SageMaker Pipelines California Housing - Taking different steps based on model performance

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This notebook illustrates how to take different actions based on model performance in a SageMaker Pipeline.

The steps in this pipeline include: * Preprocessing the California Housing dataset. * Train a TensorFlow2 Artificial Neural Network (ANN) Model. * Evaluate the model performance - mean square error (MSE). * If MSE is higher than threshold, use a Lambda step to send an E-Mail to the Data Science team. * If MSE is lower than threshold, register the model into the Model Registry, and use a Lambda step to deploy the model to SageMaker Endpoint.

Prerequisites

Add AmazonSageMakerPipelinesIntegrations policy

The notebook execution role should have policies which enable the notebook to create a Lambda function. The Amazon managed policy AmazonSageMakerPipelinesIntegrations can be added to the notebook execution role.

The policy description is:

```
"Version": "2012-10-17",
    "Statement": [
        {
            "Effect": "Allow",
            "Action": [
                "lambda:CreateFunction",
                "lambda:DeleteFunction",
                "lambda:InvokeFunction",
                "lambda:UpdateFunctionCode"
            ],
            "Resource": [
                "arn:aws:lambda:*:*:function:*sagemaker*",
                "arn:aws:lambda:*:*:function:*sageMaker*",
                "arn:aws:lambda:*:*:function:*SageMaker*"
            ]
        },
            "Effect": "Allow",
            "Action": [
                "sqs:CreateQueue",
                "sqs:SendMessage"
            ],
            "Resource": [
                "arn:aws:sqs:*:*:*sagemaker*",
                "arn:aws:sqs:*:*:*sageMaker*",
                "arn:aws:sqs:*:*:*SageMaker*"
            ]
        },
            "Effect": "Allow",
            "Action": [
                "iam:PassRole"
            "Resource": "arn:aws:iam::*:role/*",
            "Condition": {
                "StringEquals": {
                    "iam:PassedToService": [
                         "lambda.amazonaws.com"
                }
            }
        }
    ]
}
```

Add inline policy to enable creation of IAM role required for the Lambda Function

The notebook execution role should have an inline policy which enable the notebook to create the IAM role required for the Lambda function. An inline policy can be added to the notebook execution role.

The policy description is:

```
[]: import sys
     !{sys.executable} -m pip install "sagemaker>=2.51.0"
[]: import os
     import time
     import boto3
     import numpy as np
     import pandas as pd
     from sklearn.model_selection import train_test_split
     import sagemaker
     from sagemaker import get_execution_role
[]: sess = boto3.Session()
     sm = sess.client("sagemaker")
     role = get_execution_role()
     sagemaker_session = sagemaker.Session(boto_session=sess)
    bucket = sagemaker_session.default_bucket()
     region = boto3.Session().region_name
    model_package_group_name = "TF2-California-Housing" # Model name in model registry
     prefix = "tf2-california-housing-pipelines"
     pipeline_name = "TF2CaliforniaHousingPipeline" # SageMaker Pipeline name
     current_time = time.strftime("%m-%d-%H-%M-%S", time.localtime())
```

Download California Housing dataset and upload to Amazon S3

We use the California housing dataset.

More info on the dataset:

This dataset was obtained from the StatLib repository. http://lib.stat.cmu.edu/datasets/

The target variable is the median house value for California districts.

