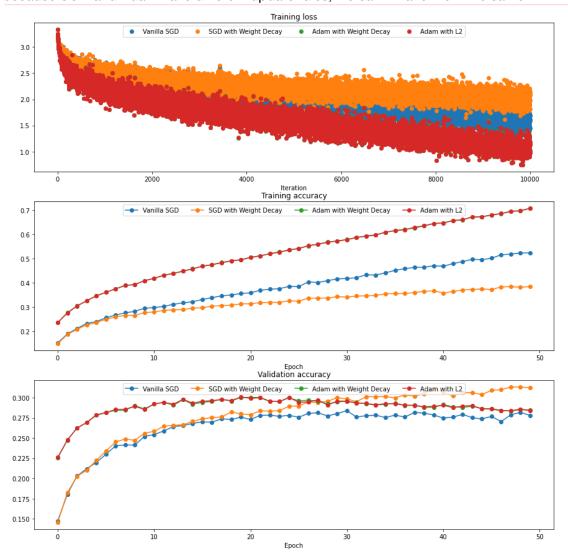


# No, because SGD and Adam have different update rules, we can't make them the same.



#### **Problem 1: Basics of Neural Networks**

- Learning Objective: In this problem, you are asked to implement a basic multi-layer fully connected neural network from scratch, including forward and backward passes of certain essential layers, to perform an image classification task on the CIFAR100 dataset. You need to implement essential functions in different indicated python files under directory 1ib.
- Provided Code: We provide the skeletons of classes you need to complete. Forward checking and gradient checkings are provided for verifying your implementation as well.
- TODOs: You are asked to implement the forward passes and backward passes for standard layers and loss functions, various widely-used optimizers, and part of the training procedure. And finally we want you to train a network from scratch on your own. Also, there are inline questions you need to answer. See README.md to set up your environment.

```
In [42]: from lib.mlp.fully conn import
         from lib.mlp.layer utils import *
         from lib.datasets import *
         from lib.mlp.train import *
         from lib.grad_check import *
         from lib.optim import *
         import numpy as np
         import matplotlib.pyplot as plt
         %matplotlib inline
         plt.rcParams['figure.figsize'] = (10.0, 8.0) # set default size of plots
         plt.rcParams['image.interpolation'] = 'nearest'
         plt.rcParams['image.cmap'] = 'gray
         # for auto-reloading external modules
         # see http://stackoverflow.com/questions/1907993/autoreload-of-modules-in-ipython
         %load ext autoreload
         %autoreload 2
```

The autoreload extension is already loaded. To reload it, use: %reload ext autoreload

#### Loading the data (CIFAR-100 with 20 superclasses)

In this homework, we will be classifying images from the CIFAR-100 dataset into the 20 superclasses. More information about the CIFAR-100 dataset and the 20 superclasses can be found <a href="https://www.cs.toronto.edu/~kriz/cifar.html">here (https://www.cs.toronto.edu/~kriz/cifar.html</a>).

Download the CIFAR-100 data files here (https://drive.google.com/drive/folders/1imXxTnpkMbWEe41pkAGNt\_JMTXECDSaW?usp=share\_link), and save the .mat\_files to the data/cifar100\_directory.

Load the dataset.

```
In [43]: data = CIFAR100_data('data/cifar100/')
             for k, v in data.items():
                  if type(v) == np.ndarray:
                       print ("Name: {} Shape: {}, {}".format(k, v.shape, type(v)))
                  else:
                       print("{}: {}".format(k, v))
             label_names = data['label_names']
             mean_image = data['mean_image'][0]
            std image = data['std_image'][0]
            Name: data_train Shape: (40000, 32, 32, 3), <class 'numpy.ndarray'>
             Name: labels_train Shape: (40000,), <class 'numpy.ndarray'>
             Name: data_val Shape: (10000, 32, 32, 3), <class 'numpy.ndarray'>
             Name: labels_val Shape: (10000,), <class 'numpy.ndarray'>
             Name: data test Shape: (10000, 32, 32, 3), <class 'numpy.ndarray'>
            Name: labels_test Shape: (10000,), <class 'numpy.ndarray'>
labels_test Shape: (10000,), <class 'numpy.ndarray'>
label_names: ['aquatic_mammals', 'fish', 'flowers', 'food_containers', 'fruit_and_vegetables', 'household_electrical_devices', 'household_furniture', 'insects', 'large_carnivores', 'large_man-made_outdoor_things', 'large_natural_out_door_scenes', 'large_omnivores_and_herbivores', 'medium_mammals', 'non-insect_invertebrates', 'people', 'reptiles',
             'small_mammals', 'trees', 'vehicles_1', 'vehicles_2']
             Name: mean_image Shape: (1, 1, 1, 3), <class 'numpy.ndarray'>
             Name: std_image Shape: (1, 1, 1, 3), <class 'numpy.ndarray'>
```

#### **Implement Standard Layers**

You will now implement all the following standard layers commonly seen in a fully connected neural network (aka multi-layer perceptron, MLP). Please refer to the file lib/mlp/layer\_utils.py. Take a look at each class skeleton, and we will walk you through the network layer by layer. We provide results of some examples we pre-computed for you for checking the forward pass, and also the gradient checking for the backward pass.

## FC Forward [2pt]

In the class skeleton flatten and fc in lib/mlp/layer\_utils.py, please complete the forward pass in function forward. The input to the fc layer may not be of dimension (batch size, features size), it could be an image or any higher dimensional data. We want to convert the input to have a shape of (batch size, features size). Make sure that you handle this dimensionality issue.

```
In [44]: %reload_ext autoreload
         # Test the fc forward function
         input_bz = 3 # batch size
         input_dim = (7, 6, 4)
         output_dim = 4
         input_size = input_bz * np.prod(input_dim)
         #print(input_size)
         weight_size = output_dim * np.prod(input_dim)
         #print(weight_size)
         flatten_layer = flatten(name="flatten test")
         single_fc = fc(np.prod(input_dim), output_dim, init_scale=0.02, name="fc_test")
         x = np.linspace(-0.1, 0.4, num=input_size).reshape(input_bz, *input_dim)
         w = np.linspace(-0.2, 0.2, num=weight_size).reshape(np.prod(input_dim), output_dim)
         b = np.linspace(-0.3, 0.3, num=output_dim)
         #print(w.shape)
         single_fc.params[single_fc.w_name] = w
         single_fc.params[single_fc.b_name] = b
         out = single fc.forward(flatten layer.forward(x))
         #print(out.shape)
         correct_out = np.array([[0.63910291, 0.83740057, 1.03569824, 1.23399591],
                                 [0.61401587, 0.82903823, 1.04406058, 1.25908294],
                                 [0.58892884, 0.82067589, 1.05242293, 1.28416997]])
         # Compare your output with the above pre-computed ones.
         # The difference should not be larger than 1e-8
         print ("Difference: ", rel_error(out, correct_out))
```

Difference: 4.0260162945880345e-09

#### FC Backward [2pt]

Please complete the function backward as the backward pass of the flatten and fc layers. Follow the instructions in the comments to store gradients into the predefined dictionaries in the attributes of the class. Parameters of the layer are also stored in the predefined dictionary.

```
In [45]: %reload_ext autoreload
         # Test the fc backward function
         inp = np.random.randn(15, 2, 2, 3)
         w = np.random.randn(12, 15)
         b = np.random.randn(15)
         dout = np.random.randn(15, 15)
         flatten_layer = flatten(name="flatten_test")
         x = flatten_layer.forward(inp)
         single_fc = fc(np.prod(x.shape[1:]), 15, init_scale=5e-2, name="fc_test")
         single_fc.params[single_fc.w_name] = w
         single_fc.params[single_fc.b_name] = b
         dx num = eval numerical gradient array(lambda x: single fc.forward(x), x, dout)
         dw_num = eval_numerical_gradient_array(lambda w: single_fc.forward(x), w, dout)
         db_num = eval_numerical_gradient_array(lambda b: single_fc.forward(x), b, dout)
         out = single fc.forward(x)
         dx = single_fc.backward(dout)
         dw = single_fc.grads[single_fc.w_name]
         db = single_fc.grads[single_fc.b_name]
         dinp = flatten_layer.backward(dx)
         # The error should be around 1e-9
         print("dx Error: ", rel_error(dx_num, dx))
         # The errors should be around 1e-10
         print("dw Error: ", rel_error(dw_num, dw))
print("db Error: ", rel_error(db_num, db))
         # The shapes should be same
         print("dinp Shape: ", dinp.shape, inp.shape)
         dx Error: 2.0078575475036477e-09
         dw Error: 3.1663919993103457e-09
         db Error: 5.13188073137747e-11
         dinp Shape: (15, 2, 2, 3) (15, 2, 2, 3)
```

## **GeLU Forward [2pt]**

In the class skeleton gelu in lib/mlp/layer\_utils.py , please complete the forward pass.

GeLU is a smooth version of ReLU and it's used in pre-training LLMs such as GPT-3 and BERT.

GeLU(x) = 
$$x\Phi(x) \approx 0.5x(1 + \tanh(\sqrt{2/\pi}(x + 0.044715x^3)))$$

Where  $\Phi(x)$  is the CDF for standard Gaussian random variables. You should use the approximate version to compute forward and backward pass.

Difference: 1.8037541876132445e-08

#### **GeLU Backward [2pt]**

Please complete the backward pass of the class  $\ensuremath{\mathtt{gelu}}$  .

```
In [47]: %reload_ext autoreload

# Test the relu backward function
x = np.random.randn(15, 15)
dout = np.random.randn(*x.shape)
gelu_b = gelu(name="gelu_b")

dx_num = eval_numerical_gradient_array(lambda x: gelu_b.forward(x), x, dout)

out = gelu_b.forward(x)
dx = gelu_b.backward(dout)

# The error should not be larger than le-4, since we are using an approximate version of GeLU activation.
print ("dx Error: ", rel_error(dx_num, dx))
```

dx Error: 5.834385567855181e-05

### **Dropout Forward [2pt]**

In the class dropout in lib/mlp/layer\_utils.py , please complete the forward pass. Remember that the dropout is **only applied during training phase**, you should pay attention to this while implementing the function.

