



Can use AI just don't rely on it, double check outputs and understand what is being outputted

Memory and OOP

Abstract Data Types (ADT)

- Model of a data structure that specifies:

(i) what operations can be performed on the data

(ii) not how these operations are implemented

Example: A Bag ADT

just a container for a group of data items

(i) Positions of data items don't matter

$$4 \in \{3, 2, 6\} \Leftrightarrow \{2, 6, 3\}$$

(ii) Data items do not need to be unique

$$\{2, 2, 10, 2, 5\} \text{ is a bag, but not set}$$

Encapsulation

Suppose a client has a class 'MyClass' and wants to use IntBag.

```
class MyClass {  
    ...  
    void myMethod() {  
        IntBag b = new IntBag();  
        b.items[0] = 17; // not allowed!  
        ...  
    }  
}
```

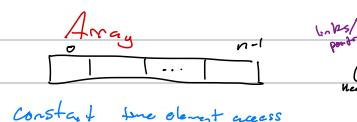
Cannot modify field directly due to being private but add method allows controlled modifications

Generic Types

Generics allow you to store any data type, so the bag can use any type specified

Can use Object
expands just matches
for type parameters

Arrays vs. Linked Lists



only know reference to header

O(n) doesn't access here

Phonebook Example

- Implement a phonebook

(1) Operations: add(element)

remove(element)

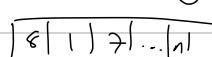
Search(Phone) return number

(2) Data Structures: Array (sorted/unsorted)

Linked List (sorted/unsorted)

add/remove should not disturb sorting

Unsorted Array



Best case: 1 check

Worst case: n comparisons

Average case: $\frac{n}{2}$ comparisons

Add

Just Add to end

Remove

Implementation of IntBag in Java

public class IntBag implements Bag {

// instance variables

Access Modifiers
private int[] items;

private int numItems;

// methods

public boolean add(int item) {

if (numItems == items.length)

return false;

items[numItems++] = item;

return true;

} ...

Container using private fields
and public methods occasionally
private helper methods

Using a Superclass to Implement Generics

public class Bag {

// instance variables

private Object[] numObj;

private int numItems;

// methods

...

public class Test {

push

Bag m = new Bag();

m.add("37C");

most specific to prevent runtime error

String bodyTemp = (String) m.grabItem();

m.add(new Integer(96));

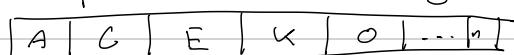
Assume grabs at C0!!

Integer temp = (Integer) m.grabItem(); // runtime

} ...

Error bc ["37C", 96]

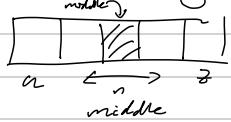
Example of Sorted Array Addition



- 1) Logn Binary Search add $\rightarrow O(n + logn)$
- 2) n Shifting Elements logn $\ll n$ so we say $O(n)$

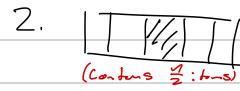
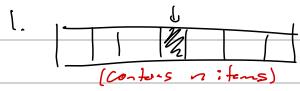
Binary Search

Sorted Array



You need a
sorted array
for this to work

$O(\log(n))$ Complexity



Target lies left of target → $\frac{n}{2}$ items
now only need $\frac{n}{2}$ of array

Find Number (Person)

Pseudocode

Low = 0

High = phonebook.size

while low <= high \geq

P = floor((low + high) / 2)

Compare Pth person

if f then same

return number

else if contain in book

high = P - 1

else

low = P + 1

3 return Not Found

3

Recursion Mathematical Background

Non-recursive Programming

- More familiar
- Calculate $\sum_{i=1}^n i$ can be done using 'for' loop
 $\rightarrow \text{sum}(n) = \sum_{i=1}^n i = 1+2+3+\dots+n$
- Can calculate recursives, but less intuitive

```
int sum(int n) {
    int i, sum;
    sum = 0;
    for (i=1; i<=n; i++) sum += i;
    return sum;
}
```

Recursive Programming

- $\sum_{i=1}^n i = 1+2+3+\dots+(n-1)+n$
 $\text{sum}(n) = \text{sum}(n-1) + n$
- ↑ recursive call
Reduces size of problem!

