

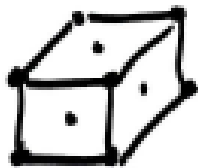
# ECE 3030 Midterm 1 Review

## Cubic Crystals



SC

$$8 \times 1/8 = 1$$



FCC

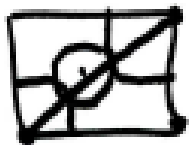
$$8 \times 1/8 + 6 \times 1/2 = 4$$



BCC

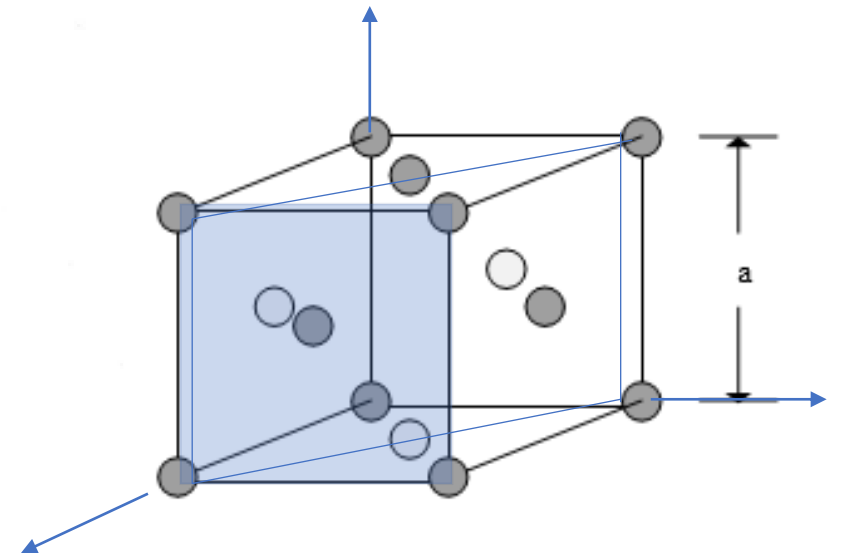
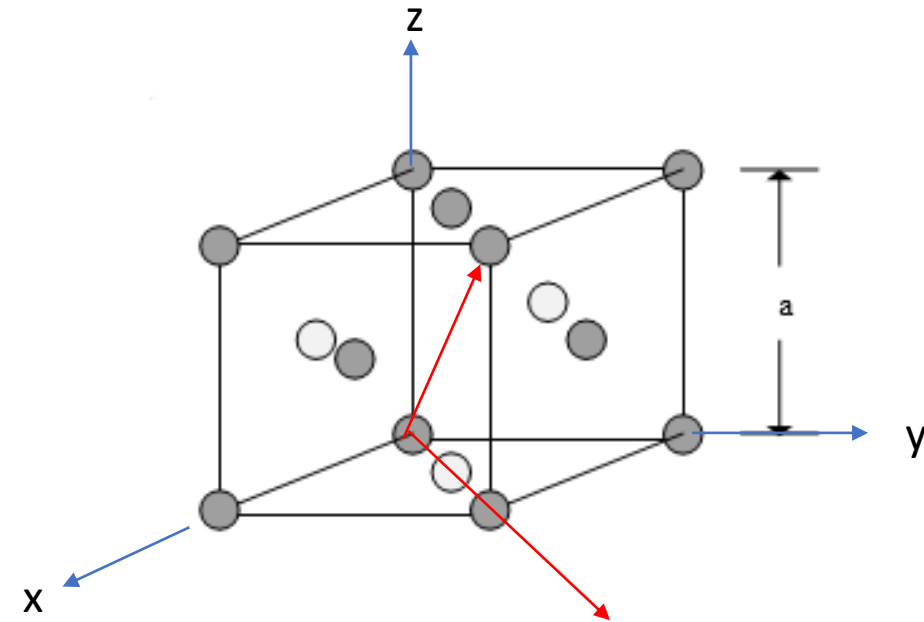
$$8 \times 1/8 + 1 = 2$$

Use hard spheres for  $R$  vs.  $a$



Calculate: Line Density  
Area Density  
Volume Density

along crystal directions  
+ within crystal planes



- Use Miller indices to get planes from intercepts and vice-versa.
- Use R vs. a to get line, area, and volume overlaps.

