

A close-up photograph of a light-colored wooden board with several circular holes. A wooden block is being placed into one of the holes, with its top surface slightly raised above the board's surface. The lighting is warm, creating soft shadows and highlighting the wood grain.

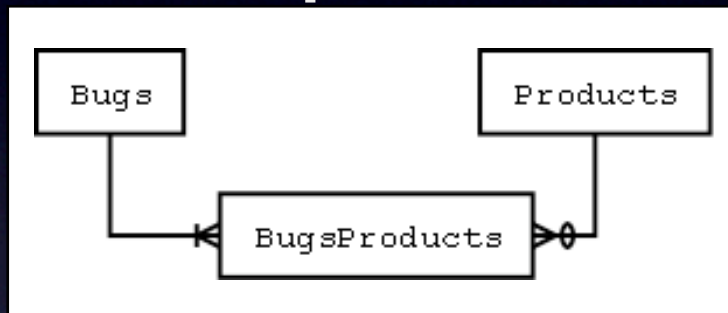
# SQL Antipatterns Strike Back

Bill Karwin



# Antipattern Categories

## Database Design Antipatterns



## Database Creation Antipatterns

```
CREATE TABLE BugsProducts (
  bug_id INTEGER REFERENCES Bugs,
  product VARCHAR(100) REFERENCES Products,
  PRIMARY KEY (bug_id, product)
);
```

## Query Antipatterns

```
SELECT b.product, COUNT(*)
FROM BugsProducts AS b
GROUP BY b.product;
```

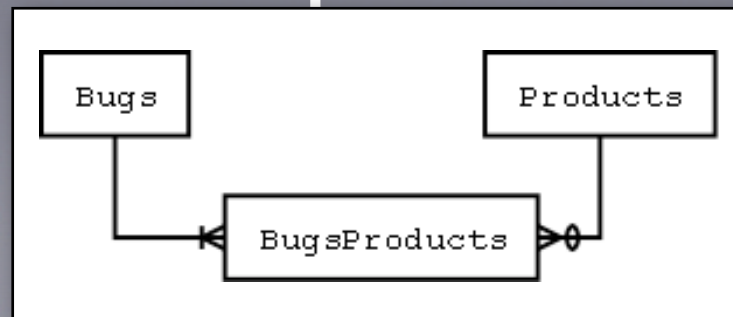
## Application Antipatterns

```
$dbHandle = new PDO('mysql:dbname=test');
$stmt = $dbHandle->prepare($sql);
$result = $stmt->fetchAll();
```



# Antipattern Categories

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## Database Creation Antipatterns

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$dbHandle = new PDO('mysql:dbname=test');
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```



# Database Design Antipatterns

1. Metadata Tribbles
2. Entity-Attribute-Value
3. Polymorphic Associations
4. Naive Trees



# Metadata Tribbles

*I want these things off the ship. I don't care if it takes  
every last man we've got, I want them off the ship.*  
— James T. Kirk



# Metadata Tribbles

- **Objective:** improve performance of a very large table.



# Metadata Tribbles

- **Antipattern:** separate into many tables with similar structure
  - Separate tables per distinct value in attribute
  - e.g., per year, per month, per user, per postal code, etc.



# Metadata Tribbles

- Must create a new table for each new value


```
CREATE TABLE Bugs_2005 ( ... );
```

```
CREATE TABLE Bugs_2006 ( ... );
```

```
CREATE TABLE Bugs_2007 ( ... );
```

```
CREATE TABLE Bugs_2008 ( ... );
```

...



*mixing data  
with metadata*



# Metadata Tribbles

- Automatic primary keys cause conflicts:

```
CREATE TABLE Bugs_2005 (bug_id SERIAL ...);
```

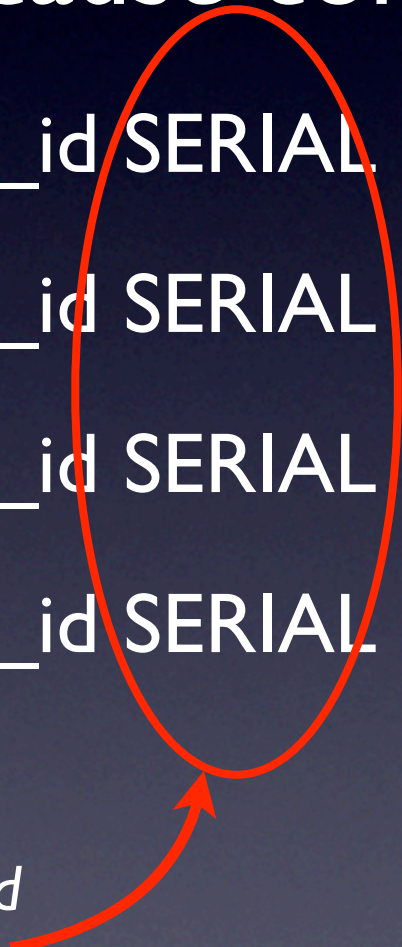
```
CREATE TABLE Bugs_2006 (bug_id SERIAL ...);
```

```
CREATE TABLE Bugs_2007 (bug_id SERIAL ...);
```

```
CREATE TABLE Bugs_2008 (bug_id SERIAL ...);
```

...

*same values allocated  
in multiple tables*





# Metadata Tribbles

- Difficult to query across tables

```
SELECT b.status, COUNT(*) AS count_per_status
FROM (
    SELECT * FROM Bugs_2009
    UNION
    SELECT * FROM Bugs_2008
    UNION
    SELECT * FROM Bugs_2007
    UNION
    SELECT * FROM Bugs_2006 ) AS b
GROUP BY b.status;
```



# Metadata Tribbles

- Table structures are not kept in sync

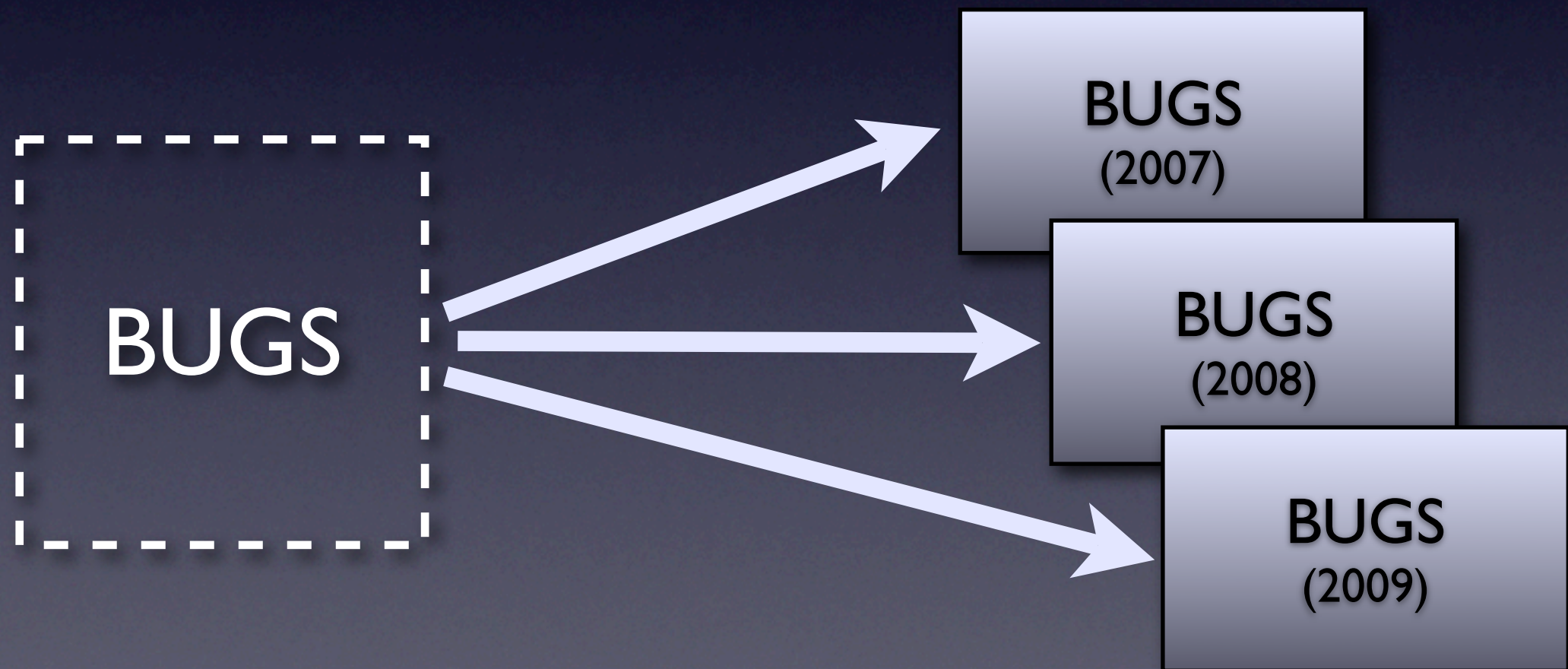
```
ALTER TABLE Bugs_2009  
  ADD COLUMN hours NUMERIC;
```

- Prior tables don't contain new column
- Dissimilar tables can't be combined with UNION



# Metadata Tribbles

- **Solution #1:** use horizontal partitioning
  - Physically split, while logically whole
  - MySQL 5.1 supports partitioning





# Metadata Tribbles

- **Solution #2:** use vertical partitioning
  - Move bulky and seldom-used columns to a second table in one-to-one relationship

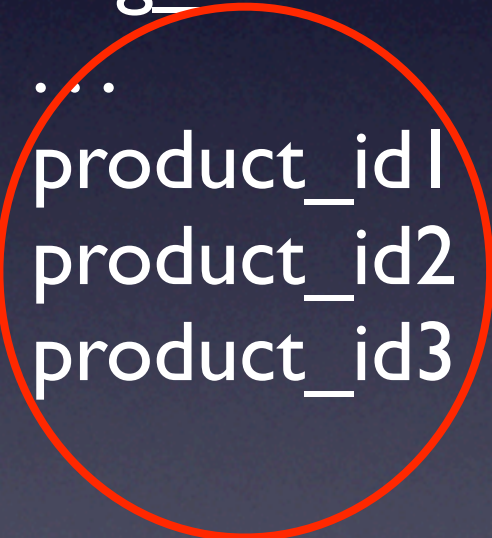




# Metadata Tribbles

- Columns can also be tribbles:

```
CREATE TABLE Bugs (  
    bug_id      SERIAL PRIMARY KEY,  
    ...  
    product_id1 BIGINT,  
    product_id2 BIGINT,  
    product_id3 BIGINT  
);
```





# Metadata Tribbles

- **Solution #3:** add a dependent table

```
CREATE TABLE BugsProducts (  
    bug_id      BIGINT REFERENCES bugs,  
    product_id  BIGINT REFERENCES products,  
    PRIMARY KEY (bug_id, product_id)  
);
```





# Entity-Attribute-Value

*If you try and take a cat apart to see how it works,  
the first thing you have on your hands is a non-working cat.*  
— Richard Dawkins



# Entity-Attribute-Value

- **Objective:** make a table with a variable set of attributes

bug_id	bug_type	priority	description	severity	sponsor
1234	BUG	high	crashes when saving	loss of functionality	
3456	FEATURE	low	support XML		Acme Corp.



# Entity-Attribute-Value

- **Antipattern:** store all attributes in a second table, one attribute per row

```
CREATE TABLE eav (  
    bug_id      BIGINT NOT NULL,  
    attr_name   VARCHAR(20) NOT NULL,  
    attr_value  VARCHAR(100),  
    PRIMARY KEY (bug_id, attr_name),  
    FOREIGN KEY (bug_id) REFERENCES Bugs(bug_id)  
);
```

*mixing data  
with metadata*



# Entity-Attribute-Value

bug_id	attr_name	attr_value
1234	priority	high
1234	description	crashes when saving
1234	severity	loss of functionality
3456	priority	low
3456	description	support XML
3456	sponsor	Acme Corp.



# Entity-Attribute-Value

- Difficult to rely on attribute names

bug_id	attr_name	attr_value
1234	created	2008-04-01
3456	created_date	2008-04-01



# Entity-Attribute-Value

- Difficult to enforce data type integrity

bug_id	attr_name	attr_value
1234	created_date	2008-02-31
3456	created_date	banana



# Entity-Attribute-Value

- Difficult to enforce mandatory attributes (i.e. NOT NULL)
  - SQL constraints apply to columns, not rows
  - No way to declare that a row must exist with a certain *attr\_name* value ('created\_date')
  - Maybe create a trigger on INSERT for bugs?



# Entity-Attribute-Value

- Difficult to enforce referential integrity for attribute values

bug_id	attr_name	attr_value
1234	priority	new
3456	priority	fixed
5678	priority	banana

- Constraints apply to all rows in the column, not selected rows depending on value in *attr\_name*



# Entity-Attribute-Value

- Difficult to reconstruct a row of attributes:

```
SELECT b.bug_id,  
       e1.attr_value AS created_date,  
       e2.attr_value AS priority,  
       e3.attr_value AS description,  
       e4.attr_value AS status,  
       e5.attr_value AS reported_by
```

```
FROM Bugs b
```

```
LEFT JOIN eav e1 ON (b.bug_id = e1.bug_id AND e1.attr_name = 'created_date')
```

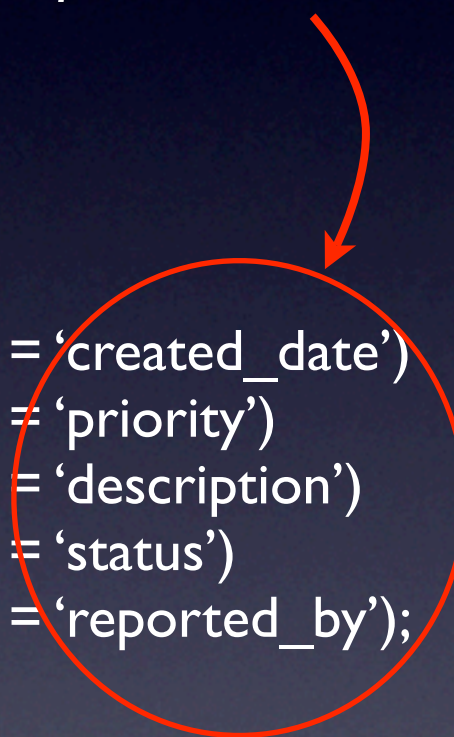
```
LEFT JOIN eav e2 ON (b.bug_id = e2.bug_id AND e2.attr_name = 'priority')
```

```
LEFT JOIN eav e3 ON (b.bug_id = e3.bug_id AND e3.attr_name = 'description')
```

```
LEFT JOIN eav e4 ON (b.bug_id = e4.bug_id AND e4.attr_name = 'status')
```

```
LEFT JOIN eav e5 ON (b.bug_id = e5.bug_id AND e5.attr_name = 'reported_by');
```

*need one JOIN  
per attribute*



bug_id	created_date	priority	description	status	reported_by
1234	2008-04-01	high	Crashes when I save.	NEW	Bill



# Entity-Attribute-Value

- **Solution:** use *metadata* for metadata
  - Define attributes in columns
  - ALTER TABLE to add attribute columns
  - Define related tables for related types



# Entity-Attribute-Value

- **Solution #1: Single Table Inheritance**
  - One table with many columns
  - Columns are NULL when inapplicable

```
CREATE TABLE Issues (  
    issue_id          SERIAL PRIMARY KEY,  
    created_date      DATE NOT NULL,  
    priority          VARCHAR(20),  
    description       TEXT,  
    issue_type        CHAR(1) CHECK (issue_type IN ('B', 'F')),  
    bug_severity      VARCHAR(20),  
    feature_sponsor   VARCHAR(100)  
);
```



# Entity-Attribute-Value

- **Solution #2: Concrete Table Inheritance**
  - Define similar tables for similar types
  - Duplicate common columns in each table

```
CREATE TABLE Bugs (  
    bug_id        SERIAL PRIMARY KEY,  
    created_date  DATE NOT NULL,  
    priority      VARCHAR(20),  
    description   TEXT,  
    severity      VARCHAR(20)  
);
```

```
CREATE TABLE Features (  
    bug_id        SERIAL PRIMARY KEY,  
    created_date  DATE NOT NULL,  
    priority      VARCHAR(20),  
    description   TEXT,  
    sponsor       VARCHAR(100)  
);
```



# Entity-Attribute-Value

- **Solution #2:** Concrete Table Inheritance
  - Use UNION to search both tables:

```
SELECT * FROM (  
    SELECT issue_id, description FROM Bugs  
    UNION ALL  
    SELECT issue_id, description FROM Features  
) unified_table  
WHERE description LIKE ...
```



# Entity-Attribute-Value

- **Solution #3: Class Table Inheritance**
  - Common columns in base table
  - Subtype-specific columns in subtype tables

```
CREATE TABLE Bugs (  
    issue_id BIGINT PRIMARY KEY,  
    severity VARCHAR(20),  
    FOREIGN KEY (issue_id)  
    REFERENCES Issues (issue_id)  
);
```

```
CREATE TABLE Features (  
    issue_id BIGINT PRIMARY KEY,  
    sponsor VARCHAR(100),  
    FOREIGN KEY (issue_id)  
    REFERENCES Issues (issue_id)  
);
```

```
CREATE TABLE Issues (  
    issue_id SERIAL PRIMARY KEY,  
    created_date DATE NOT NULL  
    priority VARCHAR(20),  
    description TEXT  
);
```



# Entity-Attribute-Value

- **Solution #3: Class Table Inheritance**

- Easy to query common columns:

```
SELECT * FROM Issues  
WHERE description LIKE ...
```

- Easy to query one subtype at a time:

```
SELECT * FROM Issues  
JOIN Bugs USING (issue_id);
```



# Entity-Attribute-Value

- Appropriate usage of EAV:
  - If attributes must be fully flexible and dynamic
  - You must enforce constraints in application code
  - Don't try to fetch one object in a single row
  - Consider non-relational solutions for semi-structured data, e.g. RDF/XML



# Polymorphic Associations

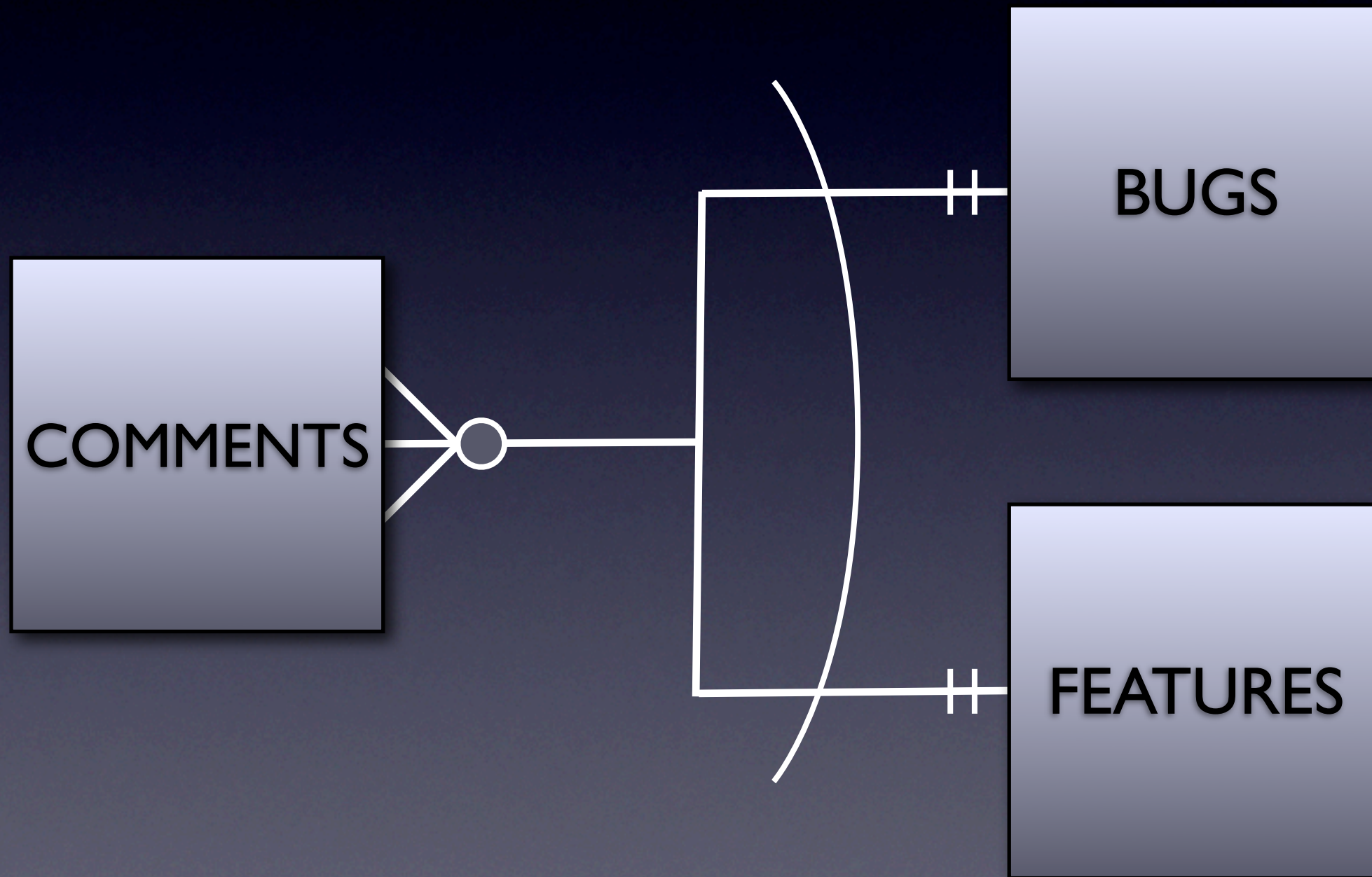
*Of course, some people do go both ways.*

— The Scarecrow



# Polymorphic Associations


- **Objective:** reference multiple parents






# Polymorphic Associations

- Can't make a FOREIGN KEY constraint reference two tables:

```
CREATE TABLE Comments (  
  comment_id SERIAL PRIMARY KEY,  
  comment TEXT NOT NULL,  
  issue_type VARCHAR(15) CHECK  
    (issue_type IN ('Bugs', 'Features')),  
  issue_id BIGINT NOT NULL,  
  FOREIGN KEY issue_id REFERENCES   
);
```

*you need this to be  
Bugs or Features*





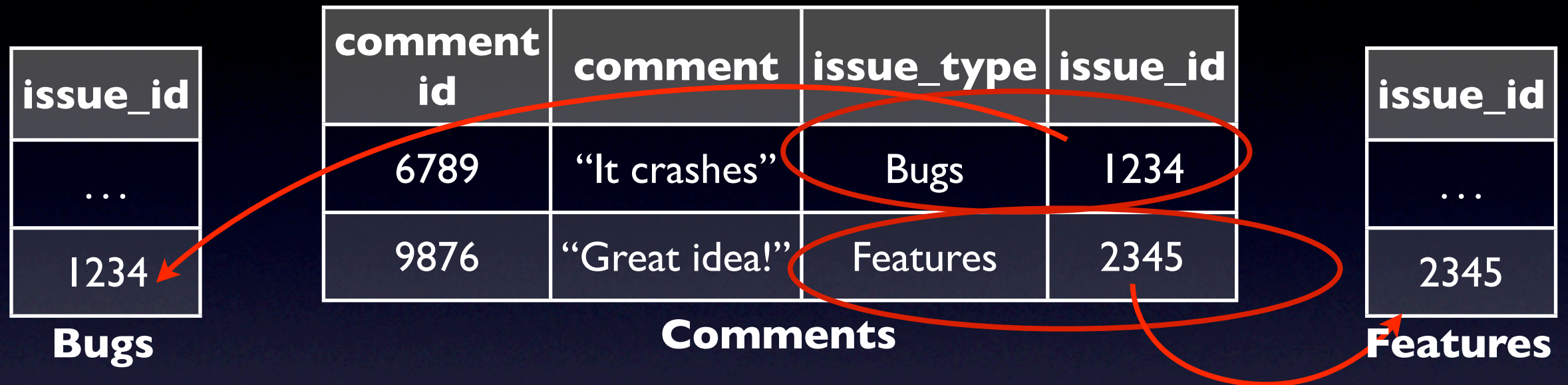
# Polymorphic Associations

- Instead, you have to define table with no FOREIGN KEY or referential integrity:

```
CREATE TABLE Comments (  
    comment_id SERIAL PRIMARY KEY,  
    comment TEXT NOT NULL,  
    issue_type VARCHAR(15) CHECK  
        (issue_type IN ('Bugs', 'Features')),  
    issue_id BIGINT NOT NULL  
);
```