Recursive call must

be shorter to allow
code to terminate

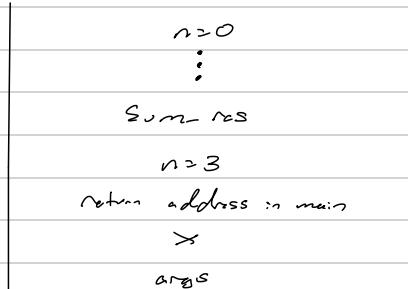
- A recursive method is a method that invokes itself
- Must specify a base/stopping condition!
- Can get farcely to look at n stack
 \hookrightarrow Simple to implement but hard on computer memory as no computations done until 'n=0'
- Code will run forever without a base case \rightarrow stack overflow usually
- Stack works in a First In - Last Out manner

```
int sum(int n) {
    if (n <= 0) return 0;
    int sum_result = n + sum(n-1);
    return sum_result;
}
```

3 Thus does not work; tail recursion
We need to make a base condition of 1!

```
int sum(int n) {
    ...
    if (n == 0) return 0;
    else return n + sum(n-1);
}

main() calls sum(3)
sum(3) calls sum(2)
sum(2) returns 3+2 or 5
main assigns x=5
```



uses n grows, space
complexity grows a lot
more \rightarrow inefficient

Example: Counting Occurrences of a character in a String

Thinking Recursively

How can we break this problem down into smaller sub-problems?

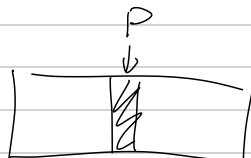
What is the base case? $: f(s.length() == 0) \text{ return } 0;$

Do we need to combine the solutions to the sub-problems? If so, how?

```
int occurrences (String s, char c) {
    if (s.length() == 0) return 0;
    if (s.charAt(0) == c) return 1 + occurrences (s.substring(1), c);
    else return 0 + occurrences (s.substring(1), c);
```

Example: Reversing an Array \rightarrow code11.java

```
myFindNumber (person, low, high)
: f (low > high) return Not_Found
P = floorAvg (low, high)
if the same
    return number
else if even
    high = p-1
else
    low = p+1
```



Fibonacci

- Call Tree Created
- Iteration better



Runtime Analysis

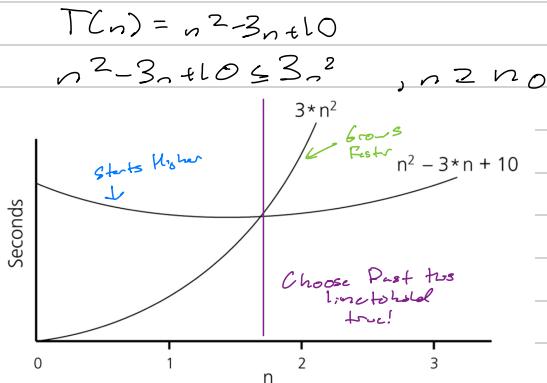
```
int sum(float[] array) {
    for (int i = 0; i <= array.length; i++)
        array[i] = array[i]/0.2;
}
```

```
int sum(float[] array) {
    for (int i = 0; i <= array.length; i++)
        array[i] = array[i]*5;
}
```

- Size of the Array Determines runtime
↳ These shown as constant time operations
- Both methods need $2N$ operations

Show that $T(n) = n^2 - 3 \cdot n + 10$ is order of $O(n^2)$

- Show that there exist constants c and n_0 that satisfy the condition



Functions Growth Rates: 'Big O' Notation

Consider possible functions $T(N)$ and $f(N)$

$\hookrightarrow f(N)$ is runtime complexity of $T(N)$

"Big O": $T(N) = O(f(N)) : f \exists c_{\text{const}} > 0 \text{ s.t.}$

$T(N) \leq c f(N) \forall N \geq n_0$

↳ we focus on very Large Numbers to determine

Example: $10^4 N^2 + 10000 = O(N^2)$?

