

We already defined ideals

↳ additive subgroups that are closed under scaling

Properties of ideals

- trivial, proper, improper
- generators: \rightarrow finitely generated
 \rightarrow principle

Example 1: R is any ring

trivial ideal: $I = \{0\}$

improper ideal: $I = R$

Proposition: Suppose I is an ideal in a ring with unity
then TFAE:

① I is improper, i.e. $I = R$

② I contains a unit, i.e. there is a unit $u \in I$ (with multiplicative inverse)

③ $1 \in I$

proof ① \Rightarrow ② $I = R \Rightarrow 1 \in I$ which is a unit

② \Rightarrow ③ Suppose you have a unit

then u has an inverse element $u^{-1} \in R$

I ideal $\Rightarrow 1 = u^{-1} \cdot u \in I$

③ \Rightarrow ① Suppose $1 \in I$, take any $r \in R$, then $r = r \cdot 1$
and $r \cdot 1 \in I$ because I ideal

$\Rightarrow I = R$

Corollary 1 (Rog's sadness corollary)

Suppose F is a field and $I \subseteq F$ is an ideal

then I is trivial or improper

Corollary 2: Suppose F is a field

then every homomorphism $\phi: F \rightarrow R$ when R is (nontrivial) ring
is injective

proof: $\text{Ker}(\phi)$ is an ideal so it's either trivial (\Rightarrow injective)

or $\text{Ker}(\phi) = F$ (b/c $\phi(1_F) = 1_R$) so can't happen

Generators for ideals:

Suppose R is a commutative ring (w/1)

Let $A \subseteq R$ be any subset

Then the ideal generated by A is the smallest ideal in R that contains A

Notational options:

1: (A)

2: $\langle A \rangle$

3: RA

Given an ideal $I \subseteq R$, we say:

• I is generated by A if $I = (A)$

• I is finitely generated if $I = (A)$ for some finite set A

• I is principal if $I = (\{a\}) = (a)$ for an element $a \in R$

FACTS ① $(A) = \bigcap_{\substack{I \subseteq R: \text{ideal} \\ A \subseteq I}} I$

② $(A) = \left\{ \sum_{\text{finite}} r_i a_i : r_i \in R, a_i \in A \right\}$

Example 1: In \mathbb{Z} , all ideals $I \subseteq \mathbb{Z}$ are of the form

$$I = (n) = n\mathbb{Z} = \{nk : k \in \mathbb{Z}\}$$

\Rightarrow all ideals principal

Example 1 in $\mathbb{Z}[x]$

$$(2) = \{2p(x) : p(x) \in \mathbb{Z}[x]\} = 2\mathbb{Z}[x]$$

$$(2, x) = \{2p(x) + xq(x) : q(x), p(x) \in \mathbb{Z}[x]\}$$

Example 2 (cont.) $(2, 6) = \{2k + 6m : k, m \in \mathbb{Z}\} = \{2(k+3m) : k, m \in \mathbb{Z}\} = (2)$

claim $(2, x)$ is not a principal ideal (\Rightarrow not every ideal in $\mathbb{Z}[x]$ is principal)

first check $(2, x) = \{2p(x) + xq(x) : p(x), q(x) \in \mathbb{Z}[x]\}$

$$= \{f(x) \in \mathbb{Z}[x] : f(0) \text{ is even} \Leftrightarrow f(x) \text{ has an even constant term}\}$$

($f(x) = c_0 + c_1x + \dots + c_nx^n$ where c_0 is even)

quick consequence: $(2, x)$ is proper, $1 \notin (2, x)$

Now suppose $(2, x)$ is principal $(2, x) = (g(x))$

then ① $2 \in (2, x) = (g(x)) \Rightarrow 2 = p(x)g(x)$ for some $p(x)$

Using degree, this implies $p(x), g(x)$ are constant

$$g(x) = \pm 1 \text{ or } g(x) = \pm 2$$

If $g(x) = \pm 1$ are units (so $(g(x)) = R$) so no $g(x) = \pm 2$

$$\text{Then } x \in (\mathbb{Z}, x) = (g(x)) \Rightarrow x = p(x)g(x) = \underbrace{p(x)}_{\substack{x \text{ coefficient} \\ \text{even}}} 2$$