# Polymorphic Associations



Query result:


comment_id	comment	issue_type	c. issue_id	b. issue_id	f. issue_id
6789	"It crashes"	Bug	1234	1234	NULL
9876	"Great idea!"	Feature	2345	NULL	2345



# Polymorphic Associations

- You can't use a different table for each row. You must name all tables explicitly.

```
SELECT * FROM Comments  
JOIN [REDACTED] USING (issue_id);
```



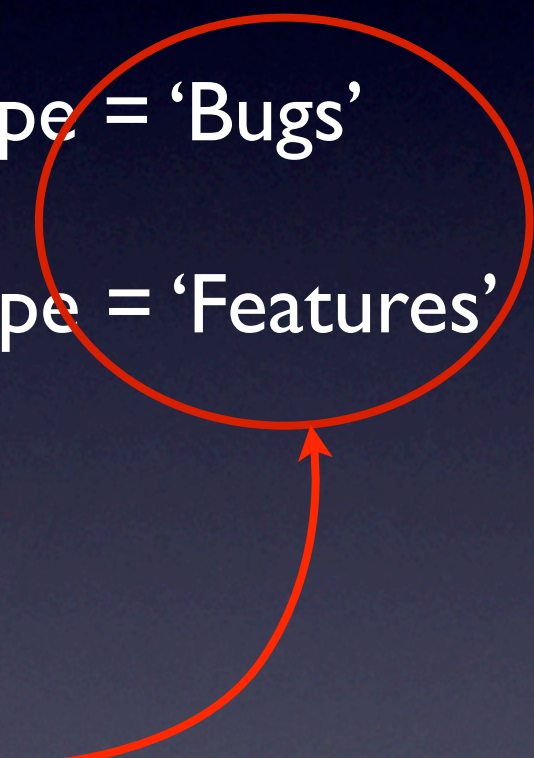
*you need this to be  
Bugs or Features*



# Polymorphic Associations

- Instead, join to each parent table:

```
SELECT *  
FROM Comments c  
LEFT JOIN Bugs b ON (c.issue_type = 'Bugs'  
    AND c.issue_id = b.issue_id)  
LEFT JOIN Features f ON (c.issue_type = 'Features'  
    AND c.issue_id = f.issue_id);
```



*you have to get  
these strings right*

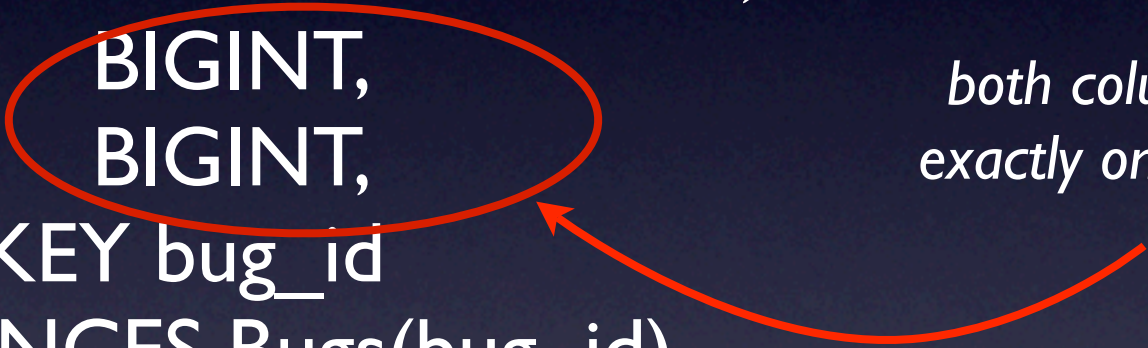


# Polymorphic Associations

- **Solution #1:** exclusive arcs

```
CREATE TABLE Comments (  
  comment_id SERIAL PRIMARY KEY,  
  comment TEXT NOT NULL,  
  bug_id BIGINT,  
  feature_id BIGINT,  
  FOREIGN KEY bug_id  
    REFERENCES Bugs(bug_id)  
  FOREIGN KEY feature_id  
    REFERENCES Features(feature_id)  
);
```

*both columns are nullable;  
exactly one must be non-null*





# Polymorphic Associations

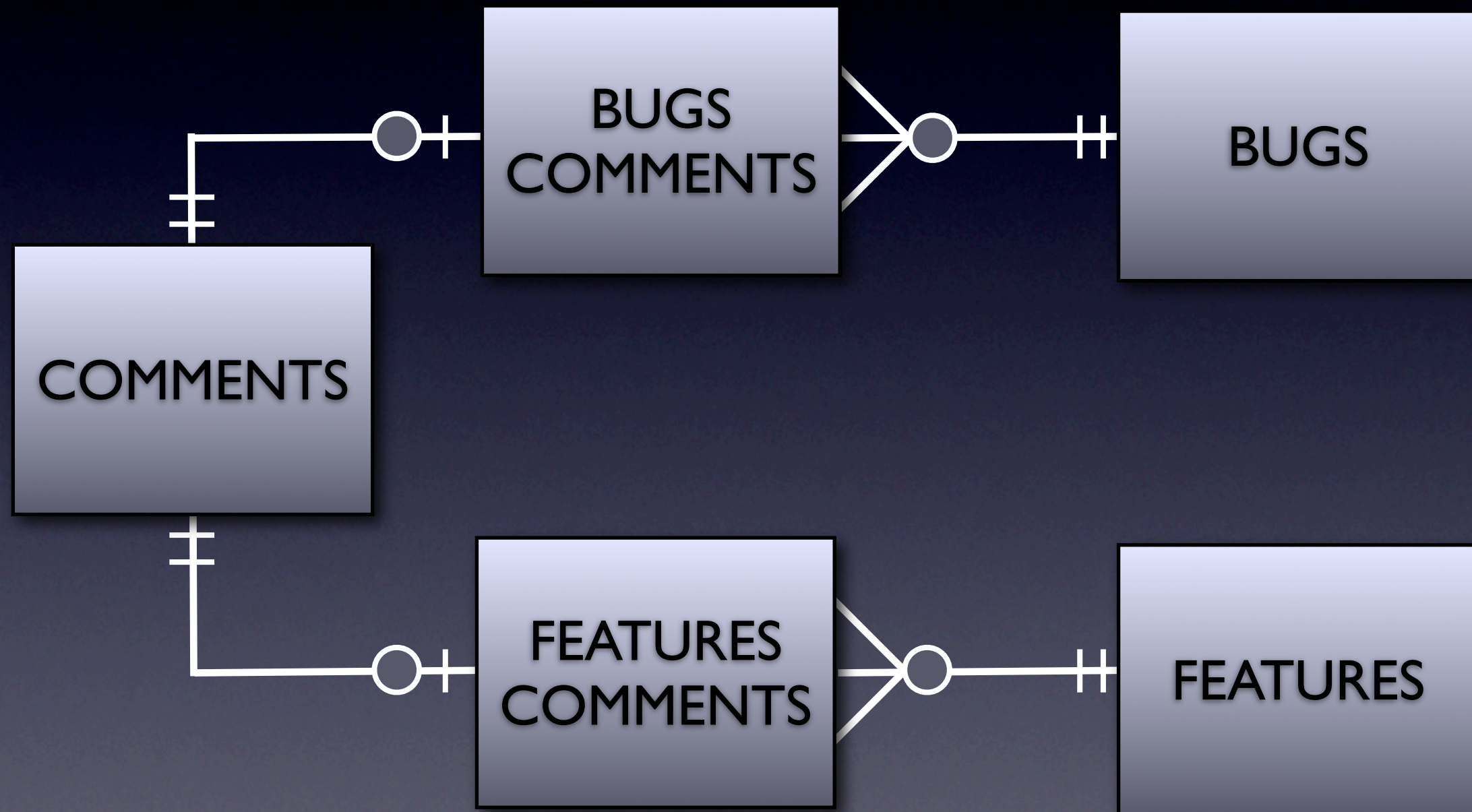
- **Solution #1:** exclusive arcs
  - Referential integrity is enforced
  - But hard to make sure exactly one is non-null
  - Queries are easier:

```
SELECT * FROM Comments c
LEFT JOIN Bugs b USING (bug_id)
LEFT JOIN Features f USING (feature_id);
```



# Polymorphic Associations

- **Solution #2:** reverse the relationship





# Polymorphic Associations

- **Solution #2:** reverse the relationship

```
CREATE TABLE BugsComments (  
    comment_id BIGINT NOT NULL,  
    bug_id      BIGINT NOT NULL,  
    PRIMARY KEY (comment_id),  
    FOREIGN KEY (comment_id) REFERENCES Comments(comment_id),  
    FOREIGN KEY (bug_id) REFERENCES Bugs(bug_id)  
);
```

```
CREATE TABLE FeaturesComments (  
    comment_id BIGINT NOT NULL,  
    feature_id  BIGINT NOT NULL,  
    PRIMARY KEY (comment_id),  
    FOREIGN KEY (comment_id) REFERENCES Comments(comment_id),  
    FOREIGN KEY (feature_id) REFERENCES Features(feature_id)  
);
```



# Polymorphic Associations

- **Solution #2:** reverse the relationship

- Referential integrity is enforced
- Query comments for a given bug:

```
SELECT * FROM BugsComments b
JOIN Comments c USING (comment_id)
WHERE b.bug_id = 1234;
```

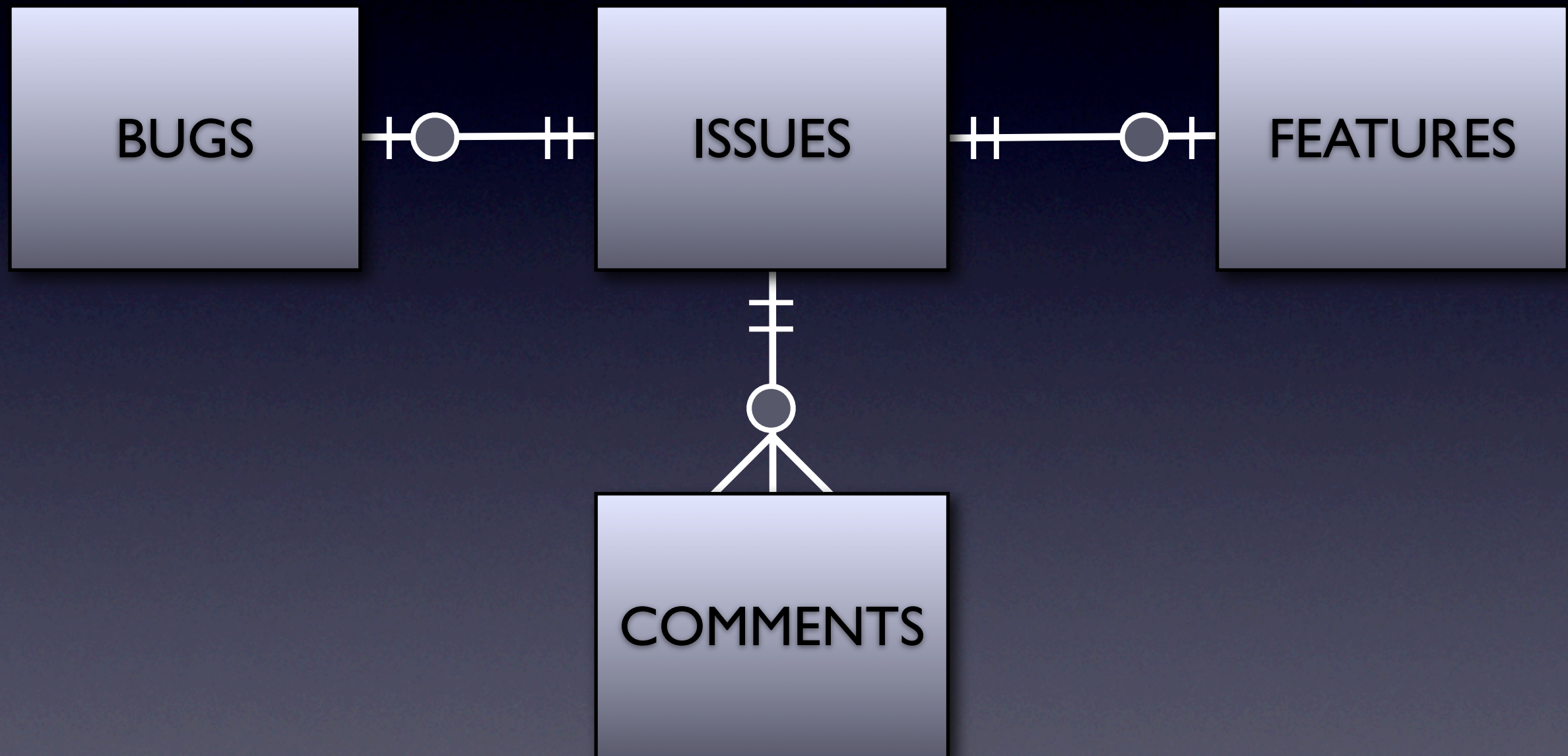
- Query bug/feature for a given comment:

```
SELECT * FROM Comments
LEFT JOIN (BugsComments JOIN Bugs USING (bug_id))
    USING (comment_id)
LEFT JOIN (FeaturesComments JOIN Features USING (feature_id))
    USING (comment_id)
WHERE comment_id = 9876;
```



# Polymorphic Associations

- **Solution #3:** use a base parent table

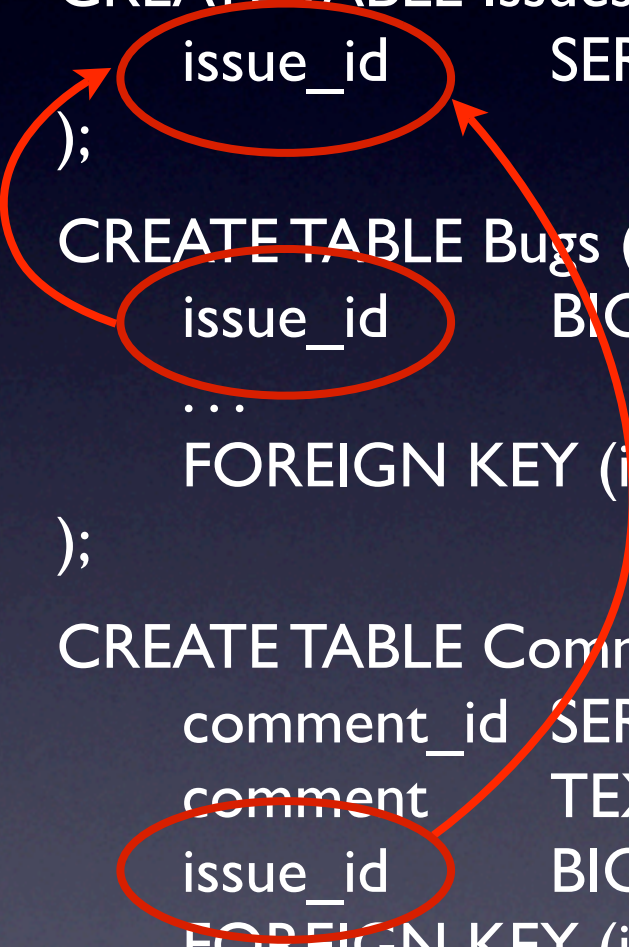




# Polymorphic Associations

- **Solution #3:** use a base parent table

```
CREATE TABLE Issues (  
  issue_id SERIAL PRIMARY KEY  
);  
CREATE TABLE Bugs (  
  issue_id BIGINT PRIMARY KEY,  
  ...  
  FOREIGN KEY (issue_id) REFERENCES Issues(issue_id)  
);  
CREATE TABLE Comments (  
  comment_id SERIAL PRIMARY KEY,  
  comment TEXT NOT NULL,  
  issue_id BIGINT NOT NULL,  
  FOREIGN KEY (issue_id) REFERENCES Issues(issue_id)  
);
```





# Polymorphic Associations

- **Solution #3:** use a base parent table
  - Referential integrity is enforced
  - Queries are easier:

```
SELECT * FROM Comments  
JOIN Issues USING (issue_id)  
LEFT JOIN Bugs USING (issue_id)  
LEFT JOIN Features USING (issue_id);
```

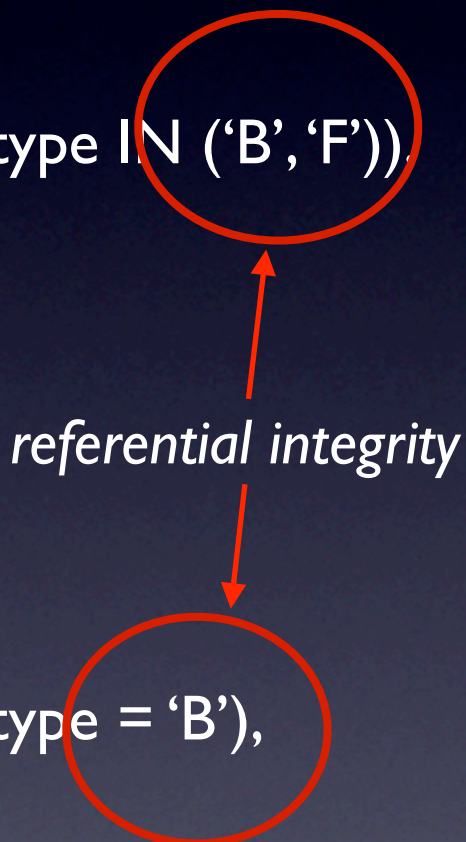


# Polymorphic Associations

- Enforcing disjoint subtypes:

```
CREATE TABLE Issues (  
  issue_id      SERIAL PRIMARY KEY,  
  issue_type    CHAR(1) NOT NULL CHECK (issue_type IN ('B','F'))  
  UNIQUE KEY (issue_id, issue_type)  
);
```

```
CREATE TABLE Bugs (  
  issue_id      BIGINT PRIMARY KEY,  
  issue_type    CHAR(1) NOT NULL CHECK (issue_type = 'B'),  
  ...  
  FOREIGN KEY (issue_id, issue_type)  
    REFERENCES Issues(issue_id, issue_type)  
);
```





# Naive Trees

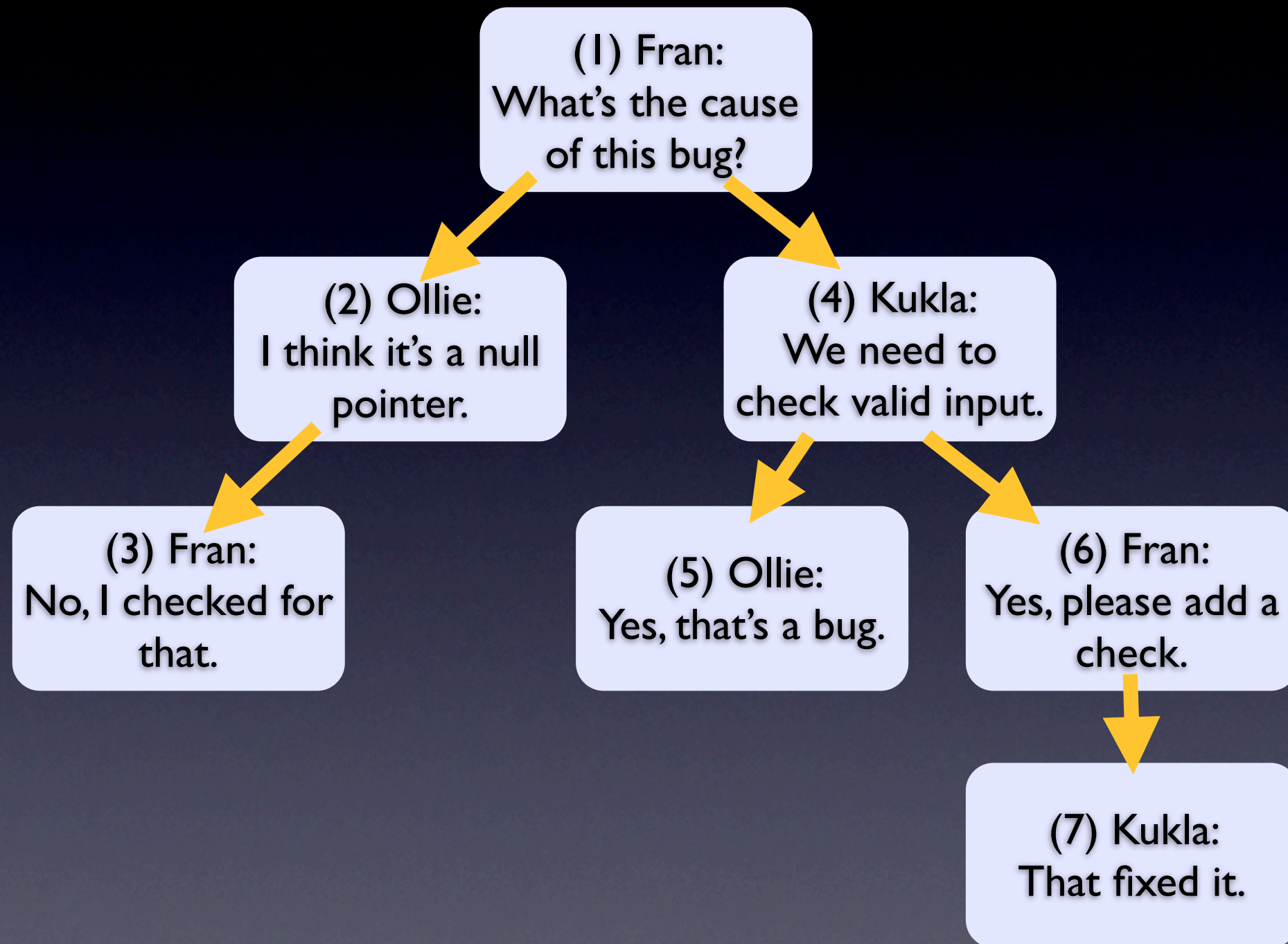


# Naive Trees

- **Objective:** store/query hierarchical data
  - Categories/subcategories
  - Bill of materials
  - Threaded discussions



# Naive Trees





# Naive Trees

- Adjacency List
  - Naive solution nearly everyone uses
  - Each entry in the tree knows immediate parent

comment_id	parent_id	author	comment
1	NULL	Fran	What's the cause of this bug?
2	1	Ollie	I think it's a null pointer.
3	2	Fran	No, I checked for that.
4	1	Kukla	We need to check valid input.
5	4	Ollie	Yes, that's a bug.
6	4	Fran	Yes, please add a check
7	6	Kukla	That fixed it.