$\frac{10^4 N^2 + 10000}{N^2} \leq c N^2$
constants don't matter for small N ↳ grows much faster

Intrested in tightest bound $10^4 N^2 + 10000 = O(N^2)$?

↳ Can be true for large c , so choose $O(M^2)$

Remember you can choose c and n_0 values!

Functions Growth Rates: Other Definitions

$T(N) = \Omega(f(N))$ if there are positive constants c and n_0 such that

$T(N) \geq c f(N)$ for all $N \geq n_0$ Opposite to Big O

Example: $0.0001 N^3 = \Omega(N^2)$

$T(N) = \Theta(f(N))$ iff $T(N) = O(f(N))$ and $T(N) = \Omega(f(N))$

Example: $0.001 N^2 + 10000 N = \Theta(N^2)$ Must be equal!

$T(N) = o(f(N))$ if for all constants c there exists an n_0 such that

$T(N) < c f(N)$ for all $N > n_0$. ↳ instead of \exists

or

$T(N) = \omega(f(N))$ iff $T(N) = O(f(N))$ and $T(N) \neq \Omega(f(N))$

Example: $10^4 N^2 + 10000 = \omega(N^3)$

Big-O Toolbox (for positive monotonic Functions)

• Constants do not matter: $T(N) = O(f(N) + c) \rightarrow T(N) = O(f(N))$

$T(N) = O(c * f(N)) \rightarrow T(N) = O(f(N))$

$T(N) + c = O(f(N)) \rightarrow T(N) = O(f(N))$

$T(N) * c = O(f(N)) \rightarrow T(N) = O(f(N))$

• Algebraic Properties:

- If $T1(N) = O(f(N))$ and $T2(N) = O(g(N))$ then $T1(N) + T2(N) = O(f(N) + g(N))$

If $T1(N) = O(f(N))$ and $T2(N) = O(g(N))$ then $T1(N) * T2(N) = O(f(N) * g(N))$

If $T1(N) = O(f(N))$ and $g(x)$ is monotonic, then $g(T1(N)) = O(g(f(N)))$

• Dominated Terms don't matter: $T(N) = O(f(N) + g(N))$ $\& g(N) = o(f(N))$
Then $T(N) = O(f(N))$ Little-o, smaller!

Logarithmic Properties

$$\log(cd) = \log(c) + \log(d)$$

$$\log(c/d) = \log(c) - \log(d)$$

$$\log(c^d) = d \log(c)$$

$$\log_b(x) = \frac{\log_k(x)}{\log_k(b)}$$

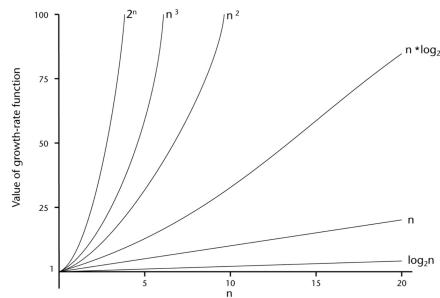
Corollary: Logarithmic grows

slower than any polynomial

$$\log N < N^c \quad \text{Dominant}$$

$$\rightarrow T(N) = N^c + \log(N)$$

Comparison of Growth-Rate Functions



Useful Mathematical Equations

$$\sum_{i=1}^n i = 1+2+\dots+n = \frac{n(n+1)}{2} \approx \frac{n^2}{2}$$

$$\sum_{i=1}^n i^2 = 1^2 + 4 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6} = \frac{n^3}{3}$$

