TROPIC01

ODN_TR01_app_003

Device Identity and PKI Application Note

Version: 1.1

Abstract

This document describes TROPIC01 device identity provided by the X.509 chip certificate and the Tropic Square Public Key Infrastructure. This should enable developers to integrate the TROPIC01 securely into their products, namely through the factory provisioning systems.

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Page: 1 Version: 1.1



Contents

| 1 | Introduction | | | | | | |
|---|--|----|--|--|--|--|--|
| 2 | TROPIC01 unique identifiers | | | | | | |
| | 2.1 S/N structure | 6 | | | | | |
| | 2.2 CHIP_ID structure | 7 | | | | | |
| | 2.3 X.509 Certificate Store structure | 11 | | | | | |
| 3 | TROPIC01 certificate and issuing PKI | 15 | | | | | |
| | 3.1 Tropic Square root CA certificate properties | 16 | | | | | |
| | 3.2 TROPIC01 "product level" CA certificate properties | 17 | | | | | |
| | 3.3 TROPIC01 Part Number (group) CA certificate properties | 18 | | | | | |
| | 3.4 TROPIC01 chip (device) certificate properties | 19 | | | | | |
| 4 | Binding TROPIC01 with host (factory process) | 20 | | | | | |
| | 4.1 Validate TROPIC01 certificate chain | 20 | | | | | |
| | 4.2 TROPIC01 certificate chain readout by TROPIC01 SDK | 23 | | | | | |
| | 4.3 TROPIC01 certificate chain validation example with OpenSSI | 24 | | | | | |

Version: 1.1

Glossary

- API Application Programming Interface
- ASN.1 Abstract Syntax Notation One (as defined by [7])
- **BER** Basic Encoding Rules (in the context of ASN.1)
- **CA** Certification (Certificate) Authority (in the context of PKI)
- CBOR Concise Binary Object Representation (as defined by [10])
- COSE CBOR Object Signing and Encryption (as defined by [11])
- CRL Certificate Revocation List
- DER Distinguished Encoding Rules (in the context of ASN.1)
- **FW** Firmware
- **HSM** Hardware Security Module
- **HW** Hardware
- IETF Internet Engineering Task Force
- ITU International Telecommunication Union (standardization body)
- MCU MicroController Unit
- **Noise** The Noise Protocol Framework as defined in [9]
- OTP One-Time Programmable (memory)
- PKI Public Key Infrastructure
- **P/N** Part Number
- RFC Request for Comments (in the context of IETF standardization)
- **RFU** Reserved for Future Use
- ROM Read-Only Memory
- **RoT** Root of Trust
- **SoC** System on Chip



- **S/N** Serial Number
- **TLV** Tag-Length-Value structure (in the context of ASN.1)
- URI Uniform Resource Identifier (in the context of the Internet's World Wide Web)
- **UTC** Coordinated Universal Time (as defined by ITU)
- X.509 ITU specification X.509 defining PKI [6]

Version: 1.1

1 Introduction

TROPIC01 is an openly auditable secure element that comes with its own unique cryptographic identity in the form of secure channel key pair and a certificate. The certificate is issued by Tropic Square PKI which provides framework for verifying the origin of each TROPIC01 chip ever produced.

The assurance of TROPIC01 genuine origin is an essential mitigation of supply chain attacks. The layered security model of the systems embedding secure elements assumes the security of the final product depends on all the underlying layers:

- Layer 0: Auditable HW design
- Layer 1: Auditable Bootloader (ROM) and Application Firmwares
- Layer 2: Cryptographically backed (verifiable) unique identity of system's RoT
- Layer 3: Customer-managed device identity backed by the system's RoT
- Layer 4: Customer-specific application security integrating with system's RoT (secure boot, user local authentication, remote admin authentication etc.)
- Layer 5: The actual application use cases and business logic of the product (access control of the doorlocks, data measurement and upload to mobile/cloud for smart sensors etc.)

This application note should help integrators with covering "Layer 2" of the security model outlined above. Specifically, it covers the following aspects of TROPIC01 identity:

- Chip Serial Number (S/N)
- Other chip identity metadata such as P/N
- Chip Secure Channel certificate
- Tropic Square PKI for issuing unique TROPIC01 certificates
- Recommended TROPIC01 certificate validation for integrators

Version: 1.1

Intended audience of this document:

- Embedded system developers developing firmware code which is interfacing with TROPIC01.
- Manufacturing engineering teams responsible for TROPIC01 provisioning.
- Supply chain engineers verifying TROPIC01 origin.

