# An assistive handwashing system with emotional intelligence

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## Agenda

- Problem Statement
  - Motivation
  - Objectives
- 2 Basic Concepts
  - Affect Control Theory (ACT)
  - The BayesACT Framework
- Solution: System Design
  - Components
  - Coordination between components
- 4 Experimental Results
- Discussion
  - Contribution
  - Future Work

#### Problem Statement - Motivation

#### The COACH system

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- only considers functional states of users

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Using Emotional Intelligence in Assitive Systems

## Problem Statement - Objectives

To augment the COACH system with an emotional reasoning engine so that the augmented system:

- is designed in a portable and extensible way
- runs in real-time from the perspective of the user group
- provides a level of functional assistance
- produces the prompts according to the emotional state of a user

## Concepts - ACT

### Affect Control Theory (ACT)

- represents emotions as EPA vectors
- describes social events by an Actor-Behaviour-Object grammar
- "fundamentals" of identities and behaviours; shared between people within a same culture
- "transient impressions": emotional feelings caused by a specific event

## Concepts - ACT

### Affect Control Theory (ACT)

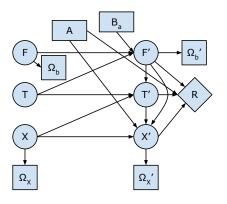
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#### The ACT Principal

Actors work to experience transient impressions that are consistent with their fundamental sentiments.

## Concepts - BayesACT

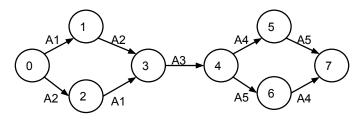
- A Bayesian version of the ACT theory
- Extends the ACT with POMDP model



- States  $S = \{F, T, X\}$ , where  $F = \{F_{ij}\}, T = \{T_{ij}\}, i \in \{a, b, c\}, j \in \{e, p, a\}$
- Note: F<sub>c</sub> denotes the agent's belief of the client's identity
- Observations  $\Omega = \{\Omega_X, \Omega_b\}$
- Actions  $\{A, B_a\}$
- Calculate  $\{A, B_a\}$  basing on  $\{F, T, X\}$

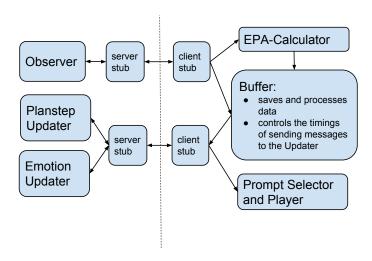
## Solution - Representing "Functional States"

Planstep Definition and Update Diagram



- Eight plansteps: (0) "off/dirty/dry", (1) "on/dirty/dry", (2) "off/soapy/dry", (3) "on/soapy/dry", (4) "on/clean/wet", (5) "off/clean/wet", (6) "on/clean/dry", (7) "off/clean/dry"
- Five behaviours: A1 to A5 are "turn on water", "put on soap", "rinse hands", "turn off water", and "use towel", respectively.

#### Solution - Overview



Use the BayesACT framework in the handwashing scenario

• Recall: BayesACT includes states  $S = \{X, F, T\}$ , observations  $\Omega = \{\Omega_X, \Omega_b\}$ , and agent actions  $\{A, B_a\}$ 

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- A denotes the propositional content of a system message;  $B_a$  denotes how the message should be expressed

#### Solution - the EPA-Calculator

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- Calculates affective interpretations from user behaviours
- Feature Selection
  - analysis on facial expressions and speeches
  - application scenario and user-group constraints
- Calculate P, A using piecewise linear interpolation method
  - The average distance between the user's two hands in a set of n
    neighbouring frames is scaled to P
  - The average distance the user's hands move between n neighbouring frames is scaled to A

#### Solution - the Observer

- Step 1: Get the locations of the user's hands
  - utilize Czarnuch and Mihailidis's body tracker [?]
  - the tracker obtains body parts locations from the depth information of images taken from an overhead perspective
  - the tracker was trained using partially labeled, unbalanced data, and is configurable and re-trainable

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### Solution - the Observer cont.