# Naive Trees

- Adjacency List

- Easy to inserting a new comment:

```
INSERT INTO Comments (parent_id, author, comment)
VALUES (7, 'Kukla', 'Thanks!');
```

- Easy to move a subtree to a new position:

```
UPDATE Comments SET parent_id = 3
WHERE comment_id = 6;
```



# Naive Trees

- Adjacency List
  - Querying a node's immediate children is easy:

```
SELECT * FROM Comments c1
LEFT JOIN Comments c2
  ON (c2.parent_id = c1.comment_id);
```

- Querying a node's immediate parent is easy:

```
SELECT * FROM Comments c1
JOIN Comments c2
  ON (c1.parent_id = c2.comment_id);
```



# Naive Trees

- Adjacency List
  - Hard to query all descendants in a deep tree:

```
SELECT * FROM Comments c1
LEFT JOIN Comments c2 ON (c2.parent_id = c1.comment_id)
LEFT JOIN Comments c3 ON (c3.parent_id = c2.comment_id)
LEFT JOIN Comments c4 ON (c4.parent_id = c3.comment_id)
LEFT JOIN Comments c5 ON (c5.parent_id = c4.comment_id)
LEFT JOIN Comments c6 ON (c6.parent_id = c5.comment_id)
LEFT JOIN Comments c7 ON (c7.parent_id = c6.comment_id)
LEFT JOIN Comments c8 ON (c8.parent_id = c7.comment_id)
LEFT JOIN Comments c9 ON (c9.parent_id = c8.comment_id)
LEFT JOIN Comments c10 ON (c10.parent_id = c9.comment_id)
```

...

*it still doesn't support  
unlimited depth!*



# Naive Trees

- **Solution #1: Path Enumeration**
  - Store chain of ancestors as a string in each node
  - Good for breadcrumbs, or sorting by hierarchy

comment_id	path	author	comment
1	1/	Fran	What's the cause of this bug?
2	1/2/	Ollie	I think it's a null pointer.
3	1/2/3/	Fran	No, I checked for that.
4	1/4/	Kukla	We need to check valid input.
5	1/4/5/	Ollie	Yes, that's a bug.
6	1/4/6/	Fran	Yes, please add a check
7	1/4/6/7/	Kukla	That fixed it.



# Naive Trees

- **Solution #1: Path Enumeration**
  - Easy to query all ancestors of comment #7:  

```
SELECT * FROM Comments  
WHERE '1/4/6/7/' LIKE path || '%';
```
  - Easy to query all descendants of comment #4:  

```
SELECT * FROM Comments  
WHERE path LIKE '1/4/%';
```



# Naive Trees

- **Solution #1: Path Enumeration**

- Easy to add child of comment 7:

```
INSERT INTO Comments (author, comment)
VALUES ('Ollie', 'Good job!');
```

```
SELECT path FROM Comments
WHERE comment_id = 7;
```

```
UPDATE Comments
SET path = $parent_path || LAST_INSERT_ID() || '/'
WHERE comment_id = LAST_INSERT_ID();
```



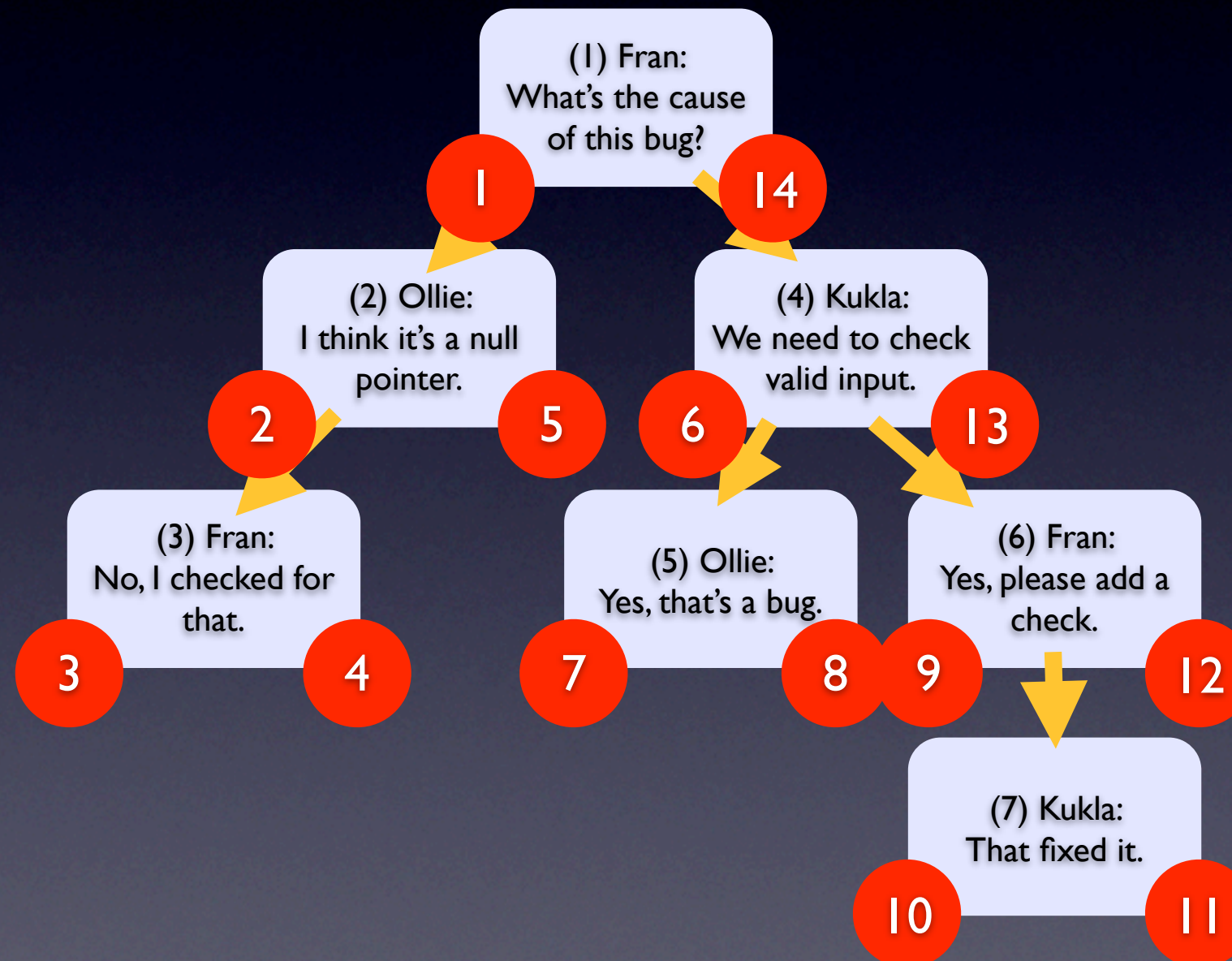
# Naive Trees

- **Solution #2: Nested Sets**
  - Each comment encodes its descendants using two numbers:
  - A comment's *right* number is *less than* all the numbers used by the comment's descendants.
  - A comment's *left* number is *greater than* all the numbers used by the comment's descendants.



# Naive Trees

- **Solution #2: Nested Sets**

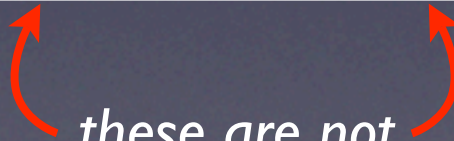




# Naive Trees

- **Solution #2: Nested Sets**

comment_id	nsleft	nsright	author	comment
1	1	14	Fran	What's the cause of this bug?
2	2	5	Ollie	I think it's a null pointer.
3	3	4	Fran	No, I checked for that.
4	6	13	Kukla	We need to check valid input.
5	7	8	Ollie	Yes, that's a bug.
6	9	12	Fran	Yes, please add a check
7	10	11	Kukla	That fixed it.

  
*these are not  
foreign keys*



# Naive Trees

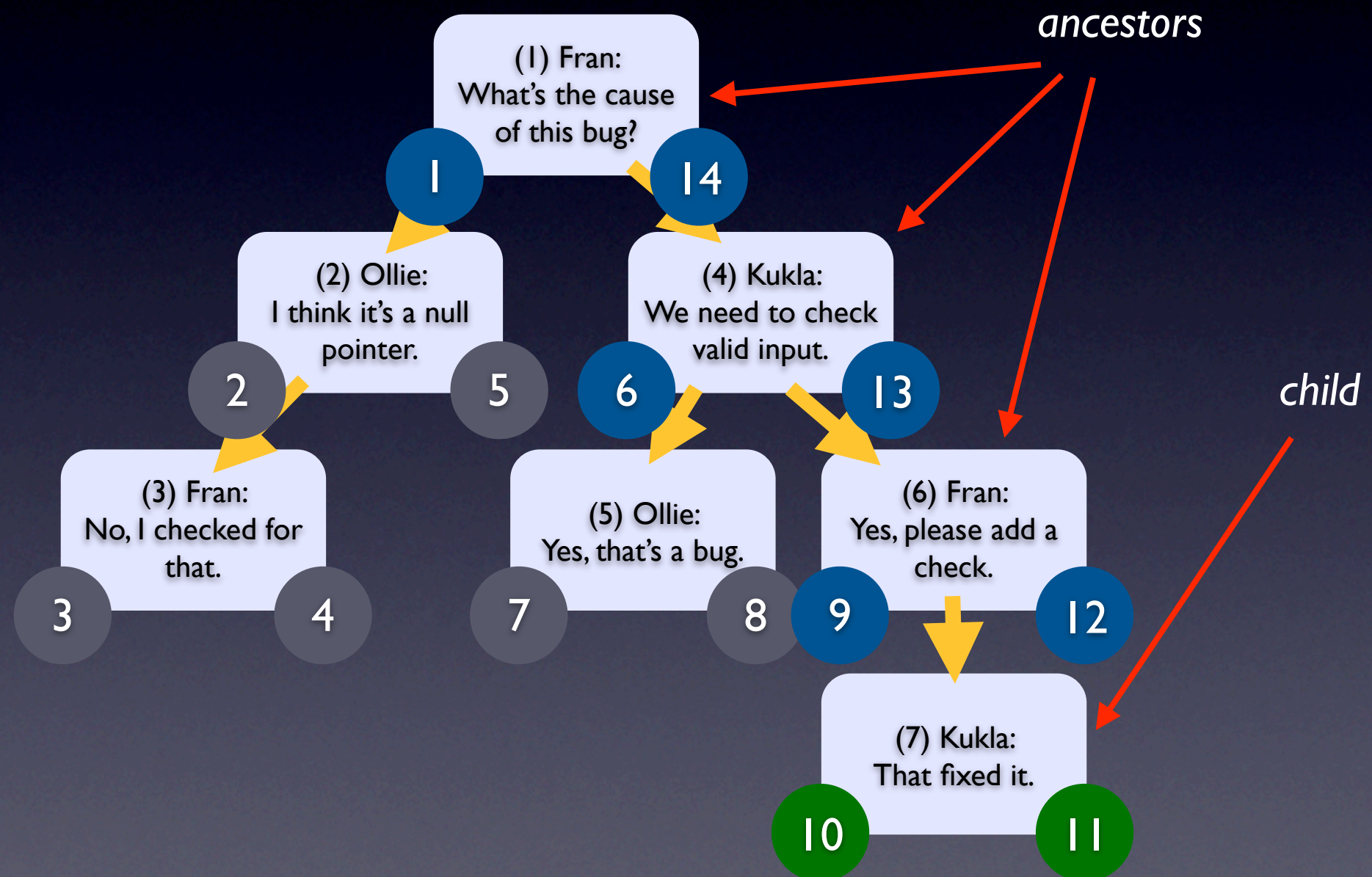
- **Solution #2: Nested Sets**
  - Easy to query all ancestors of comment #7:

```
SELECT * FROM Comments child
JOIN Comments ancestor
  ON (child.left BETWEEN ancestor.nsleft
      AND ancestor.nsright)
WHERE child.comment_id = 7;
```



# Naive Trees

- **Solution #2: Nested Sets**





# Naive Trees

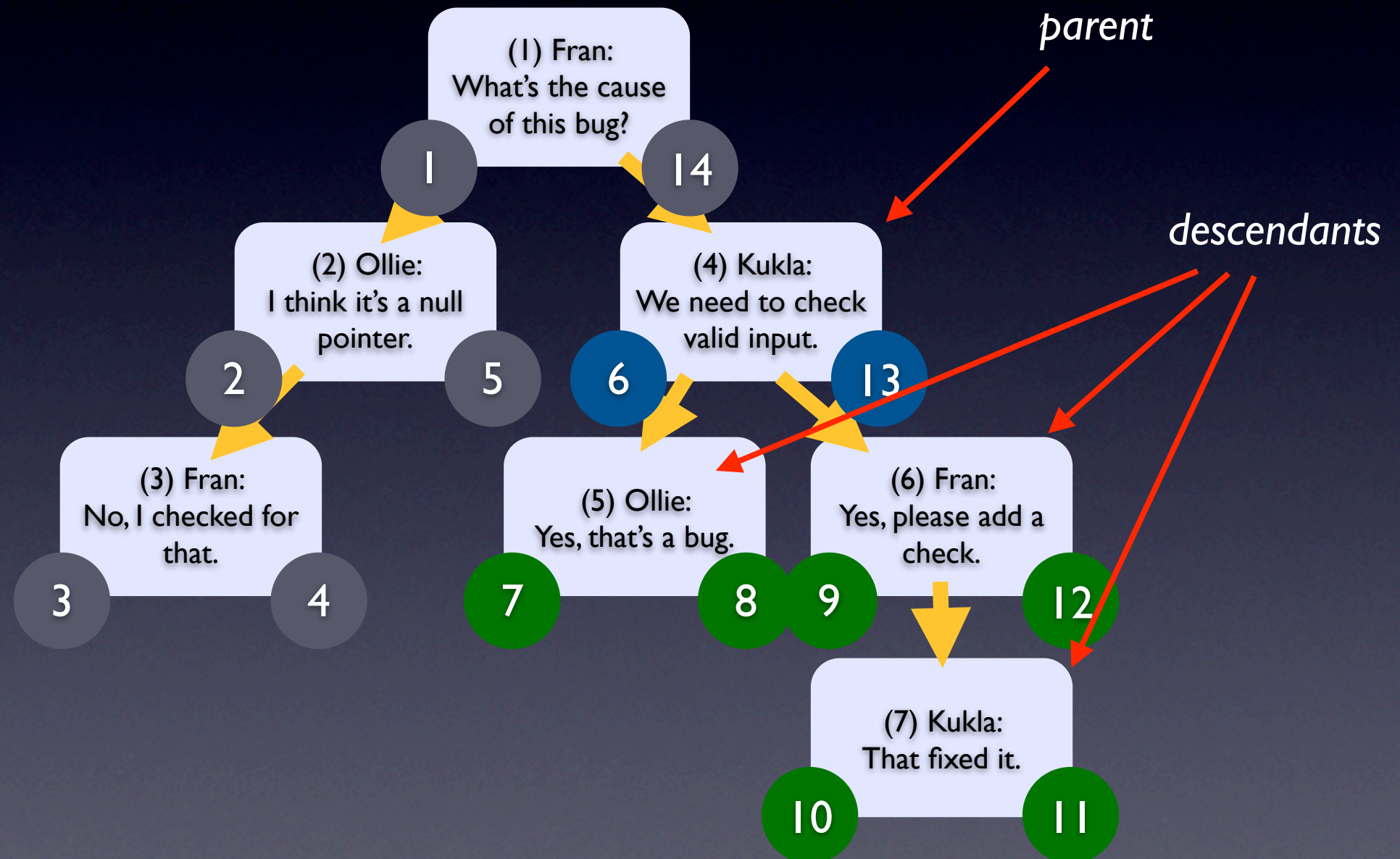
- **Solution #2: Nested Sets**
  - Easy to query all descendants of comment #4:

```
SELECT * FROM Comments parent
JOIN Comments descendant
  ON (descendant.left BETWEEN parent.nsleft
                                     AND parent.nsright)
WHERE parent.comment_id = 4;
```



# Naive Trees

- **Solution #2: Nested Sets**





# Naive Trees

- **Solution #2: Nested Sets**

- Hard to insert a new child of comment #5:

```
UPDATE Comment
```

```
SET nsleft = CASE WHEN nsleft >= 8 THEN nsleft+2 ELSE nsleft END,  
    nsright = nsright+2
```

```
WHERE nsright >= 7;
```

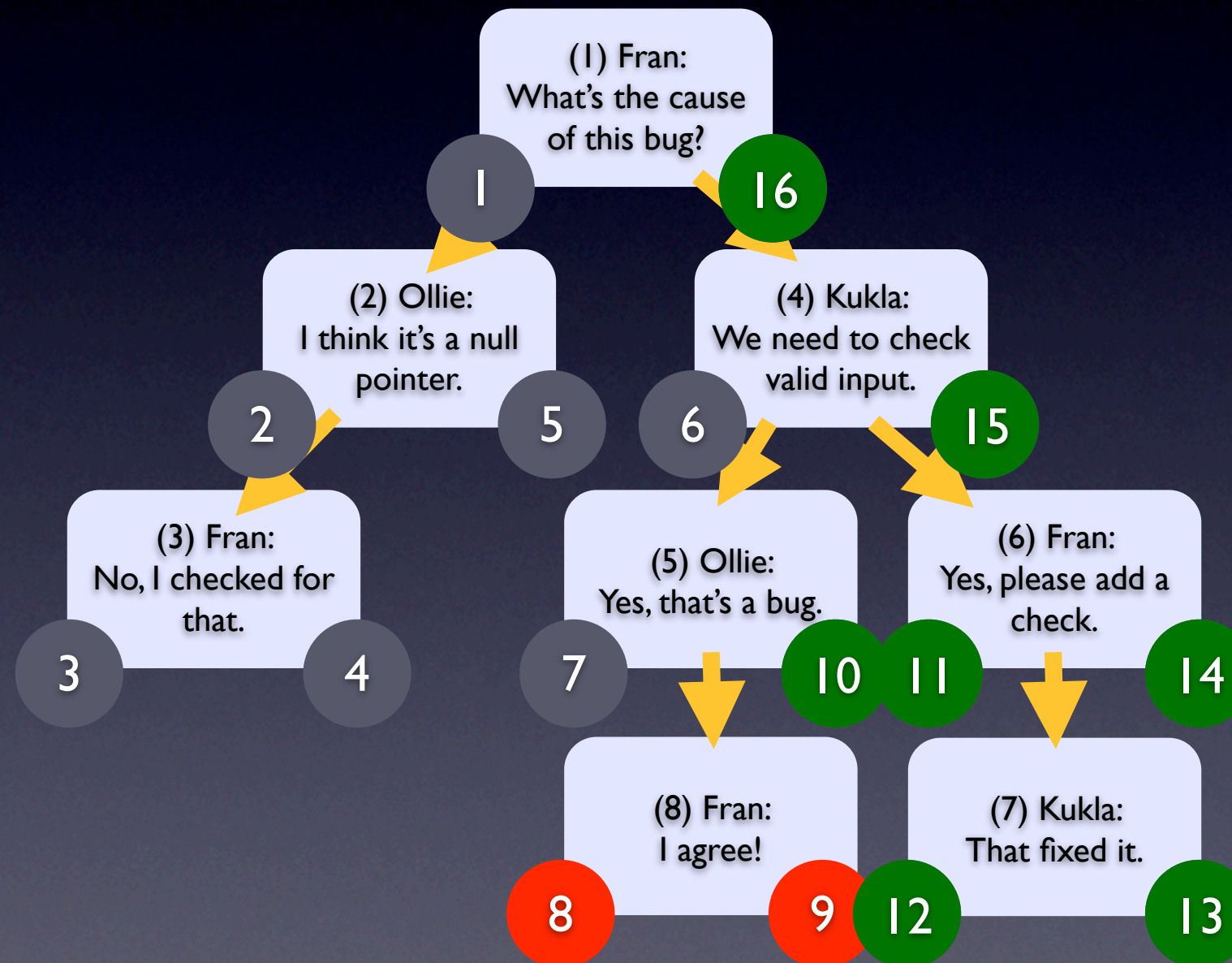
```
INSERT INTO Comment (nsleft, nsright, author, comment)  
VALUES (8, 9, 'Fran', 'I agree!');
```

- Recalculate *left* values for all nodes to the right of the new child. Recalculate *right* values for all nodes above and to the right.



# Naive Trees

- **Solution #2: Nested Sets**





# Naive Trees

- **Solution #2: Nested Sets**

- Hard to query the parent of comment #6:

```
SELECT parent.* FROM Comments AS c
JOIN Comments AS parent
  ON (c.nsleft BETWEEN parent.nsleft AND parent.nsright)
LEFT OUTER JOIN Comments AS in_between
  ON (c.nsleft BETWEEN in_between.nsleft AND in_between.nsright
      AND in_between.nsleft BETWEEN parent.nsleft AND parent.nsright)
WHERE c.comment_id = 6 AND in_between.comment_id IS NULL;
```

- Parent of #6 is an ancestor who has no descendant who is also an ancestor of #6.
- Querying a child is a similar problem.



# Naive Trees

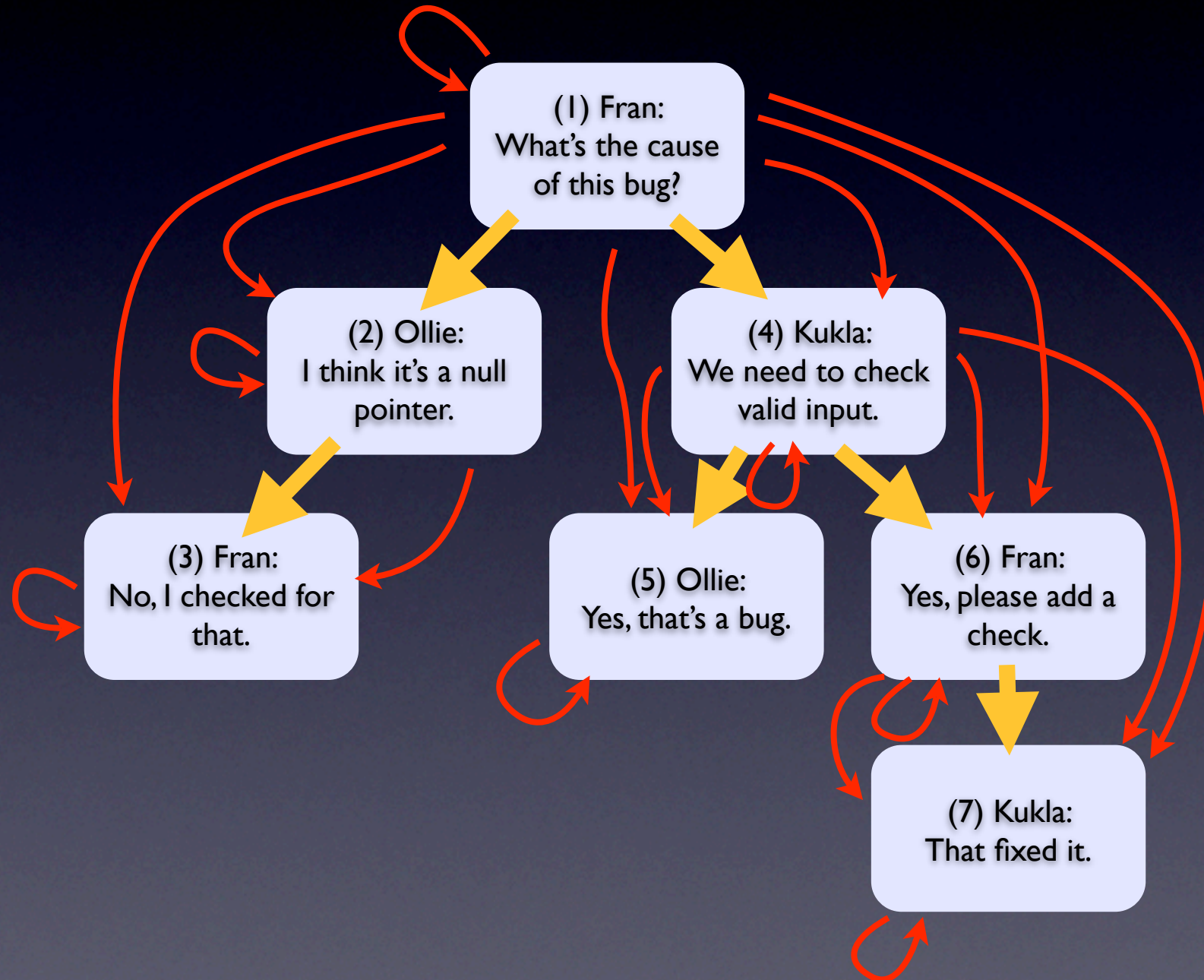
- **Solution #3: Closure Table**
  - Store every path from ancestors to descendants
  - Requires an additional table:

```
CREATE TABLE TreePaths (  
    ancestor    BIGINT NOT NULL,  
    descendant  BIGINT NOT NULL,  
    PRIMARY KEY (ancestor, descendant),  
    FOREIGN KEY(ancestor) REFERENCES Comments(comment_id),  
    FOREIGN KEY(descendant) REFERENCES Comments(comment_id),  
);
```



# Naive Trees

- **Solution #3: Closure Table**





# Naive Trees

- **Solution #3: Closure Table**

comment_id	author	comment
1	Fran	What's the cause of this bug?
2	Ollie	I think it's a null pointer.
3	Fran	No, I checked for that.
4	Kukla	We need to check valid input.
5	Ollie	Yes, that's a bug.
6	Fran	Yes, please add a check
7	Kukla	That fixed it.

