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# Changes in vegetation attributes along an elevation gradient towards timberline in Khangchendzonga National Park, Sikkim

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Abstract: In this preliminary elevation gradient (3000-4000 m) study of high ranges forest of Sikkim (eastern Himalayas), we have analyzed the (i) species composition, (ii) tree species richness, density, basal area and distribution range, and (iii) forest structure by diameter at breast height (DBH) classes. The main purpose was to identify the role of elevation in tree dominance, and species richness in the subalpine forests of eastern Himalaya. The study was conducted in the Yuksam-Dzongri transect nested within the Khangchendzonga National Park, west district of Sikkim state. The quadrat method was used to sample vegetation and sampling was done at every 100 m steps between 3000 m and 4000 m elevations, eventually ending up in the timberline ecotone. A total of 109 species belonging to 80 genera and 46 families were recorded. The species richness and total tree basal area (TBA) declined monotonically along the elevation gradient. Tree density in present elevation transect was significantly higher than its western Himalayan counter parts of Indian Himalayan region. The presence of 23 tree species in the highest 1000 m forested zone highlights the high tree species richness of the eastern Himalaya. It was largely because of the speciation of *Rhododendron* spp. Further investigation is required to develop a holistic understating of these vegetation patterns across the Indian Himalayan region.

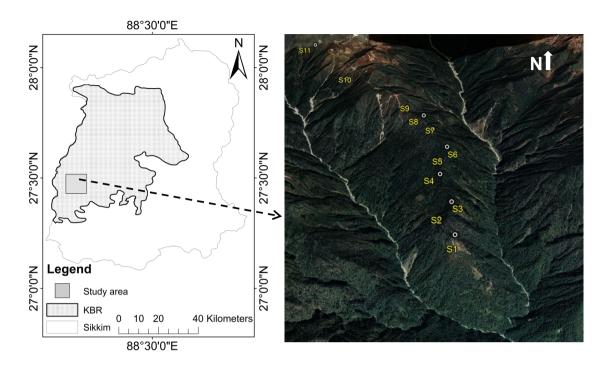
**Key words:** Distribution range, eastern Himalaya, elevation gradient, forest structure, subalpine forest.

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### Introduction

Worldwide, the effects of global climate change on ecosystems have been of increasing concern (Christensen *et al.* 2007), and studies have indicated ecological fingerprints of recent global warming across a wide range of habitats (Root *et al.* 2003; Walther *et al.* 2002) and vegetation (Pauli *et al.* 2012). In a warming world, species are expected to shift their distributions pole-ward in latitude and upward in elevation (Walther *et al.* 2002). At global scale, studies are witnessed on elevational expansion of the forest line in many mountain

ranges, including the Polar Urals, Russia (Devi et al. 2008), the central Swiss Alps, Switzerland (Vittoz et al. 2008), western Himalaya, India (Panigrahy et al. 2010), Rocky Mountains, Southern Alberta (Cullen & Marshall 2011), and the central Himalaya, Nepal (Gaire et al. 2014). This upward forest expansion is expected to shrink the extent of the alpine ecosystems and, possibly cause species loss and ecosystem degradation through greater fragmentation (Forrest et al. 2012; Macias-Fauria & Johnson 2013; Peel et al. 2007; Randin et al. 2009). To understand the impacts of climate change on patterns of vegetation distribution and conser-



**Fig. 1**. Study area map of subalpine forest in Khangchendzonga National Park, West Sikkim. S denotes sites at different elevation gradients. (The Inset Map source: Google Earth)

vation of biological diversity, knowledge of the biogeographical variation in species richness is critical (Vetaas & Grytnes 2002). In mountain ecosystems, species richness varies along the elevation gradients which can be used to study the trends of vegetation responses under the changing climate scenario.

The elevation gradient in Himalayas is one of the longest bioclimatic elevation gradients in the world and sustains rich biological diversity, within only a stretch of 150 km one can move from a tropical zone to a zone of permanent frost. These climatic, topographic, geological, and altitudinal variations have created unique landscapes, ecosystems, and biota in the Himalayas. The eastern Himalaya (EH), stretching from eastern Nepal to Yunnan in China, between 82.70°E and 100.31°E longitude and 21.95°N and 29.45°N latitude, covers an area 524,190 km<sup>2</sup>. States of north-eastern region of India fall under this region and shares (52.03%) of the total EH region (Tse-ring et al. 2010). Sikkim Himalaya, due to its complex topography and highly diverse biological system (Acharya et al. 2011) offers an excellent opportunity to address the questions dealing with vegetation community and species response to the climate change.