This dataset was derived from the 1990 U.S. census, using one row per census block group. A block group is the smallest geographical unit for which the U.S. Census Bureau publishes sample data (a block group typically has a population of 600 to 3,000 people).

```
[ ]: data_dir = os.path.join(os.getcwd(), "data")
    os.makedirs(data_dir, exist_ok=True)
     raw_dir = os.path.join(os.getcwd(), "data/raw")
    os.makedirs(raw_dir, exist_ok=True)
[]: !aws s3 cp s3://sagemaker-sample-files/datasets/tabular/california_housing
     /cal_housing.tgz .
[]: !tar -zxf cal_housing.tgz
[ ]: columns = [
        "longitude",
         "latitude",
         "housingMedianAge",
         "totalRooms",
         "totalBedrooms",
         "population",
         "households",
         "medianIncome",
         "medianHouseValue",
    ]
    cal_housing_df = pd.read_csv("CaliforniaHousing/cal_housing.data", names=columns,
    header=None)
[ ]: cal_housing_df.head()
[]:
```

```
X = cal_housing_df[
[ ]: from sagemaker.workflow.parameters import ParameterInteger, ParameterString,
     ParameterFloat
     # raw input data
     input_data = ParameterString(name="InputData", default_value=raw_s3)
    # processing step parameters
     processing_instance_type = ParameterString(
         name="ProcessingInstanceType", default_value="ml.m5.large"
    )
    # training step parameters
    training_instance_type = ParameterString(name="TrainingInstanceType",
    default_value="ml.m5.large")
    training_epochs = ParameterString(name="TrainingEpochs", default_value="100")
    # model performance step parameters
    accuracy_mse_threshold = ParameterFloat(name="AccuracyMseThreshold",
    default_value=0.75)
    # Inference step parameters
    endpoint_instance_type = ParameterString(name="EndpointInstanceType",
    default_value="ml.m5.large")
```

Processing Step

The first step in the pipeline will preprocess the data to prepare it for training. We create a SKLearnProcessor object similar to the one above, but now parameterized, so we can separately track and change the job configuration as needed, for example to increase the instance type size and count to accommodate a growing dataset.

```
%%writefile preprocess.py
     import glob
     import numpy as np
     import os
     from sklearn.preprocessing import StandardScaler
     if name == " main ":
         input_files = glob.glob("{}/*.npy".format("/opt/ml/processing/input"))
         print("\nINPUT FILE LIST: \n{}\n".format(input_files))
         scaler = StandardScaler()
        x_train = np.load(os.path.join("/opt/ml/processing/input", "x_train.npy"))
         scaler.fit(x_train)
         for file in input_files:
[ ]: from sagemaker.sklearn.processing import SKLearnProcessor
     from sagemaker.processing import ProcessingInput, ProcessingOutput
     from sagemaker.workflow.steps import ProcessingStep
     framework_version = "0.23-1"
     # Create SKlearn processor object,
    # The object contains information about what instance type to use, the IAM role to use
    etc.
    # A managed processor comes with a preconfigured container, so only specifying version
    is required.
     sklearn_processor = SKLearnProcessor(
         framework_version=framework_version,
         role=role,
         instance_type=processing_instance_type,
         instance count=1,
         base_job_name="tf2-california-housing-processing-job",
     )
     # Use the sklearn processor in a Sagemaker pipelines ProcessingStep
     step_preprocess_data = ProcessingStep(
         name="Preprocess-California-Housing-Data",
         processor=sklearn_processor,
         inputs=[
             ProcessingInput(source=input_data, destination="/opt/ml/processing/input"),
         ],
         outputs=[
             ProcessingOutput(output_name="train", source="/opt/ml/processing/train"),
             ProcessingOutput(output_name="test", source="/opt/ml/processing/test"),
         code="preprocess.py",
     )
```

Train model step

In the second step, the train and validation output from the precious processing step are used to train a model.