$$\sum_{i=0}^{n-1} 2^i = 0 + 1 + 2 + \dots + 2^{n-1} = 2^{n-1}$$

Applying Concepts & Relative Rates

- > 1000000 versus $0.01 * \sqrt{N}$
- > $\log(N)$ versus \sqrt{N}
- > $\log(N)$ versus $N^{0.001}$ $N^{0.001} = N^* N^{0.001}$
- > N^3 versus $10000 * N^2$
- > $\log^2(N)$ versus $10^4 \log(N^2) = 50 * \log(N)$
- > $2 * \log(N)$ versus $\log_2(N)$ $\log(N) = \frac{\log_2(N)}{\log_2(2)}$
- > $N^0 2^N$ versus 3^N $3^N = 1.5^N * 2^N$

Algorithm Analysis

Models and Assumptions

- > Consider rather abstract algorithm (a procedure or method)
- > Ignore the details/specifics of a computer
- > Assume sequential process (a sequence of instructions)
- > Ignore small constant factors (e.g., differences among "primitive" instructions)
- > Ignore language differences (e.g., C++ versus Java)

Average-case versus worst-case performance

- > Example: finding a person in phonebook using sequential search
 - Best-case?
 - Worst-case?
 - Average-case?

How to Calculate Running Time

$$(\cancel{N} \cancel{N}) + \cancel{b} \rightarrow 0$$

Time Complexity: $O(N)$

```
public static int sum(int n)
{
    int partialSum = 0;
    for (int i = 1; i <= n; i++)
        partialSum += i*i*i;
    return partialSum;
}
```

General Rules

- Simple Statement: Constant
 - $\cancel{O(1)}$: $i++, i < n, \text{etc.}$
- Simple Loops: # iterations
- Nested Loops: Product of # iterations of outer and inner loops cost of inner body
 - $\cancel{O(1)}$: $\text{for}(n)$
 - $\cancel{O(n)}$: $\text{for}(m)$
 - $\cancel{O(n)}$: $K++$

- Consecutive Statements: Count most expensive

$\cancel{O(1)}$:
 $\cancel{O(n)}$: $\text{while}(); \cancel{O(n)}$
 $\cancel{O(n)}$: $\text{for}(n)$
 $\cancel{O(n^2)}$: $\text{for}(m)$
 $\cancel{O(n)}$: $K++$

- Conditions: Count most expensive branch

\hookrightarrow Focus on worst-case

Examples

$i = 0;$
 $\text{if } (x < 0) \{ \}$
 $K++; \cancel{O(1)}$

$3 \text{ else } \cancel{O(1)}$

$K = 1;$

$\text{for }(i=1; i < n; i++) \{ \}$

$K++; \cancel{O(n)}$

$3 \cancel{O(n)}$

$\text{for }(i=0; i < n; i = 3*i) \{ \}$

$i = 1;$

$\text{while } (i < n) \{ \}$

$j = i + 1; j < n; j++ \}$

$n \text{ times}$

$3^k = n \rightarrow K = \log n$

$O(n \log n)$

$i = 0;$
 $\text{while } (i < n) \{ \dots i++; \dots \} m$
 $\text{for }(i=0; i < n; i++) \cancel{O(n)}$
 $\text{for }(j=0; j < n; j++) \cancel{O(n)}$
 $K++; \cancel{O(n)}$

$m \cancel{O(m+n^2)}$
 $n^2 \cancel{O(n^2)}$
 $n^2 \gg m, \text{ but } n^2 \neq m$

$K = 1;$
 $\text{for }(i=0; i < n; i++) \cancel{O(n)}$
 $\text{for }(j < m) \cancel{O(n)}$
 $K++; \cancel{O(n)}$

$K = 1; \cancel{O(n^2)}$

$\text{for }(i=0; i < n; i++) \cancel{O(n)}$
 $\text{for }(j = i+1; j < n; j++) \cancel{O(n)}$
 $K++; \cancel{O(n)}$

