DTSA 5511 Final Project

Facial Keypoint Detection Through CNN With Data Augmentation

Tom Vo

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In this project I am going to work through the Facial Kepoints Detection Kaggle Competition and build a convolutional neural network (CNN) to perform facial recognition. I chose this project because I am very interested in computer vision. One day I want to develop AI guidance systems for spacecraft, and so the more exposure I get to various aspects of computer vision the better. My final for supervised learning (DTSA-5509) was a variety of supervised models that identified rice grains by species from images. My final for unsupervised learning was a variety of unsupervised models that identified pnuemonia from chest X-rays. In this project, I will use a supervised deep learning model to identify key features in faces. The benefit of working through a Kaggle competition is the ability to (1) learn from others who have submitted open-source code to the competition, and (2) get immediate feedback from Kaggle as to the performance of my model.

Here is the general plan:

- 1) Download, Import, and Inspect the data
- 2) Clean the data
- 3) Perform EDA
- 4) Build an initial CNN, evaluate it against validation
- 5) Perform hyper parameter optimization to improve results
- 6) Submit best (by validation) CNN predictions to Kaggle
- 7) Debrief

Before running this notebook, please ensure that you have all the necessary libraries installed. Also please use a kernel with a GPU enabled, otherwise the training time will be overwhelming. Thank you for your time, and I hope you enjoy my project.

```
In [1]: # Import libraries
import pandas as pd
import numpy as np
import matplotlib.pyplot as plt
import tensorflow as tf
from keras.layers import Conv2D, MaxPooling2D, BatchNormalization, Dense, Flatten, Dropo
from keras.models import Sequential
from keras.layers import LeakyReLU
import os
import time
```

Data Importation and Cleaning

Kaggle's wonderful API is an excellent method to get the data for this project if you are working on a local runtime. If you haven't already, to install the API just call "!pip install kaggle" in a new cell. Alternatively you can run a notebook through Kaggle's cloud computing resource, which has the benefit of allowing the user free access to GPU runtime. This is a good option if your graphics card is not compatible with TensorFlow. Before downloading the data, please ensure that your working directory is set how you'd like it for this project. I have mine in my DTSA-5511 homework directory. I'll manually unzip and place the data for this project in a folder called "data".

```
os.chdir("C:/Users/first/Desktop/DTSA 5511 HW/Final Project/")
In [3]:
        os.getcwd()
        'C:\\Users\\first\\Desktop\\DTSA 5511 HW\\Final Project'
Out[3]:
        !kaggle competitions download -c facial-keypoints-detection
In [4]:
        facial-keypoints-detection.zip: Skipping, found more recently modified local copy (use -
        -force to force download)
In [5]: #Confirm contents of "./data"
        os.listdir("./data")
Out[5]: ['.ipynb_checkpoints',
         'IdLookupTable.csv',
         'SampleSubmission.csv',
         'test',
         'train']
In [6]: training_df = pd.read csv("./data/train/training.csv")
        testing df = pd.read csv("./data/test/test.csv")
```

Basic Data Inspection:

Before we get into cleaning, let's look at the data size, data shape, and data types for our testing and training data. I'll use the os.path.size() function to look at the memory requirements for the data, the pd.DataFrame.head() method to provide an overview of the training and test data, and then a manual snip from the test.csv file to provide a more clear presentation of a cleaning concern.

```
In [7]: training_df.head(1)
Out[7]: left_eye_center_x left_eye_center_y right_eye_center_x right_eye_center_y left_eye_inner_corner_x left_eye_inner
0 66.033564 39.002274 30.227008 36.421678 59.582075
```

```
In [9]: print("The total memory requirement for the training data is:", os.path.getsize("./data/print("The total memory requirement for the testing data is:", os.path.getsize("./data/t The total memory requirement for the training data is: 238.06481 MB
```

The total memory requirement for the training data is: 238.06481 ME The total memory requirement for the testing data is: 59.822141 MB

The data for this project are very messy. The data encode greyscale images of faces, but are stored in .csv files which are not rectangular. We will need to clean them. Greyscale image data are generally encoded as values indicating the brightness of individual pixels. There is only one datum per pixel, unlike RGB images which require three dimensions per pixel. Unfortunately, the image data themselves are written as a single string sequence of numbers. Here is a snip of the test dataset for clarification:

	lmageld	lmage
1	1	182 183 182 182 180
2	2	76 87 81 72 65 59 64
3	3	177 176 174 170 169
4	4	176 174 174 175 174
5	5	50 47 44 101 144 14
6	6	177 177 177 171 142
7	7	77 55 44 56 58 61 67
8	8	156 160 162 166 150
9	9	230 230 231 231 231
10	10	132 129 126 128 146
11	11	182 182 182 182 182
12	12	207 205 204 202 205
13	13	121 83 58 41 37 36 3

We will need to build a custom parser to turn the training and testing data into a numpy ndarray to feed to keras. The training dataset is a little bit more rectangular, because it also includes all the labels that we want to find. That said, it does still have the issue of requiring a parser for the image data. One side note: in general when doing computer vision a user is given a bunch of actual photos. A great library for converting photos to workable image data is cv2, whose imread and resize methods are fabulous conversion tools to get data into a numpy.ndarray.

Before we rectangularize the datasets, lets first check for null values in the training data. The pd.DataFrame.info() method is an excellent way to see where null values are in a data frame.

```
<class 'pandas.core.frame.DataFrame'>
RangeIndex: 7049 entries, 0 to 7048
Data columns (total 31 columns):
                                                Non-Null Count Dtype
     Column
 0 left_eye_center_x 7039 non-null float64
1 left_eye_center_y 7039 non-null float64
2 right_eye_center_x 7036 non-null float64
3 right_eye_center_y 7036 non-null float64
4 left_eye_inner_corner_x 2271 non-null float64
5 left_eye_inner_corner_y 2271 non-null float64
6 left_eye_outer_corner_x 2267 non-null float64
7 left_eye_outer_corner_y 2267 non-null float64
8 right_eye_inner_corner_y 2267 non-null float64
 8 right_eye_inner_corner x 2268 non-null float64
 9 right_eye_inner_corner_y 2268 non-null float64
 10 right eye outer corner x 2268 non-null float64
 11 right eye outer corner y 2268 non-null float64
 12 left eyebrow inner end x 2270 non-null float64
 13 left_eyebrow_inner_end_y 2270 non-null float64
 14 left eyebrow outer end x 2225 non-null float64
 15 left eyebrow outer end y 2225 non-null float64
 16 right eyebrow inner end x 2270 non-null float64
 17 right_eyebrow_inner_end_y 2270 non-null float64
 18 right eyebrow outer end x 2236 non-null float64
 19 right eyebrow outer end y 2236 non-null float64
 20 nose_tip_x 7049 non-null float64
21 nose_tip_y 7049 non-null float64
22 mouth_left_corner_x 2269 non-null float64
23 mouth_left_corner_y 2269 non-null float64
24 mouth_right_corner_x 2270 non-null float64
25 mouth_right_corner_y 2270 non-null float64
26 mouth_center_top_lip_x 2275 non-null float64
27 mouth_center_top_lip_y 2275 non-null float64
28 mouth_center_bottom_lip_x 7016 non-null float64
 20 nose tip x
                                                7049 non-null float64
 28 mouth center bottom lip x 7016 non-null float64
 29 mouth_center_bottom_lip_y 7016 non-null float64
 30 Image
                                                 7049 non-null object
dtypes: float64(30), object(1)
```

