



# Unlocking the Secrets of Heart Transplant Success with Machine Learning

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# Overall Costs



# Waiting List



# Potential for Failure



# Overall Costs



30 Days of Pre-Transplant Medical Care

**\$49,800**

Hospital Admission for Procedure

**\$1,062,600**

180 Days of Post-Transplant Medical Care

**\$270,300**

Grand Total

**\$1,664,800**

Organ Procurement

**\$131,500**

Physician Cost

**\$111,100**

Medications

**\$39,500**

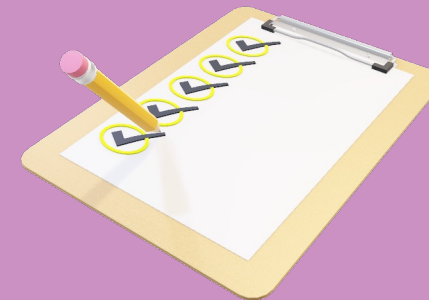


Waiting List



Potential for Failure

# Waiting List



Potential for Failure

New Candidates  
Added to Adult  
Wait List

**4,086**

Total Number of  
Candidates on  
Adult Wait List

**7,562**

**12%**

of Candidates Have  
Been on Adult Wait  
List for 2+ Years

**59.7%**

of Adult Candidates  
Diagnosed with  
Cardiomyopathy

Median Adult Wait  
Time

**5.1 months**

New Candidates  
Added to  
Pediatric Wait List

**694**

Total Number of  
Candidates on  
Pediatric Wait List

**1,087**

**26%**

of Candidates Have  
Been on Pediatric  
Wait List for > 90  
Days

**30%**

of Pediatric  
Candidates are  
Younger than 1 Year  
Old

**8.2%**

of Pediatric  
Candidates Died



Overall Costs

# Potential for Failure

**25.1%**

of Adult Patients  
Experienced  
Acute Rejection in  
the First Year

**7.9%**

of Adult Patients  
Died Within 1 Year  
Post-Transplant

**21.2%**

of Pediatric Patients  
Experienced Acute  
Rejection in the  
First Year

**8.2%**

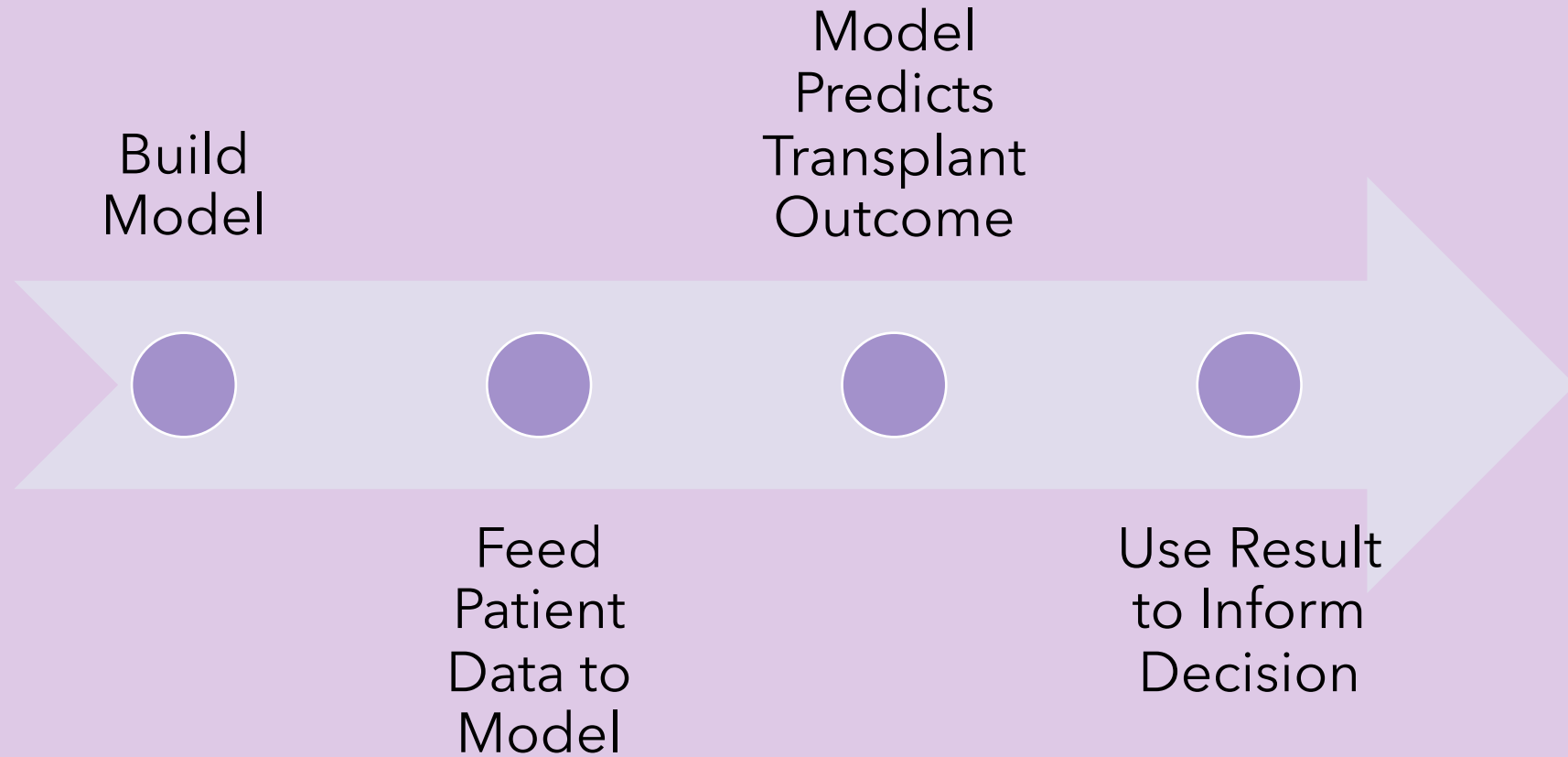
of Pediatric  
Patients Died  
Within 1 Year Post-  
Transplant



Overall Costs

Waiting List

# Solution: Machine Learning



# **United Network for Organ Sharing**

# United Network for Organ Sharing

Manages  
the national  
transplant  
waiting list

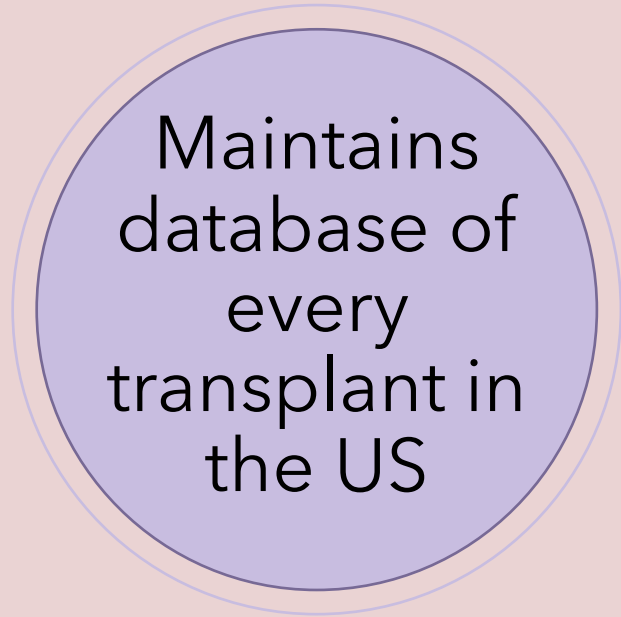
Maintains  
database of  
every  
transplant in  
the US

