

category theory

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1 basics

Definition 1.1. A category \mathcal{C} consists of:

- a class of *objects* $\text{Obj}(\mathcal{C})$
- for any $X, Y \in \text{Obj}(\mathcal{C})$ ¹, an associated set $\mathcal{C}(X, Y) = \text{Hom}_{\mathcal{C}}(X, Y)$ of *arrows* from X to Y .
- a “composition”

$$\begin{aligned}\mathcal{C}(Y, Z) \times \mathcal{C}(X, Y) &\rightarrow \mathcal{C}(X, Z) \\ (g, f) &\mapsto g \circ f\end{aligned}$$

which is associative: $h \circ (g \circ f) = (h \circ g) \circ f$.

- for each object $X \in \mathcal{C}$, there exists an arrow 1_X such that $1_X \circ f = f$ and $g \circ 1_X = g$.

1.2. Given a category \mathcal{C} , to any object $X \in \mathcal{C}$ we can associate an arrow 1_X , and to any arrow $f : X \rightarrow Y$ we can associate two objects: $d_0(f) = X$ and $d_1(f) = Y$.

Example 1.3.

1. Set.
2. Grp, the category whose objects are groups and whose arrows are group homomorphisms.
3. Top, the category whose objects are topological spaces and whose arrows are continuous maps.
4. (P, \leq) where P is a poset. This is the category whose objects are the elements of P and whose morphisms are as follows: if $x \leq y$ in P then there exists a unique arrow (x, y) .
5. Let G be a monoid (e.g. a group). Define the category BG to have as its unique object $\{*\}$ and whose arrows are $BG(*, *) = G$. Composition in this case is monoid multiplication.

¹we will abbreviate $X \in \text{Obj}(\mathcal{C})$ as $X \in \mathcal{C}$

6. (slice category) Let \mathcal{C} be a category, and fix some object $B \in \mathcal{C}$. Define a category \mathcal{C}/B as follows:

- objects are arrows in \mathcal{C} terminating in B
- an arrow from $f : E \rightarrow B$ to $f' : E' \rightarrow B$ is an arrow $h : E \rightarrow E'$ making the diagram commute:

$$\begin{array}{ccc} E & \xrightarrow{h} & E' \\ & \searrow f & \swarrow f' \\ & B & \end{array}$$

Similarly, one can define the category $B \backslash \mathcal{C}$ whose objects are arrows in \mathcal{C} beginning at B .

Definition 1.4. An *isomorphism* in a category \mathcal{C} is an invertible arrow. In other words, an arrow $f : X \rightarrow Y$ is an isomorphism if there exists an arrow $g : Y \rightarrow X$ such that $g \circ f = \text{Id}_X$ and $f \circ g = \text{Id}_Y$.

Example 1.5. This is the “right” notion of an isomorphism; for example in Top a bijective map which preserves the topological structure (i.e. is continuous) is not strong enough for what we would like. For example, the map

$$\begin{aligned} (0, 2\pi) &\rightarrow S^1 \\ t &\mapsto e^{it} \end{aligned}$$

is bijective and continuous, but we wouldn’t want to consider these isomorphic objects.

Definition 1.6. Let \mathcal{C} be a category. The *opposite/dual category* \mathcal{C}^{op} has:

- objects are the objects of \mathcal{C}
- arrows are the arrows of \mathcal{C} reversed. For an arrow $f : X \rightarrow Y$ in \mathcal{C} , write its corresponding arrow in \mathcal{C}^{op} as $f' : Y \rightarrow X$.
- composition is $g' \circ f' = (f \circ g)'$
- the identity on $X \in \mathcal{C}^{\text{op}}$ is $(\text{Id}_X)'$

Definition 1.7. Let \mathcal{C}, \mathcal{D} be categories. A *covariant functor* $F : \mathcal{C} \rightarrow \mathcal{D}$ associates:

- to an object $X \in \mathcal{C}$ an object $F(X) \in \mathcal{D}$
- to an arrow $f : X \rightarrow Y$ in \mathcal{C} , an arrow $F(f) : F(X) \rightarrow F(Y)$ in \mathcal{D} such that $F(g \circ f) = F(g) \circ F(f)$ and $F(\text{Id}_X) = \text{Id}_{F(X)}$.

Definition 1.8. A *contravariant functor* $F : \mathcal{C} \rightarrow \mathcal{D}$ is a covariant functor $\mathcal{C}^{\text{op}} \rightarrow \mathcal{D}$. In other words, to every arrow $f : X \rightarrow Y$ in \mathcal{C} it associates an arrow $F(f) : F(Y) \rightarrow F(X)$ in \mathcal{D} and is such that $F(g \circ f) = F(f) \circ F(g)$.

Corollary 1.9. Functors preserve isomorphisms.

Example 1.10. Let G be a group. A functor

$$\begin{aligned} F : BG &\rightarrow \{\text{Set}\} \\ * &\mapsto X \end{aligned}$$

is essentially a G -set. If we replace Set with vector spaces, then this is a linear representation.

Definition 1.11. Let \mathcal{C} be a category, let $X \in \mathcal{C}$. The (covariant) *representable functor* represented by X is

$$h^X = \mathcal{C}(X, -) : \mathcal{C} \rightarrow \text{Set}$$

defined by:

- on objects, $h^X(Y) = \mathcal{C}(X, Y)$
- on arrows: if $f : Y \rightarrow Z$ is in \mathcal{C} , then $h^X(f)$ maps $X \rightarrow Y$ to maps $X \rightarrow Z$ by postcomposing with f .

We can similarly define this covariantly, for which we write $h_X = \mathcal{C}(-, X)$.

Definition 1.12. An *isomorphism of categories* is a functor $F : \mathcal{C} \rightarrow \mathcal{D}$ with inverse functor $G : \mathcal{D} \rightarrow \mathcal{C}$ such that $G \circ F = \text{Id}_{\mathcal{C}}$ and $F \circ G = \text{Id}_{\mathcal{D}}$.

Definition 1.13. Let $F, G : \mathcal{C} \rightarrow \mathcal{D}$ be functors. A natural transformation

$$\tau : F \Rightarrow G$$

consists of, for each object $X \in \mathcal{C}$, an arrow $\tau_X : F(X) \rightarrow G(X)$ such all diagrams of the following form commute:

$$\begin{array}{ccc} F(X) & \xrightarrow{\tau_X} & G(X) \\ F(f) \downarrow & & \downarrow G(f) \\ F(Y) & \xrightarrow{\tau_Y} & G(Y) \end{array}$$

Example 1.14. Let $\mathcal{C} = \mathcal{V}_k$ be the category of finite dimensional k -vector spaces. Let $F = \text{Id}_{\mathcal{C}} : \mathcal{C} \rightarrow \mathcal{C}$ and

$$G : \mathcal{C} \rightarrow \mathcal{C}V \mapsto V^{**}$$

Define $\tau : F \Rightarrow G$ to be such that $\tau_V(v) = \text{ev}_v$, where $v \in V$ and $\text{ev}_v \in V^{**}$ is the evaluation functional.

Example 1.15. Let $\mathcal{C} = \mathcal{V}_k^{\text{op}} \times \mathcal{V}_k$, let $\mathcal{D} = \mathcal{V}_k$. Define

$$\begin{aligned} \mathcal{V}_k^{\text{op}} \times \mathcal{V}_k &\rightarrow \mathcal{V}_k \\ (V, W) &\xrightarrow{F} V^* \otimes W \\ (V, W) &\xrightarrow{G} \text{Hom}_k(V, W). \end{aligned}$$

Define $\gamma : F \Rightarrow G$ by

$$\begin{aligned} \gamma_{V,W} : V^* \otimes_k W &\rightarrow \text{Hom}_k(V, W) \\ \phi \otimes w &\mapsto \phi(-)w. \end{aligned}$$

Let's check this is a natural transformation. If $T : V \rightarrow V'$, we get an induced $T^* : (V')^* \rightarrow V^*$. Similarly for $U : W \rightarrow W'$. Then there are two diagrams:

$$\begin{array}{ccc} V^* \otimes W & \xrightarrow{\gamma_{V,W}} & \text{Hom}_k(V, W) \\ T^* \otimes \text{id} \uparrow & & \uparrow (-) \circ T \\ (V')^* \otimes W & \xrightarrow{\gamma_{V',W}} & \text{Hom}_k(V', W) \end{array} \quad \begin{array}{ccc} V^* \otimes W & \xrightarrow{\gamma_{V,W}} & \text{Hom}_k(V, W) \\ \text{id} \otimes U \downarrow & & \downarrow U \circ (-) \\ V' \otimes W' & \xrightarrow{\gamma_{V,W'}} & \text{Hom}_k(V, W') \end{array}$$

Together these show that γ is a natural transformation (ref).

