



# MLEU: Multi-Level Embedding U-Net for Fully Automatic Image Colorization

Tram-Tran Nguyen-Quynh, Nhu-Tai Do, Soo-Hyung Kim

School of Electronics and Computer Engineering,
Chonnam National University, Korea
tramtran2@gmail.com, donhutai@gmail.com, shkim@chonnam.ac.kr

Jan 18<sup>th</sup>, 2020





## **Agenda**

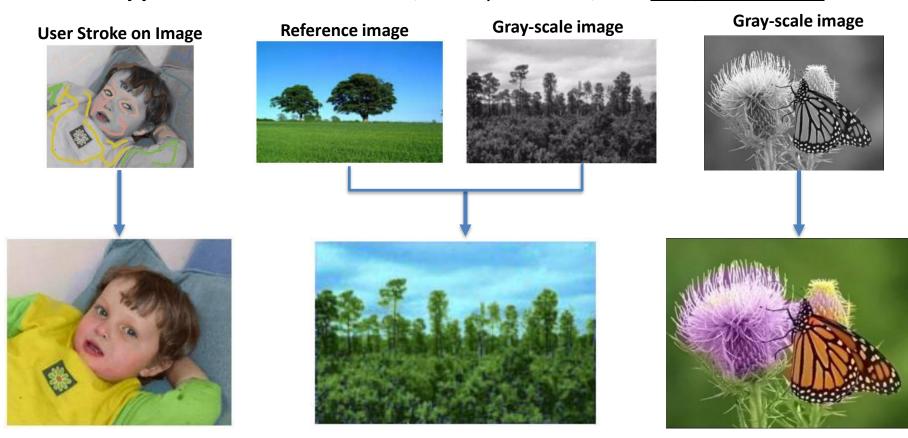
•	1.	Introduction
•	2.	Related Works
•	3.	Proposed Methods
	4.	Experiments and Discussion
<b>*</b>	5.	Conclusion



## 1. INTRODUCTION



- Problem: Image Colorization is the task of colorizing gray-scale images.
- Practical applications: coloring old black and white images, movies etc.
- Main approaches: Scribble-based, Example-based, and <u>Fully Automatic</u>.



Scribble-based colorization

Example-based colorization

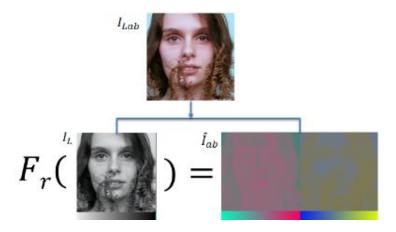
Fully Automatic colorization



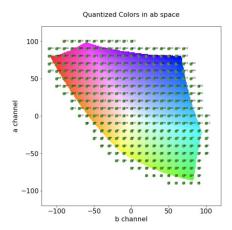


## 1. INTRODUCTION

• Our problem focuses on Fully Automatic Colorization: Given the grayscale image, produce a plausible colorization to fool a human observer.



Regression mapping function



Quantized colors in ab space

	Regression Approach	Classification Approach
Input	$I_L \in \mathcal{R}^{h \times w \times 1}$	$I_L \in \mathcal{R}^{h \times w \times 1}$
Output	$\hat{I}_{ab}~\in\mathcal{R}^{h imes w imes 2}$ ab channels in CIE color space Lab	$\hat{Z}_{ab} \in \mathcal{R}^{h  imes w  imes n}$ one-hot encoding of the quantized colors in ab space



## 1. INTRODUCTION

#### Challenges of Fully Automatic Colorization:

- Averaging effect: grayish, desaturated results due to 94% of the cells in our eyes determine brightness, only 6% for colors → grayscale image is a lot sharper than the color layers.
- Rare colors in images: strongly biased due to the appearance of backgrounds such as clouds, pavement, dirt, and walls.
- Usage of a huge database to train: to encode meaningful features that help to colorize the image.

#### Our objectives:

- Construct Multi-Level Embedding UNet (MLEU) for transfer learning from the pre-trained ImageNet weight, and gradient flow enhancing by skip connections.
- Improve the distribution over quantized color by interpolation and smoothness for reducing the unbalance colors and focusing on rare colors.
- Make experiments on DIV2K and compare with the state-of-the-art method from Zhang et. al. work [1].

