



# Facial Recognition

[ *Computer Science 183 – Computer Vision* ]

Project Members: Haider Syed & Travis Peters  
Project Advisor: Lorenzo Torresani

# Project Review...



- Face Recognition Problem
- Testing with the *Faces in the Wild*<sup>1</sup> dataset
- Primary objectives - address general problems for face recognition:
  - Complex background
  - Varying subject pose
  - Varying image scale
  - Varying illumination conditions

---

<sup>1</sup> Labeled Faces in the Wild database: <http://vis-www.cs.umass.edu/lfw/>

# Proposed Approach...

- Gaussian Mixture Model
- GrabCut
- SIFT (descriptors only)
- kNN Classification





# Current Implementation...

- Gaussian Mixture Model (segmentation)

$$p(x|\lambda) = \sum_{i=1}^M w_i \cdot g(x|\mu_i, \Sigma_i) \quad g(x|\mu_i, \Sigma_i) = \frac{1}{(2\pi)^{\frac{d}{2}} (\det(\Sigma_i))^{\frac{1}{2}}} \times \exp\left(-\frac{1}{2}(x - \mu_i)^T \Sigma_i^{-1} (x - \mu_i)\right)$$

where

$$\lambda = \{w_i, \mu_i, \Sigma_i\} \quad i = 1, \dots, M$$

and

$$w_i = \frac{1}{T} \sum_{t=1}^T \Pr(i|x_t, \lambda) \quad \mu_i = \frac{\sum_{t=1}^T \Pr(i|x_t, \lambda) \cdot x_t}{\sum_{t=1}^T \Pr(i|x_t, \lambda)} \quad \Sigma_i = \left( \frac{\sum_{t=1}^T \Pr(i|x_t, \lambda) \cdot x_t^2}{\sum_{t=1}^T \Pr(i|x_t, \lambda)} - \mu_i^2 \right)$$

- Train skin model (skin/non-skin identification)
- Expectation-Maximization used to estimate model parameters
- Thresholding based on ratio of skin/non-skin probabilities
- Erode noise, fill “holes” in skin, face = largest contiguous region in image.

- SIFT <sup>1</sup> (descriptors)

- Using SIFT to extract features and create feature matrices for each image set

- kNN Classification

- Each set of N patient images are used to create a single patient feature set.
- Use kNN to match probe image to closest patient image set for classification.

---

<sup>1</sup> Currently using Andrea Vedaldi's implementation of SIFT from: <http://www.robots.ox.ac.uk/~vedaldi/code/sift.html>

# Testing





# Faces in the Wild Dataset

- 13,000+ images
- 1680 have 2 or more images
- We chose 100 image sets
  - 4 images per subject
  - minimal/no occlusions
  - pose variations roughly within 60 degrees
  - no faces within close proximity of primary subject



# SGM vs. GMM

- Performance results from a qualitative comparison of Single Gaussian Model vs. Gaussian Mixture Model in skin region detection...

Rates	Single Gaussian Model	Gaussian Mixture Model
Failures*	$29/400 = 7.25\%$	$57/400 = 14.25\%$
True-Positive	$297/371 = 80.05\%$	$274/343 = 79.88\%$
False-Positive	$74/371 = 19.95\%$	$69/343 = 20.12\%$

- \* Failure defined as an identified patch smaller than  $65 \times 65$
- Results suggest that the SGM and GMM are more comparable than we previously thought.
- Potential factors to “tune” may include:
  - Number of mixture components (clusters)
  - Size of training data for skin/non-skin models



# SGM vs. GMM Sample Results

Original



GMM Result



SGM Result



**Note:** we did not "expand" bounding box – in most cases SGM/GMM was very good at capturing hair as part of the region of interest