$\sum_{i=1}^{n-2} i \rightarrow \cancel{O(n^2)}$

$\cancel{O(n^2)}$

Example Removing a Node from a DLL

↳ Assume Access to previous & next

```
public char remove(ElemNode p) {
    if (p == lstHead || p == lstTail)
        Need diff calc!
```

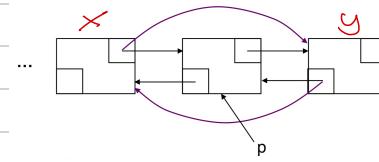
3

```
p.next.prev = p.prev;  
p.prev.next = p.next;  
theSize--;
```

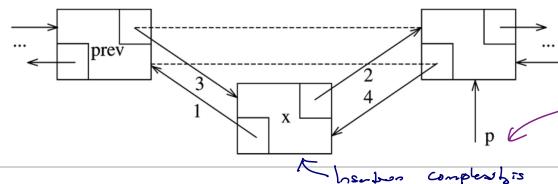
return p.ch;

3

Number of removal references depends on if nodes head or tail or not



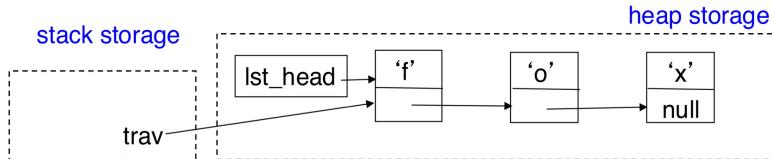
Same idea for inserting a node



$$O(n+4) = O(n)$$

Always need to find 'p', so shifts at $O(n)$ complexity
however complexity is a constant time operation

More LinkedList Operations



Rest of the class will use singly linked lists usually

General Traversal Support

→ Inefficiencies: use public LLString methods

```
public class MyClass {
    public static int numOccur(LLString str, char ch) {
        int numOccur = 0;
        for (int i = 0; i < str.length(); i++) {
            if (ch == str.get(i))
                numOccur++;
        }
        return numOccur;
    }
}
```

Complexity: O(n)

$O(n^2)$ as each iteration requires a full search to find position

Bypass private fields

Duplicating a Singly LL

- Helper method copy(str)
- Copies all elements through head
- Returns first element of new list

→ Recursive Implementation

(i) Base Case: If str is empty, return null

(ii) Recursive: Copy to call on next

Assume StringNode is well defined

```
private static StringNode copy(StringNode str) {
    if (str == null) // base case
        return null;
    // create the first node, copying the first character into it
    StringNode copyFirst = new StringNode(str.ch);
    // make a recursive call to get a copy of the rest and
    // store the result in the first node's next field
    copyFirst.next = copy(str.next);
    return copyFirst;
}
```

How can we improve efficiency and follow good OOP practices

→ (i) Or you can use a traversal node

```
public class LLString {
    public int numOccur(char ch) {
        int numOccur = 0;
        StringNode trav = lst_head;
        while (trav != null) {
            if (trav.ch == ch)
                numOccur++;
            trav = trav.next;
        }
        return numOccur;
    }
}
```

*Not
Good
Because*

$O(n)$

*Starts from
beginning, goes
to end &*

Only allows you to use numOccur, doesn't let to have to run to methods for any other use cases.

→ (ii) Get Access to list internals

```
public class MyClass {
    public static int numOccur(LLString str, char ch) {
        int numOccur = 0;
        StringNode trav = str.getNode(0); // Constant Time Operations
        while (trav != null) {
            char c = trav.getChar();
            if (c == ch)
                numOccur++;
            trav = trav.getNext(); // Get Next Node
        }
        return numOccur;
    }
}
```

This is $O(n)$ as well

Makes public fields, which you can do too though getters and setters methods.