Example 1.16 (universal coefficient theorem). The following is an example of where we need to be careful about when things are natural. The universal coefficient theorem states that for a topological space X and an abelian group G there exists a *natural* exact sequence

$$0 \rightarrow H_q(X) \otimes G \rightarrow H_q(X; G) \rightarrow \text{Tor}_1(H_{q-1}(X), G) \rightarrow 0.$$

Concretely, natural means that, given a continuous map $X \rightarrow Y$, there is an induced map on exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & H_q(X) \otimes G & \longrightarrow & H_q(X; G) & \longrightarrow & \text{Tor}_1(H_{q-1}(X), G) \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & H_q(Y) \otimes G & \longrightarrow & H_q(Y; G) & \longrightarrow & \text{Tor}_1(H_{q-1}(Y), G) \longrightarrow 0 \end{array}$$

Let's be pedantic. One way to view this is that there is a functor between Top and some category which includes exact sequences, sending X to the exact sequence above. Another way to see it is that each component of the exact sequence is a functor, e.g. $H_q(-) \otimes G$, and there exists natural transformations between these functors. Moreover the component maps of these natural transformations are such that, when we “chain” them we get an exact sequence.

The universal coefficient theorem also says that the sequence splits, i.e.

$$H_q(X; G) \cong (H_q(X) \otimes G) \oplus \text{Tor}_1(H_{q-1}(X), G).$$

However, this splitting is *not* natural:

$$\begin{array}{ccccc} H_q(X; G) & \cong & H_q(X) \otimes G & \oplus & \text{Tor}_1(H_{q-1}(X), G) \\ \downarrow & & \downarrow & \swarrow \text{dashed} & \downarrow \\ H_q(Y; G) & \cong & H_q(Y) \otimes G & \oplus & \text{Tor}_1(H_{q-1}(Y), G) \end{array}$$

We can't say that $H_q(Y; G)$ decomposes as the direct sum of the images of the decomposition of $H_q(X; G)$, as they may “cross” into each other.

Definition 1.17. Let \mathcal{C} and \mathcal{D} be categories. The *functor category*, denoted $\mathcal{D}^{\mathcal{C}}$, consists of

- objects: functors $\mathcal{C} \rightarrow \mathcal{D}$
- arrows: an arrow between objects $F, G : \mathcal{C} \rightarrow \mathcal{D}$ is a natural transformation $\alpha : F \Rightarrow G$
- composition: composition of natural transformations $F \Rightarrow G \Rightarrow H$: on components, this looks like

$$\begin{array}{ccccc} F(X) & \xrightarrow{\alpha_X} & G(X) & \xrightarrow{\beta_X} & H(X) \\ F(f) \downarrow & & G(f) \downarrow & & H(f) \downarrow \\ F(Y) & \xrightarrow{\alpha_Y} & G(Y) & \xrightarrow{\beta_Y} & H(Y) \end{array}$$

Remark 1.18. We defined categories to have hom sets that are sets. It is not gaurenteed here, so let's just assume for now that this is well-defined, which it will be in many situations.

Definition 1.19. Let $F, G \in \mathcal{D}^{\mathcal{C}}$. A *natural isomorphism* or *natural transformation* between F and G is an isomorphism in $\mathcal{D}^{\mathcal{C}}$. In other words, it is a natural transformation $\alpha : F \Rightarrow G$ with an inverse $G \Rightarrow F$.

Remark 1.20. $\alpha : F \rightarrow G$ is a natural equivalence if and only if each component $\alpha_X : F(X) \rightarrow G(X)$ is an isomorphism (in \mathcal{D}). Indeed, if $\beta \circ \alpha = 1_F$ then each component $(\beta \circ \alpha)_X = 1_X$. Similarly $(\alpha \circ \beta)_X = 1_X$. Then α_X and β_X are inverses, so α_X is an isomorphism.

Example 1.21. For a finite dimensional vector space V , the isomorphism $V \cong V^{**}$ can be expressed as a natural equivalence between the identity functor and the double dual functor.

Definition 1.22. A functor $F : \mathcal{C} \rightarrow \mathcal{D}$ is *faithful* if, for all $X, Y \in \mathcal{C}$, the induced map

$$F : \mathcal{C}(X, Y) \rightarrow \mathcal{D}(F(X), F(Y))$$

is injective. We say F is *full* if the map is surjective.

Definition 1.23. A functor $F : \mathcal{C} \rightarrow \mathcal{D}$ is an *equivalence of categories* if there exists a functor $G : \mathcal{D} \rightarrow \mathcal{C}$ and natural transformations

$$\begin{aligned} G \circ F &\xrightarrow{\sim} 1_{\mathcal{C}} \\ F \circ G &\xrightarrow{\sim} 1_{\mathcal{D}}. \end{aligned}$$

Definition 1.24. A functor $F : \mathcal{C} \rightarrow \mathcal{D}$ is *essentially surjective* if, for all $D \in \mathcal{D}$, there exists $C \in \mathcal{C}$ and an isomorphism $F(C) \xrightarrow{\sim} D$ in \mathcal{D} .

Theorem 1.25. Let $F : \mathcal{C} \rightarrow \mathcal{D}$ be a functor. The following are equivalent:

1. F is a category equivalence
2. F is full, faithful, and essentially surjective

Proof. (1 \Rightarrow 2) Let $F : \mathcal{C} \rightarrow \mathcal{D}$ be an equivalence of categories. So there exist natural equivalences $\alpha : 1_{\mathcal{C}} \Rightarrow GF$ and $\beta : 1_{\mathcal{D}} \Rightarrow FG$.

- (essentially surjective) For $D \in \mathcal{D}$, the component $\beta_D : D \rightarrow FG(D)$ is an isomorphism, which shows D is isomorphic to $G(D) \in \mathcal{C}$.
- (faithful) We want to show that $\mathcal{C}(C, C') \rightarrow \mathcal{D}(F(C), F(C'))$ is injective for all $C, C' \in \mathcal{C}$. Suppose there are arrows $f, g \in \mathcal{C}(C, C')$ such that $F(f) = F(g)$. We need to show $f = g$. Well then the following diagram commutes:

$$\begin{array}{ccc} C & \xrightarrow[\sim]{\alpha_C} & GF(C) \\ f \downarrow & & \downarrow GF(f)=GF(g) \\ C' & \xrightarrow[\sim]{\alpha_{C'}} & GF(C') \end{array}$$

So

$$F(f) = F(g) \Rightarrow GF(f) = GF(g)$$

$$\begin{aligned}
&\Rightarrow GF(f) \circ \alpha_C = GF(g) \circ \alpha_C \\
&\Rightarrow \alpha_{C'} \circ f = \alpha_{C'} \circ g \\
&\Rightarrow f = g.
\end{aligned}$$

- (full) We want to show $\mathcal{C}(C, C') \rightarrow \mathcal{D}(F(C), F(C'))$ is surjective. Let $h \in \mathcal{D}(F(C), F(C'))$. Then, letting $f = \alpha_{C'}^{-1} \circ G(h) \circ \alpha_C$, the following diagram commutes by construction:

$$\begin{array}{ccc}
C & \xrightarrow[\sim]{\alpha_C} & GF(C) \\
f \downarrow \parallel g & & \downarrow GF(f)=GF(g) \\
C' & \xrightarrow[\sim]{\alpha_{C'}} & GF(C')
\end{array}$$

But this diagram also commutes:

$$\begin{array}{ccc}
C & \xrightarrow[\sim]{\alpha_C} & G(F(C)) \\
f \downarrow & & \downarrow GF(f) \\
C' & \xrightarrow[\sim]{\alpha_{C'}} & G(F(C'))
\end{array}$$

So $G(h) \circ \alpha_C = GF(f) \circ \alpha_C$, so $G(h) = GF(f)$. But we have just shown that G is faithful (we have not technically shown this, but just apply the above steps to G instead of F). So it must be that $h = F(f)$.

(2 \Rightarrow 1) HW. Idea: by essential surjectivity, for each $D \in \mathcal{D}$ there exists $C \in \mathcal{C}$ and an isomorphism $\beta_D : F(C) \rightarrow D$. Let G be the function associating sending D to C as above. We need to show:

- G is a functor
- $\beta = \{\beta_D\}_{D \in \mathcal{D}}$ is a natural isomorphism $FG \Rightarrow 1_{\mathcal{D}}$
- there exists a natural isomorphism $GF \Rightarrow 1_{\mathcal{C}}$

□

2 Yoneda's lemma

Proposition 2.1. Let $F : \mathcal{C} \rightarrow \text{Set}$ be a covariant functor. For $C \in \mathcal{C}$, let $h^C = \mathcal{C}(C, -)$ be the representable functor represented by C . Then

1. there exists a bijection

$$\begin{aligned}
\theta : \text{Set}^{\mathcal{C}}(h^C, F) &= \text{Nat}(h^C, F) \xrightarrow{\sim} F(C) \\
\alpha &\mapsto \alpha_C(1_C)
\end{aligned}$$

(note $1_C \in h^C(C, C) = \mathcal{C}(C, C)$)

2. the map θ above is natural in both \mathcal{C} and F

Proof. First we will show injectivity. Let $\alpha : h^C \rightarrow F$ be a natural transformation. For any $f \in h^C(X) = \mathcal{C}(C, X)$, the naturality of α says that the following diagram commutes:

$$\begin{array}{ccc}
 1_C & \xrightarrow{\quad} & \theta(\alpha) = \alpha_C(1_C) \\
 \downarrow & & \downarrow \\
 & \begin{array}{ccc} h^C(C) & \xrightarrow{\alpha_C} & F(C) \\ f \circ (-) \downarrow & & \downarrow F(f) \\ h^C(X) & \xrightarrow{\alpha_X} & F(X) \end{array} & \\
 f \circ 1_C = f & \xrightarrow{\quad} & F(f)(\theta(\alpha)) = \alpha_X(f)
 \end{array}$$

This shows that each component α_X is completely determined by the value $\theta(\alpha) = \alpha_C(1_C)$. In particular, if $\theta(\alpha) = \theta(\beta)$ then $\alpha_X = \beta_X$ for all X , so $\alpha = \beta$. Thus θ is injective.

