

Apex Clearing Corporation  
350 N. St. Paul Street 1300  
Dallas, TX 75201

# tastyworks.

Account Number: 1NE-23456

OFFICE SERVING YOU  
TASTYWORKS, INC

ROBIN BANKS  
7557 TASTY COURT  
LAS VEGAS, NV 89149

## Transaction Confirmation

THANK YOU for letting Apex Clearing Corporation ("Apex") serve you (the "Customer", "you", or "your") through your brokerage firm (the "Introducing Broker"). Amounts of securities due must be received in Apex's office on or before the settlement date shown.

- All orders are received and executed subject to the rules, regulations and customs of the SEC, FINRA, MSRB, FRB and the exchange or market where the order is entered, the provisions of the Securities Exchange Act of 1934 and Apex, if any.
- Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum, under circumstances which will permit the commingling thereof with securities of other customers, all without further notice to the Customer.
- If shares loaned for a short sale are no longer available, Apex reserves the right to decide, by random selection, which positions will be subject to a buy-in.
- Upon written request and where available, further details of items herein may be provided including: the execution date and time, the counterparty when acting as agent, the detailed breakdown of any commissions and fees and the remuneration details, if any, to Apex, or your Introducing Broker for directing orders to select market participants. To the extent any bonds, asset backed, preferred, or other securities mentioned herein have provisions that may cause a call or prepayment, the details and the effect on the yield shown here are available on written request.
- Zero Coupon, Compound Interest and Multiplier Securities-No Periodic Payments – callable below maturity value without notice by mail to holder unless registered.
- All transactions on this confirmation are presumed to be unsolicited unless noted otherwise on this trade confirmation.
- Any ratings data (which is subject to change) that may be provided, has been obtained from ratings services which Apex believes to be reliable, however, there is no guarantee of accuracy or completeness.
- Any Good Till Canceled (GTC) orders may expire or be terminated by your Introducing Broker or Apex in their ordinary course of business after the order has remained open for a reasonable period of time. Please contact your Introducing Broker for more specific details. Until such expiration or cancellation, or cancellation by the Customer or your investment advisor, all open orders are considered good. When entering a substitute order or changing an existing order, the responsibility for cancelling the original order rests upon the Customer. Therefore, if a Customer fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) will be entered into Customer's account.
- Failure of Customer to notify Apex in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.
- Mutual Funds: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. Upon selling your shares, you may pay a sales charge. For more detailed information and other data on fees etc. see the Prospectus, the Statement of Additional Information or contact your Financial Advisor.
- The default Cost Basis Election or tax relief method used by Apex for tax reporting is First-In-First-Out (FIFO) for Equities and Bonds and Average cost for Mutual Funds. Please contact your Introducing Broker if you wish to change the default tax-relief method for your account or specify different tax lots for liquidation.
- The responsibility to cancel an open order resides with Customer. Any transactions which result from the execution of any order which Customer has not instructed Apex to cancel will be entered into Customer's account.
- Underwriting (market 7): the securities described herein are being offered under prospectus or offering circular, which provides the only representations upon which this offering is made. A copy of this material is enclosed, or has been sent to you under separate cover. Unless promptly advised in writing to the contrary, your Introducing Broker assumes that this material has been received by you.
- Market Maker: where the phrase "Market Maker" (or similar language) appears on the front of this confirmation, your Introducing Broker is making a market in this security, i.e. buying or selling such security for its own account on a regular or continuous basis. It may, however, discontinue the maintenance of such market at any time, without notice.
- Asset-Backed Securities: the actual yield received may vary according to the rate at which the underlying receivable or other financial assets are prepaid. Information concerning the factors that affect yield, including the estimated yield, the weighted average life and the prepayment assumptions underlying the yield, will be provided by your Introducing Broker upon written request.
- Mortgage-Backed Securities transactions are subject to the PSA "Uniform Practices for Clearance and Settlement of Mortgage-Backed Securities".
- Apex receives remuneration for directing orders to particular broker/dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transaction may be disclosed upon written request. Your Introducing Broker, that clears trades through Apex, may share in such payments or may directly receive payment for order flow for certain transactions. Details may be furnished upon written request.
- Backup Withholding – If you have not provided us with your correct social security number / tax ID number, under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.
- Broker-dealers are assessed fees by various market centers on certain securities transactions and Apex charges transaction fees to cover these assessments. While Apex endeavors to collect amounts roughly equivalent to what Apex expect Apex's assessments to be, the actual amounts Apex charges as fees may be different than the amounts Apex is assessed by those market centers. Specific details regarding these fees may be available upon request written request.
- If the official statement/Prospectus is available, you can view them at WWW.EMMA.MSRB.ORG. In the event the official statement/Prospectus is not available on EMMA your broker has an obligation to provide you with information during the primary offering period but no later than settlement date.
- Payment of Interest to holders of Municipal Securities- you may be subject to a substitute interest payment if the transfer of ownership of your municipal security has not been completed prior to the next interest payment. Please contact a tax professional for more specific details.

