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Article

Application of Large Language Models and Assessment of Its Ship Handling Theory Knowledge and Skills for Connected Maritime Autonomous Surface Ships

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Abstract: Maritime transport plays a critical role for global logistics. Compared to road transport, the pace of research and development is much slower for maritime transport. It faces many major challenges such as busy ports, long journeys, significant accidents and greenhouse gas emissions. The problems are worsened with recent regional wars and increasing international shipping demands. Maritime autonomous surface ship (MASS) is widely regarded as a promising solution to address the maritime transport problems with improved safety and efficiency. With advanced sensing and path planning technologies, MASS can autonomously understand the environments and navigate without human intervention. However, the complex traffic and water conditions and corner cases are the big barriers to MASSs before they can be practically deployed. In this paper we investigate application of large language models (LLMs) to address the above issues, which have demonstrated strong generalization abilities. Given the substantial computational demands of LLMs, we propose a framework for LLM-assisted navigation in connected MASS. In this framework, LLMs are deployed onshore or in remote clouds to facilitate navigation and provide guidance services for MASS. Additionally, certain large oceangoing vessels can deploy LLMs locally to obtain real-time navigation recommendations. To the best of our knowledge, this is the first attempt to apply LLMs to assist in ship navigation. Specially, MASSs transmit assistance requests to LLMs, which then process these requests and return assistance guidance. A crucial aspect of this safety-critical LLM-assisted guidance system is knowledge and safety performance of the LLMs on ship handling, navigation rules and skills, which has not been investigated in the literature. To assess the LLMs' knowledge on the navigation rules and their qualification for the navigation assistance system, we design and conduct navigation theory tests for LLMs, which consist of more than 1,500 multiple-choice questions. These questions have similar format of official theory exams that are used to award officer of the watch (OOW) certificate on the standards of training, certification, and watchkeeping (STCW). A wide range of LLMs are tested, which include commercial ones from OpenAI and Baidu, and open-sourced one ChatGLM from Tsinghua. Experimental results indicate that among all tested LLMs, only GPT-40 passed the tests with an accuracy of 86%. This suggests that while the current LLMs possess significant potential on navigation and guidance system for connected MASS, further improvements are needed. The source code and datasets is available at https://github.com/PeiDashuai/LLMs_Nav.

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1. Introduction

Maritime transport plays a critical role in the global economy and the movement of goods. It is the backbone of international trade, enabling the efficient and cost-effective transportation of vast quantities of products across the world's oceans [1]. The United

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Nations Conference on trade and Development expects Maritime trade volume to grow by more than 3% during the 2024-2028 period [2]. However, maritime transport faces several significant challenges, including busy ports, long journeys, major accidents, and greenhouse gas emissions. Additionally, current regional conflicts and escalating international tensions have intensified international shipping demands, thereby exacerbating the issues faced by maritime transport. For example, oil shipments reached record distances in 2022, driven by the devastation of the war in Ukraine [3]. Similarly, grain shipments traveled farther in 2023 than in any previous year, as grain importers were forced to seek alternative exporters such as the United States and Brazil, necessitating long-distance transportation.

The widespread application of intelligent technologies in the shipping industry, particularly the development of Maritime Autonomous Surface Ships (MASS), offers promising solutions to these challenges. MASS can enhance the efficiency and safety of maritime transport by optimizing port operations, reducing travel times, minimizing human error, and lowering emissions [4]. In 2021, the global autonomous ships market had a revenue share of over 89 million USD, and is projected to grow at a compound annual growth rate of 6.81% through 2031 [5]. As the shipping industry continues to evolve, the integration of autonomous technology stands to address the urgent and complex problems of maritime transport, paving the way for a more resilient and sustainable future [6].

MASS integrate a variety of advanced technologies to achieve autonomous navigation and operation. Their core technologies include navigation systems (such as GPS, inertial navigation systems, and Electronic Chart Display and Information Systems), sensing and recognition technologies (such as radar, LiDAR, and computer vision), communication systems (such as satellite communication and radio communication), data processing and artificial intelligence (such as edge computing and machine learning algorithms), autonomous control systems (such as rudder and propulsion system control and automatic docking systems) [7,8]. These technologies work in concert to enable MASS to autonomously navigate under various sea conditions, perform complex navigation tasks, and enhance efficiency, safety, and environmental performance. The extensive application of MASS in the shipping industry is poised to reduce the involvement of human operators, thereby significantly mitigating the likelihood of human-related maritime accidents [9].

