

# Clinical Trials Protocol on Oral Tirzepatide

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# Introduction

- Type 2 diabetes (T2DM), a metabolic disorder associated with impaired glucose homeostasis, affects approximately 30 million people in the United States (US), and accounts for 90-95% of all diabetes mellitus cases. (NDA 215866)
- **Diabetes Diagnosis (ADA):**
  - HbA1c: 6.5% or higher
  - Fasting Plasma Glucose (FPG): 126 mg/dL or higher
  - Oral Glucose Tolerance Test (OGTT): 200 mg/dL or higher
  - Random Plasma Glucose Test: 200 mg/dL or higher

# Diabetes Treatments

- There are currently 12 pharmacologic classes of diabetes medications approved for the treatment of type 2 diabetes mellitus including GLP-1 receptor agonists.
- Common classes:
  - Metformin
  - Dipeptidyl peptidase 4 (DPP-4) inhibitors
  - Glucagon-like peptide 1 (GLP-1) and dual GLP-1/gastric inhibitory peptide (GIP) receptor agonists
  - Sodium-glucose cotransporter 2 (SGLT2) inhibitors
  - Sulfonylureas
  - Thiazolidinediones (TZDs)

## Current Popular GLP-1 Treatment on the Market

Generic Name	Brand Name	Producer	Year of Approval	Doses	Mean Change in HbA1c (%)	Mean Change in Body Weight (kg)
Semaglutide (Oral)	Rybelsus	Novo Nordisk	2019	3, 7, 14 mg	-0.6 (3mg) to -1.4 (14mg) (vs placebo)	-0.9 (3mg) to -3.7 (14mg)
Semaglutide (Injectable)	Ozempic	Novo Nordisk	2017	0.25, 0.5, 1, 2 mg/1.5ml	-1.4 (0.5mg) to -1.6 (1mg) (vs placebo)	-3.7 (0.5mg) to -4.7 (1mg)
Tirzepatide (Injectable)	Mounjaro	Eli Lilly	2022	2.5, 5, 7.5, 10, 12.5, 15 mg/0.5 mL	-1 (1mg) to -2 (15mg) (vs placebo)	-1 (1mg) to -11 (15mg)

- Injectable semaglutide or tirzepatide are taken once a week
- Oral semaglutide is taken once a day.

## Proposed Treatment

- Oral Tirzepatide (co-formulated with an absorption enhancer sodium)
  - Improve blood glucose control in adults with T2DM
  - Provide substantial weight loss benefits

Small Molecule (Daily, Fasting)  
Fast Active  
Less Side Effects

# Trial Plan Overview

## Phase 1

Stop:  $\Pr(p_i > TT \mid \text{data}) > 0.9$

SAD

MAD

Yes

Safe?

No

Safe?

stop

No

stop

## Phase 2

Primary endpoints:  $\Delta \text{HbA1c}$   
 $\Delta \text{weight}$

Phase 2

Medium/High

CSF

Low

stop

Strength

Critical Success Factor (CSF)

High

$\Pr(ET - P < -1) > 60\%$  and  
 $\Pr(ET - P < -2) > 60\%$

Medium

$\Pr(ET - P < -.6) > 60\%$  and  
 $\Pr(ET - P < -1) > 60\%$

Low

$\Pr(ET - P < -.6) < 60\%$  or  
 $\Pr(ET - P < -1) < 60\%$

## Phase 3

Phase 3

Europe  
Trials

Phase 2

Phase 3

USA  
Trials

# Trial Plan Overview

## Phase 1

Stop:  $\Pr(p_i > TT \mid \text{data}) > 0.9$

SAD

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No

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## Phase 2

Primary endpoints:  $\Delta \text{HbA1c}$   
 $\Delta \text{weight}$

Phase 2

Medium/High

CSF

Low

stop

## Phase 3

Phase 3

Strength	CSF Criteria
High	$\Pr(ET - P < -1) > 60\%$ and $\Pr(ET - P < -2) > 60\%$
Medium	$\Pr(ET - P < -.6) > 60\%$ and $\Pr(ET - P < -1) > 60\%$
Low	$\Pr(ET - P < -.6) < 60\%$ or $\Pr(ET - P < -1) < 60\%$