Important Note1: The probability argument input to the function is the "keep probability": probability that each activation is kept.

Important Note2: If the keep\_prob is set to 1, make it as no dropout.

```
Dropout Keep Prob = 0
Mean of input: 4.99612695081484
Mean of output during training time: 4.99612695081484
Mean of output during testing time: 4.99612695081484
Fraction of output set to zero during training time: 0.0
Fraction of output set to zero during testing time: 0.0
Dropout Keep Prob = 0.25
Mean of input: 4.99612695081484
Mean of output during training time: 5.132602871242433
Mean of output during testing time: 4.99612695081484
Fraction of output set to zero during training time: 0.7432
Fraction of output set to zero during testing time: 0.0
Dropout Keep Prob = 0.5
Mean of input: 4.99612695081484
Mean of output during training time: 4.976810643095131
Mean of output during testing time: 4.99612695081484
Fraction of output set to zero during training time: 0.503
Fraction of output set to zero during testing time: 0.0
Dropout Keep Prob = 0.75
Mean of input: 4.99612695081484
Mean of output during training time: 5.004120932087984
Mean of output during testing time: 4.99612695081484
Fraction of output set to zero during training time: 0.2489
Fraction of output set to zero during testing time: 0.0
Dropout Keep Prob = 1
Mean of input: 4.99612695081484
Mean of output during training time: 4.99612695081484
Mean of output during testing time: 4.99612695081484
Fraction of output set to zero during training time: 0.0
Fraction of output set to zero during testing time: 0.0
```

## **Dropout Backward [2pt]**

Please complete the backward pass. Again remember that the dropout is only applied during training phase, handle this in the backward pass as well.

```
In [49]: %reload_ext autoreload

x = np.random.randn(5, 5) + 5
dout = np.random.randn(*x.shape)

keep_prob = 0.75
dropout_b = dropout(keep_prob, seed=100)
out = dropout_b.forward(x, True, seed=1)
dx = dropout_b.backward(dout)
dx_num = eval_numerical_gradient_array(lambda xx: dropout_b.forward(xx, True, seed=1), x, dout)

# The error should not be larger than le-10
print ('dx relative error: ', rel_error(dx, dx_num))
```

dx relative error: 3.0031142727085094e-11

localhost:8888/notebooks/Desktop/Deep/csci566-assignment1-main/Problem\_1.ipynb

#### Testing cascaded layers: FC + GeLU [2pt]

Please find the TestFCGeLU function in lib/mlp/fully\_conn.py .

You only need to complete a few lines of code in the TODO block.

Please design an Flatten -> FC -> GeLU network where the parameters of them match the given x, w, and b.

Please insert the corresponding names you defined for each layer to param\_name\_w, and param\_name\_b respectively. Here you only modify the param\_name part, the \_w , and \_b are automatically assigned during network setup

```
In [50]: %reload_ext autoreload
       x = np.random.randn(3, 5, 3) # the input features
       w = np.random.randn(15, 5) # the weight of fc layer
                            # the bias of fc layer
      b = np.random.randn(5)
      dout = np.random.randn(3, 5) # the gradients to the output, notice the shape
       tiny_net = TestFCGeLU()
       # TODO: param_name should be replaced accordingly #
       tiny_net.net.assign("fully_w", w)
       tiny_net.net.assign("fully_b", b)
       END OF YOUR CODE
       out = tiny_net.forward(x)
       dx = tiny_net.backward(dout)
       # TODO: param_name should be replaced accordingly #
       dw = tiny_net.net.get_grads("fully_w")
      db = tiny_net.net.get_grads("fully_b")
       END OF YOUR CODE
       dx_num = eval_numerical_gradient_array(lambda x: tiny_net.forward(x), x, dout)
       dw_num = eval_numerical_gradient_array(lambda w: tiny_net.forward(x), w, dout)
       db_num = eval_numerical_gradient_array(lambda b: tiny_net.forward(x), b, dout)
       # The errors should not be larger than 1e-7
      print ("dx error: ", rel_error(dx_num, dx))
print ("dw error: ", rel_error(dw_num, dw))
      print ("db error: ", rel_error(db_num, db))
       dx error: 2.4702015162469534e-06
       dw error: 6.05584142424796e-06
```

### SoftMax Function and Loss Layer [2pt]

db error: 8.456089943220052e-06

In the lib/mlp/layer\_utils.py, please first complete the function softmax, which will be used in the function cross\_entropy. Then, implement corss\_entropy using softmax. Please refer to the lecture slides of the mathematical expressions of the cross entropy loss function, and complete its forward pass and backward pass. You should also take care of size average on whether or not to divide by the batch size.

```
In [51]: %reload_ext autoreload

num_classes, num_inputs = 6, 100
x = 0.001 * np.random.randn(num_inputs, num_classes)
y = np.random.randint(num_classes, size=num_inputs)

test_loss = cross_entropy()

dx_num = eval_numerical_gradient(lambda x: test_loss.forward(x, y), x, verbose=False)

loss = test_loss.forward(x, y)
dx = test_loss.backward()

# Test softmax_loss function. Loss should be around 1.792
# and dx error should be at the scale of le-8 (or smaller)
print ("Cross Entropy Loss: ", loss)
print ("dx error: ", rel_error(dx_num, dx))

Cross Entropy Loss: 1.791579241950658
dx error: 8.522397329017722e-09
```

# Test a Small Fully Connected Network [2pt]

Please find the SmallFullyConnectedNetwork function in  $\verb|lib/mlp/fully_conn.py|.$ 

Again you only need to complete few lines of code in the TODO block.

Please design an FC --> GeLU --> FC network where the shapes of parameters match the given shapes.

Please insert the corresponding names you defined for each layer to param\_name\_w, and param\_name\_b respectively.

Here you only modify the param\_name part, the <code>\_w</code> , and <code>\_b</code> are automatically assigned during network setup.

```
In [52]: %reload_ext autoreload
               seed = 1234
               np.random.seed(seed=seed)
               model = SmallFullyConnectedNetwork()
               loss func = cross entropy()
               N, D, = 4, 4 # N: batch size, D: input dimension
               H, C = 30, 7 # H: hidden dimension, C: output dimension
               std = 0.02
               x = np.random.randn(N, D)
               y = np.random.randint(C, size=N)
               print ("Testing initialization ... ")
               # TODO: param name should be replaced accordingly
               w1_std = abs(model.net.get_params("fully_1_w").std() - std)
               b1 = model.net.get_params("fully_1_b").std()
               w2_std = abs(model.net.get_params("fully_2_w").std() - std)
               b2 = model.net.get_params("fully_2_b").std()
               END OF YOUR CODE
               assert w1_std < std / 10, "First layer weights do not seem right"
assert np.all(b1 == 0), "First layer biases do not seem right"</pre>
               assert w2_std < std / 10, "Second layer weights do not seem right"
               assert np.all(b2 == 0), "Second layer biases do not seem right
               print ("Passed!")
               print ("Testing test-time forward pass ... ")
               w1 = np.linspace(-0.7, 0.3, num=D*H).reshape(D, H)
               w2 = np.linspace(-0.2, 0.2, num=H*C).reshape(H, C)
               b1 = np.linspace(-0.6, 0.2, num=H)
               b2 = np.linspace(-0.9, 0.1, num=C)
               # TODO: param name should be replaced accordingly #
               model.net.assign("fully_1_w", w1)
               model.net.assign("fully_1_b", b1)
               model.net.assign("fully_2_w", w2)
               model.net.assign("fully_2_b", b2)
               END OF YOUR CODE
               feats = np.linspace(-5.5, 4.5, num=N*D).reshape(D, N).T
               scores = model.forward(feats)
               \texttt{correct\_scores} = \texttt{np.asarray}( \texttt{[[-2.33881897, -1.92174121, -1.50466344, -1.08758567, -0.6705079, -0.25343013, 0.16364763, -0.6705079, -0.25343013, 0.16364763, -0.6705079, -0.25343013, 0.16364763, -0.6705079, -0.25343013, 0.16364763, -0.6705079, -0.25343013, 0.16364763, -0.6705079, -0.25343013, 0.16364763, -0.6705079, -0.25343013, 0.16364763, -0.6705079, -0.25343013, 0.16364763, -0.6705079, -0.25343013, 0.16364763, -0.6705079, -0.25343013, 0.16364763, -0.6705079, -0.25343013, 0.16364763, -0.6705079, -0.25343013, 0.16364763, -0.6705079, -0.25343013, -0.6705079, -0.25343013, -0.6705079, -0.25343013, -0.6705079, -0.25343013, -0.6705079, -0.25343013, -0.6705079, -0.25343013, -0.6705079, -0.25343013, -0.6705079, -0.25343013, -0.6705079, -0.25343013, -0.6705079, -0.25343013, -0.6705079, -0.25343013, -0.6705079, -0.25343013, -0.6705079, -0.25343013, -0.6705079, -0.25343013, -0.6705079, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.25343013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0.2534013, -0
                                                              [-1.57214916, -1.1857013 , -0.79925345, -0.41280559, -0.02635774, 0.36009011, 0.74653797 [-0.80178618, -0.44604469, -0.0903032 , 0.26543829, 0.62117977, 0.97692126, 1.33266275 [-0.00331319, 0.32124836, 0.64580991, 0.97037146, 1.29493301, 1.61949456, 1.94405611
               scores_diff = np.sum(np.abs(scores - correct_scores))
               assert scores_diff < 1e-6, "Your implementation might be wrong!"
               print ("Passed!")
               print ("Testing the loss ...",)
               y = np.asarray([0, 5, 1, 4])
               loss = loss_func.forward(scores, y)
               dLoss = loss_func.backward()
               correct_loss = 2.4248995879903195
               assert abs(loss - correct_loss) < 1e-10, "Your implementation might be wrong!"</pre>
               print ("Passed!")
               print ("Testing the gradients (error should be no larger than 1e-6) ...")
               din = model.backward(dLoss)
               for layer in model.net.layers:
                     if not layer.params:
                           continue
                     for name in sorted(layer.grads):
                            f = lambda _: loss_func.forward(model.forward(feats), y)
                            grad_num = eval_numerical_gradient(f, layer.params[name], verbose=False)
                            print ('%s relative error: %.2e' % (name, rel_error(grad_num, layer.grads[name])))
```

```
Testing initialization ...
Passed!
Testing test-time forward pass ...
Passed!
Testing the loss ...
Passed!
Testing the gradients (error should be no larger than 1e-6) ...
fully_1_b relative error: 9.92e-09
fully_1_w relative error: 3.40e-08
fully_2_b relative error: 4.01e-10
fully_2_w relative error: 2.50e-08
```

