

Have any independent 3rd party security reviews been performed on the organisation? If so, who performed the review and when was it performed?

Yes, Blackberry Cylance as part of vulnerability and penetration testing for the NXG platform.

At what address are the systems located that will be supporting CNTW's services?

Services are hosted in Microsoft Azure based on the region selected e.g. UK.

Do you own/manage this environment? If not, please list who does.

FISCAL manages the provided SaaS, PaaS and IaaS solutions provided and maintained by Microsoft Azure.

Are you certified to any information security or quality standards e.g. ISO 27001, ISO 90001, Cyber Essentials/Cyber Essentials Plus – Please provide copies of all certification you have achieved. NB: The Trust will undertake an annual review of any accreditations provided.

ISO27001

Cyber Essentials

What is your ICO Registration number?

ZA115827

Are you registered in the Digital Marketplace through the UK Government Digital marketplace?

Yes

## Data Protection and Confidentiality

What information will the organisation be processing, handling or storing on behalf of CNTW? Does this include special category information (e.g. physical and mental health, race/ethnic origin etc.)?	<p>The purpose of this project is to implement FISCAL NXG Forensics Software Service. The software aims to improve the financial operational performance of the organisation by:</p> <ol style="list-style-type: none"><li>1. automatically and continuously analysing payments data (typically invoices and credit notes) prior to payment to determine the risk of error and/or fraud and for reporting compliance to payments processes;</li><li>2. (optionally) more efficiently supporting audit recovery projects of previously enacted payments; and</li></ol> <p>(optionally) automatically and continuously analysing the Master Supplier File for opportunities to reduce the risk of error and/or fraud caused by duplicated supplier records, supplier records that are not actively being used or changes.</p>
Who is the data controller for the information?	CNTW
If applicable, provide an approximation of the number of records processed or held over the lifetime of the contract.	This is fully dependent on the quantity of data NTW choose to present to the product
Where will the information be held? Is the information in electronic and/or paper format?	This is fully dependent on the quantity of data NTW choose to present to the product
Where will the information be accessed from/processed?	Electronic format. The NXG Forensics service is hosted on secure, highly available and resilient Tier 3 (or better) Microsoft Azure datacentres.

## Policy & Awareness

Do you have a formal information security policy? Yes

Is the policy formally approved by senior management and regularly reviewed? Yes

Who is responsible for monitoring compliance to the information security policy? Does this take place on an annual basis? This is the responsibility of the COO and is reviewed annually

How do you promote awareness of the policy for both staff and contractors (is formal training and sign off required)?

Formal training is required, the training includes an assessment that must be passed.

Do you ensure that specific security training is provided regularly (at least annually) to your to employees, contractors and temporary staff? Yes