# PRISMS PhaseField Faceted Anisotropy (with Coupled CH-AC Dynamics)

This application is essentially a specialization of the CHAC\_anisotropyRegarized application with a particular choice of interfacial energy anisotropy  $\gamma(\mathbf{n})$ . In this document, we repeat the formulation for that model for completeness and then describe the anisotropy used in this application. Consider a free energy expression of the form:

$$\Pi(c, \eta, \nabla \eta) = \int_{\Omega} \left( f_{\alpha}(1 - H) + f_{\beta}H \right) + \frac{1}{2} |\gamma(\mathbf{n})\nabla \eta|^2 + \frac{\delta^2}{2} (\Delta \eta)^2 dV$$
 (1)

where  $f_{\alpha}$  and  $f_{\beta}$  are the free energy densities corresponding to  $\alpha$  and  $\beta$  phases, respectively, and are functions of composition c. H is a function of the structural order parameter  $\eta$ .  $\delta$  is a scalar regularization parameter. The interface normal vector  $\mathbf{n}$  is given by

$$\mathbf{n} = \frac{\nabla \eta}{|\nabla \eta|} \tag{2}$$

for  $\nabla \eta \neq \mathbf{0}$ , and  $\mathbf{n} = \mathbf{0}$  when  $\nabla \eta = \mathbf{0}$ .

#### 1 Variational treatment

Following standard variational arguments (see Cahn-Hilliard formulation), we obtain the chemical potentials:

$$\mu_c = (f_{\alpha,c}(1-H) + f_{\beta,c}H) \tag{3}$$

$$\mu_{\eta} = (f_{\beta,c} - f_{\alpha,c})H_{,\eta} - \nabla \cdot \mathbf{m} + \delta^2 \Delta(\Delta \eta)$$
(4)

The components of the anisotropic gradient  $\mathbf{m}$  are given by

$$m_i = \gamma(\mathbf{n}) \left( \nabla \eta + |\nabla \eta| (\delta_{ij} - n_i n_j) \frac{\partial \gamma(\mathbf{n})}{n_j} \right),$$
 (5)

where  $\delta_{ij}$  is the Kronecker delta.

### 2 Kinetics

Now the PDE for Cahn-Hilliard dynamics is given by:

$$\frac{\partial c}{\partial t} = -\nabla \cdot (-M_c \nabla \mu_c) \tag{6}$$

$$= M_c \nabla \cdot (\nabla (f_{\alpha,c}(1-H) + f_{\beta,c}H)) \tag{7}$$

and the PDE for Allen-Cahn dynamics is given by:

$$\frac{\partial \eta}{\partial t} = -M_{\eta} \mu_{\eta} \tag{8}$$

$$= -M_{\eta} \left[ (f_{\beta,c} - f_{\alpha,c}) H_{,\eta} - \nabla \cdot \mathbf{m} + \delta^2 \Delta(\Delta \eta) \right]$$
 (9)

where  $M_c$  and  $M_{\eta}$  are the constant mobilities. In order that the formulation only includes second order derivatives, an auxiliary field  $\phi$  is introduced to break up the biharmonic term:

$$\phi = \Delta \eta \tag{10}$$

and the PDE for Allen-Cahn dynamics becomes

$$\frac{\partial \eta}{\partial t} = -M_{\eta} \left( (f_{\beta,c} - f_{\alpha,c}) H_{,\eta} - \nabla \cdot \mathbf{m} \right) + \delta^2 \Delta \phi. \tag{11}$$

#### 3 Time discretization

Considering forward Euler explicit time stepping, we have the time discretized kinetics equation:

$$\phi^{n+1} = \Delta \eta^n \tag{12}$$

$$\eta^{n+1} = \eta^n - \Delta t M_\eta \left( (f_{\beta,c}^n - f_{\alpha,c}^n) H_{,\eta}^n - \nabla \cdot \mathbf{m}^n + \delta^2 \Delta \phi^n \right)$$
 (13)

$$c^{n+1} = c^n + \Delta t M_\eta \nabla \cdot (\nabla (f_{\alpha,c}^n (1 - H^n) + f_{\beta,c}^n H^n))$$

$$\tag{14}$$

#### 4 Weak formulation

In the weak formulation, considering an arbitrary variation w, the above equations can be expressed as residual equations.

