# Exact Bounds for Coin Weighing by Pairwise Comparisons

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#### 1 Introduction

There is a classic puzzle about counterfeit coin problem: a man has 12 coins among which there has a counterfeit coin, which can only be told apart by its weight. How can one tell in not more than three weighings and determine which one is a counterfeit coin [1].

The problem was so popular that have many other variants [2]. For example, the weight of counterfeit coin is heavy or light are known; given an extra coin known to be real; or even answer the question by using a spring balance i.e. a weighing device that will return the exact weight. Halbeisen [3] generize this problem when we are allowed to use more than one balances and consider more than one counterfeit coin. Although there is a lot of literature about counterfeit coin problem, but most of these solve the asymptotic bounds [4, 5, 6, 7]. Our specialty is that we solve the exact bounds.

Here we extend this question by a new direction: now we have a real coins and b counterfeit coins (a, b > 0). The real coins are all the same weight and also the counterfeit coins, but two types are different weight. Each time we compare only two coins have the same weight or not. In this case, assume a, b are known. We want to study the smallest number of comparison that we can guarantee to solve these Problems:

- 1. find a fake coin
- 2. find a real coin
- 3. comparison two coins on the balance and one of it is fake and the other is real

We discuss the Problem 3 first, it can transfer to a new circumstance: there is an engine broken because of burned wire, and we need to find a pair of new electric wires to fix it, but all the wire are mass up, we only know that we have a positive wires and b negative wires in the beginning, each time we can choose two of them to connect to the engine and check if they are the same Electrical polarity (not work) or not (work). The object is minimize the worst case of testing times that we can fix the engine.

<sup>\*</sup>Tan and Wu are co-first authors and main contributors of this work.

To solve this problem, our inisight start from an equivalent graph problem, and give a definition of foolproof schemes in Section 2. In Section 3, we show the connection between foolproof schemes and integer partition. In Section 4, we design an algorithm to solve the exact comparison times by using integer partition technique, and also speed up by cycle property. Finally, we solving the remaining Problem 1 and Problem 2 in Section 5.

# 2 An Equivalent Graph Problem

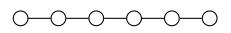
We can represent a testing scheme S for the coin-weighing problem by a graph  $G_S$  as follows:

- 1. It contains a + b vertices, where each vertex represents a distinct coin.
- 2. Two vertices are joined by an edge if and only if  $\mathcal{S}$  compares the two corresponding coins.

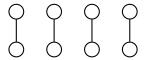
Note that we cannot gain extra information by comparing the same pair of coins twice; WLOG, we assume that each pair of coins are compared at most once.

**Definition 1.** For a coin-weighing problem with a real coins and b fake coins, we say a testing scheme S is foolproof if no matter how a vertices in  $G_S$  are assigned as real and the remaining vertices are assigned as fake,  $G_S$  contains at least an unbalanced edge whose endpoints are with different labels; else, S is non-foolproof.

Suppose that a = 3 and b = 5. Figure 1 shows two different foolproof schemes, while Figure 2 shows a non-foolproof scheme.



(a) A scheme with 5 comparisons



(b) A scheme with 4 comparisons

Figure 1: Two foolproof schemes for a = 3 and b = 5. Isolated vertices are omitted for brevity.



Figure 2: A non-foolproof scheme for a=3 and b=5: If the leftmost a vertices are assigned as real, there is no unbalanced edge.

Let  $\mathcal{G}(a,b)$  be a graph formed by the union of two cliques  $K_a$  and  $K_b$  of sizes a and b, respectively. We have the following theorem.

**Theorem 1.** A testing scheme S is foolproof if and only if  $G_S$  is not isomorphic to any subgraph of G(a,b).

*Proof.* Suppose that  $G_{\mathcal{S}}$  is isomorphic to some subgraph of  $\mathcal{G}(\mathbf{a}, \mathbf{b}) = K_{\mathbf{a}} \cup K_{\mathbf{b}}$ . Then, we may select an arbitrary isomorphism, and label as real for all those **a** vertices in  $G_{\mathcal{S}}$  that are mapped to the vertices in  $K_{\mathbf{a}}$ , while label as fake for the remaining **b** vertices. Under such a labeling, there will be no unbalanced edge, so that  $\mathcal{S}$  is non-foolproof.

Conversely, if S is non-foolproof, there exists a way to label **a** vertices in  $G_S$  as real and the remaining **b** vertices as fake so that there is no unbalanced edge. In other words,  $G_S$  can be partitioned into two sugraphs with **a** and **b** vertices, respectively; the former one is isomorphic to a subgraph of  $K_a$  and the latter one is isomorphic to a subgraph of  $G_S$  is in  $G_S$  in  $G_S$  in  $G_S$  is in  $G_S$  in  $G_S$  in  $G_S$  in  $G_S$  in  $G_S$  in  $G_S$  is in  $G_S$  in G

**Definition 2.** For a coin-weighing problem with a real coins and b fake coins, we say a foolproof testing scheme S is optimal if it requires the minimal number of comparisons in the worst case; the minimal number of comparisons is denoted by  $\tau(a,b)$ .

*Remark.* By definition,  $\tau(a, b) = \tau(b, a)$ .