Version: 1.1

2 TROPIC01 unique identifiers

Each TROPIC01 is issued by Tropic Square with unique S/N. The S/N is present within two data structures provisioned to TROPIC01 in the factory:

- CHIP_ID
- X.509 Certificate Store (chip certificate)

Both data structures are stored in OTP memory, therefore they cannot be changed. To read both structures, the Host MCU issues **Get_Info_Req** L2 Request.

2.1 S/N structure

Tropic Square issues TROPIC01 with two versions of S/N:

- S/N version 01
- S/N version 02

All versions of S/N encoding have the length of 16 bytes (128 bits). This value (unsigned integer representation of the byte array) is mirrored in the chip certificate (refer to section 3.4). The first byte (8 bits) of the S/N denotes the version. The remaining 15 bytes are version specific.

Following diagram presents the S/N version 01 and 02 structure:

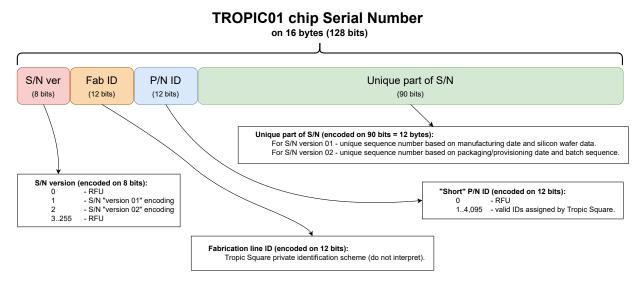
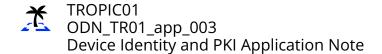


Figure 1: TROPIC01 S/N version 01 and 02 structure

Version: 1.1



The 12-bit ("short") P/N notation is linked to "full" P/N in Tropic Square's catalogue. The "full" P/N can be obtained either from the **CHIP_ID** structure (described in section 2.2) or from [3] distributed through https://github.com/tropicsquare/tropic01.

2.2 CHIP_ID structure

The CHIP_ID field serves as "public manufacturer data" area. It contains the following information:

- CHIP_ID structure version
- S/N (refer to section 2.1 for more details).
- P/N ("short" and "full" length formats).
- Package type
- Silicon revision
- ROM/OTP data version (Tropic Square private formatting)
- Product factory test data (Tropic Square private formatting)
- Provisioning tracking data (Tropic Square private formatting)

Following table describes detailed encoding of the current CHIP_ID structure v1 for TROPIC01 ("big endian" encoded 128-byte array):

2

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TROPIC01
ODN_TR01_app_003
Device Identity and PKI Application Note

| Item | Size | Description | | | | |
|---|-------------------------------|--|--|--|--|--|
| | (bits) | | | | | |
| CHIP_ID structure versioning (32 bits). | | | | | | |
| CHIP_ID version | 32 | Chip ID structure version on 4 bytes, each interpreted as unsigned integer | | | | |
| | | value 0255. Example encoding: v1.2.3.4 = 0x01, 0x02, 0x03, 0x04. | | | | |
| Wafer level test info (128 bits). | | | | | | |
| Factory Level Chip Info | 128 | Tropic Square private format (do not interpret). | | | | |
| Manufacturing level test inf | o (128 b | oits). | | | | |
| Function Test Info | 64 | Tropic Square private format (do not interpret). | | | | |
| Silicon Revision ID | 32 | ASCII encoded string value defined by Tropic Square. Example: 'ACAB' = | | | | |
| | | 0x41434142. | | | | |
| Chip Package Type ID | 16 | Chip Package Type ID defined by Tropic Square. | | | | |
| RFU | 16 | Padding for memory alignment, filled with 0xFFFF data (do not interpret). | | | | |
| Provisioning info (128 bits). | Provisioning info (128 bits). | | | | | |
| Prov Info Version | 8 | Version of Provisioning Info structure (integer 0255). | | | | |
| Fab ID | 12 | Tropic Square private format (do not interpret). | | | | |
| P/N ID | 12 | "Short" Part Number format as defined by Tropic Square. | | | | |
| Provisioning date | 16 | Tropic Square private format (do not interpret). | | | | |
| HSM version | 32 | Tropic Square private format (do not interpret). | | | | |
| Programmer version | 32 | Tropic Square private format (do not interpret). | | | | |
| RFU | 16 | Padding for memory alignment, filled with 0xFFFF data (do not interpret). | | | | |
| Serial Number (128 bits). | | | | | | |
| S/N | 128 | Exact encoding as per description in section 2.1 | | | | |
| "Full" Part Number string (128 bits). | | | | | | |
| P/N length | 8 | Length of subsequent P/N data field, encoded as unsigned integer on sin- | | | | |
| | | gle byte (values 0x000x0F = 015). Example: P/N length for TROPIC01- | | | | |
| | | T001' string = 0x0D (13). | | | | |