[]:

```
from sagemaker.tensorflow import TensorFlow
from sagemaker.inputs import TrainingInput
from sagemaker.workflow.steps import TrainingStep
from sagemaker.workflow.step_collections import RegisterModel
import time
# Where to store the trained model
model_path = f"s3://{bucket}/{prefix}/model/"
hyperparameters = {"epochs": training_epochs}
tensorflow_version = "2.4.1"
python_version = "py37"
tf2_estimator = TensorFlow(
    source_dir="code",
    entry_point="train.py",
    instance_type=training_instance_type,
    instance_count=1,
    framework_version=tensorflow_version,
    role=role,
    base_job_name="tf2-california-housing-train",
    output_path=model_path,
    hyperparameters=hyperparameters,
    py_version=python_version,
)
# Use the tf2_estimator in a Sagemaker pipelines ProcessingStep.
# NOTE how the input to the training job directly references the output of the
previous step.
step_train_model = TrainingStep(
    name="Train-California-Housing-Model",
    estimator=tf2_estimator,
    inputs={
        "train": TrainingInput(
            s3_data=step_preprocess_data.properties.ProcessingOutputConfig.Outputs[
                "train"
            ].S30utput.S3Uri,
            content_type="text/csv",
        ),
        "test": TrainingInput(
            s3_data=step_preprocess_data.properties.ProcessingOutputConfig.Outputs[
                "test"
            ].S30utput.S3Uri,
            content_type="text/csv",
        ),
```

Evaluate model step

When a model is trained, it's common to evaluate the model on unseen data before registering it with the model registry. This ensures the model registry isn't cluttered with poorly performing model versions. To evaluate the model, create a ScriptProcessor object and use it in a ProcessingStep.

Note that a separate preprocessed test dataset is used to evaluate the model, and not the output of the processing step. This is only for demo purposes, to ensure the second run of the

pipeline creates a model with better performance. In a real-world scenario, the test output of the processing step would be used.

```
[]: %writefile evaluate.py
     import os
     import json
     import subprocess
     import sys
     import numpy as np
     import pathlib
     import tarfile
    def install(package):
         subprocess.check_call([sys.executable, "-m", "pip", "install", package])
     if name == " main ":
         install("tensorflow==2.4.1")
         model_path = f"/opt/ml/processing/model.tar.gz"
         with tarfile.open(model_path, "r:gz") as tar:
             tar.extractall("./model")
         import tensorflow as tf
         model = tf.keras.models.load_model("./model/1")
         test_path = "/opt/ml/processing/test/"
        x_test = np.load(os.path.join(test_path, "x_test.npy"))
         y_test = np.load(os.path.join(test_path, "y_test.npy"))
         scores = model.evaluate(x_test, y_test, verbose=2)
         print("\nTest MSE :", scores)
         # Available metrics to add to model: https://docs.aws.amazon.com/sagemaker/latest
     /dg/model-monitor-model-quality-metrics.html
         report_dict = {
            "regression_metrics": {
                 "mse": {"value": scores, "standard_deviation": "NaN"},
            },
         }
         output_dir = "/opt/ml/processing/evaluation"
         pathlib.Path(output_dir).mkdir(parents=True, exist_ok=True)
         evaluation_path = f"{output_dir}/evaluation.json"
         with open(evaluation_path, "w") as f:
             f.write(json.dumps(report_dict))
```

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[]:

```
from sagemaker.workflow.properties import PropertyFile
# Create SKLearnProcessor object.
# The object contains information about what container to use, what instance type etc.
evaluate_model_processor = SKLearnProcessor(
    framework_version=framework_version,
    instance_type=processing_instance_type,
    instance_count=1,
    base_job_name="tf2-california-housing-evaluate",
    role=role,
)
# Create a PropertyFile
# A PropertyFile is used to be able to reference outputs from a processing step, for
instance to use in a condition step.
# For more information, visit https://docs.aws.amazon.com/sagemaker/latest/dg/build-
and-manage-propertyfile.html
evaluation_report = PropertyFile(
    name="EvaluationReport", output_name="evaluation", path="evaluation.json"
)
# Use the evaluate_model_processor in a Sagemaker pipelines ProcessingStep.
step_evaluate_model = ProcessingStep(
    name="Evaluate-California-Housing-Model",
    processor=evaluate_model_processor,
    inputs=[
        ProcessingInput(
            source=step_train_model.properties.ModelArtifacts.S3ModelArtifacts,
            destination="/opt/ml/processing/model",
        ),
        ProcessingInput(
            source=step_preprocess_data.properties.ProcessingOutputConfig.Outputs[
                "test"
            ].S30utput.S3Uri,
            destination="/opt/ml/processing/test",
```

Send E-Mail Lambda Step

When passing inputs to the Lambda, the <u>inputs</u> argument can be used and within the Lambda function's handler, the <u>event</u> argument can be used to retrieve the inputs.