Federally  
contracted  
non-profit

Manages  
each organ  
match



# United Network for Organ Sharing

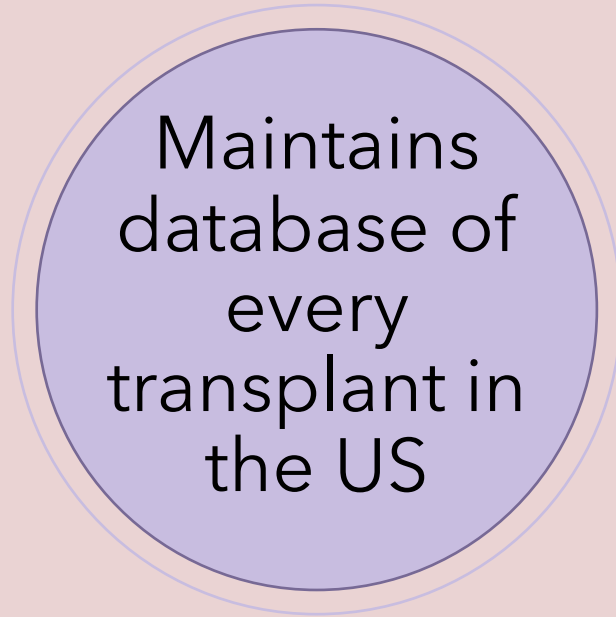


**500+ Features**

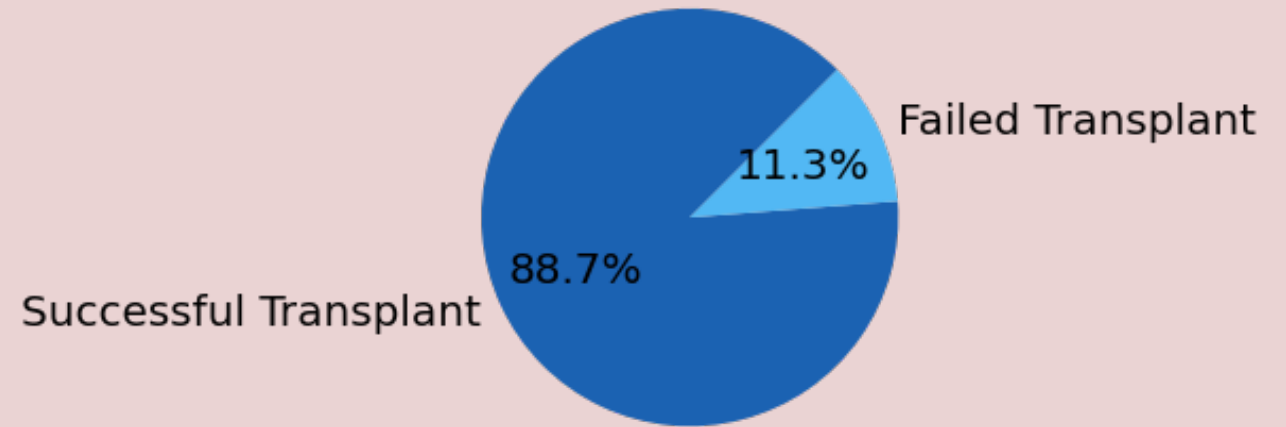
**40,000+ Objects**

**Available to Anyone**

# United Network for Organ Sharing



Data Distribution in Original Dataset



# Preprocessing the Data



# Preprocessing the Data

Determine  
Transplant  
Failure Time

```
1 conditions = [  
2     ((df['GTIME'] <= 365) & (df['GTIME'] > 90) & (df['GSTATUS'] == 0)),  
3     ((df['GTIME'] <= 365) & (df['GTIME'] > 90) & (df['GSTATUS'] == 1)),  
4     ((df['GTIME'] > 365) | (df['GSTATUS'] == 0)),  
5 ]  
6  
7 choices = [  
8     np.nan,  
9     0,  
10    1  
11 ]  
12  
13 df['365DayOutcome'] = np.select(conditions, choices, default = np.nan)
```

GTIME: Graft Lifespan (in days)  
GSTATUS: Graft Failed (1 = Yes)

Outcomes

nan: Last checkpoint between 90 and 365 days with successful graft  
0: Graft failed between 90 and 365 days  
1: Graft successful at 365 days

# Preprocessing the Data

Feature Engineering

```
1 cleanedDataset365['GENDER'] = cleanedDataset365['GENDER'].map({'M':0, 'F':1})
2 cleanedDataset365['ABOMAT'] = cleanedDataset365['ABOMAT'].map({'ABO incompatible':0, 'ABO compatible':1, 'ABO identical':2})
3 cleanedDataset365['PROTEIN_URINE'] = cleanedDataset365['PROTEIN_URINE'].astype(bool)
```

GENDER: M/F → 0/1

ABOMAT:

ABO incompatible/ABO compatible/ABO identical → 0/1/2

PROTEIN\_URINE: Y/N → True/False

# Preprocessing the Data

Round 1:

```
1 df_1 = cleanedDataset365.filter(['365DaySurvival', 'GENDER', 'LV_EJECT',  
2                                'NUM_PREV_TX', 'DAYSWAIT_CHRON', 'ABOMAT',  
3                                'TX_YEAR', 'PHMratio', 'vol_quartile', 'TBILI',  
4                                'PROTEIN_URINE', 'AGE'], axis=1)
```

Filter  
Features

Round 2:

```
1 filtered_df = cleanedDataset365.select_dtypes(include=['int64', 'float64', 'bool'])
```

Feature

# Preprocessing the Data

Remove  
Objects with  
Null Values



```
1 df_2 = df_1.dropna()
```

# Preprocessing the Data

Synthetic Minority  
Oversampling  
Technique  
(SMOTE)



```
1 from imblearn.combine import SMOTEENN
2
3 X = df_smote.drop(['365DaySurvival'], axis = 1)
4 y = df_smote['365DaySurvival']
5
6 smt = SMOTEENN(random_state=42)
7 X, y = smt.fit_resample(X, y)
```

- Uses KNN to connect two minority datapoints
- Creates new datapoint along that line
- Continues until classes are balanced
- May result in ambiguous datapoints



# Preprocessing the Data

Edited  
Nearest  
Neighbor  
(ENN)

