Lecture 6: Computational complexity, dynamic programming

- Time complexity: Big O notation
- Recursive functions
- Dynamic programming: Levenshtein distance





Midterm exam

- April 24, 10:15-11:00 (first half of the lecture), AND-3-02/06
- Pen-and-paper, multiple-choice and short text answers (no writing code)
- Not allowed: computers, documentation, slides, cheat sheet, any other material or devices
- More information on OLAT ("Exercise & Exam Info")





Learning objectives

By the end of this lecture, you should:

- Understand what computational complexity is and why it is important
- Be able to determine and reduce the time complexity of simple algorithms
- Know the time complexity of some commonly used operations with lists, sets, and dicts
- Understand how recursion works and be able to write recursive functions
- Know what dynamic programming is and why it is useful
- Understand the dynamic programming algorithm for calculating the Levenshtein distance





Imports

```
import random
import string
import timeit
import utils
```





How can we measure the efficiency of a program?





What resources does a program need?

- Time (seconds)
- Memory (bytes)
- Network data (megabits)
- Power (kilowatt-hours)

• ...





How to measure usage of these resources?





How to measure usage of these resources?

- Benchmarking: Measure how many resources the program uses in absolute units
 - Requires running the program (many times, maybe under different conditions)
 - Depends on input data, hardware, and other factors





How to measure usage of these resources?

- Benchmarking: Measure how many resources the program uses in absolute units
 - Requires running the program (many times, maybe under different conditions)
 - Depends on input data, hardware, and other factors
- Computational complexity: Determine how quickly runtime increases with increasing input length
 - Based on inherent characteristics of the program
 - Requires theoretical analysis of the code
 - Independent of hardware (can be done with pen and paper)





Two types of computational complexity

- Time complexity: How complex is our program in terms of the time it takes to run?
- Space complexity: How complex is our program in terms of the memory it takes to run?

Computational complexity tells us how scalable our algorithms are (e.g., with increasing corpus size, document length, vocabulary size, etc.)





Time complexity

Given an algorithm, how quickly does the number of operations grow when we increase the input length?





Time complexity

Given an algorithm, how quickly does the number of operations grow when we increase the input length?

- 1. For each operation, count how many times it is called
- 2. Sum up the counts
- 3. Keep only highest-order terms, ignore constant factors





```
def minimum(numbers):
    min_number = float("inf")  # Called 1 time
    for number in numbers:
        if number < min_number: # Called n times
            min_number = number # Called n times
        return min_number</pre>
```



```
def minimum(numbers):
    min_number = float("inf")  # Called 1 time
    for number in numbers:
        if number < min_number: # Called n times
            min_number = number # Called n times
        return min_number</pre>
```

- Total number of operations: 2n + 1
- Drop lower-order terms and constant factors $\rightarrow n$
- Time complexity: O(n)
 - \rightarrow Runtime increases **linearly** with length of the input (n)





```
In [3]: random_numbers = [random.randint(0, 100) for _ in range(50000)]
        utils.plot_time_complexity(minimum, random_numbers, regression_order=1)
           0.0025
           0.0020
         0.0015 Lime in seconds of 0.0015
           0.0005
           0.0000
                     10000
                           20000
                                 30000
                                       40000
                                             50000
                             Input size
```





```
def optimized_minimum(numbers):
    min_number = float("inf")  # Called 1 time
    for number in numbers:
        if number == -float("inf"): # Called n times (worst case)
            return number
        if number < min_number: # Called n times (worst case)
            min_number = number # Called n times (worst case)
        return min_number</pre>
```





```
def optimized_minimum(numbers):
    min_number = float("inf")  # Called 1 time
    for number in numbers:
        if number == -float("inf"): # Called n times (worst case)
            return number
        if number < min_number: # Called n times (worst case)
            min_number = number # Called n times (worst case)
        return min_number</pre>
```

- In the **best case** (if numbers [0] == -inf), we only have 2 operations
- But in the worst case, we have 3n + 1 operations
- Big O notation always assumes the worst case scenario
 - \rightarrow Time complexity is still O(n)



```
In [5]: random_numbers = [random.randint(0, 100) for _ in range(5000)]
        utils.plot_time_complexity(optimized_minimum, random_numbers, regression_order=1)
           0.00175
           0.00150
           0.00125
         0.00125 -
0.00100 -
0.00075 -
           0.00050
           0.00025 -
           0.00000
                                  3000
                                              5000
                      1000
                                        4000
                            2000
                             Input size
```