For surjectivity, let $e \in F(C)$. Consider the map $h^C \rightarrow F$ defined component-wise as

$$\begin{aligned}
 \beta^e : h^C(X) &\rightarrow F(X) \\
 f &\mapsto F(f)(e).
 \end{aligned}$$

It remains to show that β^e is a natural transformation, i.e. that the following diagram commutes:

$$\begin{array}{ccc}
 h^C(X) & \xrightarrow{\beta_X^e} & F(X) \\
 g \circ (-) \downarrow & & \downarrow F(g) \\
 h^C(Y) & \xrightarrow{\beta_Y^e} & F(Y)
 \end{array}$$

Well for the right-down composition we have

$$F(g)(\beta_X^e(f)) = F(g)(F(f)(e)) = F(g \circ f)(e)$$

on for the down-right composition we have

$$\beta_Y^e(g \circ f) = F(g \circ f)(e).$$

So β^e is a natural transformation. Finally,

$$\theta(\beta^e) = \beta_C^e(1_C) = F(1_C)(e) = 1_{F(C)}(e) = e.$$

It remains to prove naturality.

(Naturality in F). Given $\tau : F \Rightarrow G$, we want to show the following diagram commutes:

$$\begin{array}{ccc}
 \text{Nat}(h^C, F) & \xrightarrow[\sim]{\theta_F} & F(C) \\
 \tau \circ (-) \downarrow & & \downarrow \tau_C \\
 \text{Nat}(h^C, G) & \xrightarrow[\sim]{\theta_G} & G(C)
 \end{array}$$

Let $\alpha \in \text{Nat}(h^C, F)$. In the right-down direction, we have

$$\theta_C(\theta_F(\alpha)) = \theta_C(\alpha_C(1_C)).$$

In the down-right direction, we have

$$\theta_G(\tau \circ \alpha) = (\theta \circ \alpha)_C(1_C) = \tau_C(\alpha_C(1_C)).$$

Thus the diagram commutes.

(Naturality in C). Given $f : C \rightarrow C'$ in \mathcal{C} , we want to show that the following diagram commutes:

$$\begin{array}{ccc} \text{Nat}(h^C, F) & \xrightarrow[\sim]{\theta_C} & F(C) \\ (f^*)^* \downarrow & & \downarrow F(f) \\ \text{Nat}(h^{C'}, F) & \xrightarrow[\sim]{\theta_{C'}} & F(C') \end{array}$$

The map $(f^*)^*$ is defined as follows: the map f induces a functor $f^* : h^{C'} \rightarrow h^C$ via precomposition with f . This in turn induces a map $(f^*)^* : \text{Nat}(h^C, F) \rightarrow \text{Nat}(h^{C'}, F)$ via precomposition with f^* .

To see the commutativity, again let $\alpha \in \text{Nat}(h^C, F)$. The down-right direction is

$$\begin{aligned} \theta_{C'}((f^*)^*(\alpha)) &= \theta_{C'}(\alpha \circ f^*) = (\alpha \circ f^*)_{C'}(1_{C'}) \\ &= \alpha_{C'}((f^*)_{C'}(1_{C'})) = \alpha_{C'}(1_{C'} \circ f) \\ &= \alpha_{C'}(f). \end{aligned}$$

The right-down direction is

$$F(f)(\theta_C(\alpha)) = F(f)(\alpha_C(1_C)).$$

We can continue this equality chain by considering the naturality of (the natural transformation) α :

$$\begin{array}{ccc} \alpha_C & \xrightarrow{\quad\quad\quad} & \alpha_C(1_C) = \theta_C(\alpha) \\ \downarrow & & \downarrow \\ \begin{array}{ccc} h^C(C) & \xrightarrow{\alpha_C} & F(C) \\ f \circ (-) \downarrow & & \downarrow F(f) \\ h^C(C') & \xrightarrow{\alpha_{C'}} & F(C') \end{array} & & \\ f \circ 1_C = f & \xrightarrow{\quad\quad\quad} & F(f)(\alpha_C(1_C)) = \alpha_{C'}(f) \end{array}$$

Thus $F(f)(\alpha_C(1_C)) = \alpha_{C'}(f)$, which is the same as the down-right direction. So the diagram commutes, and we are done. \square

2.1 Yoneda embeddings

Proposition 2.2. The functor

$$\begin{aligned} \mathcal{Y} : \mathcal{C}^{\text{op}} &\rightarrow \text{Set}^{\mathcal{C}} \\ C &\mapsto h^C \end{aligned}$$

is full and faithful. Thus it embeds $\mathcal{C}^{\text{op}} \hookrightarrow \text{Set}^{\mathcal{C}}$.

Proof. We must show that the map

$$\mathcal{Y} : \mathcal{C}(C, C') \rightarrow \text{Nat}(h^C, h^{C'})$$

is bijective for all $C, C' \in \mathcal{C}$. By the Yoneda lemma,

$$\text{Nat}(h^{C'}, h^C) = h^C(C') = \mathcal{C}(C, C').$$

One checks \mathcal{Y} is the inverse of the forward map. □

Proposition 2.3. The functor

$$\begin{aligned} \mathcal{Y} : \mathcal{C} &\rightarrow \text{Set}^{\mathcal{C}^{\text{op}}} \\ C &\mapsto h_C = \mathcal{C}(-, C) \end{aligned}$$

is full and faithful.

Corollary 2.4. If $h_C = h_{C'} \in \text{Set}^{\mathcal{C}^{\text{op}}}$, then $C \cong C'$ in \mathcal{C} .

2.2 application: methods of acyclic models

Definition 2.5. Let \mathcal{C} be a category. Let $\mathcal{M} \subset \text{Obj}(\mathcal{C})$ be a set of objects, which we will call “models”. A functor $F : \mathcal{C} \rightarrow \text{Ab}$ is *free on the models* if F is a direct sum of a free-representable functor, i.e. $F = \mathbb{Z}[h^M(-)]$ for some $M \in \mathcal{M}$.

Definition 2.6. A sequence of functors

$$G' \xRightarrow{u} G \xRightarrow{v} G''$$

from $\mathcal{C} \rightarrow \text{Ab}$ is called *acyclic on the models* if, for all $M \in \mathcal{M}$, the sequence

$$G'(M) \xrightarrow{u_M} G(M) \xrightarrow{v_M} G''(M)$$

is exact.

Lemma 2.7. Let $G' \xRightarrow{u} G \xRightarrow{v} G''$ be a sequence of functors $\mathcal{C} \rightarrow \text{Ab}$ that is acyclic on models $\mathcal{M} \subset \mathcal{C}$. Let $F : \mathcal{C} \rightarrow \text{Ab}$ be a functor that is free on the models \mathcal{M} .

Suppose there exists a natural transformation $f : F \Rightarrow G$ such that $v \circ f = 0$. Then there exists a natural transformation $\tilde{f} : F \Rightarrow G'$ such that $u \circ \tilde{f} = f$.

$$\begin{array}{ccccc} & & F & & \\ & \swarrow \tilde{f} & \Downarrow f & \searrow 0 & \\ G' & \xrightarrow{u} & G & \xrightarrow{v} & G'' \end{array}$$

Proof. By assumption, F is a direct sum of some copies of h^M . Let's call the index set of this direct product J . Then in particular it satisfies the universal property for coproducts: given a family of maps $\phi_j : h^M \rightarrow G'$ there exists a unique map $\tilde{f} : F \rightarrow G'$ making the following diagram commute:

$$\begin{array}{ccc} & & G' \\ & \nearrow \phi_j & \uparrow \tilde{f} \\ h^M & \xrightarrow{i} & F \end{array}$$

Thus to get a \tilde{f} we just need to define ϕ_j for all $j \in J$. Since each ϕ_j will be a natural transformation $h^M \Rightarrow G'$, by the Yoneda lemma it suffices to specify $(\phi_j)_M(1_M)$. We'll pick that by exactness:

$$\begin{array}{ccccc} & & 1_M & & \\ & & \downarrow f_M & \searrow 0_M & \\ \tilde{f}(1_M) & \xrightarrow{u_M} & f_M(1_M) & \xrightarrow{v_M} & 0 \end{array}$$

We start at the top. The image in G lies in the kernel of v_M by the commutativity of the triangle, hence by exactness pulls back to an object in G' . This is what we will define as $\tilde{f}(1_M)$ (fix notation?).