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  - the tracker obtains body parts locations from the depth information of images taken from an overhead perspective
  - the tracker was trained using partially labeled, unbalanced data, and is configurable and re-trainable
- Step 2: Map locations to user behaviours
  - · compare hands locations with object positions
  - objects: the left-tap, the right-tap, the soap, the water-flow, the towel
  - observation noise handled by the observation function in the Reasoning Engine

## Solution - the Output Part

- The prompt dataset: the audio-visual prompts generated and evaluated in Malhotra's study [?]
  - created 30 video clips using the USC Virtual Human Toolkit
  - EPA values of videos evaluated by human raters
  - the participants' answers are consistent with each other





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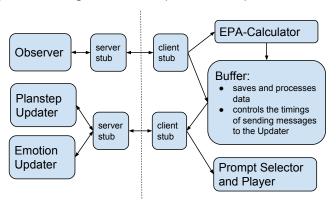




- A proper prompt is selected as the final prompt if it:
  - has the same propositional labels as the desired prompt
  - has the closest emotional (EPA) values as the desired prompt

## Solution - Coordination between components

The system is designed with independent components.



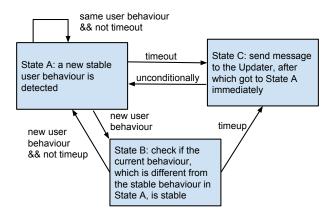
- How to coordinate between the components?
  - timings of sending request and response messages?

## Solution - The Buffer

• Between the Observer, the EPA-Calc, and the Reasoning Engine

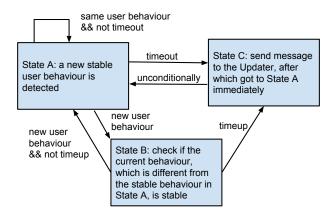
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Smoothes EPA values calucated by the Calculator

## Experiments - Latency of the system

Average latency of the system

- 46.80ms for obtaining user behaviours
- 1.65s for calculating and updating functional and emotional beliefs
- 1.70s in total

The system runs in real-time from the perspective of its user group

## Experiments - Two laboratory tests

Two laboratory tests

- link to test #1
- link to test #2

Another 15 tests were also run. Results are in the Appendix.

## **Experiments - Conclusion**

- Functionality performance
  - sometimes false positively recognizes an user behaviour
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No.	mean of be-	init of $f_c$	mean of $f_c$	mean of prompt
	hav.			
#1	[0, 1.32, -1.3]	[1.61, 0.84, -0.87]	[2.8, 1.03, -0.73]	[1.62, 0.32, 0.75]
#2	[0, 0.77, -1.74]	[-0.64, -0.43, -1.81]	[1.13, -0.43, -1.47]	[1.53, 0.66, 0.08]

## **Experiments - Conclusion**

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Generally, for tests the actor acted more powerfully and more actively:

- larger P and larger A values were computed for user behaviours
- larger P and larger A values were achieved for  $f_c$ 's
- smaller P and larger A values were produced for prompts, among which the differences between A values are more obvious

#### Discussion - Contribution

#### Recall - Objective of this thesis

- is designed in a portable and extensible way
- runs in real-time from the perspective of the user group
- provides at least a level of functional assistance of as high quality as the COACH
- is able to tune the prompts in some way according to the emotional state of a user

## Discussion - Contribution cont.

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Reviewed previous work in all the four aspects of emotional intelligence

### Discussion - Contribution cont.

#### Contribution

- Reviewed previous work in all the four aspects of emotional intelligence
- Designed and implemented a prototypical hand-washing system that
  - is extensible and portable
  - runs in real-time from the perspective of the user group
  - provides a level of functional assistance
  - produces system prompts that have encoded to some extent the emotional state of the user

### Discussion - Contribution cont.

#### Contribution

- Reviewed previous work in all the four aspects of emotional intelligence
- Designed and implemented a prototypical hand-washing system that
  - is extensible and portable
  - runs in real-time from the perspective of the user group
  - provides a level of functional assistance
  - produces system prompts that have encoded to some extent the emotional state of the user
- Tests also indicated that user behaviours with higher P and higher A values may lead to  $f_c$  's with higher P and higher A values and system prompts with lower P and higher A values

### Discussion - Future Work

#### Future Work

- Improve the EPA-Calculator
- Improve the prompt generation process
- Improve the Planstep- and Emotion- Updater
- Conduct clinical trials for the system

## Acknowledgement

This work is based on previous works of:

- 1 The bayesact paper
- 2 The tracker paper.
- 3 The survey paper.