USA  
Trials

# Objective and Endpoints of Phase I

Primary Objective	To investigate the safety and tolerability by single and multiple doses of oral tirzepatide to healthy subjects and patients with T2DM	<ul style="list-style-type: none"><li>Adverse event and safety glucose monitoring</li></ul>
Secondary Objectives	<ul style="list-style-type: none"><li>To characterize the PK of oral tirzepatide to healthy subjects and patients with T2DM</li><li>To investigate the PD effects of multiple doses to healthy subjects</li><li>To investigate the PD effects of multiple doses to patients with T2DM</li></ul>	<ul style="list-style-type: none"><li>Blood sample will be evaluated for tirzepatide concentration</li><li>Glycemic control(fasting, Oral glucose tolerance), weight, lipids</li><li>Glycemic control(fasting, Oral glucose tolerance, HbA1c), weight, lipids</li></ul>
Exploratory Objective	TBD	<ul style="list-style-type: none"><li>TBD</li></ul>

## Highlight of inclusive/exclusive criteria in phase I

### Part A: SAD

HV  
Single Dose  
6-week

- 18-50 years old adults
- BMI 18.5–27.5 kg/m<sup>2</sup>

### Part B: MAD

HV  
Multiple Doses  
4-week

- 18-64 years old adults
- BMI 20.0–29.9 kg/m<sup>2</sup>

### Part C: T2DM

T2DM  
Multiple Doses  
4-week

- Diagnosis < 10yrs
- 18-64 years old adults
- HbA1c of 6.5–9.0%
- BMI of 20.0–37.0 kg/m<sup>2</sup>



# Overall Design of Phase I

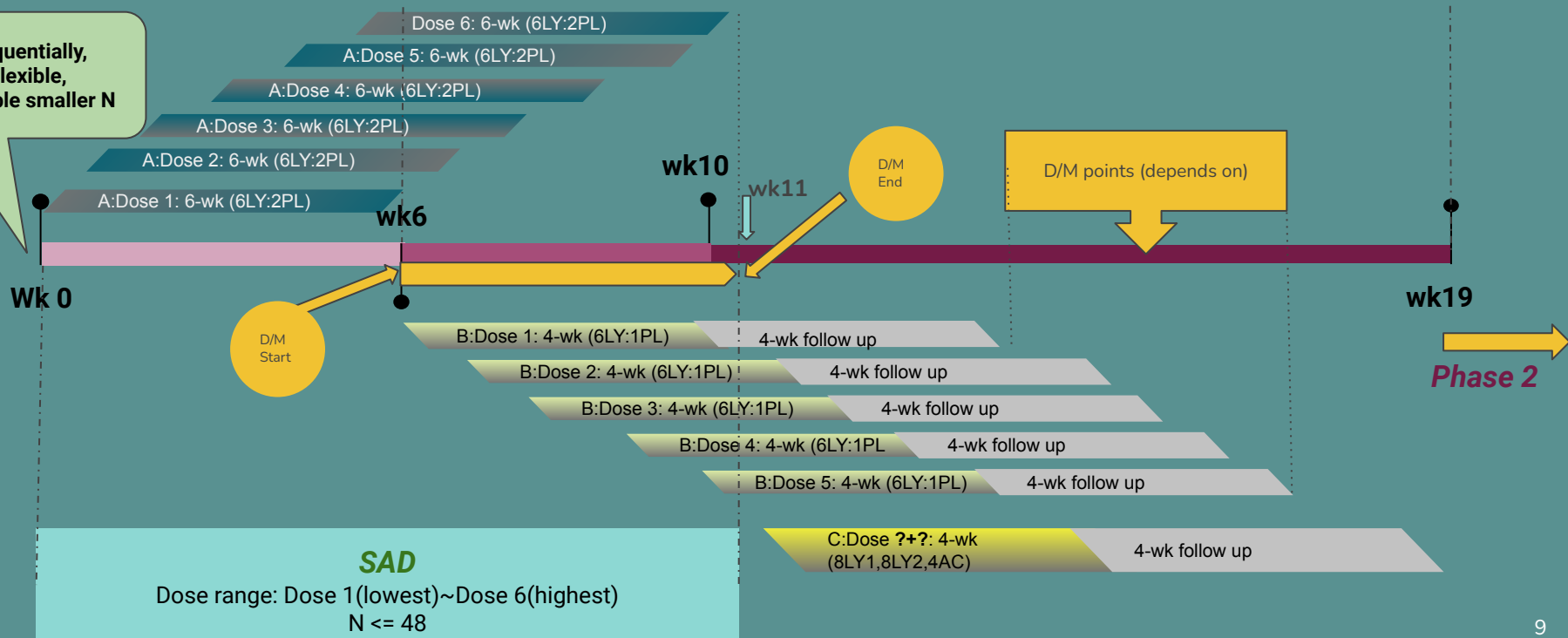
Multiple-site, double-blind, placebo-controlled, randomized,  
parallel-dose group  
10-week + 4-week follow up

