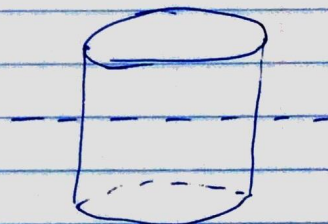


Problem 1:

① cylinders of a fixed radius $r > 0$ and fixed length $l > 0$.

- If we ~~slide~~ slice the cylinder in the direction of the dashed line on the right, we will have a circle in 2-D with radius r .



We want to argue that the VC dimension of a circle is 3.

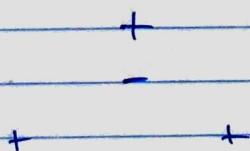
Given 3 points in \mathbb{R}^2 , it's clear that we will always find a circle that cover all + points. For example, let ~~at~~ ^{the} 3 points be:

So the VC dimension ≥ 3 .

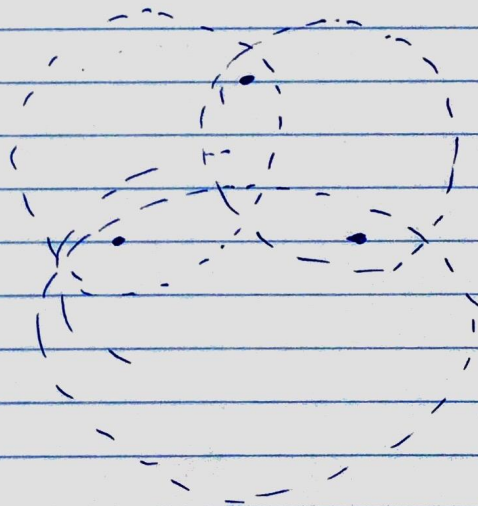
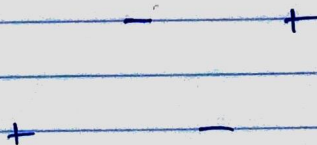
- If we have 4 points, then a circle will not be able to cover all the + points.

We have two cases:

Case 1:



Case 2:



In both cases, we cannot find a circle that covers the + points. Also, if we have more than 4 points, then we can always find a group of 4 points that looks like one of the cases above.

\Rightarrow VC dimension of circle is 3.

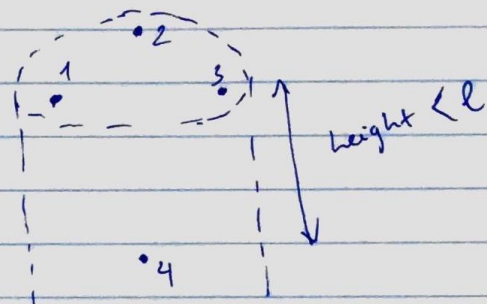
(2)

- Now we will argue that the VC dimension of a cylinder in \mathbb{R}^3 is 4.

Given 4 points like this:

The 3 points at the top will make up a plane p . The point below will not be on plane p , but on a plane that is lower in height from plane p .

The height difference is less than l .



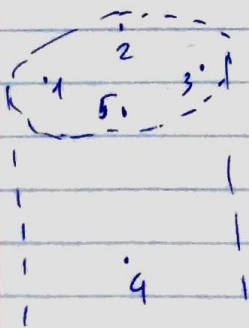
We choose those 4 points such that we ~~can~~ can classify the 3 points on plane p with a circle, and that circle can extend the ~~the~~ length to also cover the lower point (point 4).

It's clear that we can classify the 3 points on plane p . For point 4, if it is + then we move the cylinder down to cover ~~the~~ it; if it is - then we move the cylinder up to avoid it. Either way, we can classify all 4 points.

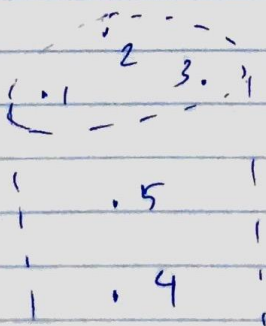
$$\Rightarrow \underline{VC(H) \geq 4}$$

- when we add one more point ~~to~~ to the 4 points above, we have 3 cases:

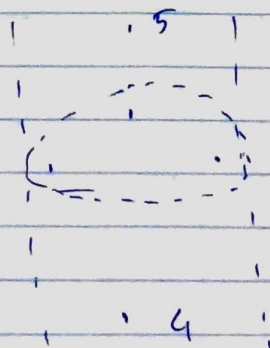
case 1



case 2



case 3



(3)

Case 1: point 5 is on the same plane as ^{points} 1, 2, 3.

In this case we cannot classify 4 points on the same plane with a circle so we fail to classify 5 points.

Case 2: point 5 ~~has a height~~ is not on plane p , but has a height that makes it between plane p and point 4.

If point 4 is + and point 5 is -, then it's impossible for a cylinder to cover all + points.

Case 3: point 5 is higher than plane p .

If height of 5 - height of 4 $> l$, then we cannot classify the case when point 5 and 4 are both +.

If height of 5 - height of 4 $\leq l$, then we cannot classify the case when both 5 and 4 are -.

