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## Algorithms Notes

Feb. 21/21

## 1.2 Characteristics of Algorithms

1. Input (zero or more)
2. Output (at least one output)
3. Definiteness (every step has a meaning - can be defined)
4. Finiteness (finite set of steps - no infinite loops)
5. Effectiveness (no unnecessary steps)

## 1.3 Analysis of Algorithms

- cost (on slides) {
- ① Time - how fast it runs (time function)
  - ② Space - how much memory it needs (count # of variables and its space)  $(1, n, n^2, \text{etc.})$
  3. Network consumption
  4. Power
  5. CPU registers
  - ⑥ Correctness
  - ⑦ Optimality
- } not on slides
- } on slides
- 1 - scalar  
n - array  
 $n^2$  - matrix

Ex. Fibonacci sequence

1, 1, 2, 3, 5, 8, 13, ...

Correctness ✓

Optimal ?

(from slides)

Cost:  $T(n) = \begin{cases} O(1), & n=1 \text{ or } 2 \\ T(n-1) + T(n-2) + O(1), & \text{otherwise} \end{cases}$ 

refer to video



Fib(n)

if  $(n == 1 || n == 2)$ :

return 1

otherwise:

return Fib(n-1) + Fib(n-2)

## 1.4 Frequency Count Method

- regular for loop checks  $n+1$  times
- every line inside loop runs  $n$  times
- nested for loop is  $n(n+1)$  times
- everything outside loops is 1 (constant)

## 1.6/1.7 Types of time complexities

1.  $O(1)$  - constant
2.  $O(\log n)$  - logarithmic
3.  $O(n)$  - linear
4.  $O(n^2)$  - quadratic
5.  $O(n^3)$  - cubic
6.  $O(2^n)$
- $O(3^n)$  - exponential
- $O(n^n)$

List:  $1 < \log n < \sqrt{n} < n < n \log n < n^2 < n^3 < \dots < 2^n < 3^n < \dots < n^n$

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## 1.8.1/1.8.2 Asymptotic Notations (Big Oh, Omega, Theta)

- |                       |               |                          |
|-----------------------|---------------|--------------------------|
| 1. $O$ big-oh         | upper bound   | 4. $o$ little-oh         |
| 2. $\Omega$ big-omega | lower bound   | 5. $\omega$ little-omega |
| 3. $\Theta$ theta     | average bound |                          |

### 1. Big-oh:

Function  $f(n) = O(g(n))$  iff  $\exists$  positive constants  $c$  and  $n_0$  such that  $f(n) \leq c \cdot g(n), \forall n \geq n_0$ .

ex.  $f(n) = 2n + 3$  any coefficient  $>$  LHS

$$2n + 3 \leq 10n, n \geq 1 \quad \therefore f(n) = O(n)$$

$\uparrow$        $\uparrow$     $\uparrow$   
 $f(n)$     $c$     $g(n)$

or

$$2n + 3 \leq 2n + 3n$$

$$2n + 3 \leq 5n, n \geq 1 \quad \therefore f(n) = O(n)$$

$$1 < \log n < \sqrt{n} < \boxed{n} < n \log n < n^2 < n^3 < \dots < 2^n < 3^n < \dots < n^n$$

lower bound
 $\uparrow$ 
average bound
upper bound

$f(n) \neq O(\log n), O(\sqrt{n}), \text{etc.}$   $\therefore f(n) = O(n), O(n^2), O(2^n), \text{etc.}$   
not true all true  
 $\uparrow$   
closest function (useful)

### 2. Omega:

Function  $f(n) = \Omega(g(n))$  iff  $\exists$  positive constants  $c$  and  $n_0$  such that  $f(n) \geq c \cdot g(n), \forall n \geq n_0$ .

ex.  $f(n) = 2n + 3$   $\nearrow$  useful

$$2n + 3 \geq 1 \cdot n, \forall n \geq 1 \quad \therefore f(n) = \Omega(n)$$

$\uparrow$        $\uparrow$     $\uparrow$   
 $f(n)$     $c$     $g(n)$

$f(n) = \Omega(\log n)$  - lower  
 but  $f(n) \neq \Omega(n^2)$  - upper

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## 3. Theta

Function  $f(n) = \Theta(g(n))$  iff  $\exists$  positive constants  $c_1, c_2$ , and  $n_0$  such that  $c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$

ex.  $f(n) = 2n + 3$

$$\begin{array}{ccccccc} 1 \cdot n & \leq & 2n + 3 & \leq & 5 \cdot n \\ \uparrow & & \uparrow & & \uparrow & & \uparrow \\ c_1 & & g(n) & & f(n) & & c_2 \cdot g(n) \end{array}$$

$$\therefore f(n) = \Theta(n)$$

average bound

but  $f(n) \neq \Theta(n^2), \Theta(\log n)$ , etc.

Examples:

Ex.1  $f(n) = 2n^2 + 3n + 4$

$$2n^2 + 3n + 4 \leq 2n^2 + 3n^2 + 4n^2$$

$$2n^2 + 3n + 4 \leq 9n^2, n \geq 1 \rightarrow f(n) = O(n^2)$$

$$2n^2 + 3n + 4 \geq 1 \cdot n^2 \rightarrow f(n) = \Omega(n^2)$$

$$\text{So, } 1 \cdot n^2 \leq 2n^2 + 3n + 4 \leq 9n^2 \therefore f(n) = \Theta(n^2)$$

Ex.2  $f(n) = n^2 \log n + n$

$$\begin{array}{ccc} 1 \cdot n^2 \log n & \leq n^2 \log n + n \leq 10 n^2 \log n \\ \uparrow & & \uparrow \\ g(n) & & g(n) \end{array} \rightarrow O(n^2 \log n) \text{ and } \Omega(n^2 \log n) \therefore \Theta(n^2 \log n)$$

Ex.3  $f(n) = n! = n \times (n-1) \times (n-2) \times \dots \times 3 \times 2 \times 1$

$$1 \times 1 \times \dots \times 1 \leq 1 \times 2 \times 3 \times \dots \times n \leq n \times n \times \dots \times n$$

$$1 \leq n! \leq n^n$$

$$\Omega(1)$$

$$O(n^n)$$

can't find  $\Theta$  (average bound) for  $n!$  (f(n))