Autonomous navigation technology is the critical core that determines whether MASS can safely navigate without human intervention. This technology involves the use of sensors, artificial intelligence algorithms, and automatic control systems to enable ships to autonomously perceive the environment, plan routes, and execute navigation tasks. Currently, the predominance of deep-learning-based autonomous navigation algorithms is observable [10]. For example, Wright et al. [11] explore the use of deep learning to integrate multiple sensor modalities into autonomous navigation algorithms for ships, allowing for decision-making without human supervision. Han et al. [12] develop deep learning algorithms for multiple target detection and tracking using sensor fusion to enhance autonomous navigation and collision avoidance for the unmanned surface vehicle (USV) Aragon. However, complex traffic and water conditions, as well as various extreme situations and corner cases, pose significant challenges to deep learning-based autonomous navigation technology. This challenge is known in the deep learning field as the "long tail." The "long tail" refers to the vast number of rare or outlier events that occur infrequently but can significantly impact the performance of a model. These rare scenarios are difficult for the model to handle effectively because the training data often does not adequately cover such infrequent events, leading to issues with generalization and reliability. When these long tail cases occur, the autonomous navigation system may struggle to respond correctly, potentially resulting in incidents such as collisions or groundings, and causing significant financial losses.

Recently, the application of LLMs in autonomous driving has provided inspiration for addressing the aforementioned challenges. These models understand the driving environment in a human-like manner and utilize their reasoning, interpretation, and memorization capabilities to effectively solve long-tail issues. For example, Sha et al. [13] employ LLMs as

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Figure 1. System framework of LLM-assisted navigation system for MASS. MASS may receive navigation guidance from LLMs deployed in remote clouds or onshore, and some large vessels can also obtain navigation recommendations from LLMs deployed on board.

decision-making components to enhance autonomous driving systems, particularly in complex scenarios requiring human commonsense understanding. Fu et al. investigated the use of LLMs to understand the driving environment in a human-like manner, emphasizing their ability to solve long-tail issues through reasoning, interpretation, and memorization. Their extensive experiments demonstrated that LLMs exhibit impressive capabilities in handling long-tailed cases, providing valuable insights for developing human-like autonomous driving systems.

Given the notable applications of LLMs in the field of autonomous driving, it is inevitably to contemplate the application of these models within the domain of autonomous ship navigation. However, there are two important challenges. Firstly, LLMs necessitate an increased number of parameters to encapsulate complex patterns within training data, thereby enhancing performance. This requirement results in considerable computational and memory demands. Secondly, the prominence of safety in autonomous ship navigation systems cannot be overstated, with safety expectations surpassing those of human navigation markedly. Despite OOWs being mandated to clear theoretical and practical examinations before certification, LLMs have yet to be subjected to stringent evaluations regarding their automatic navigation capabilities.

To overcome the aforementioned challenges, we investigate a novel method that incorporates LLMs into remote cloud or shore-based systems to enhance autonomous ship navigation. By employing this strategy, connected MASS sends assistance requests to the LLMs. Located onshore or within a remote cloud, the LLMs process these requests and subsequently generate guidance for the MASS, as illustrated in Figure 1. We aim to evaluate the theoretical knowledge of the LLMs, similar to the assessment of human OOW. Although practical ship practical navigation and watchkeeping skills through LLMs are indispensable, we contend that a theoretical examination is equally significant considering its relative simplicity and controllability. Despite the notable achievements of LLM across various fields such as law, education, and economics, the number of reports detailing its performance in ship handling theory tests is particularly limited.

In this study, we design and conduct ship handling theory tests for fourteen LLMs including GPT-3.5-turbo [14], GPT-4 [15], GPT-40, ERNIE-4.0-8k [16] and Qwen-turbo [17] et al. We have therefore developed and implemented hip handling theory tests comprising over 1,500 questions for several LLMs. These questions are analogous to those in the China official theory exam required for seafarers to obtain the Standards of STCW OOW certificate. We evaluate the performance of these LLMs based on accuracy, cost, and processing latency derived from experimental observations. The experimental results indicate that among

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English MCQ Example	Chinese MCQ Example			
Question 1: When approaching a traffic separation scheme, a vessel shall: Options:	Question 1: 在追越过程中,被追越船的协助避让行动为()。 Options:			
 A. do so at right angles to the general direction of traffic flow B. seek permission to do so from all other vessel in the vicinity C. do so only in a case of an emergency or to engage in fishing within the zone D. do so at as small an angle as possible as nearly as practical Correct Answer: D 	A. 只要航道情况和周围环境允许,就应同意追越船追越 B. 尽可能让出部分航道,适当减速,减少两船并行时间,使追越船迅速 通过 C. 前方发现情况及时通知追越船的注意 D. 以上都是 Correct Answer: D			

Figure 2. Two example of MCQ for MASS asking LLMs.

all the LLMs, only GPT-40 achieved a test accuracy rate close to 86%, while all other models failed the test. In conclusion, although several LLMs show significant potential for autonomous ship navigation, their performance requires further enhancement to meet the stringent demands of safe navigation. Additional training and fine-tuning are likely necessary.

