Notes 2018, Part I

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Here is a collected notes on physics readings.

Contents

§1 Breakdown of Mean-Field

§1.1 Landau's Symmetry Breaking Theory

§1.2 The Free energy functional (Goldenfeld 5.6)

Landau postulates a free energy functional of the form:

$$L = \int d^d x \mathcal{L}[m, m^2, (\nabla m)^2, ...](x) \tag{1}$$

While it has units of energy, it is not the Free energy, and nor is it the Gibbs free energy. Both of those thermodynamic functions are convex functions while L can clearly be non-convex. Consider a coarse grained theory with microscopic degrees of freedom $m'(x)$, which are averaged over boxes of size $\frac{1}{\Lambda}$.

$$e^L = \sum_{m'} e^{\beta H(m')}|_{m(x)} \tag{2}$$

where the sum is over all configurations of m' (microscopic order parameter) such that the coarse grained order parameter m is fixed. It then automatically follows from this definition that the partition function is just a functional integral:

$$\boxed{Z = \int \mathcal{D}m e^{-\int d^d x \mathcal{L}[m]}} \tag{3}$$

§1.3 Mean Field Solution

We consider a Landau Ginzburg statistical field $m(x)$ to model O(N) symmetric systems, for example magnetic spins etc...:

$$Z \propto \int \mathcal{D}m \exp\left(\int dx K(\nabla m)^2 + \frac{t}{2}m^2 + um^4 \right) \tag{4}$$

Note that $t \equiv \frac{T - T_c}{T_c}$.

Consider a mean field ansatz where $m(x) = \bar{m}$. The free energy is minimized at $\bar{m} = 0$ if $t > 0$ (disordered phase), and at $\bar{m} = \sqrt{\frac{-t}{4u}}$ for $t < 0$ (ordered phase).

§1.4 Goldstone Theorem

In the ordered phase, we may expand the action as:

$$m(x) = \bar{m} + \phi^l \hat{e}_l + \sum_{i}^{n-1} \phi^t \hat{e}_i \tag{5}$$

where ϕ^l and ϕ^t model the longitudinal and transverse fluctuations. It is straightforward to show that the transverse modes are massless, while the longitudinal mode is massive:

$$\langle \phi^l(q)\phi^l(q') \rangle \propto \frac{\delta^d(q + q')}{K|q|^2 + \frac{1}{\xi_l^2}} \tag{6}$$

$$\langle \phi^t_\beta(q)\phi^t_\alpha(q') \rangle \propto \frac{\delta_{\alpha\beta}\delta^d(q + q')}{K|q|^2} \tag{7}$$

Those massless transverse modes in the ordered phase are what people refer to a "Goldstone Bosons".

§1.5 Mermin-Wagner-Coleman Theorem

> **Theorem 1.1**
>
> There is no spontaneous symmetry breaking of a continuous symmetry in dimension 2 or less

Proof. Consider the fluctuations of the goldstone modes, and its effect on the long range correlation. The spin spin correlation is:

$$\langle m(x)m(x') \rangle \equiv G(x - x') \tag{8}$$

We can freeze the massive longitudinal mode for the low energy effective theory. In that case, in 2 dimensions, we can parametrize the spin wave via the angle field θ:

$$m(x) \approx |\bar{m}|e^{i\theta(x)} \tag{9}$$

The low energy action is then governed by a gaussian action:

$$P(\theta(x)) \propto \exp\left(-\int d^d x \frac{1}{2} K (\nabla \theta)^2\right) \tag{10}$$

We can compute the fluctuation of the angle:

$$\langle \theta(q)\theta(q') \rangle \propto \frac{\delta(q + q')}{K|q|^2} \tag{11}$$

In real space, we have:

$$\langle \theta(x)\theta(0) \rangle \equiv G(x) \propto x^{2-d} \tag{12}$$

for $d > 2$. For d = 2, we have $G(x) \propto \ln(x)$. What this means is that the long range order is destroyed, and hence there is no ordered phase in dimensions 2 or less.

Dimension 2 is called the **lower critical dimension**   □

§1.6 Upper Critical Dimension

Let us now compute the singular part to the heat capacity in dimensions larger than 2. We are interested in its behavior close to the critical point where $t \to 0$ and $\xi^2 \to \infty$

Recall the saddle point approximation is:

$$\int dx e^{-f(x)} \approx e^{-f_{min}} \int dx' e^{-\frac{1}{2}f''(x_0)x'^2} = e^{-f_{min}}\sqrt{\frac{2\pi}{f''}} \tag{13}$$

In higher dimensions, where f'' is the Hessian operator G^{-1}, we have

$$\int d\phi e^{-S[\phi]} \approx e^{-S_{min}} e^{-\frac{1}{2}\text{Tr}\ln\det(G)} e^{\frac{1}{2}\ln(2\pi)} \tag{14}$$

The free energy is just $\ln(Z)$.

Let's compute the free energy density for the O(N) model:

$$f \equiv \frac{F}{V} = f_0 + \int \frac{d^d q}{(2\pi)^d} \ln(Kq^2 + \frac{1}{\xi^2}) \tag{15}$$

where $\frac{1}{\xi^2} = t$ for $t > 0$ and $\frac{1}{\xi^2} = -2t$ for $t < 0$.
The heat capacity, C can be computed as:

$$C = \partial_t^2 \beta f \propto 0 + \int \frac{d^d q}{(2\pi)^d} \frac{1}{(Kq^2 + \frac{1}{\xi^2})^2} \tag{16}$$

For dimensions $d > 4$, the integral is dominated by the UV cutoff $\Lambda \equiv \frac{1}{a}$ where a is the lattice spacing. In other words:

$$\int \frac{d^d q}{(2\pi)^d} \frac{1}{(Kq^2 + \frac{1}{\xi^2})^2} \propto \Lambda^{d-4} \text{ (d > 4)} \tag{17}$$

This quantity contributes a non-universal constant correction to the heat capacity discontinuity around $t = 0$.

For dimensions $d < 4$, the integral is dominated by the IR divergence. In fact, we can rescale and shift the integration variable:

$$x \to \sqrt{K}q\xi \tag{18}$$

$$C \propto \xi^{4-d} \int d^d x \frac{1}{x^2 + 1} \tag{19}$$

$$C \propto \xi^{4-d} \tag{20}$$

This integral diverges for dimensions < 4, since the correlation length ξ diverges as as approach criticality. We thus showed the following:

- In dimensions ≥ 4, the divergent part of the heat capacity is well captured by mean field theory. The fluctuation corrections to the saddle point approximation only contributes a non-universal constant correction to the heat capacity, but does not alter the critical exponent.

- In dimensions of < 4, the fluctuations around the saddle point solution contributes singular corrections to the divergence of the heat capacity (and other response functions like susceptibility). This means that the results of mean field theory, are unreliable. For this reason, $d = 4$ is called the **upper critical dimension** of the theory.

The way to get around dimension 4 is through a program first started by Kadanoff and later finished by Wilson and Fischer. The main idea is that around the critical point, the correlation length diverges, which should wash out all UV related scales. The system exhibits scale invariance, and analysis around scale invariant fixed point of statistical fields should be enough to predict the critical exponents.

§2 Invitation to Renormalization

Before we treat RG, let's build some technology

§2.1 The quantum effective action

§2.1.1 A list of analogies

Here are the QFT $\rightarrow$ stat mech analogies:

-   $$\underbrace{\phi(x)}_{\text{field}} \rightarrow \underbrace{m(x)}_{\text{local mesoscopic magnetisation}}$$

-   $$\underbrace{\mathcal{L}_E(x)}_{\text{euclidean lagrangian}} \rightarrow \underbrace{\mathcal{H}(x)}_{\text{landau energy functional}}$$

-   $$\underbrace{Z(J)}_{\text{generating functional}} \rightarrow \underbrace{Z(H)}_{\text{partition function}}$$

-   $$\underbrace{W(J)}_{\text{connected generating functional}} \rightarrow \underbrace{F(J)}_{\text{free energy}}$$

-   $$\underbrace{\Gamma(\langle\phi\rangle)}_{\text{1PI generating functional or "effective action"}} \rightarrow \underbrace{\mathcal{G}(M)}_{\text{Gibbs Free Energy}}$$

§2.1.2 Properties and definition (Xi Yin 253b notes)

The generator of connected correlations is denoted as $W(J)$. It is the analog of the free energy of a statistical system. Differentiating it generates connected diagrams

$$W(J) = \ln \langle e^{\int dx J\phi} \rangle \equiv \ln \left[\int [d\phi] e^{-S[\phi]+\int dx J(x)\phi(x)} \right] \tag{21}$$

$$\prod_{i=1}^{N} \frac{\delta}{\delta J(x_i)}|_{J=0} = \langle \prod_{i=1}^{N} \phi(x_i) \rangle_c \quad (\text{"c" denotes connected correlation}) \tag{22}$$

The legendre transform of the free energy with respect to J is the Gibbs free energy, denoted by Γ. It is also called the "Quantum Action". The legendre transform is involutive (2 legendre transforms yields the identity), and bijective when the function is convex (failure to be bijective is related to the appearance of multiple phases).

$$\phi_{cl}(x) \equiv \frac{\delta}{\delta J(x)} W(J) \equiv \langle \phi \rangle_J \quad (\text{Note we let the J be anything for now}) \tag{23}$$

$$\Gamma(\phi_{cl}(x)) = -W(J) - \int dy J(y)\phi_{cl}(y) \tag{24}$$

$$\rightarrow \frac{\delta}{\delta \phi(y)} \Gamma = -J(y) \tag{25}$$

Note both W(J) and $\Gamma(\phi)$ are functionals: they map functions (J or ϕ) to numbers.

One useful property of the quantum action is that its tree level (classical) expansion gives the full connected correlations in the quantum theory:

$$\lim_{\hbar \to 0} \int [d\phi] e^{\frac{-1}{\hbar} \left(\Gamma[\phi] + \int dx J\phi \right)} = e^{\Gamma(\phi_0) + \int dx J\phi_0} \tag{26}$$

$$= e^{W(J)} \tag{27}$$

$$\text{where } \frac{\delta}{\delta\phi} \Gamma(\phi) = -J \tag{28}$$

Furthermore, we note the stationary point of Γ is the expectation of the quantum field:

$$\frac{\delta}{\delta J} W(J) = \langle \phi \rangle \tag{29}$$

$$\phi_0 = \langle \phi \rangle \tag{30}$$

This is why it is called the "quantum" effective action. Its classical solutions incorporate all quantum fluctuations.

§2.1.3 Generation function for 1PI vertices (Peskin 11.5)

The quantum action is also the generating function for 1PI vertices. Its hessian is the inverse of the propagator.