→ (iii) Double as Iterator

```
public class MyClass {
    public static int numOccur(LLString str, char ch) {
        int numOccur = 0;
        LLString.Iterator iter = str.iterator();
        while (iter.hasNext()) {
            char c = iter.next();
            if (c == ch)
                numOccur++;
        }
        return numOccur;
    }
}
```

Also $O(n)$

- No use of StringNode Objects
- Does not depend on ListString internals

Iterator ← This is an Object, it needs to be created

- Provides Iteration ability w/o violating encapsulation
- hasNext() - Checks if current node points to a non-null node
- next() - Returns next internal : increments the iterator

It is implemented below as ↪ inner class

```
public class LLString {
    private StringNode head;
    private StringNode tail;
    ...
    public Iterator iterator(){
        Iterator iter = new Iterator();
        return iter;
    }
}

public class Iterator {
    private StringNode nextNode;
    private Iterator (){
        nextNode = head;
    }
    public boolean hasNext() {...}
    public char next() {...}
    ...
}
```

Instances:

```
LLString.Iterator myIter1 = string.iterator();
LLString.Iterator myIter2 = string.iterator();
```

Point class & Nested class

None of object to create is for

Internal workings of Iterators

```
public boolean hasNext() {
    return (nextNode != null);
}

public char next() {
    if (nextNode == null)
        throw new Exception("Falling off the list end");
    char ch = nextNode.ch;
    nextNode = nextNode.next;
    return ch;
}
```

F "O" "X" ...

F" "O" "X" ...

F" "O" "X" ...

Possible output given as "I"

after the iterator i is created: F "O" "X" ...
after calling i.next(), which returns "F": F" "O" "X" ...
after calling i.next(), which returns "O": F" "O" "X" ...

Basics of Trees

↳ First non-linear data structure

What is a Tree

- A set of nodes, top one is called the 'root'
- A set of edges connecting pairs of nodes
- Cannot have cycles - only unique path to any given node!
- Nodes have data ("payload") consists of one or more fields
- Recursive Data Structure: Each Node in the tree is the root of a smaller tree
 - ↳ Refers to these types of trees as subtrees

Recall: Phone Book

| Data Structure | Search | Insert |
|----------------|-----------------------|----------------------|
| Sorted Array | $O(\log n)$ w/ binary | $O(n)$ due to Shifts |
| Linked List | $O(n)$ using linear | $O(1)$ as LL |

Terminology

- **Key Field:** Field used when searching for a data item
- If a Node N is connected to other nodes below it, it is called the **parent** and its nodes below are **children**
 - ↳ A node can only have one parent, but can be one of many 'siblings'
- **Leaf Nodes:** A node w/o children & doesn't have to be the deepest node!
- **Interior Nodes:** A node which is neither Leaf nor Root
- **Depth of a Node:** # of edges on the path from it to the root
- **Level of a tree:** Made up of Nodes with the same depth
- **Height of a tree:** Maximum depth of its nodes
- **Binary Trees:** Each Node has at most Two children

Binary Trees

- **Recursive Definition:** A binary tree is either an collection of nodes that is either

(i) empty

(ii) contains a node R (the root tree) that has

- a binary left subtree → choose root (if \neq) connects to it
- a binary right subtree

```
public class LinkedTree {
```

```
private class Node {
```

```
private int key;
```