memory usage: 1.7+ MB

All but three of the columns in the training set have null values. Moreover, there are lots of features that only have values for $\frac{2271}{7049} \times 100\% = 32.2\%$ of their observations. The lack of labels would generally make these observations useless, but removing approximately seventy percent of our dataset is inane. We should instead use an imputation method. The pandas.fillna() method supplies us with a few different ways of imputing labels. We could either forward-fill or back-fill labels from the last/next valid observation using fillna(), which is akin to selecting a random legal value from the feature's probability density function. Another good method is to impute the mean value into each missing value.

When considering methods of imputation, it is critical to consider the modelling techniques that will be employed on the data. We will be feeding the training data into a CNN attatched to an ANN. A worst case scenario is that the ANN learns to simply predict the mean value for each imputed label because it was by far the most prevalent (mode) observation in the training set. As such in order to protect the diversity of our data, I will employ a back-fill imputation rather than a mean value imputation. After backfilling I will forward fill to take care of possible last-index missing value issues. One concern is that the missing values are not evenly spaced, and so we may have large counts of replicated labels. This is unlikely, but we can shuffle the data before imputing to avoid any potential issues with non-independent rows.

```
In [11]: #Randomly shuffle rows, then reset row indecies
    training_df.sample(frac=1).reset_index(drop=True)
    #Impute missing values
#Citation https://pandas.pydata.org/pandas-docs/stable/reference/api/pandas.DataFrame.fi
```

```
training_df.fillna(method = "bfill", inplace = True)
training_df.fillna(method = "ffill", inplace = True)
#Count columns with the condition "is any element in the feature null?"
training_df.isnull().any().value_counts()
```

Out[11]: False 31 dtype: int64

We have succeeded in imputing random valid values into the training data. Now we need to fix the image data and load it into a numpy.ndarray for Keras.

Cleaning note: within the image feature, there are missing values recorded as null characters, i.e. ". To clean these I'll go ahead and map them to the mean value for a grayscale picture, 128. These missing values aren't common, so I'm not too concerned that the ANN will start just guessing 128.

```
In [12]: def cleanImages(images series : pd.Series) -> np.ndarray:
             # This function will be used on both the training and test image data to convert to
            startTime = time.time()
            imgs = list()
            for img in images series:
                 #Split the images using a space as seperator. split() returns a list.
                 these pix chrs = img.split(" ")
                 #Convert data type to float from character. We want float b/c we will be normali
                 these pix vals = [float(128) if j == "" else float(j) for j in these pix chrs]
                 imgs.append(these pix vals)
             # Convert images from list to numpy.ndarray
            np images = np.array(imgs)
             \#shape = (n obs, 9216). 9216 is a perfect square b/c we have square images :)
            ## We want one channel images to feed into Keras, ergo the following reshape:
            np images = np images.reshape((-1, 96, 96, 1))
            endTime = time.time()
            print("Total image conversion time:", round(endTime - startTime, 2), "seconds")
            return(np images)
In [13]: training images = cleanImages(training df["Image"])
        print("The resulting numpy.ndarray for the training data has shape:", training images.sh
        Total image conversion time: 80.36 seconds
        The resulting numpy.ndarray for the training data has shape: (7049, 96, 96, 1)
In [14]: testing images = cleanImages(testing df["Image"])
        print("The resulting numpy.ndarray for the test data has shape:", testing images.shape)
        Total image conversion time: 22.38 seconds
```

The last piece of cleaning to do is to extract the keypoints into a parallel numpy.ndarray to overlay the training images. We only need to do this for the training data, but I'll put it in a function anyways for future projects with similarly messy data.

The resulting numpy.ndarray for the test data has shape: (1783, 96, 96, 1)

```
In [15]: def getKeypoints(training_data : pd.DataFrame) -> np.ndarray:
    #Extract keypoints from training data
    ## The keypoint features are all features in the training dataset that aren't the im
    startTime = time.time()
    training_data = training_data.drop("Image", axis = 1)
    keypoints = list()

for index, string_keypoints in training_data.iterrows():
    keypoints.append(string_keypoints)
```

```
#Convert keypoints to float, and store in ndarray
keypoints = np.array(keypoints, dtype = "float")
endTime = time.time()
print("Total keypoint extraction time:", round(endTime - startTime, 2), "seconds")
return(keypoints)
```

```
In [16]: training_keypoints = getKeypoints(training_df)
    print("The resulting numpy.ndarray has shape:", training_keypoints.shape)

Total keypoint extraction time: 1.01 seconds
```

Total keypoint extraction time: 1.01 seconds The resulting numpy.ndarray has shape: (7049, 30)

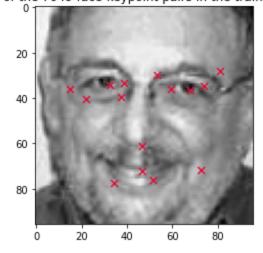
EDA and Augmentation

While I do my data augmentation for my model, I will perform EDA to keep myself and my reader in-the-loop regarding what the images that I'm modelling actually look like. First, let's see a couple faces overlaid with keypoints from the training data.