The target of this paper is to design optimal foolproof testing scheme so that by comparing coins according to the scheme, we are guaranteed to compare a real coin with a fake coin using the fewest comparisons in the worst case. By Theorem 1, it is equivalent to finding a graph G, with the fewest number of edges, that is not isomorphic to any subgraph of  $\mathcal{G}(a, b)$ .

#### 2.1 Lower Bound

**Theorem 2.** Any graph with  $n \le a + b$  vertices and  $m \le \lfloor (a + b)/2 \rfloor - 1$  edges is always isomorphic to some subgraph of  $\mathcal{G}(a,b)$ .

*Proof.* Without loss of generality, we assume that  $a \leq b$ . We shall prove this theorem by induction on the sum a + b.

(Basis Case:) If a = b = 1, then  $\lfloor (a + b)/2 \rfloor - 1 = 0$ . Any graph with  $n \le 2$  vertices and  $m \le 0$  edges (i.e., no edges) is always isomorphic to some subgraph of  $\mathcal{G}(1,1)$ .

(Inductive Case:) Suppose that the theorem holds for all  $a + b \le k$ . Our target is to show that the theorem also holds for the case a + b = k + 1 with  $a \le b$ . Consider a graph G with  $n \le k + 1$  vertices and with  $m \le \lfloor (k+1)/2 \rfloor - 1$  edges.

- 1. If G is connected, then  $n \leq m+1 \leq (k+1)/2 \leq b$ , which is isomorphic to some subgraph of  $K_b$ , and thus isomorphic to some subgraph of  $\mathcal{G}(\mathsf{a},\mathsf{b})$ .
- 2. Otherwise, G is not connected. If G has no edges, then G is obviously isomorphic to some subgraph of  $\mathcal{G}(\mathbf{a}, \mathbf{b})$  since G has at most  $\mathbf{a} + \mathbf{b}$  vertices. Else, let C be the connected component of G with the largest number n' of vertices (so that  $n' \geq 2$ . Then, the number of edges in C is at least n' 1. To complete the proof, it is sufficient to show that G C is isomorphic to some subgraph of  $\mathcal{G}(\mathbf{a}, \mathbf{b} n')$ , as we can map the vertices of C to an arbitrary set of n' vertices in the  $K_{\mathbf{b}}$  component of  $\mathcal{G}(\mathbf{a}, \mathbf{b})$ .

The number of vertices in G - C is k + 1 - n' = a + (b - n'), and the number of edges of G - C is at most

$$\begin{split} m - (n'-1) & \leq \lfloor (k+1)/2 \rfloor - n' &= \lfloor (k+1)/2 - n' \rfloor \\ &= \lfloor (k+1-n')/2 - n'/2 \rfloor \\ & \leq \lfloor (k+1-n')/2 - 1 \rfloor \\ &= \lfloor (k+1-n')/2 \rfloor - 1 = \lfloor (\mathbf{a} + (\mathbf{b} + n'))/2 \rfloor - 1. \end{split}$$

By induction hypothesis, G-C is isomorphic to a subgraph of  $\mathcal{G}(\mathtt{a},\mathtt{b}-n')$ , and consequently G is isomorphic to some subgraph of  $\mathcal{G}(\mathtt{a},\mathtt{b})$ .

In both cases, G is isomorphic to a subgraph of G(a, b). This completes the proof of the induction case, so that by the principle of mathematical induction, the theorem follows.

Immediately, we have the following corollaries.

Corollary 1. For any a and b,  $\tau(a,b) \ge |(a+b)/2|$ .

*Proof.* The corollary is a direct consequence of Theorems 1 and 2.

Corollary 2. When a and b are both odd,  $\tau(a,b) = (a+b)/2$ .

*Proof.* Consider a testing scheme S that partitions the coins into (a + b)/2 pairs, and then compares each pair of coins. The corresponding graph  $G_S$  consists of (a+b)/2 disjoint edges (as in Figure 1(b)), and is not isomorphic to any subgraph of G(a,b). Thus,  $G_S$  is foolproof, and  $T(a,b) \leq (a+b)/2$ . On the other hand, by Corollary 1, we have  $T(a,b) \geq (a+b)/2$  for odd a and odd b. The corollary thus follows.

Corollary 3. Consider a testing scheme S whose corresponding graph  $G_S$  forms a path with  $\max(a,b)+1$  nodes (as in Figure 1(a)). Then, S is foolproof, and it uses at most twice the number of comparisons of any optimal foolproof scheme.

*Proof.* The graph  $G_{\mathcal{S}}$  is not isomorphic to any subgraph of  $\mathcal{G}(a,b)$ , so that it is foolproof. The number of comparisons by  $\mathcal{S}$  is

$$\begin{array}{lcl} \max(\mathtt{a},\mathtt{b}) & \leq & \mathtt{a}+\mathtt{b}-1 & = & 2\times((\mathtt{a}+\mathtt{b}-1)/2) \\ & \leq & 2\times\lfloor(\mathtt{a}+\mathtt{b})/2\rfloor & \leq & 2\times\tau(\mathtt{a},\mathtt{b}), \end{array}$$

where the last inequality comes from Corollary 1. The corollary thus follows.

# 3 From Graph to Integer Partition

This section shows an interesting connection between designing an optimal foolproof scheme and searching for an integer partitioning of some special form. We begin with the following simple lemma, which is crucial in establishing the connection.