$Pr(p_1|data): 25 \sim 50 \sim 70$  Rule  
Es:  $Pr_e < 0.25$   
DeEs:  $Pr_d > 0.50$

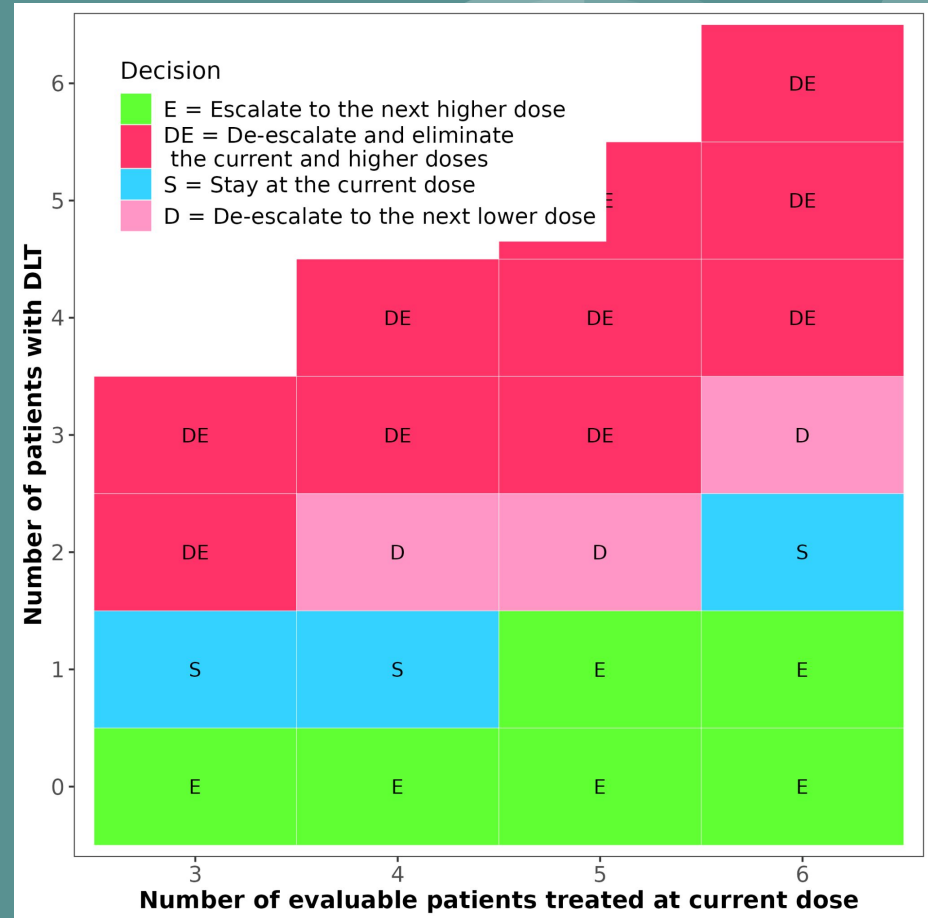
**MAD**

Dose range: Dose 1(lowest)~Dose 6(highest), N = 55 ~ 63 (depends on)  
Dose escalation 2~3wks, maintenance 5~6wks

