Exploring MMSE Score Prediction Using Verbal and Non-Verbal Cues

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Abstract

The Mini Mental State Examination (MMSE) is a common standardized screening mechanism that is employed to help diagnose dementia and assess its progression and severity. The assessment is generally administered by trained clinicians, which may be time-consuming and costly. An intriguing and scalable alternative to this assessment is to detect the same types of changes in cognitive function by automatically monitoring individuals' memory and language abilities in their conversational narratives. We address that problem by creating a model to predict clinical MMSE scores using a set of 628 lexicosyntactic, psycholingustic, non-verbal and count-based features extracted from the transcripts of 108 speech samples from the ADReSS Challenge dataset. We achieve a Root Mean Squared Error (RMSE) of 4.34, a percentage decrease of 29.3% over the existing performance benchmark. We also explore the performance impacts of acoustic versus linguistic features and find that our acoustic-only model achieves an RMSE of 6.42, whereas our text-only models achieve much lower RMSE scores (minimum RMSE=4.34), providing strong positive support for the inclusion of linguistic features in future MMSE score prediction models. Our best-performing model leverages a selection of many linguistic and non-linguistic feature types, demonstrating that MMSE score prediction is a rich problem that is best addressed using input from multiple perspectives.

Index Terms: spoken language processing, spoken language analysis, healthcare applications, dementia detection

1. Introduction

Scientific progress and improved healthcare standards in many areas of the world have resulted in an "older" population than at any other time throughout history [1]. Although this is in many ways cause for celebration, it also introduces new challenges to administering effective clinical care. A growing elderly population creates an increased demand for a wide range of healthcare services, including cognitive assessment and intervention. Effectively managing clinician burden and allowing medical professionals to allocate their time to critical tasks is key to maximizing health outcomes and minimizing patient distress, particularly during times of heightened need (e.g., global health emergencies). One way to do this is by automating lowerrisk tasks, such as routine cognitive assessment.

Cognitive assessment is often performed using straightforward, clinically validated tests such as the Mini Mental State Examination (MMSE) [2]. Clinicians administering the MMSE ask patients a series of questions in five different areas (orientation, registration, attention, memory, and language); their responses to these questions ultimately result in a score ranging from 0 (greatest cognitive decline) to 30 (no cognitive de-

cline). Although simple to administer, the assessment can be burdensome, requiring the patient to travel to a clinical setting for in-person assessment from a trained neuropsychologist or physician. It may also be subject to biases from various demographic factors [3]. As an alternative to the structured, inperson MMSE, preliminary evidence suggests that automated methods can be used to predict MMSE scores from open-ended narrative descriptions [4]. The availability of easily-accessible, automated cognitive assessment mechanisms could also enable assessment of individuals at more frequent, regular intervals, potentially facilitating quicker diagnosis of early-stage dementia [5].

We work toward this goal of simple, efficient dementia diagnosis by investigating a wide range of spoken language features for automated MMSE score prediction. It is well-known that dementia can influence spontaneous speech production, with declines in verbal fluency often manifesting with longer hesitations, lower speech rates, more frequent repetition, and anomia or other aphasic conditions [6, 7]. We design features that account for these discourse characteristics, in addition to incorporating promising linguistic features from prior work. Our findings suggest that a combination of many verbal and nonverbal feature types results in strong predictive ability. Our contributions are as follows:

- We propose a suite of features for MMSE score prediction, and run experiments to assess their utility for the task. We find that a blend of features drawn from multiple linguistic and discourse perspectives exhibits the strongest performance.
- 2. We extract an array of count-based features designed to encode properties of hesitation and verbal fluency, which are important biomarkers of Alzheimer's disease. Since identifying these subtle characteristics directly from audio files remains a challenging task [8, 9], when exploring these features we leverage the extensive set of annotations for non-verbal cues already present in the transcripts. To the best of our knowledge, the use of these features for MMSE score prediction is novel.
- 3. We compare the performance of acoustic and textual features for the task, finding that models trained only on text features outperform those trained only on acoustic features. This provides strong support for the inclusion of linguistic features in future models.
- We analyze patterns in the features found to be most beneficial, finding that non-context function words and discourse connectives offer surprisingly high predictive value.

Our highest-performing model outperforms the existing

task benchmark by a wide margin (RMSE=4.34, a 29.3% decrease from prior best RMSE of 6.14 [4]). We summarize background literature, define our methodology, evaluate the resulting performance, and analyze our findings in the following sections.