Proof. Consider the following tautology:

$$\frac{\delta}{\delta J(y)} \frac{\delta}{\delta\phi(x)} \Gamma[\phi] = -\delta(x-y) \tag{31}$$

We can apply the (calculus of variation version) of the chain rule:

$$\delta(x-y) = -\int dz \frac{\delta\phi(z)}{\delta J(y)} \frac{\delta^2}{\delta\phi(z)\delta\phi(y)} \Gamma(\phi) \tag{32}$$

$$= \int dz \underbrace{\frac{\delta^2}{\delta J(y)\delta J(z)} W(J)}_{G(y,z)} \underbrace{\frac{\delta^2}{\delta\phi(z)\delta\phi(y)} \Gamma(\phi)}_{D(z,y)} \tag{33}$$

$$\equiv \int dz G_{yz} D_{zy} \tag{34}$$

The last line shows that the hessian of Γ is the inverse of the propagator (which is the hessian of W)

To obtain the relation between the quantum action and the W at higher orders, first note the following identities:

$$\frac{\delta}{\delta J(z)} = \int dw \frac{\delta\phi}{\delta J} \frac{\delta}{\delta\phi} = \int dw G(z,w) \frac{\delta}{\delta\phi} \tag{35}$$

$$\frac{\partial}{\partial\alpha} M^{-1}(\alpha) = M^{-1} \frac{\partial M}{\partial\alpha} M^{-1} \tag{36}$$

Applying it to the 3rd order connected function gives:

$$\frac{\delta^3 W}{\delta J_x \delta J_y \delta J_z} = \int dw D_{zw} \frac{\delta}{\delta\phi(w)} \left(\frac{\delta^2\Gamma}{\delta\phi_x \delta\phi_y} \right)^{-1} \tag{37}$$

$$= \int dw \int du \int dv D_{zw} D_{xu} \frac{\delta^3}{\delta\phi_u \delta\phi_v \delta\phi_w} D_{vy} \tag{38}$$

$$\frac{\delta^3 W}{\delta J_x \delta J_y \delta J_z} = \int du dv dw D_{xu} D_{yv} D_{wz} \frac{\delta^3\Gamma}{\delta\phi_u \delta\phi_v \delta\phi_w} \tag{39}$$

The left hand side is the 3 point function. The right hand size is 3 propagators hooking up to a blob, which is generated by Γ. This means Γ at 3rd order generates 3-point 'blobs' with external propagators chopped off ("amputated"). We can iterate to higher orders, but basically, we have that Γ generates 1 Particle Irreducible diagrams. In equation form it reads:

$$\boxed{\frac{\delta^n \Gamma[\phi]}{\delta\phi(x_1)...\delta\phi(x_n)} = \langle\phi(x_1)...\phi(x_n)\rangle_{1PI}}\tag{40}$$

$\square$

§2.1.4 Alternate perspective (Xi yin 253b)

Let's evaluate Γ directly from the definition and see what comes out:

$$e^{i\Gamma[\phi_0]} = \int [d\phi] \exp\left(i\int dx \mathcal{L} + J\phi - J\phi_0\right)_{\frac{\delta W}{\delta J}=\phi_0} \quad \text{(Just using the definition of the quantum action)}\tag{41}$$

Note that J is a background source, which is set by ϕ_0. J(x) is a source configuration that makes $\langle\phi\rangle = \phi_0$ in the quantum theory. The expression above suggests we should shift variable to $\hat{\phi} \equiv \phi - \phi_0$:

$$e^{i\Gamma[\phi_0]} = \int [d\hat{\phi}] e^{i\int dx \mathcal{L}(\hat{\phi}+\phi_0)+J\hat{\phi}}\tag{42}$$

$$\tag{43}$$

To compute the effective action for a field configuration ϕ_0

- Expand the action in a background field $\phi = \phi_0 + \hat{\phi}$

- Add a term $J\hat{\phi}$ in the action to make $\langle\hat{\phi}\rangle = 0$. In QFT language, add a source configuration J(x) such that all tadpoles are cancelled.

  This is the so called **background field** technique.

§2.1.5 Effective action for ϕ^4 symmetry breaking

We'll compute Γ in 2 step, first compute W(J). For this calculation, we will do it in minkowski time and re-introduce $\hbar$ to make clear expansion around a classical solution. From the definition,

$$e^{\frac{i}{\hbar}W(J)} = \int d\phi \exp(S[\phi]] + \int dx J\phi)\tag{44}$$

We first expand via the saddle point (classical solution) called ϕ_0, which satisfies the classical equations of motion $\frac{\delta S}{\delta\phi}|_{\phi_0} = -J$. Shifting the field variables as a fluctuation around the classical solution, we define $\tilde{\phi} = \phi - \phi_0$:

$$e^{\frac{i}{\hbar}W(J)} \approx e^{\frac{i}{\hbar}\left(S[\phi_0]+\int dx J\phi_0\right)} \int d\tilde{\phi} e^{\tilde{\phi}D^{-1}\tilde{\phi}}\tag{45}$$

$$\tag{46}$$

Taking the log of both sides:

$$W(J) \approx S[\phi_0] + \int dx J\phi_0 + \frac{\hbar}{2i}\text{Tr}\ln(D^{-1})\tag{47}$$

Γ just removes the extraneous current (tadpoles!), giving

$$\Gamma = \underbrace{S[\phi_0]}_{\text{Classical Action}} + \frac{\hbar}{2i} \underbrace{\text{Tr}\ln(D^{-1})}_{\text{Quantum correction}} + ... \tag{48}$$

We now make the dramatic assumption that the minimum energy configuration of field $\phi(x)$ at fixed average field value is translation invariant (**this argument fails miserably when multiple phases appear, see convexity proof**)

$$\Gamma[\phi] \equiv VT \times V_{eff}(\phi) \tag{49}$$

One then defines the effective potential V_{eff} as the intensive value of Γ normalized in spacetime.

Example 2.1

Compute to first order the correction to the V_{eff} for the following lagrangian (Zee section IV.3)

$$\mathcal{L} = \frac{1}{2}(\partial\phi)^2 + \frac{1}{2}m^2\phi^2 - \frac{g}{4!}\phi^4$$

To 0th order, the quantum action is just the classical action. For constant field, the effective potential is just the classical potential:

$$V_{eff} = -\frac{1}{2}m^2\phi^2 + \frac{g}{4!}\phi^4$$

To first order in, we need to compute the quantum correction. First, the potential energy density can be rewritten as:

$$\int dx\mathcal{L} = \int dxdy\phi(x)\underbrace{[-\partial^2 - V''(\phi)]\delta(x-y)}_{D^{-1}}\phi(y)$$

The operator D is just the propagator for a free theory with a different mass, and is diagonal in k space:

$$\text{Tr}\ln(D^{-1}) = \int d^4x \int \frac{\mathrm{d}^d k}{(2\pi)^d}\log(-k^2 + m'^2) = VTI(d) \tag{50}$$

This integral is divergent, but we can evaluate up to a cutoff Λ:

$$I(d) = \frac{\Lambda^2}{32\pi^2}V''(\phi) - \frac{V''(\phi)^2}{64\pi^2}\log\left(\frac{e^{\frac{1}{2}}\Lambda^2}{V''(\phi)}\right) \tag{51}$$

The cutoff dependent terms will be absorbed by renormalization conditions. However, the part that is not cutoff dependent, shows as a log correction to the potential:

$$V_{eff}(\phi) = A\phi^2 + B\phi^4(D + E\log(\frac{\phi^2}{\Lambda^2})) \tag{52}$$

Comments: We see the effective potential will be deeper near the classical minima (see Peskin 378). Note peskin does the higher dimensional version of this problem, which is why it looks a bit obscure.

The way the coefficients A, B, D, E are set are via renormalization conditions (lab measurements). There are 2 renormalization conditions (2 coupling constants in the bare lagrangian, the mass m and the interaction g)

- We measure in lab the mass of the particle to be m_P where P stands for physical. This sets:

$$\frac{d^2 V_{eff}}{d\phi^2}\bigg|_{\phi=0} = m_P^2$$

- We measure the strength of the interaction at a given scale M to be some coupling g_P. This sets:

$$\frac{d^4 V_{eff}}{d\phi^4}\bigg|_{\phi=M} = g_P$$

Question: Why can't we measure it at $\phi = 0$? What is the meaning of this scale M we picked?

§2.1.6 Convexity

Zinn Justin, Peskin and Schroeder, and Weinberg

The effective potential is convex. The one computed from perturbation theory may not be because we **incorrectly** assume the field configuration that minimizes the energy is $\phi(x) = \phi$ constant.

Proof. Suppose we have $V_1 = V_{eff}(\phi_1)$ and $V_2 = V_{eff}(\phi_2)$ values. One can always construct a state with some intermediate value

$$\langle \phi \rangle = (1-x)\phi_1 + x\phi_2$$

Do this by mixing little islands of ϕ_1 field values with ϕ_2 field values in the desired ratio. Because the total energy scales with the amount of islands you get a effective potential value:

$$V(\langle \phi \rangle) = (1-x)V_1 + xV_2$$

Since the effective potential minimizes the energy given some fixed constraint average field, this value must be an upper bound on the effective potential. Hence the effective potential is subtended by the convex hull of the thermodynamic construction. □

§2.2 Diagrammatic interpretation

Francois David PIRSA or Zinn Justin

We can interpret easiest the quantum action by studying the case of ϕ^4 theory with the following Lagrangian:

$$\mathcal{L} = \frac{1}{2}\phi(\Box + m^2)\phi + \frac{\lambda}{4}\phi^4$$

Note we are working with Euclidean field theory hence the weird sign. If we evaluate the path integral via saddle point, we can show (this requires a few line of math) that:

$$\boxed{\Gamma[\varphi] = S[\varphi] + \frac{\hbar}{2}\text{Tr}\ln(S''[\varphi]) + ...}$$

This formula is extremely useful and **needs to be committed to memory**. It re-appears often in statistical physics, for example when it is used to compute linear responses like the heat capacity (which relates to fluctuations of the order parameter). What it shows is that the first order quantum effects ($\hbar$ term) in the effective action has an elegant trace formula. To write this as a perturbation

expansion we factor the action into the free and the interacting part:

$$G^{-1} \equiv \frac{1}{2}(\Box + m^2) \tag{53}$$

$$\underbrace{S''}_{\text{Hessian Operator}} \equiv \frac{\delta^2}{\delta\phi(x)\delta\phi(y)}S(\phi) \tag{54}$$

$$= (G^{-1}(x-y) + \frac{\lambda}{2}\underbrace{V(x,y)}_{\text{interaction kernel}}) \tag{55}$$

$$= \mathbf{G}^{-1}(1 + \mathbf{G}\frac{\lambda}{2}\mathbf{V}) \tag{56}$$

In the previous expressions, we denote operators in bold for clarity. We plug this expression into the trace expansion.

$$\operatorname{Tr}\ln((S'')) = \operatorname{Tr}\ln(\mathbf{G^{-1}}) + \operatorname{Tr}\ln(1 + \frac{\lambda}{2}\mathbf{G}\mathbf{V}) \tag{57}$$

$$\tag{58}$$

It is straightforward to identify the interaction kernel V by seeing how it acts on sample functions ϕ_1, ϕ_2:

$$\phi_{\mathbf{1}} \cdot \mathbf{V} \cdot \phi_{\mathbf{2}} = \int dx dy \phi_1(x) V(x,y)\phi_2(y) = \int dx' \phi^2(x')\phi_1(x')\phi_2(x')$$

$$\rightarrow V(x,y) = \delta(x-y)\phi^2(y)$$

Using the operator from of the interaction above, we can carry the first order term expansion:

$$\operatorname{Tr}\ln(1 + \mathbf{G}\frac{\lambda}{2}\phi^2) = \frac{\lambda}{2}\int dx \int dy G(x-y)\phi^2(y) + \tag{59}$$

$$(\frac{\lambda}{2})^2 \int dx \int dy G(x-y)\phi^2(y) \int dz \int dw G(w-z)\phi^2(w) + ... \tag{60}$$

$$\tag{61}$$

This expansion can be re-interpreted as a sum over 1-loop 1P-I diagrams (these just look like bigger and bigger loops for higher order of the interaction strength λ). **The expansion of the effective action at each order of $\hbar$ is just the expansion in the number of loops of the quantum theory**. A good proof is presented in Zinn Justin's Quantum Field Theory and Critical Phenomena (what isn't proved there!), however we will outline a brief argument.