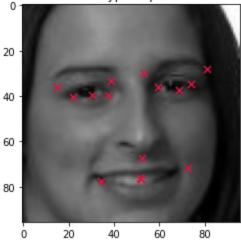
```
In [17]: import random

for i in range(5):
    idx = random.randrange(0, training_images.shape[0])
    fig, axis = plt.subplots()
    this_img = training_images[idx].reshape(96, 96)
    axis.imshow(this_img, cmap = "gray")
    axis.scatter(training_keypoints[idx][0::2], training_keypoints[idx][1::2], c = "crim plt.title("One of the 7049 face-keypoint pairs in the training data")
```

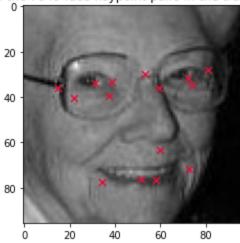
One of the 7049 face-keypoint pairs in the training data



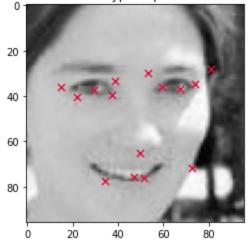
One of the 7049 face-keypoint pairs in the training data



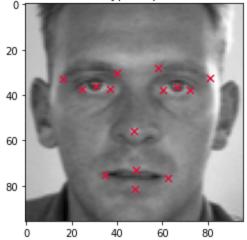
One of the 7049 face-keypoint pairs in the training data



One of the 7049 face-keypoint pairs in the training data



One of the 7049 face-keypoint pairs in the training data



Some of the keypoints are innacurate because they are imputed from other data (I'm looking at the first man, and the woman who is second to last in the printout above). Over the course of a huge number of training data and weight updates, hopefully these misses average out to an accurate prediction.

In lecture we learned that a good way to train a neural network to generalize the distribution of image data is to feed it different possible orientations of images it could recieve. People pose for photographs in many different positions, so we should make our model prepared for any orientation possible. Clarification - we will augment our dataset with rotations and translations of the initial dataset. As the images are rotated and translated, we will of course apply the same map to the keypoints. My decision to use this method was facilitated by Kaggle user "balraj98", who did a similar project with a rotation. His project is cited in my bibliography.

```
# Make image and keypoint rotation augmenter
In [18]:
         # Augmentation functions thanks to Kaggle user balraj98
         import cv2
         import math
         def rotationAugment(train imgs : np.ndarray, train keys : np.ndarray, rotationAngles : l
                 This function rotates the inputs. We will use np.concatenate to augment the trai
                 The rotations performed are both clockwise and anticlockwise in degrees, read fr
                 Output: (np.ndarray rotated imgs, np.ndarray rotated keys)
             rotated imgs = list()
             rotated keys = list()
             for abs theta in rotationAngles:
                 both directions = [-abs theta, abs theta]
                 {f for} theta {f in} both directions:
                     # Convert angle in degrees to radians. cv2 apparantly uses a non-conventiona
                     ## rotation convention where clockwise is positive, so we need a negative.
                     theta in radians = -1 * theta * math.pi / 180
                     #Create rotation matrix for warpAffine
                     R = cv2.getRotationMatrix2D((48, 48), theta, 1.0)
                     #Rotate all training images
                     for img in train imgs:
                         this rotated img = cv2.warpAffine(img, R, (96, 96), flags = cv2.INTER CU
                         rotated imgs.append(this rotated img)
                     #Rotate all training keypoints
                     for keypts in train keys:
                         # We cant just rotate every pixel against the cv2 MAT for the keypoints,
```

```
In [19]: startTime = time.time()
# Perform rotation by -15, 15 degrees.
rotation_angles = [15]
(rotated_train_imgs, rotated_train_keypoints) = rotationAugment(training_images, trainin
# The resulting dataframe will have 5 times as many observations as we started with.
## We will combine our training dataframes so that our translations will also have a 4/5
### be rotations.
training_images = np.concatenate((training_images, rotated_train_imgs))
print("After appending the rotations, the training images has shape:", training_images.s
training_keypoints = np.concatenate((training_keypoints, rotated_train_keypoints))
print("After appending the rotations, the training keypoints has shape:", training_keypo
endTime = time.time()
print("Total rotation augmentation time:", round(endTime - startTime, 2), "seconds")

After appending the rotations, the training images has shape: (21147, 96, 96, 1)
After appending the rotations, the training keypoints has shape: (21147, 30)
```

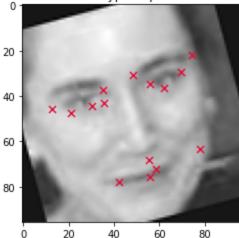
Commentary:

Total rotation augmentation time: 12.97 seconds

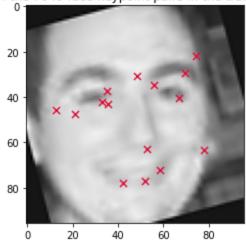
For the sake of EDA, let's look at some of the new augmented training_images. There are now five copies of each image, with rotations on the set $\{-15,0,15\}$ degrees. Hopefully these mild rotations reflect what the model might encounter in the training data. As I did before, I'll pull five random photos to plot.

```
In [20]: for i in range(5):
    idx = random.randrange(0, training_images.shape[0])
    fig, axis = plt.subplots()
    this_img = training_images[idx].reshape(96, 96)
    axis.imshow(this_img, cmap = "gray")
    axis.scatter(training_keypoints[idx][0::2], training_keypoints[idx][1::2], c = "crim plt.title("One of the 7049 face-keypoint pairs in the training data")
```

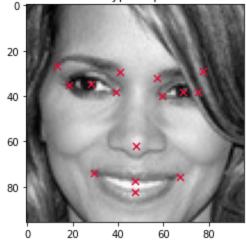
One of the 7049 face-keypoint pairs in the training data



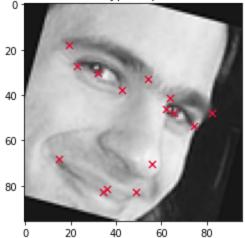
One of the 7049 face-keypoint pairs in the training data



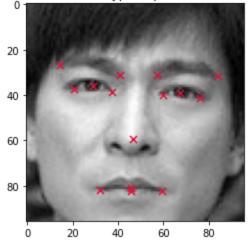
One of the 7049 face-keypoint pairs in the training data