By our remarks above, we have thus defined a natural transformation $\tilde{f} : F \Rightarrow G'$. Does this really give us $u \circ \tilde{f} = f$? Yes: both $u \circ \tilde{f}$ and f are natural transformations between F and G , where F is a direct sum of representable functors. Hence f and $u \circ \tilde{f}$ are entirely determined by the component maps $h^M \rightarrow G$, which by Yoneda are entirely determined by where their M -component sends 1_M . It might seem like we do not know the component maps $f_j : h^M \rightarrow G$ of f , only where it sends 1_M in aggregate. However, observe that $(f_j)_M(1_M)$ must equal $f_M(1_M)$ by the universal property of coproducts. (how to show existence though?). \square

Theorem 2.8 (acyclic models). Let $\mathcal{M} \subset \mathcal{C}$ be a class of models. Suppose we have two augmented chain complexes of functors $\mathcal{C} \rightarrow \mathbf{Ab}$ and a natural isomorphism f_{-1} :

$$\begin{array}{ccccccc} \cdots & \longrightarrow & F_1 & \longrightarrow & F_0 & \longrightarrow & F_{-1} \longrightarrow 0 \\ & & & & & & \sim \downarrow f_{-1} \\ \cdots & \longrightarrow & G_1 & \longrightarrow & G_0 & \longrightarrow & G_{-1} \longrightarrow 0 \end{array}$$

Assume furthermore that each F_i is free on the models, and the complex G_* is acyclic on the models.

Then there exists a natural chain map $f_* : F_* \rightarrow G_*$ extending the augmentation, and any two such are *naturally* chain homotopic.

Proof. The idea is to iteratively apply the previous lemma. \square

Theorem 2.9 (Eilenberg-Zilber). Let X, Y be topological spaces. Let F be the chain complex $C_*(X \times Y)$. Let G be the chain complex $C_*(X) \otimes C_*(Y)$.

One considers the maps $\phi : F \rightarrow G$ (called the Alexander-Whitney map) and $\psi : G \rightarrow F$ (called the Eilenberg-Zilber map). Then $\psi\phi \simeq 1$ naturally, i.e. $\psi\phi$ is naturally chain homotopic to the identity on F .

Proof. The idea is to let \mathcal{M} be the set $\{\Delta^p, \Delta^q\}_{p,q \geq 0}$ and apply the acyclic models theorem. \square

3 adjoint functors

Definition 3.1. Let \mathcal{C}, \mathcal{D} be categories, and consider a pair of covariant functors

$$\mathcal{C} \begin{array}{c} \xrightarrow{F} \\ \xleftarrow{G} \end{array} \mathcal{D}$$

If there exists a natural isomorphism

$$\alpha : \mathcal{D}(F(-), -) \xrightarrow{\sim} \mathcal{C}(-, G(-))$$

of functors $\mathcal{C}^{\text{op}} \times \mathcal{D} \rightarrow \text{Set}$, i.e. if there exists a bijection

$$\begin{aligned} \alpha_{C,D} : \mathcal{D}(F(C), D) &\rightarrow \mathcal{C}(C, G(D)) \\ f &\mapsto f^\flat \\ f^\sharp &\leftarrow f \end{aligned}$$

for all $C \in \mathcal{C}$ and all $D \in \mathcal{D}$ that is natural in both C and D , then we say

- F is a *left adjoint* to G , denoted $F \dashv G$.
- G is a *right adjoint* to F , denoted $G \vdash F$.

Example 3.2. Let $\mathcal{C} = \text{Set}$ and $\mathcal{D} = R\text{Mod}$. Let $F : \mathcal{C} \rightarrow \mathcal{D}$ be the “free module” functor and $G : \mathcal{D} \rightarrow \mathcal{C}$ be the forgetful functor.

3.1 (co)unit

3.3. Recall that for an adjunction $F \dashv G$ between categories \mathcal{C} and \mathcal{D} there is an isomorphism

$$\alpha_{C,D} : \mathcal{D}(F(C), D) \xrightarrow{\sim} \mathcal{C}(C, G(D))$$

for every $C \in \mathcal{C}$ and every $D \in \mathcal{D}$, sending a morphism to its “transpose”. Consider the special case where we take $D = F(C)$. Then in particular we may associate to $1_{F(C)}$ a map $\eta_C : C \rightarrow GF(C)$:

$$\begin{aligned} \mathcal{D}(F(C), F(C)) &\xrightarrow{\sim} \mathcal{C}(C, GF(C)) \\ 1_{F(C)} &\mapsto \eta_C := 1_{F(C)}^\flat. \end{aligned}$$

Likewise, by taking $C = G(D)$ we may associate to $1_{G(D)}$ a map $\epsilon_D : FG(D) \rightarrow D$:

$$\begin{aligned} \mathcal{D}(FG(D), D) &\xrightarrow{\sim} \mathcal{C}(G(D), G(D)) \\ \epsilon_D &:= 1_{G(D)}^\sharp \leftarrow 1_{G(D)}. \end{aligned}$$

Proposition 3.4. $\eta = \{\eta_C\}_{C \in \mathcal{C}}$ defines a natural transformation $1_{\mathcal{C}} \Rightarrow GF$, and $\epsilon = \{\epsilon_D\}_{D \in \mathcal{D}}$ defines a natural transformation $FG \Rightarrow 1_{\mathcal{D}}$.

Proof. We will show that η is a natural transformation, and the other situation in analogous. Let $f : C \rightarrow C'$ in \mathcal{C} . We must show the commutativity of the following diagram:

$$\begin{array}{ccc} C & \xrightarrow{\eta_C} & GF(C) \\ f \downarrow & & \downarrow GF(f) \\ C' & \xrightarrow{\eta_{C'}} & GF(C') \end{array}$$

To do that, consider the following diagrams which follow from the naturality of α :

$$\begin{array}{ccc} \mathcal{D}(F(C), F(C)) & \xrightarrow{\alpha_{C, F(C)}} & \mathcal{C}(C, GF(C)) \\ F(f) \circ (-) \downarrow & & \downarrow GF(f) \circ (-) \\ \mathcal{D}(F(C), F(C')) & \xrightarrow{\alpha_{C, F(C')}} & \mathcal{C}(C, GF(C')) \end{array} \quad \begin{array}{ccc} \mathcal{D}(F(C'), F(C')) & \xrightarrow{\alpha_{C', F(C')}} & \mathcal{C}(C', GF(C')) \\ (-) \circ F(f) \downarrow & & \downarrow (-) \circ f \\ \mathcal{D}(F(C), F(C')) & \xrightarrow{\alpha_{C, F(C')}} & \mathcal{C}(C, GF(C')) \end{array}$$

The bottom rows are the same in both diagrams. If we take the left diagram and follow where $1_{F(C)}$ gets mapped to (starting in the top left corner), we see by taking the right-down direction that it is mapped to $GF(f) \circ \eta_C$. Note this is the right-down direction of the diagram we are trying to prove the commutativity of. Now consider the right diagram, and follow where $1_{F(C')}$ goes (starting in the top left corner). Taking the right-down direction we see that it goes to $\eta_{C'} \circ f$, which is the down-right direction of the diagram we are trying to prove the commutativity of.

To conclude that $GF(f) \circ \eta_C = \eta_{C'} \circ f$, it suffices to show that they are the image of the same object in $\mathcal{D}(F(C), F(C'))$, which is the object in the bottom left of both diagrams. Well in the left diagram $1_{F(C)}$ is sent to $F(f)$ in there, and likewise in the right diagram $1_{F(C')}$ is sent to $F(f)$ there. \square

Definition 3.5. $\eta : 1_{\mathcal{C}} \Rightarrow GF$ is called the *unit* of the adjunction. $\epsilon : FG \Rightarrow 1_{\mathcal{D}}$ is called the *counit* of the adjunction.

Example 3.6.

1. Let $F : \text{Set} \rightarrow R\text{Mod}$ be the “free” functor, sending a set to the free R -module on that set. Let $G : R\text{Mod} \rightarrow \text{Set}$ be the forgetful functor. We have that $F \dashv G$.
 - the unit $\eta_C : C \rightarrow GF(C)$ sends an element $c \in C$ to the basis element $(0, \dots, 0, 1, 0, \dots)$ where the 1 corresponds to the c th copy of R in the free module.
 - The object $GF(D)$ is the free module on the underlying set of a module. The counit $\epsilon_D : GF(D) \rightarrow D$. This map demonstrates that every R -module admits a free resolution, namely an exact sequence

$$0 \rightarrow \ker(\epsilon_D) \rightarrow FG(D) \rightarrow D \rightarrow 0$$

where all objects other than D are free modules (submodules of a free module are free).

2. Let $F : \mathbf{Ab} \rightarrow \mathbf{Ring}$ be the functor which associates to an abelian group A the tensor algebra on A . As a graded module, this is

$$F(A) = \mathbb{Z} \oplus A \oplus A^{\otimes 2} \oplus \dots = \coprod_{n \geq 0} A^{\otimes n}.$$

Multiplication is

$$A^{\otimes p} \times A^{\otimes q} \rightarrow A^{\otimes p} \otimes A^{\otimes q} = A^{\otimes (p+q)}.$$

Once again, let $G : \mathbf{Ring} \rightarrow \mathbf{Ab}$ be the forgetful functor. Then $F \dashv G$.

- The unit $\epsilon_A : A \rightarrow GF(A)$ is the inclusion of A into the $A^{\otimes 1} = A$ component of the coproduct (now viewed as an abelian group rather than a ring).
- TODO

3. Over \mathbb{R} , Lie algebras and associative algebras. TODO

4. Let $F : \mathbf{Grp} \rightarrow \mathbf{Ab}$ be the abelianization functor which sends a group to its quotient by the commutator subgroup, and let $G : \mathbf{Ab} \rightarrow \mathbf{Grp}$ be the forgetful functor.

