet(\text{Sets}), \text{pt})$ ;
- A pointed object in  $(\text{Sets}, \text{pt})$ .

<sup>1</sup>*Further Terminology:* Also called an  $\mathbb{F}_1$ -**module**.

**REMARK 3.1.1.2 ► UNWINDING DEFINITION 3.1.1.1**

007Y

In detail, a **pointed set** is a pair  $(X, x_0)$  consisting of

- *The Underlying Set.* A set  $X$ , called the **underlying set of**  $(X, x_0)$ ;
- *The Basepoint.* A morphism

$$[x_0] : \text{pt} \rightarrow X$$

in  $\text{Sets}$ , determining an element  $x_0 \in X$ , called the **basepoint of**  $X$ .

**EXAMPLE 3.1.1.3 ► THE ZERO SPHERE**

007Z

The **0-sphere**<sup>1</sup> is the pointed set  $(S^0, 0)$ <sup>2</sup> consisting of

- *The Underlying Set.* The set  $S^0$  defined by
- $$S^0 \stackrel{\text{def}}{=} \{0, 1\};$$
- *The Basepoint.* The element  $0$  of  $S^0$ .

<sup>1</sup>*Further Terminology:* Also called the **underlying pointed set of the field with one element**.

<sup>2</sup>*Further Notation:* Also denoted  $(\mathbb{F}_1, 0)$ .

**EXAMPLE 3.1.1.4 ► THE TRIVIAL POINTED SET**

0080

The **trivial pointed set** is the pointed set  $(\text{pt}, \star)$  consisting of

- *The Underlying Set.* The punctual set  $\text{pt} \stackrel{\text{def}}{=} \{\star\}$ ;
- *The Basepoint.* The element  $\star$  of  $\text{pt}$ .

**EXAMPLE 3.1.1.5 ► THE UNDERLYING POINTED SET OF A SEMIMODULE**

0081

The **underlying pointed set** of a semimodule  $(M, \alpha_M)$  is the pointed set  $(M, 0_M)$ .

**EXAMPLE 3.1.1.6 ► THE UNDERLYING POINTED SET OF A MODULE**

0082 The **underlying pointed set** of a module  $(M, \alpha_M)$  is the pointed set  $(M, 0_M)$ .

**3.1.2 Morphisms of Pointed Sets****DEFINITION 3.1.2.1 ► MORPHISMS OF POINTED SETS**

0084 A **morphism of pointed sets**<sup>1</sup> is equivalently

- A morphism of  $\mathbb{E}_0$ -monoids in  $(N_\bullet(\text{Sets}), \text{pt})$ .
- A morphism of pointed objects in  $(\text{Sets}, \text{pt})$ .

<sup>1</sup>Further Terminology: Also called a **pointed function** or a **morphism of  $\mathbb{F}_1$ -modules**.

**REMARK 3.1.2.2 ► UNWINDING DEFINITION 3.1.2.1**

0085 In detail, a **morphism of pointed sets**  $f: (X, x_0) \rightarrow (Y, y_0)$  is a morphism of sets  $f: X \rightarrow Y$  such that the diagram

$$\begin{array}{ccc} & \text{pt} & \\ [x_0] & \swarrow & \searrow [y_0] \\ X & \xrightarrow{f} & Y \end{array}$$

commutes, i.e. such that

$$f(x_0) = y_0.$$

**3.1.3 The Category of Pointed Sets****DEFINITION 3.1.3.1 ► THE CATEGORY OF POINTED SETS**

0087 The **category of pointed sets** is the category  $\text{Sets}_*$  defined equivalently as

- The homotopy category of the  $\infty$ -category  $\text{Mon}_{\mathbb{E}_0}(N_\bullet(\text{Sets}), \text{pt})$  of ??;
- The category  $\text{Sets}_*$  of ??.

**REMARK 3.1.3.2 ► UNWINDING DEFINITION 3.1.3.1**

0088 In detail, the **category of pointed sets** is the category  $\text{Sets}_*$  where

- *Objects.* The objects of  $\text{Sets}_*$  are pointed sets;

- *Morphisms.* The morphisms of  $\text{Sets}_*$  are morphisms of pointed sets;

- *Identities.* For each  $(X, x_0) \in \text{Obj}(\text{Sets}_*)$ , the unit map

$$\mathbb{1}_{(X, x_0)}^{\text{Sets}_*} : \text{pt} \rightarrow \text{Sets}_*((X, x_0), (X, x_0))$$

of  $\text{Sets}_*$  at  $(X, x_0)$  is defined by<sup>1</sup>

$$\text{id}_{(X, x_0)}^{\text{Sets}_*} \stackrel{\text{def}}{=} \text{id}_X;$$

- *Composition.* For each  $(X, x_0), (Y, y_0), (Z, z_0) \in \text{Obj}(\text{Sets}_*)$ , the composition map

$$\circ_{(X, x_0), (Y, y_0), (Z, z_0)}^{\text{Sets}_*} : \text{Sets}_*((Y, y_0), (Z, z_0)) \times \text{Sets}_*((X, x_0), (Y, y_0)) \rightarrow \text{Sets}_*((X, x_0), (Z, z_0))$$

of  $\text{Sets}_*$  at  $((X, x_0), (Y, y_0), (Z, z_0))$  is defined by<sup>2</sup>

$$g \circ_{(X, x_0), (Y, y_0), (Z, z_0)}^{\text{Sets}_*} f \stackrel{\text{def}}{=} g \circ f.$$

<sup>1</sup>Note that  $\text{id}_X$  is indeed a morphism of pointed sets, as we have  $\text{id}_X(x_0) = x_0$ .

<sup>2</sup>Note that the composition of two morphisms of pointed sets is indeed a morphism of pointed sets, as we have

$$\begin{aligned} g(f(x_0)) &= g(y_0) \\ &= z_0, \end{aligned}$$

or

$$\begin{array}{ccccc} & & \text{pt} & & \\ & [x_0] & \swarrow & \downarrow & \searrow [z_0] \\ X & \xrightarrow{f} & Y & \xrightarrow{g} & Z \end{array}$$

in terms of diagrams.

### 3.1.4 Elementary Properties of Pointed Sets

#### PROPOSITION 3.1.4.1 ► ELEMENTARY PROPERTIES OF POINTED SETS

008A Let  $(X, x_0)$  be a pointed set.

008B 1. *Completeness.* The category  $\text{Sets}_*$  of pointed sets and morphisms between them is complete, having in particular products (Definition 3.2.1.1), pullbacks (Definition 3.2.3.1), and equalisers (Definition 3.2.2.1).

008C 2. *Cocompleteness.* The category  $\text{Sets}_*$  of pointed sets and morphisms between

them is cocomplete, having in particular coproducts ([Definition 3.3.1.1](#)), pushouts ([Definition 3.3.2.1](#)), and coequalisers ([Definition 3.3.3.1](#)).

**008D** 3. *Failure To Be Cartesian Closed.* The category  $\text{Sets}_*$  is not Cartesian closed.

**008E** 4. *Relation to Partial Functions.* We have an equivalence of categories<sup>1</sup>

$$\text{Sets}_* \xrightarrow{\text{eq.}} \text{Sets}^{\text{part.}}$$

between the category of pointed sets and pointed functions between them and the category of sets and partial functions between them.



**1** *Warning:* This is not an isomorphism of categories, only an equivalence.

END TEXTDBEND

#### PROOF 3.1.4.2 ► PROOF OF PROPOSITION 3.1.4.1

Item 1: Completeness

Omitted.

Item 2: Cocompleteness

Omitted.

Item 3: Failure To Be Cartesian Closed

See [[MSE2855868](#)].

Item 4: Relation to Partial Functions

Omitted.



## 3.2 Limits of Pointed Sets

### 3.2.1 Products

Let  $(X, x_0)$  and  $(Y, y_0)$  be pointed sets.

#### DEFINITION 3.2.1.1 ► PRODUCTS OF POINTED SETS

**008H** The **product of**  $(X, x_0)$  **and**  $(Y, y_0)$  is the pointed set  $(X \times Y, (x_0, y_0))$ .

### 3.2.2 Equalisers

Let  $f, g: (X, x_0) \rightrightarrows (Y, y_0)$  be morphisms of pointed sets.

**DEFINITION 3.2.2.1 ► EQUALISERS OF POINTED SETS**

008K

The **equaliser of**  $(f, g)$  is the pointed set  $(\text{Eq}_*(f, g), x_0)$  consisting of

- *The Underlying Set.* The set  $\text{Eq}_*(f, g)$  defined by

$$\text{Eq}_*(f, g) \stackrel{\text{def}}{=} \{x \in X \mid f(x) = y_0 = g(x)\};$$

- *The Basepoint.* The element  $x_0$  of  $\text{Eq}_*(f, g)$ .

**3.2.3 Pullbacks**

Let  $(X, x_0)$ ,  $(Y, y_0)$ , and  $(Z, z_0)$  be pointed sets and let  $f: (X, x_0) \rightarrow (Z, z_0)$  and  $g: (Y, y_0) \rightarrow (Z, z_0)$  be morphisms of pointed sets.

**DEFINITION 3.2.3.1 ► PULLBACKS OF POINTED SETS**

008M

The **pullback of**  $(X, x_0)$  **and**  $(Y, y_0)$  **over**  $(Z, z_0)$  **along**  $(f, g)$  is the pointed set  $((X, x_0) \times_{(z, z_0)} (Y, y_0), p_0)$  consisting of

- *The Underlying Set.* The set  $(X, x_0) \times_{(z, z_0)} (Y, y_0)$  defined by

$$(X, x_0) \times_{(z, z_0)} (Y, y_0) \stackrel{\text{def}}{=} \{(x, y) \in X \times Y \mid f(x) = z_0 = g(y)\};$$

- *The Basepoint.* The element  $(x_0, y_0)$  of  $(X, x_0) \times_{(z, z_0)} (Y, y_0)$ .

**3.3 Colimits of Pointed Sets****3.3.1 Coproducts**

Let  $(X, x_0)$  and  $(Y, y_0)$  be pointed sets.

**DEFINITION 3.3.1.1 ► COPRODUCTS OF POINTED SETS**

008Q

The **coproduct of**  $(X, x_0)$  **and**  $(Y, y_0)$  is their wedge sum  $(X \vee Y, p_0)$  of Definition 3.4.3.1.

**3.3.2 Pushouts**

Let  $(X, x_0)$ ,  $(Y, y_0)$ , and  $(Z, z_0)$  be pointed sets and let  $f: (Z, z_0) \rightarrow (X, x_0)$  and  $g: (Z, z_0) \rightarrow (Y, y_0)$  be morphisms of pointed sets.

**DEFINITION 3.3.2.1 ► PUSHOUTS OF POINTED SETS**

**008S** The **pushout of**  $(X, x_0)$  **and**  $(Y, y_0)$  **over**  $(Z, z_0)$  **along**  $(f, g)$  is the pointed set  $(X \coprod_{f, Z, g} Y, p_0)$ , where  $p_0 = [x_0] = [y_0]$ .

**3.3.3 Coequalisers**

Let  $f, g: (X, x_0) \rightrightarrows (Y, y_0)$  be morphisms of pointed sets.

**DEFINITION 3.3.3.1 ► COEQUALISERS OF POINTED SETS**

**008U** The **coequaliser of**  $(f, g)$  is the pointed set  $(\text{CoEq}(f, g), x_0)$ .

**3.4 Constructions With Pointed Sets****3.4.1 Internal Hom**

Let  $(X, x_0)$  and  $(Y, y_0)$  be pointed sets.

**DEFINITION 3.4.1.1 ► POINTED SETS OF MORPHISMS OF POINTED SETS**

**008X** The **pointed set of morphisms of pointed sets from**  $(X, x_0)$  **to**  $(Y, y_0)$  is the pointed set  $\mathbf{Sets}_*((X, x_0), (Y, y_0))$  consisting of

- *The Underlying Set.* The set  $\mathbf{Sets}_*((X, x_0), (Y, y_0))$  of morphisms of pointed sets from  $(X, x_0)$  to  $(Y, y_0)$ ;
- *The Basepoint.* The element

$$\Delta_{y_0}: (X, x_0) \rightarrow (Y, y_0)$$

of  $\mathbf{Sets}_*((X, x_0), (Y, y_0))$ .

**3.4.2 Free Pointed Sets**

Let  $X$  be a set.

**DEFINITION 3.4.2.1 ► FREE POINTED SETS**

**008Z** The **free pointed set on**  $X$  is the pointed set  $X^+$  consisting of

- *The Underlying Set.* The set  $X^+$  defined by

$$X^+ \stackrel{\text{def}}{=} X \sqcup \text{pt};$$

- *The Basepoint.* The element  $\star$  of  $X^+$ .

#### PROPOSITION 3.4.2.2 ► PROPERTIES OF FREE POINTED SETS

0090 Let  $X$  be a set.

0091 1. *Functionality.* The assignment  $X \mapsto X^+$  defines a functor

$$(-)^+: \text{Sets} \rightarrow \text{Sets}_*,$$

where

- *Action on Objects.* For each  $X \in \text{Obj}(\text{Sets})$ , we have

$$[(-)^+](X) \stackrel{\text{def}}{=} X_+,$$

where  $X_+$  is the pointed set of [Definition 3.4.2.1](#);

- *Action on Morphisms.* For each morphism  $f: X \rightarrow Y$  of  $\text{Sets}$ , the image

$$f_+: X_+ \rightarrow Y_+$$

of  $f$  by  $(-)^+$  is the map of pointed sets defined by

$$f^+(x) \stackrel{\text{def}}{=} \begin{cases} f(x) & \text{if } x \in X, \\ \star & \text{if } x = \star. \end{cases}$$

0092 2. *Adjointness.* We have an adjunction

$$((-)^+ \dashv \text{忘}): \text{Sets} \begin{array}{c} \xrightarrow{(-)^+} \\ \perp \\ \xleftarrow{\text{忘}} \end{array} \text{Sets}_*,$$

witnessed by a bijection of sets

$$\text{Sets}_*((X_+, \star), (Y, y_0)) \cong \text{Sets}(X, Y),$$

natural in  $X \in \text{Obj}(\text{Sets})$  and  $(Y, y_0) \in \text{Obj}(\text{Sets}_*)$ .

0093

3. *Symmetric Strong Monoidality With Respect to Wedge Sums.* The free pointed set functor of [Item 1](#) has a symmetric strong monoidal structure

$$\left((-)^+, (-)^+, \coprod, (-)_{\sharp}^+, \coprod\right): (\text{Sets}, \coprod, \emptyset) \rightarrow (\text{Sets}_*, \vee, \text{pt}),$$

being equipped with isomorphisms of pointed sets

$$\begin{aligned} (-)_{X,Y}^{+, \coprod}: X^+ \vee Y^+ &\xrightarrow{\cong} (X \coprod Y)^+, \\ (-)_{\sharp}^{+, \coprod}: \text{pt} &\xrightarrow{\cong} \emptyset^+, \end{aligned}$$

natural in  $X, Y \in \text{Obj}(\text{Sets})$ .

0094

4. *Symmetric Strong Monoidality With Respect to Smash Products.* The free pointed set functor of [Item 1](#) has a symmetric strong monoidal structure

$$\left((-)^+, (-)^{+, \times}, (-)_{\sharp}^{+, \times}\right): (\text{Sets}, \times, \text{pt}) \rightarrow (\text{Sets}_*, \wedge, S^0),$$

being equipped with isomorphisms of pointed sets

$$\begin{aligned} (-)_{X,Y}^{+, \times}: X^+ \wedge Y^+ &\xrightarrow{\cong} (X \times Y)^+, \\ (-)_{\sharp}^{+, \times}: S^0 &\xrightarrow{\cong} \text{pt}^+, \end{aligned}$$

natural in  $X, Y \in \text{Obj}(\text{Sets})$ .

#### PROOF 3.4.2.3 ▶ PROOF OF PROPOSITION 3.4.2.2

**Item 1: Functoriality**

Clear.

**Item 2: Adjointness**

Clear.

**Item 3: Symmetric Strong Monoidality With Respect to Wedge Sums**

Omitted.

**Item 4: Symmetric Strong Monoidality With Respect to Smash Products**

Omitted.



### 3.4.3 Wedge Sums of Pointed Sets

Let  $(X, x_0)$  and  $(Y, y_0)$  be pointed sets.

## DEFINITION 3.4.3.1 ► WEDGE SUMS OF POINTED SETS

0096 The **wedge sum** of  $X$  and  $Y$  is the pointed set  $(X \vee Y, p_0)$  consisting of

- *The Underlying Set.* The set  $X \vee Y$  defined by<sup>1</sup>

$$(X \vee Y, p_0) \stackrel{\text{def}}{=} (X, x_0) \coprod (Y, y_0) \cong (X \coprod_{\text{pt}} Y, p_0) \cong (X \coprod Y / \sim, p_0),$$

$$\begin{array}{ccc} X \vee Y & \xleftarrow{\quad \lrcorner \quad} & Y \\ \uparrow & & \uparrow [y_0] \\ X & \xleftarrow{\quad [x_0] \quad} & \text{pt}, \end{array}$$

where  $\sim$  is the equivalence relation on  $X \coprod Y$  given by  $x_0 \sim y_0$ ;

- *The Basepoint.* The element  $p_0$  of  $X \vee Y$  defined by

$$p_0 \stackrel{\text{def}}{=} [x_0] = [y_0].$$

<sup>1</sup>Here  $(X, x_0) \coprod (Y, y_0)$  is the coproduct of  $(X, x_0)$  and  $(Y, y_0)$  in  $\text{Sets}_*$ .

## PROPOSITION 3.4.3.2 ► PROPERTIES OF WEDGE SUMS OF POINTED SETS

0097 Let  $(X, x_0)$  and  $(Y, y_0)$  be pointed sets.

0098 1. *Functionality.* The assignments  $(X, x_0), (Y, y_0), ((X, x_0), (Y, y_0)) \mapsto (X \vee Y, p_0)$  define functors

$$\begin{aligned} X \vee - &: \text{Sets}_* \rightarrow \text{Sets}_*, \\ - \vee Y &: \text{Sets}_* \rightarrow \text{Sets}_*, \\ -_1 \vee -_2 &: \text{Sets}_* \times \text{Sets}_* \rightarrow \text{Sets}_*. \end{aligned}$$

0099 2. *Associativity.* We have an isomorphism of pointed sets

$$(X \vee Y) \vee Z \cong X \vee (Y \vee Z),$$

natural in  $(X, x_0), (Y, y_0), (Z, z_0) \in \text{Sets}_*$ .

009A 3. *Unitality.* We have isomorphisms of pointed sets

$$\begin{aligned} \text{pt} \vee X &\cong X, \\ X \vee \text{pt} &\cong X, \end{aligned}$$

natural in  $(X, x_0) \in \text{Sets}_*$ .

009B

4. *Commutativity.* We have an isomorphism of pointed sets

$$X \vee Y \cong Y \vee X,$$

natural in  $(X, x_0), (Y, y_0) \in \text{Sets}_*$ .

009C

5. *Symmetric Monoidality.* The triple  $(\text{Sets}_*, \vee, \text{pt})$  is a symmetric monoidal category.

009D

6. *Symmetric Strong Monoidality With Respect to Free Pointed Sets.* The free pointed set functor of Item 1 of Proposition 3.4.2.2 has a symmetric strong monoidal structure

$$\left( (-)^+, (-)^+, \coprod, (-)_\sharp^+ \right): (\text{Sets}, \coprod, \emptyset) \rightarrow (\text{Sets}_*, \vee, \text{pt}),$$

being equipped with isomorphisms of pointed sets

$$\begin{aligned} (-)_{X,Y}^+ \coprod: X^+ \vee Y^+ &\xrightarrow{\cong} (X \coprod Y)^+, \\ (-)_\sharp^+ \coprod: \text{pt} &\xrightarrow{\cong} \emptyset^+, \end{aligned}$$

natural in  $X, Y \in \text{Obj}(\text{Sets})$ .

009E

7. *The Fold Map.* We have a natural transformation

$$\nabla: \vee \circ \Delta_{\text{Sets}_*}^{\text{Cats}} \Longrightarrow \text{id}_{\text{Sets}_*},$$

called the **fold map**, whose component

$$\nabla_X: X \vee X \rightarrow X$$

at  $X$  is given by the composition

$$\begin{aligned} X &\xrightarrow{\Delta_X} X \times X \\ &\longrightarrow X \times X / \sim \\ &\xrightarrow{\text{def}} X \vee X. \end{aligned}$$

PROOF 3.4.3.3 ► PROOF OF PROPOSITION 3.4.3.2	
Item 1: Functoriality	
Omitted.	
Item 2: Associativity	
Omitted.	
Item 3: Unitality	
Omitted.	
Item 4: Commutativity	
Omitted.	
Item 5: Symmetric Monoidality	
Omitted.	
Item 6: Symmetric Strong Monoidality With Respect to Free Pointed Sets	
Omitted.	
Item 7: The Fold Map	
Omitted.	

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## Chapter 4

# Tensor Products of Pointed Sets

**009F** This chapter contains some material on tensor products of pointed sets.

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## 4.1 Bilinear Morphisms of Pointed Sets

### 4.1.1 Left Bilinear Morphisms of Pointed Sets

Let  $(X, x_0)$ ,  $(Y, y_0)$ , and  $(Z, z_0)$  be pointed sets.

#### DEFINITION 4.1.1.1 ► LEFT BILINEAR MORPHISMS OF POINTED SETS

009J

A **left bilinear morphism of pointed sets from  $(X \times Y, (x_0, y_0))$  to  $(Z, z_0)$**  is a map of sets

$$f: (X \times Y, (x_0, y_0)) \rightarrow (Z, z_0)$$

satisfying the following condition:<sup>1,2</sup>

(★) *Left Unital Bilinearity.* The diagram

$$\begin{array}{ccc} & \text{pt} \times \text{pt} & \\ \text{id}_{\text{pt}} \times \epsilon_Y \nearrow & \searrow & \\ \text{pt} \times Y & & \text{pt} \\ \downarrow [x_0] \times \text{id}_Y & & \downarrow [z_0] \\ X \times Y & \xrightarrow{f} & Z \end{array}$$

commutes, i.e. for each  $y \in Y$ , we have

$$f(x_0, y) = z_0.$$

<sup>1</sup>Slogan:  $f$  is left bilinear if it preserves basepoints in its first argument.

<sup>2</sup>Succinctly,  $f$  is bilinear if we have

$$f(x_0, y) = z_0$$

for each  $y \in Y$ .

#### DEFINITION 4.1.1.2 ► THE SET OF LEFT BILINEAR MORPHISMS OF POINTED SETS

009K

The **set of left bilinear morphisms of pointed sets from  $(X \times Y, (x_0, y_0))$  to  $(Z, z_0)$**  is the set  $\text{Hom}_{\text{Sets}_*}^{\otimes, L}(X \times Y, Z)$  defined by

$$\text{Hom}_{\text{Sets}_*}^{\otimes, L}(X \times Y, Z) \stackrel{\text{def}}{=} \{f \in \text{Sets}_*(A \times B, C) \mid f \text{ is left bilinear}\}.$$

### 4.1.2 Right Bilinear Morphisms of Pointed Sets

Let  $(X, x_0)$ ,  $(Y, y_0)$ , and  $(Z, z_0)$  be pointed sets.

## DEFINITION 4.1.2.1 ► RIGHT BILINEAR MORPHISMS OF POINTED SETS

009M

A **right bilinear morphism of pointed sets from  $(X \times Y, (x_0, y_0))$  to  $(Z, z_0)$**  is a map of sets

$$f: (X \times Y, (x_0, y_0)) \rightarrow (Z, z_0)$$

satisfying the following condition:<sup>1,2</sup>

(★) *Right Unital Bilinearity.* The diagram

$$\begin{array}{ccc} & \text{pt} \times \text{pt} & \\ \epsilon_X \times \text{id}_{\text{pt}} \nearrow & \swarrow \curvearrowright & \\ X \times \text{pt} & & \text{pt} \\ \downarrow \text{id}_X \times [y_0] & & \downarrow [z_0] \\ X \times Y & \xrightarrow{f} & Z \end{array}$$

commutes, i.e. for each  $x \in X$ , we have

$$f(x, y_0) = z_0.$$

<sup>1</sup>Slogan:  $f$  is right bilinear if it preserves basepoints in its second argument.

<sup>2</sup>Succinctly,  $f$  is bilinear if we have

$$f(x, y_0) = z_0$$

for each  $x \in X$ .

## DEFINITION 4.1.2.2 ► THE SET OF RIGHT BILINEAR MORPHISMS OF POINTED SETS

009N

The **set of right bilinear morphisms of pointed sets from  $(X \times Y, (x_0, y_0))$  to  $(Z, z_0)$**  is the set  $\text{Hom}_{\text{Sets}_*}^{\otimes, R}(X \times Y, Z)$  defined by

$$\text{Hom}_{\text{Sets}_*}^{\otimes, R}(X \times Y, Z) \stackrel{\text{def}}{=} \{f \in \text{Sets}_*(A \times B, C) \mid f \text{ is right bilinear}\}.$$

## 4.1.3 Bilinear Morphisms of Pointed Sets

Let  $(X, x_0)$ ,  $(Y, y_0)$ , and  $(Z, z_0)$  be pointed sets.

## DEFINITION 4.1.3.1 ► BILINEAR MORPHISMS OF POINTED SETS

**009Q** A **bilinear morphism of pointed sets from  $(X \times Y, (x_0, y_0))$  to  $(Z, z_0)$**  is a map of sets

$$f: (X \times Y, (x_0, y_0)) \rightarrow (Z, z_0)$$

that is both left bilinear and right bilinear.

## REMARK 4.1.3.2 ► UNWINDING DEFINITION 4.1.3.1

**009R** In detail, a **bilinear morphism of pointed sets from  $(X \times Y, (x_0, y_0))$  to  $(Z, z_0)$**  is a map of sets

$$f: (X \times Y, (x_0, y_0)) \rightarrow (Z, z_0)$$

satisfying the following conditions:<sup>1,2</sup>

1. *Left Unital Bilinearity.* The diagram

$$\begin{array}{ccc} & \text{pt} \times \text{pt} & \\ \text{id}_{\text{pt}} \times \epsilon_Y \searrow & \nearrow & \text{pt} \\ \text{pt} \times Y & & \text{pt} \\ \downarrow [x_0] \times \text{id}_Y & & \downarrow [z_0] \\ X \times Y & \xrightarrow{f} & Z \end{array}$$

commutes, i.e. for each  $y \in Y$ , we have

$$f(x_0, y) = z_0.$$

2. *Right Unital Bilinearity.* The diagram

$$\begin{array}{ccc} & \text{pt} \times \text{pt} & \\ \epsilon_X \times \text{id}_{\text{pt}} \searrow & \nearrow & \text{pt} \\ X \times \text{pt} & & \text{pt} \\ \downarrow \text{id}_X \times [y_0] & & \downarrow [z_0] \\ X \times Y & \xrightarrow{f} & Z \end{array}$$

commutes, i.e. for each  $x \in X$ , we have

$$f(x, y_0) = z_0.$$

<sup>1</sup>Slogan:  $f$  is bilinear if it preserves basepoints in each argument.

<sup>2</sup>Succinctly,  $f$  is bilinear if we have

$$\begin{aligned} f(x_0, y) &= z_0, \\ f(x, y_0) &= z_0 \end{aligned}$$

for each  $x \in X$  and each  $y \in Y$ .

#### DEFINITION 4.1.3.3 ► THE SET OF BILINEAR MORPHISMS OF POINTED SETS

009S

The **set of bilinear morphisms of pointed sets from**  $(X \times Y, (x_0, y_0))$  **to**  $(Z, z_0)$  is the set  $\text{Hom}_{\text{Sets}_*}^\otimes(X \times Y, Z)$  defined by

$$\text{Hom}_{\text{Sets}_*}^\otimes(X \times Y, Z) \stackrel{\text{def}}{=} \{f \in \text{Sets}_*(A \times B, C) \mid f \text{ is bilinear}\}.$$

## 4.2 Tensors and Cotensors of Pointed Sets by Sets

### 4.2.1 Tensors of Pointed Sets by Sets

Let  $(X, x_0)$  be a pointed set and let  $A$  be a set.

#### DEFINITION 4.2.1.1 ► TENSORS OF POINTED SETS BY SETS

009V

The **tensor of**  $(X, x_0)$  **by**  $A$  is the pointed set  $A \odot (X, x_0)$  satisfying the following universal property:

(UP) We have a bijection

$$\text{Sets}_*(A \odot X, K) \cong \text{Sets}(A, \text{Sets}_*(X, K)),$$

natural in  $(K, k_0) \in \text{Obj}(\text{Sets}_*)$ .

#### REMARK 4.2.1.2 ► UNWINDING DEFINITION 4.2.1.1

009W

The tensor of  $(X, x_0)$  by  $A$  satisfies the following universal property:

$$\text{Sets}_*(A \odot X, K) \cong \text{Sets}_{\mathbb{E}_0}^\otimes(A \times X, K),$$

where  $\text{Sets}_{\mathbb{E}_0}^\otimes(A \times X, K)$  is the set defined by

$$\text{Sets}_{\mathbb{E}_0}^\otimes(A \times X, K) \stackrel{\text{def}}{=} \left\{ f \in \text{Sets}(A \times X, K) \mid \begin{array}{l} \text{for each } a \in A, \text{ we have} \\ f(a, x_0) = k_0 \end{array} \right\}.$$

**CONSTRUCTION 4.2.1.3 ► CONSTRUCTION OF TENSORS OF POINTED SETS BY SETS**

- 009X** Concretely, the **tensor of**  $(X, x_0)$  **by**  $A$  is the pointed set  $A \odot (X, x_0)$  consisting of
- *The Underlying Set.* The set  $A \odot X$  given by

$$A \odot X \cong \bigvee_{a \in A} (X, x_0);$$

- *The Basepoint.* The point  $[x_0]$  of  $\bigvee_{a \in A} (X, x_0)$ .

**4.2.2 COTENSORS OF POINTED SETS BY SETS**

Let  $(X, x_0)$  be a pointed set and let  $A$  be a set.

**DEFINITION 4.2.2.1 ► COTENSORS OF POINTED SETS BY SETS**

- 009Z** The **cotensor of**  $(X, x_0)$  **by**  $A$  is the pointed set  $A \pitchfork (X, x_0)$  satisfying the following universal property:
- (UP) We have a bijection

$$\text{Sets}_*(K, A \pitchfork X) \cong \text{Sets}(A, \text{Sets}_*(K, X)),$$

natural in  $(K, k_0) \in \text{Obj}(\text{Sets}_*)$ .

**REMARK 4.2.2.2 ► UNWINDING DEFINITION 4.2.2.1**

- 00A0** The cotensor of  $(X, x_0)$  by  $A$  satisfies the following universal property:

$$\text{Sets}_*(K, A \pitchfork X) \cong \text{Sets}_{\mathbb{E}_0}^\otimes(A \times K, X),$$

where  $\text{Sets}_{\mathbb{E}_0}^\otimes(A \times K, X)$  is the set defined by

$$\text{Sets}_{\mathbb{E}_0}^\otimes(A \times K, X) \stackrel{\text{def}}{=} \left\{ f \in \text{Sets}(A \times K, X) \mid \begin{array}{l} \text{for each } a \in A, \text{ we have} \\ f(a, k_0) = x_0 \end{array} \right\}.$$

**CONSTRUCTION 4.2.2.3 ► CONSTRUCTION OF COTENSORS OF POINTED SETS BY SETS**

- 00A1** Concretely, the **cotensor of**  $(X, x_0)$  **by**  $A$  is the pointed set  $A \pitchfork (X, x_0)$  consisting of

- *The Underlying Set.* The set  $A \pitchfork X$  given by

$$A \pitchfork X \cong \bigwedge_{a \in A} (X, x_0);$$

- *The Basepoint.* The point  $[(x_0, x_0, x_0, \dots)]$  of  $\bigwedge_{a \in A} (X, x_0)$ .

## 4.3 The Left Tensor Product of Pointed Sets

### 4.3.1 Foundations

Let  $(X, x_0)$  and  $(Y, y_0)$  be pointed sets.

#### DEFINITION 4.3.1.1 ► THE LEFT TENSOR PRODUCT OF POINTED SETS

**00A4** The **left tensor product of pointed sets** is the functor

$$\triangleleft_{\text{Sets}_*} : \text{Sets}_* \times \text{Sets}_* \rightarrow \text{Sets}_*$$

defined as the composition

$$\text{Sets}_* \times \text{Sets}_* \xrightarrow{\text{id} \times \beta_{\text{Sets}_*}} \text{Sets}_* \times \text{Sets} \xrightarrow{\beta_{\text{Sets}_*, \text{Sets}}^{\text{Cats}_2}} \text{Sets} \times \text{Sets}_* \xrightarrow{\odot} \text{Sets}_*.$$

#### REMARK 4.3.1.2 ► UNWINDING DEFINITION 4.3.1.1, I: UNIVERSAL PROPERTY

**00A5** The left tensor product of pointed sets satisfies the following universal property:<sup>1</sup>

$$\text{Sets}_*(X \triangleleft_{\text{Sets}_*} Y, Z) \cong \text{Hom}_{\text{Sets}_*}^{\otimes, L}(X \times Y, Z).$$

<sup>1</sup>Namely, a pointed map  $f : X \triangleleft_{\text{Sets}_*} Y \rightarrow Z$  is the same as a map  $f^\dagger : X \times Y \rightarrow Z$  such that

$$f^\dagger(x_0, y) = z_0$$

for each  $y \in Y$ .

#### REMARK 4.3.1.3 ► UNWINDING DEFINITION 4.3.1.1, II: EXPLICIT DESCRIPTION

**00A6** In detail, the **left tensor product of**  $(X, x_0)$  **and**  $(Y, y_0)$  is the pointed set  $(X \triangleleft_{\text{Sets}_*} Y, [x_0])$  consisting of

- *The Underlying Set.* The set  $X \triangleleft_{\text{Sets}_*} Y$  defined by

$$\begin{aligned} X \triangleleft_{\text{Sets}_*} Y &\stackrel{\text{def}}{=} |Y| \odot X \\ &\cong \bigvee_{y \in Y} (X, x_0); \end{aligned}$$

- *The Underlying Basepoint.* The point  $[x_0]$  of  $\bigvee_{y \in Y} (X, x_0)$ .

<sup>1</sup>Further Notation: We write  $x \triangleleft_{\text{Sets}_*} y$  for the image of  $(x, y)$  under the map

$$\begin{array}{ccc} X \times Y & \rightarrow & \underbrace{X \triangleleft_{\text{Sets}_*} Y}_{\cong \bigvee_{y \in Y} (X, x_0)} . \end{array}$$

sending  $(x, y)$  to the element  $x \in X$  in the  $y$ th copy of  $X$  in  $\bigvee_{y \in Y} (X, x_0)$ . Note that we have

$$x_0 \triangleleft_{\text{Sets}_*} y = x_0 \triangleleft_{\text{Sets}_*} y',$$

for each  $y, y' \in Y$ .

#### PROPOSITION 4.3.1.4 ► PROPERTIES OF LEFT TENSOR PRODUCTS OF POINTED SETS

00A7 Let  $(X, x_0)$  and  $(Y, y_0)$  be pointed sets.

00A8 1. *Functoriality.* The assignments  $X, Y, (X, Y) \mapsto X \triangleleft_{\text{Sets}_*} Y$  define functors

$$\begin{aligned} X \triangleleft_{\text{Sets}_*} - &: \text{Sets}_* \rightarrow \text{Sets}_*, \\ - \triangleleft_{\text{Sets}_*} Y &: \text{Sets}_* \rightarrow \text{Sets}_*, \\ -_1 \triangleleft_{\text{Sets}_*} -_2 &: \text{Sets}_* \times \text{Sets}_* \rightarrow \text{Sets}_*. \end{aligned}$$

#### PROOF 4.3.1.5 ► PROOF OF PROPOSITION 4.3.1.4

Item 1: Functoriality

Omitted. 

#### 4.3.2 The Skew Associator

##### DEFINITION 4.3.2.1 ► THE SKEW ASSOCIATOR OF $\triangleleft_{\text{Sets}_*}$

00AA The **skew associator of the left tensor product of pointed sets** is the natural isomorphism

$$\alpha^{\text{Sets}_*, \triangleleft}: \triangleleft_{\text{Sets}_*} \circ (\triangleleft_{\text{Sets}_*} \times \text{id}_{\text{Sets}_*}) \xrightarrow{\cong} \triangleleft_{\text{Sets}_*} \circ (\text{id}_{\text{Sets}_*} \times \triangleleft_{\text{Sets}_*}),$$

whose component

$$\alpha_{X,Y,Z}^{\text{Sets}_*, \triangleleft} : (X \triangleleft_{\text{Sets}_*} Y) \triangleleft_{\text{Sets}_*} Z \xrightarrow{\cong} X \triangleleft_{\text{Sets}_*} (Y \triangleleft_{\text{Sets}_*} Z)$$

at  $(X, Y, Z)$  is given by the composition<sup>1</sup>

$$\begin{aligned} (X \triangleleft_{\text{Sets}_*} Y) \triangleleft_{\text{Sets}_*} Z &\stackrel{\text{def}}{=} |Z| \odot (X \triangleleft_{\text{Sets}_*} Y) \\ &\stackrel{\text{def}}{=} |Z| \odot (|Y| \odot X) \\ &\cong \bigvee_{z \in Z} (|Y| \odot X, [x_0]) \\ &\stackrel{\text{def}}{=} \bigvee_{z \in Z} \left( \bigvee_{y \in Y} (X, x_0) \right) \\ &\cong \bigvee_{(z,y) \in \bigvee_{z \in Z} (Y, y_0)} (X, x_0) \\ &\stackrel{\text{def}}{=} \bigvee_{(z,y) \in |Z| \odot Y} (X, x_0) \\ &\cong ||Z| \odot Y| \odot X \\ &\stackrel{\text{def}}{=} |Y \triangleleft_{\text{Sets}_*} Z| \odot X \\ &\stackrel{\text{def}}{=} X \triangleleft_{\text{Sets}_*} (Y \triangleleft_{\text{Sets}_*} Z), \end{aligned}$$

where the isomorphism

$$\bigvee_{z \in Z} \left( \bigvee_{y \in Y} (X, x_0) \right) \cong \bigvee_{(y,z) \in \bigvee_{z \in Z} (Y, y_0)} (X, x_0)$$

is given by  $[(z, (y, x))] \mapsto [(z, y), x]$ .

---

<sup>1</sup>In other words,  $\alpha_{X,Y,Z}^{\text{Sets}_*, \triangleleft}$  acts on elements as

$$\alpha_{X,Y,Z}^{\text{Sets}_*, \triangleleft} ((x \triangleleft_{\text{Sets}_*} y) \triangleleft_{\text{Sets}_*} z) \stackrel{\text{def}}{=} x \triangleleft_{\text{Sets}_*} (y \triangleleft_{\text{Sets}_*} z)$$

for each  $(x \triangleleft_{\text{Sets}_*} y) \triangleleft_{\text{Sets}_*} z \in (X \triangleleft_{\text{Sets}_*} Y) \triangleleft_{\text{Sets}_*} Z$ .

### 4.3.3 The Skew Left Unitor

**DEFINITION 4.3.3.1 ► THE SKEW LEFT UNITOR OF  $\triangleleft_{\text{Sets}_*}$** 

**00AC** The **skew left unit of the left tensor product of pointed sets** is the natural transformation

$$\lambda^{\text{Sets}_*, \triangleleft} : \triangleleft_{\text{Sets}_*} \circ (\mathbb{1}^{\text{Sets}_*} \times \text{id}_{\text{Sets}_*}) \Rightarrow \text{id}_{\text{Sets}_*},$$

whose component

$$\lambda_X^{\text{Sets}_*, \triangleleft} : S^0 \triangleleft_{\text{Sets}_*} X \rightarrow X$$

at  $X$  is given by the composition<sup>1</sup>

$$\begin{aligned} S^0 \triangleleft_{\text{Sets}_*} X &\cong |X| \odot S^0 \\ &\cong \bigvee_{x \in X} S^0 \\ &\rightarrow X \end{aligned}$$

where  $\bigvee_{x \in X} S^0 \rightarrow X$  is the map given by

$$\begin{aligned} (x, 0) &\mapsto x, \\ (x, 1) &\mapsto x. \end{aligned}$$

---

<sup>1</sup>In other words,  $\lambda_X^{\text{Sets}_*, \triangleleft}$  acts on elements as

$$\begin{aligned} \lambda_X^{\text{Sets}_*, \triangleleft}(x \triangleleft_{\text{Sets}_*} 0) &\stackrel{\text{def}}{=} x, \\ \lambda_X^{\text{Sets}_*, \triangleleft}(x \triangleleft_{\text{Sets}_*} 1) &\stackrel{\text{def}}{=} x, \end{aligned}$$

for each  $x \in X$ .

#### 4.3.4 The Skew Right Unitor

**DEFINITION 4.3.4.1 ► THE SKEW RIGHT UNITOR OF  $\triangleleft_{\text{Sets}_*}$** 

**00AE** The **skew right unit of the left tensor product of pointed sets** is the natural transformation

$$\rho^{\text{Sets}_*, \triangleleft} : \text{id}_{\text{Sets}_*} \Rightarrow \triangleleft_{\text{Sets}_*} \circ (\text{id}_{\text{Sets}_*} \times \mathbb{1}^{\text{Sets}_*}),$$

whose component

$$\rho_X^{\text{Sets}_*, \triangleleft} : X \rightarrow X \triangleleft_{\text{Sets}_*} S^0$$

at  $X$  is given by the composition<sup>1</sup>

$$\begin{aligned} X &\rightarrow X \vee X \\ &\cong |S^0| \odot X \\ &\cong S^0 \triangleleft_{\text{Sets}_*} X, \end{aligned}$$

where  $X \rightarrow X \vee X$  is the map sending  $X$  to the first factor of  $X$  in  $X \vee X$ .

---

<sup>1</sup>In other words,  $\rho_X^{\text{Sets}_*, \triangleleft}$  acts on elements as

$$\rho_X^{\text{Sets}_*, \triangleleft}(x) \stackrel{\text{def}}{=} x \triangleleft_{\text{Sets}_*} 0$$

for each  $x \in X$ .

#### 4.3.5 The Left-Skew Monoidal Category Structure on Pointed Sets

**PROPOSITION 4.3.5.1 ► THE LEFT-SKEW MONOIDAL CATEGORY STRUCTURE ON POINTED SETS**

00AG The category  $\text{Sets}_*$  admits a left-skew monoidal category structure consisting of<sup>1</sup>

- *The Skew Monoidal Product.* The left tensor product functor

$$\triangleleft_{\text{Sets}_*} : \text{Sets}_* \times \text{Sets}_* \rightarrow \text{Sets}_*,$$

of Proposition 4.3.1.4;

- *The Skew Monoidal Unit.* The functor

$$\mathbb{1}^{\text{Sets}_*} : \text{pt} \rightarrow \text{Sets}_*$$

defined by

$$\mathbb{1}_{\text{Sets}_*} \stackrel{\text{def}}{=} S^0;$$

- *The Skew Associators.* The natural isomorphism

$$\alpha^{\text{Sets}_*, \triangleleft} : \triangleleft_{\text{Sets}_*} \circ (\triangleleft_{\text{Sets}_*} \times \text{id}_{\text{Sets}_*}) \xrightarrow{\cong} \triangleleft_{\text{Sets}_*} \circ (\text{id}_{\text{Sets}_*} \times \triangleleft_{\text{Sets}_*}),$$

of Definition 4.3.2.1;

- *The Skew Left Unitors.* The natural transformation

$$\lambda^{\text{Sets}_*, \triangleleft} : \triangleleft_{\text{Sets}_*} \circ (\mathbb{1}^{\text{Sets}_*} \times \text{id}_{\text{Sets}_*}) \Rightarrow \text{id}_{\text{Sets}_*},$$

of Definition 4.3.3.1;

- *The Skew Right Unitors.* The natural transformation

$$\rho^{\text{Sets}_*, \triangleleft} : \text{id}_{\text{Sets}_*} \Rightarrow \triangleleft_{\text{Sets}_*} \circ (\text{id}_{\text{Sets}_*} \times \mu^{\text{Sets}_*}),$$

of Definition 4.3.4.1.

<sup>1</sup>Note in particular that, differently from general left-skew monoidal categories, the skew associator of  $(\text{Sets}_*, \triangleleft_{\text{Sets}_*}, S^0)$  is a natural isomorphism.

#### PROOF 4.3.5.2 ► PROOF OF PROPOSITION 4.3.5.1

Omitted. 

## 4.4 The Right Tensor Product of Pointed Sets

### 4.4.1 Foundations

Let  $(X, x_0)$  and  $(Y, y_0)$  be pointed sets.

#### DEFINITION 4.4.1.1 ► THE RIGHT TENSOR PRODUCT OF POINTED SETS

00AK

The **right tensor product of pointed sets** is the functor

$$\triangleright_{\text{Sets}_*} : \text{Sets}_* \times \text{Sets}_* \rightarrow \text{Sets}_*$$

defined as the composition

$$\text{Sets}_* \times \text{Sets}_* \xrightarrow{\text{忘} \times \text{id}} \text{Sets} \times \text{Sets}_* \xrightarrow{\odot} \text{Sets}_*.$$

#### REMARK 4.4.1.2 ► UNWINDING DEFINITION 4.4.1.1, I: UNIVERSAL PROPERTY

00AL

The right tensor product of pointed sets satisfies the following universal property:<sup>1</sup>

$$\text{Sets}_*(X \triangleright_{\text{Sets}_*} Y, Z) \cong \text{Hom}_{\text{Sets}_*}^{\otimes, R}(X \times Y, Z).$$

<sup>1</sup>Namely, a pointed map  $f : X \triangleleft_{\text{Sets}_*} Y \rightarrow Z$  is the same as a map  $f^\dagger : X \times Y \rightarrow Z$  such that

$$f^\dagger(x, y_0) = z_0$$

for each  $y \in Y$ .

**REMARK 4.4.1.3 ► UNWINDING DEFINITION 4.4.1.1, II: EXPLICIT DESCRIPTION**

**00AM** In detail, the **right tensor product of**  $(X, x_0)$  **and**  $(Y, y_0)$  is the pointed set  $(X \triangleright_{\text{Sets}_*} Y, [y_0])$  consisting of<sup>1</sup>

- *The Underlying Set.* The set  $X \triangleright_{\text{Sets}_*} Y$  defined by

$$\begin{aligned} X \triangleright_{\text{Sets}_*} Y &\stackrel{\text{def}}{=} |X| \odot Y \\ &\cong \bigvee_{x \in X} (Y, y_0); \end{aligned}$$

- *The Underlying Basepoint.* The point  $[y_0]$  of  $\bigvee_{x \in X} (Y, y_0)$ .

---

<sup>1</sup>Further Notation: We write  $x \triangleright_{\text{Sets}_*} y$  for the image of  $(x, y)$  under the map

$$\begin{array}{ccc} X \times Y & \rightarrow & \underbrace{X \triangleright_{\text{Sets}_*} Y}_{\cong \bigvee_{x \in X} (Y, y_0)} . \end{array}$$

sending  $(x, y)$  to the element  $y$  in the  $x$ th copy of  $Y$  in  $\bigvee_{x \in X} (Y, y_0)$ . Note that we have

$$x \triangleright_{\text{Sets}_*} y_0 = x' \triangleright_{\text{Sets}_*} y_0,$$

for each  $x, x' \in X$ .

**PROPOSITION 4.4.1.4 ► PROPERTIES OF RIGHT TENSOR PRODUCTS OF POINTED SETS**

**00AN** Let  $(X, x_0)$  and  $(Y, y_0)$  be pointed sets.

**00AP** 1. *Functionality.* The assignments  $X, Y, (X, Y) \mapsto X \triangleright_{\text{Sets}_*} Y$  define functors

$$\begin{aligned} X \triangleright_{\text{Sets}_*} - &: \text{Sets}_* \rightarrow \text{Sets}_*, \\ - \triangleright_{\text{Sets}_*} Y &: \text{Sets}_* \rightarrow \text{Sets}_*, \\ -_1 \triangleright_{\text{Sets}_*} -_2 &: \text{Sets}_* \times \text{Sets}_* \rightarrow \text{Sets}_*. \end{aligned}$$

**PROOF 4.4.1.5 ► PROOF OF PROPOSITION 4.4.1.4**

Item 1: Functionality

Omitted. 

**4.4.2 The Skew Associator**

**DEFINITION 4.4.2.1 ► THE SKEW ASSOCIATOR OF  $\triangleright_{\text{Sets}_*}$** 

**00AR** The **skew associator of the right tensor product of pointed sets** is the natural isomorphism

$$\alpha^{\text{Sets}_*, \triangleright} : \triangleright_{\text{Sets}_*} \circ (\text{id}_{\text{Sets}_*} \times \triangleright_{\text{Sets}_*}) \xrightarrow{\cong} \triangleright_{\text{Sets}_*} \circ (\triangleright_{\text{Sets}_*} \times \text{id}_{\text{Sets}_*}),$$

whose component

$$\alpha_{X,Y,Z}^{\text{Sets}_*, \triangleright} : X \triangleright_{\text{Sets}_*} (Y \triangleright_{\text{Sets}_*} Z) \xrightarrow{\cong} (X \triangleright_{\text{Sets}_*} Y) \triangleright_{\text{Sets}_*} Z$$

at  $(X, Y, Z)$  is given by the composition<sup>1</sup>

$$\begin{aligned} X \triangleright_{\text{Sets}_*} (Y \triangleright_{\text{Sets}_*} Z) &\stackrel{\text{def}}{=} |X| \odot (Y \triangleright_{\text{Sets}_*} Z) \\ &\stackrel{\text{def}}{=} |X| \odot (|Y| \odot Z) \\ &\cong |X| \odot \left( \bigvee_{y \in Y} (Z, z_0) \right) \\ &\cong \bigvee_{x \in X} \left( \bigvee_{y \in Y} (Z, z_0) \right) \\ &\cong \bigvee_{(x,y) \in \bigvee_{x \in X} (Y, y_0)} (Z, z_0) \\ &\cong \left| \bigvee_{x \in X} (Y, y_0) \right| \odot Z \\ &\stackrel{\text{def}}{=} |X \odot Y| \odot Z \\ &\stackrel{\text{def}}{=} |X \triangleright_{\text{Sets}_*} Y| \odot Z \\ &\stackrel{\text{def}}{=} (X \triangleright_{\text{Sets}_*} Y) \triangleright_{\text{Sets}_*} Z \end{aligned}$$

where the isomorphism

$$\bigvee_{x \in X} \left( \bigvee_{y \in Y} (Z, z_0) \right) \cong \bigvee_{(x,y) \in \bigvee_{x \in X} (Y, y_0)} (Z, z_0)$$

is given by  $[(x, (y, z))] \mapsto [((x, y), z)]$ .

---

<sup>1</sup>In other words,  $\alpha_{X,Y,Z}^{\text{Sets}_*, \triangleright}$  acts on elements as

$$\alpha_{X,Y,Z}^{\text{Sets}_*, \triangleright} (x \triangleright_{\text{Sets}_*} (y \triangleright_{\text{Sets}_*} z)) \stackrel{\text{def}}{=} (x \triangleright_{\text{Sets}_*} y) \triangleright_{\text{Sets}_*} z$$

for each  $x \triangleright_{\text{Sets}_*} (y \triangleright_{\text{Sets}_*} z) \in X \triangleright_{\text{Sets}_*} (Y \triangleright_{\text{Sets}_*} Z)$ .

#### 4.4.3 The Skew Left Unit



00AT

The **skew left unit of the right tensor product of pointed sets** is the natural transformation

$$\lambda^{\text{Sets}_*, \triangleright} : \text{id}_{\text{Sets}_*} \Longrightarrow \triangleright_{\text{Sets}_*} \circ (\text{id}_{\text{Sets}_*} \times \text{id}_{\text{Sets}_*}),$$

whose component

$$\lambda_X^{\text{Sets}_*, \triangleright} : X \rightarrow S^0 \triangleright_{\text{Sets}_*} X$$

at  $X$  is given by the composition<sup>1</sup>

$$\begin{aligned} X &\rightarrow X \vee X \\ &\cong |S^0| \odot X \\ &\cong S^0 \triangleright_{\text{Sets}_*} X, \end{aligned}$$

where  $X \rightarrow X \vee X$  is the map sending  $X$  to the first factor of  $X$  in  $X \vee X$ .

<sup>1</sup>In other words,  $\lambda_X^{\text{Sets}_*, \triangleright}$  acts on elements as

$$\lambda_X^{\text{Sets}_*, \triangleright}(x) \stackrel{\text{def}}{=} 0 \triangleright_{\text{Sets}_*} x$$

for each  $x \in X$ .

#### 4.4.4 The Skew Right Unit

DEFINITION 4.4.4.1 ► THE SKEW RIGHT UNIT OF  $\triangleright_{\text{Sets}_*}$

00AV

The **skew right unit of the right tensor product of pointed sets** is the natural transformation

$$\rho^{\text{Sets}_*, \triangleright} : \triangleright_{\text{Sets}_*} \circ (\text{id}_{\text{Sets}_*} \times \text{id}_{\text{Sets}_*}) \Longrightarrow \text{id}_{\text{Sets}_*},$$

whose component<sup>1</sup>

$$\rho_X^{\text{Sets}_*, \triangleright} : X \triangleright_{\text{Sets}_*} S^0 \rightarrow X$$

at  $X$  is given by the composition

$$\begin{aligned} X \triangleright_{\text{Sets}_*} S^0 &\cong |X| \odot S^0 \\ &\cong \bigvee_{x \in X} S^0 \\ &\rightarrow X \end{aligned}$$

where  $\bigvee_{x \in X} S^0 \rightarrow X$  is the map given by

$$\begin{aligned} (x, 0) &\mapsto x, \\ (x, 1) &\mapsto x. \end{aligned}$$

<sup>1</sup>In other words,  $\rho_X^{\text{Sets}_*, \triangleright}$  acts on elements as

$$\begin{aligned}\rho_X^{\text{Sets}_*, \triangleright} (x \triangleright_{\text{Sets}_*} 0) &\stackrel{\text{def}}{=} x, \\ \rho_X^{\text{Sets}_*, \triangleright} (x \triangleright_{\text{Sets}_*} 1) &\stackrel{\text{def}}{=} x\end{aligned}$$

for each  $x \in X$ .

#### 4.4.5 The Right-Skew Monoidal Category Structure on Pointed Sets

##### PROPOSITION 4.4.5.1 ► THE RIGHT-SKEW MONOIDAL CATEGORY STRUCTURE ON POINTED SETS

00AX The category  $\text{Sets}_*$  admits a right-skew monoidal category structure consisting of<sup>1</sup>

- *The Skew Monoidal Product.* The right tensor product functor

$$\triangleright_{\text{Sets}_*} : \text{Sets}_* \times \text{Sets}_* \rightarrow \text{Sets}_*,$$

of Item 1;

- *The Skew Monoidal Unit.* The functor

$$\mathbb{1}_{\text{Sets}_*}^{\text{Sets}_*} : \text{pt} \rightarrow \text{Sets}_*$$

defined by

$$\mathbb{1}_{\text{Sets}_*}^{\text{Sets}_*} \stackrel{\text{def}}{=} S^0;$$

- *The Skew Associators.* The natural isomorphism

$$\alpha^{\text{Sets}_*, \triangleright} : \triangleright_{\text{Sets}_*} \circ (\triangleright_{\text{Sets}_*} \times \text{id}_{\text{Sets}_*}) \xrightarrow{\cong} \triangleright_{\text{Sets}_*} \circ (\text{id}_{\text{Sets}_*} \times \triangleright_{\text{Sets}_*}),$$

of Definition 4.4.2.1;

- *The Skew Left Unitors.* The natural transformation

$$\lambda^{\text{Sets}_*, \triangleright} : \text{id}_{\text{Sets}_*} \Longrightarrow \triangleright_{\text{Sets}_*} \circ (\mathbb{1}_{\text{Sets}_*}^{\text{Sets}_*} \times \text{id}_{\text{Sets}_*}),$$

of Definition 4.3.3.1;

- *The Skew Right Unitors.* The natural transformation

$$\rho^{\text{Sets}_*, \triangleright} : \triangleright_{\text{Sets}_*} \circ (\text{id}_{\text{Sets}_*} \times \mathbb{1}_{\text{Sets}_*}^{\text{Sets}_*}) \Longrightarrow \text{id}_{\text{Sets}_*},$$

of Definition 4.3.4.1.

---

<sup>1</sup>Note in particular that, differently from general right-skew monoidal categories, the skew associator of  $(\text{Sets}_*, \triangleright_{\text{Sets}_*}, S^0)$  is a natural isomorphism.

**PROOF 4.4.5.2 ► PROOF OF PROPOSITION 4.3.5.1**

Omitted.



## 4.5 Smash Products of Pointed Sets

### 4.5.1 Foundations

Let  $(X, x_0)$  and  $(Y, y_0)$  be pointed sets.

**DEFINITION 4.5.1.1 ► SMASH PRODUCTS OF POINTED SETS**

**00B0** The **smash product of**  $(X, x_0)$  **and**  $(Y, y_0)$ <sup>1</sup> is the pointed set  $X \wedge Y$ <sup>2</sup> such that we have a bijection

$$\text{Sets}_*(X \wedge Y, Z) \cong \text{Hom}_{\text{Sets}_*}^{\otimes}(X \times Y, Z),$$

natural in  $(X, x_0), (Y, y_0), (Z, z_0) \in \text{Obj}(\text{Sets}_*)$ .

<sup>1</sup>Further Terminology: Also called the **tensor product of  $\mathbb{F}_1$ -modules of**  $(X, x_0)$  **and**  $(Y, y_0)$  or the **tensor product of**  $(X, x_0)$  **and**  $(Y, y_0)$  **over**  $\mathbb{F}_1$ .

<sup>2</sup>Further Notation: Also written  $X \otimes_{\mathbb{F}_1} Y$ .

**REMARK 4.5.1.2 ► UNWINDING DEFINITION 4.5.1.1**

**00B1** In detail, the **smash product of**  $(X, x_0)$  **and**  $(Y, y_0)$  is the pair  $((X \wedge Y, [(x_0, y_0)]), \iota)$  consisting of

- A pointed set  $(X \wedge Y, [(x_0, y_0)])$ ;
- A bilinear morphism of pointed sets  $\iota: (X \times Y, (x_0, y_0)) \rightarrow X \wedge Y$ ;

satisfying the following universal property:

**(UP)** Given another such pair  $((Z, z_0), f)$  consisting of

- A pointed set  $(Z, z_0)$ ;
- A bilinear morphism of pointed sets  $f: (X \times Y, (x_0, y_0)) \rightarrow Z$ ;

there exists a unique morphism of pointed sets  $X \wedge Y \xrightarrow{\exists!} Z$  making the diagram

$$\begin{array}{ccc} & X \wedge Y & \\ \iota \swarrow & \downarrow & \searrow \exists! \\ X \times Y & \xrightarrow{f} & Z \end{array}$$

commute.

#### CONSTRUCTION 4.5.1.3 ► SMASH PRODUCTS OF POINTED SETS

00B2

Concretely, the **smash product** of  $(X, x_0)$  and  $(Y, y_0)$  is the pointed set  $(X \wedge Y, [(x_0, y_0)])$  consisting of<sup>1</sup>

- *The Underlying Set.* The set  $X \wedge Y$  defined by

$$\begin{aligned} X \wedge Y &\cong \text{pt} \coprod_{X \vee Y} (X \times Y) & X \wedge Y &\leftarrow X \times Y \\ &\stackrel{\text{def}}{=} \frac{X \times Y}{X \vee Y} & \uparrow \lrcorner & \uparrow \\ &\cong X \times Y / \sim, & \text{pt} &\xleftarrow[!]{} X \vee Y, \end{aligned}$$

where  $\sim$  is the equivalence relation of  $X \times Y$  obtained by declaring  $(x, y) \sim (x', y')$  iff  $(x, y), (x', y') \in X \vee Y$ , i.e. by declaring

$$\begin{aligned} (x_0, y) &\sim (x_0, y'), \\ (x, y_0) &\sim (x', y_0) \end{aligned}$$

for all  $x \in X$  and all  $y \in Y$ ;

- *The Basepoint.* The element  $[(x_0, y_0)]$  of  $X \wedge Y$  given by the equivalence class of  $(x_0, y_0)$  under the equivalence relation  $\sim$  on  $X \times Y$ .

<sup>1</sup>Further Notation: We write  $x \wedge y$  for the image of  $(x, y)$  under the quotient map

$$X \times Y \rightarrow \frac{X \times Y}{\underbrace{X \vee Y}_{\stackrel{\text{def}}{=} X \wedge Y}}$$

Note that we have

$$\begin{aligned} x \wedge y_0 &= x' \wedge y_0, \\ x_0 \wedge y &= x_0 \wedge y' \end{aligned}$$

for each  $x, x' \in X$  and each  $y, y' \in Y$ .

#### PROOF 4.5.1.4 ► PROOF OF ??

Clear. ■

**EXAMPLE 4.5.1.5 ► EXAMPLES OF SMASH PRODUCTS OF POINTED SETS**

00B3

Here are some examples of smash products of pointed sets.

1. *Smashing With  $S^0$ .* For any pointed set  $X$ , we have isomorphisms of pointed sets

$$\begin{aligned} S^0 \wedge X &\cong X, \\ X \wedge S^0 &\cong X. \end{aligned}$$

**PROPOSITION 4.5.1.6 ► PROPERTIES OF SMASH PRODUCTS OF POINTED SETS**

00B4

Let  $(X, x_0)$  and  $(Y, y_0)$  be pointed sets.

00B5

1. *Functionality.* The assignments  $(X, x_0), (Y, y_0), ((X, x_0), (Y, y_0)) \mapsto X \wedge Y$  define functors

$$\begin{aligned} X \wedge - : \mathbf{Sets}_* &\rightarrow \mathbf{Sets}_*, \\ - \wedge Y : \mathbf{Sets}_* &\rightarrow \mathbf{Sets}_*, \\ -_1 \wedge -_2 : \mathbf{Sets}_* \times \mathbf{Sets}_* &\rightarrow \mathbf{Sets}_*. \end{aligned}$$

00B6

2. *Adjointness.* We have adjunctions

$$\begin{aligned} (X \wedge - \dashv \mathbf{Sets}_*(X, -)) : \quad \mathbf{Sets}_* &\begin{array}{c} \xrightarrow{X \wedge -} \\ \perp \\ \xleftarrow{\mathbf{Sets}_*(X, -)} \end{array} \mathbf{Sets}_*, \\ (- \wedge Y \dashv \mathbf{Sets}_*(Y, -)) : \quad \mathbf{Sets}_* &\begin{array}{c} \xrightarrow{- \wedge Y} \\ \perp \\ \xleftarrow{\mathbf{Sets}_*(Y, -)} \end{array} \mathbf{Sets}_*, \end{aligned}$$

witnessed by bijections

$$\begin{aligned} \mathbf{Sets}_*(X \wedge Y, Z) &\cong \mathbf{Sets}_*(X, \mathbf{Sets}_*(Y, Z)), \\ \mathbf{Sets}_*(X \wedge Y, Z) &\cong \mathbf{Sets}_*(X, \mathbf{Sets}_*(A, Z)), \end{aligned}$$

natural in  $(X, x_0), (Y, y_0), (Z, z_0) \in \text{Obj}(\mathbf{Sets}_*)$ , which internalise to isomorphisms of pointed sets

$$\begin{aligned} \mathbf{Sets}_*(X \wedge Y, Z) &\cong \mathbf{Sets}_*(X, \mathbf{Sets}_*(Y, Z)), \\ \mathbf{Sets}_*(X \wedge Y, Z) &\cong \mathbf{Sets}_*(X, \mathbf{Sets}_*(A, Z)), \end{aligned}$$

again natural in  $(X, x_0), (Y, y_0), (Z, z_0) \in \text{Obj}(\mathbf{Sets}_*)$ .

00B7

3. *Closed Symmetric Monoidality.* The quadruple  $(\text{Sets}_*, \wedge, S^0, \mathbf{Sets}_*)$  is a closed symmetric monoidal category.

00B8

4. *Morphisms From the Monoidal Unit.* We have a bijection of sets<sup>1</sup>

$$\text{Sets}_*(S^0, X) \cong X,$$

natural in  $(X, x_0) \in \text{Obj}(\text{Sets}_*)$ , internalising also to an isomorphism of pointed sets

$$\mathbf{Sets}_*(S^0, X) \cong (X, x_0),$$

again natural in  $(X, x_0) \in \text{Obj}(\text{Sets}_*)$ .

00B9

5. *Symmetric Strong Monoidality With Respect to Free Pointed Sets.* The free pointed set functor of ?? of ?? has a symmetric strong monoidal structure

$$((-)^+, (-)^{+, \times}, (-)_\times^{+, \times}): (\text{Sets}, \times, \text{pt}) \rightarrow (\text{Sets}_*, \wedge, S^0),$$

being equipped with isomorphisms of pointed sets

$$(-)_{X,Y}^{+, \times}: X^+ \wedge Y^+ \xrightarrow{\cong} (X \times Y)^+,$$

$$(-)_\times^{+, \times}: S^0 \xrightarrow{\cong} \text{pt}^+,$$

natural in  $X, Y \in \text{Obj}(\text{Sets})$ .

00BA

6. *Distributivity Over Wedge Sums.* We have isomorphisms of pointed sets

$$X \wedge (Y \vee Z) \cong (X \wedge Y) \vee (X \wedge Z),$$

$$(X \vee Y) \wedge Z \cong (X \wedge Z) \vee (Y \wedge Z),$$

natural in  $(X, x_0), (Y, y_0), (Z, z_0) \in \text{Obj}(\text{Sets}_*)$ .

00BB

7. *Universal Property I.* The symmetric monoidal structure on the category  $\text{Sets}_*$  is uniquely determined by the following requirements:

- (a) *Two-Sided Preservation of Colimits.* The smash product

$$\wedge: \text{Sets}_* \times \text{Sets}_* \rightarrow \text{Sets}_*$$

of  $\text{Sets}_*$  preserves colimits separately in each variable.

- (b) *The Unit Object Is  $S^0$ .* We have  $\mathbb{1}_{\text{Sets}_*} = S^0$ .

00BC

8. *Universal Property II.* The symmetric monoidal structure on the category  $\text{Sets}_*$  is the unique symmetric monoidal structure on  $\text{Sets}_*$  such that the free pointed set functor

$$(-)^+: \text{Sets} \rightarrow \text{Sets}_*$$

admits a symmetric monoidal structure.

00BD

9. *Existence of Monoidal Diagonals.* The triple  $(\text{Sets}_*, \wedge, S^0)$  is a monoidal category with diagonals:

- (a) *Monoidal Diagonals.* The natural transformation

$$\Delta: \text{id}_{\text{Sets}_*} \Longrightarrow \wedge \circ \Delta_{\text{Sets}_*}^{\text{Cats}_2},$$

whose component

$$\Delta_X: (X, x_0) \rightarrow (X \wedge X, [(x_0, x_0)])$$

at  $(X, x_0) \in \text{Obj}(\text{Sets}_*)$  is given by the composition

$$\begin{aligned} (X, x_0) &\xrightarrow{\Delta_X} (X \times X, (x_0, x_0)) \\ &\longrightarrow (\frac{X \times X}{X \vee X}, [(x_0, x_0)]) \\ &\stackrel{\text{def}}{=} (X \wedge X, [(x_0, x_0)]) \end{aligned}$$

in  $\text{Sets}_*$ , is a monoidal natural transformation:

- i. *Naturality.* For each morphism  $f: X \rightarrow Y$  of pointed sets, the diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \Delta_X \downarrow & & \downarrow \Delta_Y \\ X \wedge X & \xrightarrow{f \wedge f} & Y \wedge Y \end{array}$$

commutes.

ii. *Compatibility With Strong Monoidality Constraints.* For each  $(X, x_0), (Y, y_0) \in \text{Obj}(\text{Sets}_*)$ , the diagram

$$\begin{array}{ccc} X \wedge Y & \xrightarrow{\Delta_X \wedge \Delta_Y} & (X \wedge X) \wedge (Y \wedge Y) \\ \parallel & & \downarrow \\ X \wedge Y & \xrightarrow{\Delta_{X \wedge Y}} & (X \wedge Y) \wedge (X \wedge Y) \end{array}$$

commutes.

iii. *Compatibility With Strong Unitality Constraints.* The diagram

$$\begin{array}{ccc} S^0 & & (\lambda_{S^0}^{\text{Sets}_*})^{-1} = (\rho_{S^0}^{\text{Sets}_*})^{-1} \\ \parallel & \searrow & \\ S^0 & \xrightarrow{\Delta_{S^0}} & S^0 \wedge S^0 \end{array}$$

commutes.

(b) *The Diagonal of the Unit.* The component

$$\Delta_{S^0}^{\text{Sets}_*}: S^0 \xrightarrow{\cong} S^0 \wedge S^0$$

of the diagonal natural transformation of  $\text{Sets}_*$  at  $S^0$  is an isomorphism.

**00BE** 10. *Comonoids in  $\text{Sets}_*$ .* The symmetric monoidal functor

$$((-)^+, (-)^{+, \times}, (-)^{+, \times}_{\sharp}): (\text{Sets}, \times, \text{pt}) \rightarrow (\text{Sets}_*, \wedge, S^0),$$

of ?? of ?? lifts to an equivalence of categories

$$\begin{aligned} \text{CoMon}(\text{Sets}_*, \wedge, S^0) &\xrightarrow{\text{eq}} \text{CoMon}(\text{Sets}, \times, \text{pt}) \\ &\cong \text{Sets}. \end{aligned}$$

---

<sup>1</sup>In other words, the forgetful functor

$$\text{忘}: \text{Sets}_* \rightarrow \text{Sets}$$

defined on objects by sending a pointed set to its underlying set is corepresentable by  $S^0$ .

**PROOF 4.5.1.7 ▶ PROOF OF PROPOSITION 4.5.1.6**

- Item 1: Functoriality  
Omitted.
- Item 2: Adjointness  
Omitted.
- Item 3: Closed Symmetric Monoidality  
Omitted.
- Item 4: Morphisms From the Monoidal Unit  
Omitted.
- Item 5: Symmetric Strong Monoidality With Respect to Free Pointed Sets  
Omitted.
- Item 6: Distributivity Over Wedge Sums  
This follows from Item 3, ?? of ??, and the fact that  $\vee$  is the coproduct in  $\text{Sets}_*$ .
- Item 7: Universal Property I  
Omitted.
- Item 8: Universal Property II  
See [GGN15, Theorem 5.1].
- Item 9: Existence of Monoidal Diagonals  
Omitted.
- Item 10: Comonoids in  $\text{Sets}_*$   
See [PS19, Lemma 2.4].

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# Chapter 5

## Relations

**00BF** This chapter contains some material about relations and constructions with them. Notably, we discuss and explore:

1. The definition of relations ([Section 5.1.1](#)).
2. How relations may be viewed as decategorification of profunctors ([Section 5.1.2](#)).
3. The various kind of categories that relations form, namely:
  - (a) A category ([Section 5.2.1](#)),
  - (b) A monoidal category ([Section 5.2.2](#)),
  - (c) A 2-category ([Section 5.2.3](#)), and
  - (d) A double category ([Section 5.2.4](#)).
4. The various categorical properties of the 2-category of relations, including ([Section 5.2.5](#)):
  - (a) The self-duality of **Rel** and **Rel** ([Items 1 and 2 of Proposition 5.2.5.1](#));
  - (b) Identifications of equivalences and isomorphisms in **Rel** with bijections ([Item 3 of Proposition 5.2.5.1](#));
  - (c) Identifications of adjunctions in **Rel** with functions ([Item 4 of Proposition 5.2.5.1](#));
  - (d) Identifications of monads in **Rel** with preorders ([Item 5 of Proposition 5.2.5.1](#));
  - (e) Identifications of comonads in **Rel** with subsets ([Item 6 of Proposition 5.2.5.1](#));
  - (f) Characterisations of monomorphisms in **Rel** ([Item 7 of Proposition 5.2.5.1](#));
  - (g) Characterisations of epimorphisms in **Rel** ([Item 8 of Proposition 5.2.5.1](#));
  - (h) The partial co/completeness of **Rel** ([Item 10 of Proposition 5.2.5.1](#));
  - (i) The existence of right Kan extensions and right Kan lifts in **Rel** ([Items 11 and 12 of Proposition 5.2.5.1](#));
  - (j) The closedness of **Rel** ([Item 13 of Proposition 5.2.5.1](#)).

- 
5. The various kinds of constructions involving relations, such as graphs, domains, ranges, unions, intersections, products, inverse relations, composition of relations, and collages ([Section 5.3](#)).
  6. Equivalence relations ([Section 5.4](#)) and quotient sets ([Section 5.4.5](#)).
  7. The adjoint pairs

$$\begin{aligned} R_* \dashv R_{-1} : \mathcal{P}(A) \rightleftarrows \mathcal{P}(B), \\ R^{-1} \dashv R_! : \mathcal{P}(B) \rightleftarrows \mathcal{P}(A) \end{aligned}$$

of functors (morphisms of posets) between  $\mathcal{P}(A)$  and  $\mathcal{P}(B)$  induced by a relation  $R: A \rightarrow B$ , as well as the properties of  $R_*$ ,  $R_{-1}$ ,  $R^{-1}$ , and  $R_!$  ([Section 5.5](#)).

Here we also note that:

- (a) These two pairs of adjoint functors are the counterpart for relations of the adjoint triple  $f_* \dashv f^{-1} \dashv f_!$  induced by a function  $f: A \rightarrow B$  studied in [??](#);
- (b) We have  $R_{-1} = R^{-1}$  iff  $R$  is total and functional ([Item 8 of Proposition 5.5.2.4](#)).
- (c) As a consequence of the previous item, when  $R$  comes from a function  $f$  the pair of adjunctions

$$R_* \dashv R_{-1} = R^{-1} \dashv R_!$$

reduces to the triple adjunction

$$f_* \dashv f^{-1} \dashv f_!$$

from before.

- (d) The pairs  $R_* \dashv R_{-1}$  and  $R^{-1} \dashv R_!$  later make an appearance in the context of continuous, open, and closed relations between topological spaces ([??](#)).

8. A notion of *relative preorder* that is to that of a preorder as relative monads are to monads, extending the identifications of monads in Rel with preorders of [Item 5 of Proposition 5.2.5.1](#) to “relative monads in Rel”.

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## 5.1 Relations

### 5.1.1 Foundations

Let  $A$  and  $B$  be sets.

#### DEFINITION 5.1.1.1 ► RELATIONS

**00BJ** A relation  $R: A \rightarrow B$  from  $A$  to  $B$ <sup>1,2</sup> is a subset  $R$  of  $A \times B$ .<sup>3</sup>

<sup>1</sup>Further Terminology: Also called a **multivalued function from  $A$  to  $B$** , a **relation over  $A$  and  $B$** , a **relation on  $A$  and  $B$** , a **binary relation over  $A$  and  $B$** , or a **binary relation on  $A$  and  $B$** .

<sup>2</sup>Further Terminology: When  $A = B$ , we also call  $R \subset A \times A$  a **relation on  $A$** .

<sup>3</sup>Further Notation: Given elements  $a \in A$  and  $b \in B$ , we write  $a \sim_R b$  to mean  $(a, b) \in R$ .

#### DEFINITION 5.1.1.2 ► THE POSET OF RELATIONS OVER TWO SETS

**00BK** Let  $A$  and  $B$  be sets.

**00BL** 1. The **set of relations from  $A$  to  $B$**  is the set  $\text{Rel}(A, B)$  defined by

$$\text{Rel}(A, B) \stackrel{\text{def}}{=} \{ \text{Relations from } A \text{ to } B \}.$$

**00BM** 2. The **poset of relations from  $A$  to  $B$**  is the poset

$$\mathbf{Rel}(A, B) \stackrel{\text{def}}{=} (\text{Rel}(A, B), \subset)$$

consisting of

- *The Underlying Set.* The set  $\text{Rel}(A, B)$  of Item 1;
- *The Partial Order.* The partial order

$$\subset: \text{Rel}(A, B) \times \text{Rel}(A, B) \rightarrow \{\text{true}, \text{false}\}$$

on  $\text{Rel}(A, B)$  given by inclusion of relations.

#### REMARK 5.1.1.3 ► EQUIVALENT DEFINITIONS OF RELATIONS

**00BN** A relation from  $A$  to  $B$  is equivalently:<sup>1</sup>

**00BP** 1. A subset of  $A \times B$ ;

**00BQ** 2. A function from  $A \times B$  to  $\{\text{true}, \text{false}\}$ ;

**00BR** 3. A function from  $A$  to  $\mathcal{P}(B)$ ;

00BS

4. A function from  $B$  to  $\mathcal{P}(A)$ ;

00BT

5. A cocontinuous morphism of posets from  $(\mathcal{P}(A), \subset)$  to  $(\mathcal{P}(B), \subset)$ .

That is: we have bijections of sets

$$\begin{aligned} \text{Rel}(A, B) &\stackrel{\text{def}}{=} \mathcal{P}(A \times B), \\ &\cong \text{Sets}(A \times B, \{\text{true, false}\}), \\ &\cong \text{Sets}(A, \mathcal{P}(B)), \\ &\cong \text{Sets}(B, \mathcal{P}(A)), \\ &\cong \text{Hom}_{\text{Pos}}^{\text{cocont}}(\mathcal{P}(A), \mathcal{P}(B)), \end{aligned}$$

natural in  $A, B \in \text{Obj}(\text{Sets})$ .

<sup>1</sup>*Intuition:* In particular, we may think of a relation  $R: A \rightarrow \mathcal{P}(B)$  from  $A$  to  $B$  as a multivalued function from  $A$  to  $B$  (including the possibility of a given  $a \in A$  having no value at all).

#### PROOF 5.1.1.4 ► PROOF OF REMARK 5.1.1.3

We claim that **Items 1 to 5** are indeed equivalent:

- **Item 1**  $\iff$  **Item 2**: This is a special case of ?? of ??.
- **Item 2**  $\iff$  **Item 3**: This is an instance of currying, following from the bijections

$$\begin{aligned} \text{Sets}(A \times B, \{\text{true, false}\}) &\cong \text{Sets}(A, \text{Sets}(B, \{\text{true, false}\})) \\ &\cong \text{Sets}(A, \mathcal{P}(B)), \end{aligned}$$

where the last bijection is from ?? of ??.

- **Item 2**  $\iff$  **Item 4**: This is also an instance of currying, following from the bijections

$$\begin{aligned} \text{Sets}(A \times B, \{\text{true, false}\}) &\cong \text{Sets}(B, \text{Sets}(B, \{\text{true, false}\})) \\ &\cong \text{Sets}(B, \mathcal{P}(A)), \end{aligned}$$

where again the last bijection is from ?? of ??.

- **Item 2**  $\iff$  **Item 5**: This follows from the universal property of the powerset  $\mathcal{P}(X)$  of a set  $X$  as the free cocompletion of  $X$  via the characteristic embedding

$$\chi_X: X \hookrightarrow \mathcal{P}(X)$$

of  $X$  into  $\mathcal{P}(X)$  (?? of ??).

In particular, the bijection

$$\text{Rel}(A, B) \cong \text{Hom}_{\text{Pos}}^{\text{cocont}}(\mathcal{P}(A), \mathcal{P}(B))$$

is given by taking a relation  $R: A \rightarrow B$ , passing to its associated function  $f: A \rightarrow \mathcal{P}(B)$  from  $A$  to  $B$  and then extending  $f$  from  $A$  to all of  $\mathcal{P}(A)$  by taking its left Kan extension along  $\chi_X$ .

This coincides with the direct image function  $f_*: \mathcal{P}(A) \rightarrow \mathcal{P}(B)$  of ??.

This finishes the proof. 

#### PROPOSITION 5.1.1.5 ► PROPERTIES OF RELATIONS

00BU

Let  $A$  and  $B$  be sets.

00BV

1. *End Formula for The Poset of Relations.* Let  $R, S: A \rightarrow B$  be relations. We have

$$\text{Hom}_{\text{Rel}(A,B)}(R, S) \cong \int_{a \in A} \int_{b \in B} \text{Hom}_{\{\text{t,f}\}}(R_b^a, S_b^a).$$

#### PROOF 5.1.1.6 ► PROOF OF PROPOSITION 5.1.1.5

##### Item 1: End Formula for The Poset of Relations

Unwinding the expression inside the end on the right hand side, we have

$$\int_{a \in A} \int_{b \in B} \text{Hom}_{\{\text{t,f}\}}(R_b^a, S_b^a) \cong \begin{cases} \text{pt} & \text{if for each } (a, b) \in A \times B, \\ & \quad \text{if } a \sim_R b, \text{ then } a \sim_S b, \\ \emptyset & \text{otherwise.} \end{cases}$$

On the left hand-side, we have

$$\text{Hom}_{\text{Rel}(A,B)}(R, S) \cong \begin{cases} \text{pt} & \text{if } R \subset S, \\ \emptyset & \text{otherwise.} \end{cases}$$

It is then clear that the conditions for each set to evaluate to pt are equivalent, implying that those two sets are isomorphic, finishing the proof. 

#### 5.1.2 Relations as Decategorifications of Profunctors

**REMARK 5.1.2.1 ► RELATIONS AS DECATEGORIFICATIONS OF PROFUNCTORS I**

00BX

The notion of a relation is a decategorification of that of a profunctor:

1. A profunctor from a category  $C$  to a category  $\mathcal{D}$  is a functor

$$\mathbf{p}: \mathcal{D}^{\text{op}} \times C \rightarrow \text{Sets}.$$

2. A relation on sets  $A$  and  $B$  is a function

$$R: A \times B \rightarrow \{\text{true}, \text{false}\}.$$

Here we notice that:

- The opposite  $X^{\text{op}}$  of a set  $X$  is itself, as  $(-)^{\text{op}}: \text{Cats} \rightarrow \text{Cats}$  restricts to the identity endofunctor on  $\text{Sets}$ ;
- The values that profunctors and relations take are directly related in relation to decategorification:

- A category is enriched over the category

$$\text{Sets} \stackrel{\text{def}}{=} \text{Cats}_0$$

of sets, with profunctors taking values on it;

- A set is enriched over the set

$$\{\text{true}, \text{false}\} \stackrel{\text{def}}{=} \text{Cats}_{-1}$$

of classical truth values, with relations taking values on it;

**REMARK 5.1.2.2 ► RELATIONS AS DECATEGORIFICATIONS OF PROFUNCTORS II**

00BY

Extending Remark 5.1.2.1, the equivalent definitions of relations in Remark 5.1.1.3 are also related to the corresponding ones for profunctors (??), which state that a profunctor  $\mathbf{p}: C \nrightarrow \mathcal{D}$  is equivalently:

00BZ

1. A functor  $\mathbf{p}: \mathcal{D}^{\text{op}} \times C \rightarrow \text{Sets}$ ;

00C0

2. A functor  $\mathbf{p}: C \rightarrow \text{PSh}(\mathcal{D})$ ;

00C1

3. A functor  $\mathbf{p}: \mathcal{D}^{\text{op}} \rightarrow \text{Fun}(C, \text{Sets})$ ;

00C2

4. A colimit-preserving functor  $\mathbf{p}: \text{PSh}(C) \rightarrow \text{PSh}(\mathcal{D})$ .

Indeed:

- The equivalence between [Items 1](#) and [2](#) (and also that between [Items 1](#) and [3](#), which is proved analogously) is an instance of currying, both for profunctors as well as for relations, using the isomorphisms

$$\begin{aligned}\text{Sets}(A \times B, \{\text{true}, \text{false}\}) &\cong \text{Sets}(A, \text{Sets}(B, \{\text{true}, \text{false}\})) \\ &\cong \text{Sets}(A, \mathcal{P}(B)), \\ \text{Fun}(\mathcal{D}^{\text{op}} \times \mathcal{D}, \text{Sets}) &\cong \text{Fun}(\mathcal{C}, \text{Fun}(\mathcal{D}^{\text{op}}, \text{Sets})) \\ &\cong \text{Fun}(\mathcal{C}, \text{PSh}(\mathcal{D})).\end{aligned}$$

- The equivalence between [Items 1](#) and [3](#) follows from the universal properties of:

- The powerset  $\mathcal{P}(X)$  of a set  $X$  as the free cocompletion of  $X$  via the characteristic embedding

$$\chi_{(-)} : X \hookrightarrow \mathcal{P}(X)$$

of  $X$  into  $\mathcal{P}(X)$  ([?? of ??](#));

- The category  $\text{PSh}(\mathcal{C})$  of presheaves on a category  $\mathcal{C}$  as the free cocompletion of  $\mathcal{C}$  via the Yoneda embedding

$$\mathfrak{y} : \mathcal{C} \hookrightarrow \text{PSh}(\mathcal{C})$$

of  $\mathcal{C}$  into  $\text{PSh}(\mathcal{C})$  ([?? of ??](#)).

### 5.1.3 Examples of Relations

#### EXAMPLE 5.1.3.1 ► THE TRIVIAL RELATION

[00C4](#)

The **trivial relation on  $A$  and  $B$**  is the relation  $\sim_{\text{triv}}$  defined by<sup>[1,2,3](#)</sup>

$$\sim_{\text{triv}} \stackrel{\text{def}}{=} A \times A.$$

<sup>1</sup>This is the unique relation  $R$  on  $A$  and  $B$  such that we have  $a \sim_R b$  for all  $a \in A$  and all  $b \in B$ .

<sup>2</sup>As a function from  $A \times A$  to  $\{\text{true}, \text{false}\}$ , the relation  $\sim_{\text{triv}}$  is the constant function

$$\Delta_{\text{true}} : A \times B \rightarrow \{\text{true}, \text{false}\}$$

from  $A \times B$  to  $\{\text{true}, \text{false}\}$  taking value true.

<sup>3</sup>As a function from  $A$  to  $\mathcal{P}(B)$ , the relation  $\sim_{\text{triv}}$  is the function

$$\Delta_{\text{true}} : A \rightarrow \mathcal{P}(B)$$

defined by

$$\Delta_{\text{true}}(a) \stackrel{\text{def}}{=} B$$

for each  $a \in A$ .

#### EXAMPLE 5.1.3.2 ► THE COTRIVIAL RELATION

00C5

The **cotrivial relation on  $A$  and  $B$**  is the relation  $\sim_{\text{cotriv}}$  defined by<sup>1,2,3</sup>

$$\sim_{\text{cotriv}} \stackrel{\text{def}}{=} \emptyset.$$

<sup>1</sup>This is the unique relation  $R$  on  $A$  and  $B$  such that we have  $a \sim_R b$  for no  $a \in A$  and no  $b \in B$ .

<sup>2</sup>As a function from  $A \times B$  to  $\{\text{true, false}\}$ , the relation  $\sim_{\text{cotriv}}$  is the constant function

$$\Delta_{\text{false}} : A \times B \rightarrow \{\text{true, false}\}$$

from  $A \times B$  to  $\{\text{true, false}\}$  taking value false.

<sup>3</sup>As a function from  $A$  to  $\mathcal{P}(A)$ , the relation  $\sim_{\text{cotriv}}$  is the function

$$\Delta_{\text{false}} : A \rightarrow \mathcal{P}(A)$$

defined by

$$\Delta_{\text{true}}(a) \stackrel{\text{def}}{=} \emptyset$$

for each  $a \in A$ .

#### EXAMPLE 5.1.3.3 ► THE CHARACTERISTIC RELATION OF A SET

00C6

The characteristic relation on  $A$  of ?? of ?? is another example of a relation. It is in fact the unique relation on  $A$  making the following conditions equivalent, for each  $a, b \in A$ :

1. We have  $a \sim_{\text{id}} b$ .
2. We have  $a = b$ .

#### EXAMPLE 5.1.3.4 ► SQUARE ROOTS

00C7

Square roots are examples of relations:

1. *Square Roots in  $\mathbb{R}$ .* The assignment  $x \mapsto \sqrt{x}$  defines a relation

$$\sqrt{-} : \mathbb{R} \rightarrow \mathcal{P}(\mathbb{R})$$

from  $\mathbb{R}$  to itself, being explicitly given by

$$\sqrt{x} \stackrel{\text{def}}{=} \begin{cases} 0 & \text{if } x = 0, \\ \{-\sqrt{|x|}, \sqrt{|x|}\} & \text{if } x \neq 0. \end{cases}$$

2. *Square Roots in  $\mathbb{Q}$ .* Square roots in  $\mathbb{Q}$  are similar to square roots in  $\mathbb{R}$ , though now additionally it may also occur that  $\sqrt{-}: \mathbb{Q} \rightarrow \mathcal{P}(\mathbb{Q})$  sends a rational number  $x$  (e.g. 2) to the empty set (since  $\sqrt{2} \notin \mathbb{Q}$ ).

#### EXAMPLE 5.1.3.5 ► COMPLEX LOGARITHMS

00C8 The complex logarithm defines a relation

$$\log: \mathbb{C} \rightarrow \mathcal{P}(\mathbb{C})$$

from  $\mathbb{C}$  to itself, where we have

$$\log(a + bi) \stackrel{\text{def}}{=} \left\{ \log(\sqrt{a^2 + b^2}) + i \arg(a + bi) + (2\pi i)k \mid k \in \mathbb{Z} \right\}$$

for each  $a + bi \in \mathbb{C}$ .

#### EXAMPLE 5.1.3.6 ► MORE EXAMPLES OF RELATIONS

00C9 See [[wikipedia:multivalued-functions](#)] for more examples of relations, such as antiderivation, inverse trigonometric functions, and inverse hyperbolic functions.

### 5.1.4 Functional Relations

Let  $A$  and  $B$  be sets.

#### DEFINITION 5.1.4.1 ► FUNCTIONAL RELATIONS

00CB A relation  $R: A \rightarrow B$  is **functional** if, for each  $a \in A$ , the set  $R(a)$  is either empty or a singleton.

#### PROPOSITION 5.1.4.2 ► PROPERTIES OF FUNCTIONAL RELATIONS

00CC Let  $R: A \rightarrow B$  be a relation.

00CD 1. *Characterisations.* The following conditions are equivalent:

00CE (a) The relation  $R$  is functional.

00CF (b) We have  $R \diamond R^\dagger \subset \chi_B$ .

**PROOF 5.1.4.3 ► PROOF OF PROPOSITION 5.1.4.2****Item 1: Characterisations**

We claim that **Items 1a** and **1b** are indeed equivalent:

- **Item 1a**  $\implies$  **Item 1b**: Let  $(b, b') \in B \times B$ . We need to show that

$$[R \diamond R^\dagger](b, b') \leq_{\{t,f\}} \chi_B(b, b'),$$

i.e. that if there exists some  $a \in A$  such that  $b \sim_{R^\dagger} a$  and  $a \sim_R b'$ , then  $b = b'$ . But since  $b \sim_{R^\dagger} a$  is the same as  $a \sim_R b$ , we have both  $a \sim_R b$  and  $a \sim_R b'$  at the same time, which implies  $b = b'$  since  $R$  is functional.

- **Item 1b**  $\implies$  **Item 1a**: Suppose that we have  $a \sim_R b$  and  $a \sim_R b'$  for  $b, b' \in B$ . We claim that  $b = b'$ :

1. Since  $a \sim_R b$ , we have  $b \sim_{R^\dagger} a$ .
2. Since  $R \diamond R^\dagger \subset \chi_B$ , we have

$$[R \diamond R^\dagger](b, b') \leq_{\{t,f\}} \chi_B(b, b'),$$

and since  $b \sim_{R^\dagger} a$  and  $a \sim_R b'$ , it follows that  $[R \diamond R^\dagger](b, b') = \text{true}$ , and thus  $\chi_B(b, b') = \text{true}$  as well, i.e.  $b = b'$ .

This finishes the proof. 

**5.1.5 Total Relations**

Let  $A$  and  $B$  be sets.

**DEFINITION 5.1.5.1 ► TOTAL RELATIONS**

**00CH** A relation  $R: A \rightarrow B$  is **total** if, for each  $a \in A$ , we have  $R(a) \neq \emptyset$ .

**PROPOSITION 5.1.5.2 ► PROPERTIES OF TOTAL RELATIONS**

**00CJ** Let  $R: A \rightarrow B$  be a relation.

**00CK** 1. *Characterisations.* The following conditions are equivalent:

**00CL** (a) The relation  $R$  is total.

**00CM** (b) We have  $\chi_A \subset R^\dagger \diamond R$ .

**PROOF 5.1.5.3 ► PROOF OF PROPOSITION 5.1.5.2****Item 1: Characterisations**

We claim that **Items 1a** and **1b** are indeed equivalent:

- **Item 1a**  $\implies$  **Item 1b**: We have to show that, for each  $(a, a') \in A$ , we have

$$\chi_A(a, a') \leq_{\{\text{t,f}\}} [R^\dagger \diamond R](a, a'),$$

i.e. that if  $a = a'$ , then there exists some  $b \in B$  such that  $a \sim_R b$  and  $b \sim_{R^\dagger} a'$  (i.e.  $a \sim_R b$  again), which follows from the totality of  $R$ .

- **Item 1b**  $\implies$  **Item 1a**: Given  $a \in A$ , since  $\chi_A \subset R^\dagger \diamond R$ , we must have

$$\{a\} \subset [R^\dagger \diamond R](a),$$

implying that there must exist some  $b \in B$  such that  $a \sim_R b$  and  $b \sim_{R^\dagger} a$  (i.e.  $a \sim_R b$ ) and thus  $R(a) \neq \emptyset$ , as  $b \in R(a)$ .

This finishes the proof. 

## 5.2 Categories of Relations

### 5.2.1 The Category of Relations

**DEFINITION 5.2.1.1 ► THE CATEGORY OF RELATIONS**

**00CQ**

The **category of relations** is the category  $\text{Rel}$  where

- **Objects.** The objects of  $\text{Rel}$  are sets;
- **Morphisms.** For each  $A, B \in \text{Obj}(\text{Sets})$ , we have

$$\text{Rel}(A, B) \stackrel{\text{def}}{=} \text{Rel}(A, B);$$

- **Identities.** For each  $A \in \text{Obj}(\text{Rel})$ , the unit map

$$\text{id}_A^{\text{Rel}}: \text{pt} \rightarrow \text{Rel}(A, A)$$

of  $\text{Rel}$  at  $A$  is defined by

$$\text{id}_A^{\text{Rel}} \stackrel{\text{def}}{=} \chi_A(-_1, -_2),$$

where  $\chi_A(-_1, -_2)$  is the characteristic relation of  $A$  of ?? of ??;

- *Composition.* For each  $A, B, C \in \text{Obj}(\text{Rel})$ , the composition map

$$\circ_{A,B,C}^{\text{Rel}} : \text{Rel}(B, C) \times \text{Rel}(A, B) \rightarrow \text{Rel}(A, C)$$

of  $\text{Rel}$  at  $(A, B, C)$  is defined by

$$S \circ_{A,B,C}^{\text{Rel}} R \stackrel{\text{def}}{=} S \diamond R$$

for each  $(S, R) \in \text{Rel}(B, C) \times \text{Rel}(A, B)$ , where  $S \diamond R$  is the composition of  $S$  and  $R$  of [Definition 5.3.12.1](#).