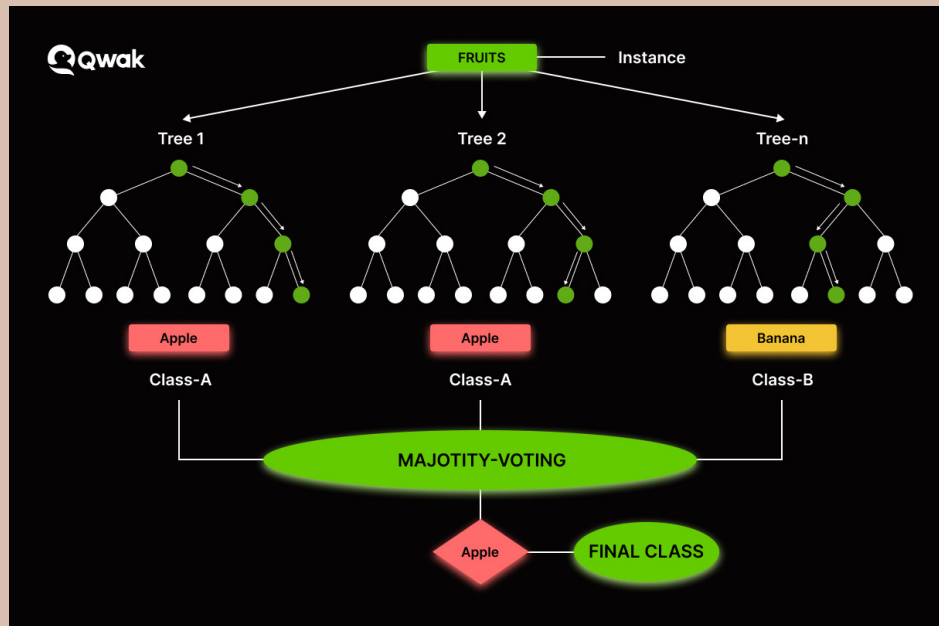
```
1 from imblearn.combine import SMOTEENN
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3 X = df_smote.drop(['365DaySurvival'], axis = 1)
4 y = df_smote['365DaySurvival']
5
6 smt = SMOTEENN(random_state=42)
7 X, y = smt.fit_resample(X, y)
```

- Undersampling technique
- Removes instances of majority class on boundary between classes
- Creates a more obvious distinction between classes

# **XGBoost vs. Random Forest**

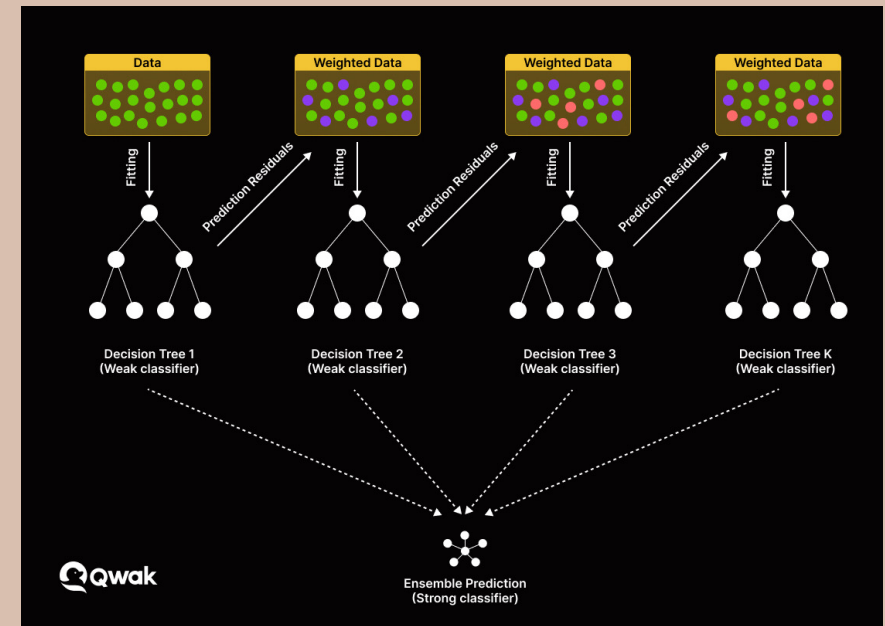
# Random Forest

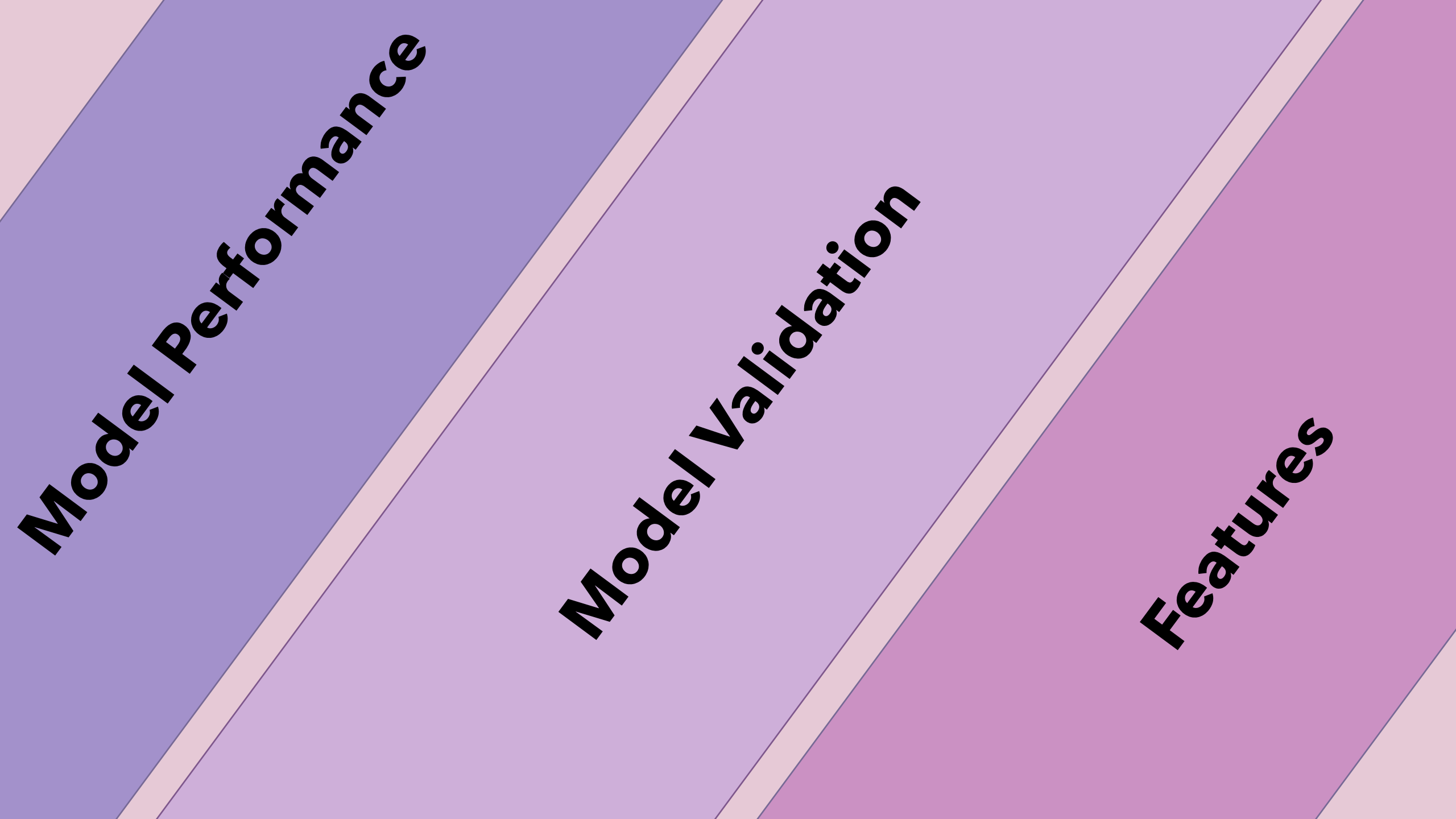
- Bagging algorithm
- Collection of decision trees
- Each tree is a weak learner
- Each tree learns from a subset of the original features
- Majority voting to determine class