Consider some diagram contributing to the effective action. This diagram will have vertices (interactions), internal lines (propagators) and external lines which are *amputated*:

- Each propagator contributes a factor of $\hbar$ (L propagator)

- Each vertex interaction contributes $\frac{1}{\hbar}$ (V vertices)

- By definition because the $e^{\frac{-S}{\hbar}}$ has an $\hbar$ in it, to make the effective action dimensionless, we give its definition a factor of $\hbar$

The contribution of that diagram will be at order $\hbar^{L-V+1}$. This number $L-V+1$ is called the **Betti Number** of the graph and is a topological invariant denoting the number of loops of the diagram.

§2.3 Renormalization (Peskin 12.1)

Under rescaling of momenta $k-> bk$ (coarse graining, or looking from far away which increases frequencies), an operator with $N\phi, M\partial$ rescale as follows:

$$g(b) = g(1)b^{M+N(\frac{d-2}{2})-d} = g(1)b^{\alpha} \tag{62}$$

$$\alpha \equiv M + N\frac{d-2}{2} - d \tag{63}$$

The possible cases are:

- $\alpha > 0$. This implies that the coefficient grows under RG. In other words, this coefficient becomes more important to the IR physics. This is called **relevant, or super-renormalizable** etc...

- $\alpha = 0$. This is a **marginal** case and higher quantum corrections should determine the growth of the operator in the IR physics.

- $\alpha < 0$. This operator grows weaker at low energy under RG. It is called **irrelevant**, as in does not affect as much the IR physics. In particle physics, we call it **non-renormalizable** because we think about it the opposite way. For a given interaction strength measured for this interaction in the IR, it will blow up in the UV!

§2.4 Renormalization of ϕ^4 theory

Consider a massless ϕ^4 theory. We would like to study its renormalization procedure. We start with a "model" lagrangian with parameters in the UV called **bare parameters** A, B, C we'd like to fit to create a model of the theory.

$$\mathcal{L} = \frac{1}{2}A(\partial\phi)^2 + \frac{1}{2}B\phi^2 + \frac{C}{4!}\phi^4 \tag{64}$$

These parameters are a function of the cut off of the theory Λ. We would like to fit this theory to a lab scale energy scale μ. By that we mean the 2 following experimental fit conditions:

- colliding 2 particles with momentum $\mu^2 = s, t, u$ **Mandelstam** parameters gives us the measured coupling g_R

- we observe at that scale a massless theory.

As we will see these 2 input from experiment will allow us to self consistently extrapolate the theory to calculate correlation functions of any momentum input order by order in perturbation theory. The process of fitting this data is called **Renormalization** in the high energy context (which we will distinguish from **Wilsonian renormalization**)

First let's consider the Effective action at first order in $\hbar$, which gives us the radiative correction to the propagator

$$\Gamma^{(2)}(p_1, p_2) = \underbrace{p^2}_{p=p_1 \text{or} p_2} + B^2 + \underbrace{T(B)}_{\text{self energy}}$$

T(B) is called the **self-energy** and causes mass to increase as we flow to the IR. It consists of 1 particle irreducible diagrams (other texts often use symbe $i\Sigma(p)$ to denote it) For ϕ^4 theory, it's just:

$$T(m) = \int \frac{d^4k}{(2\pi)^4} \frac{1}{k^2 + B^2}$$

This integral is quadratically divergent, and has an expansion in the cutoff Λ:

$$T(m) = \int_{|k|<\Lambda} \frac{d^4k}{(2\pi)^4} \frac{1}{k^2 + B^2} \tag{65}$$

$$\approx \int_{|k|<\Lambda} \frac{d^4k}{(2\pi)^4 k^2}(1 - \frac{B^2}{k^2} + ...) \tag{66}$$

$$= \frac{\Lambda^2}{8\pi^2} - \frac{B^2}{8\pi^2}log(\Lambda^2) \tag{67}$$

To fit the theory to a massless theory at some lab scale, we need to make

$$B = -\frac{\Lambda^2}{8\pi^2}$$

This is our first bare parameter fix (in general, B could be momentum dependent like in QED).

The renormalization condition for the 4 point function is computed using the 4 point effective action term, which are conveniently expressed in terms of mandelstam variables s, t, u:

$$\Gamma^{(4)}(p_1, p_2, p_3, p_4) = \Gamma^{(4)}(s, t, u) \tag{68}$$

$$= C - \frac{C^2}{2}(\sum_{x=s,t,u} \log(\frac{\Lambda^2}{x})) \tag{69}$$

By imposing the experimental condition that $\Gamma^{(}4)(\mu, \mu, \mu) = g_R$, we obtain obtain $C(\Lambda)$. Now we can compute any 4 point functions to order $\hbar$ and g_R^2 based on our 2 parameter fit:

$$\Gamma(p_1, p_2, p_3, p_4) = g_R - \frac{1}{2}\frac{g_R^2}{(4\pi)^2}(\sum_{x=s,t,u} \log(\frac{\Lambda^2}{x})) \tag{70}$$

§2.5 Lehmann-Kallen representation of the Propagator

> **Theorem 2.2**
>
> The exact propagator in momentum space has the form:
>
> $$iG(k) = \frac{1}{k^2 + m^2 + i\epsilon} + \int_{s=4m^2}^{\infty} ds \frac{\rho(s)}{s + m^2 + i\epsilon} \tag{71}$$

Proof. See Srednicki.   □

Comments: This theorem says that the propagator has a pole at the mass of the fundamental excitation and a maybe continuous spectrum starting a a mass scale of $2m$. My intuition is basically fundamental quantas have a mass. Interactions can alter the propagator only when 2 quanta interact.

§3 The Renormalization Group

Let's evaluate the scale dependence of the coupling constants. There are 2 main methods to do so, one i'll call **counterterm RG** and the other is **wilsonian/polchinski RG** also called **exact RG**.

§3.1 Counterterm RG

The n-point scattering amplitude $\Gamma^{(n)}(Z_\phi, g_R, \mu^2)$ cannot depend on renormalization scale μ^2.

$$\frac{\partial}{\partial \ln \mu} \Gamma^{(n)} = 0 \tag{72}$$

This implies an evolution equation between couplings and scale μ, which is called the **Callan Symanzik** equation. The evolution rate is defined by a **beta** function:

$$\beta_{g_R} \equiv \frac{\partial}{\partial \ln \mu} g_R \tag{73}$$

Because the only dependence of those amplitudes is in the counterterms, we can take a derivative of the counterterms directly.

§3.2 Wilsonian RG

Peskin and Schroeder ch. 12, or Subir Sachdev Quantum Phase Transitions. The other way to obtain the beta functions is to integrate the high energy theory to a given low energy scale. As your integrate how each momentum shell, the effective action of the low energy theory changes. The rate of change is the beta function. In pratice, counterterm RG is easier to implement.

§3.2.1 The setup

Start with an (euclidean) theory with explicit (UV or bare) cut-off Λ_0.

$$\mathcal{Z} = \int_{|k|<\Lambda_0} \mathcal{D}[\phi] \exp\left(-S_{\Lambda_0}\right) \tag{74}$$

$$S_{\Lambda_0} = \int d^D x \frac{1}{2} \partial \phi^2 + \sum_i g_i \Lambda^{D-d_i} \mathcal{O}_i \tag{75}$$

Obtain a theory (an **effective action**) at scale Λ, which will be our RG sliding energy scale by integrating high energy modes

$$\phi = \underbrace{\phi^-}_{\text{slow}} + \underbrace{\phi^+}_{\text{fast}} \tag{76}$$

If we integrate out down to some value $\Lambda < \Lambda_0$, we get an correction S_{int} to the effective action:

$$S_{int} = \ln\left[\int_{C^\infty(\Lambda,\Lambda_0]} \mathcal{D}\phi^+ \exp\left(-S_0[\phi^+] - S[\phi^-, \phi^+]\right)\right] \tag{77}$$

The wilsonian approach is to integrate 1 slice $[\underbrace{\Lambda - \delta\Lambda}_{\frac{\Lambda}{s}}, \Lambda]$ at a time, and obtain the change in the couplings.

§3.2.2 ϕ^4 wilson style

For a ϕ^4 theory we get:

$$S_{int} = \sum \text{connected diagrams} \tag{78}$$

$$S_{int} = \frac{-\lambda}{4!} \langle \phi^- \phi^- \phi^+ \phi^+ \rangle^c_{\phi^+} + \frac{\lambda^2}{4!4!2!} \langle \phi^- \phi^- \phi^- \phi^- \phi^+ \phi^+ \phi^+ \phi^+ \rangle^c_{\phi^+} + \mathcal{O}(\lambda^3) \tag{79}$$

where $\langle\rangle^c_{\phi^+]}$ denotes gaussian averaging over ϕ^+ modes living in a momentum shell, and "c" denotes connected correlation functions. The first term corrects the mass of ϕ^-, the second corrects the λ for ϕ^-:

$$\Delta m^2 = -\frac{\lambda}{2}\frac{1}{(2\pi)^d}\int_{\delta\Lambda}\frac{1}{k^2+m^2}k^{d-1}dk \tag{80}$$

$$\approx -\frac{\lambda}{2(2\pi)^d}\frac{S_d\Lambda^{d-1}}{\Lambda^2}\delta\Lambda \tag{81}$$

$$\Delta\lambda \approx -\frac{3\lambda^2}{2}\frac{1}{(2\pi)^d}\frac{S_d\lambda^{d-1}\delta\Lambda}{\Lambda^4} \tag{82}$$

$$= -\frac{3\lambda^2}{2}\frac{1}{(2\pi)^d}S_d\lambda^{d-4}d\ln\Lambda \tag{83}$$

$$\tag{84}$$

We recover the RG equations:

$$\frac{d}{ds}\lambda = -\frac{3}{16\pi^2}\lambda^2 \tag{85}$$

Here note the sign inversion. The flow is towards the IR.