(a) Sample complexity

$$M \geq \frac{1}{\epsilon} \left(4 \ln \frac{2}{\delta} + 8 \cdot VC(H) \ln \frac{13}{\epsilon} \right)$$

We have $\epsilon = 0.2$, $\delta = 0.05$, $VC(H) = 4$

$$\Rightarrow M \geq \frac{1}{0.2} \left(4 \ln \frac{2}{0.05} + 8 \cdot 4 \cdot \ln \frac{13}{0.2} \right)$$

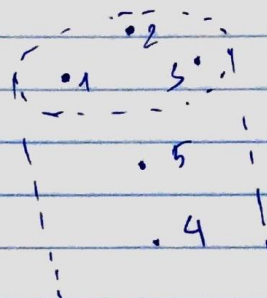
$$M \geq 5 (4 \ln(40) + 32 \cdot \ln(65))$$

1b) If we also have cylinders that cover - points then we will have VC dimension of 5.

The new hypothesis space will solve case 2 in the discussion before.

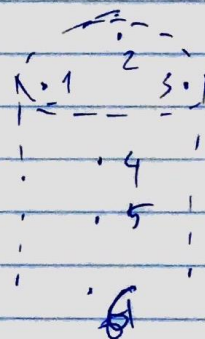
If point 5 is - and point 4 is +, we will use a cylinder to cover all - points, that way we can ignore the point 4.

In other cases of point 5, we can still cover all + points.



Now let's try to add one more point, then we would see case 1 and case 3 again, and we cannot ~~see~~ solve those cases. Look at case 2, we would see something like this

If point 4 is -, point 5 is +, point 6 is -, then we cannot ~~find~~ classify these points.



Note that if we add more than one point we still have the 3 cases above.

$$\Rightarrow \boxed{VC(H) = 5}$$

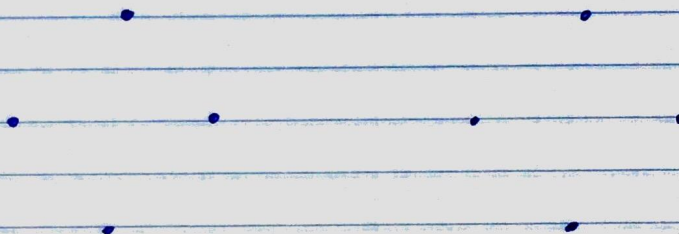
(4)

(2) Pair of axis aligned rectangles.

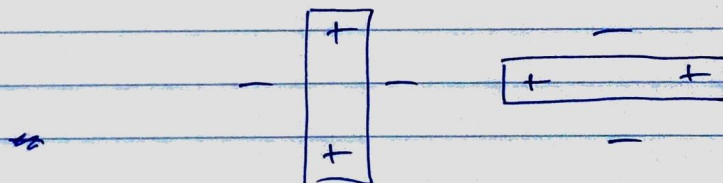
- From the lecture, we have VC dimension of a single axis aligned rectangle is 4.

- we want to argue that two rectangles will have VC dimension of 8.

Given 8 points



For any labeling, a single axis aligned rectangle can classify the group of 4 points on the left, and the remaining rectangle will handle the group on the right. For example:



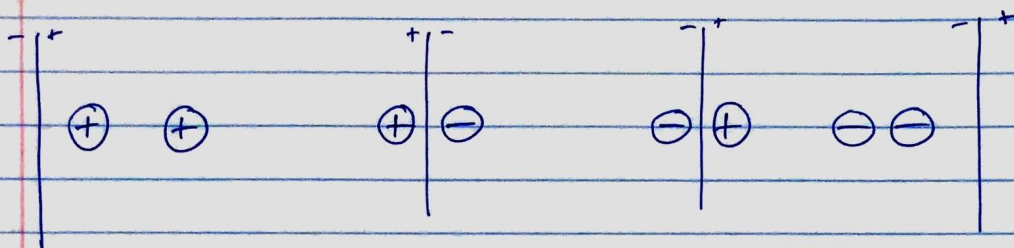
- If we add one or more points, then we no longer have two groups of 4 points. There will be at least one group of 5 or more points, which a single rectangle cannot classify.

$$\Rightarrow \boxed{VC(H) = 8}$$

Problem 1.3

We have 3 linear classifiers.

let's try to classify two points:



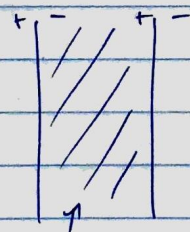
So we need 4 different linear separators to classify two points.
 $\Rightarrow VC(H) < 2$

— we can always classify one point with 3 linear separators

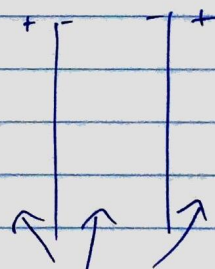
$$\Rightarrow VC(H) \geq 1$$

The reason is, if we have two distinct linear separators, then there will be a space where the predictions of the two linear separators overlap.

Examples:



overlap



everywhere has overlap predictions.

— Therefore I guess the min and max VC dimension of 3 distinct linear separators are both 1