# XGBoost

- Boosting algorithm
- Based on Gradient Boosting
- Each classifier is a decision tree
- First learner makes predictions
- Error is calculated using loss function
- Residuals passed to next learner





**Model Performance**

**Model Validation**

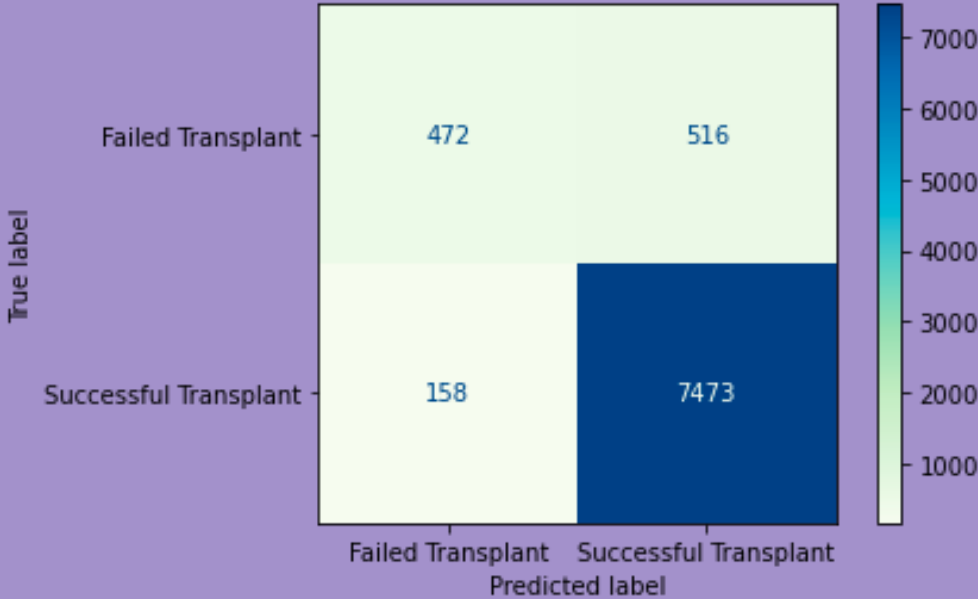
**Features**

# Model Performance

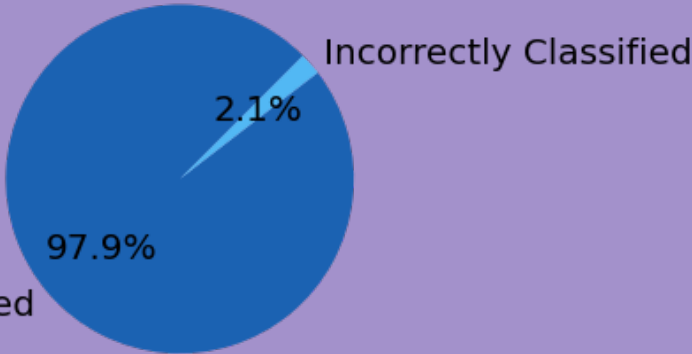
## Round 1

Physician-Specified Features with XGBoost

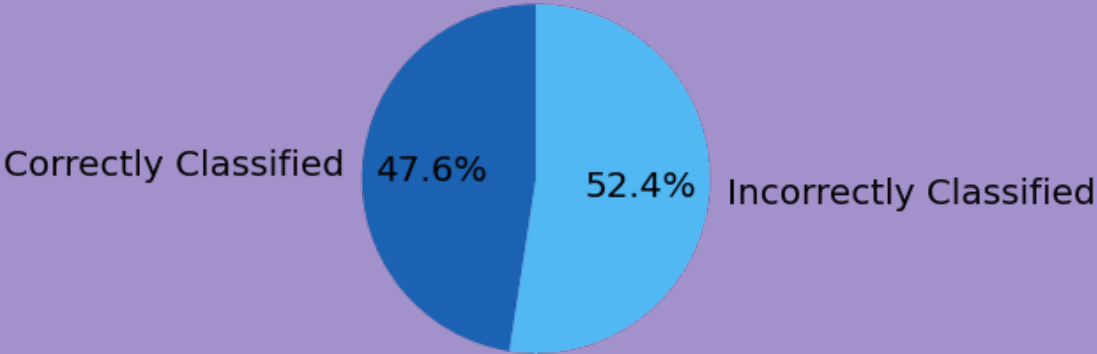
Metric	Score
Accuracy	0.922
Precision	0.935
Recall	0.98
Specificity	0.476
F1 Score	0.957
AUC ROC	0.728