The dictionary response from the Lambda function is parsed through the LambdaOutput objects provided to the outputs argument. The output_name in LambdaOutput corresponds to the dictionary key in the Lambda's return dictionary.

Define the Lambda function

Users can choose the leverage the Lambda helper class to create a Lambda function and provide that function object to the LambdaStep. Alternatively, users can use a pre-deployed Lambda function and provide the function ARN to the Lambda helper class in the lambda step.

Here, If the MSE is lower than threshold, an E-Mail will be sent to Data Science team.

Note that the E-Mail sending part is left for you to implement by the framework you choose.

```
[]: %%writefile send_email_lambda.py
     .....
    This Lambda function sends an E-Mail to the Data Science team with the MSE from model
    evaluation step.
     The evaluation.json location in S3 is provided via the `event` argument
     import json
     import boto3
     s3_client = client = boto3.client("s3")
     def lambda_handler(event, context):
         print(f"Received Event: {event}")
         evaluation_s3_uri = event["evaluation_s3_uri"]
         path_parts = evaluation_s3_uri.replace("s3://", "").split("/")
         bucket = path_parts.pop(0)
         key = "/".join(path_parts)
         content = s3_client.get_object(Bucket=bucket, Key=key)
         text = content["Body"].read().decode()
         evaluation_json = json.loads(text)
         mse = evaluation_json["regression_metrics"]["mse"]["value"]
         subject_line = "Please check high MSE ({}) detected on model
     evaluation".format(mse)
         print(f"Sending E-Mail to Data Science Team with subject line: {subject_line}")
         # TODO - ADD YOUR CODE TO SEND EMAIL...
         return {"statusCode": 200, "body": json.dumps("E-Mail Sent Successfully")}
```

IAM Role

The Lambda function needs an IAM role that will allow it to read the evaluation.json from S3. The role ARN must be provided in the LambdaStep.

A helper function in <code>iam_helper.py</code> is available to create the Lambda function role. Please note that the role uses the Amazon managed policy - <code>AmazonS3ReadOnlyAccess</code> . This should be

replaced with an IAM policy with the least privileges as per AWS IAM best practices.

```
[]: from iam_helper import create_s3_lambda_role
lambda_role = create_s3_lambda_role("send-email-to-ds-team-lambda-role")
```

Create the Lambda Function step

```
[ ]: from sagemaker.workflow.lambda_step import LambdaStep
     from sagemaker.lambda_helper import Lambda
     evaluation_s3_uri = "{}/evaluation.json".format(
         step evaluate model.arguments["ProcessingOutputConfig"]["Outputs"][0]["S3Output"]
     ["S3Uri"]
     send_email_lambda_function_name = "sagemaker-send-email-to-ds-team-lambda-" +
     current_time
     send_email_lambda_function = Lambda(
         function_name=send_email_lambda_function_name,
         execution_role_arn=lambda_role,
         script="send_email_lambda.py",
         handler="send_email_lambda.lambda_handler",
     )
     step_higher_mse_send_email_lambda = LambdaStep(
         name="Send-Email-To-DS-Team",
         lambda_func=send_email_lambda_function,
         inputs={"evaluation s3 uri": evaluation s3 uri},
     )
```