## 5.2.2 The Closed Symmetric Monoidal Category of Relations

### 5.2.2.1 The Monoidal Product

#### DEFINITION 5.2.2.1 ► THE MONOIDAL PRODUCT OF Rel

00CT

The **monoidal product** of  $\text{Rel}$  is the functor

$$\times : \text{Rel} \times \text{Rel} \rightarrow \text{Rel}$$

where

- *Action on Objects.* We have

$$\times(A, B) \stackrel{\text{def}}{=} A \times B,$$

where  $A \times B$  is the Cartesian product of sets of ??;

- *Action on Morphisms.* For each  $(A, C), (B, D) \in \text{Obj}(\text{Rel} \times \text{Rel})$ , the action on morphisms

$$\times_{(A,C),(B,D)} : \text{Rel}(A, B) \times \text{Rel}(C, D) \rightarrow \text{Rel}(A \times C, B \times D)$$

of  $\times$  is given by sending a pair of morphisms  $(R, S)$  of the form

$$\begin{aligned} R &: A \rightarrow B, \\ S &: C \rightarrow D \end{aligned}$$

to the relation

$$R \times S : A \times C \rightarrow B \times D$$

of [Definition 5.3.9.1](#).

### 5.2.2.2 The Monoidal Unit

**DEFINITION 5.2.2.2 ► THE MONOIDAL UNIT OF Rel**

00CV

The **monoidal unit of Rel** is the functor

$$\mathbb{M}^{\text{Rel}} : \text{pt} \rightarrow \text{Rel}$$

picking the set

$$\mathbb{M}_{\text{Rel}} \stackrel{\text{def}}{=} \text{pt}$$

of Rel.

**5.2.2.3 The Associator****DEFINITION 5.2.2.3 ► THE ASSOCIATOR OF Rel**

00CX

The **associator of Rel** is the natural isomorphism

$$\alpha^{\text{Rel}} : \times \circ ((\times) \times \text{id}) \xrightarrow{\cong} \times \circ (\text{id} \times (\times)),$$

$$\begin{array}{ccc} \text{Rel} \times \text{Rel} \times \text{Rel} & \xrightarrow{\text{id} \times (\times)} & \text{Rel} \times \text{Rel} \\ (\times) \times \text{id} \downarrow & \nearrow \alpha^{\text{Rel}} & \downarrow \times \\ \text{Rel} \times \text{Rel} & \xrightarrow{\times} & \text{Rel}, \end{array}$$

whose component

$$\alpha_{A,B,C}^{\text{Rel}} : (A \times B) \times C \rightarrow A \times (B \times C)$$

at  $(A, B, C)$  is defined by declaring

$$((a, b), c) \sim_{\alpha_{A,B,C}^{\text{Rel}}} (a', (b', c'))$$

iff  $a = a'$ ,  $b = b'$ , and  $c = c'$ .

**5.2.2.4 The Left Unit**

## DEFINITION 5.2.2.4 ► THE LEFT UNIT OF Rel

00CZ

The **left unit** of Rel is the natural isomorphism

$$\lambda^{\text{Rel}} : \times \circ (\mathbb{1}^{\text{Rel}} \times \text{id}) \xrightarrow{\cong} \lambda_{\text{Rel}}^{\text{Cats}_2},$$

$$\begin{array}{ccc} \text{pt} \times \text{Rel} & \xrightarrow{\mathbb{1}^{\text{Rel}} \times \text{id}} & \text{Rel} \times \text{Rel} \\ \downarrow & \swarrow \lambda_{\text{Rel}}^{\text{Cats}_2} & \downarrow \times \\ & \lambda^{\text{Rel}} & \\ & \searrow & \downarrow \\ & \text{Rel} & \end{array}$$

whose component

$$\lambda_A^{\text{Rel}} : \mathbb{1}_{\text{Rel}} \times A \rightarrow A$$

at  $A$  is defined by declaring

$$(\star, a) \sim_{\lambda_A^{\text{Rel}}} b$$

iff  $a = b$ .

## 5.2.2.5 The Right Unit

## DEFINITION 5.2.2.5 ► THE RIGHT UNIT OF Rel

00D1

The **right unit** of Rel is the natural isomorphism

$$\rho^{\text{Rel}} : \times \circ (\text{id} \times \mathbb{1}^{\text{Rel}}) \xrightarrow{\cong} \rho_{\text{Rel}}^{\text{Cats}_2},$$

$$\begin{array}{ccc} \text{Rel} \times \text{pt} & \xrightarrow{\text{id} \times \mathbb{1}^{\text{Rel}}} & \text{Rel} \times \text{Rel} \\ \downarrow & \swarrow \rho_{\text{Rel}}^{\text{Cats}_2} & \downarrow \times \\ & \rho^{\text{Rel}} & \\ & \searrow & \downarrow \\ & \text{Rel} & \end{array}$$

whose component

$$\rho_A^{\text{Rel}} : A \times \mathbb{1}_{\text{Rel}} \rightarrow A$$

at  $A$  is defined by declaring

$$(a, \star) \sim_{\rho_A^{\text{Rel}}} b$$

iff  $a = b$ .

## 5.2.2.6 The Symmetry

## DEFINITION 5.2.2.6 ► THE SYMMETRY OF Rel

00D3

The **symmetry** of Rel is the natural isomorphism

$$\sigma^{\text{Rel}} : \times \Longrightarrow \times \circ \sigma_{\text{Rel}, \text{Rel}}^{\text{Cats}_2},$$

$$\begin{array}{ccc} \text{Rel} \times \text{Rel} & \xrightarrow{\quad \times \quad} & \text{Rel}, \\ \sigma_{\text{Rel}, \text{Rel}}^{\text{Cats}_2} \searrow & \Downarrow \sigma^{\text{Rel}} & \swarrow \times \\ & \text{Rel} \times \text{Rel} & \end{array}$$

whose component

$$\sigma_{A,B}^{\text{Rel}} : A \times B \rightarrow B \times A$$

at  $(A, B)$  is defined by declaring

$$(a, b) \sim_{\sigma_{A,B}^{\text{Rel}}} (b', a')$$

iff  $a = a'$  and  $b = b'$ .

## 5.2.2.7 The Internal Hom

## DEFINITION 5.2.2.7 ► THE INTERNAL HOM OF Rel

00D5

The **internal Hom** of Rel is the functor

$$\mathbf{Hom}_{\text{Rel}} : \text{Rel}^{\text{op}} \times \text{Rel} \rightarrow \text{Rel}$$

defined by

$$\mathbf{Hom}_{\text{Rel}}(A, B) \stackrel{\text{def}}{=} A \times B$$

for each  $A, B \in \text{Obj}(\text{Rel})$ .

## PROPOSITION 5.2.2.8 ► PROPERTIES OF THE INTERNAL HOM OF Rel

00D6

Let  $A, B, C \in \text{Obj}(\text{Rel})$ .

00D7

1. *Via Self-Duality.* The internal Hom  $\mathbf{Hom}_{\text{Rel}}$  of Rel is given by the composition

$$\text{Rel}^{\text{op}} \times \text{Rel} \xrightarrow{\cong} \text{Rel} \times \text{Rel} \xrightarrow{\quad \times \quad} \text{Rel},$$

where the self-duality equivalence  $\text{Rel}^{\text{op}} \cong \text{Rel}$  comes from Item 1 of Proposition 5.2.5.1.

00D8

2. *Adjointness.* We have adjunctions

$$(A \times - \dashv \mathbf{Hom}_{\text{Rel}}(A, -)): \text{Rel} \begin{array}{c} \xrightarrow{A \times -} \\ \perp \\ \xleftarrow{\mathbf{Hom}_{\text{Rel}}(A, -)} \end{array} \text{Rel},$$

$$(- \times B \dashv \mathbf{Hom}_{\text{Rel}}(B, -)): \text{Rel} \begin{array}{c} \xrightarrow{- \times B} \\ \perp \\ \xleftarrow{\mathbf{Hom}_{\text{Rel}}(B, -)} \end{array} \text{Rel}$$

witnessed by bijections

$$\text{Rel}(A \times B, C) \cong \text{Rel}(A, \mathbf{Hom}_{\text{Rel}}(B, C)) \stackrel{\text{def}}{=} \text{Rel}(A, B \times C),$$

$$\text{Rel}(A \times B, C) \cong \text{Rel}(B, \mathbf{Hom}_{\text{Rel}}(A, C)) \stackrel{\text{def}}{=} \text{Rel}(B, A \times C),$$

natural in  $A, B, C \in \text{Obj}(\text{Rel})$ .

#### PROOF 5.2.2.9 ► PROOF OF PROPOSITION 5.2.2.8

Item 1: Via Self-Duality

Omitted.

Item 2: Adjointness

Indeed, we have

$$\begin{aligned} \text{Rel}(A \times B, C) &\stackrel{\text{def}}{=} \text{Sets}(A \times B \times C, \{\text{true}, \text{false}\}) \\ &\stackrel{\text{def}}{=} \text{Rel}(A, B \times C) \\ &\stackrel{\text{def}}{=} \text{Rel}(A, \mathbf{Hom}_{\text{Rel}}(B, C)), \end{aligned}$$

and similarly for the bijection  $\text{Rel}(A \times B, C) \cong \text{Rel}(B, \mathbf{Hom}_{\text{Rel}}(A, C))$ . 

#### 5.2.2.8 The Closed Symmetric Monoidal Category of Relations

##### DEFINITION 5.2.2.10 ► THE CLOSED SYMMETRIC MONOIDAL CATEGORY OF RELATIONS

00DA

The **closed symmetric monoidal category of relations** is the closed symmetric monoidal category

$$\left( \text{Rel}, \times, \mathbb{M}_{\text{Rel}}, \alpha^{\text{Rel}}, \lambda^{\text{Rel}}, \rho^{\text{Rel}}, \sigma^{\text{Rel}}, \mathbf{Hom}_{\text{Rel}} \right)$$

consisting of

- *The Underlying Category.* The category  $\mathbf{Rel}$  of sets and relations of [Definition 5.2.1.1](#);

- *The Monoidal Product.* The functor

$$\times: \mathbf{Rel} \times \mathbf{Rel} \rightarrow \mathbf{Rel}$$

of [Definition 5.2.2.1](#);

- *The Monoidal Unit.* The functor  $\mathbb{1}^{\mathbf{Rel}}$  of [Definition 5.2.2.2](#);
- *The Associator.* The natural isomorphism  $\alpha^{\mathbf{Rel}}$  of [Definition 5.2.2.3](#);
- *The Left Unitor.* The natural isomorphism  $\lambda^{\mathbf{Rel}}$  of [Definition 5.2.2.4](#);
- *The Right Unitor.* The natural isomorphism  $\rho^{\mathbf{Rel}}$  of [Definition 5.2.2.5](#);
- *The Symmetry.* The natural isomorphism  $\sigma^{\mathbf{Rel}}$  of [Definition 5.2.2.6](#);

- *The Internal Hom.* The functor

$$\mathbf{Hom}_{\mathbf{Rel}}: \mathbf{Rel}^{\text{op}} \times \mathbf{Rel} \rightarrow \mathbf{Rel}$$

of [Definition 5.2.2.7](#).

### 5.2.3 The 2-Category of Relations

#### DEFINITION 5.2.3.1 ► THE 2-CATEGORY OF RELATIONS

00DC

The **2-category of relations** is the locally posetal 2-category  $\mathbf{Rel}$  where

- **Objects.** The objects of  $\mathbf{Rel}$  are sets;
- **$\mathbf{Hom}$ -Objects.** For each  $A, B \in \text{Obj}(\mathbf{Sets})$ , we have

$$\begin{aligned} \mathbf{Hom}_{\mathbf{Rel}}(A, B) &\stackrel{\text{def}}{=} \mathbf{Rel}(A, B) \\ &\stackrel{\text{def}}{=} (\mathbf{Rel}(A, B), \subset); \end{aligned}$$

- **Identities.** For each  $A \in \text{Obj}(\mathbf{Rel})$ , the unit map

$$\mathbb{1}_A^{\mathbf{Rel}}: \text{pt} \rightarrow \mathbf{Rel}(A, A)$$

of  $\mathbf{Rel}$  at  $A$  is defined by

$$\text{id}_A^{\mathbf{Rel}} \stackrel{\text{def}}{=} \chi_A(-_1, -_2),$$

where  $\chi_A(-_1, -_2)$  is the characteristic relation of  $A$  of ?? of ??;

- *Composition.* For each  $A, B, C \in \text{Obj}(\mathbf{Rel})$ , the composition map<sup>1</sup>

$$\circ_{A,B,C}^{\mathbf{Rel}} : \mathbf{Rel}(B, C) \times \mathbf{Rel}(A, B) \rightarrow \mathbf{Rel}(A, C)$$

of  $\mathbf{Rel}$  at  $(A, B, C)$  is defined by

$$S \circ_{A,B,C}^{\mathbf{Rel}} R \stackrel{\text{def}}{=} S \diamond R$$

for each  $(S, R) \in \mathbf{Rel}(B, C) \times \mathbf{Rel}(A, B)$ , where  $S \diamond R$  is the composition of  $S$  and  $R$  of [Definition 5.3.12.1](#).

---

<sup>1</sup>Note that this is indeed a morphism of posets: given relations  $R_1, R_2 \in \mathbf{Rel}(A, B)$  and  $S_1, S_2 \in \mathbf{Rel}(B, C)$  such that

$$\begin{aligned} R_1 &\subset R_2, \\ S_1 &\subset S_2, \end{aligned}$$

we have also  $S_1 \diamond R_1 \subset S_2 \diamond R_2$ .

## 5.2.4 The Double Category of Relations

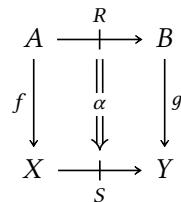
### 5.2.4.1 The Double Category of Relations

#### DEFINITION 5.2.4.1 ► THE DOUBLE CATEGORY OF RELATIONS

00DF

The **double category of relations** is the locally posetal double category  $\mathbf{Rel}^{\text{dbl}}$  where

- *Objects.* The objects of  $\mathbf{Rel}^{\text{dbl}}$  are sets;
- *Vertical Morphisms.* The vertical morphisms of  $\mathbf{Rel}^{\text{dbl}}$  are maps of sets  $f: A \rightarrow B$ ;
- *Horizontal Morphisms.* The horizontal morphisms of  $\mathbf{Rel}^{\text{dbl}}$  are relations  $R: A \nrightarrow X$ ;
- *2-Morphisms.* A 2-cell



of  $\text{Rel}^{\text{dbl}}$  is either non-existent or an inclusion of relations of the form

$$\begin{array}{ccc} A \times B & \xrightarrow{R} & \{\text{true, false}\} \\ R \subset S \circ (f \times g), & f \times g \downarrow & \hookrightarrow \downarrow \text{id}_{\{\text{true, false}\}} \\ X \times Y & \xrightarrow[S]{ } & \{\text{true, false}\}; \end{array}$$

- *Horizontal Identities.* The horizontal unit functor of  $\text{Rel}^{\text{dbl}}$  is the functor of [Definition 5.2.4.2](#);

- *Vertical Identities.* For each  $A \in \text{Obj}(\text{Rel}^{\text{dbl}})$ , we have

$$\text{id}_A^{\text{Rel}^{\text{dbl}}} \stackrel{\text{def}}{=} \text{id}_A;$$

- *Identity 2-Morphisms.* For each horizontal morphism  $R: A \dashrightarrow B$  of  $\text{Rel}^{\text{dbl}}$ , the identity 2-morphism

$$\begin{array}{ccc} A & \xrightarrow[R]{ } & B \\ \text{id}_A \downarrow & \parallel & \downarrow \text{id}_B \\ A & \xrightarrow[R]{ } & B \end{array}$$

of  $R$  is the identity inclusion

$$\begin{array}{ccc} B \times A & \xrightarrow{R} & \{\text{true, false}\} \\ R \subset R, \quad \text{id}_B \times \text{id}_A \downarrow & \hookrightarrow \downarrow \text{id}_{\{\text{true, false}\}} & \\ B \times A & \xrightarrow[R]{ } & \{\text{true, false}\}; \end{array}$$

- *Horizontal Composition.* The horizontal composition functor of  $\text{Rel}^{\text{dbl}}$  is the functor of [Definition 5.2.4.3](#);

- *Vertical Composition of 1-Morphisms.* For each composable pair  $A \xrightarrow{F} B \xrightarrow{G} C$  of vertical morphisms of  $\text{Rel}^{\text{dbl}}$ , i.e. maps of sets, we have

$$g \circ^{\text{Rel}^{\text{dbl}}} f \stackrel{\text{def}}{=} g \circ f;$$

- *Vertical Composition of 2-Morphisms.* The vertical composition of 2-morphisms in  $\text{Rel}^{\text{dbl}}$  is defined as in [Definition 5.2.4.4](#);

- *Associators*. The associators of  $\text{Rel}^{\text{dbl}}$  is defined as in [Definition 5.2.4.5](#);
- *Left Unitors*. The left unitors of  $\text{Rel}^{\text{dbl}}$  is defined as in [Definition 5.2.4.6](#);
- *Right Unitors*. The right unitors of  $\text{Rel}^{\text{dbl}}$  is defined as in [Definition 5.2.4.7](#).

### 5.2.4.2 Horizontal Identities

#### DEFINITION 5.2.4.2 ► THE HORIZONTAL IDENTITIES OF $\text{Rel}^{\text{dbl}}$

00DH

The **horizontal unit functor** of  $\text{Rel}^{\text{dbl}}$  is the functor

$$\mathbb{1}^{\text{Rel}^{\text{dbl}}} : \text{Rel}_0^{\text{dbl}} \rightarrow \text{Rel}_1^{\text{dbl}}$$

of  $\text{Rel}^{\text{dbl}}$  is the functor where

- *Action on Objects*. For each  $A \in \text{Obj}(\text{Rel}_0^{\text{dbl}})$ , we have

$$\mathbb{1}_A \stackrel{\text{def}}{=} \chi_A(-_1, -_2);$$

- *Action on Morphisms*. For each vertical morphism  $f: A \rightarrow B$  of  $\text{Rel}^{\text{dbl}}$ , i.e. each map of sets  $f$  from  $A$  to  $B$ , the identity 2-morphism

$$\begin{array}{ccc} A & \xrightarrow{\mathbb{1}_A} & A \\ f \downarrow & \parallel \downarrow \mathbb{1}_f & \downarrow f \\ B & \xrightarrow{\mathbb{1}_B} & B \end{array}$$

of  $f$  is the inclusion

$$\begin{array}{ccc} A \times A & \xrightarrow{\chi_A(-_1, -_2)} & \{\text{true, false}\} \\ f \times f \downarrow & \subset & \downarrow \text{id}_{\{\text{true, false}\}} \\ B \times B & \xrightarrow{\chi_B(-_1, -_2)} & \{\text{true, false}\} \end{array}$$

of ?? of ??.

### 5.2.4.3 Horizontal Composition

**DEFINITION 5.2.4.3 ► THE HORIZONTAL COMPOSITION OF  $\text{Rel}_1^{\text{dbl}}$** 

00DK

The **horizontal composition functor** of  $\text{Rel}_1^{\text{dbl}}$  is the functor

$$\odot^{\text{Rel}_1^{\text{dbl}}} : \text{Rel}_1^{\text{dbl}} \times_{\text{Rel}_0^{\text{dbl}}} \text{Rel}_1^{\text{dbl}} \rightarrow \text{Rel}_1^{\text{dbl}}$$

of  $\text{Rel}_1^{\text{dbl}}$  is the functor where

- *Action on Objects.* For each composable pair  $A \xrightarrow{R} B \xrightarrow{S} C$  of horizontal morphisms of  $\text{Rel}_1^{\text{dbl}}$ , we have

$$S \odot R \stackrel{\text{def}}{=} S \diamond R,$$

where  $S \diamond R$  is the composition of  $R$  and  $S$  of [Definition 5.3.12.1](#);

- *Action on Morphisms.* For each horizontally composable pair

$$\begin{array}{ccc} A & \xrightarrow{R} & B \\ f \downarrow & \Downarrow \alpha & \downarrow g \\ X & \xrightarrow{T} & Y \end{array} \quad \begin{array}{ccc} B & \xrightarrow{S} & C \\ g \downarrow & \Downarrow \beta & \downarrow h \\ Y & \xrightarrow{U} & Z \end{array}$$

of 2-morphisms of  $\text{Rel}_1^{\text{dbl}}$ , i.e. for each pair

$$\begin{array}{ccc} A \times B & \xrightarrow{R} & \{ \text{true, false} \} \\ f \times g \downarrow & \curvearrowleft & \downarrow \text{id}_{\{ \text{true, false} \}} \\ X \times Y & \xrightarrow{T} & \{ \text{true, false} \} \end{array} \quad \begin{array}{ccc} B \times C & \xrightarrow{S} & \{ \text{true, false} \} \\ g \times h \downarrow & \curvearrowleft & \downarrow \text{id}_{\{ \text{true, false} \}} \\ Y \times Z & \xrightarrow{U} & \{ \text{true, false} \} \end{array}$$

of inclusions of relations, the horizontal composition

$$\begin{array}{ccc} A & \xrightarrow{S \odot R} & C \\ f \downarrow & \Downarrow \beta \circ \alpha & \downarrow h \\ X & \xrightarrow{U \odot T} & Z \end{array}$$

of  $\alpha$  and  $\beta$  is the inclusion of relations<sup>1</sup>

$$\begin{array}{ccc} A \times C & \xrightarrow{S \odot R} & \{ \text{true, false} \} \\ (U \diamond T) \circ (f \times h) \subset (S \diamond R) \quad f \times h \downarrow & \curvearrowleft & \downarrow \text{id}_{\{ \text{true, false} \}} \\ X \times Z & \xrightarrow{U \diamond T} & \{ \text{true, false} \}. \end{array}$$

<sup>1</sup>This is justified by noting that, given  $(a, c) \in A \times C$ , the statement

- We have  $a \sim_{(U \circ T) \circ (f \times h)} c$ , i.e.  $f(a) \sim_{U \circ T} h(c)$ , i.e. there exists some  $y \in Y$  such that:
  1. We have  $f(a) \sim_T y$ ;
  2. We have  $y \sim_U h(c)$ ;

is implied by the statement

- We have  $a \sim_{S \circ R} c$ , i.e. there exists some  $b \in B$  such that:

1. We have  $a \sim_R b$ ;
2. We have  $b \sim_S c$ ;

since:

- If  $a \sim_R b$ , then  $f(a) \sim_T g(b)$ , as  $T \circ (f \times g) \subset R$ ;
- If  $b \sim_S c$ , then  $g(b) \sim_U h(c)$ , as  $U \circ (g \times h) \subset S$ ;

#### 5.2.4.4 Vertical Composition of 2-Morphisms

##### DEFINITION 5.2.4.4 ► THE VERTICAL COMPOSITION OF 2-MORPHISMS IN $\text{Rel}^{\text{dbl}}$

00DM

The **vertical composition** in  $\text{Rel}^{\text{dbl}}$  is defined as follows: for each vertically composable pair

$$\begin{array}{ccc} A & \xrightarrow{R} & X \\ f \downarrow & \Downarrow \alpha & \downarrow g \\ B & \xrightarrow{S} & Y \end{array} \quad \begin{array}{ccc} B & \xrightarrow{S} & Y \\ h \downarrow & \Downarrow \beta & \downarrow k \\ C & \xrightarrow{T} & Z \end{array}$$

of 2-morphisms of  $\text{Rel}^{\text{dbl}}$ , i.e. for each each pair

$$\begin{array}{ccc} A \times X & \xrightarrow{R} & \{\text{true, false}\} \\ f \times g \downarrow & \curvearrowright & \downarrow \text{id}_{\{\text{true, false}\}} \\ B \times Y & \xrightarrow{S} & \{\text{true, false}\} \end{array} \quad \begin{array}{ccc} B \times Y & \xrightarrow{S} & \{\text{true, false}\} \\ h \times k \downarrow & \curvearrowright & \downarrow \text{id}_{\{\text{true, false}\}} \\ C \times Z & \xrightarrow{T} & \{\text{true, false}\} \end{array}$$

of inclusions of relations, we define the vertical composition

$$\begin{array}{ccc} A & \xrightarrow{R} & X \\ h \circ f \downarrow & \Downarrow \beta \circ \alpha & \downarrow k \circ g \\ C & \xrightarrow{T} & Z \end{array}$$

of  $\alpha$  and  $\beta$  as the inclusion of relations

$$\begin{array}{ccc} A \times X & \xrightarrow{R} & \{\text{true, false}\} \\ T \circ [(h \circ f) \times (k \circ g)] \subset R, & (h \circ f) \times (k \circ g) \downarrow & \downarrow \text{id}_{\{\text{true, false}\}} \\ C \times Z & \xrightarrow{T} & \{\text{true, false}\} \end{array}$$

given by the pasting of inclusions<sup>1</sup>

$$\begin{array}{ccc} A \times X & \xrightarrow{R} & \{\text{true, false}\} \\ f \times g \downarrow & \curvearrowleft & \downarrow \text{id}_{\{\text{true, false}\}} \\ B \times Y & \xrightarrow{S} & \{\text{true, false}\} \\ h \times k \downarrow & \curvearrowleft & \downarrow \text{id}_{\{\text{true, false}\}} \\ C \times Z & \xrightarrow{T} & \{\text{true, false}\}. \end{array}$$

<sup>1</sup>This is justified by noting that, given  $(a, x) \in A \times X$ , the statement

- We have  $h(f(a)) \sim_T k(g(x))$ ;

is implied by the statement

- We have  $a \sim_R x$ ;

since

- If  $a \sim_R x$ , then  $f(a) \sim_S g(x)$ , as  $S \circ (f \times g) \subset R$ ;
- If  $b \sim_S y$ , then  $h(b) \sim_T k(y)$ , as  $T \circ (h \times k) \subset S$ , and thus, in particular:
  - If  $f(a) \sim_S g(x)$ , then  $h(f(a)) \sim_T k(g(x))$ ;

#### 5.2.4.5 The Associators

00DP

**DEFINITION 5.2.4.5 ► THE ASSOCIATORS OF  $\text{Rel}^{\text{dbl}}$** 

For each composable triple  $A \xrightarrow{R} B \xrightarrow{S} C \xrightarrow{T} D$  of horizontal morphisms of  $\text{Rel}^{\text{dbl}}$ , the component

$$\alpha_{T,S,R}^{\text{Rel}^{\text{dbl}}} : (T \odot S) \odot R \xrightarrow{\cong} T \odot (S \odot R), \quad \begin{array}{c} A \xrightarrow{R} B \xrightarrow{S} C \xrightarrow{T} D \\ \downarrow \text{id}_A \qquad \downarrow \alpha_{T,S,R}^{\text{Rel}^{\text{dbl}}} \qquad \downarrow \text{id}_D \\ A \xrightarrow[R]{\quad} B \xrightarrow[S]{\quad} C \xrightarrow[T]{\quad} D \end{array}$$

of the associator of  $\text{Rel}^{\text{dbl}}$  at  $(R, S, T)$  is the identity inclusion<sup>1</sup>

$$(T \diamond S) \diamond R = T \diamond (S \diamond R) \quad \begin{array}{ccc} A \times B & \xrightarrow{(T \diamond S) \diamond R} & \{\text{true, false}\} \\ \parallel & \lneq & \downarrow \text{id}_{\{\text{true, false}\}} \\ A \times B & \xrightarrow[T \diamond (S \diamond R)]{\quad} & \{\text{true, false}\}. \end{array}$$

<sup>1</sup>This is justified by Item 2 of Proposition 5.3.12.3.

**5.2.4.6 The Left Unitors**

00DR

**DEFINITION 5.2.4.6 ► THE LEFT UNITORS OF  $\text{Rel}^{\text{dbl}}$** 

For each horizontal morphism  $R: A \rightarrow B$  of  $\text{Rel}^{\text{dbl}}$ , the component

$$\lambda_R^{\text{Rel}^{\text{dbl}}} : \mathbb{1}_B \odot R \xrightarrow{\cong} R, \quad \begin{array}{c} A \xrightarrow{R} B \xrightarrow{\mathbb{1}_B} B \\ \downarrow \text{id}_A \qquad \downarrow \lambda_R^{\text{Rel}^{\text{dbl}}} \qquad \downarrow \text{id}_B \\ A \xrightarrow[R]{\quad} B \end{array}$$

of the left unit of  $\text{Rel}^{\text{dbl}}$  at  $R$  is the identity inclusion<sup>1</sup>

$$R = \chi_B \diamond R, \quad \begin{array}{ccc} A \times B & \xrightarrow{\chi_B \diamond R} & \{\text{true, false}\} \\ \parallel & \lneq & \downarrow \text{id}_{\{\text{true, false}\}} \\ A \times B & \xrightarrow[R]{\quad} & \{\text{true, false}\}. \end{array}$$

<sup>1</sup>This is justified by Item 3 of Proposition 5.3.12.3.

**5.2.4.7 The Right Unitors**

**DEFINITION 5.2.4.7 ► THE RIGHT UNITORS OF  $\text{Rel}^{\text{dbl}}$** 

**00DT** For each horizontal morphism  $R: A \rightarrow B$  of  $\text{Rel}^{\text{dbl}}$ , the component

$$\rho_R^{\text{Rel}^{\text{dbl}}}: R \odot \chi_A \xrightarrow{\cong} R,$$

$$\begin{array}{ccccc} A & \xrightarrow{\chi_A} & A & \xrightarrow{R} & B \\ id_A \downarrow & & \rho_R^{\text{Rel}^{\text{dbl}}} \Downarrow & & id_B \downarrow \\ A & \xrightarrow{R} & B & & \end{array}$$

of the right unitor of  $\text{Rel}^{\text{dbl}}$  at  $R$  is the identity inclusion<sup>1</sup>

$$\begin{array}{ccc} A \times B & \xrightarrow{R \diamond \chi_A} & \{\text{true, false}\} \\ R = R \diamond \chi_A, & \parallel & \cong & id_{\{\text{true, false}\}} \\ A \times B & \xrightarrow{R} & \{\text{true, false}\}. \end{array}$$

<sup>1</sup>This is justified by Item 3 of Proposition 5.3.12.3.

**5.2.5 Properties of the Category of Relations****PROPOSITION 5.2.5.1 ► PROPERTIES OF THE CATEGORY OF RELATIONS**

**00DV** Let  $A$  and  $B$  be sets.

**00DW** 1. *Self-Duality I.* The category  $\text{Rel}$  is self-dual, i.e. we have an equivalence

$$\text{Rel}^{\text{op}} \xrightarrow{\text{eq.}} \text{Rel}$$

of categories.

**00DX** 2. *Self-Duality II.* The bicategory  $\text{Rel}$  is self-dual, i.e. we have a biequivalence

$$\text{Rel}^{\text{op}} \xrightarrow{\text{eq.}} \text{Rel}$$

of bicategories.

**00DY** 3. *Equivalences and Isomorphisms in Rel.* Let  $R: A \rightarrow B$  be a relation from  $A$  to  $B$ . The following conditions are equivalent:

**00DZ** (a) The relation  $R: A \rightarrow B$  is an equivalence in  $\text{Rel}$ , i.e. there exists a relation  $R^{-1}: B \rightarrow A$  from  $B$  to  $A$  together with isomorphisms

$$\begin{aligned} R^{-1} \diamond R &\cong \chi_A, \\ R \diamond R^{-1} &\cong \chi_B. \end{aligned}$$

00E0

- (b) The relation  $R: A \rightarrow B$  is an isomorphism in **Rel**, i.e. there exists a relation  $R^{-1}: B \rightarrow A$  from  $B$  to  $A$  such that we have

$$\begin{aligned} R^{-1} \diamond R &= \chi_A, \\ R \diamond R^{-1} &= \chi_B. \end{aligned}$$

00E1

- (c) There exists a bijection  $f: A \xrightarrow{\cong} B$  with  $R = \text{Gr}(f)$ .

00E2

4. *Adjunctions in Rel.* We have a natural bijection

$$\left\{ \begin{array}{l} \text{Adjunctions in } \mathbf{Rel} \\ \text{from } A \text{ to } B \end{array} \right\} \cong \left\{ \begin{array}{l} \text{Functions} \\ \text{from } A \text{ to } B \end{array} \right\},$$

with every adjunction in **Rel** being of the form  $\text{Gr}(f) \dashv f^{-1}$  for some function  $f$ .

00E3

5. *Monads in Rel.* We have a natural bijection<sup>1</sup>

$$\left\{ \begin{array}{l} \text{Monads in} \\ \mathbf{Rel} \text{ on } A \end{array} \right\} \cong \{ \text{Preorders on } A \}.$$

00E4

6. *Comonads in Rel.* We have a natural bijection

$$\left\{ \begin{array}{l} \text{Comonads in} \\ \mathbf{Rel} \text{ on } A \end{array} \right\} \cong \{ \text{Subsets of } A \}.$$

00E5

7. *Characterisations of Monomorphisms in Rel.* Let  $R: A \rightarrow B$  be a relation. The following conditions are equivalent:

00E6

- (a) The relation  $R$  is a monomorphism in **Rel**.

00E7

- (b) The direct image function

$$R_*: \mathcal{P}(A) \rightarrow \mathcal{P}(B)$$

associated to  $R$  is injective.

00E8

- (c) The direct image with compact support function

$$R_!: \mathcal{P}(A) \rightarrow \mathcal{P}(B)$$

associated to  $R$  is injective.

Moreover, if  $R$  is a monomorphism, then it satisfies the following condition, and the converse holds if  $R$  is total:

- (★) For each  $a, a' \in A$ , if there exists some  $b \in B$  such that  $a \sim_R b$  and  $a' \sim_R b$ , then  $a = a'$ .

**00E9** 8. *Epimorphisms in Rel.* Let  $R: A \rightarrow B$  be a relation. The following conditions are equivalent:

**00EA** (a) The relation  $R$  is an epimorphism in Rel.

**00EB** (b) The weak inverse image function

$$R^{-1}: \mathcal{P}(B) \rightarrow \mathcal{P}(A)$$

associated to  $R$  is injective.

**00EC** (c) The strong inverse image function

$$R_{-1}: \mathcal{P}(B) \rightarrow \mathcal{P}(A)$$

associated to  $R$  is injective.

**00ED** (d) The function  $R: A \rightarrow \mathcal{P}(B)$  is “surjective on singletons”:

- (★) For each  $b \in B$ , there exists some  $a \in A$  such that  $R(a) = \{b\}$ .

**00EE** 9. *As a Kleisli Category.* We have an isomorphism of categories

$$\text{Rel} \cong \text{FreeAlg}_{\mathcal{P}},$$

where  $\mathcal{P}$  is the powerset monad of  $\text{Sets}$ .

**00EF** 10. *Co/Completeness (Or Lack Thereof).* The category Rel is not co/complete, but admits some co/limits:

- (a) *Zero Objects.* The category Rel has a zero object, the empty set  $\emptyset$ .
- (b) *Co/Products.* The category Rel has co/products, both given by disjoint union of sets.
- (c) *Lack of Co/Equalisers.* The category Rel does not have co/equalisers.
- (d) *Limits of Graphs of Functions.* The category Rel has limits whose arrows are all graphs of functions.
- (e) *Colimits of Graphs of Functions.* The category Rel has colimits whose arrows are all graphs of functions, and these agree with the corresponding limits in Sets.

00EG

11. *Existence of Right Kan Extensions.* The right Kan extension

$$\text{Ran}_R: \text{Rel}(A, X) \rightarrow \text{Rel}(B, X)$$

along a relation  $R: A \rightarrow B$  in **Rel** exists and is given by

$$\text{Ran}_R(S) \stackrel{\text{def}}{=} \int_{a \in A} \mathbf{Hom}_{\{\text{t}, \text{f}\}}(R^a, S^{-1}_a)$$

for each  $S \in \text{Rel}(A, X)$ , so that the following conditions are equivalent:

- (a) We have  $b \sim_{\text{Ran}_R(S)} x$ .
- (b) For each  $a \in A$ , if  $a \sim_R b$ , then  $a \sim_S x$ .

00EH

12. *Existence of Right Kan Lifts.* The right Kan lift

$$\text{Rift}_R: \text{Rel}(X, B) \rightarrow \text{Rel}(X, A)$$

along a relation  $R: A \rightarrow B$  in **Rel** exists and is given by

$$\text{Rift}_R(S) \stackrel{\text{def}}{=} \int_{b \in B} \mathbf{Hom}_{\{\text{t}, \text{f}\}}(R_b^{-1}, S_b)$$

for each  $S \in \text{Rel}(X, B)$ , so that the following conditions are equivalent:

- (a) We have  $x \sim_{\text{Rift}_R(S)} a$ .
- (b) For each  $b \in B$ , if  $a \sim_R b$ , then  $x \sim_S b$ .

00EJ

13. *Closedness.* The bicategory **Rel** is a closed bicategory, there being, for each  $R: A \rightarrow B$  and set  $X$ , a pair of adjunctions

$$(R^* \dashv \text{Ran}_R): \quad \text{Rel}(B, X) \begin{array}{c} \xrightarrow{R^*} \\ \perp \\ \xleftarrow{\text{Ran}_R} \end{array} \text{Rel}(A, X),$$

$$(R_* \dashv \text{Rift}_R): \quad \text{Rel}(X, A) \begin{array}{c} \xrightarrow{R_*} \\ \perp \\ \xleftarrow{\text{Rift}_R} \end{array} \text{Rel}(X, B),$$

witnessed by bijections

$$\mathbf{Rel}(S \diamond R, T) \cong \mathbf{Rel}(S, \text{Ran}_R(T)),$$

$$\mathbf{Rel}(R \diamond U, V) \cong \mathbf{Rel}(U, \text{Rift}_R(V)),$$

natural in  $S \in \text{Rel}(B, X)$ ,  $T \in \text{Rel}(A, X)$ ,  $U \in \text{Rel}(X, A)$ , and  $V \in \text{Rel}(X, B)$ .

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<sup>1</sup>See also [Section 5.6](#) for an extension of this correspondence to “relative monads on **Rel**”.

**PROOF 5.2.5.2 ► PROOF OF PROPOSITION 5.2.5.1****Item 1: Self-Duality I**

Omitted.

**Item 2: Self-Duality II**

Omitted.

**Item 3: Equivalences and Isomorphisms in Rel**

We claim that **Items 3a** to **3c** are indeed equivalent:

- **Item 3a**  $\iff$  **Item 3b**: This follows from the fact that **Rel** is locally posetal, so that natural isomorphisms and equalities of 1-cells in **Rel** coincide.
- **Item 3b**  $\implies$  **Item 3c**: The equalities in **Item 3b** imply  $R \dashv R^{-1}$ , and thus by **Item 4**, there exists a function  $f_R: A \rightarrow B$  associated to  $R$ , where, for each  $a \in A$ , the image  $f_R(a)$  of  $a$  by  $f_R$  is the unique element of  $R(a)$ , which implies  $R = \text{Gr}(f_R)$  in particular. Furthermore, we have  $R^{-1} = f_R^{-1}$  (as in **Definition 5.3.2.1**). The conditions from **Item 3b** then become the following:

$$\begin{aligned} f_R^{-1} \diamond f_R &= \chi_A, \\ f_R \diamond f_R^{-1} &= \chi_B. \end{aligned}$$

All that is left is to show then is that  $f_R$  is a bijection:

- **The Function  $f_R$  Is Injective.** Let  $a, b \in A$  and suppose that  $f_R(a) = f_R(b)$ . Since  $a \sim_R f_R(a)$  and  $f_R(a) = f_R(b) \sim_{R^{-1}} b$ , the condition  $f_R^{-1} \diamond f_R = \chi_A$  implies that  $a = b$ , showing  $f_R$  to be injective.
- **The Function  $f_R$  Is Surjective.** Let  $b \in B$ . Applying the condition  $f_R \diamond f_R^{-1} = \chi_B$  to  $(b, b)$ , it follows that there exists some  $a \in A$  such that  $f_R^{-1}(b) = a$  and  $f_R(a) = b$ . This shows  $f_R$  to be surjective.
- **Item 3c**  $\implies$  **Item 3b**: By **Item 2**, we have an adjunction  $\text{Gr}(f) \dashv f^{-1}$ , giving inclusions

$$\begin{aligned} \chi_A &\subset f^{-1} \diamond \text{Gr}(f), \\ \text{Gr}(f) \diamond f^{-1} &\subset \chi_B. \end{aligned}$$

We claim the reverse inclusions are also true:

- $f^{-1} \diamond \text{Gr}(f) \subset \chi_A$ : This is equivalent to the statement that if  $f(a) = b$  and  $f^{-1}(b) = a'$ , then  $a = a'$ , which follows from the injectivity of  $f$ .
- $\chi_B \subset \text{Gr}(f) \diamond f^{-1}$ : This is equivalent to the statement that given  $b \in B$  there exists some  $a \in A$  such that  $f^{-1}(b) = a$  and  $f(a) = b$ , which follows from the surjectivity of  $f$ .

**Item 4: Adjunctions in  $\mathbf{Rel}$**

We proceed step by step:

1. *From Adjunctions in  $\mathbf{Rel}$  to Functions.* An adjunction in  $\mathbf{Rel}$  from  $A$  to  $B$  consists of a pair of relations

$$\begin{aligned} R: A &\rightarrow B, \\ S: B &\rightarrow A, \end{aligned}$$

together with inclusions

$$\begin{aligned} \chi_A &\subset S \diamond R, \\ R \diamond S &\subset \chi_B. \end{aligned}$$

We claim that these conditions imply that  $R$  is total and functional, i.e. that  $R(a)$  is a singleton for each  $a \in A$ :

- (a)  *$R(a)$  Has an Element.* Given  $a \in A$ , since  $\chi_A \subset S \diamond R$ , we must have  $\{a\} \subset S(R(a))$ , implying that there exists some  $b \in B$  such that  $a \sim_R b$  and  $b \sim_S a$ , and thus  $R(a) \neq \emptyset$ , as  $b \in R(a)$ .
- (b)  *$R(a)$  Has No More Than One Element.* Suppose that we have  $a \sim_R b$  and  $a \sim_R b'$  for  $b, b' \in B$ . We claim that  $b = b'$ :
  - i. Since  $\chi_A \subset S \diamond R$ , there exists some  $k \in B$  such that  $a \sim_R k$  and  $k \sim_S a$ .
  - ii. Since  $R \diamond S \subset \chi_B$ , if  $b'' \sim_S a'$  and  $a' \sim_R b'''$ , then  $b'' = b'''$ .
  - iii. Applying the above to  $b'' = k$ ,  $b''' = b$ , and  $a' = a$ , since  $k \sim_S a$  and  $a \sim_R b'$ , we have  $k = b$ .
  - iv. Similarly  $k = b'$ .
  - v. Thus  $b = b'$ .

Together, the above two items show  $R(a)$  to be a singleton, being thus given by  $\text{Gr}(f)$  for some function  $f: A \rightarrow B$ , which gives a map

$$\left\{ \begin{array}{c} \text{Adjunctions in } \mathbf{Rel} \\ \text{from } A \text{ to } B \end{array} \right\} \rightarrow \left\{ \begin{array}{c} \text{Functions} \\ \text{from } A \text{ to } B \end{array} \right\}.$$

Moreover, by uniqueness of adjoints (?? of ??), this implies also that  $S = f^{-1}$ .

2. *From Functions to Adjunctions in  $\mathbf{Rel}$ .* By Item 2 of Proposition 5.3.1.2, every function  $f: A \rightarrow B$  gives rise to an adjunction  $\text{Gr}(f) \dashv f^{-1}$  in  $\mathbf{Rel}$ , giving a map

$$\left\{ \begin{array}{c} \text{Functions} \\ \text{from } A \text{ to } B \end{array} \right\} \rightarrow \left\{ \begin{array}{c} \text{Adjunctions in } \mathbf{Rel} \\ \text{from } A \text{ to } B \end{array} \right\}.$$

3. *Invertibility: From Functions to Adjunctions Back to Functions.* We need to show that starting with a function  $f: A \rightarrow B$ , passing to  $\text{Gr}(f) \dashv f^{-1}$ , and then passing again to a function gives  $f$  again. This is clear however, since we have  $a \sim_{\text{Gr}(f)} b$  iff  $f(a) = b$ .

4. *Invertibility: From Adjunctions to Functions Back to Adjunctions.* We need to show that, given an adjunction  $R \dashv S$  in **Rel** giving rise to a function  $f_{R,S}: A \rightarrow B$ , we have

$$\begin{aligned}\text{Gr}(f_{R,S}) &= R, \\ f_{R,S}^{-1} &= S.\end{aligned}$$

We check these explicitly:

- $\text{Gr}(f_{R,S}) = R$ . We have

$$\begin{aligned}\text{Gr}(f_{R,S}) &\stackrel{\text{def}}{=} \{(a, f_{R,S}(a)) \in A \times B \mid a \in A\} \\ &\stackrel{\text{def}}{=} \{(a, R(a)) \in A \times B \mid a \in A\} \\ &= R.\end{aligned}$$

- $f_{R,S}^{-1} = S$ . We first claim that, given  $a \in A$  and  $b \in B$ , the following conditions are equivalent:

- We have  $a \sim_R b$ .
- We have  $b \sim_S a$ .

Indeed:

- If  $a \sim_R b$ , then  $b \sim_S a$ : Since  $\chi_A \subset S \diamond R$ , there exists  $k \in B$  such that  $a \sim_R k$  and  $k \sim_S a$ , but since  $a \sim_R b$  and  $R$  is functional, we have  $k = b$  and thus  $b \sim_S a$ .
- If  $b \sim_S a$ , then  $a \sim_R b$ : First note that since  $R$  is total we have  $a \sim_R b'$  for some  $b' \in B$ . Now, since  $R \diamond S \subset \chi_B$ ,  $b \sim_S a$ , and  $a \sim_R b'$ , we have  $b = b'$ , and thus  $a \sim_R b$ .

Having shown this, we now have

$$\begin{aligned}f_{R,S}^{-1}(b) &\stackrel{\text{def}}{=} \{a \in A \mid f_{R,S}(a) = b\} \\ &\stackrel{\text{def}}{=} \{a \in A \mid a \sim_R b\} \\ &= \{a \in A \mid b \sim_S a\} \\ &\stackrel{\text{def}}{=} S(b).\end{aligned}$$

for each  $b \in B$ , showing  $f_{R,S}^{-1} = S$ .

This finishes the proof.

### Item 5: Monads in **Rel**

A monad in **Rel** on  $A$  consists of a relation  $R: A \rightarrow A$  together with maps

$$\mu_R: R \diamond R \subset R,$$

$$\eta_R: \chi_A \subset R$$

making the diagrams

$$\begin{array}{ccccc}
\chi_A \diamond R & \xrightarrow{\eta_R \circ \text{id}_R} & R \diamond R & \xrightarrow{\alpha_{R,R,R}^{\text{Rel}(A,B)}} & R \diamond (R \diamond R) \\
\lambda_R^{\text{Rel}(A,B)} \searrow & & \downarrow \mu_R & & \searrow \text{id}_R \circ \mu_R \\
& & R & & R \diamond R \\
& & \xrightarrow{\mu_R \circ \text{id}_R} & & \downarrow \mu_R \\
& & (R \diamond R) \diamond R & & R \diamond R \\
& & \searrow & & \searrow \mu_R \\
& & R \diamond R & \xrightarrow{\mu_R} & R
\end{array}$$
  

$$\begin{array}{ccc}
R \diamond \chi_A & \xrightarrow{\text{id}_R \circ \eta_R} & R \diamond R \\
\searrow & & \downarrow \mu_R \\
& & R \\
\rho_R^{\text{Rel}(A,B)} \searrow & & \downarrow \mu_R \\
& & R
\end{array}$$

commute. However, since all morphisms involved are inclusions, the commutativity of the above diagrams is automatic, and hence all that is left is the data of the two maps  $\mu_R$  and  $\eta_R$ , which correspond respectively to the following conditions:

1. For each  $a, b, c \in A$ , if  $a \sim_R b$  and  $b \sim_R c$ , then  $a \sim_R c$ .
2. For each  $a \in A$ , we have  $a \sim_R a$ .

These are exactly the requirements for  $R$  to be a preorder (??). Conversely any preorder  $\leq$  gives rise to a pair of maps  $\mu_\leq$  and  $\eta_\leq$ , forming a monad on  $A$ .

### Item 6: Comonads in **Rel**

A comonad in **Rel** on  $A$  consists of a relation  $R: A \rightarrow A$  together with maps

$$\Delta_R: R \subset R \diamond R,$$

$$\epsilon_R: R \subset \chi_A$$

making the diagrams

$$\begin{array}{ccccc}
R & \xrightarrow{\Delta_R} & R \diamond R & \xrightarrow{\text{id}_R \circ \Delta_R} & R \diamond R \\
\searrow & & \downarrow \epsilon_R \circ \text{id}_R & & \searrow \alpha_{R,R,R}^{\text{Rel}(A,B),-1} \\
& & \chi_A \diamond R & & R \diamond (R \diamond R) \\
& & \searrow & & \searrow \rho_R^{\text{Rel}(A,B),-1} \\
& & R \diamond R & \xrightarrow{\Delta_R \circ \text{id}_R} & (R \diamond R) \diamond R
\end{array}$$

commute. However, since all morphisms involved are inclusions, the commutativity of the above diagrams is automatic, and hence all that is left is the data of the two maps  $\Delta_R$  and  $\epsilon_R$ , which correspond respectively to the following conditions:

1. For each  $a, b \in A$ , if  $a \sim_R b$ , then there exists some  $k \in A$  such that  $a \sim_R k$  and  $k \sim_R b$ .
2. For each  $a, b \in A$ , if  $a \sim_R b$ , then  $a = b$ .

Taking  $k = b$  in the first condition above shows it to be trivially satisfied, while the second condition implies  $R \subset \Delta_A$ , i.e.  $R$  must be a subset of  $A$ . Conversely, any subset  $U$  of  $A$  satisfies  $U \subset \Delta_A$ , defining a comonad as above.

#### Item 7: Monomorphisms in Rel

Firstly note that [Items 7b](#) and [7c](#) are equivalent by [Item 7 of Proposition 5.5.1.3](#). We then claim that [Items 7a](#) and [7b](#) are also equivalent:

- [Item 7a](#)  $\implies$  [Item 7b](#): Let  $U, V \in \mathcal{P}(A)$  and consider the diagram

$$\text{pt} \xrightarrow[U]{\quad} A \xrightarrow[R]{\quad} B.$$

By [Remark 5.5.1.2](#), we have

$$\begin{aligned} R_*(U) &= R \diamond U, \\ R_*(V) &= R \diamond V. \end{aligned}$$

Now, if  $R \diamond U = R \diamond V$ , i.e.  $R_*(U) = R_*(V)$ , then  $U = V$  since  $R$  is assumed to be a monomorphism, showing  $R_*$  to be injective.

- [Item 7b](#)  $\implies$  [Item 7a](#): Conversely, suppose that  $R_*$  is injective, consider the diagram

$$K \xrightarrow[S]{\quad} A \xrightarrow[R]{\quad} B,$$

and suppose that  $R \diamond S = R \diamond T$ . Note that, since  $R_*$  is injective, given a diagram of the form

$$\text{pt} \xrightarrow[U]{\quad} A \xrightarrow[R]{\quad} B,$$

if  $R_*(U) = R \diamond U = R \diamond V = R_*(V)$ , then  $U = V$ . In particular, for each  $k \in K$ , we may consider the diagram

$$\text{pt} \xrightarrow[k]{\quad} K \xrightarrow[S]{\quad} A \xrightarrow[R]{\quad} B,$$

for which we have  $R \diamond S \diamond [k] = R \diamond T \diamond [k]$ , implying that we have

$$S(k) = S \diamond [k] = T \diamond [k] = T(k)$$

for each  $k \in K$ , implying  $S = T$ , and thus  $R$  is a monomorphism.

We can also prove this in a more abstract way, following [MSE 350788]:

- *Item 7a*  $\implies$  *Item 7b*: Assume that  $R$  is a monomorphism.

- We first notice that the functor  $\text{Rel}(\text{pt}, -) : \text{Rel} \rightarrow \text{Sets}$  maps  $R$  to  $R_*$  by Remark 5.5.1.2.
- Since  $\text{Rel}(\text{pt}, -)$  preserves all limits by ?? of ??, it follows by ?? of ?? that  $\text{Rel}(\text{pt}, -)$  also preserves monomorphisms.
- Since  $R$  is a monomorphism and  $\text{Rel}(\text{pt}, -)$  maps  $R$  to  $R_*$ , it follows that  $R_*$  is also a monomorphism.
- Since the monomorphisms in  $\text{Sets}$  are precisely the injections (?? of ??), it follows that  $R_*$  is injective.

- *Item 7b*  $\implies$  *Item 7a*: Assume that  $R_*$  is injective.

- We first notice that the functor  $\text{Rel}(\text{pt}, -) : \text{Rel} \rightarrow \text{Sets}$  maps  $R$  to  $R_*$  by Remark 5.5.1.2.
- Since the monomorphisms in  $\text{Sets}$  are precisely the injections (?? of ??), it follows that  $R_*$  is a monomorphism.
- Since  $\text{Rel}(\text{pt}, -)$  is faithful, it follows by ?? of ?? that  $\text{Rel}(\text{pt}, -)$  reflects monomorphisms.
- Since  $R_*$  is a monomorphism and  $\text{Rel}(\text{pt}, -)$  maps  $R$  to  $R_*$ , it follows that  $R$  is also a monomorphism.

Finally, we prove the second part of the statement. Assume that  $R$  is a monomorphism, let  $a, a' \in A$  such that  $a \sim_R b$  and  $a' \sim_R b$  for some  $b \in B$ , and consider the diagram

$$\begin{array}{ccc} & [a] & \\ \text{pt} & \not\rightarrow \not\rightarrow & A \xrightarrow{R} B \\ & [a'] & \end{array}$$

Since  $\star \sim_{[a]} a$  and  $a \sim_R b$ , we have  $\star \sim_{R \diamond [a]} b$ . Similarly,  $\star \sim_{R \diamond [a']} b$ . Thus  $R \diamond [a] = R \diamond [a']$ , and since  $R$  is a monomorphism, we have  $[a] = [a']$ , i.e.  $a = a'$ .

Conversely, assume the condition

- (★) For each  $a, a' \in A$ , if there exists some  $b \in B$  such that  $a \sim_R b$  and  $a' \sim_R b$ , then  $a = a'$ ,

consider the diagram

$$\begin{array}{c} K \xrightarrow[S]{\quad\quad\quad} A \xrightarrow[R]{\quad\quad\quad} B, \\ \quad\quad\quad T \end{array}$$

and let  $(k, a) \in S$ . Since  $R$  is total and  $a \in A$ , there exists some  $b \in B$  such that  $a \sim_R b$ . In this case, we have  $k \sim_{R \diamond S} b$ , and since  $R \diamond S = R \diamond T$ , we have also  $k \sim_{R \diamond T} b$ . Thus there must exist some  $a' \in A$  such that  $k \sim_T a'$  and  $a' \sim_R b$ . However, since  $a, a' \sim_R b$ , we must have  $a = a'$ , and thus  $(k, a) \in T$  as well.

A similar argument shows that if  $(k, a) \in T$ , then  $(k, a) \in S$ , and thus  $S = T$  and  $R$  is a monomorphism.

#### Item 8: Epimorphisms in Rel

Firstly note that [Items 8b](#) and [8c](#) are equivalent by [Item 7](#) of [Proposition 5.5.2.4](#). We then claim that [Items 8a](#) and [8b](#) are also equivalent:

- [Item 8a](#)  $\implies$  [Item 8b](#): Let  $U, V \in \mathcal{P}(A)$  and consider the diagram

$$\begin{array}{c} A \xrightarrow[R]{\quad\quad\quad} B \xrightarrow[U]{\quad\quad\quad} \text{pt.} \\ \quad\quad\quad V \end{array}$$

By [Remark 5.5.1.2](#), we have

$$\begin{aligned} R^{-1}(U) &= U \diamond R, \\ R^{-1}(V) &= V \diamond R. \end{aligned}$$

Now, if  $U \diamond R = V \diamond R$ , i.e.  $R^{-1}(U) = R^{-1}(V)$ , then  $U = V$  since  $R$  is assumed to be an epimorphism, showing  $R^{-1}$  to be injective.

- [Item 8b](#)  $\implies$  [Item 8a](#): Conversely, suppose that  $R^{-1}$  is injective, consider the diagram

$$\begin{array}{c} A \xrightarrow[R]{\quad\quad\quad} B \xrightarrow[S]{\quad\quad\quad} K, \\ \quad\quad\quad T \end{array}$$

and suppose that  $S \diamond R = T \diamond R$ . Note that, since  $R^{-1}$  is injective, given a diagram of the form

$$\begin{array}{c} A \xrightarrow[R]{\quad\quad\quad} B \xrightarrow[U]{\quad\quad\quad} \text{pt}, \\ \quad\quad\quad V \end{array}$$

if  $R^{-1}(U) = U \diamond R = V \diamond R = R^{-1}(V)$ , then  $U = V$ . In particular, for each  $k \in K$ , we may consider the diagram

$$\begin{array}{c} A \xrightarrow[R]{\quad\quad\quad} B \xrightarrow[S]{\quad\quad\quad} K \xrightarrow[k]{\quad\quad\quad} \text{pt}, \\ \quad\quad\quad T \end{array}$$

for which we have  $[k] \diamond S \diamond R = [k] \diamond T \diamond R$ , implying that we have

$$S^{-1}(k) = [k] \diamond S = [k] \diamond T = T^{-1}(k)$$

for each  $k \in K$ , implying  $S = T$ , and thus  $R$  is an epimorphism.

We can also prove this in a more abstract way, following [MSE 350788]:

- *Item 8a*  $\implies$  *Item 8b*: Assume that  $R$  is an epimorphism.
  - We first notice that the functor  $\text{Rel}(-, \text{pt}) : \text{Rel}^{\text{op}} \rightarrow \text{Sets}$  maps  $R$  to  $R^{-1}$  by Remark 5.5.3.2.
  - Since  $\text{Rel}(-, \text{pt})$  preserves limits by ?? of ??, it follows by ?? of ?? that  $\text{Rel}(-, \text{pt})$  also preserves monomorphisms.
  - That is:  $\text{Rel}(-, \text{pt})$  sends monomorphisms in  $\text{Rel}^{\text{op}}$  to monomorphisms in  $\text{Sets}$ .
  - The monomorphisms  $\text{Rel}^{\text{op}}$  are precisely the epimorphisms in  $\text{Rel}$  by ?? of ??.
  - Since  $R$  is an epimorphism and  $\text{Rel}(-, \text{pt})$  maps  $R$  to  $R^{-1}$ , it follows that  $R^{-1}$  is a monomorphism.
  - Since the monomorphisms in  $\text{Sets}$  are precisely the injections (?? of ??), it follows that  $R^{-1}$  is injective.
- *Item 8b*  $\implies$  *Item 8a*: Assume that  $R^{-1}$  is injective.
  - We first notice that the functor  $\text{Rel}(-, \text{pt}) : \text{Rel}^{\text{op}} \rightarrow \text{Sets}$  maps  $R$  to  $R^{-1}$  by Remark 5.5.3.2.
  - Since the monomorphisms in  $\text{Sets}$  are precisely the injections (?? of ??), it follows that  $R^{-1}$  is a monomorphism.
  - Since  $\text{Rel}(-, \text{pt})$  is faithful, it follows by ?? of ?? that  $\text{Rel}(-, \text{pt})$  reflects monomorphisms.
  - That is:  $\text{Rel}(-, \text{pt})$  reflects monomorphisms in  $\text{Sets}$  to monomorphisms in  $\text{Rel}^{\text{op}}$ .
  - The monomorphisms  $\text{Rel}^{\text{op}}$  are precisely the epimorphisms in  $\text{Rel}$  by ?? of ??.
  - Since  $R^{-1}$  is a monomorphism and  $\text{Rel}(-, \text{pt})$  maps  $R$  to  $R^{-1}$ , it follows that  $R$  is an epimorphism.

Finally, we claim that Items 8b and 8d are also equivalent, following [MO 350788]:

• **Item 8b**  $\implies$  **Item 8d**: Since  $B \setminus \{b\} \subset B$  and  $R^{-1}$  is injective, we have  $R^{-1}(B \setminus \{b\}) \subseteq R^{-1}(B)$ . So taking some  $a \in R^{-1}(B) \setminus R^{-1}(B \setminus \{b\})$  we get an element of  $A$  such that  $R(a) = \{b\}$ .

• **Item 8d**  $\implies$  **Item 8b**: Let  $U, V \subset B$  with  $U \neq V$ . Without loss of generality, we can assume  $U \setminus V \neq \emptyset$ ; otherwise just swap  $U$  and  $V$ . Let then  $b \in U \setminus V$ . By assumption, there exists an  $a \in A$  with  $R(a) = \{b\}$ . Then  $a \in R^{-1}(U)$  but  $a \notin R^{-1}(V)$ , and thus  $R^{-1}(U) \neq R^{-1}(V)$ , showing  $R^{-1}$  to be injective.

### Item 9: As a Kleisli Category

Omitted.

### Item 10: Co/Completeness (Or Lack Thereof)

Omitted.

### Item 11: Existence of Right Kan Extensions

We have

$$\begin{aligned} \text{Hom}_{\mathbf{Rel}(A,X)}(S \diamond R, T) &\cong \int_{a \in A} \int_{x \in X} \mathbf{Hom}_{\{\text{t,f}\}}((S \diamond R)_x^a, T_x^a) \\ &\cong \int_{a \in A} \int_{x \in X} \mathbf{Hom}_{\{\text{t,f}\}}\left(\left(\int^{b \in B} S_x^b \times R_b^a\right), T_x^a\right) \\ &\cong \int_{a \in A} \int_{x \in X} \int_{b \in B} \mathbf{Hom}_{\{\text{t,f}\}}(S_x^b \times R_b^a, T_x^a) \\ &\cong \int_{a \in A} \int_{x \in X} \int_{b \in B} \mathbf{Hom}_{\{\text{t,f}\}}(S_x^b, \mathbf{Hom}_{\{\text{t,f}\}}(R_b^a, T_x^a)) \\ &\cong \int_{b \in B} \int_{x \in X} \int_{a \in A} \mathbf{Hom}_{\{\text{t,f}\}}(S_x^b, \mathbf{Hom}_{\{\text{t,f}\}}(R_b^a, T_x^a)) \\ &\cong \int_{b \in B} \int_{x \in X} \mathbf{Hom}_{\{\text{t,f}\}}\left(S_x^b, \int_{a \in A} \mathbf{Hom}_{\{\text{t,f}\}}(R_b^a, T_x^a)\right) \\ &\cong \text{Hom}_{\mathbf{Rel}(B,X)}\left(S, \int_{a \in A} \mathbf{Hom}_{\{\text{t,f}\}}(R_{-1}^a, T_{-2}^a)\right) \end{aligned}$$

naturally in each  $S \in \mathbf{Rel}(B, X)$  and each  $T \in \mathbf{Rel}(A, X)$ , showing that

$$\int_{a \in A} \mathbf{Hom}_{\{\text{t,f}\}}(R_{-1}^a, T_{-2}^a)$$

is right adjoint to the precomposition functor  $- \diamond R$ , being thus the right Kan extension along  $R$ . Here we have used the following results, respectively (i.e. for each  $\cong$  sign):

1. **Item 1 of Proposition 5.1.1.5**;
2. **Definition 5.3.12.1**;

3. ?? of ??;
4. ??;
5. ?? of ??;
6. ?? of ??;
7. Item 1 of Proposition 5.1.1.5.

Item 12: Existence of Right Kan Lifts

We have

$$\begin{aligned}
 \text{Hom}_{\mathbf{Rel}(X,B)}(R \diamond S, T) &\cong \int_{x \in X} \int_{b \in B} \mathbf{Hom}_{\{\text{t},\text{f}\}}((R \diamond S)_b^x, T_b^x) \\
 &\cong \int_{x \in X} \int_{b \in B} \mathbf{Hom}_{\{\text{t},\text{f}\}}\left(\left(\int^{a \in A} R_b^a \times S_a^x\right), T_b^x\right) \\
 &\cong \int_{x \in X} \int_{b \in B} \int_{a \in A} \mathbf{Hom}_{\{\text{t},\text{f}\}}(R_b^a \times S_a^x, T_b^x) \\
 &\cong \int_{x \in X} \int_{b \in B} \int_{a \in A} \mathbf{Hom}_{\{\text{t},\text{f}\}}(S_a^x, \mathbf{Hom}_{\{\text{t},\text{f}\}}(R_b^a, T_b^x)) \\
 &\cong \int_{x \in X} \int_{a \in A} \int_{b \in B} \mathbf{Hom}_{\{\text{t},\text{f}\}}(S_a^x, \mathbf{Hom}_{\{\text{t},\text{f}\}}(R_b^a, T_b^x)) \\
 &\cong \int_{x \in X} \int_{a \in A} \mathbf{Hom}_{\{\text{t},\text{f}\}}\left(S_a^x, \int_{b \in B} \mathbf{Hom}_{\{\text{t},\text{f}\}}(R_b^a, T_b^x)\right) \\
 &\cong \text{Hom}_{\mathbf{Rel}(X,A)}\left(S, \int_{b \in B} \mathbf{Hom}_{\{\text{t},\text{f}\}}(R_b^{-2}, T_b^{-1})\right)
 \end{aligned}$$

naturally in each  $S \in \mathbf{Rel}(X, A)$  and each  $T \in \mathbf{Rel}(X, B)$ , showing that

$$\int_{b \in B} \mathbf{Hom}_{\{\text{t},\text{f}\}}(R_b^{-2}, T_b^{-1})$$

is right adjoint to the postcomposition functor  $R \diamond -$ , being thus the right Kan lift along  $R$ . Here we have used the following results, respectively (i.e. for each  $\cong$  sign):

1. Item 1 of Proposition 5.1.1.5;
2. Definition 5.3.12.1;
3. ?? of ??;
4. ??;
5. ?? of ??;

6. ?? of ??;
7. Item 1 of Proposition 5.1.1.5.

Item 13: Closedness

This has been proved as part of the proof of Items 11 and 12. 

## 5.3 Constructions With Relations

### 5.3.1 The Graph of a Function

Let  $f: A \rightarrow B$  be a function.

#### DEFINITION 5.3.1.1 ► THE GRAPH OF A FUNCTION

**00EM** The **graph of  $f$**  is the relation  $\text{Gr}(f): A \rightarrow B$  defined as follows:<sup>1</sup>

- Viewing relations from  $A$  to  $B$  as subsets of  $A \times B$ , we define

$$\text{Gr}(f) \stackrel{\text{def}}{=} \{(a, f(a)) \in A \times B \mid a \in A\};$$

- Viewing relations from  $A$  to  $B$  as functions  $A \times B \rightarrow \{\text{true}, \text{false}\}$ , we define

$$[\text{Gr}(f)](a, b) \stackrel{\text{def}}{=} \begin{cases} \text{true} & \text{if } b = f(a), \\ \text{false} & \text{otherwise} \end{cases}$$

for each  $(a, b) \in A \times B$ ;

- Viewing relations from  $A$  to  $B$  as functions  $A \rightarrow \mathcal{P}(B)$ , we define

$$[\text{Gr}(f)](a) \stackrel{\text{def}}{=} \{f(a)\}$$

for each  $a \in A$ , i.e. we define  $\text{Gr}(f)$  as the composition

$$A \xrightarrow{f} B \xrightarrow{\chi_B} \mathcal{P}(B).$$

<sup>1</sup>Further Notation: We write  $\text{Gr}(A)$  for  $\text{Gr}(\text{id}_A)$ , and call it the **graph of  $A$** .

#### PROPOSITION 5.3.1.2 ► PROPERTIES OF GRAPHS OF FUNCTIONS

**00EN** Let  $f: A \rightarrow B$  be a function.

00EP

1. *Functionality.* The assignment  $A \mapsto \text{Gr}(A)$  defines a functor

$$\text{Gr}: \text{Sets} \rightarrow \text{Rel}$$

where

- *Action on Objects.* For each  $A \in \text{Obj}(\text{Sets})$ , we have

$$\text{Gr}(A) \stackrel{\text{def}}{=} A;$$

- *Action on Morphisms.* For each  $A, B \in \text{Obj}(\text{Sets})$ , the action on Hom-sets

$$\text{Gr}_{A,B}: \text{Sets}(A, B) \rightarrow \underbrace{\text{Rel}(\text{Gr}(A), \text{Gr}(B))}_{\stackrel{\text{def}}{=} \text{Rel}(A, B)}$$

of  $\text{Gr}$  at  $(A, B)$  is defined by

$$\text{Gr}_{A,B}(f) \stackrel{\text{def}}{=} \text{Gr}(f),$$

where  $\text{Gr}(f)$  is the graph of  $f$  as in [Definition 5.3.1.1](#).

In particular:

- *Preservation of Identities.* We have

$$\text{Gr}(\text{id}_A) = \chi_A$$

for each  $A \in \text{Obj}(\text{Sets})$ .

- *Preservation of Composition.* We have

$$\text{Gr}(g \circ f) = \text{Gr}(g) \diamond \text{Gr}(f)$$

for each pair of functions  $f: A \rightarrow B$  and  $g: B \rightarrow C$ .

00EQ

2. *Adjointness Inside **Rel**.* We have an adjunction

$$(\text{Gr}(f) \dashv f^{-1}): A \begin{array}{c} \xrightarrow{\text{Gr}(f)} \\[-1ex] \perp \\[-1ex] \xleftarrow{f^{-1}} \end{array} B$$

in **Rel**, where  $f^{-1}$  is the inverse of  $f$  of [Definition 5.3.2.1](#).

00ER

3. *Adjointness.* We have an adjunction

$$(Gr \dashv \mathcal{P}_*): \text{Sets} \begin{array}{c} \xrightarrow{\quad Gr \quad} \\ \perp \\ \xleftarrow{\quad \mathcal{P}_* \quad} \end{array} \text{Rel},$$

witnessed by a bijection of sets

$$\text{Rel}(Gr(A), B) \cong \text{Sets}(A, \mathcal{P}(B))$$

natural in  $A \in \text{Obj}(\text{Sets})$  and  $B \in \text{Obj}(\text{Rel})$ .

00ES

4. *Interaction With Inverses.* We have

$$\begin{aligned} Gr(f)^\dagger &= f^{-1}, \\ (f^{-1})^\dagger &= Gr(f). \end{aligned}$$

00ET

5. *Cocontinuity.* The functor  $Gr: \text{Sets} \rightarrow \text{Rel}$  of Item 1 preserves colimits.

00EU

6. *Characterisations.* Let  $R: A \nrightarrow B$  be a relation. The following conditions are equivalent:

00EV

(a) There exists a function  $f: A \rightarrow B$  such that  $R = Gr(f)$ .

00EW

(b) The relation  $R$  is total and functional.

00EX

(c) The weak and strong inverse images of  $R$  agree, i.e. we have  $R^{-1} = R_{-1}$ .

00EY

(d) The relation  $R$  has a right adjoint  $R^\dagger$  in  $\text{Rel}$ .

### PROOF 5.3.1.3 ► PROOF OF PROPOSITION 5.3.1.2

**Item 1: Functoriality**

Clear.

**Item 2: Adjointness Inside  $\text{Rel}$**

We need to check that there are inclusions

$$\begin{aligned} \chi_A &\subset f^{-1} \diamond Gr(f), \\ Gr(f) \diamond f^{-1} &\subset \chi_B. \end{aligned}$$

These correspond respectively to the following conditions:

1. For each  $a \in A$ , there exists some  $b \in B$  such that  $a \sim_{Gr(f)} b$  and  $b \sim_{f^{-1}} a$ .

2. For each  $a, b \in A$ , if  $a \sim_{\text{Cr}(f)} b$  and  $b \sim_{f^{-1}} a$ , then  $a = b$ .

In other words, the first condition states that the image of any  $a \in A$  by  $f$  is nonempty, whereas the second condition states that  $f$  is not multivalued. As  $f$  is a function, both of these statements are true, and we are done.

**Item 3: Adjointness**

The stated bijection follows from Remark 5.1.1.3, with naturality being clear.

**Item 4: Interaction With Inverses**

Clear.

**Item 5: Cocontinuity**

Omitted.

**Item 6: Characterisations**

We claim that Items 6a to 6d are indeed equivalent:

- Item 6a  $\iff$  Item 6b. This is shown in the proof of Item 4 of Proposition 5.2.5.1.
- Item 6b  $\implies$  Item 6c. If  $R$  is total and functional, then, for each  $a \in A$ , the set  $R(a)$  is a singleton, implying that

$$\begin{aligned} R^{-1}(V) &\stackrel{\text{def}}{=} \{a \in A \mid R(a) \cap V \neq \emptyset\}, \\ R_{-1}(V) &\stackrel{\text{def}}{=} \{a \in A \mid R(a) \subset V\} \end{aligned}$$

are equal for all  $V \in \mathcal{P}(B)$ , as the conditions  $R(a) \cap V \neq \emptyset$  and  $R(a) \subset V$  are equivalent when  $R(a)$  is a singleton.

- Item 6c  $\implies$  Item 6b. We claim that  $R$  is indeed total and functional:

- Totality. If we had  $R(a) = \emptyset$  for some  $a \in A$ , then we would have  $a \in R_{-1}(\emptyset)$ , so that  $R_{-1}(\emptyset) \neq \emptyset$ . But since  $R^{-1}(\emptyset) = \emptyset$ , this would imply  $R_{-1}(\emptyset) \neq R^{-1}(\emptyset)$ , a contradiction. Thus  $R(a) \neq \emptyset$  for all  $a \in A$  and  $R$  is total.
- Functionality. If  $R^{-1} = R_{-1}$ , then we have

$$\begin{aligned} \{a\} &= R^{-1}(\{b\}) \\ &= R_{-1}(\{b\}) \end{aligned}$$

for each  $b \in R(a)$  and each  $a \in A$ , and thus  $R(a) \subset \{b\}$ . But since  $R$  is total, we must have  $R(a) = \{b\}$ , and thus we see that  $R$  is functional.

- Item 6a  $\iff$  Item 6d. This follows from Item 4 of Proposition 5.2.5.1.

This finishes the proof. 

### 5.3.2 The Inverse of a Function

Let  $f: A \rightarrow B$  be a function.

#### DEFINITION 5.3.2.1 ► THE INVERSE OF A FUNCTION

**00F0** The **inverse of  $f$**  is the relation  $f^{-1}: B \rightarrow A$  defined as follows:

- Viewing relations from  $B$  to  $A$  as subsets of  $B \times A$ , we define

$$f^{-1} \stackrel{\text{def}}{=} \{(b, f^{-1}(b)) \in B \times A \mid a \in A\},$$

where

$$f^{-1}(b) \stackrel{\text{def}}{=} \{a \in A \mid f(a) = b\}$$

for each  $b \in B$ .

- Viewing relations from  $B$  to  $A$  as functions  $B \times A \rightarrow \{\text{true, false}\}$ , we define

$$f^{-1}(b, a) \stackrel{\text{def}}{=} \begin{cases} \text{true} & \text{if there exists } a \in A \text{ with } f(a) = b, \\ \text{false} & \text{otherwise} \end{cases}$$

for each  $(b, a) \in B \times A$ ;

- Viewing relations from  $B$  to  $A$  as functions  $B \rightarrow \mathcal{P}(A)$ , we define

$$f^{-1}(b) \stackrel{\text{def}}{=} \{a \in A \mid f(a) = b\}$$

for each  $b \in B$ .

#### PROPOSITION 5.3.2.2 ► PROPERTIES OF INVERSES OF FUNCTIONS

**00F1** Let  $f: A \rightarrow B$  be a function.

**00F2** 1. *Functoriality.* The assignment  $A \mapsto A, f \mapsto f^{-1}$  defines a functor

$$(-)^{-1}: \text{Sets} \rightarrow \text{Rel}$$

where

- Action on Objects.* For each  $A \in \text{Obj}(\text{Sets})$ , we have

$$[(-)^{-1}](A) \stackrel{\text{def}}{=} A;$$

- Action on Morphisms.* For each  $A, B \in \text{Obj}(\text{Sets})$ , the action on Hom-sets

$$(-)^{-1}_{A,B}: \text{Sets}(A, B) \rightarrow \text{Rel}(A, B)$$

of  $(-)^{-1}$  at  $(A, B)$  is defined by

$$(-)_{A,B}^{-1}(f) \stackrel{\text{def}}{=} [(-)^{-1}](f),$$

where  $f^{-1}$  is the inverse of  $f$  as in [Definition 5.3.2.1](#).

In particular:

- *Preservation of Identities.* We have

$$\text{id}_A^{-1} = \chi_A$$

for each  $A \in \text{Obj}(\text{Sets})$ .

- *Preservation of Composition.* We have

$$(g \circ f)^{-1} = g^{-1} \diamond f^{-1}$$

for pair of functions  $f: A \rightarrow B$  and  $g: B \rightarrow C$ .

**00F3** 2. *Adjointness Inside **Rel**.* We have an adjunction

$$(\text{Gr}(f) \dashv f^{-1}): \quad \begin{array}{ccc} & \text{Gr}(f) & \\ A & \begin{array}{c} \nearrow + \\ \downarrow f^{-1} \end{array} & B \\ & \curvearrowright & \end{array}$$

in **Rel**.

**00F4** 3. *Interaction With Inverses of Relations.* We have

$$\begin{aligned} (f^{-1})^\dagger &= \text{Gr}(f), \\ \text{Gr}(f)^\dagger &= f^{-1}. \end{aligned}$$

#### PROOF 5.3.2.3 ► PROOF OF PROPOSITION 5.3.2.2

Item 1: Functoriality

Clear.

Item 2: Adjointness Inside **Rel**

This is proved in [Item 2 of Proposition 5.3.1.2](#).

Item 3: Interaction With Inverses of Relations

Clear. 

### 5.3.3 Representable Relations

Let  $A$  and  $B$  be sets.

#### DEFINITION 5.3.3.1 ► REPRESENTABLE RELATIONS

**00F6** Let  $f: A \rightarrow B$  and  $g: B \rightarrow A$  be functions.<sup>1</sup>

1. The **representable relation associated to  $f$**  is the relation  $\chi_f: A \nrightarrow B$  defined as the composition

$$A \times B \xrightarrow{f \times \text{id}_B} B \times B \xrightarrow{\chi_B} \{\text{true, false}\},$$

i.e. given by declaring  $a \sim_{\chi_f} b$  iff  $f(a) = b$ .

2. The **corepresentable relation associated to  $g$**  is the relation  $\chi^g: B \nrightarrow A$  defined as the composition

$$B \times A \xrightarrow{g \times \text{id}_A} A \times A \xrightarrow{\chi_A} \{\text{true, false}\},$$

i.e. given by declaring  $b \sim_{\chi^g} a$  iff  $g(b) = a$ .

---

<sup>1</sup>More generally, given functions

$$\begin{aligned} f: A &\rightarrow C, \\ g: B &\rightarrow D \end{aligned}$$

and a relation  $B \nrightarrow D$ , we may consider the composite relation

$$A \times B \xrightarrow{f \times g} C \times D \xrightarrow{R} \{\text{true, false}\},$$

for which we have  $a \sim_{R \circ (f \times g)} b$  iff  $f(a) \sim_R g(b)$ .

### 5.3.4 The Domain and Range of a Relation

Let  $A$  and  $B$  be sets.

#### DEFINITION 5.3.4.1 ► THE DOMAIN AND RANGE OF A RELATION

**00F8** Let  $R \subset A \times B$  be a relation.<sup>1,2</sup>

1. The **domain of  $R$**  is the subset  $\text{dom}(R)$  of  $A$  defined by

$$\text{dom}(R) \stackrel{\text{def}}{=} \left\{ a \in A \mid \begin{array}{l} \text{there exists some } b \in B \\ \text{such that } a \sim_R b \end{array} \right\}.$$

2. The **range of  $R$**  is the subset  $\text{range}(R)$  of  $B$  defined by

$$\text{range}(R) \stackrel{\text{def}}{=} \left\{ b \in B \middle| \begin{array}{l} \text{there exists some } a \in A \\ \text{such that } a \sim_R b \end{array} \right\}.$$

<sup>1</sup>Following ??, we may compute the (characteristic functions associated to the) domain and range of a relation using the following colimit formulas:

$$\begin{aligned} \chi_{\text{dom}(R)}(a) &\cong \underset{b \in B}{\text{colim}}(R_b^a) \quad (a \in A) \\ &\cong \bigvee_{b \in B} R_b^a, \\ \chi_{\text{range}(R)}(b) &\cong \underset{a \in A}{\text{colim}}(R_b^a) \quad (b \in B) \\ &\cong \bigvee_{a \in A} R_b^a, \end{aligned}$$

where the join  $\vee$  is taken in the poset  $(\{\text{true}, \text{false}\}, \leq)$  of ??.

<sup>2</sup>Viewing  $R$  as a function  $R: A \rightarrow \mathcal{P}(B)$ , we have

$$\begin{aligned} \text{dom}(R) &\cong \underset{y \in Y}{\text{colim}}(R(y)) \\ &\cong \bigcup_{y \in Y} R(y), \\ \text{range}(R) &\cong \underset{x \in X}{\text{colim}}(R(x)) \\ &\cong \bigcup_{x \in X} R(x), \end{aligned}$$

### 5.3.5 Binary Unions of Relations

Let  $A$  and  $B$  be sets and let  $R$  and  $S$  be relations from  $A$  to  $B$ .

#### DEFINITION 5.3.5.1 ► BINARY UNIONS OF RELATIONS

00FA

The **union of  $R$  and  $S$** <sup>1</sup> is the relation  $R \cup S$  from  $A$  to  $B$  defined as follows:

- Viewing relations from  $A$  to  $B$  as subsets of  $A \times B$ , we define<sup>2</sup>

$$R \cup S \stackrel{\text{def}}{=} \{(a, b) \in B \times A \mid \text{we have } a \sim_R b \text{ or } a \sim_S b\}.$$

- Viewing relations from  $A$  to  $B$  as functions  $A \rightarrow \mathcal{P}(B)$ , we define

$$[R \cup S](a) \stackrel{\text{def}}{=} R(a) \cup S(a)$$

for each  $a \in A$ .

<sup>1</sup>Further Terminology: Also called the **binary union of  $R$  and  $S$** , for emphasis.

<sup>2</sup>This is the same as the union of  $R$  and  $S$  as subsets of  $A \times B$ .

**PROPOSITION 5.3.5.2 ► PROPERTIES OF BINARY UNIONS OF RELATIONS**

**00FB** Let  $R, S, R_1$ , and  $R_2$  be relations from  $A$  to  $B$ , and let  $S_1$  and  $S_2$  be relations from  $B$  to  $C$ .

**00FW** 1. *Interaction With Inverses.* We have

$$(R \cup S)^\dagger = R^\dagger \cup S^\dagger.$$

**00FX** 2. *Interaction With Composition.* We have

$$(S_1 \diamond R_1) \cup (S_2 \diamond R_2) \stackrel{\text{poss.}}{\neq} (S_1 \cup S_2) \diamond (R_1 \cup R_2).$$

**PROOF 5.3.5.3 ► PROOF OF PROPOSITION 5.3.5.2**

Item 1: Interaction With Inverses

Clear.

Item 2: Interaction With Composition

Unwinding the definitions, we see that:

1. The condition for  $(S_1 \diamond R_1) \cup (S_2 \diamond R_2)$  is:

(a) There exists some  $b \in B$  such that:

i.  $a \sim_{R_1} b$  and  $b \sim_{S_1} c$ ;

or

i.  $a \sim_{R_2} b$  and  $b \sim_{S_2} c$ ;

3. The condition for  $(S_1 \cup S_2) \diamond (R_1 \cup R_2)$  is:

(a) There exists some  $b \in B$  such that:

i.  $a \sim_{R_1} b$  or  $a \sim_{R_2} b$ ;

and

i.  $b \sim_{S_1} c$  or  $b \sim_{S_2} c$ .

These two conditions may fail to agree (counterexample omitted), and thus the two resulting relations on  $A \times C$  may differ. 

**5.3.6 Unions of Families of Relations**

Let  $A$  and  $B$  be sets and let  $\{R_i\}_{i \in I}$  be a family of relations from  $A$  to  $B$ .

**DEFINITION 5.3.6.1 ► THE UNION OF A FAMILY OF RELATIONS**

**00FF** The **union of the family**  $\{R_i\}_{i \in I}$  is the relation  $\bigcup_{i \in I} R_i$  from  $A$  to  $B$  defined as follows:

- Viewing relations from  $A$  to  $B$  as subsets of  $A \times B$ , we define<sup>1</sup>

$$\bigcup_{i \in I} R_i \stackrel{\text{def}}{=} \left\{ (a, b) \in (A \times B)^{\times I} \mid \begin{array}{l} \text{there exists some } i \in I \\ \text{such that } a \sim_{R_i} b \end{array} \right\}.$$

- Viewing relations from  $A$  to  $B$  as functions  $A \rightarrow \mathcal{P}(B)$ , we define

$$\left[ \bigcup_{i \in I} R_i \right](a) \stackrel{\text{def}}{=} \bigcup_{i \in I} R_i(a)$$

for each  $a \in A$ .

<sup>1</sup>This is the same as the union of  $\{R_i\}_{i \in I}$  as a collection of subsets of  $A \times B$ .

**PROPOSITION 5.3.6.2 ► PROPERTIES OF UNIONS OF FAMILIES OF RELATIONS**

**00FG** Let  $A$  and  $B$  be sets and let  $\{R_i\}_{i \in I}$  be a family of relations from  $A$  to  $B$ .

**00FH** 1. *Interaction With Inverses.* We have

$$\left( \bigcup_{i \in I} R_i \right)^{\dagger} = \bigcup_{i \in I} R_i^{\dagger}.$$

**PROOF 5.3.6.3 ► PROOF OF PROPOSITION 5.3.6.2**

Item 1: Interaction With Inverses

Clear. 

**5.3.7 Binary Intersections of Relations**

Let  $A$  and  $B$  be sets and let  $R$  and  $S$  be relations from  $A$  to  $B$ .

**DEFINITION 5.3.7.1 ► BINARY INTERSECTIONS OF RELATIONS**

**00FK** The **intersection of  $R$  and  $S$** <sup>1</sup> is the relation  $R \cap S$  from  $A$  to  $B$  defined as follows:

- Viewing relations from  $A$  to  $B$  as subsets of  $A \times B$ , we define<sup>2</sup>

$$R \cap S \stackrel{\text{def}}{=} \{(a, b) \in B \times A \mid \text{we have } a \sim_R b \text{ and } a \sim_S b\}.$$

- Viewing relations from  $A$  to  $B$  as functions  $A \rightarrow \mathcal{P}(B)$ , we define

$$[R \cap S](a) \stackrel{\text{def}}{=} R(a) \cap S(a)$$

for each  $a \in A$ .

<sup>1</sup>Further Terminology: Also called the **binary intersection of  $R$  and  $S$** , for emphasis.

<sup>2</sup>This is the same as the intersection of  $R$  and  $S$  as subsets of  $A \times B$ .

#### PROPOSITION 5.3.7.2 ► PROPERTIES OF BINARY INTERSECTIONS OF RELATIONS

**00FL** Let  $R, S, R_1$ , and  $R_2$  be relations from  $A$  to  $B$ , and let  $S_1$  and  $S_2$  be relations from  $B$  to  $C$ .

**00FM** 1. *Interaction With Inverses.* We have

$$(R \cap S)^\dagger = R^\dagger \cap S^\dagger.$$

**00FN** 2. *Interaction With Composition.* We have

$$(S_1 \diamond R_1) \cap (S_2 \diamond R_2) = (S_1 \cap S_2) \diamond (R_1 \cap R_2).$$

#### PROOF 5.3.7.3 ► PROOF OF PROPOSITION 5.3.7.2

##### Item 1: Interaction With Inverses

Clear.

##### Item 2: Interaction With Composition

Unwinding the definitions, we see that:

1. The condition for  $(S_1 \diamond R_1) \cap (S_2 \diamond R_2)$  is:

(a) There exists some  $b \in B$  such that:

i.  $a \sim_{R_1} b$  and  $b \sim_{S_1} c$ ;

and

i.  $a \sim_{R_2} b$  and  $b \sim_{S_2} c$ ;

3. The condition for  $(S_1 \cap S_2) \diamond (R_1 \cap R_2)$  is:

(a) There exists some  $b \in B$  such that:

i.  $a \sim_{R_1} b$  and  $a \sim_{R_2} b$ ;

and

i.  $b \sim_{S_1} c$  and  $b \sim_{S_2} c$ .

These two conditions agree, and thus so do the two resulting relations on  $A \times C$ . 

### 5.3.8 Intersections of Families of Relations

Let  $A$  and  $B$  be sets and let  $\{R_i\}_{i \in I}$  be a family of relations from  $A$  to  $B$ .

#### DEFINITION 5.3.8.1 ► THE INTERSECTION OF A FAMILY OF RELATIONS

The **intersection of the family**  $\{R_i\}_{i \in I}$  is the relation  $\bigcup_{i \in I} R_i$  defined as follows:

- Viewing relations from  $A$  to  $B$  as subsets of  $A \times B$ , we define<sup>1</sup>

$$\bigcup_{i \in I} R_i \stackrel{\text{def}}{=} \left\{ (a, b) \in (A \times B)^{\times I} \mid \begin{array}{l} \text{for each } i \in I, \\ \text{we have } a \sim_{R_i} b \end{array} \right\}.$$

- Viewing relations from  $A$  to  $B$  as functions  $A \rightarrow \mathcal{P}(B)$ , we define

$$\left[ \bigcap_{i \in I} R_i \right](a) \stackrel{\text{def}}{=} \bigcap_{i \in I} R_i(a)$$

for each  $a \in A$ .

<sup>1</sup>This is the same as the intersection of  $\{R_i\}_{i \in I}$  as a collection of subsets of  $A \times B$ .

#### PROPOSITION 5.3.8.2 ► PROPERTIES OF INTERSECTIONS OF FAMILIES OF RELATIONS

00FR Let  $A$  and  $B$  be sets and let  $\{R_i\}_{i \in I}$  be a family of relations from  $A$  to  $B$ .

00FS 1. *Interaction With Inverses.* We have

$$\left( \bigcap_{i \in I} R_i \right)^{\dagger} = \bigcap_{i \in I} R_i^{\dagger}.$$

#### PROOF 5.3.8.3 ► PROOF OF PROPOSITION 5.3.8.2

Item 1: Interaction With Inverses

Clear. 

### 5.3.9 Binary Products of Relations

Let  $A, B, X$ , and  $Y$  be sets, let  $R: A \rightarrow B$  be a relation from  $A$  to  $B$ , and let  $S: X \rightarrow Y$  be a relation from  $X$  to  $Y$ .

#### DEFINITION 5.3.9.1 ► BINARY PRODUCTS OF RELATIONS

**00FU** The **product of  $R$  and  $S$** <sup>1</sup> is the relation  $R \times S$  from  $A \times X$  to  $B \times Y$  defined as follows:

- Viewing relations from  $A \times X$  to  $B \times Y$  as subsets of  $(A \times X) \times (B \times Y)$ , we define  $R \times S$  as the Cartesian product of  $R$  and  $S$  as subsets of  $A \times X$  and  $B \times Y$ ;<sup>2</sup>
- Viewing relations from  $A \times X$  to  $B \times Y$  as functions  $A \times X \rightarrow \mathcal{P}(B \times Y)$ , we define  $R \times S$  as the composition

$$A \times X \xrightarrow{R \times S} \mathcal{P}(B) \times \mathcal{P}(Y) \xhookrightarrow{\mathcal{P}_{B,Y}^{\otimes}} \mathcal{P}(B \times Y)$$

in Sets, i.e. by

$$[R \times S](a, x) \stackrel{\text{def}}{=} R(a) \times S(x)$$

for each  $(a, x) \in A \times X$ .

<sup>1</sup>Further Terminology: Also called the **binary product of  $R$  and  $S$**  for emphasis.

#### PROPOSITION 5.3.9.2 ► PROPERTIES OF BINARY PRODUCTS OF RELATIONS

**00FV** Let  $A, B, X$ , and  $Y$  be sets.

**00FW** 1. *Interaction With Inverses.* Let

$$\begin{aligned} R: A &\rightarrow A, \\ S: X &\rightarrow X \end{aligned}$$

We have

$$(R \times S)^{\dagger} = R^{\dagger} \times S^{\dagger}.$$

**00FX** 2. *Interaction With Composition.* Let

$$\begin{aligned} R_1: A &\rightarrow B, \\ S_1: B &\rightarrow C, \\ R_2: X &\rightarrow Y, \\ S_2: Y &\rightarrow Z \end{aligned}$$

be relations. We have

$$(S_1 \diamond R_1) \times (S_2 \diamond R_2) = (S_1 \times S_2) \diamond (R_1 \times R_2).$$

### PROOF 5.3.9.3 ▶ PROOF OF PROPOSITION 5.3.5.2

#### Item 1: Interaction With Inverses

Unwinding the definitions, we see that:

1. We have  $(a, x) \sim_{(R \times S)^{\dagger}} (b, y)$  iff:
  - We have  $(b, y) \sim_{R \times S} (a, x)$ , i.e. iff:
    - We have  $b \sim_R a$ ;
    - We have  $y \sim_S x$ ;
2. We have  $(a, x) \sim_{R^{\dagger} \times S^{\dagger}} (b, y)$  iff:
  - We have  $a \sim_{R^{\dagger}} b$  and  $x \sim_{S^{\dagger}} y$ , i.e. iff:
    - We have  $b \sim_R a$ ;
    - We have  $y \sim_S x$ .

These two conditions agree, and thus the two resulting relations on  $A \times X$  are equal.

#### Item 2: Interaction With Composition

Unwinding the definitions, we see that:

1. We have  $(a, x) \sim_{(S_1 \diamond R_1) \times (S_2 \diamond R_2)} (c, z)$  iff:
  - (a) We have  $a \sim_{S_1 \diamond R_1} c$  and  $x \sim_{S_2 \diamond R_2} z$ , i.e. iff:
    - i. There exists some  $b \in B$  such that  $a \sim_{R_1} b$  and  $b \sim_{S_1} c$ ;
    - ii. There exists some  $y \in Y$  such that  $x \sim_{R_2} y$  and  $y \sim_{S_2} z$ ;
2. We have  $(a, x) \sim_{(S_1 \times S_2) \diamond (R_1 \times R_2)} (c, z)$  iff:
  - (a) There exists some  $(b, y) \in B \times Y$  such that  $(a, x) \sim_{R_1 \times R_2} (b, y)$  and  $(b, y) \sim_{S_1 \times S_2} (c, z)$ , i.e. such that:
    - i. We have  $a \sim_{R_1} b$  and  $x \sim_{R_2} y$ ;
    - ii. We have  $b \sim_{S_1} c$  and  $y \sim_{S_2} z$ .

