

On a generalization of Burger-Mozes universal groups (Focus on group theory, day  
60 minutes, Newark, NJ)

27.07.15

Reminder (Burger-Mozes groups)

$T_d = (X, Y)$   $d$ -regular tree ( $d \geq 3$ )

$\ell: Y \rightarrow \{1, \dots, d\}$  a legal labelling of  $T_d$ , i.e. for all  $x \in X$ :  $\ell_x := \ell|_{E(x)}$  is a bijection and for all  $e \in Y$ :  $\ell(e) = \ell(\bar{e})$ .

We obtain a map  $c: \text{Aut}(T_d) \times X \rightarrow S_d$  by  $(\alpha, x) \mapsto \ell_{\alpha x} \circ \alpha \circ \ell_x^{-1}$  satisfying

$c(\alpha\beta, x) = c(\alpha, \beta x) c(\beta, x)$  (cocycle). This implies that the following subset of  $\text{Aut}(T_d)$  is a group.

Def. Let  $F \leq S_d$ . Set  $U^{(1)}(F) := \{\alpha \in \text{Aut}(T_d) \mid \forall x \in X: c(\alpha, x) \in F\}$ .

We now generalize this construction by prescribing the local action on balls of a fixed radius  $k \geq 1$ . To this end, in addition to the above fix a "legally labelled" tree  $B_{d,k}$ , isomorphic to a ball of radius  $k$  in  $T_d$  and consider

$c_k: \text{Aut}(T_d) \times X \rightarrow \text{Aut}(B_{d,k})$ ,  $(\alpha, x) \mapsto \ell_x^k \circ \alpha \circ \ell_x^{-k}$

where  $\ell_x^k: B(x, k) \rightarrow B_{d,k}$  is the unique label-respecting isomorphism. This suggests:

Def. Let  $F \leq \text{Aut}(B_{d,k})$ . Set  $U_k^{(1)}(F) := \{\alpha \in \text{Aut}(T_d) \mid \forall x \in X: c_k(\alpha, x) \in F\}$ .

These groups share many basic properties with the Burger-Mozes groups:

Prop. Let  $F \leq \text{Aut}(B_{d,k})$ . Then:

(i)  $U_k^{(1)}(F) \leq \text{Aut}(T_d)$  is closed

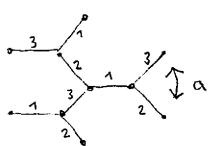
(ii)  $U_k^{(1)}(F) \leq \text{Aut}(T_d)$  is vertex-transitive

(iii)  $U_k^{(1)}(F)$  satisfies Property  $P_k$  (Banks-Elder-Willis)

(iv) Given legal labellings  $\ell, \ell': Y \rightarrow d$ , the groups  $U_k^{(1)}(F), U_{k'}^{(1)}(F)$  are conjugate in  $\text{Aut}(T_d)$ .

(v)  $U_k^{(1)}(F)$  is comp. gen., tot. disc., loc. compact Hausdorff with the subspace top.

The first curiosity arises when considering the local action. Whereas  $U_1^{(1)}(F)$  locally realizes all elements of  $F$ , the same need not hold for  $k \geq 2$ . For instance, consider the two-element subgroup  $F$  of  $\text{Aut}(B_{3,2})$  generated by the element  $a$ :



Then there is no element in  $F$  which is compatible with  $a$  in direction 1.

We define:

Def. Let  $F \leq \text{Aut}(B_{d,k})$ . Then  $F$  satisfies condition (c) if and only if  $(U_k^{(1)}(F))$  is locally action isomorphic to  $F$ . (i.e.  $\forall x \in X: F = \ell_x^k \circ U_k^{(1)}(F)(x) \circ \ell_x^{-k}$ ).

The compatibility condition (C) can be phrased solely in terms of  $F \leq \text{Aut}(B_{d,k})$ : For  $i \in d$ , let  $T_i$  be the subtree of  $B_{d,k}$  given by the  $(k-1)$ -neighbourhood of the edge  $(b, b_i)$  where  $b$  is the center of  $B_{d,k}$  and the label of  $(b, b_i)$  is  $i$ . Furthermore, let  $\sigma_i : T_i \rightarrow T_i$  be the unique, non-trivial, label-respecting involution of  $T_i$ . Then:

$$(C) \forall a \in F \forall i \in d : \exists a_i \in F : a_i|_{T_i} = \sigma_{a(i)} \circ a \circ \sigma_i$$

(" $a_i$  is compatible with  $a$  in direction  $i$ ")

Suppose that  $F \leq \text{Aut}(B_{d,k})$  has (C). Then  $U_k(F)$  is discrete if and only if

$$(CR) \forall a \in F \forall i \in d : \exists! a_i \in F : a_i|_{T_i} = \sigma_{a(i)} \circ a \circ \sigma_i$$

$\uparrow$  "regular"       $\uparrow$  uniqueness

Both conditions allow themselves for computations and need only be checked on generators. As an illustration we construct some examples for  $k=2$ . To this end, we view  $\text{Aut}(B_{d,2})$  as the following subgroup of  $S_d \times \prod_{i=1}^d S_d$ :

$$\text{Aut}(B_{d,2}) = \{(a, (a_1, \dots, a_d)) \mid \forall i \in d : a(i) = a_i(i)\} \leq S_d \times \prod_{i=1}^d S_d =: G_{d,2} \quad | \quad S_d \wr S_d$$

To describe condition (C) and (CR) in this setting, we define

$$\sigma_i : G_{d,2} \rightarrow G_{d,2}, \text{ "swap } a \text{ and } a_i\text{"}$$

$$p_i : G_{d,2} \rightarrow S_d \times S_d, (a, (a_1, \dots, a_d)) \mapsto (a, a_i)$$

Then

$$(C) \forall i \in d : p_i F = p_i \sigma_i F$$

$$(CR) (C) \& p_i^{-1}(id, id) = id$$

Now define  $\gamma : S_d \rightarrow \text{Aut}(B_{d,2})$ ,  $a \mapsto (a, (a, \dots, a))$ . Then

$$\Gamma(F) := \text{im } \gamma|_F$$

has (CR). All subgroups  $F_2 \leq \text{Aut}(B_{d,2})$  which satisfy (C), project onto  $F$  and contain  $\Gamma(F)$  are described as follows.