2. Existing work

2.1. Autonomous Ship Navigation System

Autonomous Ship Navigation System refers to an integrated framework of sensors, control algorithms, and navigation technologies enabling ships to operate and navigate safely and efficiently without human intervention. Villa et al. [18] investigate the design, modeling, and implementation challenges of a guidance, navigation, and control (GNC) architecture for an autonomous ship navigation system in harbor conditions. They develop a mathematical model validated with field-test data and implement a line-of-sight guidance system using LiDAR for obstacle avoidance, with their GNC architecture tested in both simulation and field scenarios. Han et al. [19] developed algorithms for multiple target detection and tracking using sensor fusion for the autonomous navigation and collision avoidance system of the USV Aragon. By integrating radar, lidar, and cameras, and applying automatic ship detection algorithms, they achieved persistent and reliable target tracking and designed collision avoidance maneuvers in compliance with the International Regulations for Preventing Collisions at Sea (COLREGs) [20], with validation through field experiments. Kufoalor et al. [21] conducted sea trials for an autonomous surface vehicle (ASV) equipped with a model predictive control (MPC)-based collision avoidance system in the North Sea to verify compliance with the COLREGs. The trials demonstrated that the MPC approach effectively finds safe solutions in challenging scenarios, often meeting the expectations of experienced mariners, indicating a higher than expected technical maturity of autonomous vessels. Kim et al. [22] developed autonomous navigation capabilities for small cruise boats by converting a cruise boat into an ASV with various sensors and actuators. They designed and implemented navigation, object-detection, path-planning, and control algorithms, and validated the system's performance through field experiments in a canal and surrounding waters.

2.2. LLMs-based Autonomous Driving

Many studies have evaluated the potential and challenges of LLMs in autonomous driving. Cui et al. [23] propose a novel framework that LLMs to enhance decision-making in autonomous vehicles by integrating their language and reasoning capabilities. Their research demonstrates that LLMs can influence driving behavior through real-time personalized tasks and ongoing verbal feedback, improving safety and effectiveness in autonomous driving. Sha et al. [13] employ LLMs as decision-making components to enhance autonomous driving systems, particularly in complex scenarios requiring human commonsense understanding. Their approach integrates LLM decisions with low-level controllers, demonstrating superior performance and improved handling of complex driving behaviors through experiments, highlighting the potential of LLMs in advancing autonomous

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driving capabilities. Duan et al. [24] propose a hybrid end-to-end learning framework for autonomous driving by integrating LLMs with visual and LiDAR sensory input, aiming to correct mistakes and handle complex scenarios. Their methodology achieves a driving score of 49.21% and a route completion rate of 91.34% in offline evaluations, comparable to state-of-the-art driving models. Huang et al. [25] explore the application of LLM-based voice assistants, such as ChatGPT-4, to mitigate passive driving fatigue and enhance driving performance and safety. Their empirical study using the voice assistant "Driver Mate" reveals that low-complexity, high-frequency conversations improve driver alertness and acceptance, while low-complexity, low-frequency interactions enhance driving performance.

2.3. Evaluating LLMs with Multiple Choice Questions

Numerous studies employ multiple choice questions(MCQs) to evaluate the capabilities of LLMs. It has been proved that MCQ is one of the effective means to evaluate the capability of LLMs [26,27]. Zhang et al. [28] introduce SafetyBench, a comprehensive benchmark designed to evaluate the safety of LLMs using 11,435 diverse multiple-choice questions across seven safety concern categories. Their extensive tests on 25 popular Chinese and English LLMs reveal significant performance advantages for GPT-4, highlighting the need for further safety improvements in current models. Huang et al. [29] present C-Eval, the first comprehensive Chinese evaluation suite designed to assess the advanced knowledge and reasoning abilities of LLMs using multiple-choice questions across four difficulty levels and 52 diverse disciplines. Their comprehensive evaluation reveals that only GPT-4 achieved an average accuracy above 60%, highlighting the need for further improvement in current LLMs. Wu et al. [30] investigated the medical knowledge capabilities of multiple LLMs by comparing their performance on nephrology MCQs from the Nephrology Self-Assessment Program. The study revealed significant performance differences, with open-source LLMs scoring between 17.1% to 30.6% correct answers, while proprietary models like GPT-4 and Claude 2 achieved 73.3% and 54.4%, respectively, highlighting notable gaps in zero-shot reasoning ability among LLMs. Xu et al. [31] evaluated the performance of two state-of-the-art LLMs, ChatGPT and Microsoft Bing AI Chat, on a dataset of 200 high school chemistry MCQs to assess their educational potential and challenges. The study found that both LLMs struggle with application and high application level questions, performing worse than Vietnamese students, indicating a need for further development to improve their capabilities.

3. SYSTEM FRAMEWORK OF LLM ASSISTED NAVIGATION FOR MASS

In this study, we have innovatively constructed a framework that uniquely integrates the power of LLMs to enhance the performance of MASS navigation systems. To the best of our knowledge, this is the first attempt to apply LLMs to assist in ship navigation, pioneering this field. This framework allows MASS to intelligently interact with LLMs located in remote clouds or onshore bases via satellite links or advanced 5G mobile networks. It also supports MASS in consulting LLMs deployed on the ship directly for immediate navigation strategies. For example, MASS navigating crowded inland waterways or port areas can communicate with land-based LLMs through nearby cellular network access points. In contrast, ships traversing vast open seas can seek complex navigation decisions through satellite communications with the LLMs server deployed remote cloud. Furthermore, large freight or luxury cruise ships can directly deploy LLMs on board to achieve real-time, efficient navigation recommendations.