These two conditions agree, and thus the two resulting relations from  $A \times X$  to  $C \times Z$  are equal. 

### 5.3.10 Products of Families of Relations

Let  $\{A_i\}_{i \in I}$  and  $\{B_i\}_{i \in I}$  be families of sets, and let  $\{R_i : A_i \rightarrow B_i\}_{i \in I}$  be a family of relations.

#### DEFINITION 5.3.10.1 ► THE PRODUCT OF A FAMILY OF RELATIONS

**00FZ** The **product of the family**  $\{R_i\}_{i \in I}$  is the relation  $\prod_{i \in I} R_i$  from  $\prod_{i \in I} A_i$  to  $\prod_{i \in I} B_i$  defined as follows:

- Viewing relations as subsets, we define  $\prod_{i \in I} R_i$  as its product as a family of sets, i.e. we have

$$\prod_{i \in I} R_i \stackrel{\text{def}}{=} \left\{ (a_i, b_i)_{i \in I} \in \prod_{i \in I} (A_i \times B_i) \mid \begin{array}{l} \text{for each } i \in I, \\ \text{we have } a_i \sim_{R_i} b_i \end{array} \right\}.$$

- Viewing relations as functions to powersets, we define

$$\left[ \prod_{i \in I} R_i \right] ((a_i)_{i \in I}) \stackrel{\text{def}}{=} \prod_{i \in I} R_i(a_i)$$

for each  $(a_i)_{i \in I} \in \prod_{i \in I} R_i$ .

### 5.3.11 The Inverse of a Relation

Let  $A$ ,  $B$ , and  $C$  be sets and let  $R \subset A \times B$  be a relation.

#### DEFINITION 5.3.11.1 ► THE INVERSE OF A RELATION

**00G1** The **inverse of  $R^1$**  is the relation  $R^\dagger$  defined as follows:

- Viewing relations as subsets, we define

$$R^\dagger \stackrel{\text{def}}{=} \{(b, a) \in B \times A \mid \text{we have } b \sim_R a\}.$$

- Viewing relations as functions  $A \times B \rightarrow \{\text{true}, \text{false}\}$ , we define

$$[R^\dagger]_a^b \stackrel{\text{def}}{=} R_b^a$$

for each  $(b, a) \in B \times A$ .

- Viewing relations as functions  $A \rightarrow \mathcal{P}(B)$ , we define

$$\begin{aligned} [R^\dagger](b) &\stackrel{\text{def}}{=} R^\dagger(\{b\}) \\ &\stackrel{\text{def}}{=} \{a \in A \mid b \in R(a)\} \end{aligned}$$

for each  $b \in B$ , where  $R^\dagger(\{b\})$  is the fibre of  $R$  over  $\{b\}$ .

<sup>1</sup>*Further Terminology:* Also called the **opposite of  $R$** , the **transpose of  $R$** , or the **converse of  $R$** .

#### EXAMPLE 5.3.11.2 ► EXAMPLES OF INVERSES OF RELATIONS

00G2 Here are some examples of inverses of relations.

00G3 1. *Less Than Equal Signs.* We have  $(\leq)^\dagger = \geq$ .

00G4 2. *Greater Than Equal Signs.* Dually to ??, we have  $(\geq)^\dagger = \leq$ .

00G5 3. *Functions.* Let  $f: A \rightarrow B$  be a function. We have

$$\text{Gr}(f)^\dagger = f^{-1}, \\ (f^{-1})^\dagger = \text{Gr}(f).$$

#### PROPOSITION 5.3.11.3 ► PROPERTIES OF INVERSES OF RELATIONS

00G6 Let  $R: A \rightarrow B$  and  $S: B \rightarrow C$  be relations.

00G7 1. *Interaction With Ranges and Domains.* We have

$$\text{dom}(R^\dagger) = \text{range}(R), \\ \text{range}(R^\dagger) = \text{dom}(R).$$

00G8 2. *Interaction With Composition I.* We have

$$(S \diamond R)^\dagger = R^\dagger \diamond S^\dagger.$$

00G9 3. *Interaction With Composition II.* We have

$$\chi_B(-_1, -_2) \subset R \diamond R^\dagger, \\ \chi_A(-_1, -_2) \subset R^\dagger \diamond R.$$

00GA 4. *Invertibility.* We have

$$(R^\dagger)^\dagger = R.$$

00GB 5. *Identity.* We have

$$\chi_A^\dagger(-_1, -_2) = \chi_A(-_1, -_2).$$

**PROOF 5.3.11.4 ► PROOF OF PROPOSITION 5.3.11.3****Item 1: Interaction With Ranges and Domains**

Clear.

**Item 2: Interaction With Composition I**

Clear.

**Item 3: Interaction With Composition II**

Clear.

**Item 4: Invertibility**

Clear.

**Item 5: Identity**

Clear.

**5.3.12 Composition of Relations**

Let  $A$ ,  $B$ , and  $C$  be sets and let  $R \subset A \times B$  and  $S \subset B \times C$  be relations.

**DEFINITION 5.3.12.1 ► COMPOSITION OF RELATIONS****00GD**

The **composition of  $R$  and  $S$**  is the relation  $S \diamond R$  defined as follows:

- Viewing relations from  $A$  to  $C$  as subsets of  $A \times C$ , we define

$$S \diamond R \stackrel{\text{def}}{=} \left\{ (a, c) \in A \times C \mid \begin{array}{l} \text{there exists some } b \in B \text{ such} \\ \text{that } a \sim_R b \text{ and } b \sim_S c \end{array} \right\}.$$

- Viewing relations as functions  $A \times B \rightarrow \{\text{true, false}\}$ , we define

$$\begin{aligned} (S \diamond R)^{-1}_{-2} &\stackrel{\text{def}}{=} \int^{y \in B} S_y^{-1} \times R^y_{-2} \\ &= \bigvee_{y \in B} S_y^{-1} \times R^y_{-2}, \end{aligned}$$

where the join  $\bigvee$  is taken in the poset  $(\{\text{true, false}\}, \leq)$  of ??.

- Viewing relations as functions  $A \rightarrow \mathcal{P}(B)$ , we define

$$S \diamond R \stackrel{\text{def}}{=} \text{Lan}_{\chi_B}(S) \circ R,$$

$$\begin{array}{ccc} B & \xrightarrow{S} & \mathcal{P}(C), \\ \chi_B \downarrow & \nearrow \text{Lan}_{\chi_B}(S) & \\ A & \xrightarrow{R} & \mathcal{P}(B) \end{array}$$

where  $\text{Lan}_{\chi_B}(S)$  is computed by the formula

$$\begin{aligned} [\text{Lan}_{\chi_B}(S)](V) &\cong \int^{y \in B} \chi_{\mathcal{P}(B)}(\chi_y, V) \odot S_y \\ &\cong \int^{y \in B} \chi_V(y) \odot S_y \\ &\cong \bigcup_{y \in B} \chi_V(y) \odot S_y \\ &\cong \bigcup_{y \in V} S_y \end{aligned}$$

for each  $V \in \mathcal{P}(B)$ . In other words,  $S \diamond R$  is defined by<sup>1</sup>

$$\begin{aligned} [S \diamond R](a) &\stackrel{\text{def}}{=} S(R(a)) \\ &\stackrel{\text{def}}{=} \bigcup_{x \in R(a)} S(x). \end{aligned}$$

for each  $a \in A$ .

---

<sup>1</sup>That is: the relation  $R$  may send  $a \in A$  to a number of elements  $\{b_i\}_{i \in I}$  in  $B$ , and then the relation  $S$  may send the image of each of the  $b_i$ 's to a number of elements  $\{S(b_i)\}_{i \in I} = \left\{\{c_{j_i}\}_{j_i \in J_i}\right\}_{i \in I}$  in  $C$ .

#### EXAMPLE 5.3.12.2 ► EXAMPLES OF COMPOSITION OF RELATIONS

00GE

Here are some examples of composition of relations.

1. *Composing Less/Greater Than Equal With Greater/Less Than Equal Signs.* We have

$$\begin{aligned} \leq \diamond \geq &= \sim_{\text{triv}}, \\ \geq \diamond \leq &= \sim_{\text{triv}}. \end{aligned}$$

2. *Composing Less/Greater Than Equal Signs With Less/Greater Than Equal Signs.* We have

$$\begin{aligned} \leq \diamond \leq &= \leq, \\ \geq \diamond \geq &= \geq. \end{aligned}$$

**PROPOSITION 5.3.12.3 ► PROPERTIES OF COMPOSITION OF RELATIONS**

**00GF** Let  $R: A \rightarrow B, S: B \rightarrow C$ , and  $T: C \rightarrow D$  be relations.

**00GG** 1. *Interaction With Ranges and Domains.* We have

$$\begin{aligned}\text{dom}(S \diamond R) &\subset \text{dom}(R), \\ \text{range}(S \diamond R) &\subset \text{range}(S).\end{aligned}$$

**00GH** 2. *Associativity.* We have

$$(T \diamond S) \diamond R = T \diamond (S \diamond R).$$

**00GJ** 3. *Unitality.* We have

$$\begin{aligned}\chi_B \diamond R &= R, \\ R \diamond \chi_A &= R.\end{aligned}$$

**00GK** 4. *Interaction With Inverses.* We have

$$(S \diamond R)^\dagger = R^\dagger \diamond S^\dagger.$$

**00GL** 5. *Interaction With Composition.* We have

$$\begin{aligned}\chi_B(-_1, -_2) &\subset R \diamond R^\dagger, \\ \chi_A(-_1, -_2) &\subset R^\dagger \diamond R.\end{aligned}$$

**PROOF 5.3.12.4 ► PROOF OF PROPOSITION 5.3.12.3**

Item 1: Interaction With Ranges and Domains

Clear.

Item 2: Associativity

Indeed, we have

$$\begin{aligned}
 (T \diamond S) \diamond R &\stackrel{\text{def}}{=} \left( \int^{y \in C} T_x^{-1} \times S_{-2}^x \right) \diamond R \\
 &\stackrel{\text{def}}{=} \int^{x \in B} \left( \int^{y \in C} T_x^{-1} \times S_y^x \right) \diamond R_{-2}^y \\
 &= \int^{x \in B} \int^{y \in C} \left( T_x^{-1} \times S_y^x \right) \diamond R_{-2}^y \\
 &= \int^{y \in C} \int^{x \in B} \left( T_x^{-1} \times S_y^x \right) \diamond R_{-2}^y \\
 &= \int^{y \in C} \int^{x \in B} T_x^{-1} \times \left( S_y^x \diamond R_{-2}^y \right) \\
 &= \int^{x \in B} T_x^{-1} \times \left( \int^{y \in C} S_y^x \diamond R_{-2}^y \right) \\
 &\stackrel{\text{def}}{=} \int^{x \in B} T_x^{-1} \times (S \diamond R)_{-2}^x \\
 &\stackrel{\text{def}}{=} T \diamond (S \diamond R).
 \end{aligned}$$

In the language of relations, given  $a \in A$  and  $d \in D$ , the stated equality witnesses the equivalence of the following two statements:

1. We have  $a \sim_{(T \diamond S) \diamond R} d$ , i.e. there exists some  $b \in B$  such that:
  - (a) We have  $a \sim_R b$ ;
  - (b) We have  $b \sim_{T \diamond S} d$ , i.e. there exists some  $c \in C$  such that:
    - i. We have  $b \sim_S c$ ;
    - ii. We have  $c \sim_T d$ ;
2. We have  $a \sim_{T \diamond (S \diamond R)} d$ , i.e. there exists some  $c \in C$  such that:
  - (a) We have  $a \sim_{S \diamond R} c$ , i.e. there exists some  $b \in B$  such that:
    - i. We have  $a \sim_R b$ ;
    - ii. We have  $b \sim_S c$ ;
  - (b) We have  $c \sim_T d$ ;

both of which are equivalent to the statement

- There exist  $b \in B$  and  $c \in C$  such that  $a \sim_R b \sim_S c \sim_T d$ .

Item 3: Unitality

Indeed, we have

$$\begin{aligned}\chi_B \diamond R &\stackrel{\text{def}}{=} \int^{x \in B} (\chi_B)_x^{-1} \times R_{-2}^x \\ &= \bigvee_{x \in B} (\chi_B)_x^{-1} \times R_{-2}^x \\ &= \bigvee_{\substack{x \in B \\ x=-1}} R_{-2}^x \\ &= R_{-2}^{-1},\end{aligned}$$

and

$$\begin{aligned}R \diamond \chi_A &\stackrel{\text{def}}{=} \int^{x \in A} R_x^{-1} \times (\chi_A)_{-2}^x \\ &= \bigvee_{x \in B} R_x^{-1} \times (\chi_A)_{-2}^x \\ &= \bigvee_{\substack{x \in B \\ x=-2}} R_x^{-1} \\ &= R_{-2}^{-1}.\end{aligned}$$

In the language of relations, given  $a \in A$  and  $b \in B$ :

- The equality

$$\chi_B \diamond R = R$$

witnesses the equivalence of the following two statements:

1. We have  $a \sim_b B$ .
2. There exists some  $b' \in B$  such that:
  - (a) We have  $a \sim_R b'$
  - (b) We have  $b' \sim_{\chi_B} b$ , i.e.  $b' = b$ .

- The equality

$$R \diamond \chi_A = R$$

witnesses the equivalence of the following two statements:

1. There exists some  $a' \in A$  such that:
  - (a) We have  $a \sim_{\chi_B} a'$ , i.e.  $a = a'$ .
  - (b) We have  $a' \sim_R b$

2. We have  $a \sim_b B$ .

Item 4: Interaction With Inverses

Clear.

Item 5: Interaction With Composition

Clear.



### 5.3.13 The Collage of a Relation

Let  $A$  and  $B$  be sets and let  $R: A \rightarrow B$  be a relation from  $A$  to  $B$ .

#### DEFINITION 5.3.13.1 ► THE COLLAGE OF A RELATION

00GN

The **collage of  $R$** <sup>1</sup> is the poset  $\mathbf{Coll}(R) \stackrel{\text{def}}{=} (\mathbf{Coll}(R), \leq_{\mathbf{Coll}(R)})$  consisting of

- *The Underlying Set.* The set  $\mathbf{Coll}(R)$  defined by

$$\mathbf{Coll}(R) \stackrel{\text{def}}{=} A \sqcup B.$$

- *The Partial Order.* The partial order

$$\leq_{\mathbf{Coll}(R)} : \mathbf{Coll}(R) \times \mathbf{Coll}(R) \rightarrow \{\text{true, false}\}$$

on  $\mathbf{Coll}(R)$  defined by

$$\leq(a, b) \stackrel{\text{def}}{=} \begin{cases} \text{true} & \text{if } a = b \text{ or } a \sim_R b, \\ \text{false} & \text{otherwise.} \end{cases}$$

<sup>1</sup>Further Terminology: Also called the **cograph of  $R$** .

#### PROPOSITION 5.3.13.2 ► PROPERTIES OF COLLAGES OF RELATIONS

00GP

Let  $A$  and  $B$  be sets and let  $R: A \rightarrow B$  be a relation from  $A$  to  $B$ .

00GQ

1. *Functionality I.* The assignment  $R \mapsto \mathbf{Coll}(R)$  defines a functor<sup>1</sup>

$$\mathbf{Coll} : \mathbf{Rel}(A, B) \rightarrow \mathbf{Pos}_{/\Delta^1}(A, B),$$

where

- *Action on Objects.* For each  $R \in \mathbf{Obj}(\mathbf{Rel}(A, B))$ , we have

$$[\mathbf{Coll}](R) \stackrel{\text{def}}{=} (\mathbf{Coll}(R), \phi_R)$$

for each  $R \in \mathbf{Rel}(A, B)$ , where

- The poset  $\mathbf{Coll}(R)$  is the collage of  $R$  of [Definition 5.3.13.1](#);
- The morphism  $\phi_R: \mathbf{Coll}(R) \rightarrow \Delta^1$  is given by

$$\phi_R(x) \stackrel{\text{def}}{=} \begin{cases} 0 & \text{if } x \in A, \\ 1 & \text{if } x \in B \end{cases}$$

for each  $x \in \mathbf{Coll}(R)$ ;

- *Action on Morphisms.* For each  $R, S \in \text{Obj}(\mathbf{Rel}(A, B))$ , the action on Hom-sets

$\mathbf{Coll}_{R,S}: \text{Hom}_{\mathbf{Rel}(A,B)}(R, S) \rightarrow \text{Pos}(\mathbf{Coll}(R), \mathbf{Coll}(S))$

of  $\mathbf{Coll}$  at  $(R, S)$  is given by sending an inclusion

$$\iota: R \subset S$$

to the morphism

$$\mathbf{Coll}(\iota): \mathbf{Coll}(R) \rightarrow \mathbf{Coll}(S)$$

of posets over  $\Delta^1$  defined by

$$[\mathbf{Coll}(\iota)](x) \stackrel{\text{def}}{=} x$$

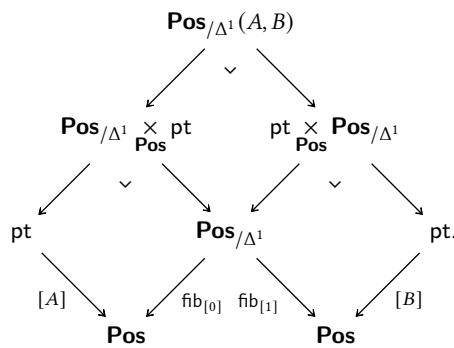
for each  $x \in \mathbf{Coll}(R)$ .<sup>2</sup>

- 00GR 2. *Equivalence.* The functor of [Item 1](#) is an equivalence of categories.

<sup>1</sup>Here  $\text{Pos}_{/\Delta^1}(A, B)$  is the category defined as the pullback

$$\text{Pos}_{/\Delta^1}(A, B) \stackrel{\text{def}}{=} \text{pt} \underset{[A], \text{Pos}, \text{fib}_0}{\times} \text{Pos}_{/\Delta^1} \underset{\text{fib}_1, \text{Pos}, [B]}{\times} \text{pt},$$

as in the diagram



Explicitly, an object of  $\text{Pos}_{/\Delta^1}(A, B)$  is a pair  $(X, \phi_X)$  consisting of

- A poset  $X$ ;
- A morphism  $\phi_X: X \rightarrow \Delta^1$ ;

such that  $\phi_X^{-1}(0) = A$  and  $\phi_X^{-1}(1) = B$ , with morphisms between such objects being morphisms of posets over  $\Delta^1$ .

<sup>2</sup>Note that this is indeed a morphism of posets: if  $x \leq_{\mathbf{Coll}(R)} y$ , then  $x = y$  or  $x \sim_R y$ , so we have either  $x = y$  or  $x \sim_S y$  (as  $R \subset S$ ), and thus  $x \leq_{\mathbf{Coll}(S)} y$ .

**PROOF 5.3.13.3 ► PROOF OF PROPOSITION 5.3.13.2**

Item 1: Functoriality

Clear.

Item 2: Equivalence

Omitted. 

## 5.4 Equivalence Relations

### 5.4.1 Reflexive Relations

#### 5.4.1.1 Foundations

Let  $A$  be a set.

**DEFINITION 5.4.1.1 ► REFLEXIVE RELATIONS**

00GV

A **reflexive relation** is equivalently:<sup>1</sup>

- An  $\mathbb{E}_0$ -monoid in  $(N_*(\mathbf{Rel}(A, A)), \chi_A)$ ;
- A pointed object in  $(\mathbf{Rel}(A, A), \chi_A)$ .

<sup>1</sup>Note that since  $\mathbf{Rel}(A, A)$  is posetal, reflexivity is a property of a relation, rather than extra structure.

**REMARK 5.4.1.2 ► UNWINDING DEFINITION 5.4.1.1**

00GW

In detail, a relation  $R$  on  $A$  is **reflexive** if we have an inclusion

$$\eta_R: \chi_A \subset R$$

of relations in  $\mathbf{Rel}(A, A)$ , i.e. if, for each  $a \in A$ , we have  $a \sim_R a$ .

**DEFINITION 5.4.1.3 ► THE Po/SET OF REFLEXIVE RELATIONS ON A SET**

00GX

Let  $A$  be a set.

00GY

1. The **set of reflexive relations on  $A$**  is the subset  $\mathbf{Rel}^{\text{refl}}(A, A)$  of  $\mathbf{Rel}(A, A)$  spanned by the reflexive relations.

00GZ

2. The **poset of relations on  $A$**  is the subposet  $\mathbf{Rel}^{\text{refl}}(A, A)$  of  $\mathbf{Rel}(A, A)$  spanned by the reflexive relations.

**PROPOSITION 5.4.1.4 ► PROPERTIES OF REFLEXIVE RELATIONS**

**00H0** Let  $R$  and  $S$  be relations on  $A$ .

**00H1** 1. *Interaction With Inverses.* If  $R$  is reflexive, then so is  $R^\dagger$ .

**00H2** 2. *Interaction With Composition.* If  $R$  and  $S$  are reflexive, then so is  $S \diamond R$ .

**PROOF 5.4.1.5 ► PROOF OF PROPOSITION 5.4.1.4**

Item 1: Interaction With Inverses

Clear.

Item 2: Interaction With Composition

Clear. 

**5.4.1.2 The Reflexive Closure of a Relation**

Let  $R$  be a relation on  $A$ .

**DEFINITION 5.4.1.6 ► THE REFLEXIVE CLOSURE OF A RELATION**

**00H4** The **reflexive closure** of  $\sim_R$  is the relation  $\sim_R^{\text{refl}}$ <sup>1</sup> satisfying the following universal property:<sup>2</sup>

- (★) Given another reflexive relation  $\sim_S$  on  $A$  such that  $R \subset S$ , there exists an inclusion  $\sim_R^{\text{refl}} \subset \sim_S$ .

<sup>1</sup>Further Notation: Also written  $R^{\text{refl}}$ .

<sup>2</sup>Slogan: The reflexive closure of  $R$  is the smallest reflexive relation containing  $R$ .

**CONSTRUCTION 5.4.1.7 ► THE REFLEXIVE CLOSURE OF A RELATION**

**00H5** Concretely,  $\sim_R^{\text{refl}}$  is the free pointed object on  $R$  in  $(\mathbf{Rel}(A, A), \chi_A)$ <sup>1</sup>, being given by

$$\begin{aligned} R^{\text{refl}} &\stackrel{\text{def}}{=} R \coprod^{\mathbf{Rel}(A, A)} \Delta_A \\ &= R \cup \Delta_A \\ &= \{(a, b) \in A \times A \mid \text{we have } a \sim_R b \text{ or } a = b\}. \end{aligned}$$

<sup>1</sup>Or, equivalently, the free  $\mathbb{E}_0$ -monoid on  $R$  in  $(\mathbf{N}_\bullet(\mathbf{Rel}(A, A)), \chi_A)$ .

**PROOF 5.4.1.8 ► PROOF OF ??**

Clear. 

**PROPOSITION 5.4.1.9 ► PROPERTIES OF THE REFLEXIVE CLOSURE OF A RELATION**

00H6 Let  $R$  be a relation on  $A$ .

00H7 1. *Adjointness.* We have an adjunction

$$\left( (-)^{\text{refl}} \dashv \text{忘} \right) : \mathbf{Rel}(A, A) \begin{array}{c} \xrightarrow{(-)^{\text{refl}}} \\ \perp \\ \text{忘} \end{array} \mathbf{Rel}^{\text{refl}}(A, A),$$

witnessed by a bijection of sets

$$\mathbf{Rel}^{\text{refl}}(R^{\text{refl}}, S) \cong \mathbf{Rel}(R, S),$$

natural in  $R \in \text{Obj}(\mathbf{Rel}^{\text{refl}}(A, A))$  and  $S \in \text{Obj}(\mathbf{Rel}(A, A))$ .

00H8 2. *The Reflexive Closure of a Reflexive Relation.* If  $R$  is reflexive, then  $R^{\text{refl}} = R$ .

00H9 3. *Idempotency.* We have

$$(R^{\text{refl}})^{\text{refl}} = R^{\text{refl}}.$$

00HA 4. *Interaction With Inverses.* We have

$$\begin{array}{ccc} \mathbf{Rel}(A, A) & \xrightarrow{(-)^{\text{refl}}} & \mathbf{Rel}(A, A) \\ \left( R^{\dagger} \right)^{\text{refl}} = \left( R^{\text{refl}} \right)^{\dagger}, & \downarrow (-)^{\dagger} & \downarrow (-)^{\dagger} \\ \mathbf{Rel}(A, A) & \xrightarrow{(-)^{\text{refl}}} & \mathbf{Rel}(A, A). \end{array}$$

00HB 5. *Interaction With Composition.* We have

$$\begin{array}{ccc} \mathbf{Rel}(A, A) \times \mathbf{Rel}(A, A) & \xrightarrow{\diamond} & \mathbf{Rel}(A, A) \\ (S \diamond R)^{\text{refl}} = S^{\text{refl}} \diamond R^{\text{refl}}, & \downarrow (-)^{\text{refl}} \times (-)^{\text{refl}} & \downarrow (-)^{\text{refl}} \\ \mathbf{Rel}(A, A) \times \mathbf{Rel}(A, A) & \xrightarrow{\diamond} & \mathbf{Rel}(A, A). \end{array}$$

**PROOF 5.4.1.10 ► PROOF OF PROPOSITION 5.4.1.9****Item 1: Adjointness**

This is a rephrasing of the universal property of the reflexive closure of a relation, stated in [Definition 5.4.1.6](#).

**Item 2: The Reflexive Closure of a Reflexive Relation**

Clear.

**Item 3: Idempotency**

This follows from [Item 2](#).

**Item 4: Interaction With Inverses**

Clear.

**Item 5: Interaction With Composition**

This follows from [Item 2 of Proposition 5.4.1.4](#). 

**5.4.2 Symmetric Relations****5.4.2.1 Foundations**

Let  $A$  be a set.

**DEFINITION 5.4.2.1 ► SYMMETRIC RELATIONS**

**00HE** A relation  $R$  on  $A$  is **symmetric** if, for each  $a, b \in A$ , the following conditions are equivalent:<sup>1</sup>

1. We have  $a \sim_R b$ .
2. We have  $b \sim_R a$ .

<sup>1</sup>That is,  $R$  is symmetric if  $R^\dagger = R$ .

**DEFINITION 5.4.2.2 ► THE Po/SET OF SYMMETRIC RELATIONS ON A SET**

**00HF** Let  $A$  be a set.

- 00HG** 1. The **set of symmetric relations on  $A$**  is the subset  $\text{Rel}^{\text{symm}}(A, A)$  of  $\text{Rel}(A, A)$  spanned by the symmetric relations.
- 00HH** 2. The **poset of relations on  $A$**  is the subposet  $\text{Rel}^{\text{symm}}(A, A)$  of  $\text{Rel}(A, A)$  spanned by the symmetric relations.

**PROPOSITION 5.4.2.3 ► PROPERTIES OF SYMMETRIC RELATIONS**

**00HJ** Let  $R$  and  $S$  be relations on  $A$ .

**00HK** 1. *Interaction With Inverses.* If  $R$  is symmetric, then so is  $R^\dagger$ .

**00HL** 2. *Interaction With Composition.* If  $R$  and  $S$  are symmetric, then so is  $S \diamond R$ .

**PROOF 5.4.2.4 ► PROOF OF PROPOSITION 5.4.2.3**

Item 1: Interaction With Inverses

Clear.

Item 2: Interaction With Composition

Clear.

**5.4.2.2 The Symmetric Closure of a Relation**

Let  $R$  be a relation on  $A$ .

**DEFINITION 5.4.2.5 ► THE SYMMETRIC CLOSURE OF A RELATION**

**00HN** The **symmetric closure** of  $\sim_R$  is the relation  $\sim_R^{\text{symm}}$ <sup>1</sup> satisfying the following universal property:<sup>2</sup>

- (★) Given another symmetric relation  $\sim_S$  on  $A$  such that  $R \subset S$ , there exists an inclusion  $\sim_R^{\text{symm}} \subset \sim_S$ .

<sup>1</sup>Further Notation: Also written  $R^{\text{symm}}$ .

<sup>2</sup>Slogan: The symmetric closure of  $R$  is the smallest symmetric relation containing  $R$ .

**CONSTRUCTION 5.4.2.6 ► THE SYMMETRIC CLOSURE OF A RELATION**

**00HP** Concretely,  $\sim_R^{\text{symm}}$  is the symmetric relation on  $A$  defined by

$$\begin{aligned} R^{\text{symm}} &\stackrel{\text{def}}{=} R \cup R^\dagger \\ &= \{(a, b) \in A \times A \mid \text{we have } a \sim_R b \text{ or } b \sim_R a\}. \end{aligned}$$

**PROOF 5.4.2.7 ► PROOF OF ??**

Clear.



**PROPOSITION 5.4.2.8 ► PROPERTIES OF THE SYMMETRIC CLOSURE OF A RELATION**

**00HQ** Let  $R$  be a relation on  $A$ .

**00HR** 1. *Adjointness.* We have an adjunction

$$\left( (-)^{\text{symm}} \dashv \rightleftharpoons \text{Rel}^{\text{symm}}(A, A) : \text{Rel}(A, A)$$

witnessed by a bijection of sets

$$\text{Rel}^{\text{symm}}(R^{\text{symm}}, S) \cong \text{Rel}(R, S),$$

natural in  $R \in \text{Obj}(\text{Rel}^{\text{symm}}(A, A))$  and  $S \in \text{Obj}(\text{Rel}(A, A))$ .

**00HS** 2. *The Symmetric Closure of a Symmetric Relation.* If  $R$  is symmetric, then  $R^{\text{symm}} = R$ .

**00HT** 3. *Idempotency.* We have

$$(R^{\text{symm}})^{\text{symm}} = R^{\text{symm}}.$$

**00HU** 4. *Interaction With Inverses.* We have

$$\begin{array}{ccc} \text{Rel}(A, A) & \xrightarrow{(-)^{\text{symm}}} & \text{Rel}(A, A) \\ \left( R^{\dagger} \right)^{\text{symm}} = \left( R^{\text{symm}} \right)^{\dagger}, & \downarrow (-)^{\dagger} & \downarrow (-)^{\dagger} \\ \text{Rel}(A, A) & \xrightarrow{(-)^{\text{symm}}} & \text{Rel}(A, A). \end{array}$$

**00HV** 5. *Interaction With Composition.* We have

$$\begin{array}{ccc} \text{Rel}(A, A) \times \text{Rel}(A, A) & \xrightarrow{\diamond} & \text{Rel}(A, A) \\ (S \diamond R)^{\text{symm}} = S^{\text{symm}} \diamond R^{\text{symm}}, & \downarrow (-)^{\text{symm}} \times (-)^{\text{symm}} & \downarrow (-)^{\text{symm}} \\ \text{Rel}(A, A) \times \text{Rel}(A, A) & \xrightarrow{\diamond} & \text{Rel}(A, A). \end{array}$$

**PROOF 5.4.2.9 ► PROOF OF PROPOSITION 5.4.2.8****Item 1: Adjointness**

This is a rephrasing of the universal property of the symmetric closure of a relation, stated in [Definition 5.4.2.5](#).

**Item 2: The Symmetric Closure of a Symmetric Relation**

Clear.

**Item 3: Idempotency**

This follows from [Item 2](#).

**Item 4: Interaction With Inverses**

Clear.

**Item 5: Interaction With Composition**

This follows from [Item 2 of Proposition 5.4.2.3](#). 

**5.4.3 Transitive Relations****5.4.3.1 Foundations**

Let  $A$  be a set.

**DEFINITION 5.4.3.1 ► TRANSITIVE RELATIONS**

**00HY**

A **transitive relation** is equivalently:<sup>1</sup>

- A non-unital  $\mathbb{E}_1$ -monoid in  $(N_{\bullet}(\mathbf{Rel}(A, A)), \diamond)$ ;
- A non-unital monoid in  $(\mathbf{Rel}(A, A), \diamond)$ .

<sup>1</sup>Note that since  $\mathbf{Rel}(A, A)$  is posetal, transitivity is a property of a relation, rather than extra structure.

**REMARK 5.4.3.2 ► UNWINDING DEFINITION 5.4.3.1**

**00HZ**

In detail, a relation  $R$  on  $A$  is **transitive** if we have an inclusion

$$\mu_R: R \diamond R \subset R$$

of relations in  $\mathbf{Rel}(A, A)$ , i.e. if, for each  $a, c \in A$ , the following condition is satisfied:

- (★) If there exists some  $b \in A$  such that  $a \sim_R b$  and  $b \sim_R c$ , then  $a \sim_R c$ .

**DEFINITION 5.4.3.3 ► THE Po/SET OF TRANSITIVE RELATIONS ON A SET**

**00J0** Let  $A$  be a set.

- 00J1** 1. The **set of transitive relations from  $A$  to  $B$**  is the subset  $\text{Rel}^{\text{trans}}(A)$  of  $\text{Rel}(A, A)$  spanned by the transitive relations.
- 00J2** 2. The **poset of relations from  $A$  to  $B$**  is the subposet  $\text{Rel}^{\text{trans}}(A)$  of  $\text{Rel}(A, A)$  spanned by the transitive relations.

**PROPOSITION 5.4.3.4 ► PROPERTIES OF TRANSITIVE RELATIONS**

**00J3** Let  $R$  and  $S$  be relations on  $A$ .

**00J4** 1. *Interaction With Inverses.* If  $R$  is transitive, then so is  $R^\dagger$ .

**00J5** 2. *Interaction With Composition.* If  $R$  and  $S$  are transitive, then  $S \diamond R$  **may fail to be transitive**.

**PROOF 5.4.3.5 ► PROOF OF PROPOSITION 5.4.3.4****Item 1: Interaction With Inverses**

Clear.

**Item 2: Interaction With Composition**

See [[MSE2096272](#)].<sup>1</sup> 

<sup>1</sup> *Intuition:* Transitivity for  $R$  and  $S$  fails to imply that of  $S \diamond R$  because the composition operation for relations intertwines  $R$  and  $S$  in an incompatible way:

1. If  $a \sim_{S \diamond R} c$  and  $c \sim_{S \diamond R} e$ , then:

(a) There is some  $b \in A$  such that:

- i.  $a \sim_R b$ ;
- ii.  $b \sim_S c$ ;

(b) There is some  $d \in A$  such that:

- i.  $c \sim_R d$ ;
- ii.  $d \sim_S e$ .

**5.4.3.2 The Transitive Closure of a Relation**

Let  $R$  be a relation on  $A$ .

## DEFINITION 5.4.3.6 ► THE TRANSITIVE CLOSURE OF A RELATION

00J7 The **transitive closure** of  $\sim_R$  is the relation  $\sim_R^{\text{trans}}$ <sup>1</sup> satisfying the following universal property:<sup>2</sup>

- (★) Given another transitive relation  $\sim_S$  on  $A$  such that  $R \subset S$ , there exists an inclusion  $\sim_R^{\text{trans}} \subset \sim_S$ .

<sup>1</sup>Further Notation: Also written  $R^{\text{trans}}$ .

<sup>2</sup>Slogan: The transitive closure of  $R$  is the smallest transitive relation containing  $R$ .

## CONSTRUCTION 5.4.3.7 ► THE TRANSITIVE CLOSURE OF A RELATION

00J8 Concretely,  $\sim_R^{\text{trans}}$  is the free non-unital monoid on  $R$  in  $(\mathbf{Rel}(A, A), \diamond)$ <sup>1</sup>, being given by

$$\begin{aligned} R^{\text{trans}} &\stackrel{\text{def}}{=} \coprod_{n=1}^{\infty} R^{\diamond n} \\ &\stackrel{\text{def}}{=} \bigcup_{n=1}^{\infty} R^{\diamond n} \\ &\stackrel{\text{def}}{=} \left\{ (a, b) \in A \times B \mid \begin{array}{l} \text{there exists some } (x_1, \dots, x_n) \in R^{\times n} \\ \text{such that } a \sim_R x_1 \sim_R \dots \sim_R x_n \sim_R b \end{array} \right\}. \end{aligned}$$

<sup>1</sup>Or, equivalently, the free non-unital  $\mathbb{E}_1$ -monoid on  $R$  in  $(N_{\bullet}(\mathbf{Rel}(A, A)), \diamond)$ .

## PROOF 5.4.3.8 ► PROOF OF ??

Clear. 

## PROPOSITION 5.4.3.9 ► PROPERTIES OF THE TRANSITIVE CLOSURE OF A RELATION

00J9 Let  $R$  be a relation on  $A$ .

00JA 1. *Adjointness.* We have an adjunction

$$((-)^{\text{trans}} \dashv \text{忘}): \mathbf{Rel}(A, A) \begin{array}{c} \xrightarrow{(-)^{\text{trans}}} \\ \perp \\ \xleftarrow{\text{忘}} \end{array} \mathbf{Rel}^{\text{trans}}(A, A),$$

witnessed by a bijection of sets

$$\mathbf{Rel}^{\text{trans}}(R^{\text{trans}}, S) \cong \mathbf{Rel}(R, S),$$

natural in  $R \in \text{Obj}(\mathbf{Rel}^{\text{trans}}(A, A))$  and  $S \in \text{Obj}(\mathbf{Rel}(A, B))$ .

00JB

2. *The Transitive Closure of a Transitive Relation.* If  $R$  is transitive, then  $R^{\text{trans}} = R$ .

00JC

3. *Idempotency.* We have

$$(R^{\text{trans}})^{\text{trans}} = R^{\text{trans}}.$$

00JD

4. *Interaction With Inverses.* We have

$$\begin{array}{ccc} \text{Rel}(A, A) & \xrightarrow{(-)^{\text{trans}}} & \text{Rel}(A, A) \\ \left(R^{\dagger}\right)^{\text{trans}} = \left(R^{\text{trans}}\right)^{\dagger}, & \downarrow (-)^{\dagger} & \downarrow (-)^{\dagger} \\ \text{Rel}(A, A) & \xrightarrow{(-)^{\text{trans}}} & \text{Rel}(A, A). \end{array}$$

00JE

5. *Interaction With Composition.* We have

$$\begin{array}{ccc} \text{Rel}(A, A) \times \text{Rel}(A, A) & \xrightarrow{\diamond} & \text{Rel}(A, A) \\ (S \diamond R)^{\text{trans}} \stackrel{\text{poss.}}{\neq} S^{\text{trans}} \diamond R^{\text{trans}}, & \downarrow (-)^{\text{trans}} \times (-)^{\text{trans}} & \downarrow (-)^{\text{trans}} \\ \text{Rel}(A, A) \times \text{Rel}(A, A) & \xrightarrow{\diamond} & \text{Rel}(A, A). \end{array}$$

#### PROOF 5.4.3.10 ► PROOF OF PROPOSITION 5.4.3.9

##### Item 1: Adjointness

This is a rephrasing of the universal property of the transitive closure of a relation, stated in [Definition 5.4.3.6](#).

##### Item 2: The Transitive Closure of a Transitive Relation

Clear.

##### Item 3: Idempotency

This follows from [Item 2](#).

##### Item 4: Interaction With Inverses

We have

$$\begin{aligned}
 (R^\dagger)^{\text{trans}} &= \bigcup_{n=1}^{\infty} (R^\dagger)^{\diamond n} && (\text{by } ??) \\
 &= \bigcup_{n=1}^{\infty} (R^{\diamond n})^\dagger && (\text{by Item 4 of Proposition 5.3.12.3}) \\
 &= \left( \bigcup_{n=1}^{\infty} R^{\diamond n} \right)^\dagger && (\text{by Item 1 of Proposition 5.3.6.2}) \\
 &= (R^{\text{trans}})^\dagger. && (\text{by } ??)
 \end{aligned}$$

**Item 5: Interaction With Composition**

This follows from **Item 2 of Proposition 5.4.3.4.**



## 5.4.4 Equivalence Relations

### 5.4.4.1 Foundations

Let  $A$  be a set.

#### DEFINITION 5.4.4.1 ► EQUIVALENCE RELATIONS

00JH

A relation  $R$  is an **equivalence relation** if it is reflexive, symmetric, and transitive.<sup>1</sup>

<sup>1</sup>Further Terminology: If instead  $R$  is just symmetric and transitive, then it is called a **partial equivalence relation**.

#### EXAMPLE 5.4.4.2 ► THE KERNEL OF A FUNCTION

00JJ

The **kernel of a function**  $f: A \rightarrow B$  is the equivalence  $\sim_{\text{Ker}(f)}$  on  $A$  obtained by declaring  $a \sim_{\text{Ker}(f)} b$  iff  $f(a) = f(b)$ .<sup>1</sup>

<sup>1</sup>The kernel  $\text{Ker}(f): A \rightarrow A$  of  $f$  is the monad induced by the adjunction  $\text{Gr}(f) \dashv f^{-1}: A \rightleftarrows B$  in **Rel** of **Item 2 of Proposition 5.3.1.2.**

#### DEFINITION 5.4.4.3 ► THE PO/SET OF EQUIVALENCE RELATIONS ON A SET

00JK

Let  $A$  and  $B$  be sets.

00JL

1. The **set of equivalence relations from  $A$  to  $B$**  is the subset  $\text{Rel}^{\text{eq}}(A, B)$  of  $\text{Rel}(A, B)$  spanned by the equivalence relations.
2. The **poset of relations from  $A$  to  $B$**  is the subposet  $\text{Rel}^{\text{eq}}(A, B)$  of  $\text{Rel}(A, B)$

00JM

spanned by the equivalence relations.

#### 5.4.4.2 The Equivalence Closure of a Relation

Let  $R$  be a relation on  $A$ .

##### DEFINITION 5.4.4.4 ► THE EQUIVALENCE CLOSURE OF A RELATION

00JP

The **equivalence closure**<sup>1</sup> of  $\sim_R$  is the relation  $\sim_R^{\text{eq}}$ <sup>2</sup> satisfying the following universal property:<sup>3</sup>

- (★) Given another equivalence relation  $\sim_S$  on  $A$  such that  $R \subset S$ , there exists an inclusion  $\sim_R^{\text{eq}} \subset \sim_S$ .

<sup>1</sup>Further Terminology: Also called the **equivalence relation associated to  $\sim_R$** .

<sup>2</sup>Further Notation: Also written  $R^{\text{eq}}$ .

<sup>3</sup>Slogan: The equivalence closure of  $R$  is the smallest equivalence relation containing  $R$ .

##### CONSTRUCTION 5.4.4.5 ► THE EQUIVALENCE CLOSURE OF A RELATION

00JQ

Concretely,  $\sim_R^{\text{eq}}$  is the equivalence relation on  $A$  defined by

$$\begin{aligned} R^{\text{eq}} &\stackrel{\text{def}}{=} \left( \left( R^{\text{refl}} \right)^{\text{symm}} \right)^{\text{trans}} \\ &= \left( \left( R^{\text{symm}} \right)^{\text{trans}} \right)^{\text{refl}} \end{aligned}$$

$$(a, b) \in A \times B \quad \left| \begin{array}{l} \text{there exists } (x_1, \dots, x_n) \in R^{\times n} \text{ satisfying at} \\ \text{least one of the following conditions:} \end{array} \right. \quad \left. \begin{array}{l} 1. \text{ The following conditions are satisfied:} \\ \quad (a) \text{ We have } a \sim_R x_1 \text{ or } x_1 \sim_R a; \\ \quad (b) \text{ We have } x_i \sim_R x_{i+1} \text{ or } x_{i+1} \sim_R x_i \\ \quad \text{for each } 1 \leq i \leq n-1; \\ \quad (c) \text{ We have } b \sim_R x_n \text{ or } x_n \sim_R b; \\ 2. \text{ We have } a = b. \end{array} \right\}$$

##### PROOF 5.4.4.6 ► PROOF OF ??

From the universal properties of the reflexive, symmetric, and transitive closures of a relation (Definitions 5.4.1.6, 5.4.2.5 and 5.4.3.6), we see that it suffices to prove that:

00JR

1. The symmetric closure of a reflexive relation is still reflexive;

00JS

2. The transitive closure of a symmetric relation is still symmetric;

which are both clear. 

#### PROPOSITION 5.4.4.7 ► PROPERTIES OF EQUIVALENCE RELATIONS

00JT

Let  $R$  be a relation on  $A$ .

00JU

1. *Adjointness.* We have an adjunction

$$((-)^{\text{eq}} \dashv \overline{\text{eq}}) : \mathbf{Rel}(A, B) \begin{array}{c} \xrightarrow{(-)^{\text{eq}}} \\ \perp \\ \xleftarrow{\text{eq}} \end{array} \mathbf{Rel}^{\text{eq}}(A, B),$$

witnessed by a bijection of sets

$$\mathbf{Rel}^{\text{eq}}(R^{\text{eq}}, S) \cong \mathbf{Rel}(R, S),$$

natural in  $R \in \text{Obj}(\mathbf{Rel}^{\text{eq}}(A, B))$  and  $S \in \text{Obj}(\mathbf{Rel}(A, B))$ .

00JV

2. *The Equivalence Closure of an Equivalence Relation.* If  $R$  is an equivalence relation, then  $R^{\text{eq}} = R$ .

00JW

3. *Idempotency.* We have

$$(R^{\text{eq}})^{\text{eq}} = R^{\text{eq}}.$$

#### PROOF 5.4.4.8 ► PROOF OF PROPOSITION 5.4.4.7

##### Item 1: Adjointness

This is a rephrasing of the universal property of the equivalence closure of a relation, stated in [Definition 5.4.4.4](#).

##### Item 2: The Equivalence Closure of an Equivalence Relation

Clear.

##### Item 3: Idempotency

This follows from [Item 2](#). 

## 5.4.5 Quotients by Equivalence Relations

### 5.4.5.1 Equivalence Classes

Let  $A$  be a set, let  $R$  be a relation on  $A$ , and let  $a \in A$ .

**DEFINITION 5.4.5.1 ► EQUIVALENCE CLASSES**

**00JZ** The **equivalence class associated to  $a$**  is the set  $[a]$  defined by

$$\begin{aligned}[a] &\stackrel{\text{def}}{=} \{x \in X \mid x \sim_R a\} \\ &= \{x \in X \mid a \sim_R x\}. \quad (\text{since } R \text{ is symmetric})\end{aligned}$$

**5.4.5.2 Quotients of Sets by Equivalence Relations**

Let  $A$  be a set and let  $R$  be a relation on  $A$ .

**DEFINITION 5.4.5.2 ► QUOTIENTS OF SETS BY EQUIVALENCE RELATIONS**

**00K1** The **quotient of  $X$  by  $R$**  is the set  $X/\sim_R$  defined by

$$X/\sim_R \stackrel{\text{def}}{=} \{[a] \in \mathcal{P}(X) \mid a \in X\}.$$

**REMARK 5.4.5.3 ► WHY USE “EQUIVALENCE” RELATIONS FOR QUOTIENT SETS**

**00K2** The reason we define quotient sets for equivalence relations only is that each of the properties of being an equivalence relation—reflexivity, symmetry, and transitivity—ensures that the equivalences classes  $[a]$  of  $X$  under  $R$  are well-behaved:

- *Reflexivity.* If  $R$  is reflexive, then, for each  $a \in X$ , we have  $a \in [a]$ .
- *Symmetry.* The equivalence class  $[a]$  of an element  $a$  of  $X$  is defined by

$$[a] \stackrel{\text{def}}{=} \{x \in X \mid x \sim_R a\},$$

but we could equally well define

$$[a]' \stackrel{\text{def}}{=} \{x \in X \mid a \sim_R x\}$$

instead. This is not a problem when  $R$  is symmetric, as we then have  $[a] = [a]'$ .<sup>1</sup>

- *Transitivity.* If  $R$  is transitive, then  $[a]$  and  $[b]$  are disjoint iff  $a \not\sim_R b$ , and equal otherwise.

---

<sup>1</sup>When categorifying equivalence relations, one finds that  $[a]$  and  $[a]'$  correspond to presheaves and copresheaves; see ??.

**PROPOSITION 5.4.5.4 ► PROPERTIES OF QUOTIENT SETS**

**00K3** Let  $f: X \rightarrow Y$  be a function and let  $R$  be a relation on  $X$ .

**00K4** 1. As a Coequaliser. We have an isomorphism of sets

$$X/\sim_R^{\text{eq}} \cong \text{CoEq}\left(R \hookrightarrow X \times X \xrightarrow{\begin{smallmatrix} \text{pr}_1 \\ \text{pr}_2 \end{smallmatrix}} X\right),$$

where  $\sim_R^{\text{eq}}$  is the equivalence relation generated by  $\sim_R$ .

**00K5** 2. As a Pushout. We have an isomorphism of sets<sup>1</sup>

$$\begin{array}{ccc} X/\sim_R^{\text{eq}} & \xleftarrow{\quad} & X \\ X/\sim_R^{\text{eq}} \cong X \coprod_{\text{Eq}(\text{pr}_1, \text{pr}_2)} X, & \uparrow \lrcorner & \uparrow \\ & X & \\ & \xleftarrow{\quad} & \text{Eq}(\text{pr}_1, \text{pr}_2). \end{array}$$

where  $\sim_R^{\text{eq}}$  is the equivalence relation generated by  $\sim_R$ .

**00K6** 3. *The First Isomorphism Theorem for Sets.* We have an isomorphism of sets<sup>2,3</sup>

$$X/\sim_{\text{Ker}(f)} \cong \text{Im}(f).$$

**00K7** 4. *Descending Functions to Quotient Sets, I.* Let  $R$  be an equivalence relation on  $X$ . The following conditions are equivalent:

(a) There exists a map

$$\bar{f}: X/\sim_R \rightarrow Y$$

making the diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ q \downarrow & \nearrow \exists \bar{f} & \\ X/\sim_R & & \end{array}$$

commute.

(b) For each  $x, y \in X$ , if  $x \sim_R y$ , then  $f(x) = f(y)$ .

00K8

5. *Descending Functions to Quotient Sets, II.* Let  $R$  be an equivalence relation on  $X$ . If the conditions of [Item 4](#) hold, then  $\bar{f}$  is the *unique* map making the diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ q \downarrow & \nearrow \exists! \bar{f} & \\ X/\sim_R & & \end{array}$$

commute.

00K9

6. *Descending Functions to Quotient Sets, III.* Let  $R$  be an equivalence relation on  $X$ . If the conditions of [Item 4](#) hold, then the following conditions are equivalent:

- (a) The map  $\bar{f}$  is an injection.
- (b) For each  $x, y \in X$ , we have  $x \sim_R y$  iff  $f(x) = f(y)$ .

00KA

7. *Descending Functions to Quotient Sets, IV.* Let  $R$  be an equivalence relation on  $X$ . If the conditions of [Item 4](#) hold, then the following conditions are equivalent:

- (a) The map  $f: X \rightarrow Y$  is surjective.
- (b) The map  $\bar{f}: X/\sim_R \rightarrow Y$  is surjective.

00KB

8. *Descending Functions to Quotient Sets, V.* Let  $R$  be a relation on  $X$  and let  $\sim_R^{\text{eq}}$  be the equivalence relation associated to  $R$ . The following conditions are equivalent:

00KC

- (a) The map  $f$  satisfies the equivalent conditions of [Item 4](#):

- There exists a map

$$\bar{f}: X/\sim_R^{\text{eq}} \rightarrow Y$$

making the diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ q \downarrow & \nearrow \exists \bar{f} & \\ X/\sim_R^{\text{eq}} & & \end{array}$$

commute.

00KD

- For each  $x, y \in X$ , if  $x \sim_R^{\text{eq}} y$ , then  $f(x) = f(y)$ .

- (b) For each  $x, y \in X$ , if  $x \sim_R y$ , then  $f(x) = f(y)$ .

<sup>1</sup>Dually, we also have an isomorphism of sets

$$\begin{array}{ccc} \text{Eq}(\text{pr}_1, \text{pr}_2) & \longrightarrow & X \\ \downarrow & \lrcorner & \downarrow \\ \text{Eq}(\text{pr}_1, \text{pr}_2) \cong X \times_{X/\sim_R^{\text{eq}}} X, & & \\ & & \downarrow \\ X & \longrightarrow & X/\sim_R^{\text{eq}}. \end{array}$$

<sup>2</sup>Further Terminology: The set  $X/\sim_{\text{Ker}(f)}$  is often called the **coimage of  $f$** , and denoted by  $\text{Coim}(f)$ .

<sup>3</sup>In a sense this is a result relating the monad in **Rel** induced by  $f$  with the comonad in **Rel** induced by  $f$ , as the kernel and image

$$\begin{aligned} \text{Ker}(f) : X &\dashrightarrow X, \\ \text{Im}(f) &\subset Y \end{aligned}$$

of  $f$  are respectively the induced monads and comonads of the adjunction

$$\left( \text{Gr}(f) \dashv f^{-1} \right) : A \begin{array}{c} \xrightarrow{\quad} \\[-1ex] \downarrow \\[-1ex] \xleftarrow{\quad} \end{array} B$$

of Item 2 of Proposition 5.3.1.2.

#### PROOF 5.4.5.5 ▶ PROOF OF PROPOSITION 5.4.5.4

Item 1: As a Coequaliser

Omitted.

Item 2: As a Pushout

Omitted.

Item 3: The First Isomorphism Theorem for Sets

Clear.

Item 4: Descending Functions to Quotient Sets, I

See [Pro24o].

Item 5: Descending Functions to Quotient Sets, II

See [Pro24y].

Item 6: Descending Functions to Quotient Sets, III

See [Pro24n].

Item 7: Descending Functions to Quotient Sets, IV

See [Pro24m].

Item 8: Descending Functions to Quotient Sets, V

The implication Item 8a  $\implies$  Item 8b is clear.

Conversely, suppose that, for each  $x, y \in X$ , if  $x \sim_R y$ , then  $f(x) = f(y)$ . Spelling out the definition of the equivalence closure of  $R$ , we see that the condition  $x \sim_R^{\text{eq}} y$  unwinds to the following:

- (★) There exist  $(x_1, \dots, x_n) \in R^{\times n}$  satisfying at least one of the following conditions:
  1. The following conditions are satisfied:
    - (a) We have  $x \sim_R x_1$  or  $x_1 \sim_R x$ ;
    - (b) We have  $x_i \sim_R x_{i+1}$  or  $x_{i+1} \sim_R x_i$  for each  $1 \leq i \leq n-1$ ;
    - (c) We have  $y \sim_R x_n$  or  $x_n \sim_R y$ ;
  2. We have  $x = y$ .

Now, if  $x = y$ , then  $f(x) = f(y)$  trivially; otherwise, we have

$$\begin{aligned} f(x) &= f(x_1), \\ f(x_1) &= f(x_2), \\ &\vdots \\ f(x_{n-1}) &= f(x_n), \\ f(x_n) &= f(y), \end{aligned}$$

and  $f(x) = f(y)$ , as we wanted to show. 

## 5.5 Functoriality of Powersets

### 5.5.1 Direct Images

Let  $A$  and  $B$  be sets and let  $R: A \rightarrow B$  be a relation.

**DEFINITION 5.5.1.1 ► DIRECT IMAGES**

00KG The **direct image function associated to  $R$**  is the function<sup>1</sup>

$$R_*: \mathcal{P}(A) \rightarrow \mathcal{P}(B)$$

defined by<sup>2,3</sup>

$$\begin{aligned} R_*(U) &\stackrel{\text{def}}{=} R(U) \\ &\stackrel{\text{def}}{=} \bigcup_{a \in U} R(a) \\ &= \left\{ b \in B \mid \begin{array}{l} \text{there exists some } a \in U \\ \text{such that } b \in R(a) \end{array} \right\} \end{aligned}$$

for each  $U \in \mathcal{P}(A)$ .

<sup>1</sup>*Further Notation:* Also written  $\exists_R: \mathcal{P}(A) \rightarrow \mathcal{P}(B)$ . This notation comes from the fact that the following statements are equivalent, where  $b \in B$  and  $U \in \mathcal{P}(A)$ :

- We have  $b \in \exists_R(U)$ .
- There exists some  $a \in U$  such that  $b \in R(a)$ .

<sup>2</sup>*Further Terminology:* The set  $R(U)$  is called the **direct image of  $U$  by  $R$** .

<sup>3</sup>We also have

$$R_*(U) = B \setminus R_!(A \setminus U);$$

see Item 7 of Proposition 5.5.1.3.

#### REMARK 5.5.1.2 ► UNWINDING DEFINITION 5.5.1.1

00KH

Identifying subsets of  $A$  with relations from pt to  $A$  via ?? of ??, we see that the direct image function associated to  $R$  is equivalently the function

$$R_*: \underbrace{\mathcal{P}(A)}_{\cong \text{Rel(pt, } A)} \rightarrow \underbrace{\mathcal{P}(B)}_{\cong \text{Rel(pt, } B)}$$

defined by

$$R_*(U) \stackrel{\text{def}}{=} R \diamond U$$

for each  $U \in \mathcal{P}(A)$ , where  $R \diamond U$  is the composition

$$\text{pt} \xrightarrow{U} A \xrightarrow{R} B.$$

#### PROPOSITION 5.5.1.3 ► PROPERTIES OF DIRECT IMAGE FUNCTIONS

00KJ

Let  $R: A \rightarrow B$  be a relation.

00KK

1. *Functoriality.* The assignment  $U \mapsto R_*(U)$  defines a functor

$$R_*: (\mathcal{P}(A), \subset) \rightarrow (\mathcal{P}(B), \subset)$$

where

- *Action on Objects.* For each  $U \in \mathcal{P}(A)$ , we have

$$[R_*](U) \stackrel{\text{def}}{=} R_*(U);$$

- *Action on Morphisms.* For each  $U, V \in \mathcal{P}(A)$ :

- If  $U \subset V$ , then  $R_*(U) \subset R_*(V)$ .

**00KL** 2. *Adjointness.* We have an adjunction

$$(R_* \dashv R_{-1}): \quad \mathcal{P}(A) \begin{array}{c} \xrightarrow{R_*} \\ \perp \\ \xleftarrow{R_{-1}} \end{array} \mathcal{P}(B),$$

witnessed by a bijections of sets

$$\text{Hom}_{\mathcal{P}(A)}(R_*(U), V) \cong \text{Hom}_{\mathcal{P}(A)}(U, R_{-1}(V)),$$

natural in  $U \in \mathcal{P}(A)$  and  $V \in \mathcal{P}(B)$ , i.e. such that:

- (★) The following conditions are equivalent:

- We have  $R_*(U) \subset V$ ;
- We have  $U \subset R_{-1}(V)$ .

**00KM** 3. *Preservation of Colimits.* We have an equality of sets

$$R_* \left( \bigcup_{i \in I} U_i \right) = \bigcup_{i \in I} R_*(U_i),$$

natural in  $\{U_i\}_{i \in I} \in \mathcal{P}(A)^{\times I}$ . In particular, we have equalities

$$R_*(U) \cup R_*(V) = R_*(U \cup V),$$

$$R_*(\emptyset) = \emptyset,$$

natural in  $U, V \in \mathcal{P}(A)$ .

**00KN** 4. *Oplax Preservation of Limits.* We have an inclusion of sets

$$R_* \left( \bigcap_{i \in I} U_i \right) \subset \bigcap_{i \in I} R_*(U_i),$$

natural in  $\{U_i\}_{i \in I} \in \mathcal{P}(A)^{\times I}$ . In particular, we have inclusions

$$R_*(U \cap V) \subset R_*(U) \cap R_*(V),$$

$$R_*(A) \subset B,$$

natural in  $U, V \in \mathcal{P}(A)$ .

00KP

5. *Symmetric Strict Monoidality With Respect to Unions.* The direct image function of [Item 1](#) has a symmetric strict monoidal structure

$$\left( R_*, R_*^\otimes, R_{*|*}^\otimes \right) : (\mathcal{P}(A), \cup, \emptyset) \rightarrow (\mathcal{P}(B), \cup, \emptyset),$$

being equipped with equalities

$$\begin{aligned} R_{*|U,V}^\otimes : R_*(U) \cup R_*(V) &\xrightarrow{=} R_*(U \cup V), \\ R_{*|*}^\otimes : \emptyset &\xrightarrow{=} \emptyset, \end{aligned}$$

natural in  $U, V \in \mathcal{P}(A)$ .

00KQ

6. *Symmetric Oplax Monoidality With Respect to Intersections.* The direct image function of [Item 1](#) has a symmetric oplax monoidal structure

$$\left( R_*, R_*^\otimes, R_{*|*}^\otimes \right) : (\mathcal{P}(A), \cap, A) \rightarrow (\mathcal{P}(B), \cap, B),$$

being equipped with inclusions

$$\begin{aligned} R_{*|U,V}^\otimes : R_*(U \cap V) &\subset R_*(U) \cap R_*(V), \\ R_{*|*}^\otimes : R_*(A) &\subset B, \end{aligned}$$

natural in  $U, V \in \mathcal{P}(A)$ .

00KR

7. *Relation to Direct Images With Compact Support.* We have

$$R_*(U) = B \setminus R_!(A \setminus U)$$

for each  $U \in \mathcal{P}(A)$ .

#### PROOF 5.5.1.4 ► PROOF OF PROPOSITION 5.5.1.3

[Item 1: Functoriality](#)

Clear.

[Item 2: Adjointness](#)

This follows from ?? of ??.

[Item 3: Preservation of Colimits](#)

This follows from [Item 2](#) and ?? of ??.

[Item 4: Oplax Preservation of Limits](#)

Omitted.

**Item 5: Symmetric Strict Monoidality With Respect to Unions**

This follows from [Item 3](#).

**Item 6: Symmetric Oplax Monoidality With Respect to Intersections**

This follows from [Item 4](#).

**Item 7: Relation to Direct Images With Compact Support**

The proof proceeds in the same way as in the case of functions ([??](#) of [??](#)): applying [Item 7](#) of [Proposition 5.5.4.4](#) to  $A \setminus U$ , we have

$$\begin{aligned} R_!(A \setminus U) &= B \setminus R_*(A \setminus (A \setminus U)) \\ &= B \setminus R_*(U). \end{aligned}$$

Taking complements, we then obtain

$$\begin{aligned} R_*(U) &= B \setminus (B \setminus R_*(U)), \\ &= B \setminus R_!(A \setminus U), \end{aligned}$$

which finishes the proof. 

**PROPOSITION 5.5.1.5 ► PROPERTIES OF THE DIRECT IMAGE FUNCTION OPERATION**

**00KS** Let  $R: A \rightarrow B$  be a relation.

**00KT** 1. *Functionality I.* The assignment  $R \mapsto R_*$  defines a function

$$(-)_*: \text{Rel}(A, B) \rightarrow \text{Sets}(\mathcal{P}(A), \mathcal{P}(B)).$$

**00KU** 2. *Functionality II.* The assignment  $R \mapsto R_*$  defines a function

$$(-)_*: \text{Rel}(A, B) \rightarrow \text{Pos}((\mathcal{P}(A), \subset), (\mathcal{P}(B), \subset)).$$

**00KV** 3. *Interaction With Identities.* For each  $A \in \text{Obj}(\text{Sets})$ , we have<sup>1</sup>

$$(\chi_A)_* = \text{id}_{\mathcal{P}(A)};$$

**00KW** 4. *Interaction With Composition.* For each pair of composable relations  $R: A \rightarrow B$  and  $S: B \rightarrow C$ , we have<sup>2</sup>

$$\begin{array}{ccc} \mathcal{P}(A) & \xrightarrow{R_*} & \mathcal{P}(B) \\ (S \diamond R)_* = S_* \circ R_*, & \searrow & \downarrow S_* \\ & (S \diamond R)_* & \mathcal{P}(C). \end{array}$$

<sup>1</sup>That is, the postcomposition function

$$(\chi_A)_*: \text{Rel}(\text{pt}, A) \rightarrow \text{Rel}(\text{pt}, A)$$

is equal to  $\text{id}_{\text{Rel}(\text{pt}, A)}$ .

<sup>2</sup>That is, we have

$$\begin{array}{ccc} \text{Rel}(\text{pt}, A) & \xrightarrow{R_*} & \text{Rel}(\text{pt}, B) \\ (S \diamond R)_* = S_* \circ R_*, & \searrow & \downarrow S_* \\ & (S \diamond R)_* & \text{Rel}(\text{pt}, C). \end{array}$$

#### PROOF 5.5.1.6 ► PROOF OF PROPOSITION 5.5.1.5

##### Item 1: Functionality I

Clear.

##### Item 2: Functionality II

Clear.

##### Item 3: Interaction With Identities

Indeed, we have

$$\begin{aligned} (\chi_A)_*(U) &\stackrel{\text{def}}{=} \bigcup_{a \in U} \chi_A(a) \\ &\stackrel{\text{def}}{=} \bigcup_{a \in U} \{a\} \\ &= U \\ &\stackrel{\text{def}}{=} \text{id}_{\mathcal{P}(A)}(U) \end{aligned}$$

for each  $U \in \mathcal{P}(A)$ . Thus  $(\chi_A)_* = \text{id}_{\mathcal{P}(A)}$ .

##### Item 4: Interaction With Composition

Indeed, we have

$$\begin{aligned}
 (S \diamond R)_*(U) &\stackrel{\text{def}}{=} \bigcup_{a \in U} [S \diamond R](a) \\
 &\stackrel{\text{def}}{=} \bigcup_{a \in U} S(R(a)) \\
 &\stackrel{\text{def}}{=} \bigcup_{a \in U} S_*(R(a)) \\
 &= S_* \left( \bigcup_{a \in U} R(a) \right) \\
 &\stackrel{\text{def}}{=} S_*(R_*(U)) \\
 &\stackrel{\text{def}}{=} [S_* \circ R_*](U)
 \end{aligned}$$

for each  $U \in \mathcal{P}(A)$ , where we used [Item 3 of Proposition 5.5.1.3](#). Thus  $(S \diamond R)_* = S_* \circ R_*$ . ■

### 5.5.2 Strong Inverse Images

Let  $A$  and  $B$  be sets and let  $R: A \rightarrow B$  be a relation.

#### DEFINITION 5.5.2.1 ► STRONG INVERSE IMAGES

00KY

The **strong inverse image function associated to  $R$**  is the function

$$R_{-1}: \mathcal{P}(B) \rightarrow \mathcal{P}(A)$$

defined by<sup>1</sup>

$$R_{-1}(V) \stackrel{\text{def}}{=} \{a \in A \mid R(a) \subset V\}$$

for each  $V \in \mathcal{P}(B)$ .

<sup>1</sup>Further Terminology: The set  $R_{-1}(V)$  is called the **strong inverse image of  $V$  by  $R$** .

#### REMARK 5.5.2.2 ► UNWINDING DEFINITION 5.5.2.1

00KZ

Identifying subsets of  $B$  with relations from pt to  $B$  via ?? of ??, we see that the inverse image function associated to  $R$  is equivalently the function

$$R_{-1}: \underbrace{\mathcal{P}(B)}_{\cong \text{Rel(pt, } B)} \rightarrow \underbrace{\mathcal{P}(A)}_{\cong \text{Rel(pt, } A)}$$

defined by

$$R_{-1}(V) \stackrel{\text{def}}{=} \text{Rift}_R(V), \quad \begin{array}{ccc} & A & \\ \text{Rift}_R(V) & \nearrow & \downarrow R \\ \text{pt} & \xrightarrow[V]{} & B, \end{array}$$

and being explicitly computed by

$$\begin{aligned} R_{-1}(V) &\stackrel{\text{def}}{=} \text{Rift}_R(V) \\ &\cong \int_{x \in B} \text{Hom}_{\{\text{t,f}\}}(R_{-1}^x, V_{-2}^x), \end{aligned}$$

where we have used [Item 12](#) of [Proposition 5.2.5.1](#).

## PROOF 5.5.2.3 ► PROOF OF REMARK 5.5.2.2

We have

$$\begin{aligned}
 \text{Rift}_R(V) &\cong \int_{x \in B} \text{Hom}_{\{\text{t,f}\}}(R_{-1}^x, V_{-2}^x) \\
 &= \left\{ a \in A \mid \int_{x \in B} \text{Hom}_{\{\text{t,f}\}}(R_a^x, V_\star^x) = \text{true} \right\} \\
 &= \left\{ a \in A \mid \begin{array}{l} \text{for each } x \in B, \text{ at least one of the} \\ \text{following conditions hold:} \end{array} \right. \\
 &\quad \left. \begin{array}{l} 1. \text{ We have } R_a^x = \text{false} \\ 2. \text{ The following conditions hold:} \end{array} \right. \\
 &\quad \left. \begin{array}{l} (a) \text{ We have } R_a^x = \text{true} \\ (b) \text{ We have } V_\star^x = \text{true} \end{array} \right\} \\
 &= \left\{ a \in A \mid \begin{array}{l} \text{for each } x \in B, \text{ at least one of the} \\ \text{following conditions hold:} \end{array} \right. \\
 &\quad \left. \begin{array}{l} 1. \text{ We have } x \notin R(a) \\ 2. \text{ The following conditions hold:} \end{array} \right. \\
 &\quad \left. \begin{array}{l} (a) \text{ We have } x \in R(a) \\ (b) \text{ We have } x \in V \end{array} \right\} \\
 &= \{a \in A \mid \text{for each } x \in R(a), \text{ we have } x \in V\} \\
 &= \{a \in A \mid R(a) \subset V\} \\
 &\stackrel{\text{def}}{=} R_{-1}(V).
 \end{aligned}$$

This finishes the proof. 

## PROPOSITION 5.5.2.4 ► PROPERTIES OF STRONG INVERSE IMAGES

00L0 Let  $R: A \rightarrow B$  be a relation.

00L1 1. *Functoriality.* The assignment  $V \mapsto R_{-1}(V)$  defines a functor

$$R_{-1}: (\mathcal{P}(B), \subset) \rightarrow (\mathcal{P}(A), \subset)$$

where

- *Action on Objects.* For each  $V \in \mathcal{P}(B)$ , we have

$$[R_{-1}](V) \stackrel{\text{def}}{=} R_{-1}(V);$$

- *Action on Morphisms.* For each  $U, V \in \mathcal{P}(B)$ :

- If  $U \subset V$ , then  $R_{-1}(U) \subset R_{-1}(V)$ .

**00L2** 2. *Adjointness.* We have an adjunction

$$(R_* \dashv R_{-1}): \quad \mathcal{P}(A) \begin{array}{c} \xrightarrow{R_*} \\ \perp \\ \xleftarrow{R_{-1}} \end{array} \mathcal{P}(B),$$

witnessed by a bijections of sets

$$\text{Hom}_{\mathcal{P}(A)}(R_*(U), V) \cong \text{Hom}_{\mathcal{P}(A)}(U, R_{-1}(V)),$$

natural in  $U \in \mathcal{P}(A)$  and  $V \in \mathcal{P}(B)$ , i.e. such that:

(★) The following conditions are equivalent:

- We have  $R_*(U) \subset V$ ;
- We have  $U \subset R_{-1}(V)$ .

**00L3** 3. *Lax Preservation of Colimits.* We have an inclusion of sets

$$\bigcup_{i \in I} R_{-1}(U_i) \subset R_{-1}\left(\bigcup_{i \in I} U_i\right),$$

natural in  $\{U_i\}_{i \in I} \in \mathcal{P}(B)^{\times I}$ . In particular, we have inclusions

$$R_{-1}(U) \cup R_{-1}(V) \subset R_{-1}(U \cup V),$$

$$\emptyset \subset R_{-1}(\emptyset),$$

natural in  $U, V \in \mathcal{P}(B)$ .

**00L4** 4. *Preservation of Limits.* We have an equality of sets

$$R_{-1}\left(\bigcap_{i \in I} U_i\right) = \bigcap_{i \in I} R_{-1}(U_i),$$

natural in  $\{U_i\}_{i \in I} \in \mathcal{P}(B)^{\times I}$ . In particular, we have equalities

$$R_{-1}(U \cap V) = R_{-1}(U) \cap R_{-1}(V),$$

$$R_{-1}(B) = B,$$

natural in  $U, V \in \mathcal{P}(B)$ .

00L5

5. *Symmetric Lax Monoidality With Respect to Unions.* The direct image with compact support function of Item 1 has a symmetric lax monoidal structure

$$\left( R_{-1}, R_{-1}^{\otimes}, R_{-1|*}^{\otimes} \right): (\mathcal{P}(A), \cup, \emptyset) \rightarrow (\mathcal{P}(B), \cup, \emptyset),$$

being equipped with inclusions

$$R_{-1|U,V}^{\otimes}: R_{-1}(U) \cup R_{-1}(V) \subset R_{-1}(U \cup V),$$

$$R_{-1|*}^{\otimes}: \emptyset \subset R_{-1}(\emptyset),$$

natural in  $U, V \in \mathcal{P}(B)$ .

00L6

6. *Symmetric Strict Monoidality With Respect to Intersections.* The direct image function of Item 1 has a symmetric strict monoidal structure

$$\left( R_{-1}, R_{-1}^{\otimes}, R_{-1|*}^{\otimes} \right): (\mathcal{P}(A), \cap, A) \rightarrow (\mathcal{P}(B), \cap, B),$$

being equipped with equalities

$$R_{-1|U,V}^{\otimes}: R_{-1}(U \cap V) \xrightarrow{=} R_{-1}(U) \cap R_{-1}(V),$$

$$R_{-1|*}^{\otimes}: R_{-1}(A) \xrightarrow{=} B,$$

natural in  $U, V \in \mathcal{P}(B)$ .

00L7

7. *Interaction With Weak Inverse Images I.* We have

$$R_{-1}(V) = A \setminus R^{-1}(B \setminus V)$$

for each  $V \in \mathcal{P}(B)$ .

00L8

8. *Interaction With Weak Inverse Images II.* Let  $R: A \nrightarrow B$  be a relation from  $A$  to  $B$ .

00L9

- (a) If  $R$  is a total relation, then we have an inclusion of sets

$$R_{-1}(V) \subset R^{-1}(V)$$

natural in  $V \in \mathcal{P}(B)$ .

00LA

- (b) If  $R$  is total and functional, then the above inclusion is in fact an equality.

00LB

- (c) Conversely, if we have  $R_{-1} = R^{-1}$ , then  $R$  is total and functional.

**PROOF 5.5.2.5 ► PROOF OF PROPOSITION 5.5.2.4**

**Item 1: Functoriality**

Clear.

**Item 2: Adjointness**

This follows from ?? of ??.

**Item 3: Lax Preservation of Colimits**

Omitted.

**Item 4: Preservation of Limits**

This follows from **Item 2** and ?? of ??.

**Item 5: Symmetric Lax Monoidality With Respect to Unions**

This follows from **Item 3**.

**Item 6: Symmetric Strict Monoidality With Respect to Intersections**

This follows from **Item 4**.

**Item 7: Interaction With Weak Inverse Images I**

We claim we have an equality

$$R_{-1}(B \setminus V) = A \setminus R^{-1}(V).$$

Indeed, we have

$$\begin{aligned} R_{-1}(B \setminus V) &= \{a \in A \mid R(a) \subset B \setminus V\}, \\ A \setminus R^{-1}(V) &= \{a \in A \mid R(a) \cap V = \emptyset\}. \end{aligned}$$

Taking  $V = B \setminus V$  then implies the original statement.

**Item 8: Interaction With Weak Inverse Images II**

**Item 8a** is clear, while **Items 8b** and **8c** follow from **Item 6** of Proposition 5.3.1.2. 

**PROPOSITION 5.5.2.6 ► PROPERTIES OF THE STRONG INVERSE IMAGE FUNCTION OPERATION**

**00LC**

Let  $R: A \rightarrow B$  be a relation.

**00LD**

1. *Functionality I.* The assignment  $R \mapsto R_{-1}$  defines a function

$$(-)_{-1}: \text{Sets}(A, B) \rightarrow \text{Sets}(\mathcal{P}(A), \mathcal{P}(B)).$$

**00LE**

2. *Functionality II.* The assignment  $R \mapsto R_{-1}$  defines a function

$$(-)_{-1}: \text{Sets}(A, B) \rightarrow \text{Pos}((\mathcal{P}(A), \subset), (\mathcal{P}(B), \subset)).$$

00LF

3. *Interaction With Identities.* For each  $A \in \text{Obj}(\text{Sets})$ , we have

$$(\text{id}_A)_{-1} = \text{id}_{\mathcal{P}(A)};$$

00LG

4. *Interaction With Composition.* For each pair of composable relations  $R: A \rightarrow B$  and  $S: B \rightarrow C$ , we have

$$\begin{array}{ccc} \mathcal{P}(C) & \xrightarrow{S_{-1}} & \mathcal{P}(B) \\ (S \diamond R)_{-1} = R_{-1} \circ S_{-1}, & \searrow & \downarrow R_{-1} \\ & & \mathcal{P}(A). \end{array}$$

#### PROOF 5.5.2.7 ► PROOF OF PROPOSITION 5.5.2.6

**Item 1: Functionality I**

Clear.

**Item 2: Functionality II**

Clear.

**Item 3: Interaction With Identities**

Indeed, we have

$$\begin{aligned} (\chi_A)_{-1}(U) &\stackrel{\text{def}}{=} \{a \in A \mid \chi_A(a) \subset U\} \\ &\stackrel{\text{def}}{=} \{a \in A \mid \{a\} \subset U\} \\ &= U \end{aligned}$$

for each  $U \in \mathcal{P}(A)$ . Thus  $(\chi_A)_{-1} = \text{id}_{\mathcal{P}(A)}$ .

**Item 4: Interaction With Composition**

Indeed, we have

$$\begin{aligned} (S \diamond R)_{-1}(U) &\stackrel{\text{def}}{=} \{a \in A \mid [S \diamond R](a) \subset U\} \\ &\stackrel{\text{def}}{=} \{a \in A \mid S(R(a)) \subset U\} \\ &\stackrel{\text{def}}{=} \{a \in A \mid S_*(R(a)) \subset U\} \\ &= \{a \in A \mid R(a) \subset S_{-1}(U)\} \\ &\stackrel{\text{def}}{=} R_{-1}(S_{-1}(U)) \\ &\stackrel{\text{def}}{=} [R_{-1} \circ S_{-1}](U) \end{aligned}$$

for each  $U \in \mathcal{P}(C)$ , where we used **Item 2 of Proposition 5.5.2.4**, which implies that the conditions

- We have  $S_*(R(a)) \subset U$ ;
- We have  $R(a) \subset S_{-1}(U)$ ;

are equivalent. Thus  $(S \diamond R)_{-1} = R_{-1} \circ S_{-1}$ . ■

### 5.5.3 Weak Inverse Images

Let  $A$  and  $B$  be sets and let  $R: A \rightarrow B$  be a relation.

#### DEFINITION 5.5.3.1 ► WEAK INVERSE IMAGES

00LJ

The **weak inverse image function associated to  $R$** <sup>1</sup> is the function

$$R^{-1}: \mathcal{P}(B) \rightarrow \mathcal{P}(A)$$

defined by<sup>2</sup>

$$R^{-1}(V) \stackrel{\text{def}}{=} \{a \in A \mid R(a) \cap V \neq \emptyset\}$$

for each  $V \in \mathcal{P}(B)$ .

<sup>1</sup>Further Terminology: Also called simply the **inverse image function associated to  $R$** .

<sup>2</sup>Further Terminology: The set  $R^{-1}(V)$  is called the **weak inverse image of  $V$  by  $R$**  or simply the **inverse image of  $V$  by  $R$** .

#### REMARK 5.5.3.2 ► UNWINDING DEFINITION 5.5.3.1

00LK

Identifying subsets of  $B$  with relations from  $B$  to pt via ?? of ??, we see that the weak inverse image function associated to  $R$  is equivalently the function

$$R^{-1}: \underbrace{\mathcal{P}(B)}_{\cong \text{Rel}(B, \text{pt})} \rightarrow \underbrace{\mathcal{P}(A)}_{\cong \text{Rel}(A, \text{pt})}$$

defined by

$$R^{-1}(V) \stackrel{\text{def}}{=} V \diamond R$$

for each  $V \in \mathcal{P}(A)$ , where  $R \diamond V$  is the composition

$$A \xrightarrow{R} B \xrightarrow{V} \text{pt.}$$

Explicitly, we have

$$\begin{aligned} R^{-1}(V) &\stackrel{\text{def}}{=} V \diamond R \\ &\stackrel{\text{def}}{=} \int^{x \in B} V_x^{-1} \times R_{-2}^x. \end{aligned}$$

## PROOF 5.5.3.3 ► PROOF OF REMARK 5.5.3.2

We have

$$\begin{aligned}
 V \diamond R &\stackrel{\text{def}}{=} \int^{x \in B} V_x^{-1} \times R_{-2}^x \\
 &= \left\{ a \in A \mid \int^{x \in B} V_x^* \times R_a^x = \text{true} \right\} \\
 &= \left\{ a \in A \mid \begin{array}{l} \text{there exists } x \in B \text{ such that the} \\ \text{following conditions hold:} \end{array} \right. \\
 &\quad \left. \begin{array}{l} 1. \text{ We have } V_x^* = \text{true} \\ 2. \text{ We have } R_a^x = \text{true} \end{array} \right\} \\
 &= \left\{ a \in A \mid \begin{array}{l} \text{there exists } x \in B \text{ such that the} \\ \text{following conditions hold:} \end{array} \right. \\
 &\quad \left. \begin{array}{l} 1. \text{ We have } x \in V \\ 2. \text{ We have } x \in R(a) \end{array} \right\} \\
 &= \{a \in A \mid \text{there exists } x \in V \text{ such that } x \in R(a)\} \\
 &= \{a \in A \mid R(a) \cap V \neq \emptyset\} \\
 &\stackrel{\text{def}}{=} R^{-1}(V)
 \end{aligned}$$

This finishes the proof. 

## PROPOSITION 5.5.3.4 ► PROPERTIES OF WEAK INVERSE IMAGE FUNCTIONS

00LL Let  $R: A \rightarrow B$  be a relation.

00LM 1. *Functoriality.* The assignment  $V \mapsto R^{-1}(V)$  defines a functor

$$R^{-1}: (\mathcal{P}(B), \subset) \rightarrow (\mathcal{P}(A), \subset)$$

where

- *Action on Objects.* For each  $V \in \mathcal{P}(B)$ , we have

$$[R^{-1}](V) \stackrel{\text{def}}{=} R^{-1}(V);$$

- *Action on Morphisms.* For each  $U, V \in \mathcal{P}(B)$ :
  - If  $U \subset V$ , then  $R^{-1}(U) \subset R^{-1}(V)$ .

00LN

2. *Adjointness.* We have an adjunction

$$(R^{-1} \dashv R_!): \quad \mathcal{P}(B) \begin{array}{c} \xrightarrow{R^{-1}} \\ \perp \\ \xleftarrow{R_!} \end{array} \mathcal{P}(A),$$

witnessed by a bijections of sets

$$\text{Hom}_{\mathcal{P}(A)}(R^{-1}(U), V) \cong \text{Hom}_{\mathcal{P}(A)}(U, R_!(V)),$$

natural in  $U \in \mathcal{P}(A)$  and  $V \in \mathcal{P}(B)$ , i.e. such that:

(★) The following conditions are equivalent:

- We have  $R^{-1}(U) \subset V$ ;
- We have  $U \subset R_!(V)$ .

00LP

3. *Preservation of Colimits.* We have an equality of sets

$$R^{-1}\left(\bigcup_{i \in I} U_i\right) = \bigcup_{i \in I} R^{-1}(U_i),$$

natural in  $\{U_i\}_{i \in I} \in \mathcal{P}(B)^{\times I}$ . In particular, we have equalities

$$\begin{aligned} R^{-1}(U) \cup R^{-1}(V) &= R^{-1}(U \cup V), \\ R^{-1}(\emptyset) &= \emptyset, \end{aligned}$$

natural in  $U, V \in \mathcal{P}(B)$ .

00LQ

4. *Oplax Preservation of Limits.* We have an inclusion of sets

$$R^{-1}\left(\bigcap_{i \in I} U_i\right) \subset \bigcap_{i \in I} R^{-1}(U_i),$$

natural in  $\{U_i\}_{i \in I} \in \mathcal{P}(B)^{\times I}$ . In particular, we have inclusions

$$\begin{aligned} R^{-1}(U \cap V) &\subset R^{-1}(U) \cap R^{-1}(V), \\ R^{-1}(A) &\subset B, \end{aligned}$$

natural in  $U, V \in \mathcal{P}(B)$ .

00LR

5. *Symmetric Strict Monoidality With Respect to Unions.* The direct image function of [Item 1](#) has a symmetric strict monoidal structure

$$\left( R^{-1}, R^{-1,\otimes}, R_{\sharp}^{-1,\otimes} \right): (\mathcal{P}(A), \cup, \emptyset) \rightarrow (\mathcal{P}(B), \cup, \emptyset),$$

being equipped with equalities

$$\begin{aligned} R_{U,V}^{-1,\otimes}: R^{-1}(U) \cup R^{-1}(V) &\xrightarrow{\cong} R^{-1}(U \cup V), \\ R_{\sharp}^{-1,\otimes}: \emptyset &\xrightarrow{\cong} \emptyset, \end{aligned}$$

natural in  $U, V \in \mathcal{P}(B)$ .

00LS

6. *Symmetric Oplax Monoidality With Respect to Intersections.* The direct image function of [Item 1](#) has a symmetric oplax monoidal structure

$$\left( R^{-1}, R^{-1,\otimes}, R_{\sharp}^{-1,\otimes} \right): (\mathcal{P}(A), \cap, A) \rightarrow (\mathcal{P}(B), \cap, B),$$

being equipped with inclusions

$$\begin{aligned} R_{U,V}^{-1,\otimes}: R^{-1}(U \cap V) &\subset R^{-1}(U) \cap R^{-1}(V), \\ R_{\sharp}^{-1,\otimes}: R^{-1}(A) &\subset B, \end{aligned}$$

natural in  $U, V \in \mathcal{P}(B)$ .

00LT

7. *Interaction With Strong Inverse Images I.* We have

$$R^{-1}(V) = A \setminus R_{-1}(B \setminus V)$$

for each  $V \in \mathcal{P}(B)$ .

00LU

8. *Interaction With Strong Inverse Images II.* Let  $R: A \nrightarrow B$  be a relation from  $A$  to  $B$ .

00LV

- (a) If  $R$  is a total relation, then we have an inclusion of sets

$$R_{-1}(V) \subset R^{-1}(V)$$

natural in  $V \in \mathcal{P}(B)$ .

00LW

- (b) If  $R$  is total and functional, then the above inclusion is in fact an equality.

00LX

- (c) Conversely, if we have  $R_{-1} = R^{-1}$ , then  $R$  is total and functional.

**PROOF 5.5.3.5 ► PROOF OF PROPOSITION 5.5.3.4**

**Item 1: Functoriality**

Clear.

**Item 2: Adjointness**

This follows from ?? of ??.

**Item 3: Preservation of Colimits**

This follows from **Item 2** and ?? of ??.

**Item 4: Oplax Preservation of Limits**

Omitted.

**Item 5: Symmetric Strict Monoidality With Respect to Unions**

This follows from **Item 3**.

**Item 6: Symmetric Oplax Monoidality With Respect to Intersections**

This follows from **Item 4**.

**Item 7: Interaction With Strong Inverse Images I**

This follows from **Item 7** of **Proposition 5.5.2.4**.

**Item 8: Interaction With Strong Inverse Images II**

This was proved in **Item 8** of **Proposition 5.5.2.4**. 

**PROPOSITION 5.5.3.6 ► PROPERTIES OF THE WEAK INVERSE IMAGE FUNCTION OPERATION**

**00LY** Let  $R: A \rightarrow B$  be a relation.

**00LZ** 1. *Functionality I.* The assignment  $R \mapsto R^{-1}$  defines a function

$$(-)^{-1}: \text{Rel}(A, B) \rightarrow \text{Sets}(\mathcal{P}(A), \mathcal{P}(B)).$$

**00M0** 2. *Functionality II.* The assignment  $R \mapsto R^{-1}$  defines a function

$$(-)^{-1}: \text{Rel}(A, B) \rightarrow \text{Pos}((\mathcal{P}(A), \subset), (\mathcal{P}(B), \subset)).$$

**00M1** 3. *Interaction With Identities.* For each  $A \in \text{Obj}(\text{Sets})$ , we have<sup>1</sup>

$$(\chi_A)^{-1} = \text{id}_{\mathcal{P}(A)};$$

**00M2** 4. *Interaction With Composition.* For each pair of composable relations  $R: A \rightarrow$

$B$  and  $S: B \rightarrow C$ , we have<sup>2</sup>

$$(S \diamond R)^{-1} = R^{-1} \circ S^{-1}, \quad \begin{array}{ccc} \mathcal{P}(C) & \xrightarrow{S^{-1}} & \mathcal{P}(B) \\ & \searrow (S \diamond R)^{-1} & \downarrow R^{-1} \\ & & \mathcal{P}(A). \end{array}$$

<sup>1</sup>That is, the postcomposition

$$(\chi_A)^{-1}: \text{Rel(pt, } A) \rightarrow \text{Rel(pt, } A)$$

is equal to  $\text{id}_{\text{Rel(pt, } A)}$ .

<sup>2</sup>That is, we have

$$(S \diamond R)^{-1} = R^{-1} \circ S^{-1}, \quad \begin{array}{ccc} \text{Rel(pt, } C) & \xrightarrow{R^{-1}} & \text{Rel(pt, } B) \\ & \searrow (S \diamond R)^{-1} & \downarrow S^{-1} \\ & & \text{Rel(pt, } A). \end{array}$$

#### PROOF 5.5.3.7 ► PROOF OF PROPOSITION 5.5.3.6

Item 1: Functionality I

Clear.

Item 2: Functionality II

Clear.

Item 3: Interaction With Identities

This follows from ?? of ??.

Item 4: Interaction With Composition

This follows from ?? of ??.



#### 5.5.4 Direct Images With Compact Support

Let  $A$  and  $B$  be sets and let  $R: A \rightarrow B$  be a relation.

##### DEFINITION 5.5.4.1 ► DIRECT IMAGES WITH COMPACT SUPPORT

00M4 The **direct image with compact support function associated to  $R$**  is the function<sup>1</sup>

$$R_!: \mathcal{P}(A) \rightarrow \mathcal{P}(B)$$

defined by<sup>2,3</sup>

$$\begin{aligned} R_!(U) &\stackrel{\text{def}}{=} \left\{ b \in B \mid \begin{array}{l} \text{for each } a \in A, \text{ if we have} \\ b \in R(a), \text{ then } a \in U \end{array} \right\} \\ &= \{b \in B \mid R^{-1}(b) \subset U\} \end{aligned}$$

for each  $U \in \mathcal{P}(A)$ .

<sup>1</sup>Further Notation: Also written  $\forall_R: \mathcal{P}(A) \rightarrow \mathcal{P}(B)$ . This notation comes from the fact that the following statements are equivalent, where  $b \in B$  and  $U \in \mathcal{P}(A)$ :

- We have  $b \in \forall_R(U)$ .
- For each  $a \in A$ , if  $b \in R(a)$ , then  $a \in U$ .

<sup>2</sup>Further Terminology: The set  $R_!(U)$  is called the **direct image with compact support of  $U$  by  $R$** .

<sup>3</sup>We also have

$$R_!(U) = B \setminus R_*(A \setminus U);$$

see Item 7 of Proposition 5.5.4.4.

#### REMARK 5.5.4.2 ► UNWINDING DEFINITION 5.5.4.1

00M5

Identifying subsets of  $B$  with relations from pt to  $B$  via ?? of ??, we see that the direct image with compact support function associated to  $R$  is equivalently the function

$$R_!: \underbrace{\mathcal{P}(A)}_{\cong \text{Rel}(A, \text{pt})} \rightarrow \underbrace{\mathcal{P}(B)}_{\cong \text{Rel}(B, \text{pt})}$$

defined by

$$R_!(U) \stackrel{\text{def}}{=} \text{Ran}_R(U), \quad \begin{array}{ccc} & B & \\ & \nearrow R & \downarrow \\ A & \xrightarrow[U]{\quad} & \text{pt}, \end{array}$$

being explicitly computed by

$$\begin{aligned} R^*(U) &\stackrel{\text{def}}{=} \text{Ran}_R(U) \\ &\cong \int_{a \in A} \text{Hom}_{\{\text{t,f}\}}(R_a^{-2}, U_a^{-1}), \end{aligned}$$

where we have used Item 11 of Proposition 5.2.5.1.

## PROOF 5.5.4.3 ► PROOF OF REMARK 5.5.4.2

We have

$$\begin{aligned}
 \text{Ran}_R(V) &\cong \int_{a \in A} \text{Hom}_{\{\text{t,f}\}}(R_a^{-2}, U_a^{-1}) \\
 &= \left\{ b \in B \mid \int_{a \in A} \text{Hom}_{\{\text{t,f}\}}(R_a^b, U_a^\star) = \text{true} \right\} \\
 &= \left\{ b \in B \mid \begin{array}{l} \text{for each } a \in A, \text{ at least one of the} \\ \text{following conditions hold:} \end{array} \right. \\
 &\quad \left. \begin{array}{l} 1. \text{ We have } R_a^b = \text{false} \\ 2. \text{ The following conditions hold:} \end{array} \right. \\
 &\quad \left. \begin{array}{l} (a) \text{ We have } R_a^b = \text{true} \\ (b) \text{ We have } U_a^\star = \text{true} \end{array} \right\} \\
 &= \left\{ b \in B \mid \begin{array}{l} \text{for each } a \in A, \text{ at least one of the} \\ \text{following conditions hold:} \end{array} \right. \\
 &\quad \left. \begin{array}{l} 1. \text{ We have } b \notin R(A) \\ 2. \text{ The following conditions hold:} \end{array} \right. \\
 &\quad \left. \begin{array}{l} (a) \text{ We have } b \in R(a) \\ (b) \text{ We have } a \in U \end{array} \right\} \\
 &= \left\{ b \in B \mid \begin{array}{l} \text{for each } a \in A, \text{ if we have} \\ b \in R(a), \text{ then } a \in U \end{array} \right\} \\
 &= \left\{ b \in B \mid R^{-1}(b) \subset U \right\} \\
 &\stackrel{\text{def}}{=} R^{-1}(U).
 \end{aligned}$$

This finishes the proof. 

## PROPOSITION 5.5.4.4 ► PROPERTIES OF DIRECT IMAGES WITH COMPACT SUPPORT

00M6 Let  $R: A \rightarrow B$  be a relation.