§3.2.3 Wilsonian effective potential, Local Potential Approximation

David Skinner, Timothy Hollywood ch 2, lec10 Francois David We just reproduce the calculation above, but in more generality. Note that all the couplings g_{2n} are dimensionless (if you make them dimensionfull like francois david, you have to rescale the momentum shell from $\frac{\Lambda}{s}\to\Lambda$ after integrating out fast modes).

$$S = S[\phi^-] + S[\phi^+] \tag{86}$$

$$= S[\phi^-] + \int d^dx\frac{1}{2}(\partial\phi^+)^2 + \sum_n\frac{1}{(2n)!}\frac{d^{2n}}{d\phi^{-2n}}V(\phi^-)(\phi^+)^{2n} \tag{87}$$

$$V(\phi) \equiv \sum_n\frac{1}{(2n)!}\Lambda^{d-n(d-2)}g_{2n}\phi^{2n} \tag{88}$$

$$\tag{89}$$

At **one loop order** (or in the saddle point approximation) we get

$$S = S[\phi^-] + \int d^dx\frac{1}{2}(\partial\phi^+)^2 + \frac{1}{2}V''\phi^{+2} \tag{90}$$

This is a gaussian integral which gives:

$$\ln\langle e^{-\delta S}\rangle_{\phi^+} = \frac{1}{2}\mathrm{Tr}\left[\ln(-\Delta + V'')\right]_{\phi^+} \tag{91}$$

Note another way to arrive at the same result is to compute the use the trace formula for the 1 loop effective action where we integrate out ϕ^+:

$$\Gamma(\phi) = S[\phi] + \frac{1}{2}\mathrm{Tr}\left[\ln(-\Delta + V'')\right] \tag{92}$$

$$\to \text{ Integrate fast modes}: \delta\Gamma(\phi^-) = \frac{1}{2}\mathrm{Tr}\left[\ln(-\Delta + V'')\right]_{\phi^+} \tag{93}$$

V'' is a functional operator which is not translation invariant. In the **local potential** approximation, we assume it's just identity operator times a number which allow the to be summed in k-space.

$$\underbrace{S(\Lambda - \delta\Lambda) - S(\Lambda)}_{-\delta S} = \frac{1}{2}\int d^d x \ln(-\Delta + \underbrace{V''}_{\text{operator}})_{\phi^+} \tag{94}$$

$$= \frac{1}{2}\int d^d x \int_{k\in(\Lambda-\delta\Lambda,\Lambda)} \frac{d^d k}{(2\pi)^d} \ln(k^2 + \underbrace{V''}_{\text{number}}) \text{ (local potential approx)} \tag{95}$$

$$= \delta\Lambda \underbrace{C}_{\equiv \frac{(4\pi)^{-\frac{d}{2}}}{\Gamma(d/2)}} \Lambda^{d-1} \int d^d x \ln(\Lambda^2 + V'') \tag{96}$$

The flow of the dimensionless coupling determines how the theory "looks" at different scales, and gives the beta function.

$$\frac{dg_{2n}}{d\ln(\Lambda)} = (n(d-2) - d)g_{2n} - C\Lambda^{n(d-2)}\frac{d^{2n}}{d\phi^{2n}}\Big|_{\phi=0}\ln(\Lambda^2 + V'') \tag{97}$$

Example 3.1

To obtain equation (97), expand the LHS and RHS of (96) separately

$$\delta S = \delta \int d^d x \frac{1}{2}(\partial\phi^2) + V(\phi, g_{2n}, \Lambda) \tag{98}$$

$$= \int d^d x \sum_n \frac{1}{(2n)!}g_{2n}(\Lambda^{d-n(d-2)})(d - n(d-2))\phi^{2n}\delta\ln\Lambda \tag{99}$$

$$-\text{RHS} = \delta S = -C\delta(\ln\Lambda)\Lambda^{d-2}\int d^d x \ln(\Lambda^2 + V'') \tag{100}$$

A few tricks:

- The RHS can be expanded as power series in ϕ. To obtain the ϕ^{2n} term, we just need the 2nd derivative to the 2n.

- Use$\ln(1 + x) \approx x - \frac{x^2}{2} + \frac{x^3}{3} - ...$ to expand the log.

- Divide all the powers of Λ out. (the other way to see this is to set Λ arbitrarily to 1 since the beta function is only a function of the dimensionless coupling, not the cutoff)

Expand:

$$\ln(1 + V'') \approx V'' - \frac{V''^2}{2} + ... \tag{101}$$

---

§3.2.4 Polchinski's ERGE

Actionally implementing this calculation is hard, but conceptually it leads to **ERG** (Exact RG) also called Polchinski's equation.

§3.3 ϕ^4 **RG**

Tree level action:

$$S_{tree} = \int d^D x \frac{1}{2} (\partial \phi)^2 - \frac{1}{2} m^2 \phi^2 - \frac{\lambda}{4!} \phi^4 \tag{102}$$

1 Loop action (with counter terms):

$$S_{1-loop} = \int d^D x \frac{1}{2} (\partial \phi)^2 - \frac{1}{2} \mu^{2\epsilon} \left(m^2 + \frac{\lambda}{(4\pi)^2 \epsilon} \right) \phi^2 - \frac{1}{4!} \underbrace{\mu^{2-D/2} \left(\lambda + \frac{3\lambda^2}{(4\pi)^2} \left(\frac{1}{\epsilon} + ... \right) \right)}_{\lambda_0} \phi^4 \tag{103}$$

Note that we only explicitly wrote the terms that depend on the renormalization scale μ in the counterterms. λ_0 denote the **bare** (UV scale) theory that is fixed.

Requiring that the bare parameter is independent of μ implies the beta function for λ, the **renormalized** coupling (we've ignored finite non-divergent contributions):

$$\frac{\partial}{\partial \ln(\mu)} \lambda_0 = 0 \tag{104}$$

$$\rightarrow \beta_\lambda \equiv \frac{\partial}{\partial \ln(\mu)} \lambda = -\epsilon \lambda + \frac{3\lambda^2}{(4\pi)^2} \tag{105}$$

- for $D = 4$, $\epsilon = 0$ and the coupling grows in the UV. Quantum correction made the coupling **marginally irrelevant**.

- For $D < 4$, there is a competing effect in the beta function. There is a new fixed point at $\lambda^* = \frac{(4\pi)^2}{3} \epsilon$. This is the **wilson fisher fixed point**. (one needs to check stability of the fixed point in the m^2, λ submanifold)

Expanding in $D = 4 - \epsilon$ is also called the **ϵ expansion**

§3.3.1 **Momentum Cutoff**

The divergent integrals for the 2 point and 4 point functions at one loop look as follow:

$$\int_0^\Lambda dp p^3 \frac{1}{p^2 + m^2} = \frac{1}{2} \Lambda^2 - \frac{m^2}{2} \log \left(1 + \frac{\Lambda^2}{m^2} \right) \tag{106}$$

$$\approx \frac{\Lambda^2}{2} - \frac{m^2}{2} \log \left(\frac{\Lambda}{m} \right) \tag{107}$$

$$\int_0^\Lambda dp p^3 \frac{1}{p^2 + m^2} \frac{1}{(k-p)^2 + m^2} \approx \log(\frac{\Lambda^2}{p^2 + m^2}) \tag{108}$$

Call the scale at which we measured λ to be $\mu^2 \equiv s$ (you scattered some s-wave and obtained λ in lab).

We already obtained this expression when doing the effective potential:

$$\Gamma(p_1 = p_2 = -p3 = -p_4) = \frac{3}{2} \frac{\lambda^2}{(4\pi)^2} \log \left(\frac{\Lambda^2}{\mu^2} \right) \tag{109}$$

Since this relation is physical, it implies that there is a fixed relation between λ (measured coupling) and μ (energy scale).

$$\frac{d}{d\ln(\mu)}\Gamma = 0 \tag{110}$$

$$\rightarrow \beta_\lambda \equiv \frac{\partial}{\partial \ln \mu}\lambda(\mu) = \frac{3}{(4\pi)^2}\lambda^2 \tag{111}$$

This is the same beta function obtaind with dimensional regularization.

§3.4 Anatomy of signs

We're the most interested in the sign of the beta function, and that means getting the signs right for the diagrams.

Recall the feynman rules for ϕ^4:

- propagator: $\frac{i}{k^2 - m^2}$

- interaction: $-i\lambda$

This can be easily seen in the path integral:

$$\mathcal{Z} = \int \mathcal{D}[\phi]\exp\left(i\int \mathcal{L}d^d x\right) \tag{112}$$

$$= \int \mathcal{D}[\phi]\exp\left(i\phi M\phi - iV(\phi)\right) \tag{113}$$

Propagators come from the inverse of the quadratic operator iM:

$$G \approx \frac{i}{M} = \frac{i}{k^2 - m^2}$$

$$V \approx -i\lambda$$

Using those rules, the loop integral has 2 vertex and 2 propagators giving a 1PI amplitude $\propto$
$+\lambda^2(\overset{\text{divergent}}{\frown\!\!\frown})$ This means that the 1PI vertex $\Gamma^{(4)}$ grows with μ, and the interaction is marginally irrelevant.

§3.5 Nonlinear σ model

We derive the RG flow for nonlinear σ method, the traditional way with callan-symanzik equation, and the wilsonian way.

§3.5.1 The Setup

Example 3.2

Notational addendum. We write short hand $(\partial_\mu \vec{n})^2$. This is understood to mean:

$$\underbrace{\sum_{\mu=0}^{D}}_{\text{spacetime}}\underbrace{\sum_{i=1}^{N}}_{\text{spin components}}(\partial_\mu n^i)^2$$

It describes a vector field $\vec{n} = n_i$ in N-dimension constrained to move on a hypersphere. The constraint is $\sum_i n_i^2 = 1$. This is the infinite mass limit of O(N) symmetry breaking model.

$$\mathcal{L} = \frac{1}{2g^2}(\partial_\mu \vec{n})^2$$

$$n^i = (\underbrace{\pi^1, \pi^2, ..., \pi^{N-1}}_{\text{Goldstone bosons}}, \sigma)$$

The spherical constraint implies

$$\sigma = \sqrt{1 - \pi^2}$$

Expanding the lagrangian gives:

$$\mathcal{L} = \frac{1}{2g^2}(\partial_\mu \pi)^2 + \frac{\pi \cdot \partial_\mu \pi}{2g^2(1 - \pi^2)} \approx \frac{1}{2g^2}(\partial_\mu \pi)^2 + \frac{1}{2g^2}(\pi \cdot \partial_\mu \pi)^2$$

One obtains progropagator and interactions:

$$\frac{ig^2}{p^2}\delta_{ij}$$

$$\frac{-i}{g^2}\left(\sum_{\text{3 pairings}} \delta^{ij}\delta^{kl}(p_1 + p_2)(p_3 + p_4)\right)$$

§3.5.2 Traditional Way

Callan Symanzik for n-point function order by order in perturbation theory:

$$\left(\frac{\partial}{\partial \ln(M)} + \beta(g)\frac{\partial}{\partial g} + n\gamma(g)\right)G^{(n)}(M, g, p) = 0$$

To get γ, pick $G^{(1)} = \langle \sigma(0)\rangle$

$$\sigma(0) = 1 - \frac{1}{2}\langle\pi(0)^2\rangle + ... \tag{114}$$

$$= 1 - \sum_{kl}\int \frac{\mathrm{d}^d k}{(2\pi)^d}\frac{ig^2}{k^2 - \underbrace{\mu^2}_{\text{IR regulator}}}\delta^{kl} \tag{115}$$

$$=_{d\to 2} 1 - g^2(N-1)\frac{\Gamma(1 - \frac{d}{2})}{(8\pi)\mu^{1-\frac{d}{2}}} \tag{116}$$

Impose renormalization at scale M:

$$\langle\sigma\rangle = 1 - \frac{1}{2}\frac{g^2(N-1)}{8\pi}\ln\frac{M^2}{\mu^2} \tag{117}$$

Plug into callan symanzik equation to order g^2 (note $\beta = \underbrace{0}_{\text{classical}} + \mathcal{O}(g^2)$ so it has no effect for this diagram)

$$\gamma(g) = \frac{g^2(N-1)}{4\pi} + \mathcal{O}(g^4)$$

Similarly one can compute the callan symanzik equation for the propagator which will give β:

$$\langle \pi^k(p)\pi^l(-p)\rangle = \frac{ig^2\delta^{kl}}{p^2}\left(\underbrace{1}_{\text{tree}} - \underbrace{\frac{g^2}{4\pi}\ln\left(\frac{M^2}{\mu^2}\right) + \mathcal{O}(g^4)}_{\text{loops}}\right) \tag{118}$$

Chug the callan symanzik equation again, using γ obtained previously:

$$\left(\frac{\partial}{\partial\ln(M)} + \beta(g)\frac{\partial}{\partial g} + 2\gamma(g)\right)\langle\pi^k(p)\pi^l(-p)\rangle = 0$$

$$= \frac{i\delta^{kl}}{p^2}\left(-\frac{g^4}{2\pi} + 2g\beta(g) + 2g^2\frac{g^2(N-1)}{4\pi}\right)$$

$$\rightarrow \beta(g) = -(N-2)\frac{g^3}{4\pi} + \mathcal{O}(g^5)$$

- The model is **free** with N=2. This is because for $N = 2$ in $d = 2$, this is the model of a 2-d angle field, with effective action $\frac{1}{2g^2}(\partial\theta)^2$. This is a gaussian theory and the beta function does not flow.