| Size (bits) | Description | | | |
|--|--|--|--|--|
| 120 | P/N data encoded in ASCII, padded with 0xFF bytes. Example: 'TROPIC01-T001' = 0x54524F50494330312D54303031FFFF. | | | |
| Provisioning Data version (160 bits). | | | | |
| 160 | Tropic Square private format (do not interpret). | | | |
| CHIP_ID padding (192 bits), for alignment. | | | | |
| 192 | Filled with 0xFFFF data to align with 128-byte space dedicated for CHIP_ID in TROPIC01 memory map. (do not interpret). | | | |
| | (bits) 120 60 bits) 160 for align | | | |

Interpretation of selected sub-fields of CHIP_ID:

P/N ID

- "Short" form of P/N. It directly corresponds to the "Full" P/N string (1:1 equivalence).
- Tropic Square is committed to keep the TROPIC01 P/N IDs within 12-bit range (up to 4,096 variants).
- P/N ID is also present in the X.509 chip certificate through S/N field (refer to section 2.1 for more details).
- The complete list of P/N IDs (and "full" P/Ns) can be found in [3].

"Full" P/N string

- ASCII (UTF-8) encoded string which is directly linked to order codes of TROPIC01.
- "Full" P/N string of TROPIC01 has variable length 1 to 15 characters (bytes).
- "Full" P/N string directly corresponds to the "short" for represented by P/N ID (1:1 equivalence).
- The complete list "full" P/Ns (and "short" P/N IDs) can be found in [3].

Chip Package Type ID

- Chip Package Type ID is 2-byte value assigned by Tropic Square.



ODN_TR01_app_003

2

TROPICO1 UNIQUE IDENTIFIERS

Device Identity and PKI Application Note

TROPIC01
ODN_TR01_app_003

Device Identity and PKI Application Note

- List of defined Chip Package Type IDs is published in [3].
- Details about available TROPIC01 chip package types can be found in section 12 of [1].
- Silicon Revision ID
 - Silicon revision is encoded as 16-bit ID (assigned by Tropic Square).
 - Observing silicon revisions of the TROPIC01 product is important for tracking Errata and particular feature deviations.
 - One P/N always uses only one silicon revision. However one silicon revision may be used in multiple P/Ns.
 - For more details about TROPIC01 silicon revisions and their link to available P/Ns please refer to [3].

The CHIP_ID structure is readable by *Get_Info_Req* L2 Request. For more details refer to API specification [2].

Page: 10 ersion: 1.1

Version: 1.1



2.3 X.509 Certificate Store structure

Each TROPIC01 device contains X.509 Certificate Store data structure which consists of:

- Certificate Store header
- X.509 chip certificate (refer to 3.4)
- All ancestor certificates in the chip certiicate chain as issued by Tropic Square PKI (refer to section 3)
- Padding (optional)

The total size of all the memory allocated within TROPIC01 for X.509 Certificate Store (including proprietary header structure) is 0xF00 bytes (= 3,840 bytes). If the size of the actual valid certificates is less than the maximum allocated size, the trailing part of the Certificate Store memory space is padded by 0xFF.

Following diagram explains serialization (sequencing) of certificates inside the TROPIC01 X.509 Certificate Store. The header has a proprietary binary structure, the certificates are ASN.1 DER-TLV encoded as per [6] and [7]:

Version: 1.1

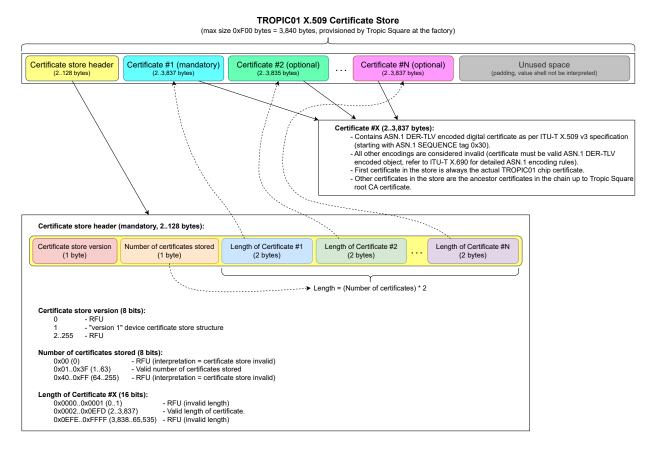


Figure 2: TROPIC01 X.509 Certificate Store structure

Following table describes TROPIC01 X.509 Certificate Store binary encoding aligned with the specific PKI defined in section 3. It is complementary to the diagram above. The entire structure is encoded as "big endian" byte array (3,840 bytes long, including padding):

| Item | Size (bits) | Description |
|--|----------------|---|
| Certificate store header (up to 128 bytes) | | |
| Certificate store version | 8 | X.509 certificate store structure version on single byte, interpreted as unsigned integer value 0255. Shall have value: 0x01. |

