

## **3 Algorithm design techniques**

## 3.1 Algorithm Design Technique: Decrease and conquer

The most straightforward algorithm *design technique* covered on the course is *decrease and conquer*.

- initially the entire input is unprocessed
- the algorithm processes a small piece of the input on each round
  - ⇒ the amount of processed data gets larger and the amount of unprocessed data gets smaller
- finally there is no unprocessed data and the algorithm halts

These types of algorithms are easy to implement and work efficiently on small inputs.

The Insertion-Sort seen earlier is a “decrease and conquer” algorithm.

- initially the entire array is (possibly) unsorted
- on each round the size of the sorted range in the beginning of the array increases by one element
- in the end the entire array is sorted

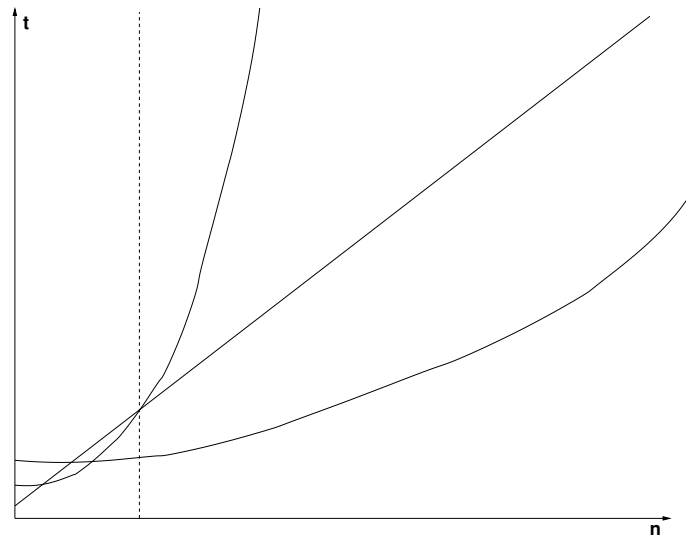
### INSERTION-SORT

INSERTION-SORT( $A$ )	<i>(input in array <math>A</math>)</i>
1 <b>for</b> $j := 2$ <b>to</b> $A.length$ <b>do</b>	<i>(move the limit of the sorted range)</i>
2 $key := A[j]$	<i>(handle the first unsorted element)</i>
3 $i := j - 1$	
4 <b>while</b> $i > 0$ and $A[i] > key$ <b>do</b>	<i>(find the correct location of the new element)</i>
5 $A[i + 1] := A[i]$	<i>(make room for the new element)</i>
6 $i := i - 1$	
7 $A[i + 1] := key$	<i>(set the new element to it's correct location)</i>

## 4 Measuring efficiency

This chapter discusses the analysis of algorithms: the efficiency of algorithms and the notations used to describe the *asymptotic* behavior of an algorithm.

In addition the chapter introduces two algorithm design techniques: *decrease and conquer* and *divide and conquer*.



## 4.1 Asymptotic notations

It is occasionally important to know the exact time it takes to perform a certain operation (in real time systems for example).

Most of the time it is enough to know how the running time of the algorithm changes as the input gets larger.

- The advantage: the calculations are not tied to a given processor, architecture or a programming language.
- In fact, the analysis is not tied to programming at all but can be used to describe the efficiency of any behaviour that consists of successive operations.

- The time efficiency analysis is simplified by assuming that all operations that are independent of the size of the input take the same amount of time to execute.
- Furthermore, the amount of times a certain operation is done is irrelevant as long as the amount is constant.
- We investigate how many times each row is executed during the execution of the algorithm and add the results together.