- For $N > 2$ in 2 dimension, the theory is **asymptotically free**.

§3.5.3 Wilson Style

Kardar, Beyond Spin Waves

§3.5.4 Large N

Peskin, Schroeder ch. 13 We will yet analyze this theory using new trick, in the large N limit. This trick consists of:

- Use lagrange multipliers to enforce the constraint

- Interpret the lagrange multiplier as a gauge field

$$Z = \int\mathcal{D}\vec{n}\exp\left[-\frac{i}{2g_0^2}\int\mathrm{d}^dx(\partial_\mu\vec{n})^2\prod_x\underbrace{\delta(n^2-1)}_{\text{constraint}}\right] \tag{119}$$

$$= \int\mathcal{D}\vec{n}\mathcal{D}\alpha\exp\left[-\frac{i}{2g_0^2}\int\mathrm{d}^dx(\partial_\mu\vec{n})^2 - \frac{i}{2g_0^2}\underbrace{\alpha\int\mathrm{d}^dx(n^2-1)}_{\text{Lagrange Multiplier }\alpha(x)}\right] \tag{120}$$

$$= \int\mathcal{D}\alpha\exp\left[\underbrace{-i\frac{N}{2}\ln\det\left(-\partial^2+i\alpha\right) + \frac{i}{2g_0^2}\int\mathrm{d}^dx\alpha(x)}_{S}\right] \tag{121}$$

In the large N limit, the integral can be evaluated via sadde point. The saddle point is determined by $\frac{\delta}{\delta\alpha}S = 0$, to solve for $\alpha(x)$:

$$-\frac{N}{2}\frac{1}{-\partial^2+i\alpha} = \frac{1}{2g_0^2}$$

key: because the RHS is a constant we need $\alpha(x) = \alpha$ to be constant. Because the RHS is real, we write $-i\alpha = m^2$. Furthermore, the operator is diagonal in frequency space:

$$N \int \frac{\mathrm{d}^d k}{(2\pi)^d} \frac{1}{k^2 + m^2} = \frac{1}{g_0^2}$$

At this step, we start our renormalization program. Obviously the integral is UV divergent and one needs to do so matching (ahem, measurement), at scale M, with measured coupling $g(M)$, and fixed cut-off Λ. Evaluating at d=2:

$$\frac{N}{2\pi} \ln\left(\frac{\Lambda}{m}\right) = \frac{1}{g_0^2}$$

$$\frac{1}{g_0^2} = \frac{1}{g^2} + \frac{N}{2\pi} \ln\left(\frac{\Lambda}{M}\right) \text{ (we measured the mass to be M)}$$

This equation is obviously overdetermined, since g_0, Λ is fixed (the bare theory is the full truth!). This implies the mass parameter depend on the scale:

$$\underbrace{m}_{\text{bare mass}} = M \exp\left[-\frac{2\pi}{g^2 N}\right]$$

Similary, one can plug the bare mass into callan symanzik to get flow:

$$\left[\frac{\partial}{\partial \ln(M)} + \beta(g)\frac{\partial}{\partial g}\right] m(M, g) = 0$$

$$\beta(g) = -\frac{g^3 N}{4\pi}$$

§3.6 Covariance of beta function

Zinn Justin, section 10.11, MIT 8.324 pset 6. For classically scale invariant theories, the beta function only flows at second order of the coupling. This is because only loop diagrams lead to a broken scale invariance.

$$\beta(\lambda) = b_2 \lambda^2 + b_3 \lambda^3 + ... \tag{122}$$

We can show that under smooth change $\lambda' = \lambda + a_2 \lambda^2 + ...$, the 2nd and 3rd order coefficients of the beta function are univeral. In general under coupling rescaling:

$$\beta_j'(\lambda') = \beta_i \frac{\partial \lambda_j'}{\partial \lambda_i} \text{ general reparametrization} \tag{123}$$

$$\lambda(\lambda') \approx \lambda' - a_2 \lambda'^2 + \mathcal{O}(\lambda'^3) \tag{124}$$

$$\beta'(\lambda') = (b_2(\lambda' - a_2\lambda'^2 + ...)^2 + b_3(\lambda' - a_2\lambda' + ...)^2)(1 + 2a_2\lambda' + ...) \tag{125}$$

$$= b_2\lambda'^2 + b_3\lambda'^3 + \lambda'^3(-2a_2b_2 - 2b_3a_2 + 2a_2(b_2 + b_3)) + \mathcal{O}(\lambda'^4) \tag{126}$$

$$= b_2\lambda'^2 + b_3\lambda'^3 + \mathcal{O}(\lambda'^4) \tag{127}$$

For a marginally relevant interaction like QCD, we have

$$\beta = -b_2 g^2 - b_3 g^3 + ... \tag{128}$$

$$\rightarrow \ln\left(\frac{\mu}{\Lambda}\right) = \frac{1}{g(\mu)} + \frac{c}{b^2} \ln(bg(\mu)) + \mathcal{O}(g) \tag{129}$$

$$\tag{130}$$

This is an example of **dimensional transmutation**, where a new scale Λ appears in a classically scale invariant theory. For QED, it's the landau pole scale where the theory blows up. For QCD, it's a low energy scale where perturbation theory breaks down in the IR.

§3.6.1 Dimensional Transmutation

Massless ϕ^4 in $d = 4$ is a classically scale invariant theory. When we introduce quantum fluctuations, we find out it is no-longer scale invariant because loops cause the β function to be non-zero. One finds there is a **Landau pole**, in other words, given some fixed lab measurement, one integrates the callan symanzik equation and finds: $\lambda(\mu) = \frac{\lambda_R}{1 - \exp(k\mu)}$

λ blows up at some finite μ^*. The statement of dimensional transmutation is this a bijection between $\mu^* \leftrightarrow \lambda_R$ thanks to the reversibility of the flow. The lab measurement measured a dimensionless coupling, but one can also specify the theory using a scale where the theory blows up. That's it, really. The same thing happens in QED, where i can either give you the dimensionless $\alpha = \frac{1}{137}$ parameter at so and so lab energy scale, or give you the landau pole energy to fully specify all scattering experiment measurements.

§3.7 Conformal Fixed Points

§3.7.1 Critical behavior

(Eduardo Fradkin, Quantum Field Theory an integrated approach)

We saw that the coupling can run. We saw that the β function could be asymptotically free or slaved (marginal relevance or irrelevance). Now we will examine the physical consequence near a critical point where the β function is 0.

Near an IR fixed point where t is some coupling the beta function can be expanded as:

$$\beta(t) = \beta'(t - t^*) = \beta'\tilde{t}$$

We can integrate the callan symanzik equation:

$$\frac{\mu_2}{\mu_1} = \exp\left(\int_{t_1}^{t_2} \frac{1}{\beta'\tilde{t}}d\tilde{t}\right) = \left(\frac{t_2}{t_1}\right)^{\beta'}$$

Since energy scale is inversely related to distance, we have:

$$\frac{x_1}{x_2} \propto \left(\frac{t_2}{t_1}\right)^{\beta'}$$

This slows that the critical exponent for the divergence of the correlation length, related to a coupling (tuning parameter, mass, termperature) is the slope of the beta function at the critical point.

In general at a fixed point, there could be many relevant operators one has to tune to achieve criticality. In that case, the β function can be expanded (linearized) and diagonalized. The eigenvalues of the linearized β matrix gives the critical exponent for each relevant tuning parameter.

One similarly can construct Callan Symanzik equations for macroscopic observables like the Gibbs energy:

§3.7.2 Conformal Field Theories

It turns out that scale invariant fixed points are also **conformal field theories**: the theory respects invariance with respect to change of coordinates $x \to f(x)$ that preserve angles.

Consider an observable $\mathcal{O}$. Due to conformal invariance:

$$\langle \mathcal{O}(x_1)\mathcal{O}(x_2)\rangle \propto \frac{1}{|x_1 - x_2|^{2\Delta_{\mathcal{O}}}}$$

The value $\Delta_{\mathcal{O}}$ is the **scaling dimension** of operator $\mathcal{O}$.

In general a conformal field theory has

- the ground state is conformally invariant.

- It has a (minimal) set of observables called **quasi-primaries** ϕ_i which transforms irreducibly under conformal transformations:

$$\phi_i'(x') \to | \underbrace{\frac{\partial x'}{\partial x}}_{\text{jacobian}} |^{\frac{\Delta_i}{D}} \phi_i(x)$$

   D is the space time dimensions while Δ_i are the scaling dimension of the operators.

- All other observables are made of quasi primaries and their derivatives, which are called **descendents**

- The quasi primaries form an orthogonal set in the sense:

$$\langle \phi_1(x_1)\phi_2(x_2)\rangle = \begin{cases} \frac{C_{12}}{|x_2 - x_1|^{2\Delta}} & \text{if } \Delta_1 = \Delta_2 \equiv \Delta \\ 0 & \text{else} \end{cases}$$

   Once can picka basis of $\{\phi_i\}$ such that $C_{ij} = \delta_{ij}$.

- Similarly one writes a formula for the 3 point function:

$$\langle \phi_1(x_1)\phi_2(x_2)\phi_3(x_3)\rangle = \frac{C_{ijk}}{|x_{12}|^{\Delta_{12}}|x_{23}|^{\Delta_{23}}|x_{13}|^{\Delta_{13}}}$$

   where $x_{ij} \equiv x_i - x_j$ and $\Delta_{ij} = \Delta_i + \Delta_j - \Delta_k$.