so not possible

$\Rightarrow (\mathbb{Z}, x)$ is not principle

Prime and Maximal ideals

Thm: For an ideal $I \subseteq R$

properties of $I \leftrightarrow$ properties of R/I

Recall: For each ideal $I \subseteq R$ we have a "natural projection"

$$\pi: R \rightarrow R/I$$

$$r \mapsto r+I = \{r+i: i \in I\}$$

Remember: $a+I = b+I \Leftrightarrow a-b \in I$

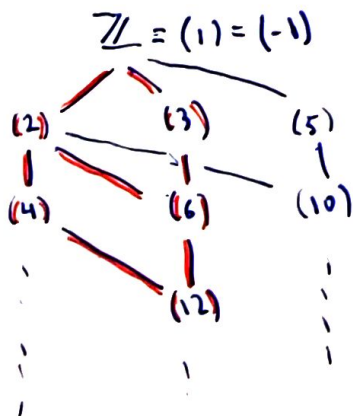
Example ①

$I \subseteq R$ is proper $\Leftrightarrow R/I$ is nontrivial

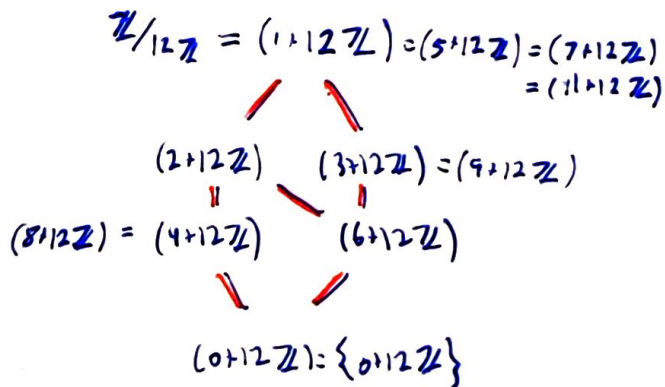
Example ②: In \mathbb{Z} , look at ideal $(12) = 12\mathbb{Z}$

recall: all ideals in \mathbb{Z} are principle; of the form (n) for $n \in \mathbb{Z}$

$$(m) \subseteq (n) \Leftrightarrow n \text{ divides } m$$



$$(0) = \{0\}$$



FACT Lattice isomorphism theorem for rings
(via an ideal) $I \subseteq R$

1. There is a bijection $\{\text{ideals } J \supseteq I \text{ in } R\} \leftrightarrow \{\text{ideals } \bar{J} \text{ in } R/I\}$

$$J \mapsto \pi(J) = \{j+I: j \in J\}$$

$$\pi^{-1}(\bar{J}) \leftarrow \bar{J}$$

2. This is a bijection of "lattices" i.e. it respects inclusions, intersections

Maximal ideals

Def: A proper ideal $I \subseteq R$ is maximal if it is maximal among proper ideals (ordered by inclusion) i.e.

if J is an ideal with $I \subseteq J \subseteq R$ then either $J=I$ or $J=R$

in $\mathbb{Z}[x]$ Last time: $J = (2, x)$ is a proper ideal

no principle
consider $I = (x) = \{x \cdot p(x) : p(x) \in \mathbb{Z}[x]\}$

Definitely: $(x) \subseteq (2, x) \subsetneq \mathbb{Z}[x]$

but note $2 \in J = (2, x)$

but $2 \notin (x)$

$\Rightarrow (x) \subsetneq (2, x) \subsetneq \mathbb{Z}[x]$

$\mathbb{Z}[x]$

$(2, x)$

\downarrow

(x) not maximal

Theorem: A proper ideal $I \subseteq R$ is maximal

\iff

R/I is a field

proof: $I \subseteq R$ is maximal \Leftrightarrow only ideals in R that contain I are I and R

\Leftrightarrow lattice \downarrow , so then: the only ideals in the quotient ring are $I/I = \text{triv.}$

and R/I

\iff

R/I is a field ■

Ex (3) (cont.) $(x) \subseteq \mathbb{Z}[x]$ is not maximal $\Leftrightarrow \mathbb{Z}[x]/(x)$ is not a field

idea: try to involve first isomorphism theorem

$$I_{\text{ideal}} = (x) = \{x \cdot p(x) : p(x) \in \mathbb{Z}[x]\} = \{f(x) \in \mathbb{Z}[x] : f(0) = 0\} \\ = \text{Ker}(ev_0)$$

when $ev_0: \mathbb{Z}[x] \rightarrow \mathbb{Z}$

$f(x) \mapsto f(0)$

image? \mathbb{Z}

$$\Rightarrow \mathbb{Z}[x]/(x) = \mathbb{Z}[x]/\text{Ker}(ev_0) \cong \text{im}(ev_0) = \mathbb{Z} \\ \text{not a field} \quad \therefore$$