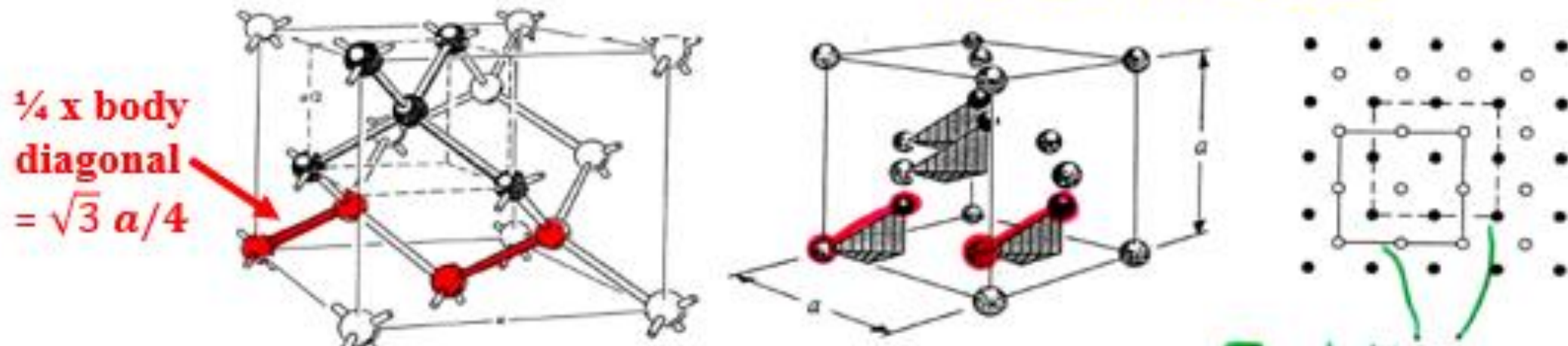
$$\text{Density } \rho = \frac{n A}{V_c N_A}$$

— atomic weight  
 — Avogadro's #  
 $= 6.02 \times 10^{23} \frac{\text{atoms}}{\text{mol}}$

$n$  = atoms/unit cell

$V_c$  = unit cell volume

# • Diamond Lattice: 2 interpenetrating FCC Lattices



Each FCC Sublattice has:

$$8 \text{ corner atoms} \times \frac{1}{8} \text{ (shared 8 ways)} = 1$$

$$6 \text{ face atoms} \times \frac{1}{2} \text{ (shared 2 ways)} = 3$$

$$\text{Total} \quad \underline{4}$$

Two sublattices per unit cell, so  $2 \times 4 = \underline{8 \text{ atoms}}$   
unit cell

$$\frac{8}{a^3} = \frac{8}{(5.43 \times 10^{-8} \text{ cm})^3} = \underline{5 \times 10^{22} \text{ atoms/cm}^3}$$

$$\text{Density } \rho = \frac{n}{V_c} \frac{A}{N_A} = \frac{5 \times 10^{22} \text{ atoms/cm}^3}{6.02 \times 10^{23} \text{ atoms/mole}} \frac{28.1 \text{ gm}}{\text{mole}} = \underline{2.33 \frac{\text{g}}{\text{cm}^3}}$$

## EE3030 Review

Wave nature of particles  $\lambda = \frac{h}{p}$

Heisenberg Uncertainty Principle  $\Delta x \Delta p \geq \hbar$

$$E = h\nu = \frac{hc}{\lambda}$$

Schrodinger's Equation:  $-\frac{\hbar^2}{2m} \nabla^2 \psi + V\psi = -\frac{\hbar}{i} \frac{\partial \psi}{\partial t}$

$$\text{and } \frac{\partial^2 \psi(x)}{\partial x^2} + \frac{2m}{\hbar^2} [E - V(x)] \psi(x) = 0$$

(Time-independent)

Free electron wave solution

$$k = \frac{2\pi}{\lambda} \quad k = [2m(E-V)/\hbar^2]^{1/2} \quad \psi(x) \propto e^{jkx} \text{ or } e^{-jkx}$$

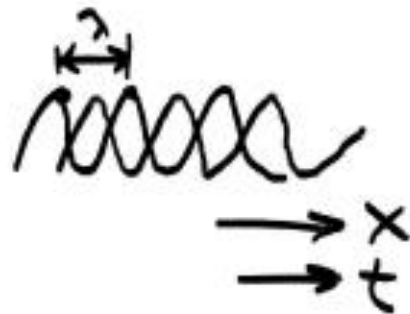
$$e^{j(kx - \omega t)} \quad \omega = \frac{E}{\hbar}$$