- Total number of operations: $n^2 + 1$
- Drop lower-order terms and constant factors $\rightarrow n^2$
- Time complexity: $O(n^2)$
 - \rightarrow Runtime increases quadratically with length of the input (n)





utils.plot_time_complexity(pairwise_sums, list(range(500)), regression_order=2) 0.04 Time in seconds 0.01 -0.00 500 400 200 300 100 Input size



```
In [8]: def subset_sums(numbers):
         """Calculate the sums of all possible subsets of a list."""
         sums = [0]
                                              # Called 1 time
         for number in numbers:
                                         # Called n times
             new_sums = []
             for sum in sums:
                 new\_sums.append(sum + number) # Called 2^n - 1 times
             sums.extend(new_sums) # Called n times
         return sums
```





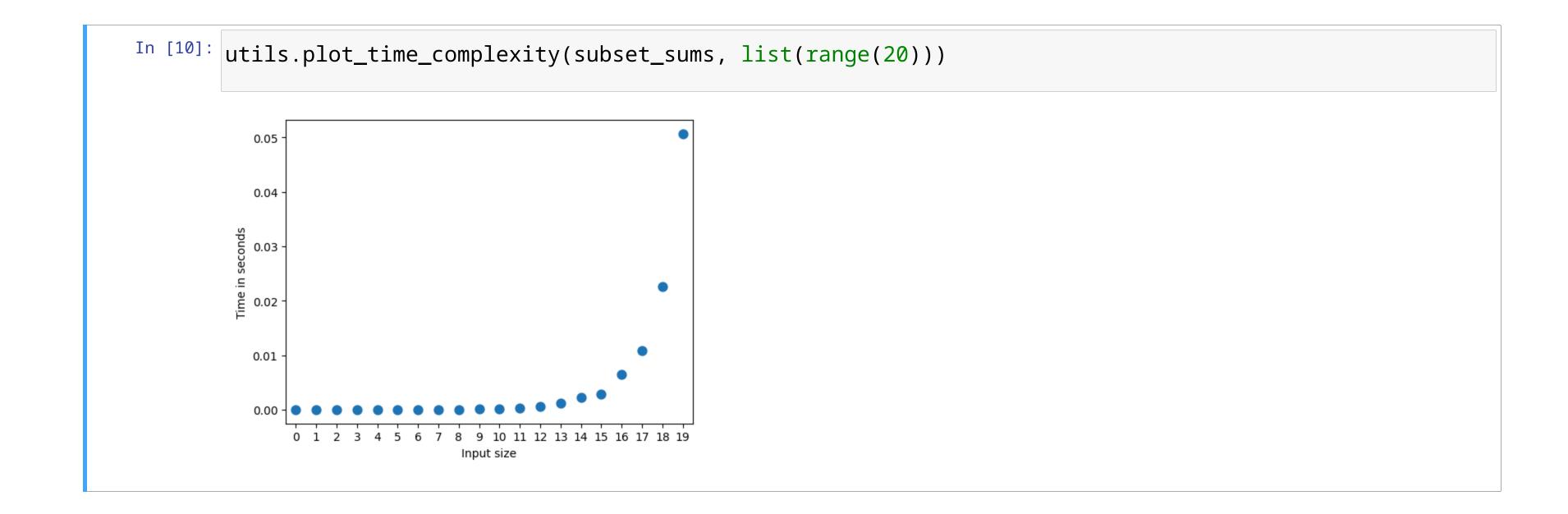
```
In [8]:

def subset_sums(numbers):
    """Calculate the sums of all possible subsets of a list."""
    sums = [0]  # Called 1 time
    for number in numbers:
        new_sums = []  # Called n times
        for sum in sums:
            new_sums.append(sum + number) # Called 2<sup>n</sup> - 1 times
        sums.extend(new_sums) # Called n times
    return sums
```

- Total number of operations: $2^n + 2n$
- Drop lower-order terms and constant factors $\rightarrow 2^n$
- Time complexity: $O(2^n)$
 - \rightarrow Runtime increases **exponentially** with length of the input (n)