To meet the needs of ship navigation, we will meticulously customize and fine-tune existing top-tier LLM models, such as GPT-4o, Meta-Llama-3-70B, and Qwen-turbo. These models are originally built upon vast and diverse datasets, with a deep knowledge base and excellent generalization capabilities. After specialized tuning, these LLMs will deeply understand maritime domain knowledge and can be precisely applied in practice, providing reliable and flexible auxiliary decision-making services for MASS.

The integration of LLM technology in MASS systems heralds a new phase for autonomous ship navigation applications, encompassing but not limited to: real-time exchange of vessel status, environmental perception, and navigation intent information within maritime areas through Automatic Identification Systems (AIS), Very High Frequency (VHF), and cellular network technologies. This promotes collaborative environmental perception, multi-ship cooperative navigation, and automatic fleet formation navigation and other advanced functions. This advancement not only significantly enhances navigation efficiency and safety but also lays a solid foundation for the future intelligent and networked maritime traffic management.

Figure 1 illustrates a vivid example of how LLM can be utilized to assist navigation. In the scenario, a sudden collision accident occurs between a passenger ship and another vessel in a specific water area, causing the passenger ship to capsize. At this moment, a MASS equipped with the LLM-assisted navigation system is passing by. Its advanced sensing system immediately detects the abnormal situation and automatically initiates a navigation assistance request to the LLMs deployed on a remote cloud server. Upon receiving the signal, the LLM server rapidly analyzes the situation and guides MASS to take action—maintaining a safe navigation distance while urgently deploying lifeboats and related rescue equipment to quickly participate in the rescue of people in the water.

4. RESEARCH METHODOLOGY

4.1. OOW Theory Examination

The OOW is responsible for watchkeeping, navigation, communication, log-keeping, and emergency responses, all of which are critical for ensuring safe navigation. This role is assigned to a sufficiently qualified deck officer and involves various duties, including ensuring the ship operates in accordance with regulations and company procedures, maintaining the ship's equipment and machinery, and ensuring the crew effectively carries out their duties. To apply for the OOW role, candidates must meet specific eligibility criteria and possess the required certifications. The Standards of Training, Certification and Watchkeeping for Seafarers Training (STCW) Convention [32] outlines general requirements and certifications by rank. For OOW, the Convention specifies requirements concerning age, seagoing service, bridge watchkeeping, radio duties, and education and training.

Typically, after completing academic studies and gaining the necessary seafaring experience, a crew member must pass a written and practical assessment to obtain an OOW license. The specific assessments may vary by country and the type of license sought. In China, the OOW examination encompasses core subjects such as maritime English, ship steering and collision avoidance, navigation, ship structure and cargo handling, and ship management. The exam caters to various tonnage levels (e.g., 500 gross tons and above, 3000 gross tons and above, less than 500 gross tons) and navigational areas (unlimited and coastal) for positions like captain, chief mate, second mate, and third mate. The total score and passing score vary depending on the subject and the ship's tonnage, ensuring that OOWs possess the professional knowledge and skills necessary to fulfill their duties. Each subject is scored out of 100 points and primarily consists of approximately 160 MCQs. The passing score is 80 points for ship steering and collision avoidance, while it is 70 points for the other subjects. This paper primarily considers the subjects directly related to ship handling.

4.2. Test datasets

The competency tables outlined in the STCW Convention detail the content of training programs for seafarers, criteria for evaluating competencies, and the standards of competence that students must demonstrate. Relevant authorities have developed test questions based on the STCW Convention and practical maritime experience. Due to the unavailability of official questions, we collected test questions from Chinese public websites. The questions were meticulously selected and processed to ensure their relevance and quality. After removing duplicates, we compiled 706 Chinese and 814 English MCQs. Each MCQ

includes multiple answer options, with only one correct answer. The Chinese MCQs and English MCQs we collected are not different language versions of the same questions. They contain different questions and are sourced from different websites. In Figure 2, we present two examples of MCQs. However, we did not find any test questions that included traffic scenario videos or images, which are crucial components of theoretical tests. Future iterations will include multimedia questions to capture a broader range of navigational scenarios. All the data we collected can be found at our open-source project address.

4.3. Prompt Design

In this section, we will design the prompts used in our experiments based on prompt engineering.