Sequentially,  
Flexible,  
Possible smaller N

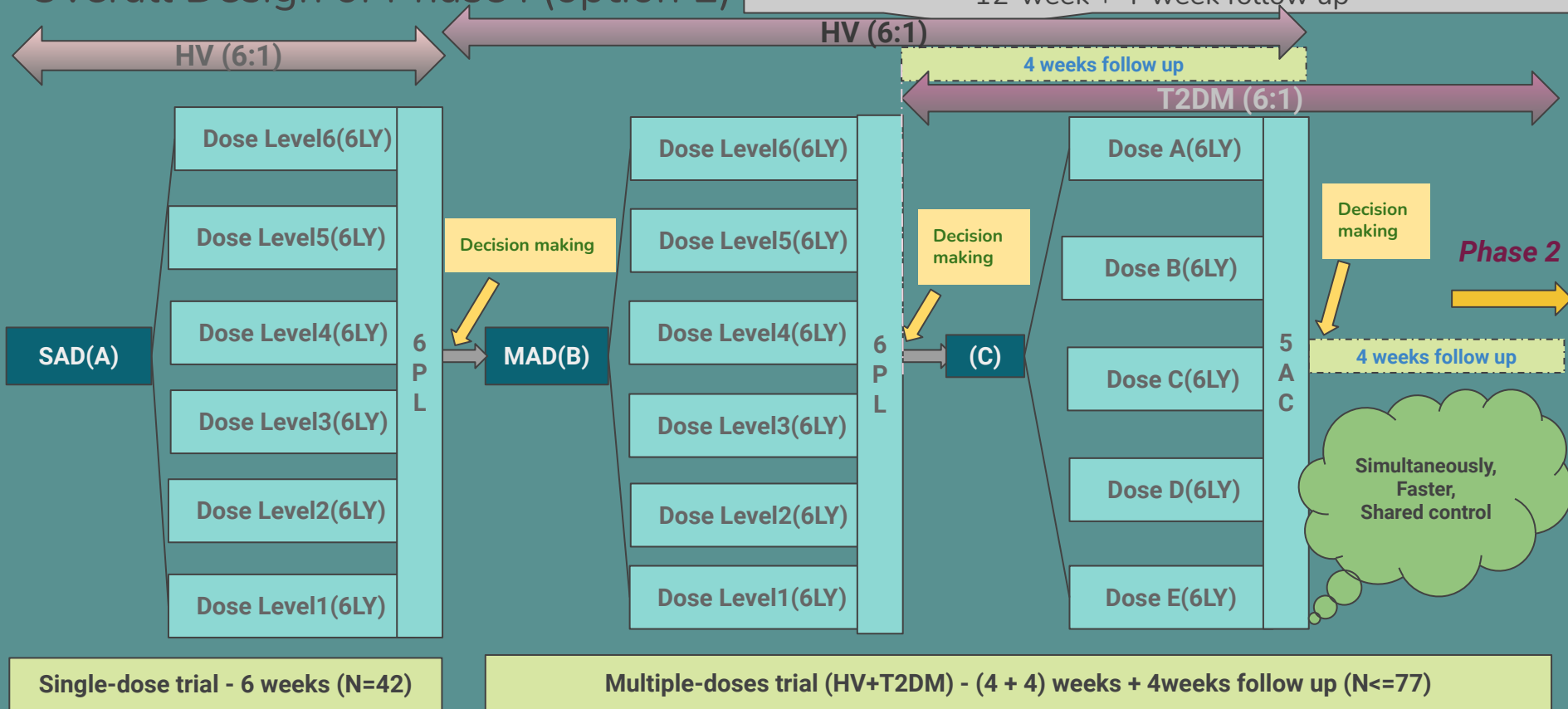


## Decision Table for Dose Escalation and De-escalation (w/ TT:0.3)



# Overall Design of Phase I (option 2)

Multiple-site, double-blind, placebo-controlled, randomized, parallel-dose group  
12-week + 4-week follow up



# Phase II

## Primary Questions

- Does oral tirzepatide provide substantial benefit over placebo in reducing HbA1c and helping with weight loss in overweight T2DM patients?
- Which doses best balance efficacy and safety?