00M7 1. *Functoriality.* The assignment  $U \mapsto R_!(U)$  defines a functor

$$R_!: (\mathcal{P}(A), \subset) \rightarrow (\mathcal{P}(B), \subset)$$

where

- *Action on Objects.* For each  $U \in \mathcal{P}(A)$ , we have

$$[R_!](U) \stackrel{\text{def}}{=} R_!(U);$$

- *Action on Morphisms.* For each  $U, V \in \mathcal{P}(A)$ :

- If  $U \subset V$ , then  $R_!(U) \subset R_!(V)$ .

**00M8** 2. *Adjointness.* We have an adjunction

$$(R^{-1} \dashv R_!): \quad \mathcal{P}(B) \begin{array}{c} \xrightarrow{R^{-1}} \\ \perp \\ \xleftarrow{R_!} \end{array} \mathcal{P}(A),$$

witnessed by a bijections of sets

$$\mathrm{Hom}_{\mathcal{P}(A)}(R^{-1}(U), V) \cong \mathrm{Hom}_{\mathcal{P}(A)}(U, R_!(V)),$$

natural in  $U \in \mathcal{P}(A)$  and  $V \in \mathcal{P}(B)$ , i.e. such that:

(★) The following conditions are equivalent:

- We have  $R^{-1}(U) \subset V$ ;
- We have  $U \subset R_!(V)$ .

**00M9** 3. *Lax Preservation of Colimits.* We have an inclusion of sets

$$\bigcup_{i \in I} R_!(U_i) \subset R_!\left(\bigcup_{i \in I} U_i\right),$$

natural in  $\{U_i\}_{i \in I} \in \mathcal{P}(A)^{\times I}$ . In particular, we have inclusions

$$R_!(U) \cup R_!(V) \subset R_!(U \cup V),$$

$$\emptyset \subset R_!(\emptyset),$$

natural in  $U, V \in \mathcal{P}(A)$ .

**00MA** 4. *Preservation of Limits.* We have an equality of sets

$$R_!\left(\bigcap_{i \in I} U_i\right) = \bigcap_{i \in I} R_!(U_i),$$

natural in  $\{U_i\}_{i \in I} \in \mathcal{P}(A)^{\times I}$ . In particular, we have equalities

$$R_!(U \cap V) = R_!(U) \cap R_!(V),$$

$$R_!(A) = B,$$

natural in  $U, V \in \mathcal{P}(A)$ .

00MB

5. *Symmetric Lax Monoidality With Respect to Unions.* The direct image with compact support function of Item 1 has a symmetric lax monoidal structure

$$(R_!, R_!^\otimes, R_{!|k}^\otimes) : (\mathcal{P}(A), \cup, \emptyset) \rightarrow (\mathcal{P}(B), \cup, \emptyset),$$

being equipped with inclusions

$$\begin{aligned} R_{!|U,V}^\otimes : R_!(U) \cup R_!(V) &\subset R_!(U \cup V), \\ R_{!|k}^\otimes : \emptyset &\subset R_!(\emptyset), \end{aligned}$$

natural in  $U, V \in \mathcal{P}(A)$ .

00MC

6. *Symmetric Strict Monoidality With Respect to Intersections.* The direct image function of Item 1 has a symmetric strict monoidal structure

$$(R_!, R_!^\otimes, R_{!|k}^\otimes) : (\mathcal{P}(A), \cap, A) \rightarrow (\mathcal{P}(B), \cap, B),$$

being equipped with equalities

$$\begin{aligned} R_{!|U,V}^\otimes : R_!(U \cap V) &\xrightarrow{\equiv} R_!(U) \cap R_!(V), \\ R_{!|k}^\otimes : R_!(A) &\xrightarrow{\equiv} B, \end{aligned}$$

natural in  $U, V \in \mathcal{P}(A)$ .

00MD

7. *Relation to Direct Images.* We have

$$R_!(U) = B \setminus R_*(A \setminus U)$$

for each  $U \in \mathcal{P}(A)$ .

#### PROOF 5.5.4.5 ► PROOF OF PROPOSITION 5.5.4.4

**Item 1: Functoriality**

Clear.

**Item 2: Adjointness**

This follows from ?? of ??.

**Item 3: Lax Preservation of Colimits**

Omitted.

**Item 4: Preservation of Limits**

This follows from [Item 2](#) and [?? of ??](#).

**Item 5: Symmetric Lax Monoidality With Respect to Unions**

This follows from [Item 3](#).

**Item 6: Symmetric Strict Monoidality With Respect to Intersections**

This follows from [Item 4](#).

**Item 7: Relation to Direct Images**

This follows from [Item 7 of Proposition 5.5.1.3](#). Alternatively, we may prove it directly as follows, with the proof proceeding in the same way as in the case of functions ([?? of ??](#)).

We claim that  $R_!(U) = B \setminus R_*(A \setminus U)$ :

- *The First Implication.* We claim that

$$R_!(U) \subset B \setminus R_*(A \setminus U).$$

Let  $b \in R_!(U)$ . We need to show that  $b \notin R_*(A \setminus U)$ , i.e. that there is no  $a \in A \setminus U$  such that  $b \in R(a)$ .

This is indeed the case, as otherwise we would have  $a \in R^{-1}(b)$  and  $a \notin U$ , contradicting  $R^{-1}(b) \subset U$  (which holds since  $b \in R_!(U)$ ).

Thus  $b \in B \setminus R_*(A \setminus U)$ .

- *The Second Implication.* We claim that

$$B \setminus R_*(A \setminus U) \subset R_!(U).$$

Let  $b \in B \setminus R_*(A \setminus U)$ . We need to show that  $b \in R_!(U)$ , i.e. that  $R^{-1}(b) \subset U$ .

Since  $b \notin R_*(A \setminus U)$ , there exists no  $a \in A \setminus U$  such that  $b \in R(a)$ , and hence  $R^{-1}(b) \subset U$ .

Thus  $b \in R_!(U)$ .

This finishes the proof. 

#### PROPOSITION 5.5.4.6 ► PROPERTIES OF THE DIRECT IMAGE WITH COMPACT SUPPORT FUNCTION OPERATION

00ME

Let  $R: A \rightarrow B$  be a relation.

00MF

1. *Functionality I.* The assignment  $R \mapsto R_!$  defines a function

$$(-)_! : \text{Sets}(A, B) \rightarrow \text{Sets}(\mathcal{P}(A), \mathcal{P}(B)).$$

00MG

2. *Functionality II.* The assignment  $R \mapsto R_!$  defines a function

$$(-)_! : \text{Sets}(A, B) \rightarrow \text{Hom}_{\text{Pos}}((\mathcal{P}(A), \subset), (\mathcal{P}(B), \subset)).$$

00MH

3. *Interaction With Identities.* For each  $A \in \text{Obj}(\text{Sets})$ , we have

$$(\text{id}_A)_! = \text{id}_{\mathcal{P}(A)};$$

00MJ

4. *Interaction With Composition.* For each pair of composable relations  $R: A \nrightarrow B$  and  $S: B \nrightarrow C$ , we have

$$\begin{array}{ccc} \mathcal{P}(A) & \xrightarrow{R_!} & \mathcal{P}(B) \\ & \searrow (S \diamond R)_! & \downarrow S_! \\ & & \mathcal{P}(C). \end{array}$$

#### PROOF 5.5.4.7 ► PROOF OF PROPOSITION 5.5.4.6

**Item 1: Functionality I**

Clear.

**Item 2: Functionality II**

Clear.

**Item 3: Interaction With Identities**

Indeed, we have

$$\begin{aligned} (\chi_A)_!(U) &\stackrel{\text{def}}{=} \{a \in A \mid \chi_A^{-1}(a) \subset U\} \\ &\stackrel{\text{def}}{=} \{a \in A \mid \{a\} \subset U\} \\ &= U \end{aligned}$$

for each  $U \in \mathcal{P}(A)$ . Thus  $(\chi_A)_! = \text{id}_{\mathcal{P}(A)}$ .

**Item 4: Interaction With Composition**

Indeed, we have

$$\begin{aligned}
 (S \diamond R)_!(U) &\stackrel{\text{def}}{=} \{c \in C \mid [S \diamond R]^{-1}(c) \subset U\} \\
 &\stackrel{\text{def}}{=} \{c \in C \mid S^{-1}(R^{-1}(c)) \subset U\} \\
 &= \{c \in C \mid R^{-1}(c) \subset S_!(U)\} \\
 &\stackrel{\text{def}}{=} R_!(S_!(U)) \\
 &\stackrel{\text{def}}{=} [R_! \circ S_!](U)
 \end{aligned}$$

for each  $U \in \mathcal{P}(C)$ , where we used Item 2 of Proposition 5.5.4.4, which implies that the conditions

- We have  $S^{-1}(R^{-1}(c)) \subset U$ ;
- We have  $R^{-1}(c) \subset S_!(U)$ ;

are equivalent. Thus  $(S \diamond R)_! = S_! \circ R_!$ . ■

### 5.5.5 Functoriality of Powersets

#### PROPOSITION 5.5.5.1 ► FUNCTORIALITY OF POWERSETS I

00ML

The assignment  $X \mapsto \mathcal{P}(X)$  defines functors<sup>1</sup>

$$\begin{aligned}
 \mathcal{P}_*: \text{Rel} &\rightarrow \text{Sets}, \\
 \mathcal{P}_{-1}: \text{Rel}^{\text{op}} &\rightarrow \text{Sets}, \\
 \mathcal{P}^{-1}: \text{Rel}^{\text{op}} &\rightarrow \text{Sets}, \\
 \mathcal{P}_!: \text{Rel} &\rightarrow \text{Sets}
 \end{aligned}$$

where

- *Action on Objects.* For each  $A \in \text{Obj}(\text{Rel})$ , we have

$$\begin{aligned}
 \mathcal{P}_*(A) &\stackrel{\text{def}}{=} \mathcal{P}(A), \\
 \mathcal{P}_{-1}(A) &\stackrel{\text{def}}{=} \mathcal{P}(A), \\
 \mathcal{P}^{-1}(A) &\stackrel{\text{def}}{=} \mathcal{P}(A), \\
 \mathcal{P}_!(A) &\stackrel{\text{def}}{=} \mathcal{P}(A);
 \end{aligned}$$

- *Action on Morphisms.* For each morphism  $R: A \rightarrow B$  of Rel, the images

$$\begin{aligned}\mathcal{P}_*(R) &: \mathcal{P}(A) \rightarrow \mathcal{P}(B), \\ \mathcal{P}_{-1}(R) &: \mathcal{P}(B) \rightarrow \mathcal{P}(A), \\ \mathcal{P}^{-1}(R) &: \mathcal{P}(B) \rightarrow \mathcal{P}(A), \\ \mathcal{P}_!(R) &: \mathcal{P}(A) \rightarrow \mathcal{P}(B)\end{aligned}$$

of  $R$  by  $\mathcal{P}_*$ ,  $\mathcal{P}_{-1}$ ,  $\mathcal{P}^{-1}$ , and  $\mathcal{P}_!$  are defined by

$$\begin{aligned}\mathcal{P}_*(R) &\stackrel{\text{def}}{=} R_*, \\ \mathcal{P}_{-1}(R) &\stackrel{\text{def}}{=} R_{-1}, \\ \mathcal{P}^{-1}(R) &\stackrel{\text{def}}{=} R^{-1}, \\ \mathcal{P}_!(R) &\stackrel{\text{def}}{=} R_!\end{aligned}$$

as in [Definitions 5.5.1.1, 5.5.2.1, 5.5.3.1](#) and [5.5.4.1](#).

<sup>1</sup>The functor  $\mathcal{P}_*: \text{Rel} \rightarrow \text{Sets}$  admits a left adjoint; see [Item 3 of Proposition 5.3.1.2](#).

#### PROOF 5.5.5.2 ► PROOF OF PROPOSITION 5.5.5.1

This follows from [Items 3 and 4 of Proposition 5.5.1.5](#), [Items 3 and 4 of Proposition 5.5.2.6](#), [Items 3 and 4 of Proposition 5.5.3.6](#), and [Items 3 and 4 of Proposition 5.5.4.6](#). □

### 5.5.6 Functoriality of Powersets: Relations on Powersets

**00MM** Let  $A$  and  $B$  be sets and let  $R: A \rightarrow B$  be a relation.

#### DEFINITION 5.5.6.1 ► THE RELATION ON POWERSETS ASSOCIATED TO A RELATION

**00MN** The **relation on powersets associated to  $R$**  is the relation

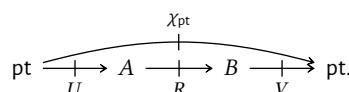
$$\mathcal{P}(R): \mathcal{P}(A) \rightarrow \mathcal{P}(B)$$

defined by<sup>1</sup>

$$\mathcal{P}(R)_U^V \stackrel{\text{def}}{=} \text{Rel}(\chi_{\text{pt}}, V \diamond R \diamond U)$$

for each  $U \in \mathcal{P}(A)$  and each  $V \in \mathcal{P}(B)$ .

<sup>1</sup>Illustration:



**REMARK 5.5.6.2 ► UNWINDING DEFINITION 5.5.6.1**

00MP

In detail, we have  $U \sim_{\mathcal{P}(R)} V$  iff the following equivalent conditions hold:

- We have  $\chi_{\text{pt}} \subset V \diamond R \diamond U$ .
- We have  $(V \diamond R \diamond U)_{\star}^{\star} = \text{true}$ , i.e. we have

$$\int^{a \in A} \int^{b \in B} V_b^{\star} \times R_a^b \times U_{\star}^a = \text{true}.$$

- There exists some  $a \in A$  and some  $b \in B$  such that:
  - We have  $U_{\star}^a = \text{true}$ ;
  - We have  $R_a^b = \text{true}$ ;
  - We have  $V_b^{\star} = \text{true}$ .
- There exists some  $a \in A$  and some  $b \in B$  such that:
  - We have  $a \in U$ ;
  - We have  $a \sim_R b$ ;
  - We have  $b \in V$ .

**PROPOSITION 5.5.6.3 ► FUNCTORIALITY OF POWERSETS II**

00MQ

The assignment  $R \mapsto \mathcal{P}(R)$  defines a functor

$$\mathcal{P}: \text{Rel} \rightarrow \text{Rel}.$$

**PROOF 5.5.6.4 ► PROOF OF PROPOSITION 5.5.6.3**

Omitted. 

## 5.6 Relative Preorders

### 5.6.1 The Left Skew Monoidal Structure on $\text{Rel}(A, B)$

Let  $A$  and  $B$  be sets and let  $J: A \dashrightarrow B$  be a relation.

#### 5.6.1.1 The Left Skew Monoidal Product

**DEFINITION 5.6.1.1 ► THE LEFT  $J$ -SKEW MONOIDAL PRODUCT OF  $\mathbf{Rel}(A, B)$** 

00MU

The **left  $J$ -skew monoidal product of  $\mathbf{Rel}(A, B)$**  is the functor

$$\triangleleft_J : \mathbf{Rel}(A, B) \times \mathbf{Rel}(A, B) \rightarrow \mathbf{Rel}(A, B)$$

where

- *Action on Objects.* For each  $R, S \in \text{Obj}(\mathbf{Rel}(A, B))$ , we have

$$S \triangleleft_J R \stackrel{\text{def}}{=} S \diamond \text{Rift}_J(R), \quad \begin{array}{ccc} A & \xrightarrow{S} & B; \\ \text{Rift}_J(R) \swarrow & \downarrow J & \downarrow \\ A & \xrightarrow{R} & B \end{array}$$

- *Action on Morphisms.* For each  $R, S, R', S' \in \text{Obj}(\mathbf{Rel}(A, B))$ , the action on Hom-sets

$$(\triangleleft_J)_{(G,F),(G',F')} : \text{Hom}_{\mathbf{Rel}(A,B)}(S, S') \times \text{Hom}_{\mathbf{Rel}(A,B)}(R, R') \rightarrow \text{Hom}_{\mathbf{Rel}(A,B)}(S \triangleleft_J R, S' \triangleleft_J R')$$

of  $\triangleleft_J$  at  $((R, S), (R', S'))$  is defined by<sup>1</sup>

$$\beta \triangleleft_J \alpha \stackrel{\text{def}}{=} \beta \diamond \text{Rift}_J(\alpha), \quad \begin{array}{ccc} S & \xrightarrow{\beta} & B \\ \text{Rift}_J(R) \swarrow & \downarrow J & \downarrow \\ A & \xrightarrow{R} & B \\ \text{Rift}_J(\alpha) \swarrow & \downarrow \alpha & \downarrow \\ R' & \xrightarrow{\beta \circ \alpha} & B \end{array}$$

for each  $\beta \in \text{Hom}_{\mathbf{Rel}(A,B)}(S, S')$  and each  $\alpha \in \text{Hom}_{\mathbf{Rel}(A,B)}(R, R')$ .

---

<sup>1</sup>Since  $\mathbf{Rel}(A, B)$  is posetal, this is to say that if  $S \subset S'$  and  $R \subset R'$ , then  $S \triangleleft_J R \subset S' \triangleleft_J R'$ .

**5.6.1.2 The Left Skew Monoidal Unit**

**DEFINITION 5.6.1.2 ► THE LEFT  $J$ -SKEW MONOIDAL UNIT OF  $\mathbf{Rel}(A, B)$** 

**00MW** The **left  $J$ -skew monoidal unit of  $\mathbf{Rel}(A, B)$**  is the functor

$$\mathbb{M}_{\triangleleft}^{\mathbf{Rel}(A, B)} : \mathbf{pt} \rightarrow \mathbf{Rel}(A, B)$$

picking the object

$$\mathbb{M}_{\mathbf{Rel}(A, B)}^{\triangleleft} \stackrel{\text{def}}{=} J$$

of  $\mathbf{Rel}(A, B)$ .

**5.6.1.3 The Left Skew Associators**
**DEFINITION 5.6.1.3 ► THE LEFT  $J$ -SKEW ASSOCIATOR OF  $\mathbf{Rel}(A, B)$** 

**00MY** The **left  $J$ -skew associator of  $\mathbf{Rel}(A, B)$**  is the natural transformation

$$\alpha^{\mathbf{Rel}(A, B), \triangleleft} : \triangleleft_J \circ (\triangleleft_J \times \text{id}) \Rightarrow \triangleleft_J \circ (\text{id} \times \triangleleft_J),$$

whose component

$$\alpha_{T, S, R}^{\mathbf{Rel}(A, B), \triangleleft} : \underbrace{(T \triangleleft_J S) \triangleleft_J R}_{\stackrel{\text{def}}{=} T \diamond \text{Rift}_J(S) \diamond \text{Rift}_J(R)} \hookrightarrow \underbrace{T \triangleleft_J (S \triangleleft_J R)}_{\stackrel{\text{def}}{=} T \diamond \text{Rift}_J(S \diamond \text{Rift}_J(R))}$$

at  $(T, S, R)$  is given by

$$\alpha_{T, S, R}^{\mathbf{Rel}(A, B), \triangleleft} \stackrel{\text{def}}{=} \text{id}_T \diamond \gamma,$$

where

$$\gamma : \text{Rift}_J(S) \diamond \text{Rift}_J(R) \hookrightarrow \text{Rift}_J(S \diamond \text{Rift}_J(R))$$

is the inclusion adjunct to the inclusion

$$\epsilon_S \star \text{id}_{\text{Rift}_J(R)} : \underbrace{J \diamond \text{Rift}_J(S) \diamond \text{Rift}_J(R)}_{\stackrel{\text{def}}{=} J_*(\text{Rift}_J(S) \diamond \text{Rift}_J(R))} \hookrightarrow S \diamond \text{Rift}_J(R)$$

under the adjunction  $J_* \dashv \text{Rift}_J$ , where  $\epsilon : J \diamond \text{Rift}_J \Rightarrow \text{id}_{\mathbf{Rel}(A, B)}$  is the counit of the adjunction  $J_* \dashv \text{Rift}_J$ .

**5.6.1.4 The Left Skew Left Unitors**

**DEFINITION 5.6.1.4 ► THE LEFT  $J$ -SKEW LEFT UNITOR OF  $\text{Rel}(A, B)$** 

00N0

The **left  $J$ -skew left unit of  $\text{Rel}(A, B)$**  is the natural transformation

$$\lambda^{\text{Rel}(A,B), \triangleleft} : \triangleleft_J \circ (\mathbb{1}_{\triangleleft}^{\text{Rel}(A,B)} \times \text{id}) \Rightarrow \text{id},$$

whose component

$$\lambda_R^{\text{Rel}(A,B), \triangleleft} : \underbrace{J \triangleleft_J R}_{\stackrel{\text{def}}{=} J \diamond \text{Rift}_J(R)} \hookrightarrow R$$

at  $R$  is given by

$$\lambda_R^{\text{Rel}(A,B), \triangleleft} \stackrel{\text{def}}{=} \epsilon_R,$$

where  $\epsilon : J \diamond \text{Rift}_J \Rightarrow \text{id}_{\text{Rel}(A,B)}$  is the counit of the adjunction  $J_* \dashv \text{Rift}_J$ .

**5.6.1.5 The Left Skew Right Unitors**
**DEFINITION 5.6.1.5 ► THE LEFT  $J$ -SKEW RIGHT UNITOR OF  $\text{Rel}(A, B)$** 

00N2

The **left  $J$ -skew right unit of  $\text{Rel}(A, B)$**  is the natural transformation

$$\rho^{\text{Rel}(A,B), \triangleleft} : \text{id} \Rightarrow \triangleleft_J \circ (\text{id} \times \mathbb{1}_{\triangleleft}^{\text{Rel}(A,B)}),$$

whose component

$$\rho_R^{\text{Rel}(A,B), \triangleleft} : R \hookrightarrow \underbrace{R \triangleleft_J J}_{\stackrel{\text{def}}{=} R \diamond \text{Rift}_J(J)}$$

at  $R$  is given by

$$\rho_R^{\text{Rel}(A,B), \triangleleft} \stackrel{\text{def}}{=} \text{id}_R \star \sigma,$$

where  $\sigma : \text{id}_A \Rightarrow \text{Rift}_J(J)$  is the universal transformation included in the data of the right Kan lift  $\text{Rift}_J(J)$ .

**5.6.1.6 The Left Skew Monoidal Structure on  $\text{Rel}(A, B)$** 
**DEFINITION 5.6.1.6 ► THE LEFT  $J$ -SKEW MONOIDAL STRUCTURE ON  $\text{Rel}(A, B)$** 

00N4

The **left  $J$ -skew monoidal category of relations from  $A$  to  $B$**  is the left skew monoidal category

$$(\text{Rel}(A, B), \triangleleft_J, \mathbb{1}_{\triangleleft}^{\text{Rel}(A,B)}, \alpha^{\text{Rel}(A,B), \triangleleft}, \lambda^{\text{Rel}(A,B), \triangleleft}, \rho^{\text{Rel}(A,B), \triangleleft})$$

consisting of

- *The Underlying Category.* The posetal category associated to the poset  $\mathbf{Rel}(A, B)$  of relations from  $A$  to  $B$  of Item 2 of [Definition 5.1.1.2](#);
- *The Skew Monoidal Product.* The functor  $\triangleleft_J$  of [Definition 5.6.1.1](#);
- *The Skew Monoidal Unit.* The functor  $\mu_{\triangleleft}^{\mathbf{Rel}(A, B)}$  of [Definition 5.6.1.2](#);
- *The Skew Associators.* The natural transformation  $\alpha^{\mathbf{Rel}(A, B), \triangleleft}$  of [Definition 5.6.1.3](#);
- *The Skew Left Unitors.* The natural transformation  $\lambda^{\mathbf{Rel}(A, B), \triangleleft}$  of [Definition 5.6.1.4](#);
- *The Skew Right Unitors.* The natural transformation  $\rho^{\mathbf{Rel}(A, B), \triangleleft}$  of [Definition 5.6.1.5](#).

### 5.6.2 Left Relative Preorders

Let  $A$  and  $B$  be sets and let  $J: A \rightarrow B$  be a relation.

#### DEFINITION 5.6.2.1 ► LEFT $J$ -RELATIVE PREORDERS

00N6

A **left  $J$ -relative preorder from  $A$  to  $B$**  is equivalently:

- An  $\mathbb{E}_1$ -skew monoid in  $(\mathbf{N}_{\bullet}(\mathbf{Rel}(A, B)), \triangleleft_J, J)$ ;
- A skew monoid in  $(\mathbf{Rel}(A, B), \triangleleft_J, J)$ .

#### REMARK 5.6.2.2 ► UNWINDING DEFINITION 5.6.2.1, I

00N7

In detail, a **left  $J$ -relative preorder**  $(R, \mu_R, \eta_R)$  **from  $A$  to  $B$**  consists of

- *The Underlying Relation.* A relation

$$R: A \rightarrow B,$$

called the **underlying relation** of  $(R, \mu_R, \eta_R)$ ;

- *The Multiplication Inclusion.* An inclusion of relations

$$\mu_R: R \triangleleft_J R \subset R,$$

called the **multiplication** of  $(R, \mu_R, \eta_R)$ ;

- *The Unit Inclusion.* An inclusion of relations

$$\eta_R: J \subset R,$$

called the **unit** of  $(R, \mu_R, \eta_R)$ .

#### REMARK 5.6.2.3 ► UNWINDING DEFINITION 5.6.2.1, II

00N8

In other words, a **left  $J$ -relative preorder from  $A$  to  $B$**  is a relation  $R: A \rightarrow B$  from  $A$  to  $B$  satisfying the following conditions:

1.  *$J$ -Transitivity.* For each  $a \in A$  and each  $c \in B$ , we have

$$a \sim_{R \diamond \text{Rift}_J(R)} c$$

i.e. the following condition is satisfied:<sup>1</sup>

- (★) If there exists some  $b \in A$  such that:

- We have  $a \sim_{\text{Rift}_J(R)} b$ , i.e. for each  $x \in B$ , if  $b \sim_J x$ , then  $a \sim_R x$ ;<sup>2</sup>
- We have  $b \sim_R c$ ;

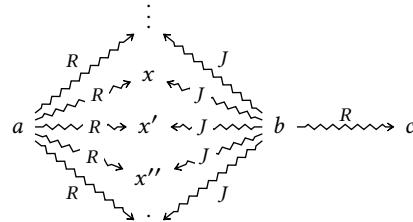
then  $a \sim_R c$ .

2.  *$J$ -Unitarity.* For each  $a \in A$  and each  $b \in B$ , the following condition is satisfied:

- (★) If  $a \sim_J b$ , then  $a \sim_R b$ .

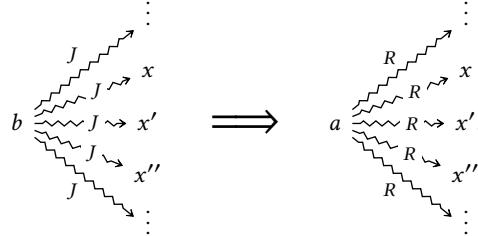
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<sup>1</sup>Illustration: If we have



then  $a \sim_R c$ .

<sup>2</sup>Illustration:



### 5.6.3 The Right Skew Monoidal Structure on $\mathbf{Rel}(A, B)$

Let  $A$  and  $B$  be sets and let  $J: A \rightarrow B$  be a relation.

#### 5.6.3.1 The Right Skew Monoidal Product

##### DEFINITION 5.6.3.1 ► THE RIGHT $J$ -SKEW MONOIDAL PRODUCT OF $\mathbf{Rel}(A, B)$

00NB

The **right  $J$ -skew monoidal product of  $\mathbf{Rel}(A, B)$**  is the functor

$$\triangleright_J: \mathbf{Rel}(A, B) \times \mathbf{Rel}(A, B) \rightarrow \mathbf{Rel}(A, B)$$

where

- *Action on Objects.* For each  $R, S \in \text{Obj}(\mathbf{Rel}(A, B))$ , we have

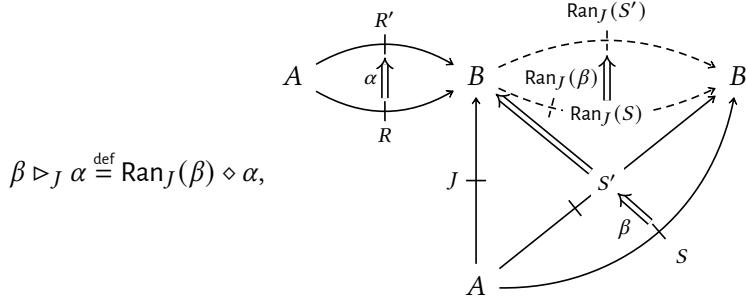
$$A \xrightarrow{R} B \dashv\vdash \begin{matrix} \text{Ran}_J(S) \\ \dashv\vdash \\ \text{Ran}_J(S) \diamond R \end{matrix};$$

$\begin{array}{c} \nearrow \searrow \\ J \end{array}$

- *Action on Morphisms.* For each  $R, S, R', S' \in \text{Obj}(\mathbf{Rel}(A, B))$ , the action on Hom-sets

$$(\triangleright_J)_{(S,R),(S',R')}: \text{Hom}_{\mathbf{Rel}(A,B)}(S, S') \times \text{Hom}_{\mathbf{Rel}(A,B)}(R, R') \rightarrow \text{Hom}_{\mathbf{Rel}(A,B)}(S \triangleright_J R, S' \triangleright_J R')$$

of  $\triangleright_J$  at  $((S, R), (S', R'))$  is defined by<sup>1</sup>



for each  $\beta \in \text{Hom}_{\mathbf{Rel}(A,B)}(S, S')$  and each  $\alpha \in \text{Hom}_{\mathbf{Rel}(A,B)}(R, R')$ .

<sup>1</sup>Since  $\mathbf{Rel}(A, B)$  is posetal, this is to say that if  $S \subset S'$  and  $R \subset R'$ , then  $S \triangleright_J R \subset S' \triangleright_J R'$ .

### 5.6.3.2 The Right Skew Monoidal Unit

#### DEFINITION 5.6.3.2 ► THE RIGHT $J$ -SKEW MONOIDAL UNIT OF $\mathbf{Rel}(A, B)$

00ND The **right  $J$ -skew monoidal unit of  $\mathbf{Rel}(A, B)$**  is the functor

$$\mathbb{M}_{\triangleright}^{\mathbf{Rel}(A,B)} : \text{pt} \rightarrow \mathbf{Rel}(A, B)$$

picking the object

$$\mathbb{M}_{\mathbf{Rel}(A,B)}^{\triangleright} \stackrel{\text{def}}{=} J$$

of  $\mathbf{Rel}(A, B)$ .

### 5.6.3.3 The Right Skew Associators

#### DEFINITION 5.6.3.3 ► THE RIGHT $J$ -SKEW ASSOCIATOR OF $\mathbf{Rel}(A, B)$

00NF The **right  $J$ -skew associator of  $\mathbf{Rel}(A, B)$**  is the natural transformation

$$\alpha^{\mathbf{Rel}(A,B), \triangleright} : \triangleright_J \circ (\text{id} \times \triangleright_J) \Rightarrow \triangleright_J \circ (\triangleright_J \times \text{id}),$$

whose component

$$\alpha_{T,S,R}^{\mathbf{Rel}(A,B), \triangleright} : \underbrace{T \triangleright_J (S \triangleright_J R)}_{\stackrel{\text{def}}{=} \text{Ran}_J(T) \diamond (\text{Ran}_J(S) \diamond R)} \hookrightarrow \underbrace{((T \triangleright_J S) \triangleright_J R)}_{\stackrel{\text{def}}{=} \text{Ran}_J(\text{Ran}_J(T) \diamond S) \diamond R}$$

at  $(T, S, R)$  is given by

$$\alpha_{T,S,R}^{\mathbf{Rel}(A,B), \triangleright} \stackrel{\text{def}}{=} \gamma \diamond \text{id}_R,$$

where

$$\gamma: \text{Ran}_J(T) \diamond \text{Ran}_J(S) \hookrightarrow \text{Ran}_J(\text{Ran}_J(T) \diamond S)$$

is the inclusion adjunct to the inclusion

$$\begin{aligned} \text{id}_{\text{Ran}_J(T)} \diamond \epsilon_S: \text{Ran}_J(T) \diamond \text{Ran}_J(S) \diamond J &\hookrightarrow \text{Ran}_J(T) \diamond S \\ &\stackrel{\text{def}}{=} J^*(\text{Ran}_J(T) \diamond \text{Ran}_J(S)) \end{aligned}$$

under the adjunction  $J^* \dashv \text{Ran}_J$ , where  $\epsilon: \text{Ran}_J \diamond J \implies \text{id}_{\text{Rel}(A,B)}$  is the counit of the adjunction  $J^* \dashv \text{Ran}_J$ .

#### 5.6.3.4 The Right Skew Left Unitors

##### DEFINITION 5.6.3.4 ► THE RIGHT $J$ -SKEW LEFT UNITOR OF $\text{REL}(A, B)$

00NH

The **right  $J$ -skew left unitor of  $\text{Rel}(A, B)$**  is the natural transformation

$$\lambda^{\text{Rel}(A,B), \triangleright}: \text{id} \implies \triangleright_J \circ (\wp_{\triangleright}^{\text{Rel}(A,B)} \times \text{id}),$$

whose component

$$\lambda_R^{\text{Rel}(A,B), \triangleright}: R \hookrightarrow \underbrace{J \triangleright_J R}_{\stackrel{\text{def}}{=} \text{Ran}_J(J) \diamond R}$$

at  $R$  is given by

$$\lambda_R^{\text{Rel}(A,B), \triangleright} \stackrel{\text{def}}{=} \sigma \diamond \text{id}_R,$$

where  $\sigma: \text{id}_B \implies \text{Ran}_J(J)$  is the universal transformation included in the data of the right Kan extension  $\text{Ran}_J(J)$ .

#### 5.6.3.5 The Right Skew Right Unitors

##### DEFINITION 5.6.3.5 ► THE RIGHT $J$ -SKEW RIGHT UNITOR OF $\text{REL}(A, B)$

00NK

The **right  $J$ -skew right unitor of  $\text{Rel}(A, B)$**  is the natural transformation

$$\rho^{\text{Rel}(A,B), \triangleright}: \triangleright_J \circ (\text{id} \times \wp_{\triangleright}^{\text{Rel}(A,B)}) \implies \text{id},$$

whose component

$$\rho_S^{\text{Rel}(A,B), \triangleright}: \underbrace{S \triangleright_J J}_{\stackrel{\text{def}}{=} \text{Ran}_J(S) \diamond J} \hookrightarrow S$$

at  $S$  is given by

$$\rho_S^{\mathbf{Rel}(A,B), \triangleright} \stackrel{\text{def}}{=} \epsilon_R,$$

where  $\epsilon: \text{Ran}_J \diamond J \implies \text{id}_{\mathbf{Rel}(A,B)}$  is the counit of the adjunction  $J^* \dashv \text{Ran}_J$ .

### 5.6.3.6 The Right Skew Monoidal Structure on $\mathbf{Rel}(A, B)$

#### DEFINITION 5.6.3.6 ► THE RIGHT $J$ -SKEW MONOIDAL STRUCTURE ON $\mathbf{REL}(A, B)$

**00NM** The **right  $J$ -skew monoidal category of functors from  $A$  to  $B$**  is the right skew monoidal category

$$\left( \mathbf{Rel}(A, B), \triangleright_J, \mathbb{1}_\triangleright^{\mathbf{Rel}(A, B)}, \alpha^{\mathbf{Rel}(A, B), \triangleright}, \lambda^{\mathbf{Rel}(A, B), \triangleright}, \rho^{\mathbf{Rel}(A, B), \triangleright} \right)$$

consisting of

- *The Underlying Category.* The posetal category associated to the poset  $\mathbf{Rel}(A, B)$  of relations from  $A$  to  $B$  of Item 2 of Definition 5.1.1.2;
- *The Skew Monoidal Product.* The functor  $\triangleright_J$  of Definition 5.6.3.1;
- *The Skew Monoidal Unit.* The functor  $\mathbb{1}_\triangleright^{\mathbf{Rel}(A, B)}$  of Definition 5.6.3.2;
- *The Skew Associators.* The natural transformation  $\alpha^{\mathbf{Rel}(A, B), \triangleright}$  of Definition 5.6.3.3;
- *The Skew Left Unitors.* The natural transformation  $\lambda^{\mathbf{Rel}(A, B), \triangleright}$  of Definition 5.6.3.4;
- *The Skew Right Unitors.* The natural transformation  $\rho^{\mathbf{Rel}(A, B), \triangleright}$  of Definition 5.6.3.5.

### 5.6.4 Right Relative Preorders

**00NN** Let  $A$  and  $B$  be sets and let  $J: A \rightarrow B$  be a relation.

#### DEFINITION 5.6.4.1 ► RIGHT $J$ -RELATIVE PREORDERS

**00NP** A **right  $J$ -relative preorder from  $A$  to  $B$**  is equivalently:

- An  $\mathbb{E}_1$ -skew monoid in  $(N_\bullet(\mathbf{Rel}(A, B)), \triangleright_J, J)$ ;
- A skew monoid in  $(\mathbf{Rel}(A, B), \triangleright_J, J)$ .

**REMARK 5.6.4.2 ► UNWINDING DEFINITION 5.6.4.1, I**

00NQ

In detail, a **right  $J$ -relative preorder**  $(R, \mu_R, \eta_R)$  **from  $A$  to  $B$**  consists of

- *The Underlying Relation.* A relation

$$R: A \rightarrow B,$$

called the **underlying relation** of  $(R, \mu_R, \eta_R)$ ;

- *The Multiplication Inclusion.* An inclusion of relations

$$\mu_R: R \triangleright_J R \subset R,$$

called the **multiplication** of  $(R, \mu_R, \eta_R)$ ;

- *The Unit Inclusion.* An inclusion of relations

$$\eta_R: J \subset R,$$

called the **unit** of  $(R, \mu_R, \eta_R)$ .

**REMARK 5.6.4.3 ► UNWINDING DEFINITION 5.6.4.1, II**

00NR

In other words, a **right  $J$ -relative preorder from  $A$  to  $B$**  is a relation  $R: A \rightarrow B$  from  $A$  to  $B$  satisfying the following conditions:

1.  *$J$ -Transitivity.* For each  $a \in A$  and each  $c \in B$ , we have

$$a \sim_{\text{Ran}_J(R) \diamond R} c,$$

i.e. the following condition is satisfied:<sup>1</sup>

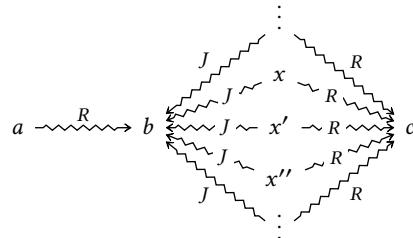
- (★) If there exists some  $b \in B$  such that:

- We have  $a \sim_R b$ ;
  - We have  $b \sim_{\text{Ran}_J(R)} c$ , i.e. for each  $x \in A$ , if  $x \sim_J b$ , then  $x \sim_R c$ ;<sup>2</sup>
- then  $a \sim_R c$ .

2.  *$J$ -Unitality.* For each  $a \in A$  and each  $b \in B$ , the following condition is satisfied:

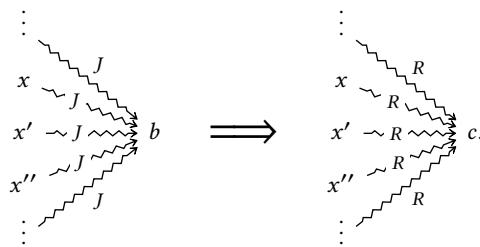
- (★) If  $a \sim_J b$ , then  $a \sim_R b$ .

<sup>1</sup>Illustration: If we have



then  $a \sim_R c$ .

<sup>2</sup>Illustration:



# Appendices

## 5.A Other Chapters

### Sets

1. Sets
2. Constructions With Sets
3. Pointed Sets
4. Tensor Products of Pointed Sets
5. Relations
6. Spans
7. Posets

### Indexed and Fibred Sets

7. Indexed Sets
8. Fibred Sets

### Un/Straightening for Indexed and Fibred Sets

### Category Theory

11. Categories
12. Types of Morphisms in Categories
13. Adjunctions and the Yoneda Lemma
14. Constructions With Categories
15. Profunctors
16. Cartesian Closed Categories
17. Kan Extensions

### Bicategories

18. Bicategories
19. Internal Adjunctions

- Internal Category Theory**
- 20. Internal Categories
- Cyclic Stuff**
- 21. The Cycle Category
- Cubical Stuff**
- 22. The Cube Category
- Globular Stuff**
- 23. The Globe Category
- Cellular Stuff**
- 24. The Cell Category
- Monoids**
- 25. Monoids
  - 26. Constructions With Monoids
- Monoids With Zero**
- 27. Monoids With Zero
  - 28. Constructions With Monoids With Zero
- Groups**
- 29. Groups
  - 30. Constructions With Groups
- Hyper Algebra**
- 31. Hypermonoids
  - 32. Hypergroups
- Near-Rings**
- 33. Hypersemirings and Hyperrings
  - 34. Quantales
- Real Analysis**
- 35. Near-Semirings
  - 36. Near-Rings
  - 37. Real Analysis in One Variable
  - 38. Real Analysis in Several Variables
- Measure Theory**
- 39. Measurable Spaces
  - 40. Measures and Integration
- Probability Theory**
- 40. Probability Theory
- Stochastic Analysis**
- 41. Stochastic Processes, Martingales, and Brownian Motion
  - 42. Itô Calculus
  - 43. Stochastic Differential Equations
- Differential Geometry**
- 44. Topological and Smooth Manifolds
- Schemes**
- 45. Schemes

# Chapter 6

## Spans

**00NS** This chapter contains some material about spans. Notably, we discuss and explore:

1. The basic definitions around spans ([Section 6.1](#));
2. The relation between spans and functions ([Proposition 6.8.1.1](#));
3. The relation between spans and relations ([Propositions 6.8.2.4](#) and [6.8.3.1](#) and [Remark 6.8.5.1](#)).
4. “Hyperpointed sets” (??). I don’t know why I wrote this...

TODO:

1. [https://www.sciencedirect.com/science/article/pii/0022404994900094?ref=pdf\\_download&fr=RR-2&rr=834107b75c906aa4](https://www.sciencedirect.com/science/article/pii/0022404994900094?ref=pdf_download&fr=RR-2&rr=834107b75c906aa4)
2. <https://arxiv.org/abs/1605.08100>
3. <https://arxiv.org/abs/1603.08181>
4. <https://arxiv.org/abs/1601.02307>
5. <https://arxiv.org/abs/1507.01460>
6. <https://arxiv.org/abs/1506.08870>
7. <https://arxiv.org/abs/1505.00048>
8. <https://arxiv.org/abs/1501.07592>
9. <https://arxiv.org/abs/1501.04664>
10. <https://arxiv.org/abs/1501.00792>
11. <https://arxiv.org/abs/1412.6560>
12. <https://arxiv.org/abs/1412.0212>

13. <https://arxiv.org/abs/1409.0837>
14. <https://arxiv.org/abs/1408.5220>
15. <https://arxiv.org/abs/1308.6548>
16. <https://arxiv.org/abs/1304.0219>
17. <https://arxiv.org/abs/1210.8192>
18. <https://arxiv.org/abs/1210.1433>
19. <https://arxiv.org/abs/1201.3789>
20. <https://arxiv.org/abs/1112.0560>
21. <https://arxiv.org/abs/1109.1598>
22. <https://arxiv.org/abs/1101.4594>
23. <https://arxiv.org/abs/1012.6001>
24. <https://arxiv.org/abs/1011.3243>
25. <https://arxiv.org/abs/0910.2996>
26. <https://arxiv.org/abs/0810.2361>
27. <https://arxiv.org/abs/0803.2429>
28. <https://arxiv.org/abs/0712.2525>
29. <https://arxiv.org/abs/0706.1286>
30. <https://arxiv.org/abs/math/0611930>
31. <https://arxiv.org/abs/2311.15342>
32. <https://arxiv.org/abs/2310.19428>
33. <https://arxiv.org/abs/2309.08084>
34. <https://arxiv.org/abs/2308.01662>
35. <https://arxiv.org/abs/2301.11860>
36. <https://arxiv.org/abs/2301.01199>
37. <https://arxiv.org/abs/2212.09060>
38. <https://arxiv.org/abs/2208.07183>
39. <https://arxiv.org/abs/2205.06892>

40. <https://arxiv.org/abs/2203.16179>
41. <https://arxiv.org/abs/2201.09551>
42. <https://arxiv.org/abs/2112.04599>
43. <https://arxiv.org/abs/2111.10968>
44. <https://arxiv.org/abs/2107.07621>
45. <https://arxiv.org/abs/2106.14743>
46. <https://arxiv.org/abs/2105.14654>
47. <https://arxiv.org/abs/2102.08051>
48. <https://arxiv.org/abs/2102.04386>
49. <https://arxiv.org/abs/2101.06734>
50. <https://arxiv.org/abs/2011.11042>
51. <https://arxiv.org/abs/2010.15722>
52. <https://arxiv.org/abs/2006.10375>
53. <https://arxiv.org/abs/2006.10375>
54. <https://arxiv.org/abs/2005.10496>
55. <https://arxiv.org/abs/2003.11541>
56. <https://arxiv.org/abs/2002.10334>
57. <https://arxiv.org/abs/1909.00069>
58. <https://arxiv.org/abs/1907.02695>
59. <https://arxiv.org/abs/1905.06671>
60. define a relational span
61. consider giving Ran and Rift their dedicated sections on the relations chapter,  
perhaps together with the other sections on co/limits
62. <https://arxiv.org/abs/1710.02742>
63. <https://arxiv.org/search/math?searchtype=author&query=Walker,+Charles>
64. <https://arxiv.org/abs/1706.09575>
65. <https://arxiv.org/abs/1710.01465>

- 
- 66. fibred categories: <https://arxiv.org/abs/1806.02376>
  - 67. <https://arxiv.org/abs/1806.10477v2>
  - 68. double categorical limits in  $\mathbf{Rel}^{\mathrm{dbl}}$
  - 69. double categorical limits in  $\mathbf{Span}^{\mathrm{dbl}}$
  - 70. internal adjoint equivalences in **Rel**
  - 71. internal adjoint equivalences in  $\mathbf{Span}$
  - 72. 2-categorical limits in **Rel**;
  - 73. morphism of internal adjunctions in **Rel**;
  - 74. morphism of internal adjunctions in  $\mathbf{Span}$ ;
  - 75. morphism of co/monads in  $\mathbf{Span}$ ;
  - 76. What is  $\mathrm{Adj}(\mathbf{Span}(A, B))$ ?
  - 77. monoids, comonoids, pseudomonoids, etc. in  $\mathbf{Span}$ .
  - 78. write down the dumb intuition about spans inducing morphisms  $\mathrm{Sets}(S, A) \rightarrow \mathrm{Sets}(S, B)$  instead of  $\mathcal{P}(A) \rightarrow \mathcal{P}(B)$  from the similarity between

$$S \rightarrow A \times B$$

and

$$A \times B \rightarrow \{t, f\}.$$

This intuition is justified by taking  $A = \mathrm{pt}$  or  $B = \mathrm{pt}$ .

- 79. What about using the direct image with compact support in  $g(f^{-1}(a))$ ?
- 80. Monads in  $\mathbf{Span}$  | develop this in the level of morphisms too
- 81. Comonads in  $\mathbf{Span}$  are spans whose legs are equal | develop this in the level of morphisms too
- 82. Does  $\mathbf{Span}$  have an internal **Hom**?
- 83. Examples of spans
- 84. Functional and total spans
- 85. closed symmetric monoidal category of spans
- 86. double category of relations
- 87. collage of a span

- 
88. equivalence spans?
89. functoriality of powersets for spans
90. Is  $\text{Span}$  a closed bicategory?
91. skew monoidal structure on  $\text{Span}(A, B)$
92. Adjunctions in  $\text{Span}$
93. Isomorphisms in  $\text{Span}$
94. Equivalences in  $\text{Span}$
95. Interaction between the above notions in  $\text{Span}$  vs. in **Rel** via the comparison functors
96.  $\text{Hom}_C(S, A) \times \text{Hom}_C(f^*(S), A)$ .
97. Proof of non-existence of left Kan extensions/lifts in **Rel** (when do these exist btw?)
98. description of unitors and associators of span
99. add intuition for spans as relations with multiple witnesses:

(a) Given a span  $A \xleftarrow{f} S \xrightarrow{g} B$ , we have a functor

$$\text{St}(S): (A \times B)_{\text{disc}} \rightarrow \text{Sets}$$

given by

$$\begin{aligned} [\text{St}(S)](a, b) &\stackrel{\text{def}}{=} \text{St}(S)_{ab} \\ &\stackrel{\text{def}}{=} \{s \in S \mid f(s) = a \text{ and } g(s) = b\}. \end{aligned}$$

(b) Given a functor

$$S: (A \times B)_{\text{disc}} \rightarrow \text{Sets},$$

we have a map of sets

$$\text{Un}(S): \coprod_{(a,b) \in A \times B} S(a, b) \rightarrow A \times B,$$

determining a span from  $A$  to  $B$ .

- (c) How do these interact with left/right Kan extensions/lifts?
- (d) Un/straightening for spans of categories: assignment  $(a, b) \mapsto \text{Wits}_S(a, b)$ .
- (e) Fix the TODO below

---

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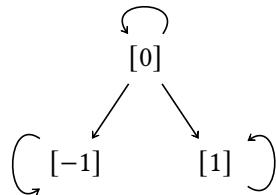
## 6.1 Spans

### 6.1.1 The Walking Span

**DEFINITION 6.1.1.1 ► THE WALKING SPAN**

00NV

The **walking span** is the category  $\Lambda$  that looks like this:



### 6.1.2 Spans

Let  $A$  and  $B$  be sets.

**DEFINITION 6.1.2.1 ► SPANS**

00NX

A **span from  $A$  to  $B$** <sup>1</sup> is a functor  $F: \Lambda \rightarrow \text{Sets}$  such that

$$\begin{aligned} F([-1]) &= A, \\ F([1]) &= B. \end{aligned}$$

<sup>1</sup>Further Terminology: Also called a **roof from  $A$  to  $B$**  or a **correspondence from  $A$  to  $B$** .

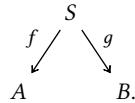
**REMARK 6.1.2.2 ► UNWINDING DEFINITION 6.1.2.1**

00NY

In detail, a **span from  $A$  to  $B$**  is a triple  $(S, f, g)$  consisting of<sup>1,2</sup>

- *The Underlying Set.* A set  $S$ , called the **underlying set of  $(S, f, g)$** ;
- *The Legs.* A pair of functions  $f: S \rightarrow A$  and  $g: S \rightarrow B$ .

<sup>1</sup>Picture:



<sup>2</sup>Every span  $(S, f, g)$  from  $A$  to  $B$  determines in particular a relation  $R: A \rightarrow B$  via

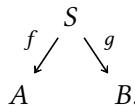
$$R \stackrel{\text{def}}{=} \{(f(a), g(a)) \mid a \in A\},$$

i.e. where  $R(a) = g(f^{-1}(a))$  for each  $a \in A$ ; see [Proposition 6.8.2.4](#).

#### REMARK 6.1.2.3 ► SPANS AS RELATIONS WITH MULTIPLE WITNESSES

00NZ

A span



from  $A$  to  $B$  may be thought of as a relation from  $A$  to  $B$  which can relate an element  $a \in A$  to an element  $b \in B$  in multiple ways via  $f$  and  $g$ , with the “set of witnesses of  $a \sim_S b$ ” being given by

$$\text{Wits}_S(a, b) \stackrel{\text{def}}{=} \{s \in S \mid a = f(s) \text{ and } g(s) = b\}.$$

This analogy is made precise by [Remark 6.8.5.1](#) and [Section 6.7](#).

### 6.1.3 Morphisms of Spans

#### DEFINITION 6.1.3.1 ► MORPHISMS OF SPANS

00P1

A **morphism of spans** from  $(R, f_1, g_1)$  to  $(S, f_2, g_2)$ <sup>1</sup> is a natural transformation  $(R, f_1, g_1) \Rightarrow (S, f_2, g_2)$ .

<sup>1</sup>Further Terminology: Also called a **morphism of roofs** from  $(R, f_1, g_1)$  to  $(S, f_2, g_2)$  or a **morphism of correspondences** from  $(R, f_1, g_1)$  to  $(S, f_2, g_2)$ .

## REMARK 6.1.3.2 ► UNWINDING DEFINITION 6.1.3.1

00P2 In detail, a **morphism of spans** from  $(R, f_1, g_1)$  to  $(S, f_2, g_2)$  is a function  $\phi: R \rightarrow S$  making the diagram<sup>1</sup>

$$\begin{array}{ccccc} & R & & S & \\ f_1 \swarrow & & \searrow g_1 & \nearrow \phi & \searrow g_2 \\ A & \xleftarrow{\quad} & B & \xleftarrow{\quad} & A \\ & \searrow & \nearrow f_2 & \searrow & \nearrow g_2 \\ & & B & & \end{array}$$

commute.

<sup>1</sup>Alternative Picture:

$$\begin{array}{ccccc} & R & & B & \\ f_1 \swarrow & \downarrow \phi & \searrow g_1 & & \\ A & & S & & \\ f_2 \swarrow & \uparrow & \nearrow g_2 & & \end{array}$$

## 6.1.4 Functional Spans

Let  $\lambda = \left( A \xleftarrow{f} S \xrightarrow{g} B \right)$  be a span.

## DEFINITION 6.1.4.1 ► FUNCTIONAL SPANS

00P4 The span  $\lambda$  is **functional** if  $f$  the following equivalent conditions are satisfied:

1. The associated relation  $g \circ f^{-1}$  of  $\lambda$  is functional.
2. For each  $s, t \in S$ , if  $f(s) = f(t)$ , then  $g(s) = g(t)$ .
3. this “ $f$ -relative injectivity” condition is the same as being a monomorphism/monoid/whatever in nice category | maybe this is the same as being a skew monoid in  $\text{Span}(A, B)$  or something?

1. a<sup>1</sup>

<sup>1</sup>Here we could perhaps also use the direct image with compact support  $g_!$  of  $g$  (see ??) instead of the usual direct image, although the expression for  $g_!(f^{-1}(a))$  seems a bit weird. It can also actually

be given as a right Kan extension (?? of ??):

$$\begin{aligned} g_!(f^{-1}(a)) &= g_!(\{s \in S \mid f(s) = a\}) \\ &= \{b \in B \mid g^{-1}(b) \subset \{s \in S \mid f(s) = a\}\} \\ &= \{b \in B \mid \text{for each } s \in S, \text{if } g(s) = b, \text{ then } f(s) = a\} \\ &= [\text{Ran}_g^\dagger(f)](a) \end{aligned}$$

as in the diagram

$$\begin{array}{ccc} \text{Ran}_g^\dagger(f): A \nrightarrow B & & \\ \swarrow \quad \downarrow \quad \searrow & \downarrow & \downarrow \text{Ran}_g(f) \\ S & \xrightarrow{f} & A. \end{array}$$

#### DEFINITION 6.1.4.2 ► TOTAL SPANS

00P5 The span  $\lambda$  is **total** if  $f$  is surjective.

Let  $\lambda = (A \xleftarrow{f} S \xrightarrow{g} B)$  be a span. A morphism of spans from  $\text{id}_A$  to  $\lambda \diamond \lambda^\dagger$  is a morphism

$$s: A \rightarrow S \times_B S$$

making the diagram

$$\begin{array}{ccccc} & & A & & \\ & \swarrow \text{id}_A & \downarrow s & \searrow \text{id}_A & \\ A & & S \times_B S & & A \\ & \nwarrow f \circ \text{pr}'_1 & \downarrow & \nearrow f \circ \text{pr}'_2 & \\ & & S \times_B S & & \end{array}$$

commute, where  $S \times_B S$  is the pullback

$$\begin{array}{ccc} S \times_B S & \longrightarrow & S \\ \downarrow \lrcorner & & \downarrow g \\ S & \xrightarrow{g} & B \end{array}$$

$$S \times_B S \cong \{(s, t) \in S \times S \mid g(s) = g(t)\}$$

of  $S$  with itself along  $g$ . In particular,  $\text{pr}_1 \circ s$  and  $\text{pr}_2 \circ s$  are both left-inverses/retractions for  $f$ , i.e. we have

$$\begin{aligned} (\text{pr}_1 \circ s) \circ f &\cong \text{id}_A, \\ (\text{pr}_2 \circ s) \circ f &\cong \text{id}_A. \end{aligned}$$

Thus, by ?? of ??,  $f$  is injective if  $A \neq \emptyset$ .

### 6.1.5 Total Spans

## 6.2 Categories of Spans

### 6.2.1 The Category of Spans Between Two Sets

Let  $A$  and  $B$  be sets.

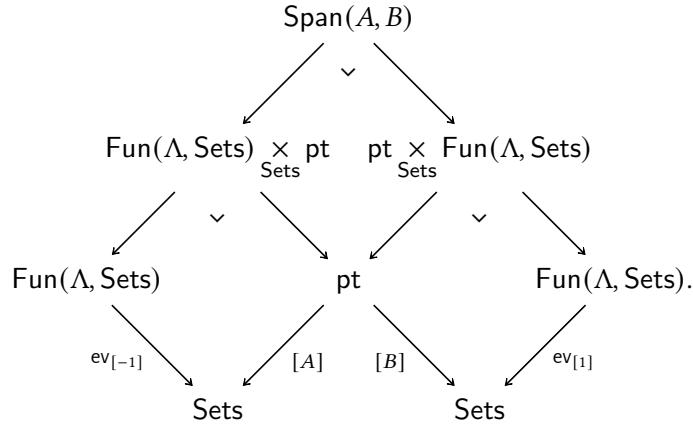
#### DEFINITION 6.2.1.1 ► THE CATEGORY OF SPANS FROM $A$ TO $B$

00P9

The **category of spans from  $A$  to  $B$**  is the category  $\text{Span}(A, B)$  defined by

$$\text{Span}(A, B) \stackrel{\text{def}}{=} \text{Fun}(\Lambda, \text{Sets}) \times_{\text{ev}_{[-1]}, \text{Sets}, [A]} \text{pt} \times_{[B], \text{Sets}, \text{ev}_{[1]}} \text{Fun}(\Lambda, \text{Sets}),$$

as in the diagram



#### REMARK 6.2.1.2 ► UNWINDING DEFINITION 6.2.1.1

00PA

In detail, the **category of spans from  $A$  to  $B$**  is the category  $\text{Span}(A, B)$  where

- *Objects.* The objects of  $\text{Span}(A, B)$  are spans from  $A$  to  $B$ ;
- *Morphisms.* The morphisms of  $\text{Span}(A, B)$  are morphisms of spans;
- *Identities.* The unit map

$$\mathbb{1}_{(S,f,g)}^{\text{Span}(A,B)} : \text{pt} \rightarrow \text{Hom}_{\text{Span}(A,B)}((S, f, g), (S, f, g))$$

of  $\text{Span}(A, B)$  at  $(S, f, g)$  is defined by<sup>1</sup>

$$\text{id}_{(S,f,g)}^{\text{Span}(A,B)} \stackrel{\text{def}}{=} \text{id}_S;$$

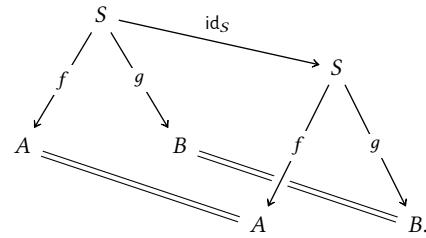
• *Composition.* The composition map

$$\circ_{R,S,T}^{\text{Span}(A,B)} : \text{Hom}_{\text{Span}(A,B)}(S, T) \times \text{Hom}_{\text{Span}(A,B)}(R, S) \rightarrow \text{Hom}_{\text{Span}(A,B)}(R, T)$$

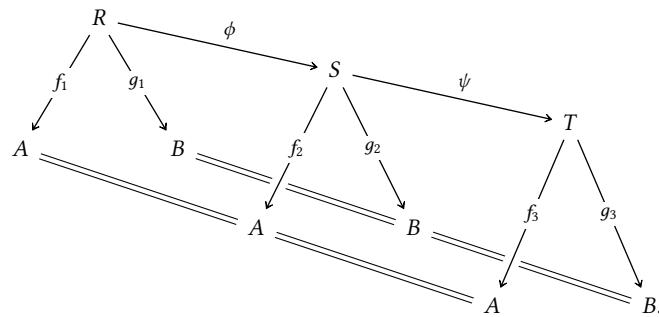
of  $\text{Span}(A, B)$  at  $((R, f_1, g_1), (S, f_2, g_2), (T, f_3, g_3))$  is defined by<sup>2</sup>

$$\psi \circ_{R,S,T}^{\text{Span}(A,B)} \phi \stackrel{\text{def}}{=} \psi \circ \phi.$$

<sup>1</sup>Picture:



<sup>2</sup>Picture:



### PROPOSITION 6.2.1.3 ► PROPERTIES OF THE CATEGORY OF SPANS BETWEEN TWO SETS

00PB Let  $A$  and  $B$  be sets.

00PC 1. As a Pullback. We have an isomorphism of categories

$$\begin{array}{ccc} \text{Span}(A, B) & \longrightarrow & \text{Sets}_{/B} \\ \downarrow & \lrcorner & \downarrow \text{忘} \\ \text{Sets}_{/A} & \longrightarrow & \text{Sets}. \end{array}$$

$$\text{Span}(A, B) \cong \text{Sets}_{/A} \times_{\text{Sets}} \text{Sets}_{/B},$$

**PROOF 6.2.1.4 ► PROOF OF PROPOSITION 6.2.1.3****Item 1: As a Pullback**

In detail, the pullback  $\text{Sets}_{/A} \times_{\text{Sets}} \text{Sets}_{/B}$  is the category where

- *Objects.* The objects of  $\text{Sets}_{/A} \times_{\text{Sets}} \text{Sets}_{/B}$  consist of pairs  $((S, f), (S', g))$  of objects of  $\text{Sets}$  consisting of

- A pair  $(S, f)$  in  $\text{Obj}(\text{Sets}_{/A})$  consisting of a set  $S$  and a map  $f: S \rightarrow A$ ;
- A pair  $(S', g)$  in  $\text{Obj}(\text{Sets}_{/B})$  consisting of a set  $S'$  and a map  $g: S' \rightarrow B$ ;

such that

$$\underbrace{\mathfrak{f}(S, f)}_{\stackrel{\text{def}}{=} S} = \underbrace{\mathfrak{f}(S', g)}_{\stackrel{\text{def}}{=} S'}.$$

Thus the objects of  $\text{Sets}_{/A} \times_{\text{Sets}} \text{Sets}_{/B}$  are the same as spans from  $A$  to  $B$ .

- *Morphisms.* A morphism of  $\text{Sets}_{/A} \times_{\text{Sets}} \text{Sets}_{/B}$  from  $(S, f, g)$  to  $(S', f', g')$  consists of a pair of morphisms

$$\begin{aligned}\phi: S &\rightarrow S' \\ \psi: S &\rightarrow S'\end{aligned}$$

such that the diagrams

$$\begin{array}{ccc} S & \xrightarrow{\phi} & S' \\ f \searrow & & \swarrow f' \\ A & & B \end{array} \quad \begin{array}{ccc} S & \xrightarrow{\psi} & S' \\ g \searrow & & \swarrow g' \\ B & & A \end{array}$$

such that

$$\underbrace{\mathfrak{f}(\phi)}_{\stackrel{\text{def}}{=} \phi} = \underbrace{\mathfrak{f}(\psi)}_{\stackrel{\text{def}}{=} \psi}.$$

Thus the morphisms of  $\text{Sets}_{/A} \times_{\text{Sets}} \text{Sets}_{/B}$  are also the same as morphisms of spans from  $(S, f, g)$  to  $(S', f', g')$ .

- *Identities and Composition.* The identities and composition of  $\text{Sets}_{/A} \times_{\text{Sets}} \text{Sets}_{/B}$  are also the same as those in  $\text{Span}(A, B)$ .

This finishes the proof. ■

**6.2.2 The Bicategory of Spans**

**DEFINITION 6.2.2.1 ► THE BICATEGORY OF SPANS**

00PE

The **bicategory of spans** is the bicategory Span where

- *Objects.* The objects of Span are sets;
- *Hom-Categories.* For each  $A, B \in \text{Obj}(\text{Span})$ , we have

$$\text{Hom}_{\text{Span}}(A, B) \stackrel{\text{def}}{=} \text{Span}(A, B);$$

- *Identities.* For each  $A \in \text{Obj}(\text{Span})$ , the unit functor

$$\mathbb{1}_A^{\text{Span}} : \text{pt} \rightarrow \text{Span}(A, A)$$

of Span at  $A$  is the functor picking the span  $(A, \text{id}_A, \text{id}_A)$ :

$$\begin{array}{ccc} & A & \\ \text{id}_A \swarrow & & \searrow \text{id}_A \\ A & & A. \end{array}$$

- *Composition.* For each  $A, B, C \in \text{Obj}(\text{Span})$ , the composition bifunctor

$$\circ_{A,B,C}^{\text{Span}} : \text{Span}(B, C) \times \text{Span}(A, B) \rightarrow \text{Span}(A, C)$$

of Span at  $(A, B, C)$  is the bifunctor where

- *Action on Objects.* The composition of two spans

$$\begin{array}{ccc} & R & \\ f_1 \swarrow & & \searrow g_1 \\ A & & B \end{array} \quad \text{and} \quad \begin{array}{ccc} & S & \\ f_2 \swarrow & & \searrow g_2 \\ B & & C \end{array}$$

is the span  $(R \times_B S, f_1 \circ \text{pr}_1, g_2 \circ \text{pr}_2)$ , constructed as in the diagram

$$\begin{array}{ccccc} & & R \times_B S & & \\ & \nearrow f_1 \circ \text{pr}_1 & \downarrow \text{pr}_1 & \searrow \text{pr}_2 & \\ R & & & & S \\ \downarrow f_1 & \nearrow g_1 & & \downarrow f_2 & \nearrow g_2 \\ A & & B & & C \end{array}$$

- *Action on Morphisms.* The horizontal composition of 2-morphisms is defined via functoriality of pullbacks: given morphisms of spans

$$\begin{array}{ccc}
 & R & \\
 f \swarrow & \downarrow \phi & \searrow g \\
 A & & B \\
 \uparrow f' & \downarrow g' & \downarrow \\
 R' & & 
 \end{array} \quad \text{and} \quad
 \begin{array}{ccc}
 & S & \\
 h \swarrow & \downarrow \psi & \searrow k \\
 B & & C \\
 \uparrow h' & \downarrow & \downarrow k' \\
 S' & & 
 \end{array}$$

their horizontal composition is the morphism of spans

$$\begin{array}{ccc}
 & R \times_B S & \\
 f \circ \text{pr}_1 \swarrow & \downarrow \exists! & \searrow k \circ \text{pr}_2 \\
 A & & C \\
 \uparrow h' \circ \text{pr}'_1 & \downarrow & \downarrow k' \circ \text{pr}'_2 \\
 R' \times_B S' & & 
 \end{array}$$

constructed as in the diagram

$$\begin{array}{ccccc}
 & R \times_B S & & & \\
 & \swarrow \text{pr}_1 & \downarrow \exists! & \searrow \text{pr}_2 & \\
 & R & & S & \\
 & \swarrow f & \downarrow g & \searrow h & \searrow k \\
 A & & B & & C \\
 \uparrow f' & \uparrow g' & \uparrow h' & \uparrow k' & \uparrow \\
 R' & & S' & & 
 \end{array}$$

- *Associators and Unitors.* The associator and unitors are defined using the universal property of the pullback.

### 6.2.3 The Monoidal Bicategory of Spans

#### 6.2.4 The Double Category of Spans

**DEFINITION 6.2.4.1 ► THE DOUBLE CATEGORY OF SPANS**

00PH

The **double category of spans** is the double category  $\text{Span}^{\text{dbl}}$  where

- *Objects.* The objects of  $\text{Span}^{\text{dbl}}$  are sets;
- *Vertical Morphisms.* The vertical morphisms of  $\text{Span}^{\text{dbl}}$  are functions  $f: A \rightarrow B$ ;
- *Horizontal Morphisms.* The horizontal morphisms of  $\text{Span}^{\text{dbl}}$  are spans  $(S, \phi, \psi): A \dashrightarrow X$ ;
- *2-Morphisms.* A 2-cell

$$\begin{array}{ccc} A & \xrightarrow{(R, \phi_R, \psi_R)} & B \\ f \downarrow & \Downarrow \alpha & \downarrow g \\ X & \xrightarrow{(S, \phi_S, \psi_S)} & Y \end{array}$$

of  $\text{Span}^{\text{dbl}}$  is a morphism of spans from the span

$$\begin{array}{ccccc} & & R & & \\ & \nearrow \phi_R & & \searrow \psi_R & \\ A & & B & & Y \\ & \searrow & \downarrow g & & \end{array}$$

to the span

$$\begin{array}{ccccc} & & A \times_X S & & \\ & \swarrow & \downarrow & \searrow & \\ & A & & S & \\ f \swarrow & \nearrow f & \nearrow \phi_S & \searrow \psi_S & \\ X & & X & & Y \end{array}$$

- *Horizontal Identities.* The horizontal unit functor

$$\mathbb{1}^{\text{Span}^{\text{dbl}}} : \left( \text{Span}^{\text{dbl}} \right)_0 \rightarrow \left( \text{Span}^{\text{dbl}} \right)_1$$

of  $\text{Span}^{\text{dbl}}$  is the functor where

- *Action on Objects.* For each  $A \in \text{Obj}(\left( \text{Span}^{\text{dbl}} \right)_0)$ , we have

$$\mathbb{1}_A \stackrel{\text{def}}{=} (A, \text{id}_A, \text{id}_A),$$

as in the diagram

$$\begin{array}{ccc} & A & \\ \text{id}_A \swarrow & & \searrow \text{id}_A \\ A & & A; \end{array}$$

- *Action on Morphisms.* For each vertical morphism  $f: A \rightarrow B$  of  $\text{Span}^{\text{dbl}}$ , i.e. each map of sets  $f$  from  $A$  to  $B$ , the identity 2-morphism

$$\begin{array}{ccc} A & \xrightarrow{\mathbb{1}_A} & A \\ f \downarrow & \Downarrow \mathbb{1}_f & \downarrow f \\ B & \xrightarrow{\mathbb{1}_B} & B \end{array}$$

of  $f$  is the morphism of spans from

$$\begin{array}{ccc} & A & \\ \text{id}_A \swarrow & & \searrow \text{id}_A \\ A & & A \xrightarrow{f} B \end{array}$$

to

$$\begin{array}{ccccc} & & A \times_B B & & \\ & \swarrow & & \searrow & \\ & A & & B & \\ f \swarrow & & f \searrow & & \\ B & & B & \text{id}_B \swarrow & \searrow \text{id}_B \\ & & & B & \end{array}$$

given by the isomorphism  $A \xrightarrow{\cong} A \times_B B$ ;

- *Vertical Identities.* For each  $A \in \text{Obj}(\text{Span}^{\text{dbl}})$ , we have

$$\text{id}_A^{\text{Span}^{\text{dbl}}} \stackrel{\text{def}}{=} \text{id}_A;$$

- *Identity 2-Morphisms.* For each horizontal morphism  $R: A \rightarrow B$  of  $\text{Span}^{\text{dbl}}$ , the identity 2-morphism

$$\begin{array}{ccc} A & \xrightarrow{S} & B \\ \text{id}_A \downarrow & \parallel & \downarrow \text{id}_B \\ A & \xrightarrow{S} & B \end{array}$$

of  $R$  is the morphism of spans from

$$\begin{array}{ccc} & S & \\ & \swarrow \phi_S & \searrow \psi_S \\ A & & B \\ & & \parallel \text{id}_B \end{array}$$

to

$$\begin{array}{ccccc} & A \times_A S & & & \\ & \swarrow & \downarrow & \searrow & \\ A & \xleftarrow{\text{id}_A} & A & \xleftarrow{\phi_S} & S \\ & \parallel & \parallel & \swarrow & \searrow \psi_S \\ A & & A & & B \end{array}$$

given by the isomorphism  $S \xrightarrow{\cong} A \times_A S$ ;

- *Horizontal Composition.* The horizontal composition functor

$$\odot^{\text{Span}^{\text{dbl}}} : (\text{Span}^{\text{dbl}})_1 \times_{(\text{Span}^{\text{dbl}})_0} (\text{Span}^{\text{dbl}})_1 \rightarrow (\text{Span}^{\text{dbl}})_1$$

of  $\text{Span}^{\text{dbl}}$  is the functor where

- *Action on Objects.* For each composable pair

$$A \xrightarrow{(R, \phi_R, \psi_R)} B \xrightarrow{(S, \phi_S, \psi_S)} C$$

of horizontal morphisms of  $\text{Span}^{\text{dbl}}$ , we have

$$(S, \phi_S, \psi_S) \odot (R, \phi_R, \psi_R) \stackrel{\text{def}}{=} S \circ_{A,B,C}^{\text{Span}} R,$$

where  $S \circ_{A,B,C}^{\text{Span}} R$  is the composition of  $(R, \phi_R, \psi_R)$  and  $(S, \phi_S, \psi_S)$  defined as in [Definition 6.2.2.1](#);

- *Action on Morphisms.* For each horizontally composable pair

$$\begin{array}{ccc} A & \xrightarrow{(R, \phi_R, \psi_R)} & B \\ f \downarrow & \parallel \alpha \downarrow & g \downarrow \\ X & \xrightarrow{(T, \phi_T, \psi_T)} & Y \end{array} \quad \begin{array}{ccc} B & \xrightarrow{(S, \phi_S, \psi_S)} & C \\ g \downarrow & \parallel \beta \downarrow & h \downarrow \\ Y & \xrightarrow{(U, \phi_U, \psi_U)} & Z \end{array}$$

of 2-morphisms of  $\text{Span}^{\text{dbl}}$ ,

- *Vertical Composition of 1-Morphisms.* For each composable pair  $A \xrightarrow{F} B \xrightarrow{G} C$  of vertical morphisms of  $\text{Span}^{\text{dbl}}$ , i.e. maps of sets, we have

$$g \circ^{\text{Span}^{\text{dbl}}} f \stackrel{\text{def}}{=} g \circ f;$$

- *Vertical Composition of 2-Morphisms.* For each vertically composable pair

$$\begin{array}{ccc} A & \xrightarrow{(R, \phi_R, \psi_R)} & X \\ f \downarrow & \parallel \alpha \downarrow & g \downarrow \\ B & \xrightarrow{(S, \phi_S, \psi_S)} & Y \end{array} \quad \begin{array}{ccc} B & \xrightarrow{(S, \phi_S, \psi_S)} & Y \\ h \downarrow & \parallel \beta \downarrow & k \downarrow \\ C & \xrightarrow{(T, \phi_T, \psi_T)} & Z \end{array}$$

of 2-morphisms of  $\text{Span}^{\text{dbl}}$ ,

- *Associators and Unitors.* The associator and unitors of  $\text{Span}^{\text{dbl}}$  are defined using the universal property of the pullback.

### 6.2.5 Properties of The Bicategory of Spans

**PROPOSITION 6.2.5.1 ► PROPERTIES OF THE BICATEGORY OF SPANS**

**00PK** Let  $\lambda = (A \xleftarrow{f} S \xrightarrow{g} B)$  be a span.

**00PL** 1. *Self-Duality.*

**00PM** 2. *Isomorphisms in Span.*

**00PN** 3. *Equivalences in Span.*

**00PP** 4. *Adjunctions in Span.* Let  $A$  and  $B$  be sets.<sup>1</sup>

**00PQ** (a) We have a natural bijection

$$\left\{ \begin{array}{c} \text{Adjunctions in Span} \\ \text{from } A \text{ to } B \end{array} \right\} \cong \left\{ \begin{array}{c} \text{Spans } A \xleftarrow{f} S \xrightarrow{g} B \\ \text{from } A \text{ to } B \text{ with} \\ f \text{ an isomorphism} \end{array} \right\}.$$

**00PR** (b) We have an equivalence of categories

$$\text{MapSpan}(A, B) \stackrel{\text{eq.}}{\cong} \text{Sets}(A, B)_{\text{disc}},$$

where  $\text{MapSpan}(A, B)$  is the full subcategory of  $\text{Span}(A, B)$  spanned by the spans  $A \xleftarrow{f} S \xrightarrow{g} B$  from  $A$  to  $B$  with  $f$  an isomorphism.

**00PS** (c) We have a biequivalence of bicategories

$$\text{MapSpan} \stackrel{\text{eq.}}{\cong} \text{Sets}_{\text{bidisc}},$$

where  $\text{MapSpan}$  is the sub-bicategory of  $\text{Span}$  whose Hom-categories are given by  $\text{MapSpan}(A, B)$ .

**00PT** 5. *Monads in Span.*

**00PU** 6. *Comonads in Span.*

**00PV** 7. *Monomorphisms in Span.*

**00PW** 8. *Epimorphisms in Span.*

**00PX** 9. *Existence of Right Kan Extensions.*

**00PY** 10. *Existence of Right Kan Lifts.*

**00PZ** 11. *Closedness.*

---

<sup>1</sup>In the literature (e.g. [ref]),...are called maps and denoted by  $\text{MapSpan}(A, B)$

**PROOF 6.2.5.2 ► PROOF OF PROPOSITION 6.2.5.1**

Item 1: Self-Duality

Item 2: Isomorphisms in Span

Item 3: Equivalences in Span

Item 4: Adjunctions in Span

We first prove Item 4a.

We proceed step by step:

1. *From Adjunctions in Span to Functions.* An adjunction in Span from  $A$  to  $B$  consists of a pair of spans

$$\begin{array}{ccc} & R & \\ f \swarrow & & \searrow g \\ A & & B \end{array} \quad \text{and} \quad \begin{array}{ccc} & S' & \\ h \swarrow & & \searrow k \\ B & & A \end{array}$$

together with maps

$$\begin{array}{ccc} & A & \\ id_A \swarrow & \downarrow & \searrow id_A \\ A & \phi & A \\ \uparrow & & \downarrow \\ f \circ pr'_1 & \nearrow & \searrow k \circ pr'_2 \\ & S \times_B S' & \end{array} \quad \text{and} \quad \begin{array}{ccc} & S' \times_A S & \\ h \circ pr_1 \swarrow & \downarrow & \searrow g \circ pr_2 \\ B & \psi & B \\ \uparrow & & \downarrow \\ id_B \swarrow & \downarrow & \searrow id_B \\ B & & B \end{array}$$

We claim that these conditions

2. *From Functions to Adjunctions in Rel.*
3. *Invertibility: From Functions to Adjunctions Back to Functions.*
4. *Invertibility: From Adjunctions to Functions Back to Adjunctions.*

We now proceed to the proof of Item 4b. For this, we will construct a functor

$$F: \text{Sets}(A, B)_{\text{disc}} \rightarrow \text{MapSpan}(A, B)$$

and prove it to be essentially surjective and fully faithful, and thus an equivalence by ?? of ???. Indeed, given a map  $f: A \rightarrow B$ , let  $F(f)$  be the representable span

associated to  $f$  of [Definition 6.5.1.1](#), and let  $F$  send the unique (identity) morphism from  $f$  to itself to the identity morphism of  $F(f)$  in  $\text{MapSpan}(A, B)$ . We now prove that  $F$  is fully faithful and essentially surjective:

1.  *$F$  Is Fully Faithful:* Given maps  $f, g: A \rightrightarrows B$ , we need to show that

$$\text{Hom}_{\text{MapSpan}(A, B)}(F(f), F(g)) = \begin{cases} \text{pt} & \text{if } f = g, \\ \emptyset & \text{otherwise.} \end{cases}$$

Indeed, a morphism from  $F(f)$  to  $F(g)$  takes the form

$$\begin{array}{ccc} & A & \\ \swarrow & & \searrow \\ A & \phi & B \\ \swarrow & & \searrow \\ & A & \end{array}$$

From the relations  $\text{id}_A = \text{id}_A \circ \phi$  and  $f = g \circ \phi$ , we see that  $\phi = \text{id}_A$ , and thus from the relation  $f = g \circ \phi$  there is such a morphism iff  $f = g$ .

2.  *$F$  Is Essentially Surjective:* Let  $\lambda$  be a span of the form

$$\begin{array}{ccc} & S & \\ \phi \swarrow & \downarrow & \searrow f \\ A & & B \end{array}$$

we claim that  $\lambda \cong F(f \circ \phi^{-1})$ . Indeed, we have morphisms

$$\begin{array}{ccc} & S & \\ \phi \swarrow & \downarrow & \searrow f \\ A & \phi \downarrow & B \\ \swarrow & \nearrow f \circ \phi^{-1} & \\ & A & \end{array} \quad \text{and} \quad \begin{array}{ccc} & A & \\ \swarrow & \downarrow & \searrow f \circ \phi^{-1} \\ A & \phi^{-1} \downarrow & B \\ \swarrow & \nearrow f & \\ & S & \end{array}$$

inverse to each other in  $\text{MapSpan}(A, B)$ , and thus  $\lambda \cong F(f \circ \phi^{-1})$ .

Finally, we prove [Item 4c](#).

Item 5: Monads in Span

Item 6: Comonads in Span

Item 7: Monomorphisms in Span

Item 8: Epimorphisms in Span

Item 9: Existence of Right Kan Extensions

Item 10: Existence of Right Kan Lifts

Item 11: Closedness



## 6.3 Limits of Spans

### 6.3.1 tmp2

$$\text{Hom}_{\text{Rel}(A,X)}(\text{Lan}_S(R), T) \cong \text{Hom}_{\text{Rel}(B,X)}(R, T \diamond S)$$

1.  $\text{Lan}_S(R) \subset T$ , i.e. if  $a \sim_{\text{Lan}_S(R)} x$ , then  $a \sim_T x$ .
2.  $R \subset T \diamond S$ , i.e. if  $b \sim_R x$ , then there exists some  $a \in A$  such that  $a \sim_S b$  and  $b \sim_T x$ .

### 6.3.2 tmp

$$\begin{array}{ccc}
 & S & \\
 f \swarrow & & \searrow g \\
 A & & B
 \end{array}$$
  

$$\begin{array}{ccc}
 & S' & \\
 \phi_{S'} \swarrow & \searrow \psi_{S'} & \mapsto \quad f^{\circ \text{opr}_1} \swarrow & \searrow \psi_{S'}^{\circ \text{opr}_2} \\
 B & X & & A & X
 \end{array}$$
  

$$\begin{array}{ccc}
 & S'' & \\
 \phi_{S''} \swarrow & \searrow \psi_{S''} & \mapsto \quad ? \swarrow & \searrow ? \\
 A & X & & B & X
 \end{array}$$

$$\text{Hom}_{\text{Span}(A,X)}(S \times_B S', K) \cong \text{Hom}_{\text{Span}(B,X)}(S', R(K))$$

$$\begin{array}{ccc}
 & S \times_B S' & \\
 f \circ \text{opr}_1 \swarrow & \downarrow & \searrow \psi_{S'} \circ \text{opr}_2 \\
 A & \xi \downarrow & X \\
 \phi_{S''} \nearrow & \downarrow & \nearrow \psi_{S''} \\
 S'' & &
 \end{array}
 \quad
 \begin{array}{ccc}
 & S' & \\
 \phi_{S'} \swarrow & \downarrow & \searrow \psi_{S'} \\
 B & \xi^\dagger \downarrow & X \\
 ? \nearrow & \downarrow & \nearrow ? \\
 \Pi_g(S'') & &
 \end{array}$$

### 6.3.3 Left Kan Extensions

Let  $\lambda = (A \xleftarrow{f} S \rightarrow B)$  be a span.

#### PROPOSITION 6.3.3.1 ► LEFT KAN EXTENSIONS IN Span

00Q2

The left Kan extension

$$\text{Lan}_\lambda : \text{Span}(A, X) \rightarrow \text{Span}(B, X)$$

along  $\lambda$  in  $\text{Span}$  exists and is the functor given on objects by sending a span  $\lambda'$  in  $\text{Span}(A, X)$  as in

$$\begin{array}{ccc}
 & S' & \\
 \phi \swarrow & & \searrow \psi \\
 A & & X
 \end{array}$$

to the span

$$\text{Lan}_\lambda(\lambda') \stackrel{\text{def}}{=} (\text{Lan}_\lambda(S'), \text{Lan}_\lambda(\phi), \text{Lan}_\lambda(\psi)),$$

in  $\text{Span}(B, X)$  where

- The set  $\text{Lan}_\lambda(S')$  is given by

$$\begin{aligned}
 \text{Lan}_\lambda(S') &\stackrel{\text{def}}{=} \Sigma_g(S') \\
 &\stackrel{\text{def}}{=} S'
 \end{aligned}$$

where  $\Sigma_g(S')$  is the dependent sum of  $\phi : S' \rightarrow A$  along  $g$  of ??;

- The map  $\text{Lan}_\lambda(\phi) : \text{Lan}_\lambda(S') \rightarrow B$  is given by  $\Sigma_g(\phi)$ ;
- The map  $\text{Lan}_\lambda(\psi) : \text{Lan}_\lambda(S') \rightarrow X$  is given by  $\psi$ .

#### PROOF 6.3.3.2 ► PROOF OF PROPOSITION 6.3.4.1



**6.3.4 Right Kan Extensions**

Let  $\lambda = \left( A \xleftarrow{f} S \xrightarrow{g} B \right)$  be a span.

**PROPOSITION 6.3.4.1 ► RIGHT KAN EXTENSIONS IN Span**

00Q4

The right Kan extension

$$\text{Ran}_\lambda: \text{Span}(A, X) \rightarrow \text{Span}(B, X)$$

along  $\lambda$  in Span exists and is the functor given on objects by sending a span  $\lambda'$  in  $\text{Span}(A, X)$  as in

$$\begin{array}{ccc} & S' & \\ \phi_{S'} \swarrow & & \searrow \psi_{S'} \\ A & & X \end{array}$$

to the span

$$\text{Ran}_\lambda(\lambda') \stackrel{\text{def}}{=} (\text{Ran}_\lambda(S'), \text{Ran}_\lambda(\phi_{S'}), \text{Ran}_\lambda(\psi_{S'})),$$

in  $\text{Span}(B, X)$  where

- The set  $\text{Ran}_\lambda(S')$  is given by

$$\text{Ran}_\lambda(S') \stackrel{\text{def}}{=} \coprod_{b \in B} \prod_{s \in g^{-1}(b)} \phi_{S'}^{-1}(f(s));$$

- The map  $\text{Ran}_\lambda(\phi_{S'}): \text{Ran}_\lambda(S') \rightarrow B$  is given by

$$[\text{Ran}_\lambda(\phi_{S'})](b, (s'_s)_{s \in g^{-1}(b)}) \stackrel{\text{def}}{=} b;$$

for each  $(b, (s'_s)_{s \in g^{-1}(b)}) \in \text{Ran}_\lambda(S')$ ;

- The map  $\text{Ran}_\lambda(\psi_{S'}): \text{Ran}_\lambda(S') \rightarrow X$  is given by

$$[\text{Ran}_\lambda(\psi_{S'})](b, (s'_s)_{s \in g^{-1}(b)}) \stackrel{\text{def}}{=} \psi_{S'}(s'_i)$$

for each  $(b, (s'_s)_{s \in g^{-1}(b)}) \in \text{Ran}_\lambda(S')$ , where the  $i$  in  $s'_i$  denotes any  $s \in g^{-1}(b)$ , as we have  $\psi_{S'}(s'_i) = \psi_{S'}(s'_j)$  for all  $s \in g^{-1}(b)$ .<sup>1</sup>

---

<sup>1</sup>Indeed

**PROOF 6.3.4.2 ► PROOF OF PROPOSITION 6.3.4.1**


### 6.3.5 Right Kan Lifts

(Although right Kan lifts aren't really limits, this is probably the most appropriate to place this section.)

Let  $\lambda = \left( A \xleftarrow{f} S \xrightarrow{g} B \right)$  be a span.

**PROPOSITION 6.3.5.1 ► RIGHT KAN LIFTS IN SPAN**

00Q6

The right Kan lift

$$\text{Rift}_\lambda: \text{Span}(X, B) \rightarrow \text{Span}(X, A)$$

along  $\lambda$  in Span exists and is the functor given on objects by sending a span  $\lambda'$  in  $\text{Span}(X, B)$  as in

$$\begin{array}{ccc} & S' & \\ \phi \swarrow & & \searrow \psi \\ X & & B \end{array}$$

to the span

$$\text{Rift}_\lambda(\lambda') \stackrel{\text{def}}{=} (\text{Rift}_\lambda(S'), \text{Rift}_\lambda(\phi), \text{Rift}_\lambda(\psi)),$$

in  $\text{Span}(X, A)$  where

- The set  $\text{Rift}_\lambda(S')$  is given by

$$\text{Rift}_\lambda(S') \stackrel{\text{def}}{=} \Pi_f(S'),$$

where  $\Pi_f(S')$  is the dependent product of  $\psi: S' \rightarrow A$  along  $f$  of ??;

- The map  $\text{Rift}_\lambda(\phi): \text{Rift}_\lambda(S') \rightarrow X$  is given by  $\phi$ ;
- The map  $\text{Rift}_\lambda(\psi): \text{Rift}_\lambda(S') \rightarrow A$  is given by  $\Pi_f(\psi)$ .

**PROOF 6.3.5.2 ► PROOF OF PROPOSITION 6.3.5.1**


## 6.4 Colimits of Spans

## 6.5 Constructions With Spans

### 6.5.1 Representable Spans

**DEFINITION 6.5.1.1 ► REPRESENTABLE SPANS**

00QA

Let  $f: A \rightarrow B$  be a function.

- The **representable span associated to  $f$**  is the span

$$\begin{array}{ccc} & A & \\ id_A \swarrow & & \searrow f \\ A & & B \end{array}$$

from  $A$  to  $B$ .

- The **corepresentable span associated to  $f$**  is the span

$$\begin{array}{ccc} & A & \\ f \swarrow & & \searrow id_A \\ B & & A \end{array}$$

from  $B$  to  $A$ .

## 6.5.2 Composition of Spans

### DEFINITION 6.5.2.1 ► COMPOSITION OF SPANS

00QC

The **composition** of two spans

$$\begin{array}{ccc} & R & \\ f_1 \swarrow & & \searrow g_1 \\ A & & B \end{array} \quad \text{and} \quad \begin{array}{ccc} & S & \\ f_2 \swarrow & & \searrow g_2 \\ B & & C \end{array}$$

is the span  $(R \times_B S, f_1 \circ \text{pr}_1, g_2 \circ \text{pr}_2)$ , constructed as in the diagram

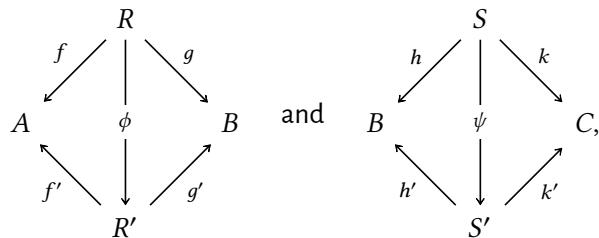
$$\begin{array}{ccccc} & & R \times_B S & & \\ & \nearrow f_1 \circ \text{pr}_1 & \downarrow \text{pr}_1 & \searrow \text{pr}_2 & \\ R & & & & S \\ \downarrow f_1 & \nearrow g_1 & & \downarrow f_2 & \nearrow g_2 \\ A & & B & & C \end{array}$$

## 6.5.3 Horizontal Composition of Morphisms of Spans

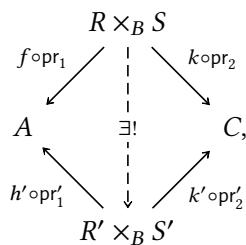
**DEFINITION 6.5.3.1 ► HORIZONTAL COMPOSITION OF MORPHISMS OF SPANS**

00QE

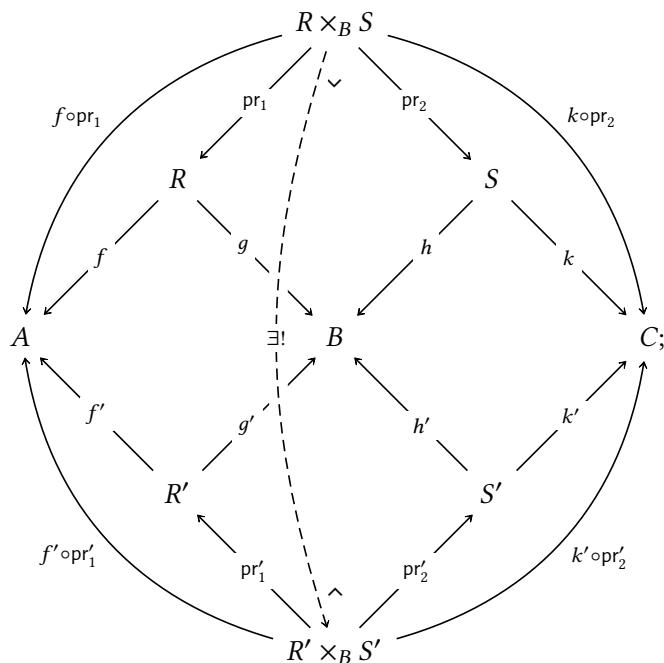
The **horizontal composition** of a pair of 2-morphisms of spans



is the morphism of spans



constructed as in the diagram



#### 6.5.4 Properties of Composition of Spans



00QG

Let  $\lambda = \left( A \xleftarrow{f} S \xrightarrow{g} B \right)$  be a span.

00QH

1. *Functoriality.*

#### PROOF 6.5.4.2 ► PROOF OF PROPOSITION 6.5.4.1



### 6.5.5 The Inverse of a Span

## 6.6 Functoriality of Spans

### 6.6.1 Direct Images

### 6.6.2 Functoriality of Spans on Powersets

## 6.7 Un/Straightening for Spans

### 6.7.1 Straightening for Spans

Let  $A$  and  $B$  be sets and let  $(S, f, g)$  be a span from  $A$  to  $B$ .

#### DEFINITION 6.7.1.1 ► THE STRAIGHTENING OF A SPAN

00QQ

The **straightening** of  $(S, f, g)$  is the  $(A \times B)$ -indexed set

$$\text{St}_{A,B}(S) : (A \times B)_{\text{disc}} \rightarrow \text{Sets}$$

defined as the straightening of  $S$ , viewed as an  $(A \times B)$ -fibred set, as in ??.

#### REMARK 6.7.1.2 ► UNWINDING DEFINITION 6.7.1.1

00QR

In detail,  $\text{St}_{A,B}(S)$  is the  $(A \times B)$ -indexed set defined by<sup>1</sup>

$$\begin{aligned} [\text{St}_{A,B}(S)](a, b) &\stackrel{\text{def}}{=} \text{Wit}_S(a, b) \\ &\stackrel{\text{def}}{=} S_{ab} \\ &\stackrel{\text{def}}{=} \{s \in S \mid f(s) = a \text{ and } g(s) = b\}. \end{aligned}$$

<sup>1</sup>Here we may think of  $\text{Wit}_S(a, b)$  as the “set of witnesses in  $S$  that  $a \sim b$  holds”; see Remark 6.1.2.3.

