

# Topology

TRISCT

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## 1 Topological Spaces

### 1.1 The Definition of a Topological Space

A **topological space** is a nonempty set  $X$ , together with a family  $\tau$  of subsets of  $X$ , satisfying

- (i)  $\emptyset, X \in \tau$ ;
- (ii)  $\tau$  is closed under finite intersections;

(iii)  $\tau$  is closed under arbitrary unions.

The subsets in  $\tau$  are called **open sets**. A **closed set** is one whose complement is open.  $\tau$  itself is called a **topology** on  $X$ . Any subset  $Y$  of a topological space  $X$  inherits naturally a topology. In this case,  $Y$  is called a **subspace** of  $X$ .

## 1.2 Limit Points

Let  $X$  be a topological space. Given a subset  $A \subseteq X$ , a **limit point** of the subset  $A$  is a point  $p \in X$  such that any neighborhood  $U$  of  $p$  contains at least one point in  $A$  distinct from  $p$ , i.e.  $U \cap (A \setminus \{p\}) = U \setminus \{p\} \cap A \neq \emptyset$ . For a subset  $A$ , let  $A'$  be the set of all the limit points of  $A$ . This  $A'$  is called the **derived set** of  $A$ .  $\overline{A} = A \cup A'$  is called the **closure** of  $A$ . A subset  $A \subseteq X$  is said to be **dense** in  $X$  if  $\overline{A} = X$ .

Let  $A \subseteq X$ . An **interior point** of  $A$  is a point for which there exists a neighborhood of it contained in  $A$ . The **interior** of  $A$  is the collection of all the interior points of  $A$ . A **boundary point** of  $A$  is a point that is neither in the interior of  $A$ , nor in that of  $X \setminus A$ .

Using limit points, we can characterize these concepts in a different manner. In the following theorems, let  $A$  be a subset of a topological space  $X$ .

**Theorem 1.1 (Equivalent conditions for an open set).** *TFAE.*

- (i)  $A$  is open;
- (ii) Every point in  $A$  has a neighborhood contained in  $A$ .

*Proof.*

- (i)  $\implies$  (ii):  $A$  itself is the neighborhood.
- (ii)  $\implies$  (i): Let  $x \in A$ . Then there is an open set  $U_x$  with  $x \in U_x \subseteq A$ . Hence  $A = \bigcup_{x \in A} U_x$  is open.  $\square$

**Theorem 1.2 (Equivalent conditions for a closed set).** *TFAE.*

- (i)  $A$  is closed, i.e. has an open complement;
- (ii)  $A' \subseteq A$ , i.e. all limit points of  $A$  lie in  $A$ ;
- (iii)  $A = \overline{A}$ .

*Proof.*

- (i)  $\implies$  (ii): The complement  $X \setminus A$  is open, hence contains no limit points of  $A$ .
- (ii)  $\implies$  (i): Any point in  $X \setminus A$  is not a limit point of  $A$ , hence has a deleted neighborhood that does not intersect  $A$ , but the point itself is not in  $A$  either. It

follows that this neighborhood is contained in  $X \setminus A$ .

(ii)  $\implies$  (iii):  $A \subseteq \overline{A}$  is obvious.  $\overline{A} \subseteq A$  since  $A' \subseteq A$ .

(iii)  $\implies$  (ii): Trivial. □

**Theorem 1.3 (Equivalent conditions for the closure).** *TFAE.*

(i)  $K = \overline{A}$ , i.e.  $K = A \cup A'$ ;

(ii)  $K = \bigcap_{\substack{A \subseteq F \\ F \text{ is closed}}} F$ ;

(iii)  $K \supseteq A$  is closed and is such that for any closed set  $F \supseteq A$ , it holds  $K \subseteq F$ .

*Proof.*

(i)  $\iff$  (ii): We first prove that  $\overline{A}$  is closed. This is easily done by showing that  $X \setminus \overline{A}$  is open. Hence

$$\bigcap_{\substack{A \subseteq F \\ F \text{ is closed}}} F \subseteq \overline{A}$$

Conversely if  $A \subseteq F$  and  $F$  is closed, then every limit point of  $A$  is also one of  $F$ , and hence lies in  $F \implies A' \subseteq F \implies \overline{A} \subseteq F$ . It follows that

$$\overline{A} \subseteq \bigcap_{\substack{A \subseteq F \\ F \text{ is closed}}} F$$

(ii)  $\implies$  (iii): Obvious.