I'd like to take this opportunity to thank:

- Jesse Hoey
- James Tung and Peter van Beek
- Xiao Yang, Chengbo Li and Enxun Wei
- My family and friends

## The end

## Thank you!

- Questions?
- Comments?

Model formulation

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• The deflection  $\phi(F, T)$  between F and T:

$$\phi(f,t) \propto e^{-(f'-t')\Sigma^{-1}(f-t)} \tag{1}$$

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$$Pr(f'|f,t,x,b_a,\phi) \propto e^{-\phi(f',t')-\xi(f',f,b_a,x)}$$
 (2)

where t' can be computed from  $\{f', t, x\}$  by empirically derived prediction equations of ACT.

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- Pr(x'|x, f', t', a): how the application progresses
- $Pr(\omega_b|f)$  and  $Pr(\omega_x|x)$ : observation functions for the client behaviour sentiment and system state

## Update $X'_{ps}$ based on $\Omega_x$ and $\{X_{ps}, X_{behav}, X_{aw}, F, T\}$

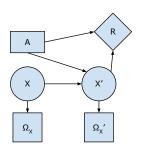
- SampleXVar() and evalSampleXVar()
- Pseudocode of SampleXVar() (on next page)
- $Pr: X_{behav} \rightarrow \Delta(\Omega_X)$  used in evalSampleXVar()

## Update $X'_{ps}$ based on $\Omega_x$ and $\{X_{ps}, X_{behav}, X_{aw}, F, T\}$ cont.

```
1: if Deflection(F, T) is high then
                                                 19:
                                                               aw = low and not moving forward
       threshold = high
                                                  20:
                                                            else
 3. else
                                                 21.
                                                               aw stays high and moving forward
                                                  22:
 4:
       threshold = low
                                                            end if
 5: end if
                                                 23:
                                                         end if
6: if aw high then
                                                 24 else
                                                  25:
 7:
       if prompted then
                                                         if prompted then
8.
          if random_prob() < threshold then
                                                 26.
                                                            if random_prob() > threshold and
9:
             aw = low and not moving forward
                                                            prompt correct then
10:
          else if prompt wrong then
                                                 27:
                                                               move on and aw high
11.
             aw = low and not moving forward
                                                  28:
                                                            else
12:
          else if likely then
                                                  29:
                                                               unlikely: aw high and not moving
13.
             moving forward
                                                               forward
14.
          else if random_prob() < threshold
                                                            end if
                                                 30:
                                                 31:
          then
                                                         else
15.
             aw = low and not moving forward
                                                  32:
                                                            unlikely: aw high and moving forward
16:
                                                 33:
          end if
                                                         end if
                                                 34: end if
17:
       else
18:
          if random_prob() < threshold then
```

## Concepts - POMDP

## Partially Observable Markov Decision Process (POMDP)



- A timeslice of a POMDP process
- ullet Variables:  $\{X, A, \Omega_X\}$
- $Pr: X \to \Delta(\Omega_X)$ ,  $Pr: X \times A \to \Delta(X)$
- Reward Function: R(A, X')

# Experiments - Parameter values used in laboratory experiments

Param.	Value	Defined in which component
n	10	EPA-Calc
distance	$\{-\infty, 0, 8, 40, 128, 160, +\infty\}$	EPA-Calc
potency	$\{-4.3, -4.3, 0, 1, 2, 4.3, 4.3\}$	EPA-Calc
difference	$\{-\infty, 0, 3.5, 17.5, 35, 70, +\infty\}$	EPA-Calc
activity	$\{-4.3, -4.3, -2, -1, 0, 4.3, 4.3\}$	EPA-Calc
alpha	0	Buffer
timeout	300	Buffer
timeup	1	Buffer
$\beta_a^0$	0.001	Updater
$\beta_c^0$	2.0	Updater
$\gamma$	(100000, 1.0, 0.5)	Updater
N	2000	Updater
$f_a^0$	[1.5, 0.51, 0.45]	Updater
$f_c^0$	Different in each test	Updater