#### Test a Fully Connected Network regularized with Dropout [2pt]

Please find the DropoutNet function in fully\_conn.py under lib/mlp directory. For this part you don't need to design a new network, just simply run the following test code. If something goes wrong, you might want to double check your dropout implementation.

```
In [53]: %reload ext autoreload
         seed = 1234
         np.random.seed(seed=seed)
         N, D, C = 3, 15, 10
         X = np.random.randn(N, D)
         y = np.random.randint(C, size=(N,))
         for keep prob in [0, 0.25, 0.5]:
             np.random.seed(seed=seed)
             print ("Dropout p =", keep_prob)
             model = DropoutNet(keep_prob=keep_prob, seed=seed)
             loss func = cross entropy()
             output = model.forward(X, True, seed=seed)
             loss = loss_func.forward(output, y)
             dLoss = loss_func.backward()
             dX = model.backward(dLoss)
             grads = model.net.grads
             print ("Error of gradients should be around or less than 1e-3")
             for name in sorted(grads):
                 if name not in model.net.params.keys():
                     continue
                 f = lambda _: loss_func.forward(model.forward(X, True, seed=seed), y)
                 grad_num = eval_numerical_gradient(f, model.net.params[name], verbose=False, h=1e-5)
                 print ("{} relative error: {}".format(name, rel_error(grad_num, grads[name])))
             print ()
         Dropout p = 0
         Error of gradients should be around or less than 1e-3
         fc1_b relative error: 2.8516548686275757e-07
         fc1_w relative error: 3.762690769736027e-06
         fc2 b relative error: 1.3390330659453902e-08
         fc2 w relative error: 3.087487536359808e-05
         fc3 b relative error: 2.5814305918756386e-10
         fc3_w relative error: 2.7022952422531594e-06
         Dropout p = 0.25
         Error of gradients should be around or less than 1e-3
         fc1 b relative error: 3.2230323041850314e-07
         fc1 w relative error: 2.7844020049017386e-06
         fc2 b relative error: 1.490984961643268e-07
         fc2_w relative error: 4.53151835472066e-05
         fc3 b relative error: 6.679255248099083e-11
         fc3 w relative error: 7.937021230933832e-07
         Dropout p = 0.5
         Error of gradients should be around or less than 1e-3
         fc1_b relative error: 9.415776927750593e-07
         fc1 w relative error: 1.0482378061824159e-06
         fc2_b relative error: 1.5499018198597983e-08
         fc2_w relative error: 7.918616787366289e-06
         fc3 b relative error: 2.2391181687448885e-10
         fc3_w relative error: 1.1034405157709445e-05
```

#### Training a Network

In this section, we defined a TinyNet class for you to fill in the TODO block in lib/mlp/fully conn.py.

- Here please design a two layer fully connected network with Leaky ReLU activation (Flatten --> FC --> GeLU --> FC).
- You can adjust the number of hidden neurons, batch\_size, epochs, and learning rate decay parameters.

- Please read the lib/train.py carefully and complete the TODO blocks in the train\_net function first. Codes in "Test a Small Fully Connected Network" can be helpful.
- Implement SGD in lib/optim.py, you will be asked to complete weight decay and Adam in the later sections.

```
In [54]: # Arrange the data
data_dict = {
    "data_train": (data["data_train"], data["labels_train"]),
        "data_val": (data["data_val"], data["labels_val"]),
        "data_test": (data["data_test"], data["labels_test"])
}

In [55]: print("Data shape:", data["data_train"].shape)
    print("Flattened data input size:", np.prod(data["data_train"].shape[1:]))
    print("Number of data classes:", max(data['labels_train']) + 1)

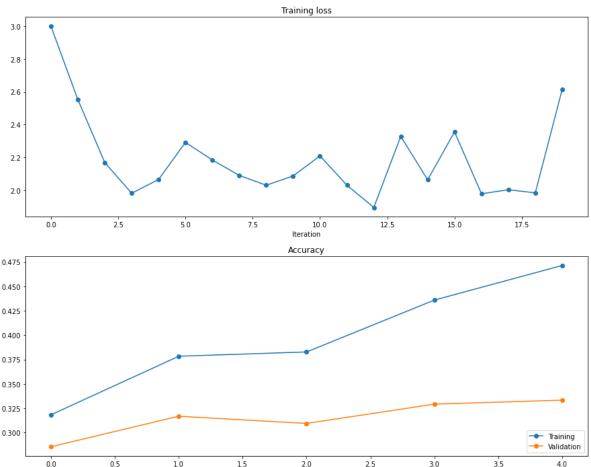
Data shape: (40000, 32, 32, 3)
    Flattened data input size: 3072
    Number of data classes: 20
```

#### Now train the network to achieve at least 30% validation accuracy [5pt]

You may only adjust the hyperparameters inside the TODO block

```
In [59]: %autoreload
In [60]: %reload_ext autoreload
       seed = 123
       np.random.seed(seed=seed)
       model = TinyNet()
       loss f = cross entropy()
       optimizer = SGD(model.net, 0.1)
       results = None
       # TODO: Use the train_net function you completed to train a network
       batch_size = 100
       epochs = 5
       lr_decay = 0.99
       lr_decay_every = 100
       END OF YOUR CODE
       results = train_net(data_dict, model, loss_f, optimizer, batch_size, epochs,
                       lr_decay, lr_decay_every, show_every=10000, verbose=True)
       opt_params, loss_hist, train_acc_hist, val_acc_hist = results
        28 | ■
                                             9/400 [00:00<00:09, 40.06it/s]
       (Iteration 1 / 2000) Average loss: 3.057566520671908
                                     400/400 [00:09<00:00, 43.26it/s]
       (Epoch 1 / 5) Training Accuracy: 0.31825, Validation Accuracy: 0.2855
                                          400/400 [00:09<00:00, 41.84it/s]
       (Epoch 2 / 5) Training Accuracy: 0.3783, Validation Accuracy: 0.3168
                                         400/400 [00:09<00:00, 40.70it/s]
       (Epoch 3 / 5) Training Accuracy: 0.382725, Validation Accuracy: 0.3094
                                          400/400 [00:09<00:00, 41.57it/s]
       (Epoch 4 / 5) Training Accuracy: 0.435925, Validation Accuracy: 0.3292
                                    400/400 [00:09<00:00, 40.34it/s]
       (Epoch 5 / 5) Training Accuracy: 0.471425, Validation Accuracy: 0.3333
In [61]: # Take a look at what names of params were stored
       print (opt params.keys())
       dict_keys(['fully_1_w', 'fully_1_b', 'fully_2_w', 'fully_2_b'])
```

```
In [62]: # Demo: How to load the parameters to a newly defined network
         model = TinyNet()
         model.net.load(opt_params)
         val_acc = compute_acc(model, data["data_val"], data["labels_val"])
         print ("Validation Accuracy: {}%".format(val_acc*100))
         test_acc = compute_acc(model, data["data_test"], data["labels_test"])
         print ("Testing Accuracy: {}%".format(test_acc*100))
         Loading Params: fully_1_w Shape: (3072, 512)
         Loading Params: fully_1_b Shape: (512,)
         Loading Params: fully_2_w Shape: (512, 20)
         Loading Params: fully_2_b Shape: (20,)
         Validation Accuracy: 33.33%
         Testing Accuracy: 32.85%
In [63]: # Plot the learning curves
         plt.subplot(2, 1, 1)
         plt.title('Training loss')
         loss_hist_ = loss_hist[1::100] # sparse the curve a bit
         plt.plot(loss_hist_, '-o')
         plt.xlabel('Iteration')
         plt.subplot(2, 1, 2)
         plt.title('Accuracy')
         plt.plot(train_acc_hist, '-o', label='Training')
         plt.plot(val_acc_hist, '-o', label='Validation')
         plt.xlabel('Epoch')
         plt.legend(loc='lower right')
         plt.gcf().set_size_inches(15, 12)
         plt.show()
```



#### **Different Optimizers and Regularization Techniques**

There are several more advanced optimizers than vanilla SGD, and there are many regularization tricks. You'll implement them in this section. Please complete the TODOs in the lib/optim.py.

Epoch

#### SGD + Weight Decay [2pt]

The update rule of SGD plus weigh decay is as shown below:

```
\theta_{t+1} = \theta_t - \eta \nabla_{\theta} J(\theta_t) - \lambda \theta_t
```

Update the SGD() function in lib/optim.py, and also incorporate weight decay options.

```
In [64]: %reload_ext autoreload
          # Test the implementation of SGD with Momentum
          seed = 1234
          np.random.seed(seed=seed)
          N, D = 4, 5
          test_sgd = sequential(fc(N, D, name="sgd_fc"))
          w = np.linspace(-0.4, 0.6, num=N*D).reshape(N, D)
          dw = np.linspace(-0.6, 0.4, num=N*D).reshape(N, D)
          test_sgd.layers[0].params = {"sgd_fc_w": w}
          test_sgd.layers[0].grads = {"sgd_fc_w": dw}
          test_sgd_wd = SGD(test_sgd, 1e-3, 1e-4)
          test sgd wd.step()
          updated_w = test_sgd.layers[0].params["sgd_fc_w"]
          expected_updated_w = np.asarray([
                  [-0.39936 , -0.34678632, -0.29421263, -0.24163895, -0.18906526],
                  [-0.13649158, -0.08391789, -0.03134421, 0.02122947, 0.07380316],
[ 0.12637684, 0.17895053, 0.23152421, 0.28409789, 0.33667158],
[ 0.38924526, 0.44181895, 0.49439263, 0.54696632, 0.59954 ]])
          print ('The following errors should be around or less than 1e-6')
          print ('updated_w error: ', rel_error(updated_w, expected_updated_w))
```

The following errors should be around or less than 1e-6 updated\_w error: 8.677112905190533e-08

### Comparing SGD and SGD with Weight Decay [2pt]

Run the following code block to train a multi-layer fully connected network with both SGD and SGD plus Weight Decay. You are expected to see Weight Decay have better validation accuracy than vinilla SGD.