4.3.1. Instructing the LLMs to role-play and demonstrate specific skills.

Instead of having the model directly answer our MCQs, we instructed it to assume the role of an experienced OOW to respond to our inquiries. Role-playing is considered effective in prompt engineering, as it helps set the overall behavior of the assistant. This enables the model to understand user requirements and provide appropriate responses based on those needs. On the other hand, clearly specifying the skills that the model should possess can significantly enhance its performance. Precisely describing the required skills not only guides the LLM to generate more relevant and high-quality responses but also improves the accuracy and effectiveness of tasks. We have demonstrated the effectiveness of this approach in improving accuracy through continuous iterative optimization of prompt.

4.3.2. Providing example MCQs and answers

Providing examples to LLMs can be considered a form of "few-shot learning," enabling the models to utilize these demonstrations for analogical reasoning when generating responses, thereby improving accuracy. Including examples of questions and answers in the prompt also helps establish the model's expected behavior, allowing it to understand the question format and response style, thus enhancing accuracy and consistency. Furthermore, these examples reduce ambiguity in the model's interpretation, making it more precise in identifying patterns and the logic of correct answers. Overall, this approach ensures that LLMs not only predict possible answers based on the questions themselves but also understand the structure and logic through provided examples, leading to more accurate responses. This method is crucial for improving the accuracy and reliability of LLMs in handling MCQs.

4.3.3. Designing structured prompts

Designing structured prompts is crucial for querying LLMs as it ensures clarity, consistency, focus, and improved accuracy in the responses. In our design, we structured the prompts to include five parts: role, skills, action, output format and constrains, and example. For the role, we instruct the LLM to role-play as an experienced OOW. In the skills section, we require the LLM to excel in ship handling, be well-versed in the STCW Convention, have extensive experience in theoretical exams, and be proficient in selecting the most accurate option from multiple candidates based on the question's intent. For the action part, we ask the LLM to answer our MCQs. Regarding output format and constrains, we instruct the LLMs to output only the option letter of the MCQs. Finally, we provide an MCQ question and answer as an example in the prompt. We provided examples of prompts we designed in both Chinese and English, as shown in Figure 3. They convey the same meaning, but are written in different languages to facilitate testing different LLMs.

4.4. LLMs used in Theory Test

There are several powerful LLMs from leading companies such as Alibaba, Google, Baidu and OpenAI et al. Several LLMs are chosen for ship operation theory test, which are among the best performing ones. The fourteen chosen models have different capabilities

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English Prompt Example	Chinese Prompt Example			
# Role You are an experienced Officer of the Watch (OOW). # Skills Extensive experience in ship navigation and is familiar with the International Convention on Standards of Training, Certification, and Watchkeeping for Seafarers Convention Proficient in taking theoretical exams Skilled at selecting the most accurate option from multiple choices based on the question's meaning # Action Answer multiple-choice questions about ship handling theory and experience. # Output Format and Constraints These questions have multiple candidate answers, but only one answer is correct. Your response should only include the initial letter of the chosen option, such as A or B. Do not add any additional content or punctuation marks; only output the initial letter of the chosen option. # Example Question: You are making way in restricted visibility when you hear the sound of a fog signal forward of your beam. You are required to reduce speed to: Options: A. a moderate speed commensurate with conditions B. the minimum where your vessel can be kept on course C. half speed if proceeding at a higher speed D. a safe speed in relation stopping distance *Assistant answer> B	# Role 你是一个经验丰富的船舶值班驾驶员 # Skills - 拥有丰富的船舶驾驶经验,并熟知海员培训、发证和值班标准国际公约公约 - 具有丰富的参加理论考试的经验 - 擅长根据题目的含义从多个候选答案中选出最正确的一个选项 # Action 我需要你去回答一些船舶操纵理论和经验方面的选择题 # Output Format and Constrains 这些題目有多个候选答案,但是仅有一个答案是正确的,你的回答只需要 包含候选答案的首字母,例如A或B,不要增加任何额外的内容或标点符号, 仅要转出候选答案的首字母。 # Example Question: 能见度不良时,当听到他船的雾号时,下列哪些措施是可取的? Options: A、用雷达判定是否在碰撞危险 B、立即停车 C、将航速减到能维持其航向操纵的最低速度 D、AC 均对 <assistant answer="">C</assistant>			

Figure 3. Two example of prompt.

Table 1. The Information about the LLMs used in the experiment.

Model Name	Prices(\$)/1k tokens Input Output		Model Size	Version	Creators	
Qwen-turbo	0.0145 0.0435		undisclosed	\	Alibaba Cloud	
ERNIE-4.0-8k	0.871	0.871	undisclosed	0329	Baidu	
GPT-3.5-turbo	0.0005	0.0015	undisclosed	\		
CPT-4	0.03	0.06	undisclosed	\	Open AI	
GPT-4o	0.005	0.015	undisclosed	\	_	
GLM-3-turbo	Open Source		undisclosed	\	Tsinghua & Zhipu	
GLM-4-Air			undisclosed	\		
GLM-4			9B	0520	- I	
Qianfan-Chinese-Llama-2-7B	0.029	0.029	7B	\		
Qianfan-Chinese-Llama-2-13B	0.044	0.044	13B	v1	Qianfan	
Qianfan-Chinese-Llama-2-70B	0.254	0.254	70B	\		
Meta-Llama-3-8B	Open Source		8B	Instruct	Meta AI	
Meta-Llama-3-70B			70B	Instruct	Ivieta Al	
Gemma-7B-it			7B	Instruct	Google	