Exercise: $\phi: \mathbb{Z}[x] \rightarrow \mathbb{Z}/2\mathbb{Z}$

$$f(x) \mapsto f(0) + 2\mathbb{Z}$$

$$\text{claim: } \text{Ker}(\phi) = (2, x)$$

$$\text{im}(\phi) = \mathbb{Z}/2\mathbb{Z} \Rightarrow \frac{\mathbb{Z}[x]}{(2, x)} \cong \mathbb{Z}/2\mathbb{Z} \text{ field}$$

so $(2, x)$ is maximal

but \mathbb{Z} is an integral domain

Theorem: Suppose $I \subseteq R$ is a proper ideal

then I is prime



R/I is an integral domain

proof: R/I integral domain \Leftrightarrow if $(a+I)(b+I) = 0$ then $a+I = 0+I$ or $b+I = 0+I$
 $ab+I = 0+I$

\Leftrightarrow if $ab \in I$, then either $a \in I$ or $b \in I$

$\Leftrightarrow I$ is prime

Def: A proper ideal is prime if whenever $ab \in I$, then $a \in I$ or $b \in I$

Observations/facts:

① All maximal ideals are prime

② Every proper ideal is contained in a maximal ideal
(need: Zorn's lemma)

③ In \mathbb{Z} : all the prime ideals are maximal

Intuition for ideals: subspaces of a vector space

special case: principal ideals $(r) = \{cr : c \in R\}$
"span of r "

Claim: r is a unit when (r) is improper / $(r) = R$

(\Rightarrow) r is a unit \Rightarrow there is some element $v \in R$ s.t. $rv = 1$

$$\text{so } 1 = rv \in (r) \Rightarrow (r) = R$$

(\Leftarrow) Suppose $(r) = R$

then $1 \in (r) \Rightarrow 1 = cr$ for some $c \in R$

$\Rightarrow r$ is a unit

Ex: in \mathbb{Z} (all ideals are principle)

$$(6) = \{\dots, -6, 0, 6, \dots\}$$

Claim: this is not a prime ideal

Proof: $2, 3 \notin (6)$ but $2 \cdot 3 = 6 \in (6)$

Proof: Look at $\mathbb{Z}/6\mathbb{Z}$ not a domain

$$\text{b/c } \bar{2}, \bar{3} \neq 0 \text{ but } \bar{2} \cdot \bar{3} = \bar{0}$$

$$(2) = \{\dots, -2, 0, 2, 4, \dots\} = 2\mathbb{Z}$$

claim: (2) is a prime ideal

proof: Look at $\mathbb{Z}/2\mathbb{Z}$ is a field (so an integral domain)

$\Rightarrow (2)$ is maximal (thus prime)

proof: Suppose $ab \in (2)$ for some $a, b \in \mathbb{Z}$

$$\text{so } 2 \mid ab \Leftrightarrow ab = 2k \text{ for some } k \in \mathbb{Z}$$

$$\Rightarrow 2 \mid a \text{ or } 2 \mid b$$

$$\Rightarrow a \in (2) \text{ or } b \in (2) \Rightarrow (2) \text{ is prime}$$

note that in \mathbb{Z} $(1) = \mathbb{Z}$ (neither max or prime)