Classification Rates for Successful Heart Transplants



Classification Rates for Failed Heart Transplants

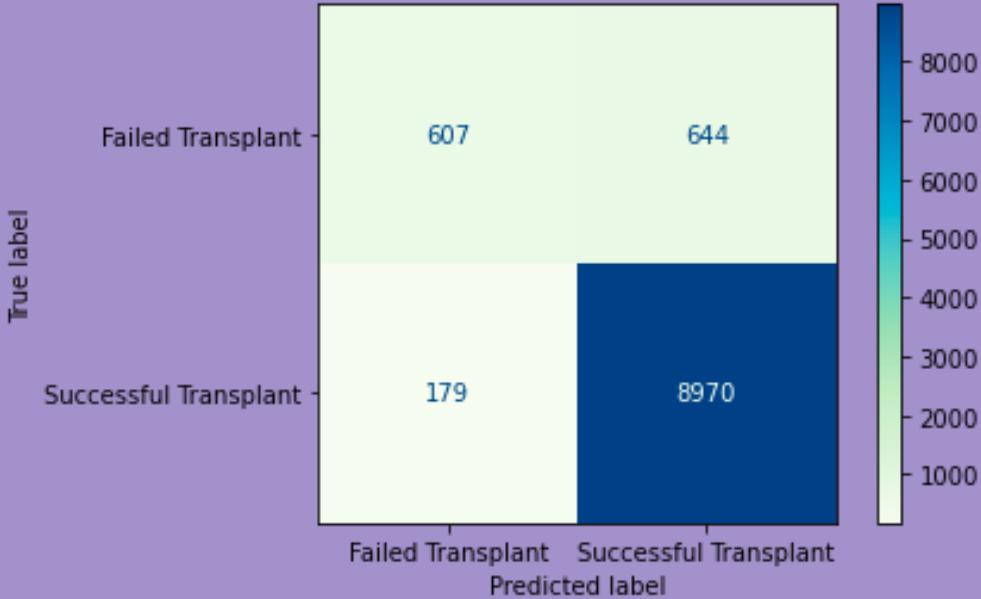


# Model Performance

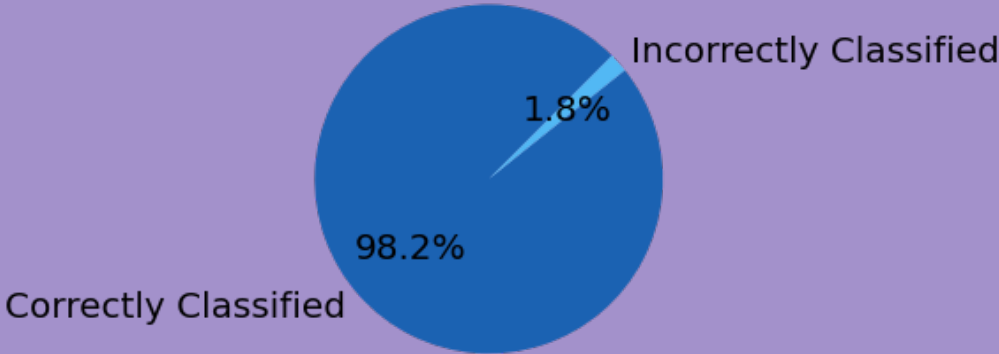
## Round 2

Physician-Specified Features with Random Forest

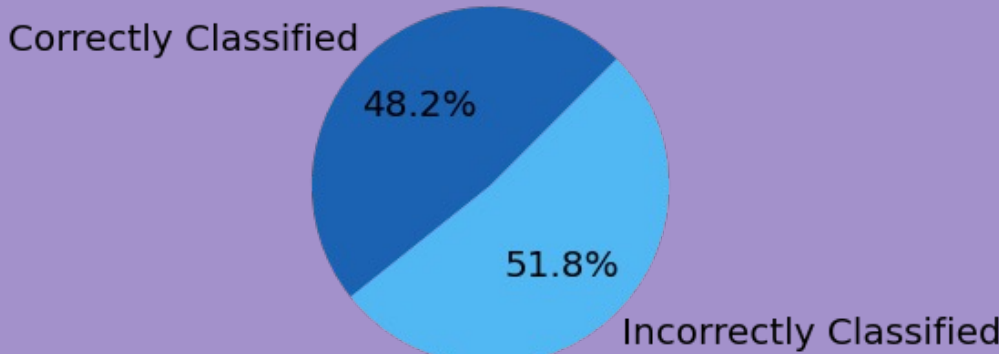
Metric	Score
Accuracy	0.921
Precision	0.933
Recall	0.98
Specificity	0.485
F1 Score	0.956
AUC ROC	0.733



Classification Rates for Successful Heart Transplants



Classification Rates for Failed Heart Transplants

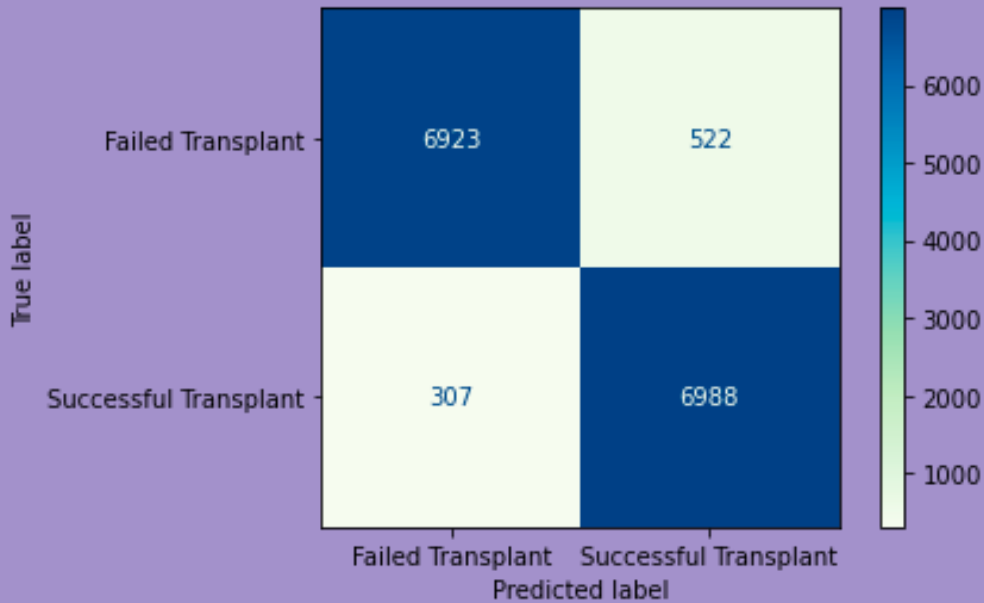


# Model Performance

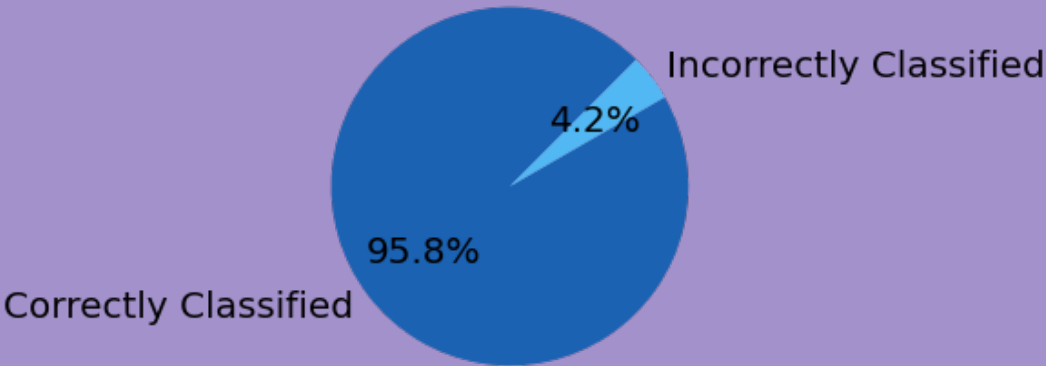
## Round 3

Physician-Specified Features with XGBoost and SMOTE

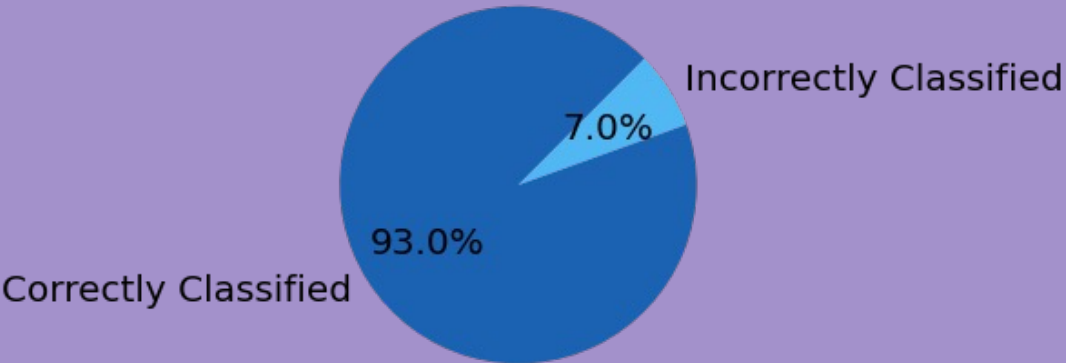
Metric	Score
Accuracy	0.944
Precision	0.93
Recall	0.958
Specificity	0.93
F1 Score	0.944
AUC ROC	0.944