Version: 1.1

| Item | Size | Description | |
|---|-----------------|---|--|
| | (bits) | | |
| Number of certificates | 8 | Number of X.509 certificates stored in the struc- | |
| | | ture. Interpreted as unsigned integer value | |
| | | 0255. At least one certificate is mandatory (the | |
| | | chip certificate). Shall have value 4 for the cur- | |
| | | rent release of TROPIC01 and Tropic Square PKI | |
| | | infrastructure. | |
| Length of certificate | 16 | Length of ASN.1 DER-TLV encoded structure of | |
| number 1 | | the first certificate in the store. Interpreted as | |
| | | unsigned integer value 065,535. | |
| Length of certificate | 16 | Length of ASN.1 DER-TLV encoded structure of | |
| number 2 | | the second certificate in the store. Interpreted | |
| | | as unsigned integer value 065,535. | |
| Length of certificate | 16 | Length of ASN.1 DER-TLV encoded structure of | |
| number 3 | | the third certificate in the store. Interpreted as | |
| | | unsigned integer value 065,535. | |
| Length of certificate | 16 | Length of ASN.1 DER-TLV encoded structure of | |
| number 4 | | the fourth certificate in the store. Interpreted as | |
| Cartificate and to a 1 () | | unsigned integer value 065,535. | |
| | | h), ASN.1 DER-TLV encoding. | |
| TROPIC01 chip (device) | Variable | ASN.1 DER-TLV encoded device certificate as per | |
| certificate | : - - - + | subsection 3.4. | |
| | | h), ASN.1 DER-TLV encoding. | |
| TROPICO1 "product | variable | ASN.1 DER-TLV encoded device certificate as per | |
| level" CA certificate | : - - - + | subsection 3.3. | |
| | | h), ASN.1 DER-TLV encoding. | |
| TROPICO1 P/N level | Variable | ASN.1 DER-TLV encoded device certificate as per | |
| (group) CA certificate | 'alala lawat | subsection 3.2. | |
| Certificate number 4 (variable length), ASN.1 DER-TLV encoding. | | | |
| Tropic Square root CA | Variable | ASN.1 DER-TLV encoded device certificate as per | |
| certificate | () constal a l | subsection 3.1. | |
| | | ength), up to the end of memory space allocated. | |
| Padding | Variable | Filled with 0xFFFF data to align with 3,840-byte | |
| | | space dedicated for X.509 certificate store in | |
| | | TROPIC01 memory map (do not interpret). | |

The X.509 Certificate Store is readable by *Get_Info_Req* L2 Request. This command al-

2 TROPICO1 UNIQUE IDENTIFIERS

Page: 14

Version: 1.1

lows retrieving parts of the Certificate Store, so depending on the validation scope the host may choose to read only header and the first certificate (chip certificate) or the entire structure. For more details refer to API specification [2].

3 TROPIC01 certificate and issuing PKI

Following diagram summarizes the Tropic Square PKI hierarchy in terms of certificate chain and different subsystems (Certification Authority "perimeters") for TROPIC01 chip certificate issuance:

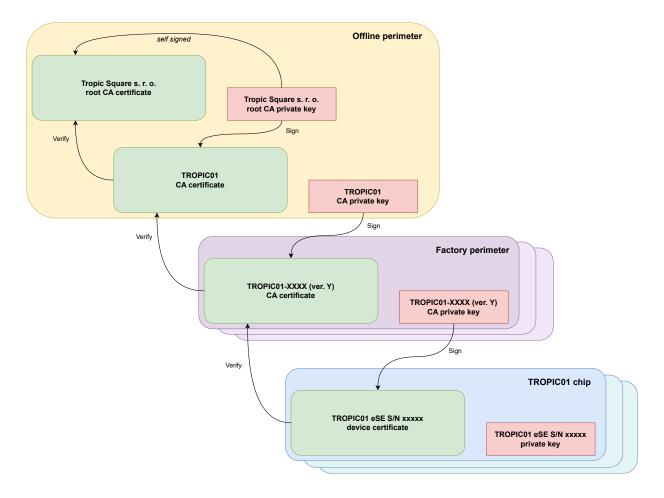


Figure 3: PKI for TROPIC01

The arrows denote the certificate signing hierarchy: higher level key pair is used to issue (sign) the certificate on the lower level (in the direction of the arrow). The "Tropic Square root CA certificate" is self-signed. All the certificates (in green) are by definition public information, but all the private keys (in red) are secrets which never leave the given perimeter (HSM or chip).

