

# Paper Review

[ICLR 2021] Free Lunch for Few-Shot Learning: Distribution Calibration

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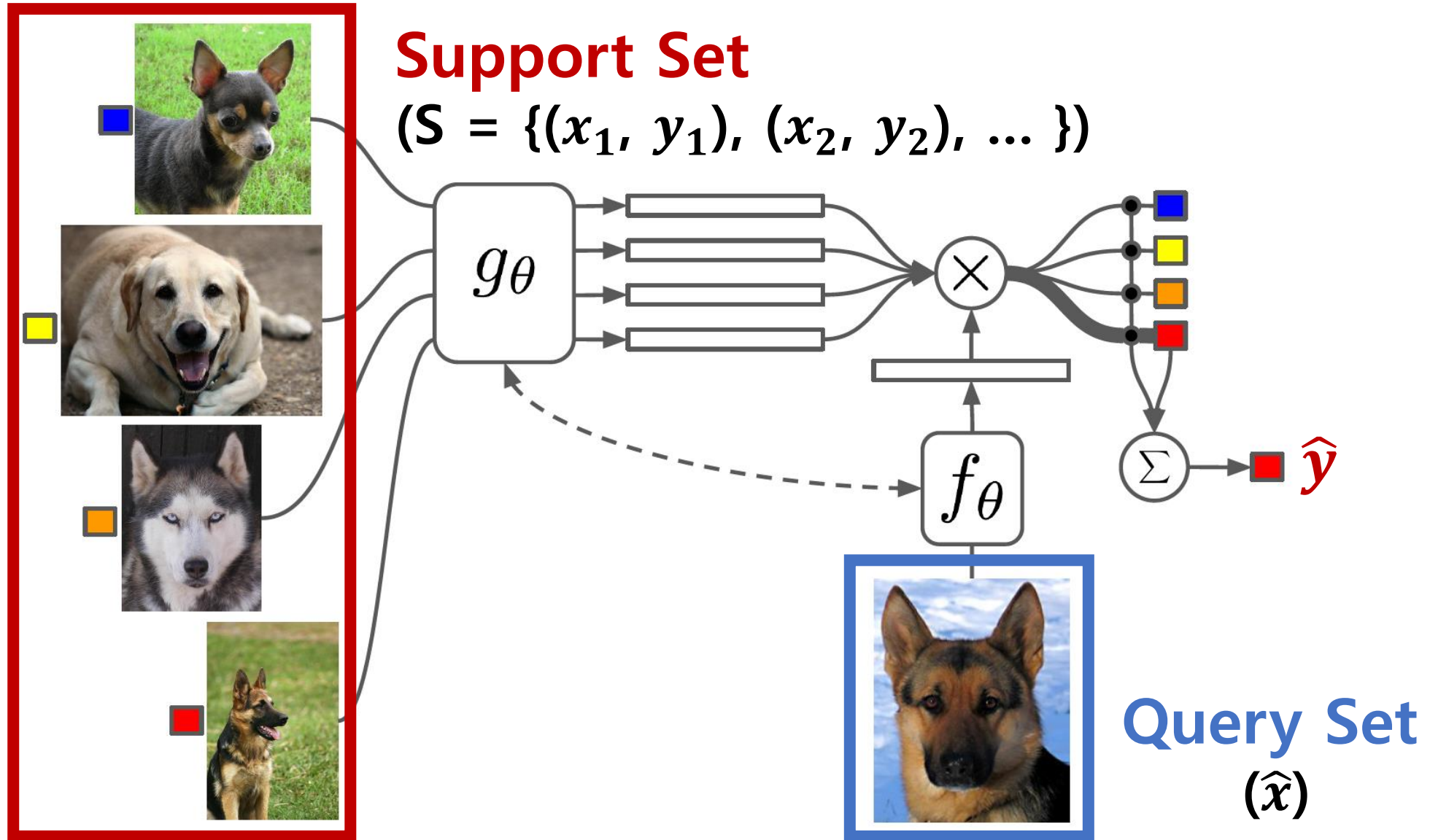
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# **1. Introduction**