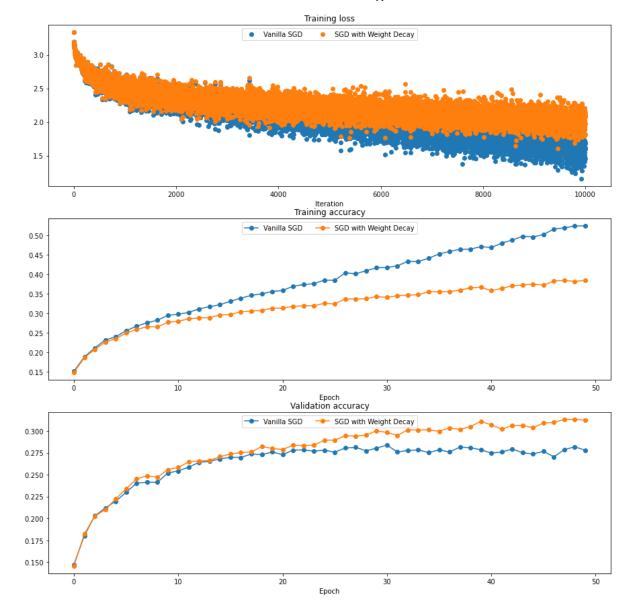
```
In [65]: seed = 1234
         # Arrange a small data
         num_train = 20000
         small data dict = {
             "data_train": (data["data_train"][:num_train], data["labels_train"][:num_train]),
             "data_val": (data["data_val"], data["labels_val"]),
"data_test": (data["data_test"], data["labels_test"])
         reset seed(seed=seed)
                        = FullyConnectedNetwork()
         model_sgd
         loss_f_sgd
                        = cross_entropy()
         optimizer_sgd = SGD(model_sgd.net, 0.01)
         print ("Training with Vanilla SGD...")
         results_sgd = train_net(small_data_dict, model_sgd, loss_f_sgd, optimizer_sgd, batch_size=100,
                                 max_epochs=50, show_every=10000, verbose=True)
         reset_seed(seed=seed)
                        = FullyConnectedNetwork()
         model_sgdw
         loss_f_sgdw
                        = cross_entropy()
         optimizer_sgdw = SGD(model_sgdw.net, 0.01, 1e-4)
         print ("\nTraining with SGD plus Weight Decay...")
         results_sgdw = train_net(small_data_dict, model_sgdw, loss_f_sgdw, optimizer_sgdw, batch_size=100,
                                  max_epochs=50, show_every=10000, verbose=True)
         opt params sgd, loss hist sgd, train acc hist sgd, val acc hist sgd = results sgd
         opt_params_sgdw, loss_hist_sgdw, train_acc_hist_sgdw, val_acc_hist_sgdw = results_sgdw
         plt.subplot(3, 1, 1)
         plt.title('Training loss')
         plt.xlabel('Iteration')
         plt.subplot(3, 1, 2)
         plt.title('Training accuracy')
         plt.xlabel('Epoch')
         plt.subplot(3, 1, 3)
         plt.title('Validation accuracy')
         plt.xlabel('Epoch')
         plt.subplot(3, 1, 1)
         plt.plot(loss_hist_sgd, 'o', label="Vanilla SGD")
         plt.subplot(3, 1, 2)
         plt.plot(train_acc_hist_sgd, '-o', label="Vanilla SGD")
         plt.subplot(3, 1, 3)
         plt.plot(val_acc_hist_sgd, '-o', label="Vanilla SGD")
         plt.subplot(3, 1, 1)
         plt.plot(loss_hist_sgdw, 'o', label="SGD with Weight Decay")
         plt.subplot(3, 1, 2)
         plt.plot(train_acc_hist_sgdw, '-o', label="SGD with Weight Decay")
         plt.subplot(3, 1, 3)
         plt.plot(val_acc_hist_sgdw, '-o', label="SGD with Weight Decay")
         for i in [1, 2, 3]:
           plt.subplot(3, 1, i)
           plt.legend(loc='upper center', ncol=4)
         plt.gcf().set_size_inches(15, 15)
         plt.show()
         Training with Vanilla SGD...
                                                          | 6/200 [00:00<00:03, 58.10it/s]
           3% | ■
         (Iteration 1 / 10000) Average loss: 3.333215453908898
                                                       200/200 [00:03<00:00, 66.48it/s]
         (Epoch 1 / 50) Training Accuracy: 0.15095, Validation Accuracy: 0.1474
         100%
                                                      200/200 [00:03<00:00, 62.56it/s]
         (Epoch 2 / 50) Training Accuracy: 0.18815, Validation Accuracy: 0.1805
                                                       200/200 [00:03<00:00, 58.51it/s]
         (Epoch 3 / 50) Training Accuracy: 0.2107, Validation Accuracy: 0.2029
                                                       200/200 [00:02<00:00, 72.97it/s]
         (Epoch 4 / 50) Training Accuracy: 0.2314, Validation Accuracy: 0.212
         100%
                                                     200/200 [00:02<00:00, 68.28it/s]
         (Epoch 5 / 50) Training Accuracy: 0.23915, Validation Accuracy: 0.2197
         100%
                                                       200/200 [00:02<00:00, 70.54it/s]
```

```
(Epoch 6 / 50) Training Accuracy: 0.2552, Validation Accuracy: 0.2298
           200/200 [00:03<00:00, 66.05it/s]
(Epoch 7 / 50) Training Accuracy: 0.26645, Validation Accuracy: 0.2403
                                200/200 [00:02<00:00, 71.65it/s]
(Epoch 8 / 50) Training Accuracy: 0.27555, Validation Accuracy: 0.2414
                    | 200/200 [00:02<00:00, 68.64it/s]
(Epoch 9 / 50) Training Accuracy: 0.28185, Validation Accuracy: 0.2413
                               200/200 [00:02<00:00, 69.07it/s]
(Epoch 10 / 50) Training Accuracy: 0.2944, Validation Accuracy: 0.252
                   200/200 [00:02<00:00, 67.21it/s]
(Epoch 11 / 50) Training Accuracy: 0.29735, Validation Accuracy: 0.2543
                               200/200 [00:03<00:00, 64.67it/s]
(Epoch 12 / 50) Training Accuracy: 0.3021, Validation Accuracy: 0.2587
                200/200 [00:02<00:00, 69.19it/s]
(Epoch 13 / 50) Training Accuracy: 0.31105, Validation Accuracy: 0.2641
                            200/200 [00:02<00:00, 69.60it/s]
(Epoch 14 / 50) Training Accuracy: 0.3168, Validation Accuracy: 0.2653
                               200/200 [00:03<00:00, 66.11it/s]
(Epoch 15 / 50) Training Accuracy: 0.3217, Validation Accuracy: 0.2681
                               200/200 [00:03<00:00, 57.91it/s]
(Epoch 16 / 50) Training Accuracy: 0.3307, Validation Accuracy: 0.2699
                                200/200 [00:03<00:00, 65.62it/s]
(Epoch 17 / 50) Training Accuracy: 0.33835, Validation Accuracy: 0.2696
                        200/200 [00:03<00:00, 66.66it/s]
(Epoch 18 / 50) Training Accuracy: 0.34565, Validation Accuracy: 0.2737
                               200/200 [00:07<00:00, 27.77it/s]
(Epoch 19 / 50) Training Accuracy: 0.3495, Validation Accuracy: 0.2729
                   200/200 [00:03<00:00, 50.86it/s]
(Epoch 20 / 50) Training Accuracy: 0.35565, Validation Accuracy: 0.2758
                              200/200 [00:04<00:00, 49.16it/s]
(Epoch 21 / 50) Training Accuracy: 0.35825, Validation Accuracy: 0.2729
                               200/200 [00:04<00:00, 47.53it/s]
(Epoch 22 / 50) Training Accuracy: 0.36895, Validation Accuracy: 0.278
           200/200 [00:04<00:00, 48.51it/s]
(Epoch 23 / 50) Training Accuracy: 0.3734, Validation Accuracy: 0.2783
                                200/200 [00:03<00:00, 53.55it/s]
(Epoch 24 / 50) Training Accuracy: 0.3756, Validation Accuracy: 0.2768
                           200/200 [00:03<00:00, 53.32it/s]
(Epoch 25 / 50) Training Accuracy: 0.38495, Validation Accuracy: 0.278
                               200/200 [00:03<00:00, 54.42it/s]
(Epoch 26 / 50) Training Accuracy: 0.38415, Validation Accuracy: 0.2757
                200/200 [00:03<00:00, 56.90it/s]
(Epoch 27 / 50) Training Accuracy: 0.40365, Validation Accuracy: 0.2804
                              200/200 [00:03<00:00, 52.74it/s]
(Epoch 28 / 50) Training Accuracy: 0.40105, Validation Accuracy: 0.2812
                 200/200 [00:03<00:00, 53.45it/s]
(Epoch 29 / 50) Training Accuracy: 0.40885, Validation Accuracy: 0.2773
                                 200/200 [00:03<00:00, 50.98it/s]
```

```
(Epoch 30 / 50) Training Accuracy: 0.4163, Validation Accuracy: 0.2803
           200/200 [00:03<00:00, 54.28it/s]
(Epoch 31 / 50) Training Accuracy: 0.41745, Validation Accuracy: 0.2838
                                200/200 [00:03<00:00, 53.91it/s]
(Epoch 32 / 50) Training Accuracy: 0.42125, Validation Accuracy: 0.2758
                          200/200 [00:03<00:00, 54.84it/s]
(Epoch 33 / 50) Training Accuracy: 0.433, Validation Accuracy: 0.2777
                                200/200 [00:03<00:00, 53.88it/s]
(Epoch 34 / 50) Training Accuracy: 0.4322, Validation Accuracy: 0.2782
                200/200 [00:03<00:00, 54.45it/s]
(Epoch 35 / 50) Training Accuracy: 0.44095, Validation Accuracy: 0.2753
                               200/200 [00:03<00:00, 52.21it/s]
(Epoch 36 / 50) Training Accuracy: 0.4517, Validation Accuracy: 0.2783
                200/200 [00:03<00:00, 52.63it/s]
(Epoch 37 / 50) Training Accuracy: 0.4583, Validation Accuracy: 0.2759
                               200/200 [00:03<00:00, 51.98it/s]
(Epoch 38 / 50) Training Accuracy: 0.4637, Validation Accuracy: 0.2815
                                200/200 [00:03<00:00, 54.39it/s]
(Epoch 39 / 50) Training Accuracy: 0.4642, Validation Accuracy: 0.2808
