



# Chapter 9

## Lists

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## 1. A List Is a Sequence

- A **list** is a sequence of values. In a string, the values are characters; in a list, they can be any type. The values in a list are called **elements**.
- There are several ways to create a new list; the simplest is to enclose the elements in square brackets ([ and ]).

```
1 numbers = [42, 321]      # a list of two integers
2
3 cheeses = ['Cheddar', 'Edam', 'Gouda']    # a list of three strings
4
5 empty = []               # an empty list
```

- The elements of a list don't have to be the same type.

```
1 # a list contains a string, a float, an integer, and another list
2 t = ['spam', 2.0, 5, [10, 20]]
```



## 1. A List Is a Sequence

- The **len** function returns the length of a list:

```
1 cheeses = ['Cheddar', 'Edam', 'Gouda']    # a list of three strings
2 len(cheeses)
```

3

```
1 empty = []    # an empty list
2 len(empty)
```

0

# 1. A List Is a Sequence

- The following figure shows the state diagram for cheeses, numbers, and empty:





## 2. Lists Are Mutable

- To read an element of a list, we can use the bracket operator. The index of the first element is 0:

```
1 cheeses = ['Cheddar', 'Edam', 'Gouda']    # a list of three strings
2
3 cheeses[0]
```

'Cheddar'

- Unlike strings, lists are **mutable**.

```
1 numbers = [42, 321]    # a list of two integers
2
3 numbers[1] = 17
4 numbers
```

[42, 17]



## 2. Lists Are Mutable

- List indices work the same way as string indices:
  - Any integer expression can be used as an index.
  - If you try to read or write an element that does not exist, you get an **IndexError**.
  - If an index has a negative value, it counts backward from the end of the list.
    - The index -1 selects the last element, -2 selects the second to last, and so on.
- The **in** operator works on lists—it checks whether a given element appears anywhere in the list:

```
1 cheeses = ['Cheddar', 'Edam', 'Gouda']    # a list of three strings
2
3 'Edam' in cheeses
```

```
True
```



### 3. List Slices

- The slice operator works on lists the same way it works on strings.
- The operator  $[n:m:k]$  returns the part of the list from the  $n^{\text{th}}$  element to the  $(m - 1)^{\text{th}}$  element (inclusive). The number  $k$  specifies the step of the slicing (default value of  $k$  is 1)
  - If you omit the first index, the slice starts at the beginning of the list. If you omit the second index, the slice goes to the end of the list.

```
1 letters = ['a', 'b', 'c', 'd']  
2 letters[0:4:2]
```

```
['a', 'c']
```

```
1 letters = ['a', 'b', 'c', 'd']  
2 letters[:3]
```

```
['a', 'b', 'c']
```

### 3. List Slices

- So if you omit both, the slice is a copy of the whole list:

```
1 letters = ['a', 'b', 'c', 'd']  
2 letters[:]
```

```
['a', 'b', 'c', 'd']
```

- Another way to copy a list is to use the **list** function:

```
1 letters = ['a', 'b', 'c', 'd']  
2 list(letters)
```

```
['a', 'b', 'c', 'd']
```

## 4. List Operations

- The + operator concatenates lists:

```
1 t1 = [1, 2]
2 t2 = [3, 4]
3
4 t1 + t2
```

```
[1, 2, 3, 4]
```

- The \* operator repeats a list a given number of times:

```
1 ['spam', 'hello'] * 4
```

```
['spam', 'hello', 'spam', 'hello', 'spam', 'hello', 'spam', 'hello']
```

## 4. List Operations

- The built-in function **sum** adds up the elements:

```
1 t1 = [1, 2]
2
3 sum(t1)
```

3

- min** and **max** find the smallest and largest elements:

```
1 t1 = [1, 2]
2
3 min(t1)
```

1

```
1 t1 = [1, 2]
2
3 max(t1)
```

2



## 5. List Methods

- Python provides methods that operate on lists.
- **append** adds a new element to the end of a list:

```
1 letters = ['a', 'b', 'c', 'd']  
2  
3 letters.append('e')  
4 letters
```

```
['a', 'b', 'c', 'd', 'e']
```