# What is Meta Learning?

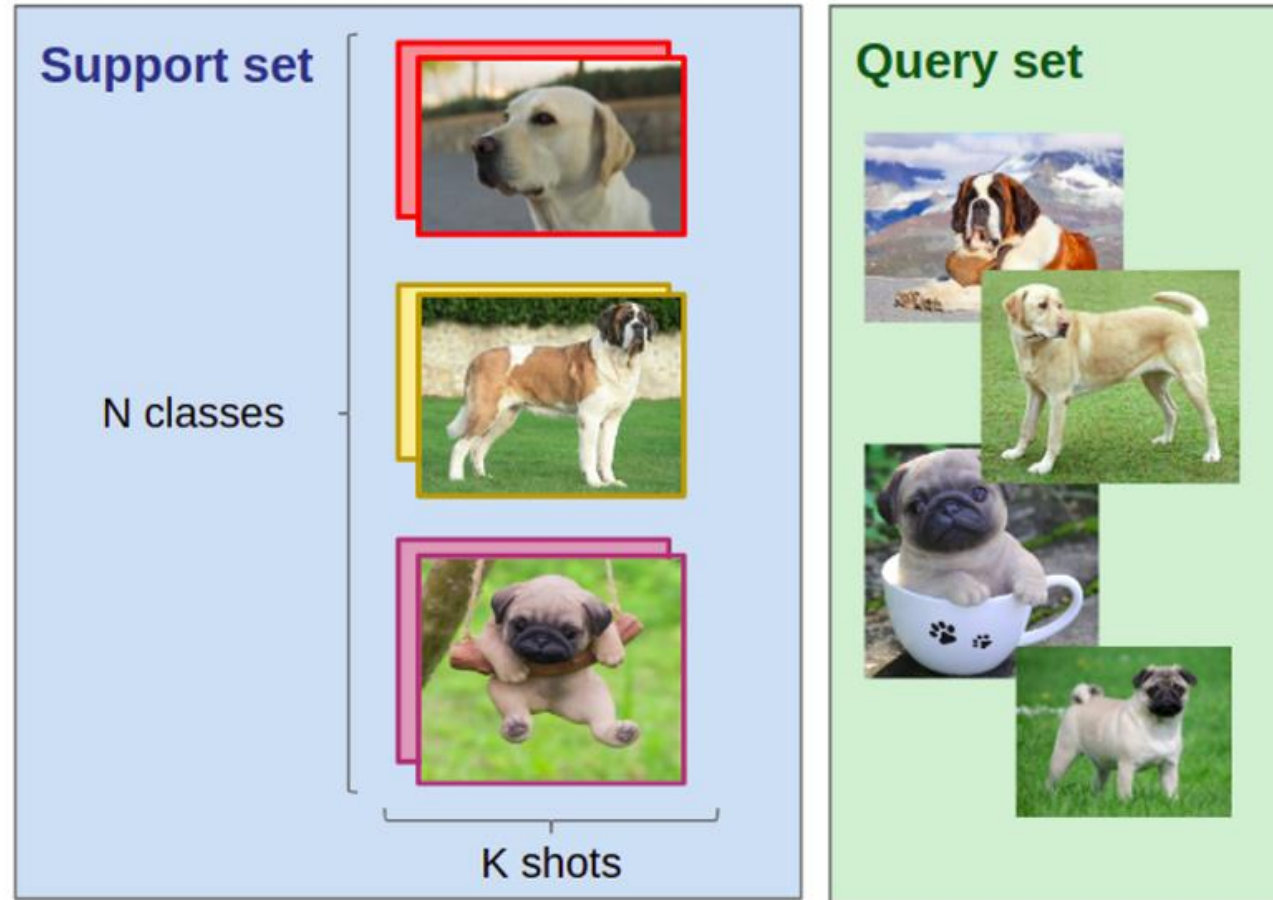
- *Learning to Learn.*
- Train machine learning model well with **small amounts of data.**
- **Matching Net** (Vinyals et al. (2016)), **MAML** (Finn et al. (2017)), ... etc.