**Lemma 1.** If S is an optimal foolproof scheme, then its corresponding graph  $G_S$  does not contain any cycle.

Proof. Suppose on the contrary that  $G_{\mathcal{S}}$  does. Then, we can obtain a graph G' by removing an edge from some cycle in  $G_{\mathcal{S}}$ . If G' is not be isomorphic to any subgraph of  $\mathcal{G}(a,b)$ , by Theorem 1, this would imply G' corresponds to a foolproof scheme; furthermore, such a scheme performs fewer comparisons than  $\mathcal{S}$ , so that  $\mathcal{S}$  is not optimal. Otherwise, if G' is isomorphic to some subgraph of  $\mathcal{G}(a,b)$ , then  $G_{\mathcal{S}}$  would also be isomorphic to some subgraph of  $\mathcal{G}(a,b)$  (using the same mapping between the vertices), so that  $\mathcal{S}$  is not foolproof by Theorem 1. Contradiction occurs in both cases, and the lemma thus follows.

Based on the above lemma, the graph  $G_{\mathcal{S}}$  corresponding to an optimal foolproof scheme  $\mathcal{S}$  must be a *forest*. Indeed, we may observe that the *shape* of each connected tree is not important: Precisely, for each tree T in  $G_{\mathcal{S}}$  that connects some set U of vertices, we can replace T by any other tree that connects the vertices in U, and the resulting scheme remains foolproof. Also, after replacing T, the new scheme remains optimal as it uses the same number of comparisons. This naturally implies that an optimal foolproof scheme is related to some kind of *partitioning* of the integer a + b. In the following, we shall unveil the property of such a partitioning. We first define a related concept.

<sup>&</sup>lt;sup>1</sup>If not, the latter graph is isomorphic to some subgraph of  $\mathcal{G}(a,b)$ , but then  $G_{\mathcal{S}}$  would also be isomorphic to some subgraph of  $\mathcal{G}(a,b)$  under the same vertex mapping.

**Definition 3.** Let P be a multiset of positive integers. We say P avoids a positive integer x if for any subset  $P' \subseteq P$ , the sum of all integers in P' is not equal to x.

Let  $P = \{p_1, p_2, \dots, p_{|P|}\}$  be a multiset of positive integers whose sum is a + b. In other words, P forms an integer partition of a + b. We say a testing scheme S corresponds to P if  $G_S$  is a forest whose trees have sizes  $p_1, p_2, \dots, p_{|P|}$ , respectively. Then, we have the following theorem.

**Theorem 3.** Let S be a testing scheme whose corresponding graph  $G_S$  is a forest. Then S is foolproof if and only if S corresponds to a partition P of the integer a + b that avoids a.

*Proof.* We prove the necessary and sufficient conditions separately, each by contradiction.

- ( $\Rightarrow$ ) Suppose that  $\mathcal{S}$  is foolproof. Assume on the contrary that P does not avoid  $\mathbf{a}$ , then we can partition P into two subsets  $P_{\mathbf{a}}$  and  $P_{\mathbf{b}}$  such that the sum of integers in  $P_{\mathbf{a}}$  is equal to  $\mathbf{a}$  (and thus, the sum of integers in  $P_{\mathbf{b}}$  is  $\mathbf{b}$ ). Then, consider those trees in  $G_{\mathcal{S}}$  with sizes  $p \in P_{\mathbf{a}}$ , they together would be isomorphic to some subgraph of  $K_{\mathbf{a}}$ ; similarly, the remaining trees in  $G_{\mathcal{S}}$  would be isomorphic to some subgraph of  $K_{\mathbf{b}}$ . This implies that  $G_{\mathcal{S}}$  is isomorphic to some subgraph of  $G_{\mathbf{a}}$ ,  $G_{\mathbf{b}}$ , so that  $G_{\mathbf{b}}$  is not foolproof by Theorem 1. A contradition occurs.
- ( $\Leftarrow$ ) Suppose that P avoids a. Assume on the contrary that S is not foolproof. By Theorem 1,  $G_S$  is isomorphic to some subgraph of  $\mathcal{G}(a,b)$ . Consider a particular isomorphism f. Note that the number of vertices of both  $G_S$  and S(a,b) are the same, so that f is a bijection between the two vertex sets. Based on f, we can partition the vertices in  $G_S$  into two groups, where the first group contains those who are mapped to vertices in the  $K_a$  component of  $\mathcal{G}(a,b)$ , and the second one contains those remaining vertices. Also, all vertices from the same tree in  $G_S$  must be in the same group. By focusing on those trees whose vertices are mapped to the first group, their sizes adds up to a, so that P does not avoid a. A contradiction occurs.

For instance, although the scheme corresponding to Figure 2 is not foolproof when a = 3 and b = 5, it is foolproof when a = 1 and b = 7, or when a = 4 and b = 4. Also, we have the following corollary.

Corollary 4. Let S be an optimal testing scheme. Then, S corresponds to a partition  $P_{\max}$  of a + b, with the maximal number of parts, that avoids a; furthermore,  $\tau(a,b) = a + b - |P_{\max}|$ , where the notation |P| denotes the number of parts in a partition P.