- **extend** takes a list as an argument and appends all of the elements:

```
1 letters = ['a', 'b', 'c', 'd']  
2  
3 letters.extend(['f', 'g'])  
4 letters
```

```
['a', 'b', 'c', 'd', 'f', 'g']
```

## 5. List Methods

- There are two methods that remove elements from a list. If you know the index of the element you want, you can use **pop**:

```
1 t = ['a', 'b', 'c']  
2 t.pop(1)
```

'b'

```
1 t
```

['a', 'c']



## 5. List Methods

- If you know the element you want to remove (but not the index), you can use **remove**:

```
1 t = ['a', 'b', 'c']  
2 t.remove('b')
```

```
1 t
```

```
['a', 'c']
```

## 5. List Methods

- If the element you ask for is not in the list, that's a **ValueError**:

```
1 t = ['a', 'b', 'c']  
2 t.remove('d')
```

```
-----  
ValueError                                Traceback (most recent call last)  
Cell In[26], line 2  
      1 t = ['a', 'b', 'c']  
----> 2 t.remove('d')  
  
ValueError: list.remove(x): x not in list
```





## 6. List and Strings

- A string is a sequence of characters and a list is a sequence of values, but a list of characters is not the same as a string.
- To convert from a string to a list of characters, you can use the **list** function:

```
1 s = 'spam'
2 t = list(s)
3 t
```

```
['s', 'p', 'a', 'm']
```



## 6. List and Strings

- The list function breaks a string into individual letters. If you want to break a string into words, you can use the **split** method:

```
1 s = 'pining for the fjords'  
2 t = s.split()  
3 t
```

```
['pining', 'for', 'the', 'fjords']
```



## 6. List and Strings

- The **split** method has an optional argument called a **delimiter** specifies which characters to use as word boundaries. The following example uses a hyphen as a delimiter:

```
1 s = 'ex-parrot'
2 t = s.split('-')
3 t
```

```
['ex', 'parrot']
```



## 6. List and Strings

- If you have a **list of strings**, you can concatenate them into a single string using **join**.
  - **join** is a **string** method, so you have to invoke it on the delimiter and pass the list as an argument:

```
1 delimiter = ' '  
2 t = ['pinning', 'for', 'the', 'fjords']  
3 s = delimiter.join(t)  
4 s
```

```
'pinning for the fjords'
```

- In this case the delimiter is a space character, so **join** puts a space between words. To join strings without spaces, you can use the empty string, "", as a delimiter.

## 7. Looping Through a List

- You can use a **for** statement to loop through the elements of a list:

```
1 cheeses = ['Cheddar', 'Edam', 'Gouda']  
2  
3 for ch in cheeses:  
4     print(ch)
```

Cheddar  
Edam  
Gouda

```
1 s = 'pining for the fjords'  
2 for word in s.split():  
3     print(word)
```

pining  
for  
the  
fjords

## 8. Sorting Lists

- Python provides a built-in function called **sorted** that sorts the elements of a list:
  - The sorted function creates a new list of sorted elements. The original list is unchanged.

```
1 scramble = ['c', 'a', 'b']  
2 sorted(scramble)
```

```
['a', 'b', 'c']
```

```
1 scramble = [1, 3, 2, 6, 4, 5]  
2 sorted(scramble)
```

```
[1, 2, 3, 4, 5, 6]
```



## 8. Sorting Lists

- The **sorted** function works with any kind of sequence, not just lists. So we can sort the letters in a string like this:

```
1 sorted('letters')
```

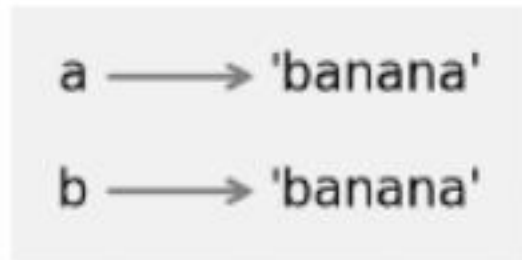
```
['e', 'e', 'l', 'r', 's', 't', 't']
```

## 9. Objects and Values

- In the following assignment statements, do **a** and **b** refer to the *same* string?

```
1 a = 'banana'
2 b = 'banana'
```

- There are two possible states, shown in the following figure:



- In the diagram on the left, **a** and **b** refer to two different objects that have the same value. In the diagram on the right, they refer to the same object.