To represent the structure of the X.509 certificates in this section, we use OpenSSL v3.1.1 notation (refer to [8]).

Page: 15

Device Identity and PKI Application Note

3.1 Tropic Square root CA certificate properties

The Tropic Square root CA certificate is the implicitly trusted root of TROPIC01 chip certificate chain. The root certificate needs to be observed for the revocation by manual observation methods (subscribing to Tropic Square updates, email newsletter, periodic screening of https://pki.tropicsquare.com/ web page etc.)

The root certificate is used to issue "Product level" intermediate CA certificates and also for issuing of Certificate Revocation List (CRL) for "Product level" CAs.

Following diagram shows structure of the Tropic Square root CA certificate. For the interpretation of each sub-field consult ITU-T X.509 v3 structure in [6].

```
Certificate:
    Data:
         Version: 3 (0x2)
         Serial Number: "Variable (16-byte array interpreted as integer)"
         Signature Algorithm: ecdsa-with-SHA512
Issuer: C = CZ, O = Tropic Square s.r.o., CN = Tropic Square Root CA v1
         Validity
         Not Before: "Variable (Time of issuing)" GMT
Not After: "Variable (Not before + 50 years)" GMT
Subject: C = CZ, O = Tropic Square s.r.o., CN = Tropic Square Root CA v1
         Subject Public Key Info:
              Public Key Algorithm: id-ecPublicKey
Public-Key: (521 bit)
                   pub: "Variable (From generated key pair)"
                   ASN1 OID: secp521r1
                   NIST CURVE: P-521
         X509v3 extensions:
              X509v3 Subject Key Identifier:
                   "Variable (Computed by issuing CA)"
              X509v3 Basic Constraints: critical
                   CA:TRUE
              X509v3 Key Usage: critical
                   Certificate Sign, CRL Sign
    Signature Algorithm: ecdsa-with-SHA512
    Signature Value: "Variable (Computed by issuing CA)"
```

Listing 1: Tropic Square root CA certificate

Page: 16

3.2 TROPIC01 "product level" CA certificate properties

The first intermediate CA level within Tropic Square PKI is organized by products. TROPIC01 should have one dedicated intermediate CA at this level but in case of revocation it might be superseded by the CA of the same name but higher version. The revocation status of this intermediate CA should be observed though its declared CRL Distribution Points.

This intermediate CA certificate is used to issue "P/N level" intermediate CA certificates and also for issuing of Certificate Revocation List (CRL) for "P/N level" CAs.

Following diagram shows structure of the TROPIC01 "product level" CA certificate. For the interpretation of each sub-field consult ITU-T X.509 v3 structure in [6].

```
Certificate:
    Data:
         Version: 3 (0x2)
         Serial Number: "Variable (assigned by issuing CA)"
         Signature Algorithm: ecdsa-with-SHA512
Issuer: C = CZ, O = Tropic Square s.r.o., CN = Tropic Square Root CA v1
         Validity
         Not Before: "Variable (Time of issuing)" GMT
Not After: "Variable (Not before + 40 years)" GMT
Subject: C = CZ, O = Tropic Square s.r.o., CN = TROPICO1 CA v1
         Subject Public Key Info:
              Public Key Algorithm: id-ecPublicKey
Public-Key: (384 bit)
                   pub: "Variable (From generated key pair)"
                   ASN1 OID: secp384r1
                   NIST CURVE: P-384
         X509v3 extensions:
              X509v3 Subject Key Identifier:
                    "Variable (Computed by issuing CA)"
              X509v3 Basic Constraints: critical
                   CA:TRUE
                   pathlen: 1
              X509v3 Key Usage: critical
              Certificate Sign, CRL Sign
X509v3 Authority Key Identifier:
                    "Variable (see Subject Key Identifier of the issuing CA)"
               X509v3 CRL Distribution Points:
                   Full Name: "Variable URI pointing to http://pki.tropicsquare.com/ sub-page"
     Signature Algorithm: ecdsa-with-SHA512
     Signature Value: "Variable (Computed by issuing CA)"
```

Listing 2: TROPIC01 CA certificate

Page: 17

Device Identity and PKI Application Note

3.3 TROPIC01 Part Number (group) CA certificate properties

The second intermediate CA level within Tropic Square PKI is organized by P/Ns. TROPIC01 can have multiple intermediate CAs at this level, each can be used to issue chip certificates for one or more P/Ns ("groups"). The revocation status of this intermediate CA should be observed though its declared CRL Distribution Points.