Prop. Let  $F \leq S_d$ . Given  $K \leq \prod_{i=1}^d F(i) \cong \ker \pi \leq \text{Aut}(B_{d,2})$ , there is  $F_2 \leq \text{Aut}(B_{d,2})$  with (C) and fitting into  $1 \rightarrow K \overset{\iota}{\hookrightarrow} F_2 \xrightarrow{\pi} F \rightarrow 1$  if and only if  $K$  is invariant under  $F \curvearrowright \prod_{i=1}^d F(i)$ ,  $a \cdot (a_1, \dots, a_d) = (aa_{a(1)}^{-1}, \dots, aa_{a(d)}^{-1})$ .

Ex. Given  $N \trianglelefteq F(1)$  and  $(f_i)$  in  $F$  with  $f_i(1) = i$ , set

$$\Delta(F, N) := \{(a, (af_1, a_1 f_1^{-1}, \dots, af_d, a_d f_d^{-1})) \mid a \in F, a_i \in N\} \quad (CR)$$

$$\Phi(F, N) := \{(a, (af_1, a_1^{(1)} f_1^{-1}, \dots, af_d, a_d^{(d)} f_d^{-1})) \mid a \in F, a_i^{(i)} \in N \forall i \in d\} \quad (C)$$

As for the Burger-Mozes groups, a universality statement holds:

Prop. Let  $H \leq \text{Aut}(T_d)$  be vertex-trans., loc. trans. and contain an inv. edge-inversion.

Then there is a legal lab.  $\ell$  of  $T_d$  such that

$$U_1^{(1)}(F_1) \geq U_2^{(1)}(F_2) \geq \dots \geq U_k^{(1)}(F_k) \geq \dots \geq H \geq U_1^{(1)}(\{\text{e}\})$$

$$\begin{matrix} \parallel & & \parallel & & \parallel \\ H^{(1)} & \geq & H^{(2)} & \geq & \dots \geq & H^{(k)} & \geq & \dots \geq & H \end{matrix}$$

where  $F_k \leq \text{Aut}(B_{d,k})$

is an action isom. to the action of  $H$  on  $k$ -balls

Cor. Let  $H \leq \text{Aut}(T_d)$  be discrete of order  $k$ , vertex-trans., loc. trans. and contain an inv. edge-inversion. Then  $H = U_k^{(1)}(F_k)$  for some  $F_k \leq \text{Aut}(B_{d,k})$  w/ (CR).  $\pi_{k=1} F_k$  w/o (CR)

This leads to the following weakening of the Goldschmidt-Sims conjecture:

Conj. (G.-S.) Let  $T$  be a loc-fin. tree. Then there are only fin. many conj. classes of discrete, loc. prim. subgroups of  $\text{Aut}(T)$ .

Conj. (Weak G.-S.) Let  $T_d$  be the  $d$ -regular tree. Then there are only fin. many conj. classes of discrete, loc. prim., vertex-trans. subgroups of  $\text{Aut}(T_d)$  containing an inv. edge-inversion.

We rephrase the 2<sup>nd</sup> conjecture in terms of the following:

Def. Let  $F \leq S_d$ . Define

$$\text{cr-dim}(F) := \max \{ k \mid \exists F_k \leq \text{Aut}(B_{d,k}) : \begin{cases} \pi F_k = F \\ F_k \text{ has (CR)} \\ \pi_{k=1} F_k \text{ has not (CR)} \end{cases} \}$$

if the maximum exists and infinity otherwise.

$$\begin{aligned} \text{cr-dim}(F) &= \\ \max \{ \text{ord } H \mid H \leq \text{Aut}(T_d) \} &= \\ \text{ord } H &= \min \{ k \mid H(B(i,k)) \neq \emptyset \} \end{aligned}$$

Then: Weak G.-S.  $\Leftrightarrow$  all primitive groups have finite cr-dimension.

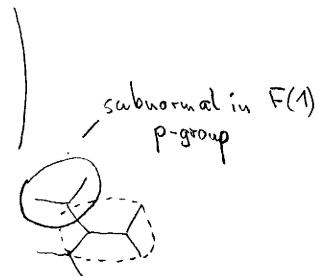
So far results about this dimension:

Prop. Let  $F \leq S_d$  be trans. Then  $\text{cr-dim}(F) = 1 \Leftrightarrow F$  regular.

Prop. Let  $F \leq S_d$  be prim., non-regular. If  $F(1)$  has triv. nilpotent radical then  $\text{cr-dim}(F) = 2$ .

Prop. Let  $F \leq S_d$  and  $P \leq S_{d'}$  be trans. Then  $\text{cr-dim}(F \wr P) \geq 3$ .

Prop. (1980). We have  $\text{cr-dim}(S_3) = 3$ .



This includes:

(i)  $A_n, S_n$  ( $n \geq 6$ ) (AS)

(ii) Prim. groups of type (TW)

(pt. stab. have triv. solv. rad.)

(iii) Prim. groups of simple diagonal type (iii) (HS) (pt. stab. have simple non-ab. socle)