*requires  $O(n^2)$   
rows at most*

*but far fewer  
in practice*

ancestor	descendant
1	1
1	2
1	3
1	4
1	5
1	6
1	7
2	2
2	3
3	3
4	4
4	5
4	6
4	7
5	5
6	6
6	7
7	7



# Naive Trees

- **Solution #3: Closure Table**
  - Easy to query descendants of comment #4:

```
SELECT c.* FROM Comments c
JOIN TreePaths t
  ON (c.comment_id = t.descendant)
WHERE t.ancestor = 4;
```



# Naive Trees

- **Solution #3: Closure Table**
  - Easy to query ancestors of comment #6:

```
SELECT c.* FROM Comments c
JOIN TreePaths t
  ON (c.comment_id = t.ancestor)
WHERE t.descendant = 6;
```



# Naive Trees

- **Solution #3: Closure Table**

- Easy to insert a new child of comment #5:

INSERT INTO Comments ...  *generates comment #8*

INSERT INTO TreePaths (ancestor, descendant)  
VALUES (8, 8);

INSERT INTO TreePaths (ancestor, descendant)  
SELECT ancestor, 8 FROM TreePaths  
WHERE descendant = 5;



# Naive Trees

- **Solution #3: Closure Table**

- Easy to delete a child comment #7:

DELETE FROM TreePaths  
WHERE descendant = 7;

- Even easier with ON DELETE CASCADE



# Naive Trees

- **Solution #3: Closure Table**

- Easy to delete the subtree under comment #4:

```
DELETE FROM TreePaths WHERE descendant IN  
(SELECT descendant FROM TreePaths  
WHERE ancestor = 4);
```

- For MySQL, use multi-table DELETE:

```
DELETE p FROM TreePaths p  
JOIN TreePaths a USING (descendant)  
WHERE a.ancestor = 4;
```



# Naive Trees

- **Solution #3: Closure Table**
  - Add a *depth* column to make it easier to query immediate parent or child:

```
SELECT c.* FROM Comments c
JOIN TreePaths t
  ON (c.comment_id = t.descendant)
WHERE t.ancestor = 4
   AND t.depth = 1;
```

ancestor	descendant	depth
1	1	0
1	2	1
1	3	2
1	4	1
1	5	2
1	6	2
1	7	3
2	2	0
2	3	1
3	3	0
4	4	0
4	5	1
4	6	1
4	7	2
5	5	0
6	6	0
6	7	1
7	7	0



# Naive Trees

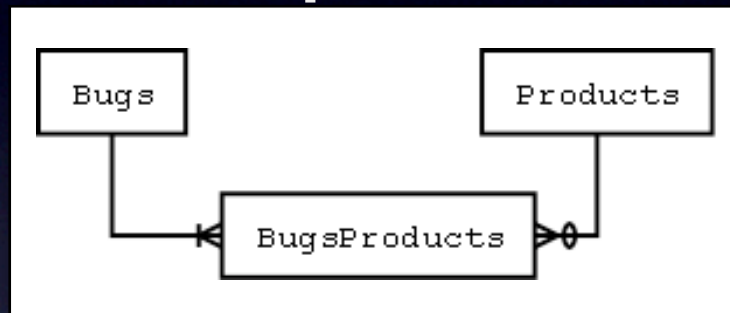
- Summary of Designs:

Design	Number of Tables	Query Child	Query Subtree	Modify Tree	Referential Integrity
Adjacency List	1	Easy	Hard	Easy	Yes
Path Enumeration	1	Easy	Easy	Hard	No
Nested Sets	1	Hard	Easy	Hard	No
Closure Table	2	Easy	Easy	Easy	Yes



# Antipattern Categories

## Database Design Antipatterns



## Database Creation Antipatterns

```
CREATE TABLE BugsProducts (  
  bug_id INTEGER REFERENCES Bugs,  
  product VARCHAR(100) REFERENCES Products,  
  PRIMARY KEY (bug_id, product)  
);
```

## Query Antipatterns

```
SELECT b.product, COUNT(*)  
FROM BugsProducts AS b  
GROUP BY b.product;
```

## Application Antipatterns

```
$dbHandle = new PDO('mysql:dbname=test');  
$stmt = $dbHandle->prepare($sql);  
$result = $stmt->fetchAll();
```



# Database Creation Antipatterns

- 5. ENUM Antipattern
- 6. Rounding Errors
- 7. Indexes Are Magical



# ENUM Antipattern



# ENUM Antipattern

- **Objective:** restrict a column to a fixed set of values

INSERT INTO bugs (status)  
VALUES ('new')



OK

INSERT INTO bugs (status)  
VALUES ('banana')



FAIL



# ENUM Antipattern

- **Antipattern:** use ENUM data type, when the set of values may change

```
CREATE TABLE Bugs (  
    ...  
    status      ENUM('new', 'open', 'fixed')  
);
```



# ENUM Antipattern

- Changing the set of values is a metadata alteration
- You must know the current set of values

```
ALTER TABLE Bugs MODIFY COLUMN  
status ENUM('new', 'open', 'fixed', 'duplicate');
```



# ENUM Antipattern

- Difficult to get a list of possible values

```
SELECT column_type  
FROM information_schema.columns  
WHERE table_schema = 'bugtracker_schema'  
      AND table_name = 'Bugs'  
      AND column_name = 'status';
```

- Returns a LONGTEXT you must parse:

```
“ENUM('new','open','fixed')”
```



# ENUM Antipattern

- **Solution:** use ENUM only if values are set in stone

```
CREATE TABLE Bugs (  
    ...  
    bug_type      ENUM('defect','feature')  
);
```

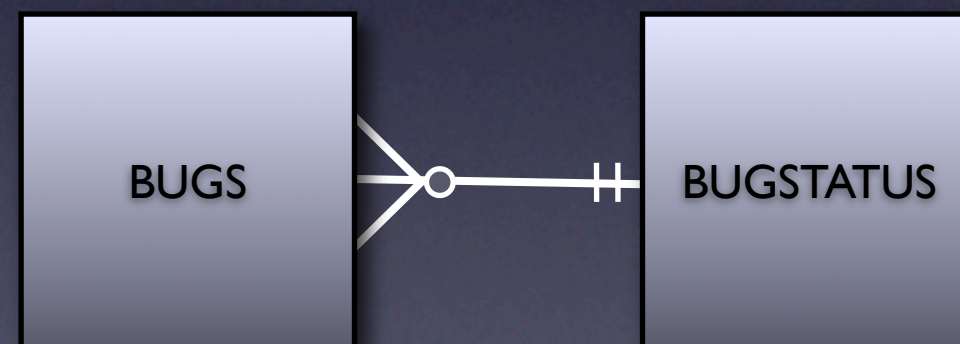


# ENUM Antipattern

- Use a lookup table if values may change

```
CREATE TABLE BugStatus (  
    status VARCHAR(10) PRIMARY KEY  
);
```

```
INSERT INTO BugStatus (status)  
VALUES ('NEW'), ('OPEN'), ('FIXED');
```





# ENUM Antipattern

- Adding/removing a value is a data operation, not a metadata operation
- You don't need to know the current values

```
INSERT INTO BugStatus (status)  
VALUES ('DUPLICATE');
```



# ENUM Antipattern

- Use an attribute to retire values, not DELETE

```
CREATE TABLE BugStatus (  
    status      VARCHAR(10) PRIMARY KEY,  
    active      TINYINT NOT NULL DEFAULT 1  
);
```

```
UPDATE BugStatus  
SET active = 0  
WHERE status = 'DUPLICATE';
```



# Rounding Errors

*10.0 times 0.1 is hardly ever 1.0.*  
— Brian Kernighan



# Rounding Errors

- **Objective:** store real numbers exactly
  - Especially money
  - Work estimate hours



# Rounding Errors

- **Antipattern:** use FLOAT data type

```
ALTER TABLE Bugs  
  ADD COLUMN hours FLOAT;
```

```
INSERT INTO Bugs (bug_id, hours)  
VALUES (1234, 3.3);
```



# Rounding Errors

- FLOAT is inexact

```
SELECT hours FROM Bugs  
WHERE bug_id = 1234;
```

▶ 3.3

```
SELECT hours * 1000000000 FROM Bugs  
WHERE bug_id = 1234;
```

▶ 32999999952.3163



# Rounding Errors

- Inexact decimals

- $1/3 + 1/3 + 1/3 = 1.0$

*assuming infinite precision*



- $0.333 + 0.333 + 0.333 = 0.999$

*finite precision*





# Rounding Errors

- IEEE 754 standard for representing floating-point numbers in base-2
  - Some numbers round off, aren't stored exactly
  - Comparisons to original value fail

```
SELECT * FROM Bugs  
WHERE hours = 3.3;
```

*comparison  
fails*





# Rounding Errors

- **Solution:** use NUMERIC data type

```
ALTER TABLE Bugs  
  ADD COLUMN hours NUMERIC(9,2)
```

```
INSERT INTO bugs (bug_id, hours)  
VALUES (1234, 3.3);
```

```
SELECT * FROM Bugs  
WHERE hours = 3.3;
```

*comparison  
succeeds*





# Indexes are Magical

*Whenever any result is sought, the question will then arise — by what course of calculation can these results be arrived at by the machine in the shortest time?*  
— Charles Babbage



# Indexes are Magical

- **Objective:** execute queries with optimal performance



# Indexes are Magical

- **Antipatterns:**
  - Creating indexes blindly
  - Executing non-indexable queries
  - Rejecting indexes because of their overhead



# Indexes are Magical

- Creating indexes blindly:

```
CREATE TABLE Bugs (  
  bug_id      SERIAL PRIMARY KEY,  
  date_reported DATE NOT NULL,  
  summary     VARCHAR(80) NOT NULL,  
  status      VARCHAR(10) NOT NULL,  
  hours       NUMERIC(9,2),  
  INDEX (bug_id),  
  INDEX (summary),  
  INDEX (hours),  
  INDEX (bug_id, date_reported, status)  
);
```

*redundant index*

*bulky index*

*unnecessary index*

*unnecessary covering index*



# Indexes are Magical

- Executing non-indexable queries:
  - `SELECT * FROM Bugs  
WHERE description LIKE '%crash%';`  
*non-leftmost  
string match*
  - `SELECT * FROM Bugs  
WHERE MONTH(date_reported) = 4;`  
*function applied  
to column*
  - `SELECT * FROM Bugs  
WHERE last_name = "..." OR first_name = "...";`  
*no index spans  
all rows*
  - `SELECT * FROM Accounts  
ORDER BY first_name, last_name;`  
*non-leftmost  
composite key match*




# Indexes are Magical

- Telephone book analogy

- Easy to search for *Dean Thomas*:

```
SELECT * FROM TelephoneBook  
WHERE full_name LIKE 'Thomas, %':
```


uses index  
to match



- Hard to search for *Thomas Riddle*:

```
SELECT * FROM TelephoneBook  
WHERE full_name LIKE '%, Thomas':
```

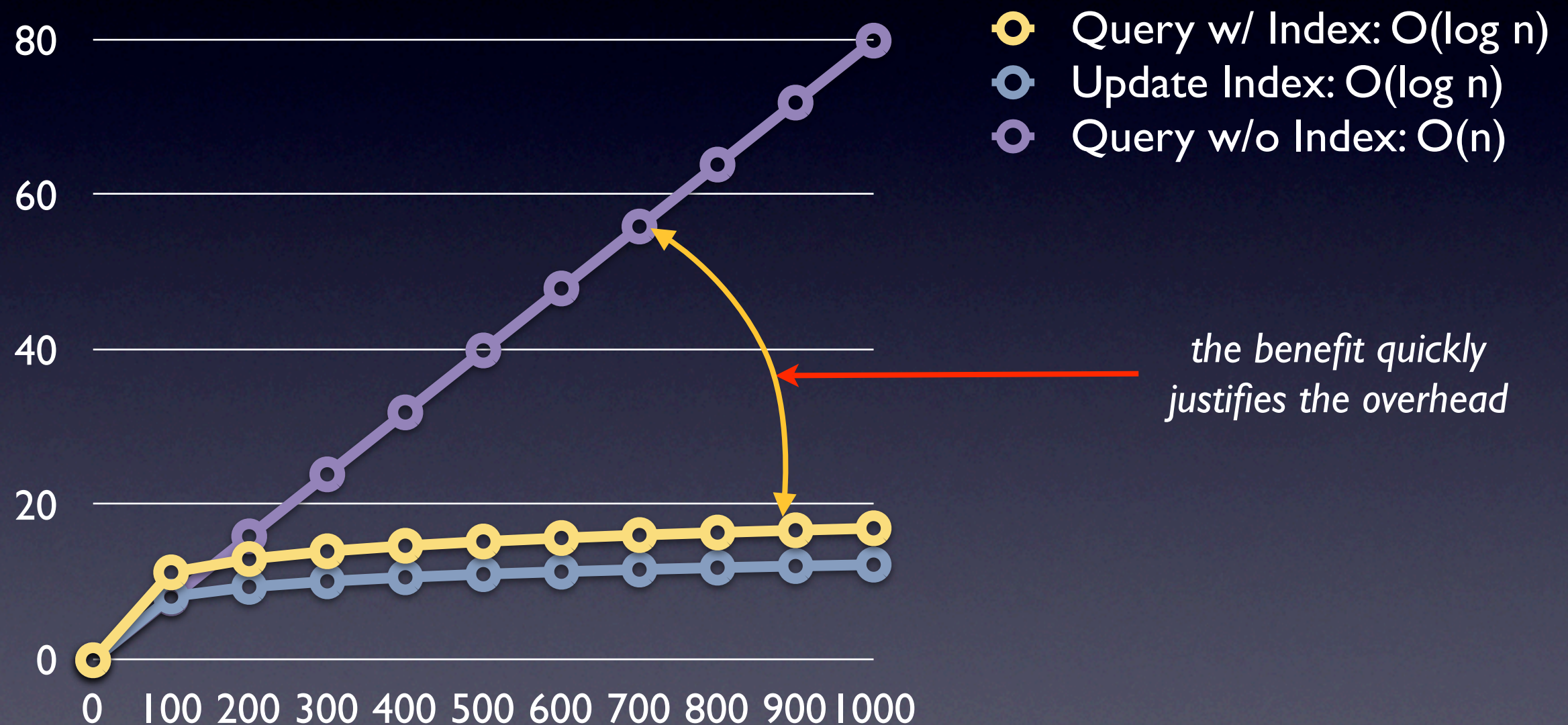
requires full  
table scan





# Indexes are Magical

- Rejecting indexes because of their overhead:





# Indexes are Magical

- **Solution:** “MENTOR” your indexes

Measure

Explain

Nominate

Test

Optimize

Repair



# Indexes are Magical

- **Solution:** “MENTOR” your indexes

**Measure**

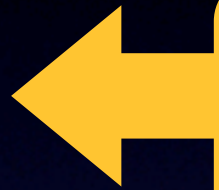
Explain

Nominate

Test

Optimize

Repair



- Profile your application.
- Focus on the most costly SQL queries:
  - Longest-running.
  - Most frequently run.
  - Blockers, lockers, and deadlocks.



# Indexes are Magical

- **Solution:** “MENTOR” your indexes

Measure

**Explain**

Nominate

Test

Optimize

Repair



- Analyze the optimization plan of costly queries, e.g. MySQL's EXPLAIN
- Identify tables that aren't using indexes:
  - Temporary table
  - Filesort



# Indexes are Magical

- **Solution:** “MENTOR” your indexes

Measure

Explain

**Nominate**

Test

Optimize

Repair

- Could an index improve access to these tables?
  - ORDER BY criteria
  - MIN() / MAX()
  - WHERE conditions
- Which column(s) need indexes?



# Indexes are Magical

- **Solution:** “MENTOR” your indexes

Measure

Explain

Nominate

**Test**

Optimize

Repair



- After creating index, measure again.
- Confirm the new index made a difference.
- Impress your boss!  
*“The new index gave a 27% performance improvement!”*



# Indexes are Magical

- **Solution:** “MENTOR” your indexes

Measure

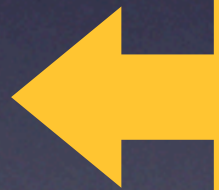
Explain

Nominate

Test

**Optimize**

Repair



- Indexes are compact, frequently-used data.
- Try to cache indexes in memory:
  - MyISAM: `key_buffer_size`,  
`LOAD INDEX INTO CACHE`
  - InnoDB: `innodb_buffer_pool_size`



# Indexes are Magical

- **Solution:** “MENTOR” your indexes

Measure

Explain

Nominate

Test

Optimize

**Repair**



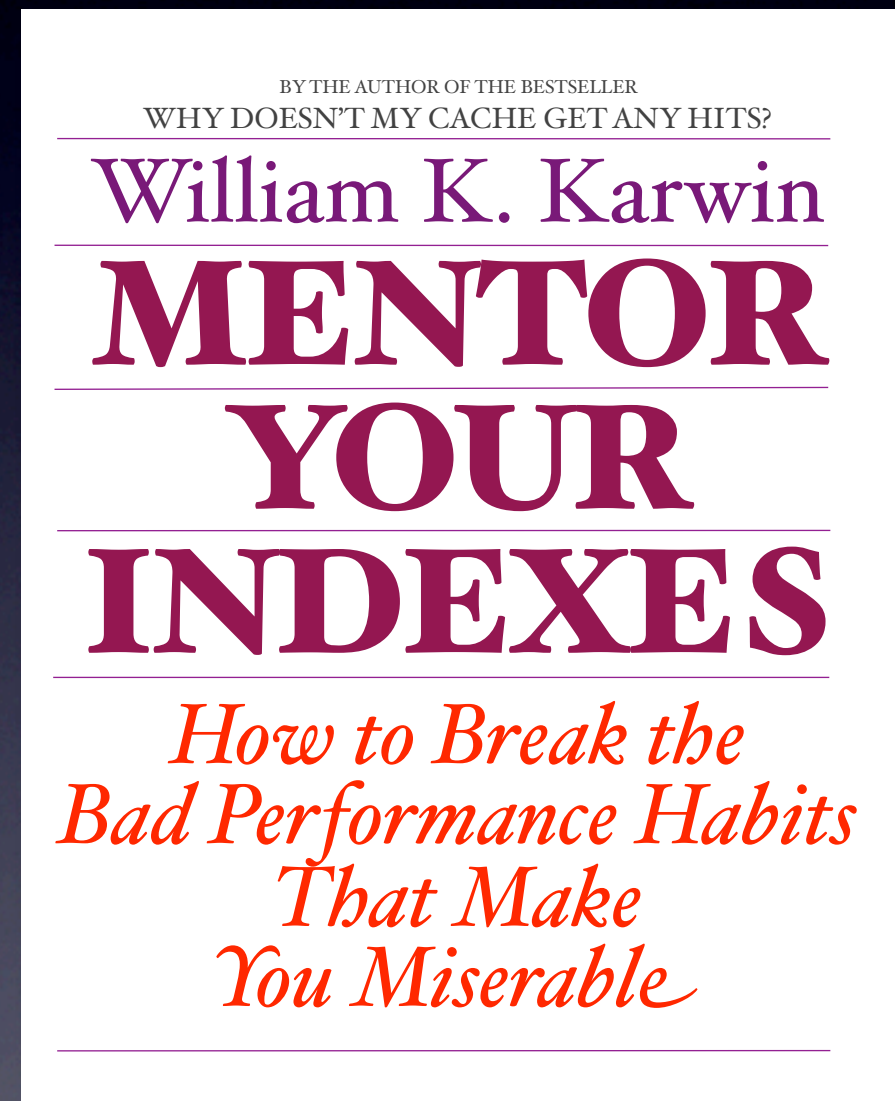

- Indexes require periodic maintenance.
- Like a filesystem requires periodic defragmentation.
- Analyze or rebuild indexes, e.g. in MySQL:
  - ANALYZE TABLE
  - OPTIMIZE TABLE



# Indexes are Magical

- **Solution:** “MENTOR” your indexes
  - Sounds like the name of a “self-help” book!

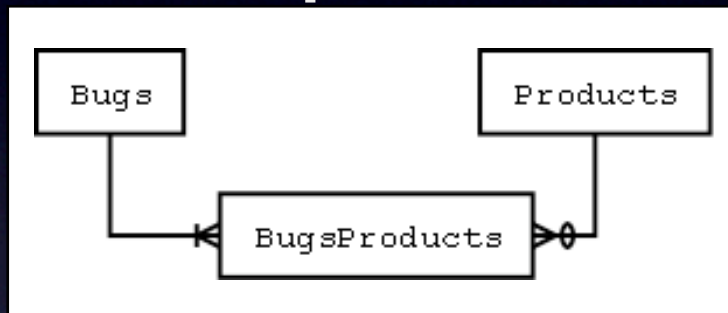
*just kidding!  
please don't ask  
when it's coming out!*





# Antipattern Categories

## Database Design Antipatterns



## Database Creation Antipatterns

```
CREATE TABLE BugsProducts (  
  bug_id INTEGER REFERENCES Bugs,  
  product VARCHAR(100) REFERENCES Products,  
  PRIMARY KEY (bug_id, product)  
);
```

## Query Antipatterns

```
SELECT b.product, COUNT(*)  
FROM BugsProducts AS b  
GROUP BY b.product;
```

## Application Antipatterns

```
$dbHandle = new PDO('mysql:dbname=test');  
$stmt = $dbHandle->prepare($sql);  
$result = $stmt->fetchAll();
```



# Query Antipatterns

- 8. NULL antipatterns
- 9. Ambiguous Groups
- 10. Random Order
- 11. JOIN antipattern
- 12. Goldberg Machine



# NULL Antipatterns

*As we know, there are known knowns; there are things we know we know. We also know there are known unknowns; that is to say we know there are some things we do not know. But there are also unknown unknowns — the ones we don't know we don't know.*  
— Donald Rumsfeld



# NULL Antipatterns

- **Objective:** handle “missing” values, store them as missing, and support them in queries.