- The result is further simplified by removing any constant coefficients and lower-order terms.
  - ⇒ This can be done since as the input gets large enough the lower-order terms get insignificant when compared to the leading term.
  - ⇒ The approach naturally doesn't produce reliable results with small inputs. However, when the inputs are small, programs usually are efficient enough in any case.
- The final result is the efficiency of the algorithm and is denoted it with the greek alphabet theta,  $\Theta$ .

$$f(n) = 23n^2 + 2n + 15 \Rightarrow f \in \Theta(n^2)$$

$$f(n) = \frac{1}{2}n \lg n + n \Rightarrow f \in \Theta(n \lg n)$$

**Example 1:** addition of the elements in an array

```
1  for  $i := 1$  to  $A.length$  do  
2       $sum := sum + A[i]$ 
```

- if the size of the array  $A$  is  $n$ , line 1 is executed  $n + 1$  times
- line 2 is executed  $n$  times
- the running time increases as  $n$  gets larger:

$n$	time = $2n + 1$
1	3
10	21
100	201
1000	2001
10000	20001

- notice how the value of  $n$  dominates the running time



- let's simplify the result as described earlier by taking away the constant coefficients and the lower-order terms:

$$f(n) = 2n + 1 \Rightarrow n$$

$\Rightarrow$  we get  $f \in \Theta(n)$  as the result

$\Rightarrow$  the running time depends *linearly* on the size of the input.

## Example 2: searching from an unsorted array

```
1  for  $i := 1$  to  $A.length$  do  
2      if  $A[i] = x$  then  
3          return  $i$ 
```

- the location of the searched element in the array affects the running time.
- the running time depends now both on the size of the input and on the order of the elements  
⇒ we must separately handle the best-case, worst-case and average-case efficiencies.

- in the best case the element we're searching for is the first element in the array.  
⇒ the element is found in *constant time*, i.e. the efficiency is  $\Theta(1)$
- in the worst case the element is the last element in the array or there are no matching elements.
- now line 1 gets executed  $n + 1$  times and line 2  $n$  times  
⇒ efficiency is  $\Theta(n)$ .
- determining the average-case efficiency is not as straightforward

- first we must make some assumptions on the average, typical inputs:
  - the probability  $p$  that the element is in the array is  $(0 \leq p \leq 1)$
  - the probability of finding the first match in each position in the array is the same
- we can find out the average amount of comparisons by using the probabilities
- the probability that the element is not found is  $1 - p$ , and we must make  $n$  comparisons
- the probability for the first match occurring at the index  $i$ , is  $p/n$ , and the amount of comparisons needed is  $i$
- the number of comparisons is:

$$\left[1 \cdot \frac{p}{n} + 2 \cdot \frac{p}{n} + \cdots + i \cdot \frac{p}{n} \cdots + n \cdot \frac{p}{n}\right] + n \cdot (1 - p)$$

- if we assume that the element is found in the array, i.e.  $p = 1$ , we get  $(n+1)/2$  which is  $\Theta(n)$

$\Rightarrow$  since also the case where the element is not found in the array has linear efficiency we can be quite confident that the average efficiency is  $\Theta(n)$

- it is important to keep in mind that all inputs are usually not as probable.

$\Rightarrow$  each case needs to be investigated separately.

**Example 3:** finding the common element in two arrays

```
1  for  $i := 1$  to  $A.length$  do  
2      for  $j := 1$  to  $B.length$  do  
3          if  $A[i] = B[j]$  then  
4              return  $A[i]$ 
```

- line 1 is executed  $1 - (n + 1)$  times
- line 2 is executed  $1 - (n \cdot (n + 1))$  times
- line 3 is executed  $1 - (n \cdot n)$  times
- line 4 is executed at most once

- the algorithm is fastest when the first element of both arrays is the same  
⇒ the best case efficiency is  $\Theta(1)$
- in the worst case there are no common elements in the arrays or the last elements are the same  
⇒ the efficiency is  $2n^2 + 2n + 1 = \Theta(n^2)$
- on average we can assume that both arrays need to be investigated approximately half way through.  
⇒ the efficiency is  $\Theta(n^2)$  (or  $\Theta(nm)$  if the arrays are of different lengths)