(iii)  $\implies$  (ii): Obviously  $K \subseteq \bigcap_{\substack{A \subseteq F \\ F \text{ is closed}}} F$  if  $K$  satisfies (iii). However,  $K$  itself appears in the intersection, hence the inclusion is actually equality. □

### 1.3 Topological Bases

Let  $X$  be a topological space equipped with the topology  $\tau$ . A **topological basis** for  $\tau$  is a subset  $\beta \subseteq \tau$  (i.e. a subcollection of open sets) for which every element  $O \in \tau$  can be written in the form

$$O = \bigcup_{B_i \in \beta, i \in I} B_i$$

The open sets in  $\beta$  are called **basic open sets**. A **topological subbasis** for  $\tau$  is a subset  $\sigma \subseteq \tau$  such that  $\tau$  is the smallest topology on  $X$  containing  $\sigma$ .

**Note 1.1.** Note that we cannot necessarily write an open set in  $\tau$  in the form of a union of members in a subbasis.

**Note 1.2.** You might want to compare this with the weak topology.

Now we want to determine for a collection  $\beta$  of subsets in a set  $X$  (with no topology previously specified), whether the topology  $\tau$  generated by  $\beta$  has  $\beta$  as its basis. We have the following theorem.

**Theorem 1.4.** *Let  $X$  be a set. Let  $\beta$  be a nonempty collection of subsets in  $X$ . Let  $\tau_\beta$  be the topology generated by  $\beta$ . Suppose  $\beta$  satisfies:*

$$(i) \quad X = \bigcup_{A \in \beta} A.$$

(ii) *For any finitely many members  $A_1, \dots, A_k \in \beta$  and any  $x \in \bigcap_{i=1}^k A_i$ , there exists  $A \in \beta$  with  $x \in A \subseteq \bigcup_{i=1}^k A_i$ .*

*Then  $\beta$  is a basis for  $\tau_\beta$ .<sup>1</sup>*

*Proof.* We shall show the family  $\mathcal{F}_\beta$  (as in the footnote) is a topology on  $X$ . First of all  $\emptyset \in \mathcal{F}_\beta$  by assumption and  $X = \bigcup_{A \in \beta} A$  by property (i). Then let  $\{O_\alpha\}_{\alpha \in \Lambda}$  be such a family that each  $O_\alpha$  has the form

$$O_\alpha = \bigcup_{i \in I_\alpha} A_i, \text{ with } A_i \in \beta$$

Suppose  $\Lambda$  is an arbitrary index set, we have

$$\bigcup_{\alpha \in \Lambda} O_\alpha = \bigcup_{\alpha \in \Lambda} \bigcup_{i \in I_\alpha} A_i$$

which is a union of members in  $\beta$ , and lies in  $\mathcal{F}_\beta$  by the definition of  $\mathcal{F}_\beta$ . This proves  $\mathcal{F}_\beta$  is closed under arbitrary unions. Now suppose on the other hand that  $\Lambda = \{\alpha_1, \alpha_2\}$  has two indexes, then

$$\bigcap_{\alpha \in \Lambda} O_\alpha = \left( \bigcup_{i \in I_{\alpha_1}} A_i \right) \cap \left( \bigcup_{j \in I_{\alpha_2}} A_j \right)$$

Let  $x \in \left( \bigcup_{i \in I_{\alpha_2}} A_i \right) \cap \left( \bigcup_{j \in I_{\alpha_1}} A_j \right)$ . Then there exists  $A_{i_x}$  with  $i_x \in I_{\alpha_1}$  and  $A_{j_x}$  with  $j_x \in I_{\alpha_2}$  such that  $x \in A_{i_x} \cap A_{j_x}$ . By (ii), there exists  $A_x \in \beta$  such that

$$x \in A_x \subseteq A_{i_x} \cap A_{j_x} \subseteq \left( \bigcup_{i \in I_{\alpha_2}} A_i \right) \cap \left( \bigcup_{j \in I_{\alpha_1}} A_j \right)$$

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<sup>1</sup>Another equivalent statement is: if  $\beta$  satisfies (i) and (ii), then the family  $\mathcal{F}_\beta$  of subsets, obtained by adjoining all arbitrary unions of members of  $\beta$  and the empty set, is a topology.

Then taking the union over  $x \in \left(\bigcup_{i \in I_{\alpha_2}} A_i\right) \cap \left(\bigcup_{j \in I_{\alpha_1}} A_j\right)$  we get

$$\begin{aligned} & \left(\bigcup_{i \in I_{\alpha_2}} A_i\right) \cap \left(\bigcup_{j \in I_{\alpha_1}} A_j\right) = \bigcup_x \{x\} \\ & \subseteq \bigcup_x A_x \subseteq \left(\bigcup_{i \in I_{\alpha_2}} A_i\right) \cap \left(\bigcup_{j \in I_{\alpha_1}} A_j\right) \\ & \implies \left(\bigcup_{i \in I_{\alpha_2}} A_i\right) \cap \left(\bigcup_{j \in I_{\alpha_1}} A_j\right) = \bigcup_x A_x \in \mathcal{F}_\beta \end{aligned}$$

By induction we can conclude that  $\mathcal{F}_\beta$  is closed under finite intersections. It follows that  $\mathcal{F}_\beta$  is a topology. Apparently any topology containing  $\beta$  must contain  $\mathcal{F}_\beta$  as well. Hence  $\tau_\beta = \mathcal{F}_\beta$  and  $\beta$  is a basis for  $\tau_\beta$ .  $\square$

## 2 Maps

### 2.1 Continuity

Let  $f : X \rightarrow Y$  be a map between topological spaces. We have the following equivalent conditions for the continuity of  $f$ .