This intermediate CA certificate is used to issue the final chip (device) certificates and also for issuing of Certificate Revocation List (CRL) for chips in the given P/N group.

Following diagram shows structure of the TROPIC01 "P/N level" CA certificate. For the interpretation of each sub-field consult ITU-T X.509 v3 structure in [6].

```
Certificate:
    Data:
         Version: 3 (0x2)
         Serial Number: "Variable (assigned by issuing CA)"
         Signature Algorithm: ecdsa-with-SHA384
Issuer: C = CZ, O = Tropic Square s.r.o., CN = TROPICO1 CA v1
         Validity
         Not Before: "Variable (Time of issuing)" GMT
Not After: "Variable (Not before + 35 years)" GMT
Subject: C = CZ, O = Tropic Square s.r.o., CN = TROPICO1-T CA v1
         Subject Public Key Info:
              Public Key Algorithm: id-ecPublicKey
                   Public-Key: (384 bit)
                   pub: "Variable (From generated key pair)"
                   ASN1 OID: secp384r1
                   NIST CURVE: P-384
         X509v3 extensions:
             X509v3 Subject Key Identifier:
                   "Variable (Computed by issuing CA)"
              X509v3 Basic Constraints: critical
                   CA:TRUE
                   pathlen: 0
              X509v3 Key Usage: critical
              Certificate Sign, CRL Sign
X509v3 Authority Key Identifier:
                   "Variable (see Subject Key Identifier of the issuing CA)"
              X509v3 CRL Distribution Points:
                   Full Name: "Variable URI pointing to http://pki.tropicsquare.com/ sub-page"
    Signature Algorithm: ecdsa-with-SHA384
    Signature Value: "Variable (Computed by issuing CA)"
```

Listing 3: TROPIC01-XXXX CA certificate

Page: 18

Device Identity and PKI Application Note

3.4 TROPIC01 chip (device) certificate properties

The chip certificate is the final level within Tropic Square PKI certificate chain. The certificate contains chip S/N which links to the P/N (refer to 2.1 for more). The certificate contains S_{TPub} key corresponding to device Secure Channel X25519 EC key pair (refer to [1]). The revocation status of chip certificate should be observed though its declared CRL Distribution Points.

Following diagram shows structure of the TROPIC01 "P/N level" CA certificate. For the interpretation of each sub-field consult ITU-T X.509 v3 structure in [6].

```
Certificate:
    Data:
        Version: 3 (0x2)
        Serial Number: "Variable (chip S/N)"
        Signature Algorithm: ecdsa-with-SHA384
        Issuer: C = CZ, O = Tropic Square s.r.o., CN = TROPICO1-T CA v1
            Not Before: "Variable (Time of issuing)" GMT
            Not After: "Variable (Not before + 20 years)" GMT
        Subject: CN = TROPICO1 eSE
Subject Public Key Info:
            Public Key Algorithm: X25519
X25519 Public-Key:
                pub: "Variable (Generated as STPUB)"
        X509v3 extensions:
            X509v3 Basic Constraints: critical
                 CA: FALSE
            X509v3 Key Usage: critical
                Key Agreement
            X509v3 Authority Key Identifier:
                 "Variable (see Subject Key Identifier of the issuing CA))"
            X509v3 CRL Distribution Points:
                Full Name: "Variable URI pointing to http://pki.tropicsquare.com/ sub-page"
    Signature Algorithm: ecdsa-with-SHA384
    Signature Value: "Variable (Computed by issuing CA)"
```

Listing 4: TROPIC01 eSE (chip) certificate

Page: 19

4 Binding TROPIC01 with host (factory process)

The initial "binding" of the host system (MCU/SoC) and TROPIC01 secure element typically happens during the manufacturing process of the device that integrates the TROPIC01. The TROPIC01 comes with the default Secure Channel Pairing Key (S_{H0PUB}). Such "transport" key pair is published by Tropic Square. For more details about Pairing Key slots and Secure Channel establishment refer to [1].

The recommended flow for the binding with TROPIC01 is:

- Validate TROPIC01 certificate chain.
- Verify the S_{H0PUB} key returned from TROPIC01 chip (device) certificate belongs to S_{H0PRIV} that is distributed by Tropic Square (refer to [1] for more details).
- Verify all the ECC key slots contain no ECC key (refer to [1] for more details).
- Optional: Issue customer key pair(s) and device certificate(s) usable for application level device identification (e.g. through schemes such as TLS 1.2/1.3, OSCORE, Noise protocol framework etc.)