# NULL Antipatterns

- **Antipatterns:**
  - Use NULL as an ordinary value
  - Use an ordinary value as NULL



# NULL Antipatterns

- Using NULL in most expressions results in an *unknown* value.

SELECT NULL + 10;

*NULL is not zero*




SELECT 'Bill' || NULL;

*NULL is not an empty string*



SELECT FALSE OR NULL;

*NULL is not FALSE*





# NULL Antipatterns

- The opposite of *unknown* is still *unknown*.

```
SELECT * FROM Bugs  
WHERE assigned_to = 123;
```

*which query returns bugs  
that are not yet assigned?*

```
SELECT * FROM Bugs  
WHERE NOT (assigned_to = 123);
```


*neither query!*



# NULL Antipatterns

- Choosing an ordinary value in lieu of NULL:

```
UPDATE Bugs SET assigned_to = -1  
WHERE assigned_to IS NULL;
```



*assigned\_to is a foreign key  
so this value doesn't work*



# NULL Antipatterns

- Choosing an ordinary value in lieu of NULL:


```
UPDATE Bugs SET hours = -1  
WHERE hours IS NULL;
```

```
SELECT SUM(hours)  
FROM Bugs  
WHERE status = 'OPEN'  
AND hours <> -1;
```

*this makes SUM()  
inaccurate*



*special-case code  
you were trying to avoid  
by prohibiting NULL*





# NULL Antipatterns

- Choosing an ordinary value in lieu of NULL:
  - Any given value may be significant in a column
  - Every column needs a different value
  - You need to remember or document the value used for “missing” on a case-by-case basis



# NULL Antipatterns

- **Solution:** use NULL appropriately
  - NULL signifies “missing” or “inapplicable”
  - Works for every data type
  - Already standard and well-understood



# NULL Antipatterns

- Understanding NULL in expressions

Expression	Expected	Actual
NULL = 0	TRUE	Unknown
NULL = 12345	FALSE	Unknown
NULL <> 12345	TRUE	Unknown
NULL + 12345	12345	Unknown
NULL    'string'	string'	Unknown
NULL = NULL	TRUE	Unknown
NULL <> NULL	FALSE	Unknown



# NULL Antipatterns

- Understanding NULL in boolean expressions

Expression	Expected	Actual
NULL AND TRUE	FALSE	Unknown
NULL AND FALSE	FALSE	FALSE
NULL OR FALSE	FALSE	Unknown
NULL OR TRUE	TRUE	TRUE
NOT (NULL)	TRUE	Unknown



# NULL Antipatterns

- SQL supports IS NULL predicate that returns *true* or *false*, never *unknown*:

```
SELECT * FROM Bugs  
WHERE assigned_to IS NULL;
```

```
SELECT * FROM Bugs  
WHERE assigned_to IS NOT NULL;
```



# NULL Antipatterns

- SQL-99 supports IS DISTINCT FROM predicate that returns *true* or *false*:

```
SELECT * FROM Bugs  
WHERE assigned_to IS DISTINCT FROM 123;
```

```
SELECT * FROM Bugs  
WHERE assigned_to IS NOT DISTINCT FROM 123;
```

```
SELECT * FROM Bugs  
WHERE assigned_to <=> 123;
```

*MySQL operator works like  
IS NOT DISTINCT FROM*





# NULL Antipatterns

- Change NULL to ordinary value on demand with COALESCE():

```
SELECT COALESCE(  
    first_name || ' ' || middle_initial || ' ' || last_name,  
    first_name || ' ' || last_name) AS full_name  
FROM Accounts;
```

- Also called NVL() or ISNULL()  
in some database brands.



# Ambiguous Groups

*Please accept my resignation. I don't want to belong  
to any club that will accept me as a member.*

— Groucho Marx



# Ambiguous Groups

- **Objective:** perform grouping queries, and include some attributes in the result


```
SELECT product_name, bug_id,  
       MAX(date_reported) AS latest  
FROM Bugs  
GROUP BY product_name;
```



# Ambiguous Groups

- **Antipattern:** bug\_id isn't that of the latest per product

product_name	bug_id	date_reported
Open RoundFile	1234	2007-12-19
Open RoundFile	2248	2008-04-01
Visual TurboBuilder	3456	2008-02-16
Visual TurboBuilder	4077	2008-02-10
ReConsider	5678	2008-01-01
ReConsider	8063	2007-11-09



product_name	bug_id	latest
Open RoundFile	1234	2008-04-01
Visual TurboBuilder	3456	2008-02-16
ReConsider	5678	2008-01-01



# Ambiguous Groups

```
SELECT product_name, bug_id,  
       MAX(date_reported) AS latest  
FROM Bugs  
GROUP BY product_name;
```


*assume bug\_id from  
the same row with  
MAX(date\_reported)*



# Ambiguous Groups

```
SELECT product_name, bug_id,  
       MAX(date_reported) AS latest  
FROM Bugs  
GROUP BY product_name;
```

*what if two bug\_id  
both match the  
latest date?*






# Ambiguous Groups

```
SELECT product_name, bug_id,  
       MIN(date_reported) AS earliest,  
       MAX(date_reported) AS latest  
FROM Bugs  
GROUP BY product_name;
```

*what bug\_id  
has both the earliest  
and the latest date?*






# Ambiguous Groups

```
SELECT product_name, bug_id,  
       AVG(date_reported) AS mean  
FROM Bugs  
GROUP BY product_name;
```

*what if no bug\_id  
matches this date?*





# Ambiguous Groups

- The **Single-Value Rule**: every column in the select-list must be either:
  - Part of an aggregate expression.
  - In the GROUP BY clause.
  - A *functional dependency* of a column named in the GROUP BY clause.



# Ambiguous Groups

- For a given `product_name`, there is a single value in each *functionally dependent* attribute.

product_name	bug_id	date_reported
Open RoundFile	1234	2007-12-19
Open RoundFile	2248	2008-04-01
Visual TurboBuilder	3456	2008-02-16
Visual TurboBuilder	4077	2008-02-10
ReConsider	5678	2008-01-01
ReConsider	8063	2007-11-09

multiple values per  
product name

bug\_id is not  
functionally dependent



# Ambiguous Groups

- **Solution #1:** use only functionally dependent attributes:

```
SELECT product_name, bug_id,  
       MAX(date_reported) AS latest  
FROM Bugs  
GROUP BY product_name;
```

product_name	latest
Open RoundFile	2008-04-01
Visual TurboBuilder	2008-02-16
ReConsider	2008-01-01



# Ambiguous Groups

- **Solution #2:** use a derived table:

```
SELECT b.product_name, b.bug_id, m.latest
FROM Bugs b
JOIN (SELECT product_name, MAX(date_reported) AS latest
      FROM Bugs GROUP BY product_name) m
ON (b.product_name = m.product_name
    AND b.date_reported = m.latest);
```

product_name	bug_id	latest
Open RoundFile	2248	2008-04-01
Visual TurboBuilder	3456	2008-02-16
ReConsider	5678	2008-01-01



# Ambiguous Groups

- **Solution #3:** use an outer JOIN:

```
SELECT b1.product_name, b1.bug_id,  
       b1.date_reported AS latest  
FROM Bugs b1 LEFT OUTER JOIN Bugs b2  
       ON (b1.product_name = b2.product_name  
          AND b1.date_reported < b2.date_reported)  
WHERE b2.bug_id IS NULL;
```

product_name	bug_id	latest
Open RoundFile	2248	2008-04-01
Visual TurboBuilder	3456	2008-02-16
ReConsider	5678	2008-01-01



# Ambiguous Groups

- **Solution #4:** use another aggregate:

```
SELECT product_name, MAX(date_reported) AS latest,  
       MAX(bug_id) AS latest_bug_id  
FROM Bugs  
GROUP BY product_name;
```

*if bug\_id increases  
in chronological order*

product_name	bug_id	latest
Open RoundFile	2248	2008-04-01
Visual TurboBuilder	3456	2008-02-16
ReConsider	5678	2008-01-01



# Ambiguous Groups

- **Solution #5:** use GROUP\_CONCAT():

```
SELECT product_name,  
       GROUP_CONCAT(bug_id) AS bug_id_list,  
       MAX(date_reported) AS latest  
FROM Bugs  
GROUP BY product_name;
```

product_name	bug_id_list	latest
Open RoundFile	1234, 2248	2008-04-01
Visual TurboBuilder	3456, 4077	2008-02-16
ReConsider	5678, 8063	2008-01-01



# Random Order

*I must complain the cards are ill shuffled till I have a good hand.*  
— Jonathan Swift



# Random Order

- **Objective:** select a random row



# Random Order

- **Antipattern:** sort by random expression, then return top row(s)

```
SELECT * FROM Bugs  
ORDER BY RAND()  
LIMIT 1;
```

*non-indexed sort  
in a temporary table*

*sort entire table  
just to discard it?*



# Random Order

- **Solution #1:** pick random primary key from list of all values:

```
$bug_id_list = $pdo->query(
    'SELECT bug_id FROM Bugs' )->fetchAll();

$rand = random(count($bug_id_list));

$stmt = $pdo->prepare(
    'SELECT * FROM Bugs WHERE bug_id = ?');
$stmt->execute( $bug_id_list[$rand][0] );
$rand_bug = $stmt->fetch();
```



# Random Order

- **Solution #1:** pick random primary key from list of all values:

```
$bug_id_list = $pdo->query(  
    'SELECT bug_id FROM Bugs' )->fetchAll();
```

- \$bug\_id\_list may grow to an impractical size:

*Fatal error: Allowed memory size of 16777216 bytes exhausted*



# Random Order

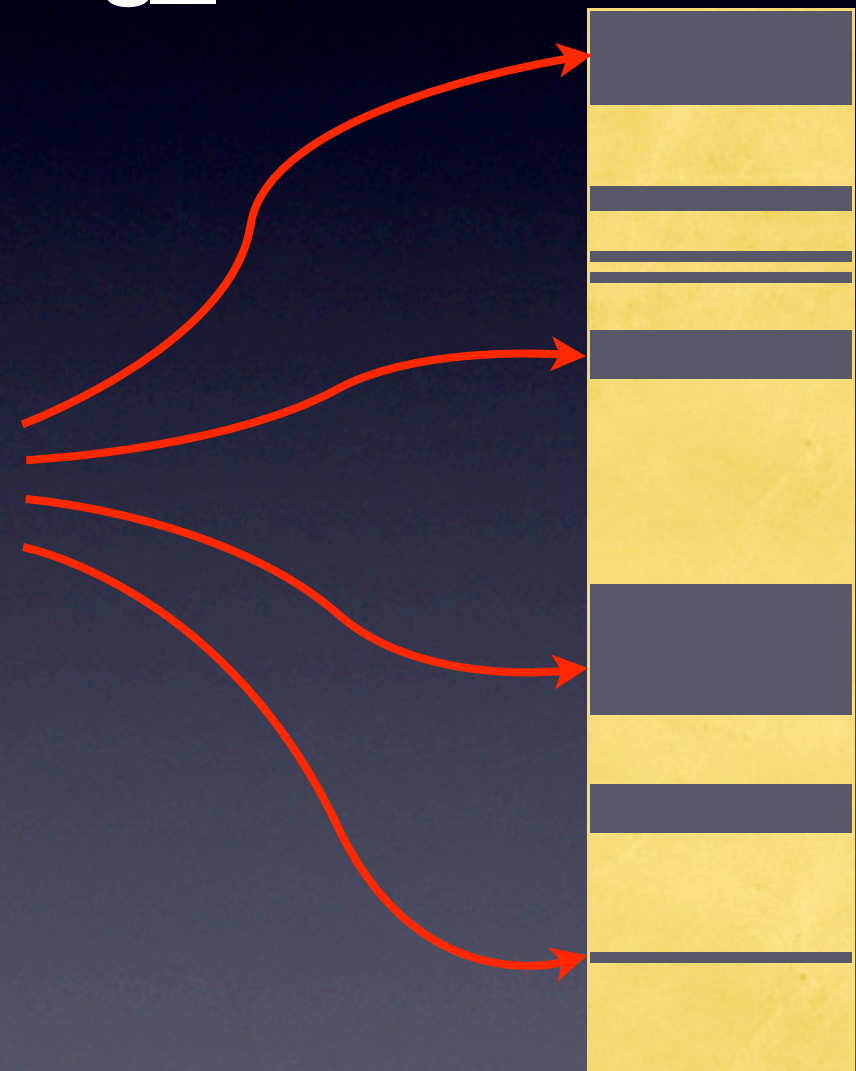
- **Solution #2:** pick random value between 1...MAX(bug\_id); use that bug\_id:

```
SELECT b1.* FROM Bugs b1
JOIN (SELECT CEIL(RAND() *
  (SELECT MAX(bug_id) FROM Bugs)) rand_id) b2
ON (b1.bug_id = b2.rand_id);
```



# Random Order

- **Solution #2:** pick random value between  $1 \dots \text{MAX}(\text{bug\_id})$ ; use that bug\_id:
  - Assumes bug\_id starts at 1 and values are contiguous.
  - If there are gaps, a random bug\_id may not match an existing bug.





# Random Order

- **Solution #3:** pick random value between 1...MAX(bug\_id); use next higher bug\_id:

```
SELECT b1.* FROM Bugs b1
JOIN (SELECT CEIL(RAND() *
    (SELECT MAX(bug_id) FROM Bugs)) AS bug_id) b2
WHERE b1.bug_id >= b2.bug_id
ORDER BY b1.bug_id
LIMIT 1;
```



# Random Order

- **Solution #3:** pick random value between 1...MAX(bug\_id); use next higher bug\_id:

- bug\_id values after gaps are chosen more often.
- Random values are evenly distributed, but bug\_id values aren't.





# Random Order

- **Solution #4:** pick random row from 0...COUNT, regardless of bug\_id values:

```
$offset = $pdo->query(  
    'SELECT ROUND(RAND() *  
    (SELECT COUNT(*) FROM Bugs))' )->fetch();  
$sql = 'SELECT * FROM Bugs LIMIT 1 OFFSET ?';  
$stmt = $pdo->prepare( $sql );  
$stmt->execute( $offset );
```



# JOIN Antipattern



# JOIN Antipattern


- **Objective:** Design optimal queries.



# JOIN Antipattern

- **Antipatterns:**
  - Senseless avoidance of JOIN.
  - Overzealous JOIN decomposition.
  - “*Joins are slow!*”

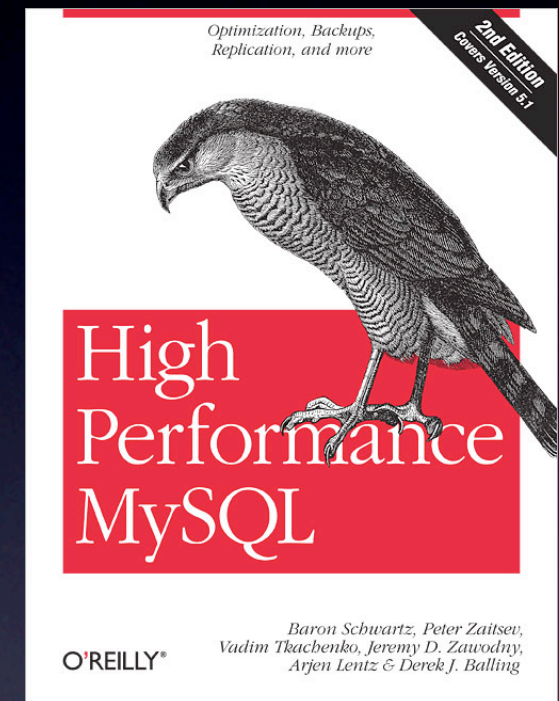
*compared  
to what?*





# JOIN Antipattern

- Reasons for JOIN decomposition:
  - Cache and reuse earlier results
  - Reduce locking across multiple tables
  - Distribute tables across servers
  - Leverage IN() optimization
  - Reduce redundant rows  
(result sets are denormalized)
- Notice these are *exception cases!*



↑  
*borrowed  
from this book*



# JOIN Antipattern

- Example from the web (2009-4-18):

```
SELECT *,  
  (SELECT name FROM stores WHERE id = p.store_id) AS store_name,  
  (SELECT username FROM stores WHERE id = p.store_id) AS store_username,  
  (SELECT region_id FROM stores WHERE id = p.store_id) AS region_id,  
  (SELECT city_id FROM stores WHERE id = p.store_id) AS city_id,  
  (SELECT name FROM categories_sub WHERE id=p.subcategory_id) subcat_name,  
  (SELECT name FROM categories WHERE id = p.category_id) AS category_name  
FROM products p  
WHERE p.date_start <= DATE(NOW()) AND p.date_end >= DATE(NOW());
```

*how to apply  
conditions to stores?*

*six correlated  
subqueries!*

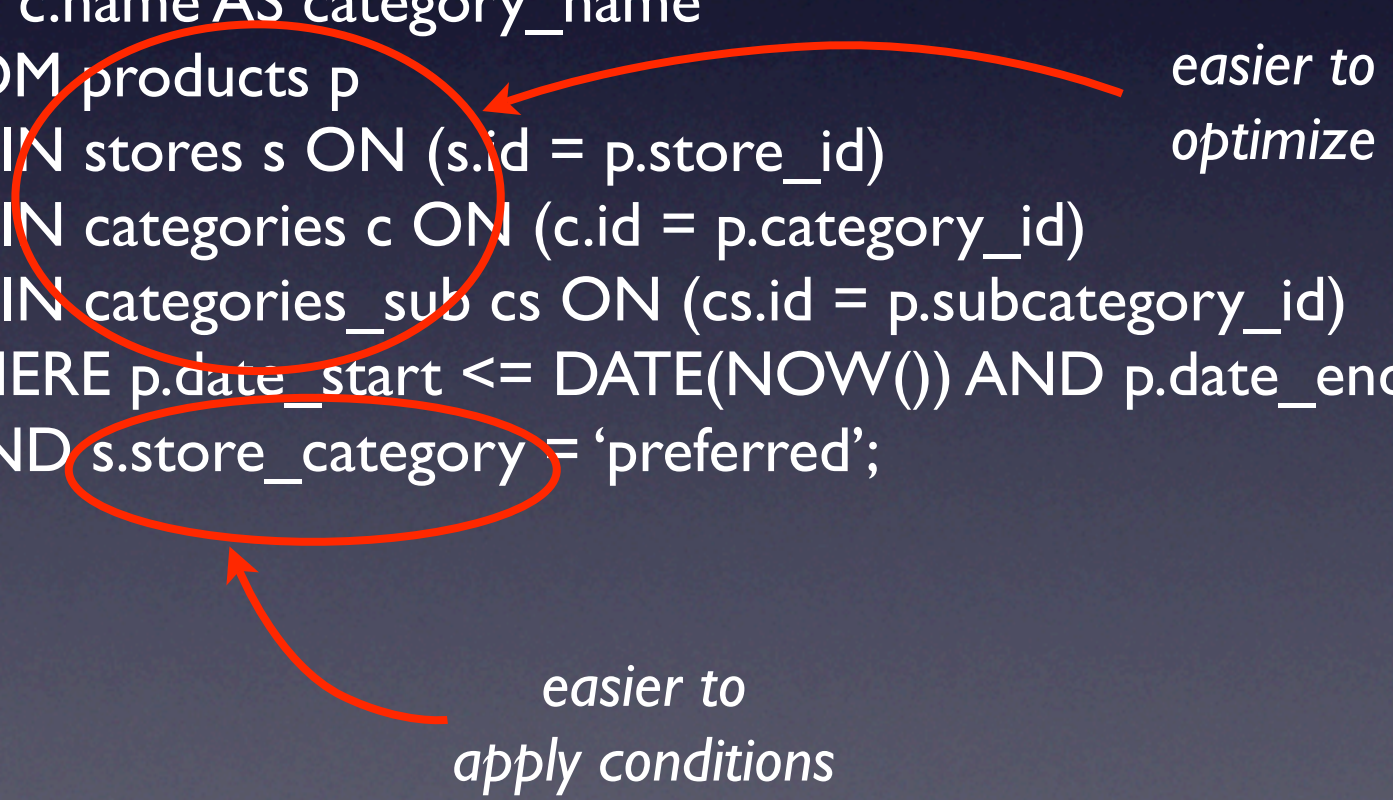
*optimizer can't  
reorder JOINS*



# JOIN Antipattern

- Example revised with JOINS:

```
SELECT p.*, s.name AS store_name, s.username AS store_username,  
       s.region_id, s.city_id, cs.name AS subcategory_name,  
       c.name AS category_name  
FROM products p  
  JOIN stores s ON (s.id = p.store_id)  
  JOIN categories c ON (c.id = p.category_id)  
  JOIN categories_sub cs ON (cs.id = p.subcategory_id)  
WHERE p.date_start <= DATE(NOW()) AND p.date_end >= DATE(NOW())  
   AND s.store_category = 'preferred';
```



*easier to optimize*

*easier to apply conditions*



# JOIN Antipattern

- Example: find an entry with three tags:  
HAVING COUNT solution:

```
SELECT b.*  
FROM Bugs b  
  JOIN BugsProducts p ON (b.bug_id = p.bug_id)  
WHERE p.product_id IN (1, 2, 3)  
GROUP BY b.bug_id  
HAVING COUNT(*) = 3;
```

*must match all  
three products*



# JOIN Antipattern

- Example: find an entry with three tags:  
multiple-JOIN solution:

```
SELECT DISTINCT b.*  
FROM Bugs b  
JOIN BugsProducts p1 ON ((p1.bug_id, p1.product_id) = (b.bug_id, 1))  
JOIN BugsProducts p2 ON ((p2.bug_id, p2.product_id) = (b.bug_id, 2))  
JOIN BugsProducts p3 ON ((p3.bug_id, p3.product_id) = (b.bug_id, 3));
```

*three joins is slower  
than one, right?*

*not if indexes  
are used well*



# JOIN Antipattern

- **Solution:**

- JOIN is to SQL as *while()* is to other languages.
- One-size-fits-all rules (e.g. “joins are slow”) don’t work.
- Measure twice, query once.
- Let the SQL optimizer work.
- Employ alternatives (e.g. JOIN decomposition) as exception cases.



# Goldberg Machine

*Entia non sunt multiplicanda praeter necessitatem*  
(“Entities are not to be multiplied beyond necessity”).  
— William of Okham



# Goldberg Machine

- **Objective:** Generate a complex report as efficiently as possible.



# Goldberg Machine


- Example: Calculate for each account:
  - Count of bugs reported by user.
  - Count of products the user has been assigned to.
  - Count of comments left by user.