Of the 825 ecoregions in the world (Olson & Dinerstein 2002), 13 are present across the

Himalayan arc (Shrestha et al. 2012), and 5 are represented in the Sikkim. Among them, the Eastern Himalayan sub-alpine forests represent transition (ecotone) from the forested ecoregions to treeless alpine meadows and boulder-strewn alpine screes (Olson et al. 2001). Besides functioning as a potential indicator for trends of climatic change, this ecotone is valued as a unique habitat for representative, specialized and sensitive biodiversity elements including distinct biological assemblages, native and endemic floral and faunal species, and economically important species (Dhar 2000; Rawal & Dhar 1997). A few elevation gradient studies with focus on plant diversity patterns along elevational gradients have been made in the Central Himalaya (Bhattarai & Vetaas 2006; Bhattarai et al. 2004; Carpenter 2005; Grau et al. 2007; Vetaas & Grytnes 2002), in the Western Himalaya (Gairola et al. 2015; Oommen & Shanker 2005; Sharma et al. 2009; Sharma et al. 2010) in the Eastern Himalaya (Acharya et al. 2011; Behera & Kushwaha 2007).

The present study is aimed to understand and analyse the patterns of vegetation structure, species composition, and distribution along an elevation gradient leading to timberline ecotone in eastern Himalayan sub-alpine forests. It gives a preliminary account of changes in species diversity and species population dynamics in response to

changing climate along a 1000 m elevation range between 3000–4000 m.

### Materials and methods

### Study area

The study was conducted the in Khangchendzonga National Park (KNP) in the Sikkim state of India (Fig. 1). The KNP landscape [area: 1784 km<sup>2</sup>; location: between 27°03'41" and 28°7'34" N latitude and, 88°03'40" and 88°57'19" E longitude; elevation: 100 m asl (foothill) to 8548 m asl (Mount Khangchendzonga peak)] is famous for its rich biodiversity, extreme topographic variations, and alpine and sub-alpine ecosystems. The Sikkim is a well-recognized biodiversity hotspot area, and KNP is recently inscribed as the first mixed world heritage sites of India by UNESCO (http://whc.unesco.org/en/ newproperties).

The present study area largely comprises subalpine forests of mountainous landscape of the Mount Khangchendzonga (India), ranging from 3,000 m above sea level to the elevation limit of the forest (timberline) in Yuksam-Dzongri transect, nested in KNP. This area falls under moist premonsoon and dry winter precipitation regime and receives  $1021.0 \pm 157.01$  mm annual precipitation. The study area experiences mean annual temperature  $5.68 \pm 1.79$  °C with maximum temperature of warmest month 15.93 ± 1.64 °C and, minimum temperature of coldest month  $-8.36 \pm 3.47$  °C. The climate data of the study area was procured from WorldClim dataset (Hijmans *et al.* http://www.worldclim.org/bioclim.htm). To understand the patterns of the vegetation and community structure, vegetation of the identified transect was surveyed in each 100 m elevation band up to the timberline (Fig. 1).

### Vegetation sampling and data analysis

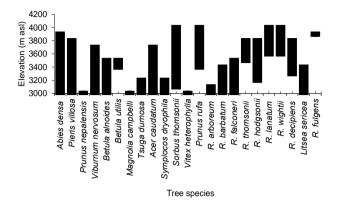
Three 0.1 ha plots (dimensions:  $50 \text{ m} \times 20 \text{ m}$ ) were sampled in each 100 m elevational difference across the subalpine forests (3000--4000 m) of KNP landscape. In each 0.1 ha plot, five  $10 \text{ m} \times 10 \text{ m}$  quadrats were laid randomly for enumerating trees and saplings, and in each  $10 \text{ m} \times 10 \text{ m}$  quadrat one  $5 \text{ m} \times 5 \text{ m}$  sub-quadrat was laid randomly for enumerating shrubs/seedlings of tree species, and four  $1 \text{ m} \times 1 \text{ m}$  random quadrats to sample herbs. The sample plots were established and surveyed during May-June and September-October to avoid snow and heavy rain period. All individuals present within the quadrats were enumerated. Woody