## Primary Endpoints

- mean change in HbA1c (%)
- mean change in body weight (kg)

## Secondary Endpoints

- % of patients achieving HbA1c < 7%
- mean change in fasting plasma glucose
- avg % change in body weight (kg)

**Duration:** 26 weeks

## Patient population:

- Type 2 diabetes patients
- HbA1c between 7% and 10%
- T2DM controlled with diet and exercise alone or are stable on metformin for at least 60 days
- BMI  $\geq 25$

## Design Options

1. First POC, then DF
2. Simultaneous POC + DF
3. Seamless Ph2+3

# Sample Size Determination

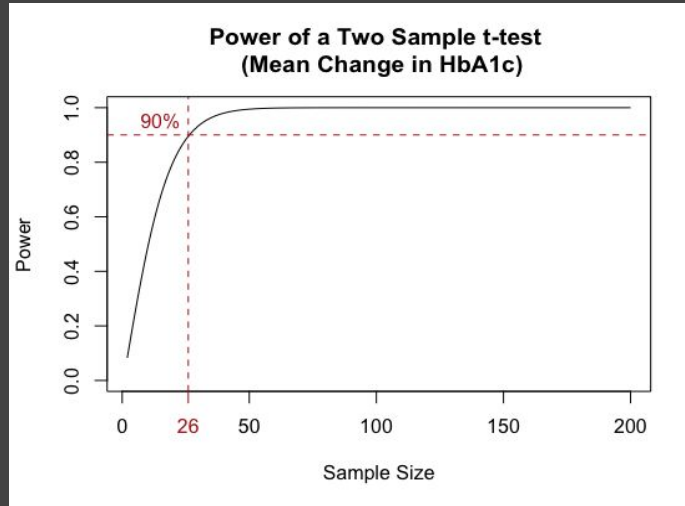
Procedure: 2 sample t-test

Power: 90%

Type I error: 5% (two-sided)