Free electron wave solution

$$E = \hbar^2 k^2 / 2m, \quad k^2 = 2mE / \hbar^2, \quad k = [2mE / \hbar^2]^{1/2} \quad \psi(x) \propto e^{jkx} \text{ or } e^{-jkx}$$

$$e^{j(kx - \omega t)} \quad \omega = \frac{E}{\hbar}$$



Phase velocity  $v_p = \frac{x}{t} = \frac{E}{\hbar k}$

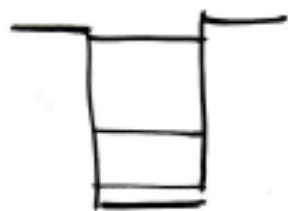
group velocity  $\frac{dx}{dt} = \frac{1}{\hbar} \frac{dE}{dk}$

$p = \hbar k$  momentum

Standing wave solution (quantum well)

$$\sin kx, \cos kx$$

$$\frac{e^{jkx} - e^{-jkx}}{2j}, \quad \frac{e^{jkx} + e^{-jkx}}{2}$$



$$k = \frac{n\pi}{L}$$

from boundary conditions

$$E_n = \frac{n^2 \pi^2 \hbar^2}{2mL^2}$$

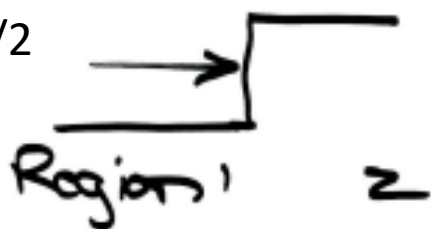
$$\frac{\pi^2 \hbar^2}{2m_0} = 38 \text{ eV} \cdot \text{\AA}^2$$

$$E - V = \hbar^2 k^2 / 2m$$

$$k^2 = 2m(E - V) / \hbar^2$$

$$k = [2m(E - V) / \hbar^2]^{1/2}$$

Step Potential (Wave solution)



$$E < V$$

$$i \sqrt{\frac{2m(E - V)}{\hbar^2}} \quad \text{negative under } \sqrt{\phantom{x}} \rightarrow e^{-kx}$$

so traveling wave, then  
decaying wave

$$e^{ik_1 x} \quad e^{-k_2 x}$$



$$E > V$$

so Both traveling waves  
but different  $k_1, k_2$

$$k = [2m(E-V)/\hbar^2]^{1/2}$$

$$k = [(-1)2m(V-E)/\hbar^2]^{1/2}$$

$$k = j[(V-E)/\hbar^2]^{1/2}$$

so Both traveling waves  
but different  $k_1, k_2$

$$j \sqrt{\frac{2m(E-V)}{\hbar^2}} \rightarrow e^{jkx} \quad j^2 = -1$$

Reflected waves only with interfaces



$$R = \frac{v_r}{v_i} \frac{|B|^2}{|A_1|^2}$$

$$T = \frac{v_t}{v_i} \frac{|A_2|^2}{|A_1|^2}$$


$$v = \frac{\hbar k}{m}$$

Probability  $P = \psi^*(x) \psi(x)$

For  $E < V$ ,  $\psi \propto e^{-kx} \rightarrow P \propto e^{-2kx}$

Relative  $P = \frac{P(x)}{P(0)} = e^{-2kx}$

Tunnel Barrier Wave Solution


$$T \approx 16 \left( \frac{E}{V_0} \right) \left( 1 - \frac{E}{V_0} \right) e^{-2k_2 w}$$

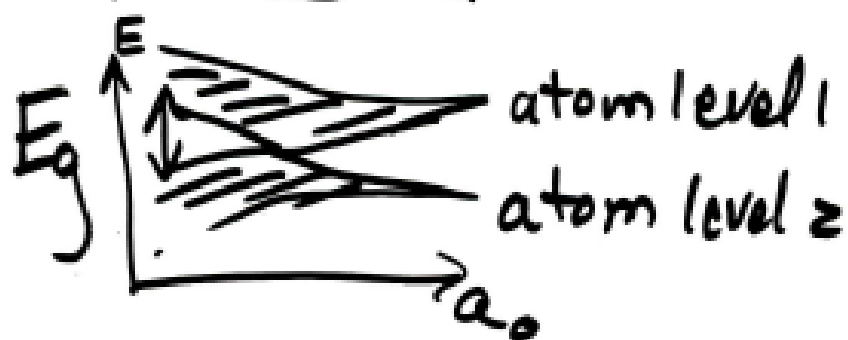
Tunneling at very short distances  
Wave overlap