# Example of Meta Learning (Matching Networks)

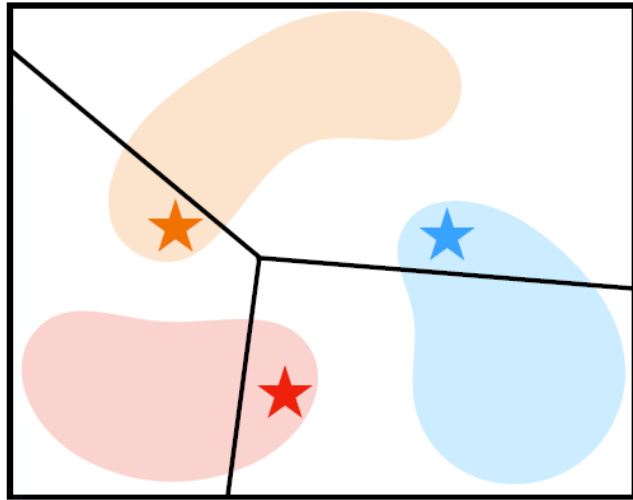


# Example of Meta Learning (Cont'd)

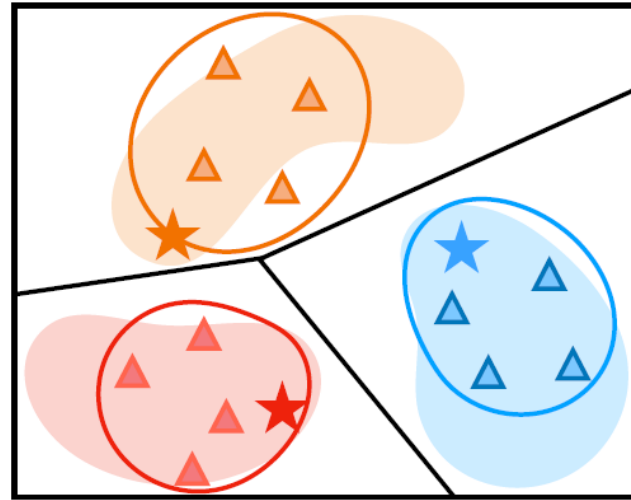
*N-way K-shot task*



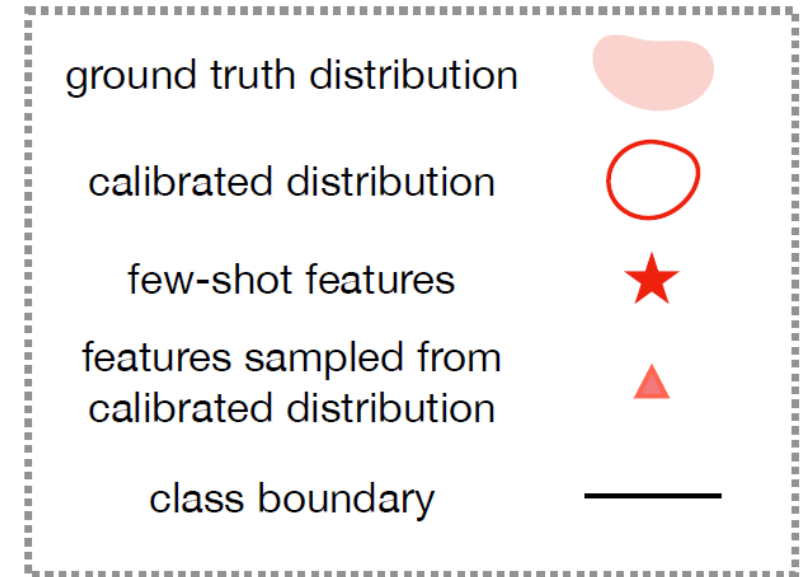
# Limitations of Few-shot Learning?



Classifier trained with few-shot features



Classifier trained with features sampled from calibrated distribution



- Each features for few-shot learning is only a small fraction of the ground truth distribution.
- Thus, model tends to **overfit** on these few samples.

# To Resolve Overfitting...

	Arctic fox	
	mean sim	var sim
white wolf	97%	97%
malamute	85%	78%
lion	81%	70%
meerkat	78%	70%
jellyfish	46%	26%
orange	40%	19%
beer bottle	34%	11%



- Obtain distribution from classes with sufficient data.
- Distribution is transferred to other classes based on the similarity.

→ **Distribution Calibration!**



## **2. Method**

# Problem Definition

## *Few Shot Learning*

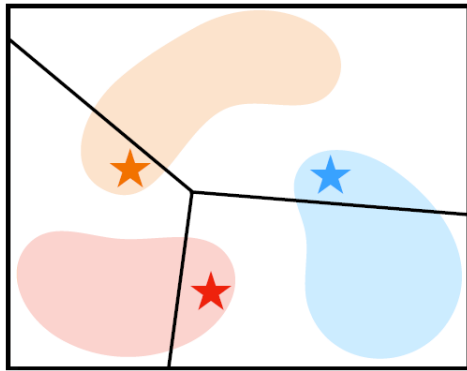
$$D = \{(\mathbf{x}_i, y_i)\} \quad \mathbf{x}_i \in \mathbb{R}^d$$
$$y_i \in \mathcal{C}, \quad \mathcal{C}_b \cap \mathcal{C}_n = \emptyset, \quad \mathcal{C}_b \cup \mathcal{C}_n = \mathcal{C}$$

Base classes  $\mathcal{C}_b$ , Novel classes  $\mathcal{C}_n$

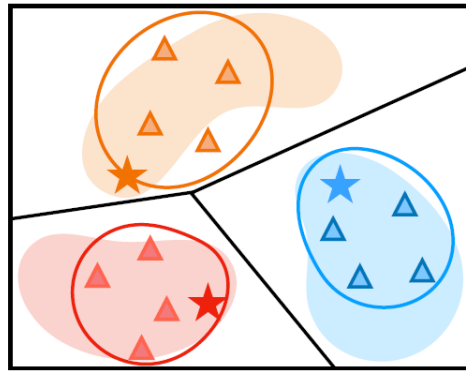
- Train a model on the data from the **base classes** so that the model can **generalize** well on tasks sampled from the **novel classes**.

# Distribution Calibration

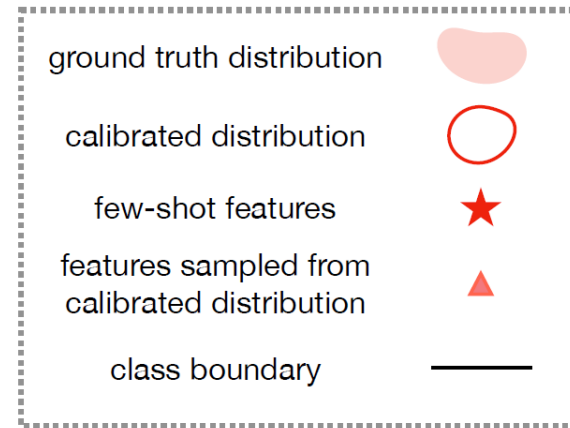
## *Introduction*



Classifier trained with  
few-shot features



Classifier trained with features  
sampled from calibrated distribution



	Arctic fox	
	mean sim	var sim
white wolf	97%	97%
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- If the feature distribution is Gaussian, the **mean** and **variance** with respect to each class are correlated to the **semantic similarity** of each class.
- The statistics can be **transferred**!

# Distribution Calibration

## *Statistics of the Base Classes*

$$\boldsymbol{\mu}_i = \frac{\sum_{j=1}^{n_i} \mathbf{x}_j}{n_i} \quad \boldsymbol{\Sigma}_i = \frac{1}{n_i - 1} \sum_{j=1}^{n_i} (\mathbf{x}_j - \boldsymbol{\mu}_i) (\mathbf{x}_j - \boldsymbol{\mu}_i)^T$$

- The authors assume the feature distribution of base classes is **Gaussian**.
- **Mean vector & Covariance matrix** for the features from a base class  $i$