§3.7.3 Operator Product Expansion

Because the primaries and their descendents form a complete set, they form closed algebra. This implies the **Operator Product Expansian**:

Theorem 3.3

The operator product expansion formula states that for $\phi_i(x)$ being a complete set:

$$\lim_{x \to y} \phi_i(x)\phi_j(y) = \sum_{i,j,k} \tilde{C}_{ijk} \frac{1}{|x-y|^{\Delta_i + \Delta_j - \Delta_k}} \phi_k\left(\frac{x+y}{2}\right) \tag{131}$$

Using the OPE, one can show the $\tilde{C}_{ijk}$ are the 3 point functions.

$$\lim_{y \to x} \langle \phi_i(x)\phi_j(y)\phi_l(z)\rangle = \lim_{y \to x} \sum_k \sum_k \tilde{C}_{ijk} \frac{1}{|x-y|^{\Delta_i + \Delta_j - \Delta_k}} \left\langle \phi_k\left(\frac{x+y}{2}\right)\phi_l\right\rangle$$

Given that the $\{\phi_k\}$ form an orthogonal complete set, $\langle \phi_k \phi_l\rangle = \frac{\delta_{kl}}{|x|^{2\Delta_l}}$

$$\lim_{y \to x} \langle \phi_i(x)\phi_j(y)\phi_l(z) \rangle = \tilde{C}_{ij} \frac{1}{|x-y|^{\Delta_i + \Delta_j - \Delta_y}} \frac{1}{|x-z|^{2\Delta_l}}$$

This implies that the $\tilde{C}_{ijk} = C_{ijk}$ the 3 point function. In the limit the operators coincide in space, they form a **fusion algebra** of the form:

$$[\phi_i][\phi_j] = C_{ijk}[\phi_k]$$

§4 Symmetries

§4.1 Spontaneous Symmetry Breaking

§4.1.1 Coleman Weinberg

§4.2 Ward Identity

(David Skinner) The Ward identity is the generalization of Noether's theorem in the quantum world.

Recall that noether's theorem said if we had a continuous symmetry parameterized by ϵ of the form $\phi \to \phi' = U(\epsilon)\phi$ that preserves the action, then we can derive a conserved current.

In the quantum world there is an additional subtlety that we need to preserve the product $\mathcal{D}\phi e^{-S[\phi]}$. Usually, we only look for transformations that preserve $e^{-S[\phi]}$, but in cases where one cannot preserve $\mathcal{D}\phi$, this is an **anomaly** (a classical symmetry that cannot be realized in the quantum world).

The derivation is straightforward:

$$\int \mathcal{D}\phi e^{-S[\phi]} = \int \mathcal{D}\phi' e^{-S[\phi']}$$

$$= \int \mathcal{D}\phi e^{-S[\phi]} \left(1 - \int \mathrm{d}^4 x j_\mu(x)\partial_\mu \epsilon \right)$$

$$\to \langle j_\mu(x) \rangle = 0$$

There's a nice derivation in skinner to show the charge operator $\hat{Q}$ can be used to generate change to any observable $\hat{O}$:

$$\delta\hat{O} = -\epsilon[\hat{Q},\hat{O}]$$

This can be used to show that in QED that gauge symmetry implies any amplitude $\mathcal{M}^\mu \underbrace{\epsilon_\mu(p)}_{\text{external photon}}$

satisfies:

$$p_\mu M^\mu = 0 \text{ (no longitudinal polarization)}$$

This for example constrains vacuum polarization to look like

$$\pi^{\mu\nu} \propto e^2(g^{\mu\nu}p^2 - p^\mu p^\nu)$$

§5 Gauge Theories

§5.1 QED review

$$\sigma^\mu \equiv (\mathbb{I}, \sigma) \tag{132}$$

$$\gamma^\mu \equiv \begin{pmatrix} \mathbf{0}_{2\times2} & \sigma^\mu \\ \sigma^\mu & \mathbf{0}_{2\times2} \end{pmatrix}_{4\times4} \tag{133}$$

$$\psi = (\psi_L, \psi_R)_{1\times4} \tag{134}$$

$$\bar\psi = (\psi_R^\dagger, \psi_L^\dagger)_{4\times1} \tag{135}$$

$$\mathcal{D}_\mu \equiv \partial_\mu - ieA^\mu \tag{136}$$

$$\not{D} = \gamma^\mu \mathcal{D}_\mu \tag{137}$$

$$\mathcal{L} = \bar\psi\left(i\not{D} - m\mathbb{I}_{4\times4}\right)\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu} \tag{138}$$

$$\{\hat\psi_i(x), \hat\psi_j^\dagger(y)\} = \delta^3(x-y)\delta_{ij} \tag{139}$$

Feynman rules:

- The photon propagator is

$$\frac{-i}{p^2 + i\epsilon}\left[g_{\mu\nu} - (1-\xi)\frac{p_\mu p_\nu}{p^2}\right]$$

  Contract this with any external photon polarization $\epsilon^\mu(p)$. Note per ward identity, p^μ will kill this (photons don't have longitudinal polarization)

- The fermion propagator is

$$\frac{i(\not{p} + m)}{p^2 - m^2 + i\epsilon}$$

- For any fermion loop, trace out all internal spin indices and you get a minus sign.

§5.2 Non-Abelian Gauge Theory

§5.2.1 Building the theory

Zee, section IV.5, Srednicki ch. 69 Let's construct a non-abelian gauge theory:

- Promote global gauge invariance of the field vector $\{\phi_i\}$ under $SU(N)$ to local gauge invariance:

$$\phi \to U(x)\phi$$

- The derivative operator is no longer gauge covariant

$$\partial_\mu\phi \to U(\partial_\mu + U^\dagger\partial_\mu U)$$

- Introduce the covariant derivative

$$\mathcal{D}_\mu \equiv \partial_\mu - iA_\mu(x)$$

  where A_μ is called the **gauge field**. It can be matrix valied. To obtain the transformation of the gauge field,

$$(\partial_\mu + iA_\mu)U\phi = U(\partial_\mu + \underbrace{U^\dagger\partial_\mu U - iU^{-1}A_\mu{}'U}_{\text{cancel to } -iA})\phi \tag{140}$$

- Make A_μ transform to cancel $U\partial_\mu U^\dagger$:

$$A'_\mu = U^\dagger A_\mu U - i(\partial_\mu U)U^\dagger$$

Using $\partial_\mu(UU^\dagger) = 0$

$$\rightarrow \partial_\mu U U^\dagger = -U\partial_\mu U^\dagger$$

We can derive the transformation law for A: $A_\mu \rightarrow U^\dagger A_\mu U + iU\partial_\mu U^\dagger$

- Let's pick a basis of generators T^a for the gauge group. Consider an infinitesimal gauge transformation $U = 1 + i\theta^a T^a$, and decompose $A_\mu = A_\mu^c T^c$ We can use this form to show that the gauge field transforms in the **adjoint representation** of the gauge group

$$A_\mu{}' = 1 + i\theta^a[T^a, A_\mu] + T^a\partial_\mu\theta^a$$
$$\text{recall } [T^a, T^b] = if^{abc}T^c$$
$$A_\mu{}'^c = A_\mu^a - f^{abc}\theta^b A_\mu^c + \partial_\mu\theta$$

- One can immediately write down gauge invariant kinetic and potential terms and lagrangians:

$$\mathcal{L} = (\mathcal{D}_\mu\phi)(\mathcal{D}^\mu\phi) - V(\phi^\dagger\phi)$$

Example 5.1

The shorthand notation ∂_μ on vector valued fields implicitly means $\mathbb{I}\partial_\mu$

---

§5.2.2 Adding kinetic term

We will use differential form notation to add a kinetic term, and show it is the curvature of the gauge field.

- To clean the notation, redefine $iA_\mu \rightarrow A dx^\mu$ to be a matrix valued 1-form (note we absorbed the i)

- The covariant derivative is just: $d + A$

- There are 2 matrix valued 2 forms we can construct:

$$A \wedge A \equiv A^2 \text{ (in spacetime comps) } \rightarrow \frac{1}{2}[A_\mu, A_\nu]\mathrm{d}x^\mu \wedge \mathrm{d}x^\nu$$

$$dA$$

A^2 obviously vanish for abelian gauge groups

- This combination is gauge covariant (transforms homogeneously):

$$F = dA + A^2$$

$$F \rightarrow UFU^\dagger$$

F is the **curvature tensor**

- Another slick derivation

$$D = d + A$$
$$D^2 = dA + A^2$$

- In component form and switching back the i's, we have

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - i[A_\mu, A_\nu]$$

$$F^a_{\mu\nu} = \partial_\mu A^a_\nu - \partial_\nu A^a_\mu + f^{abc} A^b_\mu A^c_\nu$$

- Since F transforms covariantly, to obtain a gauge invariant scalar, one traces the matrix:

$$-\frac{1}{4}\text{Tr}F_{\mu\nu}F^{\mu\nu}$$

Another way to write this term elegantly is:

$$-\frac{1}{4}\text{Tr}\left(^\star F \wedge F\right)$$

This has interactions!

$$f^{abc} A^{b\mu} A^{c\nu}(\partial_\mu A^a_\nu - \partial_\nu A^a_\mu) \text{ cubic term}$$

$$(f^{abc} A^b_\mu A^c_\nu)^2 \text{ quartic term}$$

Example 5.2

Since the structure constants for SU(2) is $f^{abc} = \epsilon^{abc}$, the field strength is just:

$$\vec{F}_{\mu\nu} = \partial_\mu \vec{A}_\nu - \partial_\nu \vec{A}_\mu + \vec{A}_\mu \times \vec{A}_\nu$$

(Note SU(2) has 3 generators, so one can represent it in 3-d space as vectors)

---

§5.2.3 Nonlinearity

As we have seen the theory is self-interacting from cubic and quartic terms. Another way to see this as follow:

- The photon is a U(1) gauge field. It does not transform under U(1) gauge transformation due to its abelian nature: $e^{i\theta(x)}Ae^{-i\theta(x)} \to A$. This means the photon is **neutral**

- The gluons are SU(3) gauge fields. They transform non-trivially under gauge transformation: $U(x)AU^{-1} \neq A$. This means the gluons are **charged**

One way to think about charged operators is they create states that have non-zero charge. Here we elaborate a bit on the terminology often used:

- The generator of global gauge invariance (a real symmetry) is some unitary operator $e^{i\alpha\hat{Q}}$ where $\hat{Q}$ is hermitian.

- Using Noether's theorem, we know $\langle\hat{Q}\rangle$ is conserved under time evolution and call it the **charge** associated with the gauge symmetry.

$$\hat{Q} \underbrace{|q\rangle}_{\text{charged state}} = \underbrace{q}_{\text{charge}} |q\rangle$$

- An operator $\mathcal{O}$ that doesn't commute with $\hat{Q}$ (alternatively is not gauge invariant) is called a **charged operator**: it creates charge!

$$[\hat{Q}, \mathcal{O}] \neq 0 \to \mathcal{O}|q\rangle = \sum_{q' \neq q} \underbrace{C_{q'}|q'\rangle}_{\text{new charge created!}}$$

§5.2.4 Adding Matter

To couple a gauge field to matter, replace $\partial_\mu \to \mathcal{D}_\mu$

Example 5.3

QED. The free electron lagrangian is:

$$\bar{\psi}(i\slashed{\partial}_\mu - m\mathbb{I})\psi = 0$$

where ψ is a 4-component spinner:

$$\slashed{\partial} \equiv i\gamma^\mu \partial_\mu$$

Replace $\slashed{\mathcal{D}} \equiv \slashed{\partial} - ie\gamma^\mu A_\mu$ and one obtains the QED lagrangian:

$$\mathcal{L} = \bar{\psi}(i\slashed{\mathcal{D}} - m)\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu}$$

Example 5.4

QCD. In QCD, one has quarks (fermions) interacting with gluons (gauge bosons), with gauge group SU(3).