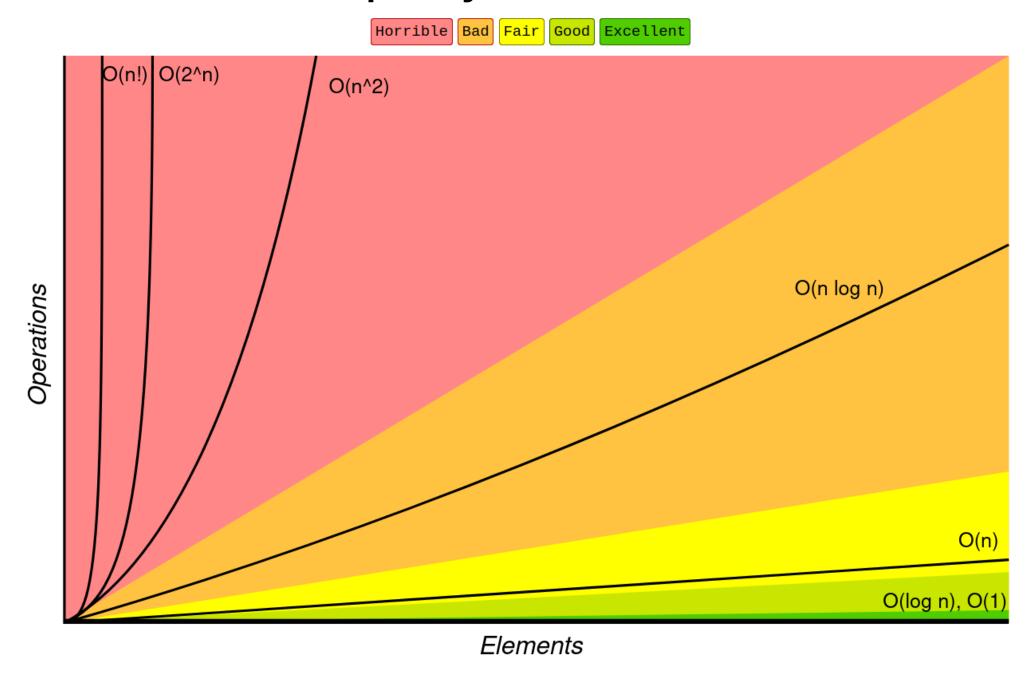








Common time complexity classes



Source: <u>bigocheatsheet.com</u>





Remember

- We are not interested in absolute runtime (which depends on hardware)
 - → Constant factors are irrelevant
- We are interested in how quickly runtime increases as inputs become very large
 - → Lower-order terms become negligible





Quiz: Time complexity

pwa.klicker.uzh.ch/join/asaeub







Example: Finding duplicate strings

OpenSubtitles

- Movie subtitles in many languages
- Available in a cleaner, parallelized version as part of the <u>OPUS corpus</u>

 The German part can be downloaded as plain text <u>here</u>
- Commonly used for machine translation
- Subtitles are usually short and contain a lot of duplicates





```
In [11]: with open('de.txt', 'r') as f:
           lines = f.readlines()
       len(lines)
Out[11]: 41612280
In [12]: lines[:10]
Out[12]: ['Ich geh lieber wieder an die Arbeit.\n',
        'Verspielt nicht alle Streichhölzer...\n',
         '- Hallo, Mac.\n',
         '- Hallo, Click.\n',
         'Tag, zusammen.\n',
        '- Hallo.\n',
         '- Hallo.\n',
         'Willkommen zu Hause, Mann.\n',
         'Komm, setz dich und spiel uns was vor.\n',
         '- Wir zahlen mit Versprechen.\n']
```





A naive approach

```
In [13]: def get_duplicates_naive(lines):
           duplicates = set()
           for i1, line1 in enumerate(lines):
               for i2, line2 in enumerate(lines):
                   if line1 == line2 and i1 != i2:
                       duplicates.add(line1)
           return duplicates
In [14]: get_duplicates_naive(lines[:500])
Out[14]: {'- Gut.\n',
        '- Hallo.\n',
        '- Ja.\n',
         '- Morgen.\n',
         '- Nein.\n',
         '- Und wenn?\n',
         'Danke.\n',
         'Grant.\n',
         'Hier.\n',
        'Ja.\n',
         'Lass mich los!\n',
         'Nein.\n',
         'Weit reisen kannst du nur auf Gleisen\n',
         'Wieso?\n',
         'Wirklich?\n'}
```





```
In [16]: timeit.timeit(lambda: get_duplicates_naive(lines[:10000]), number=1)
Out[16]: 2.4275523179999254
```





utils.plot_time_complexity(get_duplicates_naive, lines[:1000], regression_order=2) 0.12 | 0.10 0.08 -Time in seconds 0.00 -0.02 -0.00 1000 400 800 600 200 Input size