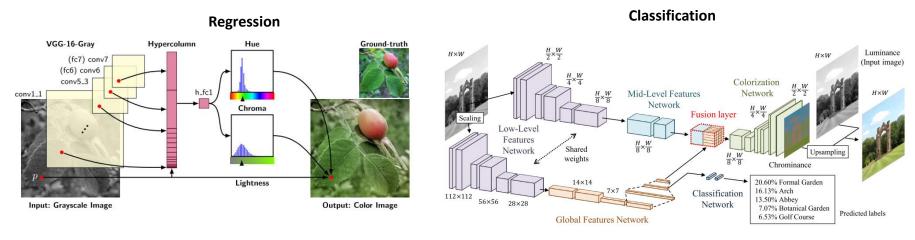






#### Deep Learning Approach:

- Larsson et al.<sup>1</sup>: use un-rebalanced classification <u>loss</u>, build on hyper-columns on a VGG network, train on ImageNet, evaluate on PSNR, RMSE.
- lizuka et al.<sup>2</sup>: use a regression loss, build a two-stream architecture fusing global and local features, train on *Places scene dataset*, evaluate on *naturalness* of the colorizations by *user asking*



Larsson et al.

lizuka et al.

[1] G. Larsson, M. Maire, and G. Shakhnarovich, "Learning Representations for Automatic Colorization," in Lecture Notes in Computer Science (including subseries Lecture Notes in Artificial Intelligence and Lecture Notes in Bioinformatics), vol. 9908 LNCS, 2016, pp. 577–593. [2] S. lizuka, E. Simo-Serra, and H. Ishikawa, "Let there be Color: Joint End-to-end Learning of Global and Local Image Priors for Automatic Image Colorization with Simultaneous Classificatio," ACM Transactions on Graphics, vol. 35, no. 4, pp. 1–11, Jul. 2016.

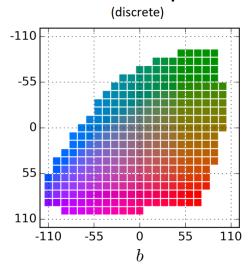


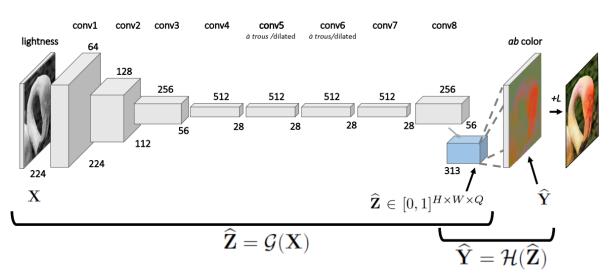




- Zhang et. at1: Main idea
  - Multinomial classification problem by quantize ab space into grid size 10, keep
     313 bins in gamut.
  - Cross entropy loss with class rebalancing to encourage learning of rare colors.
  - Post-processing: per-pixel color distribution to single point estimate by interpolating between mean and mode with annealed-mean.

#### Colors in ab space





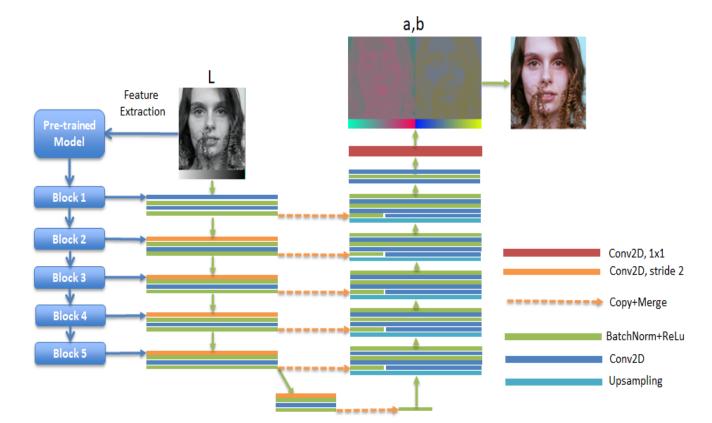
Deep network model





#### Network Architecture:

- Apply Unet network: take advantage of skip connections between the contracting and expanding path at the same depth level.
- Multi-Level Embedding: integrate ImageNet features at every encoding layers for transferring learning.