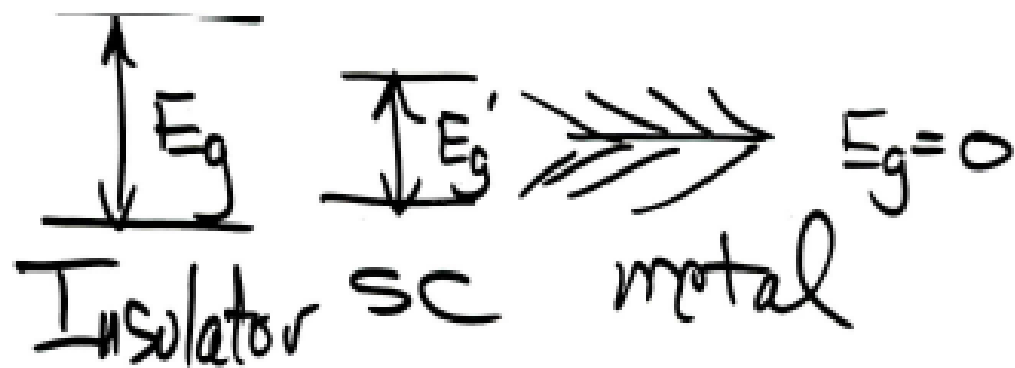
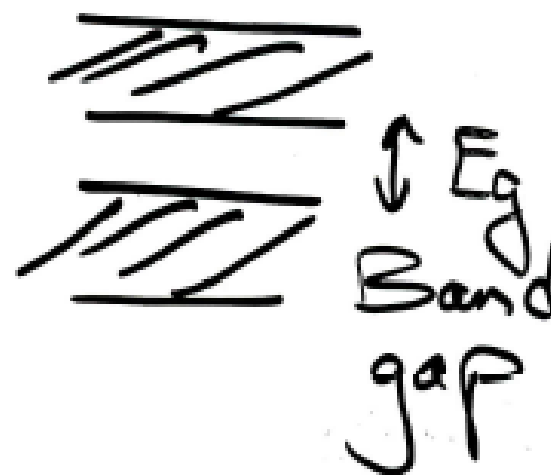
Wave overlap