2. Related Work

There is growing interest in automated dementia detection, although most work to date has focused on the binary task of dementia classification (wherein an individual is predicted to either have or not have dementia) [10, 11, 12, 13, 14, 4] rather than the more nuanced problem of assigning continuous MMSE scores [15, 4]. Unlike most recent natural language processing tasks, which have migrated almost exclusively to using neural models with implicitly learned features, small dataset sizes and a strong interest in maintaining model interpretability have kept the problem space of automated dementia detection refreshingly diverse. Recent high-performing models have relied on a wide range of engineered features [10, 15, 11, 12, 13, 4], at the same time that others have explored neural solutions [16, 14].

Although we examine one neural solution for comparative purposes, our primary focus in this work is on identifying high-performing interpretable feature sets. Previously, others have explored both acoustic [11, 13, 4] and linguistic [10, 11, 12, 14] engineered features, primarily for dementia classification [15, 13, 4] rather than regression [4]. Acoustic features that have proved successful for the task include fundamental frequency [4], measures of vocal quality [4], Mel Frequency Cepstral Coefficients [11, 4], and pause- and durationbased features [13], among others. High-performing linguistic features have included verbal markers (e.g., indicators of repetition or backtracking) [10], syntax patterns [10, 11], lexical characteristics [10, 12], part-of-speech tags [11, 14], syntactic complexity [11], psycholinguistic traits [11, 14], vocabulary richness [11, 12], information content [11], repetitiveness [11], n-grams [12], and sentiment [14]. We draw inspiration from many of these prior approaches in selecting and designing features for our MMSE score prediction models. Specifically, we make use of an expanded n-gram set, non-verbal speech and discourse markers via CHAT transcript [17] annotations, and measures of word familiarity, imageability, concreteness, sentiment, and typical age of word acquisition, as well as MFCC acoustic features.

3. Methods

In this work we employ a set of automatically-extracted lexicosyntactic, psycholinguistic, discourse-based, and acoustic features for estimating continuous MMSE scores on a scale of 0 to 30. Although MMSE scores are often present in dementia detection datasets, the task is generally approached as a binary classification problem; its framing as a regression task as done here is relatively under-explored. We experiment with several machine learning techniques for representing relationships between our observed linguistic measures and the underlying clinical scores. We explored this task in the context of the Alzheimer's Dementia Recognition through Spontaneous Speech (ADReSS) Challenge. Although the published benchmark for the task focused exclusively on audio cues [4], in our work we experimented with both textual and audio features.

3.1. Data

Since this work was completed as part of the ADReSS Challenge, we made use of the dataset released specifically for the task. The ADReSS Challenge dataset is a subset of Dementia-Bank's Pitt Corpus [18]. The Pitt Corpus consists of recordings and transcripts of spoken picture descriptions elicited from participants who were shown the Cookie Theft picture from the Boston Diagnostic Aphasia Exam [19]. In the recordings and transcripts, the interviewer asks the participant to describe what is in the picture, with no time constraints and relatively little structure (on occasion, the interviewer prods the participant for clarification or additional details). The audio from these conversations was manually transcribed, with discourse markers added for false starts, pauses, word repetition, phrase tracing, incomplete sentences, and other nonverbal cues, using the CHAT coding system [17]. The original speech recordings were also segmented for participant voice activity in the ADReSS Challenge dataset. Each speech segment is at most 10 seconds long, and volume was normalised across all speech segments to control for variation caused by recording conditions such as microphone placement.

The dataset was divided by the task organizers into training and test sets. The training set contained 108 transcripts with an average conversation length (in terms of number of words uttered by the participant) of 98.5 (SD=55.37), and the test set contained 48 transcripts with an average conversation length of 93.38 (SD=56.20). All speakers in all transcripts are anonymous to protect user privacy, and the ADReSS Challenge dataset (unlike the Pitt Corpus as a whole) was balanced across participants with and without dementia in terms of age and gender. Individual participant demographic information, cognitive status (Dementia or Control), and MMSE score were provided for all training samples; cognitive status and MMSE score were not provided for test samples. We preprocessed the transcripts to remove interviewer utterances, as well as numbers, punctuation, and unwanted symbols.

3.2. Features

We automatically extracted a variety of lexicosyntactic, psycholinguistic, discourse-based, and acoustic features from each transcript, described in more detail below.

3.2.1. Lexicosyntactic Features

We extracted n-grams for $n \in \{1,2,3\}$ from all training set samples, retaining only n-grams that appeared at least five times and at most 50 times across the training data and including coded non-verbal cues (e.g., laugh, cough, breath intake, or sigh). This resulted in a sparse feature vector for each utterance containing one dimension for each n-gram. Feature values were filled using TFIDF counts for a given transcript, computed as follows where TF is the term frequency within the transcript and DF is the number of documents containing the term:

$$TFIDF = TF \times \frac{1}{DF} \tag{1}$$

Each vector was L2-normalized with unit modulus, and the final vocabulary size across all unigrams, bigrams, and trigrams was 613.