# Distribution Calibration (Cont'd)

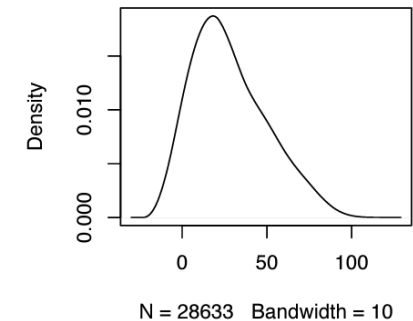
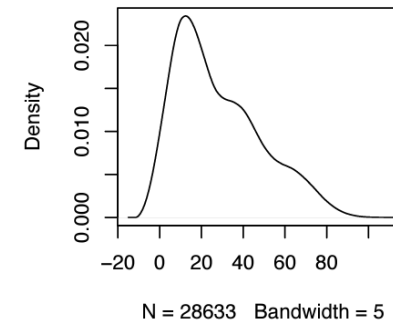
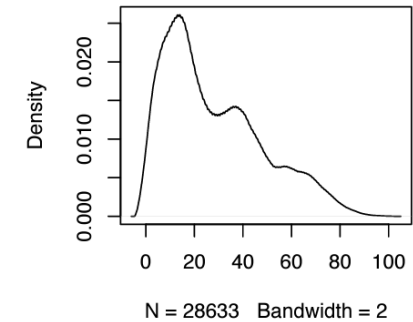
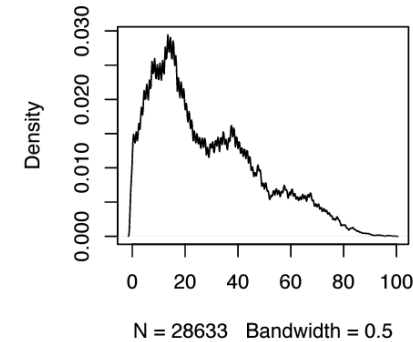
*Calibrating Statistics of the Novel Classes*

- *support set*  $S = \{(\mathbf{x}_i, y_i)\}_{i=1}^{N \times K}$
- *query set*  $Q = \{(\mathbf{x}_i, y_i)\}_{i=N \times K + 1}^{N \times K + N \times q}$

# Distribution Calibration (Cont'd)

## *Calibrating Statistics of the Novel Classes (Cont'd)*

$$\tilde{x} = \begin{cases} x^\lambda, & \lambda \neq 0 \\ \log(x), & \lambda = 0 \end{cases}$$



- Use **Tukey's Ladder of Powers transformation**.
- It makes the feature distribution more Gaussian-like.

# Distribution Calibration (Cont'd)

## *Calibration through Statistics Transfer*

$$\begin{aligned} \textcircled{1} \quad S_d &= \{-\|\boldsymbol{\mu}_i - \tilde{x}\|^2 \mid i \in C_b\} \\ \textcircled{2} \quad S_N &= \{i \mid -\|\boldsymbol{\mu}_i - \tilde{x}\|^2 \in \text{top}k(S_d)\} \\ \textcircled{3} \quad \boldsymbol{\mu}' &= \frac{\sum_{i \in S_N} \boldsymbol{\mu}_i + \tilde{x}}{k + 1} \quad \boldsymbol{\Sigma}' = \frac{\sum_{i \in S_N} \boldsymbol{\Sigma}_i}{k} + \alpha \\ \textcircled{4} \quad S_y &= \{(\boldsymbol{\mu}'_1, \boldsymbol{\Sigma}'_1), \dots, (\boldsymbol{\mu}'_K, \boldsymbol{\Sigma}'_K)\} \end{aligned}$$

- ① Select the top  $k$  base classes with the closest distance** to the feature of sample  $\tilde{x}$  from the support set.
- ② Stores the  $k$  nearest base classes** with respect to feature vector  $\tilde{x}$ .
- ③ Distribution is calibrated** by the statistics from the nearest base classes.
- ④ For few-shot learning, calibration should be undertaken multiple times** with each feature vector.

# Distribution Calibration (Cont'd)

## *Sample Features from the Calibrated Distribution*

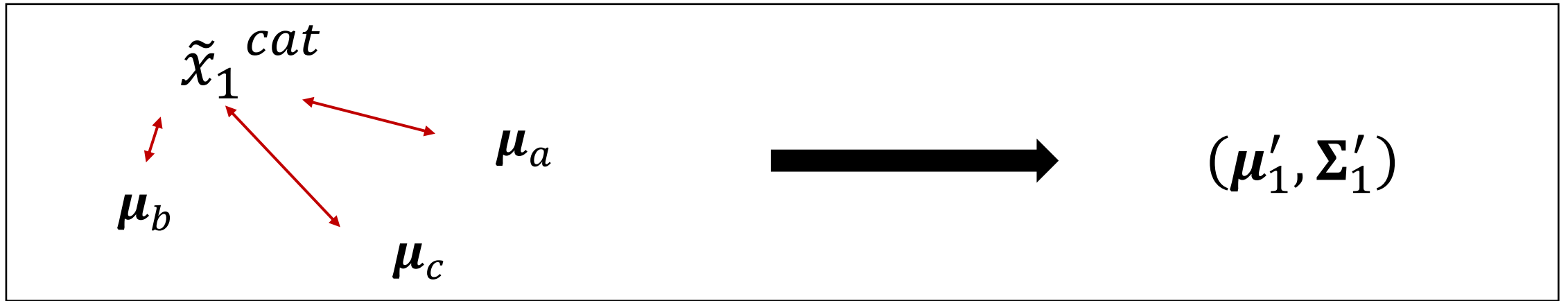
- Generate a set of feature vectors with label  $y$  by sampling from calibrated Gaussian distributions:

$$D_y = \{(\mathbf{x}, y) | \mathbf{x} \sim N(\boldsymbol{\mu}, \boldsymbol{\Sigma}), \forall (\boldsymbol{\mu}, \boldsymbol{\Sigma}) \in S_y\}$$