and price points. ERNIE-4.0-8k and Qwen-turbo are two leading LLMs developed by Baidu and Alibaba, respectively. GPT-3.5-turbo, GPT-4, and GPT-4o are LLMs developed by the well-known OpenAI. GLM-3-turbo, GLM-4 and GLM-4-Air [15,33] are jointly open-sourced by Zhipu AI and the Tsinghua University. The Qianfan-Chinese-Llama-2 series models are fine-tuned by Baidu's Qianfan team based on the open-source Llama 2 model from Meta AI [34,35], optimizing its support for Chinese. Gemma-7B [36] is an open-source LLM developed by Google, with 7 billion parameters. The Meta-Llama-3 [37] series models are developed by Meta AI. Table 1 show the information of the used LLMs.

5. EXPERIMENTS

5.1. Experiments Settings

Implementation Details. In our experiments, Meta-3-Llama-3-8B and Meta-3-Llama-3-70B were deployed on a server equipped with an L20 (48GB) GPU, 20 vCPUs of Intel(R) Xeon(R) Platinum 8457C, and 100 GB of RAM for inference. Testing tasks for the remaining models, accessed via API, were conducted on a laptop equipped with an i9-13950HX CPU, Nvidia GeForce RTX 4060 GPU, and 16 GB of RAM. The parameter settings of all tested models are shown in Table 2. The temperature parameter determines whether the output is more random or more predictable. A lower temperature will result in a higher probability, leading to a more predictable output. The top_p parameter affects the diversity

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Model Name Top_p Temporature # Max Output Tokens Qwen-turbo 0.5 0.7100 ERNIE-4.0-8k 0.5 0.7 100 GPT-3.5-turbo 0 1 100 CPT-4 0 1 100 GPT-40 0 1 100 GLM-3-turbo 0.5 0.7100 0.5 GLM-4-Air 0.7100 GLM-4 0.5 0.7 100 Qianfan-Chinese-Llama-2-7B 0.5 0.7 100 0.5 0.7 Qianfan-Chinese-Llama-2-13B 100 Qianfan-Chinese-Llama-2-70B 0.5 0.7 100 0.5 Meta-Llama-3-8B 0.7 100 Meta-Llama-3-70B 0.5 0.7 100 Gemma-7B-it 0.5 0.7 100

Table 2. The Parameter settings of the tested LLMs.

Table 3. Using Chinese prompts to query multiple LLMs with Chinese ship handling theory MCQs.

Model	# Ques.	# Corr.	Acc.	Time(s)	# Total Tokens	
					# Input Tokens	# Output Tokens
Qwen-turbo	706	423	59.92%	636.6	247,105	739
ERNIE-4.0-8k	706	412	58.36%	2921.65	214,026	6,925
GPT-3.5-turbo	706	316	44.76%	415.86	415,338	711
CPT-4	706	389	55.10%	529.42	415,338	733
GPT-4o	706	429	60.76%	339.01	292,675	706
GLM-3-turbo	706	340	48.16%	940.27	239,117	2,134
GLM-4-Air	706	352	49.86%	995.76	230,267	2,122
GLM-4	706	371	52.55%	1110.49	230,267	2,133
Qianfan-Chinese-Llama-2-7B	706	273	38.67%	2,520.14	230,108	2,010
Qianfan-Chinese-Llama-2-13B	706	317	44.90%	6,994.99	230,108	111,678
Qianfan-Chinese-Llama-2-70B	706	398	56.37%	5,510.65	230,108	93,757
Meta-Llama-3-8B	706	283	40.08%	2235.81	230,108	709
Meta-Llama-3-70B	706	313	44.33%	9015.19	230,108	116,630
Gemma-7B-it	706	282	39.94%	2779.97	230,108	30,907

of the output text generated by the LLM; the larger the value, the greater the diversity of the generated text. For all Chinese MCQs, we tested using both Chinese prompts and English prompts. For all English MCQs, we tested using only English prompts. The max_output_tokens parameter specifies the maximum number of tokens that the model can output. The parameters that we do not mention in the table are the default settings for the LLM creators.

Evaluation Protocols. Considering that we require the tested models to output only the correct answer option for the MCQs, we use *Accuracy* as the sole evaluation metric. *Accuracy* is defined as the number of correctly answered MAQs by the tested LLM divided by the total number of tested MCQs.