3.2.2. Psycholinguistic Features

Psycholinguistic characteristics play a key role in verbal processing [20], and thus we suspected that they may have high

Table 1: Token-level psycholinguistic and sentiment features.

Feature	Description
Age of Acquisition	The age at which a particular word is usually learned.
Concreteness	A measure of a word's tangibility.
Familiarity	A measure of how often one might expect to encounter a word.
Imageability	A measure of how easily a word can be visualized.
Sentiment	A measure of a word's sentiment polarity.

utility for predicting MMSE scores. We considered four classic psycholinguistic properties (age of acquisition, word concreteness, word familiarity, and word imageability), as well as sentiment scores. These features (five total) were all extracted from third-party lexical resources as token-level scores, which we then averaged across all tokens in a given transcript. Sentiment scores were obtained using NLTK's SentimentAnalyzer library, and psycholinguistic scores were obtained from an open source repository containing scores from multiple aspects of the MRC Psycholinguistic Database [21]. We describe each property in more detail in Table 1.

3.2.3. Discourse-Based Features

To model global discourse patterns and characteristics across the entire transcript, we extracted an array of count-based features for discourse tags. These feature include CHAT transcript [17] markers for different pause types (including filled pauses containing, e.g., uh or umm), word repetition, retracing (restarting the same phrase or segment), and incomplete utterances. Our full list of discourse-based features included: short_pause_count, long_pause_count, very_long_pause_count, word_repetition_count, retracing_count, filled_pause_count, and incomplete_utterance_count. We normalized these counts by the number of words uttered in the conversation. We also examined both word count and utterance count as features, ultimately dropping utterance count due to its high correlation (r > 0.5) with the former but including word count in our models, resulting in a total set of 8 discourse features.

3.2.4. Acoustic Features

Finally, we extracted acoustic features due to their success in prior work on dementia detection [11, 22, 13, 4] and MMSE score prediction [4]. Specifically, we computed Mel Frequency Cepstral Coefficients (MFCCs) and extracted the first 14 MFCCs for each speech segment. We identified mean values, variance, skewness, and kurtosis for these features, and then computed the same for velocity and acceleration. This resulted in a total of 171 audio features for each segment.

3.3. Model

We designed separate models for our textual (lexicosyntactic, psycholinguistic, and discourse-based) and acoustic features due to underlying differences in how the data was han-

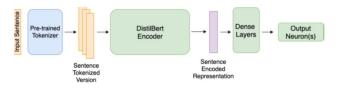


Figure 1: Model Architecture for DistilBERT.

dled. Since we extracted our acoustic features from local audio segments (maximum duration 10 seconds), we employed a segment-based model similar to that seen in the existing performance benchmark [4]. The model predicted individual MMSE scores for each discrete segment, and these scores were then averaged across an entire transcript to produce a transcript-level MMSE score. We experimented with two high-performing, standard classification algorithms: Support Vector Regression (SVR) with a polynomial kernel and regularization parameter C=100, and Gaussian Process Regression (GP) with a squared exponential kernel, $\alpha = 0.1$ and optimizer restarts set to 10. In contrast, we extracted our textual features from the transcripts as a whole, and thus employed a single transcriptlevel prediction model (still using SVR and GP with the same settings) rather than averaging across multiple lower-level predictions.

To empirically validate the utility of our engineered features for MMSE score prediction relative to neural alternatives, we also experimented with a fine-tuned DistilBERT sequence classification model [23] for the task. We illustrate the architecture for this model in Figure 1. The pre-trained DistilBERT tokenizer processes unseen tokens (e.g., discourse tags in our transcripts) as subword units, allowing it to make use of vocabulary not present in its original corpus. Input is thus tokenized and then passed to the DistilBERT encoder. The resulting hidden representation is subsequently passed to a final fully-connected network, which applies linear transformations to the data to ultimately predict a single output neuron representing the predicted MMSE value for the specific patient.

4. Evaluation

We selected a diverse set of five models for entry to the ADReSS Challenge:

- ALL: All textual features described in Section 3.2.
- N-GRAM: All lexicosyntactic features.
- SELECTED-FEATURE: A selection of the 90 highestperforming features from the training corpus. To obtain this feature subset, we employed a Random Forest regression model with 100 trees and selected features based on their mean decrease impurity (MDI), where impurity was measured as variance. We retained only features having MDI values exceeding a predefined threshold (10⁻³). We show the top ten most important features measured using this process in Table 4.
- DISTILBERT: The DistilBERT model described in Section 3.3.
- ACOUSTIC-ALL: All acoustic features.