`power.t.test()` function in R

Discontinuation or rescue  
medicine: 15% of patients



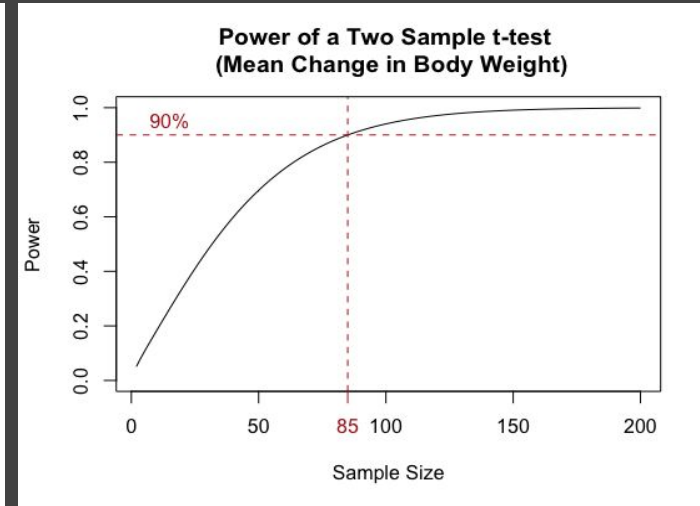
Change in HbA1c

Effect size: 1%

Standard deviation: 1.1%

Without adjustment: 26/arm

With adjustment: 32/arm



Change in Body Weight

Effect size: 2kg

Standard deviation: 4kg

Without adjustment: 85/arm

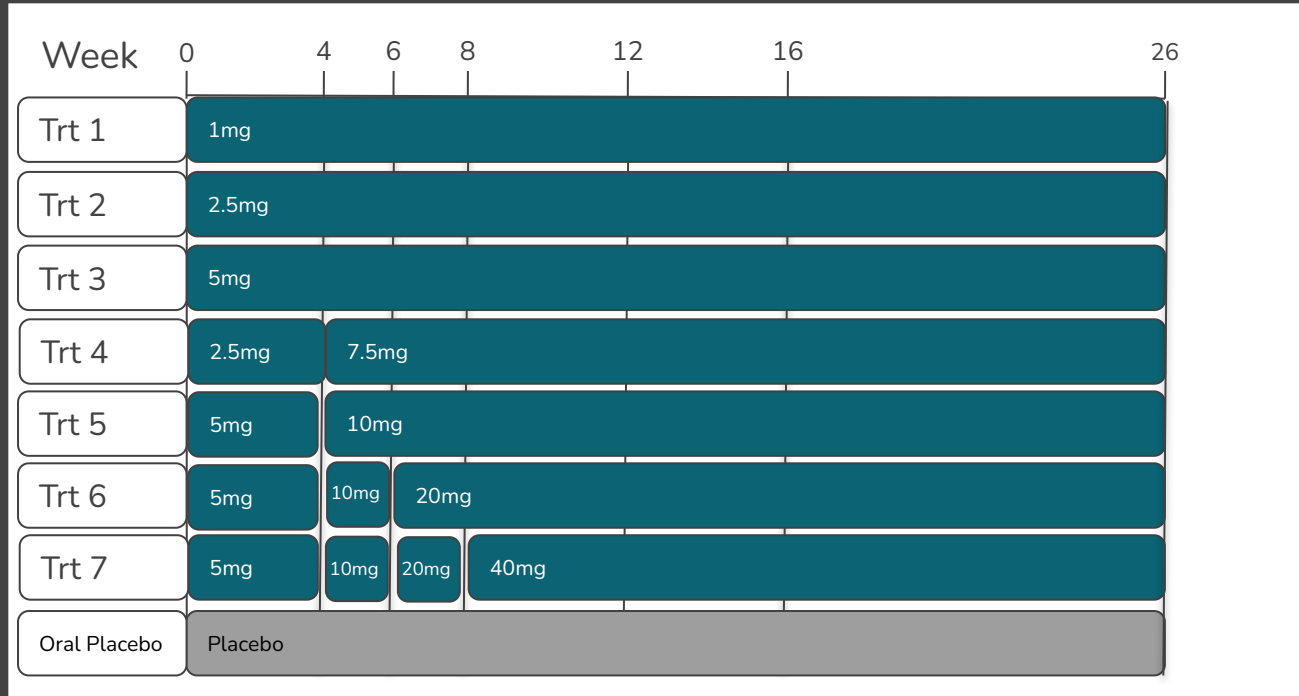
With adjustment: 100/arm

Required sample size: 100/arm

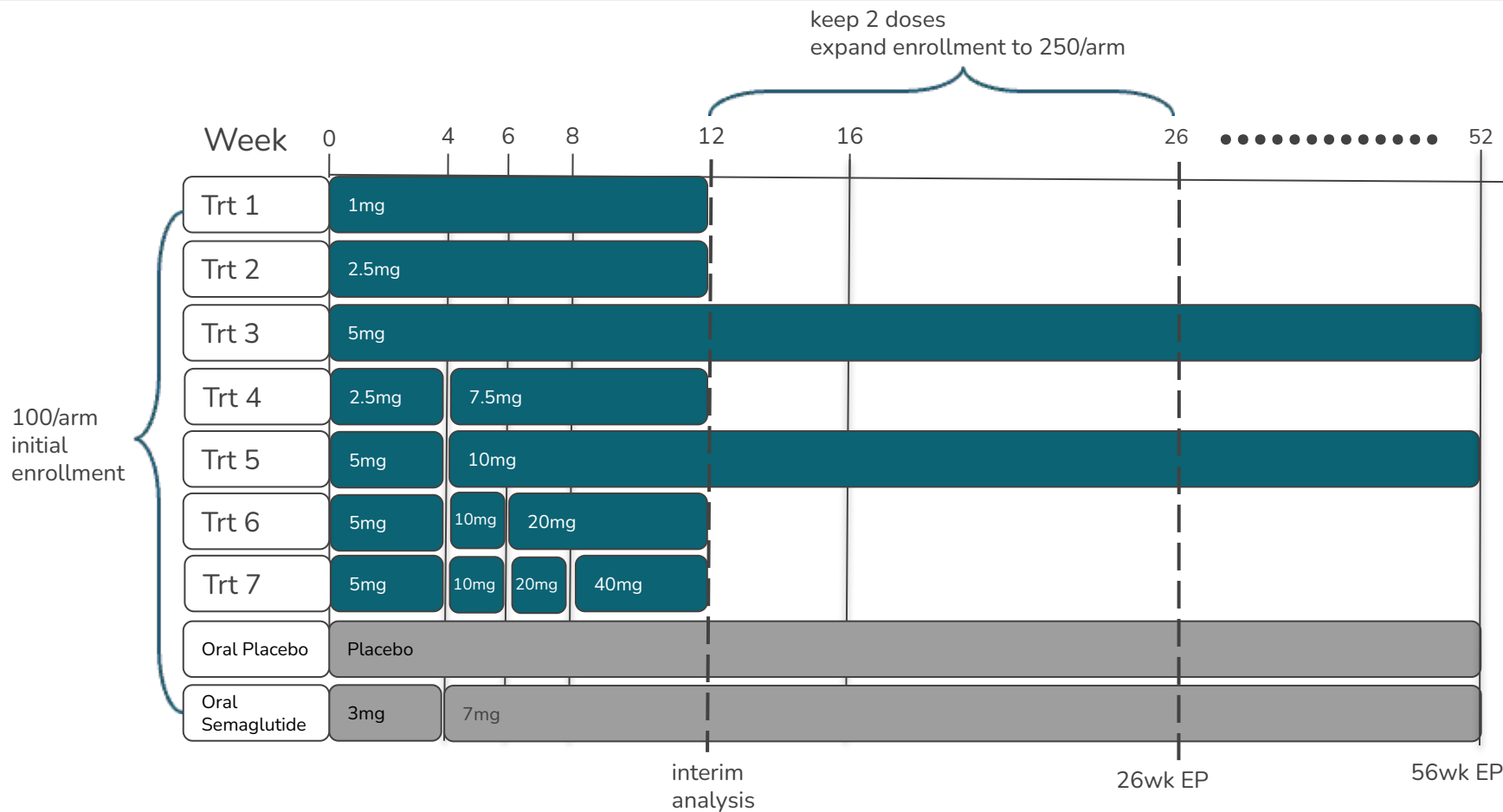
# Phase II Design Options

	Option 1	Option 2	Option 3
Basic Design	<p>POC (2 ET) then DF</p> <p>2 ET      1      2      4 ET      1 placebo</p>	<p>Simultaneous POC + DF</p> <p>7 ET      1 placebo</p>	<p>Seamless Ph2-3</p> <p>7 ET keep 2 after 26wk EP 1 placebo 1 AC</p>
Sample size	100/arm	100/arm	100/arm initially, expand to 250/arm after 26wk EP
Pros/Cons	<p>if POC looks bad, can end study before DF</p> <p>slower than other options</p>	<p>quicker to Ph3</p> <p>higher upfront cost/risk than Option 1</p>	<p>smaller sample size for Ph2/Ph3</p> <p>potentially faster</p> <p>logistically difficult</p>
Other			continue 2 doses + placebo + AC until 52wk EP

## Option 2: Simultaneous POC + DF



# Option 3: Seamless Ph2/3





# Phase III

## Primary question:

- Investigate the efficacy, safety, and tolerability of oral tirzepatide added to metformin.

## Primary endpoint:

- Mean change in HbA1c
- Mean change in body weight (kg)

## Secondary Endpoints:

- Change in 2 hour plasma glucose,
- Change in body weight, and
- Change in fasting plasma glucose.