Register model step

If the trained model meets the model performance requirements a new model version is registered with the model registry for further analysis. To attach model metrics to the model version, create a `ModelMetrics https://docs.aws.amazon.com/sagemaker/latest/dg/model-monitor-model-quality-metrics.html __ object using the evaluation report created in the evaluation step. Then, create the RegisterModel step.

```
[]:
```

```
from sagemaker.model_metrics import MetricsSource, ModelMetrics
     from sagemaker.workflow.step_collections import RegisterModel
     # Create ModelMetrics object using the evaluation report from the evaluation step
     # A ModelMetrics object contains metrics captured from a model.
     model_metrics = ModelMetrics(
         model_statistics=MetricsSource(
             s3_uri=evaluation_s3_uri,
             content_type="application/json",
     )
     # Create a RegisterModel step, which registers the model with Sagemaker Model
     Registry.
     step_register_model = RegisterModel(
         name="Register-California-Housing-Model",
Create the model imator,
         model_data=step_train_model.properties.ModelArtifacts.S3ModelArtifacts,
         content_types=["text/csv"]
The model is provided to the Lambda function for
deployment. From CreateModelStep dynamically assigns a mamie to the model.
         transform_instances=["ml.m5.xlarge"],
         model_package_group_name=model_package_group_name,
[ ]: from sagemaker.workflow.step_collections import CreateModelStep
     from sagemaker.tensorflow.model import TensorFlowModel
     model = TensorFlowModel(
         role=role,
         model_data=step_train_model.properties.ModelArtifacts.S3ModelArtifacts,
         framework_version=tensorflow_version,
         sagemaker_session=sagemaker_session,
     )
     step_create_model = CreateModelStep(
         name="Create-California-Housing-Model",
         model=model,
         inputs=sagemaker.inputs.CreateModelInput(instance_type=endpoint_instance_type),
     )
```

Deploy model to SageMaker Endpoint Lambda Step

When defining the LambdaStep, the SageMaker Lambda helper class provides helper functions for creating the Lambda function. Users can either use the Lambda_func argument to provide the function ARN to an already deployed Lambda function OR use the Lambda class to create a Lambda function by providing a script, function name and role for the Lambda function.

When passing inputs to the Lambda, the <u>inputs</u> argument can be used and within the Lambda function's handler, the <u>event</u> argument can be used to retrieve the inputs.

The dictionary response from the Lambda function is parsed through the LambdaOutput objects provided to the outputs argument. The output_name in LambdaOutput corresponds to the dictionary key in the Lambda's return dictionary.

Here, the Lambda Function will deploy the model to SageMaker Endpoint.

[]:

```
SageMaker Pipelines California Housing - Taking different steps based ...
               %%writefile deploy_model_lambda.py
                .....
               This Lambda function deploys the model to SageMaker Endpoint.
                If Endpoint exists, then Endpoint will be updated with new Endpoint Config.
                <u>im</u>port <mark>json</mark>
         IAM Role boto3
                import time
          The Lambda function needs an IAM role that will allow it to deploy a SageMaker Endpoint. The
          role ARM orbitation provided in this Lambda Step.
          A helperfunction im im_helper.py is available to create the Lambda function role. Please note
          that the role uses the Amazon managed policy - AmazonSageMakerFullAccess . This should be print("Received Event: {event}")
          replaced with an IAM policy with the least privileges as per AWS IAM best practices.
                    current_time = time.strftime("%m-%d-%H-%M-%S", time.localtime())
                    endpoint_instance_type = event["endpoint_instance_type"]
          [ ]: from iam_helper import create_sagemaker_lambda_role
                lambda_role = create_sagemaker_lambda_role("deploy-model-lambda-role")
          [ ]: from sagemaker.workflow.lambda_step import LambdaStep
                from sagemaker.lambda helper import Lambda
                endpoint_config_name = "tf2-california-housing-endpoint-config"
                endpoint_name = "tf2-california-housing-endpoint-" + current_time
                deploy_model_lambda_function_name = "sagemaker-deploy-model-lambda-" + current_time
                deploy model lambda function = Lambda(
                    function_name=deploy_model_lambda_function_name,
                    execution_role_arn=lambda_role,
                    script="deploy_model_lambda.py",
                    handler="deploy model lambda.lambda handler",
                )
                step_lower_mse_deploy_model_lambda = LambdaStep(
                    name="Deploy-California-Housing-Model-To-Endpoint",
                    lambda_func=deploy_model_lambda_function,
                    inputs={
                        "model_name": step_create_model.properties.ModelName,
                        "endpoint_config_name": endpoint_config_name,
                        "endpoint_name": endpoint_name,
                        "endpoint_instance_type": endpoint_instance_type,
                    },
                )
                        update_endpoint_response = sm_client.update_endpoint(
                            EndpointName=endpoint_name, EndpointConfigName=endpoint_config_name
          Accuracy condition step
                        print(f"update_endpoint_response: {update_endpoint_response}")
          Adding conditions to the pipeline is done with a ConditionStep. In this case, we only want to
          register the new antoden versiton with the model register of the new antodel meets an accuracy
                            EndpointName=endpoint_name, EndpointConfigName=endpoint_config_name
```