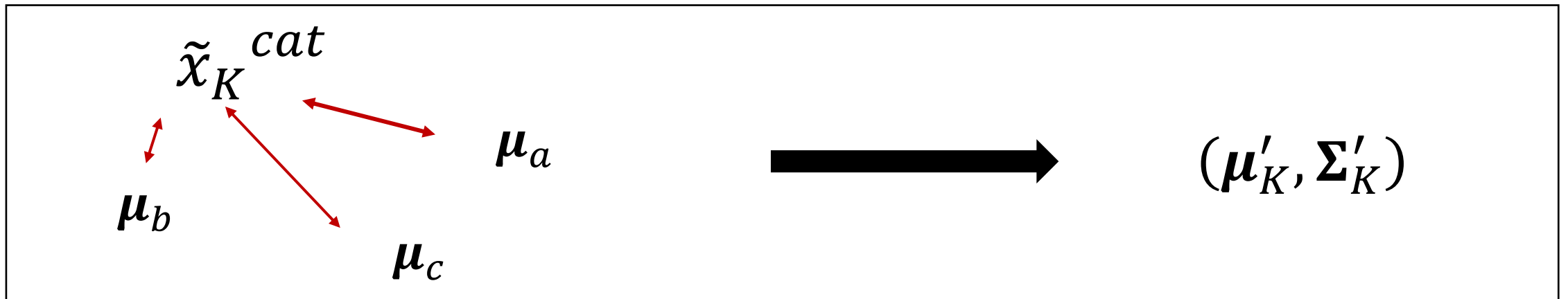
- Generated per class is a hyperparameter and they are equally distributed for every calibrated distribution in  $S_y$ .



$$S_y = \{(\boldsymbol{\mu}'_1, \boldsymbol{\Sigma}'_1), \dots, (\boldsymbol{\mu}'_K, \boldsymbol{\Sigma}'_K)\}$$



⋮



# **3. Experiments & Results**

# Dataset for Evaluation

- *miniImageNet*: **64 base** classes, **16 validation** classes, and **20 novel** classes.  
600 samples per class.
- **CUB**: 200 different classes of birds with a total of 11,788 images.  
**100 base** classes, **50 validation** classes, and **50 novel** classes.
- *tieredImageNet*: 608 classes sampled from hierarchical category structure.  
In this paper, researchers used **351**, **97**, and **160** classes for **training**, **validation**, and **test**, respectively.

# Feature Extractor

- **WideResNet** trained by base classes and test performance using novel classes.
- Feature representation is extracted from penultimate layer with **ReLU**.

# 5way1shot and 5way5shot Classification Accuracy

Methods	<i>mini</i> ImageNet		<i>CUB</i>	
	5way1shot	5way5shot	5way1shot	5way5shot
<b><i>Optimization-based</i></b>				
MAML (Finn et al. (2017))	$48.70 \pm 1.84$	$63.10 \pm 0.92$	$50.45 \pm 0.97$	$59.60 \pm 0.84$
Meta-SGD (Li et al. (2017))	$50.47 \pm 1.87$	$64.03 \pm 0.94$	$53.34 \pm 0.97$	$67.59 \pm 0.82$
LEO (Rusu et al. (2019))	$61.76 \pm 0.08$	$77.59 \pm 0.12$	-	-
E3BM (Liu et al. (2020c))	$63.80 \pm 0.40$	$80.29 \pm 0.25$	-	-
<b><i>Metric-based</i></b>				
Matching Net (Vinyals et al. (2016))	$43.56 \pm 0.84$	$55.31 \pm 0.73$	$56.53 \pm 0.99$	$63.54 \pm 0.85$
Prototypical Net (Snell et al. (2017))	$54.16 \pm 0.82$	$73.68 \pm 0.65$	$72.99 \pm 0.88$	$86.64 \pm 0.51$
Baseline++ (Chen et al. (2019a))	$51.87 \pm 0.77$	$75.68 \pm 0.63$	$67.02 \pm 0.90$	$83.58 \pm 0.54$
Variational Few-shot (Zhang et al. (2019))	$61.23 \pm 0.26$	$77.69 \pm 0.17$	-	-
Negative-Cosine (Liu et al. (2020a))	$62.33 \pm 0.82$	$80.94 \pm 0.59$	$72.66 \pm 0.85$	$89.40 \pm 0.43$
<b><i>Generation-based</i></b>				
MetaGAN (Zhang et al. (2018))	$52.71 \pm 0.64$	$68.63 \pm 0.67$	-	-
Delta-Encoder (Schwartz et al. (2018))	59.9	69.7	69.8	82.6
TriNet (Chen et al. (2019b))	$58.12 \pm 1.37$	$76.92 \pm 0.69$	$69.61 \pm 0.46$	$84.10 \pm 0.35$
Meta Variance Transfer (Park et al. (2020))	-	$67.67 \pm 0.70$	-	$80.33 \pm 0.61$
Maximum Likelihood with DC (Ours)	$66.91 \pm 0.17$	$80.74 \pm 0.48$	$77.22 \pm 0.14$	$89.58 \pm 0.27$
SVM with DC (Ours)	<b><math>67.31 \pm 0.83</math></b>	<b><math>82.30 \pm 0.34</math></b>	<b><math>79.49 \pm 0.33</math></b>	<b><math>90.26 \pm 0.98</math></b>
Logistic Regression with DC (Ours)	<b><math>68.57 \pm 0.55</math></b>	<b><math>82.88 \pm 0.42</math></b>	<b><math>79.56 \pm 0.87</math></b>	<b><math>90.67 \pm 0.35</math></b>