- the unit $\eta_C : C \rightarrow GF(C)$ abelianizes a group and then forgets the abelian structure.
- the counit $\eta_D : FG(D) \rightarrow D$ sends an abelian group to its abelianization, hence doesn't really do anything: η_D is an isomorphism.

Proposition 3.7 (transpose equivalence). Let $F \dashv G$ be adjoint functors between \mathcal{C} and \mathcal{D} . Let $f : C \rightarrow C' \in \mathcal{C}$ and $g : D \rightarrow D' \in \mathcal{D}$. Consider the diagrams

$$\begin{array}{ccc} F(C) & \xrightarrow{u} & D \\ F(f) \downarrow & & \downarrow g \\ F(C') & \xrightarrow{v} & D' \end{array} \qquad \begin{array}{ccc} C & \xrightarrow{u^b} & G(D) \\ f \downarrow & & \downarrow G(g) \\ C' & \xrightarrow{v^b} & G(D') \end{array}$$

where u, v are some morphisms. Then one diagram commutes if and only if the other commutes.

Proof. Consider the following diagrams expressing the naturality of the adjunction:

$$\begin{array}{ccc} \mathcal{D}(F(C), D) & \xrightarrow{\sim} & \mathcal{C}(C, G(D)) \\ g \circ (-) \downarrow & & \downarrow G(g) \circ (-) \\ \mathcal{D}(F(C), D') & \xrightarrow{\sim} & \mathcal{C}(C, G(D')) \end{array} \qquad \begin{array}{ccc} \mathcal{D}(F(C'), D') & \xrightarrow{\sim} & \mathcal{C}(C', G(D')) \\ (-) \circ F(f) \downarrow & & \downarrow (-) \circ f \\ \mathcal{D}(F(C), D') & \xrightarrow{\sim} & \mathcal{C}(C, G(D')) \end{array}$$

Consider the left diagram. Following u we get $G(g) \circ u^b = (g \circ u)^b$. In the right diagram, if we follow v we get $v^b \circ f = (v \circ F(c))^b$. Note that all of these things are equal, i.e.

$$G(g) \circ u^b = (g \circ u)^b = v^b \circ f = (v \circ F(c))^b$$

then the second diagram in the proposition commutes. By the commutativity of both diagrams, and especially the isomorphism between the bottom row which they both share, this will only happen if they are the image of the same element in $\mathcal{D}(F(C), D')$. Thus we need to show that (from the left diagram) $g \circ u$ equals (from the right diagram) $v \circ F(f)$. But this equality is exactly the condition that the first diagram in the proposition commutes. \square

3.8. Our goal now is to show that the unit and counit completely characterize the adjunction.

Proposition 3.9. Suppose $F \dashv G$ between \mathcal{C} and \mathcal{D} . For any $C \in \mathcal{C}$, the following diagram commutes:

$$\begin{array}{ccc} F(C) & & \\ F(\eta_C) \downarrow & \searrow 1_{F(C)} & \\ FGF(C) & \xrightarrow{\epsilon_{F(C)}} & F(C) \end{array} \quad (\text{T1})$$

Remark 3.10. This is the same spirit as a Galois connection. Consider the case where \mathcal{C} is a poset, so an arrow $a \rightarrow b$ means $a \leq b$. Suppose we have an adjunction with another poset category \mathcal{D} . Then the unit $C \rightarrow GF(C)$ says that $C \leq GF(C)$, which is the “inflationary” property of a Galois connection. Similarly, the counit is an arrow $FG(D) \rightarrow D$, i.e. $FG(D) \leq D$, which is the “deflationary” property of a Galois connection.

Remark 3.11. We can (somewhat ambiguously) write see this as the following diagram:

$$\begin{array}{ccc} F & & \\ F(\eta) \Downarrow & \searrow 1_F & \\ FGF & \xrightarrow{\epsilon_F} & F \end{array}$$

Proof of proposition. T1 commutes iff this commutes:

$$\begin{array}{ccc} F(C) & \xrightarrow{1_{F(C)}} & F(C) \\ F(\eta_C) \downarrow & & \downarrow 1_{F(C)} \\ FGF(C) & \xrightarrow{\epsilon_{F(C)}} & F(C) \end{array}$$

which commutes (by the previous proposition) if and only if this commutes:

$$\begin{array}{ccc} C & \xrightarrow{1_{F(C)}^\flat = \eta_C} & F(C) \\ \eta_C \downarrow & & \downarrow G(1_{F(C)}) = 1_{GF(C)} \\ GF(C) & \xrightarrow{\epsilon_{F(C)}^\flat = 1_{GF(C)}} & GF(C) \end{array}$$

but this manifestly commutes. \square

Proposition 3.12. Under the same hypothesis as the previous proposition, the following diagram commutes:

$$\begin{array}{ccc} G(D) & & \\ \eta_{G(D)} \downarrow & \searrow 1_{G(D)} & \\ GF G(D) & \xrightarrow{G(\epsilon_D)} & G(D) \end{array} \quad (\text{T2})$$

Theorem 3.13. Given functors $F : \mathcal{C} \rightarrow \mathcal{D} : G$ and natural transformations $\eta : 1_{\mathcal{C}} \rightarrow GF$, $\epsilon : FG \rightarrow 1_{\mathcal{D}}$ satisfying (T1), (T2), then there is an adjunction $F \dashv G$ defined as follows:

- given $f : C \rightarrow G(D)$, define

$$f^{\sharp} = F(C) \xrightarrow{F(f)} FG(D) \xrightarrow{\epsilon_D} D.$$

- given $g : F(C) \rightarrow D$, define

$$g^{\flat} : C \xrightarrow{\eta_C} GF(C) \xrightarrow{G(g)} G(D).$$

Proof. HW □

4 (co)limits

4.1. Let J be a small index category.

Example 4.2. The following are examples of small index categories:

1. (discrete category) the only arrows are the identity ones.
2. (parallel arrows) two objects, labeled 1 and 2, and two distinct arrows $\gamma, \gamma' : 1 \rightarrow 2$ between them.

$$1 \begin{array}{c} \xrightarrow{\gamma} \\ \xrightarrow{\gamma'} \end{array} 2$$

3. The following:

$$\begin{array}{ccc} & 2 & \\ & \downarrow \gamma_0^2 & \\ 1 & \xrightarrow{\gamma_0^1} & 0 \end{array}$$

4. $(\mathbb{N}, \leq)^{\text{op}}$:

$$\dots \longrightarrow 3 \xrightarrow{\gamma_2^3} 2 \xrightarrow{\gamma_1^2} 1$$

Definition 4.3. A *diagram of shape J* in a category \mathcal{C} is a covariant functor $F : J \rightarrow \mathcal{C}$.

Example 4.4. The numbering corresponds to the examples of the small index categories J .

1. For a discrete index category J , a J -shaped diagram is a collection of objects $\{F_j\}_{j \in J}$ of objects in \mathcal{C} .
2. This functor is essentially

$$F_1 \begin{array}{c} \xrightarrow{F(\gamma)} \\ \xrightarrow{F(\gamma')} \end{array} F_2$$

3. This functor is essentially

$$\begin{array}{ccc} & F_2 & \\ & \downarrow \mu_0^2 & \\ F_1 & \xrightarrow{\mu_0^1} & F_0 \end{array}$$

4. This functor is essentially

$$\cdots \longrightarrow F_3 \xrightarrow{\mu_2^3} F_2 \xrightarrow{\mu_1^2} F_1$$

Definition 4.5. Consider the functor category \mathcal{C}^J of J -shaped diagrams in \mathcal{C} . The *constant functor* or *diagonal functor* is

$$\begin{aligned} \Delta : \mathcal{C} &\rightarrow \mathcal{C}^J \\ C &\mapsto \begin{cases} (j \in \mathcal{C}) \mapsto C \\ (f \in \text{Mor}(\mathcal{C})) \mapsto 1_C \end{cases} \end{aligned}$$

the one sending $C \in \mathcal{C}$ to the functor which sends every object in J to C and every arrow in J to 1_C .

Definition 4.6. Let $F : J \rightarrow \mathcal{C}$ be a J -shaped diagram in \mathcal{C} . A *cone* over F with apex $C \in \mathcal{C}$ is a natural transformation $f : \Delta(C) \Rightarrow F$.

Example 4.7. The numbering corresponds to the previous examples.