One of the 7049 face-keypoint pairs in the training data



One of the 7049 face-keypoint pairs in the training data



Now I'll go ahead and apply affine translations to each image in training_data to further diversify it. There are a lot of different augmentations we could do on the data, but I think that rotation and translation in tandem is good enough for this project. Other possible augmentations include horizontal flips, reshading, and noising up the images. "balraj98" implements some of those in his project, and I would recommend checking that out if such augmentations are of interest.

```
In [21]:
        def translationAugment(train imgs : np.ndarray, train keys : np.ndarray, translation dis
                 This function creates translated images. The input images train imag will be map
                 pixels diagonally from their start position in each of four diagonal directions.
                 Output: (np.ndarray translated imgs, np.ndarray translated keys)
             translated imgs = list()
             translated keys = list()
             for d in translation distances:
                 #Translate in all four diagonal directions
                 all translations = [(-d, -d), (-d, d), (d, -d), (d, d)]
                 for translation in all translations:
                     #Create image translation matrix for warpAffine
                     T = np.array([[1, 0, translation[0]], [0, 1, translation[1]]], dtype = "floa"
                     # This line courtesy of balraj. We can zip together train imgs and train key
                     ## to avoid the need for playing with indecies. Much cleaner than my initial
                     for (img, keypts) in zip(train imgs, train keys):
                         this translated img = cv2.warpAffine(img, T, (96, 96), flags = cv2.INTER
                         We want to translate the x coordinate keypoints horizontally, and y coor
                         keypoints vertically. The even columned keypoints are x coordinates, whi
```

```
odd columned keypoints are y coordinates. We can use the % operator:
    """

these_translated_keypts = [(keypt + translation[0]) if (col_id % 2 == 0)
    these_translated_keypts = np.array(these_translated_keypts)

# We don't want translations that result in the keypoints being mapped o
    ## the (96, 96) grid, so we will filter any badly behaved translations o
    if np.all(these_translated_keypts > 0.0) and np.all(these_translated_key
        this_translated_img = this_translated_img.reshape(96, 96, 1)
        translated_imgs.append(this_translated_img)
        translated_keys.append(these_translated_keypts)

return (translated_imgs, translated_keys)
```

```
In [22]: startTime = time.time()
# Translate in all four legal diagonal directions by 15 pixels
dist = [15]
  (translated_train_imgs, translated_train_keys) = translationAugment(training_images, tra

  training_images = np.concatenate((training_images, translated_train_imgs))
  print("After appending the rotations, the training images has shape:", training_images.s
  training_keypoints = np.concatenate((training_keypoints, translated_train_keys))
  print("After appending the rotations, the training keypoints has shape:", training_keypo
  endTime = time.time()
  print("Total translation augmentation time:", round(endTime - startTime, 2), "seconds")

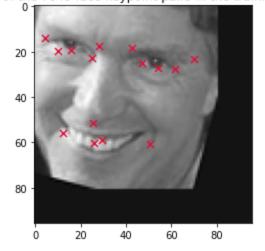
After appending the rotations, the training images has shape: (61323, 96, 96, 1)
  After appending the rotations, the training keypoints has shape: (61323, 30)
  Total translation augmentation time: 152.02 seconds
```

Commentary:

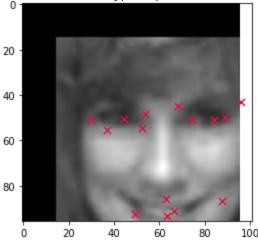
We now have a reasonable amount of training data to work with. We now have 61,323 training images to feed to our neural network. As I did after implementing the rotation augment, I'll show a random sampling of the data. Some amount less that 4/5 images will have some translation from the original, and exactly 2/3 images will have a rotation.

```
In [24]:
    for i in range(5):
        idx = random.randrange(0, training_images.shape[0])
        fig, axis = plt.subplots()
        this_img = training_images[idx].reshape(96, 96)
        axis.imshow(this_img, cmap = "gray")
        axis.scatter(training_keypoints[idx][0::2], training_keypoints[idx][1::2], c = "crim plt.title("One of the 7049 face-keypoint pairs in the training data")
```

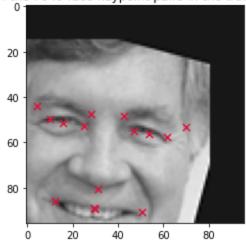
One of the 7049 face-keypoint pairs in the training data



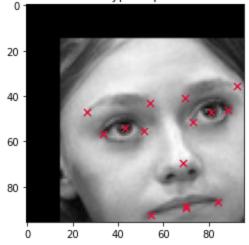
One of the 7049 face-keypoint pairs in the training data



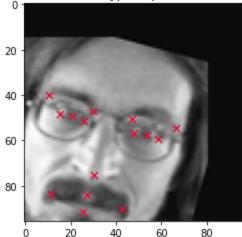
One of the 7049 face-keypoint pairs in the training data



One of the 7049 face-keypoint pairs in the training data



One of the 7049 face-keypoint pairs in the training data



As a last piece of cleaning, we will limit the amount of training data that the model encounters. We want to include all the "normal" images because they are most representative of what we are likely to encounter in the test set. I will include about 8000 augmented images, randomly selected as well.

```
In [37]:
         #Citation https://stackoverflow.com/questions/4601373/better-way-to-shuffle-two-numpy-ar
         n augmented samples = 15000 - 7049
         normal imgs = training images[:7049, :, :, :]
         normal keypts = training keypoints[:7049, :]
         augmented indecies = [i for i in range(7049, training images.shape[0])]
         p = np.random.permutation(augmented indecies)
         augmented imgs = training images[p, :, :, :]
         augmented keypts = training keypoints[p, :]
         training images = np.concatenate((normal imgs, augmented imgs[:n augmented samples, :, :
         training keypoints = np.concatenate((normal keypts, augmented keypts[:n augmented sample
        print("The final training image set has shape:", training images.shape)
In [38]:
        print("The final training keypoint set has shape:", training keypoints.shape)
        The final training image set has shape: (15000, 96, 96, 1)
        The final training keypoint set has shape: (15000, 30)
```

Model Architecture and Construction:

The model I will use to predict keypoints will have two components - a feature extractor in the form of a CNN, and a label-maker in the form of Dense ANN. In class we learned that the standard method of creating a convolutional feature extractor is to have iterations of three layers:

[Convolve, Convolve, MaxPool] $_n$

This set of three layers is then repeated a pre-specified number of times. This choice of architecture is a hyperparameter which must be optimized. In a convolution layer, pixels around a central pixel are included in a straightforward mathematical operation, and then the resulting value is stored as a weight corresponding to the initial pixel. This process is then repeated for all pixels on the input image. The MaxPool operation acts as a filter to help "blur" local features for future convolution layers - this helps pick up global features and helps deal with noisy images. As the iteration of [C, C, MP] increases, the later convolution layers will pull from "farther" features with respect to the original image, because they will be performing convolution on already convolved features, which were in turn functions of local features.

Functions of many local features become a more global feature. We are working with images of faces, so we want the ANN to "see" the entire photo before assigning key points.

In order to prevent the weights from diverging, I'll put BatchNormalization layers after each convolution layer. A batch normalization layer maps an input to a Standard Normal variable, on which we do convolution math. Smaller and more balanced values are good when working with addition and multiplication.

I will try several different architectures with several different learning rates as my hyper parameter optimization process for this project. In order to cut down on training time, I will just use the, "Adam" optimizer, though another good optimizer available in the Keras library is "RMSprop". Feel free to try that one instead and compare results.