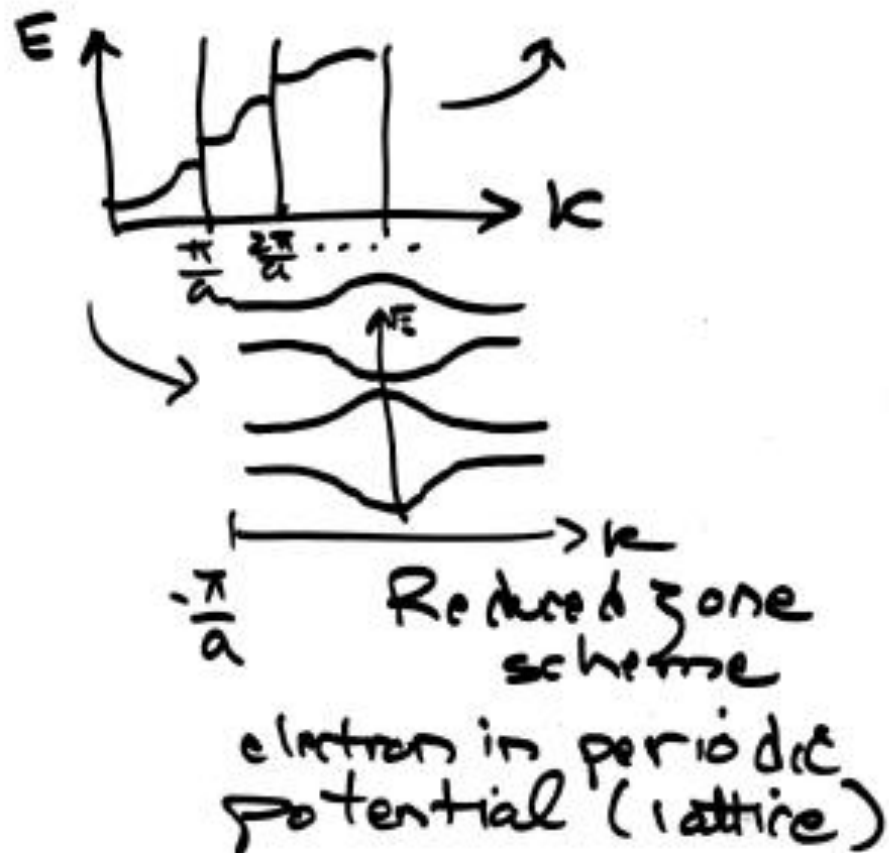
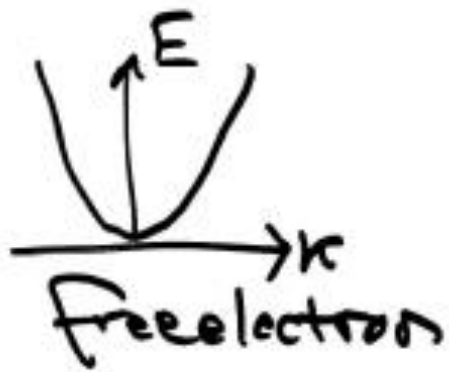
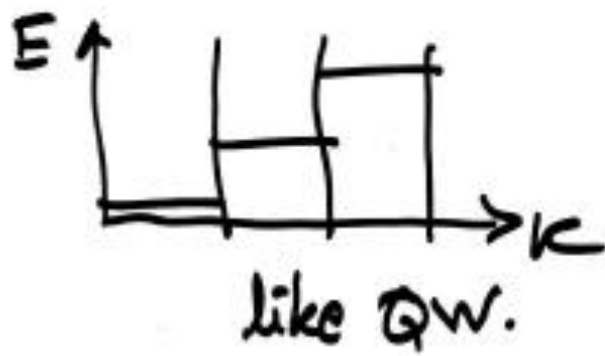
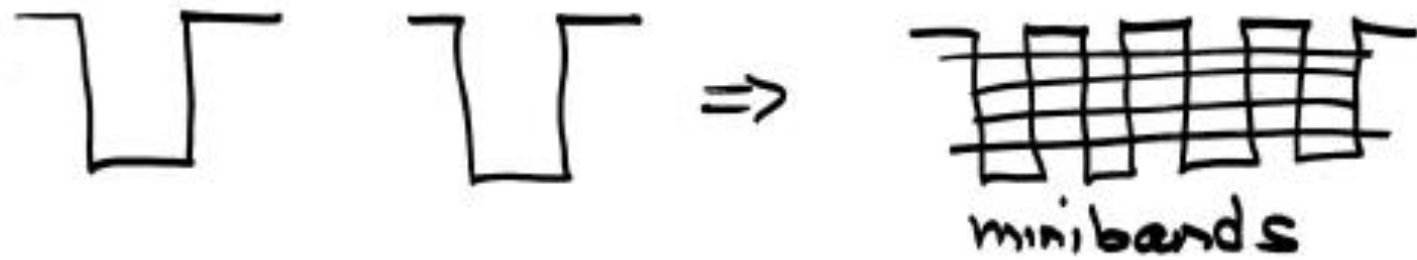


$\rightarrow$  = splitting



$\rightarrow$





empty  
conduction band



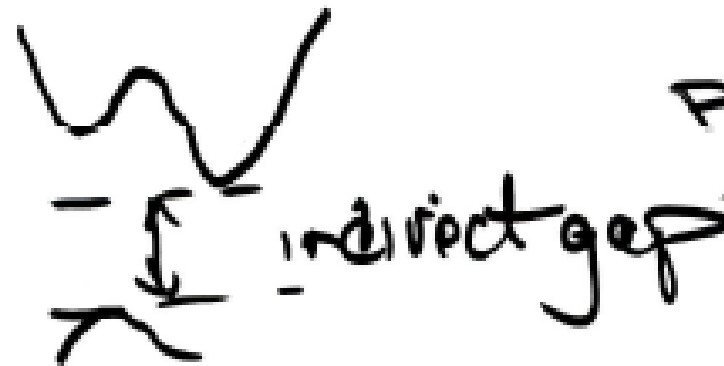
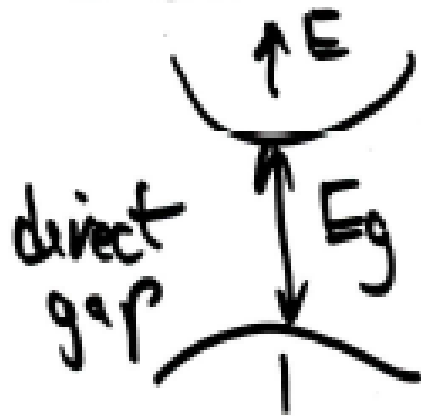
filled  
valence band