stems were measured for size and the circumference at breast height (CBH, i.e. 1.37 m above the tree base) for the determination of tree basal area, and in case of small-saplings and seedlings, it was taken at collar height and finally calculated as (C)<sup>2</sup>/4π (where C = mean circumference of a tree and  $\pi$  = 3.14). Thus, the total basal area (TBA) of each tree was calculated as the mean basal area of a tree  $\times$ density. TBA values of the three plots of each elevational site were averaged to obtain the final TBA value for each site. Woody stems having  $\geq 30$ cm CBH were considered trees; individuals with 10 to 30 cm CBH as saplings; and those with CBH less than 10cm were considered as seedlings. The survey data were quantitatively analyzed to obtain the values of density, frequency, and total basal area (TBA) following Misra (1968) and Mueller-Dombois & Ellenberg (1974). Importance value index (IVI) was the sum of relative frequency, relative density and relative dominance (Phillips 1959) of a species. Density and TBA values were converted to per hectare (ha<sup>-1</sup>) basis for extrapolation of the results. Total basal area (m<sup>2</sup> ha<sup>-1</sup>) was used to determine the relative dominance of a tree species. Shannon diversity (H'), Simpson's index of Dominance (C), Margalef's Index of Species richness (S) and Shannon Index of species evenness (E) were computed following Magurran (1988). Species richness was determined as the total number of species in sampled area for different life forms. Analysis of variance (ANOVA) was used to compare the differences in means of important value index (IVI) of woody stems between different elevations and the significant differences were tested using the Duncan's multiple range test (DMRT; P < 0.05). Linear regression analysis was used to understand the linear/quadratic relationship between tree diversity and tree richness with elevational gradients.

### Results

### Elevation pattern of species composition

A total of 6,488 individuals were recorded in tree layer of which 2,483 were adult trees, 2,633 saplings, and 1,372 seedlings belonging to 10 families, 11 genera, and 23 species from the subalpine forest of KNP. Ericaceae was represented by 10 tree species i.e., Lyonia villosa, Rhododendron arboreum, R. barbatum, R. decipiens, R. falconeri, R. fulgens, R. hodgsonii, R. lanatum, R. thomsonii and R. wightii. The stand tree density (mean  $\pm$  SE; 1,504  $\pm$  209 individuals ha<sup>-1</sup>) varied significantly (P < 0.05) along the elevation gradient,



**Fig. 2.** Elevational range of tree species across the subalpine forest of Khangchendzonga National Park, Sikkim. (*R. = Rhododendron*)

with significantly higher stand density (2,593 individuals ha<sup>-1</sup>; P < 0.05) in *Abies* dominated forest at the 3300 m and minimum stand density (307 individuals ha<sup>-1</sup>; P < 0.05) at timberline (3989 m). The sapling density peaked (3,460 individuals ha-1; P < 0.05) in Tsuga mixed forest, at the lower elevational end (3000 m) and seedling density peaked (6,293 individuals  $ha^{-1}$ ; P < 0.05) in R. hodgsonii forest at the middle elevation 3600 m (Table 1). Among the tree species, the maximum density was observed for R. hodgsonii minimum for Lyonia villosa (Table S1). Most of the tree species had shown the restricted distribution range and none of the species occurred all along the gradient (Fig. 2). The widest amplitude was observed for A. densa (3000-3900 m) and Sorbus microphylla (3100-3989 m). A few species, such as Prunus bracteopadus and Magnolia campbellii were confined to the lower end of the elevational transect, as these are species of temperate belt. In contrast Rhododendron fulgens was confined to the upper end of the forest range. Among the tall tree species, A. densa goes up, the highest formed forest patches above the timberline (Fig. 2). Small tree species like Sorbus microphylla, Prunus rufa, R. lanatum, R. wightii, were observed growing as under canopy of A. densa up to 3900 m, where after they formed small forest patches without the presence of A. densa up to 4000 m. Above 4000 m these species occurred as solitary individuals. Unlike to western Himalaya, where Betula utilis is the principal treeline species, in Sikkim A. densa along with the associated species mainly R. wightii, *R. lanatum* are the principal treeline species.

The TBA values (mean  $\pm$  SE;  $19.02 \pm 4.57$  m<sup>2</sup> ha<sup>-1</sup>) differed significantly (P < 0.05) along the

elevation gradient ranging from  $0.93 \pm 0.53 \text{ m}^2 \text{ ha}^{-1}$  at highest elevation site to  $52.52 \pm 14.53 \text{ m}^2 \text{ ha}^{-1}$  at low elevation (3200 m). Based on the important value index (IVI) of tree species separated by the Duncan's multiple range test (DMRT), the sampled area can be subdivided in to six different forest communities (AF: *Abies* dominated forest; RhF: *R. hodgsonii* forest; RSMF: *Rhododendron, Sorbus* mixed forest; RwF: *R. wightii* forest; TRMF: *Tsuga, Rhododendron* mixed forest; TVMF: *Tsuga, Viburnum* mixed forest) (Table 2).

In shrub layer, a total of 2,419 individuals belonging to 22 species, 17 genera, and 11 families were enumerated along the studied gradient (Table S2). Ericaceae was the most dominant family, represented by 9 species, followed by Rosaceae (3 species), and Berberidaceae (2 species). The mean shrub density  $(5,605 \pm 1,097 \text{ individuals ha}^{-1})$  was observed significantly higher  $(12,747 \text{ individuals ha}^{-1})$  was observed towards mid and high elevations. The species density was recorded maximum for *Rubus* sp. however, *Viburnum cotinifolium*, *Rhododendron camelliflorum* and *Mahonia napaulensis* shared minimum density values for the shrub layer.