1. This is a collection of arrows

$$\begin{array}{ccc} C & C & C \\ \downarrow & \downarrow & \downarrow \\ F_1 & F_2 & F_3 \end{array}$$

which, if we collapse the unnecessary ones, looks like

$$\begin{array}{ccc} & C & \\ \swarrow & \downarrow & \searrow \\ F_1 & F_2 & F_3 \end{array}$$

2. This looks like

$$\begin{array}{ccc} C & \xrightleftharpoons[1_C]{1_C} & C \\ f_1 \downarrow & & \downarrow f_2 \\ F_1 & \xrightleftharpoons[F(\gamma')]{F(\gamma)} & F_2 \end{array}$$

or essentially

$$\begin{array}{ccc} & C & \\ f_1 \swarrow & & \searrow f_2 \\ F_1 & \xrightleftharpoons[F(\gamma')]{F(\gamma)} & F_2 \end{array}$$

3. This looks like

$$\begin{array}{ccccc} & & C & & \\ & & \downarrow 1_C & \searrow f_2 & \\ C & \xrightarrow{1_C} & C & & F_2 \\ & \searrow f_1 & & \searrow f_0 & \downarrow \mu_0^2 \\ & & F_1 & \xrightarrow{\mu_0^1} & F_0 \end{array}$$

or essentially

$$\begin{array}{ccc} C & \xrightarrow{f_2} & F_2 \\ f_1 \downarrow & & \downarrow \mu_0^2 \\ F_1 & \xrightarrow{\mu_0^1} & F_0 \end{array}$$

4. This looks like

$$\begin{array}{ccccccc} \cdots & \longrightarrow & C & \longrightarrow & C & \longrightarrow & C \\ & & \downarrow f_3 & & \downarrow f_2 & & \downarrow f_1 \\ \cdots & \longrightarrow & F_3 & \longrightarrow & F_2 & \longrightarrow & F_1 \end{array}$$

or essentially

$$\begin{array}{ccccccc} & & C & & & & \\ & & \downarrow f_3 & \searrow f_2 & \searrow f_1 & & \\ \cdots & \longrightarrow & F_3 & \longrightarrow & F_2 & \longrightarrow & F_1 \end{array}$$

Definition 4.8. Let $F : J \rightarrow \mathcal{C}$ be a diagram, let f be a cone with apex C above F . A *limit cone* above F is a universal cone above F , i.e. it has data:

- an object $\varprojlim F \in \mathcal{C}$ (the apex)
- a collection of maps $\mu_i : \varprojlim F \rightarrow F_i$ (the “legs”)

such that if $\{C \xrightarrow{f_j} F_j\}_j$ is any cone above F then there exists a unique arrow $\tilde{f} : C \rightarrow \varprojlim F$ such that the following diagrams commutes:

$$\begin{array}{ccc} C & & \\ \tilde{f} \downarrow & \searrow f_j & \\ \varprojlim F & \xrightarrow{\mu_j} & F_j \end{array}$$

Example 4.9. For $J = (\mathbb{N}, \leq)$, this looks like

$$\begin{array}{ccccc} C & & & & \\ \tilde{f} \downarrow & \searrow f_3 & \searrow f_2 & \searrow f_1 & \\ \varprojlim F & \xrightarrow{\mu_3} & \xrightarrow{\mu_2} & \xrightarrow{\mu_1} & \\ & \searrow & \searrow & \searrow & \\ & F_3 & F_2 & F_1 & \end{array}$$

Example 4.10. For J the discrete category with two objects, this looks like

$$\begin{array}{ccc} & C & \\ f_1 \swarrow & \tilde{f} \downarrow & \searrow f_2 \\ & \varprojlim F & \\ \pi_1 \swarrow & & \searrow \pi_1 \\ F_1 & & F_2 \end{array}$$

Note this is the categorical product of two objects. In fact, if J is any discrete index category then a limiting cone is just a cone with the categorical product as the apex and projection as the legs.

Example 4.11. Let J be the parallel arrow category, i.e. the one with two objects and two nontrivial arrows from one to the other. A limiting cone looks like:

$$\begin{array}{ccccc} C & & & & \\ \tilde{f} \downarrow & \searrow f_1 & \searrow f_2 & & \\ & F_1 & \xrightarrow{\mu} & F_2 & \\ & \nearrow \mu_1 & \nearrow \mu' & & \\ \varprojlim F & & & & \end{array}$$

For example, if we consider $\mathcal{C} = \text{Grp}$ then and let consider the diagram F be

$$G \xrightarrow[0]{\phi} H$$

then $\varprojlim F$ is just $\ker(\phi)$. Indeed, the apex of any cone above F would need to be a group consisting of elements which map into the elements of F_1 which vanish in F_2 . The “largest” such group is the kernel of ϕ .

Corollary 4.12. Let \mathcal{C} be a category that has limits. Then \varprojlim is right adjoint to $\Delta(-)$:

$$\begin{array}{ccc} \mathcal{C}^J & \xrightarrow{\Delta} & \mathcal{C} \\ & \dashv & \\ & \xleftarrow{\varprojlim} & \end{array}$$

In particular, for each $C \in \mathcal{C}$ and each $F \in \mathcal{C}^J$, there is a bijection

$$\mathcal{C}^J(\Delta(C), F) \xrightarrow{\sim} \mathcal{C}(C, \varprojlim F)$$

sending

$$\text{Cone}(C \xrightarrow{f_j} F_j)_{j \in J} \mapsto \tilde{f},$$

where \tilde{f} is the unique map into the limit, and sending

$$(g : C \rightarrow \varprojlim F) \mapsto \text{Cone}(C \xrightarrow{g} \varprojlim F \xrightarrow{\mu_j} F_j)_{j \in J}$$

where μ_j are the legs of the limiting cone.

Definition 4.13. A *cone below* a diagram $F : J \rightarrow \mathcal{C}$ is a natural transformation $f : F \Rightarrow \Delta(C)$.

Example 4.14.

1. When J is discrete with two objects:

$$\begin{array}{ccc} F_1 & & F_2 \\ \downarrow & & \downarrow \\ C & & C \end{array} \qquad \begin{array}{ccc} F_1 & & F_2 \\ & \searrow & \swarrow \\ & C & \end{array}$$

2. When J is the parallel arrow category:

$$\begin{array}{ccc} F_1 & \rightrightarrows & F_2 \\ \downarrow & & \downarrow \\ C & \rightrightarrows & C \end{array} \qquad \begin{array}{ccc} F_1 & \rightrightarrows & F_2 \\ & \searrow & \swarrow \\ & C & \end{array}$$

Definition 4.15. A *colimit* of a J -shaped diagram $F : J \rightarrow \mathcal{C}$ is the apex of a universal cone $(\mu^j : F_j \rightarrow \varinjlim F)_{j \in J}$, i.e. given any cone $(f^j : F_j \rightarrow C)$ below F , there exists a unique arrow $\hat{f} : \varinjlim F \rightarrow C$ such that the following diagrams commutes:

$$\begin{array}{ccc} & C & \\ & \uparrow \hat{f} & \\ \varinjlim F & \xleftarrow{\mu^j} & F_j \\ & \nwarrow f^j & \end{array}$$

Corollary 4.16. Let \mathcal{C} be a category that has colimits. Then \varinjlim is left adjoint to Δ :

$$\mathcal{C} \begin{array}{c} \xrightarrow{\varinjlim} \\ \dashv \\ \xleftarrow{\Delta} \end{array} \mathcal{C}^J .$$

So for any $(F : J \rightarrow \mathcal{C}) \in \mathcal{C}^J$ and any $C \in \mathcal{C}$ there is a bijection

$$\mathcal{C}(\varinjlim F, C) \xrightarrow{\sim} \mathcal{C}^J(F, \Delta(C))$$

sending

$$(h : \varinjlim F \rightarrow C) \mapsto \text{Cone}(F_j \xrightarrow{\mu^j} \varinjlim F \xrightarrow{h} C)_{j \in J}$$

and sending

$$\text{Cone}(F_j \xrightarrow{f^j} C)_{j \in J} \mapsto \hat{f}.$$

Example 4.17.

1. For a discrete category J , $\varinjlim F$ is the coproduct $\coprod_j F_j$.
2. For the parallel arrow category J , $\varinjlim F$ is called a coequalizer:

$$\begin{array}{ccc} & & \varinjlim F \\ & \mu_1 \nearrow & \\ F_1 & \xrightarrow[\mu']{\mu} & F_2 \\ & \mu_2 \nearrow & \\ & f_2 \searrow & \\ & & C \end{array}$$

f_1

4.1 construction of limits in Set

4.18. Let $F : J \rightarrow \mathcal{C}$ be a J -shaped diagram in \mathcal{C} . Then we have a contravariant functor

$$\text{Cone}_F : \mathcal{C} \rightarrow \text{Set}$$

which sends $C \in \mathcal{C}$ to the set of cones above F with apex C , i.e.

$$C \in \mathcal{C} \mapsto \text{Nat}(\Delta(C), F),$$

and sends

$$(g : C' \rightarrow C) \mapsto g^* = (-) \circ g$$

where

$$\begin{aligned} g^* : \text{Cone}_F(C) &\rightarrow \text{Cone}_F(C') \\ (f_j : C \rightarrow F_j)_{j \in J} &\mapsto (f_j \circ g : C' \rightarrow F_j)_{j \in J}. \end{aligned}$$

Corollary 4.19. If \mathcal{C} has limits, then $\text{Cone}_F : \mathcal{C} \rightarrow \text{Set}$ is a representable functor represented by $\varprojlim F$:

$$h_{\varprojlim F}(C) = \mathcal{C}(C, \varprojlim F) \xrightarrow{\sim} \text{Nat}(\Delta(C), F) = \text{Cone}_F(C),$$

which follows from the adjunction $\varprojlim \vdash \Delta$.