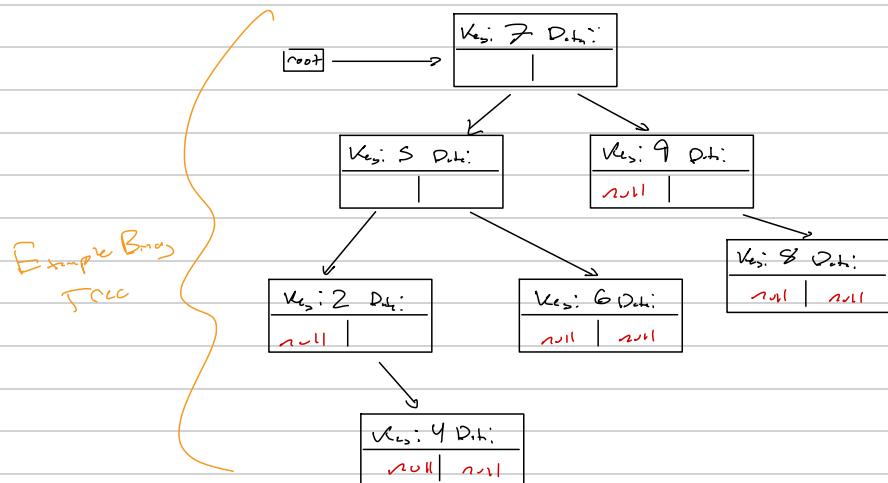
```
private String data;
```

```
private Node left;
```

```
private Node right;
```

```
...  
}
```

```
private Node root;
```



Preorder Traversal

- 1) Visit Root, N
- 2) Recursively go to L
- 3) Recursively go to R

~~N - L - R~~

Result from Bn: 7 5 2 4 6 9 8

```

private void myPreorderPrint(Node node)
{
    System.out.print(node.key + " ");
    if (node.left != null)
        myPreorderPrint(node.left);
    if (node.right != null)
        myPreorderPrint(node.right);
}
  
```

Postorder Traversal

- 1) Recursively go to L
- 2) Recursively go to R
- 3) Visit N

L R N

Results: 4 2 6 5 8 9 7

Some code almost, just move
Sout to end of method

In-order Traversal

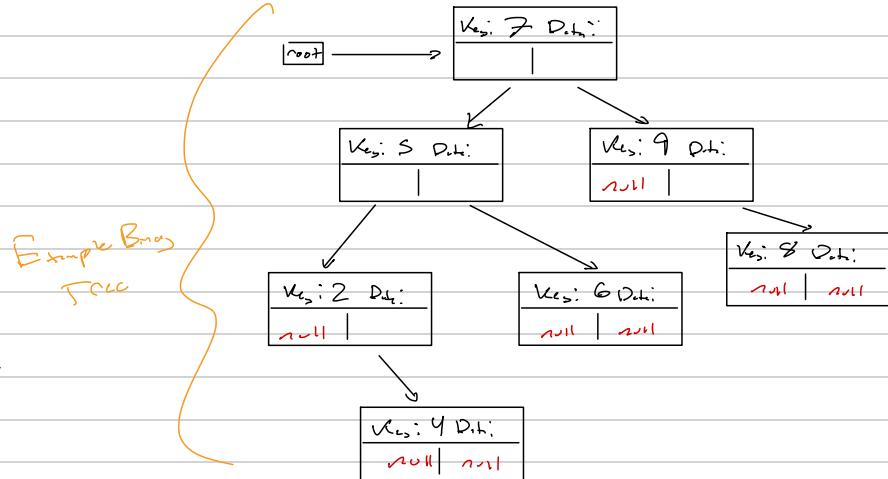
L N R

Results: 2 4 5 6 7 8 9

Level-Order Traversal

- Also "Breadth-First Traversal"
- Visit nodes by level, from top to bottom and left to right

Results: 7 5 9 2 6 8 4



Tree Traversal Summary

- preorder: root, left subtree, right subtree
- postorder: left subtree, right subtree, root
- inorder: left subtree, root, right subtree
- level-order: top to bottom, left to right

High-Level Implementation

Queue $\xrightarrow{\text{root}}$

- $\xrightarrow{\quad}$
- (i) Remove $\xrightarrow{\quad}$
 - (ii) Insert left then right node

$\xrightarrow{\quad}$

- $\xrightarrow{\quad}$
- (iii) Remove $\xrightarrow{\quad}$
 - (iv) Insert $\xrightarrow{\quad}$'s children