In herbaceous layer, a total of 7,024 individuals of 64 species were recorded belonging to 53 genera and 29 families, in addition to 8 unidentified herb species. Compositae was the most dominant family represented by 7 herb species, followed by Polygonaceae, Primulaceae, and Rosaceae represented by 5 herb species each (Table S3). The density value for herb species significantly (P < 0.05) differed across the study area and a significantly higher density value (2,04,000 individuals ha<sup>-1</sup>; P < 0.05) was recorded in mid-elevation (3,400 m) of A. densa dominated forest and (1,99,167 individuals ha<sup>-1</sup>, P < 0.05) in Tsuga, Rhododendron mixed forest at low elevation (3000 m) (Table 1). The Fragaria daltoni emerged as the most dominant herb species with widest amplitude across the area (Table S3).

## Diameter class distribution and elevational trend of growth forms

The diameter class wise distribution of tree species richness, and total basal area (TBA) along different elevational ranges (high, middle and low) represented reverse J-shaped, interrupted reverse J-shaped, and hump shaped patterns (Fig. 3a–c). Overall the TBA peaked at 3200 m in A. densa dominated forest (Fig. 4). Among the life-forms, tree density peaked in A. densa dominated forest

Table 1. Patterns of vegetation composition along the elevational gradient in subalpine forest of Khangchendzonga National Park, Sikkim.

Forest Communities	Elevation (m asl)	TBA (m² ha <sup>-1</sup> )	Tree density (Individuals ha <sup>-1</sup> )	Sapling density (Individuals ha <sup>-1</sup> )	Seedling (Individuals ha <sup>-1</sup> )	Shrub density (Individuals ha <sup>-1</sup> )	Herb density (Individuals ha <sup>-1</sup> )
TRMF	3000	4.99±1.70cd	1073.33±179.75cd	3460.0±120.554a	2533.3±1239.64bcd	9946.67±765.01ab	199166.67±24251.0a
TVMF	3100	$3.27 \pm 0.99 cd$	$606.67 \pm 173.72 de$	$720.0\pm257.16$ de	$1840.0\pm697.42$ bcd	$2480.0\pm454.89d$	$98166.67 \pm 5833.33$ bc
$\mathbf{AF}$	3200	52.52±14.53a	2220.0±196.98ab	$1880.0\pm522.047$ b	4613.33±515.02ab	12746.67±943.89a	$34833.33\pm12125.5c$
$\mathbf{AF}$	3300	$37.25 \pm 9.51 ab$	2593.3±81.10a	2780.0±220.0a	$3200.0 \pm 922.61 abcd$	$9146.67 \pm 933.33$ b	$79333.33\pm23566.1$ bc
$\mathbf{AF}$	3400	$17.60 \pm 1.65 bcd$	$1700.00\pm87.18$ bc	$1993.33\pm213.65b$	$3120.0 \pm 561.9 abcd$	$7173.33\pm986.67$ bc	204000.0±25059.93a
$\mathbf{AF}$	3500	$20.96 \pm 6.02 bcd$	$1186.67 \pm 256.21 \mathrm{cd}$	$953.33 \pm 206.67 \text{cde}$	4400.0±697.42ab	5493.33±1326.92cd	19833.33±1092.9c
RhF	3600	$23.06\pm3.19$ bc	$1553.33{\pm}127.19 bc$	$1413.33\pm198.77$ bcd	6293.3±2145.8a	2533.33±1365.74d	$75666.67 {\pm} 37251.8 bc$
RhF	3700	$20.37 \pm 6.38 bcd$	1813.33±213.33bc	$1586.67 \pm 373.51$ bc	$2373.3 \pm 907.84 bcd$	2693.33±1554.23d	$92333.33\pm40270.27$ bc
RSMF	3800	$13.17 \pm 1.42 cd$	$1340.0\pm254.03c$	$1240.0 \pm 280.0 bcd$	4000.0±1883.54abc	$4000.0\pm1019.28cd$	$159166.67 \pm 26461.2ab$
$\mathbf{AF}$	3900	$15.06 \pm 3.05 cd$	2146.67±497.64ab	$1220.0\pm61.10bcd$	$740.0 \pm 174.36 cd$	$3253.33 \pm 1755.35 d$	$80666.67 \pm 26032.6 bc$
RwF	4000	$0.93 \pm 0.53 d$	$306.67 \pm 177.51$ e	$360.0\pm94.52e$	313.33±52.07d	2186.67±232.47d	127500.0±39585.35ab
Average		19.02±4.57	1,504±209	1,601±272	3,036±529	5,605±1097	1,06,424±18,236

Values representing the mean±SE, mean values within each column (elevation sites) followed by same letters are not significantly (P< 0.05) different, separated by Duncan's Multiple Range Test (DMRT). Forest communities-AF: Abies dominated forest; RSMF: Rhododendron, Sorbus mixed forest; RhF: Rhododendron hodgsonii forest; RwF: Rhododendron wightii forest; TRMF: Tsuga, Rhododendron mixed forest; TVMF: Tsuga, Viburnum mixed forest.