Classification Rates for Successful Heart Transplants



Classification Rates for Failed Heart Transplants

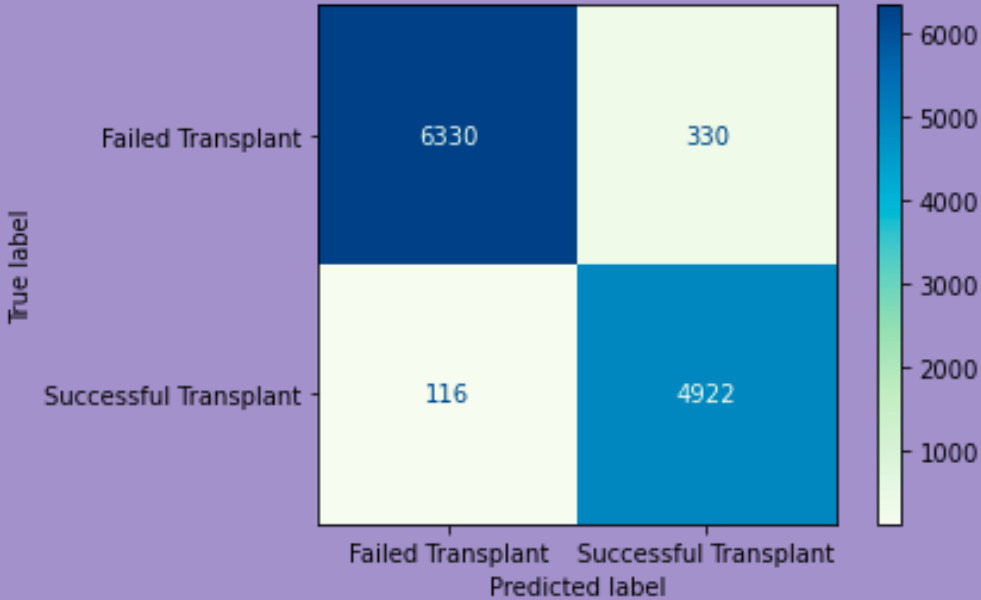


# Model Performance

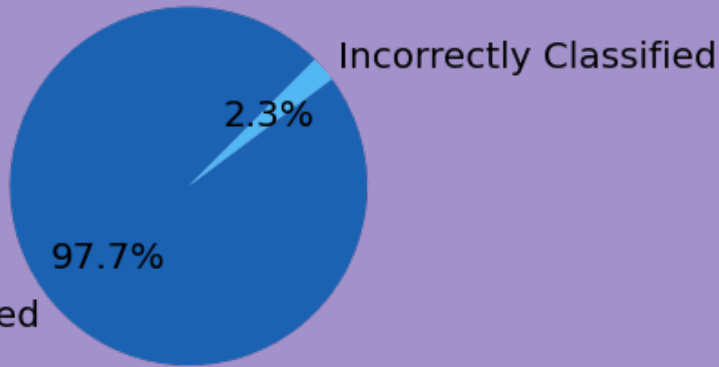
## Round 4

Physician-Specified Features with XGBoost, SMOTE, and ENN

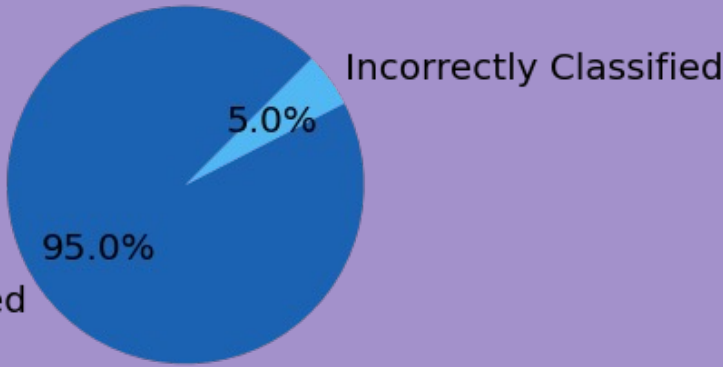
Metric	Score
Accuracy	0.962
Precision	0.937
Recall	0.977
Specificity	0.95
F1 Score	0.957
AUC ROC	0.964



