Lecture notes: Studying distributed systems – The notion of time

M2 MOSIG: Large-Scale Data Management and Distributed Systems

Thomas Ropars

2023

These notes discuss the notion of time in distributed systems<sup>1</sup>.

### 1 Asynchronous systems

A distributed system can be seen as an asynchronous system. It means that we make no timing assumptions about processes and links. In an asynchronous system:

- there is no bound on the transmission delay of messages;
- there is no bound on the relative speed of processes.

Such a system is used to model unpredictable load on the network and on the CPU.

We will see later in the course that some problems cannot be solved if you do not make any additional assumptions about time. But for now, we can start by wondering if, even without any synchronized physical clocks and no assumption on time, we can have a measure of the progression of time?

## 2 Logical time

In the previous lecture, we introduced the *happened-before* relation to capture causal relations between events in a distributed system. We rephrase the question above as follows: Is it possible to time-stamp the events of a distributed computation such that the happened-before relation can be inferred? In other words, if TS(e) denotes the time-stamp of some event e, is it possible to satisfy the following property:

$$e \to e' \iff TS(e) < TS(e').$$

We first introduce time-stamps that satisfy only  $e \to e' \Longrightarrow \mathrm{TS}(e) < \mathrm{TS}(e')$ . These time-stamps are called logical (scalar) clocks or Lamport clocks. Then we introduce time-stamps that satisfy  $e \to e' \Longleftrightarrow \mathrm{TS}(e) < \mathrm{TS}(e')$ . These time-stamps are called (logical) vector clocks. Logical (scalar) clocks and vector clocks are used, either explicitly of implicitly,<sup>2</sup> in several distributed algorithms.

 $<sup>^{1}</sup>$ Acknowledgments: Parts of these notes are strongly inspired by the lectures notes of Andre Schiper on Distributed Algorithms.

<sup>&</sup>lt;sup>2</sup>The basic mechanism of their implementations is used.

#### 2.1 Logical scalar clocks (Lamport clocks)

The property  $e \to e' \Longrightarrow \mathrm{TS}(e) < \mathrm{TS}(e')$  can be ensured with the logical clocks defined by Lamport [1]. The time-stamps of event e will be denoted by LC(e), and the logical clock of process  $p_i$  will be denoted by  $LC_i$ . The events on  $p_i$  are time-stamped using  $LC_i$  according to the following rules:

- The initial value of  $LC_i$  is 0 for all processes
- For any internal event on process  $p_i$ ,  $LC_i = LC_i + 1$
- When process  $p_i$  sends message m,  $LC_i = LC_i + 1$ , and the value of the logical clock is attached to message m. It means that if ts(m) is the time-stamp on message m,  $ts(m) = LC(e_i^k)$ , where  $e_i^k \equiv \text{send}(m)$
- When process  $p_j$  receives message m,  $LC_j = \max(LC_j, ts(m)) + 1$

It can be shown that the following property holds:  $\forall$  events e, e':  $e \rightarrow e' \Rightarrow LC(e) < LC(e')$ . Figure 1 presents an example of execution where all events are timestamped using Lamport clocks.

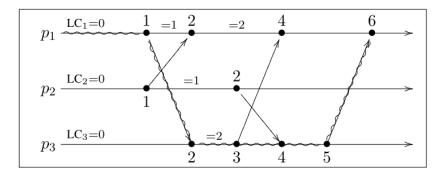


Figure 1: Example of execution with Lamport Clocks

After occurrence of event  $e_i^j$  on  $p_i$ , the logical clock of  $p_i$  is updated:  $LC_i := LC(e_i^j)$ .

Note that  $LC(e) < LC(e') \not\Rightarrow e \rightarrow e'$ . Take for example the event on  $p_1$  with time-stamp 2 and the event on  $p_3$  with time-stamp 3 in Figure 1.

**Remark** LC(e) is equal to the length of the longest causal chain ending at event e. Example:  $LC(e_1^4) = 6$ . Longest causal chain:  $e_1^1 \to e_3^1 \to e_3^2 \to e_3^3 \to e_3^4 \to e_1^4$ .

#### 2.2 Logical vector clocks

Vector clocks, proposed independently by Mattern and by Fidge in 1988, satisfy the property  $e \to e' \iff TS(e) < TS(e')$ . The time-stamp of event e will be denoted by VC(e), and the vector clock of process  $p_i$  will be denoted by  $VC_i$ .

 $<sup>^3 \</sup>mbox{We also say "} piggybacked ". \label{eq:control_piggybacked}$ 

VC(e) is a vector of size n. For some event  $e_i$  occurring at process  $p_i$ , the time-stamping rules ensure the following property:

- For i = j,  $VC(e_i)[j] =$  number of events on  $p_i$  up to and including  $e_i$ .
- For  $i \neq j$ ,  $VC(e_i)[j] =$  number of events on  $p_i$  that happened before  $e_i$ .

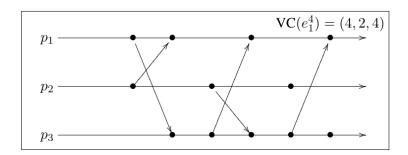


Figure 2: Illustration about Vector Clocks

Consider  $e_1^4$  in Figure 2, with time-stamp (4,2,4). We can say that:

- 4:  $e_1^4$  is the fourth event on  $p_1$ ;
- 2: Two events on  $p_2$  happened before  $e_1^4$ ;
- 4: Four events on  $p_3$  happened before  $e_1^4$ .

The events on  $p_i$  are time-stamped using  $VC_i$  according to the following rules:

if  $e_i$  is an internal event or send(m) then

$$\forall j \neq i, \quad VC(e_i)[j] = VC_i[j]$$
  
 $VC(e_i)[i] = VC_i[i] + 1$ 

#### else

 $\{e_i \text{ is a receive event: message } m \text{ with timestamp } ts(m)\}$ 

 $VC(e_i) = max(VC_i, ts(m))$ 

$$VC(e_i)[i] = VC(e_i)[i] + 1$$

#### end if

Similarly to Lamport clocks, ts(m), the time-stamp piggy-backed on message m, is defined as the time-stamp of the send(m) event:  $TS(m) = VC(e_i^j)$ , where  $e_i^j \equiv send(m)$ .

Similarly to Lamport clocks, after occurrence of event  $e_i^j$  on  $p_i$ , the vector clock of  $p_i$  is updated:  $VC_i := VC(e_i^j)$ .

We consider the relation < on vectors, defined as usual:

$$VC(e) < VC(e') \Leftrightarrow \forall i \quad VC(e)[i] \leq VC(e')[i] \quad and$$
  
 $\exists j \quad VC(e)[j] < VC(e')[j].$ 

It can be shown that vector clocks indeed ensure the following property:

$$VC(e) < VC(e') \Leftrightarrow e \to e'$$
.

<sup>&</sup>lt;sup>4</sup>The max of two vectors is computed element by element.

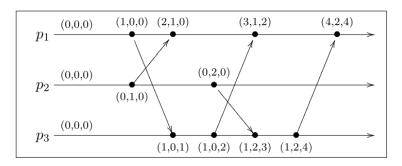


Figure 3: Example of execution with Vector Clocks

# References

[1] L. Lamport. Time, clocks, and the ordering of events in a distributed system. In *Concurrency:* the Works of Leslie Lamport, pages 179–196. 2019.