Ex.4  $f(n) = \log n!$

$$\log(1 \times 1 \times \dots \times 1) \leq \log(1 \times 2 \times 3 \times \dots \times n) \leq \log(n \times n \times \dots \times n)$$

$$1 \leq \log n! \leq \log n^n$$

$$1 \leq \log n! \leq n \log n$$

$$\Omega(1)$$

$$O(n \log n)$$

\* can't find  $\Theta$  (average bound)



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4. Little-oh:

$$f(n) \in o(g(n)) \Leftrightarrow \forall c > 0, \exists n_0 > 0 \text{ s.t. } \forall n > n_0, f(n) \leq c \cdot g(n)$$

$g(n)$  is upper bound for  $f(n)$ , but grows with different rate

ex.  $f(n) \in O(n^3)$ ,  $f(n) \notin \Theta(n^3)$

then  $f(n) \in o(n^3)$

5. Little-omega:

$$f(n) \in \omega(g(n)) \Leftrightarrow \forall c > 0, \exists n_0 > 0 \text{ s.t. } \forall n > n_0, f(n) \geq c \cdot g(n)$$

$g(n)$  is lower bound for  $f(n)$ , but grows with different rate

ex.  $f(n) \in \Omega(g(n))$ ,  $f(n) \notin \Theta(g(n))$

then  $f(n) \in \omega(g(n))$

### 1.9 Properties of Asymptotic Notations

General Properties:

- if  $f(n)$  is  $O(g(n))$ , then  $a \cdot g(n)$  is  $O(g(n))$

ex.  $f(n) = 2n^2 + 5$  is  $O(n^2)$ ,

then  $7 \cdot f(n) = 14n^2 + 35$  is also  $O(n^2)$

also true for  
↙  $\Omega$  and  $\Theta$

Reflexive:

- if  $f(n)$  is given, then  $f(n) = O(f(n))$

ex.  $f(n) = n^2$ , then it's  $O(n^2)$

Transitive:

- if  $f(n)$  is  $O(g(n))$  and  $g(n)$  is  $O(h(n))$ ,  
then  $f(n) = O(h(n))$

ex.  $f(n) = n$ ,  $g(n) = n^2$ ,  $h(n) = n^3$

$n$  is  $O(n^2)$  and  $n^2$  is  $O(n^3)$

then  $n$  is  $O(n^3)$

Symmetric:

- if  $f(n)$  is  $\Theta(g(n))$  then  $g(n)$  is  $\Theta(f(n))$

ex.  $f(n) = n^2$ ,  $g(n) = n^2$

$f(n) = \Theta(n^2)$   $g(n) = \Theta(n^2)$

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Transpose Symmetric:

- if  $f(n) = O(g(n))$  then  $g(n)$  is  $\Omega(f(n))$

ex.  $f(n) = n$ ,  $g(n) = n^2$ then  $n$  is  $O(n^2)$  and $n^2$  is  $\Omega(n)$ 

1. If  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$  then  $f(n) = \Theta(g(n))$

$\downarrow$   $g(n) \leq f(n) \leq g(n)$   $\downarrow$

2. If  $f(n) = O(g(n))$  and  $d(n) = O(e(n))$  then  $f(n) + d(n) = O(\max(g(n), e(n)))$

Ex.  $f(n) = n = O(n)$   
 $d(n) = n^2 = O(n^2)$   
 $f(n) + d(n) = n + n^2 = O(n^2)$

 $\hookrightarrow$  max of  $g(n)$  and  $e(n)$ 

3. If  $f(n) = O(g(n))$  and  $d(n) = O(e(n))$  then  $f(n) \cdot d(n) = O(g(n) \cdot e(n))$

$n^2 \cdot n$        $n^2 \cdot n = n^3$

2.1.1 Recurrence Relation  $T(n) = T(n-1) + 1$ 

$$T(n) = \begin{cases} 1, & n=0 \\ T(n-1)+1, & n>0 \end{cases}$$

Substitution:

$$\begin{aligned} \uparrow T(n) &= T(n-1) + 1 \\ T(n-1) &= T(n-2) + 1 \end{aligned}$$

$$T(n) = [T(n-2) + 1] + 1$$

$$T(n) = T(n-2) + 2$$

$$T(n) = [T(n-3) + 1] + 2$$

$$T(n) = T(n-3) + 3$$

 $\vdots$  continue for  $k$  times

```

T(n) — void T(int n)
{
    if (n > 0)
    {
        T(n-1) — printf("%d", n);
        T(n-1) — T(n-1)
    }
}

```

$$T(n) = T(n-1) + 1$$

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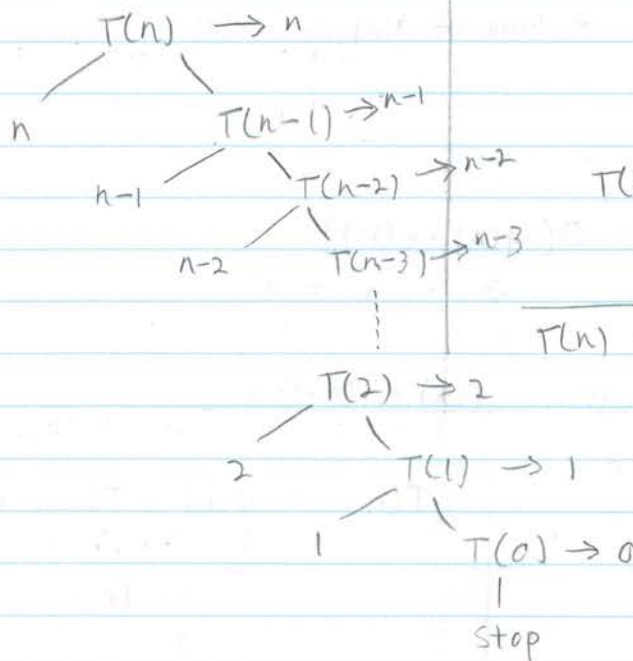
$$\begin{aligned}
 T(n) &= T(n-k) + k \\
 \text{Assume } n-k &= 0 \quad \therefore n=k \\
 T(n) &= T(n-n) + n \\
 T(n) &= T(0) + n \quad \leftarrow T(0) = 1 \\
 T(n) &= 1 + n \\
 &O(n)
 \end{aligned}$$