5.2. Experimental Results and Discussions

Table 3 presents the experimental results using Chinese prompts to query multiple LLMs with Chinese Ship Handling and Navigation Theory MCQs. A total of 706 MCQs were employed, with GPT-40 achieving the best performance, attaining an accuracy of 60.76% and the lowest time consumption. It is important to note that the time reported here does not refer to the absolute inference time of the LLM, as network latency from API access can influence the time statistics. In our prompt, we instructed the tested models to output only the letter corresponding to the correct option, without any additional explanations or symbols, akin to actual human theoretical tests. However, some models still generated explanations beyond the letter, resulting in a significant increase in output tokens. This

Model	# Ques.	# Corr.	Acc.	Time(s)	# Total Tokens	
					# Input Tokens	# Output Tokens
Qwen-turbo	706	333	47.17%	631.79	232,279	734
ERNIE-4.0-8k	706	398	56.37%	3129.01	218,968	8,784
GPT-3.5-turbo	706	315	44.62%	442.89	260,724	713
CPT-4	706	380	53.82%	498.75	260,724	799
GPT-4o	706	435	61.61%	329.97	237,607	706
GLM-3-turbo	706	336	47.59%	1519.96	232,763	2,375
GLM-4-Air	706	356	50.42%	1387.82	223,913	2,116
GLM-4	706	367	51.98%	1391.58	223,913	2,365
Qianfan-Chinese-Llama-2-7B	706	312	44.19%	1980.27	224,460	4,461
Qianfan-Chinese-Llama-2-13B	706	337	47.73%	4285.56	224,460	113,071
Qianfan-Chinese-Llama-2-70B	706	396	56.09%	5289.31	224,460	93,379
Meta-Llama-3-8B	706	289	40.93%	1843.25	224,460	706
Meta-Llama-3-70B	706	359	50.85%	8680.55	224,460	116,637
Gemma-7B-it	706	294	41.64%	2,803.91	224,460	31,128

Table 4. Using English prompts to query multiple LLMs with Chinese ship handling theory MCQs.

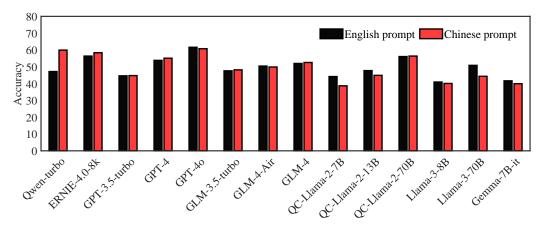


Figure 4. Results of testing the same set of Chinese ship handling theory MCQs using Chinese and English prompts, respectively.

indicates that certain LLMs need to improve their understanding of prompts. Furthermore, the variability in accuracy and time consumption among the models highlights differences in their architectures and training methodologies. For instance, models like GPT-3.5-turbo and GPT-40 not only provided high accuracy but also demonstrated efficient processing times, suggesting their robustness in understanding and responding to Chinese prompts. On the other hand, while models such as Meta-Llama-3-70B and Qianfan-Chinese-Llama-2-70B exhibited competitive accuracy, their higher time consumption could be attributed to more complex processing requirements or network-related delays. This suggests a trade-off between accuracy and computational efficiency that should be considered based on specific application needs. Additionally, the significant differences in the number of output tokens across models suggest variations in their adherence to prompt instructions. For example, Qianfan-Chinese-Llama-2-70B and Meta-Llama-3-70B generated a large number of output tokens, indicating a propensity to provide additional explanations beyond the required answer letter. This behavior can be detrimental in scenarios where concise responses are crucial. Moreover, the models developed by Chinese companies, such as Qwen-turbo and ERNIE-4.0-8k, demonstrated promising results. Their performance was comparable to GPT-40, which achieved the best results.

In Table 4, we present the results of using English prompts to test the same set of Chinese MCQs, providing an evaluation of the impact of language on the accuracy of large language models. Figure 4 compares two data sets, showing that the nine models developed by Meta, OpenAI, and Google exhibit a slight advantage when using English prompts over Chinese prompts. Conversely, the five LLMs developed by Chinese companies, such as Qwen-turbo, ERNIE-4.0-8k, and ChatGLM, demonstrate better performance with Chinese

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Total Tokens Model #Oues. # Corr. Acc. Time(s) # Input Tokens | # Output Tokens 814 451 55.41% 767.11 Owen-turbo 244152 845 ERNIE-4.0-8k 814 549 67.44% 3788.96 237234 6011 GPT-3.5-turbo 814 467 57.37% 352.25 243151 832 CPT-4 75.31% 547.76 243151 814 814 613 GPT-40 814 700 86.00% 366.41 243703 814 GLM-3-turbo 814 476 58.48% 1533.61 252842 2481 65.23% 239865 GLM-4-Air $\overline{8}14$ 531 1250.09 2442 553 67.94% 239878 GLM-4 1523.23 2443 Oianfan-Chinese-Llama-2-7B 814 341 41.89% 2364.15 242846 2709 Qianfan-Chinese-Llama-2-13B 814 393 48.28% 4775.56 242846 123678 Qianfan-Chinese-Llama-2-70B 486 59.71% 5511.66 242846 93846 814 Meta-Llama-3-8B 814 407 50.00% 2475.05 242846 814 Meta-Llama-3-70B 814 542 66.58% 8699.69 242846 113623 Gemma-7B-it 814 361 44.35% 3588.27 242846 32208