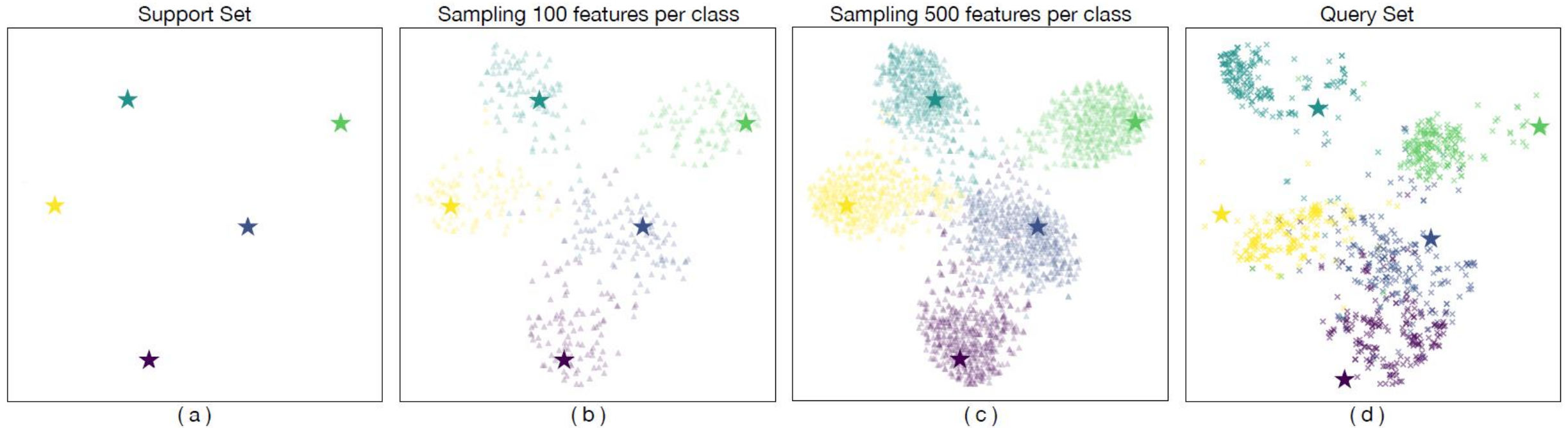
**SOTA!**

# 5way5shot Classification Accuracy

Methods	<i>tiered</i> ImageNet	
	5way1shot	5way5shot
Matching Net (Vinyals et al. (2016))	68.50 $\pm$ 0.92	80.60 $\pm$ 0.71
Prototypical Net (Snell et al. (2017))	65.65 $\pm$ 0.92	83.40 $\pm$ 0.65
LEO (Rusu et al. (2019))	66.33 $\pm$ 0.05	82.06 $\pm$ 0.08
E3BM (Liu et al. (2020c))	71.20 $\pm$ 0.40	85.30 $\pm$ 0.30
DeepEMD (Zhang et al., 2020)	71.16 $\pm$ 0.87	86.03 $\pm$ 0.58
Maximum Likelihood with DC (Ours)	75.92 $\pm$ 0.60	87.84 $\pm$ 0.65
SVM with DC (Ours)	<b>77.93 <math>\pm</math> 0.12</b>	<b>89.72 <math>\pm</math> 0.37</b>
Logistic Regression with DC (Ours)	<b>78.19 <math>\pm</math> 0.25</b>	<b>89.90 <math>\pm</math> 0.41</b>