$T = 0\text{ K}$



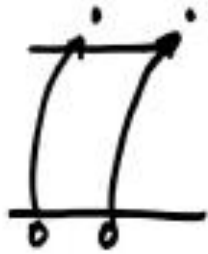
$T > 0\text{ K}$

Electrons and holes



Phonon  
Required

Effective mass  $m^* = \frac{\hbar^2}{\partial^2 E / \partial k^2}$  ✓



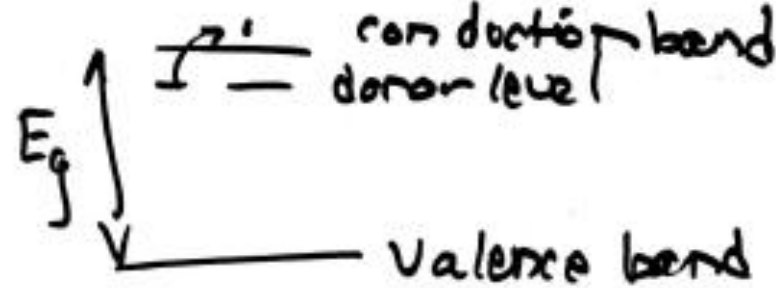
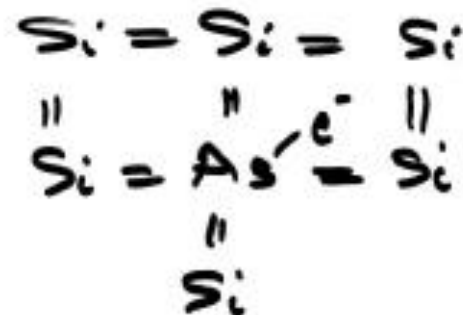
electrons + holes created in pairs

$n = p = n_i$  intrinsic carrier concentration

$n_i = (N_c N_v)^{1/2} e^{-E_g / k_B T}$

n-type dopant atoms increase  $n_0$  (free electron concentration)

p-type " " " "  $p_0$  (free hole concentration)



easier to get  $e^-$  into conduction band  
 donor, acceptor atoms

$k_B T$  at any Temperature (in Kelvin)  
 $= 0.0259 \text{ eV} \times (T/300)$

Fermi-Dirac

$$f(E) = \frac{1}{1 + e^{(E - E_F) / kT}}$$



$$n_0 = N_C e^{-(E_C - E_F) / kT}$$

$$N_C = 2 \left( \frac{2\pi m_n^* kT}{h^2} \right)^{3/2}$$

$$p_0 = N_V e^{-(E_F - E_V) / kT}$$

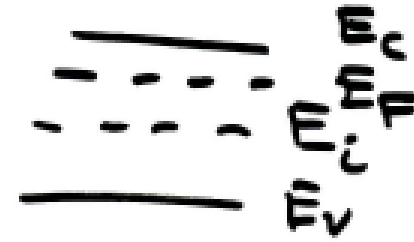
$$N_V = 2 \left( \frac{2\pi m_p^* kT}{h^2} \right)^{3/2}$$

Law of mass action

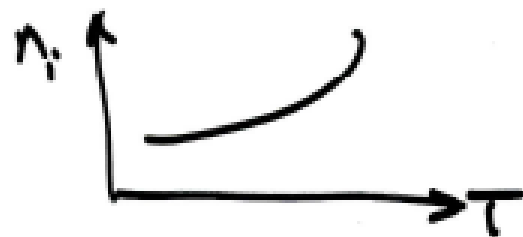
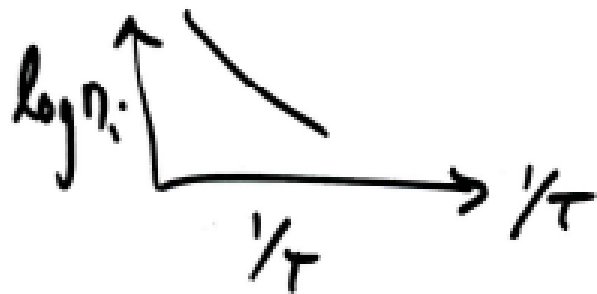
$$n_0 p_0 = n_i^2$$