Table 2. Patterns of forest communities along the elevation gradient in subalpine forest of Khangchendzonga National Park, Sikkim.

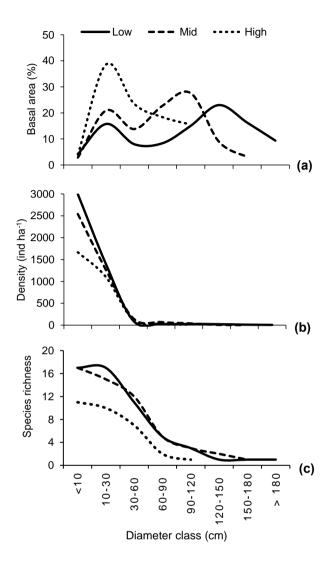
Tree species	TRMF (3000	TVMF	AF	AF (3300	AF (3400	AF (3500	RhF (3600	RhF (3700	RSMF	AF	RwF
	*	(3100	(3200	•	`	`	*	`	(3800	(3900	(4000
	m asl)	m asl)	m asl)	m asl)	m asl)	m asl)	m asl)	m asl)	m asl)	m asl)	m asl)
$Abies\ densa$	11.65±	19.34±	$109.57 \pm$	85.16±	92.50±	126.93±	102.98±	54.85±	51.21±	122.55±	-
	7.05bc	12.16bc	4.62a	8.33a	9.74a	3.12a	3.83b	23.43b	14.80ab	27.27a	
Acer caudatum	$20.78\pm$	50.19±	$20.25\pm$	$39.43 \pm$	$15.54\pm$	-	$4.27\pm$	$10.19 \pm$	-	-	-
	2.99bc	18.99ab	5.28d	5.65c	1.81cd		4.27d	1.36c			
Betula alnoides	$19.96 \pm$	_	$6.88 \pm$	$15.70 \pm$	$26.03\pm$	$3.75\pm$	_	_	_	_	_
Detaila atmotacs	6.06 bc		3.58ef	1.94d	1.07c	3.75e					
Betula utilis	_	_	_	_	$5.54 \pm$	$12.03 \pm$	_	_	_	_	_
Detaila attitis	_	_	-	_	0.21de	8.14d	-		_	_	-
Litsea sericea		$7.71\pm$			$19.26 \pm$						
Lusea sericea	•	7.71bc	-	-	11.89c	-	-	-	-	-	-
Luonia villosa	$6.17\pm$								$2.09\pm$		
$Lyonia\ villosa$	3.63c	-	-	-	-	-	-	-	2.09e	-	-
Magnolia	$7.66\pm$										
campbelli	7.66c		-	-		-	-	-	-	-	-
Prunus	$13.79 \pm$										
bracteopadus	3.12bc	-	-	-	-	-	-	-		-	-
Prunus rufa						$3.29 \pm$	$9.89 \pm$	$17.57 \pm$	$29.43 \pm$	$14.75 \pm$	$58.46 \pm$
	-	-	-	-	-	3.29e	5.75d	13.11c	14.20bcd	14.75 bc	30.60b
Rhododendron	$50.07 \pm$	$16.53 \pm$									
arboreum	18.49a	16.53bc	-	-	-	-	-	-	-	-	-
Rhododendron	1.80±	$9.97 \pm$	$59.66 \pm$	$30.22 \pm$	$24.10 \pm$						
barbatum	1.80c	8.94bc	4.31b	5.64c	7.10c	-	-	-	-	-	-
Rhododendron				6.96±	4.50±			$2.82 \pm$	6.96±		
decipiens	-	-	-	12.14d	2.35 de	-	-	2.82c	6.96de	-	-
Rhododendron	$56.29 \pm$	45.11±	$47.32 \pm$						2.2 2.2		
falconeri	16.14a	6.56abc	2.12c	-	-	-	-	-	-	-	-
Rhododendron	10.114	3.33430	2.120							0.43±	
fulgens	-	-	-	-	-	-	-	-	-	0.43c 0.43c	-

Contd...