$\xrightarrow{\quad}$

- $\xrightarrow{\quad}$
- (v) Remove $\xrightarrow{\quad}$
 - (vi) Insert $\xrightarrow{\quad}$'s children

$\xrightarrow{\quad}$

Report until Queue is empty

Tree Species (Binary & Binary Search Trees)

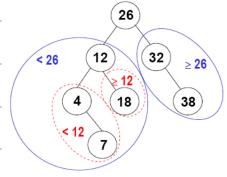
Binary Search Trees

→ For Each node K

(i) All nodes in K's left subtree are less than K

(ii) All nodes in K's right subtree are greater or equal to K

→ Performing an Inorder Traversal of a Binary Search Tree returns nodes in ascending order.



Searching An Item in a BST

if $K == \text{root}$ nodes K , do!

else if $K < \text{root.key}$ search left

else search right subtree

Recursive Search Implementation

```
public class LinkedTree {
    ...
    private Node root;
    public String search(int key) {
        Node n = searchTree(root, key);
        return (n == null ? null : n.data);
    }
    private Node searchTree(Node root, int key) {
```

Node trav = root; // Data pointer..

while (trav != null) {

; if ($\text{trav.key} == K$)

return trav

else if ($\text{trav.key} < K$)

trav = trav.left

else

trav = trav.right

}

return null;

}

Binary Tree Item Insertion

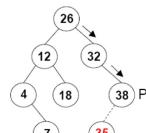
We want to insert an item whose key is k.

First, we find the node P that will be the parent of the new node:

➢ we traverse the tree as if we were searching for k, but we don't stop if we find it - we continue until we can't go any further

Next, we add the new node to the tree:

if $k < P$'s key, make the node P's left child
else make the node P's right child



Special case: if the tree is empty, make the new node the root of the tree

Recursive Insertion

Two Returns

(i) $\text{trav} = \text{parent}$ forms traversal

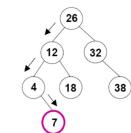
down to point of insertion

(ii) points stages one above

trav

Recursive Search Implementation

```
public class LinkedTree {
    ...
    private Node root;
    public String search(int key) {
        Node n = searchTree(root, key);
        return (n == null ? null : n.data);
    }
    private Node searchTree(Node root, int key) {
        ...
        if (root == null) Basic Case
        else if (key == root.key)
            return root
        else if (key < root.key)
            return searchTree(root.left, key);
        else
            return searchTree(root.right, key);
```



Recursive Code Solution

```
public class LinkedTree {
    ...
    private Node root;
    public String search(int key) {
        Node n = searchTree(root, key);
        return (n == null ? null : n.data);
    }
    private Node searchTree(Node root, int key) {
        Node trav = root;
        while (trav != null) {
            if (key == trav.key)
                return trav;
            else if (key < root.key)
                trav = trav.left;
            else
                trav = trav.right;
        }
        return null;
    }
```

Recursive Insertion Code

```
public void insert(int key, String data) {
    // Find the parent of the new node.
    Node parent = null;
    Node trav = root;
    while (trav != null) {
        parent = trav;
        if (key < trav.key)
            trav = trav.left;
        else
            trav = trav.right;
    }
    // Insert the new node.

    if (parent == null) // the tree was empty
        root = new Node(key,data);
    else if (key < parent.key)
        parent.left = new Node(key,data);
    else
        parent.right = new Node(key,data);
}
```

Node Deletion

Three Cases for deleting a Node

- Case 1: X has No Children

(i) Remove X from Tree by updating its parent's reference to null

- Case 2: X has One Child, *equivalent to saying pointer*

(i) Take the parent's reference to X and set it to X's child

- Case 3: X has Two Children

(i) Find leftmost node in X's right subtree (Smallestnode) - call it Y

(ii) Copy Y's key to X, but not its potential child

(iii) Delete Y using Case 1 or Case 2.

Insertions / Deletions don't Guarantee Balanced Tree