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```
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                                                            https://sagemaker-examples.readthedocs.io/en/latest/sagemaker-pipelines...
          condition.
                        print(f"create_endpoint_response: {create_endpoint_response}")
           [ ]: from sagemaker.workflow.conditions import ConditionLessThanOrEqualTo
                from sagemaker.workflow.condition_step import ConditionStep
                from sagemaker.workflow.functions import JsonGet
                # Create accuracy condition to ensure the model meets performance requirements.
                # Models with a test accuracy lower than the condition will not be registered with the
                model registry.
                cond_lte = ConditionLessThanOrEqualTo(
                    left=JsonGet(
                        step_name=step_evaluate_model.name,
                        property_file=evaluation_report,
                        json_path="regression_metrics.mse.value",
                    ),
                    right=accuracy_mse_threshold,
                )
                # Create a Sagemaker Pipelines ConditionStep, using the condition above.
                # Enter the steps to perform if the condition returns True / False.
                step_cond = ConditionStep(
                    name="MSE-Lower-Than-Threshold-Condition",
                    conditions=[cond_lte],
                    if_steps=[step_register_model, step_create_model,
```

Pipeline Creation: Orchestrate all steps

else_steps=[step_higher_mse_send_email_lambda],

Now that all pipeline steps are created, a pipeline is created.

step_lower_mse_deploy_model_lambda],

)

```
[ ]: from sagemaker.workflow.pipeline import Pipeline
     # Create a Sagemaker Pipeline.
    # Each parameter for the pipeline must be set as a parameter explicitly when the
     pipeline is created.
     # Also pass in each of the steps created above.
     # Note that the order of execution is determined from each step's dependencies on
    other steps,
     # not on the order they are passed in below.
     pipeline = Pipeline(
         name=pipeline_name,
         parameters=[
             processing_instance_type,
             training_instance_type,
             input_data,
             training_epochs,
             accuracy_mse_threshold,
             endpoint_instance_type,
         steps=[step_preprocess_data, step_train_model, step_evaluate_model, step_cond],
```

Execute the Pipeline

```
[]: import json
    definition = json.loads(pipeline.definition())
    definition

[]: pipeline.upsert(role_arn=role)

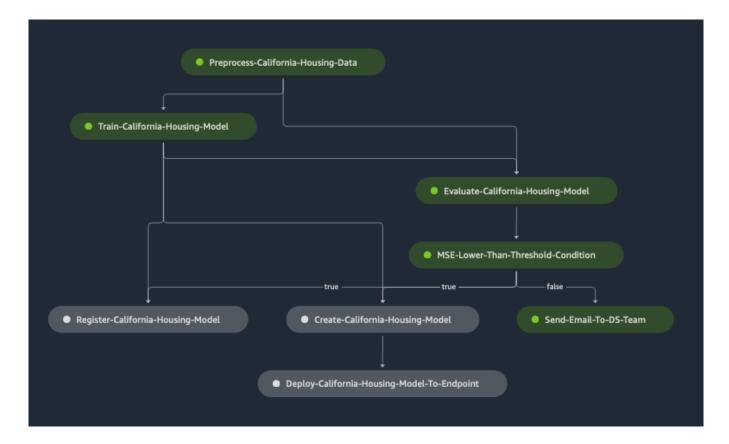
[]: execution = pipeline.start()