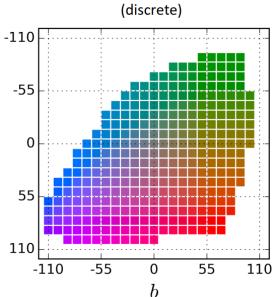






- Quantization process in classification approach from Richard Zhang et. al.:
  - Quantization Lab Color Space into 313 bins
  - Using soft-encoding scheme instead of nearest searching
- Benefits from this quantization process to classify:
  - Prevent the averaging effect of regression loss: easy to favor grayish, desaturated results
  - Increase the correlation between nearest color pixels by soft-encoding.

# Colors in *ab* space (discrete)



$$L(\hat{Z}, Z) = -\frac{1}{HW} \sum_{h,w} v(Z_{h,w}) \sum_{q} Z_{h,w,q} log(\hat{Z}_{h,w,q})$$
Rarity weighting Target distribution Predicted distribution

**Category Cross entropy loss** 





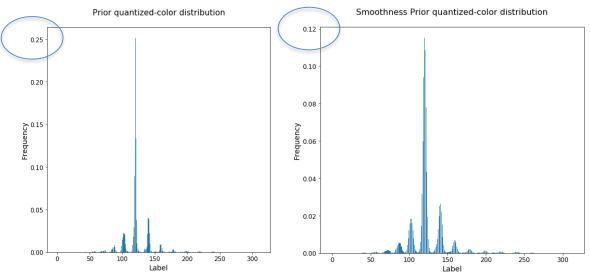
#### Smoothness prior distribution

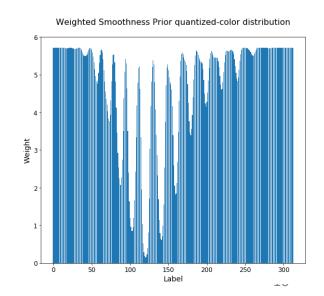
- Tackle the unbalance among the quantized colors.
- Make the interpolation on the prior probability distribution **P**, apply the 1D-Gaussian kernel with  $\sigma$  =5 and normalize to unit-length to output **P**<sub>s</sub>:

$$P_s = Interp(P) * N_{\sigma}, |P_s| = 1$$

 Use the equation of Zhang et. al to calculate the color-label weight based on smoothness prior distribution:

$$W \propto \left( (1 - \lambda) P_s + \frac{\lambda}{n} \right)^{-\alpha}$$
,  $E[W] = \sum_{i=1}^{n} P_{si} W_i = 1$ 









#### Loss function:

— Use the mean square error loss for the regress approach:

$$L_{MSE}(I,\hat{I}) = \frac{1}{hw} \sum_{h,w} ||I - \hat{I}||_2^2$$

Use the weighted category cross-entropy for the classification approach:

$$L_{WCCE}(Z, \hat{Z}) = -\sum_{h,w} W_{h,w} \sum_{n} Z_{h,w,n} log(\hat{Z}_{h,w,n})$$

#### Where

- +  $W_{h,w}$  is the weighted smoothness prior distribution of quantized color
- +  $I(\hat{I})$  is the ground-truth (predicted result) of the ab channel normalized in [-1,1]
- $+Z(\hat{Z})$  is the ground-truth (predicted result) of the distribution over quantized color





#### DIV2K Dataset

- NTIRE 2017 Challenge on Single Image Super-Resolution: Dataset and Study
- Using in Colorful Image Colorization Challenge NTIRE 2019



DIV2K 800 train images



DIV2K 100 test images





- Quantitative measures in Colorful Image Colorization Challenge NTIRE 2019:
  - Peak Signal-to-Noise Ratio (PSNR)
  - The Structural Similarity index (SSIM)
  - A nonreference manner, three people who do not know the ground truth color image compare the colorization result

	Track 1 No guidance		Track 2 With guidance			
Team	PSNR	SSIM	Perceptual rank	PSNR	SSIM	Perceptual rank
IPCV_IITM	22.1232	0.9406	1	22.8605	0.9443	1
Athi	20.8710	0.9229	2	20.6470	0.7997	2
VIDAR	22.1949	0.9419	4	23.2707	0.9461	3
Team_India	17.9643	0.8472	3			
pksvision_mm	21.2248	0.9279	5			
ITU-GO	21.0773	0.8526	6			

Table 1. NTIRE 2019 Colorization Challenge results and final rankings. IPCV\_IITM team is the winner of the challenge followed by Athi and VIDAR teams.