2.1.2 Recurrence Relation  $T(n) = T(n-1) + n$

$$T(n) = T(n-1) + n$$

$$T(n) = \begin{cases} 1, & n=0 \\ T(n-1) + n, & n>0 \end{cases}$$

Recurrence Tree:



```

T(n) - void T(int n)
{
    if (n > 0)
    {
        for(i=0; i<n; i++)
        {
            printf("%d", i);
        }
        T(n-1);
    }
}
T(n) = T(n-1) + 2n + 2 ← O(n)
  
```

$$0 + 1 + 2 + \dots + (n-1) + n$$

$$T(n) = \frac{n(n+1)}{2} = O(n^2)$$

Substitution:

$$\therefore T(n-2) = T(n-3) + n-2$$

$$T(n) = [T(n-3) + n - 2] + (n-1) + n$$

$$T(n) = T(n-3) + (n-2) + (n-1) + n \quad (3)$$

$$T(n) = T(n-k) + (n-(k-1)) + (n-(k-2)) + \dots + (n-1) + n \quad (4)$$

Assume  $n - k = 0 \therefore n = k$

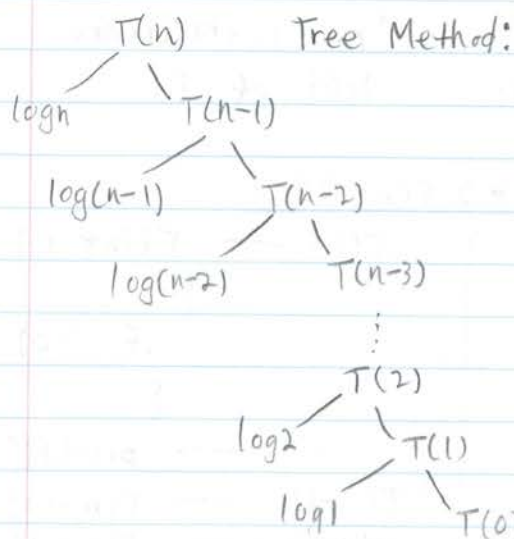
$$T(n) = T(n-n) + (\cancel{n} - \cancel{n} + 1) + (\cancel{n} - \cancel{n} + 2) + \dots + (n-1) + n$$

$$T(n) = T(0) + 1 + 2 + 3 + \dots + (n-1) + n$$

$$T(n) = 1 + \frac{n(n+1)}{2} \rightarrow O(n^2)$$

### 2.1.3 Recurrence Relation $T(n) = T(n-1) + \log n$

$$T(n) = \begin{cases} 1, & n = 0 \\ T(n-1) + \log n, & n > 0 \end{cases}$$



$T(n) \rightarrow \text{void } T(\text{int } n)$

```
{
    if (n > 0)
    {
        for (i = 1, i < n, i = i * 2)
        {
            printf("%d", i);
        }
        T(n - 1);
    }
}
```

$$T(n) = T(n-1) + \log n$$



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$$\log n + \log(n-1) + \log(n-2) + \dots + \log 2 + \log 1$$

$$\log [n \cdot (n-1) \cdot \dots \cdot 2 \cdot 1]$$

$$\log n!$$

$$O(n \log n)$$

Substitution:

$$T(n) = \begin{cases} 1, & n=0 \\ T(n-1) + \log n, & n>0 \end{cases}$$

$$T(n) = T(n-1) + \log n \quad ①$$

$$T(n) = T(n-2) + \log(n-1) + \log n \quad ②$$

$$T(n) = T(n-3) + \log(n-2) + \log(n-1) + \log n \quad ③$$

⋮

$$T(n) = T(n-k) + \log 1 + \log 2 + \dots + \log(n-1) + \log n$$

Assume  $n-k=0$ ,  $\therefore n=k$

$$T(n) = T(n-n) + \log n!$$

$$T(n) = T(0) + \log n!$$

$$T(n) = 1 + \log n! \quad O(n \log n)$$

Examples

$$T(n) = T(n-1) + 1 \rightarrow O(n)$$

$$T(n) = T(n-1) + n \rightarrow O(n^2)$$

$$T(n) = T(n-1) + \log n \rightarrow O(n \log n)$$

$$T(n) = T(n-1) + n^2 \rightarrow O(n^3)$$

} multiply local cost  
by n

$$T(n) = T(n-2) + 1 \rightarrow \frac{n}{2} O(n)$$

$$T(n) = T(n-100) + n \rightarrow O(n^2)$$

\* no coefficients

left of T

2.1.4 Recurrence Relation  $T(n) = 2T(n-1) + 1$

$$T(n) = 2T(n-1) + 1$$

$$T(n) = \begin{cases} 1, & n=0 \\ 2T(n-1) + 1, & n>0 \end{cases}$$

$$T(n) \rightarrow T(\text{int } n)$$

$$\begin{cases} \text{if } (n>0) \end{cases}$$

{

$$1 \rightarrow \text{printf}("%d", n);$$

$$T(n-1) \rightarrow T(n-1);$$

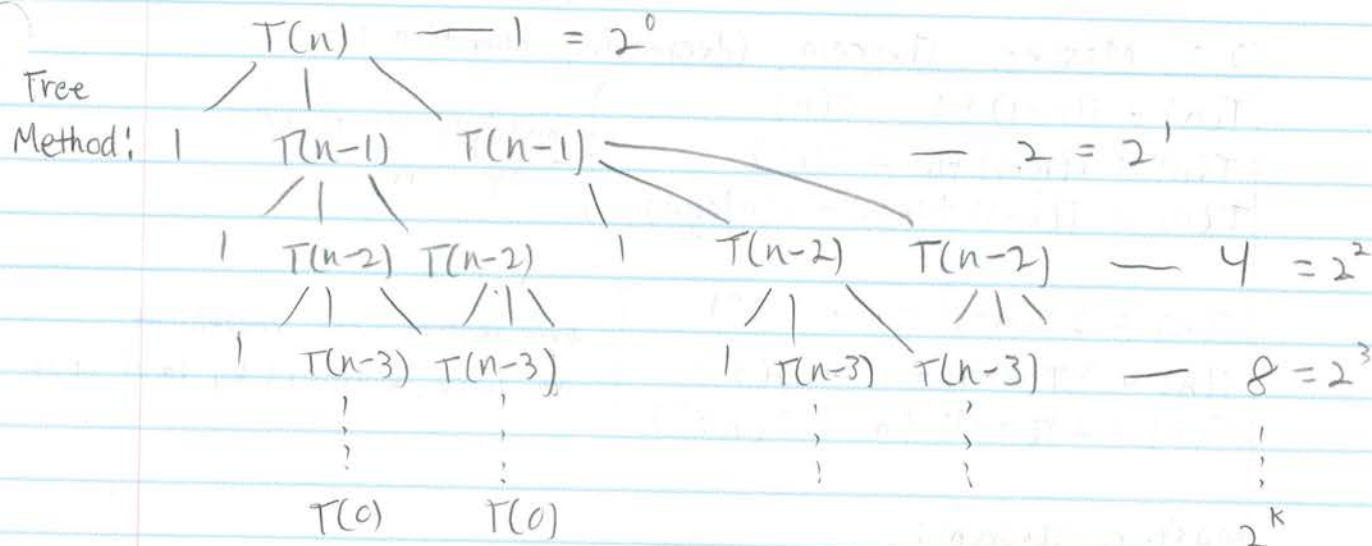
$$T(n-1) \rightarrow T(n-1);$$

}



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$$1 + 2^1 + 2^2 + 2^3 + \dots + 2^k = 2^{k+1} - 1$$

Series \*  $a + ar + ar^2 + ar^3 + \dots + ar^k = \frac{a(r^{k+1} - 1)}{r - 1}$

$$a = 1, r = 2 = \frac{1(2^{k+1} - 1)}{2 - 1} = 2^{k+1} - 1$$

Assume  $n - k = 0 \therefore n = k \quad = 2^{n+1} - 1 \rightarrow O(2^n)$

Substitution Method:

$$T(n) = 2T(n-1) + 1 \quad (1)$$

$$T(n) = 2[2T(n-2) + 1] + 1$$

$$= 2^2 T(n-2) + 2 + 1 \quad (2)$$

$$= 2^2 [2T(n-3) + 1] + 2 + 1$$

$$T(n) = 2^3 T(n-3) + 2^2 + 2 + 1 \quad (3)$$

$\vdots$

$$T(n) = 2^k T(n-k) + 2^{k-1} + 2^{k-2} + \dots + 2^2 + 2 + 1 \quad (4)$$

Assume  $n - k = 0 \therefore n = k$

$$= 2^n T(0) + 1 + 2 + 2^2 + \dots + 2^{k-1}$$

$$= 2^n \cdot 1 + 2^k - 1$$

$$= 2^n + 2^n - 1$$

$$= 2^{n+1} - 1 \rightarrow O(2^n)$$

## 2.2 Master Theorem (decreasing functions)

$$T(n) = T(n-1) + 1 - O(n)$$

$$T(n) = T(n-1) + n - O(n^2)$$

$$T(n) = T(n-1) + \log n - O(n \log n)$$

} multiply local cost  
by  $n$

$$T(n) = 2T(n-1) + 1 - O(2^n)$$

$$T(n) = 3T(n-1) + 1 - O(3^n)$$

$$T(n) = 2T(n-1) + n - O(n2^n)$$

} exponential with coefficient  
as base, multiplied by local cost

Master theorem:

$$T(n) = aT(n/b) + f(n)$$

$a > 0$ ,  $b > 0$  and  $f(n) = O(n^k)$  where  $k \geq 0$

1. if  $a = 1$ ,  $O(n^{k+1})$ ,  $O(n * f(n))$

2. if  $a > 1$ ,  $O(n^k a^{n/b})$ ,  $O(f(n) a^{n/b})$

3. if  $a < 1$ ,  $O(n^k)$ ,  $O(f(n))$

### 2.3.1 Recurrence Relation - Dividing Function $T(n) = T(\frac{n}{2}) + 1$

$$T(n) = T(\frac{n}{2}) + 1$$

$$T(n) = \begin{cases} 1, & n = 1 \\ T(\frac{n}{2}) + 1, & n > 1 \end{cases}$$

$$T(n) \rightarrow 1$$

$$1 \quad T(\frac{n}{2}) \rightarrow 1$$

$$1 \quad T(\frac{n}{2^2}) \rightarrow 1$$

$$1 \quad T(\frac{n}{2^3}) \rightarrow 1$$

$$k \text{ steps} \quad T(\frac{n}{2^k}) \rightarrow 1 \quad \text{Assume } \frac{n}{2^k} = 1$$

$$\therefore \frac{n}{2^k} = 1 \therefore n = 2^k \text{ and } k = \log_2 n \quad O(\log n)$$

$$T(n) \rightarrow T(\text{int } n)$$

{

if ( $n > 1$ )

{

printf ("%d", n);

$$T(\frac{n}{2}) \rightarrow T(\frac{n}{2})$$

}

}

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Substitution:

$$T(n) = \begin{cases} 1, & n=1 \\ T(\frac{n}{2}) + 1, & n>1 \end{cases}$$

$$T(n) = T(\frac{n}{2}) + 1 \quad (1)$$

$$\therefore T(n) = T(\frac{n}{2}) + 1$$

$$\therefore T(\frac{n}{2}) = T(\frac{n}{2^2}) + 1$$

$$T(n) = [T(\frac{n}{2^2}) + 1] + 1$$

$$T(n) = T(\frac{n}{2^2}) + 2 \quad (2)$$

$$T(n) = T(\frac{n}{2^3}) + 3 \quad (3)$$

⋮

$$T(n) = T(\frac{n}{2^k}) + k \quad (4)$$

Assume  $\frac{n}{2^k} = 1 \therefore n = 2^k$  and  $k = \log n$

$$T(n) = T(1) + \log n$$

$$T(n) = 1 + \log n \rightarrow O(\log n)$$

2.3.2 Recurrence Relation - Dividing Function  $T(n) = T(\frac{n}{2}) + n$

$$T(n) = \begin{cases} 1, & n=1 \\ T(\frac{n}{2}) + n, & n>1 \end{cases}$$

$$T(n) = n + \frac{n}{2} + \frac{n}{2^2} + \frac{n}{2^3} + \dots + \frac{n}{2^k}$$