Initial Model:

I'll use 4 [C, C, MP] iterations to start with, each with twice more filters that the previous layer. I'll use LeakyReLU activations because our Professor noted that it allow more diversity in output for negative valued inputs. LeakyReLU takes a hyperparameter α , which I'll play with after I have my architecture picked.

```
def makeCNN(initial eta : float, initial filter num : int, num CCMP layers : int):
In [39]:
                This function creates and compiles, but does not train, a CNN -> ANN model to
                process the training data and predict keypoints.
                 Input:
                     learning rate initial eta, strictly greater than 0
                     intial filter count initial filter num, should be multiple of 2
                     number of C-C-MP iterations, must be 1 <= k <= 5
                 Output:
                     Compiled keras. Sequential model
             #Set initial HP's
             in shape = (96, 96, 1)
            pad = "same"
            ker size = 3
             cntr = 2
             # Initialize model
             model = Sequential()
             #Add initial C-C-MP layer
             model.add(Conv2D(initial_filter_num, ker_size, padding = pad, input shape = in shape
             model.add(LeakyReLU()) # Can try diff values for slope
            model.add(BatchNormalization())
            model.add(Conv2D(initial filter num, ker size, padding = pad, use bias = False))
            model.add(LeakyReLU())
             model.add(BatchNormalization())
            model.add(MaxPooling2D())
             #Add the desired number of C-C-MP layers
             for layer in range(num CCMP layers - 1):
                model.add(Conv2D(cntr*initial filter num, ker size, padding = pad, use bias = Fa
                model.add(LeakyReLU())
                model.add(BatchNormalization())
                model.add(Conv2D(cntr*initial filter num, ker size, padding = pad, use bias = Fa
                model.add(LeakyReLU())
                model.add(BatchNormalization())
                model.add(MaxPooling2D())
                cntr *= 2
             #Add ANN for keypoint assignment
             ANN width = model.layers[-1].output shape[3]
             model.add(Flatten())
```

```
model.add(Dense(ANN_width, activation = "relu"))
model.add(Dropout(0.15))
model.add(Dense(30, activation = "relu")) #We are doing regression

#Add learning rate scheduler
lr_schedule = tf.keras.optimizers.schedules.ExponentialDecay(
    initial_learning_rate = initial_eta,
    decay_steps = 1000,
    decay_rate=0.9
)

#Compile model with appropriate callbacks
model.compile(
    optimizer = tf.keras.optimizers.Adam(learning_rate = lr_schedule),
    loss = "mean_squared_error",
    metrics = ["acc", "mae"]
)

return(model)
```

```
Epoch 1/5

432/432 - 26s - loss: 268.7705 - acc: 0.2630 - mae: 10.4322 - val_loss: 117.6670 - val_a cc: 0.5033 - val_mae: 8.9865 - 26s/epoch - 61ms/step

Epoch 2/5

432/432 - 7s - loss: 61.6272 - acc: 0.3943 - mae: 5.9686 - val_loss: 45.3246 - val_acc: 0.5433 - val_mae: 5.5386 - 7s/epoch - 17ms/step

Epoch 3/5

432/432 - 7s - loss: 48.9173 - acc: 0.4574 - mae: 5.2758 - val_loss: 21.1133 - val_acc: 0.6117 - val_mae: 3.4104 - 7s/epoch - 16ms/step

Epoch 4/5

432/432 - 7s - loss: 43.4597 - acc: 0.5067 - mae: 4.9428 - val_loss: 26.9383 - val_acc: 0.5475 - val_mae: 3.9368 - 7s/epoch - 16ms/step

Epoch 5/5

432/432 - 7s - loss: 40.5227 - acc: 0.5386 - mae: 4.7457 - val_loss: 19.5728 - val_acc: 0.5667 - val_mae: 3.4294 - 7s/epoch - 17ms/step
```

Commentary:

The model works! It's pretty bouncy in terms of validation loss though, so we may need to use a different set of callbacks rather than just a patience argument. I'll use a checkpointer so that we don't store worse iterations of the model. Now that we have an initial model up, we can start performing hyperparameter optimization. I'll check values of η on the set $\{10^{-5}, 10^{-4}, 10^{-3}, 10^{-2}\}$. We could really spend a large amount of computation time optimizing η , but considering how long one training cycle takes it doesn't seem worthwhile.

I will also optimize the number of [C-C-MP] layers, which can take values between 1 and 5 before the convolution space becomes odd (3 X 3) and can't be properly MaxPooled with a 2X2 filter.

Instead of doing a grid search, I'll use a greedy algorithm for my hyperparameter search. While the greedy algorithm has no guarentee of finding the global minimum for loss, it will find a local minimum, which is