4.20. Note also that 1_{Set} is a covariant representable functor, represented by the singleton set $1 = \{*\}$. Then, taking $\mathcal{C} = \text{Set}$ in the above corollary, assuming $\varprojlim F$ exists we would have

$$\begin{aligned} \varprojlim F &= 1_{\text{Set}}(\varprojlim F) = h^1(\varprojlim F) = \text{Set}(1, \varprojlim F) \\ &= h_{\varprojlim F}(1) = \text{Cone}_F(1). \end{aligned}$$

But a cone above $F : J \rightarrow \text{Set}$ with apex 1 is a collection of arrows $(f_j : 1 \rightarrow F_j)_{j \in J}$ in Set such that, for any arrow $\gamma : i \rightarrow j$ in J , the following diagrams commute:

$$\begin{array}{ccc} 1 = \{*\} & & \\ f_i \downarrow & \searrow f_j & \\ F_i & \xrightarrow{F(\gamma)} & F_j \end{array}$$

i.e. $f_j(\{*\}) = F(\gamma)(f_i(\{*\}))$. Let $x_j = f_j(*) \in F_j$ for all $j \in J$. Then for any arrow $\gamma : i \rightarrow j$ in J we have $x_j = F(\gamma)(x_i)$.

This leads us to define the following:

Theorem 4.21 (construction of limit in Set). Let F be a J -shaped diagram in \mathcal{C} . Then

$$\varprojlim F = \{(x_i) \in \prod_{i \in J} F_i : \forall (\gamma : i \rightarrow j) \in J, F(\gamma)(x_i) = x_j\}.$$

4.22. In the special case where J is a poset...

Lemma 4.23. Let L be the set in the above theorem. Then the cone $(\mu_i : L \rightarrow F_i)_{i \in J}$ is universal.

Proof. Let $(f_i : C \rightarrow F_i)_{i \in J}$ be any cone over F . We want to show there exists a unique $\tilde{f} : C \rightarrow L$ such that the following diagrams commute:

$$\begin{array}{ccc} C & & \\ \tilde{f} \downarrow & \searrow f_j & \\ L & \xrightarrow{\mu_j} & F_j \end{array}$$

(Uniqueness.) For $x \in C$, $\tilde{f}(x) \in L$ is completely determined by its projects: by the universal mapping property of the Cartesian product, there exists a unique map $\tilde{f} : C \rightarrow \prod F_i$ such

that for all $j \in J$ the following diagrams commute:

$$\begin{array}{ccc} C & & \\ \tilde{f} \downarrow & \searrow f_j & \\ \prod F_i & \xrightarrow{\pi_j} & F_j \end{array}$$

and \tilde{f} is given by $\tilde{f}(x) = (f_i(x))_{i \in J}$ for all $x \in C$.

(Existence.) If $\tilde{f} : C \rightarrow \prod F_i$ is defined as above, we want to show that \tilde{f} carries C into $L \subset \prod F_i$. Now $(f_i : C \rightarrow F_i)$ is a cone over F , so for any arrow $\gamma : i \rightarrow j$ in J the following diagram commutes:

$$\begin{array}{ccc} C & & \\ f_i \downarrow & \searrow f_j & \\ F_i & \xrightarrow{F(\gamma)} & F_j \end{array}$$

i.e. $f_j(x) = F(\gamma)(f_i(x))$. But this is exactly the condition that $(f_i(x))_{i \in J} = \tilde{f}(x)$ lies in L . \square

Example 4.24.

1. For a discrete category J there are no maps other than the identities on objects, so the condition in the definition of $\varprojlim F$ is vacuous, and so $\varprojlim F = \prod F_i$.
2. For J the parallel arrow category,

$$\begin{aligned} \varprojlim F &= \{(x_1, x_2) \in F_1 \times F_2 : \mu(x_1) = x_2, \mu'(x_1) = x_2\} \\ &= \{x_1 \in F_1 : \mu(x_1) = \mu'(x_1)\}, \end{aligned}$$

i.e. the set of elements in F_1 that map to the same thing under μ and μ' .

4.2 limits via products and equalizers

4.25. The construction of the limit in \mathbf{Set} basically followed from the existence of the Cartesian product on sets.

4.26. Let $F : J \rightarrow \mathbf{Set}$ be a diagram. By the universal mapping property of products, there exists a unique map ϕ making the following diagram commute:

$$\begin{array}{ccc} F_j & \xrightarrow{1_{F_j}} & F_j \\ \pi_j \uparrow & \nearrow & \uparrow \pi_j \\ \prod_{k \in J} F_k & \xrightarrow{\phi} & \prod_{\gamma} F_{\text{cod}(\gamma)} \end{array}$$

where $j = \text{cod}(\gamma)$ is the codomain of γ . But for the same reason, there is also a map ψ making this diagram commute:

$$\begin{array}{ccc} \prod_{k \in J} F_k & & \prod_{\gamma} F_{\text{cod}(\gamma)} \\ \pi_i \downarrow & \searrow & \downarrow \pi_j \\ F_i & \xrightarrow{F(\gamma)} & F_j \end{array} \quad \begin{array}{ccc} \prod_{k \in J} F_k & \xrightarrow{\psi} & \prod_{\gamma} F_{\text{cod}(\gamma)} \\ \pi_i \downarrow & \searrow & \downarrow \pi_j \\ F_i & \xrightarrow{F(\gamma)} & F_j \end{array}$$

where $i = \text{dom}(\gamma)$ is the domain of γ .

Now let E be the equalizer of ϕ, ψ . This is given explicitly (e.g. from our example) as

$$E = \{(x_k)_k \in \prod_{k \in J} F_k : \phi((x_k)_k) = \psi((x_k)_k)\}.$$

This condition is precisely the one that the composite diagram commutes:

$$\begin{array}{ccc} F_j & \xrightarrow{1_{F_j}} & F_j \\ \pi_j \uparrow & & \uparrow \pi_j \\ E & \xrightarrow{\phi=\psi} & \prod_{\gamma} F_{\text{cod}(\gamma)} \\ \pi_i \downarrow & & \downarrow \pi_j \\ F_i & \xrightarrow{F(\gamma)} & F_j \end{array}$$

Since the maps on the right are the same, this commutativity is saying that, letting x_i be the F_i -component of an element in E , that $F(\gamma)(x_i) = x_j$, which is the condition in our previous definition of the limit. So $E = \varprojlim F$.

Theorem 4.27. If a category \mathcal{C} has products and equalizers, then it has limits.

Theorem 4.28. If a category has coproducts and coequalizers, then it has colimits.

4.3 construction of colimits in Set

Proposition 4.29. A coproduct in Set is a disjoint union.

Proposition 4.30. Set has coequalizers. This is given explicitly as follows: Given the diagram,

$$F_1 \begin{array}{c} \xrightarrow{\mu} \\ \xrightarrow{\mu'} \end{array} F_2 ,$$

consider

$$F_1 \begin{array}{c} \xrightarrow{\mu} \\ \xrightarrow{\mu'} \end{array} F_2 \xrightarrow{\pi} C = F_2 / \sim$$

where \sim is the equivalence relation on F_2 generated by requiring $\mu(x) \sim \mu'(x)$. Then C is the coequalizer of the diagram.

Proof. We must show, given another map $h : F_2 \rightarrow X$ such that $h\mu = h\mu'$, that there exists a unique map $\hat{h} : C \rightarrow X$ making the following diagram commute:

$$\begin{array}{ccccc} F_1 & \xrightarrow[\mu']{\mu} & F_2 & \xrightarrow{\pi} & C \\ & & \searrow h & & \downarrow \hat{h} \\ & & & & X \end{array}$$

(Uniqueness) Suppose the map \hat{h} exists. Then for $c = [y] \in C$ (where $y \in F_2$), we have

$$\hat{h}(c) = \hat{h}([y]) = \hat{h}(\pi(y)) = h(y),$$

which forces the definition $\hat{h}([y]) = h(y)$.

(Existence) It suffices to show that \hat{h} , as defined in the uniqueness step, is well-defined. We must show that if $y \sim y'$ in F_2 , then $h(y) = h(y')$. But $y \sim y'$ means there exists a sequence $y = y_1, y_2, \dots, y_n = y'$ in F_2 such that, for each i ,

- $y_i = \mu(x_i)$ and $y_{i+1} = \mu'(x_i)$, or
- $y_i = \mu'(x_i)$ and $y_{i+1} = \mu(x_i)$

for some $x_i \in F_1$ (we needed the sequence because \sim is *generated* by the relation $\mu(x) = \mu'(x)$). In either case, $h(y_i) = h(y_{i+1})$, since $h\mu = h\mu'$ by assumption. So $h(y) = h(y_1) = \dots = h(y_n) = h(y')$ as desired. \square

Corollary 4.31. Set has colimits.