# Goldberg Machine

- **Antipattern:** Try to generate all the information for the report in a single query:

```
SELECT a.account_name,  
       COUNT(br.bug_id) AS bugs_reported,  
       COUNT(bp.product_id) AS products_assigned,  
       COUNT(c.comment_id) AS comments  
FROM Accounts a  
LEFT JOIN Bugs br ON (a.account_id = br.reported_by)  
LEFT JOIN (Bugs ba JOIN BugsProducts bp ON (ba.bug_id = bp.bug_id))  
          ON (a.account_id = ba.assigned_to)  
LEFT JOIN Comments c ON (a.account_id = c.author)  
GROUP BY a.account_id;
```



expected: 3

expected: 2

expected: 4



# Goldberg Machine

- Expected result versus actual result:

account name	bugs reported	products assigned	comments
Bill	<del>3</del> 48	<del>2</del> 48	<del>4</del> 48

FAIL

FAIL

FAIL



# Goldberg Machine

- Run query without GROUP BY:

```
SELECT a.account_name,  
       br.bug_id AS bug_reported,  
       ba.bug_id AS bug_assigned,  
       bp.product_id AS product_assigned  
       c.comment_id  
FROM Accounts a  
LEFT JOIN Bugs br ON (a.account_id = br.reported_by)  
LEFT JOIN (Bugs ba JOIN BugsProducts bp ON (ba.bug_id = bp.bug_id))  
          ON (a.account_id = ba.assigned_to)  
LEFT JOIN Comments c ON (a.account_id = c.author);
```



# Goldberg Machine

- Query result reveals a *Cartesian Product*:

account name	bug reported	bug assigned	product assigned	comment
Bill	1234	1234	1	6789
Bill	1234	1234	1	9876
Bill	1234	1234	1	4365
Bill	1234	1234	1	7698
Bill	1234	1234	3	6789
Bill	1234	1234	3	9876
Bill	1234	1234	3	4365
Bill	1234	1234	3	7698



# Goldberg Machine

- Query result reveals a *Cartesian Product*:

account name	bug reported	bug assigned	product assigned	comment
Bill	1234	5678	1	6789
Bill	1234	5678	1	9876
Bill	1234	5678	1	4365
Bill	1234	5678	1	7698
Bill	1234	5678	3	6789
Bill	1234	5678	3	9876
Bill	1234	5678	3	4365
Bill	1234	5678	3	7698



# Goldberg Machine

- Query result reveals a *Cartesian Product*:

account name	bug reported	bug assigned	product assigned	comment
Bill	2345	1234	1	6789
Bill	2345	1234	1	9876
Bill	2345	1234	1	4365
Bill	2345	1234	1	7698
Bill	2345	1234	3	6789
Bill	2345	1234	3	9876
Bill	2345	1234	3	4365
Bill	2345	1234	3	7698



# Goldberg Machine

- Query result reveals a *Cartesian Product*:

account name	bug reported	bug assigned	product assigned	comment
Bill	2345	5678	1	6789
Bill	2345	5678	1	9876
Bill	2345	5678	1	4365
Bill	2345	5678	1	7698
Bill	2345	5678	3	6789
Bill	2345	5678	3	9876
Bill	2345	5678	3	4365
Bill	2345	5678	3	7698



# Goldberg Machine

- Query result reveals a *Cartesian Product*:

account name	bug reported	bug assigned	product assigned	comment
Bill	3456	1234	1	6789
Bill	3456	1234	1	9876
Bill	3456	1234	1	4365
Bill	3456	1234	1	7698
Bill	3456	1234	3	6789
Bill	3456	1234	3	9876
Bill	3456	1234	3	4365
Bill	3456	1234	3	7698



# Goldberg Machine

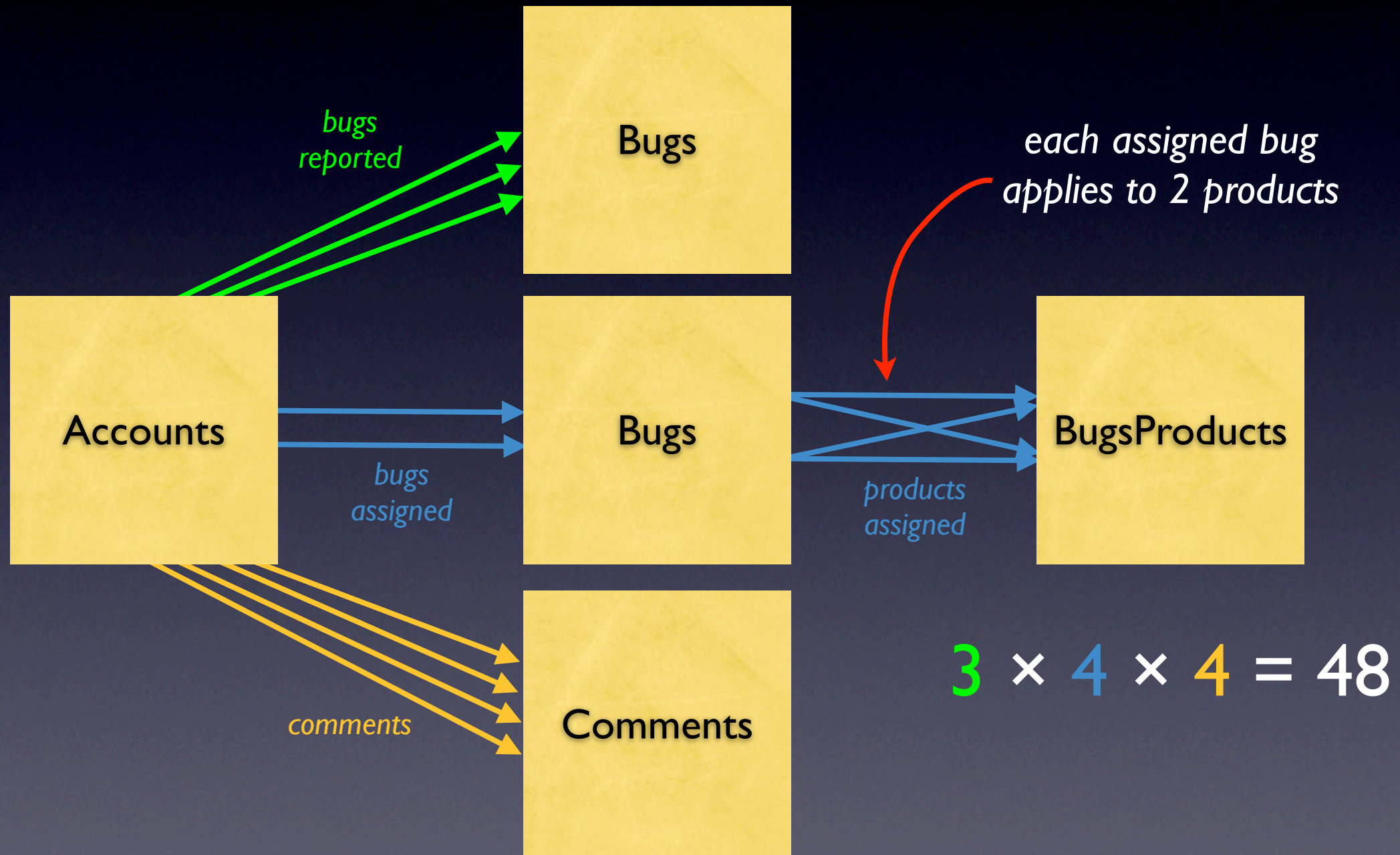
- Query result reveals a *Cartesian Product*:

account name	bug reported	bug assigned	product assigned	comment
Bill	3456	5678	1	6789
Bill	3456	5678	1	9876
Bill	3456	5678	1	4365
Bill	3456	5678	1	7698
Bill	3456	5678	3	6789
Bill	3456	5678	3	9876
Bill	3456	5678	3	4365
Bill	3456	5678	3	7698



# Goldberg Machine

- Visualizing a Cartesian Product:





# Goldberg Machine

- **Solution:** Write separate queries.

```
SELECT a.account_name, COUNT(br.bug_id) AS bugs_reported
FROM Accounts a LEFT JOIN Bugs br ON (a.account_id = br.reported_by)
GROUP BY a.account_id;
```

*result: 3*

```
SELECT a.account_name,
       COUNT(DISTINCT bp.product_id) AS products_assigned,
FROM Accounts a LEFT JOIN
      (Bugs ba JOIN BugsProducts bp ON (ba.bug_id = bp.bug_id))
      ON (a.account_id = ba.assigned_to)
GROUP BY a.account_id;
```

*result: 2*

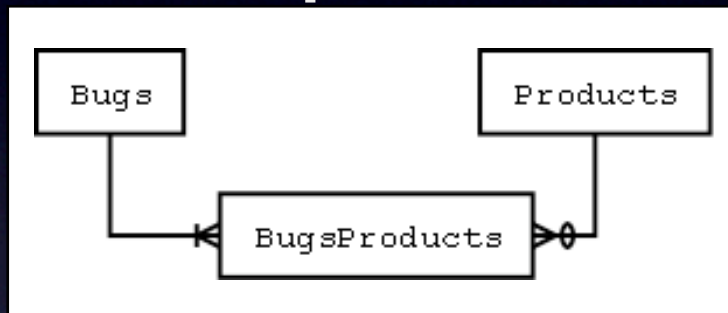
```
SELECT a.account_name, COUNT(c.comment_id) AS comments
FROM Accounts a LEFT JOIN Comments c ON (a.account_id = c.author)
GROUP BY a.account_id;
```

*result: 4*



# Antipattern Categories

## Database Design Antipatterns



## Database Creation Antipatterns

```
CREATE TABLE BugsProducts (
  bug_id INTEGER REFERENCES Bugs,
  product VARCHAR(100) REFERENCES Products,
  PRIMARY KEY (bug_id, product)
);
```

## Query Antipatterns

```
SELECT b.product, COUNT(*)
FROM BugsProducts AS b
GROUP BY b.product;
```

## Application Antipatterns

```
$dbHandle = new PDO('mysql:dbname=test');
$stmt = $dbHandle->prepare($sql);
$result = $stmt->fetchAll();
```



# Application Antipatterns

I 3. Parameter Facade

I 4. Phantom Side Effects

I 5. See No Evil

I 6. Diplomatic Immunity

I 7. Magic Beans



# Parameter Facade



# Parameter Facade

- **Objective:** include application variables in SQL statements

```
SELECT * FROM Bugs  
WHERE bug_id IN ( $id_list );
```



# Parameter Facade

- **Antipattern:** Trying to use parameters for complex syntax



# Parameter Facade

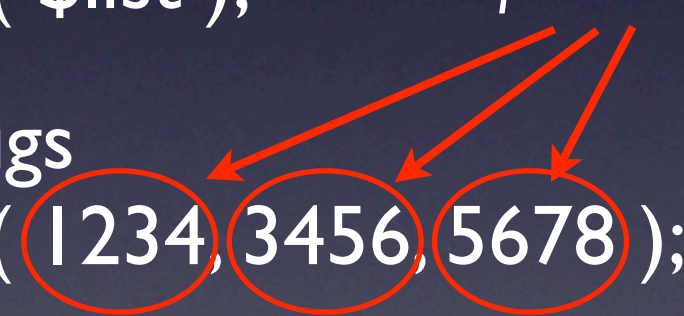
- Interpolation can modify syntax

`$list = '1234, 3456, 5678'`

`SELECT * FROM Bugs  
WHERE bug_id IN ( $list );`

*three values  
separated by commas*

`SELECT * FROM Bugs  
WHERE bug_id IN (1234, 3456, 5678);`

A diagram illustrating the expansion of the \$list variable. Three red arrows point from the text "three values separated by commas" to the values 1234, 3456, and 5678 in the second SQL query. Each of these values is circled in red.



# Parameter Facade

- A parameter is always a single value

```
$list = '1234, 3456, 5678'
```

```
SELECT * FROM Bugs  
WHERE bug_id IN ( ? );
```

```
EXECUTE USING $list;
```

```
SELECT * FROM Bugs  
WHERE bug_id IN ('1234, 3456, 5678');
```

*one string value*





# Parameter Facade

- Interpolation can specify identifiers

\$column = 'bug\_id'

```
SELECT * FROM Bugs  
WHERE $column = 1234;
```

```
SELECT * FROM Bugs  
WHERE bug_id = 1234;
```

*column identifier*





# Parameter Facade

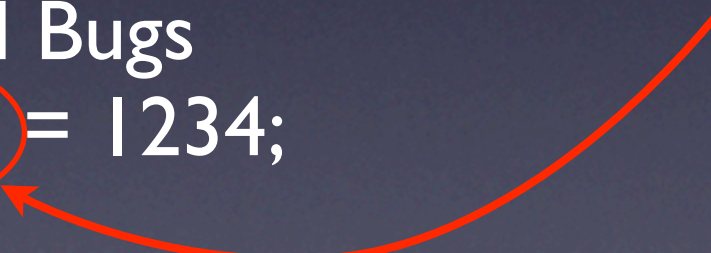
- A parameter is always a single value

```
$column = 'bug_id';
```

```
SELECT * FROM Bugs  
WHERE ? = 1234;
```

```
EXECUTE USING $column;
```

```
SELECT * FROM Bugs  
WHERE 'bug_id' = 1234;
```



*one string value*



# Parameter Facade

- Interpolation risks SQL injection

```
$id = '1234 or 1=1';
```

```
SELECT * FROM Bugs  
WHERE bug_id = $id;
```

```
SELECT * FROM Bugs  
WHERE bug_id = 1234 or 1=1;
```

*logical  
expression*





# Parameter Facade

- A parameter is always a single value

```
$id = '1234 or 1=1';
```

```
SELECT * FROM Bugs  
WHERE bug_id = ?;
```

```
EXECUTE USING $id;
```

```
SELECT * FROM Bugs  
WHERE bug_id = '1234 or 1=1';
```

*one string value*



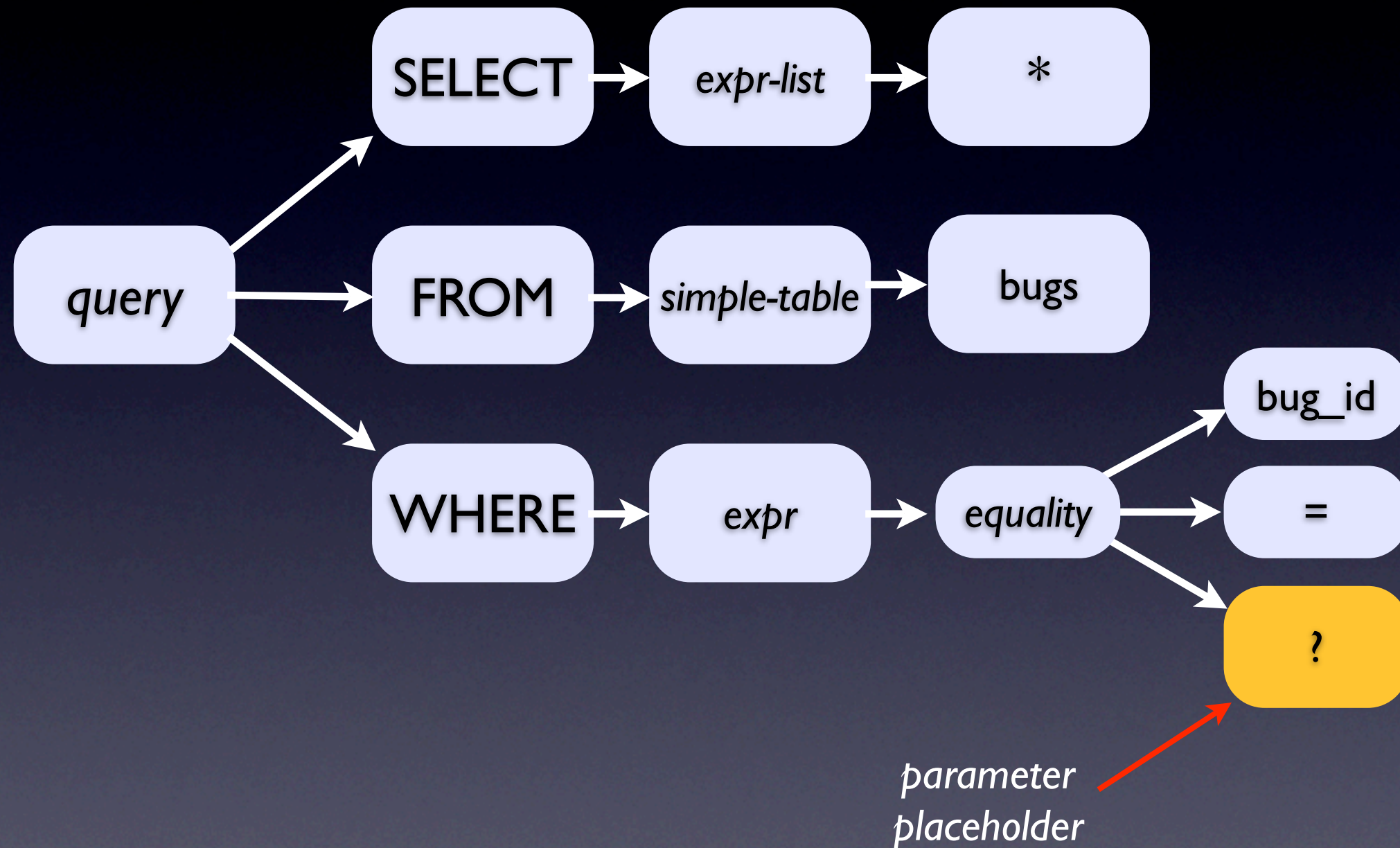


# Parameter Facade

- Preparing a SQL statement:
  - Parses SQL syntax
  - Optimizes execution plan
  - Retains parameter placeholders



# Parameter Facade





# Parameter Facade

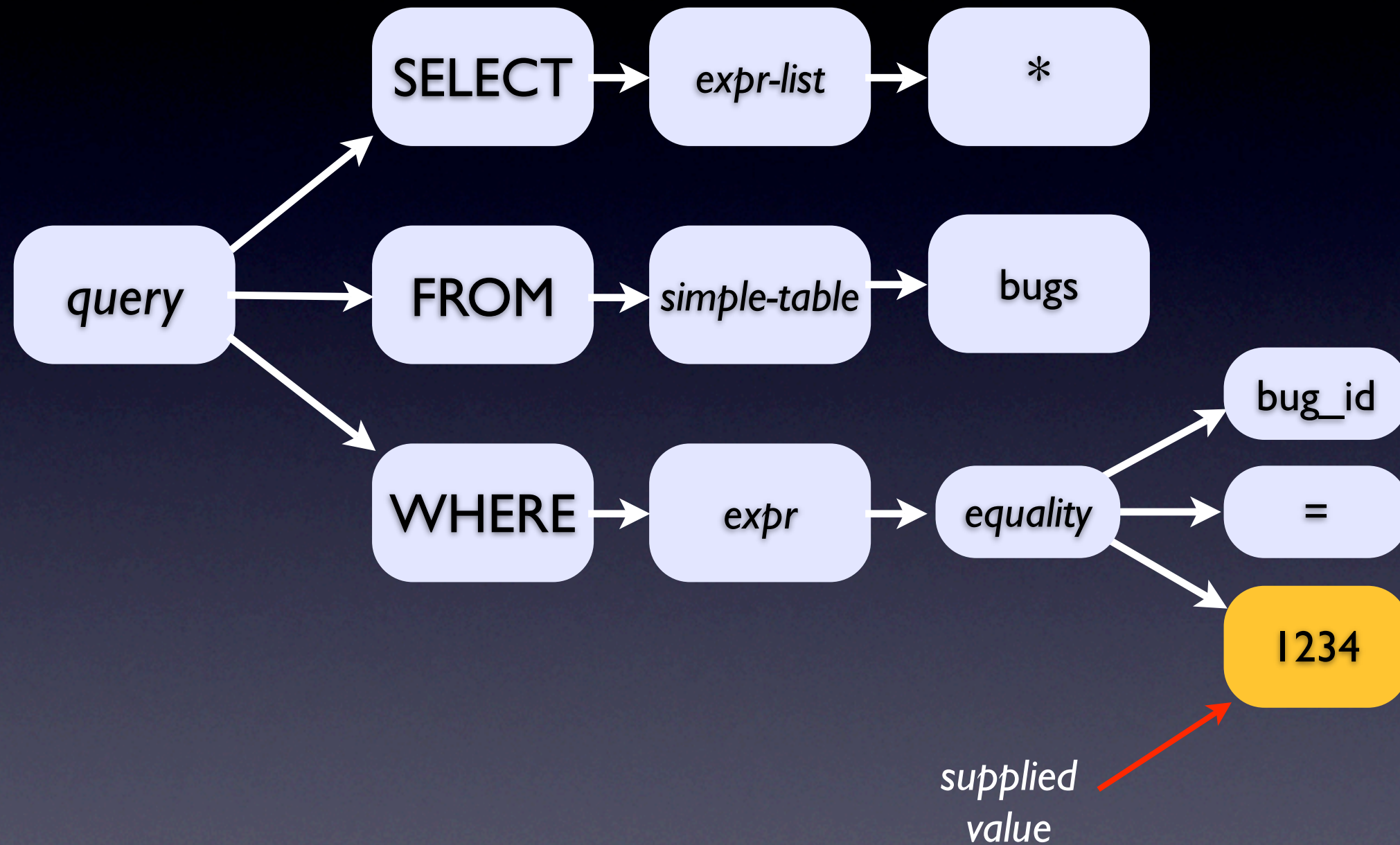
- Executing a prepared statement
  - Combines a supplied value for each parameter
  - *Doesn't* modify syntax, tables, or columns
  - Runs query