good enough for a Kaggle competition. The greedy algorithm will be orders of magnitude more computationally efficient than a true grid search.

```
In [41]: # Optimize over learning rate
         eta to try = [1e-5, 1e-4, 1e-3, 1e-2]
         #callbacks list = [tf.keras.callbacks.ModelCheckpoint(filepath = './tmp/checkpoint', mon
        callbacks list = [tf.keras.callbacks.EarlyStopping(monitor="val loss", patience = 15, mo
         startTime = time.time()
         current best = (None, float("inf"), None)
         for eta in eta to try:
            #Make and train model
            print("Now fitting model with eta =", eta)
            this model = makeCNN(eta, 16, 3)
            this history = this model.fit(training images, training keypoints,
                                        validation split = 0.08,
                                        epochs = 100,
                                        batch size = 32,
                                        callbacks = callbacks list,
                                         verbose = 0
             #Save current model if it has a better last validation loss than the current best
             if this history.history["val loss"][-1] < current best[1]:</pre>
                 current best = (this history, this_history.history["val_loss"][-1], eta)
        endTime = time.time()
        print("Total learning rate optimization time:", round((endTime - startTime)/60, 2), "min
        print("Optimal learning rate was:", current best[2])
        Now fitting model with eta = 1e-05
        Now fitting model with eta = 0.0001
        KeyboardInterrupt
                                                  Traceback (most recent call last)
        Input In [41], in <cell line: 8>()
             10 print("Now fitting model with eta =", eta)
             11 this model = makeCNN(eta, 16, 3)
        ---> 12 this history = this model fit (training images, training keypoints,
             1.3
                                            validation split = 0.08,
             14
                                            epochs = 100,
                                            batch size = 32,
             15
                                             callbacks = callbacks list,
             16
                                             verbose = 0
             17
             19 #Save current model if it has a better last validation loss than the current bes
        t
             20 if this history.history["val loss"][-1] < current best[1]:
        File ~\anaconda3\lib\site-packages\keras\utils\traceback utils.py:64, in filter tracebac
        k.<locals>.error handler(*args, **kwargs)
             62 filtered tb = None
             63 try:
        ---> 64 return fn(*args, **kwargs)
             65 except Exception as e: # pylint: disable=broad-except
             66 filtered tb = process traceback frames(e. traceback )
        File ~\anaconda3\lib\site-packages\keras\engine\training.py:1409, in Model.fit(self, x,
         y, batch_size, epochs, verbose, callbacks, validation_split, validation_data, shuffle,
         class weight, sample weight, initial epoch, steps per epoch, validation steps, validati
        on batch size, validation freq, max queue size, workers, use multiprocessing)
           1402 with tf.profiler.experimental.Trace(
           1403 'train',
           1404
                   epoch num=epoch,
           1405
                   step num=step,
                 batch size=batch_size,
           1406
```

```
r=1):
   1407
  1408
        callbacks.on train batch begin(step)
-> 1409 tmp logs = self.train function(iterator)
  1410 if data handler.should sync:
   1411
          context.async wait()
File ~\anaconda3\lib\site-packages\tensorflow\python\util\traceback_utils.py:150, in fil
ter traceback. <locals>.error handler(*args, **kwargs)
   148 filtered tb = None
   149 try:
--> 150 return fn(*args, **kwargs)
   151 except Exception as e:
   152 filtered tb = process traceback frames(e. traceback )
File ~\anaconda3\lib\site-packages\tensorflow\python\eager\def function.py:915, in Funct
ion. call (self, *args, **kwds)
    912 compiler = "xla" if self. jit compile else "nonXla"
   914 with OptionalXlaContext(self. jit compile):
--> 915 result = self. call(*args, **kwds)
    917 new tracing count = self.experimental get tracing count()
    918 without tracing = (tracing count == new tracing count)
File ~\anaconda3\lib\site-packages\tensorflow\python\eager\def_function.py:947, in Funct
ion. call(self, *args, **kwds)
    944 self. lock.release()
    945
         # In this case we have created variables on the first call, so we run the
   946
        # defunned version which is guaranteed to never create variables.
--> 947 return self. stateless fn(*args, **kwds) # pylint: disable=not-callable
   948 elif self. stateful fn is not None:
   949 # Release the lock early so that multiple threads can perform the call
   950 # in parallel.
   951 self. lock.release()
File ~\anaconda3\lib\site-packages\tensorflow\python\eager\function.py:2453, in Functio
n. call (self, *args, **kwargs)
  2450 with self. lock:
  2451 (graph function,
  2452
         filtered flat args) = self. maybe define function(args, kwargs)
-> 2453 return graph function. call flat(
          filtered flat args, captured inputs=graph function.captured inputs)
File ~\anaconda3\lib\site-packages\tensorflow\python\eager\function.py:1860, in Concrete
Function. call flat(self, args, captured inputs, cancellation manager)
   1856 possible gradient type = gradients util.PossibleTapeGradientTypes(args)
  1857 if (possible gradient type == gradients util.POSSIBLE GRADIENT TYPES NONE
  1858
          and executing eagerly):
  1859
         # No tape is watching; skip to running the function.
        return self. build call outputs (self. inference function call (
-> 1860
  1861
            ctx, args, cancellation manager=cancellation manager))
  1862 forward backward = self. select forward and backward functions (
  1863
          args,
  1864
           possible gradient type,
  1865
          executing eagerly)
  1866 forward function, args with tangents = forward backward.forward()
File ~\anaconda3\lib\site-packages\tensorflow\python\eager\function.py:497, in EagerDef
inedFunction.call(self, ctx, args, cancellation manager)
   495 with InterpolateFunctionError(self):
         if cancellation manager is None:
   496
          outputs = execute.execute(
--> 497
   498
              str(self.signature.name),
   499
              num outputs=self. num outputs,
    500
               inputs=args,
   501
               attrs=attrs,
    502
              ctx=ctx)
    503
         else:
```

```
505
                       str(self.signature.name),
           506
                       num outputs=self. num outputs,
           (...)
           509
                       ctx=ctx,
           510
                       cancellation manager=cancellation manager)
        File ~\anaconda3\lib\site-packages\tensorflow\python\eager\execute.py:54, in quick execu
        te(op name, num outputs, inputs, attrs, ctx, name)
             52 try:
             53
                 ctx.ensure initialized()
                 tensors = pywrap tfe.TFE Py Execute(ctx. handle, device name, op name,
        ---> 54
                                                      inputs, attrs, num outputs)
             56 except core. NotOkStatusException as e:
             if name is not None:
        KeyboardInterrupt:
In [ ]: # Optimize over number of C-C-MP layers
        CCMP to try = [1, 2, 3, 4, 5]
        startTime = time.time()
        current best = (None, float("inf"), None)
        for CCMP in CCMP to try:
           #Make and train model
           print("Now fitting model with", CCMP, "C-C-MP layer(s)")
            this model = makeCNN(0.001, 16, CCMP)
            this history = this model.fit(training images, training keypoints,
                                        validation split = 0.08,
                                        epochs = 100,
                                        batch size = 32,
                                        callbacks = callbacks list,
                                        verbose = 0
            #Save current model if it has a better last validation loss than the current best
            if this history.history["val loss"][-1] < current best[1]:</pre>
                current best = (this history, this history.history["val loss"][-1], CCMP)
        endTime = time.time()
        print("Total C-C-MP layer count optimization time:", round((endTime - startTime)/60, 2),
        print("Optimal C-C-MP layer count rate was:", current best[2])
In [ ]: # Optimize over initial filter sizes
        size to try = [8, 16, 32, 64]
        startTime = time.time()
        current best = (None, float("inf"), None)
        for size in size to try:
            #Make and train model
            print("Now fitting model with", size, "initial filters")
            this model = makeCNN(0.001, size, 3)
            this history = this model.fit(training images, training keypoints,
                                        validation split = 0.08,
                                        epochs = 100,
                                        batch size = 32,
                                        callbacks = callbacks list,
                                        verbose = 0
            #Save current model if it has a better last validation loss than the current best
            if this history.history["val loss"][-1] < current best[1]:</pre>
                current best = (this history, this history.history["val loss"][-1], size)
        endTime = time.time()
        print("Total initial filter count optimization time:", round((endTime - startTime)/60, 2
        print("Optimal initial filter count was:", current best[2])
```

In []: print("Here's the architecture summary for the best performing model by validation loss:

outputs = execute.execute with cancellation(

```
print(current_best[0].model.summary())
```

Optimization Note:

I ran a grid search with 10,000 randomly selected data points, then upon re-load with 15,000 the kernel took too long to evaluate so I keyboard-interupted. My greedy grid search returned the following hyperparameters: learning rate = 0.001, number of C-C-MP layers = 3, and number of initial filters = 32. If you run this notebook and get different H.P.'s please let me know. I'll go ahead and train our model with those hyperparams.