- As the result table above, there are the problem in evaluation using PSNR and SSIM (ITU\_GO Team). So, the challenge adds the perceptual rank in benchmark.
- In our experiments, we use PSNR and perceptual rank by comparison the prediction images.





#### • Comparison methods:

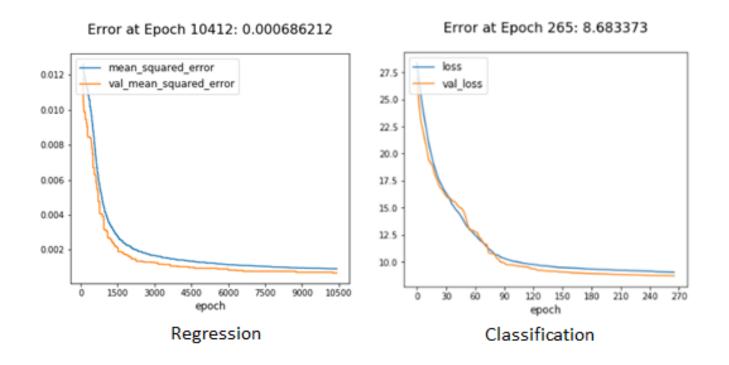
Method	Name	Туре	Train Data
1	MLEU Regression	Regression	DIV2K
2	MLEU Classification	Classification	DIV2K
3	Richard Zhang et. al [1]	Classification	ImageNet





#### Training Process:

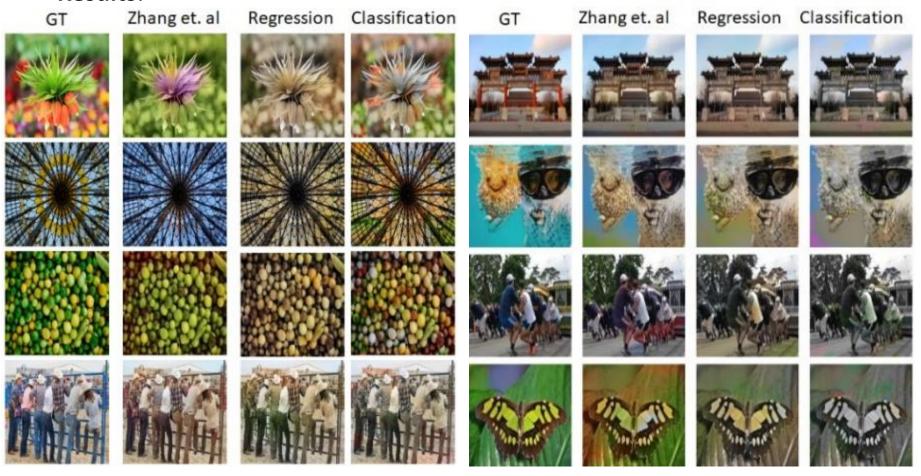
- Data augmentation: flipping, rotating and random cropping of the images
- Optimization algorithm: train on Adam, SGD, and RMSProp with learning rate
   0.004 and selected the best model.
- Pre-trained model to combine features: pre-trained VGG-16 on ImageNet







#### Results:



Best cases Worst cases





#### Results:

Method	Name	PSNR
1	MLEU Regression	20.9
2	MLEU Classification	23.1
3	Richard Zhang et. al [8]	22.8

About PSNR, we have the better results than Richard Zhang et. al.





## 5. CONCLUSIONS

- The colorization method was considered as a classification based on color quantization or regression method for comparison.
- We improved the original U-Net architecture to achieve the performance of transferring information well on a small dataset DIV2K.
- Our results remain some limitations in the quality of coloring images.
- To overcome it, we need:
  - cluster the color quantization space to avoid ambiguity in the quantizing process.
  - combine the regression and classification approaches to enhance quality.





# THANK YOU FOR LISTENING