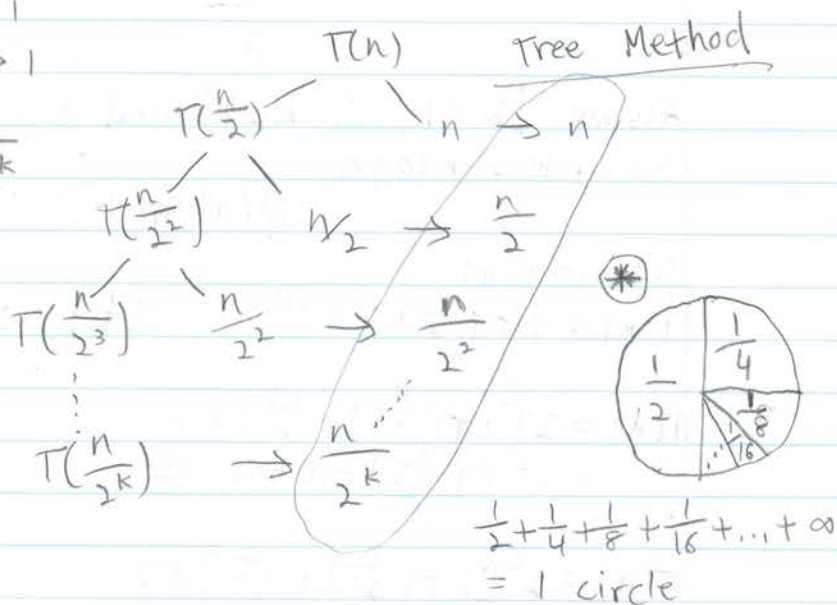
$$= n \left[ 1 + \frac{1}{2} + \frac{1}{2^2} + \dots + \frac{1}{2^k} \right]$$

$$= n \left( \sum_{i=0}^k \frac{1}{2^i} \right) \rightarrow 1$$

$$= n \cdot 1$$

$$T(n) = n$$

$$O(n)$$



Substitution:

$$T(n) = T(\frac{n}{2}) + n \quad (1)$$

$$T(n) = T(\frac{n}{2^2}) + \frac{n}{2} + n \quad (2)$$

$$T(n) = T(\frac{n}{2^3}) + \frac{n}{2^2} + \frac{n}{2} + n$$

$$T(n) = T(\frac{n}{2^k}) + \frac{n}{2^{k-1}} + \frac{n}{2^{k-2}} + \dots + \frac{n}{2} + n$$

Assume  $\frac{n}{2^k} = 1 \therefore n = 2^k$  and  $k = \log n$

$$T(n) = T(1) + n \left[ \frac{1}{2^{k-1}} + \frac{1}{2^{k-2}} + \dots + \frac{1}{2} + 1 \right]$$

$$T(n) = 1 + n[1+1]$$

$$T(n) = 1 + 2n$$

$$O(n)$$

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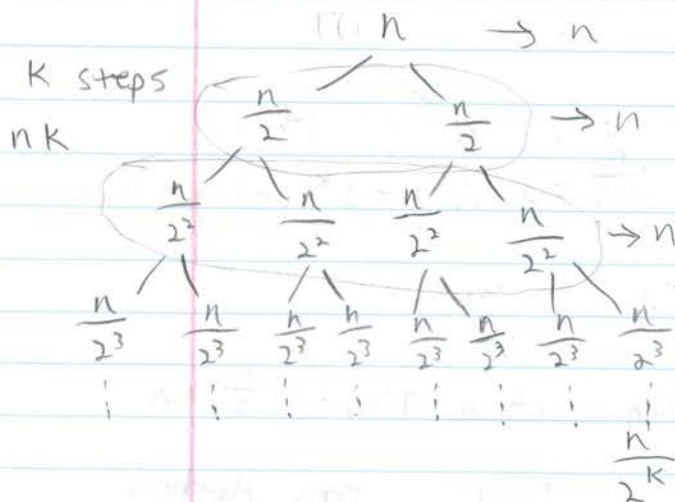


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### 2.3.3 Recurrence Relation - Dividing Function $T(n) = 2T(\frac{n}{2}) + n$

$$T(n) = 2T(\frac{n}{2}) + n$$

$$T(n) = \begin{cases} 1, & n=1 \\ 2T(\frac{n}{2}) + n, & n>1 \end{cases}$$



$T(n)$  — void  $T(int\ n)$

```
{
    if (n > 1)
    {
        for (int i = 0; i < n; i++)
        {
            statement;
        }
    }
}
```

$T(\frac{n}{2})$  —  $T(n/2);$   
 $T(\frac{n}{2})$  —  $T(n/2);$   
 }

Assume  $\frac{n}{2^k} = 1$ ,  $\therefore n = 2^k$  and  $k = \log n$

So  $nk = n \log n$

$O(n \log n)$

Substitution:

$$T(n) = 2T(\frac{n}{2}) + n \quad \text{①}$$

$$T(\frac{n}{2}) = 2T(\frac{n}{2^2}) + \frac{n}{2}$$

$$T(n) = 2[2T(\frac{n}{2^2}) + \frac{n}{2}] + n$$

$$= 2^2 T(\frac{n}{2^2}) + n + n \quad \text{②}$$

$$T(\frac{n}{2^2}) = 2T(\frac{n}{2^3}) + \frac{n}{2^2}$$

$$T(n) = 2^2 [2T(\frac{n}{2^3}) + \frac{n}{2^2}] + 2n$$

$$= 2^3 T(\frac{n}{2^3}) + 3n \quad \text{③}$$

$$T(n) = 2^k T(\frac{n}{2^k}) + kn$$

$$T(n) = 2^k T(1) + kn$$

$$= n \cdot 1 + n \log n$$

$O(n \log n)$

Assume  $T(\frac{n}{2^k}) = T(1)$

$\therefore \frac{n}{2^k} = 1$  and  $n = 2^k$ ,  $k = \log n$

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## 2.4.1 Master Theorem (Dividing Functions)