A better approach?

```
def get_duplicates_maybe_better(lines):
    duplicates = set()
    for line in lines:
        count = lines.count(line)
        if count > 1:
            duplicates.add(line)
        return duplicates
```





utils.plot_time_complexity(get_duplicates_maybe_better, lines[:1000], regression_order=2) 0.030 0.025 Time in seconds 0.015 0.010 0.005 0.000 1000 400 200 600 800 Input size





Actually a better approach

```
In [20]:
    def get_duplicates_really_better(lines):
        lines_set = set()
        duplicates = set()
        for line in lines:
            if line in lines_set:
                 duplicates.add(line)
        else:
                 lines_set.add(line)
        return duplicates
```





utils.plot_time_complexity(get_duplicates_really_better, lines[:1000], regression_order=1) 0.0007 0.0006 0.0005 Time in seconds 0.0003 0.0002 0.0001 0.0000 1000 600 200 800 Input size



Time complexity in lists

Due to the way list is implemented in Python, the following methods need to iterate over all elements (in the worst case):

- list.count()
- list.index()
- list.__contains__()

Their time complexity is O(n) (= linear).



Overview: Time complexity in lists

Method	Time complexity
append(x)	<i>O</i> (1)
getitem(i)	<i>O</i> (1)
len()	<i>O</i> (1)
pop()	<i>O</i> (1)
pop(0)	O(n)
remove(x)	O(n)
<pre>insert(i, x)</pre>	O(n)
contains(x)	O(n)
count(x)	O(n)
reverse()	O(n)
sort()	$O(n \log n)$

More details: wiki.python.org/moin/TimeComplexity





Time complexity in dicts and sets

dict and set are implemented using hash tables. These are very efficient for looking up values:

- set.__contains__()
- dict.__getitem__()

These methods have time complexity O(1) (= constant).



Overview: Time complexity in **set**s

Method	Time complexity
add(x)	$O(1)^*$
pop()	<i>O</i> (1)
len()	<i>O</i> (1)
contains()	<i>O</i> (1)

Overview: Time complexity in **dict**s

Method	Time complexity
setitem(x)	<i>O</i> (1)*
$\underline{getitem}_{\underline{x}}(x), get(x)$	<i>O</i> (1)
pop()	<i>O</i> (1)
len()	<i>O</i> (1)
contains()	<i>O</i> (1)

^{*} assuming no hash collisions

Space complexity

- Big O notation can also be used for **memory usage**
- Same principle: we look at the implementation of the algorithm and figure out how much memory is used in the worst case (not by running the code)





Example: Finding the k longest strings

```
In []:
    def longest_naive(strings, k=3):
        return sorted(strings, key=len)[-k:]
    longest_naive(['a', 'ab', 'abc', 'abcd', 'abcde'])
```





Example: Finding the k longest strings

```
In []:
    def longest_naive(strings, k=3):
        return sorted(strings, key=len)[-k:]
    longest_naive(['a', 'ab', 'abc', 'abcd', 'abcde'])
```

- sorted() creates a new list of size *n*
- The return value is a list of size *k*
- Space complexity: O(n + k)
- Time complexity: $O(n \log n)$







```
In []:
    def longest_better(strings, k=3):
        longest = []
        for string in strings:
            if len(longest) < k:
                longest.append(string)
        else:
                shortest_longest = min(longest, key=len)
                if len(string) > len(shortest_longest):
                      longest.remove(shortest_longest)
                      longest.append(string)
        return longest
        longest_better(['a', 'ab', 'abc', 'abcd', 'abcde'])
```

- The auxiliary list longest has size k
- The return value has size *k*
- Everything else requires only constant space
- Space complexity: O(k)
- Time complexity:?