$$\int_{\Omega} w \phi^{n+1} \ dV = \int_{\Omega} \nabla w \cdot \underbrace{\nabla \eta^n}_{r_{\phi x}} \ dV \tag{15}$$

$$\int_{\Omega} w \eta^{n+1} \ dV = \int_{\Omega} w \eta^n - w \Delta t M_{\eta} \left( (f_{\beta,c}^n - f_{\alpha,c}^n) H_{,\eta}^n - \kappa \Delta \eta^n \right) \ dV \tag{16}$$

$$= \int_{\Omega} w \left( \underbrace{\eta^n - \Delta t M_\eta \left( (f_{\beta,c}^n - f_{\alpha,c}^n) H_{,\eta}^n \right)}_{r_n} \right) + \nabla w \cdot \underbrace{\left( -\Delta t M_\eta \right) (\mathbf{m}^n - \delta^2 \phi^n)}_{r_{\eta x}} dV \tag{17}$$

and

$$\int_{\Omega} wc^{n+1} dV = \int_{\Omega} wc^n + w\Delta t M_c \nabla \cdot (\nabla (f_{\alpha,c}^n (1 - H^n) + f_{\beta,c}^n H^n)) dV$$
(18)

$$= \int_{\Omega} w \underbrace{c^n}_{r_c} + \nabla w \underbrace{\left(-\Delta t M_c\right) \left[ \left(f_{\alpha,cc}^n (1 - H^n) + f_{\beta,cc}^n H^n\right) \nabla c + \left(\left(f_{\beta,c}^n - f_{\alpha,c}^n\right) H_{,\eta}^n \nabla \eta^n\right)\right]}_{r_{cx}} dV$$

$$(19)$$

The above values of  $r_{\phi x}$ ,  $r_{\eta}$ ,  $r_{\eta x}$ ,  $r_{c}$  and  $r_{cx}$  are used to define the residuals in the following equations file: applications/anisotropyFacet/equations.h

## 5 Anisotropy

The above formulation is generic to any  $\gamma(\mathbf{n})$ . In this application, we use an anisotropy of the form

$$\gamma(\mathbf{n}) = \gamma_0 \left( 1 - \sum_{i=1} \alpha_i (\mathbf{m}_i \cdot \mathbf{n})^{w_i} \Theta(\mathbf{m}_i \cdot \mathbf{n}) \right), \tag{20}$$

where **m** is a unit vector corresponding to a crystallographic orientation,  $\gamma_0$  is a scaling factor for interfacial energy,  $\alpha_i$  and  $w_i$  are scalar parameters specific to each orientation, and  $\Theta(\cdot)$  is the Heaviside function. The derivatives with respect to components of the normal are

$$\frac{\partial \gamma(\mathbf{n})}{\partial n_j} = -\gamma_0 \sum_{i=1} w_i \alpha_i m_{ij} (\mathbf{m}_i \cdot \mathbf{n})^{w_i - 1} \Theta(\mathbf{m}_i \cdot \mathbf{n}), \tag{21}$$

Calculation of  $\gamma(\mathbf{n})$  and  $\partial \gamma(\mathbf{n})/\partial n_j$  is performed in an application-specific function located in applications/anisotropyFacet/facet\_anisotropy.h.

This anisotropy was developed by M. Salvalaglio et al. (doi: 10.1021/acs.cgd.5b00165), and is extensively documented in their paper. Briefly, we note that  $\alpha_i$  determines the interfacial energy at the orientation  $\mathbf{m}_i$ , and  $w_i$  determines how localized the change interfacial energy is around  $\mathbf{m}_i$ . The Heaviside function  $\Theta(\mathbf{m}_i \cdot \mathbf{n})$ , which returns zero if  $\mathbf{m}_i \cdot \mathbf{n} < 0$  and one otherwise, ensures that orientations are considered independently; i.e. there is no change in  $\gamma(\mathbf{n})$  around  $-\mathbf{m}_1$  unless that corresponds to another listed orientation  $\mathbf{m}_i$ . In its intended configuration, with  $0 < \alpha_i < 1$  and high  $w_i$  (e.g.  $w_i = 50$ ), this anisotropy results in nearly flat facets at the orientations  $\mathbf{m}_i$ .