Here's the model summary for the optimal model: Model: "sequential 3"

Layer (type)	Output Shape	Param #
conv2d_18 (Conv2D)	(None, 96, 96, 32)	288
leaky_re_lu_18 (LeakyReLU)	(None, 96, 96, 32)	0
<pre>batch_normalization_18 (Bat chNormalization)</pre>	(None, 96, 96, 32)	128
conv2d_19 (Conv2D)	(None, 96, 96, 32)	9216
leaky_re_lu_19 (LeakyReLU)	(None, 96, 96, 32)	0
<pre>batch_normalization_19 (Bat chNormalization)</pre>	(None, 96, 96, 32)	128
<pre>max_pooling2d_9 (MaxPooling 2D)</pre>	(None, 48, 48, 32)	0
conv2d_20 (Conv2D)	(None, 48, 48, 64)	18432
<pre>leaky_re_lu_20 (LeakyReLU)</pre>	(None, 48, 48, 64)	0
<pre>batch_normalization_20 (Bat chNormalization)</pre>	(None, 48, 48, 64)	256
conv2d_21 (Conv2D)	(None, 48, 48, 64)	36864
leaky_re_lu_21 (LeakyReLU)	(None, 48, 48, 64)	0
<pre>batch_normalization_21 (Bat chNormalization)</pre>	(None, 48, 48, 64)	256
<pre>max_pooling2d_10 (MaxPoolin g2D)</pre>	(None, 24, 24, 64)	0

```
conv2d 22 (Conv2D) (None, 24, 24, 128) 73728
leaky re lu 22 (LeakyReLU) (None, 24, 24, 128)
batch normalization 22 (Bat (None, 24, 24, 128) 512
chNormalization)
conv2d 23 (Conv2D) (None, 24, 24, 128) 147456
leaky re lu 23 (LeakyReLU) (None, 24, 24, 128)
batch normalization 23 (Bat (None, 24, 24, 128)
                                             512
chNormalization)
max pooling2d 11 (MaxPoolin (None, 12, 12, 128) 0
g2D)
flatten 3 (Flatten)
                       (None, 18432)
dense 6 (Dense)
                       (None, 128)
                                             2359424
dropout 3 (Dropout) (None, 128)
dense 7 (Dense)
                       (None, 30)
                                              3870
______
Total params: 2,651,070
Trainable params: 2,650,174
Non-trainable params: 896
Total training time for optimal model was: 20.44 minutes
```

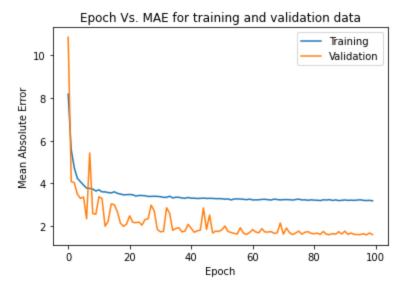
Results and Analysis:

First thing's first, let's make some graphics to visualize how well the model performed on the training and validation data. After that, we'll generate predictions on the test set and submit them to Kaggle for a score.

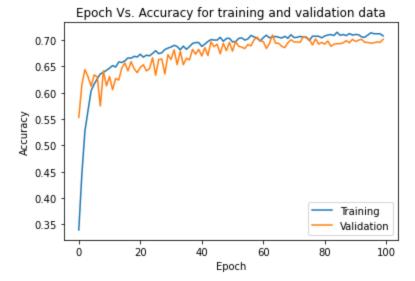
```
In [43]: # Plot MSE and Val_MSE vs. Epoch
    plt.plot(optimal_history.history["loss"])
    plt.plot(optimal_history.history["val_loss"])
    plt.xlabel("Epoch")
    plt.ylabel("Mean Squared Error")
    plt.title("Epoch Vs. MSE for training and validation data")
    plt.legend(["Training", "Validation"])
    plt.show()
```

Epoch Vs. MSE for training and validation data Training Validation Mean Squared Error Epoch

```
In [45]: # Plot MAE and Val_MAE vs. Epoch
    plt.plot(optimal_history.history["mae"])
    plt.plot(optimal_history.history["val_mae"])
    plt.xlabel("Epoch")
    plt.ylabel("Mean Absolute Error")
    plt.title("Epoch Vs. MAE for training and validation data")
    plt.legend(["Training", "Validation"])
    plt.show()
```



```
In [46]: # Plot Accuracy and Val_Accuracy Vs. Epoch
    plt.plot(optimal_history.history["acc"])
    plt.plot(optimal_history.history["val_acc"])
    plt.xlabel("Epoch")
    plt.ylabel("Accuracy")
    plt.title("Epoch Vs. Accuracy for training and validation data")
    plt.legend(["Training", "Validation"])
    plt.show()
```

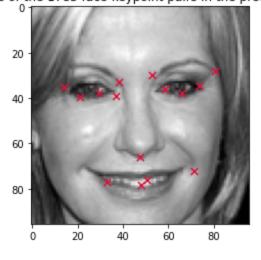


Commentary:

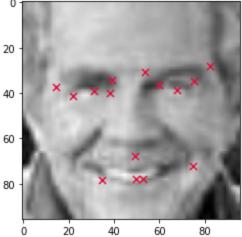
One note on the plots: the accuracy is only recorded as a "hit" if the model outputs the exact correct pixel for the keypoint. The keypoint labels are pretty fuzzy to begin with, and a bunch of the labels were imputed from other data. A final accuracy of about 70% is quite good for pixel perfect assignments. The MAE and MSE are more reasonable statistics to worry about, because they indicate how close the model is to the target - they are proper minimizable loss functions. The MAE and MSE both begin to asymptote down for the validation data due to the learning rate scheduling, which is very desirable. The MAE for the model was just about 2 pixels at the end of training, which is quite good. We probably could have let the training continue because none of the validation metrics had begun to fall off by the time training ended. The total training time was already 20 minutes though, and I didn't want to mess with optimizing number of epochs. Let's generate some predictions and submit to Kaggle.

```
In [49]: pred_keypoints = optimal_history.model.predict(testing_images)
    print("Sanity check - the predicted keypoints have shape:", pred_keypoints.shape)
# Visualize the predictions
for i in range(5):
    idx = random.randrange(0, testing_images.shape[0])
    fig, axis = plt.subplots()
    this_img = testing_images[idx].reshape(96, 96)
    axis.imshow(this_img, cmap = "gray")
    axis.scatter(pred_keypoints[idx][0::2], pred_keypoints[idx][1::2], c = "crimson", ma
    plt.title("One of the 1783 face-keypoint pairs in the prediction")
```

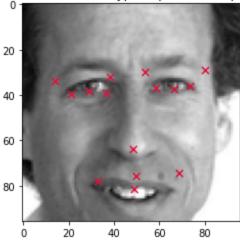
One of the 1783 face-keypoint pairs in the prediction