**Duration:** 26 weeks (52 week follow up)



## Patient population:

- Type 2 diabetes patient
- HbA1c between 7% and 10%
- T2DM controlled with diet and exercise alone or are stable on metformin for at least 60 days
- BMI  $\geq 25$

## Additional FDA guidance:

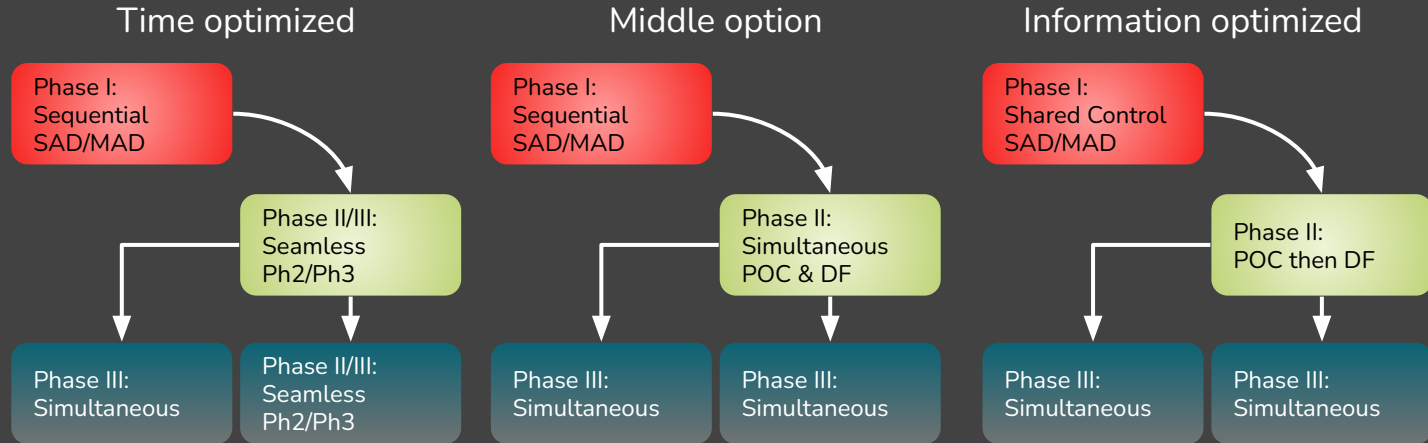
- Replicable studies
- Safety database should include:
  - at least 4,000 patient-years of exposure in Ph3,
  - at least 1,500 exposed for at least 1 year, and
  - at least 500 exposed for at least 2 years.
- Comorbid conditions or related conditions:
  - at least 500 with stage 3/4 chronic kidney disease,
  - at least 600 with established CV disease, and
  - at least 600 older than 65 years.

# Phase III Design Options

	Option 1	Option 2
Basic Design	<p>Simultaneous treatment + placebo</p>  <p>3 ET 52 wk + additional 52 wk 1 placebo</p>	<p>Seamless Ph2-3</p>  <p>7 ET keep 2 after 26wk EP 1 placebo 1 AC</p>
Sample size	600/arm of active treatment continuation group of 600 patients	100/arm initially, expand to 250/arm after 26wk EP
Pros/Cons	<p>Would obtain the 1,500 patient exposure of 1 year and the 500 patient exposure of 2 year requirement.</p> <p>Long time for patients to stay in study</p>	<p>smaller sample size for Ph2/Ph3</p> <p>Potentially faster</p> <p>Logistically difficult</p>
Other	Take 3 doses into phase 3 for sample size purposes. Could switch to 2 doses at around 800/arm.	Continue 2 doses + placebo + AC until 52wk EP

- Still need to show replicability, potentially combine both options or do two of option 1, possibly offset.

# Full experiment options



Pros & Cons:	<p>Shortest overall time</p> <p>Risky/aggressive</p> <p>Need large sample size for Phase III</p>	<p>Move quickly through phase I and II</p> <p>Gain plenty of information in phase III</p>	<p>Gain as much information possible, Will for sure meet FDA safety database.</p> <p>Slower than the other options</p>
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