4.1 Validate TROPIC01 certificate chain

To verify the authentic origin of the TROPIC01 chip, the Customer should perform the following verifications and actions during the initial binding with TROPIC01 chip:

- 1. Read TROPIC01 X.509 Certificate Store from chip memory by using **Get_Info_Req** L2 Request and validate it. To do this, use TROPIC01 SDK ([5]) as shown in the example below.
- 2. Validate the chip (device) certificate:
 - (a) ASN.1 DER-TLV structure should be valid and compliant to X.509 schema (refer to [6]).
 - (b) Check the validity date of the certificate (requires access to real-time clock linked to UTC).
 - (c) Check the revocation status of the certificate based on its CRL Distribution Points (requires on-line access to CRL URI).

Page: 20

- (d) Check the certificate signature based on Authority Key Identifier and issuing CA certificate. That can be obtained either from the TROPIC01 Certificate Store itself or from Tropic Square https://pki.tropicsquare.com/webpage.
- 3. Validate "Part Number (group)" level issuing CA certificate. This step must be performed at least once, but it can be skipped for subsequent chip validations in case the issuing CA certificate is static for a given batch of TROPIC01 chips. The validation steps are analogous to the previous point:
 - (a) ASN.1 DER-TLV structure should be valid and compliant to X.509 schema (refer to [6]).
 - (b) Check the validity date of the certificate (requires access to real-time clock linked to UTC).
 - (c) Check the revocation status of the certificate based on its CRL Distribution Points (requires on-line access to CRL URI).
 - (d) Check the certificate signature based on Authority Key Identifier and issuing CA certificate. That can be obtained either from the TROPIC01 Certificate Store itself or from Tropic Square https://pki.tropicsquare.com/webpage.
- 4. Validate "Product (TROPIC01)" level intermediate CA certificate. This step must be performed at least once, but it can be skipped for subsequent chip validations in case the intermediate CA certificate is static for a given batch of TROPIC01 chips. The validation steps are analogous to the previous point:
 - (a) ASN.1 DER-TLV structure should be valid and compliant to X.509 schema (refer to [6]).
 - (b) Checking the validity date of the certificate (requires access to real-time clock linked to UTC).
 - (c) Checking the revocation status of the certificate based on its CRL Distribution Points (requires on-line access to CRL URI).
 - (d) Checking the certificate signature based on Authority Key Identifier and issuing CA certificate. That can be obtained either from the TROPIC01 Certificate Store itself or from Tropic Square https://pki.tropicsquare.com/web page.

Version: 1.1

5. Validate Tropic Square Root CA certificate. This step must be performed at least once, but it can be skipped for subsequent chip validations in case the this inter-

Version: 1.1

mediate CA certificate is static for a given batch of TROPIC01 chips. The validation steps are following:

- (a) ASN.1 DER-TLV structure should be valid and compliant to X.509 schema (refer to [6]).
- (b) Check the validity date of the certificate (requires access to real-time clock linked to UTC).
- (c) Check the revocation status of the certificate. This can be only done "out-of-band" by checking the status with Tropic Square (e.g. through https://pki.tropicsquare.com/ web page, incident newsletter etc.)
- (d) Check the certificate signature. The Root CA certificate is by definition selfsigned, so the signature is validated by the public key presented within the certificate itself.
- 6. After successful validation of TROPIC01 X.509 certificate chain it is critical to validate additional metadata to prevent supply-chain attacks:
 - (a) The S/N encoded in the chip certificate must encode the correct P/N as per 2.1 and [3].
 - (b) Make sure that the selected P/N corresponds to the right P/N with the FW signing "vendor" key. Note that each TROPIC01 P/N hosts Application FW from exactly one vendor "source" which is determined by the FW signing key pair. For more details refer to [4].
 - (c) **Optional**: Read **CHIP_ID** from chip memory (*Get_Info_Req* L2 Request) and verify the metadata are matching the expectations set by P/N ID (refer to 2.2 for more details).