Classification Rates for Successful Heart Transplants



Classification Rates for Failed Heart Transplants



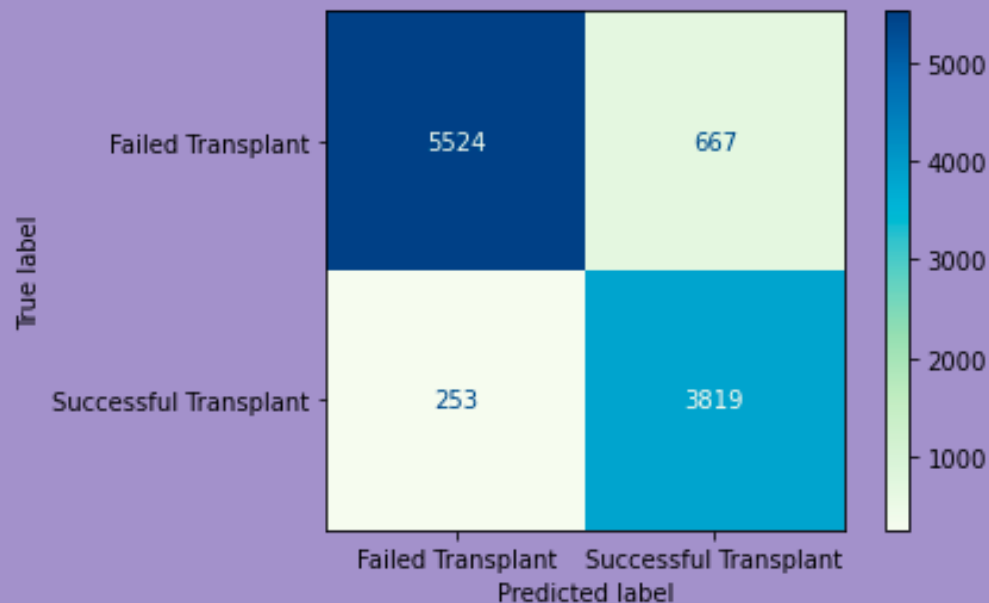


# Model Performance

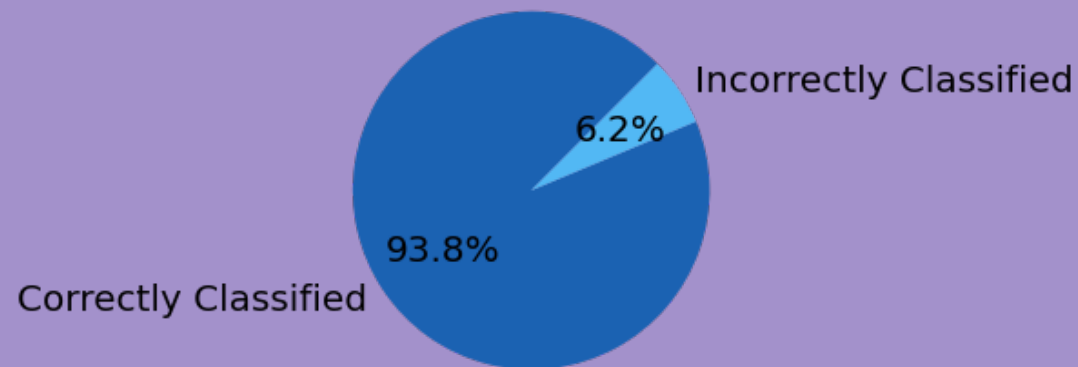
## Round 5

Physician-Specified Features with XGBoost, SMOTE, and ENN without TX\_YEAR

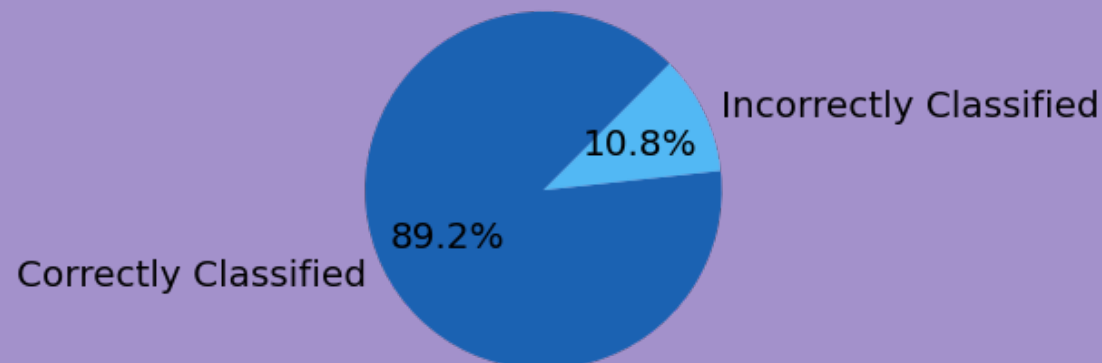
Metric	Score
Accuracy	0.91
Precision	0.851
Recall	0.938
Specificity	0.892
F1 Score	0.892
AUC ROC	0.915



Classification Rates for Successful Heart Transplants



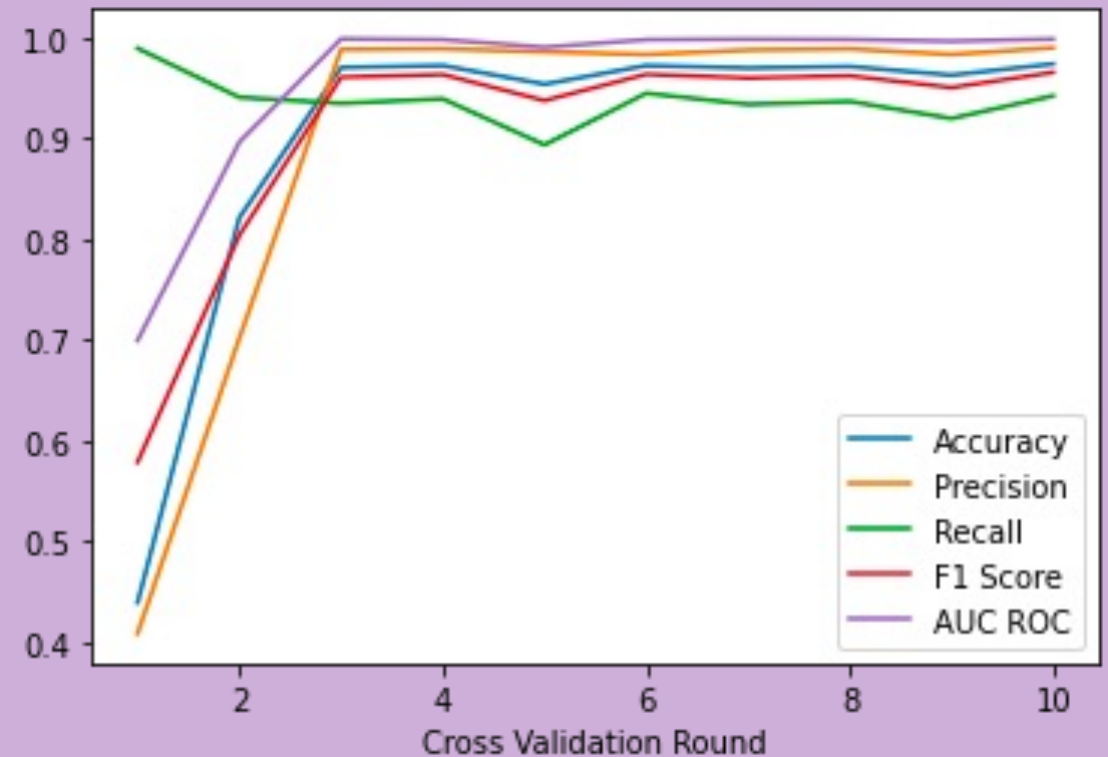
Classification Rates for Failed Heart Transplants



# Model Validation

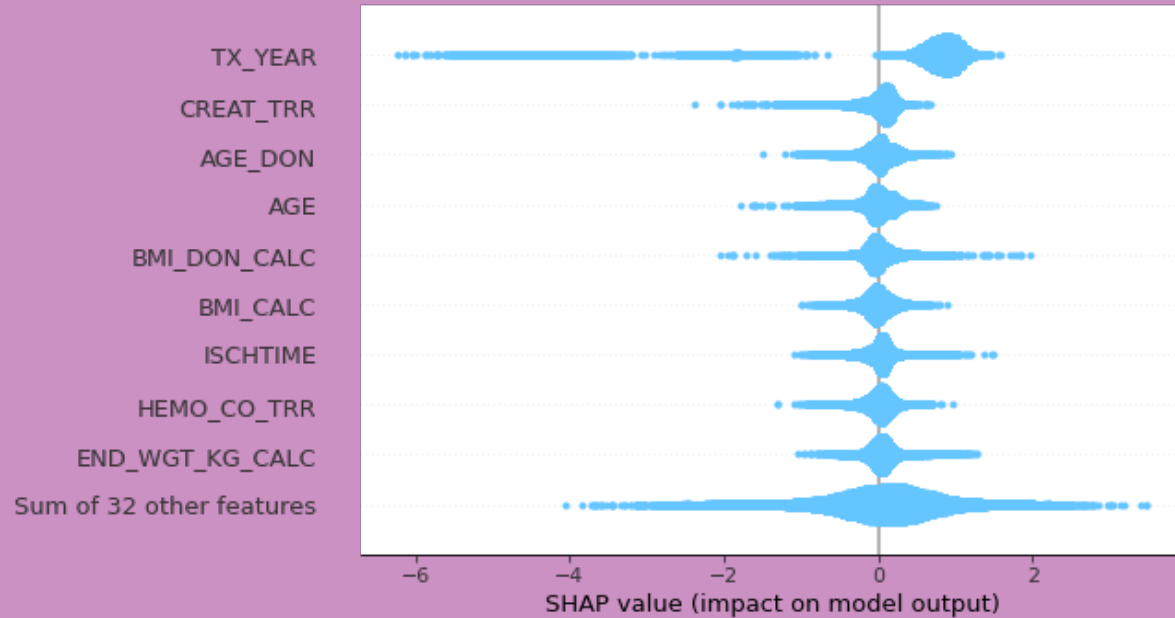


```
1 from sklearn.model_selection import cross_validate
2 scores = cross_validate(model, X, y, cv=10, scoring=['accuracy', 'precision', 'recall', 'f1', 'roc_auc'])
```