                               200/200 [00:03<00:00, 54.69it/s]
(Epoch 40 / 50) Training Accuracy: 0.47055, Validation Accuracy: 0.2784
                                200/200 [00:03<00:00, 53.67it/s]
(Epoch 41 / 50) Training Accuracy: 0.4684, Validation Accuracy: 0.2747
                 200/200 [00:03<00:00, 51.42it/s]
(Epoch 42 / 50) Training Accuracy: 0.4795, Validation Accuracy: 0.2758
                               200/200 [00:03<00:00, 53.20it/s]
(Epoch 43 / 50) Training Accuracy: 0.48745, Validation Accuracy: 0.2793
                   200/200 [00:03<00:00, 55.02it/s]
(Epoch 44 / 50) Training Accuracy: 0.49715, Validation Accuracy: 0.2751
                               200/200 [00:05<00:00, 35.72it/s]
(Epoch 45 / 50) Training Accuracy: 0.49545, Validation Accuracy: 0.2736
                               200/200 [00:11<00:00, 16.92it/s]
(Epoch 46 / 50) Training Accuracy: 0.50175, Validation Accuracy: 0.2767
        200/200 [00:03<00:00, 51.02it/s]
(Epoch 47 / 50) Training Accuracy: 0.51565, Validation Accuracy: 0.2704
                               200/200 [00:03<00:00, 54.71it/s]
(Epoch 48 / 50) Training Accuracy: 0.51875, Validation Accuracy: 0.2786
                       200/200 [00:03<00:00, 54.00it/s]
(Epoch 49 / 50) Training Accuracy: 0.5235, Validation Accuracy: 0.2818
                               200/200 [00:03<00:00, 53.46it/s]
(Epoch 50 / 50) Training Accuracy: 0.52375, Validation Accuracy: 0.2779
Training with SGD plus Weight Decay...
                                         | 6/200 [00:00<00:03, 51.58it/s]
 3%
(Iteration 1 / 10000) Average loss: 3.333215453908898
                200/200 [00:03<00:00, 52.33it/s]
(Epoch 1 / 50) Training Accuracy: 0.148, Validation Accuracy: 0.1458
               200/200 [00:03<00:00, 55.20it/s]
(Epoch 2 / 50) Training Accuracy: 0.186, Validation Accuracy: 0.1822
```

```
200/200 [00:03<00:00, 55.44it/s]
(Epoch 3 / 50) Training Accuracy: 0.2073, Validation Accuracy: 0.2027
                               200/200 [00:03<00:00, 54.37it/s]
(Epoch 4 / 50) Training Accuracy: 0.22575, Validation Accuracy: 0.2101
                               200/200 [00:03<00:00, 54.41it/s]
(Epoch 5 / 50) Training Accuracy: 0.2345, Validation Accuracy: 0.2223
                               200/200 [00:03<00:00, 53.52it/s]
(Epoch 6 / 50) Training Accuracy: 0.24915, Validation Accuracy: 0.2338
                            200/200 [00:03<00:00, 54.09it/s]
(Epoch 7 / 50) Training Accuracy: 0.2584, Validation Accuracy: 0.2451
                                200/200 [00:03<00:00, 53.34it/s]
(Epoch 8 / 50) Training Accuracy: 0.2651, Validation Accuracy: 0.2488
                200/200 [00:03<00:00, 53.52it/s]
(Epoch 9 / 50) Training Accuracy: 0.2648, Validation Accuracy: 0.2471
                               200/200 [00:03<00:00, 54.55it/s]
(Epoch 10 / 50) Training Accuracy: 0.27685, Validation Accuracy: 0.2558
     200/200 [00:03<00:00, 55.39it/s]
(Epoch 11 / 50) Training Accuracy: 0.2792, Validation Accuracy: 0.2583
                              200/200 [00:03<00:00, 55.99it/s]
(Epoch 12 / 50) Training Accuracy: 0.28575, Validation Accuracy: 0.2646
               200/200 [00:03<00:00, 54.84it/s]
(Epoch 13 / 50) Training Accuracy: 0.2879, Validation Accuracy: 0.2657
                                200/200 [00:03<00:00, 53.89it/s]
(Epoch 14 / 50) Training Accuracy: 0.28865, Validation Accuracy: 0.2664
100% 200/200 [00:04<00:00, 48.30it/s]
(Epoch 15 / 50) Training Accuracy: 0.29545, Validation Accuracy: 0.2705
                      200/200 [00:04<00:00, 49.71it/s]
(Epoch 16 / 50) Training Accuracy: 0.2964, Validation Accuracy: 0.2737
                                200/200 [00:03<00:00, 54.86it/s]
(Epoch 17 / 50) Training Accuracy: 0.30345, Validation Accuracy: 0.2752
                               200/200 [00:03<00:00, 55.12it/s]
(Epoch 18 / 50) Training Accuracy: 0.30555, Validation Accuracy: 0.276
                                  200/200 [00:03<00:00, 54.97it/s]
(Epoch 19 / 50) Training Accuracy: 0.30715, Validation Accuracy: 0.2821
                  200/200 [00:03<00:00, 55.87it/s]
(Epoch 20 / 50) Training Accuracy: 0.31265, Validation Accuracy: 0.2799
                              200/200 [00:03<00:00, 53.45it/s]
(Epoch 21 / 50) Training Accuracy: 0.31315, Validation Accuracy: 0.2787
               200/200 [00:03<00:00, 52.91it/s]
(Epoch 22 / 50) Training Accuracy: 0.31755, Validation Accuracy: 0.2836
                                    200/200 [00:05<00:00, 35.34it/s]
(Epoch 23 / 50) Training Accuracy: 0.3192, Validation Accuracy: 0.2833
                              200/200 [00:03<00:00, 50.97it/s]
(Epoch 24 / 50) Training Accuracy: 0.31905, Validation Accuracy: 0.2837
                                  200/200 [00:05<00:00, 33.64it/s]
(Epoch 25 / 50) Training Accuracy: 0.32525, Validation Accuracy: 0.2894
                           200/200 [00:03<00:00, 56.23it/s]
(Epoch 26 / 50) Training Accuracy: 0.3238, Validation Accuracy: 0.2895
```

```
200/200 [00:03<00:00, 57.94it/s]
(Epoch 27 / 50) Training Accuracy: 0.33645, Validation Accuracy: 0.2944
                              200/200 [00:03<00:00, 57.15it/s]
(Epoch 28 / 50) Training Accuracy: 0.33645, Validation Accuracy: 0.2941
                               200/200 [00:03<00:00, 54.18it/s]
(Epoch 29 / 50) Training Accuracy: 0.33695, Validation Accuracy: 0.2953
                               200/200 [00:03<00:00, 52.44it/s]
(Epoch 30 / 50) Training Accuracy: 0.3425, Validation Accuracy: 0.3
               200/200 [00:03<00:00, 56.82it/s]
(Epoch 31 / 50) Training Accuracy: 0.3406, Validation Accuracy: 0.2982
                               200/200 [00:03<00:00, 54.06it/s]
(Epoch 32 / 50) Training Accuracy: 0.34505, Validation Accuracy: 0.2949
                200/200 [00:03<00:00, 56.85it/s]
(Epoch 33 / 50) Training Accuracy: 0.34595, Validation Accuracy: 0.3011
                               200/200 [00:03<00:00, 58.36it/s]
(Epoch 34 / 50) Training Accuracy: 0.34755, Validation Accuracy: 0.301
    200/200 [00:03<00:00, 63.70it/s]
(Epoch 35 / 50) Training Accuracy: 0.3548, Validation Accuracy: 0.3012
                              200/200 [00:02<00:00, 66.73it/s]
(Epoch 36 / 50) Training Accuracy: 0.3552, Validation Accuracy: 0.2995
               200/200 [00:03<00:00, 65.89it/s]
(Epoch 37 / 50) Training Accuracy: 0.35525, Validation Accuracy: 0.3034
                               200/200 [00:02<00:00, 67.40it/s]
(Epoch 38 / 50) Training Accuracy: 0.3593, Validation Accuracy: 0.3017
100%| 200/200 [00:03<00:00, 64.43it/s]
(Epoch 39 / 50) Training Accuracy: 0.3648, Validation Accuracy: 0.3048
                      200/200 [00:03<00:00, 65.46it/s]
(Epoch 40 / 50) Training Accuracy: 0.36665, Validation Accuracy: 0.311
                               200/200 [00:02<00:00, 66.71it/s]
(Epoch 41 / 50) Training Accuracy: 0.35765, Validation Accuracy: 0.3068
                              200/200 [00:03<00:00, 65.76it/s]
(Epoch 42 / 50) Training Accuracy: 0.36375, Validation Accuracy: 0.302
                                 200/200 [00:03<00:00, 65.58it/s]
(Epoch 43 / 50) Training Accuracy: 0.3702, Validation Accuracy: 0.3062
                200/200 [00:03<00:00, 65.89it/s]
(Epoch 44 / 50) Training Accuracy: 0.37215, Validation Accuracy: 0.306
                              200/200 [00:02<00:00, 67.60it/s]
(Epoch 45 / 50) Training Accuracy: 0.37475, Validation Accuracy: 0.3037
               200/200 [00:02<00:00, 67.11it/s]
(Epoch 46 / 50) Training Accuracy: 0.37205, Validation Accuracy: 0.3089
       200/200 [00:02<00:00, 68.61it/s]
(Epoch 47 / 50) Training Accuracy: 0.3827, Validation Accuracy: 0.3097
                              200/200 [00:02<00:00, 68.59it/s]
(Epoch 48 / 50) Training Accuracy: 0.38395, Validation Accuracy: 0.313
                                 200/200 [00:02<00:00, 67.41it/s]
(Epoch 49 / 50) Training Accuracy: 0.38155, Validation Accuracy: 0.3131
                           200/200 [00:02<00:00, 66.88it/s]
(Epoch 50 / 50) Training Accuracy: 0.38415, Validation Accuracy: 0.3121
```