## 9. Objects and Values

- To check whether two variables refer to the same object, you can use the **is** operator.

```
1 a = 'banana'
2 b = 'banana'
3 a is b
```

True

- In this example, Python only created one string object, and both **a** and **b** refer to it.

## 9. Objects and Values

- But when you create two lists, you get two objects:

```
1 a = [1, 2, 3]
2 b = [1, 2, 3]
3 a is b
```

False

- So the state diagram looks like this:

```
a → [1, 2, 3]
b → [1, 2, 3]
```

- In this case we would say that the two lists are **equivalent** because they have the same elements, but are not **identical** because they are not the same object.
- If two objects are identical, they are also equivalent, but if they are equivalent, they are not necessarily identical.

## 10. Aliasing

- If **a** refers to an object and you assign **b = a**, then both variables refer to the same object:

```
1 a = [1, 2, 3]
2 b = a
3 b is a
```

True

- So the state diagram looks like this:



- The association of a variable with an object is called a **reference**. In this example, there are two references to the same object.

## 10. Aliasing

- An object with more than one reference has more than one name, so we say the object is **aliased**.
- If the aliased object is **mutable**, changes made with one name affect the other.
- In this example, if we change the object **b** refers to, we are also changing the object **a** refers to (they refer to the same object):

```
1 a = [1, 2, 3]
2 b = a
3
4 b[0] = 5
5 a
```

[5, 2, 3]

- So we would say that **a** “sees” this change. Although this behavior can be useful, it is error prone.
- In general, it is safer to avoid aliasing when you are working with **mutable** objects.

# 11. List Arguments

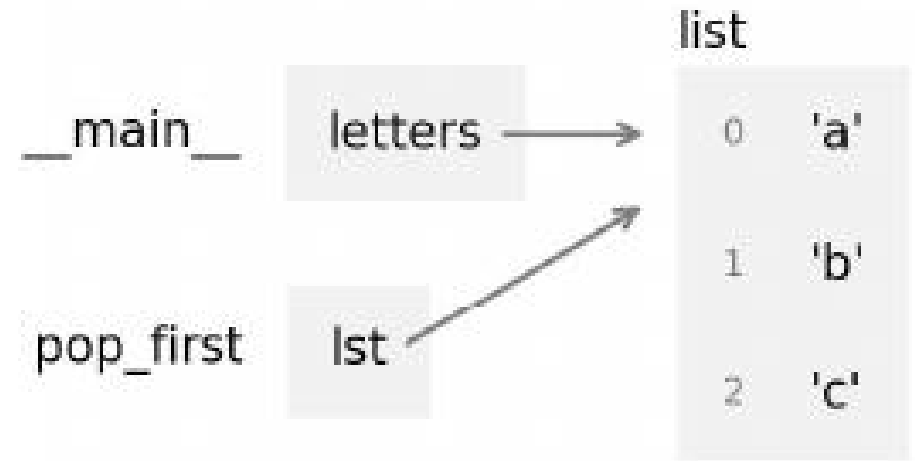
- When we pass a list to a function, the function gets a reference to the list. If the function modifies the list, the caller sees the change.

```
1 def pop_first(lst):  
2     return lst.pop(0)  
3  
4 letters = ['a', 'b', 'c']  
5 pop_first(letters)
```

'a'

```
1 letters
```

['b', 'c']



- In this example, the parameter **lst** and the variable **letters** are aliases for the same object, so the stack diagram looks like this.
- Passing a reference to an object as an argument to a function creates a form of aliasing. If the function modifies the object, those changes persist after the function is done.



## 12. Making a Word List

- In an application, we can read a file many times, which is not efficient. It is better to read the file once and put in a list.

```
1 word_list = []  
2  
3 for line in open('words.txt'):  
4     word = line.strip()  
5     word_list.append(word)  
6  
7 len(word_list)
```

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## 12. Making a Word List

- Another way to do the same thing is to use **read** to read the entire file into a string. We can use the split method to **split** it into a list of words.

```
1 string = open('words.txt').read()  
2  
3 word_list = string.split()  
4  
5 len(word_list)
```

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## 12. Making a Word List

- Now, to check whether a string appears in the list, we can use the `in` operator. For example, 'demotic' is in the list:

```
1 'demotic' in word_list
```

```
True
```