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

$$a \geq 1$$

$$b > 1$$

$$f(n) = \Theta(n^k \log^p n)$$

$$\textcircled{1} \log_b a$$

$$\textcircled{2} k$$

case 1: if  $\log_b a > k$  then  $\Theta(n^{\log_b a})$

case 2: if  $\log_b a = k$

if  $p > -1$  then  $\Theta(n^k \log^{p+1} n)$

if  $p = -1$  then  $\Theta(n^k \log \log n)$

if  $p < -1$  then  $\Theta(n^k)$

case 3: if  $\log_b a < k$

if  $p \geq 0$   $\Theta(n^k \log^p n)$

if  $p < 0$   $\Theta(n^k)$

Ex1  $T(n) = 2T\left(\frac{n}{2}\right) + 1$

$$a = 2$$

$$b = 2$$

$$f(n) = \Theta(1) = \Theta(n^0 \log^0 n)$$

$$k = 0, p = 0$$

$$\textcircled{1}$$

$$\textcircled{2}$$

$$\log_2 2 = 1 > k = 0$$

satisfies case 1:

$$\Theta(n^1)$$

Ex2  $T(n) = 4T\left(\frac{n}{2}\right) + n$

$$\textcircled{1} \log_2 4 = 2 > \textcircled{2} k = 1, p = 0$$

case 1:  $\Theta(n^2)$

Ex3  $T(n) = 8T\left(\frac{n}{2}\right) + n^2$

$$\log_2 8 = 3 > k = 2 \quad \text{case 1: } \Theta(n^3)$$

Ex4  $T(n) = 9T\left(\frac{n}{3}\right) + n$

$$\log_3 9 = 2 > k = 1$$

$\Theta(n^2)$  case 1

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Ex5  $T(n) = 2T\left(\frac{n}{2}\right) + n$   
 $\log_2 2 = 1 = k=1, p=0$

case 2:  $\Theta(n \log n)$

Ex6  $T(n) = 4T\left(\frac{n}{2}\right) + n^2 \log^5 n$

$\log_2 4 = 2, k=2$

when  $\log_b a = k$ , and  $p > -1$ ,  
 multiply by  $\log n$

case 2:  $\Theta(n^2 \log^6 n)$

Ex7  $T(n) = 2T\left(\frac{n}{2}\right) + \frac{n}{\log n}$

$\log_2 2 = 1, k=1, p=-1$

case 2:  $\Theta(n \log \log n)$  -  $\log \log n$  since  $p = -1$

Ex8  $T(n) = 2T\left(\frac{n}{2}\right) + \frac{n}{\log^2 n}$

$\log_2 2 = 1, k=1, p=-2$

case 2:  $\Theta(n)$  - ignore  $\log$  since  $p < -1$

Ex9  $T(n) = 2T\left(\frac{n}{2}\right) + n^2$

$\log_2 1 = 0 < k=2, p=0$

case 3:  $\Theta(n^2)$

Ex10  $T(n) = 2T\left(\frac{n}{2}\right) + n^2 \log^2 n$   $p=2$

$\log_2 2 = 1 < k=2$

copy expression

case 3:  $\Theta(n^2 \log^2 n)$

since  $\log_b a < k$

Ex11  $T(n) = 4T\left(\frac{n}{2}\right) + \frac{n^3}{\log n}$

$\log_2 4 = 2 < k=3, p=-1$

case 3:  $\Theta(n^3)$  - ignore  $\log$  in denominator since  $p < 0$



## 2.4.2 Master Theorem Examples

Case 1:

$$T(n) = 2T\left(\frac{n}{2}\right) + 1 - \Theta(n^1)$$

$$\log_2 2 = 1 > K = 0$$

$$T(n) = 4T\left(\frac{n}{2}\right) + 1 - \Theta(n^2)$$

$$\log_2 4 = 2 > K = 0$$

$$T(n) = 4T\left(\frac{n}{2}\right) + n - \Theta(n^2)$$

$$\log_2 4 = 2 > K = 1$$

$$T(n) = 8T\left(\frac{n}{2}\right) + n^2 - \Theta(n^3)$$

$$\log_2 8 = 3 > K = 2$$

$$T(n) = 16T\left(\frac{n}{2}\right) + n^2 - \Theta(n^4)$$

$$\log_2 16 = 4 > K = 2$$

Case 3:

$$T(n) = T\left(\frac{n}{2}\right) + n - \Theta(n)$$

$$\log_2 1 = 0 < K = 1$$

$$T(n) = 2T\left(\frac{n}{2}\right) + n^2 - \Theta(n^2)$$

$$\log_2 2 = 1 < K = 2$$

$$T(n) = 2T\left(\frac{n}{2}\right) + n^2 \log n - \Theta(n^2 \log n)$$

$$\log_2 2 = 1 < K = 2, p = 1$$

$$T(n) = 4T\left(\frac{n}{2}\right) + n^3 \log^2 n - \Theta(n^3 \log^2 n)$$

$$\log_2 4 = 2 < K = 3, p = 2$$

$$T(n) = 2T\left(\frac{n}{2}\right) + \frac{n^2}{\log n} - \Theta(n^2)$$

$$\log_2 2 = 1 < K = 2, p = -1$$

Case 2:

$$T(n) = T\left(\frac{n}{2}\right) + 1 - \Theta(\log n)$$

$$\log_2 1 = 0 = K = 0$$

$$T(n) = 2T\left(\frac{n}{2}\right) + n - \Theta(n \log n)$$

$$\log_2 2 = 1 = K = 1$$

$$T(n) = 2T\left(\frac{n}{2}\right) + n \log n - \Theta(n \log^2 n)$$

$$\log_2 2 = 1 = K = 1, p = 1$$

$$T(n) = 4T\left(\frac{n}{2}\right) + n^2 - \Theta(n^2 \log n)$$

$$\log_2 4 = 2 = K = 2$$

$$T(n) = 4T\left(\frac{n}{2}\right) + (n \log n)^2 - \Theta(n^2 \log^3 n)$$

$$\log_2 4 = 2 = K = 2, p = 2$$

$$T(n) = 2T\left(\frac{n}{2}\right) + \frac{n}{\log n} - \Theta(n \log \log n)$$

$$\log_2 2 = 1 = K = 1, p = -1$$

$$T(n) = 2T\left(\frac{n}{2}\right) + \frac{n}{\log^2 n} - \Theta(n)$$

$$\log_2 2 = 1 = K = 1, p = -2$$

## 2.5 Recurrence Relation (Root function)

$$T(n) = \begin{cases} 1, & n = 2 \\ T(\sqrt{n}) + 1, & n > 2 \end{cases}$$

Assume  $n = 2^m$ 

$$T(2^m) = T(2^{m/2}) + 1$$

$$T(n) = T(n^{1/2}) + 1 \quad ①$$

$$T(n) = T(n^{1/4}) + 2 \quad ②$$

$$T(n) = T(n^{1/8}) + 3 \quad ③$$

$$T(n) = T(n^{1/2^k}) + k \quad ④$$

$$\begin{aligned} &\text{Assume } T(2^{m/2^k}) = T(2) \\ &\therefore \frac{m}{2^k} = 1, m = 2^k \text{ and } K = \log_2 m \\ &\therefore n = 2^m, m = \log_2 n \end{aligned}$$

$$\text{So, } K = \log \log_2 n$$

$$\Theta(\log \log_2 n)$$

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### 3.1 Knapsack Problem - Greedy Method

objective:  
 $\max \sum x_i p_i$

constraint;	$n = 7$	object(o):	1	2	3	4	5	6	7
$\sum x_i w_i \leq m$	$m = 15$	profit (p):	10	5	15	7	6	18	3
		weight(w):	2	3	5	7	1	4	1
		p/w:	5	1.3	3	1	6	4.5	3
$0 \leq x \leq 1$		x	(1	2/3	1	0	1	1	1)
			$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$	$x_7$

weight:

$$\sum x_i w_i = 1 \times 2 + \frac{2}{3} \times 3 + 1 \times 5 + 0 \times 7 + 1 \times 1 + 1 \times 4 + 1 \times 1$$

$$2 + 2 + 5 + 0 + 1 + 4 + 1 = 15$$

profit:

$$\sum x_i p_i = 1 \times 10 + \frac{2}{3} \times 5 + 1 \times 15 + 0 \times 7 + 1 \times 6 + 1 \times 18 + 1 \times 3$$

$$= 10 + 1.3 + 15 + 6 + 18 + 3 = 54.6$$

### 3.2 Job Sequencing with Deadlines - Greedy Method

Ex1 $n = 5$	Jobs	$J_1$	$J_2$	$J_3$	$J_4$	$J_5$
	Profits	20	15	10	5	1
	Deadlines	2	2	1	3	3
		✓	✓	x	✓	x

0  $J_2$  1  $J_1$  2  $J_4$  3

$\{J_2, J_1, J_4\}$

$J_2 \rightarrow J_1 \rightarrow J_4$

$J_1 \rightarrow J_2 \rightarrow J_4$

Total profit:  $15 + 20 + 5 = 40$

Ex2 $n = 7$	Jobs	$J_1$	$J_2$	$J_3$	$J_4$	$J_5$	$J_6$	$J_7$
	Profits	35	30	25	20	15	10	5
	Deadlines	3	4	4	2	3	1	2

0  $J_4$  1  $J_3$  2  $J_1$  3  $J_2$  4

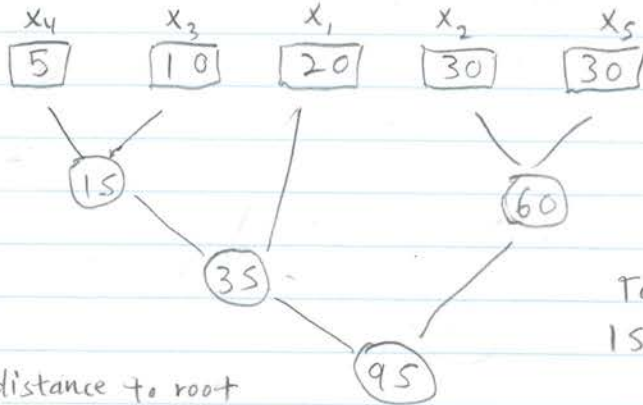
Total profit:  $20 + 25 + 35 + 30 = 110$

(17)

Jacky Ly

### 3.3 Optimal Merge Pattern - Greedy Method

lists  $\rightarrow x_1, x_2, x_3, x_4, x_5$   
 sizes  $\rightarrow 20, 30, 10, 5, 30$



\* select minimum/  
lowest values  
to merge first

Total cost:

$$15 + 35 + 60 + 95 = 205$$

distance to root

$\sum d_i x_i$  - total cost  
formula

alternative method (count tree level):

$$3 \times [5] + 3 \times [10] + 2 \times [20] + 2 \times [30] + 2 \times [30] = 205$$

to root

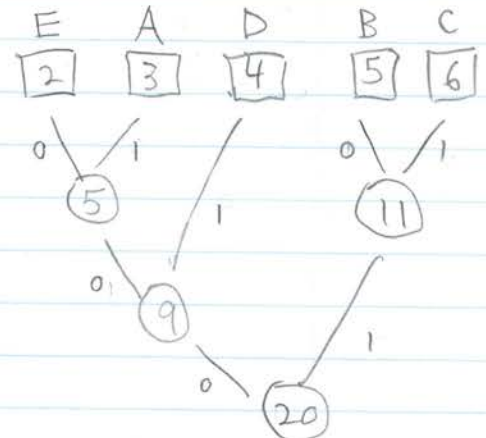
### 3.4 Huffman Coding - Greedy Method

Message: BCCABBDDBAECCBBAEDDCC

\* left side - 0  
right side - 1

char	count	code	# of bits
A	3	001	$3 \times 3 = 9$
B	5	10	$5 \times 2 = 10$
C	6	11	$6 \times 2 = 12$
D	4	01	$4 \times 2 = 8$
E	2	000	$2 \times 3 = 6$
5x8 bits = 40	20	12 bits	45 bits