**Theorem 2.1** (Equivalent conditions for continuity). *The following are equivalent*

- (i)  $f$  is continuous, i.e. the preimage of an open set in  $Y$  under  $f$  is open in  $X$ .
- (ii) The preimage of a closed set in  $Y$  under  $f$  is closed in  $X$ .
- (iii) Given a basis for the topology in  $Y$ , the preimage of any basic open set in  $Y$  under  $f$  is open in  $X$ .
- (iv)  $f(\overline{A}) \subseteq \overline{f(A)}$  for all  $A \subseteq X$ .
- (v)  $\overline{f^{-1}(B)} \subseteq f^{-1}(\overline{B})$  for all  $B \subseteq Y$ .
- (vi) For an arbitrary open cover of  $X$ ,  $f$  is continuous on every covering member<sup>2</sup>.
- (vii) For a finite closed cover of  $X$ ,  $f$  is continuous on every covering member.

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<sup>2</sup>In the sense that the restriction of  $f$  to that set is continuous.

## 2.2 Basis for a Set and Limits over a Basis

Let  $X$  be a set and  $\beta$  a collection of subsets of  $X$ . Then  $\beta$  is a **basis** for  $X$  if the two conditions are met:

- (i)  $\emptyset \notin \beta$
- (ii)  $\forall B_1, B_2 \in \beta, \exists B_3 \in \beta, B_3 \subseteq B_1 \cap B_2$ .

Let  $X$  be a set with a basis  $\beta$  as above and  $Y$  a topological space. If  $f$  is a map  $X \rightarrow Y$ , then **limit of  $f$  over  $\beta$**  is defined to be some point  $y \in Y$  satisfying: for any neighborhood  $U_y$  of  $y$  there exists a member  $B \in \beta$  such that  $f(B) \subseteq U_y$ . We can prove that in a Hausdorff space the limit is unique, and we shall denote it by  $y = \lim_{\beta} f(x)$ .

## 2.3 Extension of Maps in Metric Spaces

Let  $X$  be a metric space and  $A \subseteq X$ . We define the **distance** of a point  $x \in X$  to  $A$  to be

$$d(x, A) = \inf_{y \in A} d(x, y)$$

**Lemma 2.1** (Distance to a set is continuous).  *$d(x, A)$  as above is continuous in  $x$ .*

**Theorem 2.2** (Tietze, extension theorem). *A continuous function defined on a closed subset of a metric space has a continuous extension to the whole space.*

*Proof.* If  $f$  is bounded, we find a uniformly convergent series with continuous terms defined on the whole space to approach  $f$  on  $C$ . If  $f$  is not bounded, we use compose  $f$  with  $\arctan$  first.  $\square$

# 3 Compact Spaces and the Hausdorff Separation Axiom

## 3.1 Definitions

A space is **compact** if any open cover admits a finite subcover. Note we can also define compactness for a subset using an open cover with sets open in the whole space. A space is **Hausdorff** if any two distinct points have disjoint neighborhood.

## 3.2 Properties of Compact Sets

**Theorem 3.1.** *The image of a compact set under a continuous map is compact.*

**Theorem 3.2.** *A closed subset of a compact set is compact.*

**Theorem 3.3** (Compact implies sequentially compact). *An infinite subset of a compact set has a limit point in the set. Equivalently, a sequence in a compact set has a convergent subsequence.*

## 3.3 Properties of a Hausdorff space

**Theorem 3.4.** *A limit in a Hausdorff space is unique.*

**Theorem 3.5.** *A compact set in a Hausdorff space is closed.*

**Theorem 3.6** (Inverse theorem for continuous functions). *If  $f : X \rightarrow Y$  is continuous and bijective, where  $X$  is compact and  $Y$  is Hausdorff, then  $f$  is closed<sup>3</sup> and hence  $f^{-1}$  is continuous. Hence  $f$  is a homeomorphism.*

**Theorem 3.7** (Pullback of the Hausdorff property). *If  $f : X \rightarrow Y$  is continuous and injective, then  $Y$  is Hausdorff  $\implies X$  is Hausdorff.*