$(0) = \{0\}$ (prime but not maximal)

$$\mathbb{Z}/\{0\} \cong \mathbb{Z} \text{ (int. domain but not a field)}$$

Ex ③: $\mathbb{R}[x]$

$$(2) = \{2f(x) : f(x) \in \mathbb{R}[x]\} = 2\mathbb{R}[x]$$

$$(x) = \{xf(x) : f(x) \in \mathbb{R}[x]\}$$

$$= \{g(x) \in \mathbb{R}[x] : g(x) = c_1x + c_2x^2 + \dots\}$$

$$= \{g(x) \in \mathbb{R}[x] : g(0) = 0\}$$

Claim: x is a prime ideal

proof 1: Suppose $f(x)g(x) \in (x)$ for some $\mathbb{R}[x] \ni f(x), g(x)$

$$\Rightarrow f(x)g(x) = xh(x) \text{ for some } h \in \mathbb{R}[x]$$

$$x=0: f(0) \cdot g(0) = 0 \Rightarrow \text{so } f(0) = 0 \text{ or } g(0) = 0$$

$$\text{so } f \in (x) \text{ or } g \in (x)$$

proof: $\mathbb{R}[x]/(x)$

find homo. $\phi: \mathbb{R}[x] \rightarrow S$

$$\text{with } \text{Ker}(\phi) = (x)$$

let's use $ev_0: \mathbb{R}[x] \rightarrow \mathbb{R}$ ## Week 2 pt 2 ##
 $f(x) \mapsto f(0)$

then $\text{Ker}(ev_0) = (x)$

$\text{im}(ev_0) = \mathbb{R}$

so $\frac{\mathbb{R}[x]}{(x)} \cong \mathbb{R}$ is a field

compose: $(x^2-1) \in \mathbb{R}[x]$

claim: (x^2-1) is not a prime ideal

proof: $(x^2-1) = (x-1)(x+1) \in (x^2-1)$

and $(x-1), (x+1) \notin (x^2-1)$

proof: if $x+1 \in (x^2-1)$ then $x+1 = (x^2-1)f(x)$

$\Rightarrow \deg(x+1) = \deg(x^2-1) + \deg(f(x))$
 contradiction!

proof: $ev_{1,-1}: \mathbb{R}[x] \rightarrow \mathbb{R} \times \mathbb{R}$
 $f(x) \mapsto (f(1), f(-1))$

check: $\text{Ker}(ev_{1,-1}) = (x^2-1)$

$\text{im}(ev_{1,-1}) = \mathbb{R} \times \mathbb{R}$

so $\frac{\mathbb{R}[x]}{(x^2-1)} \cong \mathbb{R} \times \mathbb{R}$
 not an integral domain

$(1,0) \cdot (0,1) = (0,0) \therefore$

(Chinese remainder theorem)

proof: $\frac{\mathbb{R}[x]}{(x^2-1)} = \frac{\mathbb{R}[x]}{(x-1)(x+1)} = \frac{\mathbb{R}[x]}{(x-1)} \times \frac{\mathbb{R}[x]}{(x+1)} = \mathbb{R}[x] \times \mathbb{R}[x]$

Ex(4)

\mathbb{Z}
 $(4) \subseteq (2) \subseteq \mathbb{Z}$

\mathbb{Z}
 $\boxed{-4}, -3, \boxed{-2}, -1, \boxed{0}, 1, \boxed{2}, \dots$

$2\mathbb{Z} = (2) = \{-4, -2, 0, 2, 4, \dots\}$

$4\mathbb{Z} = (4) = \{-4, 0, 4, \dots\}$

$\mathbb{Z}/4\mathbb{Z}$
 $\boxed{C_0} = \{\dots, -4, 0, 4, \dots\}$
 $C_1 = \{\dots, -3, 1, 5, \dots\}$
 $\boxed{C_2} = \{\dots, -2, 2, 6, \dots\}$
 $C_3 = \{\dots, -1, 3, 7, \dots\}$
 $\{C_0, C_2\}$

$\mathbb{Z}/4\mathbb{Z} \cong \mathbb{Z}/2\mathbb{Z}$
 $\frac{\mathbb{Z}/4\mathbb{Z}}{(2\mathbb{Z}/4\mathbb{Z})}$
 $D_0 = \{C_0, C_2\}$
 $D_1 = \{C_1, C_3\}$

Better proof: $\frac{R/I}{J/I} \cong R/I$ $J \subseteq I \subseteq R$

Find hom. $\phi: R/I \rightarrow R/I$

with $\text{Ker}(\phi) = I/I$

$\text{im}(\phi) = R/I$

the $\phi(r+I) = r+I$ will work

why well defined?

suppose $r \sim_J r'$

$r - r' \in J$ so $r - r' \in I$ because $J \subseteq I$

so $r \sim_I r'$