Table 5. Using English prompts to query multiple LLMs with English ship handling Theory MCQs.

prompts. This difference may be attributed to variations in the corpora used by different companies in training their base models. Additionally, we observed that the number of parameters in the tested models exhibits a linear relationship with accuracy. As the number of model parameters increases, accuracy improves. For instance, GPT-40, with its higher parameter count, consistently outperformed other models in both scenarios. The results underscore the significant influence of language on model performance. Models like GPT-4o and GPT-3.5-turbo demonstrated high adaptability, maintaining robust performance across both English and Chinese prompts, which is essential for applications requiring multilingual support. However, certain models exhibited a marked preference for prompts in their native language. For example, Qwen-turbo achieved an accuracy of 59.92% with Chinese prompts but dropped to 47.17% when using English prompts. This suggests that these models may have been predominantly trained on Chinese corpora, optimizing their performance for Chinese prompts. Time consumption data reveals that models like GPT-40 not only provided high accuracy but also demonstrated efficient processing times, particularly with English prompts. The significant differences in the number of output tokens generated by the models suggest variations in their adherence to prompt instructions. While some models, such as Qianfan-Chinese-Llama-2-70B, generated excessive tokens when using English prompts, indicating the inclusion of unnecessary explanations, others like GPT-40 adhered strictly to the prompt requirements, thereby enhancing their overall efficiency.

In Table 5, we present the results of testing 814 English MCQs using English prompts. These data allow us to evaluate the performance of LLMs across different languages and question types. All models showed significant improvements in accuracy when queried with English prompts. Among them, GPT-40 achieved an outstanding accuracy rate of over 85%, making it the only LLM likely to pass the test for ship handling, watchkeeping, and navigation theory. Regarding cross-language adaptability, models developed by Meta, OpenAI, and Google, such as GPT-40 and GPT-3.5-turbo, exhibit high adaptability across languages. For instance, GPT-40 maintained high performance across all prompt types, achieving 86.00% accuracy with English prompts and slightly lower, yet still impressive, accuracy with Chinese prompts. This adaptability is essential for applications requiring multilingual support. Chinese LLMs such as Qwen-turbo and ERNIE-4.0-8k demonstrated a strong preference for their native language prompts. Qwen-turbo exhibited a drop in accuracy from 59.92% with Chinese prompts to 55.41% with English prompts in the English MCQ scenario. This suggests these models might be more optimized for their native language due to the training corpus. There is a clear linear relationship between the number of model parameters and accuracy. As observed, models with higher parameter counts, such as GPT-40 and Meta-Llama-3-70B, consistently outperformed others in both test scenarios. This indicates that larger models tend to better handle complexity in multiple languages. The number of output tokens varied significantly across models and prompt

languages. Models such as Qianfan-Chinese-Llama-2-70B and Meta-Llama-3-70B tended to generate excessive tokens when using English prompts, suggesting a need for better prompt adherence. Conversely, GPT-40 adhered closely to prompt instructions, boosting its overall efficiency. While our results show that most models improved their performance with English prompts, this cannot conclusively demonstrate that LLMs perform better on English MCQs than on Chinese MCQs. The datasets contain different content, which likely influences the performance of the models.

6. CONCLUSIONS

In this study, we have explored the use of LLMs to support navigation and guidance in MASS. Given the significant computational requirements of LLMs, we have proposed a framework for LLM-assisted navigation for connected MASS, wherein LLMs are deployed onshore or in remote clouds to facilitate navigation and provide guidance services. Additionally, certain large oceangoing vessels can deploy LLMs locally to obtain real-time navigation recommendations. MASS units transmit assistance requests to LLMs, which process these requests and return guidance.

To assess the LLMs' knowledge and suitability for the navigation assistance system, we have designed and conducted navigation theory tests comprising over 1,500 multiple-choice questions, similar in format to the official exams for the OOW certificate under the STCW. Our experiments have evaluated the performance of fourteen LLMs, including GPT-3.5-turbo, GPT-4, GPT-4o, ERINE-4.0-8k, and Qwen-turbo, among others. Performance metrics included accuracy, cost, and processing latency.

Among all tested models, only GPT-40 has achieved a passing score with an accuracy of 86%, suggesting its potential for supporting autonomous ship navigation and guidance systems. Despite this promising result, the majority of the models have not met the required standards, highlighting the need for significant improvements. These findings underscore the necessity for further training and fine-tuning of LLMs to enhance their competence in navigation tasks.

As the maritime industry moves towards greater automation and intelligence, ensuring the safety and reliability of LLM-assisted systems will be crucial. Therefore, future work should focus on advancing LLM capabilities to meet the stringent demands of safe ship navigation.

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