*could invalidate  
optimization plan*



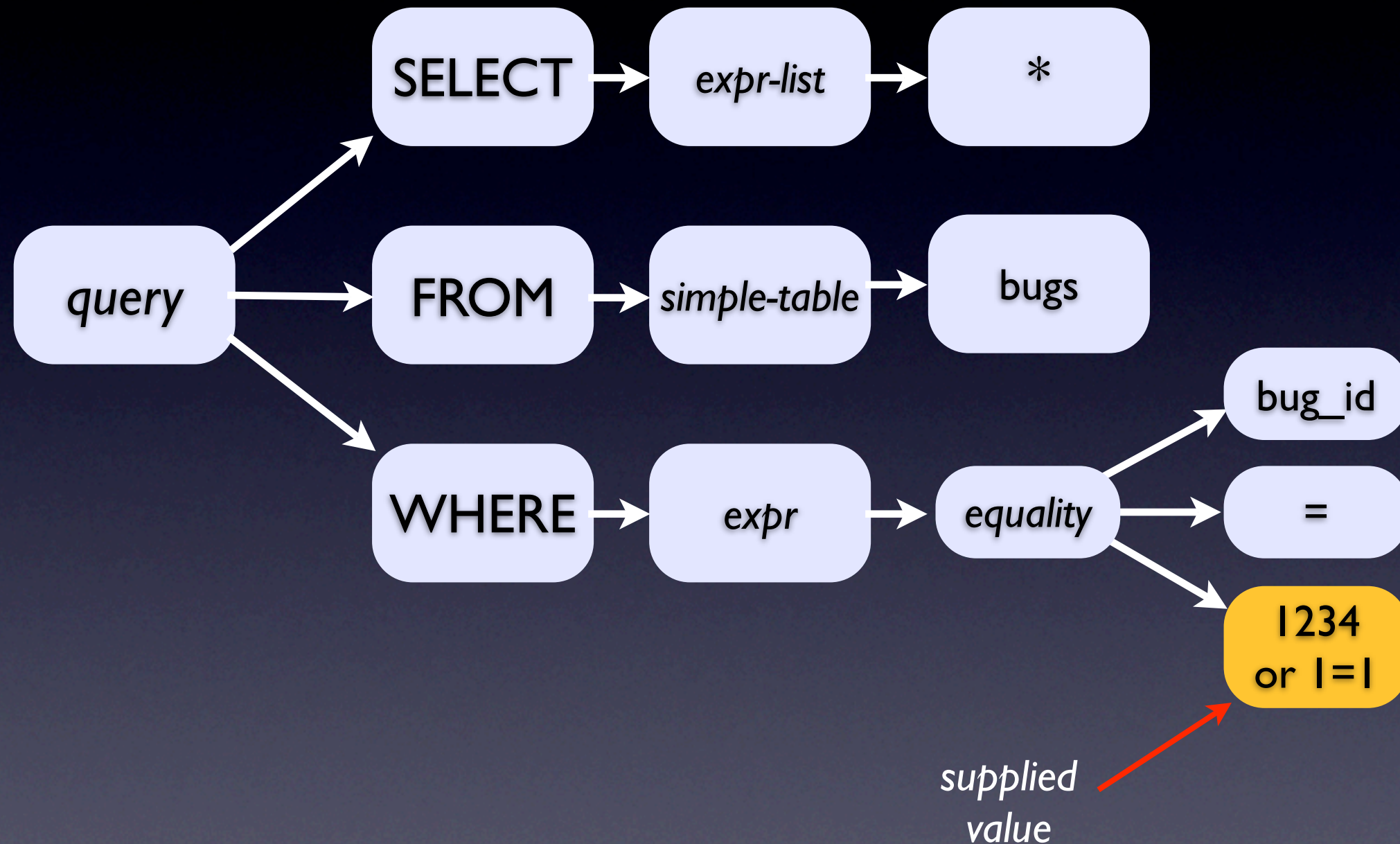


# Parameter Facade





# Parameter Facade



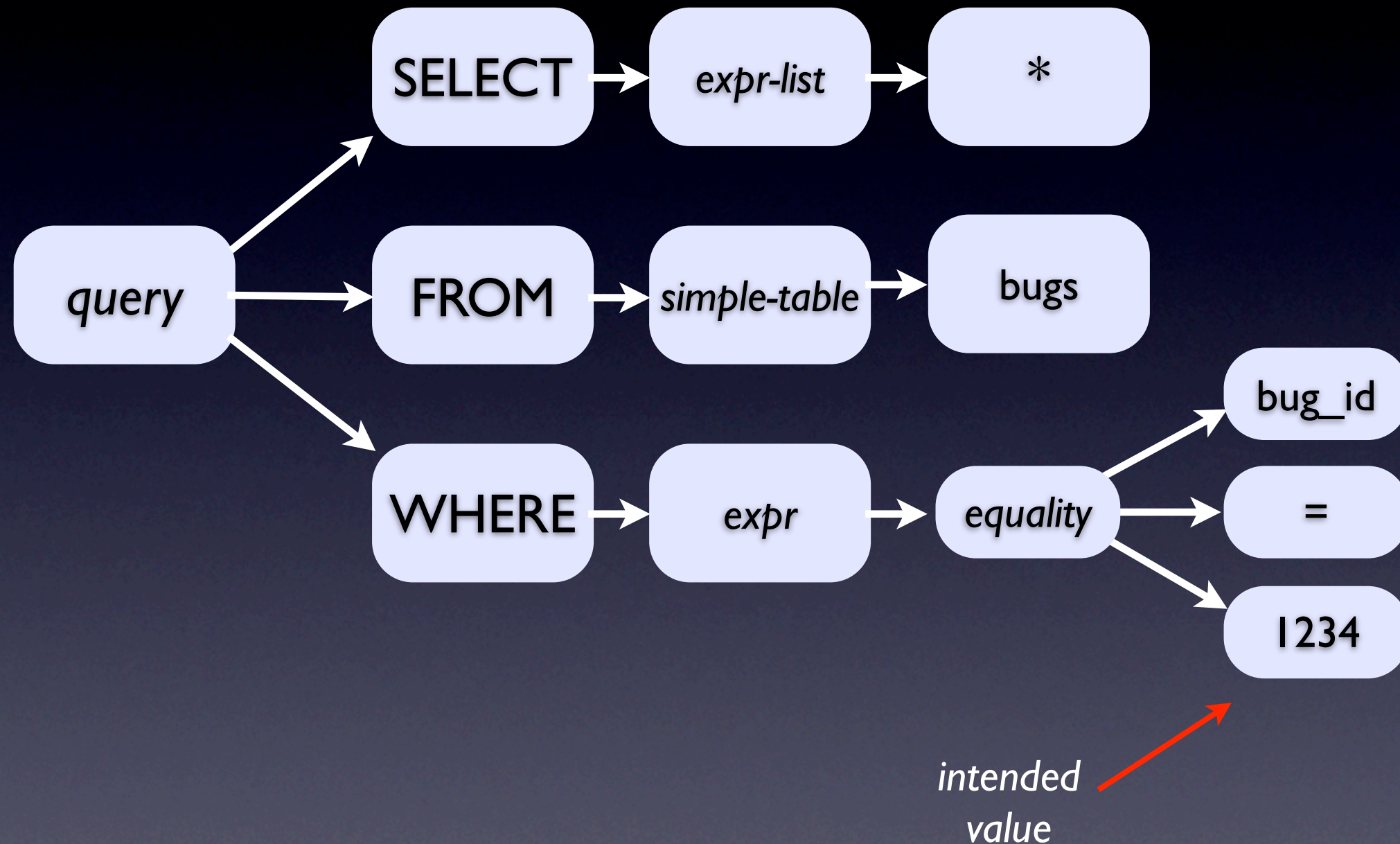


# Parameter Facade

- Interpolating into a query string
  - Occurs in the application, before SQL is parsed
  - Database server can't tell what part is dynamic

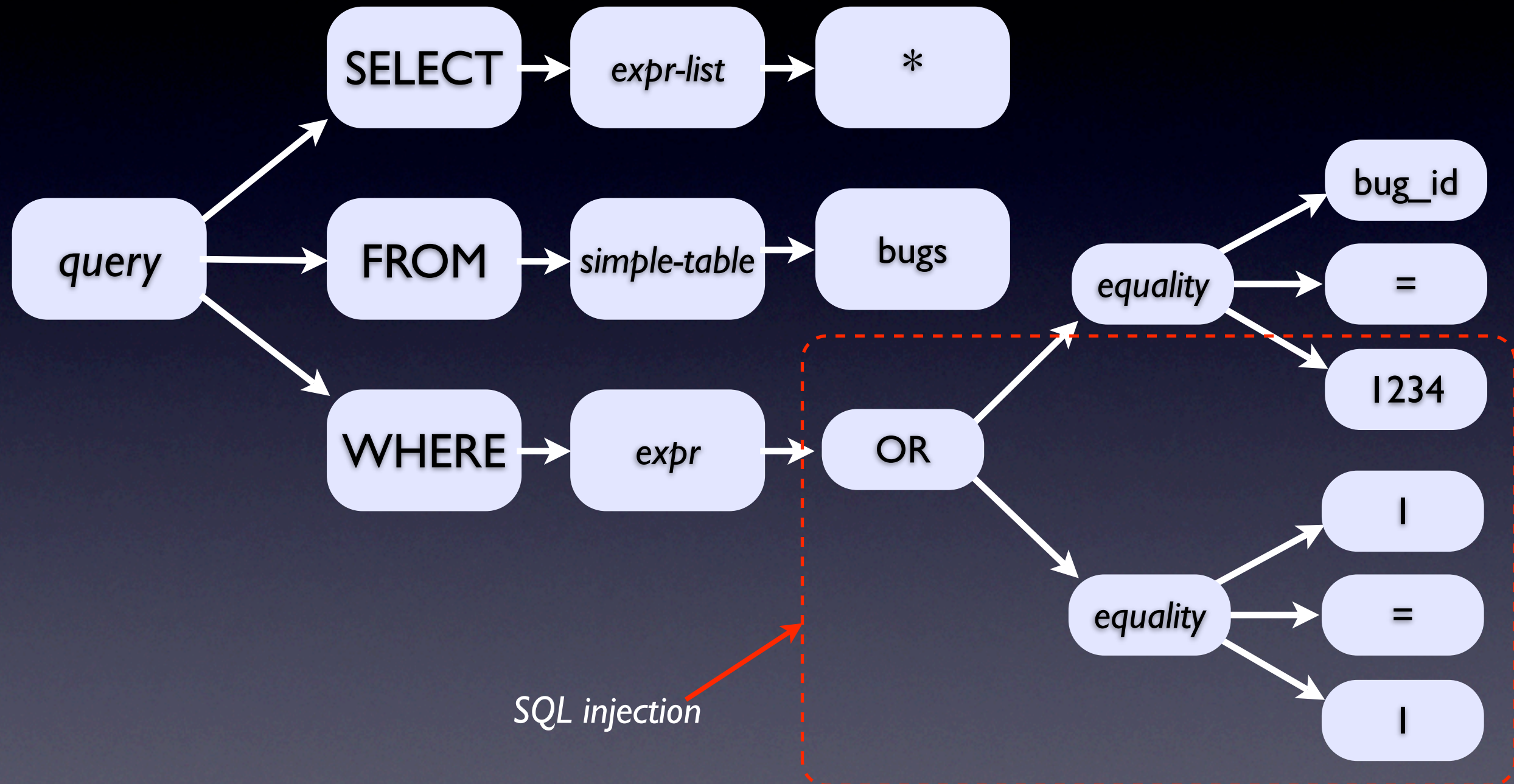


# Parameter Facade





# Parameter Facade





# Parameter Facade

- The Bottom Line:
  - Interpolation may change the shape of the tree
  - Parameters cannot change the tree
  - Parameter nodes may only be values




# Parameter Facade

- Example: IN predicate


```
SELECT * FROM bugs  
WHERE bug_id IN ( ? );
```

*may supply  
only one value*



```
SELECT * FROM bugs  
WHERE bug_id IN ( ?, ?, ?, ? );
```

*must supply  
exactly four values*





# Parameter Facade

Scenario	Value	Interpolation	Parameter
<i>single value</i>	'1234'	SELECT * FROM bugs WHERE bug_id = <b>\$id</b> ;	SELECT * FROM bugs WHERE bug_id = <b>?</b> ;
<i>multiple values</i>	'1234, 3456, 5678'	SELECT * FROM bugs WHERE bug_id IN ( <b>\$list</b> );	SELECT * FROM bugs WHERE bug_id IN ( <b>?, ?, ?</b> );
<i>column name</i>	'bug_id'	SELECT * FROM bugs WHERE <b>\$column</b> = 1234;	NO
<i>table name</i>	'bugs'	SELECT * FROM <b>\$table</b> WHERE bug_id = 1234;	NO
<i>other syntax</i>	'bug_id = 1234'	SELECT * FROM bugs WHERE <b>\$expr</b> ;	NO



# Parameter Facade

- **Solution:**
  - Use parameters only for individual values
  - Use interpolation for dynamic SQL syntax
  - Be careful to prevent SQL injection



# Phantom Side Effects

*Every program attempts to expand until it can read mail.*  
— Jamie Zawinsky



# Phantom Side Effects

- **Objective:** execute application tasks with database operations

INSERT INTO Bugs ...

...and send email to notify me



# Phantom Side Effects

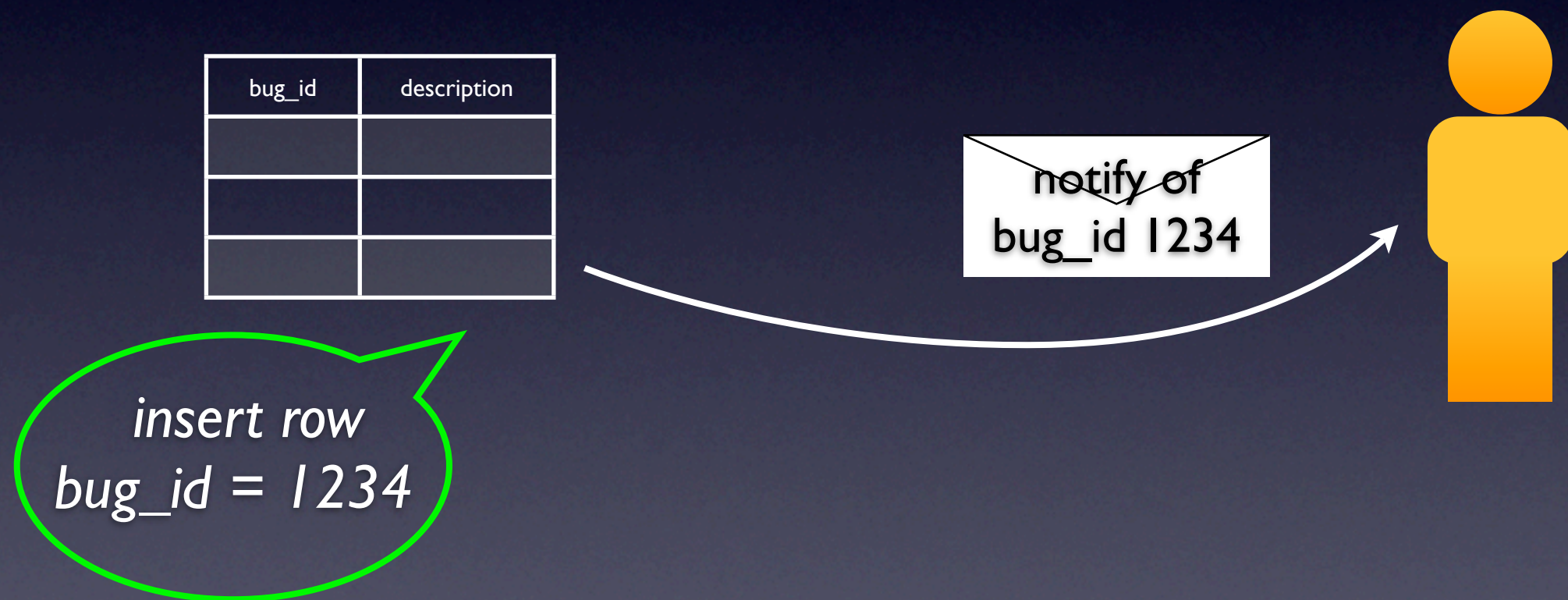
- **Antipattern:** execute external effects in database triggers, stored procedures, and functions



# Phantom Side Effects

- External effects don't obey ROLLBACK

I. Start transaction and INSERT

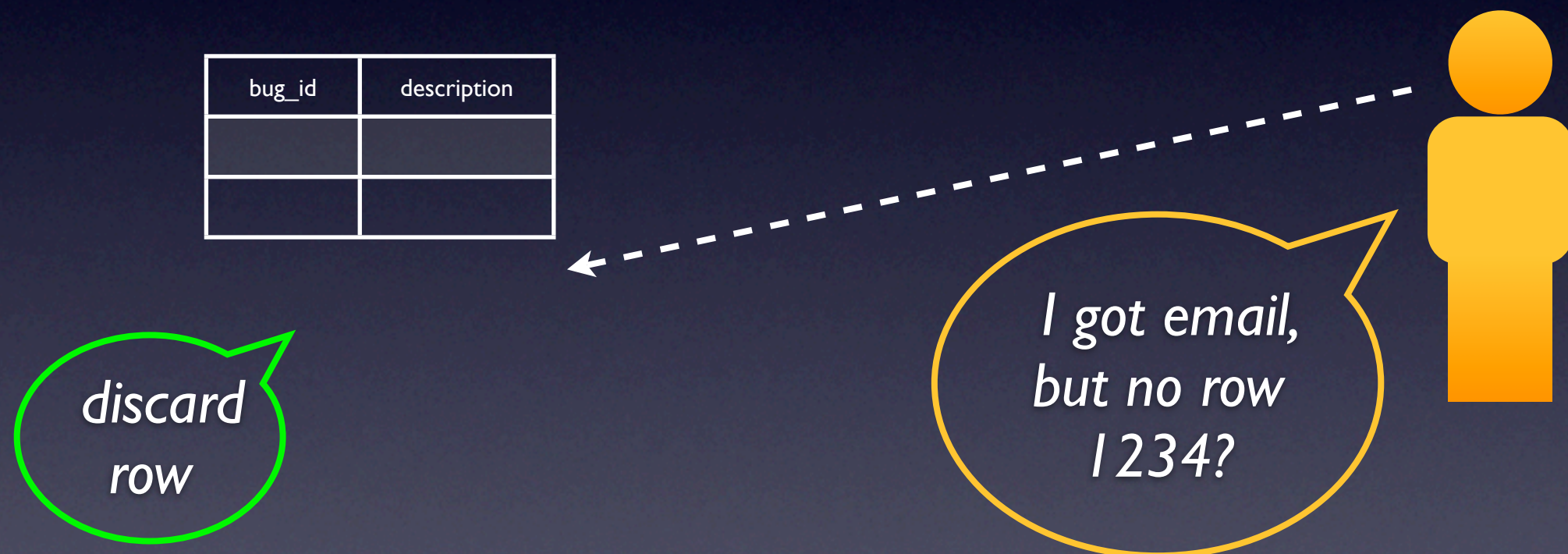




# Phantom Side Effects

- External effects don't obey ROLLBACK

## 2. ROLLBACK





# Phantom Side Effects

- External effects don't obey transaction isolation

## I. Start transaction and INSERT

bug_id	description

*insert row  
bug\_id = 1234*

notify of  
bug\_id 1234

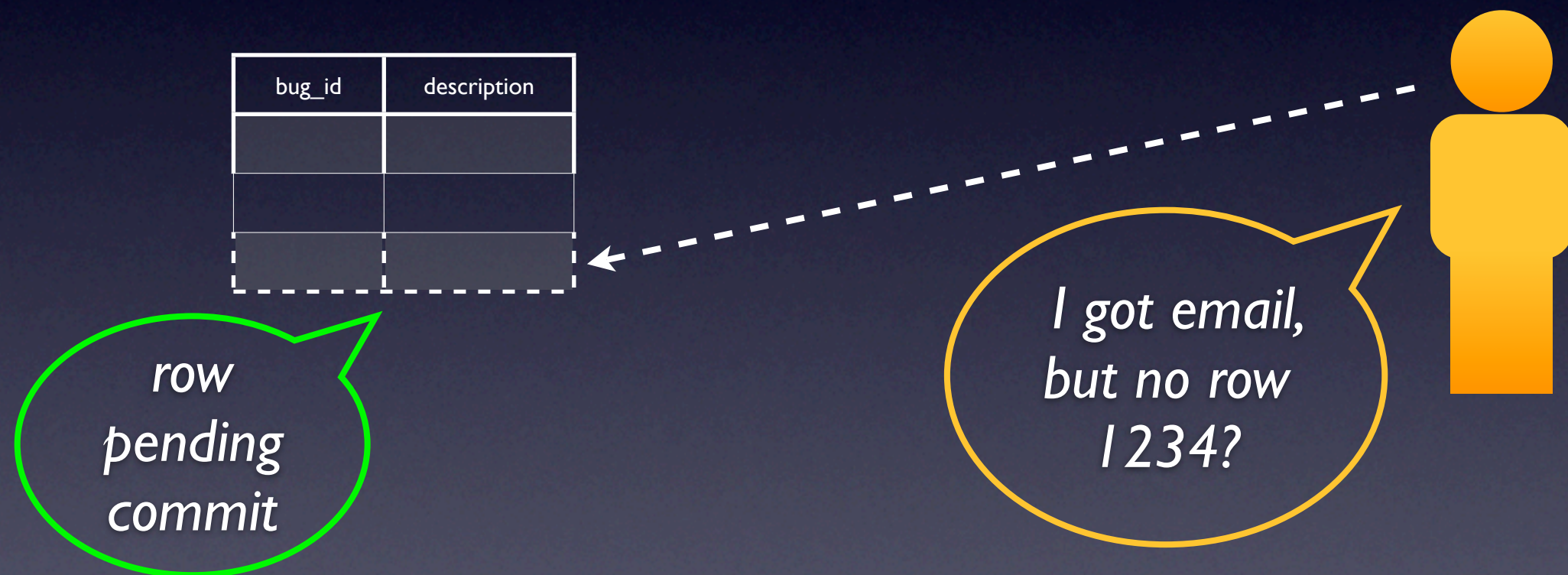




# Phantom Side Effects

- External effects don't obey transaction isolation

2. Email is received before row is visible





# Phantom Side Effects

- External effects run as database server user

- Possible security risk

```
SELECT * FROM bugs  
WHERE bug_id = 1234
```

*OR send\_email('Buy cheap Rolex watch!');*

SQL injection



- Auditing/logging confusion



# Phantom Side Effects

- Functions may crash

```
SELECT pk_encrypt(description,  
    '/nonexistant/private.ppk')  
FROM Bugs  
WHERE bug_id = 1234;
```

*missing file  
causes fatal error*





# Phantom Side Effects

- Long-running functions delay query
  - Accessing remote resources
  - Unbounded execution time

```
SELECT libcurl_post(description,  
    'http://myblog.org/...')  
FROM Bugs  
WHERE bug_id = 1234;
```

*unresponsive  
website*





# Phantom Side Effects

- **Solution:**
  - Operate only on database in triggers, stored procedures, database functions
  - Wait for transaction to commit
  - Perform external actions in application code



# See No Evil

*Everyone knows that debugging is twice as hard as writing a program in the first place. So if you're as clever as you can be when you write it, how will you ever debug it?*  
— Brian Kernighan



# See No Evil

- **Objective:** Debug errors in queries.



# See No Evil

- **Antipatterns:**
  - Ignore errors in return status or exceptions.
  - Troubleshoot code that builds queries.



# See No Evil

- Ignoring errors in return status:

```
$sql = "SELECT * FROM Bugs";
```

```
$result = $mysqli->query( $sql );
```

```
$rows = $result->fetch_all();
```





# See No Evil

- Ignoring errors in return status:

```
$sql = "SELECT * FROM Bugz";
```

*returns FALSE*

```
$result = $mysqli->query( $sql );
```

```
$rows = $result->fetch_all();
```



FAIL



# See No Evil

- Ignoring exceptions:

```
$sql = "SELECT * FROM Bugz";
```

```
$stmt = $pdo->query( $sql );
```

```
$rows = $stmt->fetchAll();
```

 *throws PDOException*

NOT  
REACHED



# See No Evil

- **Solution:** check for error status.

```
$sql = "SELECT * FROM Bugz";
```

```
$result = $mysqli->query( $sql );
```

```
if ( $result === FALSE ) {  
    log( $mysqli->error() );  
    return FALSE;  
}
```

```
$rows = $result->fetchAll();
```

*don't let it go this far!*





# See No Evil

- **Solution:** handle exceptions.

```
$sql = "SELECT * FROM Bugz";
```

```
try {  
    $stmt = $pdo->query( $sql );  
} catch (PDOException $e) {  
    log($stmt->errorInfo());  
    return FALSE;  
}
```

```
$rows = $stmt->fetchAll();
```

*don't let it go this far!*






# See No Evil

- Troubleshooting code:

```
$sql = 'SELECT * FROM Bugs  
WHERE summary LIKE \'%'  
    . $mysqli->quote( $feature )  
    . ' doesn\'t work 50\% of the time!%\'';
```

*who wants to  
read this!?*



```
$result = $mysqli->query( $sql );
```

```
$rows = $result->fetchAll();
```



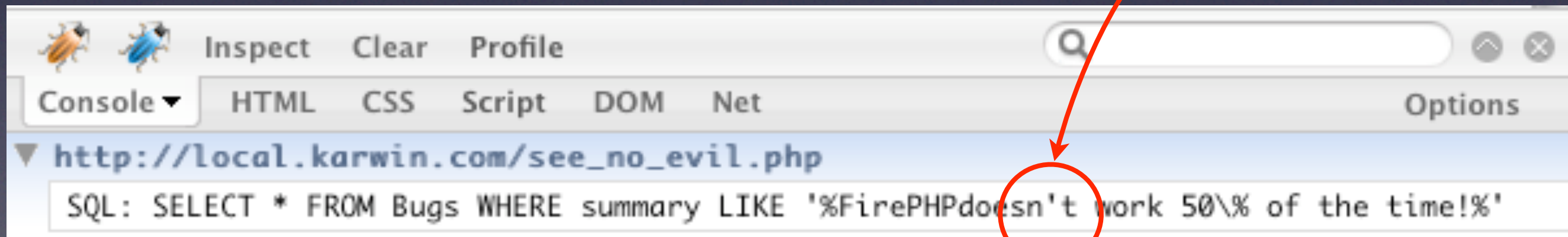
# See No Evil

- **Solution:** Look at the SQL, not the code!

```
$sql = 'SELECT * FROM Bugs  
WHERE summary LIKE \''  
    . $mysqli->quote( $feature )  
    . ' doesn\'t work 50\% of the time!%\'';
```

```
$firephp = FirePHP::getInstance(true);  
$firephp->log( $sql, 'SQL' );
```

*the error  
is now clear!*





# Diplomatic Immunity

*Humans are allergic to change. They love to say,  
“We’ve always done it this way.” I try to fight that.  
— Adm. Grace Murray Hopper*



# Diplomatic Immunity

- **Objective:** Employ software development “best practices.”