MKT = Market in which transaction was Executed/Cleared

- |                           |                                   |
|---------------------------|-----------------------------------|
| 1-New York Stock Exchange | 7-Underwriting                    |
| 2-NYSE Alternext          | 8-Foreign Exchanges, Money Funds, |
| 3-Other US Exchanges      | Stock Dividends, Redemptions,     |
| 4-Mutual Funds            | "Reorgs" or Tenders               |
| 5-Options                 | 9-Other                           |
| 6-Over-the-Counter/NASDAQ |                                   |

Buy/Sell Codes:

- |                   |
|-------------------|
| B = Buy           |
| S = Sell          |
| X#B = Cancel Buy  |
| X#S = Cancel Sell |

Account Types

- |                                  |
|----------------------------------|
| 1-Cash                           |
| 2-Margin                         |
| 3-Income                         |
| 4-Non-Negotiable                 |
| 5-Short                          |
| 6-Special Subscription           |
| 7-Description Available on Req.  |
| 8-Description Available. on Req. |
| 9-RVP/DVP                        |

Currency Codes:

- |                     |
|---------------------|
| AUD: Australian \$  |
| CAD: Canadian \$    |
| EUR: Euros          |
| GBP: British Pounds |
| HKD: Hong Kong \$   |
| JPY: Japan Yen      |
| MXN: Mexican Peso   |
| NZD: New Zealand \$ |
| Add'l Currencies    |
| Contact Your Broker |

CAP=Capacity in which the firm acted:

- |  |
|--|
| 1-2, 4-5, 8-As AGENT   |
| 3-As PRINCIPAL, your broker has bought from you or sold to you and may have received a profit or loss on the transaction |
| 6-As AGENT for both buyer and seller.  |
| 7-As PRINCIPAL, we have bought from you or sold to you and may have received a profit or loss on the transaction.        |
| 9-Other  |
| 0-Multiple cap.(two or more of agent, principal, & agent for another)  |
| A – As Agency  |
| B – As Principal   |

ACCOUNTS CARRIED BY APEX CLEARING CORPORATION  
MEMBER FINRA, SIPC & NYSE (214) 765-1055 TAX ID #13-2967453

Account cleared by  
Apex Clearing Corporation  
350 N. St. Paul Street 1300  
Dallas, Texas 75201

Account Number: 1NE-23456

Account Name: ROBIN BANKS

Acct Type	B/S	Trade Date	Settle Date	QTY	SYM	PRICE	Principal	COMM	Tran Fee	Add'l Fees	Tag Number	Net Amount	Trade#	M K T	C A P	
2	S	11/15/17	11/16/17	1		2.1500000	215.00	0.00	0.06	0.10	A9054	214.84	TUA1116	1	1	
Desc:	PUT CMG	12/15/17	260	CHIPOTLE MEXICAN GRILL INC						Interest/STTax:	0.00	CUSIP:	8KCNSX9			
Currency: USD		ReportedPX:		Markup/Down:												
Trailer:		UNSOLICITED, CLOSING CONTRACT														
2	B	11/15/17	11/16/17	1		3.0000000	300.00	0.00	0.04	0.10	A9074	300.14	TUA1116	1	1	
Desc:	PUT CMG	12/15/17	265	CHIPOTLE MEXICAN GRILL INC						Interest/STTax:	0.00	CUSIP:	9LBDB4			
Currency: USD		ReportedPX:		Markup/Down:												
Trailer:		UNSOLICITED, CLOSING CONTRACT														
2	S	11/15/17	11/16/17	1		1.7900000	179.00	5.00	0.00	0.00	15056	174.00	TUA1116	8	1	
Desc:	CALL VIX	11/15/17	12	CBOE VOLATILITY INDEX						Interest/STTax:	0.00	CUSIP:	8BKQXT2			
Currency: USD		ReportedPX:		Markup/Down:												
Trailer:		A/E 8BKQXT2 1 EXERCISED, CLOSING CONTRACT														

SUMMARY FOR CURRENT TRADE DATE: 11/15/17

TOTAL SHARES BOUGHT:	1.00	TOTAL DOLLARS BOUGHT:	-300.14
TOTAL SHARES SOLD:	-2.00	TOTAL DOLLARS SOLD:	388.84