Table 2. Continued.

	TRMF	TVMF	AF	AF	AF	AF	RhF	RhF	RSMF	AF	RwF
Tree species	(3000	(3100	(3200	(3300	(3400	(3500	(3600	(3700	(3800	(3900	(4000
	m asl)										
Rhododendron				68.49±	79.80±	95.71±	125.38±	103.23±	66.85±		
hodgsonii	-	-	-	13.04b	9.64b	3.90b	2.19a	12.36a	6.83a	-	-
Rhododendron							$5.63 \pm$	$16.74 \pm$	$27.10\pm$	$82.53 \pm$	$14.52 \pm$
lanatum	-	-	-	-	-	-	5.63d	9.85c	7.30cd	23.19b	14.52c
Rhododendron							$9.38 \pm$	$12.04 \pm$	$39.09 \pm$		
thom sonii	-	-	-	-	-	•	5.36d	7.12c	10.06 bc		
Rhododendron							$2.65 \pm$	$5.51\pm$	$9.52 \pm$	$40.75 \pm$	$178.11 \pm$
wightii	-	-	-	-	-	-	2.65d	2.85c	5.92 de	13.75b	20.94a
Sorbus		$5.51\pm$	$9.12 \pm$	$7.32 \pm$	$2.31 \pm$	$13.67 \pm$	$27.61 \pm$	$64.88 \pm$	$67.77 \pm$	$39.00 \pm$	$48.91 \pm$
microphylla	-	5.51c	4.74e	0.85d	2.31de	7.56d	5.82c	17.99b	22.56a	34.39b	28.47b
Symplocos	$38.28 \pm$	$14.97 \pm$									
dryophila	7.89ab	14.97 bc	-	-	-	-	-	-	-	-	-
Tsuga dumosa	$54.48 \pm$	$66.98 \pm$	$1.73 \pm$								
	25.74a	46.17a	1.73f	-	-	-	-	-	-	-	-
Viburnum	$6.89 \pm$	$63.69 \pm$	$20.92 \pm$	$30.71 \pm$	$24.77 \pm$	$45.86 \pm$	$12.21\pm$	$12.16 \pm$			
nervosum	3.62c	27.44a	3.72d	10.46c	1.91c	3.28c	12.21d	9.01c	-	-	-
Vitex quinata	$12.19\pm$		$24.54 \pm$	$12.42 \pm$	$5.65\pm$						
	12.19bc	-	2.24d	7.99d	5.65 de	-	-	-	-	-	-

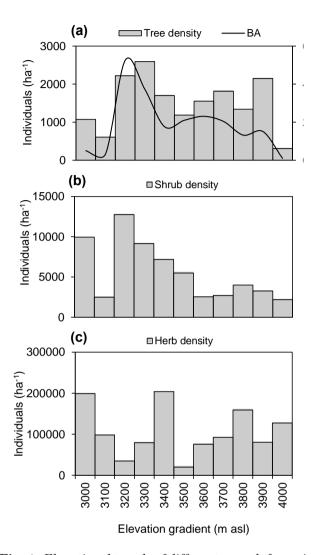
Values representing the mean±SE, mean values within each column (elevation sites) followed by same letters are not significantly (P< 0.05) different, separated by Duncan's Multiple Range Test (DMRT). The tree IVI values followed by the letter 'a' in each elevation site indicates the significantly higherdominance of species. Forest communities-AF: Abies dominated forest; RSMF: Rhododendron, Sorbus mixed forest; RhF: Rhododendron hodgsonii forest; RwF: Rhododendron wightii forest; TRMF: Tsuga, Rhododendron mixed forest; TVMF: Tsuga, Viburnum mixed forest.



**Fig. 3.** Tree species richness, density and percent basal area by DBH classes (cm) at different altitudes (Lower 3000-3300 m; Middle: 3400-3700 m asl; Higher: 3800-4000 m asl). The diameter class < 10 cm (CBH: < 30 cm) includes seedlings and saplings.

at lower elevation (3300 m), and shrub density also peaked in *A. densa* dominated forest at lower elevation (3200 m). However the herb density peaked in both *Tsuga*, *Rhododendron* mixed forest at 3000 m and *A. densa dominated* forest at 3400 m (Fig. 4).

The total species richness (including herb, shrub and tree) followed a reverse J-shaped pattern along the elevation gradient. A significant decline in species number was observed towards the higher end of the elevation gradient. Regression drawn between species richness and elevation showed a significant quadratic relation ( $R^2 = 0.74$ , P < 0.01).