Version: 1.1

4.2 TROPIC01 certificate chain readout by TROPIC01 SDK

This example assumes TROPIC01 SDK compiled on a machine capable of writing to files via file operations in C standard library.

```
#include <stdio.h>
/** Obrief Length of the buffers for certificates. */
#define CERTS_BUF_LEN 700
#define CERTS_OK O
#define CERTS_ERR 1
int read_cert_store(lt_handle_t *h)
   uint8_t cert1[CERTS_BUF_LEN] = {0},
           cert2[CERTS_BUF_LEN] = {0},
cert3[CERTS_BUF_LEN] = {0},
           cert4[CERTS_BUF_LEN] = {0};
   struct lt_cert_store_t store = {.certs = {cert1, cert2, cert3, cert4},
                                   .buf_len = {CERTS_BUF_LEN, CERTS_BUF_LEN,
                                              CERTS_BUF_LEN, CERTS_BUF_LEN}};
   struct lt_chip_id_t chip_id = {0};
    // Initializing handle
   if (lt_init(h) != LT_OK)
       return CERTS_ERR;
    // Reading X509 Certificate Store
   if (lt_get_info_cert_store(h, &store) != LT_OK)
       return CERTS_ERR;
    // Dump the certificates to files
   for (int i = 0; i < 4; i++) {</pre>
       if (store.cert_len[i] == 0)
           return CERTS_ERR;
       FILE *f = fopen(names[i], "wb");
       if (fwrite(cert, 1, store.cert_len[i], f) != store.cert_len[i])
           return CERTS_ERR;
       fclose(f);
   return CERTS_OK;
```

Version: 1.1



4.3 TROPIC01 certificate chain validation example with OpenSSL

The following example assumes running on Linux machine with OpenSSL installed and certificates read from the TROPIC01 as shown in previous example.

The example validates all certificates read from TROPIC01, and checks against their respective CRLS.

```
# Download certificate authorities from Tropic Square PKI web
curl -o http://pki.tropicsquare.com/l0/tropic01_xxxx_ca_certificate_sn_30001.pem
curl -o http://pki.tropicsquare.com/10/tropic01_ca_certificate_sn_3001.pem
curl -o http://pki.tropicsquare.com/l0/tropicsquare_root_ca_certificate_sn_301.pem
# Parse CRLs from certificates read from device in previous example
L3='openssl x509 -in t01_ese_cert.der -inform DER -text | grep URI | cut -d ':' -f 2-' L2='openssl x509 -in t01_xxxx_ca_cert.der -inform DER -text | grep URI | cut -d ':' -f 2-'
L1='openssl x509 -in t01_ca_cert.der -inform DER -text | grep URI | cut -d ':' -f 2-'
# Download CRLs
curl -o $L3  # Downloads t01-Tv1.crl
curl -o $L2  # Downloads t01v1.crl
curl -o $L1  # Downloads tsrv1.crl
# Validate (chip) device certificate
cat tropic01_xxxx_ca_certificate_sn_30001.pem t01-Tv1.crl \
    tropic01_ca_certificate_sn_3001.pem t01v1.crl \
    tropicsquare_root_ca_certificate_sn_301.pem tsrv1.crl > chain.pem
openssl verify -verbose -crl_check -CAfile chain.pem t01_ese_cert.der
# Validate the "Part Number (group)" certificate
cat tropic01_ca_certificate_sn_3001.pem t01v1.crl \
    tropicsquare_root_ca_certificate_sn_301.pem tsrv1.crl > chain.pem
openssl verify -verbose -crl_check -CAfile chain.pem t01_xxxx_ca_cert.der
# Validate the "Product (\PartName{})" certificate
cat tropicsquare_root_ca_certificate_sn_301.pem tsrv1.crl > chain.pem
openssl verify -verbose -crl_check -CAfile chain.pem t01_ca_cert.der
# Validate Tropic Square Root Certificate
# Out-of-band validation of Root Certificate is not included
openssl verify -verbose -CAfile tropicsquare_root_ca_certificate_sn_301.pem \
    tropicsquare_root_ca_certificate_sn_301.pem
```

Version: 1.1

Version history

| Version | Date | Description |
|---------|------------|-------------------------------------|
| 1.0 | 30.9.2025 | Initial public release. |
| 1.1 | 20.10.2025 | Fixes in OpenSSL validation script. |

References

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- [2] TROPIC01 User API, Tropic Square
- [3] TROPIC01 Catalog list, Tropic Square
- [4] ODN_TR01_app_007 TROPIC01 Firmware Update Application Note, Tropic Square
- [5] TROPIC01 SDK libtropic,
 Github: https://github.com/tropicsquare/libtropic
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- [6] ITU-T X.509 (10/2019), also published as ISO/IEC 9594-8 https://www.itu.int/ITU-T/recommendations/rec.aspx?rec=X.509
- [7] ITU-T X.690 (02/2021), also published as ISO/IEC 8825-1 https://www.itu.int/ITU-T/recommendations/rec.aspx?rec=X.690
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- [9] The Noise Protocol Framework specification, Revision 34, 2018-07-11 https:// noiseprotocol.org/noise.html
- [10] Concise Binary Object Representation (CBOR), IETF RFC 8949, December 2020 https://datatracker.ietf.org/doc/html/rfc8949
- [11] CBOR Object Signing and Encryption (COSE), IETF RFC 9053, August 2022 https://datatracker.ietf.org/doc/html/rfc9053

Version: 1.1

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