*Proof.* By Lemma 1,  $G_{\mathcal{S}}$  is a forest. Then, by Theorem 3,  $\mathcal{S}$  corresponds to a partition P of  $\mathtt{a}+\mathtt{b}$  that avoids  $\mathtt{a}$ . Furthermore, the number of comparisons performed by  $\mathcal{S}$  is exactly  $\mathtt{a}+\mathtt{b}-|P|$ . As  $\mathcal{S}$  is optimal, this implies  $\mathcal{S}$  minimizes the number of comparisons, so that the corresponding partition P maximizes the number of parts. The corollary thus follows.

Corollary 5. For any  $b \ge 1$ ,

$$\tau(2, \mathbf{b}) = \mathbf{b} + 2 - \left\lfloor \frac{\mathbf{b} + 2}{3} \right\rfloor.$$

*Proof.* For any partition P of 2 + b that avoids 2, P contains at most one 1 and no 2s, so that

$$|P| \le \max \left\{ 1 + \frac{b+1}{3}, \frac{b+2}{3} \right\} \le 1 + \frac{b+1}{3} = \frac{b+4}{3}.$$

Furthermore, since |P| is an integer, the above inequality implies that

$$|P| \le \left\lceil \frac{\mathsf{b}+4}{3} \right\rceil = \left\lceil \frac{\mathsf{b}+2}{3} \right\rceil.^2$$

<sup>&</sup>lt;sup>2</sup>The equality follows from the fact: for any integer n and any positive integer m,  $\lceil n/m \rceil = \lceil (n+m-1)/m \rceil$ .

Thus by Corollary 4, we have  $\tau(2, b) \ge b + 2 - \lfloor (b+2)/3 \rfloor$ .

However, by setting P to be

- $P = \{3, 3, ...\}$  (where ... represents trailing 3s) for  $b + 2 \equiv 0 \pmod{3}$ , or
- $P = \{4, 3, ...\}$  (where ... represents trailing 3s) for  $b + 2 \equiv 1 \pmod{3}$ , or
- $P = \{1, 4, 3, \ldots\}$  (where  $\ldots$  represents trailing 3s) for  $b + 2 \equiv 2 \pmod{3}$ ,

each partition P is a partition of 2+b that avoids 2, and with  $|P| = \lfloor (b+2)/3 \rfloor$ . By Corollary 4, this implies that  $\tau(2,b) \leq b+2-\lfloor (b+2)/3 \rfloor$ . As  $\tau(2,b)$  is now upper-bounded and lower-bounded by the same desired quantity, the corollary thus follows.

# 4 Finding Optimal Foolproof Scheme

Based on Corollary 4 in the previous section, we can find an optimal foolproof scheme by enumerating all possible partitions of a + b, and selecting among those that avoids a the one that consists of the most number of parts. This approach is equivalent to solving the NP-complete Subset Sum problem [?] for each possible partition of a + b, which can be done via dynamic programming [?] as shown in Algorithm 1:

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Algorithm 1 Find Optimal Foolproof Scheme (OFS)
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procedure Find_Optimal_Foolproof_Scheme(a, b) 
ightharpoonup \  Return an OFS Set default partition R as \{a+b\}; for each partition P of the number a+b which avoids a do if Subset_Sum(P,a) then continue else if |P| > |R| then update R as P; return R procedure Subset_Sum(P,a) 
ightharpoonup \  Check if a subset of P sums up to a Set default multiset S as \{0\}; for each integer \pi \in P do S \leftarrow S \cup \{\pi+s \mid s \in S \text{ and } \pi+s \leq a\}; return a \in S
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Let p(n) denote the number of different partitions of n, which asymptotically approaches  $\exp(\pi\sqrt{2n/3})/(4n\sqrt{3})$  as  $n \to \infty$  [?]. The running time of the procedure Subset\_Sum(P, a) is  $|P| \times O(a)$ , which is bounded by O((a + b)a). Thus, by Algorithm 1, we can find an optimal foolproof scheme, as well as compute the value of  $\tau(a, b)$ , in

$$p(\mathbf{a} + \mathbf{b}) \times O((\mathbf{a} + \mathbf{b})\mathbf{a}) = O\left(\mathbf{a} \ e^{\pi\sqrt{2(\mathbf{a} + \mathbf{b})/3}}\right) \text{ time.}$$

?? shows a table of  $\tau(a, b)$  for  $1 \le a, b \le 15$ .

Remark. If we enumerate the partitions by depth-first search (according to lexicographical order), consecutive partitions during the enumeration will generally be similar, so that the time for Subset\_Sum(P, a) for each partition requires amortized O(a) time. Consequently, this allows us to reduce the time complexity further by a factor of O(a + b). Nevertheless, this is not the main focus of the work, so that we omit the details for brevity.

### 4.1 Cyclic Property of $\tau(a,b)$

In this subsection, we shall show that for any a, there is some property that an optimal foolproof scheme must possess when b is sufficiently large. Consequently, this allows us to show a cyclic property about  $\tau(a, b)$ , as well as speed up the construction time of an optimal foolproof scheme.