4.4 limit-preserving functors

4.32. Let $F : J \rightarrow \mathcal{C}$ be a J -shaped diagram in \mathcal{C} . Let $G : \mathcal{C} \rightarrow \mathcal{B}$ be a covariant functor. Then $G \circ F : J \rightarrow \mathcal{B}$ is a J -shaped diagram in \mathcal{B} :

$$\begin{array}{ccc} J & \xrightarrow{F} & \mathcal{C} \\ & \searrow G \circ F & \downarrow G \\ & & \mathcal{B} \end{array}$$

Definition 4.33. Let \mathcal{S} be a class of diagrams $J \rightarrow \mathcal{C}$. We say that a functor $G : \mathcal{C} \rightarrow \mathcal{B}$ *preserves limits* if, for any diagram $F \in \mathcal{S}$ and any limit cone $(\mu_i : \varprojlim F \rightarrow F_i)_{i \in J}$ over F , the cone

$$(G(\mu_i) : G(\varprojlim F) \rightarrow G(F_i))_{i \in J}$$

is a limit cone over $G \circ F$. In particular, $G(\varprojlim F) \cong \varprojlim (G \circ F)$.

Proposition 4.34. Any right adjoint functor preserves limits.

Proof. Given an adjunction

$$\mathcal{B} \begin{array}{c} \xrightarrow{L} \\ \dashv \\ \xleftarrow{G} \end{array} \mathcal{C} ,$$

for any $B \in \mathcal{B}$ and any $C \in \mathcal{C}$ we have a bijection

$$\mathcal{C}(L(B), C) \xrightarrow{\sim} \mathcal{B}(B, G(C)).$$

Let $F : J \rightarrow \mathcal{C}$ be a diagram in \mathcal{C} with limit cone $(\mu_i : \varprojlim F \rightarrow F_i)_{i \in J}$. Then $(G(\mu_i) : G(\varprojlim F) \rightarrow G(F_i))_{i \in J}$ is already a cone above $G \circ F$. We want to show that this is the limit cone, i.e. that for any other cone $(f_i : B \rightarrow G(F_i))_{i \in J}$ above $G \circ F$, there exists a unique $\tilde{f} : B \rightarrow G(\varprojlim F)$ such that the following commutes for all $j \in J$:

$$\begin{array}{ccc} B & & \\ \tilde{f} \downarrow & \searrow & \\ G(\varprojlim F) & \xrightarrow{G(\mu_j)} & G(F_j) \end{array}$$

We can rewrite this diagram as

$$\begin{array}{ccc} B & \xrightarrow{\tilde{f}} & G(\varprojlim F) \\ 1_B \downarrow & & \downarrow G(\mu_j) \\ B & \xrightarrow{f_j} & G(F_j) \end{array} .$$

For $\gamma : i \rightarrow j$ in J , this diagram also commutes:

$$\begin{array}{ccc} B & \xrightarrow{f_i} & G(F_i) \\ 1_B \downarrow & & \downarrow GF(\gamma) \\ B & \xrightarrow{f_j} & G(F_j) \end{array} .$$

By (15.4), this implies the following commutes:

$$\begin{array}{ccc} L(B) & \xrightarrow{f_i^\#} & G(F_i) \\ L(1_B)=1_{L(B)} \downarrow & & \downarrow F(\gamma) \\ L(B) & \xrightarrow{f_j^\#} & G(F_j) \end{array} .$$

By the universal mapping property of the limit, there exists a unique $\phi : L(B) \rightarrow \varprojlim F$ such that the following commutes for all $j \in J$:

$$\begin{array}{ccc} L(B) & & \\ \phi \downarrow & \searrow f_j^\# & \\ \varprojlim F & \xrightarrow{\mu_j} & F_j \end{array} ,$$

i.e. this commutes for all $j \in J$:

$$\begin{array}{ccc} L(B) & \xrightarrow{\phi} & \varprojlim F \\ \downarrow 1_{L(B)} & & \downarrow \mu_j \\ L(B) & \xrightarrow{f_j^\#} & F_j \end{array} ,$$

so by (15.4) again this commutes for all $j \in J$:

$$\begin{array}{ccc} B & \xrightarrow{\phi^b} & G(\varprojlim F) \\ \downarrow 1_B & & \downarrow G(\mu_j) \\ B & \xrightarrow{f_j} & G(F_j) \end{array} ,$$

i.e. this commutes for all $j \in J$:

$$\begin{array}{ccc} B & & \\ \downarrow \phi^b & \searrow f_j & \\ G(\varprojlim F) & \xrightarrow{G(\mu_j)} & G(F_j) \end{array} ,$$

so ϕ^b is the \tilde{f} we were looking for. □

4.35. We now present an alternative proof which utilizes the Yoneda lemma.

Lemma 4.36. Let $F : J \rightarrow \mathcal{C}$ be a J -shaped diagram in \mathcal{C} . Then, for $C \in \mathcal{C}$,

$$\mathcal{C}(C, \varprojlim F) \cong \varprojlim \mathcal{C}(C, F(-)).$$

In other words, h^C preserves limits.

Proof. We know by the universal property of the limit:

$$\begin{aligned} \mathcal{C}(C, \varprojlim F) &= \text{Cone}_F(C) \\ &= \{(f_i : C \rightarrow F_i)_{i \in J} : \forall (i \xrightarrow{\gamma} j) \in J, F(\gamma) \circ f_i = f_j\} \\ &= \{(f_i : C \rightarrow F_i) \in \prod_{i \in J} \mathcal{C}(C, F_i) : \dots\} \\ &= \varprojlim \mathcal{C}(C, F(-)). \end{aligned}$$

□

Alternative proof. $L \dashv G$, so

$$\mathcal{C}(L(B), C) \xrightarrow{\sim} \mathcal{B}(B, G(C)).$$

Let $F : J \rightarrow \mathcal{C}$ be a diagram with limit cone $(\mu_i : \varprojlim F \rightarrow F_i)_{i \in J}$. Then

$$\begin{aligned} \mathcal{B}(-, G(\varprojlim F)) &\cong \mathcal{C}(C(-), \varprojlim F) \\ &\cong \varprojlim \mathcal{C}(L(-), F) \\ &\cong \varprojlim \mathcal{B}(-, G(F)) \\ &\cong \mathcal{B}(-, \varprojlim GF) \end{aligned}$$

so

$$h_{G(\varprojlim F)} \xrightarrow{\sim} h_{\varprojlim GF}.$$

Hence $G(\varprojlim F) \cong \varprojlim GF$. □

Proposition 4.37. Any left-adjoint functor preserves colimits.

Proposition 4.38. Any left-adjoint functor between module categories (more generally, abelian categories) is right-exact. Any right-adjoint functor is left-exact.

Proof. For a functor to be right-exact it must preserve cokernels, which are a kind of coequalizer, hence a kind of colimit. □

Example 4.39. The tensor product of modules is right exact. Its adjoint, the hom functor, is left exact.

4.5 double limits

4.40. Consider small index categories I, J . Let $F : I \times J \rightarrow \mathcal{C}$ be an $(I \times J)$ -shaped diagram in \mathcal{C} . Fixing $i \in I$ yields a J -shaped diagram in \mathcal{C} , namely $F(i, -) : J \rightarrow \mathcal{C}$. We can then consider the colimit of this diagram (if it exists):

$$C_i = \varinjlim F(i, -) = \varinjlim_j F(i, j).$$

By functoriality, an arrow $i \rightarrow i'$ in I yields an arrow

$$C_i = \varinjlim_j F(i, j) \rightarrow \varinjlim_j F(i', j) = C_{i'}.$$

We can thus consider $C_{(-)}$ itself as a functor, and consider its colimit:

$$\varinjlim C = \varinjlim (\varinjlim_j F(-, j)) = \varinjlim_i (\varinjlim_j F(i, j)).$$

Since \varinjlim is itself a left-adjoint functor, these limits commute:

$$\varinjlim_i (\varinjlim_j F(i, j)) = \varinjlim_j (\varinjlim_i F(i, j)).$$

5 universal properties

5.1 (co)products

5.1. We will first define the universal property for the coproduct of two objects, and then extend it to the coproduct of an arbitrary family of objects.

Definition 5.2. Let $X_1, X_2 \in \mathcal{C}$. The coproduct $X := X_1 \coprod X_2 \in \mathcal{C}$ is an object universal with respect to the following property:

$$\begin{array}{ccccc} & & Y & & \\ & f_1 \nearrow & \uparrow f & \nwarrow f_2 & \\ X_1 & \xrightarrow{i_1} & X_1 \coprod X_2 & \xleftarrow{i_2} & X_2 \end{array}$$

Definition 5.3. Let $(X_j)_{j \in J} \subset \mathcal{C}$ be a family of objects. The coproduct $\coprod_{j \in J} X_j$ is an object universal with respect to the following property: for all $j \in J$,

$$\begin{array}{ccc} & Y & \\ & \uparrow f & \\ X_j & \xrightarrow{i_j} & \coprod_{j \in J} X_j \end{array}$$

Note the map f is shared accross all diagrams.

5.2 free objects

Example 5.4 (free module). We work over a commutative ring A . Let S be a set. The free A -module on S , denoted $F(S)$, is the A -module satisfying the following universal property: for any A -module M and any map *of sets* $f : S \rightarrow M$, there exists a unique A -module map $\tilde{f} : F(S) \rightarrow M$ making the following diagram commute:

$$\begin{array}{ccc} S & \xrightarrow{\quad} & F(S) \\ & \searrow f \in \text{Mor}(\text{Set}) & \downarrow \tilde{f} \in \text{Mor}(A\text{Mod}) \\ & & M \end{array}$$