Recursive functions

Problem: Calculate the sum of numbers in arbitrarily nested data structures like this:

```
In [24]: data = [1, 2, [3, 4], 5, [6, [7, 8]]]
```





Recursive functions

Problem: Calculate the sum of numbers in arbitrarily nested data structures like this:

```
In [24]: data = [1, 2, [3, 4], 5, [6, [7, 8]]]
```

This won't work:

```
In [25]: sum(data)

TypeError
Cell In[25], line 1
----> 1 sum(data)

TypeError: unsupported operand type(s) for +: 'int' and 'list'
```



Recursive functions

Problem: Calculate the sum of numbers in arbitrarily nested data structures like this:

```
In [24]: data = [1, 2, [3, 4], 5, [6, [7, 8]]]
```

This won't work:

Solution: Recursively sum up elements of nested lists:



```
In [26]: def deepsum(data):
    total = 0
    for item in data:
        if isinstance(item, list):
            total += deepsum(item) # Recursive call
        else:
            total += item # Termination
    return total
In [27]: deepsum([1, 2, [3, 4], 5, [6, [7, 8]]])
Out[27]: 36
```





```
In [26]: def deepsum(data):
          total = 0
          for item in data:
               if isinstance(item, list):
                  total += deepsum(item) # Recursive call
               else:
                  total += item
                                 # Termination
          return total
In [27]: deepsum([1, 2, [3, 4], 5, [6, [7, 8]]])
Out[27]: 36
```

How many times was deepsum called?





```
In [28]: call_counter = utils.CallCounter()
       @call_counter.register
       def deepsum(data):
           total = 0
           for item in data:
               if isinstance(item, list):
                   total += deepsum(item)
               else:
                   total += item
           return total
       deepsum([1, 2, [3, 4], 5, [6, [7, 8]]])
       call_counter.print_most_common()
               deepsum([1, 2, [3, 4], 5, [6, [7, 8]]])
               deepsum([3, 4])
               deepsum([6, [7, 8]])
               deepsum([7, 8])
```





Recursion tree

deepsum([1, 2, [3, 4], 5, [6, [7, 8]]])

deepsum([3, 4])

deepsum([6, [7, 8]])

deepsum([7, 8])





What about the time complexity of deepsum?

The deeper the data structure, the longer the runtime:

• deepsum([[1], [[2], [[3]]]) takes longer than deepsum([1, 2, 3])

The broader the data structure, the longer the runtime:

- deepsum([1, 2, 3, 4, 5, 6]) takes longer than deepsum([1, 2, 3])
- \rightarrow Runtime depends on number of elements and depth: $O(n \times d)$



Levenshtein distance

How to turn zebra into amoeba?

- Edit operations: we can insert, delete, or replace letters
- Every edit operation comes with a **cost**
- The edit distance is the smallest possible cost to get from word A to word B
- The most common variant is the **Levenshtein distance** and defines:

Edit operation	Cost
Insertion	1
Deletion	1
Substitution	1

→ Levenshtein distance = number of edit operations





zebra → **amoeba**: naive approach

- 1. Replace z with a → costs 1
- 2. Replace e with m \rightarrow costs 1
- 3. Replace b with o → costs 1
- 4. Replace r with e → costs 1
- 5. Replace a with b → costs 1
- 6. Insert a → costs 1





zebra → **amoeba**: naive approach

- 1. Replace z with a → costs 1
- 2. Replace e with m → costs 1
- 3. Replace b with o → costs 1
- 4. Replace r with e → costs 1
- 5. Replace a with b → costs 1
- 6. Insert a → costs 1

Total cost: 6 → Can we do better?





zebra → **amoeba**: optimal solution

- 1. Replace z with $a \rightarrow costs 1$
- 2. Insert $m \rightarrow costs 1$
- 3. Insert $o \rightarrow costs 1$
- 4. Keep e
- 5. Keep b
- 6. Delete $r \rightarrow costs 1$
- 7. Keep a



zebra → **amoeba**: optimal solution

- 1. Replace z with $a \rightarrow costs 1$
- 2. Insert $m \rightarrow costs 1$
- 3. Insert $o \rightarrow costs 1$
- 4. Keep e
- 5. Keep b
- 6. Delete $r \rightarrow costs 1$
- 7. Keep a

Total cost: 4 (= Levenshtein distance)





Quiz: Levenshtein distance

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A convenient property of the Levenshtein distance problem

We can derive the Levenshtein distance of the **full strings** from the Levenshtein distance between some **substrings**.





A convenient property of the Levenshtein distance problem

We can derive the Levenshtein distance of the **full strings** from the Levenshtein distance between some **substrings**.