Before delving into details, let us take a look about the values  $\tau(2, b)$  and  $\tau(5, b)$  for different bs.

b	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
au(2, b)															
$ au(5,\mathtt{b})$	3	4	4	5	5	6	6	8	7	10	8	11	9	12	10

From  $b \ge 1$ ,  $\tau(2, b)$  forms cycle of length 3, such that  $\tau(2, b+3) = \tau(2, b) + 2$ . Similarly, from  $b \ge 9$ ,  $\tau(5, b)$  forms cycle of length 2, such that  $\tau(5, b+2) = \tau(5, b) + 1$ . In fact, for any fixed a, such kind of cycles must eventually occur. To see why this is true, we shall now examine more closely about the structure of an optimal foolproof scheme.

Let  $\ell(n)$  denote the least non-factor of n, which is the smallest positive integer that does not divide n properly. For instance,  $\ell(1)=2$ ,  $\ell(2)=3$ ,  $\ell(3)=2$ ,  $\ell(4)=3$ ,  $\ell(5)=2$ , and  $\ell(6)=4$ . To simplify our discussion, we shall now assume  $a \leq b$  throughout this subsection, and use 1 to denote  $\ell(a)$ . Also, we call a partition P of n a maximal partition that avoids x, if among all partitions of n which avoid x, P is one that contains the maximum number of parts; furthermore, we use  $\rho(x,n)$  to denote its number of parts. The following two lemmas give some basic properties about a partition that avoids a.

**Lemma 2.** Let P be a maximal partition of a+b that avoids a. Then,  $|P| = \rho(a, a+b) \ge \lceil b/1 \rceil$ .

*Proof.* Consider a partition of a + b with  $\lceil b/1 \rceil - 1$  instances of 1 and a remainder part  $r = a + b - 1(\lceil b/1 \rceil - 1)$ . For any subset P' of this partition, either P' contains r, or P' contains purely copies of 1. In the former case, the subset sum of P' cannot be a, since r > a. In the latter case, the subset sum of P' cannot be a, since 1 does not divide a. Thus, the above partition avoids a, and contains  $\lceil b/1 \rceil$  parts. This completes the proof of the lemma.

**Lemma 3.** If a partition P avoids a, P contains less than a integers that is a factor of a; in other words,  $|\{\pi \mid \pi \in P \text{ and } \pi < 1\}| < a$ .

*Proof.* Let  $P = \{\pi_1, \pi_2, \ldots\}$  with  $\pi_i \leq \pi_j$  when i < j. Moreover, let k be the number of integers in P that is a factor of a (i.e., k is the largest index such that  $\pi_k < 1$ ).

Let  $S_i$  denote the set of integers in  $[1, \mathbf{a}]$  that can be written as a sum from a subset of integers in  $P_i = \{\pi_1, \pi_2, \dots, \pi_i\}$ . Thus, if  $\pi_1 < 1$ , we have  $S_1 = \{\pi_1\}$ , and  $|S_1| = 1$ . We claim that  $|S_i| < |S_{i+1}|$  for any  $i \in [1, k-1]$ , so that  $|S_k| \ge k$ . Furthermore, since P avoids  $\mathbf{a}$ , we must have  $|S_k| < \mathbf{a}$ , so that we obtain the desired relationship that  $k < \mathbf{a}$ .

It remains to prove the claim. Suppose on the contrary that  $|S_i| = |S_{i+1}|$  for some  $i \in [1, k-1]$ . This implies that  $\pi_{i+1} \in S_i$ , or else  $S_{i+1}$  contains at least one more integer than  $S_i$ . As  $\pi_{i+1} \in S_i$ , we must now have  $2 \cdot \pi_{i+1} \in S_i$  for the same reason. Inductively, for each  $t \geq 1$  such that  $t \cdot \pi_{i+1} \leq a$ , we must have  $t \cdot \pi_{i+1} \in S_i$ . Since  $\pi_{i+1}$  is a factor of a, this implies that  $a \in S_i$ . In other words, a can be written as a sum of a subset of integers in  $P_i \subseteq P$ ; this contradicts with the fact that P avoids a. Thus, the claim (and the lemma) follows.

Based on the above lemmas, we are ready to establish the key lemma and the main theorem of this subsection as follows.

**Lemma 4.** Let P be a maximal partition of a+b that avoids a. If b > 1 ( $(1+1)(a-1) + \lceil a/1 \rceil$ ), P must contain at least  $\lceil a/1 \rceil = \lfloor a/1 \rfloor + 1$  instances of 1.

*Proof.* We shall prove this by contradiction. Let us fix a maximal partition P, and use  $X_i$  to denote the number of instances of i contained in P. Assume on the contrary that  $X_1 \leq \lfloor a/1 \rfloor$ . Then, we have

$$|P| = \sum_{1 \le i < 1} X_i + X_1 + \sum_{i \ge 1+1} X_i.$$

Since  $\sum_i i \cdot X_i = a+b$ , under the conditions that  $\sum_{1 \le i < 1} X_i \le a-1$  by Lemma 3 and  $X_1 \le \lfloor a/1 \rfloor$  by the assumption, we get

$$|P| \leq a - 1 + \left\lfloor \frac{a}{1} \right\rfloor + \frac{(a+b) - 1 \cdot (a-1) - 1 \cdot (\lfloor a/1 \rfloor)}{1+1}$$

$$= a - 1 + \frac{b+1 + \lfloor a/1 \rfloor}{1+1} = a - 1 + \frac{b + \lceil a/1 \rceil}{1+1}.$$

By Lemma 2, we have  $|P| \ge \lceil b/1 \rceil \ge b/1$ , so that

$$\frac{b}{1} \le a-1+\frac{b+\lceil a/1\rceil}{1+1}.$$

Multiplying both sides by 1(1+1), we obtain

$$b(1+1) \le 1(1+1)(a-1) + b1 + 1[a/1].$$

This contradicts with the condition that  $b > 1((1+1)(a-1) + \lceil a/1 \rceil)$ , and the lemma thus follows.