**PROPOSITION 6.7.1.3 ► PROPERTIES OF STRAIGHTENING FOR SPANS**

**00QS** Let  $A$  and  $B$  be sets and let  $(S, f, g)$  be a span.

**00QT** 1. *Functoriality.* The assignment  $(S, f, g) \mapsto \text{St}_{A,B}(S)$  defines a functor

$$\text{St}_{A,B}: \text{Span}(A, B) \rightarrow \text{ISets}(A \times B)$$

· *Action on Objects.* For each  $(S, f, g) \in \text{Obj}(\text{Span}(A, B))$ , we have

$$[\text{St}_{A,B}](S, f, g) \stackrel{\text{def}}{=} \text{St}_{A,B}(S);$$

· *Action on Morphisms.* For each  $(S_1, f_1, g_1), (S_2, f_2, g_2) \in \text{Obj}(\text{Span}(A, B))$ , the action on Hom-sets

$$\text{St}_{A,B|S_1, S_2}: \text{Hom}_{\text{Span}(A, B)}(S_1, S_2) \rightarrow \text{Hom}_{\text{ISets}(A \times B)}(\text{St}_{A,B}(S_1), \text{St}_{A,B}(S_2))$$

of  $\text{St}_{A,B}$  at  $(S_1, S_2)$  is given by sending a morphism

$$\phi: (S_1, f_1, g_1) \rightarrow (S_2, f_2, g_2)$$

of spans from  $A$  to  $B$  to the morphism

$$\text{St}_{A,B}(\phi): \text{St}_{A,B}(S_1) \rightarrow \text{St}_{A,B}(S_2)$$

of  $(A \times B)$ -indexed sets defined by

$$\text{St}_{A,B}(\phi) \stackrel{\text{def}}{=} \{\phi_{ab}^*\}_{(a,b) \in A \times B},$$

where  $\phi_{ab}^*$  is the transport map associated to  $\phi$  at  $(a, b) \in A \times B$  of ??.

**PROOF 6.7.1.4 ► PROOF OF PROPOSITION 6.7.1.3**

Item 1: Functoriality

This is the special case of ?? of ?? where  $K = A \times B$ .



## 6.7.2 Unstraightening for Spans

Let  $A$  and  $B$  be sets and let  $S: (A \times B)_{\text{disc}} \rightarrow \text{Sets}$  be an  $(A \times B)$ -indexed set.

**DEFINITION 6.7.2.1 ► UNSTRAIGHTENING FOR SPANS**

00QV

The **unstraightening** of  $S$  is the span

$$\begin{array}{ccc} & \text{Un}_{A,B}(S) & \\ f_{\text{Un}_{A,B}(S)} \swarrow & & \searrow g_{\text{Un}_{A,B}(S)} \\ A & & B \end{array}$$

from  $A$  to  $B$  where

$$\text{Un}_{A,B}(S) \stackrel{\text{def}}{=} \coprod_{(a,b) \in A \times B} S(a,b)$$

and where the maps  $f_{\text{Un}_{A,B}(S)}$  and  $g_{\text{Un}_{A,B}(S)}$  are given by

$$\begin{aligned} f_{\text{Un}_{A,B}(S)}((a,b), s) &\stackrel{\text{def}}{=} a, \\ g_{\text{Un}_{A,B}(S)}((a,b), s) &\stackrel{\text{def}}{=} b \end{aligned}$$

for each  $((a,b), s) \in \text{Un}_{A,B}(S)$ .

**PROPOSITION 6.7.2.2 ► PROPERTIES OF UNSTRAIGHTENING FOR SPANS**

00QW

Let  $A$  and  $B$  be sets.

00QX

1. *Functoriality.* The assignment  $S \mapsto \text{Un}_{A,B}(S)$  defines a functor

$$\text{Un}_{A,B}: \text{ISets}(A \times B) \rightarrow \text{Span}(A, B)$$

· *Action on Objects.* For each  $S \in \text{Obj}(\text{ISets}(A \times B))$ , we have

$$[\text{Un}_{A,B}](S) \stackrel{\text{def}}{=} \text{Un}_{A,B}(S);$$

· *Action on Morphisms.* For each  $S, S' \in \text{Obj}(\text{ISets}(A \times B))$ , the action on Hom-sets

$$\text{Un}_{A,B|S,S'}: \text{Hom}_{\text{ISets}(A \times B)}(S, S') \rightarrow \text{Hom}_{\text{Span}(A, B)}(\text{Un}_{A,B}(S), \text{Un}_{A,B}(S'))$$

of  $\text{Un}_{A,B}$  at  $(S, S')$  is defined by

$$\text{Un}_{A,B|S,S'}(f) \stackrel{\text{def}}{=} \coprod_{(a,b) \in A \times B} f_{ab}.$$

00QY

2. *Interaction With Fibres.* Viewing the legs of  $\text{Un}_{A,B}(S)$  as a morphism  $(f, g): \text{Un}_{A,B}(S) \rightarrow A \times B$ , we have a bijection of sets

$$(f, g)^{-1}_{\text{Un}_{A,B}(S)}(a, b) \cong S(a, b)$$

for each  $(a, b) \in A \times B$ .

00QZ

3. As a Pullback. We have a bijection of sets

$$\begin{array}{ccc} \text{Un}_{A,B}(S) & \longrightarrow & \text{Sets}_* \\ \text{Un}_{A,B}(S) \cong (A \times B)_{\text{disc}} \times_{\text{Sets}} \text{Sets}_*, & \downarrow & \downarrow \text{忘} \\ (A \times B)_{\text{disc}} & \xrightarrow[S]{} & \text{Sets}. \end{array}$$

00R0

4. As a Colimit. We have a bijection of sets

$$\text{Un}_{A,B}(S) \cong \text{colim}(S).$$

#### PROOF 6.7.2.3 ► PROOF OF PROPOSITION 6.7.2.2

Item 1: Functoriality

This is the special case of ?? of ?? where  $K = A \times B$ .

Item 2: Interaction With Fibres

This is the special case of ?? of ?? where  $K = A \times B$ .

Item 3: As a Pullback

This is the special case of ?? of ?? where  $K = A \times B$ .

Item 4: As a Colimit

This is the special case of ?? of ?? where  $K = A \times B$ . 

### 6.7.3 The Un/Straightening Equivalence for Spans

#### THEOREM 6.7.3.1 ► UN/STRAIGHTENING FOR SPANS

00R2

We have an isomorphism of categories

$$(\text{St}_{A,B} \dashv \text{Un}_{A,B}) : \quad \text{Span}(A, B) \begin{array}{c} \xrightarrow{\text{St}_{A,B}} \\ \perp \\ \xleftarrow{\text{Un}_{A,B}} \end{array} \text{ISets}(A \times B).$$

#### PROOF 6.7.3.2 ► PROOF OF THEOREM 6.7.3.1

This is the special case of ?? where  $K = A \times B$ . 

## 6.8 Comparison of Spans to Functions and Relations

### 6.8.1 Comparison to Functions

**PROPOSITION 6.8.1.1 ► COMPARISON OF SPANS TO FUNCTIONS**

00R5

We have a pseudofunctor

$$\iota: \text{Sets}_{\text{bidisc}} \rightarrow \text{Span}$$

from  $\text{Sets}_{\text{bidisc}}$  to  $\text{Span}$  where

- *Action on Objects.* For each  $A \in \text{Obj}(\text{Sets}_{\text{bidisc}})$ , we have

$$\iota(A) \stackrel{\text{def}}{=} A;$$

- *Action on Hom-Categories.* For each  $A, B \in \text{Obj}(\text{Sets}_{\text{bidisc}})$ , the action on Hom-categories

$$\iota_{A,B}: \text{Sets}(A, B)_{\text{disc}} \rightarrow \text{Span}(A, B)$$

of  $\iota$  at  $(A, B)$  is the functor defined on objects by sending a function  $f: A \rightarrow B$  to the span

$$\begin{array}{ccc} & A & \\ id_A \swarrow & & \searrow f \\ A & & B \end{array}$$

from  $A$  to  $B$ .

- *Strict Unity Constraints.* For each  $A \in \text{Obj}(\text{Sets}_{\text{bidisc}})$ , the strict unity constraint

$$\iota_A^0: id_{\iota(A)} \Longrightarrow \iota(id_A)$$

of  $\iota$  at  $A$  is given by the identity morphism of spans

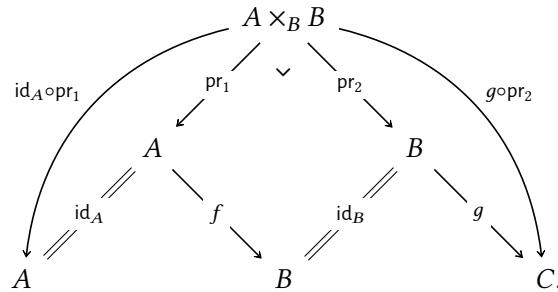
$$\begin{array}{ccc} & A & \\ id_A \swarrow & \parallel & \searrow id_A \\ A & id & A \\ id_A \swarrow & \parallel & \searrow id_A \\ A & & A \end{array}$$

as indeed  $id_{\iota(A)} = \iota(id_A)$ ;

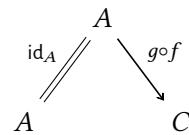
- *Pseudofunctoriality Constraints.* For each  $A, B, C \in \text{Obj}(\text{Sets}_{\text{bidisc}})$ , each  $f \in \text{Hom}_{\text{Sets}_{\text{bidisc}}}(A, B)$ , and each  $g \in \text{Hom}_{\text{Sets}_{\text{bidisc}}}(B, C)$ , the pseudofunctoriality constraint

$$\iota_{g,f}^2: \iota(g) \circ \iota(f) \Longrightarrow \iota(g \circ f)$$

of  $\iota$  at  $(f, g)$  is the morphism of spans from the span



to the span



given by the isomorphism  $A \times_B B \cong A$ .

#### PROOF 6.8.1.2 ► PROOF OF PROPOSITION 6.8.1.1

Omitted.



## 6.8.2 Comparison to Relations: From Span to Rel

### 6.8.2.1 Relations Associated to Spans

Let  $\lambda = (A \xleftarrow{f} S \xrightarrow{g} B)$  be a span.

#### DEFINITION 6.8.2.1 ► THE RELATION ASSOCIATED TO A SPAN

00R8

The **relation associated to  $\lambda$**  is the relation

$$S(\lambda) : A \nrightarrow B$$

from  $A$  to  $B$  defined as follows:

- Viewing relations from  $A$  to  $B$  as functions  $A \times B \rightarrow \{\text{true}, \text{false}\}$ , we define

$$\iota_{A,B}(S)_b^a \stackrel{\text{def}}{=} \begin{cases} \text{true} & \text{if there exists } x \in S \text{ such} \\ & \text{that } a = f(x) \text{ and } b = g(x), \\ \text{false} & \text{otherwise} \end{cases}$$

for each  $(a, b) \in A \times B$ .

- Viewing relations from  $A$  to  $B$  as functions  $A \rightarrow \mathcal{P}(B)$ , we define

$$[\iota_{A,B}(S)](a) \stackrel{\text{def}}{=} g(f^{-1}(a))$$

for each  $a \in A$ .

- Viewing relations from  $A$  to  $B$  as subsets of  $A \times B$ , we define

$$\iota_{A,B}(S) \stackrel{\text{def}}{=} \{(f(x), g(x)) \mid x \in S\}.$$

#### PROPOSITION 6.8.2.2 ► PROPERTIES OF RELATIONS ASSOCIATED TO SPANS

00R9 Let  $\lambda = \left( A \xleftarrow{f} S \xrightarrow{g} B \right)$  be a span.

- 00RA 1. *Interaction With Identities.*  
 00RB 2. *Interaction With Composition.*  
 00RC 3. *Interaction With Inverses.*

#### PROOF 6.8.2.3 ► PROOF OF PROPOSITION 6.8.2.2



#### 6.8.2.2 The Comparison Functor from Span to Rel

##### PROPOSITION 6.8.2.4 ► COMPARISON OF SPANS TO RELATIONS I

00RE We have a pseudofunctor

$$\iota: \mathbf{Span} \rightarrow \mathbf{Rel}$$

from **Span** to **Rel** where

- Action on Objects.* For each  $A \in \text{Obj}(\mathbf{Span})$ , we have

$$\iota(A) \stackrel{\text{def}}{=} A;$$

- Action on Hom-Categories.* For each  $A, B \in \text{Obj}(\mathbf{Span})$ , the action on Hom-categories

$$\iota_{A,B}: \mathbf{Span}(A, B) \rightarrow \mathbf{Rel}(A, B)$$

of  $\iota$  at  $(A, B)$  is the functor where

– *Action on Objects.* Given a span

$$\begin{array}{ccc} & S & \\ f \swarrow & & \searrow g \\ A & & B \end{array}$$

from  $A$  to  $B$ , the image

$$\iota_{A,B}(S) : A \rightarrow B$$

of  $S$  by  $\iota$  is the relation from  $A$  to  $B$  defined as follows:

\* Viewing relations as functions  $A \times B \rightarrow \{\text{true, false}\}$ , we define

$$\iota_{A,B}(S)_b^a \stackrel{\text{def}}{=} \begin{cases} \text{true} & \text{if there exists } x \in S \\ & \text{such that } a = f(x) \\ & \text{and } b = g(x), \\ \text{false} & \text{otherwise} \end{cases}$$

for each  $(a, b) \in A \times B$ ;

\* Viewing relations as functions  $A \rightarrow \mathcal{P}(B)$ , we define

$$[\iota_{A,B}(S)](a) \stackrel{\text{def}}{=} g(f^{-1}(a))$$

for each  $a \in A$ ;

\* Viewing relations as subsets of  $A \times B$ , we define

$$\iota_{A,B}(S) \stackrel{\text{def}}{=} \{(f(x), g(x)) \mid x \in S\}.$$

– *Action on Morphisms.* Given a morphism of spans

$$\begin{array}{ccccc} & R & & & \\ f_R \swarrow & \downarrow & \searrow g_R & & \\ A & \phi & & B, & \\ f_S \swarrow & \downarrow & \nearrow g_S & & \\ & S & & & \end{array}$$

we have a corresponding inclusion of relations

$$\iota_{A,B}(\phi) : \iota_{A,B}(R) \subset \iota_{A,B}(S),$$

since we have  $a \sim_{\iota_{A,B}(R)} b$  iff there exists  $x \in R$  such that  $a = f_R(x)$  and  $b = g_R(x)$ , in which case we then have

$$\begin{aligned} a &= f_R(x) \\ &= f_S(\phi(x)), \\ b &= g_R(x) \\ &= g_S(\phi(x)), \end{aligned}$$

so that  $a \sim_{\iota_{A,B}(S)} b$ , and thus  $\iota_{A,B}(R) \subset \iota_{A,B}(S)$ .

#### PROOF 6.8.2.5 ► PROOF OF PROPOSITION 6.8.2.4

Omitted.



### 6.8.3 Comparison to Relations: From Rel to Span

#### PROPOSITION 6.8.3.1 ► COMPARISON OF SPANS TO RELATIONS II

00RG

We have a lax functor

$$(\iota, \iota^2, \iota^0) : \mathbf{Rel} \rightarrow \mathbf{Span}$$

from **Rel** to **Span** where

- *Action on Objects.* For each  $A \in \text{Obj}(\mathbf{Span})$ , we have

$$\iota(A) \stackrel{\text{def}}{=} A;$$

- *Action on Hom-Categories.* For each  $A, B \in \text{Obj}(\mathbf{Span})$ , the action on Hom-categories

$$\iota_{A,B} : \mathbf{Rel}(A, B) \rightarrow \mathbf{Span}(A, B)$$

of  $\iota$  at  $(A, B)$  is the functor where

- *Action on Objects.* Given a relation  $R : A \nrightarrow B$  from  $A$  to  $B$ , we define a span

$$\iota_{A,B}(R) : A \nrightarrow B$$

from  $A$  to  $B$  by

$$\iota_{A,B}(R) \stackrel{\text{def}}{=} (R, \upharpoonright \text{pr}_1 R, \upharpoonright \text{pr}_2 R),$$

where  $R \subset A \times B$  and  $\upharpoonright \text{pr}_1 R$  and  $\upharpoonright \text{pr}_2 R$  are the restriction of the projections

$$\begin{aligned} \text{pr}_1 &: A \times B \rightarrow A, \\ \text{pr}_2 &: A \times B \rightarrow B \end{aligned}$$

to  $R$ ;

- *Action on Morphisms.* Given an inclusion  $\phi: R \subset S$  of relations, we have a corresponding morphism of spans

$$\iota_{A,B}(\phi): \iota_{A,B}(R) \rightarrow \iota_{A,B}(S)$$

as in the diagram

$$\begin{array}{ccc} & R & \\ \uparrow \lceil \text{pr}_1 R & & \downarrow \rceil \text{pr}_2 R \\ A & & B \\ \uparrow \lceil \text{pr}_1 S & & \downarrow \rceil \text{pr}_2 S \\ & S & \end{array}$$

- *The Lax Functoriality Constraints.* The lax functoriality constraint

$$\iota_{R,S}^2: \iota(S) \circ \iota(R) \Rightarrow \iota(S \diamond R)$$

of  $\iota$  at  $(R, S)$  is given by the morphism of spans from

$$\begin{array}{ccccc} & R \times_B S & & & \\ & \swarrow \text{pr}_1 \quad \searrow \text{pr}_2 & & & \\ R & & S & & \\ \uparrow \lceil \text{pr}_1 R \circ \text{pr}_1 & & \downarrow \rceil \text{pr}_2 & & \downarrow \rceil \text{pr}_2 S \circ \text{pr}_2 \\ A & \xrightarrow{\lceil \text{pr}_1 R} & B & \xrightarrow{\lceil \text{pr}_1 S} & C \\ & \uparrow \lceil \text{pr}_2 R & & \uparrow \lceil \text{pr}_2 S & \\ & & B & & C \end{array}$$

to

$$\begin{array}{ccc} & S \diamond R & \\ & \swarrow \lceil \text{pr}_1 S \circ R \quad \searrow \rceil \text{pr}_2 S \circ R & \\ A & & C \end{array}$$

given by the natural inclusion  $R \times_B S \hookrightarrow S \diamond R$ , since we have

$$R \times_B S = \{((a_R, b_R), (b_S, c_S)) \in R \times S \mid b_R = b_S\};$$

$$S \diamond R = \left\{ (a, c) \in A \times C \left| \begin{array}{l} \text{there exists some } b \in B \text{ such that } (a, b) \in R \text{ and } (b, c) \in S \end{array} \right. \right\};$$

- *The Lax Unity Constraints.* The lax unity constraint<sup>1</sup>

$$\iota_A^0 : \underbrace{\text{id}_{\iota(A)}}_{(A, \text{id}_A, \text{id}_A)} \implies \underbrace{\iota(\chi_A)}_{(\Delta_A, \uparrow \text{pr}_1 \Delta_A, \uparrow \text{pr}_2 \Delta_A)}$$

of  $\iota$  at  $A$  is given by the diagonal morphism of  $A$ , as in the diagram

$$\begin{array}{ccc} & A & \\ id_A \swarrow & \downarrow \delta_A & \searrow id_A \\ A & & A \\ \uparrow \text{pr}_1 \Delta_A & \downarrow & \uparrow \text{pr}_2 \Delta_A \\ & \Delta_A & \end{array}$$

<sup>1</sup>Which is in fact strong, as  $\delta_A$  is an isomorphism.

#### PROOF 6.8.3.2 ► PROOF OF PROPOSITION 6.8.2.4

Omitted. 

#### 6.8.4 Comparison to Relations: The Wehrheim–Woodward Construction

#### 6.8.5 Comparison to Multirelations

##### REMARK 6.8.5.1 ► INTERACTION WITH MULTIRELATIONS

00RK

The pseudofunctor of Proposition 6.8.2.4 and the lax functor of Proposition 6.8.3.1 fail to be equivalences of bicategories. This happens essentially because a span  $(S, f, g) : A \rightarrow B$  from  $A$  to  $B$  may relate elements  $a \in A$  and  $b \in B$  by more than one element, e.g. there could be  $s \neq s' \in S$  such that  $a = f(s) = f(s')$  and  $b = g(s) = g(s')$ .

Thus, in a sense, spans may be thought of as “relations with multiplicity”. And indeed, if instead of considering relations from  $A$  to  $B$ , i.e. functions

$$R : A \times B \rightarrow \{\text{true, false}\}$$

from  $A \times B$  to  $\{\text{true, false}\} \cong \{0, 1\}$ , we consider functions

$$R : A \times B \rightarrow \mathbb{N} \cup \{\infty\}$$

from  $A \times B$  to  $\mathbb{N} \cup \{\infty\}$ , then we obtain the notion of a **multirelation from  $A$  to  $B$** , and these turn out to assemble together with sets into a bicategory  $MRel$  that is biequivalent to  $\text{Span}$ ; see [some-algebraic-laws-for-spans-and-their-connections-with-multirelations].

#### 6.8.6 Comparison to Relations via Double Categories

**REMARK 6.8.6.1 ► INTERACTION WITH DOUBLE CATEGORIES AND ADJOINTNESS**

00RM

There are double functors between the double categories  $\text{Rel}^{\text{dbl}}$  and  $\text{Span}^{\text{dbl}}$  analogous to the functors of [Propositions 6.8.2.4](#) and [6.8.3.1](#), assembling moreover into a strict-lax adjunction of double functors; see [[higher-dimensional-categories](#)].

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# Chapter 7

## Posets

00RN Rename this to “Preorders, Partial Orders, and Posets”.

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## **Part II**

# **Indexed and Fibred Sets**

# Chapter 8

## Indexed Sets

**00RS** This chapter contains a discussion of indexed sets, the set-theoretical counterpart to indexed categories. In particular, here we explore:

1. Indexed sets, i.e. functors  $K_{\text{disc}} \rightarrow \text{Sets}$  with  $K$  a set;
2. The limits and colimits in the category of  $K$ -indexed sets;
3. Constructions with indexed sets like dependent sums, dependent products, and internal Hom.

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## 8.1 Indexed Sets

### 8.1.1 Foundations

Let  $K$  be a set.

#### DEFINITION 8.1.1.1 ► INDEXED SETS

**00RV** A  $K$ -indexed set is a functor  $X: K_{\text{disc}} \rightarrow \text{Sets}$ .

#### REMARK 8.1.1.2 ► UNWINDING DEFINITION 8.1.1.1

**00RW** By ??, a  $K$ -indexed set consists of a  $K$ -indexed collection

$$X^\dagger: K \rightarrow \text{Obj}(\text{Sets}),$$

of sets, assigning a set  $X_x^\dagger \stackrel{\text{def}}{=} X_x$  to each element  $x$  of  $K$ .

### 8.1.2 Morphisms of Indexed Sets

Let  $X: K_{\text{disc}} \rightarrow \text{Sets}$  and  $Y: K_{\text{disc}} \rightarrow \text{Sets}$  be indexed sets.

#### DEFINITION 8.1.2.1 ► MORPHISMS OF INDEXED SETS

**00RY** A **morphism of  $K$ -indexed sets from  $X$  to  $Y$** <sup>1</sup> is a natural transformation

$$f: X \Rightarrow Y, \quad K_{\text{disc}} \begin{array}{c} X \\ \Downarrow f \\ Y \end{array} \rightarrow \text{Sets}$$

from  $X$  to  $Y$ .

<sup>1</sup>Further Terminology: Also called a  $K$ -indexed map of sets from  $X$  to  $Y$ .

**REMARK 8.1.2.2 ► UNWINDING DEFINITION 8.1.2.1**

00RZ

In detail, a **morphism of  $K$ -indexed sets** consists of a  $K$ -indexed collection

$$\{f_x : X_x \rightarrow Y_x\}_{x \in K}$$

of maps of sets.

**8.1.3 The Category of Sets Indexed by a Fixed Set**

Let  $K$  be a set.

**DEFINITION 8.1.3.1 ► THE CATEGORY OF  $K$ -INDEXED SETS**

00S1

The **category of  $K$ -indexed sets** is the category  $\text{ISets}(K)$  defined by

$$\text{ISets}(K) \stackrel{\text{def}}{=} \text{Fun}(K_{\text{disc}}, \text{Sets}).$$

**REMARK 8.1.3.2 ► UNWINDING DEFINITION 8.1.3.1**

00S2

In detail, the **category of  $K$ -indexed sets** is the category  $\text{ISets}(K)$  where

- *Objects.* The objects of  $\text{ISets}(K)$  are  $K$ -indexed sets as in [Definition 8.1.1.1](#);
- *Morphisms.* The morphisms of  $\text{ISets}(K)$  are morphisms of  $K$ -indexed sets as in [Definition 8.1.2.1](#);
- *Identities.* For each  $X \in \text{Obj}(\text{ISets}(K))$ , the unit map

$$\text{id}_X^{\text{ISets}(K)} : \text{pt} \rightarrow \text{Hom}_{\text{ISets}(K)}(X, X)$$

of  $\text{ISets}(K)$  at  $X$  is defined by

$$\text{id}_X^{\text{ISets}(K)} \stackrel{\text{def}}{=} \{\text{id}_{X_x}\}_{x \in K};$$

- *Composition.* For each  $X, Y, Z \in \text{Obj}(\text{ISets}(K))$ , the composition map

$$\circ_{X, Y, Z}^{\text{ISets}(K)} : \text{Hom}_{\text{ISets}(K)}(Y, Z) \times \text{Hom}_{\text{ISets}(K)}(X, Y) \rightarrow \text{Hom}_{\text{ISets}(K)}(X, Z)$$

of  $\text{ISets}(K)$  at  $(X, Y, Z)$  is defined by

$$\{g_x\}_{x \in K} \circ_{X, Y, Z}^{\text{ISets}(K)} \{f_x\}_{x \in K} \stackrel{\text{def}}{=} \{g_x \circ f_x\}_{x \in K}.$$

**8.1.4 The Category of Indexed Sets**

**DEFINITION 8.1.4.1 ► THE CATEGORY OF INDEXED SETS**

00S4

The **category of indexed sets** is the category  $\text{ISets}$  defined as the Grothendieck construction of the functor  $\text{ISets}: \text{Sets}^{\text{op}} \rightarrow \text{Cats}$  of [Proposition 8.4.1.5](#):

$$\text{ISets} \stackrel{\text{def}}{=} \int^{\text{Sets}} \text{ISets}.$$

**REMARK 8.1.4.2 ► UNWINDING DEFINITION 8.1.4.1**

00S5

In detail, the **category of indexed sets** is the category  $\text{ISets}$  where

- *Objects.* The objects of  $\text{ISets}$  are pairs  $(K, X)$  consisting of
  - *The Indexing Set.* A set  $K$ ;
  - *The Indexed Set.* A  $K$ -indexed set  $X: K_{\text{disc}} \rightarrow \text{Sets}$ ;
- *Morphisms.* A morphism of  $\text{ISets}$  from  $(K, X)$  to  $(K', Y)$  is a pair  $(\phi, f)$  consisting of
  - *The Reindexing Map.* A map of sets  $\phi: K \rightarrow K'$ ;
  - *The Morphism of Indexed Sets.* A morphism of  $K$ -indexed sets  $f: X \rightarrow \phi_*(Y)$  as in the diagram

$$f: X \rightarrow \phi_*(Y), \quad \begin{array}{ccc} K_{\text{disc}} & \xrightarrow{\phi} & K'_{\text{disc}} \\ & \searrow f \quad \nearrow \phi_* & \\ & X & Y \\ & \downarrow & \\ & \text{Sets} & \end{array}$$

- *Identities.* For each  $(K, X) \in \text{Obj}(\text{ISets})$ , the unit map

$$\text{id}_{(K,X)}^{\text{ISets}}: \text{pt} \rightarrow \text{ISets}((K, X), (K, X))$$

of  $\text{ISets}$  at  $(K, X)$  is defined by

$$\text{id}_{(K,X)}^{\text{ISets}} \stackrel{\text{def}}{=} (\text{id}_K, \text{id}_X).$$

- *Composition.* For each  $\mathbf{X} = (K, X), \mathbf{Y} = (K', Y), \mathbf{Z} = (K'', Z) \in \text{Obj}(\text{ISets})$ , the composition map

$$\circ_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}^{\text{ISets}}: \text{ISets}(\mathbf{Y}, \mathbf{Z}) \times \text{ISets}(\mathbf{X}, \mathbf{Y}) \rightarrow \text{ISets}(\mathbf{X}, \mathbf{Z})$$

of  $\text{ISets}$  at  $(\mathbf{X}, \mathbf{Y}, \mathbf{Z})$  is defined by

$$(\psi, g) \circ (\phi, f) \stackrel{\text{def}}{=} (\psi \circ \phi, (g \star \text{id}_\phi) \circ f),$$

as in the diagram

$$\begin{array}{ccccc}
 K_{\text{disc}} & \xrightarrow{\phi} & K'_{\text{disc}} & \xrightarrow{\psi} & K''_{\text{disc}} \\
 & \searrow f & \downarrow Y & \nearrow g & \swarrow Z \\
 & X & \text{Sets} & Z &
 \end{array}$$

for each  $(\phi, f) \in \text{ISets}(X, Y)$  and each  $(\psi, g) \in \text{ISets}(Y, Z)$ .

## 8.2 Limits of Indexed Sets

### 8.2.1 Products of $K$ -Indexed Sets

Let  $X: K_{\text{disc}} \rightarrow \text{Sets}$  and  $Y: K_{\text{disc}} \rightarrow \text{Sets}$  be indexed sets.

#### DEFINITION 8.2.1.1 ► PRODUCTS OF $K$ -INDEXED SETS

**00S8** The **product of  $X$  and  $Y$**  is the  $K$ -indexed set  $X \times Y: K_{\text{disc}} \rightarrow \text{Sets}$  defined by

$$(X \times Y)_k \stackrel{\text{def}}{=} X_k \times Y_k$$

for each  $k \in K$ .

#### PROOF 8.2.1.2 ► PROOF OF DEFINITION 8.2.1.1

That this agrees with the categorical product in  $\text{ISets}(K)$  follows from ?? of ??.

### 8.2.2 Pullbacks of $K$ -Indexed Sets

Let  $X, Y, Z: K_{\text{disc}} \rightarrow \text{Sets}$  be  $K$ -indexed sets and let  $f: X \rightarrow Z$  and  $g: Y \rightarrow Z$  be morphisms of  $K$ -indexed sets.

#### DEFINITION 8.2.2.1 ► PULLBACKS OF $K$ -INDEXED SETS

**00SA** The **pullback of  $X$  and  $Y$  over  $Z$**  is the  $K$ -indexed set  $X \times_Z Y: K_{\text{disc}} \rightarrow \text{Sets}$  defined by

$$(X \times_Z Y)_k \stackrel{\text{def}}{=} X_k \times_{Z_k} Y_k$$

for each  $k \in K$ .

**PROOF 8.2.2.2 ► PROOF OF DEFINITION 8.2.2.1**

That this agrees with the categorical pullback in  $\text{ISets}(K)$  follows from ?? of ??.

**8.2.3 Equalisers of  $K$ -Indexed Sets**

Let  $X, Y: K_{\text{disc}} \rightarrow \text{Sets}$  be  $K$ -indexed sets and let  $f, g: X \rightrightarrows Y$  be morphisms of  $K$ -indexed sets.

**DEFINITION 8.2.3.1 ► EQUALISERS OF  $K$ -INDEXED SETS**

**00SC** The **equaliser of  $f$  and  $g$**  is the  $K$ -indexed set  $\text{Eq}(f, g): K_{\text{disc}} \rightarrow \text{Sets}$  defined by

$$(\text{Eq}(f, g))_k \stackrel{\text{def}}{=} \text{Eq}(f_k, g_k)$$

for each  $k \in K$ .

**PROOF 8.2.3.2 ► PROOF OF DEFINITION 8.2.3.1**

That this agrees with the categorical equaliser in  $\text{ISets}(K)$  follows from ?? of ??.

**8.2.4 Products in  $\text{ISets}$** 

Let  $X: K_{\text{disc}} \rightarrow \text{Sets}$  and  $Y: K'_{\text{disc}} \rightarrow \text{Sets}$  be indexed sets.

**DEFINITION 8.2.4.1 ► PRODUCTS OF INDEXED SETS**

**00SE** The **product of  $X$  and  $Y$**  is the  $(K \times K')$ -indexed set

$$X \times Y: (K \times K')_{\text{disc}} \rightarrow \text{Sets}$$

defined by

$$(X \times Y)_{(k, k')} \stackrel{\text{def}}{=} X_k \times Y_{k'}$$

for each  $(k, k') \in K \times K'$ .

**PROOF 8.2.4.2 ► PROOF OF DEFINITION 8.2.4.1**

We claim that this agrees with the categorical product in  $\text{ISets}$ .

**8.2.5 Pullbacks in  $\text{ISets}$** 

Let  $X: K_{\text{disc}} \rightarrow \text{Sets}$  be a  $K$ -indexed set, let  $Y: K'_{\text{disc}} \rightarrow \text{Sets}$  be a  $K'$ -indexed set, let  $Z: K''_{\text{disc}} \rightarrow \text{Sets}$  be a  $K''$ -indexed set, and let  $(\phi, f): X \rightarrow Z$  and  $(\psi, g): Y \rightarrow Z$  be morphisms of indexed sets (as in Remark 8.1.4.2).

**DEFINITION 8.2.5.1 ► PULLBACKS OF INDEXED SETS**

00SG

The **pullback of  $X$  and  $Y$  over  $Z$**  is the  $(K \times_{K''} K)$ -indexed set

$$X \times_Z Y: (K \times_{K''} K)_{\text{disc}} \rightarrow \text{Sets}$$

defined by

$$\begin{aligned} (X \times_Z Y)_{(k,k')} &\stackrel{\text{def}}{=} X_k \times_{Z_{\phi(k)}} Y_{k'} \\ &\stackrel{\text{def}}{=} X_k \times_{Z_{\psi(k)}} Y_{k'} \end{aligned}$$

for each  $(k, k') \in K \times_{K''} K'$ .

**PROOF 8.2.5.2 ► PROOF OF DEFINITION 8.2.2.1**

We claim that this agrees with the categorical pullback in  $\text{ISets}$ . 

**8.2.6 Equalisers in  $\text{ISets}$** 

Let  $X: K_{\text{disc}} \rightarrow \text{Sets}$  be a  $K$ -indexed set, let  $Y: K'_{\text{disc}} \rightarrow \text{Sets}$  be a  $K'$ -indexed set, and let  $(\phi, f), (\psi, g): X \rightarrow Y$  be morphisms of indexed sets (as in [Remark 8.1.4.2](#)).

**DEFINITION 8.2.6.1 ► EQUALISERS OF INDEXED SETS**

00SJ

The **equaliser of  $(\phi, f)$  and  $(\psi, g)$**  is the  $\text{Eq}(\phi, \psi)$ -indexed set  $\text{Eq}(f, g): \text{Eq}(\phi, \psi) \rightarrow \text{Sets}$  defined by

$$(\text{Eq}(f, g))_k \stackrel{\text{def}}{=} \text{Eq}(f_k, g_k)$$

for each  $k \in \text{Eq}(\phi, \psi)$ .

**PROOF 8.2.6.2 ► PROOF OF DEFINITION 8.2.6.1**

We claim that this agrees with the categorical equaliser in  $\text{ISets}$ . 

**8.3 Colimits of Indexed Sets****8.3.1 Coproducts of  $K$ -Indexed Sets**

Let  $X: K_{\text{disc}} \rightarrow \text{Sets}$  and  $Y: K_{\text{disc}} \rightarrow \text{Sets}$  be indexed sets.

**DEFINITION 8.3.1.1 ► COPRODUCTS OF INDEXED SETS**

**00SM** The **coproduct** of  $X$  and  $Y$  is the  $K$ -indexed set  $X \coprod Y: K_{\text{disc}} \rightarrow \text{Sets}$  defined by

$$(X \coprod Y)_k \stackrel{\text{def}}{=} X_k \coprod Y_k$$

for each  $k \in K$ .

**PROOF 8.3.1.2 ► PROOF OF DEFINITION 8.3.1.1**

That this agrees with the categorical coproduct in  $\text{ISets}(K)$  follows from ?? of ??.

**8.3.2 Pushouts of  $K$ -Indexed Sets**

Let  $X, Y, Z: K_{\text{disc}} \rightarrow \text{Sets}$  be  $K$ -indexed sets and let  $f: Z \rightarrow X$  and  $g: Z \rightarrow Y$  be morphisms of  $K$ -indexed sets.

**DEFINITION 8.3.2.1 ► PUSHOUTS OF  $K$ -INDEXED SETS**

**00SP** The **pushout** of  $X$  and  $Y$  is the  $K$ -indexed set  $X \coprod_Z Y: K_{\text{disc}} \rightarrow \text{Sets}$  defined by

$$(X \coprod_Z Y)_k \stackrel{\text{def}}{=} X_k \coprod_{Z_k} Y_k$$

for each  $k \in K$ .

**PROOF 8.3.2.2 ► PROOF OF DEFINITION 8.3.2.1**

That this agrees with the categorical pushout in  $\text{ISets}(K)$  follows from ?? of ??.

**8.3.3 Coequalisers of  $K$ -Indexed Sets**

Let  $X, Y: K_{\text{disc}} \rightarrow \text{Sets}$  be  $K$ -indexed sets and let  $f, g: X \rightrightarrows Y$  be morphisms of  $K$ -indexed sets.

**DEFINITION 8.3.3.1 ► COEQUALISERS OF  $K$ -INDEXED SETS**

**00SR** The **coequaliser** of  $X$  and  $Y$  is the  $K$ -indexed set  $\text{CoEq}(f, g): K_{\text{disc}} \rightarrow \text{Sets}$  defined by

$$(\text{CoEq}(f, g))_k \stackrel{\text{def}}{=} \text{CoEq}(f_k, g_k)$$

for each  $k \in K$ .

**PROOF 8.3.3.2 ► PROOF OF DEFINITION 8.3.3.1**

That this agrees with the categorical coequaliser in  $\text{ISets}(K)$  follows from ?? of ??.



## 8.4 Constructions With Indexed Sets

### 8.4.1 Change of Indexing

Let  $\phi: K \rightarrow K'$  be a function and let  $X$  be a  $K'$ -indexed set.

**DEFINITION 8.4.1.1 ► CHANGE OF INDEXING OF INDEXED SETS**

**00SU** The **change of indexing of  $X$  to  $K$**  is the  $K$ -indexed set  $\phi^*(X)$  defined by

$$\phi^*(X) \stackrel{\text{def}}{=} X \circ \phi_{\text{disc.}}$$

**REMARK 8.4.1.2 ► UNWINDING DEFINITION 8.4.1.1**

**00SV** In detail, the **change of indexing of  $X$  to  $K$**  is the  $K$ -indexed set  $\phi^*(X)$  defined by

$$\phi^*(X)_x \stackrel{\text{def}}{=} X_{\phi(x)}$$

for each  $x \in K$ .

**PROPOSITION 8.4.1.3 ► FUNCTORIALITY OF CHANGE OF INDEXING**

**00SW** The assignment  $X \mapsto \phi^*(X)$  defines a functor

$$\phi^*: \text{ISets}(K') \rightarrow \text{ISets}(K),$$

where

- *Action on Objects.* For each  $X \in \text{Obj}(\text{ISets}(K'))$ , we have

$$[\phi^*](X) \stackrel{\text{def}}{=} \phi^*(X);$$

- *Action on Morphisms.* For each  $X, Y \in \text{Obj}(\text{ISets}(K'))$ , the action on Hom-sets

$$\phi_{X,Y}^*: \text{Hom}_{\text{ISets}(K')}(X, Y) \rightarrow \text{Hom}_{\text{ISets}(K)}(\phi^*(X), \phi^*(Y))$$

of  $\phi^*$  at  $(X, Y)$  is the map sending a morphism of  $K'$ -indexed sets

$$f = \{f_x: X_x \rightarrow Y_x\}_{x \in K'}$$

from  $X$  to  $Y$  to the morphism of  $K$ -indexed sets defined by

$$\phi^*(f) \stackrel{\text{def}}{=} \{f_{\phi(x)} : X_{\phi(x)} \rightarrow Y_{\phi(x)}\}_{x \in K}.$$

#### PROOF 8.4.1.4 ► PROOF OF PROPOSITION 8.4.1.3

Omitted. 

#### PROPOSITION 8.4.1.5 ► FUNCTORIALITY OF CATEGORIES OF $K$ -INDEXED SETS

00SX

The assignment  $K \mapsto \text{ISets}(K)$  defines a functor

$$\text{ISets} : \text{Sets}^{\text{op}} \rightarrow \text{Cats},$$

where

- *Action on Objects.* For each  $K \in \text{Obj}(\text{Sets})$ , we have

$$[\text{ISets}](K) \stackrel{\text{def}}{=} \text{ISets}(K);$$

- *Action on Morphisms.* For each  $K, K' \in \text{Obj}(\text{Sets})$ , the action on Hom-sets

$$\text{ISets}_{K,K'} : \text{Sets}^{\text{op}}(K, K') \rightarrow \text{Fun}(\text{ISets}(K), \text{ISets}(K'))$$

of  $\text{ISets}$  at  $(K, K')$  is the map defined by

$$\text{ISets}_{K,K'}(\phi) \stackrel{\text{def}}{=} \phi^*$$

for each  $\phi \in \text{Sets}^{\text{op}}(K, K')$ .

#### PROOF 8.4.1.6 ► PROOF OF PROPOSITION 8.4.1.5

Omitted. 

### 8.4.2 Dependent Sums

Let  $\phi : K \rightarrow K'$  be a function and let  $X$  be a  $K$ -indexed set.

#### DEFINITION 8.4.2.1 ► DEPENDENT SUMS OF INDEXED SETS

00SZ

The **dependent sum** of  $X$  is the  $K'$ -indexed set  $\Sigma_\phi(X)$ <sup>1</sup> defined by

$$\Sigma_\phi(X) \stackrel{\text{def}}{=} \text{Lan}_\phi(X),$$

and hence given by

$$\Sigma_\phi(X)_x \cong \coprod_{y \in \phi^{-1}(x)} X_y$$

for each  $x \in K'$ .

<sup>1</sup>Further Notation: Also written  $\phi_*(X)$ .

#### PROPOSITION 8.4.2.2 ► FUNCTORIALITY OF DEPENDENT SUMS

00T0

The assignment  $X \mapsto \Sigma_\phi(X)$  defines a functor

$$\Sigma_\phi: \text{ISets}(K) \rightarrow \text{ISets}(K'),$$

where

- *Action on Objects.* For each  $X \in \text{Obj}(\text{ISets}(K))$ , we have

$$[\Sigma_\phi](X) \stackrel{\text{def}}{=} \Sigma_\phi(X);$$

- *Action on Morphisms.* For each  $X, Y \in \text{Obj}(\text{ISets}(K))$ , the action on Hom-sets

$$\Sigma_{\phi|X,Y}: \text{Hom}_{\text{ISets}(K)}(X, Y) \rightarrow \text{Hom}_{\text{ISets}(K')}(\Sigma_\phi(X), \Sigma_\phi(Y))$$

of  $\Sigma_\phi$  at  $(X, Y)$  is the map sending a morphism of  $K$ -indexed sets

$$f: X \rightarrow Y$$

to the morphism of  $K'$ -indexed sets defined by

$$\begin{aligned} \Sigma_\phi(f) &\stackrel{\text{def}}{=} \text{Lan}_\phi(f); \\ &\cong \coprod_{y \in \phi^{-1}(Y)} f_y. \end{aligned}$$

#### PROOF 8.4.2.3 ► PROOF OF PROPOSITION 8.4.2.2

Omitted. 

### 8.4.3 Dependent Products

Let  $\phi: K \rightarrow K'$  be a function and let  $X$  be a  $K$ -indexed set.

**DEFINITION 8.4.3.1 ► DEPENDENT PRODUCTS OF INDEXED SETS**

**00T2** The **dependent product of  $X$**  is the  $K'$ -indexed set  $\Pi_\phi(X)$ <sup>1</sup> defined by

$$\Pi_\phi(X) \stackrel{\text{def}}{=} \text{Ran}_\phi(X),$$

and hence given by

$$\Pi_\phi(X)_x \cong \prod_{y \in \phi^{-1}(x)} X_y$$

for each  $x \in K'$ .

<sup>1</sup>Further Notation: Also written  $\phi_!(X)$ .

**PROPOSITION 8.4.3.2 ► FUNCTORIALITY OF DEPENDENT PRODUCTS**

**00T3** The assignment  $X \mapsto \Pi_\phi(X)$  defines a functor

$$\Pi_\phi: \text{ISets}(K) \rightarrow \text{ISets}(K'),$$

where

- *Action on Objects.* For each  $X \in \text{Obj}(\text{ISets}(K))$ , we have

$$[\Pi_\phi](X) \stackrel{\text{def}}{=} \Pi_\phi(X);$$

- *Action on Morphisms.* For each  $X, Y \in \text{Obj}(\text{ISets}(K))$ , the action on Hom-sets

$$\Pi_\phi|_{X,Y}: \text{Hom}_{\text{ISets}(K)}(X, Y) \rightarrow \text{Hom}_{\text{ISets}(K')}(\Pi_\phi(X), \Pi_\phi(Y))$$

of  $\Pi_\phi$  at  $(X, Y)$  is the map sending a morphism of  $K$ -indexed sets

$$f: X \rightarrow Y$$

to the morphism of  $K'$ -indexed sets defined by

$$\begin{aligned} \Pi_\phi(f) &\stackrel{\text{def}}{=} \text{Ran}_\phi(f); \\ &\cong \prod_{y \in \phi^{-1}(x)} f_y. \end{aligned}$$

**PROOF 8.4.3.3 ► PROOF OF PROPOSITION 8.4.3.2**

Omitted. 

**8.4.4 Internal Homs**

Let  $K$  be a set and let  $X$  and  $Y$  be  $K$ -indexed sets.

**DEFINITION 8.4.4.1 ► INTERNAL HOM OF INDEXED SETS**

**00T5** The **internal Hom of indexed sets from  $X$  to  $Y$**  is the indexed set  $\mathbf{Hom}_{\text{ISets}(K)}(X, Y)$  defined by

$$\mathbf{Hom}_{\text{ISets}(K)}(X, Y) \stackrel{\text{def}}{=} \text{Sets}(X_x, Y_x)$$

for each  $x \in K$ .

**8.4.5 Adjointness of Indexed Sets**

Let  $\phi: K \rightarrow K'$  be a map of sets.

**PROPOSITION 8.4.5.1 ► ADJOINTNESS OF INDEXED SETS**

**00T7** We have a triple adjunction

$$(\Sigma_\phi \dashv \phi^* \dashv \Pi_\phi): \text{ISets}(K) \begin{array}{c} \xleftarrow{\perp} \\[-1ex] \xleftarrow{\phi^*} \\[-1ex] \xleftarrow{\perp} \end{array} \text{ISets}(K').$$

$\Sigma_\phi$   
 $\Pi_\phi$

**PROOF 8.4.5.2 ► PROOF OF PROPOSITION 8.4.5.1**

This follows from ?? of ??.



# Appendices

## 8.A Other Chapters

**Sets**

1. Sets
2. Constructions With Sets
3. Pointed Sets
4. Tensor Products of Pointed Sets
5. Relations
6. Spans
7. Posets

**Indexed and Fibred Sets**

7. Indexed Sets
8. Fibred Sets
9. Un/Straightening for Indexed and Fibred Sets

**Category Theory**

11. Categories
12. Types of Morphisms in Categories
13. Adjunctions and the Yoneda Lemma

- 14. Constructions With Categories
- 15. Profunctors
- 16. Cartesian Closed Categories
- 17. Kan Extensions
- Bicategories**
- 18. Bicategories
- 19. Internal Adjunctions
- Internal Category Theory**
- 20. Internal Categories
- Cyclic Stuff**
- 21. The Cycle Category
- Cubical Stuff**
- 22. The Cube Category
- Globular Stuff**
- 23. The Globe Category
- Cellular Stuff**
- 24. The Cell Category
- Monoids**
- 25. Monoids
- 26. Constructions With Monoids
- Monoids With Zero**
- 27. Monoids With Zero
- 28. Constructions With Monoids With Zero
- Groups**
- 29. Groups
- 30. Constructions With Groups
- Hyper Algebra**
- 31. Hypermonoids
- 32. Hypergroups
- 33. Hypersemirings and Hyperrings
- 34. Quantales
- Near-Rings**
- 35. Near-Semirings
- 36. Near-Rings
- Real Analysis**
- 37. Real Analysis in One Variable
- 38. Real Analysis in Several Variables
- Measure Theory**
- 39. Measurable Spaces
- 40. Measures and Integration
- Probability Theory**
- 40. Probability Theory
- Stochastic Analysis**
- 41. Stochastic Processes, Martingales, and Brownian Motion
- 42. Itô Calculus
- 43. Stochastic Differential Equations
- Differential Geometry**
- 44. Topological and Smooth Manifolds
- Schemes**
- 45. Schemes

# Chapter 9

## Fibred Sets

**00T8** This chapter contains a discussion of the un/straightening equivalence in the context of sets, as well as a general discussion of indexed and fibred sets. In particular, it contains:

1. A discussion of indexed sets (i.e. functors  $K_{\text{disc}} \rightarrow \text{Sets}$  with  $K$  a set), constructions with them like dependent sums and dependent products, and their properties (????);
2. A discussion of fibred sets (i.e. maps of sets  $X \rightarrow K$ ), constructions with them like dependent sums and dependent products, and their properties ([Sections 9.1](#) and [9.2](#));
3. A discussion of the un/straightening equivalence for indexed and fibred sets (??).

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## 9.1 Fibred Sets

### 9.1.1 Foundations

Let  $K$  be a set.

#### DEFINITION 9.1.1.1 ► FIBRED SETS

00TB

A  **$K$ -fibred set** is a pair  $(X, \phi)$  consisting of<sup>1</sup>

- *The Underlying Set.* A set  $X$ , called the **underlying set of**  $(X, \phi)$ ;
- *The Fibration.* A map of sets  $\phi: X \rightarrow K$ .

<sup>1</sup>Further Terminology: The **fibre of**  $(X, \phi)$  over  $x \in K$  is the set  $\phi^{-1}(x)$  (also written  $\phi_x$ ) defined by

$$\phi^{-1}(x) \stackrel{\text{def}}{=} \text{pt} \times_{[x], K, \phi} X, \quad \begin{array}{ccc} \phi^{-1}(x) & \longrightarrow & X \\ \downarrow & \lrcorner & \downarrow \phi \\ \text{pt} & \xrightarrow{[x]} & K. \end{array}$$

### 9.1.2 Morphisms of Fibred Sets

#### DEFINITION 9.1.2.1 ► MORPHISMS OF FIBRED SETS

00TD

A **morphism of  $K$ -fibred sets from**  $(X, \phi)$  **to**  $(Y, \psi)$  is a function  $f: X \rightarrow Y$  such that the diagram<sup>1</sup>

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \phi \searrow & & \swarrow \psi \\ & K & \end{array}$$

commutes.

<sup>1</sup>Further Terminology: The **transport map associated to  $f$  at  $x \in K$**  is the function

$$f_x^*: \phi^{-1}(x) \rightarrow \psi^{-1}(x)$$

given by the dashed map in the diagram

$$\begin{array}{ccccc}
 \phi^{-1}(x) & \longrightarrow & X & & \\
 \downarrow & \lrcorner & \downarrow & \lrcorner & \searrow f \\
 \psi^{-1}(x) & \longrightarrow & Y & & \\
 \downarrow & \lrcorner & \downarrow & \lrcorner & \downarrow \psi \\
 \text{pt} & \xrightarrow{[x]} & K & & \text{pt} \\
 \lrcorner & & \lrcorner & & \lrcorner \\
 & & [x] & & K
 \end{array}$$

### 9.1.3 The Category of Fibred Sets Over a Fixed Base

#### DEFINITION 9.1.3.1 ► THE CATEGORY OF $K$ -FIBRED SETS

00TF

The **category of  $K$ -fibred sets** is the category  $\text{FibSets}(K)$  defined as the slice category  $\text{Sets}_{/K}$  of Sets over  $K$ :

$$\text{FibSets}(K) \stackrel{\text{def}}{=} \text{Sets}_{/K}.$$

#### REMARK 9.1.3.2 ► UNWINDING DEFINITION 9.1.3.1

00TG

In detail  $\text{FibSets}(K)$  is the category where

- *Objects.* The objects of  $\text{FibSets}(K)$  are pairs  $(X, \phi)$  consisting of
  - *The Fibred Set.* A set  $X$ ;
  - *The Fibration.* A function  $\phi: X \rightarrow K$ ;
- *Morphisms.* A morphism of  $\text{FibSets}(K)$  from  $(X, \phi)$  to  $(Y, \psi)$  is a function  $f: X \rightarrow Y$  making the diagram

$$\begin{array}{ccc}
 X & \xrightarrow{f} & Y \\
 \phi \searrow & & \swarrow \psi \\
 & X &
 \end{array}$$

commute;

- *Identities.* For each  $(X, \phi) \in \text{Obj}(\text{FibSets}(K))$ , the unit map

$$\text{pt}^{\text{FibSets}(K)}_{(X, \phi)}: \text{pt} \rightarrow \text{Hom}_{\text{FibSets}(K)}((X, \phi), (X, \phi))$$

of  $\text{FibSets}(K)$  at  $(X, \phi)$  is given by

$$\text{id}_{(X, \phi)}^{\text{FibSets}(K)} \stackrel{\text{def}}{=} \text{id}_X,$$

as witnessed by the commutativity of the diagram

$$\begin{array}{ccc} X & \xlongequal{\text{id}_X} & X \\ \phi \searrow & & \swarrow \phi \\ & K & \end{array}$$

in  $\text{Sets}$ :

- *Composition.* For each  $\mathbf{X} = (X, \phi)$ ,  $\mathbf{Y} = (Y, \psi)$ ,  $\mathbf{Z} = (Z, \chi) \in \text{Obj}(\text{FibSets}(K))$ , the composition map

$$\circ_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}^{\text{FibSets}(K)} : \text{Hom}_{\text{FibSets}(K)}(\mathbf{Y}, \mathbf{Z}) \times \text{Hom}_{\text{FibSets}(K)}(\mathbf{X}, \mathbf{Y}) \rightarrow \text{Hom}_{\text{FibSets}(K)}(\mathbf{X}, \mathbf{Z})$$

of  $\text{FibSets}(K)$  at  $(\mathbf{X}, \mathbf{Y}, \mathbf{Z})$  is defined by

$$\circ_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}^{\text{FibSets}(K)} \stackrel{\text{def}}{=} \circ_{X, Y, Z}^{\text{Sets}},$$

as witnessed by the commutativity of the diagram

$$\begin{array}{ccccc} X & \xrightarrow{f} & Y & \xrightarrow{g} & Z \\ \phi \searrow & & \downarrow \psi & & \swarrow \chi \\ & & K & & \end{array}$$

in  $\text{Sets}$ .

#### 9.1.4 The Category of Fibred Sets

##### DEFINITION 9.1.4.1 ► THE CATEGORY OF FIBRED SETS

00TJ

The **category of fibred sets** is the category  $\text{FibSets}$  defined as the Grothendieck construction of the functor  $\text{FibSets} : \text{Sets}^{\text{op}} \rightarrow \text{Cats}$  of [Proposition 9.2.1.4](#):

$$\text{FibSets} \stackrel{\text{def}}{=} \int^{\text{Sets}} \text{FibSets}.$$

**REMARK 9.1.4.2 ▶ UNWINDING DEFINITION 9.1.4.1**

00TK

In detail, the **category of fibred sets** is the category  $\text{FibSets}$  where

- *Objects.* The objects of  $\text{FibSets}$  are pairs  $(K, (X, \phi_X))$  consisting of
  - *The Base Set.* A set  $K$ ;
  - *The Fibred Set.* A  $K$ -fibred set  $\phi_X : X \rightarrow K$ ;
- *Morphisms.* A morphism of  $\text{FibSets}$  from  $(K, (X, \phi_X))$  to  $(K', (Y, \phi_Y))$  is a pair  $(\phi, f)$  consisting of
  - *The Base Map.* A map of sets  $\phi : K \rightarrow K'$ ;
  - *The Morphism of Fibred Sets.* A morphism of  $K$ -fibred sets

$$f : (X, \phi_X) \rightarrow \phi_Y^*(Y), \quad \begin{array}{ccc} X & \xrightarrow{f} & Y \times_{K'} K \\ \phi_X \searrow & & \swarrow \text{pr}_2 \\ & & K; \end{array}$$

- *Identities.* For each  $(K, X) \in \text{Obj}(\text{FibSets})$ , the unit map

$$\text{id}_{(K, X)}^{\text{FibSets}} : \text{pt} \rightarrow \text{FibSets}((K, X), (K, X))$$

of  $\text{FibSets}$  at  $(K, X)$  is defined by

$$\text{id}_{(K, X)}^{\text{FibSets}} \stackrel{\text{def}}{=} (\text{id}_K, \sim),$$

where  $\sim$  is the isomorphism  $X \rightarrow X \times_K K$  as in the diagram

$$X \xrightarrow{\sim} X \times_K K \quad \begin{array}{ccc} & \text{---} \cdots \text{---} & \\ & \searrow \phi_X & \swarrow \text{pr}_2 \\ & K; & \end{array}$$

- *Composition.* For each  $\mathbf{X} = (K, X), \mathbf{Y} = (K', Y), \mathbf{Z} = (K'', Z) \in \text{Obj}(\text{FibSets})$ , the composition map

$$\circ_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}^{\text{FibSets}} : \text{FibSets}(\mathbf{Y}, \mathbf{Z}) \times \text{FibSets}(\mathbf{X}, \mathbf{Y}) \rightarrow \text{FibSets}(\mathbf{X}, \mathbf{Z})$$

of  $\text{FibSets}$  at  $(\mathbf{X}, \mathbf{Y}, \mathbf{Z})$  is defined by

$$g \circ_{\mathbf{X}, \mathbf{Y}, \mathbf{Z}}^{\text{FibSets}} f \stackrel{\text{def}}{=} (g \times_{K'} \text{id}_K) \circ f$$

as in the diagram

$$\begin{array}{ccccc}
 & & & \cong Z \times_{K''} K & \\
 & X \xrightarrow{f} & Y \times_{K'} K \xrightarrow{g \times_{K'} \text{id}_K} & \overbrace{(Z \times_{K''} K') \times_{K'} K}^{\cong Z \times_{K''} K} & \\
 & \searrow \phi_X & \downarrow \text{pr}_2 & \nearrow \text{pr}_2 & \\
 & & K; & &
 \end{array}$$

for each  $f \in \text{Obj}(\text{FibSets}(\mathbf{X}, \mathbf{Y}))$  and each  $g \in \text{Obj}(\text{FibSets}(\mathbf{Y}, \mathbf{Z}))$ .

## 9.2 Constructions With Fibred Sets

### 9.2.1 Change of Base

Let  $f: K \rightarrow K'$  be a function and let  $(X, \phi_X)$  be a  $K'$ -fibred set.

#### DEFINITION 9.2.1.1 ► CHANGE OF BASE FOR FIBRED SETS

00TN

The **change of base of**  $(X, \phi_X)$  to  $K$  is the  $K$ -fibred set  $f^*(X)$  defined by

$$\begin{array}{c}
 f^*(X) \xrightarrow{\text{pr}_2} X \\
 \downarrow \lrcorner \quad \downarrow \phi_X \\
 \text{pr}_1 \quad \quad \quad \downarrow \\
 K \xrightarrow{f} K'.
 \end{array}$$

$$f^*(X) \stackrel{\text{def}}{=} (K \times_{K'} X, \text{pr}_1),$$

#### PROPOSITION 9.2.1.2 ► FUNCTORIALITY OF CHANGE OF BASE

00TP

The assignment  $X \mapsto f^*(X)$  defines a functor

$$f^*: \text{FibSets}(K') \rightarrow \text{FibSets}(K),$$

where

- *Action on Objects.* For each  $(X, \phi_X) \in \text{Obj}(\text{FibSets}(K'))$ , we have

$$f^*(X, \phi_X) \stackrel{\text{def}}{=} f^*(X);$$

- *Action on Morphisms.* For each  $(X, \phi_X), (Y, \phi_Y) \in \text{Obj}(\text{FibSets}(K'))$ , the

action on Hom-sets

$$f_{X,Y}^*: \text{Hom}_{\text{FibSets}(K')}(X, Y) \rightarrow \text{Hom}_{\text{FibSets}(K)}(f^*(X), f^*(Y))$$

of  $f^*$  at  $((X, \phi_X), (Y, \phi_Y))$  is the map sending a morphism of  $K'$ -fibred sets

$$g: (X, \phi_X) \rightarrow (Y, \phi_Y)$$

to the morphism of  $K$ -fibred sets given by the dashed morphism in the diagram

$$\begin{array}{ccccc} f^*(X) & \longrightarrow & X & & \\ \downarrow & \lrcorner & \downarrow \phi_X & \searrow g & \\ f^*(Y) & \xrightarrow{\quad} & Y & & \\ \downarrow & \lrcorner & \downarrow \phi_Y & & \\ K & \xrightarrow{f} & K' & & \\ \parallel & & \parallel & & \\ K & \xrightarrow{f} & K'. & & \end{array}$$

#### PROOF 9.2.1.3 ▶ PROOF OF PROPOSITION 9.2.1.2

Omitted.



#### PROPOSITION 9.2.1.4 ▶ FUNCTORIALITY OF CATEGORIES OF $K$ -FIBRED SETS

00TQ

The assignment  $K \mapsto \text{FibSets}(K)$  defines a functor

$$\text{FibSets}: \text{Sets}^{\text{op}} \rightarrow \text{Cats},$$

where

- *Action on Objects.* For each  $K \in \text{Obj}(\text{Sets})$ , we have

$$[\text{FibSets}](K) \stackrel{\text{def}}{=} \text{FibSets}(K);$$

- *Action on Morphisms.* For each  $K, K' \in \text{Obj}(\text{Sets})$ , the action on Hom-sets

$$\text{Sets}_{/(-)|K,K'}: \text{Sets}^{\text{op}}(K, K') \rightarrow \text{Fun}(\text{FibSets}(K), \text{FibSets}(K'))$$

of  $\text{Sets}_{/(-)}$  at  $(K, K')$  is the map sending a map of sets  $f: K \rightarrow K'$  to the functor

$$\text{Sets}_{/f}: \text{FibSets}(K') \rightarrow \text{FibSets}(K)$$

defined by

$$\text{Sets}_{/f} \stackrel{\text{def}}{=} f^*.$$

## PROOF 9.2.1.5 ► PROOF OF PROPOSITION 9.2.1.4

Omitted. 

## 9.2.2 Dependent Sums

Let  $f: K \rightarrow K'$  be a function and let  $(X, \phi_X)$  be a  $K$ -fibred set.

## DEFINITION 9.2.2.1 ► DEPENDENT SUMS FOR FIBRED SETS

00TS

The **dependent sum**<sup>1</sup> of  $(X, \phi_X)$  is the  $K'$ -fibred set  $\Sigma_f(X)$ <sup>2</sup> defined by

$$\begin{aligned}\Sigma_f(X) &\stackrel{\text{def}}{=} (\Sigma_f(X), \Sigma_f(\phi_X)) \\ &\stackrel{\text{def}}{=} (X, f \circ \phi_X).\end{aligned}$$

<sup>1</sup>The name “dependent sum” comes from the fact that the fibre  $\Sigma_f(\phi_X)^{-1}(x)$  of  $\Sigma_f(X)$  at  $x \in K'$  is given by

$$\Sigma_f(\phi_X)^{-1}(x) \cong \coprod_{y \in f^{-1}(x)} \phi_X^{-1}(y);$$

see Item 2 of Proposition 9.2.2.2.

<sup>2</sup>Further Notation: Also written  $f_*(X)$ .

## PROPOSITION 9.2.2.2 ► PROPERTIES OF DEPENDENT SUMS OF FIBRED SETS

00TT

Let  $f: K \rightarrow K'$  be a function.

00TU

1. *Functoriality.* The assignment  $X \mapsto \Sigma_f(X)$  defines a functor

$$\Sigma_f: \text{FibSets}(K) \rightarrow \text{FibSets}(K'),$$

where

- *Action on Objects.* For each  $(X, \phi_X) \in \text{Obj}(\text{FibSets}(K))$ , we have

$$\Sigma_f(X, \phi_X) \stackrel{\text{def}}{=} (\Sigma_f(X), \Sigma_f(\phi_X));$$

- *Action on Morphisms.* For each  $(X, \phi_X), (Y, \phi_Y) \in \text{Obj}(\text{FibSets}(K))$ , the action on Hom-sets

$$\Sigma_f|_{X,Y}: \text{Hom}_{\text{FibSets}(K)}(X, Y) \rightarrow \text{Hom}_{\text{FibSets}(K)}(\Sigma_f(X), \Sigma_f(Y))$$

of  $\Sigma_f$  at  $((X, \phi_X), (Y, \phi_Y))$  is the map sending a morphism of  $K$ -fibred sets

$$g: (X, \phi_X) \rightarrow (Y, \phi_Y)$$

to the morphism of  $K'$ -fibred sets defined by

$$\Sigma_f(g) \stackrel{\text{def}}{=} g.$$

00TV

2. *Interaction With Fibres.* We have a bijection of sets

$$\Sigma_f(\phi_X)^{-1}(k') \cong \coprod_{k \in f^{-1}(k')} \phi_X^{-1}(k)$$

for each  $k' \in K'$ .

#### PROOF 9.2.2.3 ► PROOF OF PROPOSITION 9.2.2.2

Item 1: Functoriality

Omitted.

Item 2: Interaction With Fibres

Indeed, we have

$$\begin{aligned} \Sigma_f(\phi_X)^{-1}(k') &\stackrel{\text{def}}{=} \text{pt} \times_{[k'], K', f \circ \phi_X} X \\ &\cong \{x \in X \mid f(\phi_X(x)) = k'\} \\ &\cong \coprod_{k \in f^{-1}(k')} \{x \in X \mid \phi_X(x) = k\} \\ &\cong \coprod_{k \in f^{-1}(k')} \phi_X^{-1}(k) \end{aligned}$$

for each  $k' \in K'$ . □

### 9.2.3 Dependent Products

Let  $f: K \rightarrow K'$  be a function and let  $(X, \phi_X)$  be a  $K$ -fibred set.

#### DEFINITION 9.2.3.1 ► DEPENDENT PRODUCTS OF FIBRED SETS

00TX

The **dependent product**<sup>1</sup> of  $(X, \phi_X)$  is the  $K'$ -fibred set  $\Pi_f(X)$ <sup>2</sup> consisting of<sup>3</sup>

- *The Underlying Set.* The set  $\Pi_f(X)$  defined by

$$\Pi_f(X) \stackrel{\text{def}}{=} \coprod_{k' \in K'} \prod_{k \in f^{-1}(k')} \phi_X^{-1}(k);$$

- *The Fibration.* The map of sets

$$\Pi_f(\phi_X): \Pi_f(X) \rightarrow K'$$

defined by sending an element of

$$\Pi_f(X) \stackrel{\text{def}}{=} \coprod_{k' \in K'} \prod_{k \in f^{-1}(k')} \phi_X^{-1}(k)$$

to its index  $k'$  in  $K'$ .

---

<sup>1</sup>The name “dependent product” comes from the fact that the fibre  $\Pi_f(\phi_X)^{-1}(k')$  of  $\Pi_f(X)$  at  $k' \in K'$  is given by

$$\Pi_f(\phi_X)^{-1}(k') \cong \prod_{k \in f^{-1}(k')} \phi_X^{-1}(k);$$

see Item 2 of Proposition 9.2.3.4.

<sup>2</sup>Further Notation: Also written  $f_!(X)$ .

<sup>3</sup>We can also define dependent products via the internal **Hom** in **FibSets**( $K'$ ); see Item 3 of Proposition 9.2.3.4.

#### EXAMPLE 9.2.3.2 ▶ EXAMPLES OF DEPENDENT PRODUCTS OF SETS

00TY Here are some examples of dependent products of sets.

00TZ 1. *Spaces of Sections.* Let  $K = X, K' = \text{pt}$ , let  $\phi: E \rightarrow X$  be a map of sets, and write  $!_X: X \rightarrow \text{pt}$  for the terminal map from  $X$  to  $\text{pt}$ . We have a bijection of sets

$$\begin{aligned} \Pi_{!_X}((E, \phi)) &\cong \Gamma_X(\phi) \\ &\stackrel{\text{def}}{=} \{h \in \text{Sets}(X, E) \mid \phi \circ h = \text{id}_X\}. \end{aligned}$$

00U0 2. *Function Spaces.* Let  $K = K' = \text{pt}$  and write  $!_X: X \rightarrow \text{pt}$  and  $!_Y: Y \rightarrow \text{pt}$  for the terminal maps from  $X$  and  $Y$  to  $\text{pt}$ . We have a bijection of sets

$$\text{Sets}(X, Y) \cong \Pi_{!_X}(!_X^*(Y, !_Y)).$$

#### PROOF 9.2.3.3 ▶ PROOF OF EXAMPLE 9.2.3.2

##### Item 1: Spaces of Sections

Indeed, we have

$$\begin{aligned} \Pi_{!_X}((E, \phi)) &\stackrel{\text{def}}{=} \coprod_{\star \in \text{pt}} \prod_{k \in !_X^{-1}(\star)} \phi_X^{-1}(k) \\ &= \prod_{x \in X} \phi_X^{-1}(x) \\ &\cong \{h \in \text{Sets}(X, E) \mid \phi_X \circ h = \text{id}_X\} \\ &\stackrel{\text{def}}{=} \Gamma_X(\phi). \end{aligned}$$

## Item 2: Function Spaces

Indeed, we have

$$\begin{aligned}\Pi_{!X}(!_X^*(Y, !_Y)) &\stackrel{\text{def}}{=} \Pi_{!X}(X \times_{!X, \text{pt}, !Y} Y) \\ &\stackrel{\text{def}}{=} \coprod_{\star \in \text{pt}} \prod_{x \in !_X^{-1}(\star)} \text{pr}_1^{-1}(x) \\ &= \prod_{x \in X} Y \\ &\cong \text{Sets}(X, Y).\end{aligned}$$

This finishes the proof. 

## PROPOSITION 9.2.3.4 ► PROPERTIES OF DEPENDENT PRODUCTS OF FIBRED SETS

00U1 Let  $f: K \rightarrow K'$  be a function.

00U2 1. *Functoriality.* The assignment  $X \mapsto \Pi_f(X)$  defines a functor

$$\Pi_f: \text{FibSets}(K) \rightarrow \text{FibSets}(K'),$$

where

- *Action on Objects.* For each  $(X, \phi_X) \in \text{Obj}(\text{FibSets}(K))$ , we have

$$\Pi_f(X, \phi_X) \stackrel{\text{def}}{=} \Pi_f(X);$$

- *Action on Morphisms.* For each  $(X, \phi_X), (Y, \phi_Y) \in \text{Obj}(\text{FibSets}(K))$ , the action on Hom-sets

$$\Pi_{f|X,Y}: \text{Hom}_{\text{FibSets}(K)}(X, Y) \rightarrow \text{Hom}_{\text{FibSets}(K')}(\Pi_f(X), \Pi_f(Y))$$

of  $\Pi_f$  at  $((X, \phi_X), (Y, \phi_Y))$  is the map sending a morphism of  $K$ -fibred sets

$$\xi: (X, \phi_X) \rightarrow (Y, \phi_Y), \quad \begin{array}{ccc} X & \xrightarrow{\xi} & Y \\ \phi_X \searrow & & \swarrow \phi_Y \\ & K & \end{array}$$

to the morphism

$$\begin{array}{ccc} \Pi_f(X) & \xrightarrow{\Pi_f(\xi)} & \Pi_f(Y) \\ \Pi_f(\phi_X) \searrow & & \swarrow \Pi_f(\phi_Y) \\ & K & \end{array}$$

of  $K'$ -fibred sets given by<sup>1</sup>

$$[\Pi_f(\xi)]((x_k)_{k \in f^{-1}(k')}) \stackrel{\text{def}}{=} (\xi(x_k))_{k \in f^{-1}(k')}$$

for each  $(x_k)_{k \in f^{-1}(k')} \in \Pi_f(X)$ .

00U3

2. *Interaction With Fibres.* We have a bijection of sets

$$\Pi_f(\phi_X)^{-1}(k') \cong \prod_{k \in f^{-1}(k')} \phi_X^{-1}(k);$$

for each  $k' \in K'$ .

00U4

3. *Construction Using the Internal Hom.* We have

$$\Pi_f(X, \phi_X) = \left( K' \times_{\mathbf{Hom}_{\mathbf{FibSets}(K')}} ((K, f), (K, f)) \mathbf{Hom}_{\mathbf{FibSets}(K')}((K, f), (X, f \circ \phi_X)), \text{pr}_1 \right),$$

forming a pullback diagram

$$\begin{array}{ccc} \Pi_f(X, \phi_X) & \xrightarrow{\text{pr}_2} & \mathbf{Hom}_{\mathbf{FibSets}(K')}((K, f), (X, f \circ \phi_X)) \\ \text{pr}_1 \downarrow & \lrcorner & \downarrow (\phi_X)_* \\ K' & \xrightarrow{I} & \mathbf{Hom}_{\mathbf{FibSets}(K')}((K, f), (K, f)), \end{array}$$

where the bottom map is given by

$$I(k') \stackrel{\text{def}}{=} \text{id}_{f^{-1}(k')}$$

for each  $k' \in K'$  and where  $\mathbf{Hom}_{\mathbf{FibSets}(K')}$  denotes the internal Hom of  $\mathbf{FibSets}(K')$  of [Definition 9.2.4.1](#).

00U5

4. *Internal Homs via Dependent Products.* We have

$$\mathbf{Hom}_{\mathbf{FibSets}(K)}(X, Y) \cong \Pi_{\phi_X}(\phi_X^*(Y)).$$

<sup>1</sup>Note that we indeed have  $\xi(x_k) \in \phi_Y^{-1}(k)$ , since

$$\begin{aligned} \phi_Y(\xi(x_k)) &= [\phi_Y \circ \xi](x_k) \\ &= \phi_X(x_k) \\ &= k, \end{aligned}$$

where we have used that  $\xi$  is a morphism of  $K$ -fibred sets for the second equality.

**PROOF 9.2.3.5 ▶ PROOF OF PROPOSITION 9.2.3.4****Item 1: Functoriality**

Omitted.

**Item 2: Interaction With Fibres**

Clear.

**Item 3: Construction Using the Internal Hom**

Using the explicit formula for pullbacks of sets given in ??, we see that the pullback

$$K' \times_{\mathbf{Hom}_{\mathbf{FibSets}(K')}((K,f),(K,f))} \mathbf{Hom}_{\mathbf{FibSets}(K')}((K,f), (X, f \circ \phi_X))$$

is given by

$$\left\{ (k', h) \in \coprod_{k' \in K'} \text{Sets}(f^{-1}(k'), \phi_X^{-1}(f^{-1}(k'))) \mid \phi_X \circ h = \text{id}_{f^{-1}(k')} \right\},$$

which is isomorphic to

$$\coprod_{k' \in K'} \{ h \in \text{Sets}(f^{-1}(k'), \phi_X^{-1}(f^{-1}(k'))) \mid \phi_X \circ h = \text{id}_{f^{-1}(k')} \}.$$

We claim that

$$\{ h \in \text{Sets}(f^{-1}(k'), \phi_X^{-1}(f^{-1}(k'))) \mid \phi_X \circ h = \text{id}_{f^{-1}(k')} \} \cong \prod_{k \in f^{-1}(k')} \phi_X^{-1}(k),$$

so that the pullback is indeed given by  $\Pi_f(X)$ . There are two cases:

1. If  $f^{-1}(k') = \emptyset$ , then there is only one map from  $f^{-1}(k')$  to  $\phi_X^{-1}(f^{-1}(k'))$  (the inclusion), so  $\text{Sets}(f^{-1}(k'), \phi_X^{-1}(f^{-1}(k'))) \cong \text{pt}$ . Since products indexed by the empty set are isomorphic to pt, the isomorphism follows.
2. Otherwise, by the condition  $\phi_X \circ h = \text{id}_{f^{-1}(k')}$ , it follows that, for each  $k \in f^{-1}(k')$ , we must have

$$\phi_X(h(k)) = k,$$

and thus  $h(k) \in \phi_X^{-1}(k)$ . Therefore, a map from  $f^{-1}(k')$  to  $\phi_X^{-1}(f^{-1}(k'))$  consists of a choice of an element from  $\phi_X^{-1}(k)$  for each  $k \in f^{-1}(k')$ , which is precisely given by an element of the product  $\prod_{k \in f^{-1}(k')} \phi_X^{-1}(k)$ , showing the bijection to be true.

## Item 4: Internal Hom via Dependent Products

Indeed we have

$$\begin{aligned}
 \Pi_{\phi_X}(\phi_X^*(Y)) &\stackrel{\text{def}}{=} \Pi_{\phi_X}(X \times_K Y) \\
 &\stackrel{\text{def}}{=} \coprod_{k \in K} \prod_{x \in \phi_X^{-1}(k)} \text{pr}_1^{-1}(x) \\
 &\cong \coprod_{k \in K} \prod_{x \in \phi_X^{-1}(k)} \{y \in Y \mid \phi_X(x) = \phi_Y(y)\} \\
 &\cong \coprod_{k \in K} \prod_{x \in \phi_X^{-1}(k)} \{y \in Y \mid k = \phi_Y(y)\} \\
 &\cong \coprod_{k \in K} \prod_{x \in \phi_X^{-1}(k)} \phi_Y^{-1}(k) \\
 &\cong \coprod_{k \in K} \text{Sets}(\phi_X^{-1}(k), \phi_Y^{-1}(k)) \\
 &\stackrel{\text{def}}{=} \mathbf{Hom}_{\text{FibSets}(K)}(X, Y).
 \end{aligned}$$

This finishes the proof. ■

#### 9.2.4 Internal Hom

Let  $K$  be a set and let  $(X, \phi_X)$  and  $(Y, \phi_Y)$  be  $K$ -fibred sets.

##### DEFINITION 9.2.4.1 ► INTERNAL HOM OF FIBRED SETS

**00U7** The **internal Hom of fibred sets from**  $(X, \phi_X)$  **to**  $(Y, \phi_Y)$  is the fibred set  $\mathbf{Hom}_{\text{FibSets}(K)}(X, Y)$  consisting of

- *The Underlying Set.* The set  $\mathbf{Hom}_{\text{FibSets}(K)}(X, Y)$  defined by

$$\mathbf{Hom}_{\text{FibSets}(K)}(X, Y) \stackrel{\text{def}}{=} \coprod_{k \in K} \text{Sets}(\phi_X^{-1}(k), \phi_Y^{-1}(k));$$

- *The Fibration.* The map of sets<sup>1</sup>

$$\begin{aligned}
 \phi_{\mathbf{Hom}_{\text{FibSets}(K)}(X, Y)} : & \underbrace{\mathbf{Hom}_{\text{FibSets}(K)}(X, Y)}_{\coprod_{k \in K} \text{Sets}(\phi_X^{-1}(k), \phi_Y^{-1}(k))} \rightarrow K
 \end{aligned}$$

defined by sending a map  $f: \phi_X^{-1}(k) \rightarrow \phi_Y^{-1}(k)$  to its index  $k \in K$ .

<sup>1</sup>The fibres of the internal **Hom** of  $\text{FibSets}(K)$  are precisely the sets  $\text{Sets}(\phi_X^{-1}(k), \phi_Y^{-1}(k))$ , i.e. we have

$$\phi_{\text{Hom}_{\text{FibSets}(K)}(X, Y)|k} \cong \text{Sets}(\phi_X^{-1}(k), \phi_Y^{-1}(k))$$

for each  $k \in K$ .

#### PROOF 9.2.4.2 ► PROOF OF DEFINITION 9.2.4.1

Omitted.



#### PROPOSITION 9.2.4.3 ► PROPERTIES OF INTERNAL HOMS OF FIBRED SETS

00U8 Let  $K$  be a set and let  $(X, \phi_X)$  and  $(Y, \phi_Y)$  be  $K$ -fibred sets.

00U9 1. *Functoriality.* Let  $(X, \phi_X)$  and  $(Y, \phi_Y)$  be  $K$ -fibred sets.

(a) The assignment  $X \mapsto \text{Hom}_{\text{FibSets}(K)}(X, Y)$  defines a functor

$$\text{Hom}_{\text{FibSets}(K)}(X, -) : \text{FibSets}(K) \rightarrow \text{FibSets}(K).$$

(b) The assignment  $Y \mapsto \text{Hom}_{\text{FibSets}(K)}(X, Y)$  defines a functor

$$\text{Hom}_{\text{FibSets}(K)}(-, Y) : \text{FibSets}(K)^{\text{op}} \rightarrow \text{FibSets}(K).$$

(c) The assignment  $(X, Y) \mapsto \text{Hom}_{\text{FibSets}(K)}(X, Y)$  defines a functor

$$\text{Hom}_{\text{FibSets}(K)}(-_1, -_2) : \text{FibSets}(K)^{\text{op}} \times \text{FibSets}(K) \rightarrow \text{FibSets}(K).$$

00UA 2. *Internal Homs via Dependent Products.* We have

$$\text{Hom}_{\text{FibSets}(K)}(X, Y) \cong \Pi_{\phi_X}(\phi_X^*(Y)).$$

#### PROOF 9.2.4.4 ► PROOF OF PROPOSITION 9.2.4.3

Item 1: Functoriality

Omitted.

Item 2: Internal Homs via Dependent Products

This was proved in Item 4 of Proposition 9.2.3.4.



## 9.2.5 Adjointness for Fibred Sets

Let  $f : K \rightarrow K'$  be a map of sets.

**PROPOSITION 9.2.5.1 ► ADJOINTNESS FOR FIBRED SETS**

00UC

We have a triple adjunction

$$(\Sigma_f \dashv f^* \dashv \Pi_f): \quad \text{FibSets}(K) \begin{array}{c} \xleftarrow{\perp} \\[-1ex] f^* \\[-1ex] \xrightarrow{\perp} \end{array} \text{FibSets}(K').$$

We offer two proofs. The first uses the corresponding adjunction for indexed sets (??) and the un/straightening equivalence together with its compatibility with dependent sums and products to “transfer” the adjunction to fibred sets, while the second is a direct proof.

**PROOF 9.2.5.2 ► FIRST PROOF OF PROPOSITION 9.2.5.1**

The Adjunction  $\Sigma_f \dashv f^*$

The adjunction

$$(\Sigma_f \dashv f^*): \quad \text{ISets}(K) \begin{array}{c} \xleftarrow{\perp} \\[-1ex] f^* \\[-1ex] \xrightarrow{\perp} \end{array} \text{ISets}(K')$$

of ?? gives a unit and counit of the form

$$\begin{aligned} \eta: \text{id}_{\text{ISets}(K)} &\Longrightarrow \Sigma_f \circ f^*, \\ \epsilon: f^* \circ \Sigma_f &\Longrightarrow \text{id}_{\text{ISets}(K')}. \end{aligned}$$

With these in hand, we construct natural transformations

$$\begin{aligned} \eta': \text{id}_{\text{FibSets}(K)} &\Longrightarrow \Sigma_f \circ f^*, \\ \epsilon': f^* \circ \Sigma_f &\Longrightarrow \text{id}_{\text{FibSets}(K')} \end{aligned}$$

as follows:

1. *The Unit.* We define  $\eta': \text{id}_{\text{FibSets}(K)} \Longrightarrow \Sigma_f \circ f^*$  as the pasting of the

diagram

$$\begin{array}{ccccc}
 & & \text{FibSets}(K') & & \\
 & \nearrow \Sigma_f & \uparrow \text{St}_{K'} & \searrow f^* & \\
 \text{FibSets}(K) & (1) & \text{ISets}(K') & (2) & \text{FibSets}(K) \\
 \uparrow \text{id}_{\text{FibSets}(K)} & \swarrow \text{St}_K & \uparrow \eta \quad \parallel & \swarrow \text{St}_K & \uparrow \text{id}_{\text{FibSets}(K)} \\
 & \text{ISets}(K) & \xrightarrow{\Sigma_f} \text{id}_{\text{ISets}(K)} \rightarrow \text{ISets}(K) & \xleftarrow{\text{id}_{\text{FibSets}(K)}} & \\
 \uparrow \text{Un}_K & (3) & (5) & (4) & \uparrow \text{Un}_K \\
 \text{FibSets}(K) & \xrightarrow{\text{id}_{\text{FibSets}(K)}} & \text{FibSets}(K), & &
 \end{array}$$

where:

- (a) Subdiagram (1) commutes by ?? of ??.
- (b) Subdiagram (2) commutes by ?? of ??.
- (c) Subdiagram (3) commutes by ??.
- (d) Subdiagram (4) commutes by ??.
- (e) Subdiagram (5) commutes by unitality of composition.

2. *The Counit.* We define  $\epsilon': f^* \circ \Sigma_f \Rightarrow \text{id}_{\text{FibSets}(K')}$  as the pasting of the diagram

$$\begin{array}{ccccc}
 \text{FibSets}(K') & \xrightarrow{\text{id}_{\text{FibSets}(K')}} & \text{FibSets}(K') & & \\
 \downarrow \text{id}_{\text{FibSets}(K')} & \swarrow \text{Un}_K & & \swarrow \text{Un}_K & \downarrow \text{id}_{\text{FibSets}(K')} \\
 & (1) & & & \\
 & \text{ISets}(K') \xrightarrow{\text{id}_{\text{ISets}(K)}} \text{ISets}(K') & & & \\
 \downarrow \text{id}_{\text{FibSets}(K')} & \swarrow \text{St}_{K'} & \uparrow \epsilon \parallel \Sigma_f & \swarrow \text{St}_{K'} & \downarrow \text{id}_{\text{FibSets}(K')} \\
 \text{FibSets}(K') & (2) & \text{ISets}(K) & (5) & \text{FibSets}(K') \\
 \downarrow f^* & \nearrow \Sigma_f & \downarrow \text{St}_K & \nearrow \Sigma_f & \\
 & \text{FibSets}(K) & & &
 \end{array}$$

where:

- (a) Subdiagram (1) commutes by unitality of composition.

- (b) Subdiagram (2) commutes by ??.
  - (c) Subdiagram (3) commutes by ??.
  - (d) Subdiagram (4) commutes by ?? of ??.
  - (e) Subdiagram (5) commutes by ?? of ??.

Next, we prove the left triangle identity,

$$\begin{array}{ccc}
 \text{FibSets}(K') & \xrightarrow{\text{id}_{\text{FibSets}(K')}} & \text{FibSets}(K') \\
 \Sigma_f \nearrow \quad \uparrow \eta \quad f^* \searrow & \parallel \epsilon \parallel & \Sigma_f \nearrow \\
 \text{FibSets}(K) & \xrightarrow{\text{id}_{\text{FibSets}(K)}} & \text{FibSets}(K)
 \end{array} = \begin{array}{ccc}
 \text{FibSets}(K') & \xrightarrow{\text{id}_{\text{FibSets}(K')}} & \text{FibSets}(K'), \\
 \Sigma_f \nearrow \quad \uparrow \text{id}_{\Sigma_f} \quad \Sigma_f \nearrow & \parallel & \Sigma_f \nearrow \\
 \text{FibSets}(K) & \xrightarrow{\text{id}_{\text{FibSets}(K)}} & \text{FibSets}(K)
 \end{array}$$

whose left side in our case looks like this:

$$\begin{array}{ccccccc}
& & \text{FibSets}(K') & & \text{FibSets}(K') & & \\
& \nearrow \Sigma_f & \downarrow \text{id}_{\text{FibSets}(K')} & & \downarrow \text{id}_{\text{FibSets}(K')} & & \nearrow \Sigma_f \\
\text{FibSets}(K) & & \text{ISets}(K') & & \text{FibSets}(K) & & \text{FibSets}(K') \\
\uparrow \text{id}_{\text{FibSets}(K)} & \nearrow \text{St}_K & \parallel \eta & \uparrow \text{id}_{\text{FibSets}(K)} & \downarrow \text{id}_{\text{FibSets}(K')} & \parallel \epsilon & \uparrow \text{id}_{\text{FibSets}(K')} \\
& & \text{ISets}(K) & & \text{FibSets}(K) & & \text{FibSets}(K') \\
& \nearrow \Sigma_f & \downarrow f^* & & \downarrow \text{id}_{\text{FibSets}(K')} & \nearrow \Sigma_f & \downarrow \text{id}_{\text{FibSets}(K')} \\
\text{FibSets}(K) & & \text{ISets}(K) & & \text{FibSets}(K') & & \text{FibSets}(K') \\
\uparrow \text{id}_{\text{FibSets}(K)} & \nearrow \text{St}_K & \parallel & \uparrow \text{id}_{\text{FibSets}(K)} & \downarrow \text{id}_{\text{FibSets}(K')} & \nearrow \Sigma_f & \downarrow \text{id}_{\text{FibSets}(K')} \\
& & \text{ISets}(K) & & \text{FibSets}(K) & & \text{FibSets}(K') \\
& \nearrow \Sigma_f & \downarrow f^* & & \downarrow \text{id}_{\text{FibSets}(K')} & \nearrow \Sigma_f & \downarrow \text{id}_{\text{FibSets}(K')} \\
\text{FibSets}(K) & & \text{ISets}(K) & & \text{FibSets}(K') & & \text{FibSets}(K') \\
\uparrow \text{id}_{\text{FibSets}(K)} & \nearrow \text{St}_K & \parallel & \uparrow \text{id}_{\text{FibSets}(K)} & \downarrow \text{id}_{\text{FibSets}(K')} & \nearrow \Sigma_f & \downarrow \text{id}_{\text{FibSets}(K')} \\
& & \text{ISets}(K) & & \text{FibSets}(K) & & \text{FibSets}(K') \\
& \nearrow \Sigma_f & \downarrow f^* & & \downarrow \text{id}_{\text{FibSets}(K')} & \nearrow \Sigma_f & \downarrow \text{id}_{\text{FibSets}(K')} \\
\text{FibSets}(K) & & \text{ISets}(K) & & \text{FibSets}(K') & & \text{FibSets}(K')
\end{array}$$

It can be rearranged into

where:

1. Subdiagram (1) commutes by ??.
  2. Subdiagram (2) commutes by unitality of composition.
  3. Subdiagram (3) commutes by ??.

And then, it can be rearranged into

$$\begin{array}{ccccc}
 & \text{FibSets}(K') & & \text{id}_{\text{FibSets}(K')} & \rightarrow \text{FibSets}(K') \\
 \Sigma_f \nearrow & \uparrow \text{St}_{K'} & & & \downarrow \text{Un}_K \\
 \text{FibSets}(K) & & \text{ISets}(K') & & \text{ISets}(K') \\
 \text{id}_{\text{FibSets}(K)} \uparrow & \Sigma_f \nearrow & \eta \parallel & \text{id}_{\text{ISets}(K)} & \rightarrow \text{ISets}(K') \\
 & \text{ISets}(K) & & \text{id}_{\text{ISets}(K)} & \rightarrow \text{ISets}(K) \\
 \text{id}_{\text{FibSets}(K)} \uparrow & \text{St}_K \nearrow & & \text{id}_{\text{ISets}(K)} & \rightarrow \text{FibSets}(K) \\
 & \text{FibSets}(K) & & \text{id}_{\text{FibSets}(K)} & \rightarrow \text{FibSets}(K)
 \end{array}$$

which by the left triangle identity for  $(\eta, \epsilon)$ , becomes

$$\begin{array}{ccccc}
 & \text{FibSets}(K') & & \text{id}_{\text{FibSets}(K')} & \rightarrow \text{FibSets}(K') \\
 \Sigma_f \nearrow & \uparrow \text{St}_{K'} & & & \downarrow \text{Un}_K \\
 \text{FibSets}(K) & & \text{ISets}(K') & & \text{ISets}(K') \\
 \text{id}_{\text{FibSets}(K)} \uparrow & \Sigma_f \nearrow & \text{id} & \text{id}_{\text{ISets}(K)} & \rightarrow \text{ISets}(K') \\
 & \text{ISets}(K) & & \text{id}_{\text{ISets}(K)} & \rightarrow \text{FibSets}(K) \\
 \text{id}_{\text{FibSets}(K)} \uparrow & \text{St}_K \nearrow & & \text{id}_{\text{FibSets}(K)} & \rightarrow \text{FibSets}(K)
 \end{array}$$

finishing the proof of the left triangle identity. The proof of the right triangle identity is similar, and is thus omitted.

The Adjunction  $f^* \dashv \Pi_f$

This proof is similar to the proof of the adjunction  $\Sigma_f \dashv f^*$ , and is thus omitted. 

We proceed to the direct proof of [Proposition 9.2.5.1](#).