## SGD with L1 Regularization [2pts]

With L1 Regularization, your regularized loss becomes  $\tilde{J}_{\ell_1}(\theta)$  and it's defined as

 $\tilde{J}_{\ell_1}(\theta) = J(\theta) + \lambda \|\theta\|_{\ell_1}$ 

where

$$\|\theta\|_{\ell_1} = \sum_{l=1}^n \sum_{k=1}^{n_l} |\theta_{l,k}|$$

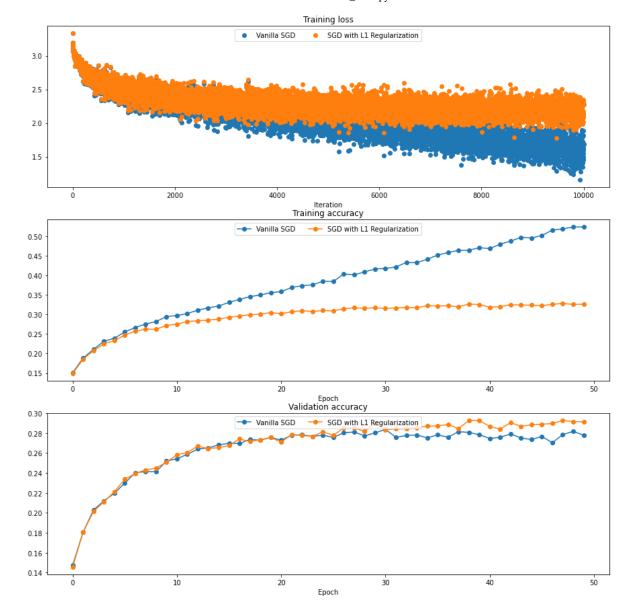
Please implmemt TODO block of apply\_l1\_regularization in lib/layer\_utils . Such regularization funcationality is called after gradient gathering in the backward process.

```
In [73]: reset_seed(seed=seed)
        model_sgd_l1
                       = FullyConnectedNetwork()
        loss f sgd l1
                      = cross entropy()
        optimizer_sgd_l1 = SGD(model_sgd_l1.net, 0.01)
        print ("\nTraining with SGD plus L1 Regularization...")
        opt_params_sgd_l1, loss_hist_sgd_l1, train_acc_hist_sgd_l1, val_acc_hist_sgd_l1= results_sgd_l1
        plt.subplot(3, 1, 1)
        plt.title('Training loss')
        plt.xlabel('Iteration')
        plt.subplot(3, 1, 2)
        plt.title('Training accuracy')
        plt.xlabel('Epoch')
        plt.subplot(3, 1, 3)
        plt.title('Validation accuracy')
        plt.xlabel('Epoch')
        plt.subplot(3, 1, 1)
        plt.plot(loss_hist_sgd, 'o', label="Vanilla SGD")
        plt.subplot(3, 1, 2)
        plt.plot(train_acc_hist_sgd, '-o', label="Vanilla SGD")
        plt.subplot(3, 1, 3)
        plt.plot(val_acc_hist_sgd, '-o', label="Vanilla SGD")
        plt.subplot(3, 1, 1)
        plt.plot(loss hist sgd l1, 'o', label="SGD with L1 Regularization")
        plt.subplot(3, 1, 2)
        plt.plot(train_acc_hist_sgd_l1, '-o', label="SGD with L1 Regularization")
        plt.subplot(3, 1, 3)
        plt.plot(val acc hist sgd l1, '-o', label="SGD with L1 Regularization")
        for i in [1, 2, 3]:
         plt.subplot(3, 1, i)
         plt.legend(loc='upper center', ncol=4)
        plt.gcf().set_size_inches(15, 15)
        plt.show()
```

```
Training with SGD plus L1 Regularization...
 18
                                              | 2/200 [00:00<00:13, 14.34it/s]
(Iteration 1 / 10000) Average loss: 3.333215453908898
                                            200/200 [00:07<00:00, 27.84it/s]
(Epoch 1 / 50) Training Accuracy: 0.1491, Validation Accuracy: 0.1457
                                          200/200 [00:04<00:00, 44.10it/s]
(Epoch 2 / 50) Training Accuracy: 0.1854, Validation Accuracy: 0.1806
                                           200/200 [00:06<00:00, 31.96it/s]
(Epoch 3 / 50) Training Accuracy: 0.20755, Validation Accuracy: 0.2014
                                      200/200 [00:04<00:00, 45.97it/s]
(Epoch 4 / 50) Training Accuracy: 0.22465, Validation Accuracy: 0.2111
                                           200/200 [00:04<00:00, 45.36it/s]
(Epoch 5 / 50) Training Accuracy: 0.2331, Validation Accuracy: 0.2212
                                        200/200 [00:04<00:00, 44.23it/s]
(Epoch 6 / 50) Training Accuracy: 0.24735, Validation Accuracy: 0.2337
                                           200/200 [00:04<00:00, 45.09it/s]
(Epoch 7 / 50) Training Accuracy: 0.25725, Validation Accuracy: 0.2395
                                         200/200 [00:04<00:00, 44.74it/s]
(Epoch 8 / 50) Training Accuracy: 0.26245, Validation Accuracy: 0.2431
                                          200/200 [00:04<00:00, 45.92it/s]
(Epoch 9 / 50) Training Accuracy: 0.26185, Validation Accuracy: 0.2449
                                      200/200 [00:04<00:00, 44.63it/s]
(Epoch 10 / 50) Training Accuracy: 0.27205, Validation Accuracy: 0.251
```

```
200/200 [00:04<00:00, 42.49it/s]
(Epoch 11 / 50) Training Accuracy: 0.27515, Validation Accuracy: 0.2582
                               200/200 [00:04<00:00, 44.56it/s]
(Epoch 12 / 50) Training Accuracy: 0.28195, Validation Accuracy: 0.2606
                                200/200 [00:04<00:00, 47.39it/s]
(Epoch 13 / 50) Training Accuracy: 0.2838, Validation Accuracy: 0.267
                                 200/200 [00:04<00:00, 46.88it/s]
(Epoch 14 / 50) Training Accuracy: 0.2854, Validation Accuracy: 0.2645
                200/200 [00:04<00:00, 46.96it/s]
(Epoch 15 / 50) Training Accuracy: 0.2883, Validation Accuracy: 0.2655
                                200/200 [00:04<00:00, 46.07it/s]
(Epoch 16 / 50) Training Accuracy: 0.2926, Validation Accuracy: 0.2676
                 200/200 [00:04<00:00, 47.03it/s]
(Epoch 17 / 50) Training Accuracy: 0.296, Validation Accuracy: 0.2742
                               200/200 [00:04<00:00, 42.79it/s]
(Epoch 18 / 50) Training Accuracy: 0.2991, Validation Accuracy: 0.2715
     200/200 [00:04<00:00, 46.50it/s]
(Epoch 19 / 50) Training Accuracy: 0.30085, Validation Accuracy: 0.2734
                              200/200 [00:04<00:00, 47.01it/s]
(Epoch 20 / 50) Training Accuracy: 0.30465, Validation Accuracy: 0.2756
               200/200 [00:04<00:00, 46.79it/s]
(Epoch 21 / 50) Training Accuracy: 0.30195, Validation Accuracy: 0.271
                                200/200 [00:04<00:00, 44.66it/s]
(Epoch 22 / 50) Training Accuracy: 0.3069, Validation Accuracy: 0.2786
100% 200/200 [00:04<00:00, 46.64it/s]
(Epoch 23 / 50) Training Accuracy: 0.30985, Validation Accuracy: 0.2776
                       200/200 [00:04<00:00, 43.46it/s]
(Epoch 24 / 50) Training Accuracy: 0.30745, Validation Accuracy: 0.2768
                                200/200 [00:04<00:00, 44.85it/s]
(Epoch 25 / 50) Training Accuracy: 0.3103, Validation Accuracy: 0.2814
                               200/200 [00:04<00:00, 46.29it/s]
(Epoch 26 / 50) Training Accuracy: 0.3091, Validation Accuracy: 0.2778
                                  200/200 [00:04<00:00, 41.95it/s]
(Epoch 27 / 50) Training Accuracy: 0.31465, Validation Accuracy: 0.2853
                   200/200 [00:04<00:00, 46.83it/s]
(Epoch 28 / 50) Training Accuracy: 0.31695, Validation Accuracy: 0.2851
                               200/200 [00:04<00:00, 47.28it/s]
(Epoch 29 / 50) Training Accuracy: 0.3157, Validation Accuracy: 0.2819
               200/200 [00:04<00:00, 45.42it/s]
(Epoch 30 / 50) Training Accuracy: 0.31705, Validation Accuracy: 0.2901
                                    200/200 [00:04<00:00, 47.27it/s]
(Epoch 31 / 50) Training Accuracy: 0.3152, Validation Accuracy: 0.2835
                               200/200 [00:04<00:00, 47.28it/s]
(Epoch 32 / 50) Training Accuracy: 0.3168, Validation Accuracy: 0.2843
                                  200/200 [00:04<00:00, 46.92it/s]
(Epoch 33 / 50) Training Accuracy: 0.31745, Validation Accuracy: 0.2843
                            200/200 [00:04<00:00, 47.60it/s]
(Epoch 34 / 50) Training Accuracy: 0.31705, Validation Accuracy: 0.2855
```