For example, if we already know the following:

- levenshtein(zebra → amoeb) = 5
- levenshtein(zebr → amoeba) = 4
- levenshtein(zebr → amoeb) = 4

Then we can easily get **levenshtein(zebra** → **amoeba)**.





- 1. Suppose we already know that levenshtein(zebra → amoeb) = 5
 - → Turning zebra into amoeba is possible with 1 additional edit operation (inserting a)
 - → Total cost: **6**





- 1. Suppose we already know that **levenshtein(zebra** → **amoeb) = 5**
 - → Turning zebra into amoeba is possible with 1 additional edit operation (inserting a)
 - → Total cost: 6
- 2. Suppose we already know that levenshtein(zebr \rightarrow amoeba) = 4
 - → Turning zebra into amoeba is possible with **1 additional edit operation** (deleting a)
 - → Total cost: **5**



- 1. Suppose we already know that levenshtein(zebra → amoeb) = 5
 - → Turning zebra into amoeba is possible with 1 additional edit operation (inserting a)
 - → Total cost: 6
- 2. Suppose we already know that levenshtein(zebr \rightarrow amoeba) = 4
 - → Turning zebra into amoeba is possible with **1 additional edit operation** (deleting a)
 - → Total cost: **5**
- 3. Suppose we already know that levenshtein(zebr \rightarrow amoeb) = 4
 - → Turning zebra into amoeba is possible without additional edit operations (keeping a)
 - → Total cost: 4



- 1. Suppose we already know that levenshtein(zebra \rightarrow amoeb) = 5
 - → Turning zebra into amoeba is possible with 1 additional edit operation (inserting a)
 - → Total cost: 6
- 2. Suppose we already know that levenshtein(zebr \rightarrow amoeba) = 4
 - → Turning zebra into amoeba is possible with 1 additional edit operation (deleting a)
 - → Total cost: **5**
- 3. Suppose we already know that levenshtein(zebr \rightarrow amoeb) = 4
 - → Turning zebra into amoeba is possible without additional edit operations (keeping a)
 - → Total cost: **4**

Solution 3 is the cheapest, and there are no other solutions.

Therefore, levenshtein(zebra → amoeba) = 4





Recursive definition of Levenshtein distance





```
In [29]: def levenshtein(a: str, b: str) -> int:
           if a == "":
                return len(b)
                                                     # Termination
           if b == "":
                                                    # Termination
                return len(a)
           if a[-1] == b[-1]:
                return levenshtein(a[:-1], b[:-1]) # Recursive call
           return 1 + min(
                levenshtein(a, b[:-1]),  # Recursive call
levenshtein(a[:-1], b),  # Recursive call
                levenshtein(a[:-1], b[:-1]), # Recursive call
       levenshtein("zebra", "amoeba")
Out[29]: 4
```



What is the time complexity of the recursive Levenshtein distance algorithm?

```
In [30]:
        random_string = "".join(random.choices(string.ascii_letters, k=12))
        utils.plot_time_complexity(lambda x: levenshtein(x, "".join(reversed(x))), random_string, number=1)
           2.00
          1.75
          1.50
         seconds
1.00 -
1.00 -
1.00 -
           0.50
           0.25
```





Why is it so bad?

```
In [31]:
       call_counter = utils.CallCounter()
       levenshtein = call_counter.register(levenshtein)
       levenshtein("zebra", "amoeba")
       call_counter.print_most_common()
               levenshtein('', 'a')
       108
               levenshtein('z', '')
       107
       77
               levenshtein('z', 'a')
       77
               levenshtein('', '')
               levenshtein('', 'am')
       40
               levenshtein('ze', '')
       38
       31
               levenshtein('z', 'am')
       30
               levenshtein('ze', 'a')
       16
               levenshtein('ze', 'am')
               levenshtein('zeb', '')
               levenshtein('z', 'amo')
               levenshtein('', 'amo')
               levenshtein('zeb', 'a')
               levenshtein('zeb', 'am')
               levenshtein('ze', 'amo')
               levenshtein('zeb', 'amo')
               levenshtein('ze', 'amoe')
               levenshtein('zeb', 'amoe')
               levenshtein('zebra', 'amoeba')
               levenshtein('zebr', 'amoeb')
               levenshtein('zebr', 'amoe')
               levenshtein('zebr', 'amo')
               levenshtein('zebr', 'am')
```

levenshtein(**zebra**, **amoeba**) levenshtein(**zebr**, **amoeb**) (zeb, amoeb) (zebr, amoe) (zeb, amoe) (zeb, amo) (ze, amoe) (zebr, amo) (zeb, amoe) (zeb, amo) (ze, amoe) (ze, amo)