#### PROOF 9.2.5.3 ▶ SECOND PROOF OF PROPOSITION 9.2.5.1

The Adjunction  $\Sigma_f \dashv f^*$

We claim there's a bijection

$$\text{Hom}_{\text{FibSets}(K')}(\Sigma_f(X), Y) \cong \text{Hom}_{\text{FibSets}(K)}(X, f^*(Y)),$$

natural in  $(X, \phi_X) \in \text{Obj}(\text{FibSets}(K))$  and  $(Y, \phi_Y) \in \text{Obj}(\text{FibSets}(K'))$ :

- Map I. We define a map

$$\Phi_{X,Y}: \text{Hom}_{\text{FibSets}(K')}(\Sigma_f(X), Y) \rightarrow \text{Hom}_{\text{FibSets}(K)}(X, f^*(Y)),$$

by sending a morphism

$$\xi: \Sigma_f(X) \rightarrow Y, \quad \begin{array}{ccc} X & \xrightarrow{\xi} & Y \\ \phi_X \searrow & K & \swarrow \phi_Y \\ f \downarrow & K' & \end{array}$$

of  $K'$ -fibred sets to the morphism

$$\xi^\dagger: X \rightarrow f^*(Y), \quad \begin{array}{ccc} X & \xrightarrow{\xi^\dagger} & K \times_{K'} Y \\ \phi_X \searrow & & \swarrow \text{pr}_1 \\ & K' & \end{array}$$

of  $K$ -fibred sets given by the dashed morphism in the diagram

$$\begin{array}{ccccc} X & \xrightarrow{\quad \exists! \quad} & K \times_{K'} Y & \xrightarrow{\text{pr}_2} & Y \\ \phi_X \searrow & \curvearrowright & \downarrow \text{pr}_1 & & \downarrow \phi_Y \\ & & K & \xrightarrow{f} & K' \end{array}$$

• *Map II.* We define a map

$$\Psi_{X,Y}: \text{Hom}_{\text{FibSets}(K)}(X, f^*(Y)) \rightarrow \text{Hom}_{\text{FibSets}(K')}\left(\Sigma_f(X), Y\right),$$

given by sending a map

$$\xi: X \rightarrow f^*(Y), \quad \begin{array}{ccc} X & \xrightarrow{\xi} & K \times_{K'} Y \\ \phi_X \searrow & & \swarrow \text{pr}_1 \\ & K' & \end{array}$$

of  $K'$ -fibred sets to the map

$$\xi^\dagger: \Sigma_f(X) \rightarrow Y, \quad \begin{array}{ccc} X & \xrightarrow{\xi^\dagger} & Y \\ \phi_X \searrow & K & \swarrow \phi_Y \\ f \downarrow & K' & \end{array}$$

of  $K$ -fibred sets given by

$$\xi^\dagger \stackrel{\text{def}}{=} \text{pr}_2 \circ \xi,$$

where we indeed have

$$\begin{aligned} \phi_Y \circ (\text{pr}_2 \circ \xi) &= (\phi_Y \circ \text{pr}_2) \circ \xi \\ &= (f \circ \text{pr}_1) \circ \xi && \text{(by the pullback square of } K \times_{K'} Y) \\ &= f \circ (\text{pr}_1 \circ \xi) \\ &= f \circ \phi_X. && \text{(since } \xi \text{ is a morphism of } K' \text{-fibred sets)} \end{aligned}$$

- *Naturality I.* We need to show that, given a morphism

$$\alpha: (X, \phi_X) \rightarrow (X', \phi_{X'})$$

of  $K$ -fibred sets, the diagram

$$\begin{array}{ccc} \text{Hom}_{\text{FibSets}(K')}( \Sigma_f(X'), Y ) & \xrightarrow{\Phi_{X',Y}} & \text{Hom}_{\text{FibSets}(K)}( X', f^*(Y) ), \\ \downarrow \Sigma_f(\alpha)^* & & \downarrow \alpha^* \\ \text{Hom}_{\text{FibSets}(K')}( \Sigma_f(X), Y ) & \xrightarrow{\Phi_{X,Y}} & \text{Hom}_{\text{FibSets}(K)}( X, f^*(Y) ) \end{array}$$

commutes. Indeed, given a morphism

$$\begin{array}{ccc} X' & \xrightarrow{\xi} & Y \\ \xi: \Sigma_f(X') \rightarrow Y, & \downarrow \phi_{X'}, \quad \downarrow f & \downarrow \phi_Y \\ K & & K' \end{array}$$

of  $K'$ -fibred-sets, the map  $\Phi_{X',Y}(\xi) \circ \alpha$  is the composition, coloured in

**vermillion**, of the dashed arrow with  $\alpha$  in the diagram

$$\begin{array}{ccccc}
 X & \xrightarrow{\quad \alpha \quad} & X' & \xrightarrow{\quad \exists! \quad} & K \times_{K'} Y \xrightarrow{\quad \text{pr}_2 \quad} Y \\
 \downarrow \phi_{X'} \circ \alpha & \nearrow \phi_{X'} & \downarrow \text{pr}_1 & \nearrow \xi & \downarrow \phi_Y \\
 K & \xrightarrow{\quad f \quad} & K' & &
 \end{array}$$

$\xi \circ \alpha$  (dashed orange arrow)  
 $\exists!$  (dashed blue arrow)

while  $\Phi_{X,Y}(\xi \circ \Sigma_f(\alpha))$  is given by the dashed arrow, coloured in **blue**. Since both the **blue arrow** and the **vermillion arrow** make the outer pullback diagram commute, it follows from the universal property of the pullback that they must be equal, i.e. that

$$\Phi_{X',Y}(\xi) \circ \alpha = \Phi_{X,Y}(\xi \circ \Sigma_f(\alpha)),$$

showing that the naturality diagram above indeed commutes.

- *Naturality II.* We need to show that, given a morphism

$$\beta: (Y, \phi_Y) \rightarrow (Y', \phi_{Y'})$$

of  $K$ -fibred sets, the diagram

$$\begin{array}{ccc}
 \text{Hom}_{\text{FibSets}(K')}(\Sigma_f(X), Y) & \xrightarrow{\Phi_{X,Y}} & \text{Hom}_{\text{FibSets}(K)}(X, f^*(Y)), \\
 \beta_* \downarrow & & \downarrow f^*(\beta)_* \\
 \text{Hom}_{\text{FibSets}(K')}(\Sigma_f(X), Y') & \xrightarrow{\Phi_{X,Y'}} & \text{Hom}_{\text{FibSets}(K)}(X, f^*(Y'))
 \end{array}$$

commutes. Indeed, given a morphism

$$\begin{array}{ccc}
 X' & \xrightarrow{\xi} & Y \\
 \xi: \Sigma_f(X') \rightarrow Y, & \phi_{X'} \searrow & \swarrow \phi_Y \\
 & K & \\
 & f \searrow & \swarrow \\
 & K' &
 \end{array}$$

of  $K'$ -fibred-sets, the map  $f^*(\beta) \circ \Phi_{X,Y}(\xi)$  is the composition, coloured in **vermillion**, of the dashed arrow from  $X$  to  $K \times_{K'} Y$  with the dashed arrow from  $K \times_{K'} Y$  to  $K \times_{K'} Y'$  in the diagram

$$\begin{array}{ccccc}
 X & \xrightarrow{\quad \text{dashed orange} \quad} & K \times_{K'} Y & \xrightarrow{\quad \text{dashed blue} \quad} & Y \\
 \downarrow \phi_X & \nearrow \exists! & \downarrow \text{dashed orange} & \nearrow \exists! & \downarrow \beta \\
 & K \times_{K'} Y' & \xrightarrow{\quad \text{dashed blue} \quad} & K \times_{K'} Y' & \xrightarrow{\quad \text{dashed blue} \quad} Y' \\
 & \downarrow & \downarrow & \downarrow & \downarrow \phi_{Y'} \\
 K & \xrightarrow{f} & K & \xrightarrow{\quad \text{dashed blue} \quad} & K' \\
 & \parallel & \parallel & \parallel & \parallel \\
 & K & \xrightarrow{f} & K' &
 \end{array}$$

while  $\Phi_{X,Y'}(\beta \circ \xi)$  is given by the dashed arrow from  $X$  to  $K \times_{K'} Y'$ , coloured in **blue**. Since both the **blue arrow** and the **vermillion arrow** make the outer pullback diagram for  $K \times_{K'} Y'$  commute, it follows from the universal property of the pullback that they must be equal, i.e. that

$$f^*(\beta) \circ \Phi_{X,Y}(\xi) = \Phi_{X,Y'}(\beta \circ \xi),$$

showing that the naturality diagram above indeed commutes.

- *Invertibility I.* We claim that

$$\Psi_{X,Y} \circ \Phi_{X,Y} = \text{id}_{\text{Hom}_{\text{FibSets}(K')}(\Sigma_f(X), Y)}.$$

Indeed,  $\Phi_{X,Y}$  sends a map

$$\begin{array}{ccc}
 & X & \xrightarrow{\xi} Y \\
 \xi: \Sigma_f(X) & \rightarrow & Y \\
 & \phi_X \searrow & \swarrow \phi_Y \\
 & K & \\
 & f \searrow & \swarrow \\
 & K' &
 \end{array}$$

of  $K'$ -fibred sets to the dashed morphism in the diagram

$$\begin{array}{ccccc}
 X & \xrightarrow{\quad \xi \quad} & K \times_{K'} Y & \xrightarrow{\quad \text{pr}_2 \quad} & Y \\
 \phi_X \searrow & \exists! \nearrow & \downarrow & & \downarrow \phi_Y \\
 & & \text{pr}_1 & & \\
 & & K & \xrightarrow{\quad f \quad} & K',
 \end{array}$$

and  $\Psi_{X,Y}$  then postcomposes that map with  $\text{pr}_2$ , which, by the commutativity of the diagram above, is  $\xi$  again, showing the claimed equality to be true.

- *Invertibility II.* We claim that

$$\Phi_{X,Y} \circ \Psi_{X,Y} = \text{id}_{\text{Hom}_{\text{FibSets}(K)}(X, f^*(Y))}.$$

Indeed,  $\Psi_{X,Y}$  sends a map

$$\begin{array}{ccc}
 X & \xrightarrow{\quad \xi \quad} & K \times_{K'} Y \\
 \xi: X \rightarrow f^*(Y), & \swarrow \phi_X & \searrow \text{pr}_1 \\
 & K' &
 \end{array}$$

of  $K'$ -fibred sets to  $\text{pr}_2 \circ \xi$ , which is then sent by  $\Phi_{X,Y}$  to the dashed morphism in the diagram

$$\begin{array}{ccccc}
 X & \xrightarrow{\quad \text{pr}_2 \circ \xi \quad} & K \times_{K'} Y & \xrightarrow{\quad \text{pr}_2 \quad} & Y \\
 \phi_X \searrow & \exists! \nearrow & \downarrow & & \downarrow \phi_Y \\
 & & \text{pr}_1 & & \\
 & & K & \xrightarrow{\quad f \quad} & K',
 \end{array}$$

which, by the commutativity of the subdiagram marked with  $(\dagger)$ , is given by  $\xi$  again, showing the claimed equality to be true.

The Adjunction  $f^* \dashv \Pi_f$

We claim there's a bijection

$$\text{Hom}_{\text{FibSets}(K)}(f^*(X), Y) \cong \text{Hom}_{\text{FibSets}(K')}(X, \Pi_f(Y))$$

natural in  $(X, \phi_X) \in \text{Obj}(\text{FibSets}(K'))$  and  $(Y, \phi_Y) \in \text{Obj}(\text{FibSets}(K))$ :

1. *Map I.* We define a map

$$\Phi_{X,Y}: \text{Hom}_{\text{FibSets}(K)}(f^*(X), Y) \rightarrow \text{Hom}_{\text{FibSets}(K')}(X, \Pi_f(Y))$$

defined as follows. Given a morphism

$$\begin{array}{ccc} K \times_{K'} X & \xrightarrow{\xi} & Y \\ \xi: f^*(X) \rightarrow Y, & \text{pr}_1 \searrow & \swarrow \phi_Y \\ & K & \end{array}$$

of  $K$ -fibred sets, where

$$\begin{aligned} f^*(X) &\stackrel{\text{def}}{=} K \times_{K'} X \\ &\stackrel{\text{def}}{=} \{(k, x) \in K \times X \mid f(k) = \phi_X(x)\}, \end{aligned}$$

we construct a morphism

$$\begin{array}{ccc} X & \xrightarrow{\xi^\dagger} & \Pi_f(Y) \\ \xi^\dagger: X \rightarrow \Pi_f(Y), & \phi_X \searrow & \swarrow \Pi_f(\phi_Y) \\ & K' & \end{array}$$

of  $K'$ -fibred sets, where

$$\Pi_f(Y) \stackrel{\text{def}}{=} \coprod_{k' \in K'} \prod_{k \in f^{-1}(k')} \phi_Y^{-1}(k),$$

by defining

$$\xi^\dagger(x) \stackrel{\text{def}}{=} (\xi(k, x))_{k \in f^{-1}(\phi_X(x))}$$

for each  $x \in X$ . There are two things to be checked here:

- We have  $\xi(k, x) \in \phi_Y^{-1}(\phi_X(x))$  since  $\phi_Y(\xi(k, x)) = \phi_X(x)$  as  $\xi$  is a morphism of  $K$ -fibred sets.

- The map  $\xi^\dagger$  is indeed a morphism of  $K'$ -fibred sets, i.e. we have

$$\Pi_f(\phi_Y) \circ \xi^\dagger = \phi_X,$$

since

$$[\Pi_f(\phi_Y)]((\xi(k, x))_{k \in f^{-1}(\phi_X(x))}) = \phi_X(x)$$

for each  $x \in X$ .

2. *Map II.* We define a map

$$\Psi_{X,Y}: \text{Hom}_{\text{FibSets}(K')}(X, \Pi_f(Y)) \rightarrow \text{Hom}_{\text{FibSets}(K)}(f^*(X), Y)$$

as follows. Given a morphism

$$\begin{array}{ccc} & X & \xrightarrow{\xi} \Pi_f(Y) \\ \xi: X \rightarrow \Pi_f(Y), & \phi_X \searrow & \swarrow \Pi_f(\phi_Y) \\ & K' & \end{array}$$

of  $K'$ -fibred sets, where

$$\Pi_f(Y) \stackrel{\text{def}}{=} \coprod_{k' \in K'} \prod_{k \in f^{-1}(k')} \phi_Y^{-1}(k),$$

we construct a morphism

$$\begin{array}{ccc} & K \times_{K'} X & \xrightarrow{\xi^\dagger} Y \\ \xi^\dagger: f^*(X) \rightarrow Y, & \text{pr}_1 \searrow & \swarrow \phi_Y \\ & K & \end{array}$$

of  $K$ -fibred sets, where

$$\begin{aligned} f^*(X) &\stackrel{\text{def}}{=} K \times_{K'} X \\ &\stackrel{\text{def}}{=} \{(k, x) \in K \times X \mid f(k) = \phi_X(x)\}, \end{aligned}$$

by defining

$$\xi^\dagger(k, x) \stackrel{\text{def}}{=} \xi(x)_k$$

for each  $(k, x) \in f^*(X)$ , where  $\xi(x)_k$  is the  $k$ th component of  $\xi(x) = (y_k)_{k \in f^{-1}(k')}$ . We also need to check that  $\xi^\dagger$  is a morphism of  $K$ -fibred sets, i.e. that

$$\phi_Y \circ \xi^\dagger = \text{pr}_1,$$

or

$$\phi_Y(\xi^\dagger(k, x)) = k,$$

for each  $(k, x) \in f^*(X)$ , which is clear, since  $\xi^\dagger(k, x) \in \phi_Y^{-1}(k)$  by definition.

3. *Naturality I.* We need to show that, given a morphism

$$\alpha: (X, \phi_X) \rightarrow (X', \phi_{X'})$$

of  $K'$ -fibred sets, the diagram

$$\begin{array}{ccc} \text{Hom}_{\text{FibSets}(K')}(f^*(X'), Y) & \xrightarrow{\Phi_{X', Y}} & \text{Hom}_{\text{FibSets}(K)}(X', \Pi_f(Y)) \\ f^*(\alpha)^* \downarrow & & \downarrow \alpha^* \\ \text{Hom}_{\text{FibSets}(K)}(f^*(X), Y) & \xrightarrow{\Phi_{X, Y}} & \text{Hom}_{\text{FibSets}(K)}(X, \Pi_f(Y)) \end{array}$$

commutes. Indeed, given a morphism  $\xi: f^*(X') \rightarrow Y$  of  $K'$ -fibred sets, we have

$$\begin{aligned} [[\Phi_{X, Y} \circ f^*(\alpha)](\xi)](x) &\stackrel{\text{def}}{=} [\Phi_{X, Y}(\xi \circ f^*(\alpha))](x) \\ &\stackrel{\text{def}}{=} ([\xi \circ f^*(\alpha)](k, x))_{k \in f^{-1}(\phi_X(x))} \\ &\stackrel{\text{def}}{=} (\xi(k, \alpha(x)))_{k \in f^{-1}(\phi_X(x))} \\ &\stackrel{\text{def}}{=} \alpha^*\left((\xi(k, x))_{k \in f^{-1}(\phi_X(x))}\right) \\ &\stackrel{\text{def}}{=} \alpha^*\left(\xi^\dagger(x)\right) \\ &\stackrel{\text{def}}{=} [[\alpha^* \circ \Phi_{X, Y}](\xi)](x) \end{aligned}$$

for each  $x \in X$ .

4. *Naturality II.* We need to show that, given a morphism

$$\beta: (Y, \phi_Y) \rightarrow (Y', \phi_{Y'})$$

of  $K$ -fibred sets, the diagram

$$\begin{array}{ccc} \text{Hom}_{\text{FibSets}(K')}(f^*(X), Y) & \xrightarrow{\Phi_{X, Y}} & \text{Hom}_{\text{FibSets}(K)}(X, \Pi_f(Y)) \\ \beta_* \downarrow & & \downarrow \Pi_f(\beta)_* \\ \text{Hom}_{\text{FibSets}(K')}(f^*(X), Y') & \xrightarrow{\Phi_{X, Y'}} & \text{Hom}_{\text{FibSets}(K)}(X, \Pi_f(Y')) \end{array}$$

commutes. Indeed, given a morphism  $\xi: X \rightarrow \Pi_f(Y)$  of  $K$ -fibred sets, we have

$$\begin{aligned} [[\Phi_{X,Y'} \circ \beta_*](\xi)](x) &\stackrel{\text{def}}{=} [\Phi_{X,Y'}(\beta \circ \xi)](x) \\ &\stackrel{\text{def}}{=} [\Phi_{X,Y'}(\beta \circ \xi)](x) \\ &\stackrel{\text{def}}{=} ([\beta \circ \xi](k, x))_{k \in f^{-1}(\phi_X(x))} \\ &\stackrel{\text{def}}{=} (\beta(\xi(k, x)))_{k \in f^{-1}(\phi_X(x))} \\ &\stackrel{\text{def}}{=} \Pi_f(\beta)_* \left( (\xi(k, x))_{k \in f^{-1}(\phi_X(x))} \right) \\ &\stackrel{\text{def}}{=} [\Pi_f(\beta)_* \circ \xi^\dagger](x) \\ &\stackrel{\text{def}}{=} [\Pi_f(\beta)_* \circ \Phi_{X,Y'}(\xi)](x) \end{aligned}$$

for each  $x \in X$ .

5. *Invertibility I.* We claim that

$$\Psi_{X,Y} \circ \Phi_{X,Y} = \text{id}_{\text{Hom}_{\text{FibSets}(K)}(f^*(X), Y)}.$$

Indeed, given a morphism  $\xi: f^*(X') \rightarrow Y$  of  $K'$ -fibred sets, we have

$$\begin{aligned} [[\Psi_{X,Y} \circ \Phi_{X,Y}](\xi)](k, x) &\stackrel{\text{def}}{=} [\Psi_{X,Y}(\Phi_{X,Y}(\xi))](k, x) \\ &\stackrel{\text{def}}{=} ([\Phi_{X,Y}(\xi)](x))_k \\ &\stackrel{\text{def}}{=} \left( (\xi(k_1, x))_{k_1 \in f^{-1}(\phi_X(x))} \right)_k \\ &\stackrel{\text{def}}{=} \xi(k, x) \end{aligned}$$

for each  $(k, x) \in f^*(X)$ , and thus the stated equality follows.

6. *Invertibility II.* We claim that

$$\Phi_{X,Y} \circ \Psi_{X,Y} = \text{id}_{\text{Hom}_{\text{FibSets}(K')}(X, \Pi_f(Y))}.$$

Indeed, given a morphism  $\xi: X \rightarrow \Pi_f(Y)$  of  $K$ -fibred sets, write

$$\xi(x) = (y_k)_{k \in f^{-1}(k'_x)}.$$

We then have

$$\begin{aligned}
 [[\Phi_{X,Y} \circ \Psi_{X,Y}](\xi)](x) &\stackrel{\text{def}}{=} [\Phi_{X,Y}(\Psi_{X,Y}(\xi))](x) \\
 &\stackrel{\text{def}}{=} ([\Psi_{X,Y}(\xi)](k_1, x))_{k_1 \in f^{-1}(\phi_X(x))} \\
 &\stackrel{\text{def}}{=} ((\xi(x)))_{k_1 \in f^{-1}(\phi_X(x))} \\
 &\stackrel{\text{def}}{=} \left( (y_k)_{k \in f^{-1}(k'_x)} \right)_{k_1 \in f^{-1}(\phi_X(x))} \\
 &\stackrel{\text{def}}{=} (y_{k_1})_{k_1 \in f^{-1}(\phi_X(x))} \\
 &= (y_{k_1})_{k_1 \in f^{-1}(k'_x)} \\
 &= (y_k)_{k \in f^{-1}(k'_x)} \\
 &\stackrel{\text{def}}{=} \xi(x)
 \end{aligned}$$

for each  $x \in X$ , where the equality  $\phi_X(x) = k'_x$  follows from the fact that  $\xi$  is a morphism of  $K'$ -fibred sets. Thus the stated equality follows.

This finishes the proof. 

# Appendices

## 9.A Other Chapters

### Sets

- 1. Sets
- 2. Constructions With Sets
- 3. Pointed Sets
- 4. Tensor Products of Pointed Sets
- 5. Relations
- 6. Spans
- 7. Posets

### Indexed and Fibred Sets

- 7. Indexed Sets
- 8. Fibred Sets

### 9. Un/Straightening for Indexed and Fibred Sets

### Category Theory

- 11. Categories
- 12. Types of Morphisms in Categories
- 13. Adjunctions and the Yoneda Lemma
- 14. Constructions With Categories
- 15. Profunctors
- 16. Cartesian Closed Categories
- 17. Kan Extensions

### Bicategories

- 18. Bicategories

19. Internal Adjunctions

32. Hypergroups

### Internal Category Theory

33. Hypersemirings and Hyperrings

20. Internal Categories

34. Quantales

### Cyclic Stuff

### Near-Rings

21. The Cycle Category

35. Near-Semirings

### Cubical Stuff

36. Near-Rings

22. The Cube Category

### Real Analysis

### Globular Stuff

37. Real Analysis in One Variable

23. The Globe Category

38. Real Analysis in Several Variables

### Cellular Stuff

### Measure Theory

24. The Cell Category

39. Measurable Spaces

### Monoids

40. Measures and Integration

25. Monoids

### Probability Theory

26. Constructions With Monoids

40. Probability Theory

### Monoids With Zero

### Stochastic Analysis

27. Monoids With Zero

41. Stochastic Processes, Martingales,  
and Brownian Motion

28. Constructions With Monoids With  
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42. Itô Calculus

### Groups

43. Stochastic Differential Equations

29. Groups

### Differential Geometry

30. Constructions With Groups

44. Topological and Smooth Manifolds

### Hyper Algebra

### Schemes

31. Hypermonoids

45. Schemes

## Chapter 10

# Un/Straightening for Indexed and Fibred Sets

**00UD** This chapter contains a discussion of the un/straightening equivalence in the context of sets, as well as a general discussion of indexed and fibred sets. In particular, it contains:

1. A discussion of indexed sets (i.e. functors  $K_{\text{disc}} \rightarrow \text{Sets}$  with  $K$  a set), constructions with them like dependent sums and dependent products, and their properties (????);
2. A discussion of fibred sets (i.e. maps of sets  $X \rightarrow K$ ), constructions with them like dependent sums and dependent products, and their properties (????);
3. A discussion of the un/straightening equivalence for indexed and fibred sets ([Section 10.1](#)).

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### 10.1 Un/Straightening for Indexed and Fibred Sets

#### 10.1.1 Straightening for Fibred Sets

Let  $K$  be a set and let  $(X, \phi)$  be a  $K$ -fibred set.

**DEFINITION 10.1.1.1 ► THE STRAIGHTENING OF A FIBRED SET**

00UG

The **straightening of**  $(X, \phi)$  is the  $K$ -indexed set

$$\text{St}_K(X, \phi): K_{\text{disc}} \rightarrow \text{Sets}$$

defined by

$$\text{St}_K(X, \phi)_x \stackrel{\text{def}}{=} \phi^{-1}(x)$$

for each  $x \in K$ .

**PROPOSITION 10.1.1.2 ► PROPERTIES OF STRAIGHTENING FOR FIBRED SETS**

00UH

Let  $K$  be a set.

00UJ

1. *Functoriality.* The assignment  $(X, \phi) \mapsto \text{St}_K(X, \phi)$  defines a functor

$$\text{St}_K: \text{FibSets}(K) \rightarrow \text{ISets}(K)$$

· *Action on Objects.* For each  $(X, \phi) \in \text{Obj}(\text{FibSets}(K))$ , we have

$$[\text{St}_K](X, \phi) \stackrel{\text{def}}{=} \text{St}_K(X, \phi);$$

· *Action on Morphisms.* For each  $(X, \phi), (Y, \psi) \in \text{Obj}(\text{FibSets}(K))$ , the action on Hom-sets

$$\text{St}_{K|X,Y}: \text{Hom}_{\text{FibSets}(K)}(X, Y) \rightarrow \text{Hom}_{\text{ISets}(K)}(\text{St}_K(X), \text{St}_K(Y))$$

of  $\text{St}_K$  at  $(X, Y)$  is given by sending a morphism

$$f: (X, \phi) \rightarrow (Y, \psi)$$

of  $K$ -fibred sets to the morphism

$$\text{St}_K(f): \text{St}_K(X, \phi) \rightarrow \text{St}_K(Y, \psi)$$

of  $K$ -indexed sets defined by

$$\text{St}_K(f) \stackrel{\text{def}}{=} \{f_x^*\}_{x \in K},$$

where  $f_x^*$  is the transport map associated to  $f$  at  $x \in K$  of ??.

00UK

2. *Interaction With Change of Base/Indexing.* Let  $f: K \rightarrow K'$  be a map of sets.

The diagram

$$\begin{array}{ccc} \text{FibSets}(K') & \xrightarrow{f^*} & \text{FibSets}(K) \\ \text{St}_{K'} \downarrow & & \downarrow \text{St}_K \\ \text{ISets}(K') & \xrightarrow{f^*} & \text{ISets}(K) \end{array}$$

commutes.

00UL

3. *Interaction With Dependent Sums.* Let  $f: K \rightarrow K'$  be a map of sets. The diagram

$$\begin{array}{ccc} \text{FibSets}(K) & \xrightarrow{\Sigma_f} & \text{FibSets}(K') \\ \text{St}_K \downarrow & & \downarrow \text{St}_{K'} \\ \text{ISets}(K) & \xrightarrow{\Sigma_f} & \text{ISets}(K') \end{array}$$

commutes.

00UM

4. *Interaction With Dependent Products.* Let  $f: K \rightarrow K'$  be a map of sets. The diagram

$$\begin{array}{ccc} \text{Sets}_{/K} & \xrightarrow{\Pi_f} & \text{FibSets}(K') \\ \text{St}_K \downarrow & & \downarrow \text{St}_{K'} \\ \text{ISets}(K) & \xrightarrow{\Pi_f} & \text{ISets}(K') \end{array}$$

commutes.

#### PROOF 10.1.1.3 ► PROOF OF PROPOSITION 10.1.1.2

##### Item 1: Functoriality

Omitted.

##### Item 2: Interaction With Change of Base/Indexing

Indeed, we have

$$\begin{aligned} \text{St}_K(f^*(X, \phi))_x &\stackrel{\text{def}}{=} \text{St}_K(K \times_{K'} X)_x \\ &\stackrel{\text{def}}{=} (\text{pr}_1^{K \times_{K'} X})^{-1}(x) \\ &= \{(k, y) \in K \times_{K'} X \mid \text{pr}_1^{K \times_{K'} X}(k, y) = x\} \\ &= \{(k, y) \in K \times_{K'} X \mid k = x\} \\ &= \{(k, y) \in K \times X \mid k = x \text{ and } f(k) = \phi(y)\} \\ &\cong \{y \in X \mid \phi(y) = f(x)\} \\ &= \phi^{-1}(f(x)) \\ &\stackrel{\text{def}}{=} f^*(\phi^{-1}(x)) \\ &\stackrel{\text{def}}{=} f^*(\text{St}_{K'}(X, \phi)_x) \end{aligned}$$

for each  $(X, \phi) \in \text{Obj}(\text{FibSets}(K'))$  and each  $x \in K$ , and similarly for morphisms.

## Item 3: Interaction With Dependent Sums

Indeed, we have

$$\begin{aligned} \text{St}_{K'}(\Sigma_f(X, \phi))_x &\stackrel{\text{def}}{=} \Sigma_f(\phi)^{-1}(x) \\ &\cong \coprod_{\substack{y \in X \\ f(y)=x}} \phi^{-1}(y) \\ &\cong \Sigma_f(\phi^{-1}(x)) \\ &\stackrel{\text{def}}{=} \Sigma_f(\text{St}_K(X, \phi)_x) \end{aligned}$$

for each  $(X, \phi) \in \text{Obj}(\text{FibSets}(K))$  and each  $x \in K'$ , where we have used ?? of ?? for the first bijection, and similarly for morphisms.

## Item 4: Interaction With Dependent Products

Indeed, we have

$$\begin{aligned} \text{St}_{K'}(\Pi_f(X, \phi))_x &\stackrel{\text{def}}{=} \Pi_f(\phi)^{-1}(x) \\ &\cong \prod_{\substack{y \in X \\ f(y)=x}} \phi^{-1}(y) \\ &\cong \Pi_f(\phi^{-1}(x)) \\ &\stackrel{\text{def}}{=} \Pi_f(\text{St}_K(X, \phi)_x) \end{aligned}$$

for each  $(X, \phi) \in \text{Obj}(\text{FibSets}(K))$  and each  $x \in K'$ , where we have used ?? of ?? for the first bijection, and similarly for morphisms. 

### 10.1.2 Unstraightening for Indexed Sets

Let  $K$  be a set and let  $X$  be a  $K$ -indexed set.

#### DEFINITION 10.1.2.1 ► THE UNSTRAIGHTENING OF AN INDEXED SET

00UP

The **unstraightening** of  $X$  is the  $K$ -fibred set

$$\phi_{\text{Un}_K} : \text{Un}_K(X) \rightarrow K$$

consisting of

- *The Underlying Set.* The set  $\text{Un}_K(X)$  defined by

$$\text{Un}_K(X) \stackrel{\text{def}}{=} \coprod_{x \in K} X_x;$$

- *The Fibration.* The map of sets

$$\phi_{\text{Un}_K} : \text{Un}_K(X) \rightarrow K$$

defined by sending an element of  $\coprod_{x \in K} X_x$  to its index in  $K$ .

#### PROPOSITION 10.1.2.2 ► PROPERTIES OF UNSTRAIGHTENING FOR INDEXED SETS

00UQ Let  $K$  be a set.

00UR 1. *Functoriality.* The assignment  $X \mapsto \text{Un}_K(X)$  defines a functor

$$\text{Un}_K : \text{ISets}(K) \rightarrow \text{FibSets}(K)$$

- *Action on Objects.* For each  $X \in \text{Obj}(\text{ISets}(K))$ , we have

$$[\text{Un}_K](X) \stackrel{\text{def}}{=} \text{Un}_K(X);$$

- *Action on Morphisms.* For each  $X, Y \in \text{Obj}(\text{ISets}(K))$ , the action on Hom-sets

$$\text{Un}_{K|X,Y} : \text{Hom}_{\text{ISets}(K)}(X, Y) \rightarrow \text{Hom}_{\text{FibSets}(K)}(\text{Un}_K(X), \text{Un}_K(Y))$$

of  $\text{Un}_K$  at  $(X, Y)$  is defined by

$$\text{Un}_{K|X,Y}(f) \stackrel{\text{def}}{=} \coprod_{x \in K} f_x^*.$$

00US 2. *Interaction With Fibres.* We have a bijection of sets

$$\phi_{\text{Un}_K}^{-1}(x) \cong X_x$$

for each  $x \in K$ .

00UT 3. *As a Pullback.* We have a bijection of sets

$$\begin{array}{ccc} \text{Un}_K(X) & \rightarrow & \text{Sets}_* \\ \downarrow & \lrcorner & \downarrow \text{忘} \\ \text{Un}_K(X) \cong K_{\text{disc}} \times_{\text{Sets}} \text{Sets}_*, & & \\ \downarrow & & \downarrow \\ K_{\text{disc}} & \xrightarrow[X]{} & \text{Sets}. \end{array}$$

00UU 4. *As a Colimit.* We have a bijection of sets

$$\text{Un}_K(X) \cong \text{colim}(X).$$

00UV

5. *Interaction With Change of Indexing/Base.* Let  $f: K \rightarrow K'$  be a map of sets. The diagram

$$\begin{array}{ccc} \text{ISets}(K') & \xrightarrow{f^*} & \text{ISets}(K) \\ \downarrow \text{Un}_{K'} & & \downarrow \text{Un}_K \\ \text{FibSets}(K') & \xrightarrow{f^*} & \text{FibSets}(K) \end{array}$$

commutes.

00UW

6. *Interaction With Dependent Sums.* Let  $f: K \rightarrow K'$  be a map of sets. The diagram

$$\begin{array}{ccc} \text{ISets}(K) & \xrightarrow{\Sigma_f} & \text{ISets}(K') \\ \downarrow \text{Un}_K & & \downarrow \text{Un}_{K'} \\ \text{FibSets}(K) & \xrightarrow{\Sigma_f} & \text{FibSets}(K') \end{array}$$

commutes.

00UX

7. *Interaction With Dependent Products.* Let  $f: K \rightarrow K'$  be a map of sets. The diagram

$$\begin{array}{ccc} \text{ISets}(K) & \xrightarrow{\Pi_f} & \text{ISets}(K') \\ \downarrow \text{Un}_K & & \downarrow \text{Un}_{K'} \\ \text{FibSets}(K) & \xrightarrow{\Pi_f} & \text{FibSets}(K') \end{array}$$

commutes.

### PROOF 10.1.2.3 ► PROOF OF PROPOSITION 10.1.2.2

**Item 1: Functoriality**

Omitted.

**Item 2: Interaction With Fibres**

Omitted.

**Item 3: As a Pullback**

Omitted.

## Item 4: As a Colimit

Clear.

## Item 5: Interaction With Change of Indexing/Base

Indeed, we have

$$\begin{aligned}
 \text{Un}_K(f^*(X)) &\stackrel{\text{def}}{=} \text{Un}_K(X \circ f) \\
 &\stackrel{\text{def}}{=} \coprod_{x \in K} X_{f(x)} \\
 &\cong \left\{ (x, (y, a)) \in K \times \coprod_{y \in K'} X_y \mid f(x) = y \right\} \\
 &\cong K \times_{K'} \coprod_{y \in K'} X_y \\
 &\stackrel{\text{def}}{=} K \times_{K'} \text{Un}_{K'}(X) \\
 &\stackrel{\text{def}}{=} f^*(\text{Un}_{K'}(X))
 \end{aligned}$$

for each  $X \in \text{Obj}(\text{ISets}(K'))$ . Similarly, it can be shown that we also have  $\text{Un}_K(f^*(\phi)) = f^*(\text{Un}_{K'}(\phi))$  and that  $\text{Un}_K \circ f^* = f^* \circ \text{Un}_{K'}$  also holds on morphisms.

## Item 6: Interaction With Dependent Sums

Indeed, we have

$$\begin{aligned}
 \text{Un}_{K'}(\Sigma_f(X)) &\stackrel{\text{def}}{=} \coprod_{x \in K'} \Sigma_f(X)_x \\
 &\cong \coprod_{x \in K'} \coprod_{y \in f^{-1}(x)} X_y \\
 &\cong \coprod_{y \in K} X_y \\
 &\cong \text{Un}_K(X) \\
 &\stackrel{\text{def}}{=} \Sigma_f(\text{Un}_K(X))
 \end{aligned}$$

for each  $X \in \text{Obj}(\text{ISets}(K))$ , where we have used ?? of ?? for the first bijection. Similarly, it can be shown that we also have  $\text{Un}_{K'}(\Sigma_f(\phi)) = \Sigma_f(\phi_{\text{Un}_K})$  and that  $\text{Un}_{K'} \circ \Sigma_f = \Sigma_f \circ \text{Un}_K$  also holds on morphisms.

## Item 7: Interaction With Dependent Products

Indeed, we have

$$\begin{aligned}
 \text{Un}_{K'}(\Pi_f(X)) &\stackrel{\text{def}}{=} \coprod_{x \in K'} \Pi_f(X)_x \\
 &\cong \coprod_{x \in K'} \prod_{y \in f^{-1}(x)} X_y \\
 &\cong \left\{ (x, h) \in \coprod_{x \in K'} \text{Sets}\left(f^{-1}(x), \phi_{\text{Un}_K}^{-1}(f^{-1}(x))\right) \mid \phi \circ h = \text{id}_{f^{-1}(x)} \right\} \\
 &\stackrel{\text{def}}{=} \Pi_f\left(\coprod_{y \in K} X_y\right) \\
 &\stackrel{\text{def}}{=} \Pi_f(\text{Un}_K(X))
 \end{aligned}$$

for each  $X \in \text{Obj}(\text{ISets}(K))$ , where we have used ?? of ?? for the first bijection. Similarly, it can be shown that we also have  $\text{Un}_{K'}(\Pi_f(\phi)) = \Pi_f(\phi_{\text{Un}_K})$  and that  $\text{Un}_{K'} \circ \Pi_f = \Pi_f \circ \text{Un}_K$  also holds on morphisms. □

### 10.1.3 The Un/Straightening Equivalence

#### THEOREM 10.1.3.1 ► UN/STRAIGHTENING FOR INDEXED AND FIBRED SETS

00UZ

We have an isomorphism of categories

$$(\text{St}_K \dashv \text{Un}_K): \quad \text{FibSets}(K) \begin{array}{c} \xrightarrow{\text{St}_K} \\ \perp \\ \xleftarrow{\text{Un}_K} \end{array} \text{ISets}(K).$$

#### PROOF 10.1.3.2 ► PROOF OF THEOREM 10.1.3.1

Omitted. □

## 10.2 Miscellany

### 10.2.1 Other Kinds of Un/Straightening

#### REMARK 10.2.1.1 ► OTHER KINDS OF UN/STRAIGHTENING

00V2

There are also other kinds of un/straightening for sets, where Sets is replaced by **Rel** or **Span**:

- *Un/Straightening With Rel, I.* We have an isomorphism of sets

$$\text{Rel}(A, B) \cong \text{Sets}(B \times A, \{\text{true}, \text{false}\}).$$

by the definition of a relation from  $A$  to  $B$ , ??.

- *Un/Straightening With Rel, II.* We have an equivalence of categories

$$\text{LaxFun}(K_{\text{disc}}, \text{Rel}) \xrightarrow{\text{eq.}} \text{Cats}_{/K_{\text{disc}}}^{\text{fth}},$$

where  $\text{Cats}_{/K_{\text{disc}}}^{\text{fth}}$  is the full subcategory of  $\text{Cats}_{/K_{\text{disc}}}$  spanned by the faithful functors; see [Nio04, Theorem 3.1].

- *Un/Straightening With Span, I.* For each  $A, B \in \text{Obj}(\text{Sets})$ , we have a morphism of sets

$$\text{Span}(A, B) \rightarrow \text{Sets}(A \times B, \mathbb{N} \cup \{\infty\})$$

which assemble into an equivalence of categories between  $\text{Span}(\text{Sets})$  and the category  $\text{MRel}$  of “multirelations”; see ??.

- *Un/Straightening With Span, II.* We have an equivalence of categories

$$\text{LaxFun}(K_{\text{disc}}, \text{Span}) \xrightarrow{\text{eq.}} \text{Cats}_{/K_{\text{disc}}};$$

see [nLa24a, Section 3].

## Appendices

### 10.A Other Chapters

#### Sets

1. Sets
2. Constructions With Sets
3. Pointed Sets
4. Tensor Products of Pointed Sets
5. Relations
6. Spans
7. Posets

#### Indexed and Fibred Sets

7. Indexed Sets
8. Fibred Sets
9. Un/Straightening for Indexed and Fibred Sets

#### Category Theory

11. Categories
12. Types of Morphisms in Categories
13. Adjunctions and the Yoneda Lemma

- 14. Constructions With Categories
- 15. Profunctors
- 16. Cartesian Closed Categories
- 17. Kan Extensions
- Bicategories**
- 18. Bicategories
- 19. Internal Adjunctions
- Internal Category Theory**
- 20. Internal Categories
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- 21. The Cycle Category
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- Monoids**
- 25. Monoids
- 26. Constructions With Monoids
- Monoids With Zero**
- 27. Monoids With Zero
- 28. Constructions With Monoids With Zero
- Groups**
- 29. Groups
- 30. Constructions With Groups
- Hyper Algebra**
- 31. Hypermonoids
- 32. Hypergroups
- 33. Hypersemirings and Hyperrings
- 34. Quantales
- Near-Rings**
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- 36. Near-Rings
- Real Analysis**
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- 38. Real Analysis in Several Variables
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- 39. Measurable Spaces
- 40. Measures and Integration
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- 40. Probability Theory
- Stochastic Analysis**
- 41. Stochastic Processes, Martingales, and Brownian Motion
- 42. Itô Calculus
- 43. Stochastic Differential Equations
- Differential Geometry**
- 44. Topological and Smooth Manifolds
- Schemes**
- 45. Schemes

## **Part III**

# **Category Theory**

# Chapter 11

## Categories

00V3 Create tags (see [MSE 350788] for some of these):

1. define bicategory  $\text{Adj}(C)$
2. internal **Hom** in categories of co/Cartesian fibrations
3. <https://mathoverflow.net/questions/460146/universal-property-of-isbell-duality>
4. <http://www.tac.mta.ca/tac/volumes/36/12/36-12abs.html>
5. justify adjunctions via homs
6. <https://ncatlab.org/nlab/show/enrichment+versus+internalisation>
7. <https://arxiv.org/pdf/2004.08964.pdf>

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## 11.1 Categories

### 11.1.1 Foundations

## DEFINITION 11.1.1.1 ► CATEGORIES

00V6

A **category**  $(C, \circ^C, \mathbb{1}^C)$  consists of<sup>1,2</sup>

- **Objects.** A class  $\text{Obj}(C)$  of **objects**;
- **Morphisms.** For each  $A, B \in \text{Obj}(C)$ , a class  $\text{Hom}_C(A, B)$ , called the **class of morphisms of  $C$  from  $A$  to  $B$** ;
- **Identities.** For each  $A \in \text{Obj}(C)$ , a map of sets

$$\mathbb{1}_A^C : \text{pt} \rightarrow \text{Hom}_C(A, A),$$

called the **unit map of  $C$  at  $A$** , determining a morphism

$$\text{id}_A : A \rightarrow A$$

of  $C$ , called the **identity morphism of  $A$** ;

- **Composition.** For each  $A, B, C \in \text{Obj}(C)$ , a map of sets

$$\circ_{A,B,C}^C : \text{Hom}_C(B, C) \times \text{Hom}_C(A, B) \rightarrow \text{Hom}_C(A, C),$$

called the **composition map of  $C$  at  $(A, B, C)$** ;

such that the following conditions are satisfied:

1. **Associativity.** The diagram

$$\begin{array}{ccc}
 & \text{Hom}_C(C, D) \times (\text{Hom}_C(B, C) \times \text{Hom}_C(A, B)) & \\
 & \nearrow \circ_{\text{Hom}_C(C,D), \text{Hom}_C(B,C), \text{Hom}_C(A,B)}^{\text{Sets}} & \searrow \text{id}_{\text{Hom}_C(C,D) \times \circ_{A,B,C}^C} \\
 (\text{Hom}_C(C, D) \times \text{Hom}_C(B, C)) \times \text{Hom}_C(A, B) & & \text{Hom}_C(C, D) \times \text{Hom}_C(A, C) \\
 & \swarrow \circ_{B,C,D}^C \times \text{id}_{\text{Hom}_C(A,B)} & \searrow \circ_{A,C,D}^C \\
 & \text{Hom}_C(B, D) \times \text{Hom}_C(A, B) & \xrightarrow{\circ_{A,B,D}^C} \text{Hom}_C(A, D)
 \end{array}$$

commutes, i.e. for each composable triple  $(f, g, h)$  of morphisms of  $C$ , we have

$$(f \circ g) \circ h = f \circ (g \circ h).$$

2. *Left Unitality.* The diagram

$$\begin{array}{ccc}
 \text{pt} \times \text{Hom}_C(A, B) & & \\
 \downarrow \mathbb{1}_B^C \times \text{id}_{\text{Hom}_C(A, B)} & \nearrow \lambda_{\text{Hom}_C(A, B)}^{\text{Sets}} & \\
 \text{Hom}_C(B, B) \times \text{Hom}_C(A, B) & \xrightarrow{\circ_{A, B, B}^C} & \text{Hom}_C(A, B)
 \end{array}$$

commutes, i.e. for each morphism  $f: A \rightarrow B$  of  $C$ , we have

$$\text{id}_B \circ f = f.$$

3. *Right Unitality.* The diagram

$$\begin{array}{ccc}
 \text{Hom}_C(A, B) \times \text{pt} & & \\
 \downarrow \text{id}_{\text{Hom}_C(A, B)} \times \mathbb{1}_A^C & \nearrow \rho_{\text{Hom}_C(A, B)}^{\text{Sets}} & \\
 \text{Hom}_C(A, B) \times \text{Hom}_C(A, A) & \xrightarrow{\circ_{A, A, B}^C} & \text{Hom}_C(A, B)
 \end{array}$$

commutes, i.e. for each morphism  $f: A \rightarrow B$  of  $C$ , we have

$$f \circ \text{id}_A = f.$$

<sup>1</sup>Further Notation: We also write  $C(A, B)$  for  $\text{Hom}_C(A, B)$ .

<sup>2</sup>Further Notation: We write  $\text{Mor}(C)$  for the class of all morphisms of  $C$ .

#### DEFINITION 11.1.1.2 ► SIZE CONDITIONS ON CATEGORIES

00V7

Let  $\kappa$  be a regular cardinal. A category  $C$  is

1. **Locally small** if, for each  $A, B \in \text{Obj}(C)$ , the class  $\text{Hom}_C(A, B)$  is a set.
2. **Locally essentially small** if, for each  $A, B \in \text{Obj}(C)$ , the class

$$\text{Hom}_C(A, B) / \{\text{isomorphisms}\}$$

is a set.

3. **Small** if  $C$  is locally small and  $\text{Obj}(C)$  is a set.
4.  **$\kappa$ -Small** if  $C$  is locally small,  $\text{Obj}(C)$  is a set, and we have  $\#\text{Obj}(C) < \kappa$ .

#### 11.1.2 Examples of Categories

**EXAMPLE 11.1.2.1 ► THE PUNCTUAL CATEGORY**

00V9

The **punctual category**<sup>1</sup> is the category pt where

- *Objects.* We have

$$\text{Obj}(\text{pt}) \stackrel{\text{def}}{=} \{\star\};$$

- *Morphisms.* The unique Hom-set of pt is defined by

$$\text{Hom}_{\text{pt}}(\star, \star) \stackrel{\text{def}}{=} \{\text{id}_\star\};$$

- *Identities.* The unit map

$$\mu_\star^{\text{pt}} : \text{pt} \rightarrow \text{Hom}_{\text{pt}}(\star, \star)$$

of pt at  $\star$  is defined by

$$\text{id}_\star^{\text{pt}} \stackrel{\text{def}}{=} \text{id}_\star;$$

- *Composition.* The composition map

$$\circ_{\star, \star, \star}^{\text{pt}} : \text{Hom}_{\text{pt}}(\star, \star) \times \text{Hom}_{\text{pt}}(\star, \star) \rightarrow \text{Hom}_{\text{pt}}(\star, \star)$$

of pt at  $(\star, \star, \star)$  is given by the bijection  $\text{pt} \times \text{pt} \cong \text{pt}$ .

<sup>1</sup>Further Terminology: Also called the **singleton category**.

**EXAMPLE 11.1.2.2 ► MONOIDS AS ONE-OBJECT CATEGORIES**

00VA

We have an isomorphism of categories<sup>1</sup>

$$\begin{array}{ccc} \text{Mon} & \longrightarrow & \text{Cats} \\ \downarrow & \lrcorner & \downarrow \text{Obj} \\ \text{Mon} \cong \text{pt} \times_{\text{Sets}} \text{Cats}, & & \\ \downarrow & & \downarrow \\ \text{pt} & \xrightarrow{[\text{pt}]} & \text{Sets} \end{array}$$

via the delooping functor  $B : \text{Mon} \rightarrow \text{Cats}$  of ?? of ??.

<sup>1</sup>This can be enhanced to an isomorphism of 2-categories

$$\begin{array}{ccc} \text{Mon}_{2-\text{disc}} & \rightarrow & \text{Cats}_{2,*} \\ \downarrow & \lrcorner & \downarrow \text{Obj} \\ \text{Mon}_{2-\text{disc}} \cong \text{pt}_{\text{bi}} \times_{\text{Sets}_{2-\text{disc}}} \text{Cats}_{2,*}, & & \\ \downarrow & & \downarrow \\ \text{pt}_{\text{bi}} & \xrightarrow{[\text{pt}]} & \text{Sets}_{2-\text{disc}} \end{array}$$

between the discrete 2-category  $\text{Mon}_{2-\text{disc}}$  on  $\text{Mon}$  and the 2-category of pointed categories with one object.

**PROOF 11.1.2.3 ► PROOF OF EXAMPLE 11.1.2.2**

Omitted. 

**EXAMPLE 11.1.2.4 ► THE EMPTY CATEGORY**

00VB

The **empty category** is the category  $\emptyset_{\text{cat}}$  where

- *Objects.* We have

$$\text{Obj}(\emptyset_{\text{cat}}) \stackrel{\text{def}}{=} \emptyset;$$

- *Morphisms.* We have

$$\text{Mor}(\emptyset_{\text{cat}}) \stackrel{\text{def}}{=} \emptyset;$$

- *Identities and Composition.* Having no objects,  $\emptyset_{\text{cat}}$  has no unit nor composition maps.

**EXAMPLE 11.1.2.5 ► ORDINAL CATEGORIES**

00VC

The  **$n$ th ordinal category** is the category  $\bowtie$  where<sup>1</sup>

- *Objects.* We have

$$\text{Obj}(\bowtie) \stackrel{\text{def}}{=} \{[0], \dots, [n]\};$$

- *Morphisms.* For each  $[i], [j] \in \text{Obj}(\bowtie)$ , we have

$$\text{Hom}_{\bowtie}([i], [j]) \stackrel{\text{def}}{=} \begin{cases} \{\text{id}_{[i]}\} & \text{if } [i] = [j], \\ \{[i] \rightarrow [j]\} & \text{if } [j] < [i], \\ \emptyset & \text{if } [j] > [i]; \end{cases}$$

- *Identities.* For each  $[i] \in \text{Obj}(\bowtie)$ , the unit map

$$\text{id}_{[i]}^{\bowtie} : \text{pt} \rightarrow \text{Hom}_{\bowtie}([i], [i])$$

of  $\bowtie$  at  $[i]$  is defined by

$$\text{id}_{[i]}^{\bowtie} \stackrel{\text{def}}{=} \text{id}_{[i]};$$

- *Composition.* For each  $[i], [j], [k] \in \text{Obj}(\bowtie)$ , the composition map

$$\circ_{[i], [j], [k]}^{\bowtie} : \text{Hom}_{\bowtie}([j], [k]) \times \text{Hom}_{\bowtie}([i], [j]) \rightarrow \text{Hom}_{\bowtie}([i], [k])$$

of  $\bowtie$  at  $([i], [j], [k])$  is defined by

$$\begin{aligned} \text{id}_{[i]} \circ \text{id}_{[i]} &= \text{id}_{[i]}, \\ ([j] \rightarrow [k]) \circ ([i] \rightarrow [j]) &= ([i] \rightarrow [k]). \end{aligned}$$

<sup>1</sup>In other words,  $\bowtie$  is the category associated to the poset

$$[0] \rightarrow [1] \rightarrow \cdots \rightarrow [n-1] \rightarrow [n].$$

The category  $\bowtie$  for  $n \geq 2$  may also be defined in terms of  $\bowtie$  and joins: we have isomorphisms of categories

$$\begin{aligned} \mathbb{I}^{\star} &\cong \mathbb{I} \star \mathbb{I}, \\ \mathbb{I} &\cong \mathbb{I} \star \mathbb{I} \\ &\cong (\mathbb{I} \star \mathbb{I}) \star \mathbb{I}, \\ \mathbb{I}^{\star} &\cong \mathbb{I} \star \mathbb{I} \\ &\cong (\mathbb{I} \star \mathbb{I}) \star \mathbb{I} \\ &\cong ((\mathbb{I} \star \mathbb{I}) \star \mathbb{I}) \star \mathbb{I}, \\ \mathbb{I}^{\star} &\cong \mathbb{I} \star \mathbb{I} \\ &\cong (\mathbb{I} \star \mathbb{I}) \star \mathbb{I} \\ &\cong ((\mathbb{I} \star \mathbb{I}) \star \mathbb{I}) \star \mathbb{I} \\ &\cong (((\mathbb{I} \star \mathbb{I}) \star \mathbb{I}) \star \mathbb{I}) \star \mathbb{I}, \end{aligned}$$

and so on.

#### EXAMPLE 11.1.2.6 ► MORE EXAMPLES OF CATEGORIES

00VD

Here we list all the other categories that appear throughout this work.

- The category  $\text{Sets}_*$  of pointed sets of  $\mathbb{C}$ .
- The category  $\text{Rel}$  of sets and relations of  $\mathbb{C}$ .
- The category  $\text{Span}(A, B)$  of spans from a set  $A$  to a set  $B$  of  $\mathbb{C}$ .
- The category  $\text{ISets}(K)$  of  $K$ -indexed sets of  $\mathbb{C}$ .
- The category  $\text{ISets}$  of indexed sets of  $\mathbb{C}$ .
- The category  $\text{FibSets}(K)$  of  $K$ -fibred sets of  $\mathbb{C}$ .
- The category  $\text{FibSets}$  of fibred sets of  $\mathbb{C}$ .

### 11.1.3 Subcategories

Let  $C$  be a category.

#### DEFINITION 11.1.3.1 ► SUBCATEGORIES

00VF

A **subcategory** of  $C$  is a category  $\mathcal{A}$  satisfying the following conditions:

1. *Objects.* We have  $\text{Obj}(\mathcal{A}) \subset \text{Obj}(C)$ .

2. *Morphisms.* For each  $A, B \in \text{Obj}(\mathcal{A})$ , we have

$$\text{Hom}_{\mathcal{A}}(A, B) \subset \text{Hom}_C(A, B).$$

3. *Identities.* For each  $A \in \text{Obj}(\mathcal{A})$ , we have

$$\mathbb{1}_A^{\mathcal{A}} = \mathbb{1}_A^C.$$

4. *Composition.* For each  $A, B, C \in \text{Obj}(\mathcal{A})$ , we have

$$\circ_{A,B,C}^{\mathcal{A}} = \circ_{A,B,C}^C.$$

#### DEFINITION 11.1.3.2 ► FULL SUBCATEGORIES

00VG

A subcategory  $\mathcal{A}$  of  $C$  is **full** if the canonical inclusion functor  $\mathcal{A} \rightarrow C$  is full, i.e. if, for each  $A, B \in \text{Obj}(\mathcal{A})$ , the inclusion

$$\iota_{A,B}: \text{Hom}_{\mathcal{A}}(A, B) \hookrightarrow \text{Hom}_C(A, B)$$

is surjective (and thus bijective).

#### DEFINITION 11.1.3.3 ► STRICTLY FULL SUBCATEGORIES

00VH

A subcategory  $\mathcal{A}$  of a category  $C$  is **strictly full** if it satisfies the following conditions:

1. *Fullness.* The subcategory  $\mathcal{A}$  is full.
2. *Closedness Under Isomorphisms.* The class  $\text{Obj}(\mathcal{A})$  is closed under isomorphisms.<sup>1</sup>

<sup>1</sup>That is, given  $A \in \text{Obj}(\mathcal{A})$  and  $C \in \text{Obj}(C)$ , if  $C \cong A$ , then  $C \in \text{Obj}(\mathcal{A})$ .

#### DEFINITION 11.1.3.4 ► WIDE SUBCATEGORIES

00VJ

A subcategory  $\mathcal{A}$  of  $C$  is **wide**<sup>1</sup> if  $\text{Obj}(\mathcal{A}) = \text{Obj}(C)$ .

<sup>1</sup>Further Terminology: Also called **lluf**.

### 11.1.4 Skeletons of Categories

**DEFINITION 11.1.4.1 ► SKELETONS OF CATEGORIES**

**00VL** A<sup>1</sup> **skeleton** of a category  $C$  is a full subcategory  $\text{Sk}(C)$  with one object from each isomorphism class of objects of  $C$ .

<sup>1</sup>Due to Item 3 of Proposition 11.1.4.3, we often refer to any such full subcategory  $\text{Sk}(C)$  of  $C$  as *the skeleton* of  $C$ .

**DEFINITION 11.1.4.2 ► SKELETAL CATEGORIES**

**00VM** A category  $C$  is **skeletal** if  $C \cong \text{Sk}(C)$ .<sup>1</sup>

<sup>1</sup>That is,  $C$  is **skeletal** if isomorphic objects of  $C$  are equal.

**PROPOSITION 11.1.4.3 ► PROPERTIES OF SKELETONS OF CATEGORIES**

**00VN** Let  $C$  be a category.

**00VP** 1. *Existence.* Assuming the axiom of choice,  $\text{Sk}(C)$  always exists.

**00VQ** 2. *Pseudofunctoriality.* The assignment  $C \mapsto \text{Sk}(C)$  defines a pseudofunctor  
 $\text{Sk}: \text{Cats}_2 \rightarrow \text{Cats}_2$ .

**00VR** 3. *Uniqueness Up to Equivalence.* Any two skeletons of  $C$  are equivalent.

**00VS** 4. *Inclusions of Skeletons Are Equivalences.* The inclusion

$$\iota_C: \text{Sk}(C) \hookrightarrow C$$

of a skeleton of  $C$  into  $C$  is an equivalence of categories.

**PROOF 11.1.4.4 ► PROOF OF PROPOSITION 11.1.4.3****Item 1: Existence**

See [[nLab23](#), Section “Existence of Skeletons of Categories”].

**Item 2: Pseudofunctoriality**

See [[nLab23](#), Section “Skeletons as an Endo-Pseudofunctor on  $\mathbf{Cat}$ ”].

**Item 3: Uniqueness Up to Equivalence**

Clear.

**Item 4: Inclusions of Skeletons Are Equivalences**

Clear.

**11.1.5 Precomposition and Postcomposition**

Let  $C$  be a category and let  $A, B, C \in \text{Obj}(C)$ .



00VU

Let  $f: A \rightarrow B$  and  $g: B \rightarrow C$  be morphisms of  $C$ .

- The **precomposition function associated to  $f$**  is the function

$$f^*: \text{Hom}_C(B, C) \rightarrow \text{Hom}_C(A, C)$$

defined by

$$f^*(\phi) \stackrel{\text{def}}{=} \phi \circ f$$

for each  $\phi \in \text{Hom}_C(B, C)$ .

- The **postcomposition function associated to  $g$**  is the function

$$g_*: \text{Hom}_C(A, B) \rightarrow \text{Hom}_C(A, C)$$

defined by

$$g_*(\phi) \stackrel{\text{def}}{=} g \circ \phi$$

for each  $\phi \in \text{Hom}_C(A, B)$ .

#### PROPOSITION 11.1.5.2 ► PROPERTIES OF PRE/POSTCOMPOSITION

00VV

Let  $A, B, C, D \in \text{Obj}(C)$  and let  $f: A \rightarrow B$  and  $g: B \rightarrow C$  be morphisms of  $C$ .

00VW

- Interaction Between Precomposition and Postcomposition.* We have

$$\begin{array}{ccc} \text{Hom}_C(B, C) & \xrightarrow{g_*} & \text{Hom}_C(B, D) \\ g_* \circ f^* = f^* \circ g_*, & \quad f^* \downarrow & \downarrow f^* \\ \text{Hom}_C(A, C) & \xrightarrow{g_*} & \text{Hom}_C(A, D). \end{array}$$

00VX

2. *Interaction With Composition I.* We have

$$\begin{array}{ccc}
 \text{Hom}_C(X, A) & \xrightarrow{f_*} & \text{Hom}_C(X, B) \\
 (g \circ f)^* = f^* \circ g^*, & \searrow (g \circ f)_* & \downarrow g_* \\
 & & \text{Hom}_C(X, C), \\
 \text{Hom}_C(C, X) & \xrightarrow{g^*} & \text{Hom}_C(B, X) \\
 (g \circ f)_* = g_* \circ f_*, & \searrow (g \circ f)^* & \downarrow f^* \\
 & & \text{Hom}_C(A, X).
 \end{array}$$

00VY

3. *Interaction With Composition II.* We have

$$\begin{array}{ccc}
 \text{pt} \xrightarrow{[f]} \text{Hom}_C(A, B) & & \text{pt} \xrightarrow{[g]} \text{Hom}_C(B, C) \\
 \searrow [g \circ f] & \downarrow g_* & \searrow [g \circ f] \\
 \text{Hom}_C(A, C) & & \text{Hom}_C(A, C).
 \end{array}
 \quad
 \begin{array}{c}
 [g \circ f] = g_* \circ [f], \\
 [g \circ f] = f^* \circ [g],
 \end{array}$$

00VZ

4. *Interaction With Composition III.* We have

$$\begin{array}{ccc}
 \text{Hom}_C(B, C) \times \text{Hom}_C(A, B) & \xrightarrow{\circ_{A,B,C}^C} & \text{Hom}_C(A, C) \\
 f^* \circ \circ_{A,B,C}^C = \circ_{X,B,C}^C \circ (f^* \times \text{id}), & \downarrow \text{id} \times f^* & \downarrow f^* \\
 & & \text{Hom}_C(X, C),
 \end{array}$$
  

$$\begin{array}{ccc}
 \text{Hom}_C(B, C) \times \text{Hom}_C(A, B) & \xrightarrow{\circ_{A,B,C}^C} & \text{Hom}_C(A, C) \\
 g_* \circ \circ_{A,B,C}^C = \circ_{A,B,D}^C \circ (\text{id} \times g_*), & \downarrow g_* \times \text{id} & \downarrow g^* \\
 & & \text{Hom}_C(B, D) \times \text{Hom}_C(A, B) \xrightarrow{\circ_{A,B,D}^C} \text{Hom}_C(A, D).
 \end{array}$$

00W0

5. *Interaction With Identities.* We have

$$\begin{aligned}
 (\text{id}_A)^* &= \text{id}_{\text{Hom}_C(A, B)}, \\
 (\text{id}_B)_* &= \text{id}_{\text{Hom}_C(A, B)}.
 \end{aligned}$$

**PROOF 11.1.5.3 ► PROOF OF PROPOSITION 11.1.5.2**

**Item 1: Interaction Between Precomposition and Postcomposition**

Clear.

**Item 2: Interaction With Composition I**

Clear.

**Item 3: Interaction With Composition II**

Clear.

**Item 4: Interaction With Composition III**

Clear.

**Item 5: Interaction With Identities**

Clear.



## 11.2 The Quadruple Adjunction With Sets

### 11.2.1 Statement

Let  $C$  be a category.

**PROPOSITION 11.2.1.1 ► THE QUADRUPLE ADJUNCTION BETWEEN Sets AND Cats**

00W3

We have a quadruple adjunction

$$(\pi_0 \dashv (-)_{\text{disc}} \dashv \text{Obj} \dashv (-)_{\text{indisc}}): \quad \text{Sets} \begin{array}{c} \xrightarrow{\pi_0} \\[-1ex] \xleftarrow{\perp} \\[-1ex] \xrightarrow{\perp} \\[-1ex] \xleftarrow{\text{Obj}} \\[-1ex] \xleftarrow{\perp} \\[-1ex] \xrightarrow{(-)_{\text{indisc}}} \end{array} \text{Cats},$$

witnessed by bijections of sets

$$\text{Hom}_{\text{Sets}}(\pi_0(C), X) \cong \text{Hom}_{\text{Cats}}(C, X_{\text{disc}}),$$

$$\text{Hom}_{\text{Cats}}(X_{\text{disc}}, C) \cong \text{Hom}_{\text{Sets}}(X, \text{Obj}(C)),$$

$$\text{Hom}_{\text{Sets}}(\text{Obj}(C), X) \cong \text{Hom}_{\text{Cats}}(C, X_{\text{indisc}}),$$

natural in  $C \in \text{Obj}(\text{Cats})$  and  $X \in \text{Obj}(\text{Sets})$ , where

- The functor

$$\pi_0: \text{Cats} \rightarrow \text{Sets},$$

the **connected components functor**, is the functor sending a category to its set of connected components of [Definition 11.2.3.1](#).

- The functor

$$(-)_{\text{disc}} : \text{Sets} \rightarrow \text{Cats},$$

the **discrete category functor**, is the functor sending a set to its associated discrete category of [Definition 11.2.5.1](#).

- The functor

$$\text{Obj} : \text{Cats} \rightarrow \text{Sets},$$

the **object functor**, is the functor sending a category to its set of objects.

- The functor

$$(-)_{\text{indisc}} : \text{Sets} \rightarrow \text{Cats},$$

the **indiscrete category functor**, is the functor sending a set to its associated indiscrete category of [Definition 11.2.6.1](#).

#### PROOF 11.2.1.2 ► PROOF OF PROPOSITION 11.2.1.1

Omitted. 

### 11.2.2 Connected Components of Categories

Let  $C$  be a category.

#### DEFINITION 11.2.2.1 ► CONNECTED COMPONENTS OF CATEGORIES

00W5

A **connected component** of  $C$  is a full subcategory  $\mathcal{I}$  of  $C$  satisfying the following conditions:<sup>1</sup>

1. *Non-Emptiness*. We have  $\text{Obj}(\mathcal{I}) \neq \emptyset$ .
2. *Connectedness*. There exists a zigzag of arrows between any two objects of  $\mathcal{I}$ .

<sup>1</sup>In other words, a **connected component** of  $C$  is an element of the set  $\text{Obj}(C)/\sim$  with  $\sim$  the equivalence relation generated by the relation  $\sim'$  obtained by declaring  $A \sim' B$  iff there exists a morphism of  $C$  from  $A$  to  $B$ .

### 11.2.3 Sets of Connected Components of Categories

Let  $C$  be a category.

**DEFINITION 11.2.3.1 ► SETS OF CONNECTED COMPONENTS OF CATEGORIES**

**00W7** The **set of connected components** of  $C$  is the set  $\pi_0(C)$  whose elements are the connected components of  $C$ .

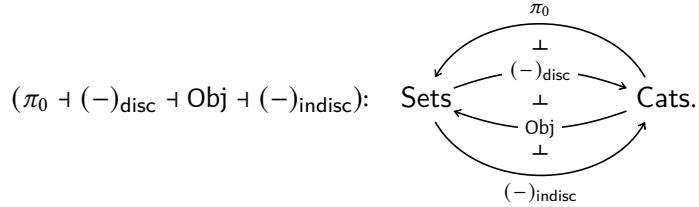
**PROPOSITION 11.2.3.2 ► PROPERTIES OF SETS OF CONNECTED COMPONENTS**

**00W8** Let  $C$  be a category.

**00W9** 1. *Functoriality.* The assignment  $C \mapsto \pi_0(C)$  defines a functor

$$\pi_0: \text{Cats} \rightarrow \text{Sets}.$$

**00WA** 2. *Adjointness.* We have a quadruple adjunction



**00WB** 3. *Interaction With Groupoids.* If  $C$  is a groupoid, then we have an isomorphism of categories

$$\pi_0(C) \cong K(C),$$

where  $K(C)$  is the set of isomorphism classes of  $C$  of ??.

**00WC** 4. *Preservation of Colimits.* The functor  $\pi_0$  of Item 1 preserves colimits. In particular, we have bijections of sets

$$\begin{aligned} \pi_0(C \coprod \mathcal{D}) &\cong \pi_0(C) \coprod \pi_0(\mathcal{D}), \\ \pi_0(C \coprod_{\mathcal{E}} \mathcal{D}) &\cong \pi_0(C) \coprod_{\pi_0(\mathcal{E})} \pi_0(\mathcal{D}), \\ \pi_0\left(\text{CoEq}\left(C \xrightarrow[G]{F} \mathcal{D}\right)\right) &\cong \text{CoEq}\left(\pi_0(C) \xrightarrow[\pi_0(G)]{\pi_0(F)} \pi_0(\mathcal{D})\right), \end{aligned}$$

natural in  $C, \mathcal{D}, \mathcal{E} \in \text{Obj}(\text{Cats})$ .

**00WD** 5. *Symmetric Strong Monoidality With Respect to Coproducts.* The connected components functor of Item 1 has a symmetric strong monoidal structure

$$\left(\pi_0, \pi_0^{\coprod}, \pi_{0|\mu}^{\coprod}\right): (\text{Cats}, \coprod, \emptyset_{\text{cat}}) \rightarrow (\text{Sets}, \coprod, \emptyset),$$

being equipped with isomorphisms

$$\begin{aligned}\pi_{0|C,D}^{\coprod} : \pi_0(C) \coprod \pi_0(D) &\xrightarrow{\cong} \pi_0(C \coprod D), \\ \pi_{0|\emptyset}^{\coprod} : \emptyset &\xrightarrow{\cong} \pi_0(\emptyset_{\text{cat}}),\end{aligned}$$

natural in  $C, D \in \text{Obj}(\text{Cats})$ .

- 00WE** 6. *Symmetric Strong Monoidality With Respect to Products.* The connected components functor of [Item 1](#) has a symmetric strong monoidal structure

$$\left( \pi_0, \pi_0^{\otimes}, \pi_{0|\emptyset}^{\otimes} \right) : (\text{Cats}, \times, \text{pt}) \rightarrow (\text{Sets}, \times, \text{pt}),$$

being equipped with isomorphisms

$$\begin{aligned}\pi_{0|C,D}^{\otimes} : \pi_0(C) \times \pi_0(D) &\xrightarrow{\cong} \pi_0(C \times D), \\ \pi_{0|\emptyset}^{\otimes} : \text{pt} &\xrightarrow{\cong} \pi_0(\text{pt}),\end{aligned}$$

natural in  $C, D \in \text{Obj}(\text{Cats})$ .

#### PROOF 11.2.3.3 ► PROOF OF PROPOSITION 11.2.3.2

**Item 1: Functoriality**

Clear.

**Item 2: Adjointness**

This is proved in [Proposition 11.2.1.1](#).

**Item 3: Interaction With Groupoids**

Clear.

**Item 4: Preservation of Colimits**

This follows from [Item 2](#) and ?? of ??.

**Item 5: Symmetric Strong Monoidality With Respect to Coproducts**

Omitted.

**Item 6: Symmetric Strong Monoidality With Respect to Products**

Omitted. 

#### 11.2.4 Connected Categories

**DEFINITION 11.2.4.1 ► CONNECTED CATEGORIES**

00WG

A category  $C$  is **connected** if  $\pi_0(C) \cong \text{pt}$ .<sup>1,2</sup>

<sup>1</sup>Further Terminology: A category is **disconnected** if it is not connected.

<sup>2</sup>Example: A groupoid is connected iff any two of its objects are isomorphic.

**11.2.5 Discrete Categories**

Let  $X$  be a set.

**DEFINITION 11.2.5.1 ► THE DISCRETE CATEGORY ON A SET**

00WJ

The **discrete category on a set**  $X$  is the category  $X_{\text{disc}}$  where

- *Objects.* We have

$$\text{Obj}(X_{\text{disc}}) \stackrel{\text{def}}{=} X;$$

- *Morphisms.* For each  $A, B \in \text{Obj}(X_{\text{disc}})$ , we have

$$\text{Hom}_{X_{\text{disc}}}(A, B) \stackrel{\text{def}}{=} \begin{cases} \text{id}_A & \text{if } A = B, \\ \emptyset & \text{if } A \neq B; \end{cases}$$

- *Identities.* For each  $A \in \text{Obj}(X_{\text{disc}})$ , the unit map

$$\text{id}_A^{X_{\text{disc}}} : \text{pt} \rightarrow \text{Hom}_{X_{\text{disc}}}(A, A)$$

of  $X_{\text{disc}}$  at  $A$  is defined by

$$\text{id}_A^{X_{\text{disc}}} \stackrel{\text{def}}{=} \text{id}_A;$$

- *Composition.* For each  $A, B, C \in \text{Obj}(X_{\text{disc}})$ , the composition map

$$\circ_{A, B, C}^{X_{\text{disc}}} : \text{Hom}_{X_{\text{disc}}}(B, C) \times \text{Hom}_{X_{\text{disc}}}(A, B) \rightarrow \text{Hom}_{X_{\text{disc}}}(A, C)$$

of  $X_{\text{disc}}$  at  $(A, B, C)$  is defined by

$$\text{id}_A \circ \text{id}_A \stackrel{\text{def}}{=} \text{id}_A.$$

**PROPOSITION 11.2.5.2 ► PROPERTIES OF DISCRETE CATEGORIES ON SETS**

00WK

Let  $X$  be a set.

00WL

1. *Functionality.* The assignment  $X \mapsto X_{\text{disc}}$  defines a functor

$$(-)_{\text{disc}}: \text{Sets} \rightarrow \text{Cats}.$$

00WM

2. *Adjointness.* We have a quadruple adjunction

$$(\pi_0 \dashv (-)_{\text{disc}} \dashv \text{Obj} \dashv (-)_{\text{indisc}}): \text{Sets} \begin{array}{c} \xleftarrow{\quad \perp \quad} \\[-1ex] \xleftarrow{\quad \perp \quad} \\[-1ex] \xleftarrow{\quad \perp \quad} \\[-1ex] \xleftarrow{\quad \perp \quad} \end{array} \text{Cats.}$$

00WN

3. *Symmetric Strong Monoidality With Respect to Coproducts.* The functor of Item 1 has a symmetric strong monoidal structure

$$\left( (-)_{\text{disc}}, (-)_{\text{disc}}^{\coprod}, (-)_{\text{disc}|\wp}^{\coprod} \right): (\text{Sets}, \coprod, \emptyset) \rightarrow (\text{Cats}, \coprod, \emptyset_{\text{cat}}),$$

being equipped with isomorphisms

$$\begin{aligned} (-)_{\text{disc}|X,Y}^{\coprod}: X_{\text{disc}} \coprod Y_{\text{disc}} &\xrightarrow{\cong} (X \coprod Y)_{\text{disc}}, \\ (-)_{\text{disc}|\wp}^{\coprod}: \emptyset_{\text{cat}} &\xrightarrow{\cong} \emptyset_{\text{disc}}, \end{aligned}$$

natural in  $X, Y \in \text{Obj}(\text{Sets})$ .

00WP

4. *Symmetric Strong Monoidality With Respect to Products.* The functor of Item 1 has a symmetric strong monoidal structure

$$\left( (-)_{\text{disc}}, (-)_{\text{disc}}^{\otimes}, (-)_{\text{disc}|\wp}^{\otimes} \right): (\text{Sets}, \times, \text{pt}) \rightarrow (\text{Cats}, \times, \text{pt}),$$

being equipped with isomorphisms

$$\begin{aligned} (-)_{\text{disc}|X,Y}^{\otimes}: X_{\text{disc}} \times Y_{\text{disc}} &\xrightarrow{\cong} (X \times Y)_{\text{disc}}, \\ (-)_{\text{disc}|\wp}^{\otimes}: \text{pt} &\xrightarrow{\cong} \text{pt}_{\text{disc}}, \end{aligned}$$

natural in  $X, Y \in \text{Obj}(\text{Sets})$ .

**PROOF 11.2.5.3 ► PROOF OF PROPOSITION 11.2.5.2****Item 1: Functoriality**

Clear.

**Item 2: Adjointness**This is proved in [Proposition 11.2.1.1](#).**Item 3: Symmetric Strong Monoidality With Respect to Coproducts**

Omitted.

**Item 4: Symmetric Strong Monoidality With Respect to Products**Omitted. **11.2.6 Indiscrete Categories****DEFINITION 11.2.6.1 ► THE INDISCRETE CATEGORY ON A SET****00WR**The **indiscrete category on a set  $X^1$**  is the category  $X_{\text{indisc}}$  where

- *Objects.* We have

$$\text{Obj}(X_{\text{indisc}}) \stackrel{\text{def}}{=} X;$$

- *Morphisms.* For each  $A, B \in \text{Obj}(X_{\text{indisc}})$ , we have

$$\text{Hom}_{X_{\text{disc}}}(A, B) \stackrel{\text{def}}{=} \{[A] \rightarrow [B]\};$$

- *Identities.* For each  $A \in \text{Obj}(X_{\text{indisc}})$ , the unit map

$$\jmath_A^{X_{\text{indisc}}} : \text{pt} \rightarrow \text{Hom}_{X_{\text{indisc}}}(A, A)$$

of  $X_{\text{indisc}}$  at  $A$  is defined by

$$\text{id}_A^{X_{\text{indisc}}} \stackrel{\text{def}}{=} \{[A] \rightarrow [A]\};$$

- *Composition.* For each  $A, B, C \in \text{Obj}(X_{\text{indisc}})$ , the composition map

$$\circ_{A,B,C}^{X_{\text{indisc}}} : \text{Hom}_{X_{\text{indisc}}}(B, C) \times \text{Hom}_{X_{\text{indisc}}}(A, B) \rightarrow \text{Hom}_{X_{\text{indisc}}}(A, C)$$

of  $X_{\text{disc}}$  at  $(A, B, C)$  is defined by

$$([B] \rightarrow [C]) \circ ([A] \rightarrow [B]) \stackrel{\text{def}}{=} ([A] \rightarrow [C]).$$

<sup>1</sup>*Further Terminology:* Also called the **chaotic category on  $X$** .

**PROPOSITION 11.2.6.2 ► PROPERTIES OF INDISCRETE CATEGORIES ON SETS**

**00WS** Let  $X$  be a set.

**00WT** 1. *Functoriality.* The assignment  $X \mapsto X_{\text{indisc}}$  defines a functor

$$(-)_{\text{indisc}}: \text{Sets} \rightarrow \text{Cats}.$$

**00WU** 2. *Adjointness.* We have a quadruple adjunction

$$(\pi_0 \dashv (-)_{\text{disc}} \dashv \text{Obj} \dashv (-)_{\text{indisc}}): \text{Sets} \rightleftarrows \text{Cats.}$$

**00WV** 3. *Symmetric Strong Monoidality With Respect to Products.* The functor of [Item 1](#) has a symmetric strong monoidal structure

$$((-)_{\text{indisc}}, (-)_{\text{indisc}}^{\otimes}, (-)_{\text{indisc}|_{\mathbb{M}}}^{\otimes}): (\text{Sets}, \times, \text{pt}) \rightarrow (\text{Cats}, \times, \text{pt}),$$

being equipped with isomorphisms

$$\begin{aligned} (-)_{\text{indisc}|_{X,Y}}^{\otimes}: X_{\text{indisc}} \times Y_{\text{indisc}} &\xrightarrow{\cong} (X \times Y)_{\text{indisc}}, \\ (-)_{\text{indisc}|_{\mathbb{M}}}^{\otimes}: \text{pt} &\xrightarrow{\cong} \text{pt}_{\text{indisc}}, \end{aligned}$$

natural in  $X, Y \in \text{Obj}(\text{Sets})$ .

**PROOF 11.2.6.3 ► PROOF OF PROPOSITION 11.2.6.2**

Item 1: Functoriality

Clear.

Item 2: Adjointness

This is proved in [Proposition 11.2.1.1](#).

Item 3: Symmetric Strong Monoidality With Respect to Products

Omitted.

## 11.3 Groupoids

### 11.3.1 Foundations

Let  $C$  be a category.

**DEFINITION 11.3.1.1 ► ISOMORPHISMS**

**00WY** A morphism  $f: A \rightarrow B$  of  $C$  is an **isomorphism** if there exists a morphism  $f^{-1}: B \rightarrow A$  of  $C$  such that

$$\begin{aligned} f \circ f^{-1} &= \text{id}_B, \\ f^{-1} \circ f &= \text{id}_A. \end{aligned}$$

**DEFINITION 11.3.1.2 ► GROUPOIDS**

**00WZ** A **groupoid** is a category in which every morphism is an isomorphism.

**11.3.2 The Groupoid Completion of a Category**

Let  $C$  be a category.

**DEFINITION 11.3.2.1 ► THE GROUPOID COMPLETION OF A CATEGORY**

**00X1** The **groupoid completion** of  $C$ <sup>1</sup> is the pair  $(K_0(C), \iota_C)$  consisting of

- A groupoid  $K_0(C)$ ;
- A functor  $\iota_C: C \rightarrow K_0(C)$ ;

satisfying the following universal property:<sup>2</sup>

**(UP)** Given another such pair  $(\mathcal{G}, i)$ , there exists a unique functor  $K_0(C) \xrightarrow{\exists!} \mathcal{G}$  making the diagram

$$\begin{array}{ccc} & K_0(C) & \\ \iota_C \nearrow & \downarrow \exists! & \\ C & \xrightarrow{i} & \mathcal{G} \end{array}$$

commute.

---

<sup>1</sup>Further Terminology: Also called the **Grothendieck groupoid** of  $C$  or the **Grothendieck groupoid completion** of  $C$ . See Item 5 of Proposition 11.3.2.2 for an explicit construction.

**PROPOSITION 11.3.2.2 ► PROPERTIES OF GROUPOID COMPLETION**

00X2 Let  $C$  be a category.

00X3 1. *Functoriality.* The assignment  $C \mapsto K_0(C)$  defines a functor

$$K_0: \text{Cats} \rightarrow \text{Grpd}.$$

00X4 2. *2-Functoriality.* The assignment  $C \mapsto K_0(C)$  defines a 2-functor

$$K_0: \text{Cats}_2 \rightarrow \text{Grpd}_2.$$

00X5 3. *Adjointness.* We have an adjunction

$$(K_0 \dashv \iota): \quad \text{Cats} \begin{array}{c} \xrightarrow{\quad K_0 \quad} \\[-1ex] \perp \\[-1ex] \xleftarrow{\quad \iota \quad} \end{array} \text{Grpd},$$

witnessed by a bijection of sets

$$\text{Hom}_{\text{Grpd}}(K_0(C), \mathcal{G}) \cong \text{Hom}_{\text{Cats}}(C, \mathcal{G}),$$

natural in  $C \in \text{Obj}(\text{Cats})$  and  $\mathcal{G} \in \text{Obj}(\text{Grpd})$ , forming, together with the functor **Core** of Item 1 of Proposition 11.3.3.4, a triple adjunction

$$(K_0 \dashv \iota \dashv \text{Core}): \quad \text{Cats} \begin{array}{c} \xrightarrow{\quad K_0 \quad} \\[-1ex] \perp \\[-1ex] \xleftarrow{\quad \iota \quad} \\[-1ex] \perp \\[-1ex] \xrightarrow{\quad \text{Core} \quad} \end{array} \text{Grpd},$$

witnessed by bijections of sets

$$\text{Hom}_{\text{Grpd}}(K_0(C), \mathcal{G}) \cong \text{Hom}_{\text{Cats}}(C, \mathcal{G}),$$

$$\text{Hom}_{\text{Cats}}(\mathcal{G}, \mathcal{D}) \cong \text{Hom}_{\text{Grpd}}(\mathcal{G}, \text{Core}(\mathcal{D})),$$

natural in  $C, \mathcal{D} \in \text{Obj}(\text{Cats})$  and  $\mathcal{G} \in \text{Obj}(\text{Grpd})$ .

00X6 4. *2-Adjointness.* We have a 2-adjunction

$$(K_0 \dashv \iota): \quad \text{Cats} \begin{array}{c} \xrightarrow{\quad K_0 \quad} \\[-1ex] \perp_2 \\[-1ex] \xleftarrow{\quad \iota \quad} \end{array} \text{Grpd},$$

witnessed by an isomorphism of categories

$$\text{Fun}(K_0(C), \mathcal{G}) \cong \text{Fun}(C, \mathcal{G}),$$

natural in  $C \in \text{Obj}(\text{Cats})$  and  $\mathcal{G} \in \text{Obj}(\text{Grpd})$ , forming, together with the 2-functor  $\text{Core}$  of [Item 2 of Proposition 11.3.3.4](#), a triple 2-adjunction

$$(K_0 \dashv \iota \dashv \text{Core}): \text{Cats} \begin{array}{c} \xleftarrow{\iota} \\[-1ex] \xrightarrow{\text{Core}} \end{array} \text{Grpd}, \quad \begin{array}{c} \xleftarrow{\perp_2} \\[-1ex] \xrightarrow{\perp_2} \end{array} \quad \begin{array}{c} K_0 \\[-1ex] \text{Core} \end{array}$$

witnessed by isomorphisms of categories

$$\begin{aligned} \text{Fun}(K_0(C), \mathcal{G}) &\cong \text{Fun}(C, \mathcal{G}), \\ \text{Fun}(\mathcal{G}, \mathcal{D}) &\cong \text{Fun}(\mathcal{G}, \text{Core}(\mathcal{D})), \end{aligned}$$

natural in  $C, \mathcal{D} \in \text{Obj}(\text{Cats})$  and  $\mathcal{G} \in \text{Obj}(\text{Grpd})$ .

[00X7](#) 5. *Interaction With Classifying Spaces.* We have an isomorphism of groupoids

$$K_0(C) \cong \Pi_{\leq 1}(|N_\bullet(C)|),$$

natural in  $C \in \text{Obj}(\text{Cats})$ ; i.e. the diagram

$$\begin{array}{ccc} \text{Cats} & \xrightarrow{K_0} & \text{Grp} \\ N_\bullet \downarrow & \Downarrow & \uparrow \Pi_{\leq 1} \\ \text{sSets} & \xrightarrow{|-|} & \text{Top} \end{array}$$

commutes up to natural isomorphism.

[00X8](#) 6. *Symmetric Strong Monoidality With Respect to Coproducts.* The groupoid completion functor of [Item 1](#) has a symmetric strong monoidal structure

$$\left(K_0, K_0^{\coprod}, K_{0|\mathbb{1}}^{\coprod}\right): (\text{Cats}, \coprod, \emptyset_{\text{cat}}) \rightarrow (\text{Grpd}, \coprod, \emptyset_{\text{cat}})$$

being equipped with isomorphisms

$$\begin{aligned} K_{0|C,D}^{\coprod}: K_0(C) \coprod K_0(D) &\xrightarrow{\cong} K_0(C \coprod D), \\ K_{0|\mathbb{1}}^{\coprod}: \emptyset_{\text{cat}} &\xrightarrow{\cong} K_0(\emptyset_{\text{cat}}), \end{aligned}$$

natural in  $C, D \in \text{Obj}(\text{Cats})$ .

00X9

7. *Symmetric Strong Monoidality With Respect to Products.* The groupoid completion functor of Item 1 has a symmetric strong monoidal structure

$$\left( K_0, K_0^X, K_{0|*}^X \right) : (\text{Cats}, \times, \text{pt}) \rightarrow (\text{Grpd}, \times, \text{pt})$$

being equipped with isomorphisms

$$\begin{aligned} K_{0|C,D}^X : K_0(C) \times K_0(D) &\xrightarrow{\cong} K_0(C \times D), \\ K_{0|*}^X : \text{pt} &\xrightarrow{\cong} K_0(\text{pt}), \end{aligned}$$

natural in  $C, D \in \text{Obj}(\text{Cats})$ .

#### PROOF 11.3.2.3 ► PROOF OF PROPOSITION 11.3.2.2

Item 1: Functoriality

Omitted.

Item 2: 2-Functoriality

Omitted.

Item 3: Adjointness

Omitted.

Item 4: 2-Adjointness

Omitted.

Item 5: Interaction With Classifying Spaces

See Corollary 18.33 of <https://web.ma.utexas.edu/users/dafr/M392C-2012/Notes/lecture18.pdf>.

Item 6: Symmetric Strong Monoidality With Respect to Coproducts

Omitted.

Item 7: Symmetric Strong Monoidality With Respect to Products

Omitted.



#### 11.3.3 The Core of a Category

Let  $C$  be a category.

## DEFINITION 11.3.3.1 ► THE CORE OF A CATEGORY

00XB

The **core** of  $C$  is the pair  $(\text{Core}(C), \iota_C)$ <sup>1</sup> consisting of

1. A groupoid  $\text{Core}(C)$ ;
2. A functor  $\iota_C : \text{Core}(C) \hookrightarrow C$ ;

satisfying the following universal property:

**(UP)** Given another such pair  $(\mathcal{G}, i)$ , there exists a unique functor  $\mathcal{G} \xrightarrow{\exists!} \text{Core}(C)$  making the diagram

$$\begin{array}{ccc} & \text{Core}(C) & \\ \nearrow \exists! & \downarrow \iota_C & \\ \mathcal{G} & \xrightarrow{i} & C \end{array}$$

commute.

<sup>1</sup>Further Notation: Also written  $C^\simeq$ .

## CONSTRUCTION 11.3.3.2 ► CONSTRUCTION OF THE CORE OF A CATEGORY

00XC

The core of  $C$  is the wide subcategory of  $C$  spanned by the isomorphisms of  $C$ , i.e. the category  $\text{Core}(C)$  where<sup>1</sup>

1. *Objects.* We have

$$\text{Obj}(\text{Core}(C)) \stackrel{\text{def}}{=} \text{Obj}(C);$$

2. *Morphisms.* The morphisms of  $\text{Core}(C)$  are the isomorphisms of  $C$ .

<sup>1</sup>Slogan: The groupoid  $\text{Core}(C)$  is the maximal subgroupoid of  $C$ .

## PROOF 11.3.3 ► PROOF OF ??

This follows from the fact that functors preserve isomorphisms. 

## PROPOSITION 11.3.3.4 ► PROPERTIES OF THE CORE OF A CATEGORY

00XD

Let  $C$  be a category.

00XE

1. *Functionality.* The assignment  $C \mapsto \text{Core}(C)$  defines a functor

$$\text{Core} : \text{Cats} \rightarrow \text{Grpd}.$$

00XF

2. *2-Functoriality.* The assignment  $C \mapsto \text{Core}(C)$  defines a 2-functor

$$\text{Core}: \text{Cats}_2 \rightarrow \text{Grpd}_2.$$

00XG

3. *Adjointness.* We have an adjunction

$$(\iota \dashv \text{Core}): \text{Grpd} \begin{array}{c} \xleftarrow{\quad \perp \quad} \\[-1ex] \xrightarrow{\quad \perp \quad} \end{array} \text{Cats},$$

Core

witnessed by a bijection of sets

$$\text{Hom}_{\text{Cats}}(\mathcal{G}, \mathcal{D}) \cong \text{Hom}_{\text{Grpd}}(\mathcal{G}, \text{Core}(\mathcal{D})),$$

natural in  $\mathcal{G} \in \text{Obj}(\text{Grpd})$  and  $\mathcal{D} \in \text{Obj}(\text{Cats})$ , forming, together with the functor  $K_0$  of Item 1 of Proposition 11.3.2.2, a triple adjunction

$$(K_0 \dashv \iota \dashv \text{Core}): \text{Cats} \begin{array}{c} \xleftarrow{\quad \perp \quad} \\[-1ex] \xrightarrow{\quad \perp \quad} \end{array} \text{Grpd},$$

Core

witnessed by bijections of sets

$$\text{Hom}_{\text{Grpd}}(K_0(C), \mathcal{G}) \cong \text{Hom}_{\text{Cats}}(C, \mathcal{G}),$$

$$\text{Hom}_{\text{Cats}}(\mathcal{G}, \mathcal{D}) \cong \text{Hom}_{\text{Grpd}}(\mathcal{G}, \text{Core}(\mathcal{D})),$$

natural in  $C, \mathcal{D} \in \text{Obj}(\text{Cats})$  and  $\mathcal{G} \in \text{Obj}(\text{Grpd})$ .

00XH

4. *2-Adjointness.* We have an adjunction

$$(\iota \dashv \text{Core}): \text{Grpd} \begin{array}{c} \xleftarrow{\quad \perp_2 \quad} \\[-1ex] \xrightarrow{\quad \perp_2 \quad} \end{array} \text{Cats},$$

Core

witnessed by an isomorphism of categories

$$\text{Fun}(\mathcal{G}, \mathcal{D}) \cong \text{Fun}(\mathcal{G}, \text{Core}(\mathcal{D})),$$

natural in  $\mathcal{G} \in \text{Obj}(\text{Grpd})$  and  $\mathcal{D} \in \text{Obj}(\text{Cats})$ , forming, together with the 2-functor  $K_0$  of Item 2 of Proposition 11.3.2.2, a triple 2-adjunction

$$(K_0 \dashv \iota \dashv \text{Core}): \text{Cats} \begin{array}{c} \xleftarrow{\quad \perp_2 \quad} \\[-1ex] \xrightarrow{\quad \perp_2 \quad} \end{array} \text{Grpd},$$

Core

witnessed by isomorphisms of categories

$$\begin{aligned}\text{Fun}(K_0(C), \mathcal{G}) &\cong \text{Fun}(C, \mathcal{G}), \\ \text{Fun}(\mathcal{G}, \mathcal{D}) &\cong \text{Fun}(\mathcal{G}, \text{Core}(\mathcal{D})),\end{aligned}$$

natural in  $C, \mathcal{D} \in \text{Obj}(\text{Cats})$  and  $\mathcal{G} \in \text{Obj}(\text{Grpd})$ .

00XJ

5. *Symmetric Strong Monoidality With Respect to Products.* The core functor of [Item 1](#) has a symmetric strong monoidal structure

$$(\text{Core}, \text{Core}^\times, \text{Core}_\times^\times) : (\text{Cats}, \times, \text{pt}) \rightarrow (\text{Grpd}, \times, \text{pt})$$

being equipped with isomorphisms

$$\begin{aligned}\text{Core}_{C, \mathcal{D}}^\times : \text{Core}(C) \times \text{Core}(\mathcal{D}) &\xrightarrow{\cong} \text{Core}(C \times \mathcal{D}), \\ \text{Core}_\times^\times : \text{pt} &\xrightarrow{\cong} \text{Core}(\text{pt}),\end{aligned}$$

natural in  $C, \mathcal{D} \in \text{Obj}(\text{Cats})$ .

00XK

6. *Symmetric Strong Monoidality With Respect to Coproducts.* The core functor of [Item 1](#) has a symmetric strong monoidal structure

$$(\text{Core}, \text{Core}^{\coprod}, \text{Core}_\times^{\coprod}) : (\text{Cats}, \coprod, \emptyset_{\text{cat}}) \rightarrow (\text{Grpd}, \coprod, \emptyset_{\text{cat}})$$

being equipped with isomorphisms

$$\begin{aligned}\text{Core}_{C, \mathcal{D}}^{\coprod} : \text{Core}(C) \coprod \text{Core}(\mathcal{D}) &\xrightarrow{\cong} \text{Core}(C \coprod \mathcal{D}), \\ \text{Core}_\times^{\coprod} : \emptyset_{\text{cat}} &\xrightarrow{\cong} \text{Core}(\emptyset_{\text{cat}}),\end{aligned}$$

natural in  $C, \mathcal{D} \in \text{Obj}(\text{Cats})$ .

#### PROOF 11.3.3.5 ▶ PROOF OF PROPOSITION 11.3.3.4

**Item 1: Functoriality**

Omitted.

**Item 2: 2-Functoriality**

Omitted.