- The quarks have 3 **colors** corresponding to the gauge group index in the fundamental representation.

- They furter have 6 **flavor** indices, with global flavor symmetry.

- One combines both into ψ_{iJ} where i= color and J = flavor index

The full lagrangian is then:

$$\mathcal{L} = \bar{\psi}_{iI}\left(\slashed{\mathcal{D}}_{ij} - m_I\delta_{ij}\right)\psi_{jI} - \frac{1}{4}\mathrm{Tr}F_{\mu\nu}F^{\mu\nu}$$

Example 5.5

One often hear the term the fermions transforms under the **fundamental** representation while the fields, transform under the **adjoint** representation of the gauge group. Let's spell out what it means. Consider some gauge group G with generators T^a. The fields ψ in general has dirac indices and color indices (gauge group). The color indices mix under a gauge transformation. The way they mix is as follow:

$$\psi(x) \to U(x)\psi = \exp\left(i \sum_k \epsilon_k T^k\right)\psi$$

If we write this infinitesimally and use color indices:

$$\psi_b \to (\mathbb{I} + i\epsilon_a T^a_{bc})\psi_c$$

The fermion fields mix via the generators which is the fundamental representation. The gauge fields also transform but differently:

$$F(x) \to U F U^\dagger$$

Decompose F using the generator basis. Writing this infinitesimally, we see:

$$F(x)_a \to (\mathbb{I} - f^{abc}\epsilon_b)F_c$$

This is called the adjoint representation, since the generators of the transformation is the structure constants themselves.

For an SU(N) gauge group, the fermion field has N color indices, while the gauge field will have $N^2 - 1$ indices, 1 for each generator.

§5.2.5 Theta term

Note in the previous discussion we ignored another renormalizable term which is a total derivative

$$\mathcal{L} = \theta \epsilon^{\alpha\beta\mu\nu} F_{\mu\nu} F_{\alpha\beta} = 2\theta \partial_\mu \left(\epsilon^{\mu\nu\alpha\beta} A_\nu F_{\alpha\beta}\right) \tag{141}$$

This can be more compactly written as $\theta F \wedge F$ and is a total derivative:

$$d(A \wedge F) = F \wedge F$$

It is topological in nature (doesn't depend on the metric!). Since ϵ exchanges $E \leftrightarrow B$, the theta term is just $\mathbf{E} \cdot \mathbf{B}$ for EM.

§5.2.6 Classical considerations

From Noether's theorem, compute the conserved charge:

$$J^a_\mu = -\bar{\psi}_i \gamma^\mu T^a_{ij} \psi_j + f^{abc} A^b_\nu F^c_{\mu\nu}$$

The current is **not** gauge covariant. Therefore there's no physical charge one can measure. If you define the matter current as

$$j^a_\mu = -\bar{\psi}_i \gamma^\mu T^a_i j \psi_j$$

This matter current is not conserved but only covariantly conserved.

> **Theorem 5.6**
>
> **Weinberg Witten** theorem for spin 1: A theory with global non-abelian symmetry under which massless spin-1 particles are charged does not admit a gauge invariant conserved current

> **Theorem 5.7**
>
> **Weinberg Witten** theorem for spin 2: A theory with a conserved and lorentz-covariant energy momentum tensor can never have a massless particle of spin 2.

§5.3 Quantization

§5.3.1 Fadeev-Popov

(Kaku) Fadeev Popov strategy is to factor out gauge orbit integration from the physical stuff, by fixing the gauge with a deta function and then integrating over gauge orbits.

$$Z = \int \mathcal{D}A^\mu \underbrace{\Delta_{FP}(A_\Omega)}_{\det[\frac{\delta F}{\delta \Omega}]} \underbrace{\int \mathcal{D}\Omega}_{\text{gauge orbit integral}} \underbrace{\delta(F(A_\Omega))}_{\text{gauge constraint}} \exp\left(iS[A^\mu]\right)$$

$\mathcal{D}\Omega$ is an invariant group measure:

$$U \approx 1 + i\theta_a T^a \to \mathcal{D}\Omega \propto \prod_{x,a} d\theta_a(x) \tag{142}$$

$$\mathcal{D}\Omega = \mathcal{D}(\Omega\Omega') \tag{143}$$

Due to the invariance across gauge orbit, the gauge orbit integration factors out:

$$Z = \underbrace{\int \mathcal{D}\Omega}_{\infty} \times \int \mathcal{D}A^\mu \Delta_{FP}(A)\delta(F(A))\exp(iS[A])$$

We now just need to evaluate the fadeev popov determinant. This will introduce so called **ghosts**:

- Use the key identity for fermion (grassman) integration:

$$\det(M) = \int \prod \mathrm{d}c\,\mathrm{d}\bar{c} \exp(-\bar{c}Mc)$$

$$\Delta_{FP} = \int \mathcal{D}c\mathcal{D}\bar{c} \exp\left(i \int \mathrm{d}^4x \int \mathrm{d}^4y\, \bar{c}(x)M(x,y)c(y)\right)$$

- To obtain the kernel M, let's compute an example for U(1) gauge theory in a particular gauge

$$F(A) = \partial_\mu A^\mu = 0$$

Under gauge transformation, $A \to A + \partial_\mu\theta$ and $F(A) \to F(A) + \partial^\mu\partial_\mu\theta$

$$M(x,y) = \frac{\delta F}{\delta \Omega} = [\partial^\mu\partial_\mu]_{x,y}$$

- For non-abelian gauge theory, one has additional color indices.

$$\Delta_{FP} = M_{x,y,a,b}$$

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- Nonabelian FP determinant can also be obtained from the gauge transformation of the field

$$A_\mu^a \to A_\mu^a + \frac{1}{g}\left(\partial_\mu \theta_\mu^a - g f^{abc}\theta^b A^c\right)$$

$$\to M_{x,y,a,b} = \frac{1}{g}\left(\delta^{ab}\partial^\mu\partial_\mu - g f^{abc}\partial^\mu A_\mu^c\right)\delta(x-y)$$

- This contributes an extra term in the action, which are the **Fadeev Popov Ghosts**

$$\int \mathrm{d}^4 x\, \bar{c}_a \left(\delta^{ab}\partial^2 - g f^{abc}\partial^\mu A_\mu^c\right)c_b$$

- Finally we sum over a gaussian weighted version of the constraint giving the gauge fixing term (1 per gauge field)

$$\frac{1}{2\xi}\int d^4 x \sum_a (\partial_\mu A_\mu^a)^2$$

We obtain the full lagrangian below (where we couple to N fermions transforming in the fundamental representation of SU(N)):

$$\mathcal{L} = \underbrace{-\frac{1}{4}\sum_a (F_{\mu\nu}^a)^2}_{\text{gauge kinetic}} \underbrace{- \frac{1}{2\xi}\sum_a(\partial_\mu A_\mu^a)^2}_{\text{gauge constraint}} \underbrace{- \bar{c}^a(\delta^{ac}\partial^2 - g f^{abc}A_\mu^b)c^c}_{\text{ghosts}}$$
$$+ \underbrace{\bar{\psi}^i\left(\delta_{ij}i\slashed{\partial} + g\gamma^\mu A_\mu^a T_{ij}^a - m\delta_{ij}\right)\psi_j}_{\text{fermions}}$$

§5.3.2 BRST

§5.3.3 Feynman Rules

The feynman rules are identical to QED except for a few things:

- There are bunch of structure factors for the gluons and Trace of gauge group generator for the fermions. Fermions sit in the fundamental while gluons in the adjoint.

- There's a 3 point gluon and 4 point gluon vertex

- The ghosts do not factor out. The ghost interact with the gluon via the structure factor

- gluon propagator

$$\underbrace{i\frac{-g^{\mu\nu} + (1 - \xi\frac{p^\mu p^\nu}{p^2})}{p^2 + i\epsilon}}_{\text{same as QED photon}}\underbrace{\delta^{ab}}_{\text{gluon type}}$$

- Similar colored fermions have the exact same propagator with just a (fundamental color) fermion index matrix on top:

$$\frac{i}{\slashed{p} - m + i\epsilon}\underbrace{\delta^{ij}}_{\text{fermion color index}}$$

- The 3 point gluon interacton is a bit messy but still some structure

$$g f^{abc}\left(g^{\mu\nu}(k-p)^\rho + g^{\nu\rho}(p-q)^\nu + g^{\rho\nu}(q-k)^\nu\right)$$

- The 4 point gluon interaction has a bunch of structure factors (this is where you'll get the casimir of adjoint representation factors from)

- Fermion gluon interaction term:
$$ig\gamma^\mu T_{ij}^a$$
where a is the gluon type, i, j are fermion color indices.

To give a taste of a calculation, consider the vacuum polarization (gluon - fermion pair creation bubble - gluon).

$$i\mathcal{M}^{ab\mu\nu} = \underbrace{\text{tr}[T^a T^b]}_{\text{color averaging}} \times \underbrace{\left(-(ig)^2 \int \frac{\mathrm{d}^d k}{(2\pi)^d} \frac{i}{k^2 - m^2} \frac{i}{(p-k)^2 - m^2} \text{Tr}[\gamma^\mu(\not{k} - \not{p} + m)\gamma^\nu(\not{k} + m)]\right)}_{\text{same as QED}}$$

The colors just introduce here a factor of $T_F \delta^{ab}$. Similar as QED, there's no symmetry factor because fermions in the loop can't be interchanged.

§5.3.4 Renormalization

One then computes the beta function for the fermion coupling vertex in $d = 4 - \epsilon$ dimensions:

$$\beta(g_R) = -\frac{\epsilon}{2}g_R - \frac{g_R^3}{16\pi^2}\left[\frac{11}{3}C_A - \frac{4}{3}\underbrace{n_f}_{\text{num fermions}} T_F\right]$$

We see that the theory is **asymptotically free** for low enough fermion content. Note that the result only cares about $\frac{T_F}{C_A}$ which does not depend on the normalization scheme.

For QCD, N = 3, $C_A = 3$, $T_F = \frac{1}{2}$, so if there's fewer than 17 flavors of quarks the theory is free in the UV (there's 6 quark flavors).

The flow of the coupling vs. energy scale gives another example of dimensional transmutation. The theory has no mass scale, but at some finite energy scale Λ_{QCD}, $g_R \approx 1$. Λ_{QCD} can then be used to completely parametrize the theory.

§5.3.5 Banks Zaks Fixed Point

§5.4 Electroweak unification

We observe the following process of neutron decay:

$$n \to e^- + p + \underbrace{\nu_e}_{neutrino}$$

Basically, there must be some form of interaction of 4 fermions, which is modelled by Fermi's 4-fermi coupling:

$$g\bar{\psi}\psi\bar{\psi}\psi \equiv g_F \Lambda^2 (\bar{\psi}\psi)^2$$

Obviously, this theory is non-renormalizable since the coupling has negative mass dimensions (mass dimension -2).

Weinberg-Salam's model called **Electro-weak** theory provides the UV completion of this model, while unifying both electromagnetism and weak interactions under the gauge group $SU(2) \otimes U(1)$.