**Theorem 4.** Let P be a maximal partition of a+b that avoids a. If  $b > 1 ((1+1)(a-1) + \lceil a/1 \rceil)$ , then  $P' = P \cup \{1\}$  must be a maximal partition of a+b+1 that avoids a.

*Proof.* We first show that P' avoids a, and then further show that P' is a maximal partition. Both facts together will complete the proof.

P' avoids a: Suppose on the contrary that some subset of P' adds up to a. This happens only if some subset of P adds up to a or a-1. The former case cannot occur since P avoids a. For the latter case, let S be a subset of P whose sum is exactly a-1. Then, S contains at most  $\lceil a/1 \rceil - 1$  instances of 1 (otherwise the sum exceeds a-1); however, by Lemma 4, P contains at least  $\lceil a/1 \rceil$  copies of 1, so that  $1 \in P \setminus S$ . Consequently,  $S \cup \{1\} \subseteq P$ , whose sum is exactly a. This contradicts with the assumption that P avoids a.

P' is maximal: Suppose on the contrary that there exists some partition S' of  $\mathtt{a} + \mathtt{b} + \mathtt{l}$  that avoids  $\mathtt{a}$ , and with |S'| > |P'|. By Lemma 4, S' contains at least one instance of 1. Removing one instance of 1 from S' would give a partition S of  $\mathtt{a} + \mathtt{b}$  that avoids  $\mathtt{a}$ , and with |S| = |S'| - 1 > |P'| - 1 = |P|. This contradicts with the maximality of P.

The above theorem, together with Corollary 4, immediately leads to the following corollary:

Corollary 6. For sufficiently large b,

$$\rho(a, a + b + 1) = \rho(a + b) + 1$$
  
 
$$\tau(a, b + 1) = \tau(a, b) + 1 - 1.$$

#### 4.2 Speed-Up in Finding Optimal Foolproof Scheme

Based on Theorem 4, we can find an optimal foolproof scheme in an alternative way, as shown in Algorithm 2. Basically, if b is sufficiently large, we will first calculate a maximum k such that b-k1 remains sufficiently large, and construct a maximal partition P of a+b-k1 that avoids a. Then, we extend P to a maximal partition P' of a+b by adding k copies of 1. Else, we will simply run Algorithm 1 to obtain the answer. Consequently, for any value of b, the running time of the algorithm is bounded by

$$p(\mathtt{a} + \mathtt{l}((\mathtt{l} + 1)(\mathtt{a} - 1) + \lceil \mathtt{a}/\mathtt{l} \rceil)) \times O(\mathtt{a}) \approx O\left(e^{\pi\mathtt{l}\sqrt{2\mathtt{a}/3}}\right),$$

which is independent of b.

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Algorithm 2 A Faster Algorithm to Find Optimal Foolproof Scheme
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## 5 extended problem

We shall discuss several problems simular to the foolproof problem in section 1. These are called inference problems. For convenience, we assume fake coins are minor in the whole coins.(e.g.  $(a \le b)$  In the same way in Section 2, we present a scheme as a graph. There are four inference problems: infering a fake coin, a real coin, real-fake pair, a fake coin and a real coin. We -, +, +, superscription to denote them separately.

# Infering a real-fake pair

There are a fake coins and b real coins (a,b>0). The real coins are all the same weight and also the counterfeit coins, but two types are different weight. Each time we compare only two coins have the same weight or not. In this case, assume a, b are known. Find the smallest number of comparison that we can infer a pair is real-fake. In this problem, we don't need to know which one is fake.

**Definition 4.** If a scheme could infer a real-fake coin. We say this graph is a inferable<sup> $\pm$ </sup> scheme for fake ( $IS^{\pm}$ ). Otherwise it's not inferable<sup> $\pm$ </sup>.

Optimal inferable scheme for fake  $(OIS^{\pm})$  is  $IS^{\pm}$  with minimum number of comparison. Deonte the number of edges of  $OIS^{\pm}$  by  $\tau^{\pm}(\mathtt{a},\mathtt{b})$ .

Note that in the foolproof problem. We can infer the pair on the scale which is unbalanced. But sometimes before the unbalance appears, we can infer a pair is real-fake. The following shows the answer is  $\tau(a,b)-1$ .

**Lemma 5.**  $OIS^{\pm} = removing \ an \ arbitrary \ edge. \ That \ is, \ \tau^{\pm}(a,b) = \tau(a,b) - 1$ 

*Proof.* We divide the proof of the equation into two inequations. For conciseness, we denote  $\tau(a,b)-1$  by  $\tau$  and the answer of this problem as  $\tau^{\pm}$ .