**Item 3: Adjointness**

The adjunction  $(K_0 \dashv \iota)$  follows from the universal property of the Gabriel–Zisman

localisation of a category with respect to a class of morphisms (??), while the adjunction ( $\iota \dashv \text{Core}$ ) is a reformulation of the universal property of the core of a category (Definition 11.3.3.1).<sup>1</sup>

**Item 4: 2-Adjointness**

Omitted.

**Item 5: Symmetric Strong Monoidality With Respect to Products**

Omitted.

**Item 6: Symmetric Strong Monoidality With Respect to Coproducts**

Omitted.

<sup>1</sup>Reference: [Rie17, Example 4.1.15]

## 11.4 Functors

### 11.4.1 Foundations

Let  $C$  and  $\mathcal{D}$  be categories.

**DEFINITION 11.4.1.1 ► FUNCTORS**

00XN

A **functor**  $F: C \rightarrow \mathcal{D}$  from  $C$  to  $\mathcal{D}$ <sup>1</sup> consists of<sup>2</sup>

1. *Action on Objects.* A map of sets

$$F: \text{Obj}(C) \rightarrow \text{Obj}(\mathcal{D}),$$

called the **action on objects** of  $F$ ;

2. *Action on Morphisms.* For each  $A, B \in \text{Obj}(C)$ , a map

$$F_{A,B}: \text{Hom}_C(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(F_A, F_B),$$

called the **action on morphisms** of  $F$  at  $(A, B)$ <sup>3</sup>;

satisfying the following conditions:

1. *Preservation of Identities.* For each  $A \in \text{Obj}(C)$ , the diagram

$$\begin{array}{ccc} & \text{pt} & \\ & \searrow & \\ \psi_A^C & \downarrow & \\ \text{Hom}_C(A, A) & \xrightarrow{F_{A,A}} & \text{Hom}_{\mathcal{D}}(F_A, F_A) \end{array}$$

commutes, i.e. we have

$$F(\text{id}_A) = \text{id}_{F_A}.$$

2. *Preservation of Composition.* For each  $A, B, C \in \text{Obj}(C)$ , the diagram

$$\begin{array}{ccc} \text{Hom}_C(B, C) \times \text{Hom}_C(A, B) & \xrightarrow{\circ_{A,B,C}^C} & \text{Hom}_C(A, C) \\ F_{B,C} \times F_{A,B} \downarrow & & \downarrow F_{A,C} \\ \text{Hom}_{\mathcal{D}}(F_B, F_C) \times \text{Hom}_{\mathcal{D}}(F_A, F_B) & \xrightarrow{\circ_{F_A,F_B,F_C}^{\mathcal{D}}} & \text{Hom}_{\mathcal{D}}(F_A, F_C) \end{array}$$

commutes, i.e. for each composable pair  $(g, f)$  of morphisms of  $C$ , we have

$$F(g \circ f) = F(g) \circ F(f).$$

<sup>1</sup>Further Terminology: Also called a **covariant functor**.

<sup>2</sup>Further Notation: Given functors  $F: C \rightarrow \mathcal{D}$  and  $G: C^{\text{op}} \rightarrow \mathcal{D}$ , we will sometimes write  $F_A$  for  $F(A)$  (resp.  $G^A$  for  $G(A)$ ) and  $F_f$  for  $F(f)$  (resp.  $G^f$  for  $G(f)$ ). This has been called Einstein notation in the literature.

<sup>3</sup>Further Terminology: Also called **action on Hom-sets of  $F$  at  $(A, B)$** .

#### EXAMPLE 11.4.1.2 ► IDENTITY FUNCTORS

00XP

The **identity functor** of a category  $C$  is the functor  $\text{id}_C: C \rightarrow C$  where

1. *Action on Objects.* For each  $A \in \text{Obj}(C)$ , we have

$$\text{id}_C(A) \stackrel{\text{def}}{=} A;$$

2. *Action on Morphisms.* For each  $A, B \in \text{Obj}(C)$ , the action on morphisms map

$$(\text{id}_C)_{A,B}: \text{Hom}_C(A, B) \rightarrow \underbrace{\text{Hom}_C(\text{id}_C(A), \text{id}_C(B))}_{\stackrel{\text{def}}{=} \text{Hom}_C(A, B)}$$

of  $\text{id}_C$  at  $(A, B)$  is defined by

$$(\text{id}_C)_{A,B} \stackrel{\text{def}}{=} \text{id}_{\text{Hom}_C(A, B)}.$$

**PROOF 11.4.1.3 ► PROOF OF EXAMPLE 11.4.1.2****Preservation of Identities**

We have  $\text{id}_C(\text{id}_A) \stackrel{\text{def}}{=} \text{id}_A$  for each  $A \in \text{Obj}(C)$  by definition.

**Preservation of Compositions**

For each composable pair  $A \xrightarrow{f} B \xrightarrow{g} C$  of morphisms of  $C$ , we have

$$\begin{aligned}\text{id}_C(g \circ f) &\stackrel{\text{def}}{=} g \circ f \\ &\stackrel{\text{def}}{=} \text{id}_C(g) \circ \text{id}_C(f).\end{aligned}$$

This finishes the proof. 

**DEFINITION 11.4.1.4 ► COMPOSITION OF FUNCTORS**

00XQ

The **composition** of two functors  $F: \mathcal{C} \rightarrow \mathcal{D}$  and  $G: \mathcal{D} \rightarrow \mathcal{E}$  is the functor  $G \circ F$  where

- *Action on Objects.* For each  $A \in \text{Obj}(C)$ , we have

$$[G \circ F](A) \stackrel{\text{def}}{=} G(F(A));$$

- *Action on Morphisms.* For each  $A, B \in \text{Obj}(C)$ , the action on morphisms map

$$(G \circ F)_{A,B}: \text{Hom}_C(A, B) \rightarrow \text{Hom}_{\mathcal{E}}(G_{F_A}, G_{F_B})$$

of  $G \circ F$  at  $(A, B)$  is defined by

$$[G \circ F](f) \stackrel{\text{def}}{=} G(F(f)).$$

**PROOF 11.4.1.5 ► PROOF OF DEFINITION 11.4.1.4****Preservation of Identities**

For each  $A \in \text{Obj}(C)$ , we have

$$\begin{aligned}G_{F_{\text{id}_A}} &= G_{\text{id}_{F_A}} && \text{(functoriality of } F\text{)} \\ &= \text{id}_{G_{F_A}}. && \text{(functoriality of } G\text{)}\end{aligned}$$

**Preservation of Composition**

For each composable pair  $(g, f)$  of morphisms of  $C$ , we have

$$\begin{aligned} G_{F_g \circ f} &= G_{F_g} \circ F_f && \text{(functoriality of } F\text{)} \\ &= G_{F_g} \circ G_{F_f}. && \text{(functoriality of } G\text{)} \end{aligned}$$

This finishes the proof. 

#### PROPOSITION 11.4.1.6 ► ELEMENTARY PROPERTIES OF FUNCTORS

00XR Let  $F: C \rightarrow \mathcal{D}$  be a functor.

00XS 1. *Preservation of Isomorphisms.* If  $f$  is an isomorphism in  $C$ , then  $F(f)$  is an isomorphism in  $\mathcal{D}$ .<sup>1</sup>

<sup>1</sup>When the converse holds, we call  $F$  *conservative*, see Definition 11.4.6.1.

#### PROOF 11.4.1.7 ► PROOF OF PROPOSITION 11.4.1.6

##### Item 1: Preservation of Isomorphisms

Indeed, we have

$$\begin{aligned} F(f)^{-1} \circ F(f) &= F(f^{-1} \circ f) \\ &= F(\text{id}_A) \\ &= \text{id}_{F(A)} \end{aligned}$$

and

$$\begin{aligned} F(f) \circ F(f)^{-1} &= F(f \circ f^{-1}) \\ &= F(\text{id}_A) \\ &= \text{id}_{F(A)}, \end{aligned}$$

showing  $F(f)$  to be an isomorphism. 

#### 11.4.2 Faithful Functors

Let  $C$  and  $\mathcal{D}$  be categories.

**DEFINITION 11.4.2.1 ► FAITHFUL FUNCTORS**

**00XU** A functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  is **faithful** if, for each  $A, B \in \text{Obj}(\mathcal{C})$ , the action on morphisms map  $F_{A,B}: \text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(F_A, F_B)$  of  $F$  at  $(A, B)$  is injective.

**PROPOSITION 11.4.2.2 ► PROPERTIES OF FAITHFUL FUNCTORS**

**00XV** Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be a functor

**00XW** 1. *Characterisations.* The following conditions are equivalent:

- (a) The functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  is faithful.
- (b) For each  $\mathcal{X} \in \text{Obj}(\text{Cats})$ , the precomposition functor

$$F^*: \text{Fun}(\mathcal{D}, \mathcal{X}) \rightarrow \text{Fun}(\mathcal{C}, \mathcal{X})$$

is faithful.

- (c) For each  $\mathcal{X} \in \text{Obj}(\text{Cats})$ , the postcomposition functor

$$F_*: \text{Fun}(\mathcal{X}, \mathcal{C}) \rightarrow \text{Fun}(\mathcal{X}, \mathcal{D})$$

is faithful.

**PROOF 11.4.2.3 ► PROOF OF PROPOSITION 11.4.2.2**

Item 1: Characterisations

Omitted. 

**11.4.3 Full Functors**

Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories.

**DEFINITION 11.4.3.1 ► FULL FUNCTORS**

**00XY** A functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  is **full** if, for each  $A, B \in \text{Obj}(\mathcal{C})$ , the action on morphisms map  $F_{A,B}: \text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(F_A, F_B)$  of  $F$  at  $(A, B)$  is surjective.

**PROPOSITION 11.4.3.2 ► PROPERTIES OF FULL FUNCTORS**

**00XZ** Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be a functor

**00Y0** 1. *Characterisations.* The following conditions are equivalent:

- (a) The functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  is full.
- (b) For each  $\mathcal{X} \in \text{Obj}(\text{Cats})$ , the precomposition functor

$$F^*: \text{Fun}(\mathcal{D}, \mathcal{X}) \rightarrow \text{Fun}(\mathcal{C}, \mathcal{X})$$

is full.

- (c) For each  $\mathcal{X} \in \text{Obj}(\text{Cats})$ , the postcomposition functor

$$F_*: \text{Fun}(\mathcal{X}, \mathcal{C}) \rightarrow \text{Fun}(\mathcal{X}, \mathcal{D})$$

is full.

**PROOF 11.4.3.3 ► PROOF OF PROPOSITION 11.4.3.2**

Item 1: Characterisations

Omitted. 

**11.4.4 Fully Faithful Functors**

Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories.

**DEFINITION 11.4.4.1 ► FULLY FAITHFUL FUNCTORS**

**00Y2** A functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  is **fully faithful** if  $F$  is full and faithful, i.e. if, for each  $A, B \in \text{Obj}(\mathcal{C})$ , the action on morphisms map

$$F_{A,B}: \text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(F_A, F_B)$$

of  $F$  at  $(A, B)$  is bijective.

**PROPOSITION 11.4.4.2 ► PROPERTIES OF FULLY FAITHFUL FUNCTORS**

**00Y3** Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be a functor

**00Y4** 1. *Characterisations.* The following conditions are equivalent:

- (a) The functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  is fully faithful.

(b) For each  $\mathcal{X} \in \text{Obj}(\text{Cats})$ , the precomposition functor

$$F^*: \text{Fun}(\mathcal{D}, \mathcal{X}) \rightarrow \text{Fun}(C, \mathcal{X})$$

is fully faithful.

(c) For each  $\mathcal{X} \in \text{Obj}(\text{Cats})$ , the postcomposition functor

$$F_*: \text{Fun}(\mathcal{X}, C) \rightarrow \text{Fun}(\mathcal{X}, \mathcal{D})$$

is fully faithful.

00Y5 2. *Conservativity*. If  $F$  is fully faithful, then  $F$  is conservative.

#### PROOF 11.4.4.3 ► PROOF OF PROPOSITION 11.4.4.2

Item 1: Characterisations

Omitted.

Item 2: Conservativity

This is proved in Item 2 of Proposition 11.4.6.2. 

#### 11.4.5 Essentially Surjective Functors

Let  $C$  and  $\mathcal{D}$  be categories.

#### DEFINITION 11.4.5.1 ► ESSENTIALLY SURJECTIVE FUNCTORS

00Y7 A functor  $F: C \rightarrow \mathcal{D}$  is **essentially surjective** if, for each  $D \in \text{Obj}(\mathcal{D})$ , there exists some object  $A$  of  $C$  with  $F(A) \cong D$ .

#### 11.4.6 Conservative Functors

Let  $C$  and  $\mathcal{D}$  be categories.

#### DEFINITION 11.4.6.1 ► CONSERVATIVE FUNCTORS

00Y9 A functor  $F: C \rightarrow \mathcal{D}$  is **conservative** if it satisfies the following condition:

- (★) For each  $f \in \text{Mor}(C)$ , if  $F(f)$  is an isomorphism in  $\mathcal{D}$ , then  $f$  is an isomorphism in  $C$ .

**PROPOSITION 11.4.6.2 ► PROPERTIES OF CONSERVATIVE FUNCTORS**

**00YA** Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be a functor.

**00YB** 1. *Characterisations.* The following conditions are equivalent:

- (a) The functor  $F$  is conservative.
- (b) For each  $f \in \text{Mor}(\mathcal{C})$ , the morphism  $F(f)$  is an isomorphism in  $\mathcal{D}$  iff  $f$  is an isomorphism in  $\mathcal{C}$ .

**00YC** 2. *Interaction With Fully Faithfulness.* Every fully faithful functor is conservative.

**PROOF 11.4.6.3 ► PROOF OF PROPOSITION 11.4.6.2****Item 1: Characterisations**

This follows from **Item 1** of [Proposition 11.4.1.6](#).

**Item 2: Interaction With Fully Faithfulness**

Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be a fully faithful functor, let  $f: A \rightarrow B$  be a morphism of  $\mathcal{C}$ , and suppose that  $F_f$  is an isomorphism. We have

$$\begin{aligned} F(\text{id}_B) &= \text{id}_{F(B)} \\ &= F(f) \circ F(f)^{-1} \\ &= F(f \circ f^{-1}). \end{aligned}$$

Similarly,  $F(\text{id}_A) = F(f^{-1} \circ f)$ . But since  $F$  is fully faithful, we must have

$$\begin{aligned} f \circ f^{-1} &= \text{id}_B, \\ f^{-1} \circ f &= \text{id}_A, \end{aligned}$$

showing  $f$  to be an isomorphism. Thus  $F$  is conservative. 

**11.4.7 Equivalences of Categories****DEFINITION 11.4.7.1 ► EQUIVALENCES OF CATEGORIES**

**00YE** Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories.

- An **equivalence of categories** between  $\mathcal{C}$  and  $\mathcal{D}$  consists of a pair of functors

$$\begin{aligned} F: \mathcal{C} &\rightarrow \mathcal{D}, \\ G: \mathcal{D} &\rightarrow \mathcal{C} \end{aligned}$$

together with natural isomorphisms

$$\begin{aligned}\eta: \text{id}_C &\xrightarrow{\cong} G \circ F, \\ \epsilon: F \circ G &\xrightarrow{\cong} \text{id}_{\mathcal{D}}.\end{aligned}$$

- An **adjoint equivalence of categories** between  $\mathcal{C}$  and  $\mathcal{D}$  is an equivalence  $(F, G, \eta, \epsilon)$  between  $\mathcal{C}$  and  $\mathcal{D}$  which is also an adjunction.

#### PROPOSITION 11.4.7.2 ► PROPERTIES OF EQUIVALENCES OF CATEGORIES

**00YF** Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be a functor.

**00YG** 1. *Characterisations.* If  $\mathcal{C}$  and  $\mathcal{D}$  are small<sup>1</sup>, then the following conditions are equivalent:<sup>2</sup>

- 00YH** (a) The functor  $F$  is an equivalence of categories.  
**00YJ** (b) The functor  $F$  is fully faithful and essentially surjective.  
**00YK** (c) The induced functor

$$\uparrow F\text{Sk}(\mathcal{C}): \text{Sk}(\mathcal{C}) \rightarrow \text{Sk}(\mathcal{D})$$

is an *isomorphism* of categories.

**00YL** 2. *Two-Out-of-Three.* Let

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{G \circ F} & \mathcal{E} \\ F \searrow & \nearrow G & \\ \mathcal{D} & & \end{array}$$

be a diagram in Cats. If two out of the three functors among  $F$ ,  $G$ , and  $G \circ F$  are equivalences of categories, then so is the third.

**00YM** 3. *Stability Under Composition.* Let

$$\begin{array}{ccccc} \mathcal{C} & \xleftarrow[G]{F} & \mathcal{D} & \xleftarrow[G']{F'} & \mathcal{E} \end{array}$$

be a diagram in Cats. If  $(F, G)$  and  $(F', G')$  are equivalences of categories, then so is their composite  $(F' \circ F, G' \circ G)$ .

**00YN** 4. *Equivalences vs. Adjoint Equivalences.* Every equivalence of categories can be promoted to an adjoint equivalence.<sup>3</sup>

00YP

5. *Interaction With Groupoids.* If  $C$  and  $\mathcal{D}$  are groupoids, then the following conditions are equivalent:

- (a) The functor  $F$  is an equivalence of groupoids.
- (b) The following conditions are satisfied:
  - i. The functor  $F$  induces a bijection

$$\pi_0(F): \pi_0(C) \rightarrow \pi_0(\mathcal{D})$$

of sets.

- ii. For each  $A \in \text{Obj}(C)$ , the induced map

$$F_{x,x}: \text{Aut}_C(A) \rightarrow \text{Aut}_{\mathcal{D}}(F_A)$$

is an isomorphism of groups.

<sup>1</sup>Otherwise there will be size issues. One can also work with large categories and universes, or require  $F$  to be *constructively* essentially surjective; see [MSE1465107].

<sup>2</sup>In ZFC, the equivalence between Item 1a and Item 1b is equivalent to the axiom of choice; see [MO 119454].

In Univalent Foundations, this is true without requiring either the axiom of choice nor the law of the excluded middle.

<sup>3</sup>More precisely, we can promote an equivalence of categories  $(F, G, \eta, \epsilon)$  to adjoint equivalences  $(F, G, \eta', \epsilon)$  and  $(F, G, \eta, \epsilon')$ .

#### PROOF 11.4.7.3 ► PROOF OF PROPOSITION 11.4.7.2

##### Item 1: Characterisations

We claim that Items 1a to 1c are indeed equivalent:

1. *Item 1a*  $\implies$  *Item 1b*. Clear.
2. *Item 1b*  $\implies$  *Item 1a*. Since  $F$  is essentially surjective and  $C$  and  $\mathcal{D}$  are small, we can choose, using the axiom of choice, for each  $B \in \text{Obj}(\mathcal{D})$ , an object  $j_B$  of  $C$  and an isomorphism  $i_B: B \rightarrow F_{j_B}$  of  $\mathcal{D}$ . Since  $F$  is fully faithful, we can extend the assignment  $B \mapsto j_B$  to a *unique* functor  $j: \mathcal{D} \rightarrow C$  such that the isomorphisms  $i_B: B \rightarrow F_{j_B}$  assemble into a natural isomorphism  $\eta: \text{id}_{\mathcal{D}} \xrightarrow{\cong} F \circ j$ , with a similar natural isomorphism  $\epsilon: \text{id}_C \xrightarrow{\cong} j \circ F$ . Hence  $F$  is an equivalence.
3. *Item 1a*  $\implies$  *Item 1c*. This follows from ??.

##### Item 2: Two-Out-of-Three

Omitted.

Item 3: Stability Under Composition

Clear.

Item 4: Equivalences vs. Adjoint Equivalences

See [Rie17, Proposition 4.4.5].

Item 5: Interaction With Groupoids

See [nLa24b, Proposition 4.4].



#### 11.4.8 Isomorphisms of Categories

##### DEFINITION 11.4.8.1 ► ISOMORPHISMS OF CATEGORIES

00YR

An **isomorphism of categories** is a pair of functors

$$\begin{aligned} F: \mathcal{C} &\rightarrow \mathcal{D}, \\ G: \mathcal{D} &\rightarrow \mathcal{C} \end{aligned}$$

such that we have

$$G \circ F = \text{id}_{\mathcal{C}},$$

$$F \circ G = \text{id}_{\mathcal{D}}.$$

##### EXAMPLE 11.4.8.2 ► EQUIVALENT BUT NON-ISOMORPHIC CATEGORIES

00YS

Categories can be equivalent but non-isomorphic. For example, the category consisting of two isomorphic objects is equivalent to pt, but not isomorphic to it.

##### PROPOSITION 11.4.8.3 ► PROPERTIES OF ISOMORPHISMS OF CATEGORIES

00YT

Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be a functor.

00YU

1. *Characterisations.* If  $\mathcal{C}$  and  $\mathcal{D}$  are small, then the following conditions are equivalent:

- (a) The functor  $F$  is an isomorphism of categories.
- (b) The functor  $F$  is fully faithful and a bijection on objects.

**PROOF 11.4.8.4 ► PROOF OF PROPOSITION 11.4.8.3**

Item 1: Characterisations

Omitted, but similar to [Item 1 of Proposition 11.4.7.2.](#)

**11.4.9 The Natural Transformation Associated to a Functor****DEFINITION 11.4.9.1 ► THE NATURAL TRANSFORMATION ASSOCIATED TO A FUNCTOR**

00YW

Every functor  $F: \mathcal{C} \rightarrow \mathcal{D}$  defines a natural transformation<sup>1</sup>

$$\begin{array}{ccc} \mathcal{C}^{\text{op}} \times \mathcal{C} & \xrightarrow{F^{\text{op}} \times F} & \mathcal{D}^{\text{op}} \times \mathcal{D} \\ F^{\dagger}: \text{Hom}_{\mathcal{C}} \Rightarrow \text{Hom}_{\mathcal{D}} \circ (F^{\text{op}} \times F), & \swarrow \quad \searrow & \swarrow \quad \searrow \\ \text{Hom}_{\mathcal{C}} & \stackrel{F^{\dagger}}{\equiv} & \text{Hom}_{\mathcal{D}} \\ & \downarrow & \downarrow \\ & \text{Sets}, & \end{array}$$

called the **natural transformation associated to  $F$** , consisting of the collection

$$\left\{ F_{A,B}^{\dagger}: \text{Hom}_{\mathcal{C}}(A, B) \rightarrow \text{Hom}_{\mathcal{D}}(F_A, F_B) \right\}_{(A,B) \in \text{Obj}(\mathcal{C}^{\text{op}} \times \mathcal{C})}$$

with

$$F_{A,B}^{\dagger} \stackrel{\text{def}}{=} F_{A,B}.$$

<sup>1</sup>This is the 1-categorical version of ?? of ??.

**PROOF 11.4.9.2 ► PROOF OF DEFINITION 11.4.9.1**

The naturality condition for  $F^{\dagger}$  is the requirement that for each morphism

$$(\phi, \psi): (X, Y) \rightarrow (A, B)$$

of  $\mathcal{C}^{\text{op}} \times \mathcal{C}$ , the diagram

$$\begin{array}{ccc} \text{Hom}_{\mathcal{C}}(X, Y) & \xrightarrow{\phi^* \circ \psi_* = \psi_* \circ \phi^*} & \text{Hom}_{\mathcal{C}}(A, B) \\ \downarrow F_{X,Y} & & \downarrow F_{A,B} \\ \text{Hom}_{\mathcal{D}}(F_X, F_Y) & \xrightarrow{F(\phi)^* \circ F(\psi)_* = F(\psi)_* \circ F(\phi)^*} & \text{Hom}_{\mathcal{D}}(F_A, F_B), \end{array}$$

acting on elements as

$$\begin{array}{ccc} f & \xrightarrow{\quad} & \psi \circ f \circ \phi \\ \downarrow & & \downarrow \\ F(f) & \longmapsto & F(\psi) \circ F(f) \circ F(\phi) = F(\psi \circ f \circ \phi) \end{array}$$

commutes, which follows from the functoriality of  $F$ . ■

### PROPOSITION 11.4.9.3 ► PROPERTIES OF NATURAL TRANSFORMATIONS ASSOCIATED TO FUNCTORS

00YX Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  and  $G: \mathcal{D} \rightarrow \mathcal{E}$  be functors.

00YY 1. *Interaction With Natural Isomorphisms.* The following conditions are equivalent:

- (a) The natural transformation  $F^\dagger: \text{Hom}_{\mathcal{C}} \Rightarrow \text{Hom}_{\mathcal{D}} \circ (F^{\text{op}} \times F)$  associated to  $F$  is a natural isomorphism.
- (b) The functor  $F$  is fully faithful.

00YZ 2. *Interaction With Composition.* We have an equality of pasting diagrams

$$\begin{array}{ccc} \mathcal{C}^{\text{op}} \times \mathcal{C} & \xrightarrow{F^{\text{op}} \times F} & \mathcal{D}^{\text{op}} \times \mathcal{D} & \xrightarrow{G^{\text{op}} \times G} & \mathcal{E}^{\text{op}} \times \mathcal{E} \\ \searrow \text{Hom}_{\mathcal{C}} \quad \swarrow F^\dagger & \downarrow \text{Hom}_{\mathcal{D}} & \searrow \text{Hom}_{\mathcal{E}} \quad \swarrow G^\dagger & & \searrow \text{Hom}_{\mathcal{E}} \\ & & \text{Sets} & & \end{array} = \begin{array}{ccc} \mathcal{C}^{\text{op}} \times \mathcal{C} & \xrightarrow{(G \circ F)^{\text{op}} \times (G \circ F)} & \mathcal{E}^{\text{op}} \times \mathcal{E}, \\ \searrow \text{Hom}_{\mathcal{C}} \quad \swarrow (G \circ F)^\dagger & & \searrow \text{Hom}_{\mathcal{E}} \\ & & \text{Sets} \end{array}$$

in  $\text{Cats}_2$ , i.e. we have

$$(G \circ F)^\dagger = (G^\dagger \star \text{id}_{F^{\text{op}} \times F}) \circ F^\dagger.$$

00Z0 3. *Interaction With Identities.* We have

$$\text{id}_C^\dagger = \text{id}_{\text{Hom}_C(-_1, -_2)},$$

i.e. the natural transformation associated to  $\text{id}_C$  is the identity natural transformation of the functor  $\text{Hom}_C(-_1, -_2)$ .

**PROOF 11.4.9.4 ► PROOF OF PROPOSITION 11.4.9.3**

**Item 1: Interaction With Natural Isomorphisms**

Clear.

**Item 2: Interaction With Composition**

Clear.

**Item 3: Interaction With Identities**

Clear.



## 11.5 Natural Transformations

### 11.5.1 Foundations

Let  $C$  and  $\mathcal{D}$  be categories and  $F, G: C \rightrightarrows \mathcal{D}$  be functors.

**DEFINITION 11.5.1.1 ► TRANSFORMATIONS**

**00Z3** A **transformation**<sup>1,2</sup>  $\alpha: F \xrightarrow{\text{unnat}} G$  from  $F$  to  $G$  is a collection

$$\{\alpha_A: F(A) \rightarrow G(A)\}_{A \in \text{Obj}(C)}$$

of morphisms of  $\mathcal{D}$ .

<sup>1</sup>Further Terminology: Also called an **unnatural transformation** for emphasis.

<sup>2</sup>Further Notation: We write  $\text{UnNat}(F, G)$  for the set of unnatural transformations from  $F$  to  $G$ .

**DEFINITION 11.5.1.2 ► NATURAL TRANSFORMATIONS**

**00Z4** A **natural transformation**<sup>1</sup>  $\alpha: F \Longrightarrow G$  from  $F$  to  $G$  is a transformation

$$\{\alpha_A: F(A) \rightarrow G(A)\}_{A \in \text{Obj}(C)}$$

from  $F$  to  $G$  such that, for each morphism  $f: A \rightarrow B$  of  $C$ , the diagram

$$\begin{array}{ccc} F(A) & \xrightarrow{F(f)} & F(B) \\ \alpha_A \downarrow & & \downarrow \alpha_B \\ G(A) & \xrightarrow{G(f)} & G(B) \end{array}$$

commutes.<sup>2,3</sup>

<sup>1</sup>Pictured in diagrams as

$$\begin{array}{ccc} C & \xrightarrow[F]{\alpha} & \mathcal{D} \\ & \Downarrow & \\ & G & \end{array}$$

<sup>2</sup>Further Terminology: The morphism  $\alpha_A: F_A \rightarrow G_A$  is called the **component of  $\alpha$  at  $A$** .

<sup>3</sup>Further Notation: We write  $\text{Nat}(F, G)$  for the set of natural transformations from  $F$  to  $G$ .

#### EXAMPLE 11.5.1.3 ► IDENTITY NATURAL TRANSFORMATIONS

00Z5

The **identity natural transformation**  $\text{id}_F: F \Rightarrow F$  of  $F$  is the natural transformation consisting of the collection

$$\{\text{id}_{F(A)}: F(A) \rightarrow F(A)\}_{A \in \text{Obj}(C)}.$$

#### PROOF 11.5.1.4 ► PROOF OF EXAMPLE 11.5.1.3

The naturality condition for  $\text{id}_F$  is the requirement that, for each morphism  $f: A \rightarrow B$  of  $C$ , the diagram

$$\begin{array}{ccc} F(A) & \xrightarrow{F(f)} & F(B) \\ \text{id}_{F(A)} \downarrow & & \downarrow \text{id}_{F(B)} \\ F(A) & \xrightarrow{F(f)} & F(B) \end{array}$$

commutes, which follows from unitality of the composition of  $C$ . 

#### DEFINITION 11.5.1.5 ► EQUALITY OF NATURAL TRANSFORMATIONS

00Z6

Two natural transformations  $\alpha, \beta: F \Rightarrow G$  are **equal** if we have

$$\alpha_A = \beta_A$$

for each  $A \in \text{Obj}(C)$ .

## 11.5.2 Vertical Composition of Natural Transformations

**DEFINITION 11.5.2.1 ► VERTICAL COMPOSITION OF NATURAL TRANSFORMATIONS**

**00Z8** The **vertical composition** of two natural transformations  $\alpha: F \Rightarrow G$  and  $\beta: G \Rightarrow H$  as in the diagram

$$\begin{array}{ccc} & F & \\ C & \xrightarrow{\quad G \quad} & \mathcal{D} \\ & \beta \Downarrow & \\ & H & \end{array}$$

$\alpha \Downarrow$

is the natural transformation  $\beta \circ \alpha: F \Rightarrow H$  consisting of the collection

$$\{(\beta \circ \alpha)_A: F(A) \rightarrow H(A)\}_{A \in \text{Obj}(C)}$$

with

$$(\beta \circ \alpha)_A \stackrel{\text{def}}{=} \beta_A \circ \alpha_A$$

for each  $A \in \text{Obj}(C)$ .

**PROOF 11.5.2.2 ► PROOF OF DEFINITION 11.5.2.1**

The naturality condition for  $\beta \circ \alpha$  is the requirement that the boundary of the diagram

$$\begin{array}{ccc} F(A) & \xrightarrow{F(f)} & F(B) \\ \alpha_A \downarrow & (1) & \downarrow \alpha_B \\ G(A) & \xrightarrow{G(f)} & G(B) \\ \beta_A \downarrow & (2) & \downarrow \beta_B \\ H(A) & \xrightarrow{H(f)} & H(B) \end{array}$$

commutes. Since

1. Subdiagram (1) commutes by the naturality of  $\alpha$ ;
2. Subdiagram (2) commutes by the naturality of  $\beta$ ;

so does the boundary diagram. Hence  $\beta \circ \alpha$  is a natural transformation. 

**PROPOSITION 11.5.2.3 ► PROPERTIES OF VERTICAL COMPOSITION OF NATURAL TRANSFORMATIONS**

00Z9 Let  $\mathcal{C}$ ,  $\mathcal{D}$ , and  $\mathcal{E}$  be categories.

00ZA 1. *Functionality.* The assignment  $(\beta, \alpha) \mapsto \beta \circ \alpha$  defines a function

$$\circ_{F,G,H}: \text{Nat}(G, H) \times \text{Nat}(F, G) \rightarrow \text{Nat}(F, H).$$

00ZB 2. *Associativity.* Let  $F, G, H, K: \mathcal{C} \rightrightarrows \mathcal{D}$  be functors. The diagram

$$\begin{array}{ccc}
 & \text{Nat}(H, K) \times (\text{Nat}(G, H) \times \text{Nat}(F, G)) & \\
 & \swarrow \alpha_{\text{Nat}(H, K), \text{Nat}(G, H), \text{Nat}(F, G)}^{\text{Sets}} \quad \searrow \text{id}_{\text{Nat}(H, K) \times \text{Nat}(F, G)} & \\
 (\text{Nat}(H, K) \times \text{Nat}(G, H)) \times \text{Nat}(F, G) & & \text{Nat}(H, K) \times \text{Nat}(F, H) \\
 & \downarrow \circ_{G, H, K} \times \text{id}_{\text{Nat}(F, G)} & \downarrow \circ_{F, H, K} \\
 & \text{Nat}(G, K) \times \text{Nat}(F, G) & \xrightarrow{\circ_{F, G, K}} \text{Nat}(F, K)
 \end{array}$$

commutes, i.e. given natural transformations

$$\begin{aligned}
 \alpha: F &\Rightarrow G, \\
 \beta: G &\Rightarrow H, \\
 \gamma: H &\Rightarrow K,
 \end{aligned}$$

we have

$$(\gamma \circ \beta) \circ \alpha = \gamma \circ (\beta \circ \alpha).$$

00ZC 3. *Unitality.* Let  $F, G: \mathcal{C} \rightrightarrows \mathcal{D}$  be functors.

(a) *Left Unitality.* The diagram

$$\begin{array}{ccc}
 & \text{pt} \times \text{Nat}(F, G) & \\
 & \downarrow [\text{id}_G] \times \text{id}_{\text{Nat}(F, G)} & \searrow \lambda_{\text{Nat}(F, G)}^{\text{Sets}} \\
 & \text{Nat}(G, G) \times \text{Nat}(F, G) & \xrightarrow{\circ_{F, G, G}} \text{Nat}(F, G)
 \end{array}$$

commutes, i.e. given a natural transformation  $\alpha: F \Rightarrow G$ , we have

$$\text{id}_G \circ \alpha = \alpha.$$

(b) *Right Unitality*. The diagram

$$\begin{array}{ccc} \text{Nat}(F, G) \times \text{pt} & & \\ \downarrow \text{id}_{\text{Nat}(F, G)} \times [\text{id}_F] & \nearrow \rho_{\text{Nat}(F, G)}^{\text{Sets}} & \\ \text{Nat}(F, G) \times \text{Nat}(F, F) & \xrightarrow{\circ_{F, F, G}^C} & \text{Nat}(F, G) \end{array}$$

commutes, i.e. given a natural transformation  $\alpha: F \Rightarrow G$ , we have

$$\alpha \circ \text{id}_F = \alpha.$$

- 00ZD** 4. *Middle Four Exchange*. Let  $F_1, F_2, F_3: C \rightarrow D$  and  $G_1, G_2, G_3: D \rightarrow E$  be functors. The diagram

$$\begin{array}{ccc} (\text{Nat}(G_2, G_3) \times \text{Nat}(G_1, G_2)) \times (\text{Nat}(F_2, F_3) \times \text{Nat}(F_1, F_2)) & \xleftrightarrow[\sim]{\mu_4} & (\text{Nat}(G_2, G_3) \times \text{Nat}(F_2, F_3)) \times (\text{Nat}(G_1, G_2) \times \text{Nat}(F_1, F_2)) \\ \downarrow \circ_{G_1, G_2, G_3} \times \circ_{F_1, F_2, F_3} & & \downarrow \star_{F_2, F_3, G_2, G_3} \times \star_{F_1, F_2, G_1, G_2} \\ \text{Nat}(G_1, G_3) \times \text{Nat}(F_1, F_3) & & \text{Nat}(G_2 \circ F_2, G_3 \circ F_3) \times \text{Nat}(G_1 \circ F_1, G_2 \circ F_2) \\ & \searrow \star_{F_1, F_3, G_1, G_3} & \swarrow \circ_{G_1 \circ F_1, G_2 \circ F_2, G_3 \circ F_3} \\ & \text{Nat}(G_1 \circ F_1, G_3 \circ F_3) & \end{array}$$

commutes, i.e. given a diagram

$$\begin{array}{ccccc} & F_1 & & G_1 & \\ & \alpha \Downarrow & & \beta \Downarrow & \\ C & \xrightarrow{F_2} & D & \xrightarrow{G_2} & E \\ \alpha' \Downarrow & & \beta' \Downarrow & & \\ & F_3 & & G_3 & \end{array}$$

in  $\text{Cats}_2$ , we have

$$(\beta' \star \alpha') \circ (\beta \star \alpha) = (\beta' \circ \beta) \star (\alpha' \circ \alpha).$$

**PROOF 11.5.2.4 ► PROOF OF PROPOSITION 11.5.2.3****Item 1: Functionality**

Clear.

**Item 2: Associativity**

Indeed, we have

$$\begin{aligned} ((\gamma \circ \beta) \circ \alpha)_A &= (\gamma_A \circ \beta_A) \circ \alpha_A \\ &= \gamma_A \circ (\beta_A \circ \alpha_A) \\ &= (\gamma \circ (\beta \circ \alpha))_A \end{aligned}$$

for each  $A \in \text{Obj}(C)$ , showing the desired equality.

**Item 3: Unitality**

We have

$$\begin{aligned} (\text{id}_G \circ \alpha)_A &= \text{id}_G \circ \alpha_A \\ &= \alpha_A, \\ (\alpha \circ \text{id}_F)_A &= \alpha_A \circ \text{id}_F \\ &= \alpha_A \end{aligned}$$

for each  $A \in \text{Obj}(C)$ , showing the desired equality.

**Item 4: Middle Four Exchange**

This is proved in [Item 4 of Proposition 11.5.3.3](#). 

**11.5.3 Horizontal Composition of Natural Transformations****DEFINITION 11.5.3.1 ► HORIZONTAL COMPOSITION OF NATURAL TRANSFORMATIONS**

**00ZF**

The **horizontal composition**<sup>1,2</sup> of two natural transformations  $\alpha: F \Rightarrow G$  and  $\beta: H \Rightarrow K$  as in the diagram

$$C \xrightarrow[\substack{\alpha \Downarrow \\ G}]{} \mathcal{D} \xrightarrow[\substack{\beta \Downarrow \\ K}]{} \mathcal{E}$$

of  $\alpha$  and  $\beta$  is the natural transformation

$$\beta \star \alpha: (H \circ F) \Rightarrow (K \circ G),$$

as in the diagram

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{\quad H \circ F \quad} & \mathcal{E}, \\ & \beta \star \alpha \Downarrow & \\ & \downarrow & \\ & K \circ G & \end{array}$$

consisting of the collection

$$\{(\beta \star \alpha)_A : H(F(A)) \rightarrow K(G(A))\}_{A \in \text{Obj}(\mathcal{C})},$$

of morphisms of  $\mathcal{E}$  with

$$\begin{array}{ccc} (\beta \star \alpha)_A & \stackrel{\text{def}}{=} & \beta_{G(A)} \circ H(\alpha_A) \\ & = & K(\alpha_A) \circ \beta_{F(A)}, \\ & & \beta_{F(A)} \downarrow \qquad \qquad \downarrow \beta_{G(A)} \\ & & K(F(A)) \xrightarrow{K(\alpha_A)} K(G(A)). \end{array}$$

<sup>1</sup>Further Terminology: Also called the **Codement product** of  $\alpha$  and  $\beta$ .

<sup>2</sup>Horizontal composition forms a map

$$\star_{(F,H),(G,K)} : \text{Nat}(H,K) \times \text{Nat}(F,G) \rightarrow \text{Nat}(H \circ F, K \circ G).$$

### PROOF 11.5.3.2 ► PROOF OF DEFINITION 11.5.3.1

First, we claim that we indeed have

$$\begin{array}{ccc} H(F(A)) & \xrightarrow{H(\alpha_A)} & H(G(A)) \\ \beta_{G(A)} \circ H(\alpha_A) = K(\alpha_A) \circ \beta_{F(A)}, & \beta_{F(A)} \downarrow & \downarrow \beta_{G(A)} \\ K(F(A)) & \xrightarrow{K(\alpha_A)} & K(G(A)). \end{array}$$

This is, however, simply the naturality square for  $\beta$  applied to the morphism  $\alpha_A : F(A) \rightarrow G(A)$ . Next, we check the naturality condition for  $\beta \star \alpha$ , which

is the requirement that the boundary of the diagram

$$\begin{array}{ccc}
 H(F(A)) & \xrightarrow{H(F(f))} & H(F(B)) \\
 H(\alpha_A) \downarrow & (1) & \downarrow H(\alpha_B) \\
 H(G(A)) & \xrightarrow{H(G(f))} & H(G(B)) \\
 \beta_{G(A)} \downarrow & (2) & \downarrow \beta_{G(B)} \\
 K(G(A)) & \xrightarrow{K(G(f))} & K(G(B))
 \end{array}$$

commutes. Since

1. Subdiagram (1) commutes by the naturality of  $\alpha$ ;
2. Subdiagram (2) commutes by the naturality of  $\beta$ ;

so does the boundary diagram. Hence  $\beta \circ \alpha$  is a natural transformation.<sup>1</sup>



<sup>1</sup>Reference: [Bor94, Proposition 1.3.4].

### PROPOSITION 11.5.3.3 ► PROPERTIES OF HORIZONTAL COMPOSITION OF NATURAL TRANSFORMATIONS

00ZG Let  $\mathcal{C}$ ,  $\mathcal{D}$ , and  $\mathcal{E}$  be categories.

00ZH 1. *Functionality.* The assignment  $(\beta, \alpha) \mapsto \beta \star \alpha$  defines a function

$$\star_{(F,G),(H,K)} : \text{Nat}(H, K) \times \text{Nat}(F, G) \rightarrow \text{Nat}(H \circ F, K \circ G).$$

00ZJ 2. *Associativity.* Let

$$\mathcal{C} \xrightarrow[G_1]{F_1} \mathcal{D} \xrightarrow[G_2]{F_2} \mathcal{E} \xrightarrow[G_3]{F_3} \mathcal{F}$$

be a diagram in  $\text{Cats}_2$ . The diagram

$$\begin{array}{ccc}
 \text{Nat}(F_3, G_3) \times \text{Nat}(F_2, G_2) \times \text{Nat}(F_1, G_1) & \xrightarrow{\star_{(F_2, G_2), (F_3, G_3)} \times \text{id}} & \text{Nat}(F_3 \circ F_2, G_3 \circ G_2) \times \text{Nat}(F_1, G_1) \\
 \downarrow \text{id} \times \star_{(F_1, G_1), (F_2, G_2)} & & \downarrow \star_{(F_3 \circ F_2), (G_3 \circ G_2, F_1, G_1)} \\
 \text{Nat}(F_3, G_3) \times \text{Nat}(F_2 \circ F_1, G_2 \circ G_1) & \xrightarrow{\star_{(F_2 \circ F_1), (G_2 \circ G_1, F_3, G_3)}} & \text{Nat}(F_3 \circ F_2 \circ F_1, G_3 \circ G_2 \circ G_1)
 \end{array}$$

commutes, i.e. given natural transformations

$$\begin{array}{ccccc} & F_1 & & F_2 & & F_3 \\ C & \xrightarrow{\alpha \Downarrow} & \mathcal{D} & \xrightarrow{\beta \Downarrow} & \mathcal{E} & \xrightarrow{\gamma \Downarrow} \mathcal{F}, \\ & G_1 & & G_2 & & G_3 \end{array}$$

we have

$$(\gamma \star \beta) \star \alpha = \gamma \star (\beta \star \alpha).$$

- 00ZK** 3. *Interaction With Identities.* Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  and  $G: \mathcal{D} \rightarrow \mathcal{E}$  be functors. The diagram

$$\begin{array}{ccc} \text{pt} \times \text{pt} & \xrightarrow{[\text{id}_G] \times [\text{id}_F]} & \text{Nat}(G, G) \times \text{Nat}(F, F) \\ \uparrow \downarrow \vdots & & \downarrow \star_{(F,F),(G,G)} \\ \text{pt} & \xrightarrow{[\text{id}_{G \circ F}]} & \text{Nat}(G \circ F, G \circ F) \end{array}$$

commutes, i.e. we have

$$\text{id}_G \star \text{id}_F = \text{id}_{G \circ F}.$$

- 00ZL** 4. *Middle Four Exchange.* Let  $F_1, F_2, F_3: \mathcal{C} \rightarrow \mathcal{D}$  and  $G_1, G_2, G_3: \mathcal{D} \rightarrow \mathcal{E}$  be functors. The diagram

$$\begin{array}{ccc} (\text{Nat}(G_2, G_3) \times \text{Nat}(G_1, G_2)) \times (\text{Nat}(F_2, F_3) \times \text{Nat}(F_1, F_2)) & \xleftarrow{\sim} & (\text{Nat}(G_2, G_3) \times \text{Nat}(F_2, F_3)) \times (\text{Nat}(G_1, G_2) \times \text{Nat}(F_1, F_2)) \\ \uparrow \circ_{G_1, G_2, G_3} \times \circ_{F_1, F_2, F_3} & & \downarrow \star_{F_2, F_3, G_2, G_3} \times \star_{F_1, F_2, G_1, G_2} \\ \text{Nat}(G_1, G_3) \times \text{Nat}(F_1, F_3) & & \text{Nat}(G_2 \circ F_2, G_3 \circ F_3) \times \text{Nat}(G_1 \circ F_1, G_2 \circ F_2) \\ & \searrow \star_{F_1, F_3, G_1, G_3} & \swarrow \circ_{G_1 \circ F_1, G_2 \circ F_2, G_3 \circ F_3} \\ & \text{Nat}(G_1 \circ F_1, G_3 \circ F_3) & \end{array}$$

commutes, i.e. given a diagram

$$\begin{array}{ccccc} & F_1 & & G_1 & \\ C & \xrightarrow{\alpha \Downarrow} & \mathcal{D} & \xrightarrow{\beta \Downarrow} & \mathcal{E} \\ \xrightarrow{F_2} & \xrightarrow{\alpha' \Downarrow} & \xrightarrow{G_2} & \xrightarrow{\beta' \Downarrow} & \\ & F_3 & & G_3 & \end{array}$$

in  $\text{Cats}_2$ , we have

$$(\beta' \star \alpha') \circ (\beta \star \alpha) = (\beta' \circ \beta) \star (\alpha' \circ \alpha).$$

**PROOF 11.5.3.4 ► PROOF OF PROPOSITION 11.5.3.3****Item 1: Functionality**

Clear.

**Item 2: Associativity**

Omitted.

**Item 3: Interaction With Identities**

We have

$$\begin{aligned} (\text{id}_G \star \text{id}_F)_A &\stackrel{\text{def}}{=} (\text{id}_G)_{F_A} \circ G_{(\text{id}_F)_A} \\ &\stackrel{\text{def}}{=} \text{id}_{G_{F_A}} \circ G_{\text{id}_{F_A}} \\ &= \text{id}_{G_{F_A}} \circ \text{id}_{G_{F_A}} \\ &= \text{id}_{G_{F_A}} \\ &\stackrel{\text{def}}{=} (\text{id}_{G \circ F})_A \end{aligned}$$

for each  $A \in \text{Obj}(C)$ , showing the desired equality.

**Item 4: Middle Four Exchange**

Let  $A \in \text{Obj}(C)$  and consider the diagram

$$\begin{array}{ccccc}
 & & G_{F''_A} & & \\
 & \nearrow G_{\alpha'_A} & & \searrow \beta_{F''_A} & \\
 G_{F_A} & \xrightarrow{G_{\alpha_A}} & G_{F'_A} & & G''_{F_A} \xrightarrow{\beta'_{F''_A}} G''_{F'_A} \\
 & \searrow \beta_{F'_A} & & \nearrow G'_{\alpha'_A} & \\
 & & G'_{F'_A} & &
 \end{array}
 \quad (1)$$

The top composition is  $((\beta' \circ \beta) \star (\alpha' \circ \alpha))_A$  and the bottom composition is  $((\beta' \star \alpha') \circ (\beta \star \alpha))_A$ . Since Subdiagram (1) commutes, they are equal. 

**11.5.4 Properties of Natural Transformations****PROPOSITION 11.5.4.1 ► NATURAL TRANSFORMATIONS AS CATEGORICAL HOMOTOPIES**

**00ZN** Let  $F, G: C \Rightarrow D$  be functors. The following data are equivalent:<sup>1</sup>

**00ZP** 1. A natural transformation  $\alpha: F \Rightarrow G$ .

00ZQ

2. A functor  $[\alpha]: C \rightarrow \mathcal{D}^*$  filling the diagram

$$\begin{array}{ccc}
 & \mathcal{D} & \\
 F \swarrow & \uparrow ev_0 & \\
 C & \xrightarrow{[\alpha]} & \mathcal{D}^*. \\
 G \searrow & \downarrow ev_1 & \\
 & \mathcal{D} &
 \end{array}$$

00ZR

3. A functor  $[\alpha]: C \times \mathbb{I} \rightarrow \mathcal{D}$  filling the diagram

$$\begin{array}{ccc}
 C & & \\
 \uparrow ev_0 & \searrow F & \\
 C \times \mathbb{I} & \xrightarrow{[\alpha]} & \mathcal{D}. \\
 \downarrow ev_1 & \nearrow G & \\
 C & &
 \end{array}$$

<sup>1</sup>Taken from [MO 64365].

## PROOF 11.5.4.2 ► PROOF OF PROPOSITION 11.5.4.1

From Item 1 to Item 2 and Back

We may identify  $\mathcal{D}^*$  with  $\text{Arr}(\mathcal{D})$ . Given a natural transformation  $\alpha: F \Rightarrow G$ , we have a functor

$$\begin{aligned}
 [\alpha]: C &\longrightarrow \mathcal{D}^* \\
 A &\longmapsto \alpha_A \\
 (f: A \rightarrow B) &\longmapsto \left( \begin{array}{ccc} F_A & \xrightarrow{F_f} & F_B \\ \alpha_A \downarrow & & \downarrow \alpha_B \\ G_A & \xrightarrow{G_f} & G_B \end{array} \right)
 \end{aligned}$$

making the diagram in [Item 2](#) commute. Conversely, every such functor gives rise to a natural transformation from  $F$  to  $G$ , and these constructions are inverse to each other.

**From Item 2 to Item 3 and Back**

This follows from [Item 3](#) of [Proposition 11.6.1.2](#).



### 11.5.5 Natural Isomorphisms

#### DEFINITION 11.5.5.1 ► NATURAL ISOMORPHISMS

**00ZT** A natural transformation  $\alpha: F \Rightarrow G$  between functors  $F, G: C \rightarrow \mathcal{D}$  between categories  $C$  and  $\mathcal{D}$  is a **natural isomorphism** if there exists a natural transformation  $\alpha^{-1}: G \Rightarrow F$  such that

$$\begin{aligned}\alpha^{-1} \circ \alpha &= \text{id}_F, \\ \alpha \circ \alpha^{-1} &= \text{id}_G.\end{aligned}$$

#### PROPOSITION 11.5.5.2 ► PROPERTIES OF NATURAL ISOMORPHISMS

**00ZU** Let  $\alpha: F \Rightarrow G$  be a natural transformation.

**00ZV** 1. *Characterisations.* The following conditions are equivalent:

- 00ZW** (a) The natural transformation  $\alpha$  is a natural isomorphism.  
**00ZX** (b) For each  $A \in \text{Obj}(C)$ , the morphism  $\alpha_A: F_A \rightarrow G_A$  is an isomorphism.

**00ZY** 2. *Componentwise Inverses of Natural Transformations Assemble Into Natural Transformations.* Let  $\alpha^{-1}: G \Rightarrow F$  be a transformation such that, for each  $A \in \text{Obj}(C)$ , we have

$$\begin{aligned}\alpha_A^{-1} \circ \alpha_A &= \text{id}_{F(A)}, \\ \alpha_A \circ \alpha_A^{-1} &= \text{id}_{G(A)}.\end{aligned}$$

Then  $\alpha^{-1}$  is a natural transformation.

#### PROOF 11.5.5.3 ► PROOF OF PROPOSITION 11.5.5.2

**Item 1: Characterisations**

The implication [Item 1a](#)  $\Rightarrow$  [Item 1b](#) is clear, whereas the implication [Item 1b](#)  $\Rightarrow$

**Item 1a** follows from **Item 2**.

Item 2: Componentwise Inverses of Natural Transformations Assemble Into Natural Transformations

The naturality condition for  $\alpha^{-1}$  corresponds to the commutativity of the diagram

$$\begin{array}{ccc} G(A) & \xrightarrow{G(f)} & G(B) \\ \alpha_A^{-1} \downarrow & & \downarrow \alpha_B^{-1} \\ F(A) & \xrightarrow[F(f)]{} & F(B) \end{array}$$

for each  $A, B \in \text{Obj}(C)$  and each  $f \in \text{Hom}_C(A, B)$ . Considering the diagram

$$\begin{array}{ccc} G(A) & \xrightarrow{G(f)} & G(B) \\ \alpha_A^{-1} \downarrow & (1) & \downarrow \alpha_B^{-1} \\ F(A) & \xrightarrow{F(f)} & F(B) \\ \alpha_A \downarrow & (2) & \downarrow \alpha_B \\ G(A) & \xrightarrow[G(f)]{} & G(B), \end{array}$$

where the boundary diagram as well as Subdiagram (2) commute, we have

$$\begin{aligned} G(f) &= G(f) \circ \text{id}_{G(A)} \\ &= G(f) \circ \alpha_A \circ \alpha_A^{-1} \\ &= \alpha_B \circ F(f) \circ \alpha_A^{-1}. \end{aligned}$$

Postcomposing both sides with  $\alpha_B^{-1}$ , we get

$$\begin{aligned}\alpha_B^{-1} \circ G(f) &= \alpha_B^{-1} \circ \alpha_B \circ F(f) \circ \alpha_A^{-1} \\ &= \text{id}_{F(B)} \circ F(f) \circ \alpha_A^{-1} \\ &= F(f) \circ \alpha_A^{-1},\end{aligned}$$

which is the naturality condition we wanted to show. Thus  $\alpha^{-1}$  is a natural transformation. 

## 11.6 Categories of Categories

### 11.6.1 Functor Categories

Let  $C$  be a category and  $\mathcal{D}$  be a small category.



0101

The **category of functors from  $C$  to  $\mathcal{D}$** <sup>1</sup> is the category  $\text{Fun}(C, \mathcal{D})$ <sup>2</sup> where

- *Objects.* The objects of  $\text{Fun}(C, \mathcal{D})$  are functors from  $C$  to  $\mathcal{D}$ ;
- *Morphisms.* For each  $F, G \in \text{Obj}(\text{Fun}(C, \mathcal{D}))$ , we have

$$\text{Hom}_{\text{Fun}(C, \mathcal{D})}(F, G) \stackrel{\text{def}}{=} \text{Nat}(F, G);$$

- *Identities.* For each  $F \in \text{Obj}(\text{Fun}(C, \mathcal{D}))$ , the unit map

$$\eta_F^{\text{Fun}(C, \mathcal{D})} : \text{pt} \rightarrow \text{Nat}(F, F)$$

of  $\text{Fun}(C, \mathcal{D})$  at  $F$  is given by

$$\text{id}_F^{\text{Fun}(C, \mathcal{D})} \stackrel{\text{def}}{=} \text{id}_F,$$

where  $\text{id}_F : F \Rightarrow F$  is the identity natural transformation of  $F$  of [Example 11.5.1.3](#);

- *Composition.* For each  $F, G, H \in \text{Obj}(\text{Fun}(C, \mathcal{D}))$ , the composition map

$$\circ_{F, G, H}^{\text{Fun}(C, \mathcal{D})} : \text{Nat}(G, H) \times \text{Nat}(F, G) \rightarrow \text{Nat}(F, H)$$

of  $\text{Fun}(C, \mathcal{D})$  at  $(F, G, H)$  is given by

$$\beta \circ_{F, G, H}^{\text{Fun}(C, \mathcal{D})} \alpha \stackrel{\text{def}}{=} \beta \circ \alpha,$$

where  $\beta \circ \alpha$  is the vertical composition of  $\alpha$  and  $\beta$  of [Item 1 of Proposition 11.5.2.3](#).

<sup>1</sup>Further Terminology: Also called the **functor category**  $\text{Fun}(C, \mathcal{D})$ .

<sup>2</sup>Further Notation: Also written  $\mathcal{D}^C$  and  $[C, \mathcal{D}]$ .

#### PROPOSITION 11.6.1.2 ► PROPERTIES OF FUNCTOR CATEGORIES

0102

Let  $C$  and  $\mathcal{D}$  be categories and let  $F : C \rightarrow \mathcal{D}$  be a functor.

0103

1. *Functionality.* The assignments  $C, \mathcal{D}, (C, \mathcal{D}) \mapsto \text{Fun}(C, \mathcal{D})$  define functors

$$\text{Fun}(C, -_2) : \text{Cats} \rightarrow \text{Cats},$$

$$\text{Fun}(-_1, \mathcal{D}) : \text{Cats}^{\text{op}} \rightarrow \text{Cats},$$

$$\text{Fun}(-_1, -_2) : \text{Cats}^{\text{op}} \times \text{Cats} \rightarrow \text{Cats}.$$

0104

2. *2-Functoriality.* The assignments  $C, \mathcal{D}, (C, \mathcal{D}) \mapsto \text{Fun}(C, \mathcal{D})$  define 2-functors

$$\begin{aligned}\text{Fun}(C, -_2) &: \text{Cats}_2 \rightarrow \text{Cats}_2, \\ \text{Fun}(-_1, \mathcal{D}) &: \text{Cats}_2^{\text{op}} \rightarrow \text{Cats}_2, \\ \text{Fun}(-_1, -_2) &: \text{Cats}_2^{\text{op}} \times \text{Cats}_2 \rightarrow \text{Cats}_2.\end{aligned}$$

0105

3. *Adjointness.* We have adjunctions

$$\begin{aligned}(C \times - \dashv \text{Fun}(C, -)) : \text{Cats} &\begin{array}{c} \xrightarrow{C \times -} \\[-1ex] \perp \\[-1ex] \xleftarrow{\text{Fun}(C, -)} \end{array} \text{Cats}, \\ (- \times \mathcal{D} \dashv \text{Fun}(\mathcal{D}, -)) : \text{Cats} &\begin{array}{c} \xrightarrow{- \times \mathcal{D}} \\[-1ex] \perp \\[-1ex] \xleftarrow{\text{Fun}(\mathcal{D}, -)} \end{array} \text{Cats},\end{aligned}$$

witnessed by bijections of sets

$$\begin{aligned}\text{Hom}_{\text{Cats}}(C \times \mathcal{D}, \mathcal{E}) &\cong \text{Hom}_{\text{Cats}}(\mathcal{D}, \text{Fun}(C, \mathcal{E})), \\ \text{Hom}_{\text{Cats}}(C \times \mathcal{D}, \mathcal{E}) &\cong \text{Hom}_{\text{Cats}}(C, \text{Fun}(\mathcal{D}, \mathcal{E})),\end{aligned}$$

natural in  $C, \mathcal{D}, \mathcal{E} \in \text{Obj}(\text{Cats})$ .

0106

4. *2-Adjointness.* We have 2-adjunctions

$$\begin{aligned}(C \times - \dashv \text{Fun}(C, -)) : \text{Cats}_2 &\begin{array}{c} \xrightarrow{C \times -} \\[-1ex] \perp_2 \\[-1ex] \xleftarrow{\text{Fun}(C, -)} \end{array} \text{Cats}_2, \\ (- \times \mathcal{D} \dashv \text{Fun}(\mathcal{D}, -)) : \text{Cats}_2 &\begin{array}{c} \xrightarrow{- \times \mathcal{D}} \\[-1ex] \perp_2 \\[-1ex] \xleftarrow{\text{Fun}(\mathcal{D}, -)} \end{array} \text{Cats}_2,\end{aligned}$$

witnessed by isomorphisms of categories

$$\begin{aligned}\text{Fun}(C \times \mathcal{D}, \mathcal{E}) &\cong \text{Fun}(\mathcal{D}, \text{Fun}(C, \mathcal{E})), \\ \text{Fun}(C \times \mathcal{D}, \mathcal{E}) &\cong \text{Fun}(C, \text{Fun}(\mathcal{D}, \mathcal{E})),\end{aligned}$$

natural in  $C, \mathcal{D}, \mathcal{E} \in \text{Obj}(\text{Cats}_2)$ .

0107

5. *Trivial Functor Categories.* We have a canonical isomorphism of categories

$$\text{Fun}(\text{pt}, C) \cong C,$$

natural in  $C \in \text{Obj}(\text{Cats})$ .

0108

6. *Objectwise Computation of Co/Limits.* Let

$$D: \mathcal{I} \rightarrow \text{Fun}(C, \mathcal{D})$$

be a diagram in  $\text{Fun}(C, \mathcal{D})$ . We have isomorphisms

$$\lim(D)_A \cong \lim_{i \in \mathcal{I}}(D_i(A)),$$

$$\text{colim}(D)_A \cong \text{colim}_{i \in \mathcal{I}}(D_i(A)),$$

naturally in  $A \in \text{Obj}(C)$ .

0109

7. *Bicompleteness.* If  $\mathcal{E}$  is co/complete, then so is  $\text{Fun}(C, \mathcal{E})$ .

010A

8. *Abelianness.* If  $\mathcal{E}$  is abelian, then so is  $\text{Fun}(C, \mathcal{E})$ .

010B

9. *Monomorphisms and Epimorphisms.* Let  $\alpha: F \implies G$  be a morphism of  $\text{Fun}(C, \mathcal{D})$ . The following conditions are equivalent:

(a) The natural transformation

$$\alpha: F \implies G$$

is a monomorphism (resp. epimorphism) in  $\text{Fun}(C, \mathcal{D})$ .

(b) For each  $A \in \text{Obj}(C)$ , the morphism

$$\alpha_A: F_A \rightarrow G_A$$

is a monomorphism (resp. epimorphism) in  $\mathcal{D}$ .

#### PROOF 11.6.1.3 ► PROOF OF PROPOSITION 11.6.1.2

Item 1: Functoriality

Omitted.

Item 2: 2-Functoriality

Omitted.

Item 3: Adjointness

Omitted.

Item 4: 2-Adjointness

Omitted.

## Item 5: Trivial Functor Categories

Omitted.

## Item 6: Objectwise Computation of Co/Limits

Omitted.

## Item 7: Bicompleteness

This follows from ??.

## Item 8: Abelianness

Omitted.

## Item 9: Monomorphisms and Epimorphisms

Omitted.



### 11.6.2 The Category of Categories and Functors

#### DEFINITION 11.6.2.1 ► THE CATEGORY OF CATEGORIES AND FUNCTORS

010D

The **category of (small) categories and functors** is the category  $\text{Cats}$  where

- *Objects.* The objects of  $\text{Cats}$  are small categories;
- *Morphisms.* For each  $C, D \in \text{Obj}(\text{Cats})$ , we have

$$\text{Hom}_{\text{Cats}}(C, D) \stackrel{\text{def}}{=} \text{Obj}(\text{Fun}(C, D));$$

- *Identities.* For each  $C \in \text{Obj}(\text{Cats})$ , the unit map

$$\text{pt} \rightarrow \text{Hom}_{\text{Cats}}(C, C)$$

of  $\text{Cats}$  at  $C$  is defined by

$$\text{id}_C^{\text{Cats}} \stackrel{\text{def}}{=} \text{id}_C,$$

where  $\text{id}_C : C \rightarrow C$  is the identity functor of  $C$  of Example 11.4.1.2;

- *Composition.* For each  $C, D, E \in \text{Obj}(\text{Cats})$ , the composition map

$$\circ_{C, D, E}^{\text{Cats}} : \text{Hom}_{\text{Cats}}(D, E) \times \text{Hom}_{\text{Cats}}(C, D) \rightarrow \text{Hom}_{\text{Cats}}(C, E)$$

of  $\text{Cats}$  at  $(C, D, E)$  is given by

$$G \circ_{C, D, E}^{\text{Cats}} F \stackrel{\text{def}}{=} G \circ F,$$

where  $G \circ F : C \rightarrow E$  is the composition of  $F$  and  $G$  of Definition 11.4.1.4.

**PROPOSITION 11.6.2.2 ► PROPERTIES OF THE CATEGORY Cats**

**010E** Let  $C$  be a category.

**010F** 1. *Co/Completeness*. The category Cats is complete and cocomplete.

**010G** 2. *Cartesian Monoidal Structure*. The quadruple  $(\text{Cats}, \times, \text{pt}, \text{Fun})$  is a Cartesian closed monoidal category.

**PROOF 11.6.2.3 ► PROOF OF PROPOSITION 11.6.2.2**

Item 1: Co/Completeness

This follows from

Item 2: Cartesian Monoidal Structure

Omitted. 

**11.6.3 The 2-Category of Categories, Functors, and Natural Transformations****DEFINITION 11.6.3.1 ► THE 2-CATEGORY OF CATEGORIES**

**010J** The **2-category of (small) categories, functors, and natural transformations** is the 2-category  $\text{Cats}_2$  where

- *Objects*. The objects of  $\text{Cats}_2$  are small categories;
- *Hom-Categories*. For each  $C, D \in \text{Obj}(\text{Cats}_2)$ , we have

$$\text{Hom}_{\text{Cats}_2}(C, D) \stackrel{\text{def}}{=} \text{Fun}(C, D);$$

- *Identities*. For each  $C \in \text{Obj}(\text{Cats}_2)$ , the unit functor

$$\text{pt}_C^{\text{Cats}_2} : \text{pt} \rightarrow \text{Fun}(C, C)$$

of  $\text{Cats}_2$  at  $C$  is the functor picking the identity functor  $\text{id}_C : C \rightarrow C$  of  $C$ ;

- *Composition*. For each  $C, D, E \in \text{Obj}(\text{Cats}_2)$ , the composition bifunctor

$$\circ_{C, D, E}^{\text{Cats}_2} : \text{Hom}_{\text{Cats}_2}(D, E) \times \text{Hom}_{\text{Cats}_2}(C, D) \rightarrow \text{Hom}_{\text{Cats}_2}(C, E)$$

of  $\text{Cats}_2$  at  $(C, D, E)$  is the functor where

- *Action on Objects*. For each object  $(G, F) \in \text{Obj}(\text{Hom}_{\text{Cats}_2}(D, E) \times \text{Hom}_{\text{Cats}_2}(C, D))$ , we have

$$\circ_{C, D, E}^{\text{Cats}_2}(G, F) \stackrel{\text{def}}{=} G \circ F;$$

- *Action on Morphisms.* For each morphism  $(\beta, \alpha) : (K, H) \Rightarrow (G, F)$  of  $\text{Hom}_{\text{Cats}_2}(\mathcal{D}, \mathcal{E}) \times \text{Hom}_{\text{Cats}_2}(\mathcal{C}, \mathcal{D})$ , we have

$$\circ_{\mathcal{C}, \mathcal{D}, \mathcal{E}}^{\text{Cats}_2}(\beta, \alpha) \stackrel{\text{def}}{=} \beta \star \alpha,$$

where  $\beta \star \alpha$  is the horizontal composition of  $\alpha$  and  $\beta$  of [Definition 11.5.3.1](#).

#### PROPOSITION 11.6.3.2 ► PROPERTIES OF THE 2-CATEGORY $\text{Cats}_2$

010K Let  $C$  be a category.

010L 1. *2-Categorical Co/Completeness.* The 2-category  $\text{Cats}_2$  is complete and cocomplete as a 2-category, having all 2-categorical and bicategorical co/limits.

#### PROOF 11.6.3.3 ► PROOF OF PROPOSITION 11.6.3.2

Item 1: Co/Completeness

This follows from 

### 11.6.4 The Category of Groupoids

#### DEFINITION 11.6.4.1 ► THE CATEGORY OF SMALL GROUPOIDS

010N The **category of (small) groupoids** is the full subcategory  $\text{Grpd}$  of  $\text{Cats}$  spanned by the groupoids.

### 11.6.5 The 2-Category of Groupoids

#### DEFINITION 11.6.5.1 ► THE 2-CATEGORY OF SMALL GROUPOIDS

010Q The **2-category of (small) groupoids** is the full sub-2-category  $\text{Grpd}_2$  of  $\text{Cats}_2$  spanned by the groupoids.

## 11.7 Miscellany

### 11.7.1 Concrete Categories

**DEFINITION 11.7.1.1 ► CONCRETE CATEGORIES**

**010T** A category  $C$  is **concrete** if there exists a faithful functor  $F: C \rightarrow \text{Sets}$ .

**11.7.2 Balanced Categories****DEFINITION 11.7.2.1 ► BALANCED CATEGORIES**

**010V** A category is **balanced** if every morphism which is both a monomorphism and an epimorphism is an isomorphism.

**11.7.3 Monoid Actions on Objects of Categories**

Let  $A$  be a monoid, let  $C$  be a category, and let  $X \in \text{Obj}(C)$ .

**DEFINITION 11.7.3.1 ► MONOID ACTIONS ON OBJECTS OF CATEGORIES**

**010X** An  **$A$ -action on  $X$**  is a functor  $\lambda: BA \rightarrow C$  with  $\lambda(\star) = X$ .

**REMARK 11.7.3.2 ► UNWINDING DEFINITION 11.7.3.1**

**010Y** In detail, an  **$A$ -action on  $X$**  is an  $A$ -action on  $\text{End}_C(X)$ , consisting of a morphism

$$\lambda: A \rightarrow \underbrace{\text{End}_C(X)}_{\stackrel{\text{def}}{=} \text{Hom}_C(X,X)}$$

satisfying the following conditions:

1. *Preservation of Identities.* We have

$$\lambda_{1_A} = \text{id}_X.$$

2. *Preservation of Composition.* For each  $a, b \in A$ , we have

$$\begin{array}{ccc} X & \xrightarrow{\lambda_a} & X \\ & \searrow \lambda_{ab} & \downarrow \lambda_b \\ & & X. \end{array}$$

$$\lambda_b \circ \lambda_a = \lambda_{ab},$$

**11.7.4 Group Actions on Objects of Categories**

Let  $G$  be a group, let  $C$  be a category, and let  $X \in \text{Obj}(C)$ .

**DEFINITION 11.7.4.1 ► GROUP ACTIONS ON OBJECTS OF CATEGORIES**

**0110** A  **$G$ -action on  $X$**  is a functor  $\lambda: BG \rightarrow C$  with  $\lambda(\star) = X$ .

**REMARK 11.7.4.2 ► UNWINDING DEFINITION 11.7.4.1**

**0111** In detail, a  **$G$ -action on  $X$**  is a  $G$ -action on  $\text{Aut}_C(X)$ , consisting of a morphism

$$\lambda: G \rightarrow \underbrace{\text{End}_C(X)}_{\stackrel{\text{def}}{=} \text{Hom}_C(X, X)}$$

satisfying the following conditions:

1. *Preservation of Identities.* We have

$$\lambda_{1_A} = \text{id}_X.$$

2. *Preservation of Composition.* For each  $a, b \in A$ , we have

$$\begin{array}{ccc} X & \xrightarrow{\lambda_a} & X \\ & \searrow \lambda_{ab} & \downarrow \lambda_b \\ & & X. \end{array}$$

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# Chapter 12

## Types of Morphisms in Categories

0112 Create tags (see [MSE 350788] for some of these):

1. ??
2. ??
3. ??
4. ??
5. write material on sections and retractions

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### 12.1 Monomorphisms

#### 12.1.1 Foundations

Let  $C$  be a category.

**DEFINITION 12.1.1.1 ► MONOMORPHISMS**

**0115** A morphism  $m: A \rightarrow B$  of  $C$  is a **monomorphism** if, for each diagram of the form

$$m \circ f = m \circ g, \quad X \xrightarrow{\begin{smallmatrix} f \\ g \end{smallmatrix}} A \xrightarrow{m} B$$

in  $\text{Cats}$ , we have  $f = g$ .

**EXAMPLE 12.1.1.2 ► EXAMPLES OF MONOMORPHISMS**

**0116** Here are some examples of monomorphisms.

**0117** 1. *Monomorphisms in Sets.* The monomorphisms in Sets are precisely the injections.

**PROOF 12.1.1.3 ► PROOF OF EXAMPLE 12.1.1.2****Item 1: Monomorphisms in Sets**

Let  $f: A \rightarrow B$  be a morphism in Sets. Suppose that  $f$  is a monomorphism and consider the diagram

$$\{*\} \xrightarrow{\begin{smallmatrix} [x] \\ [y] \end{smallmatrix}} A \xrightarrow{f} B,$$

where  $[x]$  and  $[y]$  are the morphisms picking the elements  $x$  and  $y$  of  $A$ . If  $f(x) = f(y)$ , then  $f \circ [x] = f \circ [y]$ , and thus  $[x] = [y]$  since  $f$  is a monomorphism. Hence  $x = y$  and we see that  $f$  is injective.

Conversely, suppose that  $f$  is injective. Proceeding by contrapositive, we claim that given a pair of maps  $g, h: X \rightrightarrows A$  such that  $g \neq h$ , then  $f \circ g \neq f \circ h$ . Indeed, as  $g$  and  $h$  are different maps, there must exist at least one element  $x \in X$  such that  $g(x) \neq h(x)$ . But then we have  $f(g(x)) \neq f(h(x))$ , since  $f$  is injective. Thus  $f \circ g \neq f \circ h$ , and we are done, having showed that  $f$  is a monomorphism. ■

**PROPOSITION 12.1.1.4 ► PROPERTIES OF MONOMORPHISMS**

**0118** Let  $f: A \rightarrow B$  be a morphism of  $C$ .

**0119** 1. *Characterisations.* The following conditions are equivalent:

- 011A** (a) The morphism  $f$  is a monomorphism.
- 011B** (b) For each  $X \in \text{Obj}(C)$ , the map of sets

$$f_*: \text{Hom}_{\text{Sets}}(X, A) \rightarrow \text{Hom}_{\text{Sets}}(X, B)$$

011C

is injective.

- (c) The kernel pair of  $f$  is trivial, i.e. we have

$$\begin{array}{ccc} A & \xrightarrow{\text{id}_A} & A \\ A \times_B A \cong A, & \text{id}_A \downarrow & \downarrow f \\ A & \xrightarrow{f} & B. \end{array}$$

011D

2. *Duality*. The following conditions are equivalent:

- (a) The morphism  $f: A \rightarrow B$  is a monomorphism in  $C$ .
- (b) The morphism  $f^\dagger: B \rightarrow A$  is an epimorphism in  $C^{\text{op}}$ .

011E

3. *Monomorphisms vs. Injective Maps*. Let

- $C$  be a concrete category as in ??;
- $\text{忘}_C: C \rightarrow \text{Sets}$  be the forgetful functor from  $C$  to  $\text{Sets}$ ;
- $f: A \rightarrow B$  be a morphism of  $C$ .

If  $\text{忘}_C$  preserves pullbacks, then the following conditions are equivalent:

- (a) The morphism  $f$  is a monomorphism.
- (b) The morphism  $\text{忘}(f)_C$  is injective.

011F

4. *Stability Properties*. The class of all monomorphisms of  $C$  is stable under the following operations:

- (a) *Composition*. If  $f$  and  $g$  are monomorphisms, then so is  $g \circ f$ .<sup>1</sup>
- (b) *Pullbacks*. Let

$$\begin{array}{ccc} A \times_C B & \longrightarrow & B \\ m' \downarrow & \lrcorner & \downarrow m \\ A & \longrightarrow & C \end{array}$$

be a diagram in  $C$ . If  $m$  is a monomorphism in  $C$ , then so is  $m'$ .

011G

5. *Morphisms From the Terminal Object Are Monomorphisms*. If  $C$  has a terminal object  $\mathbb{1}_C$ , then every morphism of  $C$  from  $\mathbb{1}_C$  is a monomorphism.

---

<sup>1</sup>Conversely, if  $g \circ f$  is a monomorphism, then so is  $f$ .

## PROOF 12.1.1.5 ► PROOF OF PROPOSITION 12.1.1.4

## Item 1: Characterisations

The equivalence between **Items 1a** and **1b** is clear. We claim that **Items 1a** and **1c** are equivalent:

- Item 1a**  $\implies$  **Item 1c**: Suppose that  $f$  is a monomorphism. Then  $A$  satisfies the universal property of the pullback:

$$\begin{array}{ccccc} P & \xrightarrow{\phi} & A & \xrightarrow{id_A} & A \\ \exists! \downarrow \phi & \nearrow \phi & \downarrow id_A & \lrcorner & \downarrow f \\ A & \xrightarrow{id_A} & A & \xrightarrow{id_A} & A \\ \downarrow id_A & & \downarrow f & & \downarrow f \\ A & \xrightarrow{f} & B. & & \end{array}$$

- Item 1c**  $\implies$  **Item 1a**: Suppose that  $A \cong A \times_B A$  and let  $g, h: C \rightrightarrows A$  be a pair of morphisms. Consider the diagram

$$\begin{array}{ccccc} C & \xrightarrow{g} & A & \xrightarrow{id_A} & A \\ \parallel \downarrow h & \nearrow g & \downarrow id_A & \lrcorner & \downarrow f \\ A & \xrightarrow{id_A} & A & \xrightarrow{id_A} & A \\ \downarrow id_A & & \downarrow f & & \downarrow f \\ A & \xrightarrow{f} & B. & & \end{array}$$

The universal property of the pullback says that there exists a unique morphism  $C \rightarrow A$  making the diagram

$$\begin{array}{ccccc} C & \xrightarrow{\exists!} & A & \xrightarrow{id_A} & A \\ \downarrow h & \nearrow g & \downarrow id_A & \lrcorner & \downarrow f \\ A & \xrightarrow{id_A} & A & \xrightarrow{id_A} & A \\ \downarrow id_A & & \downarrow f & & \downarrow f \\ A & \xrightarrow{f} & B. & & \end{array}$$

commute, which implies  $g = h$ . Therefore,  $f$  is a monomorphism.

## Item 3: Monomorphisms vs. Injective Maps

Assume that  $f$  is injective. As the forgetful functor from  $C$  to Sets is faithful, we see that [Proposition 12.1.2.2](#) together with ?? imply that  $f$  is a monomorphism.

Conversely, assume that  $f$  is a monomorphism. As  $F$  preserves pullbacks, it also preserves kernel pairs. By ??, we see that  $F$  preserves monomorphisms. Thus  $F_f$  is a monomorphism, and hence is injective by ??.

## Item 4: Stability Properties

Let  $f, g: X \rightrightarrows A \times_C B$  be two morphisms such that the diagram

$$X \xrightarrow{\begin{array}{c} f \\ g \end{array}} A \times_C B \xrightarrow{m'} A$$

commutes. It follows that the diagram

$$\begin{array}{ccccc} X & \xrightarrow{\quad f \quad} & A \times_C B & \xrightarrow{\quad \text{pr}_2 \circ f \quad} & B \\ & \searrow g & \downarrow m' & \nearrow \lrcorner & \downarrow m \\ & & A & \xrightarrow{\quad \psi \quad} & C \end{array}$$

also commutes. From the universal property of the pullback, it follows that there must be precisely one morphism from  $X$  to  $A \times_C B$  making the above diagram commute. Thus  $f = g$  and  $m'$  is a monomorphism.

## Item 5: Morphisms From the Terminal Object Are Monomorphisms

Clear. 

## 12.1.2 Monomorphism-Reflecting Functors

## DEFINITION 12.1.2.1 ► MONOMORPHISM-REFLECTING FUNCTORS

**011J** A functor  $F: C \rightarrow \mathcal{D}$  **reflects monomorphisms** if, for each morphism  $f$  of  $C$ , whenever  $F_f$  is a monomorphism, so is  $f$ .

**PROPOSITION 12.1.2.2 ► FAITHFUL FUNCTORS REFLECT MONOMORPHISMS**

**011K** Let  $F: \mathcal{C} \rightarrow \mathcal{D}$  be a functor. If  $F$  is faithful, then it reflects monomorphisms.

**PROOF 12.1.2.3 ► PROOF OF PROPOSITION 12.1.2.2**

Let  $f: A \rightarrow B$  be a morphism of  $\mathcal{C}$  and suppose that  $F_f: F_A \rightarrow F_B$  is a monomorphism. Let  $g, h: B \rightrightarrows C$  be two morphisms of  $\mathcal{C}$  such that  $g \circ f = h \circ f$ . As  $F$  is faithful, we must have

$$F_g \circ F_f = F_{g \circ f} = F_{h \circ f} = F_h \circ F_f,$$

but as  $F_f$  is a monomorphism, it must be that  $F_g = F_h$ . Using the faithfulness of  $F$  again, we see that  $g = h$ . Therefore  $f$  is a monomorphism. 

**12.1.3 Split Monomorphisms**

Let  $\mathcal{C}$  be a category.

**DEFINITION 12.1.3.1 ► SPLIT MONOMORPHISMS**

**011M** A morphism  $f: A \rightarrow B$  of  $\mathcal{C}$  is a **split monomorphism**<sup>1</sup> if there exists a morphism  $g: B \rightarrow A$  of  $\mathcal{B}$  such that<sup>2</sup>

$$g \circ f = \text{id}_A.$$

<sup>1</sup>Further Terminology: Also called a **section**, or a **split monic** morphism.

<sup>2</sup> Warning: There exist monomorphisms which are not split monomorphisms, e.g.  $\mathbb{Z}/2 \hookrightarrow \mathbb{Z}/4$  in Ring.

**PROPOSITION 12.1.3.2 ► PROPERTIES OF SPLIT MONOMORPHISMS**

**011N** Let  $\mathcal{C}$  be a category.

**011P** 1. *Split Monomorphisms are Monomorphisms.* If  $m$  is a split monomorphism, then  $m$  is a monomorphism.

**PROOF 12.1.3.3 ► PROOF OF PROPOSITION 12.1.3.2****Item 1: Split Monomorphisms are Monomorphisms**

Let  $m: A \rightarrow B$  be a split monomorphism of  $\mathcal{C}$ , let  $e: B \rightarrow A$  be a morphism of  $\mathcal{C}$  with

$$e \circ m = \text{id}_A,$$

and let  $f, g: C \Rightarrow A$  be two morphisms of  $C$  such that the diagram

$$C \xrightarrow{\begin{smallmatrix} f \\ g \end{smallmatrix}} A \xrightarrow{m} B$$

commutes. Then we have

$$\begin{aligned} f &= \text{id}_A \circ f \\ &= (e \circ m) \circ f \\ &= e \circ (m \circ f) \\ &= e \circ (m \circ g) \\ &= (e \circ m) \circ g \\ &= \text{id}_A \circ g \\ &= g, \end{aligned}$$

showing  $m$  to be a monomorphism. ■

## 12.2 Epimorphisms

### 12.2.1 Foundations

Let  $C$  be a category.

#### DEFINITION 12.2.1.1 ► EPIMORPHISMS

**011S** A morphism  $f: A \rightarrow B$  of  $C$  is an **epimorphism** if for every commutative<sup>1</sup> diagram of the form

$$A \xrightarrow{f} B \xrightarrow{\begin{smallmatrix} g \\ h \end{smallmatrix}} C,$$

we have  $g = h$ .

---

<sup>1</sup>That is, with  $g \circ f = h \circ f$ .

#### EXAMPLE 12.2.1.2 ► EPIMORPHISMS IN Sets

**011T** Let  $f: A \rightarrow B$  be a function. The following conditions are equivalent:

1. The function  $f$  is injective.
2. The function  $f$  is an epimorphism in Sets.

**PROOF 12.2.1.3 ► PROOF OF EXAMPLE 12.2.1.2**

Suppose that  $f$  is surjective and let  $g, h: B \rightrightarrows C$  be morphisms such that  $g \circ f = h \circ f$ . Then for each  $a \in A$ , we have

$$g(f(a)) = h(f(a)),$$

but this implies that

$$g(b) = h(b)$$

for each  $b \in B$ , as  $f$  is surjective. Thus  $g = h$  and  $f$  is an epimorphism.

To prove the converse, we proceed by contrapositive. So suppose that  $f$  is not surjective and consider the diagram

$$A \xrightarrow{f} B \xrightarrow[g]{h} C,$$

where  $h$  is the map defined by  $h(b) = 0$  for each  $b \in B$  and  $g$  is the map defined by

$$g(b) = \begin{cases} 1 & \text{if } b \in \text{Im}(f), \\ 0 & \text{otherwise.} \end{cases}$$

Then  $h \circ f = g \circ f$ , as  $h(f(a)) = 1 = g(f(a))$  for each  $a \in A$ . However, for any  $b \in B \setminus \text{Im}(f)$ , we have

$$g(b) = 0 \neq 1 = h(b).$$

Therefore  $g \neq h$  and  $f$  is not an epimorphism. □

**PROPOSITION 12.2.1.4 ► PROPERTIES OF EPIMORPHISMS**

**011U** Let  $C$  be a category.

**011V** 1. *Characterisations.* Let  $C$  be a category with pullbacks and  $f: A \rightarrow B$  be a morphism of  $C$ . The following conditions are equivalent:

**011W** (a) The morphism  $f$  is an epimorphism.

**011X** (b) For each  $X \in \text{Obj}(C)$ , the map of sets

$$f^*: \text{Hom}_{\text{Sets}}(B, X) \rightarrow \text{Hom}_{\text{Sets}}(A, X)$$

is injective.

011Y

(c) The cokernel pair of  $f$  is trivial, i.e. we have

$$\begin{array}{ccc} & B & \\ \uparrow & \lrcorner & \uparrow f \\ B \coprod_A B \cong B & & \\ & B & \\ & \xleftarrow{f} & A. \end{array}$$

011Z

2. *Epimorphisms vs. Surjective Maps.* Let

- $C$  be a concrete category;
- $\mathfrak{U}: C \rightarrow \text{Sets}$  be the forgetful functor from  $C$  to Sets;
- $f: A \rightarrow B$  be a morphism of  $C$ .

If  $\mathfrak{U}$  preserves pushouts, then the following conditions are equivalent:

- (a) The morphism  $f$  is a epimorphism.
- (b) The morphism  $f$  is surjective.

0120

3. *Stability Properties.* The class of all epimorphisms of  $C$  is stable under the following operations:

- (a) *Composition.* If  $f$  and  $g$  are epimorphisms, then so is  $g \circ f$ .<sup>1</sup>
- (b) *Pushouts.* Let

$$\begin{array}{ccc} A \coprod_C B & \xleftarrow{\quad} & B \\ \uparrow e' & \lrcorner & \uparrow e \\ A & \longrightarrow & C \end{array}$$

be a diagram in  $C$ . If  $m$  is an epimorphism in  $C$ , then so is  $e'$ .

0121

4. *Morphisms to the Initial Object Are Monomorphisms.* If  $C$  has an initial object  $\emptyset_C$ , then every morphism of  $C$  to  $\emptyset_C$  is a epimorphism.

<sup>1</sup>Conversely, if  $g \circ f$  is a epimorphism, then so is  $g$ .

#### PROOF 12.2.1.5 ▶ PROOF OF PROPOSITION 12.2.1.4

This is dual to [Proposition 12.1.1.4](#).



#### 12.2.2 Regular Epimorphisms

**PROPOSITION 12.2.2.1 ► PROPERTIES OF REGULAR EPIMORPHISMS**

**0123** Let  $C$  be a category.

1. *Stability Under Pullbacks.* Consider the diagram

$$\begin{array}{ccc} A \times_C B & \longrightarrow & B \\ e' \downarrow & \lrcorner & \downarrow e \\ A & \longrightarrow & C \end{array}$$

in  $C$ . If  $e$  is a regular epimorphism, then so is  $e'$ .

**PROOF 12.2.2.2 ► PROOF OF PROPOSITION 12.2.2.1**

Epimorphisms Need Not Be Stable Under Pullback.

Regular Epimorphisms Are Stable Under Pullback.

**12.2.3 Effective Epimorphisms**

Let  $C$  be a category.

**DEFINITION 12.2.3.1 ► EFFECTIVE EPIMORPHISMS**

**0125**

An epimorphism  $f: A \rightarrow B$  of  $C$  is **effective** if we have an isomorphism

$$B \cong \text{CoEq}(A \times_B A \rightrightarrows A).$$

**12.2.4 Split Epimorphisms**

Let  $C$  be a category.

**DEFINITION 12.2.4.1 ► RETRACTIONS**

**0127**

A morphism  $f: A \rightarrow B$  of  $C$  is a **retraction**<sup>1</sup> if there is an arrow  $g: B \rightarrow A$  such that  $f \circ g = \text{id}_B$ .

<sup>1</sup>Further Terminology: Also called a **split epimorphism**.

**PROPOSITION 12.2.4.2 ► PROPERTIES OF SPLIT EPIMORPHISMS**

0128

Let  $f: A \rightarrow B$  be a morphism of  $C$ .

1. Every split epimorphism is an epimorphism.<sup>1</sup>



*Warning:* There are epimorphisms which are not split epimorphisms, however, e.g.  $\mathbb{Z} \hookrightarrow \mathbb{Z}/2$ .

**PROOF 12.2.4.3 ► PROOF OF PROPOSITION 12.2.4.2**

This is dual to ??.



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# **Adjunctions and the Yoneda Lemma**

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## Constructions With Categories

012A

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# Chapter 15

## Profunctors

012B

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### 15.1 Profunctors

#### 15.1.1 Foundations

Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories.

##### DEFINITION 15.1.1.1 ► PROFUNCTORS

012E

A **profunctor**<sup>1</sup>  $p: \mathcal{C} \nrightarrow \mathcal{D}$  from  $\mathcal{C}$  to  $\mathcal{D}$  is a functor  $p: \mathcal{D}^{\text{op}} \times \mathcal{C} \rightarrow \text{Sets}$ .

<sup>1</sup>Further Terminology: Also called a **distributor**, a **bimodule**, a **correspondence**, or a **relator**.

**REMARK 15.1.1.2 ► EQUIVALENT DEFINITIONS OF PROFUNCTORS**

**012F** Equivalently, we may define a profunctor from  $\mathcal{C}$  to  $\mathcal{D}$  as:

**012G** 1. A functor  $p: \mathcal{D}^{\text{op}} \times \mathcal{C} \rightarrow \text{Sets}$ ;

**012H** 2. A functor  $p: \mathcal{C} \rightarrow \text{PSh}(\mathcal{D})$ ;

**012J** 3. A functor  $p: \mathcal{D}^{\text{op}} \rightarrow \text{Fun}(\mathcal{C}, \text{Sets})$ ;

**012K** 4. A cocontinuous functor  $p: \text{PSh}(\mathcal{C}) \rightarrow \text{PSh}(\mathcal{D})$ ;

That is, we have isomorphisms of categories

$$\begin{aligned} \text{Prof}(\mathcal{C}, \mathcal{D}) &\cong \text{Fun}(\mathcal{C}, \text{PSh}(\mathcal{D})), \\ &\cong \text{Fun}(\mathcal{D}^{\text{op}}, \text{CoPSh}(\mathcal{C})), \\ &\cong \text{CoContFun}(\text{PSh}(\mathcal{C}), \text{PSh}(\mathcal{D})), \end{aligned}$$

natural in  $\mathcal{C}, \mathcal{D} \in \text{Obj}(\text{Cats})$ .

**PROOF 15.1.1.3 ► PROOF OF REMARK 15.1.1.2**

We claim that **Items 1 to 4** are indeed equivalent:

- The equivalence between **Items 1 and 2** is an instance of currying, following from the isomorphisms of categories

$$\text{Fun}(\mathcal{D}^{\text{op}} \times \mathcal{C}, \text{Sets}) \cong \text{Fun}(\mathcal{C}, \text{Fun}(\mathcal{D}^{\text{op}}, \text{Sets})) \stackrel{\text{def}}{=} \text{Fun}(\mathcal{C}, \text{PSh}(\mathcal{D})).$$

- The equivalence between **Items 1 and 3** is also an instance of currying, following from the isomorphisms of categories

$$\text{Fun}(\mathcal{D}^{\text{op}} \times \mathcal{C}, \text{Sets}) \cong \text{Fun}(\mathcal{D}^{\text{op}}, \text{Fun}(\mathcal{C}, \text{Sets})) \stackrel{\text{def}}{=} \text{Fun}(\mathcal{D}^{\text{op}}, \text{Fun}(\mathcal{C}, \text{Sets})).$$

- The equivalence between **Items 1 and 4** follows from the universal property of the category  $\text{PSh}(\mathcal{C})$  of presheaves on  $\mathcal{C}$  as the free cocompletion of  $\mathcal{C}$  via the Yoneda embedding

$$\mathcal{Y}: \mathcal{C}^{\text{op}} \hookrightarrow \text{PSh}(\mathcal{C})$$

of  $\mathcal{C}$  into  $\text{PSh}(\mathcal{C})$  (**?? of ??**).

This finishes the proof. 

## 15.2 Operations With Profunctors

### 15.2.1 The Domain and Range of a Profunctor



012N

Let  $\mathbf{p}: C \dashrightarrow \mathcal{D}$  be a profunctor.<sup>1</sup>

1. The **domain of  $\mathbf{p}$**  is the presheaf  $\text{dom}(\mathbf{p}): \mathcal{D}^{\text{op}} \rightarrow \text{Sets}$  on  $\mathcal{D}$  defined by

$$\text{dom}(\mathbf{p})^- \stackrel{\text{def}}{=} \underset{B \in \mathcal{D}}{\text{colim}} (\mathbf{p}_B^-).$$

2. The **range of  $\mathbf{p}$**  is the copresheaf  $\text{range}(\mathbf{p}): C \rightarrow \text{Sets}$  on  $C$  defined by

$$\text{range}(\mathbf{p})_- \stackrel{\text{def}}{=} \underset{A \in \mathcal{D}}{\text{colim}} (\mathbf{p}_A^A).$$

<sup>1</sup>In other words, the domain and range of  $\mathbf{p}$  are the functors

$$\begin{aligned} \text{dom}(\mathbf{p}) &: \mathcal{D}^{\text{op}} \rightarrow \text{Sets}, \\ \text{range}(\mathbf{p}) &: C \rightarrow \text{Sets} \end{aligned}$$

defined by

$$\begin{array}{ccc} \mathcal{D}^{\text{op}} & \xrightarrow{\mathbf{p}^\dagger} & \mathbf{PSh}(\mathcal{D}) \\ \text{dom}(\mathbf{p}) \searrow & & \downarrow \text{colim} \\ & & \text{Sets}, \\ & & \text{range}(\mathbf{p}) \stackrel{\text{def}}{=} \text{colim} \circ \mathbf{p}^\dagger, \\ & & \text{range}(\mathbf{p}) \stackrel{\text{def}}{=} \text{colim} \circ \mathbf{p}^\ddagger, \\ C & \xrightarrow{\mathbf{p}^\ddagger} & \mathbf{Fun}(C, \text{Sets}) \\ \text{range}(\mathbf{p}) \searrow & & \downarrow \text{colim} \\ & & \text{Sets}. \end{array}$$

### 15.2.2 Composition of Profunctors

Let  $C$ ,  $\mathcal{D}$ , and  $\mathcal{E}$  be categories and let  $\mathbf{p}: C \dashrightarrow \mathcal{D}$  and  $\mathbf{q}: \mathcal{D} \dashrightarrow \mathcal{E}$  be profunctors.