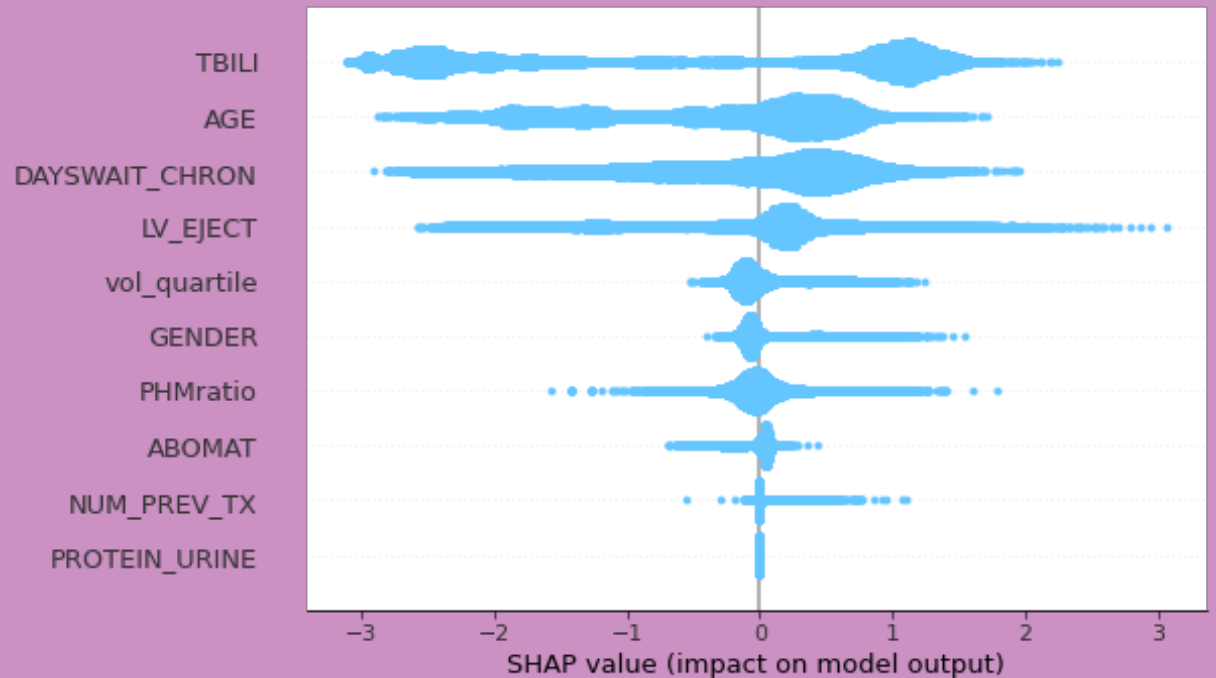


# Features

Key Features for XGBoost without SMOTE/ENN Including All Features



Key Features for XGBoost with SMOTE/ENN with Physician Filtered Columns, no TX\_YEAR



# Conclusions

## Model 5

Physician-Specified Features with XGBoost, SMOTE, and ENN without TX\_YEAR

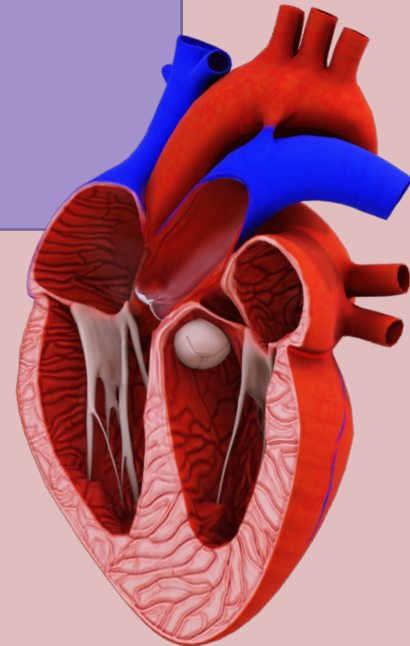
Metric	Score
Accuracy	0.91
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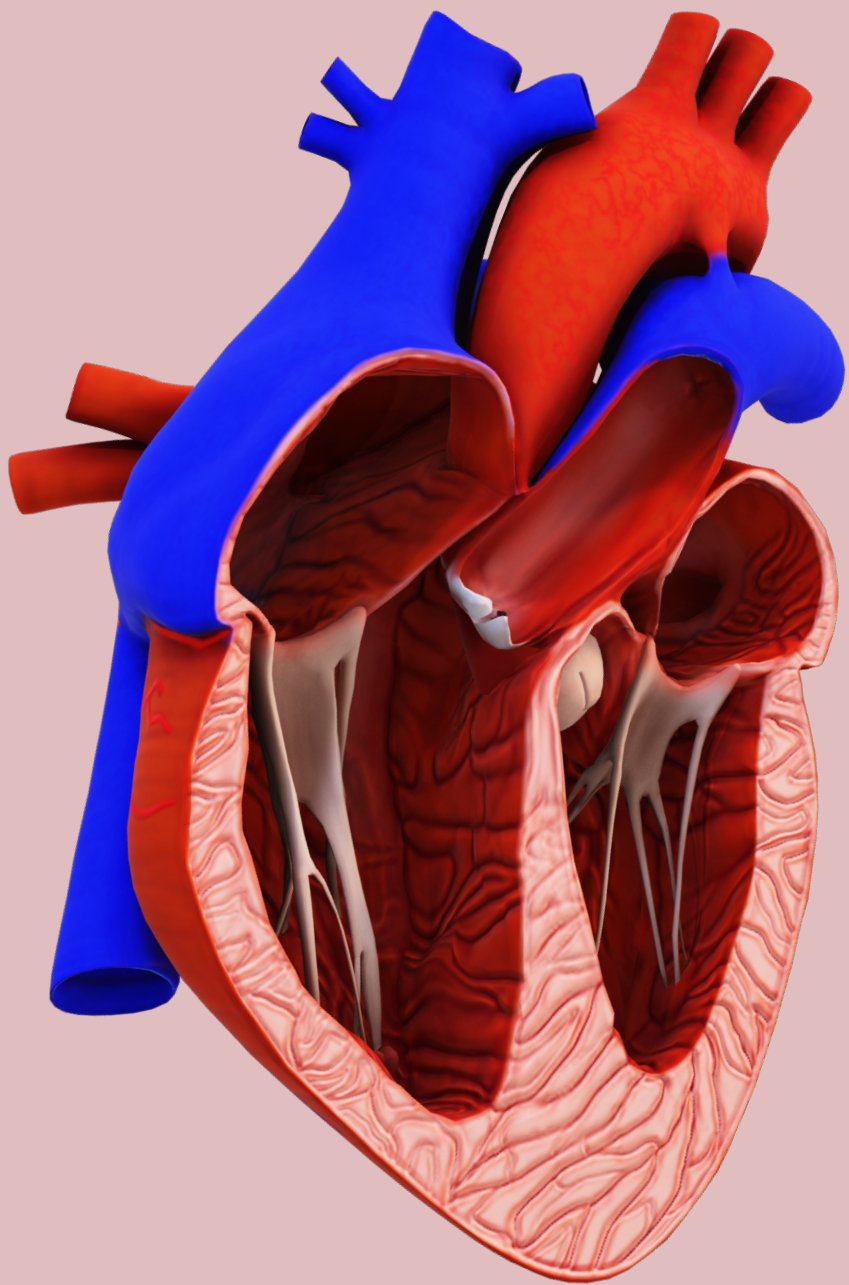
## Assumptions

- TX\_YEAR shouldn't be included in model
- Physician recommendations

## Limitations

- Without SMOTE, failures cannot be predicted





**Thank You**