[]: execution.wait()
```

Visualize SageMaker Pipeline - MSE lower than the threshold

In SageMaker Studio, choose SageMaker Components and registries in the left pane and under Pipelines, click the pipeline that was created. Then all pipeline executions are shown, and the one just created should have a status of Succeded. Selecting that execution, the different pipeline steps can be tracked as they execute.

You can see that the Register-California-Housing-Model step was executed.



Start a pipeline with 2 epochs to trigger the send-email-to-

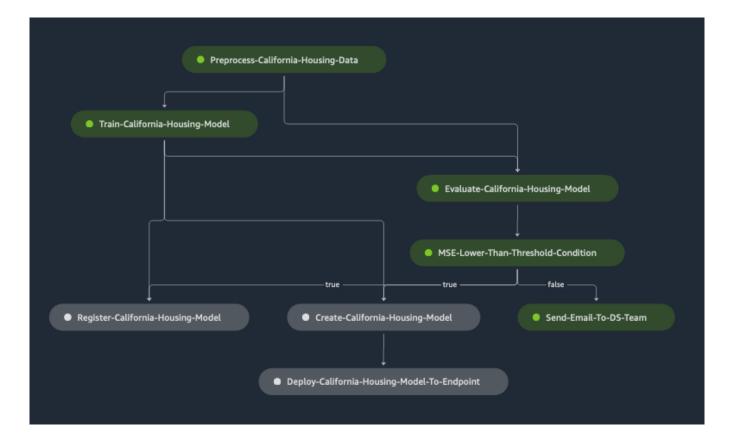
ds-team-lambda Lambda Function

Run the pipeline again, but this time, with only 2 epochs and a lower MSE Threshold of 0.2. This will result in a higher MSE value on model evaluation, and will cause the send-email-to-ds-team-lambda Lambda Function to be triggered.

Visualize SageMaker Pipeline - MSE higher than the threshold

In SageMaker Studio, choose SageMaker Components and registries in the left pane and under Pipelines, click the pipeline that was created. Then all pipeline executions are shown, and the one just created should have a status of Succeded. Selecting that execution, the different pipeline steps can be tracked as they execute.

You can see that the Send-Email-To-DS-Team step was executed.



Clean up (optional)

Stop / Close the Endpoint

You should delete the endpoint before you close the notebook if you don't need to keep the endpoint running for serving real-time predictions.

```
[]: import boto3

client = boto3.client("sagemaker")
   client.delete_endpoint(EndpointName=endpoint_name)
```

Delete the model registry and the pipeline to keep the studio environment tidy.

```
[]: def delete_model_package_group(sm_client, package_group_name):
         try:
             model versions =
     sm_client.list_model_packages(ModelPackageGroupName=package_group_name)
         except Exception as e:
            print("{} \n".format(e))
         for model_version in model_versions["ModelPackageSummaryList"]:
     sm_client.delete_model_package(ModelPackageName=model_version["ModelPackageArn"])
             except Exception as e:
                 print("{} \n".format(e))
             time.sleep(0.5) # Ensure requests aren't throttled
         try:
             sm_client.delete_model_package_group(ModelPackageGroupName=package_group_name)
             print("{} model package group deleted".format(package_group_name))
         except Exception as e:
            print("{} \n".format(e))
         return
     def delete_sagemaker_pipeline(sm_client, pipeline_name):
             sm_client.delete_pipeline(
                 PipelineName=pipeline_name,
             print("{} pipeline deleted".format(pipeline_name))
         except Exception as e:
             print("{} \n".format(e))
             return
[ ]: delete_model_package_group(client, model_package_group_name)
     delete_sagemaker_pipeline(client, pipeline_name)
```

Delete the Lambda functions.

```
[ ]: send_email_lambda_function.delete()
    deploy_model_lambda_function.delete()
```