(Epoch 50 / 50) Training Accuracy: 0.3262, Validation Accuracy: 0.2915



## SGD with L2 Regularization [2pts]

With L2 Regularization, your regularized loss becomes  $\tilde{J}_{\ell_2}(\theta)$  and it's defined as

 $\tilde{J}_{\ell_2}(\theta) = J(\theta) + \lambda \|\theta\|_{\ell_2}$ 

where

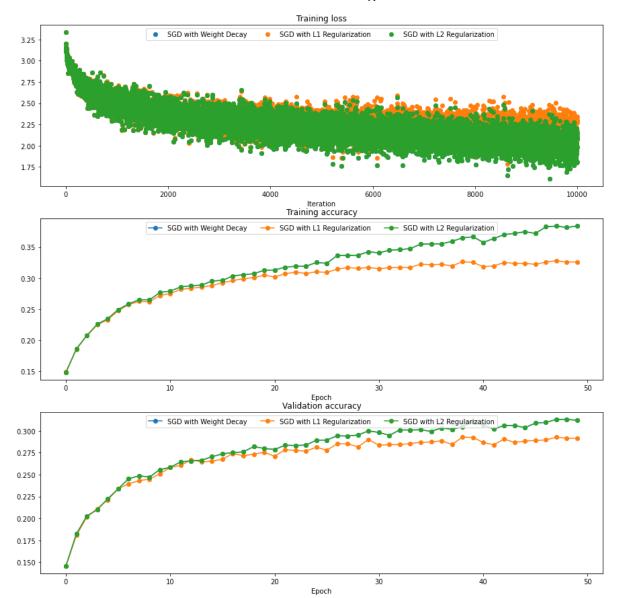
$$\|\theta\|_{\ell_2} = \sum_{l=1}^n \sum_{k=1}^{n_l} \theta_{l,k}^2$$

Similarly, implment TODO block of apply\_12\_regularization in lib/layer\_utils . For SGD, you're also asked to find the  $\lambda$  for L2 Regularization such that it achieves the EXACTLY SAME effect as weight decay in the previous cells. As a reminder, learning rate is the same as previously, and the weight decay paramter was 1e-4.

```
In [75]: reset_seed(seed=seed)
                       = FullyConnectedNetwork()
        model_sgd_12
        loss f sgd 12
                       = cross entropy()
        optimizer_sgd_12 = SGD(model_sgd_12.net, 0.01)
        #### Find lambda for L2 regularization so that
        #### it achieves EXACTLY THE SAME learning curve as weight decay ####
        12 \ lambda = 0.01
        print ("\nTraining with SGD plus L2 Regularization...")
        results_sgd_12 = train_net(small_data_dict, model_sgd_12, loss_f_sgd_12, optimizer_sgd_12, batch_size=100,
                                 max_epochs=50, show_every=10000, verbose=False, regularization="12", reg_lambda=12_lambda)
        opt_params_sgd_12, loss_hist_sgd_12, train_acc_hist_sgd_12, val_acc_hist_sgd_12 = results_sgd_12
        plt.subplot(3, 1, 1)
        plt.title('Training loss')
        plt.xlabel('Iteration')
        plt.subplot(3, 1, 2)
        plt.title('Training accuracy')
        plt.xlabel('Epoch')
        plt.subplot(3, 1, 3)
        plt.title('Validation accuracy')
        plt.xlabel('Epoch')
        plt.subplot(3, 1, 1)
        plt.plot(loss_hist_sgdw, 'o', label="SGD with Weight Decay")
        plt.subplot(3, 1, 2)
        plt.plot(train_acc_hist_sgdw, '-o', label="SGD with Weight Decay")
        plt.subplot(3, 1, 3)
        plt.plot(val_acc_hist_sgdw, '-o', label="SGD with Weight Decay")
        plt.subplot(3, 1, 1)
        plt.plot(loss_hist_sgd_l1, 'o', label="SGD with L1 Regularization")
        plt.subplot(3, 1, 2)
        plt.plot(train_acc_hist_sgd_l1, '-o', label="SGD with L1 Regularization")
        plt.subplot(3, 1, 3)
        plt.plot(val_acc_hist_sgd_l1, '-o', label="SGD with L1 Regularization")
        plt.subplot(3, 1, 1)
        plt.plot(loss_hist_sgd_12, 'o', label="SGD with L2 Regularization")
        plt.subplot(3, 1, 2)
        plt.plot(train_acc_hist_sgd_l2, '-o', label="SGD with L2 Regularization")
        plt.subplot(3, 1, 3)
        plt.plot(val_acc_hist_sgd_l2, '-o', label="SGD with L2 Regularization")
        for i in [1, 2, 3]:
         plt.subplot(3, 1, i)
          plt.legend(loc='upper center', ncol=4)
        plt.gcf().set_size_inches(15, 15)
        plt.show()
```

Training with SGD plus L2 Regularization...

100%	200/200 [00:04<00:00, 47.27it/s]
100%	200/200 [00:04<00:00, 45.59it/s]
100%	200/200 [00:04<00:00, 42.78it/s]
100%	200/200 [00:04<00:00, 45.22it/s]
100%	200/200 [00:04<00:00, 44.34it/s]
100%	200/200 [00:03<00:00, 52.15it/s]
100%	200/200 [00:04<00:00, 47.68it/s]
100%	200/200 [00:03<00:00, 51.71it/s]
100%	200/200 [00:03<00:00, 51.49it/s]
100%	200/200 [00:03<00:00, 51.16it/s]
100%	200/200 [00:04<00:00, 49.37it/s]
100%	200/200 [00:03<00:00, 51.09it/s]
100%	200/200 [00:04<00:00, 49.50it/s]
100%	200/200 [00:03<00:00, 51.87it/s]
100%	200/200 [00:03<00:00, 51.73it/s]
100%	200/200 [00:04<00:00, 49.71it/s]
100%	200/200 [00:03<00:00, 52.21it/s]
100%	200/200 [00:03<00:00, 50.88it/s]
100%	200/200 [00:03<00:00, 52.45it/s]
100%	200/200 [00:03<00:00, 50.21it/s]
100%	200/200 [00:03<00:00, 52.42it/s]
100%	200/200 [00:04<00:00, 47.88it/s]
100%	200/200 [00:03<00:00, 52.49it/s]
100%	200/200 [00:03<00:00, 50.79it/s]
100%	200/200 [00:03<00:00, 52.06it/s]
100%	200/200 [00:03<00:00, 52.45it/s]
100%	200/200 [00:03<00:00, 51.53it/s]
100%	200/200 [00:03<00:00, 51.99it/s]
100%	200/200 [00:03<00:00, 52.13it/s]
100%	200/200 [00:03<00:00, 52.64it/s]
100%	200/200 [00:03<00:00, 50.21it/s]
100%	200/200 [00:03<00:00, 52.92it/s] 200/200 [00:03<00:00, 52.02it/s]
100%	
100%	200/200 [00:03<00:00, 50.44it/s] 200/200 [00:03<00:00, 52.04it/s]
100%	200/200 [00:03<00:00, 52.041t/s]
100%	200/200 [00:03<00:00, 52.621t/s]
100%	200/200 [00:03<00:00, 51:501c/s]
100%	200/200 [00:03<00:00, 53:351t/s]
100%	200/200 [00:03<00:00, 50:901c/s]
100%	200/200 [00:03<00:00, 52:531t/s]
100%	200/200 [00:03<00:00, 52:321t/s]
100%	200/200 [00:03<00:00, 33.221t/s]
100%	200/200 [00:04<00:00, 43.741t/s]
100%	200/200 [00:03<00:00, 53:041c/s]
100%	200/200 [00:03<00:00, 51.991c/s]
100%	200/200 [00:03<00:00, 51:291c/s]
100%	200/200 [00:03<00:00, 50:251c/s]
100%	200/200 [00:03<00:00, 50:191t/s]
100%	200/200 [00:03<00:00, 53.42it/s]



## Adam [2pt]

The update rule of Adam is as shown below:

$$t = t + 1$$

$$g_t : \text{gradients at update step } t$$

$$m_t = \beta_1 m_{t-1} + (1 - \beta_1) g_t$$

$$v_t = \beta_2 v_{t-1} + (1 - \beta_2) g_t^2$$

$$\hat{m}_t = m_t / (1 - \beta_1^t)$$

$$\hat{v}_t = v_t / (1 - \beta_2^t)$$

$$\theta_{t+1} = \theta_t - \frac{\eta \hat{m}_t}{\sqrt{\hat{v}_t} + \epsilon}$$

Complete the Adam() function in lib/optim.py Important Notes:

1) t must be updated before everything else 2)  $\beta_1^t$  is  $\beta_1$  exponentiated to the t'th power 3) You should also enable weight decay in Adam, similar to what you did in SGD

```
In [76]: %reload_ext autoreload
         seed = 1234
         np.random.seed(seed=seed)
         # Test Adam implementation; you should see errors around 1e-7 or less
         N, D = 4, 5
         test_adam = sequential(fc(N, D, name="adam_fc"))
         w = np.linspace(-0.4, 0.6, num=N*D).reshape(N, D)
         dw = np.linspace(-0.6, 0.4, num=N*D).reshape(N, D)
         m = np.linspace(0.6, 0.9, num=N*D).reshape(N, D)
         v = np.linspace(0.7, 0.5, num=N*D).reshape(N, D)
         test adam.layers[0].params = { "adam fc w": w}
         test_adam.layers[0].grads = {"adam_fc_w": dw}
         opt_adam = Adam(test_adam, 1e-2, 0.9, 0.999, t=5)
         opt adam.mt = {"adam fc w": m}
         opt_adam.vt = {"adam_fc_w": v}
         opt_adam.step()
         updated_w = test_adam.layers[0].params["adam_fc_w"]
         mt = opt_adam.mt["adam_fc_w"]
         vt = opt_adam.vt["adam_fc_w"]
         expected updated w = np.asarray([
          [-0.40094747, -0.34836187, -0.29577703, -0.24319299, -0.19060977],
[-0.1380274, -0.08544591, -0.03286534, 0.01971428, 0.0722929],
          [ 0.1248705, 0.17744702, 0.23002243, 0.28259667, 0.33516969], [ 0.38774145, 0.44031188, 0.49288093, 0.54544852, 0.59801459]])
         expected_v = np.asarray([
          [ 0.54159906, 0.53110598, 0.52061845, 0.51013645, 0.49966,
         expected_m = np.asarray([
          [ 0.77210526, 0.79157895, 0.81105263, 0.83052632, 0.85
         print ('The following errors should be around or less than 1e-7')
         print ('updated w error: ', rel error(expected updated w, updated w))
         print ('mt error: ', rel_error(expected_m, mt))
         print ('vt error: ', rel_error(expected_v, vt))
         The following errors should be around or less than 1e-7
```

mt error: 4.214963193114416e-09
vt error: 4.208314038113071e-09

## Comparing the Weight Decay v.s. L2 Regularization in Adam [5pt]

Run the following code block to compare the plotted results between effects of weight decay and L2 regularization on Adam. Are they still the same? (we can make them the same as in SGD, can we also do it in Adam?)

No, because SGD and Adam have different update rules, we can't make them the same.

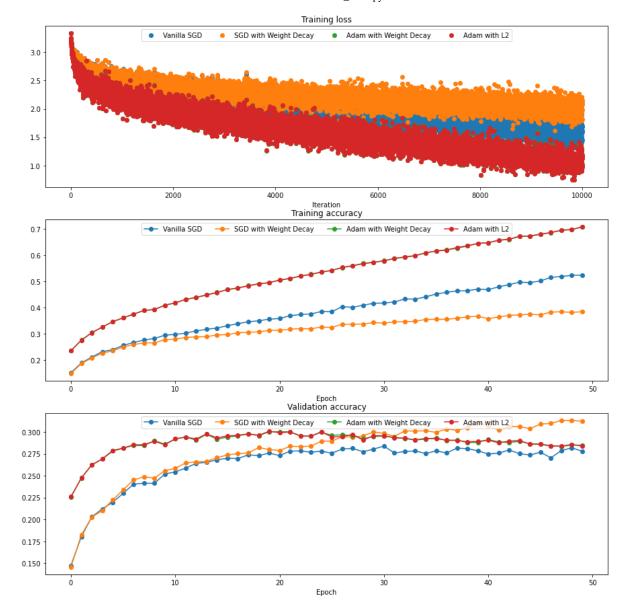
```
In [77]: seed = 1234
         reset_seed(seed)
         model adam wd
                            = FullyConnectedNetwork()
         loss_f_adam_wd
                            = cross entropy()
         optimizer adam wd = Adam(model adam wd.net, lr=1e-4, weight decay=1e-6)
         print ("Training with AdamW...")
         results_adam_wd = train_net(small_data_dict, model_adam_wd, loss_f_adam_wd, optimizer_adam_wd, batch_size=100,
                                 max_epochs=50, show_every=10000, verbose=False)
         reset_seed(seed)
         model_adam_12
                            = FullyConnectedNetwork()
                            = cross_entropy()
         loss_f_adam_12
         optimizer adam 12 = Adam(model adam 12.net, lr=1e-4)
         reg_lambda_12 = 1e-4
         print ("\nTraining with Adam + L2...")
         results_adam_12 = train_net(small_data_dict, model_adam_12, loss_f_adam_12, optimizer_adam_12, batch_size=100,
                                  max epochs=50, show every=10000, verbose=False, regularization='12', reg lambda=reg lambda 12
         opt_params_adam_wd, loss_hist_adam_wd, train_acc_hist_adam_wd, val_acc_hist_adam_wd = results_adam_wd
         opt_params_adam_12, loss_hist_adam_12, train_acc_hist_adam_12, val_acc_hist_adam_12 = results_adam_12
         plt.subplot(3, 1, 1)
         plt.title('Training loss')
         plt.xlabel('Iteration')
         plt.subplot(3, 1, 2)
         plt.title('Training accuracy')
         plt.xlabel('Epoch')
         plt.subplot(3, 1, 3)
         plt.title('Validation accuracy')
         plt.xlabel('Epoch')
         plt.subplot(3, 1, 1)
         plt.plot(loss_hist_sgd, 'o', label="Vanilla SGD")
         plt.subplot(3, 1, 2)
         plt.plot(train_acc_hist_sgd, '-o', label="Vanilla SGD")
         plt.subplot(3, 1, 3)
         plt.plot(val_acc_hist_sgd, '-o', label="Vanilla SGD")
         plt.subplot(3, 1, 1)
         plt.plot(loss_hist_sgdw, 'o', label="SGD with Weight Decay")
         plt.subplot(3, 1, 2)
         plt.plot(train_acc_hist_sgdw, '-o', label="SGD with Weight Decay")
         plt.subplot(3, 1, 3)
         plt.plot(val acc hist sgdw, '-o', label="SGD with Weight Decay")
         plt.subplot(3, 1, 1)
         plt.plot(loss_hist_adam_wd, 'o', label="Adam with Weight Decay")
         plt.subplot(3, 1, 2)
         plt.plot(train_acc_hist_adam_wd, '-o', label="Adam with Weight Decay")
         plt.subplot(3, 1, 3)
         plt.plot(val_acc_hist_adam_wd, '-o', label="Adam with Weight Decay")
         plt.subplot(3, 1, 1)
         plt.plot(loss_hist_adam_12, 'o', label="Adam with L2")
         plt.subplot(3, 1, 2)
         plt.plot(train_acc_hist_adam_l2, '-o', label="Adam with L2")
         plt.subplot(3, 1, 3)
         plt.plot(val_acc_hist_adam_12, '-o', label="Adam with L2")
         for i in [1, 2, 3]:
          plt.subplot(3, 1, i)
          plt.legend(loc='upper center', ncol=4)
         plt.gcf().set_size_inches(15, 15)
         plt.show()
```

Training with AdamW...

100%	200/200 [00:05<00:00, 39.64it/s]
100%	200/200 [00:04<00:00, 40.30it/s]
100%	200/200 [00:04<00:00, 40.29it/s]
100%	200/200 [00:05<00:00, 36.85it/s]
100%	200/200 [00:05<00:00, 38.59it/s]
100%	200/200 [00:05<00:00, 38.20it/s]
100%	200/200 [00:04<00:00, 40.37it/s]
100%	200/200 [00:05<00:00, 39.79it/s]
100%	200/200 [00:05<00:00, 38.68it/s]
100%	200/200 [00:05<00:00, 38.37it/s]
100%	200/200 [00:05<00:00, 38.90it/s]
100%	200/200 [00:05<00:00, 36.98it/s]
100%	200/200 [00:05<00:00, 39.97it/s]
100%	200/200 [00:05<00:00, 38.59it/s]
100%	200/200 [00:05<00:00, 39.32it/s]
100%	200/200 [00:05<00:00, 38.97it/s]
100%	200/200 [00:05<00:00, 39.37it/s]
100%	200/200 [00:05<00:00, 38.81it/s]
100%	200/200 [00:05<00:00, 39.74it/s]
100%	200/200 [00:05<00:00, 38.36it/s]
100%	200/200 [00:05<00:00, 38.13it/s]
100%	200/200 [00:05<00:00, 39.17it/s]
100%	200/200 [00:05<00:00, 39.02it/s]
100%	200/200 [00:05<00:00, 38.89it/s]
100%	200/200 [00:05<00:00, 38.29it/s]
100%	200/200 [00:05<00:00, 39.28it/s]
100%	200/200 [00:04<00:00, 40.06it/s]
100%	200/200 [00:05<00:00, 38.85it/s]
100%	200/200 [00:05<00:00, 39.00it/s]
100%	200/200 [00:05<00:00, 39.19it/s]
100%	200/200 [00:04<00:00, 40.07it/s]
100%	200/200 [00:04<00:00, 40.08it/s]
100%	200/200 [00:05<00:00, 38.36it/s]
100%	200/200 [00:04<00:00, 40.13it/s]
100%	200/200 [00:05<00:00, 39.68it/s]
100%	200/200 [00:05<00:00, 38.61it/s]
100%	200/200 [00:05<00:00, 39.28it/s]
100%	200/200 [00:05<00:00, 37.15it/s]
100%	200/200 [00:05<00:00, 36.66it/s]
100%	200/200 [00:05<00:00, 37.85it/s]
100%	200/200 [00:05<00:00, 38.79it/s]
100%	200/200 [00:05<00:00, 36.95it/s]
100%	200/200 [00:05<00:00, 38.92it/s]
100%	200/200 [00:05<00:00, 38.57it/s]
100%	200/200 [00:05<00:00, 39.50it/s]
100%	200/200 [00:05<00:00, 38.11it/s]
100%	200/200 [00:05<00:00, 39.32it/s]
100%	200/200 [00:05<00:00, 39.19it/s]
100%	200/200 [00:05<00:00, 37.99it/s]
100%	200/200 [00:05<00:00, 38.24it/s]

Training with Adam + L2...

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## **Submission**

Please prepare a PDF document <code>problem\_l\_solution.pdf</code> in the root directory of this repository with all plots and inline answers of your solution. Concretely, the document should contain the following items in strict order:

- 1. Training loss / accuracy curves for the simple neural network training with > 30% validation accuracy
- 2. Plots for comparing vanilla SGD to SGD + Weight Decay, SGD + L1 and SGD + L2
- 3. "Comparing different Regularizations" plots

Note that you still need to submit the jupyter notebook with all generated solutions. We will randomly pick submissions and check that the plots in the PDF and in the notebook are equivalent.