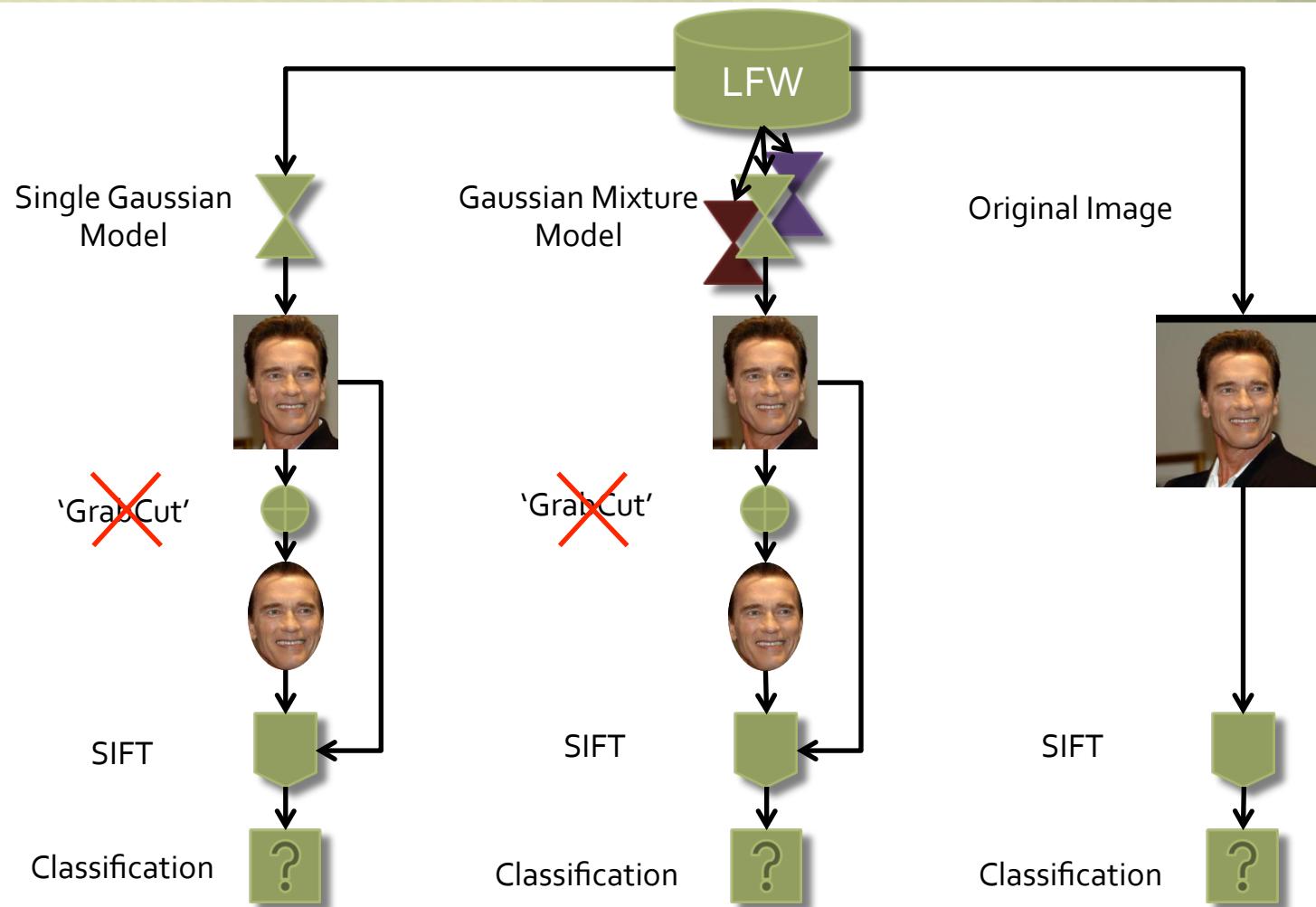


# SGM/GMM → GrabCut

- GrabCut *does* segment the face well given a bounding box, however...
  - If we use the image generated from GrabCut, features are created from “jagged” edges
  - If we use the segmented skin to produce a “refined” bounding box, this typically degraded the quality of the bounding box created by SGM/GMM.
- Therefore, we chose to not include images that were processed by GrabCut in our final end-to-end testing.



# [Comparison] End-to-End Testing





# End-to-End Testing Results

- 1 test image per person
- 3 training images per person

Rates	SGM/SIFT	GMM/SIFT	SIFT
% Correct Identification	31%	*	*

*\*Test scripts were not quite done processing all of the information – final results will be reported during final presentation on November 19<sup>th</sup>, 2013*



# Future Work...

- Explore the effectiveness of other feature descriptors
- Reduce feature correspondences based on geometric relationships – use spatial information about descriptors
- Implement “confidence factor” as a metric to avoid/reduce improper identification

# Thank You!

Questions? Comments? Concerns?