Although not entered into the ADReSS Challenge, we also experimented with a selection of the highest-performing acoustic features (ACOUSTIC-SELECTED), using the same feature selection technique as applied to SELECTED-FEATURE. We ad-

¹https://www.nltk.org/api/nltk.sentiment.html

²https://github.com/vmasrani/dementia_classifier

Table 2: LOO results, formatted as RMSE (R^2) .

Features	SVR	GP
ALL	4.97 (0.52)	6.43 (-0.001)
N-GRAM	5.00 (0.514)	5.60 (0.225)
SELECTED-FEATURE	5.49 (0.415)	5.31 (0.451)
ACOUSTIC-ALL	6.59 (-0.093)	6.71 (-0.135)
ACOUSTIC-SELECTED	7.67 (-0.481)	7.31 (-0.271)

ditionally ran some experiments using a late fusion neural network model to map acoustic and textual features to the same hidden space,³ but the model performance was significantly lower than alternatives in the LOO experiment (RMSE> 10). We report results from two different test settings. In the first, we perform leave-one-out (LOO) cross-validation on the training corpus, and in the second, we provide our ADReSS Challenge results on the test data. We report both root mean squared error (RMSE) and R-squared values for the LOO setting, and RMSE for the results on the test data.

4.1. Results

We present the results from our LOO cross-validation experiment in Table 2, and our ADReSS Challenge results on the test set in Table 3. Our LOO experiment included both SVR and GP versions of each model; since SVR outperformed GP in more cases, we submitted only SVR models (along with our DistilBERT alternative) to the ADReSS Challenge. Our best-performing model in the LOO experiment was ALL using an SVR classifier, achieving an RMSE of 4.97. This significantly outperformed the existing best RMSE of 7.28 for the LOO setting [4], achieving a 31.7% error reduction. In fact, even our ACOUSTIC-ALL condition outperformed the prior best result (RMSE=6.59), with an improvement in RMSE of 9.5%. Interestingly, using SELECTED-FEATURE decreased performance relative to ALL with SVR but increased performance with GP in the LOO experiment, perhaps because GP works better in general with reduced feature sets (this phenomenon was not observed in the test results in Table 3). The R-squared values in Table 2 provide insight into the variance from the regression line; $R^2=0.52$ is considered moderate [25]. Our highest-performing model on the test set (Table 3) employed the SELECTED-FEATURE subset with SVR. This model (RMSE=4.34) outperformed the best-performing baseline model on the test set (RMSE=6.14 [4]) by 29.3%.

4.2. Feature Analysis

We sorted the features in Selected-Feature in descending order based on their MDI importance score to analyze the strongest identified patterns, and present the top ten features in Table 4. Interestingly, we found many non-content func-

Table 3: Test set results.

Features	RMSE
ALL	4.87
NGRAM	4.61
SELECTED-FEATURE	4.34
DISTILBERT	4.63
ACOUSTIC-ALL	6.42

Table 4: Top 10 features based on MDI importance.

Features	Importance
this	0.284
here	0.050
word_count	0.044
fall	0.037
well	0.034
laughs (non-verbal)	0.034
short_pause_count	0.021
in the	0.015
cookie jar and	0.014
it uh	0.013

tion words and discourse connectives in this list, along with some discourse-based count features. In general, individuals with higher MMSE scores created longer descriptions of the picture and used more content words and complex phrases (e.g. fall, cookie jar and), whereas those with lower MMSE scores used shorter descriptions and more pauses and filler words. This provides evidence that verbal disfluency markers are important indicators of cognitive status, and also supports our hypothesis that a wide range of features can be productively leveraged in tandem for this task.

5. Discussion and Conclusion

Overall, we found text-based features to be more informative than acoustic features for the MMSE score prediction task. We speculate that this may be an important distinction between this and the dementia classification task, for which acoustic features have achieved considerable success [11, 13]. Further investigation into more informative features (e.g., acoustic disfluency markers) from the normalized speech signal could potentially transfer insights from our text-based features to highperforming acoustic analogues. Likewise, we are interested in leveraging the segment-based model with the text transcripts (casting utterances as segments). Finally, while automated MMSE score prediction may make testing more accessible, reliable, and resource-effective, future work could additionally explore more precise measures such as the Montreal Cognitive Assessment (MoCA) or the Repeatable Battery for the Assessment of Neuropsychological Status (RBANS) [26, 27], which have higher sensitivity than the MMSE to subtle changes in cognitive decline.

³Specifically, we encoded words using 300-dimensional English GloVe embeddings [24] and passed them to a bidirectional LSTM (Bi-LSTM) layer. We fed the acoustic features for each segment to a separate LSTM layer, and then we concatenated the resulting hidden representations of the Bi-LSTM and LSTM layers. We merged this concatenated vector with the vector of discourse-based features, and fed the merged vector into a feedforward layer with an output linear activation.

6. References

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