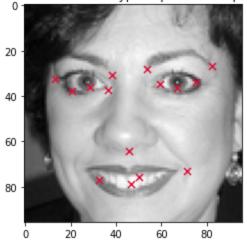
One of the 1783 face-keypoint pairs in the prediction



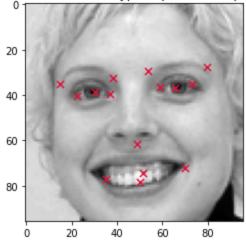
One of the 1783 face-keypoint pairs in the prediction



One of the 1783 face-keypoint pairs in the prediction



One of the 1783 face-keypoint pairs in the prediction



It looks like our model is very good at recognizing eyes and eyebrows, but not so great at noses or the boundaries of mouths. Weird. If we used more training data (not just 15000) we would have a better result. We also might get a better result by dropping all photos without clean rows instead of imputing data. Let's submit these results to Kaggle for a test score, then compare our results against a supervised model - I'll use a Random Forest.

```
# Numpy to Pandas prediction converter thanks to Kaggle user "karanjakhar"
In [61]:
         feature names = list(idlookup df['FeatureName'])
         image ids = list(idlookup df['ImageId']-1)
         row ids = list(idlookup df['RowId'])
         feature list = []
         for feature in feature names:
             feature list.append(feature names.index(feature))
         predictions = []
         for x,y in zip(image ids, feature list):
            predictions.append(pred keypoints[x][y])
         row ids = pd.Series(row ids, name = 'RowId')
         locations = pd.Series(predictions, name = 'Location')
         locations = locations.clip(0.0,96.0)
         submission result = pd.concat([row ids,locations],axis = 1)
         submission result.to csv('./CNN Predictions.csv',index = False)
```

YOUR RECENT SUBMISSION



CNN_Predictions.csv

Submitted by Ethan Tucker · Submitted a few seconds ago

Publ

Public score: 3.00980

Score: 2.73015

↓ Jump to your leaderboard position

Although the competiton is closed, we can see where my model would have been ranked if it were not:

53	* 1	Lei&Marguerite&Young hak&Chris		4	2.67690	11	6Y
54	<u>^</u> 1	CMP'18	•		2.81847	15	6Y

The model would have been ranked 54/175, which is the 69th percentile. For my first attempt at facial recognition, that's pretty reasonable. Let's implement a quick and dirty Random Forest to compare against.

Random Forest

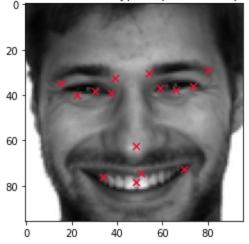
This will likely take about an hour to train, so run at your own peril. Also, please either ensure you have 8 devotable cores to the random forest, or adjust n_jobs to whatever is acceptable on your machine.

Total random forest regressor training time was 8.54 minutes

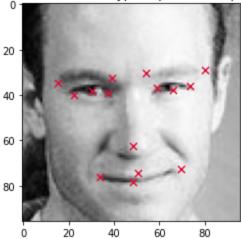
```
In [72]: # Visualize predictions from random forest regressor
print("Sanity check - the predicted keypoints have shape:", RF_preds.shape)
# Visualize the predictions
for i in range(5):
    idx = random.randrange(0, testing_images.shape[0])
    fig, axis = plt.subplots()
    this_img = testing_images[idx].reshape(96, 96)
    axis.imshow(this_img, cmap = "gray")
    axis.scatter(RF_preds[idx][0::2], RF_preds[idx][1::2], c = "crimson", marker = "x",
    plt.title("One of the 1783 face-keypoint pairs in the prediction")
```

Sanity check - the predicted keypoints have shape: (1783, 30)

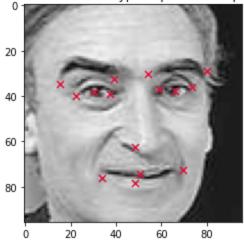
One of the 1783 face-keypoint pairs in the prediction



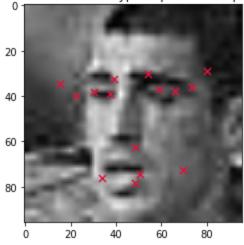
One of the 1783 face-keypoint pairs in the prediction



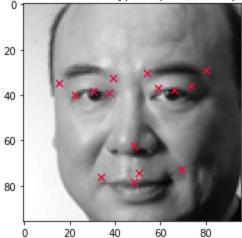
One of the 1783 face-keypoint pairs in the prediction



One of the 1783 face-keypoint pairs in the prediction



One of the 1783 face-keypoint pairs in the prediction



Commentary:

It seems like the random forest just makes the same predictions every time. This basically a null model, where we just guess the mean value of each feature. Such a prediction is essentially useless for facial recognition. The neural network is therefore heavily preferred for decision making. We could try to increase the random forest size, but accuracy is not likely to converge nearly as fast as the neural network. I'd rather spend computation time improving the neural net.

Conclusion

This project served as a personal introduction to facial recognition. I built a convolutional neural network as a feature extractor, then attached it to an artificial neural network as a regressor for facial key points. The network performed well in identifying eyes and eyebrows, but it was somewhat inaccurate in locating the tips of noses and the boundaries of mouths. This inaccuracy may have been due to the different ways people pose for photographs. Sometimes people smile, and sometimes they close their mouths. However, people generally always have their eyes open when their picture is taken.

Another source of error may have originated from my feature imputation method. The data from the Kaggle competition was quite messy - out of the approximately 7000 images, only about 2000 were fully populated with all features. I chose to impute features pseudo-randomly by back-filling and then forward-filling from the most recent valid observation of the feature. This approach may have introduced significant errors, particularly in mouth detection. A good follow-up project would be to repeat the experiment using more augmented photos from clean rows, instead of using all rows and augmenting dirty data.

While the neural network wasn't perfect, it still performed very well. I compared my AI to a random forest regressor as the final stage of my project. The random forest model utterly failed to generate adaptive keypoints based on the images it was shown. It appears that the random forest just guessed the mean value of each feature for each image, essentially acting as a null model. The neural network could be improved in the future by increasing the training times, using more augmented data, and applying a wider variety of augmentations.

References:

- 2) Image Data Augmentation
- 3) Easy Facial Keypoint Detection
- 4) Model Checkpointing

Windows

5) Shuffling numpy arrays be common permutation

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```
In [1]: # Print system information for replication
    import platform
    print(platform.machine())
    print(platform.version())
    print(platform.platform())
    print(platform.uname())
    print(platform.system())
    print(platform.processor())

AMD64
    10.0.19044
    Windows-10-10.0.19044-SP0
    uname_result(system='Windows', node='MSI', release='10', version='10.0.19044', machine
    ='AMD64')
```