**Fig. 4.** Elevational trends of different growth forms in subalpine forest of Khangchendzonga National Park, Sikkim.

Species richness of trees followed a hump-shaped relationship with elevation, showing a peak at around 3400 m. Shrub species richness followed a reverse J shaped curve and peaked at 3200 m. The herb species richness followed a reverse hump shaped curve and species richness declined towards mid-elevation (Fig. 5).

### The relationship between elevation and tree species composition

Regression drawn between elevation and tree species composition (Shannon diversity and Margalef's index of species richness) showed a significant quadratic relation (Fig. 6). The tree species diversity declined monotonically with elevation. The

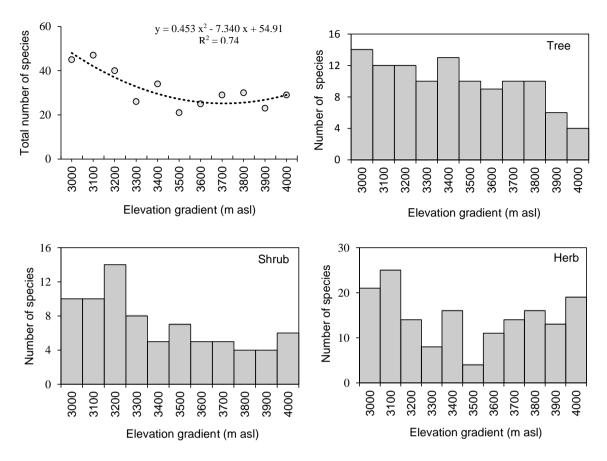


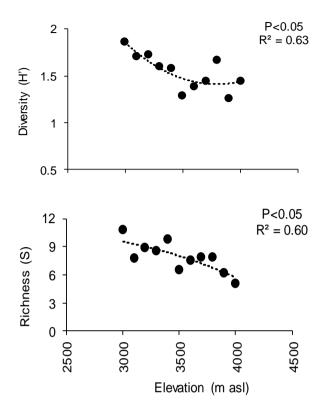
Fig. 5. Trends of species richness along the elevation gradient in subalpine forest of Khangchendzonga National Park, Sikkim.

Shannon diversity (H') was 1.86 at the 3000 m, which gradually decreased up to 1.26 for near timberline forest 3900 m, and reached finally up to 1.44 at the timberline forest 4000 m. Species evenness did not vary significantly along the gradient and the Shannon's index of species evenness value was observed between 0.68–0.88. The species richness showed a significant decreasing trend towards the higher elevation and the Margalef's index values of species richness varied between 10.82 at 3000 m to 5.06 at the timberline forest (4000 m) (Fig. 6). The dominance increased with an increase in the elevation and Simpson's index value was 0.22 at 3000 m which gradually increased towards the higher elevations.

### **Discussion**

In Sikkim along the elevation gradient, the maximum tree density  $(1,675 \text{ individuals ha}^{-1})$  is reported between 2800-3800 m (Acharya *et al.* 2011), which is comparable with the recorded tree density  $(1,504 \pm 209 \text{ individuals ha}^{-1})$  in the present

study from the subalpine forest (3000-4000 m). However, the tree density values of Sikkim Eastern Himalaya are comparatively higher than the (728)western Himalayan individuals subalpine forests (Gairola et al. 2015), and (546-616 individuals ha-1) different ridge top forests of Uttarakhand west Himalaya (Sharma et al. 2017). This variation in tree density can be attributed to the forest community type, forest age, site history and site condition (Parthasarathy 2001; Kumar et al. 2006). The tree density in present study showed an irregular trend along the elevation gradient and the maximum tree density was recorded from Abies dominated forests which can be correlated to their high regeneration potential and less vulnerability to herbivory (Begon et al. 2006). Further, the presence of *Rhododendron* spp. in under-canopy makes these forests denser. These dense canopies help to retain the moisture, which supports the establishment ofshade adapted seedlings. However, the density values for shrub and herb species in the present study area are slightly higher than the western Himalayan subalpine forests



**Fig. 6.** Relationship between elevation and tree species composition were; H': Shannon index of diversity; S: Margalef's Index of Species richness.

(Gairola et al. 2015) and significantly lower than the eastern Himalayan forests in Arunachal Pradesh, north-eastern region of India (Saikia et al. 2017). Overall, the present study recorded Ericaceae as the most dominant family with 19 spp., where as Compositae was the dominant family in the Western Himalayan forests of India (Sharma et al. 2014) and Fabaceae was the most species rich family in eastern Himalayan forests in Arunachal Pradesh, Northeast India (Saikia et al. 2017). This difference can be related to the variations in growth forms, species composition, and study area, as the study area is rich in Rhododendron spp. and contributes to both tree (9 spp.) and shrub (5 spp.) layers.