For a optimal foolproof scheme  $G(\text{with } \tau \text{ edges})$ . Let G' = (G remove an arbitrary edge e), then through F'. If G' has unbalance comparison, we conclude the one is unbalanced, otherwise we could conclude e is unbalanced. Either case shows G' is sufficient to infer a real-fake pair.

$$\tau^{\pm} \le \tau - 1$$

If we could infer a pair e is real-fake through the optimal scheme  $F(\text{with } \tau^{\pm} \text{ comparisons})$ . Then the graph F + e is foolproof.

$$\tau < \tau^{\pm} + 1$$

From the above inequations, the lemma holds.

Two conditions of a testing scheme

When testing a testing scheme, there are two conditions.

Condition 1. there is an unbalanced edges

Condition 2. they are all balanced

For infering a  $\pm$ , we must ganrantee both conditions work. For Condition 1, we would simplify infer the unbalanced edges. For Condition 2, we would infer the edge must be unbalanced because adding this edge would form a foolproof scheme. For the following inference problems(-,+,+-), we shall use the same logic.

## Infering a fake coin

There are a fake coins and b real coins (a, b > 0). The real coins are all the same weight and also the counterfeit coins, but two types are different weight. Each time we compare only two coins have the same weight or not. In this case, assume a, b are known. Find the smallest number of comparison that we can infer a coin is fake.

**Definition 5.** Same as previous problem, we define  $IS^-$ ,  $OIS^-$  and  $\tau^-(a,b)$ .

Note that OFS(a, b) could find a real and a fake coin. Thus  $\tau(a, b)$  is an upper bound of  $\tau^-(a, b)$ . A  $IS^-(a, b)$  better than OFS(a, b) must use another strategy, which is, though all comparisons result in balance we could still infer a '-'.

Before solving IS<sup>-</sup>, we observe how a inferable<sup>-</sup> scheme works.

For a testing scheme, there are two conditions

If **Condition 1.** happens then we immediate infer the fake coin from the unbalanced. If **Condition 2.** happens, we must claim a fake coin, and this coin couldn't be a real one in any condition, otherwise this inference is wrong.

We present an optimal inferable<sup>-</sup> scheme as a integer partition for an example. Let (a,b)=(2,6), this scheme is [3,3,'1',1] .If **Condition 2**, we infer the '1' is the fake coin. To explain more, we introduce the following lemma.

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**Lemma 6.** If Condition 2, the scheme is inferable for a fake coin  $c_1$  if and only if removing  $c_1$ 's part, the remaining parts avoids a. Physically,  $c_1$  must not be a real coin with **Condition** 2.

To find a inferable better than OFS must solve Condition 2. Denote the part of the fake coin to be inferred as  $i(i \le a)$ . Beyound the remaining a + b - i parts, (1)they must avoid a, since if there's a subset sum a, assign the subset '+', this claim is wrong (2)they must contain a-i or equivalently contain b, since there must exist a condition such that the coin is fake and Condition 2.

**Definition 6.** For convenience, we define Q(n,a,b) as the number of edges that avoids a but contains b

Note that  $Q(n, a, b) \ge \tau(a, n - a)$ 

For Condition 2.,  $1 \le i \le a$  for  $IS^-$ , thus we get the following lemma.

$$\mathbf{Lemma~7.}~\tau^{-}(\mathtt{a},\mathtt{b})=min\{\tau(\mathtt{a},\mathtt{b}),\{i-1+Q(\mathtt{a}+\mathtt{b}-i,\mathtt{a},\mathtt{b})|1\leq i\leq \mathtt{a}\}\}$$

We are ready to solve  $OIS^-$  now.

**Theorem 5.**  $OIS^{-}(a,b) = optimal\{OFS(a,b), \{a \text{ tree of } i\text{-1 nodes and } OFS(a,b-i)|1 \leq i \leq i \}$ 

 $\tau^{-}(a, b) = min\{\tau(a, b), \{i - 1 + \tau(a, b - i) | 1 \le i \le a\}\}$ 

*Proof.* We divide the proof into inferable part and optimal part.

(inferable Part:)

For OFS(a,b) could infer a fake coin because only **Condition 1.** happens. For {a tree of i nodes and O.  $i)|1 \le i \le a\}$ , if Condition 2., we infer one coin of the i-node tree as fake coin. (Optimal Part:) from lemma 5.2

$$\tau^{-}(a,b) = \min\{\tau(a,b), \{i-1+Q(a+b-i,a,b)|1 \le i \le a\}\}$$
  
 
$$\geq \min\{\tau(a,b), \{i-1+\tau(a,b-i)|1 \le i \le a\}\}$$

is a optimal lower bound.

And  $optimal\{OFS(a,b), \{a \text{ tree of i nodes and } OFS(a,b-i)|1 \le i \le a\}\}$  reaches this lower bound.

### Infering a real coin

There are a fake coins and b real coins (a, b > 0). The real coins are all the same weight and also the counterfeit coins, but two types are different weight. Each time we compare only two coins have the same weight or not. In this case, assume a, b are known. Find the smallest number of comparison that we can infer a coin is real.

**Definition 7.** Same as previous problem, we define  $IS^+$ ,  $OIS^+$  and  $\tau^+(a,b)$ .

OIS<sup>+</sup> is trivial. That is, comparing a coins in a group.