**SOTA!**

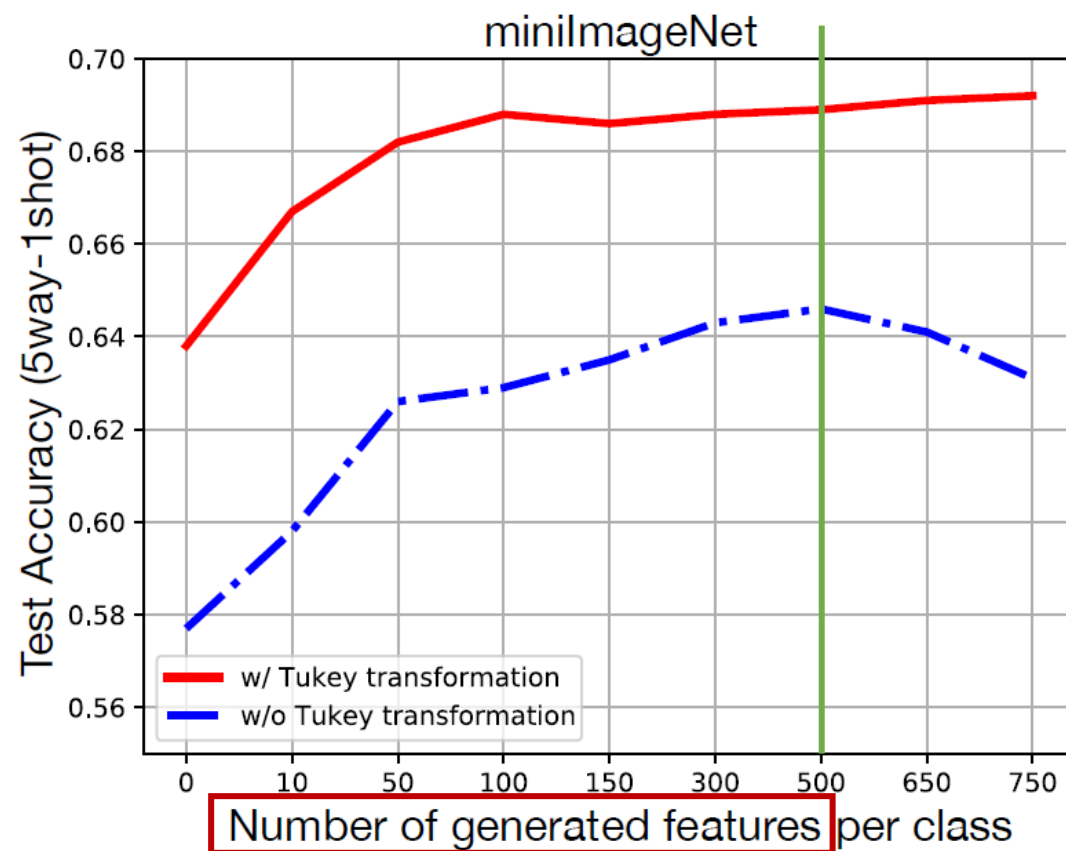
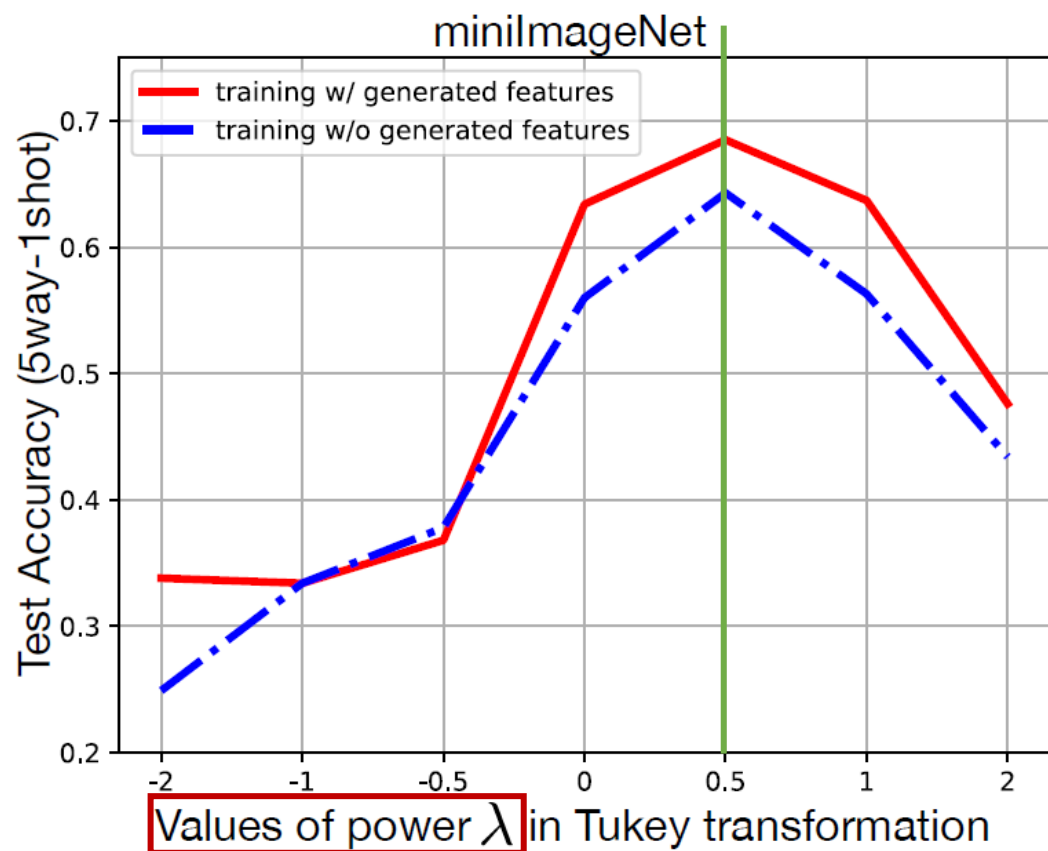
# Visualization of Generated Samples



'★': support set features, 'x' in figure (d): query set features, '▲' in figure (b)(c): generated features.