More efficient implementation of Levenshtein distance

(See levenshtein.pdf or video on OLAT)

Good online demo: https://phiresky.github.io/levenshtein-demo/





```
In [32]: def levenshtein_recursive(a: str, b: str) -> int:
           """Return the Levenshtein distance between two strings using recursion."""
           if a == "":
               return len(b)
           if b == "":
               return len(a)
           if a[-1] == b[-1]:
               return levenshtein_recursive(a[:-1], b[:-1])
           return 1 + min(
               levenshtein_recursive(a, b[:-1]),
               levenshtein_recursive(a[:-1], b),
               levenshtein_recursive(a[:-1], b[:-1]),
       levenshtein_recursive("zebra", "amoeba")
Out[32]: 4
```



```
In [33]: def levenshtein_dynamic(a: str, b: str) -> int:
           """Return the Levenshtein distance between two strings using dynamic programming."""
          # Initialize table
          table = [[0] * (len(b) + 1) for _ in range(len(a) + 1)]
          for i in range(len(a) + 1):
              table[i][0] = i
           for j in range(len(b) + 1):
              table[0][j] = j
          # Fill table
           for i in range(1, len(a) + 1):
              for j in range(1, len(b) + 1):
                  if a[i - 1] == b[j - 1]:
                      table[i][j] = table[i - 1][j - 1] # Keep
                  else:
                      table[i][j] = 1 + min(
                          table[i][j - 1], # Insert
                          table[i - 1][j], # Delete
                          table[i - 1][j - 1], # Replace
          # Solution in the bottom right corner
          return table[-1][-1]
      levenshtein_dynamic("zebra", "amoeba")
Out[33]: 4
```



Dynamic programming

- This tabular approach of finding the edit distance is an example of dynamic programming
- Some recursive problems can be solved more efficiently using dynamic programming





Dynamic programming

- This tabular approach of finding the edit distance is an example of dynamic programming
- Some recursive problems can be solved more efficiently using dynamic programming

Requirements for applying dynamic programming:

- The problem can be divided into **subproblems**Example: Edit distance between strings > edit distance between substrings
- The optimal solution for the problem can be **derived from optimal solutions for the subproblems** Example: If we know the edit distance between all substrings, we know the edit distance between the full strings





Complexity without dynamic programming

```
In [34]: random_string = "".join(random.choices(string.ascii_letters, k=12))
       utils.plot_time_complexity(lambda x: levenshtein_recursive(x, "".join(reversed(x))), random_string,
          0.8
          0.7
          0.6
        O.5 O.4 O.3
          0.2
          0.1
```





Time complexity with dynamic programming

```
In [35]: random_string = "".join(random.choices(string.ascii_letters, k=12))
       utils.plot_time_complexity(lambda x: levenshtein_dynamic(x, "".join(reversed(x))), random_string, u
          0.00025
          0.00020
        0.00010 -
          0.00005
                                     10
```





More examples of dynamic programming

- Text-to-speech: <u>Viterbi algorithm</u> for finding the best speech samples in context
- Syntax parsing: <u>CYK algorithm</u> for context-free grammar parsing
- Graphs (e.g., WordNet): <u>Dijkstra's algorithm</u> for finding the shortest path between two nodes
- Sequence alignment (similar to edit distance!): matching DNA or protein sequences





Take-home messages

- Computational complexity measures how efficient an algorithm is as the size of its input increases
 - Time complexity and space complexity
 - $O(1) < O(n) < O(n \log n) < O(n^2) < O(2^n)$
 - Complexity is a theoretical concept -- it doesn't tell us anything about how many seconds or bytes the algorithm will take to run!
- Checking if a specific value exists in a list is slow! Use set or dict instead
- Recursive functions are functions that call themselves
- **Dynamic programming** is a technique to reduce time complexity by dividing the problem into subproblems and storing the results of those subproblems
- Levenshtein distance is the lowest number of edit operations (insertions, deletions, substitutions) required to turn one string into another





Enjoy your spring break!:)