**Theorem 6.**  $OIS^+(a,b) = a \text{ tree of } a+1 \text{ nodes}$ 

*Proof.* We divide the proof into inferable<sup>+</sup> part and optimal part.

#### (Inferable<sup>+</sup> Part:)

we omit this part since it's same as previous theorem.

#### (Optimal Part:)

$$\tau^{+}(a,b) = \min\{\tau(a,b), \{i-1+Q(a+b-i,b,a)|1 \le i \le b\}\}$$

$$\geq \min\{\tau(a,b), \{i-1+\tau(a-i,b)|1 \le i \le b\}\}$$
 by Theorem 2.2.
$$\geq \min\{\lfloor \frac{a+b}{2} \rfloor, i-1+\frac{a-i+b}{2}\}$$

$$\geq \min\{a,a\} = a$$

is a optimal lower bound.

a tree of a + 1 nodes reaches optimal lower bound.

#### Infering a real coin and a fake coin

There are a fake coins and b real coins (a,b>0). The real coins are all the same weight and also the counterfeit coins, but two types are different weight. Each time we compare only two coins have the same weight or not. In this case, assume a, b are known. Find the smallest number of comparison that we can infer a coin is real and another coin is fake.(e.g. a real-fake pair that we know which one is fake)

**Definition 8.** Same as previous problem, we define  $IS^{+-}$ ,  $OIS^{+-}$  and  $\tau^{+-}(a,b)$ .

This problem seems the same as the foolproof problem, but not exactly. The difference is whether the real-fake pair need to be compared on the scale. For most cases, OFS is optimal for this problem but not all cases. That is, the answer is  $\tau$ . Consider (a, b) = (3, 8), there's a OFS, [2, 2, 2, 5]. An edge removed, the scheme [2, 2, 2, 4', 1'] is not only inferable but also  $^+$ –('4' and '1').(see explaination in Figure) In this case, the answer is  $\tau - 1$ .



Figure 3: A foolproof scheme for (a, b) = (3, 8)

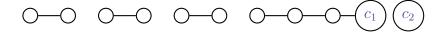


Figure 4: An  $IS^{+-}$  for (a,b) = (3,8). If there is an unbalance edge, we infer the fake and real coin of the pair. If edges are all balance, we can infer the coin  $c_1$  is real and the coin  $c_2$  is fake.

Before discussing how to find an  $IS^{+-}$ , we observe the range of  $\tau^{+-}(a,b)$ . Since  $\tau(a,b)$  is sufficient to infer a fake coin and a real coin.

$$\tau^{+-} < \tau$$

Through we cound infer a fake coin and a real coin, add this edge into the  $OIS^{+-}$ , it's foolproof.

$$\tau < \tau^{+-} + 1$$

Combine the inequations

$$\tau - 1 \le \tau^{+-} \le \tau$$

Before solving  $IS^{+-}$ , we observe how this works.

If **Condition 1.** happens then the solution works. If **Condition 2.** happens, we infer a fake coin and a real coin. This pair is not in the  $IS^{+-}$ . Adding this pair(edge) into the  $IS^{+-}$ , the new graph is foolproof.

**Lemma 8.** Any inferable<sup>+-</sup> scheme is either a foolproof scheme or a foolproof scheme with an edge removed.

**Theorem 7.** Any optimal inferable<sup>+-</sup> scheme is either a optimal foolproof scheme or an optimal foolproof scheme with an edge removed.

Unlike infering a real-fake pair, removing an arbitrary edge from a OFS may not work. We enumerate the edge removed for each OFS then check whether it's inferable<sup>+-</sup>. Checking a scheme's inferability<sup>+-</sup> is similar to checking its inferability<sup>+</sup>. Condition 1 is simple and the scheme to ganrantee Condition 2 don't happen is FS. We shall focus on Condition 2. If Condition 2 happen can we infer a +-? To explain more, we return to the case Figure 4, the reason Figure 4 works is from lemma 6. Because removing  $c_1$ 's component, the multiset [2,2,2,1] avoids b

# 6 Open questions

## 6.1 Cycle happens earlier

As in theorem 4.3 and 4.4, we proved  $\rho(a,b)$  would be in cycle for  $b > d(d+1)(a-1) + (a-(a \mod d))$ . But observing  $\rho$ table in Results 3.2,  $\rho$  seems to get in cycle earlier. After computer comfirmation, we got results bellow.

a	b: $\rho(a, b)$ start to cycle by theorem	b: $\rho(a, b)$ start to cycle by computer comfirmation
1	1	1
2	13	1
3	15	5
4	40	10
5	29	9
6	105	29
7	43	13
8	91	22
9	57	17
_10	118	28

Since the algorithm cost exponential time, we could only comfirm this in small case.

But if it get in cycle earlier in general case, we can use a better(smaller) K algorithm 4.1 and run faster.

### 6.2 Avoidance integer partition

As in algorithm 3.1,4.1, to find an optimal solution require enumerating partitions of a + b avoiding a. In the algorithms, we enumerating all partitions of a + b and check if it avoids a. So the time complexity is analyzed as the (number of partitions) times checking avoidance. If exist a way to enumerate avoidance and bound it tighter, the time complexity would be better.

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