8 bits  
for ASCII



message size = 45 bits

table size =  $40 + 12 = 52$  bits

total size =  $45 + 52 = 97$  bits

\* follow path from root  
to find code

Decoding: start from root  
to find character

B = 10, C = 11, etc.

$$\begin{aligned} \sum d_i \cdot f_i & \text{ (total bits)} \\ &= 3 \times 2 + 3 \times 3 + 2 \times 4 + 2 \times 5 + 2 \times 6 \\ &= 45 \text{ bits} \end{aligned}$$

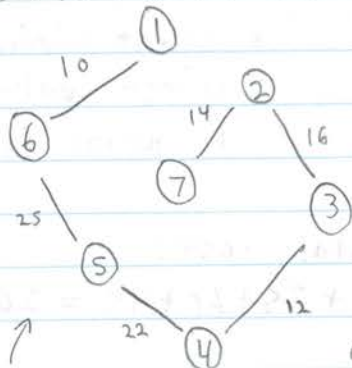
Hilroy



### 3.5 Prim's and Kruskal's Algorithms - Greedy Method

Prim's: select minimum weights but must be connected to newly selected vertices

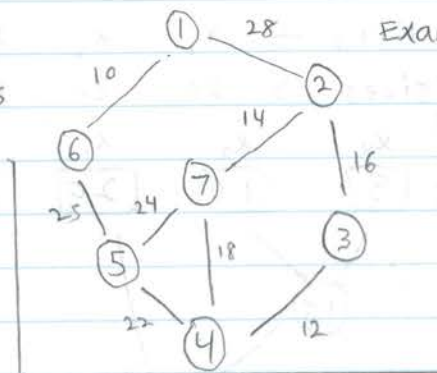
10 → 25 → 22 → 12 → 16 → 14



cost: 99

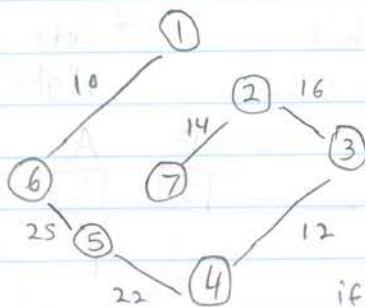
minimum spanning tree

Example:



Note: minimum cost spanning tree can't be obtained for non connected graphs! (no algorithm can be used)

Kruskal's: always select minimum cost edge, but must not form a cycle



cost: 99

if using min heap:

\* only one optimal cost  $\Theta(n \log n)$

10 → 12 → 14 → 16 → 22 → 25

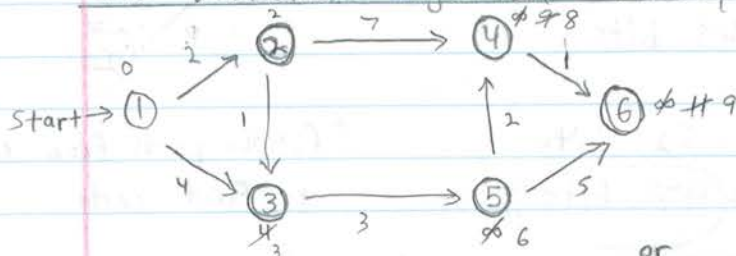
$|E|$  - num of edges

$|V| - 1$

$\Theta(|V||E|)$

$\Theta(n \cdot e) = \Theta(n^2)$

### 3.6 Dijkstra's Algorithm - Greedy Method



Relaxation:

if  $(d[u] + c(u, v) < d[v])$

$d[v] = d[u] + c(u, v)$

v	d[v]
2	2
3	3
4	8
5	6
6	9

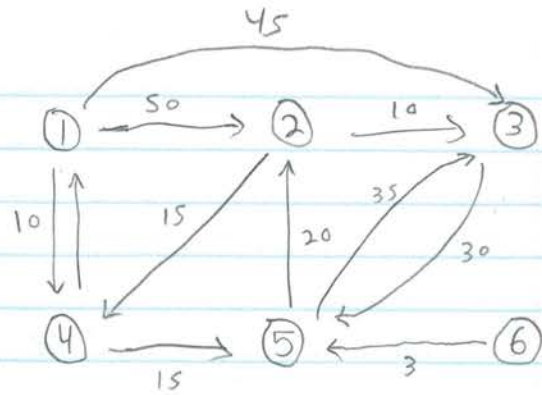
time complexity:  $n = |V|$ ,  $|V| = n$ ,  $n \cdot n = n^2$   $\Theta(n^2)$  or  $\Theta(|V|^2)$

1 → 2 → 3 → 5 → 4 → 6

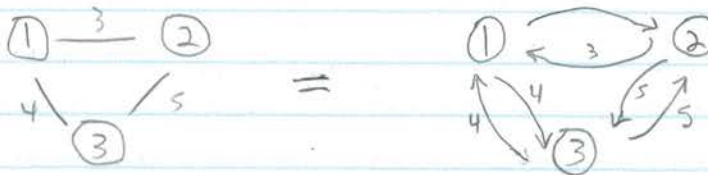
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Ex starting vertex 1

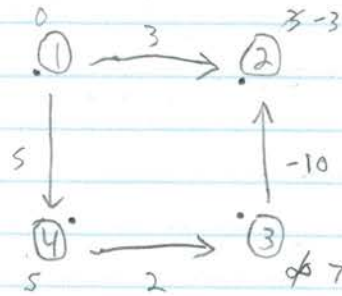
selected vertex	2	3	4	5	6
4	50	45	10	$\infty$	$\infty$
5	50	45	10	25	$\infty$
2	45	45	10	25	$\infty$
3	45	45	10	25	$\infty$ ← no change
6	45	45	10	25	$\infty$ ← can't reach 6



can also work on non directed graphs!



Drawbacks:



\*negative weights/edge

correct answer is -3,  
but algorithm returned 3