We will describe the theory:

- The theory is described by a 2 component complex scalar field called the **Higgs** "H" transforming with global SU(2) symmetry, coupled to W and B bosons which respectively transform in the adjoint representation of SU(2) and U(1).

$$\mathcal{L} = -\frac{1}{4}(W_{\mu\nu})^2 - \frac{1}{4}(B_{\mu\nu})^2 + (\mathcal{D}_\mu H)^\dagger(\mathcal{D}^\mu H) + m^2 H^\dagger H - \lambda(H^\dagger H)^4 + \underbrace{\sum_i \bar{\psi}_i(\not{\mathcal{D}}_{ij})\psi_j}_{\text{fermion sector}}$$

Where

$$\mathcal{D}_\mu = \partial_\mu - ig W^a_{\mu\nu} \underbrace{\tau^a}_{\text{SU(2) generator}} -i\frac{g'}{2} B_\mu H$$

- Upon spontaneous symmetry breaking to the the mexican hat potential, the Higgs aquires a vacuum expectation value. Rotate your global gauge phase along the direction of that break: this is called the **Unitary Gauge**. A generic direction will leave 1 massless direction and gap all other 3 directions. The massless direction is the photon: it is a linear combination of the B and the W.

- Without loss of generality align your W direction so that W^1 and W^2 is gapped cleanly. In math, the term $(\mathcal{D}_\mu H)^2$ will have 3 massive modes

$$g^2\frac{v^2}{2}\left[\left(W^1_\mu\right)^2 + \left(W^1_\mu\right)^2 + \left(\underbrace{\frac{g'}{g}B_\mu - W^3_\mu}_{Z_\mu}\right)^2\right]$$

- Identify the last term as the massive Z boson. The mode orthogonal to the last term is the photon and massless:

$$A^\mu = \left(\frac{g'}{g}B_\mu - W^3_\mu\right)\perp$$

$$= \sin(\theta_W)W^3_\mu + \cos(\theta_W)B_\mu$$

with $\theta_W \equiv \frac{g'}{g}$

- The electromagnetic strength coupling is identified to be $e = g\sin(\theta_W) = g'\cos(\theta)$. Furthermore from this model, the mass of the W and the Z are related (and the Z needs to be lighter): $m_W = \frac{v}{2g}$, $m_Z = \frac{m_W}{\cos(\theta_W)}$.

- We started with 4 couplings: m, λ, g, g'. In the low energy theory, we traded them with 4 differen values: e, θ_w, m_h, m_W. $\sin(\theta_W)^2 = 0.223$, $g = \frac{e}{\sin(\theta_W)} = 0.64$, $g' = \frac{e}{\cos(\theta_W)} = 0.34$, $e = 0.303$.

One interesting thing is that we can use the broken gauge theory to computing perturbation theory and see unitarity violated in the W^+ZW^+Z cross section scattering. This means the higgs must come into the effective theory to fix it, giving a bound on the higgs mass called **Lee-Quigg-Thacker bound** $m_h \leq \sqrt{\frac{16}{3}}v \approx 1\text{TeV}$

Let's now describe the fermion sector:

- The fermion sector is chiral (couples differently to left and right handed) and maximally parity violating: $SU(2)$ gauge bosons only couple to left-handed fermions.

- Denote 3 **generations** of left handed SU(2) doublets or quarks and leptons:

$$L^i = (\nu_{eL}/\nu_{\mu L}, \nu_{\tau L}, e_L/\mu_L/\tau_L)$$

$$Q^i = (u_L/c_L, t_L, d_L/s_L/b_L)$$

- Denote the remaining right handed fermions:

$$e_R^i = (e_R, \nu_R, \tau_R)$$

$$u_R^i = (u_R, c_R, t_R)$$

$$\nu_R^i = (\nu_{eR}, \nu_{\mu R}, \nu_{\tau R})$$

$$d_R^i = (d_R, s_R, b_R)$$

- The lagrangian consists of a bunch of terms

$$\mathcal{L} = i\bar{L}_i(\not{\partial} - igW'^a\tau^a - ig'Y_L\not{B})L_i + i\bar{Q}_i(\not{\partial} - igW'^a\tau^a - ig'Y_Q\not{B})Q_i+$$

$$\dots$$

- The value of the hypercharge couplings are nice rational numbers with very interesting cancellation as required by anomaly cancellation.

- Given those hyper charges, the breaking of the higgs will make flavor and mass basis not identical. The mixing effect is given by the **Cabibbo-Kobayashi-Maskawa (CKM) matrix**

- We can also extract from the CKM matrix phase the CP violation. The weak interaction violate CP by a measured amount.

- Similarly, the strong interaction can also violate CP with a topological term, the **theta** term:

$$i\theta \int \frac{g^2}{32\pi^2}\epsilon^{\mu\nu\alpha\beta}F_{\mu\nu}^a F_{\alpha\beta}^b$$

or in short hand notation $\propto F \wedge F$. The fact the weak sector has such a large CP violating term, but no theta term is measured (neutron has no dipole moment) is called the **strong CP problem**

§5.5 Anomaly

An anomaly is when a symmetry of the classical lagrangian is not respected at quantum level. When a global symmetry is broken by quantum fluctuations, this is OK: it just means the quantum theory of real life we won't see that symmetry. However, when a gauge symmetry is broken in the quantum theory, this is a problem. Recall that gauge symmetry is not a symmetry but a redundancy (another way to view it is that a gauge theory is like a constrained physical theory). It means the gauged theory has no quantum counterpart: the constrained theory is not consistent at the quantum level.

§6 Q and A

§6.1 Nomenclature

Question 6.1: *What is a phase transition? What is an order parameter?*

Question 6.2: *What is a **super selection sector**?*

§6.2 Fundamentals

Question 6.3: *What is the equivalent of the wavefunction in quantum field theories?*

Question 6.4: *What is the relation between wavefunction renormalization and perturbation theory in non-relativistic QM?*

Question 6.5: *When a gauge theory is spontaneously broken, what is broken specifically?*

Question 6.6: *Why can there be no local order parameter in gauge theories to describe confining transition?*

Question 6.7: *What is spontaneous symmetry breaking in a quantum system? What is the relationship between Coleman-Mermin-Wagner theorem and cluster decomposition?*

§7 Appendix

§7.1 Useful QFT Integrals

The subject of renormalization gets hairy because we often get tangled in the math (big ugly integrals) and lose track of the physics. We collect those integrals trick in 1 section for reference

§7.1.1 Schwinger's trick

Problem 7.1. Evaluate

$$I(m^2) = \int \frac{d^D l}{(2\pi)^D} \frac{1}{l^2 + m^2}$$

(trick in Zinn Justin)

$$I(m^2) = \frac{S_D}{(2\pi)^D} \int_0^\infty dl \int_0^\infty ds \, l^{D-1} e^{-s(l^2+m^2)} \tag{144}$$

$$= \frac{1}{(4\pi)^{\frac{D}{2}}} \mu^{4-D} m^{D-2} \Gamma(1 - D/2) \tag{145}$$

§7.1.2 Feynman's trick

Problem 7.2. Evaluate

$$I(m^2, p^2) = \int \frac{d^D l}{(2\pi)^D} \frac{1}{l^2 + m^2} \frac{1}{(p - l)^2 + m^2}$$

Lemma 7.3

Feynman's trick:

$$\frac{1}{AB} = \int_0^1 dx \frac{1}{xA + (1 - x)B}$$

$$I = \frac{1}{(2\pi)^D} \int_0^1 dx \int l^{D-1} dl \underbrace{\frac{1}{(1-x)(l^2+m^2) + x((p-l)^2+m^2)}}_{\equiv \mathcal{A}} \tag{146}$$

Complete the square of $\mathcal{A}$:

$$\mathcal{A} = l^2 + m^2 - 2p \cdot lx + xp^2 \tag{147}$$

$$= (l - xp)^2 + m^2 + x(1-x)p^2 \tag{148}$$

Since we integrating over all momenta, we can shift the integration variable $l \to l - xp$ With this, the integral I is of the form

$$u \equiv l^2 \to I = \int du \frac{u^{\frac{D}{2}-1}}{u + m^2}$$

which once again gives a bunch of gamma functions (using schwinger's trick.)

$$I = \frac{\mu^{4-D}}{(4\pi)^{\frac{D}{2}}} \Gamma(2 - D/2) \int_0^1 \frac{1}{m^2 - x(1-x)p^2} \tag{149}$$

Note that this integral is logarithmically divergence so the poles are exactly at $D = 4$ while for the quadratically divergent integral, the poles were at $D = 2$ and $D = 4$.

§7.1.3 More integration

$$\int dk_E \frac{k_E^a}{(k_E^2 + m^2)^b} = \frac{(m^2)^{\frac{a+b}{2}-b} \Gamma\left(\frac{a+1}{2}\right)\Gamma\left(b - \frac{a+1}{2}\right)}{2\Gamma(b)} \tag{150}$$

§7.2 Bessel Functions

§7.2.1 Gamma Function

For positive integers n, $\Gamma(n+1) = n!$. The gamma function is an analytica function with simple poles at 0, -1, -2, ... with residues

$$\text{Res}[\Gamma(-m)] = \frac{(-1)^m}{m!}$$

Using this, we get:

$$\Gamma(\epsilon) = \frac{1}{\epsilon} - \gamma_E \tag{151}$$

Good to remember (srednicki section 14)

$$\Gamma(n+1) = n! \tag{152}$$

$$\Gamma(n + \frac{1}{2}) = \frac{(2n)!}{n!2^{2n}}\sqrt{\pi} \tag{153}$$

$$\Gamma(-n + x) = \frac{(-1)^n}{n!}\left[\frac{1}{x} - \gamma_E + \sum_{k=1}^n \frac{1}{k} + \mathcal{O}(x)\right] \tag{154}$$

$\gamma_E \approx 0.5772...$ is the **Euler Macheroni constant**

§7.2.2 Dimensional Regularization

Minahan lecture notes Given information of the previous section, dimensional regularization just does an expansion of the gamma function of the integrals around the poles. Here there are 2 conventions, expanding with $D = 4 - 2\epsilon$ and $D = 4 - \epsilon$. That's where some factors of 2 can be missed!

We show the ϕ^4 quadratic divergen mass renormalization:

$$\mu^{4-D} \int \frac{d^D l}{(2\pi)^D} \frac{1}{l^2 + m^2} = \frac{1}{(4\pi)^{\frac{D}{2}}} \mu^{4-D} m^{D-2} \Gamma(1 - D/2) \tag{155}$$

$$\approx \frac{m^2}{(4\pi)^2} \left(\frac{1}{\epsilon} + 1 - \gamma_E - \log\left(\frac{m^2}{\mu^2}\right) \right) \tag{156}$$

Here's the ϕ^4 divergent interaction vertex correction:

$$I = \frac{\mu^{4-D}}{(4\pi)^{\frac{D}{2}}} \Gamma(2 - D/2) \int_0^1 \frac{1}{\Delta - x(1-x)p^2} \tag{157}$$

$$\approx \frac{1}{(4\pi)^2} \left(\frac{1}{\epsilon} - \gamma_E + \log(4\pi) - \log\left(\frac{\mu^2}{m^2}\right) + Q\left(\frac{p^2}{m^2}\right) \right) \tag{158}$$

$$Q(p^2) \equiv -\int_0^1 dx \log\left(1 - x(1-x)p^2\right) \tag{159}$$

$$\tag{160}$$