The mean basal area  $(19.02 \pm 4.57 \text{ m}^2 \text{ ha}^{-1})$  of 6,488 individuals of tree species in present study was found lower than the reported basal area (72.1  $\pm$  69.8) of 3,874 individual trees species between 300–4700 m elevations in other parts of Sikkim (Acharya *et al.* 2011). This variation in the basal area may be attributed to altitudinal variations, species composition, age structure and successional stage of the forest (Swamy *et al.* 2000). The present study covers the area of subalpine forest (3000–4000 m) and consists of the high density of low girth

class tree species such as rhododendrons in understory layer. However, the TBA value of present study (0.93–52.52 m² ha $^{-1}$ ) is comparable with various sub alpine forests of Uttarakhand west Himalaya such as Nanda Devi Biosphere Reserve (2300–3800 m asl) 14.68–80.28 m² ha $^{-1}$  (Joshi & Samant 2004), Valley of Flower National Park (2750–3250 m asl) 17.87–86.75 m² ha $^{-1}$  (Gairola et al. 2015), and Tungnath (3000–3200 m asl) 8.94–69.84 m² ha $^{-1}$ ; Lata (3000–3200 m asl) 15.29–35.33 m² ha $^{-1}$ ; Pindari (3000–3200 m asl) 16.75–37.16 m² ha $^{-1}$  (Gairola et al. 2014).

A total of six forest types (communities) were observed along an elevation gradient, among them A. densa dominated forest community was the most common and represented by 5 elevation sites. In the present study, we documented 23 tree species and the number of species declined with increasing girth size. Similar trend was exhibited by various taxa along elevational gradients in the mountain ecosystems (Acharya et al. 2011; Cardelus et al. 2006; Gaston 1996; Graham 1990). This posits narrow tolerance to climatic variations by the species, therefore unable to extend their ranges beyond certain elevation range (Jetz & Rahbek 2002). Further, the factors like habitat availability, dispersal and establishment competition, local abundance, climatic or environmental tolerances and historical incidences are the determinants of species range limit (Gaston 1996).

The relationship between species richness and evenness across communities remains an unsettled issue in ecology from both theoretical and empirical perspectives (Zhang et al. 2012). The recorded Shannon diversity values for trees in the present study ranged between 1.2-1.9 which is slightly higher than the temperate forests of western Himalaya. Further tree diversity decreased with increasing elevations, which was in accordance to the reported studies in the western Himalaya (Sharma et al. 2009; Singh & Kaushal 2006). Similarly, the species richness decreased with increase in elevation, which is in agreement with earlier studies (Bachman et al. 2004; Jacquemyn et al. 2005; Trigas et al. 2013). Overall the surveyed subalpine forest of Sikkim Himalaya possess good regeneration status seedling (3039 individual ha<sup>-1</sup>) > sapling (1601 individual ha<sup>-1</sup>) > tree (1504 individual ha<sup>-1</sup>). Among the tree species, most of the Rhododendronspp. followed similar Interestingly, the presence of R. hodgsonii seedlings in the higher elevations indicate the tolerance capacity of the species to the harsh climatic conditions of the higher elevation and in

near future this species has the possibility to establish itself to comparatively higher elevations. However, further attention is required to develop a holistic understanding of ecosystem functioning across this highly diverse area.

#### Conclusion

study analyses present vegetation structure along an elevation gradient of 3000-4000 m in subalpine forest zone up to timberline of Sikkim East Himalaya. The overall species richness and total basal area declined monotonically with elevation. The amplitude (range) of tree species varied across the gradient. The area possesses three layers of trees, i) understory layer of low DBH trees. ii) canopy layer of Betula spp., Vitex quinata, Rhododendron spp., and iii) emergent layer of Abies densa and Tsuga dumosa. The lower elevation contributed the most in the total basal area (TBA). The tree species richness and diversity significantly decreased towards the higher elevation. The forest vegetation has species combinations of two contrasting sizes and densities of trees: large trees of conifers, particularly A. densa and small trees in very high densities of Ericaceae family, particularly Rhododendron spp. These two groups combine to give high tree basal area up to 3900 m. However, absence of conifers and low species richness of trees at the diffused timberline of the region correlated to lower basal area at the highest elevation site 4000 m. Under the current climate change scenario, this understanding of species distribution along the elevational gradient across remotely located subalpine forests will be helpful for planning management and conservation of biodiversity in the Himalayas.

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### **Supporting Information**

Additional Supporting information may be found in the online version of this article.

- Table S1. Status of tree species along the subalpine conifer forest in Khangchendzonga National Park.
- Table S2. Status of shrub species along the subalpine conifer forest in Khangchendzonga National Park.
- Table S3. Status of herb species along the subalpine conifer forest in Khangchendzonga National Park.