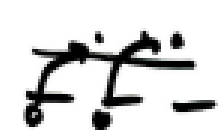
$$n_0 = n_i e^{(E_F - E_i) / kT}$$

$$p_0 = n_i e^{(E_i - E_F) / kT}$$

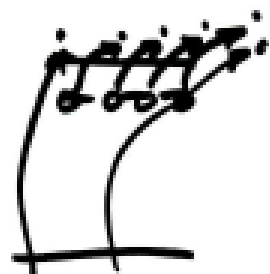


For Si at 300 K,  $n_i = 1.5 \times 10^{10} \text{ cm}^{-3}$



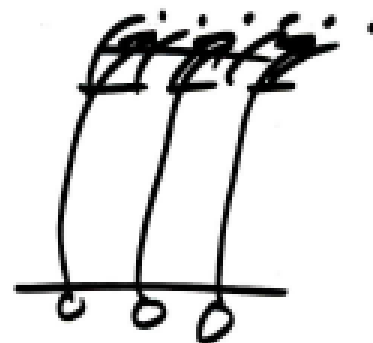


T low



T mid.

complete ionization



H: T

Intrinsic dominates



or  $P_0$  vs.  $1/T$

Charge neutrality equation

$$P_0 + N_d = n_0 + N_a$$

$$\text{for } N_d^+ = N_d \\ + N_a^- = N_a$$

complete ionization

use  $n_0 p_0 = n_i^2$  to get minority carrier  
 Solve Quadratic if  $N_a \sim N_d$   
 or  $N \sim n_i$

$$\left( x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a} \right)$$

for  $ax^2 + bx + c = 0$

$$v = -q \frac{t}{m^*} E$$

$$\langle v_n \rangle = -\mu_n E$$

Current density



mobility  $\mu$

$$J = q (n \mu_n + p \mu_p) E$$



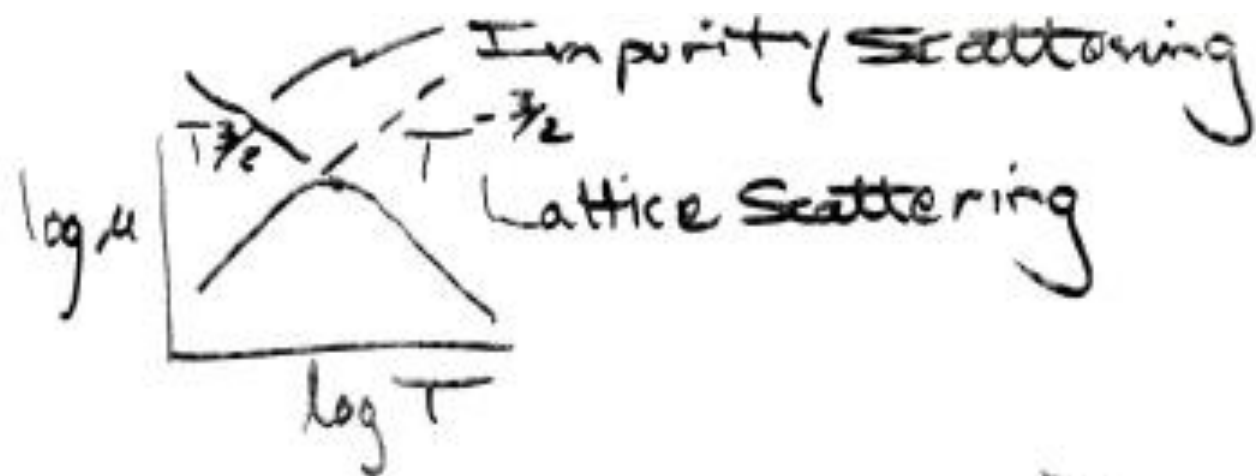
Conductivity  $\sigma = q n$

Resistance  $R = \frac{\rho L}{A} = \frac{L}{\sigma A}$

Resistivity  $\rho = \frac{1}{\sigma}$

use  $n_0 p_0 = n_i^2$  to get minority carrier  
Solve Quadratic if  $N_a \sim N_d$   
or  $N \sim n_i$

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$$N_v, N_c \propto \left( \frac{T}{300} \right)^{3/2}$$

$$E/k_B T = \frac{E}{0.0259 \text{ eV}} \left( \frac{T}{300} \right)$$