# Diplomatic Immunity

- **Antipattern:** Belief that database development is “different” — software development best practices don’t apply.



# Diplomatic Immunity

- **Solution:** Employ best practices, just like in conventional application coding.
  - Functional testing
  - Documentation
  - Source code control



# Diplomatic Immunity

- Functional testing

**Tables, Views,  
Columns**

Constraints

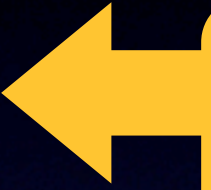
Triggers

Stored Procedures

Bootstrap Data

Queries

ORM Classes

- 
- Verify presence of tables and views.
  - Verify they contain columns you expect.
  - Verify absence of tables, views, or columns that you dropped.



# Diplomatic Immunity

- Functional testing

Tables, Views,  
Columns

**Constraints**

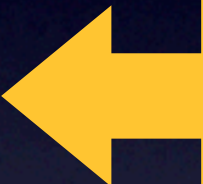
Triggers

Stored Procedures

Bootstrap Data

Queries

ORM Classes

- 
- Try to execute updates that ought to be denied by constraints.
  - You can catch bugs earlier by identifying constraints that are failing.



# Diplomatic Immunity

- Functional testing

Tables, Views,  
Columns

Constraints

**Triggers**

Stored Procedures

Bootstrap Data

Queries

ORM Classes



- Triggers can enforce constraints too.
- Triggers can perform cascading effects, transform values, log changes, etc.
- You should test these scenarios.



# Diplomatic Immunity

- Functional testing

Tables, Views,  
Columns

Constraints

Triggers

**Stored Procedure**

Bootstrap Data

Queries

ORM Classes

- Code is more easily developed, debugged, and maintained in the application layer.
- Nevertheless, stored procedures are useful, e.g. solving tough bottlenecks.
- You should test stored procedure code.



# Diplomatic Immunity

- Functional testing

Tables, Views,  
Columns

Constraints

Triggers

Stored Procedures

**Bootstrap Data**

Queries

ORM Classes

- Lookup tables need to be filled, even in an “empty” database.
- Test that the required data are present.
- Other cases exist for initial data.





# Diplomatic Immunity

- Functional testing

Tables, Views,  
Columns

Constraints

Triggers

Stored Procedures

Bootstrap Data

**Queries**

ORM Classes

- Application code is laced with SQL queries.
- Test queries for result set metadata, e.g. columns, data types.
- Test performance; good queries can become bottlenecks, as data and indexes grow.





# Diplomatic Immunity

- Functional testing

Tables, Views,  
Columns

Constraints

Triggers

Stored Procedures

Bootstrap Data

Queries

**ORM Classes**

- Like Triggers, ORM classes contain logic:
  - Validation.
  - Transformation.
  - Monitoring.
- You should test these classes as you would any other code.





# Diplomatic Immunity

- Documentation

## Entity Relationship- ship Diagram

Tables, Columns

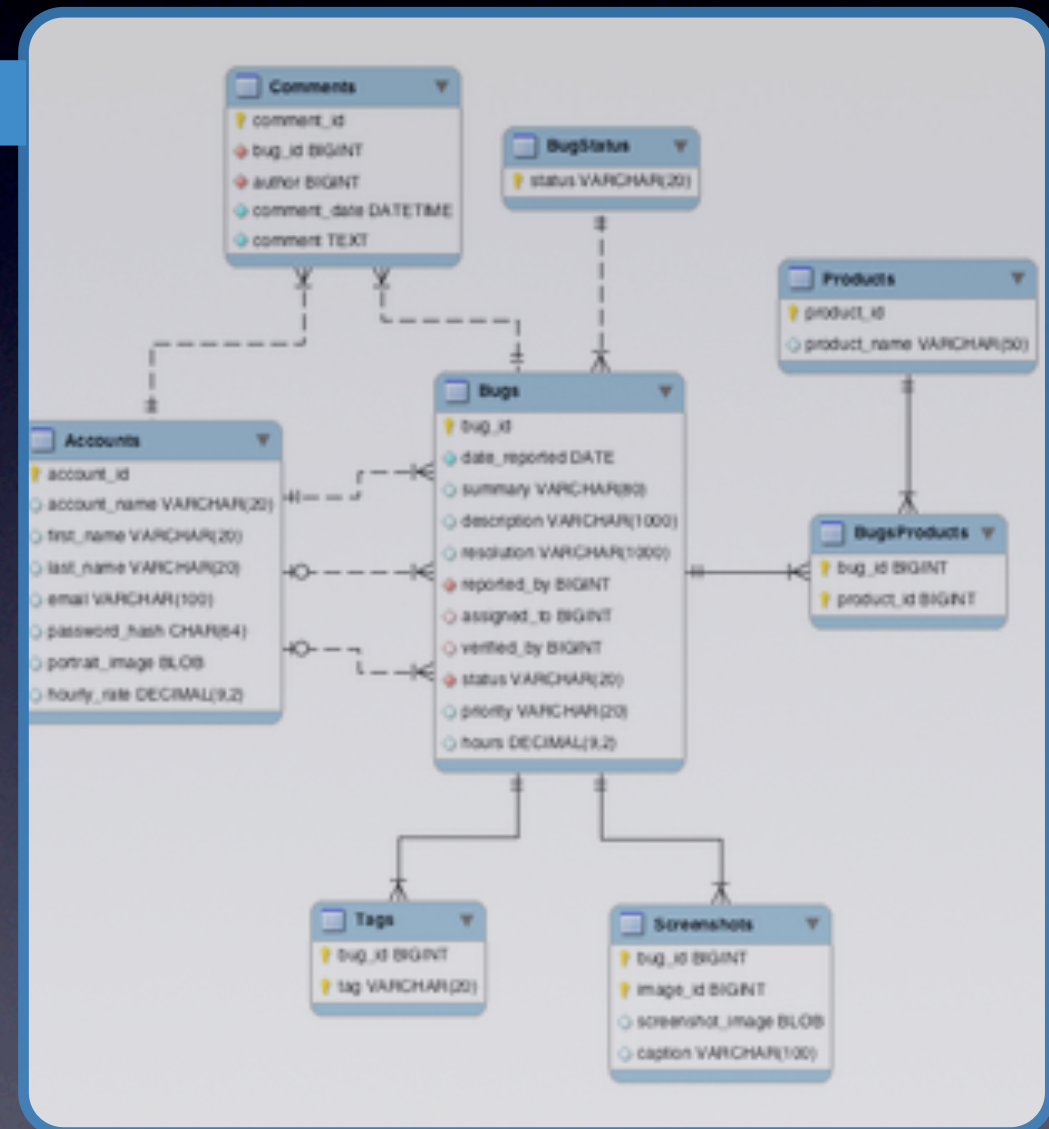
Relationships

Views, Triggers

Stored Procedures

SQL Privileges

Application Code





# Diplomatic Immunity

- Documentation

Entity-Relationship  
Diagram

**Tables, Columns**

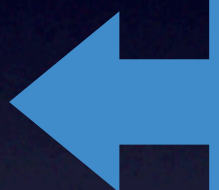
Relationships

Views, Triggers

Stored Procedures

SQL Privileges

Application Code

- 
- Purpose of each table, each column.
  - Constraints, rules that apply to each.
  - Sample data.
  - List the Views, Triggers, Procs, Applications, and Users that use each.



# Diplomatic Immunity

- Documentation

Entity-Relationship  
Diagram

Tables, Columns


**Relationships**

Views, Triggers

Stored Procedures

SQL Privileges

Application Code

- 
- Describe in text the dependencies between tables.
  - Business rules aren't represented fully by declarative constraints.



# Diplomatic Immunity

- Documentation

Entity-Relationship  
Diagram

Tables, Columns

Relationships

**Views, Triggers**

Stored Procedures

SQL Privileges

Application Code



- Purpose of Views;  
who uses them.
- Usage of updatable  
Views.
- Business rules enforced  
by Triggers:
  - Validation
  - Transformation
  - Logging



# Diplomatic Immunity

- Documentation

Entity-Relationship  
Diagram

Tables, Columns

Relationships

Views, Triggers

**Stored Procedure**

SQL Privileges

Application Code

- Document the Stored Procedures as an API.
- Especially side-effects.
- What problem is the procedure solving?
  - Encapsulation
  - Performance
  - Privileged access





# Diplomatic Immunity

- Documentation

Entity-Relationship  
Diagram

Tables, Columns

Relationships

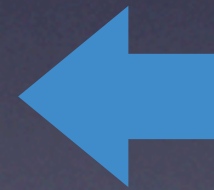
Views, Triggers

Stored Procedures

**SQL Privileges**

Application Code

- Logins with specific access purposes (e.g. backup, reports).
- Sets of privileges (roles) used for different scenarios.
- Security measures.





# Diplomatic Immunity

- Documentation

Entity-Relationship  
Diagram

Tables, Columns

Relationships

Views, Triggers

Stored Procedures

SQL Privileges

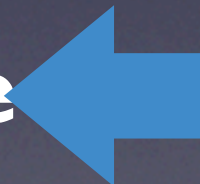
**Application Code**

- Data Access Layer:

- Connection params.
- Client options.
- Driver versions.

- Object-Relational Mapping (ORM):

- Validations, Logging, Transformations.
- Special find() methods.





# Diplomatic Immunity

- Source code control
  - Keep database in synch with application code.
  - Commit portable “.SQL” files, not binaries.
  - Create a separate database instance for each working set (each branch or revision you test).
  - Also commit bootstrap data and test data to source control.



# Diplomatic Immunity

- Source code control: “Migrations.”
  - Migrations are like version-control for the database instance.
  - Incremental scripts for each milestone.
  - “Upgrade” script to apply new changes (e.g. CREATE new tables).
  - “Downgrade” script to revert changes (e.g. DROP new tables).
  - Database instance includes a “revision” table.



# Magic Beans

*Essentially, all models are wrong, but some are useful.*  
— George E. P. Box



# Magic Beans

- **Objective:** simplify application development using Object-Relational Mapping (ORM) technology.



# Magic Beans

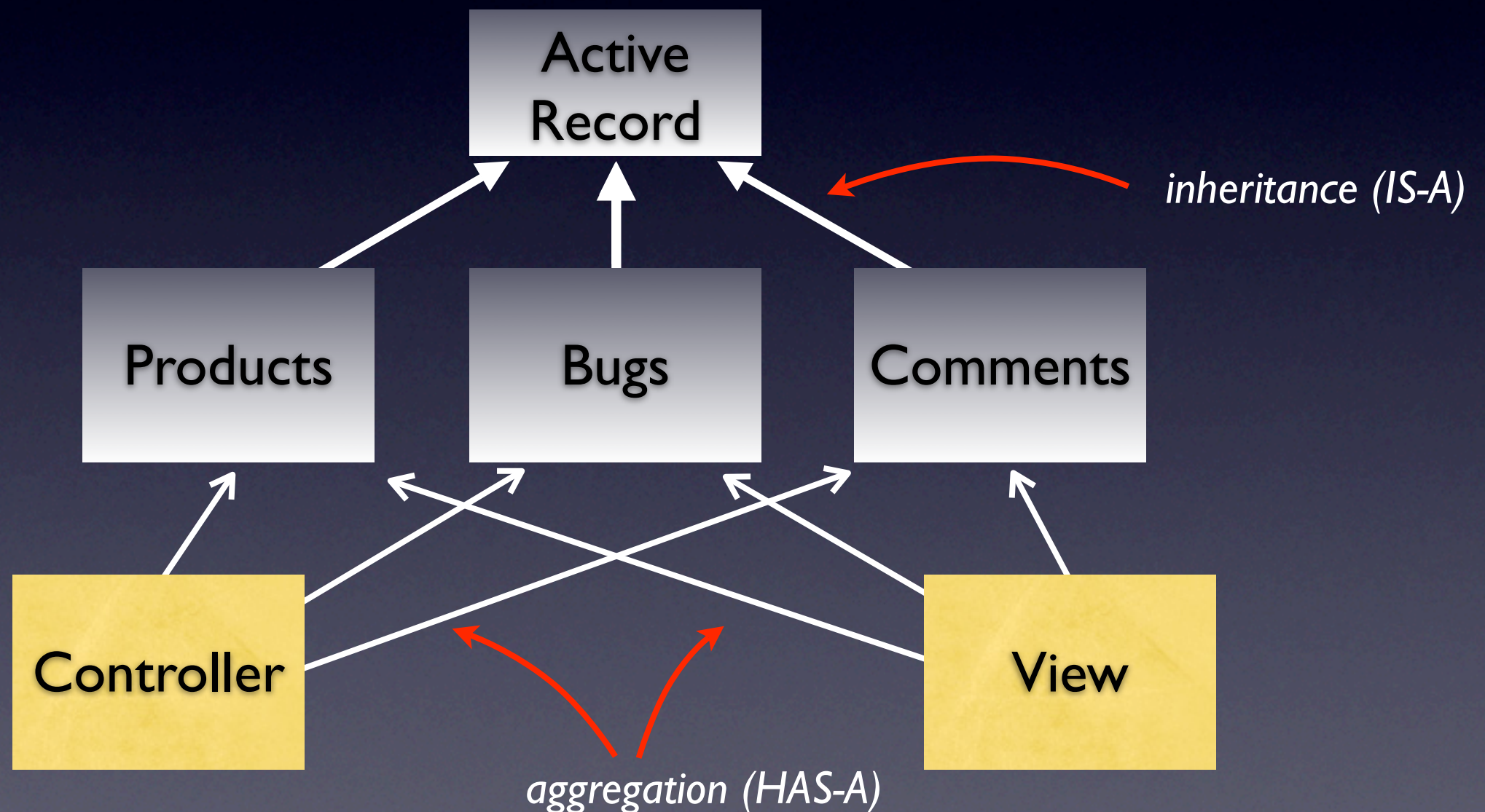
- **Antipattern:** equating “Model” in MVC architecture with the Active Record pattern.
  - The *Golden Hammer* of data access.
  - “Model” used inaccurately in MVC frameworks:





# Magic Beans

- **Antipattern:** Model *is-a* Active Record.







# Magic Beans

- Bad object-oriented design:
  - “Model” → Active Record *inheritance (IS-A)*
  - Models tied to database structure. *inappropriate coupling*
  - Can a Product associate to a Bug, or does a Bug associate to a Product? *unclear assignment of responsibilities*
  - Models expose general-purpose Active Record interface, not model-specific interface. *poor encapsulation*

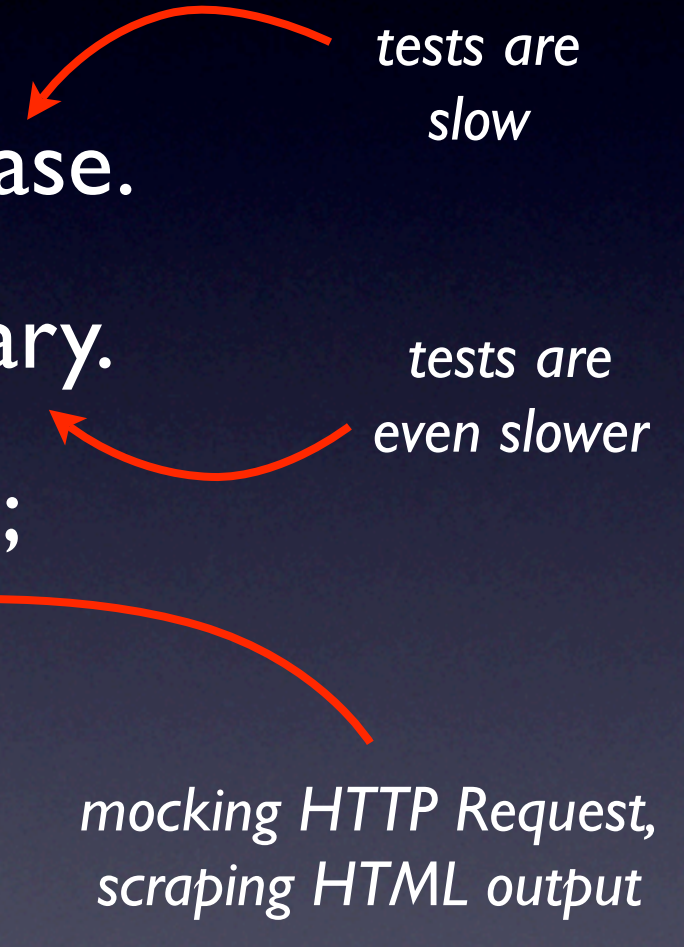


# Magic Beans

- Bad Model-View-Controller design “T.M.I.” !!
  - Controller needs to know database structure. 
  - Database changes cause code changes.  not “DRY”
  - “*Anemic Domain Model*,” contrary to OO design.  
<http://www.martinfowler.com/bliki/AnemicDomainModel.html>
  - Pushing Domain-layer code into Application-layer,  
contrary to Domain-Driven Design.  
<http://domaindrivendesign.org/>



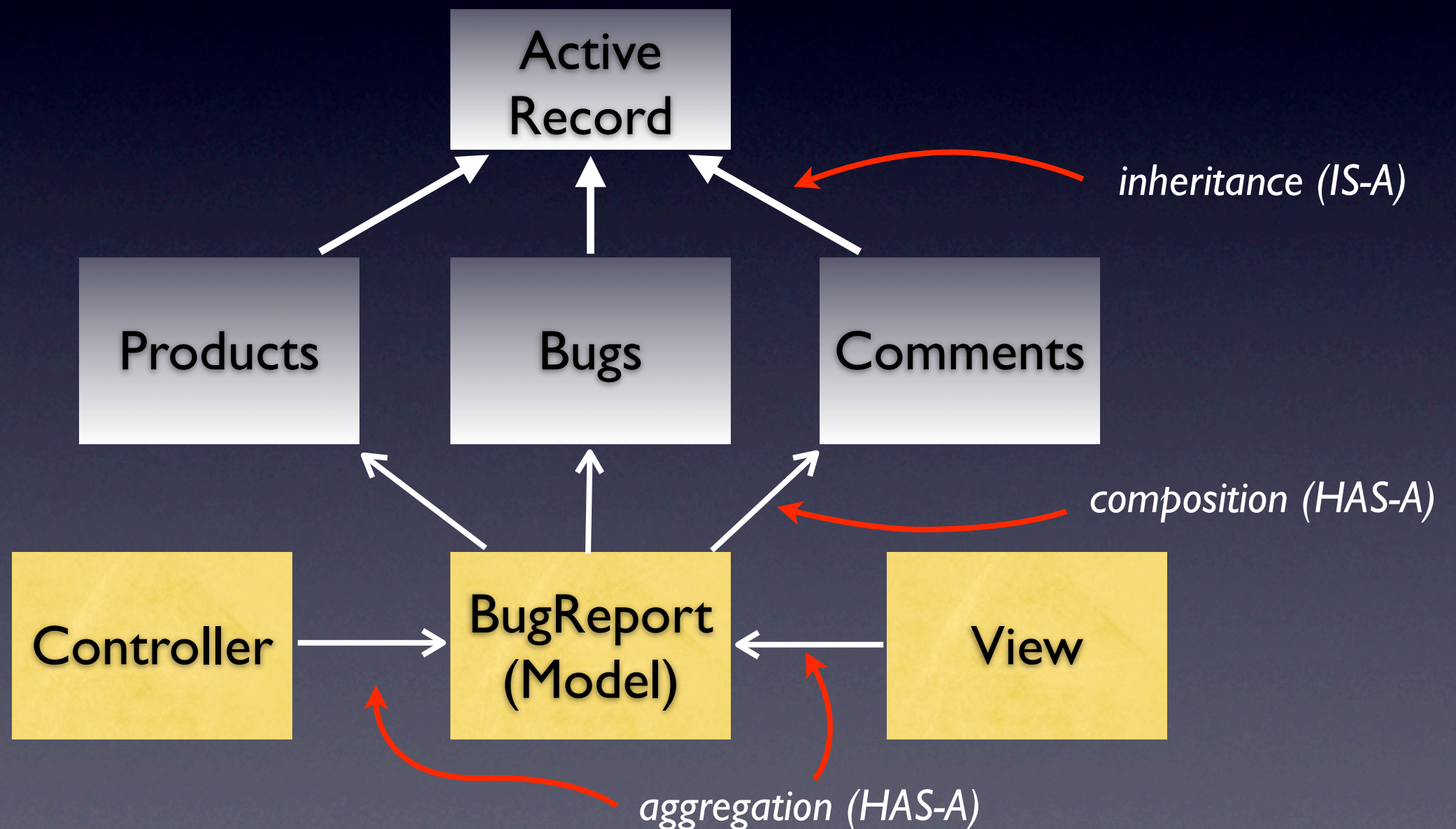
# Magic Beans

- Bad testability design
    - Model coupled to Active Record; harder to test Model without database. *tests are slow*
    - Database “fixtures” become necessary. *tests are even slower*
    - Business logic pushed to Controller; harder to test Controller code. *mocking HTTP Request, scraping HTML output*
- 
- ```
graph TD; A[Model coupled to Active Record; harder to test Model without database.] --> B[Database "fixtures" become necessary.]; B --> C[Business logic pushed to Controller; harder to test Controller code.];
```



# Magic Beans

- **Solution:** Model *has-a* Active Record(s).





# Magic Beans

- **Solution:** Model *has-a* Active Record(s).
  - Models expose only domain-specific interface.
  - Models encapsulate complex business logic.
  - Models abstract the persistence implementation.
  - Controllers and Views are unaware of database.



# Magic Beans

- **Solution:** Model *has-a* Active Record(s).
  - Models are decoupled from Active Record.
    - Supports mock objects.
    - Supports dependency injection.
  - Unit-testing Models in isolation is easier & faster.
  - Unit-testing thinner Controllers is easier.



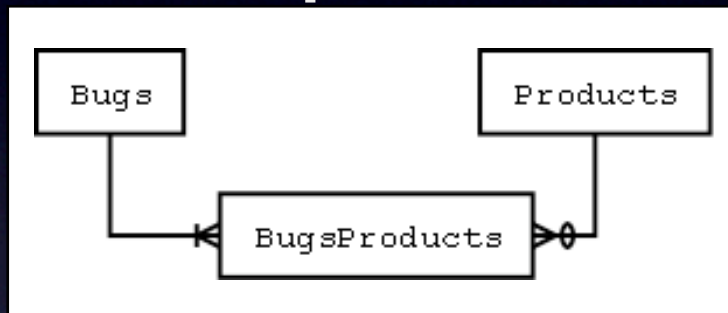
# Magic Beans

- **Solution:** Model *has-a* Active Record(s).
  - It's possible to follow this design, even in MVC frameworks that assume that Model *is-a* Active Record.



# Antipattern Categories

## Database Design Antipatterns



## Database Creation Antipatterns

```
CREATE TABLE BugsProducts (
  bug_id INTEGER REFERENCES Bugs,
  product VARCHAR(100) REFERENCES Products,
  PRIMARY KEY (bug_id, product)
);
```

## Query Antipatterns

```
SELECT b.product, COUNT(*)
FROM BugsProducts AS b
GROUP BY b.product;
```

## Application Antipatterns

```
$dbHandle = new PDO('mysql:dbname=test');
$stmt = $dbHandle->prepare($sql);
$result = $stmt->fetchAll();
```



# Thank You

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