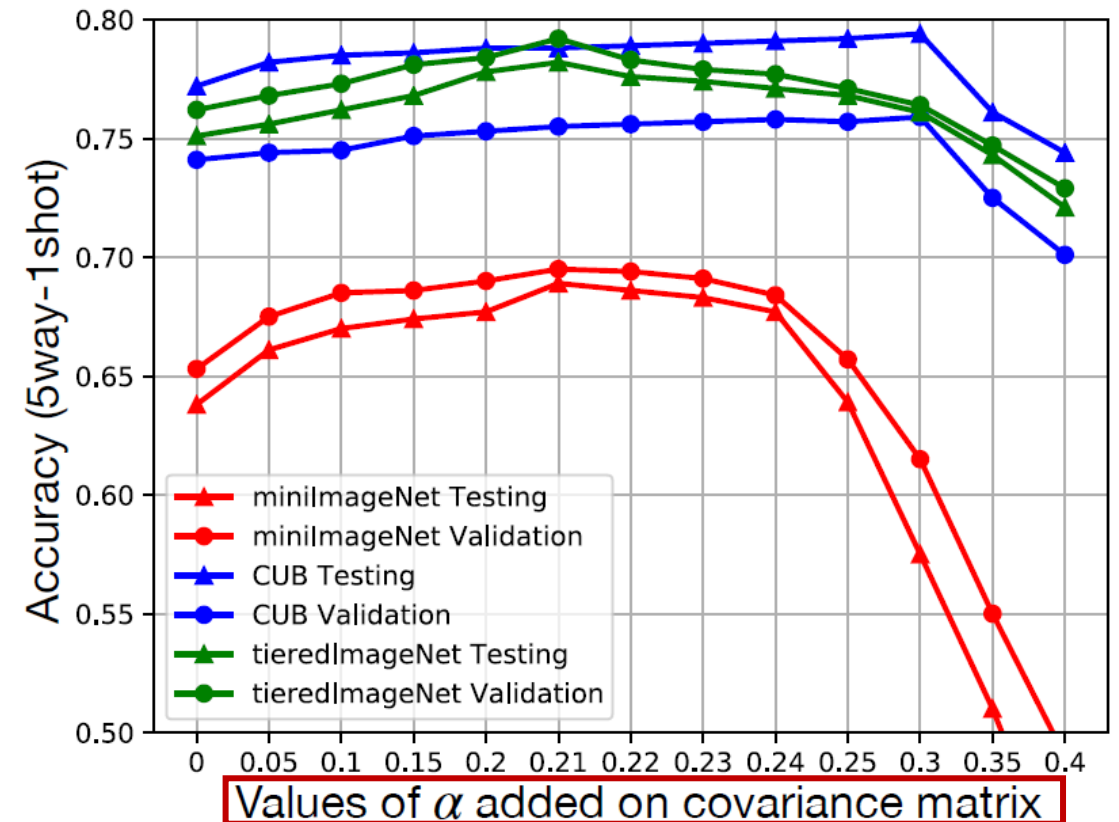
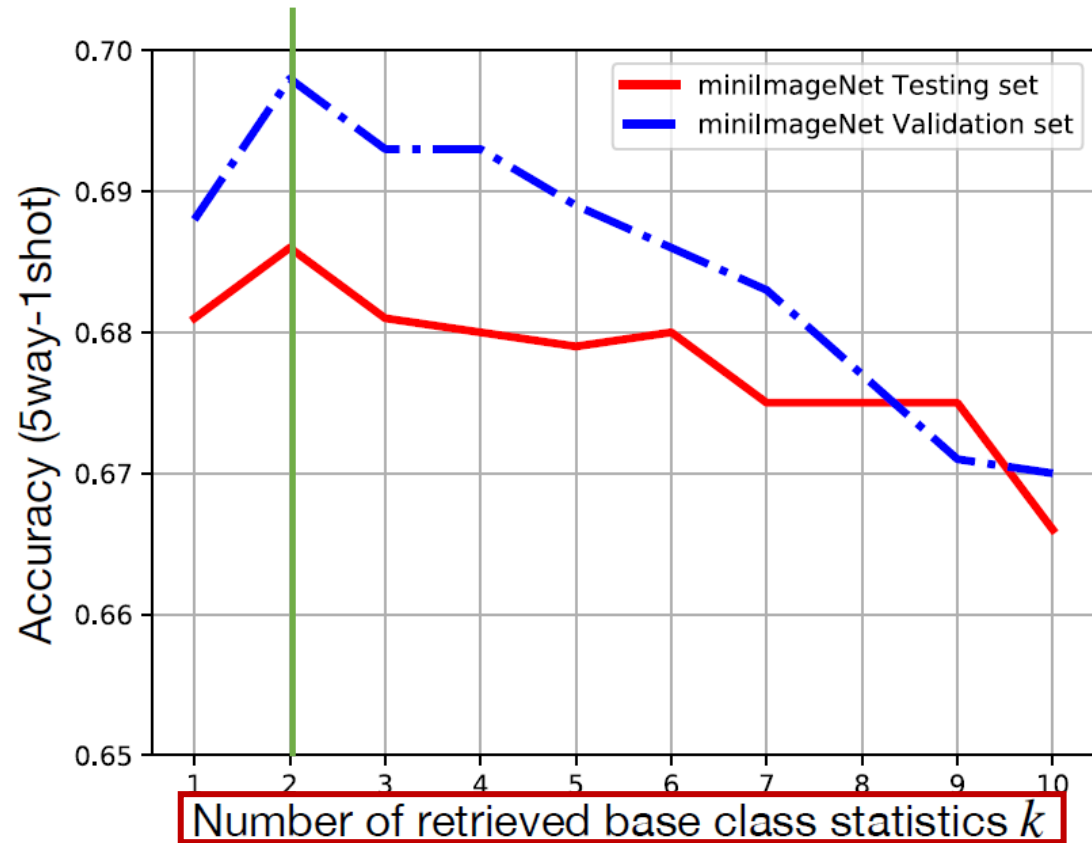
- **t-SNE analysis** is conducted.
- Training with these generated features can alleviate the mismatch.

# Effects of Hyperparameters





# Effects of Hyperparameters (Cont'd)



# 4. Conclusion

- Researchers proposed simple and effective **distribution calibration strategy**.
- Achieve **better performance** than other meta learning models.
- Distribution calibration in a variety of problem environments will be studied.

# References

- O. Vinyals, C. Blundell, T. Lillicrap, D. Wierstra, et al., "Matching networks for one shot learning," Advances in neural information processing systems, vol. 29, 2016.
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- [https://en.wikipedia.org/wiki/Beer\\_bottle](https://en.wikipedia.org/wiki/Beer_bottle)
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- J. W. Tukey et al., Exploratory data analysis, vol. 2. Reading, MA, 1977.