*Proof.* Let  $x \neq x' \in X$ . Then  $f(x) \neq f(x') \in Y$ . Since  $Y$  is Hausdorff, we can find disjoint neighborhoods  $V, V'$  of  $f(x), f(x')$  respectively. Hence  $f^{-1}(V)$  and  $f^{-1}(V')$  are respectively disjoint neighborhoods of  $x$  and  $x'$ .  $\square$

## 3.4 Compact Sets in $\mathbb{R}^n$

**Theorem 3.8** (Lebesgue number). *Let  $\{U_\alpha\}$  be an open cover of a compact metric space. Then there exists a number  $\delta > 0$  subordinate to  $\{U_\alpha\}$  such that for any set  $A : \text{diam}(A) < \delta$ ,  $A$  can be covered by a single member of  $\{U_\alpha\}$ .*

# 4 Product Topology and More on Compactness

## 5 Identification Spaces

Let  $X$  be topological space, a **partition** of  $X$  is a decomposition of  $X$  into a disjoint union of nonempty subsets:

$$X = \bigcup_i P_i$$

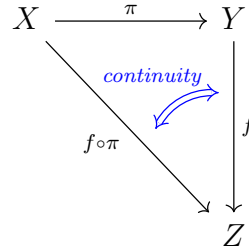
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<sup>3</sup>In the sense that  $f$  maps a closed set to a closed set.

We put on  $X$  the equivalence relation  $\sim$  that two points are equivalent if they are in the same set of the partition, and let  $Y = X/\sim$ . Let  $\pi : X \rightarrow Y = X/\sim$  be the canonical projection. We define a set  $A$  in  $Y$  to be open if  $\pi^{-1}(A)$  is open in  $X$ . We see that this gives a topology on  $Y$ , called the **identification topology**, and  $Y$  is made into a topological space, called the **identification space** (associated to the partition  $X = \bigcup_i P_i$ ).

The following result provides a criterion for the continuity of a function from an identification space.

**Theorem 5.1** (Continuity test, from an identification space). *Let  $Y$  be an identification space of  $X$ , with  $\pi : X \rightarrow Y$  the canonical projection. Then for a map  $f : Y \rightarrow Z$  where  $Z$  is a topological space,  $f$  is continuous  $\iff f \circ \pi$  is continuous.*



*Proof.* Let  $O$  be an open subset of  $Z$ ,  $f^{-1}(O)$  is open in  $Y \iff \pi^{-1}f^{-1}(O) = (f \circ \pi)^{-1}(O)$  is open in  $X$ .  $\square$

Now we generalize the concept of identification spaces by introducing its category definition. A map  $f : X \rightarrow Y$  is called an **identification map** if it is surjective, continuous, and satisfies  $O$  is open in  $Y \iff f^{-1}(O)$  is open in  $X$ .<sup>4</sup> This definition is to be justified as follows.

**Theorem 5.2** (Category definition, identification map). *Let  $f : X \rightarrow Y$  be an identification map in the category sense. Then  $f$  induce on  $X$  an equivalence relation that  $x \sim x'$  if and only if  $f(x) = f(x')$ . Let  $Y_* = X/\sim$  and  $\pi_* : X \rightarrow Y_* = X/\sim$  the canonical projection. Then the map<sup>5</sup>*

$$\begin{aligned} \bar{f} : Y_* &\rightarrow Y \\ [x] &\mapsto f(x) \end{aligned}$$

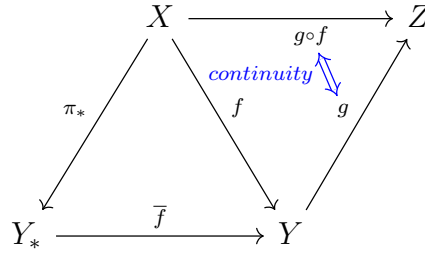
*is well-defined and is a homeomorphism. Moreover, if  $Z$  is another topological*

<sup>4</sup>Or equivalently, if  $f$  is surjective and  $Y$  is equipped with the largest topology for which  $f$  is continuous.

<sup>5</sup> $[x]$  denotes the equivalence class  $x$  is in.



space, then  $g : Y \rightarrow Z$  is continuous  $\iff g \circ f$  is continuous.



*Proof.* The definition of the equivalence on  $X$  shows that  $\bar{f}$  is well-defined and injective.  $\bar{f}$  is surjective because  $f$  is. Then it suffices to show  $f, f^{-1}$  are continuous. Let  $A \subseteq Y$ . Then  $A$  is open in  $Y \iff f^{-1}(A) = (\bar{f} \circ \pi_*)^{-1}(A) = \pi_*^{-1} \circ \bar{f}^{-1}(A)$  is open in  $X \iff \bar{f}^{-1}(A)$  is open in  $Y_*$ . The proof is then complete.  $\square$