#### DEFINITION 15.2.2.1 ► COMPOSITION OF PROFUNCTORS

012Q

The **composition of  $\mathbf{p}$  and  $\mathbf{q}$**  is the profunctor  $\mathbf{q} \diamond \mathbf{p}: C \dashrightarrow \mathcal{E}$  defined by<sup>1</sup>

$$(\mathbf{q} \diamond \mathbf{p})_{-2}^{-1} \stackrel{\text{def}}{=} \int^{B \in \mathcal{D}} \mathbf{q}_B^{-1} \times \mathbf{p}_{-2}^B.$$

<sup>1</sup>Alternatively, we may define  $\mathbf{q} \diamond \mathbf{p}$  (using the equivalent definition of Item 2 of Remark 15.1.1.2) by

$$\begin{array}{ccc} \mathcal{D} & \xrightarrow{\mathbf{p}^\dagger} & \mathbf{PSh}(C), \\ \downarrow \mathfrak{L} & & \nearrow \text{Lan}_{\mathfrak{L}}(\mathbf{p}^\dagger) \\ \mathcal{E} & \xrightarrow{\mathbf{q}^\dagger} & \mathbf{PSh}(\mathcal{D}) \end{array}$$

### 15.2.3 Representable Profunctors

**DEFINITION 15.2.3.1 ► THE REPRESENTABLE PROFUNCTOR ASSOCIATED TO A FUNCTOR**

012S

The **representable profunctor associated to a functor**  $F: \mathcal{C} \rightarrow \mathcal{D}$  is the profunctor  $\widehat{F}^*: \mathcal{C} \nrightarrow \mathcal{D}$  defined as the adjunct of the composition

$$\mathcal{C} \xrightarrow{F} \mathcal{D} \xrightarrow{\downarrow} \text{PSh}(\mathcal{D})$$

under the adjunction

$$\text{Fun}(\mathcal{D}^{\text{op}} \times \mathcal{C}, \text{Sets}) \cong \text{Fun}(\mathcal{C}, \text{PSh}(\mathcal{D}))$$

of ?? of ??.<sup>1</sup>

<sup>1</sup>That is, we have

$$\widehat{F}^* \stackrel{\text{def}}{=} \text{Hom}_{\mathcal{D}}(-_1, F_{-2}).$$

**DEFINITION 15.2.3.2 ► REPRESENTABLE PROFUNCTORS**

012T

A profunctor is **representable** if it is isomorphic to a representable profunctor.

**DEFINITION 15.2.3.3 ► THE COREPRESENTABLE PROFUNCTOR ASSOCIATED TO A FUNCTOR**

012U

The **corepresentable<sup>1</sup> profunctor associated to a functor**  $F: \mathcal{C} \rightarrow \mathcal{D}$  is the profunctor  $\widehat{F}_*: \mathcal{D} \nrightarrow \mathcal{C}$  defined as the adjunct of the composition

$$\mathcal{C}^{\text{op}} \xrightarrow{F^{\text{op}}} \mathcal{D}^{\text{op}} \xrightarrow{\lrcorner} \text{CoPSh}(\mathcal{D})$$

under the adjunction

$$\text{Fun}(\mathcal{C}^{\text{op}} \times \mathcal{D}, \text{Sets}) \cong \text{Fun}(\mathcal{C}^{\text{op}}, \text{CoPSh}(\mathcal{D}))$$

of ?? of ??.<sup>2</sup>

<sup>1</sup>Some authors call both  $\widehat{F}^*$  and  $\widehat{F}_*$  the **representable profunctors associated to  $F$** .

<sup>2</sup>That is:

$$\widehat{F}_* \stackrel{\text{def}}{=} \text{Hom}_{\mathcal{D}}(F_{-1}, -_2).$$

**DEFINITION 15.2.3.4 ► COREPRESENTABLE PROFUNCTORS**

012V

A profunctor is **corepresentable** if it is isomorphic to a corepresentable profunctor.

**15.2.4 Collages**

Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories.

**DEFINITION 15.2.4.1 ► THE COLLAGE OF A PROFUNCTOR**

012X

The **collage** of a profunctor  $\mathbf{p}: \mathcal{C} \nrightarrow \mathcal{D}$  is the category  $\text{Coll}(\mathbf{p})$ <sup>1</sup> where<sup>2</sup>

- *Objects.* We have

$$\text{Obj}(\text{Coll}(\mathbf{p})) \stackrel{\text{def}}{=} \text{Obj}(\mathcal{C}) \coprod \text{Obj}(\mathcal{D});$$

- *Morphisms.* For each  $A, B \in \text{Obj}(\text{Coll}(\mathbf{p}))$ , we have

$$\text{Hom}_{\text{Coll}(\mathbf{p})}(A, B) \stackrel{\text{def}}{=} \begin{cases} \text{Hom}_{\mathcal{C}}(A, B) & \text{if } A, B \in \text{Obj}(\mathcal{C}), \\ \text{Hom}_{\mathcal{D}}(A, B) & \text{if } A, B \in \text{Obj}(\mathcal{D}), \\ \mathbf{p}(A, B) & \text{if } A \in \text{Obj}(\mathcal{C}) \text{ and } B \in \text{Obj}(\mathcal{D}), \\ \emptyset & \text{if } A \in \text{Obj}(\mathcal{D}) \text{ and } B \in \text{Obj}(\mathcal{C}); \end{cases}$$

- *Identities.* For each  $A \in \text{Obj}(\text{Coll}(\mathbf{p}))$ , the unit map

$$\mathbb{1}_A^{\text{Coll}(\mathbf{p})}: \text{pt} \rightarrow \text{Hom}_{\text{Coll}(\mathbf{p})}(A, A)$$

of  $\text{Coll}(\mathbf{p})$  at  $A$  is defined by

$$\text{id}_A \stackrel{\text{def}}{=} \begin{cases} \text{id}_A^{\mathcal{C}} & \text{if } A \in \text{Obj}(\mathcal{C}), \\ \text{id}_A^{\mathcal{D}} & \text{if } A \in \text{Obj}(\mathcal{D}); \end{cases}$$

- *Composition.* For each  $A, B, C \in \text{Obj}(\text{Coll}(\mathbf{p}))$ , the composition map

$$\circ_{A,B,C}^{\text{Coll}(\mathbf{p})}: \text{Hom}_{\text{Coll}(\mathbf{p})}(B, C) \times \text{Hom}_{\text{Coll}(\mathbf{p})}(A, B) \rightarrow \text{Hom}_{\text{Coll}(\mathbf{p})}(A, C)$$

of  $\text{Coll}(\mathbf{p})$  at  $(A, B, C)$  is defined by<sup>3</sup>

$$\circ_{A,B,C}^{\text{Coll}(\mathbf{p})} \stackrel{\text{def}}{=} \begin{cases} \circ_{A,B,C}^{\mathcal{C}} & \text{if } A, B, C \in \text{Obj}(\mathcal{C}), \\ \mathbf{p}_C^{A,B} & \text{if } A, B \in \text{Obj}(\mathcal{C}) \text{ and } C \in \text{Obj}(\mathcal{D}), \\ \iota & \text{if } A, C \in \text{Obj}(\mathcal{C}) \text{ and } B \in \text{Obj}(\mathcal{D}), \\ \iota & \text{if } B, C \in \text{Obj}(\mathcal{C}) \text{ and } A \in \text{Obj}(\mathcal{D}), \\ \mathbf{p}_{B,C}^A & \text{if } A \in \text{Obj}(\mathcal{C}) \text{ and } B, C \in \text{Obj}(\mathcal{D}), \\ \iota & \text{if } B \in \text{Obj}(\mathcal{C}) \text{ and } A, C \in \text{Obj}(\mathcal{D}), \\ \iota & \text{if } C \in \text{Obj}(\mathcal{C}) \text{ and } A, B \in \text{Obj}(\mathcal{D}), \\ \circ_{A,B,C}^{\mathcal{D}} & \text{if } A, B, C \in \text{Obj}(\mathcal{D}). \end{cases}$$

<sup>1</sup>Further Notation: Also written  $\mathcal{C} \star^{\mathbf{p}} \mathcal{D}$ , notably in [HigherToposTheory].

<sup>2</sup>We also have a functor  $\phi: \text{Coll}(\mathbf{p}) \rightarrow \mathbb{1}$  where

- *Actions on Objects.* For each  $A \in \text{Obj}(\text{Coll}(\mathbf{p}))$ , we have

$$\phi_A \stackrel{\text{def}}{=} \begin{cases} [0] & \text{if } A \in \text{Obj}(\mathcal{C}), \\ [1] & \text{if } A \in \text{Obj}(\mathcal{D}). \end{cases}$$

- *Actions on Morphisms.* For each  $A, B \in \text{Obj}(\text{Coll}(\mathbf{p}))$ , the action on morphisms

$$\phi_{A,B} : \text{Hom}_{\text{Coll}(\mathbf{p})}(A, B) \rightarrow \text{Hom}_{\text{Coll}(\mathbf{p})}(\phi_A, \phi_B)$$

of  $\phi$  at  $(A, B)$  is given by

$$\phi_{A,B}(f) \stackrel{\text{def}}{=} \begin{cases} \text{id}_{[0]} & \text{if } A, B \in \text{Obj}(\mathcal{C}), \\ \text{id}_{[1]} & \text{if } A, B \in \text{Obj}(\mathcal{D}), \\ [0] \rightarrow [1] & \text{if } A \in \text{Obj}(\mathcal{C}) \text{ and } B \in \text{Obj}(\mathcal{D}). \end{cases}$$

If  $A \in \text{Obj}(\mathcal{D})$  and  $B \in \text{Obj}(\mathcal{C})$ , we have  $\phi_{A,B} \stackrel{\text{def}}{=} \text{id}_\emptyset$ .

<sup>3</sup>Here the maps  $\mathbf{p}_C^{A,B}$  and  $\mathbf{p}_{B,C}^A$  are the maps

$$\begin{aligned} \mathbf{p}_C^{A,B} : \mathbf{p}_C^B \times \text{Hom}_{\mathcal{C}}(A, B) &\rightarrow \mathbf{p}_C^A, \\ \mathbf{p}_{B,C}^A : \text{Hom}_{\mathcal{D}}(B, C) \times \mathbf{p}_B^A &\rightarrow \mathbf{p}_C^A \end{aligned}$$

coming from the profunctor structure of  $\mathbf{p}$  and the  $i$ 's are inclusions of the empty set into the appropriate Hom sets.

#### EXAMPLE 15.2.4.2 ► THE COLLAGE OF $\Delta_{\text{pt}}$ ([HigherToposTheory])

012Y If  $\mathbf{p}$  is the constant functor  $\Delta_{\text{pt}} : \mathcal{D}^{\text{op}} \times \mathcal{C} \rightarrow \text{Sets}$  with value pt, then  $\text{Coll}(\mathbf{p})$  is the join  $\mathcal{C} \star \mathcal{D}$  of  $\mathcal{C}$  and  $\mathcal{D}$  of ??.

#### PROPOSITION 15.2.4.3 ► PROPERTIES OF COLLAGES

012Z Let  $\mathbf{p} : \mathcal{C} \dashv \mathcal{D}$  be a profunctor.

0130 1. *Functoriality.* The assignment  $\mathbf{p} \mapsto \text{Coll}(\mathbf{p})$  defines a functor<sup>1</sup>

$$\text{Coll}_{\mathcal{C}, \mathcal{D}} : \text{Prof}(\mathcal{C}, \mathcal{D}) \rightarrow \text{Cats}_{/\mathbb{K}}(\mathcal{C}, \mathcal{D}),$$

where

- *Action on Objects.* For each  $\mathbf{p} \in \text{Obj}(\text{Prof}(\mathcal{C}, \mathcal{D}))$ , we have

$$[\text{Coll}](\mathbf{p}) \stackrel{\text{def}}{=} \text{Coll}(\mathbf{p});$$

- *Action on Morphisms.* For each  $\mathbf{p}, \mathbf{q} \in \text{Obj}(\text{Prof}(\mathcal{C}, \mathcal{D}))$ , the action on Hom-sets

$$\text{Coll}_{\mathbf{p}, \mathbf{q}} : \text{Nat}(\mathbf{p}, \mathbf{q}) \rightarrow \text{Fun}_{/\mathbb{K}}(\text{Coll}(\mathbf{p}), \text{Coll}(\mathbf{q}))$$

of  $\text{Coll}$  at  $(\mathbf{p}, \mathbf{q})$  is the function sending a natural transformation  $\alpha: \mathbf{p} \Rightarrow \mathbf{q}$  to the functor

$$\text{Coll}(\alpha): \text{Coll}(\mathbf{p}) \rightarrow \text{Coll}(\mathbf{q})$$

over  $\mathcal{W}$  where

- *Action on Objects.* For each  $X \in \text{Obj}(\text{Coll}(\mathbf{p}))$ , we have

$$[\text{Coll}(\alpha)](X) \stackrel{\text{def}}{=} X;$$

- *Action on Morphisms.* For each  $X, Y \in \text{Obj}(\text{Coll}(\mathbf{p}))$ , the action on Hom-sets

$$\text{Coll}(\alpha)_{X,Y}: \text{Hom}_{\text{Coll}(\mathbf{p})}(X, Y) \rightarrow \underbrace{\text{Hom}_{\text{Coll}(\mathbf{q})}([\text{Coll}(\alpha)](X), [\text{Coll}(\alpha)](Y))}_{\stackrel{\text{def}}{=} \text{Hom}_{\text{Coll}(\mathbf{q})}(X, Y)}$$

of  $\text{Coll}(\alpha)$  at  $(X, Y)$  is defined as follows:

- \* If  $X, Y \in \text{Obj}(\mathcal{C})$  or  $X, Y \in \text{Obj}(\mathcal{D})$ , then we have

$$\text{Coll}(\alpha)_{X,Y}(f) \stackrel{\text{def}}{=} f$$

for each  $f \in \text{Hom}_{\text{Coll}(\mathbf{p})}(X, Y)$ .

- \* If  $X \in \text{Obj}(\mathcal{C})$  and  $Y \in \text{Obj}(\mathcal{D})$ , then

$$\text{Coll}(\alpha)_{X,Y}: \underbrace{\text{Hom}_{\text{Coll}(\mathbf{p})}(X, Y)}_{\stackrel{\text{def}}{=} \mathbf{p}_Y^X} \rightarrow \underbrace{\text{Hom}_{\text{Coll}(\mathbf{q})}(X, Y)}_{\stackrel{\text{def}}{=} \mathbf{q}_Y^X}$$

is defined by

$$\text{Coll}(\alpha)_{X,Y}(f) \stackrel{\text{def}}{=} \alpha_Y^X;$$

- \* If  $Y \in \text{Obj}(\mathcal{C})$  and  $X \in \text{Obj}(\mathcal{D})$ , then we have

$$\text{Coll}(\alpha)_{X,Y}(f) \stackrel{\text{def}}{=} \text{id}_\emptyset.$$

0131

2. *Collages as Lax Colimits.* We have an isomorphism of categories

$$\text{Coll}(\mathbf{p}) \cong \text{colim}^{\text{lax}}(\mathbf{p}),$$

functorial in  $\mathbf{p}$ , where the above lax colimit is taken in the bicategory  $\text{Prof}$ .

0132

3. *Profunctors vs.Collages.* We have an equivalence of categories

$$(\text{Coll} \dashv \Gamma): \text{Prof}(C, D) \begin{array}{c} \xrightarrow{\text{Coll}} \\[-1ex] \xleftarrow[\Gamma]{\perp_{\text{eq}}} \end{array} \text{Cats}_{/\mathbb{K}},$$

where  $\Gamma: \text{Cats}_{/\mathbb{K}} \rightarrow \text{Prof}(C, D)$  is the functor sending a functor  $\mathcal{E} \rightarrow \mathbb{K}$  to the profunctor

$$\Gamma(p): C \nrightarrow D$$

given on objects by

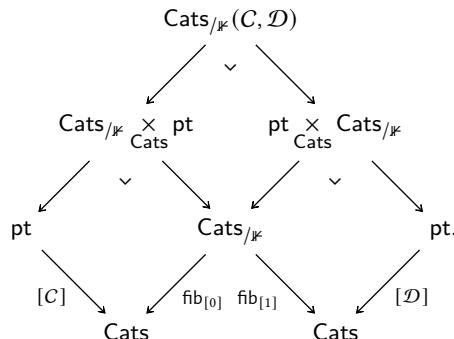
$$\Gamma(p)_B^A \stackrel{\text{def}}{=} \text{Hom}_{\mathcal{E}}(A, B)$$

for each  $A, B \in \text{Obj}(\mathcal{E})$ .

<sup>1</sup>Here  $\text{Cats}_{/\mathbb{K}}(C, D)$  is the category defined as the pullback

$$\text{Cats}_{/\mathbb{K}}(C, D) \stackrel{\text{def}}{=} \text{pt}_{[C], \text{Cats}, \text{fib}_0} \times_{\text{Cats}_{/\mathbb{K}} \times_{\text{fib}_1, \text{Cats}, [\mathcal{D}]} \text{pt}},$$

as in the diagram



#### PROOF 15.2.4.4 ► PROOF OF PROPOSITION 15.2.4.3

Item 1: Functoriality

Omitted.

Item 2: Collages as Lax Colimits

See [\[collages-as-lax-colimits\]](#).

Item 3: Profunctors vs.Collages

See [\[joyal:distributors-and-barrels\]](#).



## 15.3 Categories of Profunctors

### 15.3.1 The Bicategory of Profunctors

## DEFINITION 15.3.1.1 ► THE BICATEGORY OF PROFUNCTORS

0135

The **bicategory of profunctors** is the bicategory  $\text{Prof}$  where<sup>1</sup>

1. *Objects.* The objects of  $\text{Prof}$  are categories;
2. *1-Morphisms.* The 1-morphisms of  $\text{Prof}$  are profunctors;
3. *2-Morphisms.* The 2-morphisms of  $\text{Prof}$  are natural transformations between profunctors;
4. *Identities.* For each  $C \in \text{Obj}(\text{Prof})$ , we have

$$\text{id}_C^{\text{Prof}} \stackrel{\text{def}}{=} \text{Hom}_C(-, -);$$

5. *Composition.* For each  $C, D, E \in \text{Obj}(\text{Prof})$ , the composition bifunctor

$$\diamond: \text{Prof}(D, E) \times \text{Prof}(C, D) \rightarrow \text{Prof}(C, E)$$

is defined on objects by sending profunctors  $p: C \nrightarrow D$  and  $q: D \nrightarrow E$  to the profunctor  $q \diamond p$  of [Definition 15.2.2.1](#).

---

<sup>1</sup>The bicategory  $\text{Prof}$  admits a nice strictification to a 2-category: it is biequivalent to the sub-bicategory of  $\text{Cats}$  spanned by the presheaf categories, cocontinuous functors between them, and natural transformation between these.

## PROOF 15.3.1.2 ► PROOF OF DEFINITION 15.3.1.1

See [Definition 15.3.1.1](#).



## 15.3.2 Properties of Prof

## PROPOSITION 15.3.2.1 ► PROPERTIES OF THE BICATEGORY OF PROFUNCTORS

0137

Let  $C$  and  $D$  be categories.

0138

1. *Self-Duality.* The bicategory  $\text{Prof}$  is self-dual: we have a biequivalence of bicategories

$$(-)^{\text{op}}: \text{Prof} \xrightarrow{\cong} \text{Prof}^{\text{op}}$$

where

- *Action on Objects.* The functor  $(-)^{\text{op}}$  sends categories to their opposites;
- *Action on 1-Morphisms.* The functor  $(-)^{\text{op}}$  sends profunctors to itself

under the identification

$$\begin{aligned}\text{Prof}(C, \mathcal{D}) &\stackrel{\text{def}}{=} \text{Fun}(\mathcal{D}^{\text{op}} \times C, \text{Sets}), \\ &\cong \text{Fun}(C \times \mathcal{D}^{\text{op}}, \text{Sets}), \\ &\stackrel{\text{def}}{=} \text{Prof}(\mathcal{D}^{\text{op}}, C^{\text{op}});\end{aligned}$$

- *Action on 2-Morphisms.* The functor  $(-)^{\text{op}}$  sends natural transformations between profunctors to themselves.

**0139** 2. *Relation to Cats.* The co/representable profunctor constructions of Definitions 15.2.3.1 and 15.2.3.3 define embeddings of bicategories

$$\begin{aligned}\text{Cats}^{\text{op}} &\hookrightarrow \text{Prof}, \\ \text{Cats}^{\text{co}} &\hookrightarrow \text{Prof}.\end{aligned}$$

**013A** 3. *Equivalences in Prof and Cauchy Completions.* Every category is equivalent to its Cauchy completion in Prof.

**013B** 4. *Equivalences in Prof.* The following conditions are equivalent:

- (a) The categories  $C$  and  $\mathcal{D}$  are equivalent in Prof.
- (b) The categories  $\text{PSh}(C)$  and  $\text{PSh}(\mathcal{D})$  are equivalent in  $\text{Cats}_2$ .
- (c) The Cauchy completions of  $C$  and  $\mathcal{D}$  are equivalent in  $\text{Cats}_2$ .

**013C** 5. *Adjunctions in Prof.* Let  $C$  and  $\mathcal{D}$  be categories. The following data are equivalent:

- (a) An adjunction in Prof from  $C$  to  $\mathcal{D}$ .
- (b) A functor from  $C$  to the Cauchy completion  $\overline{\mathcal{D}}$  of  $\mathcal{D}$ .
- (c) A semifunctor from  $C$  to  $\mathcal{D}$ .

**013D** 6. *As a Kleisli Bicategory.* We have a biequivalence of bicategories

$$\text{Prof} \cong \text{FreePsAlg}_{\text{PSh}},$$

where  $\text{PSh}$  is the presheaf category relative pseudomonad of [relative-pseudomonads-kleisli-bicategories-and-substitution-monoidal-structures].

**013E** 7. *Closedness.* The bicategory Prof is a closed bicategory, where given a profunctor  $p: C \nrightarrow \mathcal{D}$  and a category  $X$ :

- *Right Kan Extensions.* The right adjoint

$$\text{Ran}_p : \text{Rel}(C, X) \rightarrow \text{Rel}(\mathcal{D}, X)$$

to the precomposition functor  $p^* : \text{Rel}(\mathcal{D}, X) \rightarrow \text{Rel}(C, X)$  is given by

$$\text{Ran}_p(q) \stackrel{\text{def}}{=} \int_{A \in C} \text{Sets}(p_A^{-2}, q_A^{-1})$$

for each  $q \in \text{Rel}(C, X)$ .

- *Right Kan Lifts.* The right adjoint to the postcomposition functor

$$\text{Rift}_p : \text{Rel}(X, \mathcal{D}) \rightarrow \text{Rel}(X, C)$$

to the postcomposition functor  $p_* : \text{Rel}(X, C) \rightarrow \text{Rel}(X, \mathcal{D})$  is given by

$$\text{Rift}_p(q) \stackrel{\text{def}}{=} \int_{B \in \mathcal{D}} \text{Sets}(p_{-1}^B, q_{-2}^B)$$

for each  $q \in \text{Rel}(X, \mathcal{D})$ .

013F

8. *Un/Straightening for Profunctors: Two-Sided Discrete Fibrations.* We have an equivalence of categories

$$\text{Prof}(C, \mathcal{D}) \cong \text{DFib}(C, \mathcal{D}).$$

#### PROOF 15.3.2.2 ► PROOF OF PROPOSITION 15.3.2.1

##### Item 1: Self-Duality

See [[lorigian2020coend](#)].

##### Item 2: Relation to Cats

See [[lorigian2020coend](#)].

##### Item 3: Equivalences in Prof and Cauchy Completions

See [[borceux-2](#)].

##### Item 4: Equivalences in Prof

See [[borceux-2](#)].

##### Item 5: Adjunctions in Prof

Omitted.

##### Item 6: As a Kleisli Bicategory

See [[relative-pseudomonads-kleisli-bicategories-and-substitution-monoidal-structures](#)].

Item 7: Closedness

Omitted.

Item 8: Un/Straightening for Profunctors: Two-Sided Discrete Fibrations

See [[riehl:two-sided-discrete-fibrations](#)]



# Chapter 16

## Cartesian Closed Categories

013G Create tags (see [MSE 350788] for some of these):

1. define bicategory  $\text{Adj}(C)$
2. <https://www.google.com/search?q=category+of+categories+is+not+locally+cartesian+closed>
3. <https://math.stackexchange.com/questions/2864916/are-there-important-locally-cartesian-closed-categories-that-actually-are-not-ca>
4. Cats is not locally Cartesian closed:  $f^*$  does have a left adjoint (the proof for fibred sets seems to apply for any category with pullbacks), but does not have a right adjoint, as proved in example 1.7 of [https://sinhp.github.io/files/CT/notes\\_on\\_lcccs.pdf](https://sinhp.github.io/files/CT/notes_on_lcccs.pdf)
5. internal **Hom** in categories of co/Cartesian fibrations
6. <https://mathoverflow.net/questions/460146/universal-property-of-isbell-duality>
7. <http://www.tac.mta.ca/tac/volumes/36/12/36-12abs.html>
8. Cartesian closed categories and locally Cartesian closed categories
  - (a) <https://ncatlab.org/nlab/show/locally+cartesian+closed+functor>
  - (b) <https://ncatlab.org/nlab/show/cartesian+closed+functor>
  - (c) <https://ncatlab.org/nlab/show/locally+cartesian+closed+category>
  - (d) <https://ncatlab.org/nlab/show/Frobenius+reciprocity>
9. <https://math.stackexchange.com/questions/3657046/the-inverse>

[se-of-a-natural-isomorphism-is-a-natural-isomorphism](#) to justify adjunctions via homs

10. <https://ncatlab.org/nlab/show/enrichment+versus+internalisation>
11. <https://mathoverflow.net/questions/382239/proof-that-a-cartesian-category-is-monoidal>
12. <https://arxiv.org/pdf/2004.08964.pdf>

Create tags:

1. <https://www.google.com/search?q=category+of+categories+is+not+locally+cartesian+closed>
2. <https://math.stackexchange.com/questions/2864916/are-there-important-locally-cartesian-closed-categories-that-actually-are-not-ca>
3. Cats is not locally Cartesian closed:  $f^*$  does have a left adjoint (the proof for fibred sets seems to apply for any category with pullbacks), but does not have a right adjoint, as proved in example 1.7 of [https://sinhp.github.io/files/CT/notes\\_on\\_lcccs.pdf](https://sinhp.github.io/files/CT/notes_on_lcccs.pdf)
4. Cartesian closed categories and locally Cartesian closed categories
  - (a) <https://ncatlab.org/nlab/show/locally+cartesian+closed+functor>
  - (b) <https://ncatlab.org/nlab/show/cartesian+closed+functor>
  - (c) <https://ncatlab.org/nlab/show/locally+cartesian+closed+category>
  - (d) <https://ncatlab.org/nlab/show/Frobenius+reciprocity>
5. <https://mathoverflow.net/questions/382239/proof-that-a-cartesian-category-is-monoidal>

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36. Near-Rings

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38. Real Analysis in Several Variables

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and Brownian Motion

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42. Itô Calculus

40. Measures and Integration

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44. Topological and Smooth Manifolds

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45. Schemes

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34. [Quantales](#)

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# **Part IV**

# **Bicategories**

# Chapter 18

## Bicategories

013K

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Create tags and TODO:

1. spans in bicategories: add Proposition 7 here: <https://arxiv.org/abs/1903.03890>
2. add fact: internal adjunctions in  $\text{PseudoFun}(C, \mathcal{D})$  are precisely the invertible strong transformations as in [JY21, Example 6.2.7]. What are the internal adjunctions?

### 18.1 Monomorphisms in Bicategories

#### 18.1.1 Faithful Monomorphisms

Let  $C$  be a bicategory.

**DEFINITION 18.1.1.1 ► FAITHFUL MONOMORPHISMS**

**013N** A 1-morphism  $f: A \rightarrow B$  is a **faithful monomorphism** in  $C$  if the following equivalent conditions are satisfied:

**013P** 1. For each  $X \in \text{Obj}(C)$ , the functor

$$f_*: \text{Hom}_C(X, A) \rightarrow \text{Hom}_C(X, B)$$

given by postcomposition by  $f$  is faithful.

**013Q** 2. Given a diagram in  $C$  of the form

$$\begin{array}{ccc} X & \xrightarrow{\phi} & A \\ \alpha \Downarrow \beta & \nearrow \psi & \xrightarrow{f} \\ & & B \end{array}$$

if we have  $\text{id}_f \star \alpha = \text{id}_f \star \beta$ , then  $\alpha = \beta$ .

**EXAMPLE 18.1.1.2 ► EXAMPLES OF FAITHFUL MONOMORPHISMS**

**013R** Here are some examples of faithful monomorphisms.

**013S** 1. *Full Monomorphisms in Cats<sub>2</sub>.*

**013T** 2. *Full Monomorphisms in Rel.*

**013U** 3. *Full Monomorphisms in Span.*

**18.1.2 Full Monomorphisms**

Let  $C$  be a bicategory.

**DEFINITION 18.1.2.1 ► FULL MONOMORPHISMS**

**013W** A 1-morphism  $f: A \rightarrow B$  is a **full monomorphism** in  $C$  if the following equivalent conditions are satisfied:

**013X** 1. For each  $X \in \text{Obj}(C)$ , the functor

$$f_*: \text{Hom}_C(X, A) \rightarrow \text{Hom}_C(X, B)$$

given by postcomposition by  $f$  is full.

014F

2. For each  $X \in \text{Obj}(C)$  and each 2-morphism

$$\gamma: f \circ \phi \Rightarrow f \circ \psi, \quad X \begin{array}{c} \xrightarrow{f \circ \phi} \\[-1ex] \Downarrow \gamma \\[-1ex] \xrightarrow{f \circ \psi} \end{array} B$$

of  $C$ , there exists a 2-morphism  $\alpha: \phi \Rightarrow \psi$  of  $C$  such that we have an equality

$$X \begin{array}{c} \xrightarrow{\phi} \\[-1ex] \Downarrow \alpha \\[-1ex] \xrightarrow{\psi} \end{array} A \xrightarrow{f} B = X \begin{array}{c} \xrightarrow{f \circ \phi} \\[-1ex] \Downarrow \gamma \\[-1ex] \xrightarrow{f \circ \psi} \end{array} B$$

of pasting diagrams in  $C$ , i.e. such that we have

$$\gamma = \text{id}_f \star \alpha.$$

#### EXAMPLE 18.1.2.2 ► EXAMPLES OF FULL MONOMORPHISMS

013Z

Here are some examples of full monomorphisms.

0140

1. *Full Monomorphisms in Cats<sub>2</sub>*.

0141

2. *Full Monomorphisms in Rel*.

0142

3. *Full Monomorphisms in Span*.

### 18.1.3 Fully Faithful Monomorphisms

Let  $C$  be a bicategory.

#### DEFINITION 18.1.3.1 ► FULLY FAITHFUL MONOMORPHISMS

0144

A 1-morphism  $f: A \rightarrow B$  is a **fully faithful monomorphism** in  $C$  if the following equivalent conditions are satisfied:

0145

1. The 1-morphism  $f$  is fully and faithful.

0146

2. For each  $X \in \text{Obj}(C)$ , the functor

$$f_*: \text{Hom}_C(X, A) \rightarrow \text{Hom}_C(X, B)$$

given by postcomposition by  $f$  is fully faithful.

- 0147 3. The conditions in Item 1 of Definition 18.1.1.1 and Item 1 of Definition 18.1.2.1 hold.

#### EXAMPLE 18.1.3.2 ► EXAMPLES OF FULLY FAITHFUL MONOMORPHISMS

- 0148 Here are some examples of fully faithful monomorphisms.
- 0149 1. *Fully Faithful Monomorphisms in Cats<sub>2</sub>*.
- 014A 2. *Fully Faithful Monomorphisms in Rel*.
- 014B 3. *Fully Faithful Monomorphisms in Span*.

#### 18.1.4 Strict Monomorphisms

Let  $C$  be a bicategory.

#### DEFINITION 18.1.4.1 ► STRICT MONOMORPHISMS

- 014D A 1-morphism  $f : A \rightarrow B$  is a **strict monomorphism** in  $C$  if the following equivalent conditions are satisfied:
- 014E 1. For each  $X \in \text{Obj}(C)$ , the action on objects
- $$f_* : \text{Obj}(\text{Hom}_C(X, A)) \rightarrow \text{Obj}(\text{Hom}_C(X, B))$$
- of the functor
- $$f_* : \text{Hom}_C(X, A) \rightarrow \text{Hom}_C(X, B)$$
- given by postcomposition by  $f$  is injective.
- 014F 2. For each diagram in  $C$  of the form
- $$X \xrightarrow[\psi]{\phi} A \xrightarrow{f} B,$$
- if  $f \circ \phi = f \circ \psi$ , then  $\phi = \psi$ .

#### EXAMPLE 18.1.4.2 ► EXAMPLES OF STRICT MONOMORPHISMS

- 014G Here are some examples of strict monomorphisms.

- 014H** 1. *Strict Monomorphisms in Cats<sub>2</sub>.*
- 014J** 2. *Strict Monomorphisms in Rel.*
- 014K** 3. *Strict Monomorphisms in Span.*

## 18.2 Epimorphisms in Bicategories

### 18.2.1 Faithful Epimorphisms

Let  $C$  be a bicategory.

#### DEFINITION 18.2.1.1 ► FAITHFUL EPIMORPHISMS

**014N** A 1-morphism  $f: A \rightarrow B$  is a **faithful epimorphism** in  $C$  if the following equivalent conditions are satisfied:

- 014P** 1. For each  $X \in \text{Obj}(C)$ , the functor

$$f^*: \text{Hom}_C(B, X) \rightarrow \text{Hom}_C(A, X)$$

given by precomposition by  $f$  is faithful.

- 014Q** 2. Given a diagram in  $C$  of the form

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ & \alpha \Downarrow \beta & \nearrow \psi \\ & \phi & X \end{array}$$

if we have  $\alpha \star \text{id}_f = \beta \star \text{id}_f$ , then  $\alpha = \beta$ .

#### EXAMPLE 18.2.1.2 ► EXAMPLES OF FAITHFUL EPIMORPHISMS

**014R** Here are some examples of faithful epimorphisms.

- 014S** 1. *Full Epimorphisms in Cats<sub>2</sub>.*
- 014T** 2. *Full Epimorphisms in Rel.*
- 014U** 3. *Full Epimorphisms in Span.*

### 18.2.2 Full Epimorphisms

Let  $C$  be a bicategory.

**DEFINITION 18.2.2.1 ► FULL EPIMORPHISMS**

**014W** A 1-morphism  $f: A \rightarrow B$  is a **full epimorphism in  $C$**  if the following equivalent conditions are satisfied:

**014X** 1. For each  $X \in \text{Obj}(C)$ , the functor

$$f^*: \text{Hom}_C(B, X) \rightarrow \text{Hom}_C(A, X)$$

given by precomposition by  $f$  is full.

**015F** 2. For each  $X \in \text{Obj}(C)$  and each 2-morphism

$$\gamma: \phi \circ f \Rightarrow \psi \circ f, \quad X \xrightarrow[\psi \circ f]{\gamma \Downarrow} B$$

of  $C$ , there exists a 2-morphism  $\alpha: \phi \Rightarrow \psi$  of  $C$  such that we have an equality

$$A \xrightarrow{f} B \xrightarrow[\psi]{\alpha \Downarrow} X = A \xrightarrow[\psi \circ f]{\phi \Downarrow} X$$

of pasting diagrams in  $C$ , i.e. such that we have

$$\gamma = \alpha \star \text{id}_f.$$

**EXAMPLE 18.2.2.2 ► EXAMPLES OF FULL EPIMORPHISMS**

**014Z** Here are some examples of full epimorphisms.

**0150** 1. *Full Epimorphisms in  $\text{Cats}_2$ .*

**0151** 2. *Full Epimorphisms in  $\text{Rel}$ .*

**0152** 3. *Full Epimorphisms in  $\text{Span}$ .*

**18.2.3 Fully Faithful Epimorphisms**

Let  $C$  be a bicategory.

**DEFINITION 18.2.3.1 ► FULLY FAITHFUL EPIMORPHISMS**

**0154** A 1-morphism  $f: A \rightarrow B$  is a **fully faithful epimorphism** in  $C$  if the following equivalent conditions are satisfied:

**0155** 1. The 1-morphism  $f$  is fully and faithful.

**0156** 2. For each  $X \in \text{Obj}(C)$ , the functor

$$f^*: \text{Hom}_C(B, X) \rightarrow \text{Hom}_C(A, X)$$

given by precomposition by  $f$  is fully faithful.

**0157** 3. The conditions in Item 1 of Definition 18.2.1.1 and Item 1 of Definition 18.2.2.1 hold.

**EXAMPLE 18.2.3.2 ► EXAMPLES OF FULLY FAITHFUL EPIMORPHISMS**

**0158** Here are some examples of fully faithful epimorphisms.

**0159** 1. *Fully Faithful Epimorphisms in Cats<sub>2</sub>.*

**015A** 2. *Fully Faithful Epimorphisms in Rel.*

**015B** 3. *Fully Faithful Epimorphisms in Span.*

**18.2.4 Strict Epimorphisms**

Let  $C$  be a bicategory.

**DEFINITION 18.2.4.1 ► STRICT EPIMORPHISMS**

**015D** A 1-morphism  $f: A \rightarrow B$  is a **strict epimorphism** in  $C$  if the following equivalent conditions are satisfied:

**015E** 1. For each  $X \in \text{Obj}(C)$ , the action on objects

$$f^*: \text{Obj}(\text{Hom}_C(B, X)) \rightarrow \text{Obj}(\text{Hom}_C(A, X))$$

of the functor

$$f^*: \text{Hom}_C(B, X) \rightarrow \text{Hom}_C(A, X)$$

given by precomposition by  $f$  is injective.

015F

2. For each diagram in  $C$  of the form

$$A \xrightarrow{f} B \xrightarrow[\psi]{\phi} X,$$

if  $\phi \circ f = \psi \circ f$ , then  $\phi = \psi$ .

#### EXAMPLE 18.2.4.2 ► EXAMPLES OF STRICT EPIMORPHISMS

015G

Here are some examples of strict epimorphisms.

015H

1. *Strict Epimorphisms in  $\text{Cats}_2$* .

015J

2. *Strict Epimorphisms in  $\text{Rel}$* .

015K

3. *Strict Epimorphisms in  $\text{Span}$* .

## 18.3 bicategories of spans

#### PROPOSITION 18.3.0.1 ► PROPERTIES OF THE CATEGORY OF SPANS BETWEEN TWO OBJECTS

015L

Let  $A$  and  $B$  be objects of  $C$ .

015M

1. *As a Pullback*. We have an isomorphism of categories

$$\begin{array}{ccc} \text{Span}(A, B) & \longrightarrow & C_{/B} \\ \downarrow \dashv & & \downarrow \dashv \\ \text{Span}_C(A, B) & \cong & C_{/A} \times_C C_{/B}, \\ & & \downarrow \dashv \\ & & C_{/A} \xrightarrow{\dashv} C. \end{array}$$

#### PROOF 18.3.0.2 ► PROOF OF PROPOSITION 18.3.0.1

##### Item 1: As a Pullback

In detail, the pullback  $C_{/A} \times_C C_{/B}$  is the category where

- *Objects*. The objects of  $C_{/A} \times_C C_{/B}$  consist of pairs  $((S, f), (S', g))$  of objects of  $C$  consisting of
  - A pair  $(S, f)$  in  $\text{Obj}(C_{/A})$  consisting of an object  $S$  of  $C$  and a morphism  $f: S \rightarrow A$  of  $C$ ;

- A pair  $(S', g)$  in  $\text{Obj}(C_{/B})$  consisting of an object  $S'$  of  $C$  and a morphism  $g: S \rightarrow B$  of  $C$ ;

such that

$$\underbrace{\mathfrak{F}(S, f)}_{\stackrel{\text{def}}{=} S} = \underbrace{\mathfrak{F}(S', g)}_{\stackrel{\text{def}}{=} S'}$$

Thus the objects of  $C_{/A} \times_C C_{/B}$  are the same as spans in  $C$  from  $A$  to  $B$ .

- *Morphisms.* A morphism of  $C_{/A} \times_C C_{/B}$  from  $(S, f, g)$  to  $(S', f', g')$  consists of a pair of morphisms

$$\begin{aligned}\phi: S &\rightarrow S' \\ \psi: S &\rightarrow S'\end{aligned}$$

such that the diagrams

$$\begin{array}{ccc} S & \xrightarrow{\phi} & S' \\ f \searrow & & \swarrow f' \\ A & & \end{array} \quad \begin{array}{ccc} S & \xrightarrow{\psi} & S' \\ g \searrow & & \swarrow g' \\ B & & \end{array}$$

such that

$$\underbrace{\mathfrak{F}(\phi)}_{\stackrel{\text{def}}{=} \phi} = \underbrace{\mathfrak{F}(\psi)}_{\stackrel{\text{def}}{=} \psi}$$

Thus the morphisms of  $C_{/A} \times_C C_{/B}$  are also the same as morphisms of spans in  $C$  from  $(S, f, g)$  to  $(S', f', g')$ .

- *Identities and Composition.* The identities and composition of  $C_{/A} \times_C C_{/B}$  are also the same as those in  $\text{Span}_C(A, B)$ .

This finishes the proof. ■

## Appendices

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2. Constructions With Sets
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**Schemes**

45. Schemes

# Chapter 19

## Internal Adjunctions

015N Create tags:

1. <https://www.google.com/search?q=mate+of+an+adjunction>
2. Moreover, by uniqueness of adjoints (Item 2 of Proposition 19.1.2.4), this implies also that  $S = f^{-1}$ .
3. define bicategory  $\text{Adj}(C)$
4. walking monad
5. proposition: 2-functors preserve unitors and associators
6. <https://ncatlab.org/nlab/show/2-category+of+adjunctions. Is there a 3-category too?>
7. <https://ncatlab.org/nlab/show/free+monad>
8. <https://ncatlab.org/nlab/show/CatAdj>
9. <https://ncatlab.org/nlab/show/Adj>
10.  $\text{Adj}(\text{Adj}(C))$

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## 19.1 Internal Adjunctions

### 19.1.1 The Walking Adjunction

**DEFINITION 19.1.1.1 ► THE WALKING ADJUNCTION**

015R

The **walking adjunction** is the bicategory  $\text{Adj}$  freely generated by<sup>1</sup>

- *Objects.* A pair of objects  $A$  and  $B$ ;
- *Morphisms.* A pair of morphisms

$$\begin{aligned} L: A &\rightarrow B, \\ R: B &\rightarrow A; \end{aligned}$$

- *2-Morphisms.* A pair of 2-morphisms

$$\begin{aligned} \eta: \text{id}_A &\rightarrow R \circ L, \\ \epsilon: L \circ R &\rightarrow \text{id}_B; \end{aligned}$$

subject to the equalities

$$\begin{array}{ccc}
 \begin{array}{c} B \\ \swarrow L \quad \nearrow R \\ \parallel \quad \parallel \\ A \xrightarrow{\text{id}_A} A \end{array} & = & \begin{array}{c} B \\ \nearrow L \\ \parallel \quad \parallel \\ A \xrightarrow{\text{id}_A} A \end{array} \\
 \begin{array}{c} A \\ \nearrow R \quad \swarrow L \\ \parallel \quad \parallel \\ B \xrightarrow{\text{id}_B} B \end{array} & = & \begin{array}{c} A \\ \swarrow R \\ \parallel \quad \parallel \\ B \xrightarrow{\text{id}_B} B \end{array}
 \end{array}$$

of pasting diagrams, which are equivalent to the following conditions:

1. *The Left Triangle Identity.* The diagram

$$\begin{array}{ccccc}
 L \circ \text{id}_A & \xrightarrow{\text{id}_L \star \eta} & L \circ (R \circ L) & \xrightarrow{(\alpha_{L,R,L}^{\text{Adj}})^{-1}} & (L \circ R) \circ L \\
 & \searrow & & & \downarrow \epsilon \star \text{id}_L \\
 & & & & \text{id}_B \circ L \\
 & & \rho_L^{\text{Adj}} & & \downarrow \lambda_L^{\text{Adj}} \\
 & & & & L
 \end{array}$$

commutes.

2. *The Right Triangle Identity.* The diagram

$$\begin{array}{ccccc}
 \text{id}_A \circ R & \xrightarrow{\eta \star \text{id}_R} & (R \circ L) \circ R & \xrightarrow{\alpha_{R,L,R}^{\text{Adj}}} & R \circ (L \circ R) \\
 & \searrow & & & \downarrow \text{id}_R \star \epsilon \\
 & & \lambda_R^{\text{Adj}} & & \downarrow \rho_R^{\text{Adj}} \\
 & & & & R
 \end{array}$$

---

<sup>1</sup>See [SS86] for an explicit description of the 2-category (as opposed to a bicategory) version of Adj in terms of finite ordinals, similar to the description of the 2-category version of the walking monad (?) as a subcategory of  $\Delta$ .

### 19.1.2 Internal Adjunctions

Let  $C$  be a bicategory.

#### DEFINITION 19.1.2.1 ► INTERNAL ADJUNCTIONS

015T

An **internal adjunction** in  $C$ <sup>1,2</sup> is a 2-functor  $\text{Adj} \rightarrow C$ .

<sup>1</sup>Further Terminology: Also called an **adjunction internal to  $C$** .

<sup>2</sup>Further Terminology: In this situation, we also call  $(g, f)$  an **adjoint pair**,  $f$  the **left adjoint** of the pair,  $g$  the **right adjoint** of the pair,  $\eta$  the **unit** of the adjunction, and  $\epsilon$  the **counit** of the adjunction.

#### REMARK 19.1.2.2 ► UNWINDING DEFINITION 19.1.2.1

015U

In detail, an **internal adjunction** in  $C$  consists of

- *Objects*. A pair of objects  $A$  and  $B$  of  $C$ ;
- *Morphisms*. A pair of morphisms

$$L: A \rightarrow B,$$

$$R: B \rightarrow A$$

of  $C$ ;

- *2-Morphisms*. A pair of 2-morphisms

$$\eta: \text{id}_A \rightarrow R \circ L,$$

$$\epsilon: L \circ R \rightarrow \text{id}_B$$

of  $C$ ;

subject to the equalities

$$\begin{array}{ccc}
 \begin{array}{c}
 \begin{array}{ccc}
 B & \xrightarrow{\quad \text{id}_B \quad} & B \\
 \uparrow \eta \quad \quad \quad \uparrow \epsilon & \parallel & \uparrow L \\
 L \nearrow \quad \quad \quad R \searrow & & \\
 A & \xrightarrow{\quad \text{id}_A \quad} & A
 \end{array}
 \end{array}
 & = &
 \begin{array}{c}
 \begin{array}{ccc}
 B & \xrightarrow{\quad \text{id}_B \quad} & B \\
 \uparrow L \quad \quad \quad \uparrow \text{id}_L & \parallel & \uparrow R \\
 & & \\
 A & \xrightarrow{\quad \text{id}_A \quad} & A
 \end{array}
 \end{array}
 \\
 \begin{array}{c}
 \begin{array}{ccc}
 A & \xrightarrow{\quad \text{id}_A \quad} & A \\
 \uparrow \epsilon \quad \quad \quad \downarrow \eta & \parallel & \uparrow R \\
 R \nearrow \quad \quad \quad L \searrow & & \\
 B & \xrightarrow{\quad \text{id}_B \quad} & B
 \end{array}
 \end{array}
 & = &
 \begin{array}{c}
 \begin{array}{ccc}
 A & \xrightarrow{\quad \text{id}_A \quad} & A \\
 \uparrow R \quad \quad \quad \downarrow \text{id}_R & \parallel & \uparrow R \\
 & & \\
 B & \xrightarrow{\quad \text{id}_B \quad} & B
 \end{array}
 \end{array}
 \end{array}$$

of pasting diagrams in  $C$ , which are equivalent to the following conditions:<sup>1</sup>

1. *The Left Triangle Identity.* The diagram

$$\begin{array}{ccccc}
 L \circ \text{id}_A & \xrightarrow{\text{id}_L \star \eta} & L \circ (R \circ L) & \xrightarrow{(\alpha_{L,R,L}^C)^{-1}} & (L \circ R) \circ L \\
 & \searrow \rho_L^C & & & \downarrow \epsilon \star \text{id}_L \\
 & & & & \text{id}_B \circ L \\
 & & & & \downarrow \lambda_L^C \\
 & & & & L
 \end{array}$$

commutes.

2. *The Right Triangle Identity.* The diagram

$$\begin{array}{ccccc}
 \text{id}_A \circ R & \xrightarrow{\eta \star \text{id}_R} & (R \circ L) \circ R & \xrightarrow{\alpha_{R,L,R}^C} & R \circ (L \circ R) \\
 & \searrow \lambda_R^C & & & \downarrow \text{id}_R \star \epsilon \\
 & & & & R \circ \text{id}_B \\
 & & & & \downarrow \rho_R^C \\
 & & & & R.
 \end{array}$$

<sup>1</sup>When  $C$  is a 2-category, these diagrams take the following form:

$$\begin{array}{ccc}
 L & \xrightarrow{\text{id}_L \star \eta} & L \circ R \circ L \\
 & \searrow \text{id}_L & \downarrow \epsilon \star \text{id}_L \\
 & & L
 \end{array}
 \quad
 \begin{array}{ccc}
 R & \xrightarrow{\text{id}_R \star \eta} & R \circ L \circ R \\
 & \searrow \text{id}_L & \downarrow \epsilon \star \text{id}_R \\
 & & R.
 \end{array}$$

### EXAMPLE 19.1.2.3 ▶ EXAMPLES OF INTERNAL ADJUNCTIONS

015V

Here are some examples of internal adjunctions.

015W

1. *Internal Adjunctions in  $\text{Cats}_2$ .* The internal adjunctions in the 2-category  $\text{Cats}_2$  of categories, functors, and natural transformations are precisely the adjunctions of ??.

015X

2. *Internal Adjunctions in  $\text{Rel}$ .* The internal adjunctions in  $\text{Rel}$  are precisely the

relations of the form  $\text{Gr}(f) \dashv f^{-1}$  with  $f$  a function; see ?? of ??.

- 015Y 3. *Internal Adjunctions in Span*. The internal adjunctions in Span are precisely the spans of the form

$$\begin{array}{ccc} & S & \\ \phi \swarrow & & \searrow g \\ A & & B \end{array}$$

with  $\phi$  an isomorphism; see ?? of ??.

#### PROPOSITION 19.1.2.4 ► PROPERTIES OF INTERNAL ADJUNCTIONS

- 015Z Let  $C$  be a bicategory.

- 0160 1. *Duality*. Let  $(f, g, \eta, \epsilon)$  be an internal adjunction in  $C$ .

- (a) The quadruple  $(g, f, \eta, \epsilon)$  is an internal adjunction in  $C^{\text{op}}$ .
- (b) The quadruple  $(g, f, \epsilon, \eta)$  is an internal adjunction in  $C^{\text{co}}$ .
- (c) The quadruple  $(f, g, \eta, \epsilon)$  is an internal adjunction in  $C^{\text{coop}}$ .

- 0161 2. *Uniqueness of Adjoints*. Let  $(f, g, \eta, \epsilon)$  and  $(f', g', \eta', \epsilon')$  be internal adjunctions in  $C$ . We have a canonical isomorphism<sup>1</sup>

$$g \xrightarrow{(\lambda_g^C)^{-1}} \text{id}_A \circ g \xrightarrow{\eta' \star \text{id}_g} (g' \circ f) \circ g \xrightarrow{\alpha_{g', f, g}^C} g' \circ (f \circ g) \xrightarrow{\text{id}_{g'} \star \epsilon} g' \circ \text{id}_B \xrightarrow{(\rho_g^C)^{-1}} g'$$

with inverse

$$g' \xrightarrow{(\lambda_{g'}^C)^{-1}} \text{id}_B \circ g' \xrightarrow{\eta \star \text{id}_{g'}} (g \circ f) \circ g' \xrightarrow{\alpha_{g', f, g}^C} g \circ (f \circ g') \xrightarrow{\text{id}_g \star \epsilon'} g \circ \text{id}_B \xrightarrow{(\lambda_g^C)^{-1}} g.$$

- 0162 3. *Carrying Internal Adjunctions Through Pseudofunctors*. Let  $F: C \longrightarrow \mathcal{D}$  be a pseudofunctor and  $(f, g, \eta, \epsilon)$  be an internal adjunction in  $C$ . There is an induced internal adjunction<sup>2</sup>

$$(F(f), F(g), \bar{\eta}, \bar{\epsilon})$$

in  $\mathcal{D}$ , where:

- (a) The unit

$$\bar{\eta}: \text{id}_{F(A)} \Longrightarrow F(g) \circ F(f)$$

is the composition

$$\text{id}_{F(A)} \xrightarrow{F_A} F(\text{id}_A) \xrightarrow{F(\eta)} F(g \circ f) \xrightarrow{F_{g,f}^{-1}} F(g) \circ F(f).$$

## (b) The counit

$$\bar{\epsilon}: F(f) \circ F(g) \xrightarrow{\cong} \text{id}_{F(B)}$$

is the composition

$$F(f) \circ F(g) \xrightarrow{F_{f,g}} F(f \circ g) \xrightarrow{F(\epsilon)} F(\text{id}_B) \xrightarrow{F_B} \text{id}_{F(B)}.$$

<sup>1</sup> *Slogan:* Left adjoints are unique up to canonical isomorphism. Dually, so are right adjoints.

<sup>2</sup> *Warning:* Lax or oplax functors which are not pseudofunctors need not preserve internal adjunctions.

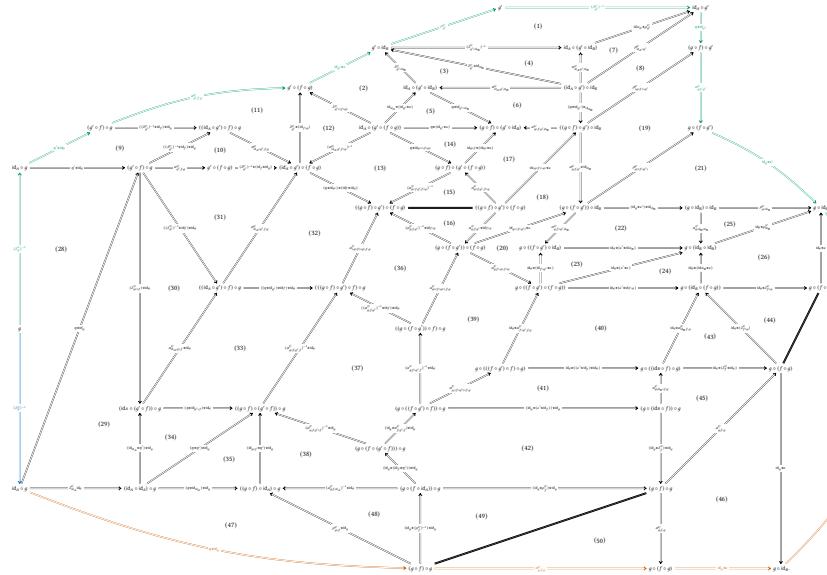
## PROOF 19.1.2.5 ► PROOF OF PROPOSITION 19.1.2.4

## Item 1: Duality

Omitted.<sup>1</sup>

## Item 2: Uniqueness of Adjoints

<sup>2</sup> Consider the diagram (if you *really* want to consider it I fear you will need to zoom in)



In this diagram:

1. The morphisms in green are the composition  $g \xrightarrow{\cong} g' \xrightarrow{\cong} g$ ;
2. The morphisms in red are equal to  $\lambda_g^C$  by the right triangle identity for

$(f, g, \eta, \epsilon)$ . Hence the composition of the morphism in blue with the morphisms in red is the identity;

3. Subdiagrams (1), (2), (10), (11), (29), (31), and (43) commute by the naturality of the left unit of  $C$  and its inverse;
4. Subdiagrams (8), (19), and (21) commute by the naturality of the right unit of  $C$  and its inverse;
5. Subdiagrams (6), (13), (17), (18), (20), (22), (32), (33), (36), (38), (40), (41), and (45) commute by the naturality of the associator of  $C$  and its inverse;
6. Subdiagrams (37), (39), and (42) commute by the pentagon identity for  $C$ ;
7. Subdiagrams (3), (4), (7), (12), (25), (30), and (48) commute by ?? of ??;
8. Subdiagrams (5), (14), (23), (24), (34), and (35) commute by middle-four exchange;
9. Subdiagrams (9), (15), (16), (27), (28), (44), (46), (49), and (50) commute trivially;
10. Subdiagram (26) commutes by ???? of ??;
11. Subdiagram (47) commutes by ?? of ?? and the naturality of the left unit or right unit of  $C$ .

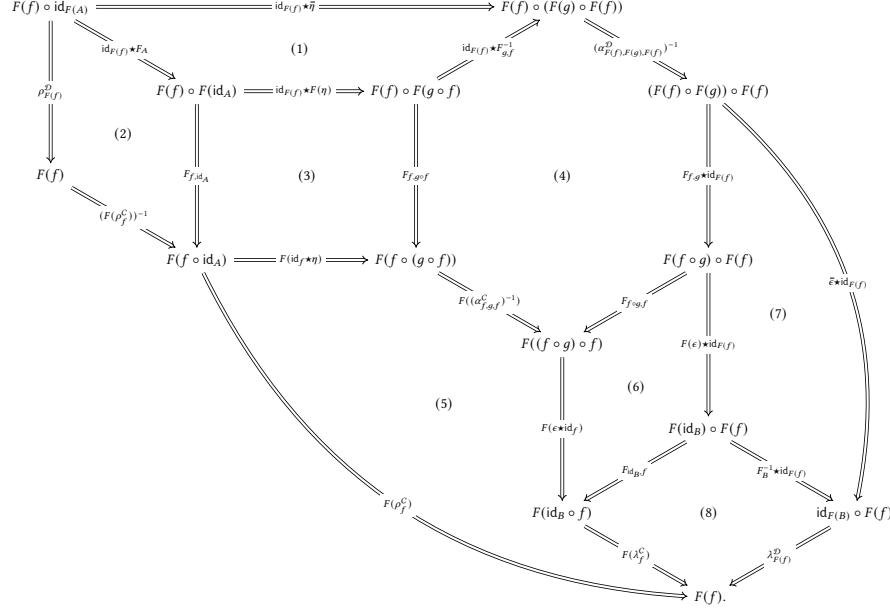
Hence  $g \cong g'$ .

Item 3: Carrying Internal Adjunctions Through Pseudofunctors

<sup>3</sup>We claim that the left and right triangle identities for  $(F(f), F(g), \bar{\eta}, \bar{\epsilon})$  hold:

1. The left triangle identity for  $(F(f), F(g), \bar{\eta}, \bar{\epsilon})$  is the condition that the

boundary diagram of the diagram (you may need to zoom in)



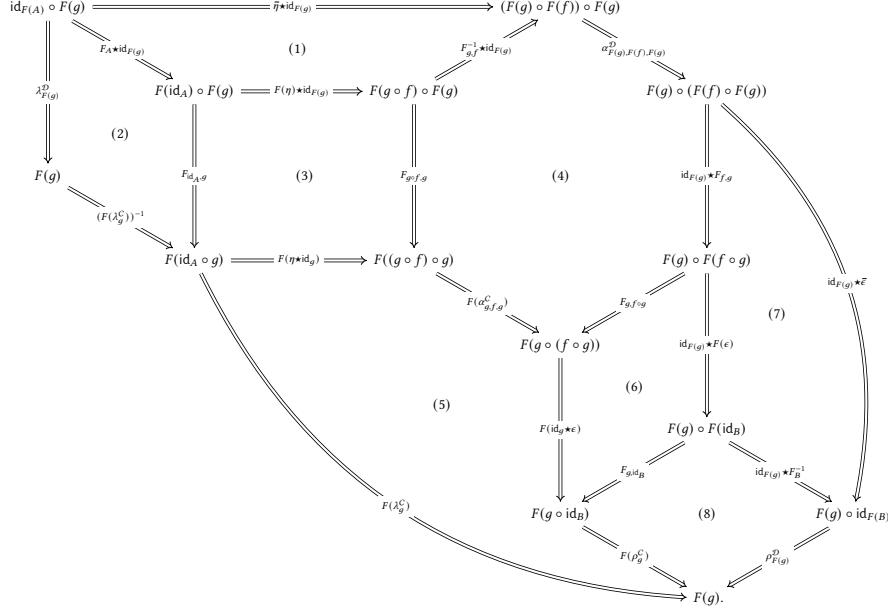
commutes. Since

- Subdiagrams (1) and (7) commute by applying middle-four exchange twice,
- Subdiagrams (2) and (8) commute by the left and right lax unity conditions for  $F$ ,
- Subdiagrams (3) and (6) commute by the naturality of the lax functoriality constraints of  $F$ ,
- Subdiagram (4) commutes by the lax associativity condition for  $F$ , and
- Subdiagram (5) commutes by the left triangle identity for  $(f, g, \eta, \epsilon)$ ,

so does the boundary diagram.

- The right triangle identity for  $(F(f), F(g), \bar{\eta}, \bar{\epsilon})$  is the condition that the

boundary diagram of the diagram (you may need to zoom in)



commutes. Since

- (a) Subdiagrams (1) and (7) commute by applying middle-four exchange twice,
  - (b) Subdiagrams (2) and (8) commute by the left and right lax unity conditions for  $F$ ,
  - (c) Subdiagrams (3) and (6) commute by the naturality of the lax functoriality constraints of  $F$ ,
  - (d) Subdiagram (4) commutes by the lax associativity condition for  $F$ , and
  - (e) Subdiagram (5) commutes by the right triangle identity for  $(f, g, \eta, \epsilon)$ ,
- so does the boundary diagram.

This finishes the proof. □

<sup>1</sup>Reference: [JY21, Exercise 6.6.2].

<sup>2</sup>Reference: [JY21, Lemma 6.1.6].

<sup>3</sup>Reference: [JY21, Proposition 6.1.7].

### 19.1.3 Internal Adjoint Equivalences

Let  $C$  be a bicategory.

**DEFINITION 19.1.3.1 ► INTERNAL ADJOINT EQUIVALENCES**

**0164** An internal adjunction  $(f, g, \eta, \epsilon)$  in  $C$  is an **internal adjoint equivalence** if  $\eta$  and  $\epsilon$  are isomorphisms in  $C$ .

**EXAMPLE 19.1.3.2 ► EXAMPLES OF INTERNAL ADJOINT EQUIVALENCES**

**0165** Here are some examples of internal adjoint equivalences.

**0166** 1. *Internal Adjoint Equivalences in Cats<sub>2</sub>*. The internal adjoint equivalences in the 2-category Cats<sub>2</sub> of categories, functors, and natural transformations are precisely the adjoint equivalences of [??<sup>1</sup>](#).

**0167** 2. *Internal Adjoint Equivalences in Mod*. The internal adjoint equivalences in Mod are precisely the invertible  $R$ -modules; see [??<sup>2</sup>](#).

**0168** 3. *Internal Adjoint Equivalences in PseudoFun(C, D)*. The internal adjoint equivalences in PseudoFun( $C, D$ ) are precisely the invertible strong transformations; see [??<sup>3</sup>](#).

**0169** 4. *Internal Adjoint Equivalences in Rel*. The internal adjoint equivalences in Rel are precisely the relations of the form  $\text{Gr}(f) \dashv f^{-1}$  with  $f$  an isomorphism; see [??](#).

**016A** 5. *Internal Adjoint Equivalences in Span*. The internal adjoint equivalences in Span are precisely the spans of the form  $A \xleftarrow{\phi} S \xrightarrow{\psi} B$  with  $\phi$  and  $\psi$  isomorphisms; see [??](#).

<sup>1</sup>Reference: [IV.21](#); Examples [6.2.5](#).  
<sup>2</sup>Reference: [IV.21](#); Examples [6.2.7](#).

**PROPOSITION 19.1.3.3 ► PROPERTIES OF INTERNAL ADJOINT EQUIVALENCES**

**016B** Let  $C$  be a bicategory.

**016C** 1. *Carrying Internal Adjoint Equivalences Through Pseudofunctors*. Let  $F: C \longrightarrow \mathcal{D}$  be a pseudofunctor and  $(f, g, \eta, \epsilon)$  be an internal adjunction in  $C$ . If  $(f, g, \eta, \epsilon)$  is an internal adjoint equivalence in  $C$ , then the induced internal adjunction

$$(F(f), F(g), \bar{\eta}, \bar{\epsilon})$$

in  $\mathcal{D}$  of Item 3 of [Proposition 19.1.2.4](#) is an internal adjoint equivalence as well.

**016D** 2. *Internal Adjunctions Always Refine to Internal Adjoint Equivalences*. Let

$(f, g, \eta, \epsilon)$  be an internal adjunction in  $C$ . If  $f$  is an equivalence, then there exist 2-morphisms

$$\begin{aligned}\bar{\eta}: \text{id}_A &\Longrightarrow g \circ f \\ \bar{\epsilon}: f \circ g &\Longrightarrow \text{id}_B\end{aligned}$$

of  $C$  such that  $(f, g, \bar{\eta}, \bar{\epsilon})$  is an internal adjoint equivalence.

#### PROOF 19.1.3.4 ► PROOF OF PROPOSITION 19.1.3.3

Item 1: Carrying Internal Adjoint Equivalences Through Pseudofunctors

See [JY21, Proposition 6.2.3].

Item 2: Internal Adjunctions Always Refine to Internal Adjoint Equivalences

See [JY21, Proposition 6.2.4].



#### 19.1.4 Mates

Let  $C$  be a bicategory, let  $(f, g, \eta, \epsilon)$  and  $(f', g', \eta', \epsilon')$  be adjunctions, and let  $h$  and  $k$  be morphisms of  $C$  as in the diagram

$$\begin{array}{ccc} & f & \\ A & \begin{array}{c} \swarrow \perp \searrow \\ g \end{array} & B \\ h \downarrow & & \downarrow k \\ C & \begin{array}{c} \swarrow \perp \searrow \\ g' \end{array} & D. \end{array}$$

#### DEFINITION 19.1.4.1 ► MATES

016F

The **mates** of a pair of 2-morphisms

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ h \downarrow & \nearrow \omega & \downarrow k \\ C & \xrightarrow{f'} & D \end{array}$$

$$\begin{aligned}\omega: f' \circ h &\Longrightarrow k \circ f, \\ v: h \circ g &\Longrightarrow g' \circ k\end{aligned}$$

$$\begin{array}{ccc} A & \xleftarrow{g} & B \\ h \downarrow & \nearrow v & \downarrow k \\ C & \xleftarrow{g'} & D \end{array}$$

are the 2-morphisms

$$\begin{array}{ccc}
 \begin{array}{ccc}
 A & \xleftarrow{g} & B \\
 h \downarrow & \Downarrow \omega^\dagger & \downarrow k \\
 C & \xleftarrow{g'} & D
 \end{array} & \omega^\dagger: h \circ g \Rightarrow g' \circ k, & \begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 h \downarrow & \Downarrow v^\dagger & \downarrow k \\
 C & \xrightarrow{f'} & D
 \end{array} \\
 v^\dagger: f' \circ h \Rightarrow k \circ f
 \end{array}$$

defined as the pastings of the diagrams<sup>1</sup>

<sup>1</sup>If  $C$  is a 2-category, these pasting diagrams become the following:

$$\begin{array}{ccc}
 \begin{array}{ccc}
 B & \xrightarrow{g} & A \\
 k \downarrow & \Downarrow \omega^\dagger & \downarrow h \\
 D & \xrightarrow{g'} & C
 \end{array} & = & \begin{array}{ccccc}
 B & \xrightarrow{g} & A & \xrightarrow{h} & C \\
 \downarrow \text{id}_B & \Downarrow \epsilon & \downarrow f & \Downarrow \omega & \downarrow \text{id}_C \\
 B & \xrightarrow{k} & D & \xrightarrow{f'} & C
 \end{array} \\
 \begin{array}{ccc}
 C & \xrightarrow{f'} & D \\
 h \uparrow & \Downarrow v^\dagger & \uparrow k \\
 A & \xrightarrow{f} & B
 \end{array} & = & \begin{array}{ccccc}
 A & \xrightarrow{h} & C & \xrightarrow{f'} & D \\
 \downarrow \text{id}_A & \Downarrow \eta & \downarrow g & \Downarrow \text{id}_D & \downarrow k \\
 A & \xrightarrow{f} & B & \xrightarrow{k} & D
 \end{array}
 \end{array}$$

### PROPOSITION 19.1.4.2 ► PROPERTIES OF MATES

016G Let  $\omega: f' \circ h \Rightarrow k \circ f$  and  $v: h \circ g \Rightarrow g' \circ k$  be 2-morphisms.

016H

1. *The Mate Correspondence.* The map

$$(-)^\dagger: \text{Hom}_{\text{Hom}_C(A,C)}(f' \circ h, k \circ f) \longrightarrow \text{Hom}_{\text{Hom}_C(B,D)}(h \circ g, g' \circ k)$$

$$\omega \longmapsto \omega^\dagger$$

is a bijection.

### PROOF 19.1.4.3 ▶ PROOF OF PROPOSITION 19.1.4.2

#### Item 1: The Mate Correspondence

Here we give a proof for 2-categories (which indirectly proves also the general case by ??). A proof for general bicategories can be found in [JY21, Lemma 6.1.13].

Let

$$\begin{array}{ccc} A & \xleftarrow{g} & B \\ h \downarrow & \swarrow v & \downarrow k \\ C & \xleftarrow{g'} & D \end{array}$$

$$v: h \circ g \Rightarrow g' \circ k$$

be a 2-morphism of  $C$ . The mate  $v^\dagger$  of  $v$  is then given by

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ h \downarrow & \swarrow v^\dagger & \downarrow k \\ C & \xrightarrow{f'} & D \end{array} = \begin{array}{ccc} A & \xleftarrow{\text{id}_A} & A \\ & \swarrow \eta & \downarrow f \\ A & \xleftarrow{g} & B \\ h \downarrow & \swarrow v & \downarrow k \\ C & \xleftarrow{g'} & D \\ f' \downarrow & \searrow e' & \downarrow \text{id}_D \\ D, & & \end{array}$$

and the mate of  $v^\dagger$  is the 2-morphism  $(v^\dagger)^\dagger : f' \circ h \Rightarrow k \circ f$  given by

$$\begin{array}{cccc}
 & \begin{array}{c} A \xleftarrow{g} B \\ \downarrow \epsilon \\ A \xleftarrow{f} B \\ \downarrow id_B \\ A \xleftarrow{g} B \\ \downarrow id_A \\ A \xleftarrow{g} B \\ \downarrow h \\ C \xleftarrow{g'} D. \end{array} & = & \begin{array}{c} A \xleftarrow{g} B \\ \downarrow id_g \\ A \xleftarrow{g} B \\ \downarrow id_B \\ A \xleftarrow{g} B \\ \downarrow id_g \\ A \xleftarrow{g} B \\ \downarrow h \\ C \xleftarrow{g'} D. \end{array} \\
 & \begin{array}{c} A \xleftarrow{g} B \\ \downarrow v \\ A \xleftarrow{g} B \\ \downarrow k \\ A \xleftarrow{g} B \\ \downarrow h \\ C \xleftarrow{g'} D. \end{array} & = & \begin{array}{c} A \xleftarrow{g} B \\ \downarrow v \\ A \xleftarrow{g} B \\ \downarrow k \\ A \xleftarrow{g} B \\ \downarrow h \\ C \xleftarrow{g'} D. \end{array} \\
 & \begin{array}{c} A \xleftarrow{g} B \\ \downarrow id_C \\ A \xleftarrow{g'} B \\ \downarrow \epsilon' \\ A \xleftarrow{g'} B \\ \downarrow id_D \\ A \xleftarrow{g'} B \\ \downarrow id_{g'} \\ A \xleftarrow{g'} B \\ \downarrow h \\ C \xleftarrow{g'} D. \end{array} & = & \begin{array}{c} A \xleftarrow{g} B \\ \downarrow id_{g'} \\ A \xleftarrow{g'} B \\ \downarrow id_D \\ A \xleftarrow{g'} B \\ \downarrow id_{g'} \\ A \xleftarrow{g'} B \\ \downarrow h \\ C \xleftarrow{g'} D. \end{array}
 \end{array}$$

Similarly,  $(\omega)^\dagger = \omega$ . ■

## 19.2 Morphisms of Internal Adjunctions

### 19.2.1 Lax Morphisms of Internal Adjunctions

Let  $C$  be a bicategory and let  $(A, B, F, G, \eta, \epsilon)$  and  $(A', B', F', G', \eta', \epsilon')$  be internal adjunctions in  $C$ .

#### DEFINITION 19.2.1.1 ► LAX MORPHISMS OF INTERNAL ADJUNCTIONS

016L

A **lax morphism of internal adjunctions** from  $(A, B, F, G, \eta, \epsilon)$  to  $(A', B', F', G', \eta', \epsilon')$  is a lax transformation between these viewed as 2-functors from the walking adjunction.

#### REMARK 19.2.1.2 ► UNWINDING DEFINITION 19.2.1.1

016M

In detail, a **lax morphism of internal adjunctions** from  $(A, B, F, G, \eta, \epsilon)$  to  $(A', B', F', G', \eta', \epsilon')$  consists of

- **1-Morphisms.** A pair of 1-morphisms

$$\begin{aligned}
 \phi: A &\rightarrow A', \\
 \psi: B &\rightarrow B'
 \end{aligned}$$

of  $C$ ;

- **2-Morphisms.** A pair of 2-morphisms

$$\begin{array}{ccc} A & \xrightarrow{F} & B \\ \phi \downarrow & \nearrow \alpha & \downarrow \psi \\ A' & \xrightarrow[F']{} & B' \end{array} \quad \begin{aligned} \alpha: F' \circ \phi &\Rightarrow \psi \circ F, \\ \beta: G' \circ \phi &\Rightarrow \psi \circ G \end{aligned}$$

$$\begin{array}{ccc} A & \xleftarrow{G} & B \\ \phi \downarrow & \rightleftharpoons & \downarrow \psi \\ A' & \xleftarrow[G']{} & B'; \end{array}$$

of  $C$ ;

satisfying the following conditions:

1. *Compatibility With Units.* We have an equality

$$\begin{array}{ccc}
 \begin{array}{c} F \\ \nearrow \eta \\ A \xrightarrow{\text{id}_A} A \\ \downarrow \phi \\ A' \end{array} & = & \begin{array}{c} F \\ \nearrow \alpha \\ A \xrightarrow{\psi} B' \xleftarrow{\beta} A \\ \downarrow \phi \\ A' \end{array} \\
 \begin{array}{c} G \\ \searrow \\ B \\ \uparrow \eta' \\ \text{id}_B \\ \searrow \\ A' \\ \text{id}_{A'} \end{array} & & \begin{array}{c} G \\ \searrow \\ B \\ \uparrow \eta' \\ \text{id}_B \\ \searrow \\ A' \\ \text{id}_{A'} \end{array}
 \end{array}$$

of pasting diagrams in  $C$ ;

2. *Compatibility With Counts.* We have an equality

$$\begin{array}{ccc}
 \text{Diagram A} & = & \text{Diagram B} \\
 \begin{array}{ccccc}
 & \text{id}_B & & & \\
 & \swarrow & \uparrow \epsilon & \searrow & \\
 B & & A & & B \\
 \downarrow \psi & \nearrow G & \downarrow \phi & \nearrow F & \downarrow \psi \\
 B' & & A' & & B' \\
 \downarrow & \nearrow G' & \nearrow \alpha & \nearrow F' & \downarrow \\
 & \beta & & &
 \end{array} & = & \begin{array}{ccccc}
 & \text{id}_B & & & \\
 & \swarrow & \uparrow \rho_{\psi}^{C,-1} & \searrow & \\
 B & & A & & B \\
 \downarrow \psi & \nearrow \lambda_{\psi}^C & \downarrow \psi & \nearrow \text{id}_{B'} & \downarrow \psi \\
 B' & & A' & & B' \\
 \downarrow & \nearrow G' & \nearrow \epsilon' & \nearrow F' & \downarrow \\
 & & A' & &
 \end{array}
 \end{array}$$

of pasting diagrams in  $C$ .

### 19.2.2 Oplax Morphisms of Internal Adjunctions

Let  $C$  be a bicategory and let  $(A, B, F, G, \eta, \epsilon)$  and  $(A', B', F', G', \eta', \epsilon')$  be internal adjunctions in  $C$ .

**DEFINITION 19.2.2.1 ► OPLAX MORPHISMS OF INTERNAL ADJUNCTIONS**

016P

An **oplax morphism of internal adjunctions** from  $(A, B, F, G, \eta, \epsilon)$  to  $(A', B', F', G', \eta', \epsilon')$  is an oplax transformation between these viewed as 2-functors from the walking adjunction.

**REMARK 19.2.2.2 ► UNWINDING DEFINITION 19.2.2.1**

016Q

In detail, an **oplax morphism of internal adjunctions** from  $(A, B, F, G, \eta, \epsilon)$  to  $(A', B', F', G', \eta', \epsilon')$  consists of

- **1-Morphisms.** A pair of 1-morphisms

$$\begin{aligned}\phi: A &\rightarrow A', \\ \psi: B &\rightarrow B'\end{aligned}$$

of  $C$ ;

- **2-Morphisms.** A pair of 2-morphisms

$$\begin{array}{ccc} \begin{array}{c} A \xrightarrow{F} B \\ \phi \downarrow \quad \lrcorner \alpha \lrcorner \quad \downarrow \psi \\ A' \xrightarrow{F'} B' \end{array} & \begin{array}{c} \alpha: \psi \circ F \Rightarrow F' \circ \phi, \\ \beta: \psi \circ G \Rightarrow G' \circ \phi \end{array} & \begin{array}{c} A \xleftarrow{G} B \\ \phi \downarrow \quad \lrcorner \beta \lrcorner \quad \downarrow \psi \\ A' \xleftarrow{G'} B' \end{array} \end{array}$$

of  $C$ ;

satisfying the following conditions:

1. **Compatibility With Units.** We have an equality

$$\begin{array}{ccc} \begin{array}{c} G \nearrow A \\ \psi \downarrow \quad \lrcorner \lambda_{\phi}^{C,-1} \lrcorner \quad \lrcorner \rho_{\phi}^C \lrcorner \quad \lrcorner \text{id}_{B'} \lrcorner \\ B \xrightarrow{\text{id}_B} B \\ \downarrow \psi \quad \lrcorner \quad \lrcorner \quad \lrcorner \quad \lrcorner \\ B' \xrightarrow{\text{id}_{B'}} B' \end{array} & = & \begin{array}{c} G \nearrow A \\ \psi \downarrow \quad \lrcorner \beta \lrcorner \quad \lrcorner \phi \lrcorner \quad \lrcorner \text{id}_{A'} \lrcorner \quad \lrcorner \alpha \lrcorner \quad \lrcorner \text{id}_{B'} \lrcorner \\ B \xrightarrow{\phi} A' \\ \lrcorner \quad \lrcorner \quad \lrcorner \quad \lrcorner \quad \lrcorner \quad \lrcorner \\ A' \xrightarrow{\text{id}_{A'}} A' \\ \lrcorner \quad \lrcorner \quad \lrcorner \quad \lrcorner \quad \lrcorner \quad \lrcorner \\ B' \xrightarrow{\psi} B' \end{array} \end{array}$$

of pasting diagrams in  $C$ ;

2. *Compatibility With Counits.* We have an equality

$$\begin{array}{ccc}
 \begin{array}{c}
 \begin{array}{ccccc}
 A & \xrightarrow{\quad id_A \quad} & A \\
 \eta \Downarrow & & \Downarrow \phi \\
 F \searrow & \nearrow G & \nearrow \phi \\
 B & & A' \\
 \psi \Downarrow & \nearrow \beta & \nearrow \phi \\
 B' & \xrightarrow{\quad F' \quad} & A' \\
 \alpha \Downarrow & \nearrow \nearrow & \nearrow G' \\
 A' & & A'
 \end{array}
 \end{array}
 & = &
 \begin{array}{c}
 \begin{array}{ccccc}
 A & \xrightarrow{\quad id_A \quad} & A \\
 \phi \Downarrow & \nearrow \rho_\psi^C & \Downarrow \phi \\
 \lambda_\psi^{C,-1} \Downarrow & \nearrow \phi & \nearrow id_{A'} \\
 A' & \xleftarrow{\quad id_{A'} \quad} & A' \\
 \eta' \Downarrow & \nearrow \nearrow & \nearrow G' \\
 F' & \xrightarrow{\quad B' \quad} & A' \\
 \nearrow \nearrow & \nearrow \nearrow & \nearrow G' \\
 B' & & A'
 \end{array}
 \end{array}
 \end{array}$$

of pasting diagrams in  $C$ .

### 19.2.3 Strong Morphisms of Internal Adjunctions

Let  $C$  be a bicategory and let  $(A, B, F, G, \eta, \epsilon)$  and  $(A', B', F', G', \eta', \epsilon')$  be internal adjunctions in  $C$ .

#### DEFINITION 19.2.3.1 ► STRONG MORPHISMS OF INTERNAL ADJUNCTIONS

**016S** A **strong morphism of internal adjunctions** from  $(A, B, F, G, \eta, \epsilon)$  to  $(A', B', F', G', \eta', \epsilon')$  is a strong transformation between these viewed as 2-functors from the walking adjunction.

#### REMARK 19.2.3.2 ► UNWINDING DEFINITION 19.2.3.1

**016T** In detail, a **strong morphism of internal adjunctions** from  $(A, B, F, G, \eta, \epsilon)$  to  $(A', B', F', G', \eta', \epsilon')$  is equivalently:

1. A lax morphism of internal adjunctions as in [Remark 19.2.1.2](#) whose 2-morphisms are invertible.
2. An oplax morphism of internal adjunctions as in [Remark 19.2.2.2](#) whose 2-morphisms are invertible.

### 19.2.4 Strict Morphisms of Internal Adjunctions

Let  $C$  be a bicategory and let  $(A, B, F, G, \eta, \epsilon)$  and  $(A', B', F', G', \eta', \epsilon')$  be internal adjunctions in  $C$ .

**DEFINITION 19.2.4.1 ► STRICT MORPHISMS OF INTERNAL ADJUNCTIONS**

016V

A **strict morphism of internal adjunctions** from  $(A, B, F, G, \eta, \epsilon)$  to  $(A', B', F', G', \eta', \epsilon')$  is a strict transformation between these viewed as 2-functors from the walking adjunction.

**REMARK 19.2.4.2 ► UNWINDING DEFINITION 19.2.4.1**

016W

In detail, a **strict morphism of internal adjunctions** from  $(A, B, F, G, \eta, \epsilon)$  to  $(A', B', F', G', \eta', \epsilon')$  is equivalently:

1. A lax morphism of internal adjunctions as in [Remark 19.2.1.2](#) whose 2-morphisms are identities.
2. An oplax morphism of internal adjunctions as in [Remark 19.2.2.2](#) whose 2-morphisms are identities.

## 19.3 2-Morphisms Between Morphisms of Internal Adjunctions

### 19.3.1 2-Morphisms Between Lax Morphisms of Internal Adjunctions

Let  $C$  be a bicategory, let  $(A, B, F, G, \eta, \epsilon)$  and  $(A', B', F', G', \eta', \epsilon')$  be internal adjunctions in  $C$ , and let  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  and  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  be lax morphisms of internal adjunctions from  $(A, B, F, G, \eta, \epsilon)$  to  $(A', B', F', G', \eta', \epsilon')$ .

**DEFINITION 19.3.1.1 ► 2-MORPHISMS BETWEEN LAX MORPHISMS OF INTERNAL ADJUNCTIONS**

016Z

A **2-morphism from**  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  **to**  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  is a modification between these viewed as lax transformations.

**REMARK 19.3.1.2 ► UNWINDING DEFINITION 19.3.1.1**

0170

In detail, a **2-morphism from**  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  **to**  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  consist of 2-morphisms

$$\begin{aligned}\Gamma: \phi_1 &\Rightarrow \phi_2 \\ \Sigma: \psi_1 &\Rightarrow \psi_2\end{aligned}$$

of  $C$  such that we have equalities

$$\begin{array}{ccc}
 \begin{array}{c} A \xrightarrow{F} B \\ \phi_1 \left( \begin{array}{c} \Rightarrow \\ \Gamma \end{array} \right) \phi_2 \quad \alpha_2 \nearrow \searrow \\ \psi_2 \end{array} & = & \begin{array}{c} A \xrightarrow{F} B \\ \phi_1 \left( \begin{array}{c} \nearrow \alpha_1 \\ \Rightarrow \end{array} \right) \psi_1 \left( \begin{array}{c} \Rightarrow \\ \Sigma \end{array} \right) \psi_2 \\ A' \xrightarrow{F'} B' \end{array} \\
 \begin{array}{c} B \xrightarrow{G} A \\ \psi_1 \left( \begin{array}{c} \Rightarrow \\ \Sigma \end{array} \right) \psi_2 \quad \beta_2 \nearrow \searrow \\ \phi_2 \end{array} & = & \begin{array}{c} B \xrightarrow{G} A \\ \psi_1 \left( \begin{array}{c} \nearrow \beta_1 \\ \Rightarrow \end{array} \right) \phi_1 \left( \begin{array}{c} \Rightarrow \\ \Gamma \end{array} \right) \phi_2 \\ B' \xrightarrow{G'} A' \end{array}
 \end{array}$$

of pasting diagrams in  $C$ .

### 19.3.2 2-Morphisms Between Oplax Morphisms of Internal Adjunctions

Let  $C$  be a bicategory, let  $(A, B, F, G, \eta, \epsilon)$  and  $(A', B', F', G', \eta', \epsilon')$  be internal adjunctions in  $C$ , and let  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  and  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  be oplax morphisms of internal adjunctions from  $(A, B, F, G, \eta, \epsilon)$  to  $(A', B', F', G', \eta', \epsilon')$ .

#### DEFINITION 19.3.2.1 ▶ 2-MORPHISMS BETWEEN OPLAX MORPHISMS OF INTERNAL ADJUNCTIONS

**0172** A **2-morphism from**  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  **to**  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  is a modification between these viewed as oplax transformations.

#### REMARK 19.3.2.2 ▶ UNWINDING DEFINITION 19.3.2.1

**0173** In detail, a **2-morphism from**  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  **to**  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  consist of 2-morphisms

$$\begin{aligned} \Gamma: \phi_1 &\Rightarrow \phi_2 \\ \Sigma: \psi_1 &\Rightarrow \psi_2 \end{aligned}$$

of  $C$  such that we have equalities

$$\begin{array}{ccc} \begin{array}{c} A \xrightarrow{F} B \\ \phi_2 \left( \begin{array}{c} \Leftrightarrow \\ \psi_1 \end{array} \right) \phi_1 \quad \alpha_1 \parallel \\ \downarrow \quad \downarrow \quad \downarrow \\ A' \xrightarrow{F'} B' \end{array} & = & \begin{array}{c} A \xrightarrow{F} B \\ \phi_2 \left( \begin{array}{c} \alpha_2 \parallel \\ \psi_2 \end{array} \right) \left( \begin{array}{c} \Leftrightarrow \\ \psi_1 \end{array} \right) \psi_1 \\ \downarrow \quad \downarrow \quad \downarrow \\ A' \xrightarrow{F'} B' \end{array} \\ \begin{array}{c} B \xrightarrow{G} A \\ \psi_2 \left( \begin{array}{c} \Leftrightarrow \\ \phi_1 \end{array} \right) \psi_1 \quad \beta_1 \parallel \\ \downarrow \quad \downarrow \quad \downarrow \\ B' \xrightarrow{G'} A' \end{array} & = & \begin{array}{c} B \xrightarrow{G} A \\ \psi_2 \left( \begin{array}{c} \beta_2 \parallel \\ \phi_2 \end{array} \right) \left( \begin{array}{c} \Leftrightarrow \\ \phi_1 \end{array} \right) \phi_1 \\ \downarrow \quad \downarrow \quad \downarrow \\ B' \xrightarrow{G'} A' \end{array} \end{array}$$

of pasting diagrams in  $C$ .

### 19.3.3 2-Morphisms Between Strong Morphisms of Internal Adjunctions

Let  $C$  be a bicategory, let  $(A, B, F, G, \eta, \epsilon)$  and  $(A', B', F', G', \eta', \epsilon')$  be internal adjunctions in  $C$ , and let  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  and  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  be strong morphisms of internal adjunctions from  $(A, B, F, G, \eta, \epsilon)$  to  $(A', B', F', G', \eta', \epsilon')$ .

#### DEFINITION 19.3.3.1 ▶ 2-MORPHISMS BETWEEN STRONG MORPHISMS OF INTERNAL ADJUNCTIONS

**0175** A **2-morphism from**  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  **to**  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  is a modification between these viewed as strong transformations.

#### REMARK 19.3.3.2 ▶ UNWINDING DEFINITION 19.3.3.1

**0176** In detail, a **2-morphism from**  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  **to**  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  is equivalently:

- A 2-morphism  $(\Gamma, \Sigma)$  from  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  to  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  viewed as lax transformations as in Remark 19.3.1.2.
- A 2-morphism  $(\Gamma, \Sigma)$  from  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  to  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  viewed as oplax transformations as in Remark 19.3.2.2.

### 19.3.4 2-Morphisms Between Strict Morphisms of Internal Adjunctions

Let  $C$  be a bicategory, let  $(A, B, F, G, \eta, \epsilon)$  and  $(A', B', F', G', \eta', \epsilon')$  be internal adjunctions in  $C$ , and let  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  and  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  be lax morphisms of internal adjunctions from  $(A, B, F, G, \eta, \epsilon)$  to  $(A', B', F', G', \eta', \epsilon')$ .



0178

A **2-morphism from**  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  **to**  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  is a modification between these viewed as strict transformations.

0179

#### REMARK 19.3.4.2 ▶ UNWINDING DEFINITION 19.3.4.1

In detail, a **2-morphism from**  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  **to**  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  is equivalently:

- A 2-morphism  $(\Gamma, \Sigma)$  from  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  to  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  viewed as lax transformations as in Remark 19.3.1.2.
- A 2-morphism  $(\Gamma, \Sigma)$  from  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  to  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  viewed as oplax transformations as in Remark 19.3.2.2.
- A 2-morphism  $(\Gamma, \Sigma)$  from  $(\phi_1, \psi_1, \alpha_1, \beta_1)$  to  $(\phi_2, \psi_2, \alpha_2, \beta_2)$  viewed as strong transformations as in Remark 19.3.3.2.

## 19.4 Bicategories of Internal Adjunctions in a Bicategory

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**Internal Category Theory**

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## **Part XX**

# **Schemes**

# **Chapter 46**

## **Schemes**

### **0183 46.1 Introduction**

In this document we define schemes. A basic reference is [[ECA](#)].

## **Part XXI**

### **Secret Part**

# Chapter 47

## To Do List

**0185** This chapter contains some material about relations and constructions with them. Notably, we discuss and explore:

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### 47.1 Notes to Self

#### 47.1.1 Things To Ask On MO/Zulip

##### REMARK 47.1.1.1 ► THINGS TO ASK ON MO/ZULIP

**0188** Here is a list of things to be asked on MO/Zulip.

1. What are
  - (a) Cartesian bicategories
  - (b) Double categories of relations (<https://arxiv.org/abs/2107.07621>)
  - (c) Categories of relations
  - (d) Allegories

(e) 1-Category equipped with relations (<https://ncatlab.org/nlab/show/1-category+equipped+with+relations>)

good for? What have these notions been developed for, why are they important, and what have they lead to?

### 47.1.2 Things To Explore/Add

#### REMARK 47.1.2.1 ► THINGS TO EXPLORE/ADD

018A

Here is a list of things to be explored.

1. <https://mathoverflow.net/a/461814>
2. there's some cool stuff in <https://arxiv.org/abs/2312.00990>, e.g. on cofunctors.
3. internal adjunctions in Mod as in [JY21, Section 6.3]; see [JY21, Example 6.2.6].
4. write the “profunctors” equivalent of the relations chapter
5. change  $\chi_B$  notation throughout the notes
6. maybe note that skew monoidal structures on  $\mathbf{Rel}(A, B)$  satisfy coherence trivially since the 2-morphisms are inclusions
7. reconsider notation  $\text{FreeAlg}_{\mathcal{P}}$  in [Relations](#)
8. Constructions With Sets: Isbell duality for powersets
9. Categories: comma category notation as in <https://mathoverflow.net/questions/455630>
10. Universal property of the bicategory of spans, <https://ncatlab.org/nlab/show/span>
11. Codensity monad  $\text{Ran}_J(J)$  of a relation (What about  $\text{Rift}_J(J)$ ?)
12. Relative comonads in [Rel](#).
13. Write proper sections on straightening for lax functors from sets to Rel or Span (displayed sets) when I study the corresponding notions for categories
14. Write about cospans.

15. CoCartesian fibration classifying  $\text{Fun}(F, G)$ , <https://mathoverflow.net/questions/457533/cocartesian-fibration-classifying-mathrmfunf-g>
16. Constructions With Sets: functoriality of limits/colimits, like functoriality of pullbacks
17. <https://ncatlab.org/nlab/show/adjoint+lifting+theorem>
18. <https://ncatlab.org/nlab/show/Gabriel%28%93Ulmer+duality>

### 47.1.3 Random Cool Papers

**REMARK 47.1.3.1 ► RANDOM COOL PAPERS**

018C

Here are some random cool papers that appeared on arXiv and that I want to check eventually.

1. [A Derived Geometric Approach to Propagation of Solution Singularities for Non-linear PDEs I: Foundations](#)
2. [The Fundamental Theorem of Calculus point-free, with applications to exponentials and logarithms](#)

### 47.1.4 Omitted Proofs To Add

Не так благотворна истина, как зловредна ее видимость.

Даниил Данковский

Truth does not do as much good in the world as the appearance of truth does evil.

Daniil Dankovsky

There's a very large number of omitted proofs throughout these notes; here I list some of the ones that I really want to add to the notes at some point.

**REMARK 47.1.4.1 ► OMITTED PROOFS TO ADD**

018E

Here is a list of omitted proofs that I really want to eventually write up or add a reference to.

- ?? of ??
- ?? of ??

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